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Assessing the presence of marine toxins in bivalve molluscs from southwest India

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Abstract

The south west coast of India has been showing a steady increase in shellfish cultivation both for local consumption and fishery export, over recent years. *Perna viridis* and *Crassostrea madrasensis* are two species of bivalve molluscs which grow in some selected regions of southern Karnataka, close to the city of Mangalore. In the early 1980s, shellfish consumers in the region were affected by intoxication from Paralytic Shellfish Poison present in local bivalves (clams and oysters) resulting in hospitalisation of many, including one fatality. Since then, there have been no further reports of serious shellfish intoxication and there is little awareness of the risks from natural toxins and no routine monitoring programme in place to protect shellfish consumers. This study presents the findings from the first ever systematic assessment of the presence of marine toxins in mussels and oysters grown in four different shellfish harvesting areas in the region. Shellfish were collected and subjected to analysis for ASP, PSP and lipophilic toxins, as well as a suite of non-EU regulated toxins such as tetrodotoxin and selected cyclic imines. Results revealed the presence of low levels of PSP toxins in oysters throughout the study period. Overall, total toxicities reached a maximum of 10% of the EU regulatory limit of 800 µg STX eq/kg. Toxin profiles were similar to those reported from the 1980 outbreak. No evidence was found for significant levels of ASP and lipophilic toxins, although some cyclic imines were detected, including gymnodimine. The results indicated that the risk to shellfish consumers during this specific study period would have been low. However, with historical evidence for extremely high levels of PSP toxins in molluscs, there is a strong need for routine surveillance of shellfish production areas for marine toxins, in order to mitigate against human health impacts resulting from unexpected harmful algal blooms, with potentially devastating socio-economic consequences.

Keywords

Shellfish, India, Lipophilic toxins, Paralytic Shellfish Poisoning, Amnesic Shellfish Poisoning, LC-FLD, LC-UV, LC-MS/MS

51

52 **1. Introduction**

53 Marine biotoxins comprise various groups of naturally-occurring compounds present
54 in Harmful Algal Blooms (HAB), a natural phenomenon caused by the overgrowth of marine
55 phytoplankton (Visciano *et al.*, 2016). Through filter feeding behaviour, bivalve molluscs can
56 accumulate toxins from harmful algae (Landsberg, 2002; Hallegraef, 2003; Llewellyn *et al.*,
57 2006; Deeds *et al.*, 2008). Some groups of toxins are known to cause human sickness after
58 being consumed (Mead *et al.*, 1999; Erdner *et al.*, 2008). ASP is caused by domoic acid
59 (DA), a cyclic tricarboxylic amino acid, and potentially other toxic DA isomers. Following
60 human consumption of DA-contaminated shellfish, symptoms can be gastrointestinal and/or
61 neurological, leading potentially to fatalities (Jeffrey *et al.*, 2004). In comparison, Paralytic
62 Shellfish Toxins (PST) comprise a family of more than 50, mostly hydrophilic, structural
63 analogues of the tetrahydropurine saxitoxin (Wiese *et al.*, 2010). Following ingestion by
64 humans, these highly potent neurotoxins can induce symptoms such as nausea, numbness,
65 breathing difficulties, paralysis, and at high enough concentrations, death (EFSA, 2009a).
66 Tetrodotoxin (TTX) produces a near-identical toxic response in mammals as saxitoxin and its
67 presence has recently been proven in Asian (Kodama *et al.*, 1993; McNabb *et al.*, 2014) and
68 European bivalve molluscs (Turner *et al.*, 2015a, Vlamiš *et al.*, 2015). Lipophilic toxins
69 (LTs) include compounds such as the DSP toxins: okadaic acid (OA), dinophysin toxin-1 and
70 -2 (DTX1 and DTX2), including their ester derivatives (often termed DTX3), the azaspiracids
71 (AZAs), yessotoxins (YTXs), pectenotoxins (PTXs) and a number of cyclic imines including
72 the spirolides (SPXs) and gymnodimine (GYM) (McNabb *et al.*, 2005). The acute effects of
73 DSP and AZP are less severe than the effects from PSP and ASP, with no known fatalities
74 resulting from intoxication following ingestion of any of the regulated lipophilic toxins
75 (Blanco *et al.*, 2005). A range of toxicological effects have however been reported, including
76 tumour promotion and carcinogenicity, so serious long-term health effects cannot be
77 discounted following exposure to DSP toxins (Valdiglesias *et al.*, 2013). Cyclic imines are
78 known to be fast acting toxins following direct injection into mice, but there is no evidence
79 for acute oral toxicity to date in humans (EFSA, 2010; Hess *et al.*, 2013).

80 *Pseudo-nitzschia* spp. are the causative organisms for production of DA leading
81 potentially to ASP (Bates *et al.*, 1989; Lundholm *et al.*, 1994). Paralytic shellfish toxins
82 (PST) are produced by several species of phytoplankton including *Alexandrium* spp.,
83 *Gymnodinium catenatum* and *Pyrodinium bahamense* (van Dolah, 2000). Phytoplankton
84 responsible for DSP include *Prorocentrum lima*, and a range of *Dinophysis* species
85 (Yasumoto *et al.*, 1980; Morton *et al.*, 2009; Reguera *et al.*, 2014). Yessotoxins are known to
86 be produced by *Protoceratium reticulatum* and *Lingulodinium polyedrum* (Visciano *et al.*,
87 2016). Azaspiracids, the most recently discovered of the regulated marine toxin classes, are
88 now known to be produced by the dinoflagellate *Azadinium spinosum* (Krock *et al.*, 2009a;
89 Tillmann *et al.*, 2009) together with a number of other species of *Azadinium* (Tillmann *et al.*,
90 2010, 2011). Algal imines such as gymnodimine, pinnatoxins and spirolides have been
91 isolated from dinoflagellates *Gymnodinium* sp., *Vulcanodinium rugosum* and *A.*
92 *ostenfeldi/peruvianum* respectively (Hu *et al.*, 2001, Moestrup *et al.*, 2009; Seki *et al.*, 1995).
93 As opposed to all the dinoflagellate sources for these toxins, TTX and a number of related
94 analogues (TTXs) are shown to be produced by a range of marine bacterial species. Genera
95 proposed include *Vibrio*, *Bacillus*, *Aeromonas*, *Alteromonas*, and *Pseudomonas* (Yasumoto *et*
96 *al.*, 1988; Wu *et al.*, 2005; Nogouchi *et al.*, 2006, 2008; Wang *et al.*, 2008; Chau *et al.*, 2011,
97 Turner *et al.*, 2015a), although links to occurrence of *Prorocentrum cordatum/minimum* have
98 been recently hypothesised (Vlamiš *et al.*, 2015).

99 Along the coast of India there have been reports of the occurrence of several
100 phytoplankton species. These include PSP toxin producing species such as *Alexandrium* spp.,

101 including *A. tamarense*, *A. minutum* and *A. catenella*, and *Gymnodinium catenatum*. Among
102 identified DSP toxin producers were *Dinophysis* species, such as *D. caudata*, *D. acuta* and *D.*
103 *acuminata*. DA producers were represented here by *Pseudonitzschia* spp. A PSP outbreak has
104 been reported previously from the Mangalore region of SW India, which resulted in human
105 intoxication including one fatality (Karunasagar *et al.*, 1984; Karunasagar *et al.*, 1990; Segar
106 *et al.*, 1989). Two other PSP outbreaks have also been reported following consumption of
107 toxic bivalves, with one in 1981 from Kalpakkam, near Chennai, on the east Indian coast
108 involving a low number of people (unpublished data) and a second in September 1998 from
109 Vizhijam, near Trivandrum, when over 500 people were hospitalised and at least five deaths
110 were reported (Karunasagar *et al.*, 1998). To date there have been no reported occurrences of
111 ASP or DSP intoxication in humans anywhere in India. With an absence of any routine
112 regulatory monitoring programme for shellfish toxins in India, there is a scarcity of data
113 describing the prevalence of marine toxin occurrence in shellfish.

114 The objectives of this study were therefore to assess the presence of domoic acid,
115 paralytic shellfish toxins, tetrodotoxin and lipophilic toxins in mussels and oysters harvested
116 in the marine waters of Mangalore, SW India. The assessment included the analysis of
117 shellfish species harvested over a period of 13 months from four different shellfish harvesting
118 beds in the Mangalore region. The detection of any hydrophilic or lipophilic biotoxins would
119 provide links to toxic phytoplankton previously reported in Indian waters together with
120 evidence for the potential risk to shellfish consumers from a wide range of natural shellfish
121 toxins.

122

123 **2. Materials and methods**

124 **2.1 Samples**

125 The southern Karnataka coastline consists of long stretches of wide sandy beaches
126 with a few rocky outcrops bisected by several major rivers originating from the western
127 Ghats. Where these discharge into the Arabian Sea they form a network of estuaries,
128 wetlands, mudflats and mangroves, often sheltered from the ocean itself behind sandspits
129 (Sowmya and Jayappa, 2016). At several places along the coast, rich natural beds of *P. viridis*
130 occur in the intertidal and subtidal rocky areas (Sasikumar and Krishnamoorthy, 2010;
131 Sasikumar and Krishnakumar, 2011; Sasikumar *et al.*, 2011). Oysters are less abundant,
132 being present in only some of the major estuarine areas (Rao and Rao, 1985). 110 samples of
133 shellfish tissue were analysed during this study, consisting of both green mussels (*Perna*
134 *viridis*) and Indian backwater oysters (*Crassostrea madrasensis*). The four marine monitoring
135 sites incorporated in the study were Gangoli, Mulki, Sasthana and Someshwar (**Figure 1**). At
136 Gangoli, mussels were collected from the Panchagangavali estuary and at Someshwar from
137 the open coast. Oysters were collected from the Padukere (Sasthana) and Nandini (Mulki)
138 estuarine areas. Shellfish were collected using the same methods twice a month over the 13-
139 month study period (**Table S1**). Typically, 25-50 individuals were collected for each sample.
140 The samples were transported to the laboratory of the Department of Fishery Microbiology,
141 College of Fisheries, Mangalore and were frozen, until required for sample processing.

142

143 **2.2 Reagents and chemicals**

144 Certified reference toxins for PST, DA and LTs were obtained from the Institute of
145 Biotoxin Metrology at the National Research Council of Canada (NRCC, Halifax, Nova
146 Scotia, Canada). TTX CRM was obtained from Cifga (Lugo, Spain). Microcystins and
147 nodularin were obtained from Enzo Life Sciences, Exeter, UK. All reagents for preparation of
148 LC-MS/MS mobile phases were LC-MS grade, and those used for LC-UV were HPLC grade
149 or better. Trifluoroacetic acid ($\geq 99\%$ purity), glacial acetic acid ($\geq 99\%$ purity), formic acid

150 ($\geq 99\%$ purity) and 25% ammonia (NH_4) were all LC-MS grade and purchased from Sigma-
151 Aldrich (Poole, Dorset, UK).

152

153 **2.3 Shellfish extraction**

154 For each sample, a suitable number of individuals were shucked to generate a
155 minimum of 100 g shellfish tissue. Shellfish meat was homogenized and sub-samples taken
156 for each of the extraction methods. For each batch of samples extracted, a procedural blank
157 consisting of deionised water was prepared. Extracts were stored (-20°C) until shipped in one
158 batch to the Cefas laboratory for toxin analysis. Extracts were received after three days of
159 transportation in good condition with temperatures maintained $< 0^\circ\text{C}$.

160 PSP and TTX extraction was conducted using the method of Turner *et al.* (2015c).
161 $5 \pm 0.01\text{g}$ of each sample was extracted in 5 mL of 1% acetic acid in polypropylene centrifuge
162 tubes. The tissues and solvents were vortexed for 90 s before adding capped tubes to a
163 boiling water bath for 5 mins ± 10 s. Samples were subsequently cooled by placing in cold
164 running water for a minimum of 5 mins. After cooling, tubes were vortexed (90 s) and
165 centrifuged for 10 minutes at 4500 rpm, prior to decanting the supernatant into a 15 mL tube.

166 LT extraction was conducted using a scaled-down version of EURL (2015). $1 \pm 0.01\text{g}$
167 of each homogenised shellfish tissue sample was added to a 15 mL centrifuge tube. 4.5 mL
168 of 100% methanol was transferred to the homogenate and the tubes capped before vortex
169 mixing for 3 min. Extracts were centrifuged at 4500 rpm for 8 min at 20°C . The supernatant
170 was decanted into a new 15 mL tube for each sample extract and PB, before adding a second
171 4.5 mL aliquot of 100% methanol to the tube containing the pellet. The shellfish solvent mix
172 was again vortex-mixed, centrifuged and the supernatants from both extraction steps
173 combined before diluting to a total volume of 10 mL.

174 ASP extraction was conducted using a method based on that of Quilliam *et al.*, 1995.
175 $2 \pm 0.01\text{g}$ of each homogenised shellfish tissue sample was weighed into a 15 mL
176 polypropylene centrifuge tube. 4 mL of 50/50 (v/v) methanol/water was pipetted into sample
177 tubes and vortexed for 2 min. Extracts were then centrifuged (3500 rpm) for 20 min at 20°C .
178 The supernatant for each shellfish sample and PB was transferred into separate 15 mL
179 polypropylene tubes. A further 4 mL aliquot of 50/50 (v/v) methanol/water was added to the
180 shellfish pellet tube, vortexed and centrifuged, before decanting into the tube containing the
181 first supernatant. The supernatant was diluted to a total volume of 10 mL with 50/50 (v/v)
182 methanol/water and gently shaken until thoroughly mixed.

183

184 **2.4 Clean-up and analysis**

185 SPE clean-up of acetic acid extracts prior to analysis for PST and TTX was performed
186 following the method of Boundy *et al.*, (2015). SPE eluents were vortex-mixed and diluted
187 3:1 with acetonitrile in polypropylene LCMS-grade autosampler vials, before placing into the
188 autosampler (set at $+10^\circ\text{C}$) for analysis using an Acquity I-Class UPLC system coupled to a
189 Waters Xevo TQ-S tandem mass spectrometer (Waters, Manchester, UK). UPLC was
190 conducted using a $1.7\ \mu\text{m}$, $2.1 \times 150\ \text{mm}$ Waters Acquity BEH Amide UPLC column in
191 conjunction with a Waters VanGuard BEH Amide guard cartridge, held at $+60^\circ\text{C}$.

192 Chromatographic and MS/MS parameters used were exactly those detailed by the validated
193 method of Turner *et al.*, 2015c (**Table 1**). Samples were run together with six-point external
194 calibration solutions prepared from CRM stocks. Toxicity equivalence factors (TEFs) and
195 relative response factors (RRFs) for PST were those described by Turner *et al.*, 2015c (**Table**
196 **2**). For TTX analysis the modified method of Turner *et al.*, (2017a) was followed, with
197 detection conducted using six-level calibration standards prepared from TTX stock solution.
198 Method performance characteristics are those reported by Turner *et al.*, 2015c and Turner *et*
199 *al.*, 2017a.

200 Methanolic extracts for each sample was thawed and filtered through a 0.2 μm nylon
201 syringe filter and an aliquot taken for LC-MS/MS analysis of LT. A second 1.0 mL aliquot of
202 the raw extract was transferred into a 2 mL screw capped vial for alkaline hydrolysis, by
203 adding 125 μL of 2.5 M NaOH. After vortex mixing, the vial was heated to 76 ± 2 $^{\circ}\text{C}$ for 40
204 min, cooled to room temperature before the addition of 125 μL of 2.5 M HCl. The hydrolysed
205 extract was then ready for LC-MS/MS analysis, using an Acquity Ultra Performance Liquid
206 Chromatography (UPLC) system coupled to a Waters Xevo TQ tandem mass spectrometer.
207 UPLC was performed using a Waters BEH C18 column (50 x 2.1 mm, 1.7 μm) with a
208 VanGuard BEH C18 (5 x 2.1 mm, 1.7 μm) guard cartridge. The analytical method used was
209 as described by Turner and Goya, 2015 (**Table 1**). Toxin concentrations were quantified
210 against six-point external calibrations prepared from NRCC standards. Concentrations of free
211 toxins were determined in non-hydrolysed extracts, with hydrolysed extracts used for
212 assessment of total OA-group toxins (free plus esterified toxins). LTs were confirmed as
213 being detected when both the quantitative and qualifier MRM transitions were present at the
214 expected toxin retention time, with a concentration above the method limit of quantitation,
215 taken in this study as 4 $\mu\text{g}/\text{kg}$ per toxin.

216 The 50/50 (v/v) methanol/water extracts were filtered through 0.2 μm syringe nylon
217 membrane filters into glass autosampler vials. Chromatographic separation for ASP analysis
218 was conducted using a Phenomenex (Manchester, UK) Kinetex PFP 5.0 μm 4.6 x 150 mm
219 HPLC column. LC-UV analysis was performed using Agilent 1100/1200 modules (Agilent,
220 Manchester, UK): quaternary pump, vacuum degasser, autosampler, column oven and UV-
221 diode array detector (242 nm). Samples were run alongside external calibration standards for
222 detection and quantitation purposes, with a method LOQ equivalent to 0.2 mg domoic acid
223 per kg shellfish tissue.

224 **3. Results**

225 **3.1 PSP and TTX toxins**

226 **3.1.1 Total PST and TTX**

227
228 PST were detected in all four shellfish harvesting areas during the study, in both
229 mussel and oyster samples. The highest concentrations were quantified in oysters from Mulki
230 and Sasthana, with values reaching > 75 μg STX eq/kg in both sites, with a maximum
231 concentration of 82 μg STX eq/kg in oysters from Sasthana, collected in December 2015.
232 Significantly lower total PST concentrations were obtained in the mussels collected from
233 both Gangoli and Someshwar, with the highest concentration ~ 8 μg STX eq/kg in the
234 mussels collected from Gangoli during December 2014. **Figure 2** illustrates the temporal
235 variability in total PST quantified in both species across the four sites. At both oyster sites,
236 very low (< 5 μg STX eq/kg) levels of PST were presented between December 2014 and
237 March 2015. Subsequently from the end of March 2015 onwards, at both sites, a sudden
238 increase in PSP toxicity was found, with toxins remaining in the flesh consistently until the
239 end of the study period in January 2016. Much lower levels were quantified in the mussels
240 from the two other sites, with the highest concentrations determined in shellfish harvested
241 during early 2015. No TTX was detected in any of the samples from any of the four shellfish
242 harvesting areas.

243 **3.1.2 PST profiles**

244
245 Oyster samples from Mulki and Sasthana were found to contain a range of PST
246 analogues, including C1&2, GTX2&3, GTX1&4, dcSTX, STX and GTX5. No C3&4,
247 dcGTX2&3, dcGTX1&4, NEO, dcNEO or doSTX was detected in any of the shellfish
248 samples. In terms of toxicity equivalents, the profiles were dominated by GTX1 (mean
249 proportion $\sim 60\%$), followed by GTX4, GTX2, GTX3 and dcSTX around the same proportion

250 (mean ~ 10-15%). The N-sulfocarbamoyl analogues, C1&2 and GTX5 were present at lower
251 relative levels, with mean proportions around 4-6%. **Figure 3** illustrates the mean toxin
252 profiles from November 2014 to January 2016 in oysters from each of the two harvesting
253 areas. The results indicate the near identical profiles at both sites. Due to the overall low
254 toxicity in the mussel samples, the toxin profiles proportions were not determined. However,
255 toxins detected included dcSTX, STX, GTX2, C1 and C2. Notably GTX1, the dominant PST
256 congener in the oyster samples, was not detected.

257

258 **3.2 Lipophilic toxins**

259 Analysis of methanolic extracts of mussels and oysters showed a near complete
260 absence of regulated lipophilic marine toxins from the four study areas. No MRM peaks were
261 identified for any of the OA-group toxins, AZAs and YTXs. Esterified OA-group toxins were
262 absent in the hydrolysed extracts. The only LT identified was PTX2, present at very low
263 concentrations (0.4 µg/kg) in one oyster sample from Mulki harvested in Jan 2015. No other
264 shellfish samples from this study contained PTX2 or any other pectenotoxins.

265 The 3 cyclic imines (CIs) analysed in these samples were SPX1 (13-desMeC
266 spirolide), SPXG (20-Me SPXG spirolide) and GYM (gymnodimine). Of these three, SPX1
267 and GYM were identified, with 42 samples (~38%) containing detectable levels of SPX1 and
268 all 110 containing GYM. Concentrations of SPX1 were low ranging from 1.7-2.0 µg/kg.

269 **Figure 4** summarises the GYM concentrations in both shellfish species throughout the year-
270 long study, with the higher levels found in oysters in comparison to mussels. Concentrations
271 in oysters ranged between 9.0 and 40.2 µg/kg, with elevated values between Nov 2014 to Jan
272 2015 (mean 24.4 µg/kg). Mussels contained GYM at lower and more consistent
273 concentrations throughout the study (4.7-9.5 µg/kg; mean = 6.8 µg/kg).

274

275 **3.3 ASP**

276 Out of the 100 bivalve mollusc samples analysed in this study, only two showed trace
277 levels of DA. One mussel sample from Gangoli, collected in Nov 2014 showed DA at 0.16
278 mg/kg, and an oyster sample harvested from Mulki in Feb 2015 presented a similar level of
279 0.18 mg/kg. Both results were below method LOQ and close to the LOD (0.2 mg/kg). No
280 other samples showed chromatographic peaks indicative of DA.

281

282 **4. Discussion**

283 In relation to the PST regulatory action limit of 800 µg STX eq/kg, the maximum
284 concentrations of PST determined in this study were low. The highest concentrations of
285 toxins quantified reach approximately 10% of action limit, thereby representing a low overall
286 risk to shellfish consumers based on the data generated in this study. The recent work of
287 Turner *et al.*, (2016), showed evidence for low PST uptake (maximum 31 µg STX eq/kg) in
288 mussels in mesocosms containing *Alexandrium minutum* at 100,000 cells/L held at conditions
289 (temperature 28°C and 32°C; salinity 35 PSU and 31 PSU) similar to the environmental
290 conditions recorded in Mangalore during this study (**Table S1**). The highest concentrations
291 were determined in oysters from Mulki and Sasthan, in comparison to the mussels from
292 Gangoli and Someshwar. Without any of the sites containing both shellfish species, however,
293 it is not clear whether the significant differences recorded are due to the differences in toxin
294 uptake rates between the species, or relate more to the conditions at individual sites. Previous
295 reports of PSP in shellfish from this region showed PSP toxicity rising to 1200 µg STX eq/
296 kg in oysters (*Crassostrea cucullata*) and 3400 µg STX eq/ kg in clams (*Meretrix casta*)
297 (Karunasagar *et al.*, 1984). Several PSP intoxications in humans were reported including one
298 fatality. Cooked clams obtained from the homes of affected people and clams collected from
299 the natural bed were analysed by MBA and found to contain PSP at a level of 3370 µg STX

300 eq/kg (Karunasagar *et al.*, 1984). Since then, there have been no further reports of PSP
301 intoxication in local consumers. Other than the reports of low levels of PSP toxicity in
302 molluscs during 1985 and 1986 (Segar *et al.*, 1989), there have been no further reports of
303 PST accumulation in bivalve molluscs from this region, although the absence of a routine
304 monitoring programme may explain this non-detection.

305 The results from this study show the almost uniform presence of PST in oysters
306 between April and December 2015. Blooms of dinoflagellates along the west coast of India
307 are thought by some authors to proliferate between September and October, although this
308 may relate in part to the lower number of phytoplankton analyses conducted during monsoon
309 season (D'Silva *et al.*, 2012). Other authors, however, have evidenced a dominance of
310 diatoms in the water column until December, with dinoflagellates increasing their overall
311 contribution during February to March (Asplund *et al.*, 2011). Mean toxin profiles in oysters
312 from both shellfish harvesting areas were nearly identical, with a clear dominance of GTX1,
313 together with the presence of other gonyautoxins (GTX2-5), dcSTX, STX and C1&2. Toxin
314 profiles determined from the 1983 outbreak samples showed a similar dominance of
315 gonyautoxins (GTX1-4) and C1&2, as well as lower concentrations of STX and dcSTX. In
316 addition, the results showed the presence of NEO and dcGTX2&3, as well as C3&4, toxins
317 not detected in this study (Karunasagar *et al.*, 1990). These differences may relate to the
318 higher overall toxicity levels found in the 1983 samples in comparison to those from the
319 current study. In addition, the analysis of the outbreak samples was performed using a post-
320 column oxidation LC-FLD method, so may have been subject to interferences for some of the
321 toxins present at low concentrations. Finally, there may have been species-related differences
322 in the toxin profiles as a consequence of bacterial or enzymatic toxin transformation within
323 tissues (Bricelj and Shumway, 1998; Cembella *et al.*, 1994; Jaime *et al.*, 2007; Oshima, 1995;
324 Sakamoto *et al.*, 2000; Sato *et al.*, 2000; Wiese *et al.*, 2010; Turner *et al.*, 2012).

325 At the time of the toxin profile identification in outbreak samples, authors used the
326 qualitative toxin profile, in tandem with the findings of cysts morphologically similar to *A.*
327 *cohorticula*, to postulate that *Alexandrium* species was the probable causative organism for
328 PSP occurrence (Karunasagar *et al.*, 1990). Since then, the long-term monitoring of
329 phytoplankton communities in this region has revealed complex interactions between
330 hydrographic parameters such as sea surface temperatures, rainfall, wind speed and water
331 column mixing and phytoplankton occurrence. Nevertheless, whilst phytoplankton
332 communities have been highly dynamic in the past decades, the presence of the potentially
333 PSP-producing genera, *Gymnodinium* has been found on a regular basis (Godhe *et al.*, 2015).
334 *G. catenatum* itself was reported to occur both in planktonic and cyst forms in 1996 from
335 waters in the Mangalore region (Godhe *et al.*, 1996). *A. minutum* has also been found by
336 microscopic and polymerase chain reaction (PCR) detection methods in field samples from
337 Mangalore during 1999 (Godhe *et al.*, 2001). Other toxin producing species identified along
338 the west coast include *A. minutum*, *A. tamarense* and *A. catenella* (Shahi *et al.*, 2015).
339 Certainly the absence of PST analogues related to *G. catenatum* such as C3&4, GTX6 and
340 dcNEO (Vale, 2010; Costa *et al.*, 2015) in this study, indicates that the causative organisms
341 in Mangalore during 2015 are possibly *Alexandrium* spp.

342 No evidence was found for the presence of TTX in any samples, even during
343 December when *V. parahaemolyticus* abundance has been shown to be highest in this region
344 (Rehnstam-Holm *et al.*, 2014), although significant variability in *V. parahaemolyticus*
345 abundance has been previously recorded even during times of stable water column
346 temperature and salinity (Rehnstam-Holm and Godhe, 2012). It is noted however that oysters
347 from this study were collected in the shallow sublittoral zone and mussels were collected by
348 hand divers from deeper water sites. Consequently, none of the shellfish from this study were
349 present in the intertidal zones, where exposure to the high temperatures during low tides may

350 potentially result in the increase of bacterial levels, and therefore promote TTX production
351 (Turner et al., 2017b).

352 Domoic acid was detected at trace levels only (< 0.2 mg/mg), showing little evidence
353 for accumulation of toxins from DA-producing phytoplankton in this region. The presence of
354 organisms such as *Pseudonitzschia* sp. (Härnström et al., 2007; Shahi et al., 2015) and
355 *Nitzschia* sp. (Härnström et al., 2009; D'Silva et al., 2012; Shahi et al., 2015) has been
356 previously reported around the west coast of India during period of diatom dominance in the
357 water column, although the temporal variability in bloom occurrence has been highlighted
358 (Shahi et al., 2015) and the toxicity of such species from this region has never been tested. As
359 such the risk, until further toxicity assessment is conducted, should not be discounted.

360 The EU-regulated LTs were notable by their near-complete absence from both mussel
361 and oyster samples. This was surprising given the prevalence of at least six species of the
362 genus *Dinophysis* in ~40% of water samples around the coast over a long-term monitoring
363 period, between 1990 and 2010 (Godhe et al., 2015). The detection of trace amounts of the
364 pectenotoxin PTX2 in one sample indicates the presence of *D. acuminata* (Kamiyama and
365 Suzuki, 2009), but such a species is generally also associated with production of OA-group
366 toxins (Tango et al., 2004; Reguera et al., 2012, 2014). Species identified along the western
367 coast of India include *D. acuminata*, *D. caudata*, *D. miles*, *D. norvegica*, *D. tripos* and *D.*
368 *rotundata* (Shahi et al., 2015), with several of these associated with DSP toxin production.
369 Over a 21-year period of assessment, *Dinophysis* spp. were detected in 19 years (~90%), with
370 variable (moderate to high cell densities) between years. Moreover, cell counts were
371 positively correlated with sea surface temperatures (SST) during this period. The highest
372 presence of *Dinophysis* previously recorded was during 1996-1998, which coincided with the
373 strongest El Nino Southern Oscillation event of the 20th century (Godhe et al., 2015), during
374 which elevated SST resulted in a significant increase in net phytoplankton abundance. Mean
375 annual SST values were $>30^{\circ}\text{C}$ during this period, before decreasing to $\sim 29^{\circ}\text{C}$ around 2005
376 and then increasing to $\sim 30^{\circ}\text{C}$ in 2010 (Godhe et al., 2015). During this study, SST ranged
377 from 26.0°C to 29.5°C , with a mean of 27.8°C . Therefore, it is likely that lower cell densities
378 of *Dinophysis* spp. were present between 2014 and 2015, although it is noted that there is no
379 phytoplankton data available to our knowledge. *Dinophysis* species present in the marine
380 waters around Mangalore have not to date been cultured and tested for toxin production
381 capability. Until proven otherwise, it is to be inferred that the *Dinophysis* present around
382 Mangalore may potentially be non-toxic strains.

383 The consistently low levels of the spirolide SPX-1 throughout the study samples is of
384 little if any consequence to human food safety, given the lack of evidence for oral toxicity
385 from cyclic imines (Richard et al., 2001; Davidson et al., 2015). Various *Alexandrium*
386 species have been identified as SPX producers, including *A. ostenfeldii* and more recently the
387 morphologically similar, but usually smaller, *A. peruvianum* (Cembella et al., 2000; Touzet et
388 al., 2008). *A. peruvianum* has been identified along the western coast of India (Shahi et al.,
389 2015) although the toxin concentrations determined in this study perhaps indicate that
390 phytoplankton producers are present at only very low densities, which in addition may not be
391 resolved from the presence of other *Alexandrium* species. Gymnodimine has been linked to
392 neurotoxicity in mice following i.p injection (Davidson et al., 2015) and has been isolated
393 from *Gymnodinium mikimotoi* (Seki et al., 1995), later renamed as *Karenia selliformis*
394 (Haywood et al., 2004). Production of GYM has also been demonstrated in European strains
395 of *A. ostenfeldii* (Salgado et al., 2015). To date GYM has been identified in shellfish from
396 Northern and Southern Africa, New Zealand (Krock et al., 2009; Davidson et al., 2015), and
397 more recently Mexico (Garcia-Mendoza et al., 2014). *Gymnodinium* spp. have previously
398 been reported as re-occurring in the water column of the study areas over the past few
399 decades (Godhe et al., 2015), particularly during the warmer months. As discussed in the

400 context of PST results, blooms of dinoflagellates in this region are generally at their
401 maximum density between September and October (D'Silva *et al.*, 2012). GYM
402 concentrations in oysters, however, showed a maxima around December to January, 2-3
403 months after the expected peak of phytoplankton blooms. Moreover, the increase in GYM
404 was not observed during the end of 2015. The higher concentrations of GYM in oysters from
405 this study in comparison to mussels are interesting given the general consensus that many
406 marine toxins accumulate to significantly higher levels in mussels than many other species of
407 mollusc (e.g. Bricelj and Shumway, 1998). As with the PST results, the inter-species
408 differences for GYM may either relate to species-specific uptake effects or to differences in
409 the water column during shellfish feeding and toxin uptake.

410 Overall the results have indicated a relatively low level of risk from biotoxins for the
411 majority of the study period. With maximum total PST concentrations around 10% of the
412 current EU regulatory MPL of 800 µg STX eq/kg, no significant concentrations of regulated
413 lipophilic marine toxins and only trace levels of domoic acid detected, there is good evidence
414 that the shellfish grown and consumed during 2015 were relatively free from harmful toxins.
415 However, with past work showing significant inter-annual differences in toxin phytoplankton
416 production in Mangalore, more analysis on a larger number of samples would be required
417 over a longer time period to generate a better understanding of risk to shellfish consumers in
418 this region of India. Given the significant growth in the local shellfish industry including
419 international export, and the socio-economic impacts this brings to the region, it is critical
420 that routine monitoring of bivalve mollusc production areas is implemented, to help mitigate
421 against these potentially life-threatening natural toxins.

422

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Table 1. MRM transitions used for LC-MS/MS detection and quantitation of PST, TTX and LT analogues, with primary (quantitative) transitions highlighted in bold

Analogue	ESI+ Transition	ESI- Transition
STX	300.1>204.1 ,138.0	
NEO	316.1>126.1 ,,220.1	
dcSTX	257.1>126.1 ,222.0	
dcNEO	273.1>126.1 ,225.1	
doSTX	241.1>60.0 ,206.1	
TTX	320.1>302.1 ,162.1	
GTX2		394.1>351.1 , 333.1
GTX3	396.1>298.1	394.1>333.1
GTX1		410.1>367.1 ,349.1
GTX4	412.1>314.1	410.1>367.1
GTX5	380.1> 300.1	378.1>122
GTX6	396.1> 316.1	394.1>122
dcGTX2		351.1>164.0 ,333.1
dcGTX3	353.1>255.1	351.1>333.1
dcGTX1		367.1>274.1 ,349.1
dcGTX4	369.1>271.1	367.1>349.1
C1		474.1>122.0 ,351.1
C2	396.1>298.1	474.1>122.0
C3	412.1>332.1	490.1>410.1
C4	412.1>314.1	490.1>,392.1
OA, DTX2		803.5>255.1 , 113
DTX1		817.5>255.1 , 113
YTX		570.5>467.4 , 396.2
Homo YTX		577.5>474.2 , 403.2
45 OH YTX		578.5>467.4 , 396.2
45 OH <i>homo</i> YTX		585.5>474.2 , 403.2
AZA1	842.5>654.4 , 362.3	
AZA2	856.6>654.4 , 362.3	
AZA3	828.5>658.4 , 362.3	
PTX1, PTX11	892.5>821.5 , 213.1	
PTX2	876.3>823.5 , 213.1	
SPX1	692.5>164.1 , 444.3	
GYM	508.4>136.1 , 162.1	
20-Me SPX-G*	706.5>164.2	

*Only 1 MRM used for identification and quantitation

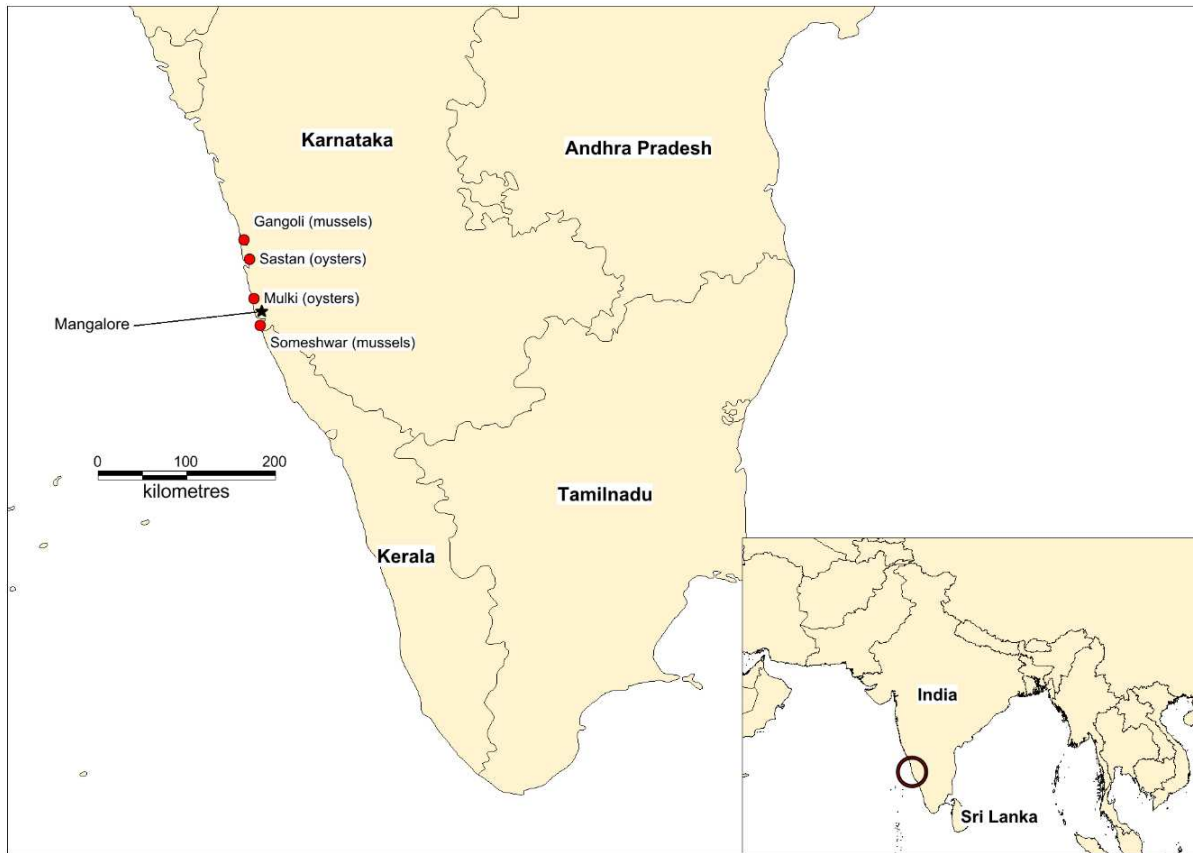
Table 2. Toxicity equivalent factors (TEFs) used in study.

Toxin	TEF
C1	0.01
C2	0.1
C3	0.02
C4	0.1
dcGTX2	0.2
dcGTX3	0.4
dcGTX1	0.5 ¹
dcGTX4	0.5 ¹
GTX2	0.4
GTX3	0.6
GTX1	1
GTX4	0.7
GTX5	0.1
GTX6	0.1
doSTX	0.05 ²
dcSTX	1
dcNEO	0.4
STX	1
NEO	1
OA	1
DTX1	1
DTX2	0.6
PTX2	1
AZA1	1
AZA2	1.8
AZA3	1.4
YTX	1
<i>homo</i> YTX	1
45-OH YTX	1
45-OH <i>homo</i> YTX	0.5

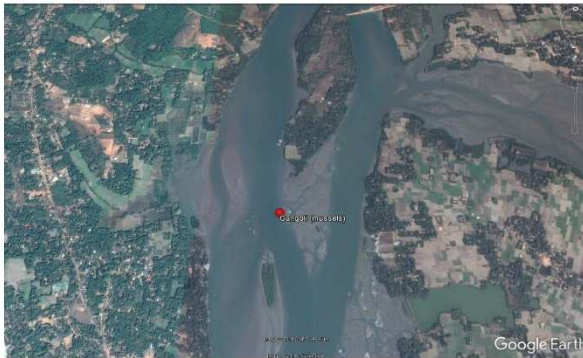
1- dcGTX1 and dcGTX4 based on assumed toxicity equivalency factors (Sullivan, 1983)

2- doSTX toxicity equivalency factor (Turner et al., 2015b)

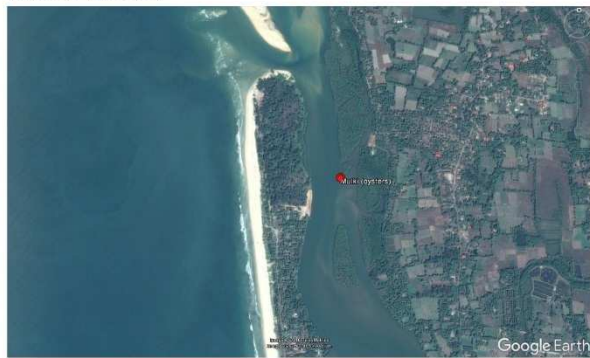
Figure 1. Map showing location of shellfish harvesting areas and photos of four marine monitoring points for bivalve molluscs sampled during this study a) Gangoli b) Mulki c) Someshwar d) Sasthana



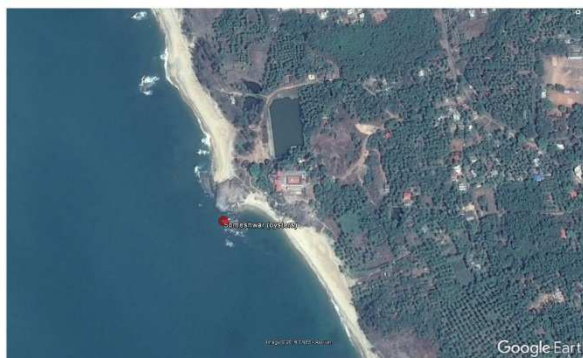
a) Gangoli (mussels)



b) Mulki (oysters)



c) Someshwar (mussels)



d) Sasthana (oysters)

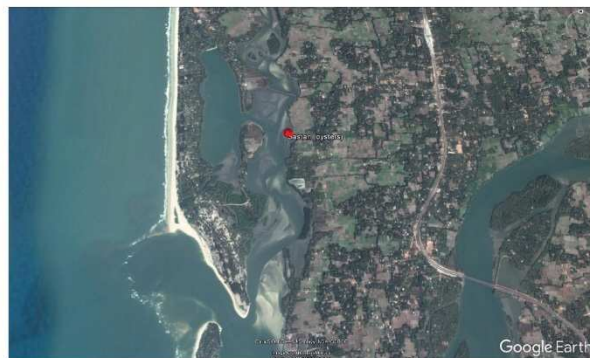


Figure 2. Summary of total PST concentrations ($\mu\text{g STX eq/kg}$) quantified in mussels and oysters from four shellfish harvesting areas in Mangalore

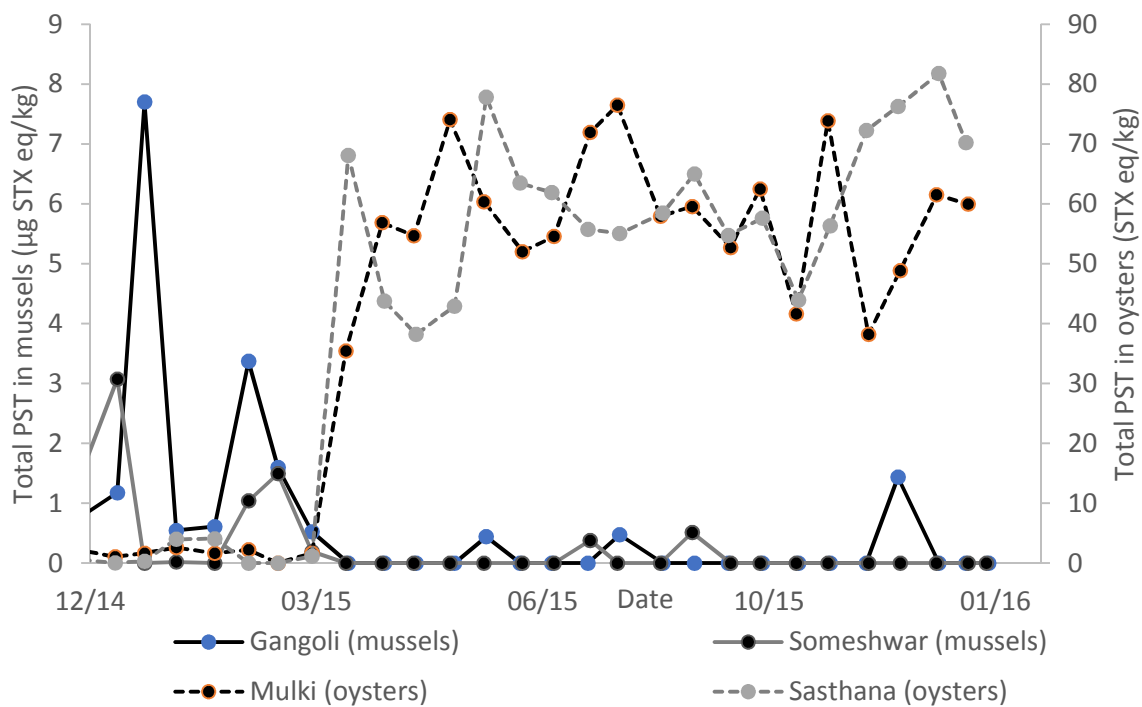


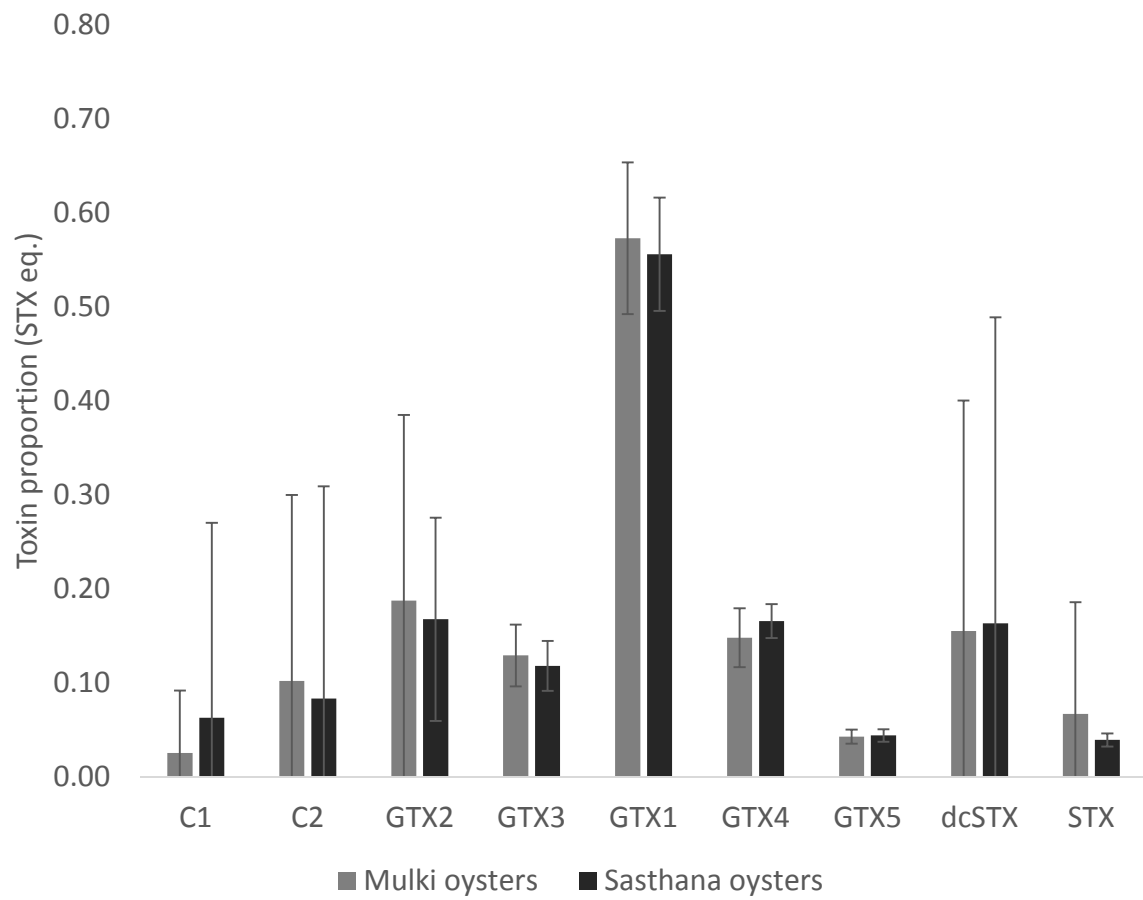
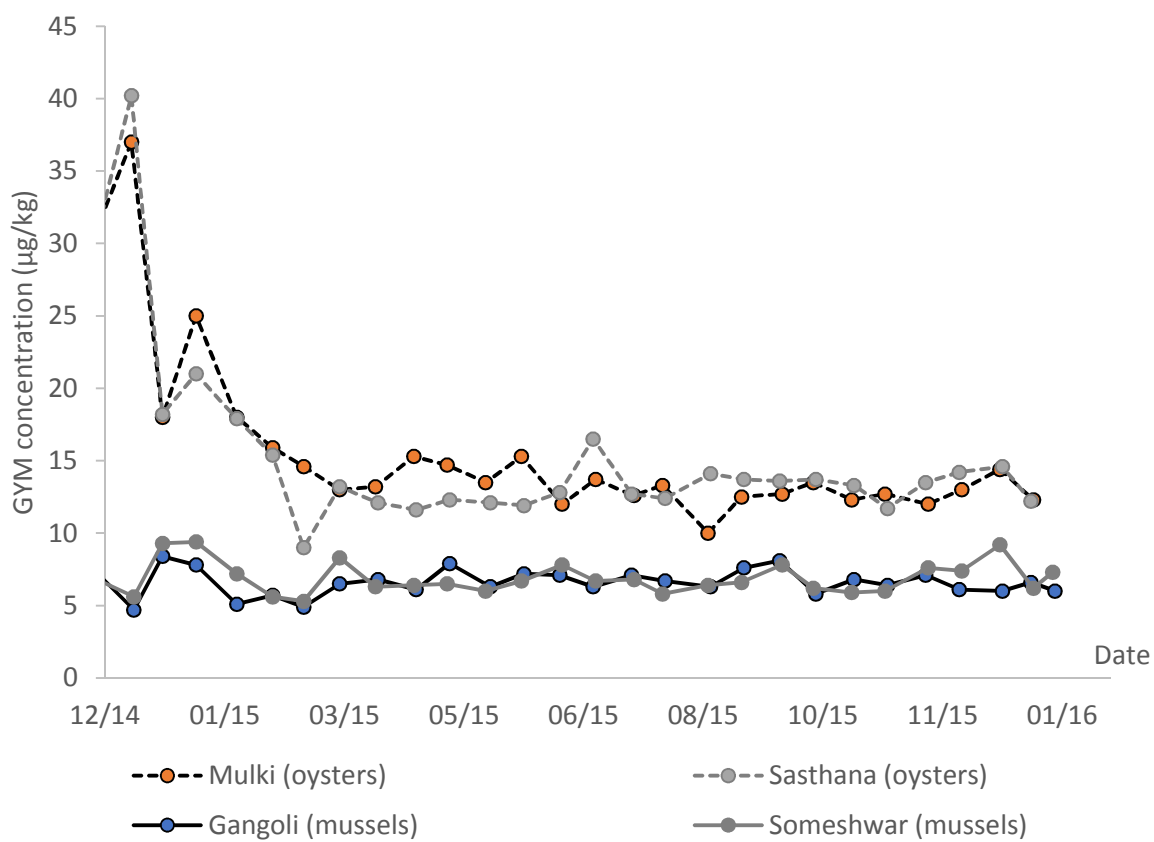
Figure 3. PST profiles in oysters from Mulki and Sasthana

Figure 4. Summary of GYM concentrations ($\mu\text{g}/\text{kg}$) quantified in mussels and oysters from four shellfish harvesting areas in Mangalore



- First ever systematic study of Indian shellfish toxins
- Application of chemical detection monitoring
- Assessment of marine biotoxins
- PST temporal variability
- PST profile assessment

ACCEPTED MANUSCRIPT



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22nd Sept 2017

Ethical Statement

To whom it may concern,

All authors have agreed to this submission and the final manuscript has been seen by all authors. This paper has not been published and the authors will not permit its submission or publication elsewhere before it is accepted or declined by this journal.

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