



# *Indoor thermal environments in Chinese residential buildings responding to the diversity of climates*

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Research Paper

## Indoor thermal environments in Chinese residential buildings responding to the diversity of climates



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## 5 Indoor thermal environments in Chinese residential buildings responding 6 to the diversity of climates

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15

### 16 Abstract

17 China has a diversity of climates and a unique historic national heating policy which greatly

18 affects indoor thermal environment and the occupants' thermal response. This paper analyzes  
19 quantitatively the data from a large-scale field study across the country conducted from 2008  
20 to 2011 in residential buildings. The study covers nine typical cities located in the five climate  
21 zones including Severe Cold (SC), Cold (C), Hot Summer and Cold Winter (HSCW), Hot  
22 Summer and Warm Winter (HSWW) and Mild (M) zones. It is revealed that there exists a large  
23 regional discrepancy in indoor thermal environment, the worst performing region being the  
24 HSCW zone. Different graphic comfort zones with acceptable range of temperature and  
25 humidity for the five climate zones are obtained using the adaptive Predictive Mean Vote  
26 (aPMV) model. The results show that occupants living in the poorer thermal environments in  
27 the HSCW and HSWW zones are more adaptive and tolerant to poor indoor conditions than  
28 those living in the north part of China where central heating systems are in use. It is therefore  
29 recommended to develop regional evaluation standards of thermal environments responding  
30 to climate characteristics as well as local occupants' acclimatization and adaptation in order to  
31 meeting dual targets of energy conservation and indoor thermal environment improvement.

32

33 **Keywords:** climate zones, residential buildings, large-scale survey, thermal environment  
34 differences, adaptive thermal comfort zones

## 35 **1 Introduction**

36 It is widely acknowledged that buildings account for more than 30% of total final energy  
37 consumption in the world and are responsible for consuming 35%-40% in the developed  
38 countries[1, 2], among which 30-60% are for improving indoor thermal environment in  
39 buildings[3]. In China, the building energy consumption has increased by 45% in two  
40 decades[4]. The proportion of building energy consumption was about 27.5% in 2001[5] and  
41 it was up to 36% (i.e. construction and operation) in 2014 [3]. With China's prosperous  
42 economy and growing urbanization rate, the Chinese governments have to, on the one hand,  
43 implement the *total energy use control* to limit the building energy consumption in operation  
44 under 1.1 billion tce (23%)[3], and on the other hand ensure a much healthy and comfortable

45 indoor environment. In such case, the central and local governments have been paying great  
46 attention in last years. The implementation of sustainable development strategies aimed at  
47 cutting carbon intensity per GDP unit of 60–65% by 2030 based on 2005 levels[6], goes  
48 together with the issue of a series of buildings energy efficiency policies[7-9]. Meantime,  
49 improving people’s living environment for health and well-being has become government’s  
50 agenda[10]. Thus it poses great challenges to balance the demand between the energy  
51 consumption conservation and thermal comfort improvement in the built environment in  
52 China.

53 China covers a vast territory with five climate zones for building thermal design purpose,  
54 known as the ‘Severe Cold’ (SC), ‘Cold’ (C), ‘Hot Summer Cold Winter’ (HSCW), ‘Hot  
55 Summer Warm Winter’ (HSWW) and ‘Mild’ (M) zones[11]. There exists diverse  
56 characteristics in terms of climate and indoor thermal environments, as well as occupants’  
57 thermal perception on environments in the different zones[12-15]. The main question to be  
58 answered is thus: how the buildings and their environmental systems can be designed and  
59 operated in the way of balancing the energy and thermal comfort demands considering the  
60 regional climate characteristics and residents’ habitat?

61 To answer this question, it is essential to gain a comprehensive understanding of the  
62 discrepancies in the indoor thermal environments and occupants’ thermal responses in  
63 different climates. In the past decades, many researchers have conducted studies on indoor  
64 thermal environments and comfort in different regions in China and showed some useful and  
65 common knowledge. The main findings can be summarized saying that the indoor thermal  
66 environments differ with local indoor and outdoor climate in different climate zones and  
67 people’ thermal sensation and the neutral temperatures (i.e. those temperatures drawn with  
68 occupants’ thermal sensation of zero according to ASHRAE Standard 55[16]) vary in different  
69 climate zones [12-15, 17, 18] due to physiological[19, 20] and psychological adaptation[19,  
70 21, 22]. For example, a field survey of residential buildings in summer and winter covered  
71 nine cities from 1998 to 2004 conducted by Yoshino et al.[12] highlighted a great diversity in  
72 indoor thermal environments between the northern and southern China. However, the sample

73 size was very limited only in several homes; furthermore, the measuring duration were just in  
74 one week continuously in summer and winter respectively. A recent field study[23] of three  
75 climate zones was conducted in winter but focused more on thermal adaptation. The results  
76 indicated that in Shanghai occupants had better adaptation to cold due to the lack of space  
77 heating while Harbin occupants were used to warmer indoors. With the similar thought, a study  
78 from Yan et al.[18] concentrated on the thermal environments in the four zones of eastern  
79 China, further developed the adaptive models in the different zones. This study covered the  
80 120 residential buildings in 12 cities and the results demonstrated the regression coefficients  
81 in HSCW zone(0.326/K) and in HSWW zone(0.554/K) were significant higher than that in SC  
82 zone(0.12/K) and C zone(0.271/K) in free running buildings, suggesting the neutral  
83 temperatures are affected by outdoor climates evidently. However, this study was just  
84 conducted in the summer time of 2005(July and August) and the winter time (January and  
85 February in 2006) while the occupants' thermal adaptation failed to be analyzed from the view  
86 of the whole year. Overall, regardless of these studies, it is worthwhile to mention that the  
87 majority of field studies had focused on the limited regions, covering just one or more climate  
88 zones, and the differed research methods and periods made it less comparable between  
89 different climate zones. More importantly, the majority of the cross-section are concentrated  
90 mainly on summer and winter rather than the annual investigation on thermal environments,  
91 and the sample size is limited to reflect the long-term thermal adaptation of occupants over the  
92 year, due to the difficulty of on-site surveys. Moreover, most studies for free running buildings  
93 focused on building relationships between the comfort temperatures and outdoor temperatures,  
94 i.e., developing the adaptive models[16, 24]. Thanks to the update and implementation of the  
95 new building design standards in China (e.g. demands improvement for building envelope in  
96 JGJ 134-2001[25] and JGJ 134-2010[26] respectively for HSCW zone) and the building  
97 refurbishment, the building indoor thermal environments have been improved to great degree.  
98 Therefore, there is a need to fill the knowledge gap of the most recent information of the annual  
99 indoor thermal environment conditions and human thermal perceptions covering the five  
100 different climate zones comprehensively.

101 To the authors' knowledge, few studies of on-site surveys are available in a large-scale  
102 nationwide range (e.g., covering the five climate zones over the same period), a large sample  
103 size (e.g., covering a larger number of building cases with thermal environment tests and  
104 questionnaire surveys simultaneously), and a long-term measurement (e.g., covering the 12-  
105 month tests annually). Accordingly, the present paper aims to examine more in depth these  
106 differences by presenting the outcomes of a new large-scale nationwide field study on indoor  
107 thermal environment and thermal comfort in residential buildings covering the five climate  
108 zones. A special attention is paid to identify the discrepancies of the real annual indoor  
109 environmental conditions and occupants' acceptable comfort zones considering the long-term  
110 adaptation to local environments. This will provide scientific evidence to support the concept  
111 of climate responsive building design pertinently by evaluating thermal comfort conditions,  
112 meantime provide references to find a good tradeoff between energy saving potential and  
113 wellbeing requirements.

## 114 **2 Methodology**

### 115 *2.1 Study selection and data extraction*

116 A nationwide field study had been conducted from 2008 to 2011 in the five climate zones of  
117 China. The surveyed buildings were located in the nine typical cities of Shenyang and Harbin  
118 in SC zone, Xi'an in C zone, Chongqing, Wuhan and Chengdu in HSCW zone, Fuzhou and  
119 Guangzhou in HSWW zone and Kunming in the M zone, respectively. On-site field  
120 measurements and subjective questionnaire surveys were carried out monthly in each city  
121 around the year, thus populating a database including the initial sample capacity over 20,000  
122 cases of the annual indoor thermal environments and occupants' thermal perceptions.

123 It is however worth noting that all the investigated buildings located in the two northern  
124 climates (i.e., in the SC and C zones) were supplied with urban central heating systems in  
125 winter which are not operable for occupants.

126 During the survey, the thermal environments measurements and the questionnaire survey were  
127 conducted both in AC and non-AC buildings. Therefore, the daily life was not disturbed and

128 they could use any heating and cooling devices. Overall, the initial sample size was almost  
 129 21,000. Screening for cases with free running condition was just conducted in this study. The  
 130 data used for the analysis of the free-running residential buildings coming from the non-AC  
 131 used situation with the data size of nearly 16,500.

132 After the first screening, the total number of valid samples are 16458, including 3040 from  
 133 Severe Cold zone (18.4%), 1410 from Cold zone (8.6%), 6154 from the Hot Summer and Cold  
 134 Winter zone (37.4%), 3820 from the Hot Summer and Warm Winter Zone (23.2%) and 2034  
 135 from Mild zone (12.4%). Table 1 presents the information about sample sizes in each city. To  
 136 simplify, we categorized the cases into four seasons (spring: March, April, May; summer: June,  
 137 July, August; autumn: September, October, November; winter: December, January, February).  
 138 It is observed that except some special cases in some periods, basically the sample size for  
 139 each season is uniformly distributed in each study city.

140

141 Table 1. Survey data and validity analysis results

<b>Climate Zones</b>	<b>Cities</b>	<b>Spring (Mar-May)</b>	<b>Summer (Jun-Aug)</b>	<b>Autumn (Sep-Nov)</b>	<b>Winter (Dec-Feb)</b>	<b>Sum</b>	<b>Valid data%</b>
<i>Severe Cold (SC)</i>	Shenyang	555	541	575	569	2240	100
	Harbin*	0	400	310	90	800	99.5
<i>Cold (C)</i>	Xi'an*	404	292	346	368	1410	100
<i>Hot Summer Cold Winter (HSCW)</i>	Chongqing	570	461	458	584	2073	97
	Wuhan	501	343	525	468	1837	95
	Chengdu	606	555	487	596	2244	96.7
<i>Hot Summer Warm Winter (HSWW)</i>	Fuzhou	492	370	469	517	1848	97.5
	Guangzhou	550	407	487	528	1972	94.4
<i>Mild (M)</i>	Kunming	589	583	566	296	2034	98.6
<i>Total samples</i>						16458	97.5

142 Notes: \*The survey in Harbin just lasted 6 months from July to December, and in Xi'an lasted 10 months from January to  
 143 October.



## 144 2.2 Questionnaire design

145 A questionnaire was designed in three parts to quantify the information regarding i) buildings'  
146 characteristics (including building location, construction age, orientation, type of surveyed  
147 room and floor areas, window type and HVAC equipment if present); ii) respondents' personal  
148 information; iii) thermal environments measurement and subjective thermal responses in  
149 responding to the thermal environments during the test period. As for the last ones, the physical  
150 parameters included indoor and outdoor air temperatures, relative humidity and air velocity  
151 measurements taken by testers. The questionnaire used for summer survey is provided in  
152 Appendix for guidance.

153 During the survey respondents reported their clothing ensembles at the time of completing the  
154 questionnaire by means of a clothing checklist. Then the values of clothing insulation were  
155 estimated in 'clo' units based on ISO 9920[27] when doing analysis. The metabolic rate was  
156 transferred to values according to ASHRAE 55[16] (seated: 1.0met, standing: 1.1met, walking:  
157 1.2met), too.

158 As for the respondents' subjective thermal perceptions, their thermal sensation was measured  
159 by the ASHRAE 55 seven-point thermal sensation scale[16]: -3 cold, -2 cool, -1 slightly cool,  
160 0 just right (neutral), 1 slightly warm, 2 warm and 3 hot. Humid and air movement sensation  
161 were also evaluated by 7-point scales (humid sensation: -3 too dry, -2 dry, -1 slight dry, 0  
162 comfort, 1 slight humid, 2 humid, 3 too humid; air movement sensation: -3 too still, -2 still, -  
163 1 slight still, 0 comfort, 1 slight windy, 2 windy, 3 too windy). The thermal expectation for  
164 indoor thermal environments were investigated using the question 'At this point in time, would  
165 you prefer to change temperature/ air humidity/ air velocity: -1 lower, 0 no change, 1 upper?'.  
166 More detailed as for the subjective questionnaires has been given in Appendix for reference.

## 167 2.3 Buildings information

168 Table 2 summarizes the basic information of the investigated buildings. It is clearly seen that  
169 more than half of the residential buildings in Cold zone were built before 1990s (51.5%), i.e.,  
170 before the first national building codes came into force, and this contributed to a high

171 proportion of buildings with brick-concrete structures (53.4%). Except the C zone, the majority  
 172 of the buildings in the remaining four zones were constructed in the 1990s and thereafter, with  
 173 the proportion of more than 70%. The proportion of buildings built in the 1990s was slightly  
 174 smaller than that after 1990s except SC zones. In addition, most of these buildings were  
 175 constructed by using reinforced concrete (66.9% in the SC zone, 61.9% in the HSCW zone,  
 176 80% in HSWW zone and 95.4% in M zone respectively).

177 As for the window types in Table 2, they differed between SC zone and the remaining four  
 178 zones due to climate differences. In fact, around 71% of the buildings were provided with  
 179 single frame and double-glazing windows in SC zone to protect against thermal losses, while  
 180 in the other zones windows with single frame and single-glazing were dominant (above 70%).

181

182 Table 2. Statistics of the building information in the five climate zones

Climate Zones	Construction ages (%)			Construction type (%)			Windows type (%)		
	before 90s	90s	after 90s	brick-concrete	reinforced concrete	other	single frame, single glass	single frame, double glass	double frame, double glass
<i>SC Zone</i>	10.50	46.10	43.40	33.10	66.90		18.90	70.90	10.20
<i>C Zone</i>	51.50	30.10	18.40	53.40	38.30	8.30	75.60	13.90	10.50
<i>HSCW Zone</i>	15.30	35.80	48.90	37.80	61.90	0.30	81.20	10.20	8.60
<i>HSWW Zone</i>	18.60	39.50	41.90	18.80	80.00	1.20	73.60	19.30	7.10
<i>M Zone</i>	6.70	38.90	54.40	4.60	95.40		84.50	15.50	0.00

183

#### 184 2.4 On-site thermal environment measurements

185 While respondents were filling in the questionnaires, the on-site measurements of the main  
 186 physical parameters (air temperature, relative humidity, air velocity), both outdoor and indoor,  
 187 were taken simultaneously. The portable Dwyer 485 data logger (temperature range: -30 °C-

188 +85 °C, accuracy:  $\pm 0.5$  °C; humidity range: 0-100 %, accuracy:  $\pm 2$  %, Dwyer Company, U.S)  
189 and the Testo-425 hot-wire anemometer (range: 0-20 m/s, accuracy:  $\pm 0.03$  m/s +5 % of  
190 measured values, Testo Company) were used during the survey.

191 The indoor thermal environment measurements were conducted by testers and the probes of  
192 the instruments were placed 0.5 far away from respondents and at the height of 0.6 m above  
193 the floor for seated respondents and of 1.1 m for standing respondents. For outdoor  
194 measurements, the same instruments were set with sufficient distance from the investigated  
195 buildings, at a height of 1.1 m above the ground.

196 All these instruments were calibrated before each survey and the accuracies were complied  
197 with the prescriptions of the ISO 7726[28]. To ensure good measurement accuracy, the  
198 measuring time for each parameter continued for more than 5min and the measurements were  
199 repeated three times to ensure the steady-state condition (ASHRAE 55[16]). The averaged  
200 values of the parameters from the three-time measures were used for each corresponding case  
201 in the thermal environment analysis presented in the Results section.

## 202 *2.5 Data processing*

203 Before further analyses, preliminary tests aimed at checking for data integrity, validity and  
204 reliability were carried out to ensure the data quality. Reliability test was to find the potential  
205 contradictory answers in the questionnaires. Taking questions 7 and 8 of questionnaire in  
206 Appendix as an example, if respondents expected to increase the indoor air temperatures  
207 related to Q7 but meantime they are using the air-conditioning system in the cooling mode  
208 (Q8), the contrary answer would be regarded as invalid and expunged from the analysis to  
209 make sure the respondents' thermal sensation are correctively consistent with their  
210 surroundings.

211 After this cleaning step, the bin method was adopted: outdoor air temperatures were firstly  
212 binned into one-degree (°C) increment to count the frequency and average indoor air  
213 temperatures in each bin interval. Besides, considering that the indoor air temperature is the  
214 closest indicator of occupants' thermal responses, the indoor air temperatures were binned into

215 one-degree intervals to analyze the respondents' mean thermal sensation votes corresponding  
216 to each temperature interval. The same method has also been used to analyze respondents'  
217 thermal preferences.

218 Finally, for all statistical modeling conducted on the sub-samples deriving from the bin process,  
219 each data point was weighted according to the number of respondents' questionnaire it  
220 resembled (i.e. the sample size within the bin).

## 221 **3 Results**

222 The outcomes of the field study are reported in the following first showing the relationship  
223 between indoor and outdoor temperatures for the surveyed residential buildings, then  
224 analyzing occupants' responses in terms of thermal sensation and thermal acceptability, and  
225 finally demonstrating the different comfort zones for the five climate zones.

### 226 *3.1 Comparison of thermal environments*

227 Given the great influence of outdoor conditions on indoor thermal environments for free-  
228 running buildings, which would indirectly influence occupants' thermal comfort, the annual  
229 distribution of indoor and outdoor air temperatures during the field study in the five climate  
230 zones have been summarized in Table 3 on a monthly basis. It is possible to see that the outdoor  
231 temperatures in the SC zone have the largest range from  $-17.8\text{ }^{\circ}\text{C}$  ( $T_{\text{out-min}}$ ) on January to  
232  $34.4\text{ }^{\circ}\text{C}$  ( $T_{\text{out-max}}$ ) on August, while the indoor temperatures span from  $19.5\text{ }^{\circ}\text{C}$  ( $T_{\text{in-mean}}$ ) on  
233 November to  $28.1\text{ }^{\circ}\text{C}$  on August ( $T_{\text{in-mean}}$ ). The C zone presents a similar trend, with indoor  
234 temperatures on January and February being in the range of  $18^{\circ}\text{C}$ - $24^{\circ}\text{C}$  in the design standard  
235 [29] for most of the time, due to the central heating systems in operation. By contrast, though  
236 the lowest mean outdoor temperatures in the HSCW zone on January is about  $8.8\text{ }^{\circ}\text{C}$ , the  
237 corresponding mean indoor temperature is similarly low (around  $11.3\text{ }^{\circ}\text{C}$ ) and close to the  
238 outdoor temperatures resulting from the poor building envelope performances. In summer, the  
239 maximum indoor and outdoor temperatures raise up to  $38\text{ }^{\circ}\text{C}$  and  $37.5^{\circ}\text{C}$  respectively, showing  
240 a significant relation between indoor and outdoor climates. Similarly, the indoor temperature  
241 change in the HSWW zone are close to that in HSCW zone, while both the monthly indoor

242 and outdoor temperatures are slightly higher. The M zone significantly differs from the other  
 243 four zones by showing moderate and more uniform indoor and outdoor temperatures  
 244 throughout the year. The fluctuations of mean air temperatures are in the range of 15.8 °C to  
 245 25.7 °C for outdoor temperature and 15.1°C to 25.5°C for indoor temperature respectively.

246

247 Table 3. Annual air temperature distribution of indoor and outdoor environments in each  
 248 climate zone

Month	Climate	Outdoor air temperature (°C)				Indoor air temperature (°C)				Cases
		T <sub>min</sub>	T <sub>max</sub>	T <sub>mean</sub>	SD	T <sub>min</sub>	T <sub>max</sub>	T <sub>mean</sub>	SD	
January	<i>SC zone</i>	-19	1	-8.4	0.23	12.5	27	21.0	0.16	197
	<i>C zone</i>	-2	1.7	-1.0	0.05	15	25.3	19.9	0.13	172
	<i>HSCW zone</i>	-6	14.8	8.8	0.13	2	18	11.3	0.13	548
	<i>HSWW zone</i>	4.3	28.2	15.4	0.23	8.2	28.4	16.0	0.2	334
	<i>M zone</i>	10.2	21.1	15.8	0.36	8.9	17.2	13.8	0.22	98
February	<i>SC zone</i>	-18	5	-7.3	0.23	11	30	20.8	0.16	198
	<i>C zone</i>	0.8	3	1.4	0.03	17	26.5	21.4	0.12	196
	<i>HSCW zone</i>	-3.7	18.9	11.2	0.23	3.5	20.2	14.3	0.14	542
	<i>HSWW zone</i>	9.8	28.6	20.1	0.26	12.6	24.6	20.6	0.25	334
	<i>M zone</i>	18.5	24.8	21.1	0.24	18.2	23.5	20.8	0.11	99
March	<i>SC zone</i>	-7	15.5	3.42	0.39	15.7	25.1	20	0.14	191
	<i>C zone</i>	0.8	14.5	2.6	0.06	19.6	24.6	22.3	0.3	145
	<i>HSCW zone</i>	9	24	19.0	0.16	10	26.3	19.4	0.14	563
	<i>HSWW zone</i>	12.6	29	20.6	0.19	12.7	23.6	21.6	0.56	346
	<i>M zone</i>	11.7	24	18.4	0.27	15.3	23.3	20.4	0.11	200

April	<i>SC zone</i>	8	26	15.1	0.29	15	26	20.3	0.16	181
	<i>C zone</i>	0	28.6	15.3	0.41	19	24	22.7	0.13	134
	<i>HSCW zone</i>	15	28.8	21.5	0.13	15	26.5	21.8	0.09	558
	<i>HSWW zone</i>	13.5	29.5	23.8	0.13	17.1	25.2	24.5	0.61	355
	<i>M zone</i>	20.3	24.9	22.5	0.12	20.6	25.1	22.6	0.07	197
May	<i>SC zone</i>	12	28	21.7	0.3	18	29.4	23.2	0.19	183
	<i>C zone</i>	14.2	23.6	21.5	0.14	21.7	23.1	22.2	0.04	125
	<i>HSCW zone</i>	15	29.7	23.6	0.11	16	29.5	24.0	0.08	556
	<i>HSWW zone</i>	18	33.1	24.7	0.12	18.2	28.2	25.5	0.62	341
	<i>M zone</i>	21.6	29	25.6	0.22	22	29.8	25.8	0.1	192
June	<i>SC zone</i>	15	31	24.7	0.27	18	27	25.5	0.25	212
	<i>C zone</i>	24	36	33.3	0.16	23.1	31.3	28.2	0.2	98
	<i>HSCW zone</i>	22.7	37	28.5	0.13	21.8	35	28.3	0.1	434
	<i>HSWW zone</i>	21.6	37.9	28.8	0.17	22.9	33.4	27.2	0.15	263
	<i>M zone</i>	14.5	28	24.7	0.28	17.2	27	24.7	0.16	188
July	<i>SC zone</i>	20	31.9	27.9	0.18	21	29.2	27.7	0.74	364
	<i>C zone</i>	30	40	32.6	0.09	27	32	30.4	0.05	96
	<i>HSCW zone</i>	20.1	38	30.3	0.16	15.9	37.5	27.7	0.11	463
	<i>HSWW zone</i>	22.8	36.7	32.1	0.66	20	34.1	30.0	0.23	251
	<i>M zone</i>	18.7	28.7	25.7	0.28	22.1	27.7	25.5	0.12	194
August	<i>SC zone</i>	18	34.4	28.3	0.14	21	29.6	28.1	0.58	365
	<i>C zone</i>	23	32	28.8	0.05	26	30.5	27.7	0.06	98

	<i>HSCW zone</i>	24.7	36.4	30.2	0.12	20	35.4	28.6	0.09	462
	<i>HSWW zone</i>	24.8	38.8	31.7	0.11	21.8	32.5	30.6	0.19	263
	<i>M zone</i>	19.1	24.4	21.8	0.12	19.8	28	24.3	0.1	201
September	<i>SC zone</i>	15	32	23.5	0.22	18	30	23.7	0.16	294
	<i>C zone</i>	20.2	27.1	23.3	0.12	20.5	25.6	21.6	0.08	169
	<i>HSCW zone</i>	17.4	36.9	24.2	0.13	19	33.6	25.0	0.09	486
	<i>HSWW zone</i>	23.8	37.4	31.2	0.14	23.8	32.3	31.1	0.15	303
	<i>M zone</i>	16.2	24.9	21.0	0.23	19.2	27.9	22.5	0.15	188
	October	<i>SC zone</i>	-7.8	20.7	10.3	0.37	15.5	25.5	19.5	0.13
<i>C zone</i>		16.7	19.8	18.0	0.01	19	19.8	19.4	0.01	177
<i>HSCW zone</i>		15.1	29.8	21.0	0.13	15.3	28	21.5	0.11	477
<i>HSWW zone</i>		16.8	36.9	29.2	0.27	22.6	31.4	28.9	0.81	319
<i>M zone</i>		17.3	23.5	19.3	0.14	19.8	26.9	22.4	0.09	189
November	<i>SC zone</i>	-11	23	3.9	0.39	14.6	25.6	20.5	0.14	282
	<i>C zone</i>	/	/	/	/	/	/	/	/	/
	<i>HSCW zone</i>	3.5	22	15.3	0.12	4	25.3	16.5	0.11	507
	<i>HSWW zone</i>	15	27.8	23.5	0.25	12.6	22.6	24.2	0.58	334
	<i>M zone</i>	16.4	21.9	19.0	0.13	17.2	22	20.0	0.08	189
December	<i>SC zone</i>	-19	7	-9.1	0.26	12	22.6	21.3	0.72	264
	<i>C zone</i>	/	/	/	/	/	/	/	/	/
	<i>HSCW zone</i>	-4	20.9	9.3	0.19	3	22.5	12.2	0.14	558

<i>HSWW zone</i>	10.1	29	18.5	0.23	10.3	20.5	18.9	0.19	377
<i>M zone</i>	10	23.6	15.8	0.45	12.5	18.6	15.1	0.14	99

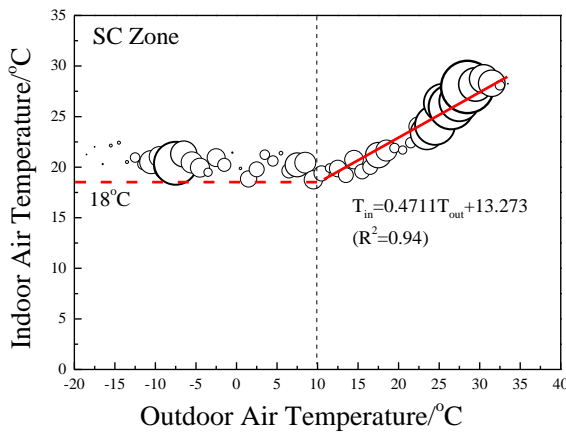
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250 Figure 1 further demonstrates the relationship between indoor and outdoor temperatures in the  
251 five climate zones. Here the area of the bubbles represents the sample size (i.e. the number of  
252 cases) pertaining to each indoor air temperature bin of 1°C size. Regression models between  
253 indoor and outdoor air temperatures for each zone are also presented in the figure with red  
254 lines. For the SC and C zones, the dotted red lines for the indoor temperature value of 18 °C  
255 marked the lowest set point of indoor air temperature for heating design. From Figure 1, in the  
256 two northern climate zones, the linear relations between indoor and outdoor temperature are  
257 found only out of the heating period and the indoor air temperatures seldom exceed 30 °C. In  
258 winter, when the central heating systems are in operation, the indoor air temperatures are  
259 usually found to be above 20 °C, higher significantly than the designed set point, although the  
260 lower outdoor air temperatures are significantly under 10 °C for SC zone and 15°C for C zone  
261 during the heating periods. By contrast, there are significant linear relationships between  
262 indoor and outdoor temperatures for residential buildings in the three southern climate zones,  
263 well demonstrated by the high values of the coefficient of determination from the statistical  
264 analysis ( $R^2=0.98$  for HSCW zone,  $R^2=0.97$  for HSWW zone and  $R^2=0.93$  for M zone). As for  
265 the HSCW and HSWW zones, the annual indoor temperatures are more strongly influenced  
266 by the outdoor temperatures, with annual span from around 10 °C to nearly 35 °C. The  
267 regression coefficients (0.7479 for HSCW and 0.7394 for HSWW) further reflected that the  
268 indoor thermal environments are much sensitive and closely equal to outdoor thermal  
269 environments. This is partly due to the poor buildings performance (e.g., poor insulation of  
270 building envelope and infiltrations) and occupant behavior (residents in these regions likes to  
271 open windows even in the winter), which would have significant effect on occupants' thermal  
272 comfort. In particular, in the HSCW zone sometimes in winter the indoor air temperature could  
273 be even under 8 °C, which is far lower than the recommended set-point temperature range of

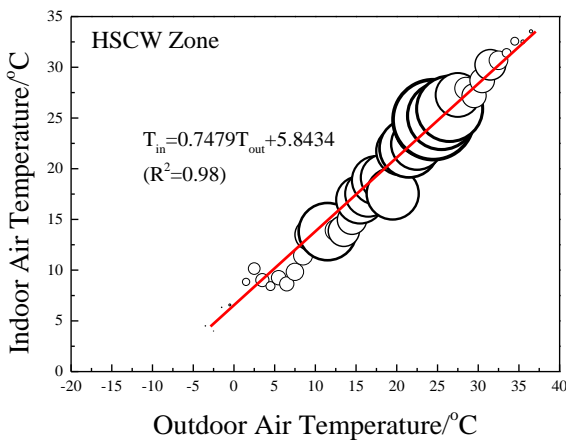
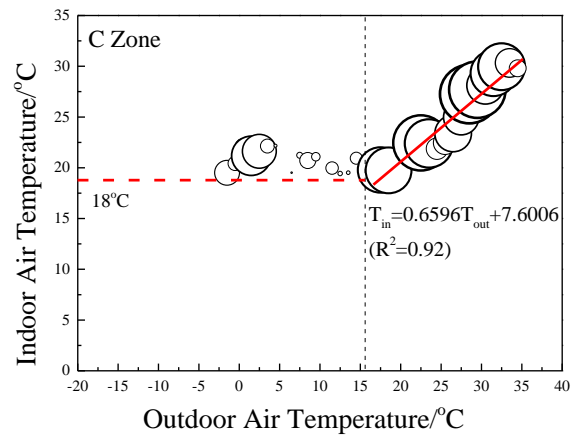


274 18°C to 24°C for heating prescribed by the standard[29]. For the M zone, being similar to that  
 275 in Table 3, the annual indoor temperature mostly fluctuates in the range of 18 °C to 26 °C when  
 276 outdoor temperature is in the range of 15 °C to 25 °C, which were well in the comfort zones  
 277 of heating and cooling recommended in the standard[29], thus showing little variations  
 278 throughout the year.

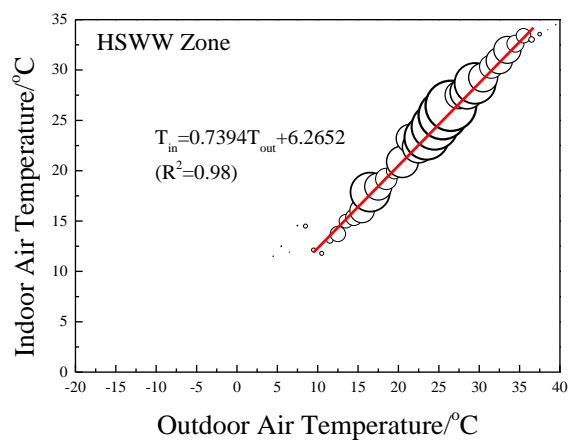
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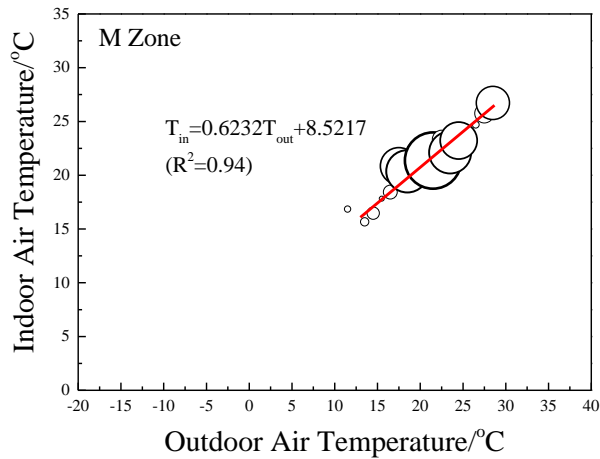


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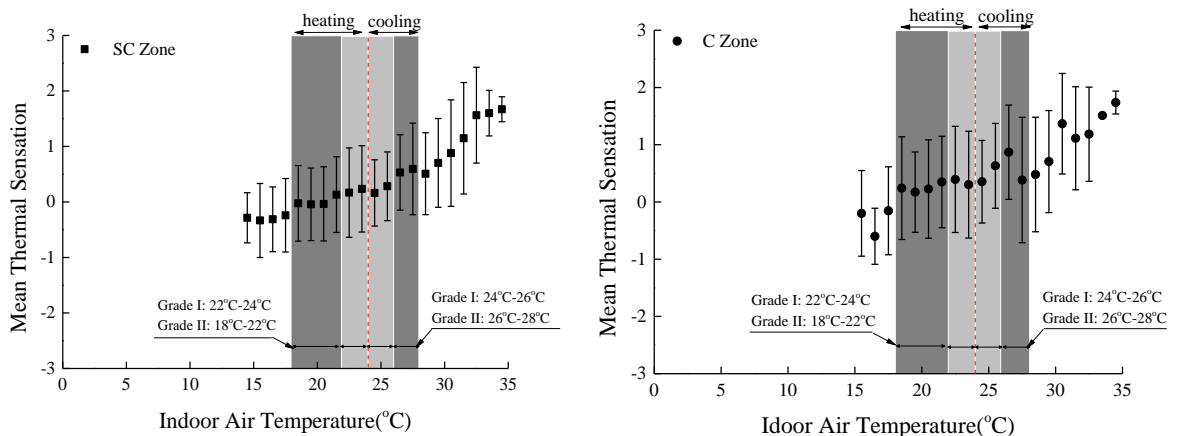
283 Figure 1. Relationship between indoor and outdoor air temperatures in the five climate zones

284

285 *3.2 Occupants' subjective thermal sensation*

286 Occupants' thermal sensation of the thermal environment they are exposed to is essential in  
 287 evaluating indoor thermal comfort conditions[16]. Figure 2 shows the change of subjects'  
 288 mean thermal sensation votes (TSV) in responding to each bin of indoor air temperatures in  
 289 the five zones. In Figure 2, the recommended cooling and heating comfort zones for Grade I  
 290 and Grade II referring to the standard GB 50736[29] have been plotted with different grey  
 291 patches (light grey: Grade I; dark grey: Grade II).

292



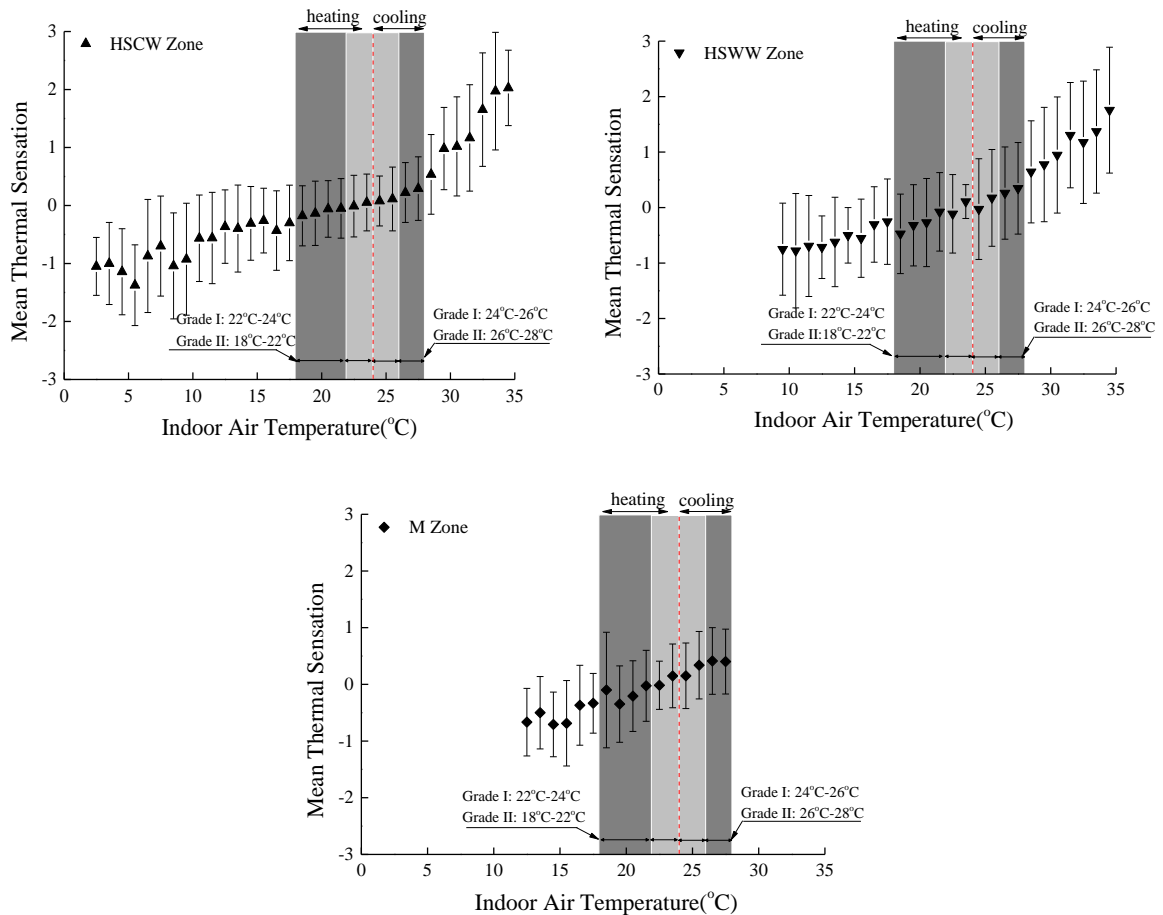


Figure 2. Mean TSV as a function of indoor air temperature

293

294

295 From the analysis of Figure 2, it can be seen that due to occupants' sensitivity differences with  
 296 respect to air temperature, the variation trend of the mean TSV differed in different temperature  
 297 intervals. Indeed, whatever the climate zone is, the mean TSV fluctuated around 0 and changed  
 298 slightly within the temperature range from 18°C to 26°C, showing a weak thermal response of  
 299 the occupants in the comfort zone. When the indoor temperature was beyond the comfort zone,  
 300 the mean TSV started varying significantly, especially for the warmest conditions ( $T_{in} > 28^{\circ}\text{C}$ ).  
 301 The TSV, taking the HSCW zone as an example, increases most significantly when the  
 302 temperature is above 28°C, and the increment is up to 0.56 when the temperature increases  
 303 from 27.5°C to 30.5°C, suggesting occupants are more sensitive to warm/hot environments.  
 304 By contrast, the TSV variation is relatively smaller when the temperature decreases lower than

305 18 °C, with TSV value decreased just by 0.01 from -0.3 at 17.5°C to -0.31 at 14.5. Although  
 306 the occupants' behavioral regulation are not involved in this study, we inferred that the less  
 307 sensitivity of occupants' TSV in the cold side region could be explained by the compensation  
 308 due to occupants' behavioral regulation, especially clothing adjustments[30]. Whilst in  
 309 summer, if the temperature is high, the most used clothing regulation is less useful and the  
 310 cooling efficiency of air movement is far from enough, so that the TSV increases significantly  
 311 with temperatures. However, for the SC, C and M zones, the narrow indoor temperature ranges  
 312 lead to the slight change of occupants' thermal sensation. That is to say, the values of TSV are  
 313 mostly in the range of -1(slightly cool) to +1(slightly warm), meaning the occupants have  
 314 higher satisfaction for indoor thermal environments.

315 To analyze the correlation between the occupants' thermal sensation and the annual air  
 316 temperature, the linear regression models developed for each climate zone are shown in  
 317 Equations (1-5). Indeed, the regression coefficients of the models quantify the occupants'  
 318 thermal sensitivity to a unitary temperature change: as an example, it is concluded that people  
 319 in HSWW zone are more sensitive to a temperature increase (slope: 0.1134) while the degree  
 320 of sensitivity are close to each other among SC, C and HSCW zones (0.0976, 0.094, 0.0942  
 321 respectively). The value in M zone (0.0744) shows the indoor temperature change leads to the  
 322 minimum change of occupants' thermal sensation. It seems to be explained that the moderate  
 323 temperature fluctuations may impair people' vigilance in the M zone (slope: 0.0744).

324 **SC Zone:**  $TSV = 0.0976 T_{in} - 1.97$  = ( R (1)

325 **C Zone:**  $TSV = 0.094 T_{in} - 1.79$  = ( R (2)

326 **HSCW Zone:**  $TSV = 0.0942 T_{in} - 1.74$  = ( R (3)

327 **HSWW Zone:**  $TSV = 0.1134 T_{in} - 2.38$  = ( R (4)

328 **M Zone:**  $TSV = 0.0744 T_{in} - 1.64$  = ( R (5)

329

330 Here to note, Humphreys [31] in the field study of adaptive thermal comforts developed the  
331 regression methods between the occupants' comfort temperatures and the outdoor  
332 temperatures, which showed the occupants' comfort temperatures would be changed with  
333 outdoor air temperatures. The method is widely adopted and used by later researchers to get  
334 the neutral temperatures in different regions[16, 24, 32-34] . Among these studies, the typical  
335 adaptive coefficients are 0.31/K in ASHRAE 55[16] and 0.33/K in EN15251[24]; for others,  
336 all the coefficients are more than 0.1, due to the remarkable fluctuation of outdoor temperatures  
337 and its indirect impact on human thermal sensation. By contrast, many field studies carried out  
338 worldwide have found that indoor temperature is the determinant factor of thermal  
339 sensation[20, 35]. Therefore, here in this study, we built the direct relation between occupants'  
340 thermal sensation and indoor air temperatures, rather than the relation between comfort/neutral  
341 temperature and outdoor temperatures. From the obtained models in Equations (1-5), the TSV  
342 of occupants can be easily predicted for a given indoor temperature and conversely the  
343 acceptable temperature ranges and the neutral temperatures can be calculated if the TSV was  
344 determined.

### 345 *3.3 Thermal acceptability of indoor environments*

346 One of the most important purposes of thermal comfort studies is to '*determine the thermal*  
347 *environmental conditions in a space that are necessary to achieve acceptance by a specified*  
348 *percentage of occupants*'[16]. Therefore, it is critical to specify the relationship between  
349 thermal sensation and thermal acceptability. In Figure 2, it shows the change of TSV with  
350 indoor temperatures but it fails to give the proportions of occupants' TSV in responding to  
351 each scale, especially in the range of -1 to 1. Actually during the analysis, the majority of  
352 occupants' TSV were in the range of -1 to 1, even though the thermal environments were  
353 beyond the comfort zones. Given this, the actual percentage of dissatisfied(APD) is a good  
354 metric to judge whether occupants are satisfied or dissatisfied with the thermal environments  
355 they are exposed. Since 'acceptability' is not precisely defined by standards[16, 36], in this  
356 paper the commonly used concept of 'acceptable' as a synonym of 'satisfaction' is used, being  
357 the 'satisfaction' more closely related to the thermal sensations of 'slightly warm(+1)',

358 'neutral(0)', and 'slightly cool(-1)'.

359 By using this definition, the relationship between occupants' mean thermal sensation and  
360 percentage of dissatisfied have been investigated by means of the following steps:

361 1) The actual percentage of dissatisfied (APD), defined as the percentage of votes outside the  
362 comfortable thermal sensation range ( $-1 \leq TSV \leq 1$ ) at a given indoor air temperature, is first  
363 calculated by Equation (6):

$$364 \quad \quad \quad APD = X / Y \times 100\% \quad \quad \quad (6)$$

365 Here X is the total number of ASHRAE sensation votes outside of comfort (i.e. -3,-2, 2 and 3)  
366 in a temperature bin while Y is the total number of sensation votes in that bin.

367 2) The corresponding Predicted Percentage of Dissatisfied (PPD) in each bin is calculated  
368 according to Fanger's PPD model [37] (Equation (7)):

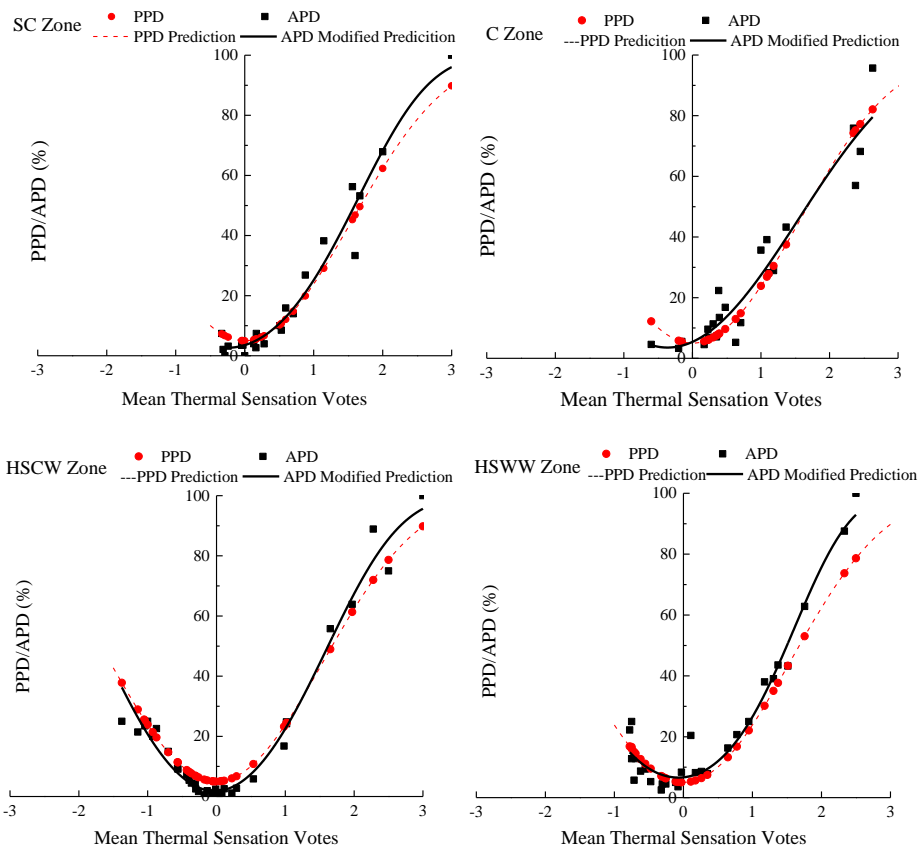
$$369 \quad \quad \quad PPD = 100 - 95 \exp \left[ - \left( 0.003353 TSV^4 + 0.2179 TSV^2 \right) \right] \quad \quad \quad (7)$$

370 where TSV is the subjects' mean thermal sensation votes in the corresponding bin.

371 Figure 3 shows the distribution of the predicted PPD using PMV-PPD and the real APD  
372 calculated according to respondents' thermal sensation votes. It is interestingly seen that in the  
373 two northern zones, because the majority of TSV values are bigger than 0, the majority of  
374 scatters are found in the right part of horizontal axis. This is partly due to central heating  
375 systems in operation during winter (Figure 1), and it is consistent with what shown in Figure  
376 2 about the variation of TSV with indoor temperatures. By contrast, in HSCW and HSWW  
377 zones the APD is more symmetric since TSV fluctuates in a respectively larger range. In  
378 particular, the APD was lower than 20% in most cases with TSV of -1 to 1, and increased  
379 sharply when the TSV increased, especially from 1 to 2. It should be explained here, though  
380 the occupants' mean TSV in Figure 2 changed in a wide range, the proportion beyond -1 and  
381 1 were small, leading to the relatively lower APD in Figure 3. It is therefore not contradictory  
382 and reminds that it had better use more than one metric when evaluating human thermal  
383 comfort.

384 Overall, except for the M zone where the average APD is lower than PPD, the occupants' APD  
 385 in the other four zones is very close to the predicted PPD that the APD fluctuates around the  
 386 predicted PPD and shared a similar trend, especially when the TSV is in the range of -2 to 2.  
 387 It is therefore confirmed that the PPD model can be successfully applied to residential  
 388 buildings to elaborate the relationship between percentages of people who are dissatisfied  
 389 against the mean TSV expressed by the same occupants.

390



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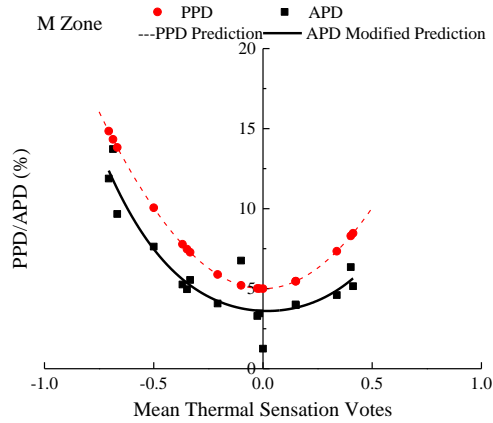


Figure 3. Distribution of the PPD and the actual APD against TSV

In order to better fit the prediction, we referred to Fanger's PPD model, which is expressed by Equation (7). The regression coefficients  $a$ ,  $b$ ,  $c$  and  $d$  for each climate zone are listed in Table 3 together with the corresponding coefficient of determination  $R^2$ .

$$APD = 100 - a \exp[-(b(TSV - c)^4 + d(TSV - c)^2)] \quad (8)$$

The best-fit curves obtained by using Equation (8) have been plotted in Figure 3 as black lines, compared to the PPD models. This relationship is very important for thermal comfort studies as it is usually regarded as a premise for developing adaptive models[22, 38]. For its application, the resulting equations for each climate zone can be applied to derive the acceptable temperature ranges with given percentage of occupant acceptability, combined with the relationship between the mean thermal sensation and indoor air temperatures according to Equations (1-5) already presented above in this study.

Table 4. Coefficients of the regression analysis

Climate Zones	$a$	$b$	$c$	$d$	$R^2$
<i>SC zone</i>	97.33	0.015	-0.24	0.146	0.971
<i>C zone</i>	96.45	0.003	-0.36	0.148	0.912



<i>HSCW zone</i>	98.17	0.014	-0.24	0.211	0.973
<i>HSWW zone</i>	93.41	0.033	-0.07	0.171	0.956
<i>M zone</i>	96.40	0.115	0.02	0.121	0.831

410

### 411 3.4 Thermal Comfort Zones

412 There are some deviations between the Predicted Mean Vote (PMV) and the actual Thermal  
413 Sensation Votes (TSV) in naturally ventilated residential buildings due to occupants' long term  
414 thermal adaptation to local climate[23, 39]. In such cases, the adaptive Predictive Mean Vote  
415 (aPMV) model provided by Yao[40], which takes into account of factors such as culture,  
416 climate and occupants' long-term thermal adaptation and has been adopted by Chinese  
417 standard GB/T 50785 [41], is recommended to define the comfort conditions here.

418 In this study it is envisaged to build the comfort zones for the five climate zones via the direct  
419 variables of temperature and relative humidity, differing from that of adaptive models in  
420 standards[16, 36, 41]. Therefore, an effort to transfer the subjective evaluation expressed by  
421 the aPMV method to objective temperature-relative humidity zones needs to be undertaken  
422 first.

423 By referring to the comfort zones in ASHRAE 55[16] and defined in GB/T 50785, first the  
424 aPMV in the range of -0.5 to +0.5 have been taken as boundaries of the comfort zone, which  
425 means that at least 90% people are satisfied with the thermal environments. Then, as the aPMV  
426 is a function of PMV (Equation (9)[40]) and  $\lambda$ , it is possible to reversely calculate the PMV  
427 for a given aPMV value in the specified range of -0.5 to +0.5 and  $\lambda$ .

$$428 \quad aPMV = PMV / (1 + \lambda \times PMV) \quad (9)$$

429 The  $\lambda$  in Equation (9) is the adaptive coefficients. The values for different zones can be gathered  
430 from the standard GB/T 50785[41]. For SC and C zones, the recommended adaptive  
431 coefficient  $\lambda$  is 0.24 when PMV is above 0 and -0.5 when PMV is below 0; while for HSCW,

432 HSWW and M zones, the coefficient of  $\lambda$  is 0.21 when PMV is above 0 and -0.49 when PMV  
433 is under 0. Accordingly, the obtained PMV ranges modified by human thermal adaptation are  
434 from -0.67 to 0.57 for SC and C zones, and from -0.66 to 0.56 for HSCW, HSWW and M  
435 zones.

436 Since that PMV model is the function of the four environmental parameters (temperature,  
437 relative humidity, air velocity, mean radiant temperature) and two individual parameters  
438 (clothing insulation and metabolic rate)[37], to get the relation between air temperature and  
439 relative humidity, the other four parameters should be as the known variables during the  
440 calculation. Based on the results from the field study, the mean air velocity, mean clothing  
441 insulation and the mean metabolic rates can be obtained for the five zones. However, the mean  
442 radiant temperature, not like the other three variables, is related to and change with air  
443 temperature. In general, there are three cases that may affect the radiant temperature: local  
444 heating and cooling, intrusion of short-wavelength radiation [28]. In CIBSE Guide A[42] when  
445 calculating the operative temperature, it pointed out that in well insulated buildings which are  
446 predominantly by convective means, the difference between air and the mean radiant  
447 temperatures is small. This was referred by Nicol et al. [43], who used the globe  
448 temperature( $T_g$ ) as the operative temperature to study the deviation of the adaptive equations  
449 for thermal comfort in free running buildings. In this study, the investigated objects are free-  
450 running residential buildings and the majority of thermal environments are naturally convected,  
451 even if they were heated in northern zones. As a result, here it is supported and reasonable to  
452 make an assumption that the mean radiant temperature was equal to the air temperature when  
453 analyzing the relation between air temperature and relative humidity. In this way, the unknown  
454 variables are reduced to air temperature and relative humidity under the given values of  
455 modified PMV, air velocity, clothing insulation metabolic rate (obtained from field survey)  
456 and the radiant temperature (equivalent way).

457 According to the method mentioned above, the resulting acceptable temperature limits can  
458 thus be calculated for different relative humidity levels, as shown in Table 5. The relative  
459 humidity values of 70% and 80% have been chosen as the upper limit here for the two northern

460 zones and the three southern zones respectively, according to the survey results.

461

462 Table 5. Comfort boundaries in the five climate zones

RH (%)	Temperature ranges (°C)				
	<i>SC zone</i>	<i>C zone</i>	<i>HSCW zone</i>	<i>HSWW zone</i>	<i>M zone</i>
30	19.36-30.15	17.41-29.12	18.42-28.63	19.99-29.95	21.45-27.56
40	19.16-29.92	17.15-28.85	18.10-28.52	19.89-29.78	21.32-27.48
50	18.89-29.84	16.96-28.64	17.85-28.32	19.72-29.62	21.05-27.32
60	18.62-29.58	16.65-28.48	17.72-28.12	19.53-29.43	20.91-27.09
70	18.47-29.32	16.48-28.27	17.67-27.90	19.18-29.36	20.75-26.78
80			17.54-27.69	18.89-29.10	20.40-26.59

463 It is found that the lower temperature limit in C zone is much smaller (nearly 2°C) than that in  
464 SC zone in winter, while the opposite happens if considering the upper temperature limit in  
465 summer (around 1°C), and this holds for every humidity value. For the three southern zones  
466 the differences of temperature boundaries obviously reflect the local climatic differences. As  
467 an example, the minimum and maximum indoor temperature limits in HSCW zone are lower  
468 than those of HSWW zone of about 1.81°C and 1.31°C respectively under 60% RH. By  
469 contrast, the M zone has the narrowest temperature ranges due to moderate outdoor and indoor  
470 climates, which results in weaker thermal acceptability of occupants. Table 5 highlights also  
471 that both the upper and lower temperature limits decrease by almost 1°C when increasing  
472 relative humidity from 30% to 70%/80% in the five zones, suggesting that humidity as well  
473 plays a role on determining thermal comfort. However, it should be stated that even though  
474 the effect is slight in comfort zone, the high air humidity could increase the risk of building  
475 moist, condensation and mold etc., and for human health, the humidity is still a key factor for  
476 building thermal environments.

477 According to the calculated temperature limits reported in Table 5, the acceptable comfort  
478 zones and the measured real indoor thermal environments from the surveyed buildings are  
479 compared in the psychrometric charts shown in Figure 4. In particular, the cases for winter are  
480 distinguished with green scatters and the remained with black scatters.

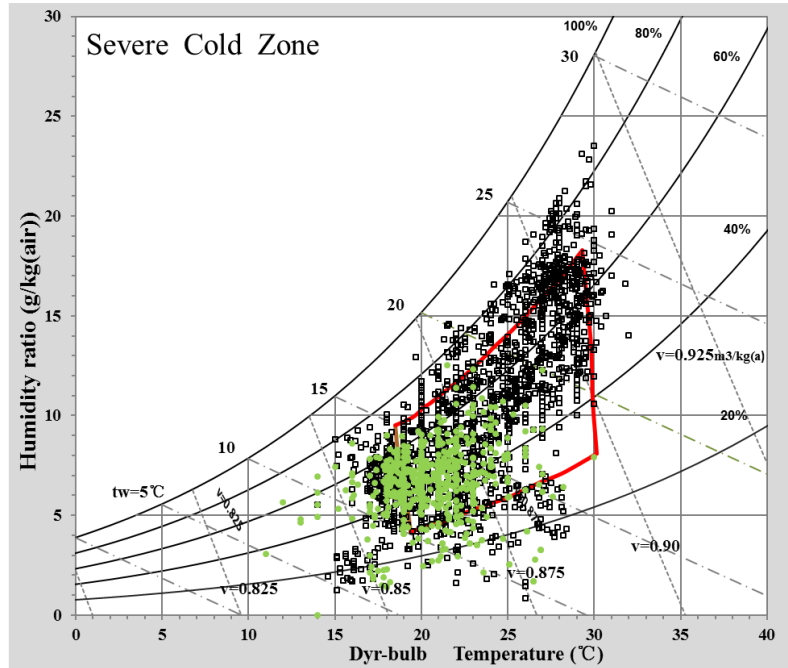
481 It is possible to notice how in the majority of cases for the SC and C zones indoor thermal  
482 conditions are distributed either within the comfort zone or close to its limits: the proportions  
483 of cases being within the comfort zone account for 65.59% for SC zone and 84.18% for C  
484 zone. This can be partly explained by the limited sample size and months comparably as well  
485 as by the contribution of central heating systems. However, as marked in green scatter in Figure  
486 4, the risk of overheating sometimes may occur, especially for buildings located in the C zone,  
487 since the indoor temperatures are inclined to higher ones of the limits.

488 Comparatively, in the HSCW and HSWW zones the indoor temperatures distribution span  
489 from around 5 °C to nearly 35 °C and just a limited number of data are in the comfort zone  
490 (only 44.73% for HSCW zone and 40.41% for HSWW zone). In winter, though the comfort  
491 zones presented have taken into account of occupants' thermal adaptation based on modified  
492 PMV range, the majority of cases (grey scatters) are out of comfort zones, manifesting again  
493 the terrible indoor thermal environments. Besides, the typical climatic characteristics of hot  
494 and humid in summer and cold and humid in winter leads to the results that more measured  
495 data are distributed in the range of 80% RH to 100% RH in summer and 60% RH to 80% RH  
496 in winter.

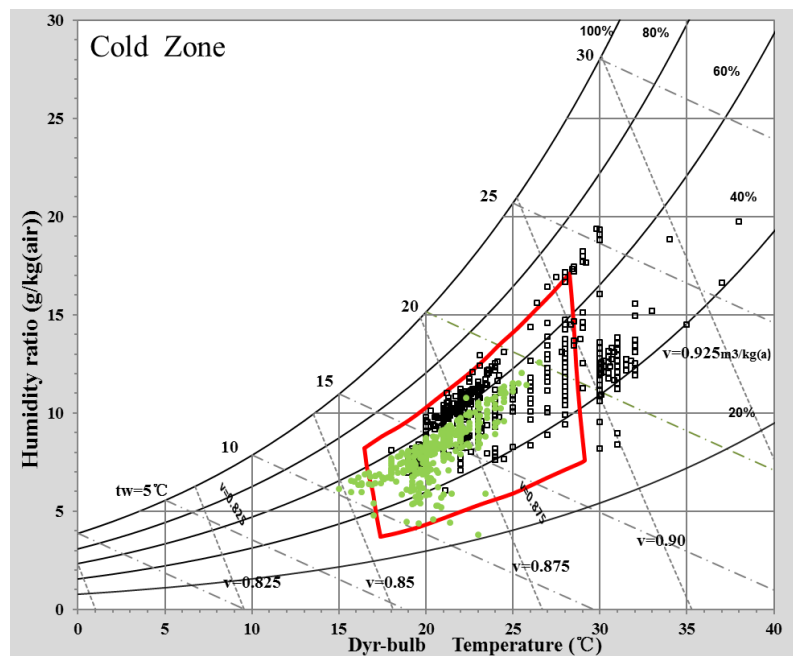
497 Figure 4 shows also in the M zone, even though data for some cases are below the lower limit,  
498 the overall indoor thermal environments fluctuated in the moderate temperature ranges (from  
499 15 °C to 25 °C) that are acceptable for occupants more easily. This contributes to create better  
500 indoor thermal environments, since the majority of cases investigated are within the comfort  
501 zone (57.82% out of the total).

502 Please note, the Figure 4 objectively demonstrates the comfort zones in the five climate zones  
503 using theoretical calculation and meantime considering the adaptive modification, and the real

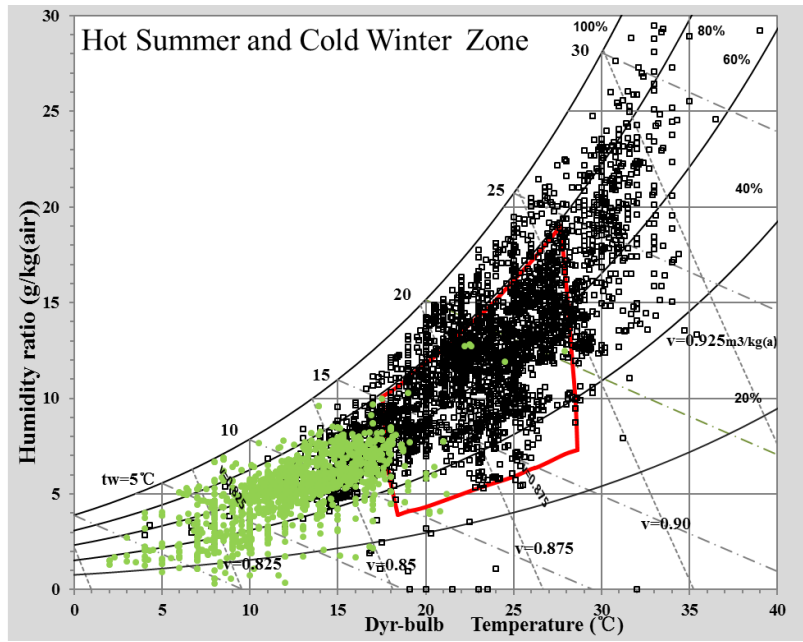
504 thermal environments conditions. It is not conflicting with the aforementioned analysis of  
505 subjective thermal perceptions that occupants have higher thermal acceptability with their  
506 surrounding thermal environments. On the contrary, it manifests the indoor thermal  
507 environments are still needed to improve pertinently, especially for HSCW and HSWW zones.



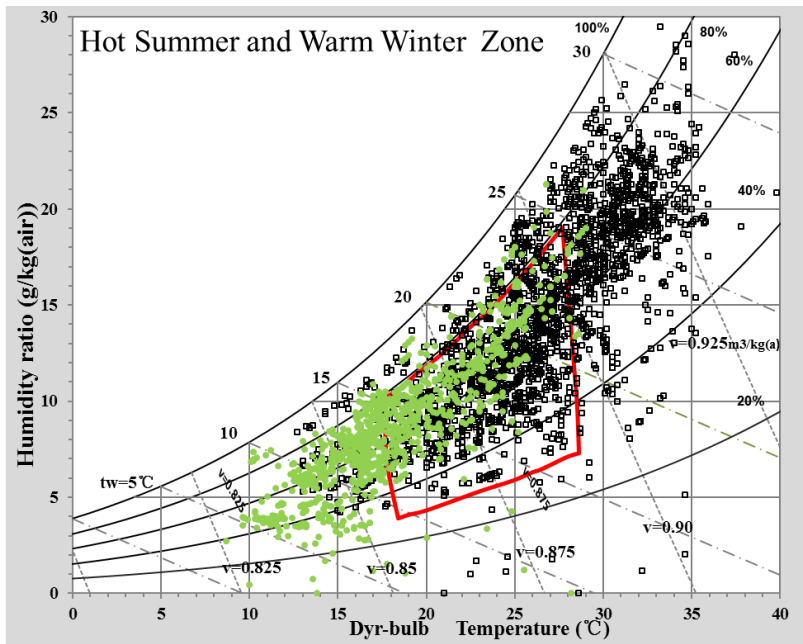
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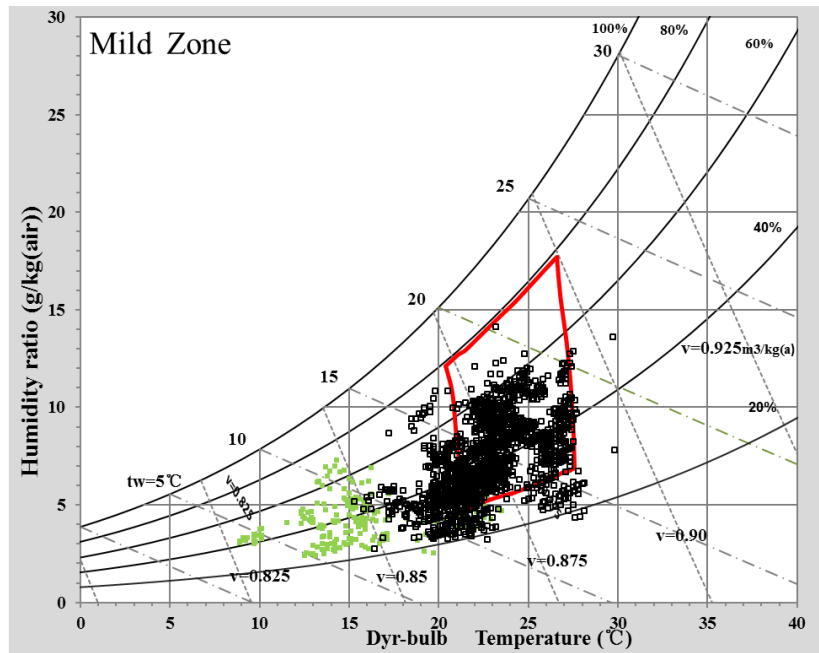
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512

513 Figure 4. The acceptable comfort zones (red line polygons) of annual indoor temperatures in  
 514 the five climate zones. Green dots: winter period samples. Black dots: all other periods'  
 515 samples

516 Table 6. The proportion of samples being within the thermal comfort zone yearly

Climate zones	SC Zone	C zone	HSCW Zone	HSWW Zone	M Zone
Total samples	3040	1410	6154	3820	2034
Samples in the comfort zone	1994	1187	2753	1544	1176
% of comfort samples	65.59	84.18	44.73	40.41	57.82

517 **4 Discussion**

518 Analysis from above sheds light on the thermal environments characteristics for the five  
 519 climate zones and some of the main findings from the field study are here discussed more in  
 520 depth highlighting their potential implications for policy makers when taking decisions about  
 521 new regulations concerning buildings construction and operation. Generally speaking, the best  
 522 indoor comfort conditions have been found in the M zone (see Figure 1 and Figure 4) due to  
 523 the mild climate conditions, and thus the mechanical heating and cooling would be used just

524 for few hours in a year. This means that no potential energy use increase for heating and  
525 cooling should be expected from buildings in this zone. Conversely, very different thermal  
526 environments have been found in the northern and southern zones of China that need to be  
527 analyzed more in detail for their implications on buildings energy consumption.

#### 528 *4.1 Indoor thermal environments and their energy efficiency potential in the two northern* 529 *zones of China*

530 As discussed above, the availability of central heating system in majority of residential  
531 buildings in SC and C zones makes wintertime indoor conditions comfortable for nearly 66%  
532 of time in SC zone and 84% of time in the C zone respectively. Figure 1 shows also that the  
533 indoor temperatures are always above 18°C regardless of the outdoor temperatures in winter,  
534 which is in agreement with Cao' studies[23]. Fortunately, according to the most recent  
535 Tsinghua Annual Report on China Building Energy Efficiency[3], though the total energy use  
536 for heating increases with the building areas increase in northern China, the energy  
537 consumption for heating per square meter has been reduced significantly by 34% from 2001  
538 to 2014, mainly due to improvements in buildings' envelope insulation, heating source forms  
539 and heating systems efficiencies. In this case, in these two northern zones, the further  
540 improvements of indoor thermal environments can be achieved by technical application and  
541 the increase of additional heating energy demand caused by new buildings can be  
542 moderately reduced.

543 As known, occupants' behavioral regulations are important factors for energy savings.  
544 However, what emerges from this survey is that the centrally-heated residential buildings  
545 investigated do not provide any control to occupants in terms of set-point temperatures or  
546 switching devices, which would predictably lead to energy waste and overheating issues (see  
547 Figure 1 and 4), especially for well-insulated envelopes. The 'over-heating' impels  
548 occupants to opening the windows to cool down rooms[44], or to dress with summer clothes,  
549 causing inevitably the additional energy waste. Unfortunately, the potential of energy saving  
550 caused by behavioral changes at present is difficult to quantify. It is generally assumed that  
551 behavioral changes could save between 10% and 30% in heating[45]. Based on this, the



552 appropriate individual controls and behavior guides are the key points in these zones.

553 Therefore, what is suggested in these cold zones is mainly the use of passive heating techniques  
554 such as improving the envelope air tightness, coupled with efficient heating systems, as well  
555 as the management models such as household-based heating metering and flexible individual-  
556 controls, to avoid the potential overheating issues. More importantly, it is worth considering  
557 that the set point of indoor air temperature for continuous heating should be changed  
558 dynamically during the heating periods. That is to say, the temperature set point can be slightly  
559 high in the early heating period, but it should be reduced in the mid-heating period due to the  
560 thermal storage in envelop, which would increase the mean radiant temperatures. In the late-  
561 heating period, coupled with the gradually increasing outdoor temperatures, the set point can  
562 be reduced further. As a result, the subdivision of heating periods and the stage-management  
563 of temperature set points are urgent to be solved for energy saving standards and policy making  
564 in northern China.

#### 565 *4.2 Occupants' thermal adaptation for thermal environment design and appropriate* 566 *heating/cooling modes in south of China*

567 The outcomes of this study highlights how the situation changes drastically in the two southern  
568 climate zones: here indoor thermal environments strictly follow outdoor conditions (see Figure  
569 1) and are unbearably far away from comfort zone (Figure 4). Indeed, it is clearly seen that at  
570 least for half of the time the thermal environments could not meet comfort requirements in  
571 these regions. Especially in winter, there is a huge gap of indoor temperatures compared to  
572 northern zones. Comfort conditions account only for 5% of the time in the HSCW zone and  
573 for 34% of time in the HSWW zone in winter, well distant from the values set by the relevant  
574 standards[16, 29, 36, 41]. As a result, the thermal environment improving seems to take the  
575 first place in these two southern regions.

576 However, the improvements of thermal environments in HSCW and HSWW zones have posed  
577 great pressure on energy consumption, especially for HSCW zone, where the heating and  
578 cooling demand are both existed. In fact, according to the urban residential building energy

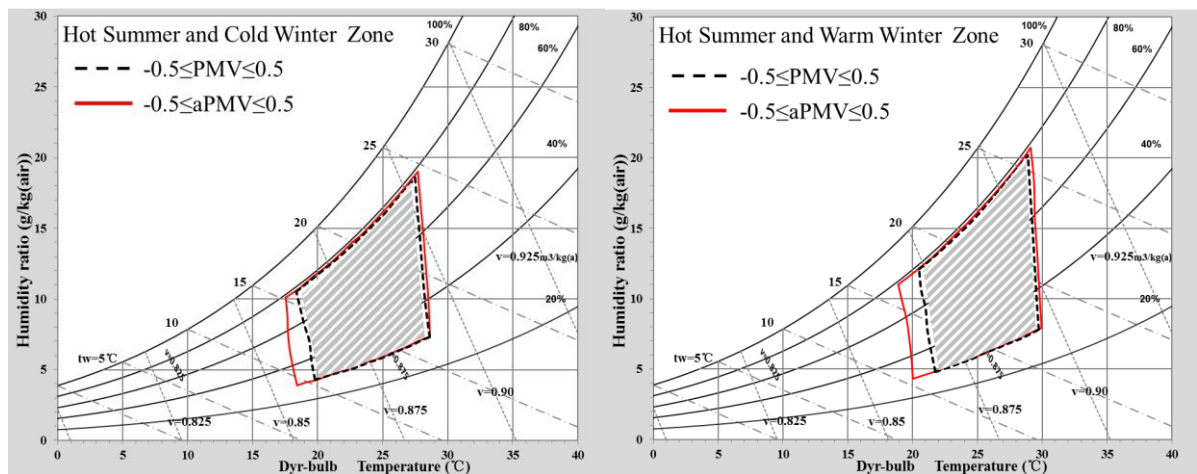
579 use analysis[46], the occupants' expectations to improve their living standards in HSCW zone  
580 have already increased the number of standalone heating devices used, with a dramatic growth  
581 of 4.4 times in the heating energy consumption from 2001 to 2011. Though presently energy  
582 consumption for heating in residential areas is relatively low, the heating system penetration  
583 rate is predicted to soar in the next years because of the rapid urbanization rate and growing  
584 people's living standard expectations[47], and thus it will significantly affect any effort to  
585 control the total energy consumption of China[48].

586 However, from the view of thermal adaptation of occupants who have been in free running  
587 conditions for a long time, the challenge resulting from the increasing energy demand would  
588 be alleviated to some degree. From the study, although the indoor thermal environments are poor  
589 (Figure1), the APD of the majority cases with the TSV changing mainly in the range of -1 to  
590 1 is lower than 20%, meaning that occupants have relatively high thermal satisfaction with  
591 thermal environments (Figure 3). This suggests that occupants who have been acclimatized to  
592 the local climate for a long time would have stronger thermal tolerance and weaker sensitivity  
593 to temperature variations[13, 19-21]. More importantly, the long-term physiological  
594 acclimatization of occupants may persist even when heating facilities are introduced into their  
595 built environments[49]. Besides, apart from physiological adaptation, psychological  
596 adaptation also plays an important role in determining occupants' thermal satisfaction: in fact,  
597 occupants would lower their psychological expectation on thermal environments if they realize  
598 they are unable to change but to accept it[19]. In our survey occupants' APD of indoor thermal  
599 environments were mainly under 20% (see Figure 3) in response to TSV changes, meaning  
600 that although indoor environments deviate from neutral conditions, occupants have been  
601 accustomed to such environments[13, 21]. As a result, the thermal adaptation would relieve  
602 the discomfort caused by temperature deviation and widen the acceptable temperature ranges  
603 of occupants. That is to say, it is possible to build the indoor temperature design to the slight  
604 cold side in winter and the slight hot side in summer[50] in these zones.

605 Figure 5 shows the comparisons of the two comfort zones calculated by the predicted PMV  
606 model and the modified PMV model using the aPMV method with the same prerequisites. It

607 is clearly seen the thermal adaptation extends the comfort zones, especially in the cold sides.  
 608 The differences of the lower limits of temperatures are up to 1.76°C for HSCW zone and  
 609 1.36°C for HSWW zone at 30%RH. This means if the heating is available in winter in  
 610 residential buildings, the design set point of temperature could be 1.76°C and 1.36°C lower  
 611 respectively than the values recommended in the present standards, without compromising  
 612 occupants' thermal comfort, which further supports the study by[49]. On the other hand, the  
 613 extension of comfort zones would shorten the heating and cooling periods in these zones. This  
 614 extends the non-HAVC period in transient seasons and provides great potential of building  
 615 energy saving; meantime reduces energy demand of HAVC systems during the improvement  
 616 of thermal environments in HSCW and HSWW zones.

617



618

619 Figure 5 Comparisons of the comfort zones with PMV and modified PMV using aPMV  
 620 method in HSCW and HSWW zones

621

622 Except the thermal environment design, the appropriate models for heating and cooling have  
 623 being the focus in these zones. Considering the building performance and climatic  
 624 characteristics, the outcomes of this study supports the statement for which part-time-part-  
 625 space heating is able to provide comfortable indoor thermal environments, and meantime is  
 626 much more energy efficient than the full-time-full-space heating used in HSCW zone[51]. It

627 is highly recommended to develop diversified decentralized heating system[48] (e.g. air-  
628 source heat pump technology, solar energy, capillary radiant panels) to enhance the  
629 heating/cooling system efficiencies in this zone. In the meantime, studies on occupants' habits  
630 in this zone[21, 52, 53] should be of equal importance, in order to guide households towards  
631 energy-conserving behaviors[54].

## 632 **5 Conclusions**

633 A precedent large-scale survey on annual indoor thermal environments and comfort conditions  
634 in residential buildings has been conducted in the five climate zones of China (Severe Cold,  
635 Cold, Hot Summer and Cold Winter, Hot Summer and Warm Winter and Mild) in China. It  
636 forms a database with about 16500 sets of data for free-running buildings that has been  
637 discussed in this paper.

638 The indoor thermal environments in residential buildings show significant differences across  
639 the country. In northern China (i.e. Severe Cold (SC) and Cold (C) zones), the indoor thermal  
640 conditions in winter are weakly affected by outdoor climates and maintained above 18°C  
641 because of the use of central heating systems. As a consequence, the proportion of indoor  
642 temperatures falling in the comfort zone are high for the SC zone (65.59%) and for the C zone  
643 (84.18%). By contrast, the HSCW and HSWW zones have the least proportion of indoor  
644 temperatures falling in the comfort zone: 44.73% and 40.41% respectively due to the  
645 remarkable effect of outdoor climates. The mild climate of the Mild (M) zone contributes to a  
646 comfortable indoor thermal environment with a narrow temperature fluctuation from 18°C to  
647 24°C all year round.

648 Despite the very different thermal environments, occupants have high thermal acceptability to  
649 indoor conditions thanks to long-term thermal adaptation. Indeed, the annual mean TSV of  
650 occupants is found to be mostly within the range from -1 to 1 for a wide range of temperatures,  
651 and show a different sensitivity according to different temperature ranges (it tends to vary in  
652 magnitude more easily for higher indoor temperatures rather than for low temperatures). The  
653 Actual Percentage of Dissatisfied (APD) models obtained by modification of Fanger's PPD

654 model, prove to well-match with the change of the mean TSV, indicating the lower  
655 dissatisfaction of occupants with thermal environments (APD being under 20%).

656 By combining the occupants' thermal adaptation to local climates, the comfort zones based on  
657 the adaptive Predictive Mean Vote (aPMV) and the PMV are drawn in the five zones. The  
658 resulting temperature ranges differ for different climate zones as well as for relative humidity  
659 levels, and are differed due to residents' long-term physiological and psychological adaptation.

660 This research provides comprehensive knowledge of the current situation of the indoor thermal  
661 environments and occupants' thermal perception and adaptation in the five different climate  
662 zones which can benefit research communities in studying climate responsive solutions to  
663 heating and cooling in order to satisfy the dual targets of thermal comfort and energy  
664 conservation. Furthermore, the research findings provide evidence to the building energy  
665 policy-makers the need of climate-occupant-responsive design standards for residential  
666 buildings in different regions in China.

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786 **APPENDIX**787 **Questionnaire of Indoor Thermal Environments for Summer Survey**788 **First Part (for respondents)**789 Sex: Male  Female , Age:\_\_\_\_, Height:\_\_\_\_, Weight:\_\_\_\_, Occupation : \_\_\_\_\_

790 Length of residence: \_\_\_\_\_year (s)

<b>1. Built time for present buildings:</b>	Before 70s <input type="checkbox"/> , 70s <input type="checkbox"/> , 80s <input type="checkbox"/> , 90s <input type="checkbox"/> , new buildings <input type="checkbox"/>
<b>2. Present dressing:</b>	<b>upper</b> : shirt <input type="checkbox"/> , T-shirt <input type="checkbox"/> , a suit and tie <input type="checkbox"/> , thin coat <input type="checkbox"/> , none <input type="checkbox"/> <b>lower</b> : trousers <input type="checkbox"/> , shorts <input type="checkbox"/> , dresses <input type="checkbox"/> , skirts <input type="checkbox"/> , <b>shoes</b> : sneaker <input type="checkbox"/> , leather shoes <input type="checkbox"/> , sandals <input type="checkbox"/> , slipper <input type="checkbox"/> , <b>socks</b> : socks(thin) <input type="checkbox"/> , silk socks <input type="checkbox"/> , none <input type="checkbox"/> , <b>others</b> : _____
<b>3. Time spending in this room:</b>	morning <input type="checkbox"/> , noon <input type="checkbox"/> , afternoon <input type="checkbox"/> , evening <input type="checkbox"/> , all day <input type="checkbox"/> total hours : _____
<b>4. Feeling at present :</b>	<b>temperature:</b> hot <input type="checkbox"/> , warm <input type="checkbox"/> , slightly warm <input type="checkbox"/> , neutral <input type="checkbox"/> , slightly cool <input type="checkbox"/> , cool <input type="checkbox"/> , cold <input type="checkbox"/> <b>humidity</b> : too humid <input type="checkbox"/> , humid <input type="checkbox"/> , slightly humid <input type="checkbox"/> , comfort <input type="checkbox"/> , slightly dry <input type="checkbox"/> , dry <input type="checkbox"/> , too dry <input type="checkbox"/> <b>air movement:</b> too stuffy <input type="checkbox"/> , stuffy <input type="checkbox"/> , slightly stuffy <input type="checkbox"/> , comfort <input type="checkbox"/> , slightly windy <input type="checkbox"/> , windy <input type="checkbox"/> , too windy <input type="checkbox"/>
<b>5. Thermal satisfaction at present :</b>	dissatisfied <input type="checkbox"/> , slightly dissatisfied <input type="checkbox"/> , acceptable <input type="checkbox"/> , slightly satisfied <input type="checkbox"/> , satisfied <input type="checkbox"/>
<b>6. If dissatisfied, the reason is :</b>	none <input type="checkbox"/> , cold <input type="checkbox"/> , hot <input type="checkbox"/> , humid <input type="checkbox"/> , dry <input type="checkbox"/> , stuffy <input type="checkbox"/> , draught <input type="checkbox"/> , others : _____
<b>7. Thermal expectation for indoor thermal environments:</b>	<b>temperature</b> : upper <input type="checkbox"/> , no change <input type="checkbox"/> , lower <input type="checkbox"/> <b>humidity</b> : upper <input type="checkbox"/> , no change <input type="checkbox"/> , lower <input type="checkbox"/> <b>air velocity</b> : upper <input type="checkbox"/> , no change <input type="checkbox"/> , lower <input type="checkbox"/>

<b>8. Which ways would you like to improve individual thermal comfort :</b>	Comfortable, no change <input type="checkbox"/> , using air-conditioning <input type="checkbox"/> , opening window for ventilation <input type="checkbox"/> , closing window <input type="checkbox"/> ,add clothing <input type="checkbox"/> , take off clothing <input type="checkbox"/> , hot drinks <input type="checkbox"/> , cool drinks <input type="checkbox"/> , light activities <input type="checkbox"/> , changing postures <input type="checkbox"/> , others : _____
<b>9. The habit, time and reasons for window opening :</b>	Habits: frequently <input type="checkbox"/> , occasionally <input type="checkbox"/> , seldom <input type="checkbox"/> ; Time: morning <input type="checkbox"/> , noon <input type="checkbox"/> , afternoon <input type="checkbox"/> , evening <input type="checkbox"/> ; Reasons: smoking <input type="checkbox"/> , stuffy <input type="checkbox"/> , ventilation <input type="checkbox"/> , lighting <input type="checkbox"/>
<b>10. Do you use air-conditioning frequently in Summer:</b>	YES <input type="checkbox"/> ,NO <input type="checkbox"/> ; if it is no, please choose the reason: ①comfortable, no need <input type="checkbox"/> , ②unlike, draught <input type="checkbox"/> , ③poor air circulation <input type="checkbox"/> , ④power saving <input type="checkbox"/> , ⑤using other regulation methods <input type="checkbox"/> , ⑥without devices in rooms <input type="checkbox"/>
<b>11. How are you feelings in the room for a long time?</b>	Fatigue and drowsiness <input type="checkbox"/> , nausea and dizzy <input type="checkbox"/> , hot and upset <input type="checkbox"/> , eyes irritation <input type="checkbox"/> , sore throat <input type="checkbox"/> , nose discomfort and shortness of breath <input type="checkbox"/> , tinnitus <input type="checkbox"/> , impaired concentration <input type="checkbox"/> , dry, itchy and rash of skin <input type="checkbox"/> , none <input type="checkbox"/>
<b>12. The overall thermal acceptability for thermal environments :</b>	absolutely unacceptable <input type="checkbox"/> , unacceptably <input type="checkbox"/> , slightly unacceptable <input type="checkbox"/> , slightly acceptable <input type="checkbox"/> , acceptable <input type="checkbox"/> , absolutely acceptable <input type="checkbox"/>

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792

### Second Part (for testers)

793

**City:** \_\_\_\_\_ **Building name:** \_\_\_\_\_ **Types of community:** residences, downtown ; others

794

**Dates :** \_\_\_\_yy\_\_mm\_\_dd **Time :** \_\_\_\_\_ **Weather** (sunny cloudy rain snow

795

**Tester name :** \_\_\_\_\_

<b>1. Building structure :</b>	Masonry-concrete structure <input type="checkbox"/> , Reinforced Concrete Structure <input type="checkbox"/> , others <input type="checkbox"/>
<b>2. Building location:</b>	Along the street <input type="checkbox"/> , away from street <input type="checkbox"/> , suburb <input type="checkbox"/>
<b>3. Total layers and floor :</b>	Floor:_____, total:_____ (basement excluded)

<b>4. Window orientation for measuring room :</b>	east <input type="checkbox"/> , south <input type="checkbox"/> , west <input type="checkbox"/> , north <input type="checkbox"/> , southeast <input type="checkbox"/> , northeast <input type="checkbox"/> , southwest <input type="checkbox"/> , northwest <input type="checkbox"/>
<b>5. Type of rooms :</b>	Living rooms:_____ Bedrooms:_____
<b>6. Room areas :</b>	areas:_____ m <sup>2</sup> , window (overall : _____ m <sup>2</sup> , opening areas _____ m <sup>2</sup> )
<b>7. Types of windows :</b>	Single frame with single glass <input type="checkbox"/> , single frame with double glass <input type="checkbox"/> , double frames with double glass <input type="checkbox"/>
<b>8. The number of people presently in room:</b>	Number: _____
<b>9. Activities for respondents :</b>	reclining <input type="checkbox"/> , sitting <input type="checkbox"/> , standing <input type="checkbox"/> , walking <input type="checkbox"/>
<b>10. The window condition at present :</b>	open <input type="checkbox"/> , close <input type="checkbox"/>
<b>11. The regulation method for indoor thermal environments at present:</b>	Air-conditioning <input type="checkbox"/> , household central air-conditioning <input type="checkbox"/> , central cooling <input type="checkbox"/> , air conditioning fan <input type="checkbox"/> , electric fan <input type="checkbox"/> , naturally ventilation <input type="checkbox"/> , without regulation measures <input type="checkbox"/> , others: _____
<b>12. Is the air-conditioning opened? if so, the set-point is :</b>	Yes <input type="checkbox"/> , No <input type="checkbox"/> Under 20°C <input type="checkbox"/> , 20°C <input type="checkbox"/> , 21°C <input type="checkbox"/> , 22°C <input type="checkbox"/> , 23°C <input type="checkbox"/> , 24°C <input type="checkbox"/> , 25°C <input type="checkbox"/> , 26°C <input type="checkbox"/> , 27°C <input type="checkbox"/> , ≥28°C <input type="checkbox"/> , unclear <input type="checkbox"/>

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### Third Part (environmental parameters)

799 **1. Test instrument type :** Temperature and humidity meter : \_\_\_\_\_ Anemometer : \_\_\_\_\_

800 **2. Instrument accuracy:** Temperature and humidity meter : \_\_\_\_\_ Anemometer : \_\_\_\_\_

801 **3. Recording Table :**

Measuring times	1	2	3	Indoor air temperature °C :	1	2	3
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Outdoor air temperature °C :				Indoor air temperature °C :			
Outdoor relative humidity % :				Indoor relative humidity % :			
Outdoor air velocity m/s :				Indoor air velocity m/s :			

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