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Imaging biomarkers in the clinic

Edwin J.R. van Beek MD PhD FRCR
SINAPSE Chair of Clinical Radiology
Clinical Research Imaging Centre
University of Edinburgh
47 Little France Crescent
Edinburgh EH16 4TJ
United Kingdom
Email: edwin-vanbeek@ed.ac.uk

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Abstract

Multimodality medical imaging offers a key role in disease diagnosis, while providing accurate staging and defining disease extent in many instances. Recent developments are increasingly leading to quantitative assessment of medical images, allowing both definition of disease extent, giving insight into the phenotypes of diseases and offering capabilities of monitoring response to therapy. Combined with other tools, such as genetic profiling, this is a powerful way of improving diagnosis and treatment of patients, enabling a personalised approach to delivering healthcare. It is highly likely that software tools will become integrated into the routine workflow of radiology reports. This special report describes some of the crucial areas where applications are being introduced and speculate on the potential impact on radiologists and clinicians.

Keywords: Radiology, Imaging, Biomarkers, Computed Tomography, Magnetic Resonance Imaging, Positron Emission Tomography, Therapy Assessment, Quantification, Emphysema, Aortic Valve Stenosis

Background of imaging biomarkers

Radiologic imaging has made a huge impact in the overall management of patients, allowing rapid and accurate diagnosis for many diseases in one way or another. The range of imaging includes anything from ultrasound to plain film radiography and more complex procedures with or without the need for ionising radiation. However, in spite of using imaging as a diagnostic test, it takes more work to develop an imaging-based biomarker.

An imaging biomarker is a biologic feature or characteristic, that is objectively measured and evaluated as an indicator of normal biological processes, pathogenic processes or biological responses to a therapeutic intervention, detectable in an image.[1,2] In medicine, an imaging biomarker is a feature of an image relevant to a patient's diagnosis. As such, it has been postulated that imaging biomarkers can be used as a surrogate to predict outcome and to monitor disease response, thus replacing traditional methods such as clinical outcomes (e.g. recurrent disease or death). Surrogate biomarkers are thought to assess treatment effects more quickly, thus enabling more rapid determination and potential to change patient management.

Although this all sounds relatively new, imaging has been used for many decades as an aid to diagnosis, to evaluate extent of disease and to help demonstrate efficacy of treatment. Initially this was done using plain radiography methods, and even today plain radiographs are commonly used for assessment and classification of spinal and other fractures and for follow-up of known lung nodules for instance. With the advent of advanced imaging methods, such as Computed Tomography (CT), Magnetic Resonance Imaging (MRI) and Positron Emission Tomography (PET), there is now increasing clarity in images that enable quantification in real terms, which in turn allows for more precise diagnosis and assessment. This is a key component to the planning of "Precision Medicine", as improving the phenotype description in the context of genetic testing is a very powerful method to indicate likely success of newly developed molecular targeted treatments. Thus, it becomes possible to relate images to anything from normal biological patterns up to pathophysiological findings and measurements of treatment effectiveness. Good examples of this type of imaging biomarker are the assessment of chemotherapy effects in cancer treatment (RECIST criteria) [3] and also the PET response in patients following treatment of head-neck cancer [4] and staging and therapy assessment of lymphoma [5].

Summary of the main advanced imaging methods

Computed tomography (CT) is a method of ionising radiation transmission and measures density differences of the tissues. The method allows for a three-dimensional assessment of the targeted volume of the body, and displays are now used which depict isovolumetric volumes of tissue (voxel), which can be probed in all three dimensions. Software tools allow for precise display of density maps across these volumes, direct measurements of size of abnormalities and analysis of contrast enhancement patterns in the presence of iodinated contrast agents. This imaging technique is both versatile, easily available and is therefore applied frequently in clinical settings. The caveat is that density measurements may be affected by different CT reconstruction methods, the protocol applied and the use of intravenous contrast agents. Standardisation can be achieved, but requires highly dedicated quality assurance.

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Magnetic resonance imaging (MRI) is a highly versatile method, whereby atoms are excited through radiofrequency waves applied in a high magnetic field, leading to a change in the alignment of target atoms (usually protons). As the atoms return to their resting state, a radiofrequency signal is sent out, which is detected by the system and through a mathematical conversion, this can be displayed as an image. Tissues of the evaluated body part have different contents of protons, and the signal intensity varies accordingly. This technique is highly versatile, may be applied with or without contrast agents (e.g. Gadolinium or inhaled hyperpolarised noble gases), and give greater detail of tissue characteristics than CT (but generally at slightly lower resolution). A potential area of concern is that signal intensity is dependent on many parameters, including field strength and chosen sequences. Therefore, standardisation is more difficult to achieve that with CT, and requires standardisation of the sequences employed.

Positron emission tomography (PET) relies on the injection of radioactive tracers, which mimic a normal metabolic pathway in the body. The most commonly used compound, ¹⁸F fluoro-deoxy-glucose (¹⁸F-FDG) is a glucose analog that is taken up by cells and starts the Krebs cycle. However, once converted to ¹⁸F-FDG-phosphate, the next step of the cycle is unable to take place and the substrate is trapped in the cell. Cells with increased glucose uptake, such as cancer cells or at sites of inflammation, will demonstrate higher radioactivity, which can be measured by gamma cameras capable of picking up high energy (511 keV) photons, which are the result of the annihilation reaction between protons and electrons. PET is now almost always combined with a cross-sectional imaging technique, such as CT or MRI, for direct correlation with anatomical structures, as this allows more precise localisation of the increased uptake of radiotracer. The technique was traditionally reserved for oncology, but, in combination with development of more targeted radiotracers, it is increasingly applied in areas such as cardiovascular diseases, cerebral diseases and for evaluation of cellular activity in transplantation for instance. With appropriate quality assurance, it is possible to measure absolute radiation values in relation to body mass and injected radiotracer, which allows for standardisation of the technique.

Why is there such a focus on developing imaging biomarkers?

Clinical trials have traditionally relied on outcome measures, including symptomatic scales, morbidity and mortality rates during the follow-up period after an intervention. There are several drawbacks to such an approach, most importantly the number needed to treat in order to demonstrate statistically significant differences between treatment arms, and the time it takes to perform sufficient follow-up to capture these clinical endpoints.[6]

The cost of developing new drugs has risen astronomically over the past decades. A recent report, evaluating the development of 106 new drugs by 10 pharmaceutical companies, calculated the total costs from beginning to market approval, to be in the range of \$2.5 billion in 2013, which is expected to rise to \$2.8 billion when incorporating post-approval vigilance and follow-up costs. [7] These cost issues have led to a number of measures to try and contain them, including joining up efforts of drug developments by companies, pre-trial testing with go-no decisions being pushed forward, better screening of patients who may benefit from new treatments (targeting) and the identification of the need for surrogate measurements of effectiveness.

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3 Imaging has captured the attention of regulatory authorities, such as the FDA [8] with
4 other initiatives such as the NIH Roadmap [9], the Clinical Trials Transformation
5 Initiative[10], the RSNA's Quantitative Imaging Biomarkers Alliance [11] and the
6 European Radiology Society's Imaging Biomarkers Alliance [12]. They all have in
7 common the wish and commitment to develop, qualify and validate imaging
8 biomarkers to allow for better utility of imaging in clinical trials.
9

10 11 12 13 **How do we qualify and validate imaging biomarkers?** 14

15 Imaging biomarker qualification and validation is a multi-step process, requiring a
16 number of important milestones.[13] Every step is a process which takes up several
17 years, and the method may fail anywhere along the line of development.
18 Before an imaging method can be considered as a biomarker, it is vital that the
19 method is standardised such that it can be applied routinely at any site which wishes
20 to introduce it. There is a need for greater standardisation, as both scanner
21 manufacturers, individual preferences and patient handling can all affect the imaging
22 parameters being studied. This is particularly true for the more advanced imaging
23 studies, and it is a major focus of a number of collaborations, largely under guidance
24 from the main professional organisations mentioned above. Once a standardised
25 protocol has been achieved, the actual qualification of a biomarker can commence.
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28 First, the imaging biomarker must be demonstrated as closely linked to the presence
29 (or absence) of the target disease. This usually requires observational studies,
30 whereby the imaging biomarkers is correlated to disease presence, extent of disease
31 and prognostic value compared to existing methodologies, including clinical
32 assessment using standard diagnostic techniques as well as longer-term outcome. This
33 process will generate sensitivity and specificity of the diagnostic test.
34

35 Second, as with any diagnostic test, the biomarker requires to be accurate and
36 reproducible in both short term and long term measurements. This process should
37 start as soon as there is a suggestion that there is a correlation (step 1), and requires
38 the application of repeated measurements during a short period of time in patients
39 with and without the disease process (immediate and longer reproducibility), multiple
40 assessments by several observers (intra- and interobserver variability) and the
41 correlation with the actual disease process severity.
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43 Lastly, any changes measured over time need to be directly related to the changes of
44 the target condition. This will require longer-term repeated testing in correlation with
45 standard measurements of disease status (e.g. clinical status) with follow-up over
46 several years.
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48 As shown, the qualification is quite a long and arduous process, hence the working
49 together of many scientists and organisations is required to pool resources
50 appropriately. It is not surprising, therefore, that this process takes many years and
51 only now are we starting to see results, having started this work over a decade ago.
52

53 The validation of a qualified imaging biomarker is the final step in this process.
54 Initially, the evidence built up during the course of the qualification process will allow
55 a consensus to be formed among the scientific community that the test is likely to be
56 fit for purpose as a biomarker. Subsequently, the efficacy of the biomarker to
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3 demonstrate treatment effects that are not seen in non-treated controls, while a
4 comparison with routine standards, such as clinical outcome is sought.
5 Regulatory authorities, including the FDA and MHRA, are able to collate the
6 evidence of a proposed (imaging) biomarker and determine whether the test is
7 acceptable as a surrogate endpoint for a clinical trial. These bodies have clearly
8 indicated their ascent to the incorporation of surrogate end points for clinical trials,
9 provided these end points have been adequately developed and validated. [13] Thus, if
10 there is a positive outcome on a surrogate endpoint, this would be regarded as a
11 positive result towards market approval for the new treatment.
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13 **Examples of clinical approaches to the use of imaging biomarkers**

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16 There are many clinical areas where imaging biomarkers are effectively in use,
17 particularly in oncologic staging and treatment response assessment. These methods
18 are reasonably well established, and offer direct management direction for clinicians
19 based on imaging findings (staging) or based on pre and post therapy changes in terms
20 of tumour size or change in metabolic activity using PET imaging. In other areas,
21 imaging biomarkers are being used as inclusion/exclusion criteria for clinical trials
22 where new therapies are being tested that have a known metabolic point of interaction
23 (e.g. amyloid plaque PET imaging in qualification of patients for new Alzheimer's
24 disease drugs or bone mineral density assessment for exclusion of patients with
25 osteoporosis in drugs that can negatively influence bone mass). It is not possible to
26 give an overview of every imaging-based biomarker being tested or available at
27 present. Therefore, two areas of interest will be highlighted, where imaging-based
28 biomarkers are at the cusp of routine clinical application (emphysema) or are in an
29 advanced state of development (aortic valve stenosis).
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33 The use of imaging biomarkers for lung diseases has been piloted for some time. The
34 problems encountered largely evolved around standardisation of CT scanner systems,
35 but with appropriate quality control and setting the protocols, it is feasible to
36 determine some significant phenotypes, which can be used longitudinally to study the
37 potential effects of new treatments. Thus, CT-based quantification of emphysema
38 both correlates with pulmonary function and mechanics, but additionally offers
39 further insights into the phenotypical distribution and extent of disease. [14]

40 One of the first attempts demonstrating the feasibility of an imaging-based biomarker
41 was made for a large trial evaluating the utility of lung volume reduction surgery in
42 patients with severe emphysema, where CT based density distribution was capable of
43 predicting outcomes directly. [15] Subsequent imaging analysis further highlighted
44 the extent of the correlation between CT quantified findings and the outcomes in the
45 surgical and control arms of this large study. [16] This work is now continuing with
46 the advent of interventional bronchoscopic methods for lung volume reduction
47 surgery, where CT is both used as a tool to determine patient eligibility for the
48 procedure and for the planning and assessment of treatment response. [17]

49 A longitudinal observational cohort study used CT as a way to determine the extent of
50 emphysema, as well as the change over time. [18] Lung density at baseline was
51 influenced by a number of variables, including age, sex, body-mass index, current
52 smoking status and smoking history, and severity of airflow limitation. Importantly,
53 the study could measure annual lung function decline as quantified by CT lung
54 density at rates of -1.13 g/L, but with variability due to sex and smoking status. [18]
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3 Thus, the CT measurements gave a clearer indication of changes over a one-year
4 period compared to pulmonary function tests.
5 The COPDGene study enrolled a large cohort of patients (10,000) in multiple centres
6 in the USA, who were screened for obstructive outflow disease including genetic
7 assessment and the application of CT. [19] The CT protocol was centrally set-up with
8 quality assurance rigorously applied. An analysis of 9,313 subject CT scans
9 demonstrated a clear quantifiable pattern of low-attenuation findings on CT. [20]
10 Additionally, measures of mild emphysema in smokers with preserved lung function
11 could be demonstrated as quantifiable low-attenuation changes on CT, allowing for
12 earlier diagnosis. [20]
13 Subsequent analysis of 10,192 smokers in the COPDGene study demonstrated the
14 ability of CT to identify patients with varying degrees of emphysema, as well as the
15 presence or absence of airways disease. [21] This enabled identification of four
16 subgroups of smokers that were closely associated with clinical characteristics of
17 COPD and known COPD-associated genetic variants. [21]
18 A third large cohort study, SPIROMICS, deserves mention, as it is closely monitoring
19 various serum and plasma biomarkers in combination with CT in 3,200 participants.
20 [22] A sub-study evaluated airway measurements in a total of 1,559 subjects, and
21 demonstrated that airway walls are thinner and lumen size is smaller in patients with
22 COPD compared to controls, and this closely correlated with pulmonary function
23 tests. [22] Thus, the study confirmed the findings in the COPDGene study, which
24 gave similar findings. [23]
25 Overall, where lung imaging is concerned, it is clear that CT-based measures are
26 essentially ready to be used as biomarkers. Several measurements are available,
27 depending on the specific study questions, and it is vital to ensure protocols are
28 appropriately chosen and quality assurance is maintained. The software tools are now
29 available for incorporation into routine workstations. This last piece of the puzzle will
30 allow more seamless performance of pulmonary CT investigations, which will include
31 reporting of quantitative measures of emphysema and airways as part of the standard
32 clinical report. Only with this type of workflow can imaging-based biomarkers make
33 a difference in clinical practice.
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39 Another area where imaging biomarkers are actively being developed is in cardiac
40 applications. Traditionally, invasive cardiac catheterization in combination with
41 echocardiography and nuclear medicine studies have evaluated the presence of
42 coronary artery stenosis, perfusion changes and heart valves. More recently, work has
43 been ongoing to delve deeper in the mechanism of aortic valve stenosis and coronary
44 atherosclerosis using ^{18}F -sodiumfluoride PET imaging.

45 Initial work focused on demonstrating the direct link between the ^{18}F NaF uptake in
46 the areas of aortic valve and the histological correlation with the calcific process. [24]
47 Subsequently, during a follow-up study of these subjects, it was demonstrated that the
48 extent of radiotracer uptake directly correlated with the increase in calcific aortic
49 valve disease and functional decline. [25, 26] This work suggests that the detection of
50 this process can be achieved much earlier using ^{18}F -NaF PET imaging compared to
51 either CT or echocardiographic measurements, and the application of this imaging
52 biomarker will likely affect management as the uptake is directly correlated with
53 progression of disease.
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55 In parallel with this work, it was noticed that some patients with aortic valve
56 pathology had uptake in coronary arteries. A subsequent study demonstrated that ^{18}F -
57 NaF PET imaging is correlated with acute myocardial events, and it was postulated
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3 that uptake is a reflection of vulnerable plaque, which would be a more accurate and
4 important parameter to predict acute coronary artery events than simple angiographic
5 methods (which are focussed on level of stenosis). [27] Another study in 40 patients
6 with myocardial infarction and 40 patients with stable angina evaluated the role of
7 ¹⁸F-fluorodeoxyglucose uptake in the aorta. [28] This study demonstrated a direct
8 correlation between the extent of radiotracer uptake in the aorta and early recurrent
9 myocardial infarction, likely due to systemic atherosclerotic inflammatory
10 exacerbation and plaque destabilisation. [29] These studies similarly could alter future
11 disease management, potentially leading to better identification of active disease and
12 the area of plaque most at risk for developing acute myocardial events. In particular,
13 more targeted approaches to plaque stabilisation as well as the ability to monitor
14 changes over time, will allow development of dedicated new therapies. Further
15 studies in this field are ongoing, focused on short and long-term reproducibility and
16 long-term follow-up of patients to assess disease progression or modification and
17 clinical outcomes in relation to the imaging test.
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20 21 22 **Future perspective**

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24 It is highly likely that imaging biomarkers will continue to develop into clinical tools,
25 enabling precision and personalised medicine to thrive. Some novel imaging
26 biomarkers are now making their inroads into routine clinical practice, others are
27 under development, while yet others have hurdles to take (although these are not
28 unsurmountable). It will be vital to continue the rigorous process outlined, as this will
29 be the only way that regulatory authorities will be able to safely accept these imaging
30 biomarkers to be used in routine clinical practice.
31

32 Ultimately, the aim of imaging biomarkers will be a challenge to the training of
33 radiologists, who will need to address workflow and reporting changes that are
34 required for imaging biomarkers to gain full impact for clinical management of
35 patients. It will no longer be sufficient to just describe the findings. They will require
36 more precise description in quantitative terms, actual changes over time and
37 combination of quantitative imaging findings in relation to prognosis. Imaging
38 biomarkers will be used in a variety of ways, some for patient selection, others for
39 patient exclusion. This will need to be anticipated by the radiologists as clinicians
40 increasingly rely on imaging to provide answers to clinical management questions.

41 Training the radiologists of the future to deal with the increased demand and
42 changing reporting requirements is a challenge that will need to be addressed in this
43 context.
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46 47 **Conclusions**

48 Imaging biomarkers are already reality, but will continue to be developed to allow for
49 more precise assessment of disease, indication for different therapeutic options and
50 measure therapy response. This is what will drive individualised patient care
51 pathways, where imaging biomarkers will be a core tool, which will have a major
52 impact on health economics as a result. The end result will be more appropriate
53 treatment of patients at reduced overall costs in terms of both (avoidable)
54 complications and overall healthcare costs.
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The challenges of developing imaging biomarkers and to train the radiologists of the future go hand-in-hand, but the rewards are improved patient care while maintaining affordability. That is a result worth investing in.

For Review Only

Executive summary

- Imaging biomarkers are of interest in order to serve as surrogate endpoints in clinical trials, as they can lead to a reduction of sample size due to earlier measurement of treatment effects, thus reducing the overall time from start of the clinical trial to end point.
- Imaging biomarkers need to be developed using quite strict qualification and validation methodologies, as only this approach will allow useful and trustworthy imaging biomarkers to be acceptable for clinical practice.
- Imaging biomarkers are increasingly making their way into clinical routine applications on the back of their efficacy in clinical trials, allowing for assessment of disease extent, phenotyping of diseases with multiple genetic underlying pathophysiology and response to therapy.
- Imaging biomarkers have a broad potential, and will likely deliver on the approaches to more individualised treatments due to greater targeted assessments of suitability.
- It is important to train radiologists into the utility of imaging biomarkers and to develop integrated workflow systems to allow for reporting of quantifiable data as part of the routine clinical report.

Financial disclosure

Prof van Beek is founder/owner of Quantitative Clinical Trials Imaging Services, Ltd.

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Imaging biomarkers in the clinic

Edwin J.R. van Beek MD PhD FRCR
SINAPSE Chair of Clinical Radiology
Clinical Research Imaging Centre
University of Edinburgh
47 Little France Crescent
Edinburgh EH16 4TJ
United Kingdom
Email: edwin-vanbeek@ed.ac.uk

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Abstract

Multimodality medical imaging offers a key role in disease diagnosis, while providing accurate staging and defining disease extent in many instances. Recent developments are increasingly leading to quantitative assessment of medical images, allowing both definition of disease extent, giving insight into the phenotypes of diseases and offering capabilities of monitoring response to therapy. Combined with other tools, such as genetic profiling, this is a powerful way of improving diagnosis and treatment of patients, enabling a personalised approach to delivering healthcare. It is highly likely that software tools will become integrated into the routine workflow of radiology reports. This special report describes some of the crucial areas where applications are being introduced and speculate on the potential impact on radiologists and clinicians.

Keywords: Radiology, Imaging, Biomarkers, Computed Tomography, Magnetic Resonance Imaging, Positron Emission Tomography, Therapy Assessment, Quantification, Emphysema, Aortic Valve Stenosis

Background of imaging biomarkers

Radiologic imaging has made a huge impact in the overall management of patients, allowing rapid and accurate diagnosis for ~~almost any disease on the planet~~ many diseases in one way or another. The range of imaging includes anything from ultrasound to plain film radiography and more complex procedures with or without the need for ionising radiation. However, in spite of using imaging as a diagnostic test, it takes more work to develop an imaging-based biomarker.

An imaging biomarker is a biologic feature or characteristic, that is objectively measured and evaluated as an indicator of normal biological processes, pathogenic processes or biological responses to a therapeutic intervention, detectable in an image.[1,2] In medicine, an imaging biomarker is a feature of an image relevant to a patient's diagnosis. As such, it has been postulated that imaging biomarkers can be used as a surrogate to predict outcome and to monitor disease response, thus replacing traditional methods such as clinical outcomes (e.g. recurrent disease or death). Surrogate biomarkers are thought to assess treatment effects more quickly, thus enabling more rapid determination and potential to change patient management.

Although this all sounds relatively new, imaging has been used for many decades as an aid to diagnosis, to evaluate extent of disease and to help demonstrate efficacy of treatment. Initially this was done using plain radiography methods, and even today plain radiographs are commonly used for assessment and classification of spinal and other fractures and for follow-up of known lung nodules for instance. With and with the advent of advanced imaging methods, such as Computed Tomography (CT), Magnetic Resonance Imaging (MRI) and Positron Emission Tomography (PET), there is now increasing clarity in images that enable quantification in real terms, which in turn allows for more precise diagnosis and assessment. This is a key component to the planning of "Precision Medicine", as improving the phenotype description in the context of genetic testing is a very powerful method to indicate likely success of newly developed molecular targeted treatments. Thus, it becomes possible to relate images to anything from normal biological patterns up to pathophysiological findings and measurements of treatment effectiveness. Good examples of this type of imaging biomarker are the assessment of chemotherapy effects in cancer treatment (RECIST criteria) [3] and also the PET response in patients following treatment of head-neck cancer [4] and staging and therapy assessment of lymphoma [5].

Summary of the main advanced imaging methods

Computed tomography (CT) is a method of ionising radiation transmission and measures density differences of the tissues. The method allows for a three-dimensional assessment of the targeted volume of the body, and displays are now used which depict isovolumetric volumes of tissue (voxel), which can be probed in all three dimensions. Software tools allow for precise display of density maps across these volumes, direct measurements of size of abnormalities and analysis of contrast enhancement patterns in the presence of iodinated contrast agents. This imaging technique is both versatile, easily available and is therefore applied frequently in clinical settings. The caveat is that density measurements may be affected by different CT reconstruction methods, the protocol applied and the use of intravenous contrast agents. Standardisation can be achieved, but requires highly dedicated quality assurance.

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Magnetic resonance imaging (MRI) is a highly versatile method, whereby atoms are excited through radiofrequency waves applied in a high magnetic field, leading to a change in the alignment of target atoms (usually protons). As the atoms return to their resting state, a radiofrequency signal is sent out, which is detected by the system and through a mathematical conversion, this can be displayed as an image. Tissues of the evaluated body part have different contents of protons, and the signal intensity varies accordingly. This technique is highly versatile, may be applied with or without contrast agents (e.g. Gadolinium or inhaled hyperpolarised noble gases), and give greater detail of tissue characteristics than CT (but generally at slightly lower resolution). A potential area of concern is that signal intensity is dependent on many parameters, including field strength and chosen sequences. Therefore, standardisation is more difficult to achieve than with CT, and requires standardisation of the sequences employed.

Positron emission tomography (PET) relies on the injection of radioactive tracers, which mimic a normal metabolic pathway in the body. The most commonly used compound, ^{18}F fluoro-deoxy-glucose (^{18}F -FDG) is a glucose analog that is taken up by cells and starts the Krebs cycle. However, once converted to ^{18}F -FDG-phosphate, the next step of the cycle is unable to take place and the substrate is trapped in the cell. Cells with increased glucose uptake, such as cancer cells or at sites of inflammation, will demonstrate higher radioactivity, which can be measured by gamma cameras capable of picking up high energy (511 keV) photons, which are the result of the annihilation reaction between protons and electrons. PET is now almost always combined with a cross-sectional imaging technique, such as CT or MRI, for direct correlation with anatomical structures, as this allows more precise localisation of the increased uptake of radiotracer. The technique was traditionally reserved for oncology, but, in combination with development of more targeted radiotracers, it is increasingly applied in areas such as cardiovascular diseases, cerebral diseases and for evaluation of cellular activity in transplantation for instance. With appropriate quality assurance, it is possible to measure absolute radiation values in relation to body mass and injected radiotracer, which allows for standardisation of the technique.

Why is there such a focus on developing imaging biomarkers?

Clinical trials have traditionally relied on outcome measures, including symptomatic scales, morbidity and mortality rates during the follow-up period after an intervention. There are several drawbacks to such an approach, most importantly the number needed to treat in order to demonstrate statistically significant differences between treatment arms, and the time it takes to perform sufficient follow-up to capture these clinical endpoints.[6]

The cost of developing new drugs has risen astronomically over the past decades. A recent report, evaluating the development of 106 new drugs by 10 pharmaceutical companies, calculated the total costs from beginning to market approval, to be in the range of \$2.5 billion in 2013, which is expected to rise to \$2.8 billion when incorporating post-approval vigilance and follow-up costs. [7] These cost issues have led to a number of measures to try and contain them, including joining up efforts of drug developments by companies, pre-trial testing with go-no decisions being pushed forward, better screening of patients who may benefit from new treatments (targeting) and the identification of the need for surrogate measurements of effectiveness.

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6 Imaging has captured the attention of regulatory authorities, such as the FDA [8] with
7 other initiatives such as the NIH Roadmap [9], the Clinical Trials Transformation
8 Initiative[10], the RSNA's Quantitative Imaging Biomarkers Alliance [11] and the
9 European Radiology Society's Imaging Biomarkers Alliance [12]. They all have in
10 common the wish and commitment to develop, qualify and validate imaging
11 biomarkers to allow for better utility of imaging in clinical trials.
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14 **How do we qualify and validate imaging biomarkers?**

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17 Imaging biomarker qualification and validation is a multi-step process, requiring a
18 number of important milestones.[13] Every step is a process which takes up several
19 years, and the method may fail anywhere along the line of development.
20 Before an imaging method can be considered as a biomarker, it is vital that the
21 method is standardised such that it can be applied routinely at any site which wishes
22 to introduce it. There is a need for greater standardisation, as both scanner
23 manufacturers, individual preferences and patient handling can all affect the imaging
24 parameters being studied. This is particularly true for the more advanced imaging
25 studies, and it is a major focus of a number of collaborations, largely under guidance
26 from the main professional organisations mentioned above. Once a standardised
27 protocol has been achieved, the actual qualification of a biomarker can commence.
28

29 First, the imaging biomarker must be demonstrated as closely linked to the presence
30 (or absence) of the target disease. This usually requires observational studies,
31 whereby the imaging biomarkers is correlated to disease presence, extent of disease
32 and prognostic value compared to existing methodologies, including clinical
33 assessment using standard diagnostic techniques as well as longer-term outcome. This
34 process will generate sensitivity and specificity of the diagnostic test.

35 Second, as with any diagnostic test, the biomarker requires to be accurate and
36 reproducible in both short term and long term measurements. This process should
37 start as soon as there is a suggestion that there is a correlation (step 1), and requires
38 the application of repeated measurements during a short period of time in patients
39 with and without the disease process (immediate and longer reproducibility), multiple
40 assessments by several observers (intra- and interobserver variability) and the
41 correlation with the actual disease process severity.

42 Lastly, any changes measured over time need to be directly related to the changes of
43 the target condition. This will require longer-term repeated testing in correlation with
44 standard measurements of disease status (e.g. clinical status) with follow-up over
45 several years.

46 As shown, the qualification is quite a long and arduous process, hence the working
47 together of many scientists and organisations is required to pool resources
48 appropriately as mentioned above. There are multiple steps required to demonstrate
49 the necessary robustness of the imaging test, followed by testing in clinical studies to
50 evaluate the true value in comparison with routine outcome measures. It is not
51 surprising, therefore, that this process takes many years and only now are we
52 starting to see results, having started this work over a decade ago.

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54 The validation of a qualified imaging biomarker is the final step in this process.
55 Initially, the evidence built up during the course of the qualification process will allow
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6 a consensus to be formed among the scientific community that the test is likely to be
7 fit for purpose as a biomarker. Subsequently, the efficacy of the biomarker to
8 demonstrate treatment effects that are not seen in non-treated controls, while a
9 comparison with routine standards, such as clinical outcome is sought.
10 Regulatory authorities, including the FDA and MHRA, are able to collate the
11 evidence of a proposed (imaging) biomarker and determine whether the test is
12 acceptable as a surrogate endpoint for a clinical trial. These bodies have clearly
13 indicated their ascent to the incorporation of surrogate end points for clinical trials,
14 provided these end points have been adequately developed and validated. [13] Thus, if
15 there is a positive outcome on a surrogate endpoint, this would be regarded as a
16 positive result towards market approval for the new treatment.
17

18 **Examples of clinical approaches to the use of imaging biomarkers**

19
20 There are many clinical areas where imaging biomarkers are effectively in use,
21 particularly in oncologic staging and treatment response assessment. These methods
22 are reasonably well established, and offer direct management direction for clinicians
23 based on imaging findings (staging) or based on pre and post therapy changes in terms
24 of tumour size or change in metabolic activity using PET imaging. In other areas,
25 imaging biomarkers are being used as inclusion/exclusion criteria for clinical trials
26 where new therapies are being tested that have a known metabolic point of interaction
27 (e.g. amyloid plaque PET imaging in qualification of patients for new Alzheimer's
28 disease drugs or bone mineral density assessment for exclusion of patients with
29 osteoporosis in drugs that can negatively influence bone mass). It is not possible to
30 give an overview of every imaging-based biomarker being tested or available at
31 present. Therefore, two areas of interest will be highlighted, where imaging-based
32 biomarkers are at the cusp of routine clinical application (emphysema) or are in an
33 advanced state of development (aortic valve stenosis).
34

35 The use of imaging biomarkers for lung diseases has been piloted for some time. The
36 problems encountered largely evolved around standardisation of CT scanner systems,
37 but with appropriate quality control and setting the protocols, it is feasible to
38 determine some significant phenotypes, which can be used longitudinally to study the
39 potential effects of new treatments. Thus, CT-based quantification of emphysema
40 both correlates with pulmonary function and mechanics, but additionally offers
41 further insights into the phenotypical distribution and extent of disease. [14]
42 One of the first attempts demonstrating the feasibility of an imaging-based biomarker
43 was made for a large trial evaluating the utility of lung volume reduction surgery in
44 patients with severe emphysema, where CT based density distribution was capable of
45 predicting outcomes directly. [15] Subsequent imaging analysis further highlighted
46 the extent of the correlation between CT quantified findings and the outcomes in the
47 surgical and control arms of this large study. [16] This work is now continuing with
48 the advent of interventional bronchoscopic methods for lung volume reduction
49 surgery, where CT is both used as a tool to determine patient eligibility for the
50 procedure and for the planning and assessment of treatment response. [17]
51 A longitudinal observational cohort study used CT as a way to determine the extent of
52 emphysema, as well as the change over time. [18] Lung density at baseline was
53 influenced by a number of variables, including age, sex, body-mass index, current
54 smoking status and smoking history, and severity of airflow limitation. Importantly,
55 the study could measure annual lung function decline as quantified by CT lung
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6 density at rates of -1.13 g/L, but with variability due to sex and smoking status. [18]
7 Thus, the CT measurements gave a clearer indication of changes over a one-year
8 period compared to pulmonary function tests.

9 The COPDGene study enrolled a large cohort of patients (10,000) in multiple centres
10 in the USA, who were screened for obstructive outflow disease including genetic
11 assessment and the application of CT. [19] The CT protocol was centrally set-up with
12 quality assurance rigorously applied. An analysis of 9,313 subject CT scans
13 demonstrated a clear quantifiable pattern of low-attenuation findings on CT. [20]
14 Additionally, measures of mild emphysema in smokers with preserved lung function
15 could be demonstrated as quantifiable low-attenuation changes on CT, allowing for
16 earlier diagnosis. [20]

17 Subsequent analysis of 10,192 smokers in the COPDGene study demonstrated the
18 ability of CT to identify patients with varying degrees of emphysema, as well as the
19 presence or absence of airways disease. [21] This enabled identification of four
20 subgroups of smokers that were closely associated with clinical characteristics of
21 COPD and known COPD-associated genetic variants. [21]

22 A third large cohort study, SPIROMICS, deserves mention, as it is closely monitoring
23 various serum and plasma biomarkers in combination with CT in 3,200 participants.
24 [22] A sub-study evaluated airway measurements in a total of 1,559 subjects, and
25 demonstrated that airway walls are thinner and lumen size is smaller in patients with
26 COPD compared to controls, and this closely correlated with pulmonary function
27 tests. [22] Thus, the study confirmed the findings in the COPDGene study, which
28 gave similar findings. [23]

29 Overall, where lung imaging is concerned, it is clear that CT-based measures are
30 essentially ready to be used as biomarkers. Several measurements are available,
31 depending on the specific study questions, and it is vital to ensure protocols are
32 appropriately chosen and quality assurance is maintained. The software tools are now
33 available for incorporation into routine workstations. This last piece of the puzzle will
34 allow more seamless performance of pulmonary CT investigations, which will include
35 reporting of quantitative measures of emphysema and airways as part of the standard
36 clinical report. Only with this type of workflow can imaging-based biomarkers make
37 a difference in clinical practice.

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39 Another area where imaging biomarkers are actively being developed is in cardiac
40 applications. Traditionally, invasive cardiac catheterization in combination with
41 echocardiography and nuclear medicine studies have evaluated the presence of
42 coronary artery stenosis, perfusion changes and heart valves. More recently, work has
43 been ongoing to delve deeper in the mechanism of aortic valve stenosis coronary
44 atherosclerosis and coronary atherosclerosis aortic valve stenosis using ^{18}F -
45 sodiumfluoride PET imaging.

46 Initial work focused on demonstrating the direct link between the ^{18}F NaF uptake in
47 the areas of aortic valve and the histological correlation with the calcific process. [24]
48 Subsequently, during a follow-up study of these subjects, it was demonstrated that the
49 extent of radiotracer uptake directly correlated with the increase in calcific aortic
50 valve disease and functional decline. [25, 26] This work suggests that the detection of
51 this process can be achieved much earlier using ^{18}F -NaF PET imaging compared to
52 either CT or echocardiographic measurements, and the application of this imaging
53 biomarker will likely affect management as the uptake is directly correlated with
54 progression of disease.
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6 In parallel with this work, it was noticed that some patients with aortic valve
7 pathology had uptake in coronary arteries. A subsequent study demonstrated that 18F-
8 NaF PET imaging is correlated with acute myocardial events, and it was postulated
9 that uptake is a reflection of likely detects vulnerable plaque, which would be a more
10 accurate ly and important parameter to predict acute coronary artery events- than
11 simple angiographic methods (which are focussed on level of stenosis). [27] Another
12 study in 40 patients with myocardial infarction and 40 patients with stable angina
13 evaluated the role of 18F-fluorodeoxyglucose uptake in the aorta. [28] This study
14 demonstrated a direct correlation between the extent of radiotracer uptake in the aorta
15 and early recurrent myocardial infarction, likely due to systemic atherosclerotic
16 inflammatory exacerbation and plaque destabilisation. [29] These studies similarly
17 could alter future disease management, potentially leading to better identification of
18 active disease and the area of plaque most at risk for developing acute myocardial
19 events. In particular, more targeted approaches to plaque stabilisation as well as the
20 ability to monitor changes over time, will allow development of dedicated new
21 therapies. Further studies in this field are ongoing, focused on short and long-term
22 reproducibility and long-term follow-up of patients to assess disease progression or
23 modification and clinical outcomes in relation to the imaging test.
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26 **Future perspective**

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28 It is highly likely that imaging biomarkers will continue to develop into clinical tools,
29 enabling precision and personalised medicine to thrive. Some novel imaging
30 biomarkers are now making their inroads into routine clinical practice, others are
31 under development, while yet others have hurdles to take (although these are not
32 unsurmountable). It will be vital to continue the rigorous process outlined, as this will
33 be the only way that regulatory authorities will be able to safely accept these imaging
34 biomarkers to be used in routine clinical practice.
35 Ultimately, the aim of imaging biomarkers will be a challenge to the training of
36 radiologists, who will need to address workflow and reporting changes that are
37 required for imaging biomarkers to gain full impact for clinical management of
38 patients. It will no longer be sufficient to just describe the findings. They will require
39 more precise description in quantitative terms, actual changes over time and
40 combination of quantitative imaging findings in relation to prognosis. Imaging
41 biomarkers will be used in a variety of ways, some for patient selection, others for
42 patient exclusion. This will need to be anticipated by the radiologists as clinicians
43 increasingly rely on imaging to provide answers to clinical management questions.
44 have studies that demonstrate the robustness of quantitative imaging techniques and
45 measurements, but as this field progresses, with the incorporation of imaging based
46 treatment response assessment into clinical trials, the natural progression is one where
47 radiologists will be offering both a morphological/visual assessment together with
48 image analytical tools to provide greater insight into the extent of disease, the
49 potential selection of patients for (often expensive) specific treatments and the
50 ultimate therapeutic effectiveness. Only with this approach, will the additional value
51 of diagnostic imaging become apparent. Training the radiologists of the future to deal
52 with the increased demand and changing reporting requirements is a challenge that
53 will need to be addressed in this context.
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Conclusions

Imaging biomarkers are already reality, but will continue to be developed to allow for more precise assessment of disease, indication for different therapeutic options and measure therapy response. This is what will drive individualised patient care pathways, where imaging biomarkers will be a core tool, which will have a major impact on health economics as a result. The end result will be more appropriate treatment of patients at reduced overall costs in terms of both (avoidable) complications and overall healthcare costs.

The challenges of developing imaging biomarkers and to train the radiologists of the future go hand-in-hand, but the rewards are improved patient care while maintaining affordability. That is a result worth investing in.

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Executive summary

- Imaging biomarkers are of interest in order to serve as surrogate endpoints in clinical trials, as they can lead to a reduction of sample size due to earlier measurement of treatment effects, thus reducing the overall time from start of the clinical trial to end point.
- Imaging biomarkers need to be developed using quite strict qualification and validation methodologies, as only this approach will allow useful and trustworthy imaging biomarkers to be acceptable for clinical practice.
- Imaging biomarkers are increasingly making their way into clinical routine applications on the back of their efficacy in clinical trials, allowing for assessment of disease extent, phenotyping of diseases with multiple genetic underlying pathophysiology and response to therapy.
- Imaging biomarkers have a broad potential, and will likely deliver on the approaches to more individualised treatments due to greater targeted assessments of suitability.
- It is important to train radiologists into the utility of imaging biomarkers and to develop integrated workflow systems to allow for reporting of quantifiable data as part of the routine clinical report.

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Prof van Beek is founder/owner of Quantitative Clinical Trials Imaging Services, Ltd.

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