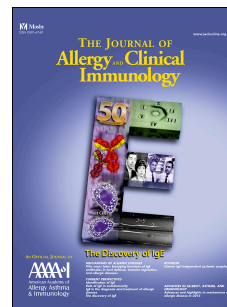


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Latent class analysis reveals clinically relevant atopy phenotypes in two birth cohorts

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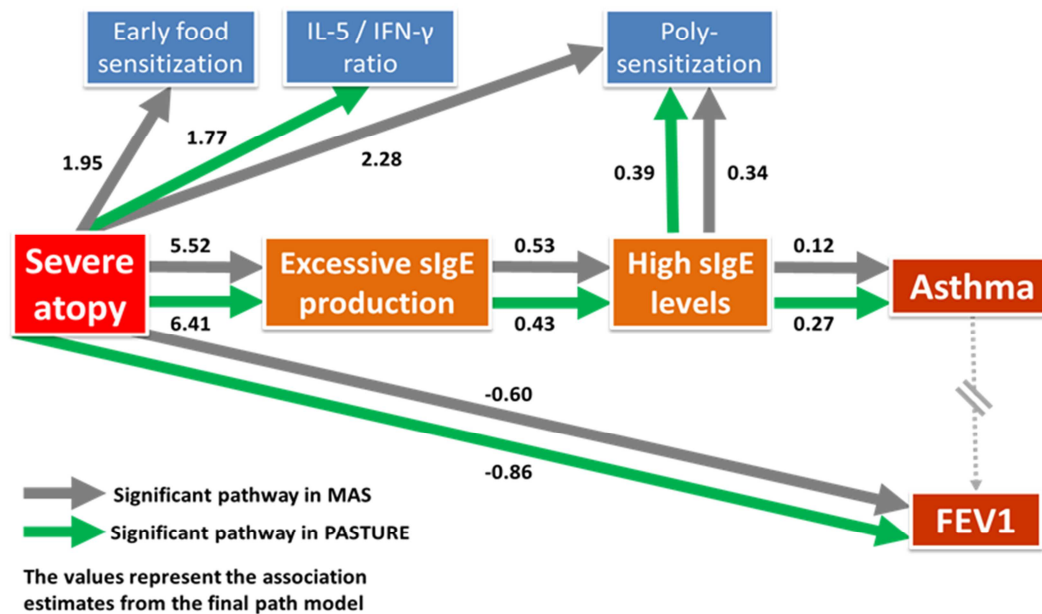
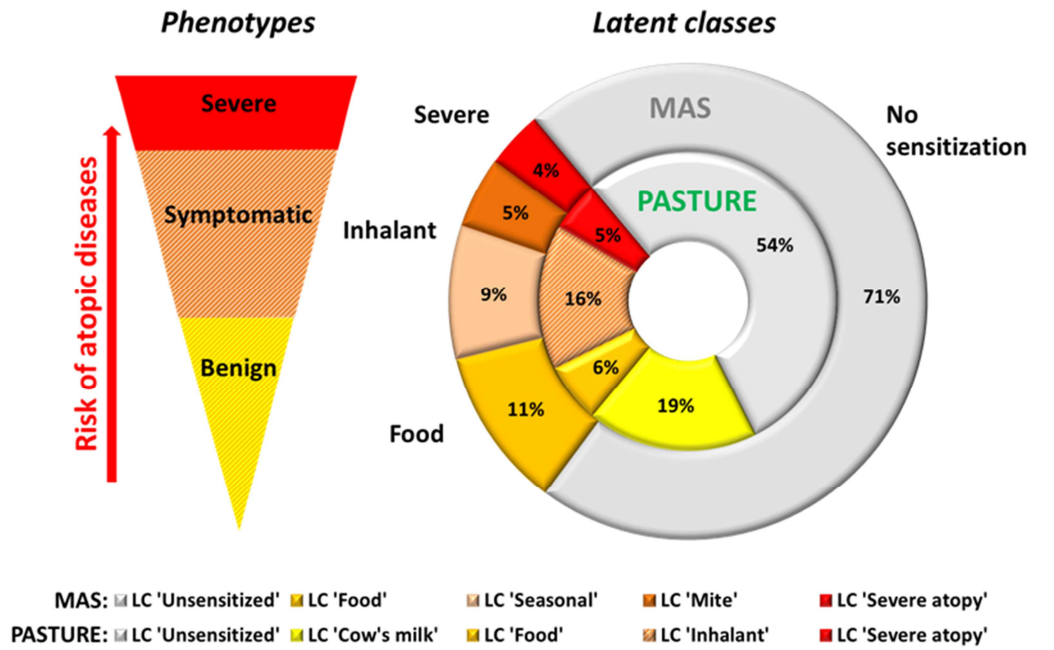
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2 **birth cohorts**

3
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114

115 **Abstract**116 **Background:**

117 Phenotypes of childhood-onset asthma are characterized by distinct trajectories and functional fea-
118 tures. For atopy, definition of phenotypes during childhood is less clear.

119 **Objective:**

120 To define phenotypes of atopic sensitization over the first 6 years of life by a latent class analysis
121 (LCA) integrating three dimensions of atopy: allergen specificity, time course, and levels of specific
122 IgE.

123 **Methods:**

124 Phenotypes were defined by LCA in 680 children of the MAS and 766 of the PASTURE birth cohorts
125 and compared to classical non-disjunctive definitions of seasonal, perennial, and food sensitization
126 with respect to atopic diseases and lung function. Cytokine levels were measured in PASTURE.

127 **Results:**

128 The LCA classified predominantly by type and multiplicity of sensitization (food versus inhalant),
129 allergen combinations, and sIgE levels. Latent classes were related to atopic disease manifestations
130 with higher sensitivity and specificity than the classical definitions. LCA detected in both cohorts con-
131 sistentlly a distinct group of children with *severe atopy* characterized by high seasonal sIgE and a
132 strong propensity for asthma, hay fever, eczema and impaired lung function even in children without
133 an established asthma diagnosis. *Severe atopy* was associated with an elevated interleukin-
134 5/interferon-gamma ratio. A path analysis among sensitized children revealed that among all features
135 of *severe atopy* only excessive sIgE production early in life impacted on asthma risk.

136 **Conclusions:**

137 LCA revealed a set of benign, symptomatic, and *severe atopy* phenotypes. The severe phenotype
138 emerged as a latent condition with signs of a dysbalanced immune response. It determined high asth-
139 ma risk via excessive sIgE production and directly impacted on impaired lung function.

140

141 **Clinical Implications:**

142 Atopic sensitization was classified into benign, symptomatic and severe phenotypes. *Severe atopic*
143 children were characterized by a strong propensity for atopic diseases mediated by excessive sIgE
144 production early in life and poor lung function even in those without an established asthma diagnosis.

145

146 **Capsule summary:**

147 Atopic sensitization was classified with respect to disease relevance in three phenotypes of benign,
148 symptomatic, and *severe atopy*, which impacted on asthma risk via excessive production of specific
149 IgE early in life and on poor lung function.

150

151 **Key words:**

152 Atopy; IgE; sensitization; asthma; lung function; cytokines; latent class analysis; unsupervised cluster-
153 ing; path analysis; epidemiology

154

155

156 **Abbreviation list:**157 *Abbreviations used*

158 IgE: Immunoglobulin E

159 sIgE: specific Immunoglobulin E

160 LCA: Latent class analysis

161 LC: Latent class

162 MAS: Multizentrische Allergiestudie

163 PASTURE: Protection against allergy: Study in rural environments

164 CAP: Carrier polymer system

165 ISAAC: International Study of Asthma and Allergies in Childhood

166 AD: Atopic dermatitis

167 FEV1: Forced expiratory volume in 1 second

168 ROC: Receiver operating characteristic

169 AIC: Akaike information criterion

170 AUC: Area under the ROC curve

171 IU/ml: International Units/milliliter

172 ng/ml: Nanogramms/milliliter

173 μ g/ml: Microgramms/milliliter

174 pg/ml: Picogramms/milliliter

175 IL-5: Interleukin-5

176 IFN- γ : Interferon- γ

177 PI: Phorbol 12-myristate 13-acetate / ionomycin

178

179 Introduction

180 Asthma and atopy often manifest concomitantly before school-age. But the interrelation of both phe-
181 nomena remains obscure, possibly because both conditions may result from a multitude of individual
182 pathologies, whose complex interferences blur the entire picture. In the case of asthma, wheezing phe-
183 notypes have been identified and consolidated by data-driven approaches.¹⁻³ These approaches, how-
184 ever, are currently only emerging for atopy classification.

185 Because of co-sensitizations, categorization by allergen specificity or type of sensitization is ambigu-
186 ous and leads to overlapping groups such as food, inhalant perennial, or inhalant seasonal sensitiza-
187 tion.⁴ Other approaches applying disjunctive categories mainly rely on temporal patterns, focusing on
188 the age of onset,⁵⁻⁹ longitudinal trends,¹⁰ persistence of IgE sensitization,^{11,12} or consider multiplicity of
189 allergen specificities, i.e. mono- versus polyvalent sensitization.¹³⁻¹⁸ However, it has been pointed out
190 that all the above approaches are susceptible to investigator bias.¹⁹ This issue can be overcome by
191 data-driven, unsupervised statistical methods such as latent class analysis (LCA). Until now, these
192 approaches focused on allergen specificities at one²⁰ or several^{19,21,22} time points, but did not consider
193 strength of sensitization as assessed by IgE levels.

194 We considered this omission a shortcoming given the well-known disease relevance of IgE-levels²³
195 and therefore included this dimension in our analysis. We applied LCA to two rather different birth
196 cohorts, i.e. the urban MAS cohort (Multizentrische Allergiestudie, MAS) and the rural PASTURE
197 study (Protection against allergy: Study in rural environments). The aim of this analysis was to com-
198 pare LCA-derived classification to classical definitions of atopy based on carrier polymer system
199 (CAP) classes and to relate both systems to manifestation of asthma, allergic diseases, cytokine ex-
200 pression, and lung function. Finally we sought to integrate the various aspects of atopy in a path model
201 for asthma and lung function.

202 **Methods**

203 ***Study design and population***

204 Both birth cohorts were set up to study the development of childhood asthma and allergies. MAS re-
205 cruited 1314 healthy mature infants born in 1990 in five German cities (Berlin, Düsseldorf, Freiburg,
206 Mainz, and Munich).²⁴ Of those, 499 had risk factors for atopy, i.e. raised cord blood IgE (≥ 0.9 kU/L)
207 or at least two atopic family members. PASTURE recruited 1133 children in 2002-2005 from rural
208 areas in 5 European countries: Austria, Finland, France, Germany, and Switzerland.²⁵ Children of
209 mothers living on family-run livestock farms were assigned to the farm study group. The reference
210 study group comprised children of mothers from the same rural areas but not living on a farm. Both
211 studies were approved by the ethics committees of the participating institutions, and written informed
212 consent was obtained from the children's parents or guardians.

213 ***Atopic sensitization (Specific IgE in serum samples)***

214 In MAS, serum samples were obtained from the children at 1, 2, 3, 5, 6 and 7 years of age. Specific
215 IgE antibodies (sIgE) to food allergens (cow's milk, egg white, soy bean, wheat) and inhalant aller-
216 gens (house dust mites *Dermatophagoides pteronyssinus*, cat dander, mixed grass, birch pollen, and
217 dog dander from age 3 years on) were determined with ImmunoCAP (Phadia, Freiburg, Germany).
218 Soy bean was excluded from the analyses because it was not measured in PASTURE for all time
219 points and dog dander due to the lack of measurements at year 1 and 2. In PASTURE, specific IgE for
220 6 food and 13 common inhalant allergens was assessed in cord blood samples and at the age of 12, 54
221 and 72 months in peripheral blood by using the semiquantitative Allergy Screen test panel for atopy
222 (Mediwiss Analytic, Moers, Germany) in a central laboratory.⁴ Because of common cross-reactivity
223 and low frequencies of some specificities, the original 19 specificities were combined into 9 categories
224 finally entered in the LCA: grass pollen (rye pollen or grass pollen mix), tree pollen (alder, birch pol-
225 len or hazel pollen) cat, dog, mites (*Dermatophagoides pteronyssinus* or *Dermatophagoides farinae*),
226 hen's egg, cow's milk, wheat flour, nuts (peanut or hazelnut). In MAS the categories nuts and dog
227 were not available.

228

229

230 Questionnaires

231 In MAS, at each follow-up visit at the age of 1, 3, 6, 12, 18, and 24 months and from then on yearly
232 within 4 weeks of the child's birthday up to the age of 7 years, parents were interviewed for asthmatic
233 and atopic symptoms and disease, diet, development, and psychological aspects. From age 5 years
234 onwards, questions relating to wheeze corresponded to the International Study of Asthma and Aller-
235 gies in Childhood (ISAAC) core questions. In PASTURE, questionnaires were administered at the end
236 of pregnancy and when the children were 2, 12, 18, 24, 36, 48, 60, and 72 months of age to obtain
237 information on frequencies of wheeze, parental atopic status, and environmental exposures with a fo-
238 cus on farming and nutrition.⁴ Variable definitions were harmonized between both studies. Lifetime
239 asthma was defined as a physician's diagnosis of asthma at least once per lifetime as reported by the
240 parents at age 6 years, children with no diagnosis of asthma and no current wheeze in the last 12
241 months served as controls. Hay fever was defined as parental reported rhinitis symptoms ever or a
242 physician's diagnosis of hay fever or allergic rhinitis ever at age 6. Atopic dermatitis (AD) was de-
243 fined as a physician's diagnosis of atopic eczema at least once per lifetime as reported by the parents
244 at age 6 years, children with no diagnosis of atopic eczema and no atopic eczema in the last 12 months
245 where the control subjects.

246 Lung function measurements

247 At the age of 7 years in MAS in 801 children,⁶ and at 6 years in PASTURE in 799 children,³ forced
248 expiratory volume in 1 second (FEV1) was measured and z-standardized.²⁶

249 Cytokine assessment

250 In PASTURE, whole blood supernatants from 6-year-old children were collected after 48h stimulation
251 with PI (5ng/ml PMA, 1µg/ml Ionomycin). Interleukin (IL)-5 and Interferon (IFN)-γ were measured in
252 the supernatants by multiplexed cytometric bead array (BD Biosciences, San Jose, CA) in Marburg,
253 Germany. The detection limit was 0.01pg/ml and values below were replaced by 0.001 in n=17 (IL-5)
254 and n=11 (IFN-γ) individuals. Cytokine concentrations were standardized to peripheral blood leuko-
255 cyte counts (Sysmex KX-21N blood cell analyzer; Sysmex Corporation, Kobe, Japan) and z-
256 transformed.

257 Statistical Analysis

258 Children with missing sIgE data for at least 3 out of 6 (MAS) or 2 out of 4 (PASTURE) measurement
259 time points were excluded. For all other children, missing sIgE values were imputed by multiple linear
260 imputation of the continuous sIgE values in 20 replicates. Categorical variables were created from the
261 imputed continuous variables for the level of sIgE with following categories (in kU/L): sIgE <0.35;
262 ≥ 0.35 sIgE <0.7; ≥ 0.7 sIgE <3.5; sIgE ≥ 3.5 corresponding to CAP classes; in PASTURE the lowest
263 category was again split at 0.2 kU/L because of the comparably lower sIgE values and a lower detec-
264 tion limit of the measurement method. For each imputed dataset an LCA based on categorized sIgE
265 values between birth and year 6 was performed assigning individuals to classes by their highest poste-
266 rior probabilities,²⁷ and each subject was assigned to the latent class (LC) it was classified in the ma-
267 jority of the 20 replications (more details see in the methods section of the Online Repository). To
268 enhance recognition the retrieved LCs were arbitrarily labeled according to their key features.

269 Classical definitions of atopy were defined as being sensitized to a specific allergen or groups of aller-
270 gens (seasonal, perennial, or food allergens) at a specific CAP class at a specific time point, irrespec-
271 tively of sensitizations to other allergens. The LCs were compared to these classical definitions with
272 respect to true- and false-positive rates using receiver operating characteristics (ROC) curves. Associa-
273 tions of outcomes with potential determinants were calculated by linear or logistic regression. Effect
274 estimates are given with 95%-confidence intervals as odds ratios (ORs) for dichotomous outcomes and
275 β -estimates for linear continuous outcomes such as lung function parameters. All regression analyses
276 were adjusted for center and in PASTURE additionally for study group. Control subjects used in the
277 regression models for LCA were subjects assigned to LC “*unsensitized*” and for classical definitions
278 children without any sensitization at CAP class 1 at the respective time point. Statistical analyses were
279 performed with SAS 9.4 and MPLUS 7.

280

281 **Results**

282 The analysis population consisted of 680 MAS children (52% of 1314 at recruitment, **Figure 1A**) and
283 766 PASTURE children (68% of 1133, **Figure 1B**) with complete or imputed sIgE values which did
284 not differ from the excluded children with respect to sensitization status at any age (**Table E1**). The
285 LCA revealed solutions with 3 to 6 classes with the best AIC-values for the 5-class solutions in both
286 studies (**Table E2**). The distribution of LCs across study centers was rather homogenous in both stud-
287 ies (**Figure E1**).

288 As illustrated by **Figure 2**, the largest classes containing 71% (MAS) and 54% (PASTURE) of all
289 children were characterized by absence of sensitization and consequently labeled “*unsensitized*”. One
290 MAS class and two PASTURE classes included mainly children with sensitization to food allergens.
291 The MAS children in the “*food*” class were predominantly mono-sensitized to cow’s milk or hen’s
292 egg; in PASTURE the larger class was sensitized only to “*cow’s milk*” and the other class to “*food*”
293 allergens beyond cow’s milk. The remaining classes represented mainly inhalant sensitization: In
294 PASTURE one class included children with sensitization predominantly to either seasonal or perennial
295 “*inhalant*” allergens. The corresponding MAS children were grouped into two classes with either sen-
296 sitization to “*seasonal*” or “*mite*” allergens. The smallest class within each study was termed “*severe*
297 *atopy*” for its specific features explained below.

298 A hallmark of LC “*severe atopy*” was sensitization predominantly to seasonal allergens up to CAP
299 class 3 with a steep increase in the prevalence of sensitization before year 4 or 5. Food co-sensitization
300 occurred in the majority of this LC (MAS: 88%; PASTURE: 67%) and mite co-sensitization in a rele-
301 vant proportion (MAS: 31%; PASTURE: 26%) at year 6 and CAP class 2. In the MAS LC “*severe*
302 *atopy*” food co-sensitization was very common already at year 1 (81%, CAP class 2). In PASTURE,
303 food sensitization at year 1 occurred in 22% when considering a cut-off level of 0.2 kU/L. Taken to-
304 gether, LCA grouped mainly for allergen specificity (food versus inhalant classes), strength of sensiti-
305 zation and partially for temporal patterns.

306 LCs are mutually exclusive and integrate information across CAP classes and over various time
307 points, whereas classical definitions of sensitization such as sIgE to any inhalant or any food allergens
308 can overlap and depend on the underlying CAP class and the time point of measurement. Though both

309 systems were comparable at the most suitable time points and CAP cut-off levels as determined by
310 ROC curves (**Figure E2, Table E3**), their associations with disease manifestations diverged in several
311 instances (**Figure 3, Figure E3**): In both studies, sIgE to any food allergens overestimated the associa-
312 tions with health conditions when compared to the food LCs. Conversely, LC “*severe atopy*” was
313 much stronger associated with asthma-related conditions as compared to sIgE against any inhalant
314 allergens even at CAP class 3. The associations of disease risk with the respective LCs were paralleled
315 by those of parental atopy (**Figure E4**). A sensitivity analysis (**Figures E5 and E6**) revealed that each
316 of the three dimensions allergen specificity, specific IgE levels, and time course importantly contribut-
317 ed to the composition and disease relevance of the respective LCs.

318 Based on disease relevance, the LCs were grouped within three atopy phenotypes (**Figure 4**): LCs
319 related to food sensitization represented a benign phenotype without any disease relevance, LCs relat-
320 ed to inhalant sensitization corresponded to a symptomatic phenotype with risk of asthma, yet normal
321 lung function. In contrast, the LC “*severe atopy*” was characterized by impaired lung function and a
322 much higher propensity of atopic disease.

323 To better understand the singular phenomenon of *severe atopy* and to contrast it with benign and
324 symptomatic sensitized children we assessed biologically relevant features of atopy. Though the LCA
325 discriminated well between oligo- and polyvalent sensitization, polyvalence was not specific for *se-*
326 *vere atopy* but also characterized “*food*” sensitization in PASTURE (**Figure E7**). However, a unique
327 feature of *severe atopy* consisted in high levels of specific IgE to inhalant, particularly seasonal, aller-
328 gens ($p < 0.0001$, **Figure 5A, Figure E8**). This resulted from an excessive increment in sIgE levels in
329 the first 3-4 years (and a milder trend in subsequent years) as compared to the weak rise in symptomat-
330 ic and benign atopy, particularly for seasonal and food sIgE ($p < 0.0001$, **Table 1**). Similarly *severe*
331 *atopy* differed from the other LCs with respect to the ratio of IL-5 over IFN- γ expression, thereby re-
332 flecting the activation of T helper (Th) 2 rather than Th1 subsets ($p < 0.01$, **Figure 5B**).

333 To elucidate the mutual relation between *severe atopy* and its various features differentiating it from
334 the benign and symptomatic phenotypes, we performed a path analysis (**Figure 6**). In both studies,
335 asthma was determined by *severe atopy* via an excessive increment in sIgE to seasonal allergens and
336 high levels of sIgE at 6 years. Though including only 5% of all children, *severe atopy* explained 20%

337 of all sensitized asthma cases. Early sensitization to food allergens, Th2/Th1-ratio, and poly-
338 sensitization were similarly determined directly or indirectly by *severe atopy*, but not related to asth-
339 ma.

340 Similarly as in atopic individuals also in the entire population of both cohorts, the inverse association
341 of sIgE levels and FEV1 was completely explained by *severe atopy* (change-in-estimate: 104%) as
342 held partially true for the association of asthma and FEV1 (change-in-estimate: 38%). This was not
343 unexpected since *severe atopy* contained also a substantial proportion of children without current
344 wheeze or an established asthma diagnosis, but with FEV1 values within the lowest decile (**Figure 7**).

345

346 **Discussion**

347 Using LCA we classified preschool children for sensitization patterns considering the three dimen-
348 sions allergen specificity, time course and strength of sensitization. The resulting LCs were related to
349 manifest atopic disease with higher sensitivity and specificity as compared to classical definitions of
350 sensitization. The food LCs of both cohorts emerged as a benign atopy phenotype without individual
351 risk and family history of asthma. A symptomatic phenotype was found in the inhalant LCs with sub-
352 stantial risk of atopic diseases, but without impaired lung function. The LC “*severe atopy*” comprised
353 children with high sIgE levels to seasonal allergens, much stronger associations with atopic diseases
354 and low FEV1-values even in those without an established asthma diagnosis.

355 A major advantage of this analysis was the comprehensive approach covering the first 6 years of life
356 with detailed information on various major allergen specificities at different levels. Missing values
357 were successfully imputed thereby providing a complete dataset for 1446 children without observable
358 selection from the originally recruited populations. A further strength was the replication of the main
359 findings in two rather different birth cohorts.

360 Admittedly, not all LCs were fully congruent between the studies: the LC with mono-sensitization to
361 “*cow’s milk*” at low sIgE levels was specific for PASTURE and might be explained by the rather
362 common consumption of cow’s milk in this rural population. Correspondingly, in MAS a specific
363 “*mite*” class emerged reflecting the relevance of this allergen in an urban cohort. An additional charac-
364 teristic of MAS was the higher proportion of early sensitization to food allergens in LC “*severe atopy*”
365 possibly resulting from the recruitment focus on children with elevated sIgE levels in cord blood.
366 Nevertheless these peculiarities do not interfere with the core results of this analysis.

367 The role of specific IgE in the manifestation of atopic diseases has long been discussed controversial-
368 ly. In 1989, Burrows and colleagues suggested a linear relation between total IgE levels and asthma
369 risk.²³ Ten years later, the question arose whether elevated total IgE levels were to some extent deter-
370 mined by specific wheeze phenotypes.⁸ Soon thereafter, Illi et al. hypothesized that “an underlying
371 condition drives both a certain pattern of sensitization and the development of childhood asthma.”¹¹
372 Later-on the concept of multiplicity of sensitizations was introduced as a genuine risk factor for respira-
373 tory allergy.^{13-19,28}

374 Against this background of conflicting hypotheses we sought a unifying concept. Without providing
375 any information on atopic disease, an LCA merely based on time course and levels of sIgE against
376 food and inhalant allergens yielded a clear trichotomy with respect to manifestation, severity, and fam-
377 ily history of atopic disease in both cohorts. Using classical definitions of atopy such as sIgE to any
378 food or any seasonal allergens, the respective associations were over- or underestimated, and the sig-
379 nals were diluted.

380 The detection of an innocent or benign atopy phenotype predominantly related to food sIgE is clinical-
381 ly relevant as it suggests that children with asthma allocated to one of the benign food LCs should not
382 be considered ‘atopic asthma’ in epidemiologic studies. Rather these children might suffer from non-
383 atopic asthma and concomitantly happen to produce irrelevant food sIgE as do many children without
384 asthma.

385 Also the distinction between symptomatic and severe atopy has vast implications: Children with
386 symptomatic atopy suffer from asthma, hay fever and eczema, though at lower risk and with a less
387 severe phenotype as suggested by rather normal lung function parameters.

388 *Severe atopy* was characterized by specific and also unspecific features: With LC “*food*” in PASTURE
389 *severe atopy* shared polyvalent sensitization to five or more allergens (**Figure E7**). Children with early
390 food sensitization were allocated both to *severe atopy* and to the food LCs with similar absolute
391 counts, though at different proportions. While early food sensitization might be seen as the first raised
392 flag of *severe atopy*, it cannot serve as a specific predictor of this condition among sensitized children.
393 A unique hallmark of *severe atopy*, however, was the elevated Th2/Th1 cytokine ratio at the age of 6
394 years (**Figure 5B**). This emerging dysbalance may result from an initial Th2 cell activation without
395 subsequent resolution into “protective immunologic tolerance” as suggested by Rowe et al.²⁹ Besides
396 the specifically strong association with impaired lung function, *severe atopy* harbored a relevant pro-
397 portion of children with FEV1 values in the lowest decile but without an established asthma diagnosis.
398 In practical terms, this group of children might benefit from further clinical work-up and careful moni-
399 toring of sIgE increment within the first 3-4 years.

400 A further exclusive feature of *severe atopy* was found in high sIgE levels, which followed a steep rise
401 in seasonal sensitization particularly before age 3-4. This sharp increase was the only relevant longitu-

402 dinal variation among the LCs and distinguished the current LCA for atopy from an earlier LCA for
403 wheeze.³ This earlier LCA was entirely determined by the time course of symptoms and produced a
404 late-onset wheeze phenotype emerging only beyond age 3-4 years with strong associations to atopic
405 sensitization, particularly *severe atopy* (**Figure E3**). In this context it is noteworthy that the steep in-
406 crease in sIgE levels within *severe atopy* preceded the first symptoms of the atopic late-onset wheeze
407 phenotype. This temporal relationship in combination with the strength and specificity of the associa-
408 tion of *severe atopy* with asthma and impaired lung function and the consistency of the findings be-
409 tween both studies argues in favor of a causal relationship.

410 To corroborate this assumption we performed a path analysis contrasting *severe atopy* with benign and
411 symptomatic atopy in regard to the above features. According to this analysis the effect of *severe ato-*
412 *py* on asthma was completely mediated through the steep increase in sIgE and the resulting high sIgE
413 levels. As this steep increase was seen for all sIgE specificities in *severe atopy* (**Table 1**), one may
414 hypothesize that excessive sIgE production is a generic phenomenon beyond any specific allergen.

415 This crucial role of uncontrolled sIgE production is indirectly supported by evidence from clinical
416 studies showing an alleviating effect on childhood asthma symptoms by neutralizing sIgE with an anti-
417 IgE antibody.^{30,31} Reversely, the pathway model may provide a suitable explanation for the efficacy of
418 anti-IgE treatment. Additionally *severe atopy*, or in practical terms a steep increase in sIgE until age 3-
419 4, may serve as a selection criterion for children susceptible to anti-IgE therapy. As *severe atopy* ex-
420 plains at least every fifth case of atopic asthma a relevant share may profit from this therapeutic ap-
421 proach.

422 Moreover, *severe atopy* directly determined low FEV1 values and explained the inverse association of
423 FEV1 and asthma, ultimately implying that poor lung function at age 6 years is not a feature of asthma
424 unless it is related to *severe atopy*. In other words, poor lung function and excessive production of
425 sIgE might result from the same latent phenomenon. This shared pathogenesis may point towards a
426 local process of uncontrolled production of sIgE in the bronchial mucosa³², which again might be the
427 target of future interventions.

428 The other features of *severe atopy*, i.e. early food sensitization, an elevated Th2/Th1-ratio, and poly-
429 sensitization emerged from the path analysis as epiphenomena without any proper effects on asthma
430 risk. Rather they might hint at an authentic latent phenomenon, which manifests with many faces.

431
432 Integrating temporal patterns, allergen specificity and strength of sensitization in a data-driven ap-
433 proach we found three phenotypes of atopy with respect to disease-relevance. In contrast to benign and
434 symptomatic atopy, *severe atopy* identified a circumscribed group of children with high sIgE values,
435 pronounced disease risk, and poor lung function. *Severe atopy* as a latent phenomenon may thus corre-
436 spond to the condition underlying both childhood asthma and sensitization patterns as previously pos-
437 tulated by Illi et al.¹¹ The path analysis performed in atopic individuals now suggests a link between
438 *severe atopy* and asthma via excessive sIgE production particularly to seasonal allergens early in life
439 and may direct further research into the biologic fundamentals of atopy.

440

441

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Tables

Table 1: Increment in sIgE production comparing *severe atopy* to the other atopy phenotypes

Time period	Study	Seasonal sIgE		Food sIgE		Perennial sIgE				
		β	(95% CI)	P	β	(95% CI)	P	β	(95% CI)	P
<i>Early increase</i>										
Year 0 – Year 1	PASTURE	0.05	(-0.28 - 0.39)	0.7491	0.69	(0.12 - 1.25)	0.0175	0.30	(-0.50 - 1.09)	0.4653
Year 1 – Year 3	MAS	4.28	(2.97 - 5.60)	<.0001	3.29	(1.93 - 4.66)	<.0001	0.62	(-0.78 - 2.02)	0.3843
Year 1 – Year 4	PASTURE	7.25	(6.32 - 8.18)	<.0001	2.45	(1.55 - 3.35)	<.0001	1.29	(0.22 - 2.37)	0.0187
<i>Late increase</i>										
Year 3 – Year 6	MAS	2.47	(0.84 - 4.10)	0.0030	3.78	(2.47 - 5.09)	<.0001	1.44	(0.01 - 2.87)	0.0483
Year 4 – Year 6	PASTURE	1.21	(-0.01 - 2.43)	0.0524	-0.27	(-1.09 - 0.55)	0.5193	1.06	(0.11 - 2.00)	0.0290
<i>Overall increase</i>										
Year 1 – Year 6	MAS	5.01	(3.33 - 6.68)	<.0001	4.65	(3.23 - 6.07)	<.0001	2.03	(0.09 - 3.97)	0.0404
Year 1 – Year 6	PASTURE	6.13	(4.99 - 7.27)	<.0001	1.17	(0.21 - 2.12)	0.0163	1.79	(0.66 - 2.92)	0.0018

The beta estimates result from linear regression of the log-transformed sIgE values on *severe atopy* vs. the other two atopy phenotypes within the respective time period, adjusted for baseline sIgE values. The estimates remained stable after mutual adjustment for incremental increase of the other specificities.

Figure Legends**Figure 1: Selection of study populations**

Figure 2: Latent classes of atopy as characterized by allergen-specificity, time course, and levels of specific IgE

Figure 3: Associations of asthma-related conditions with latent classes and classical definitions of atopic sensitization at age 6

* As there was no case of lifetime asthma in this LC, we calculated a conservative estimation of the odds ratio based on one case of asthma in this LC, which was simulated at random. Black point estimates with error bars mark the latent classes as reference, red the classical definitions as comparison.

Figure 4: Atopy phenotypes in relation to the distribution of latent classes in both populations

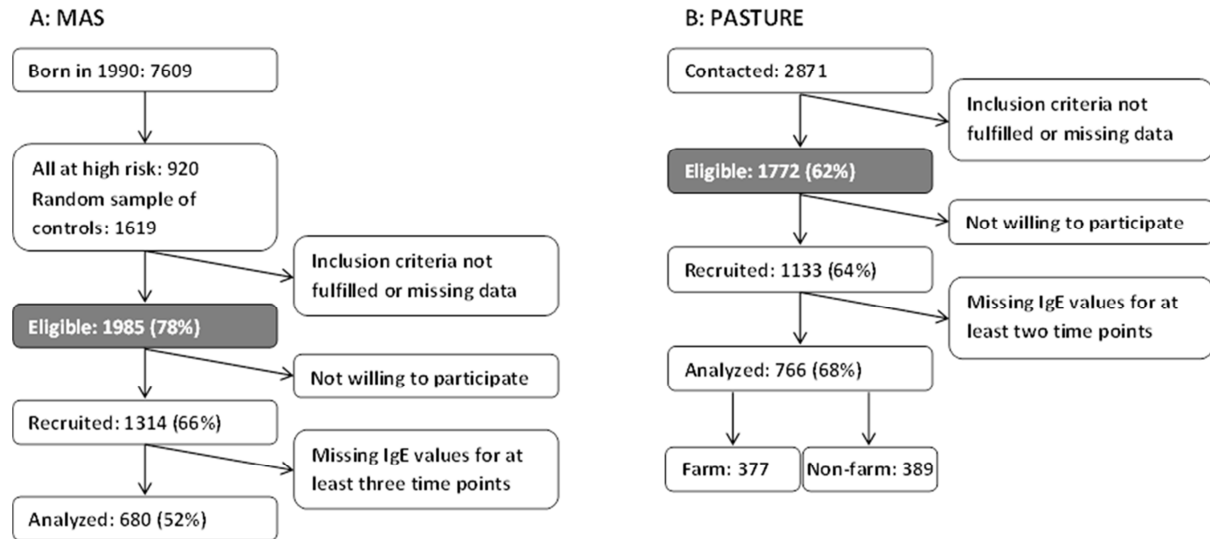
Figure 5: Absolute sIgE levels and ratio of IL-5 to IFN- γ expression at age 6

Figure 6: Path diagram comparing *severe atopy* to the other atopy phenotypes, including its features, lung function, and asthma in both populations

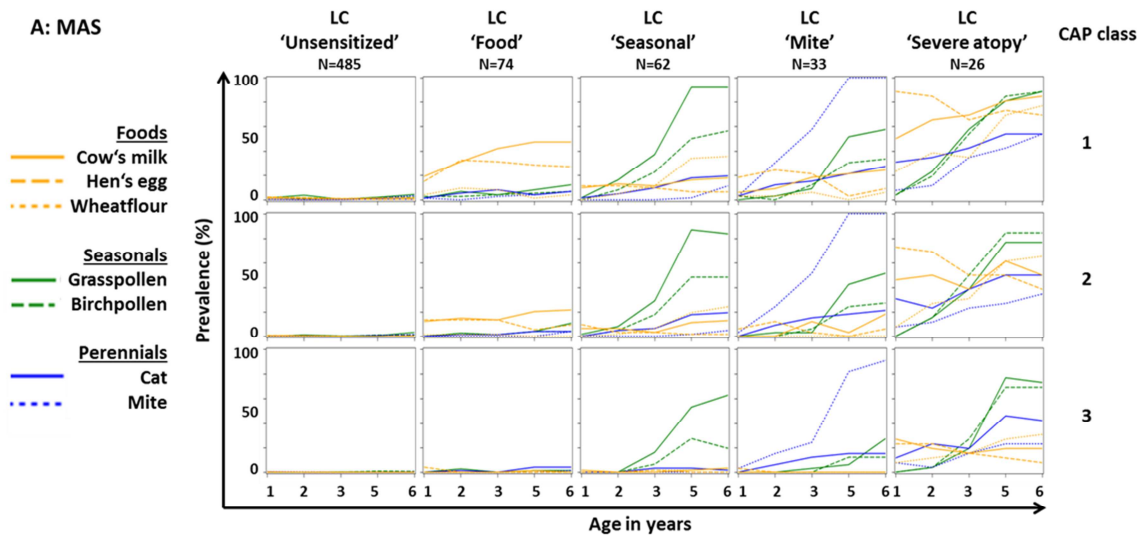
Significant associations are shown by solid arrows. Absent associations are represented by interrupted dotted arrows. The values represent the association estimates from the final model including significant paths only.

Figure 7: Proportion of non-asthmatic children with reduced lung function by latent classes

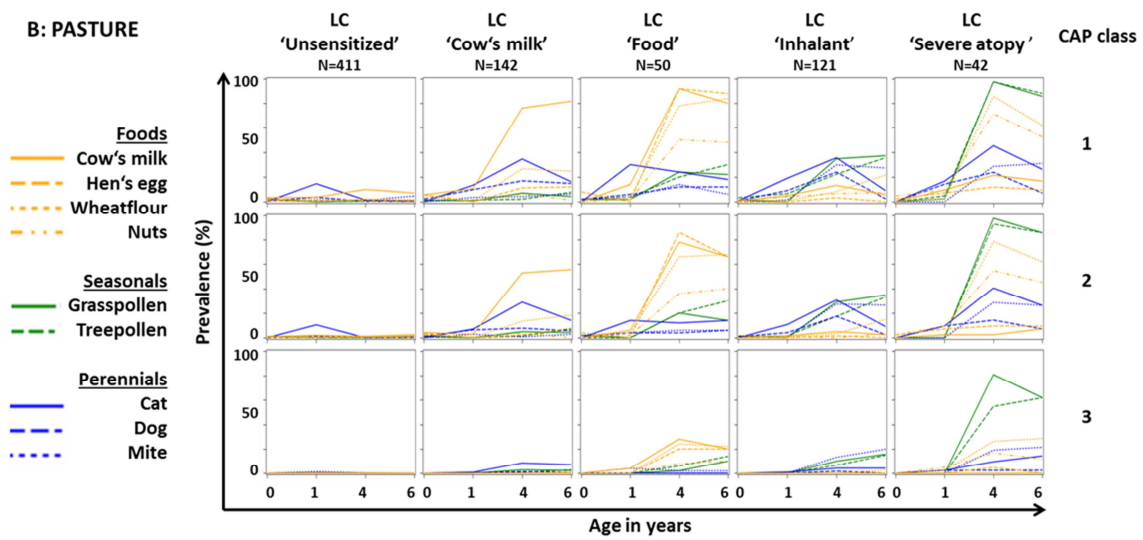
Reduced lung function was defined as values in the lowest decile of the FEV1 distribution.

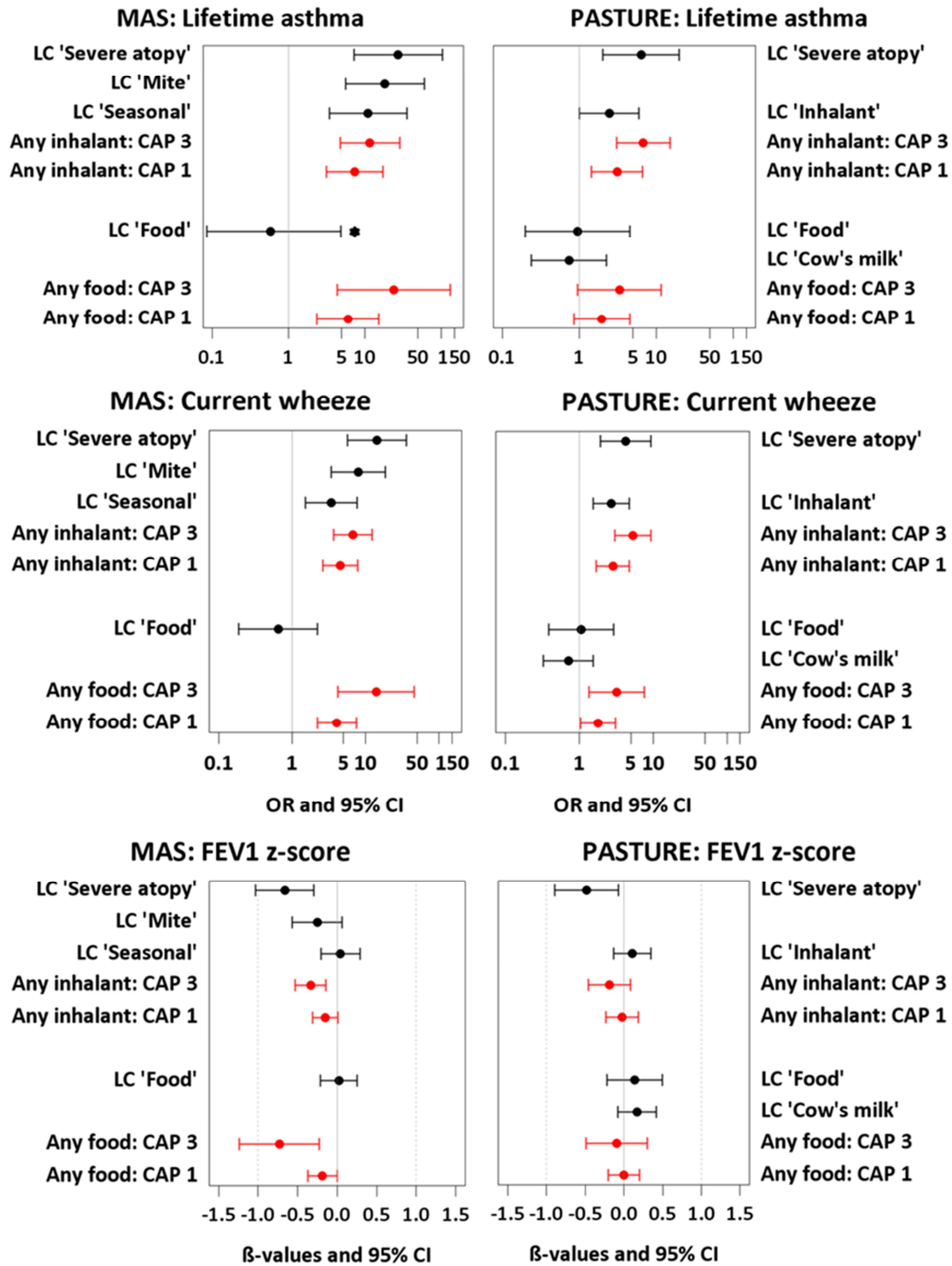


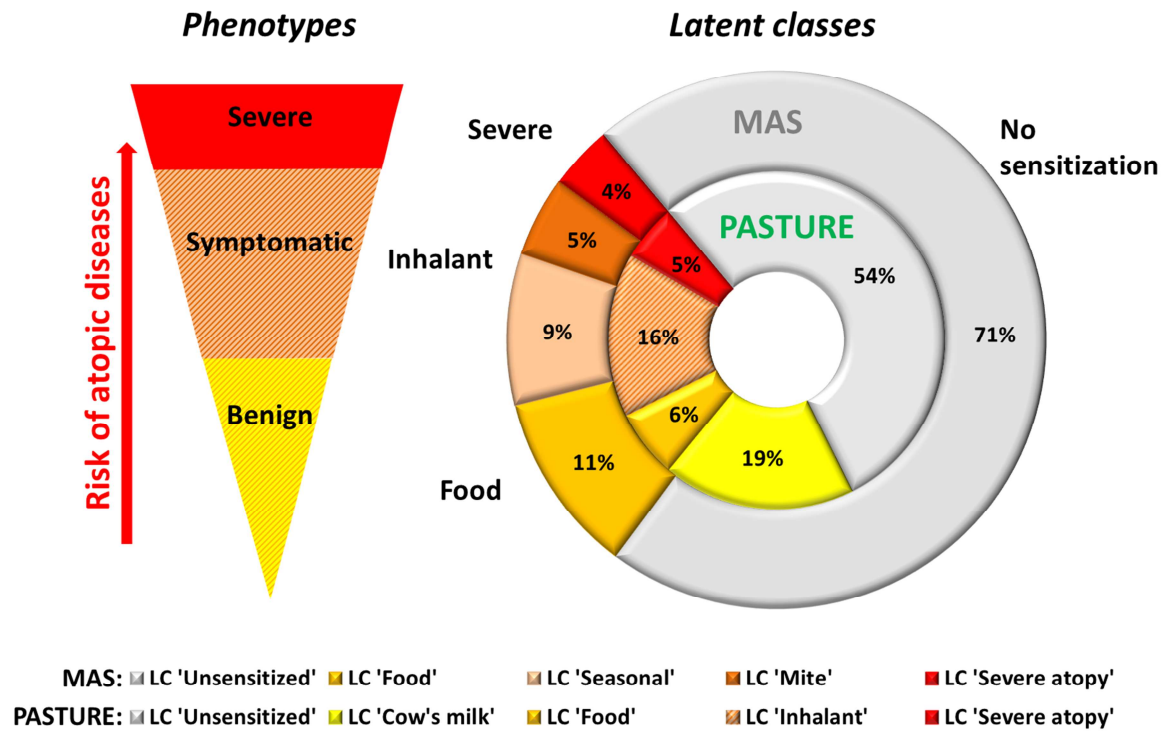
A: MAS



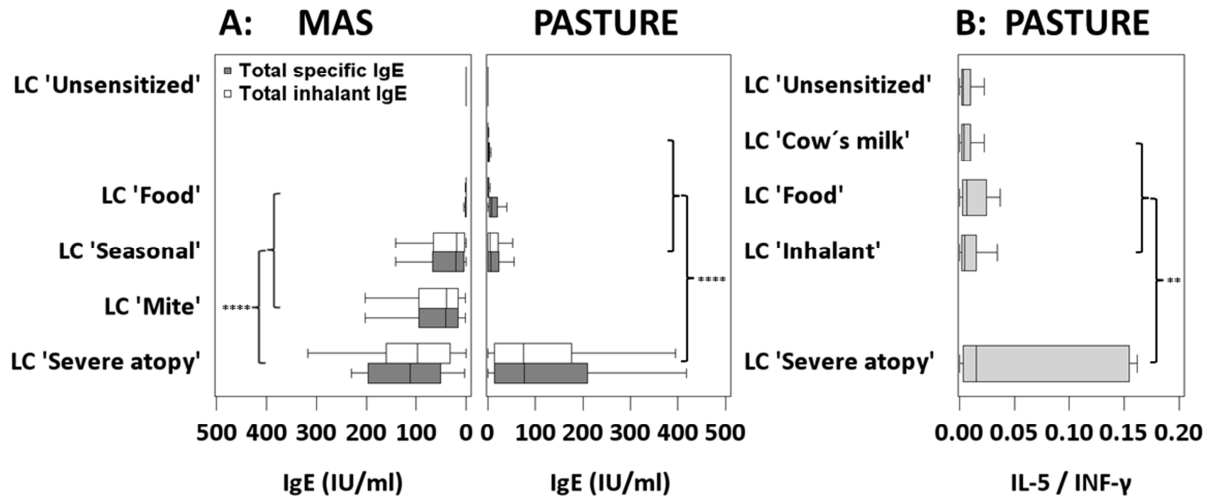
B: PASTURE

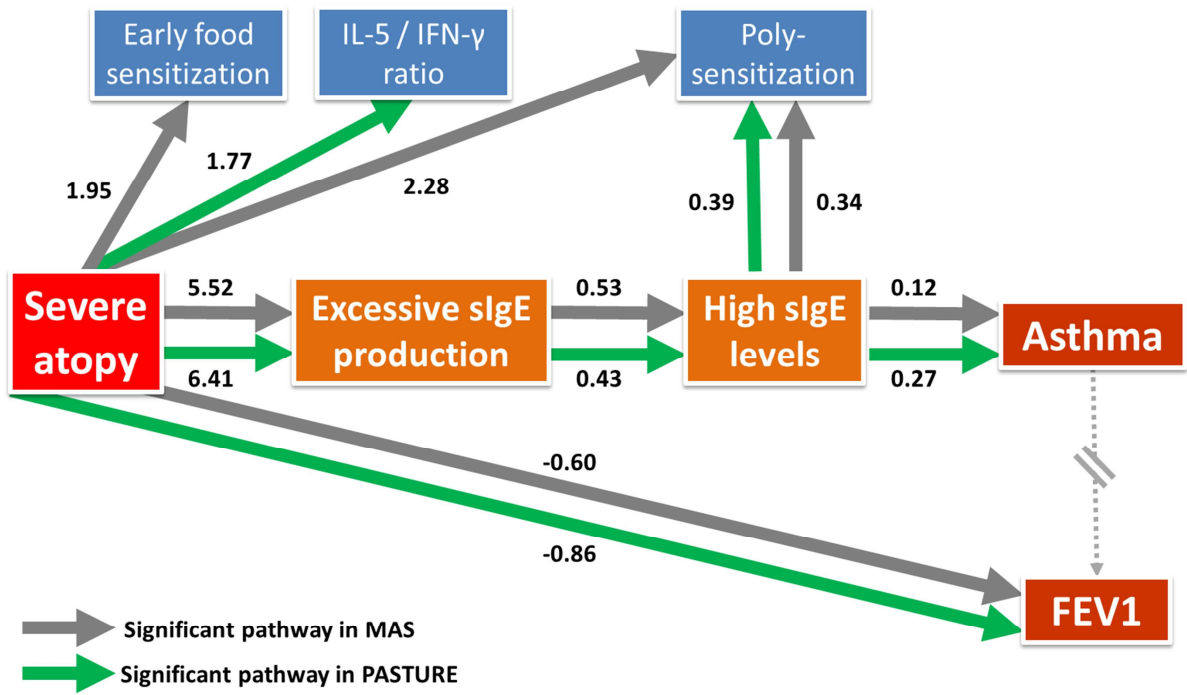


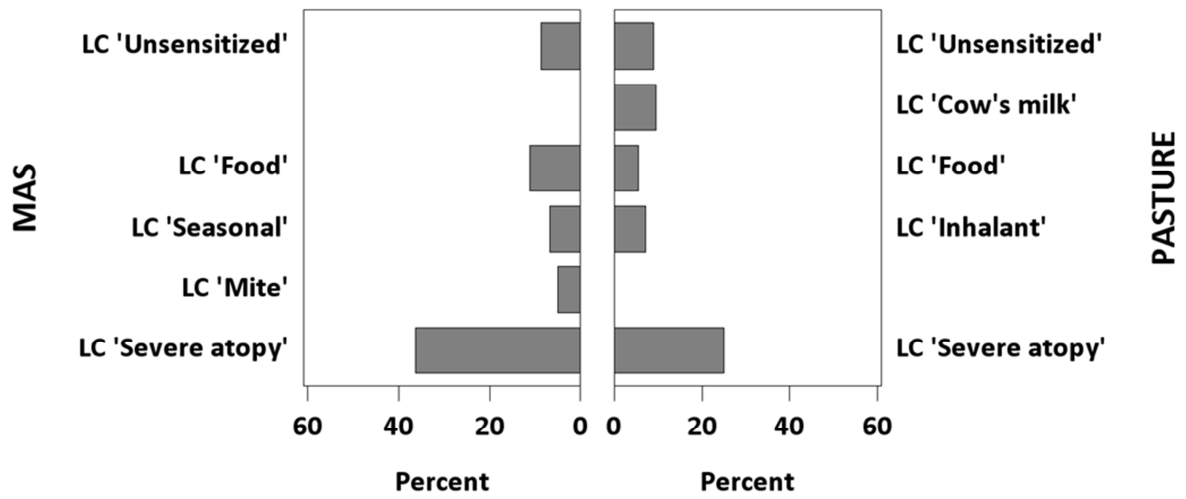




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Latent class analysis reveals clinically relevant atopy phenotypes in two birth cohorts

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Methods

Statistical Analysis

Multiple imputation was based on continuous sIgE values of at least 4 of 6 time points in MAS (age 1-7 years) and 3 of 4 time points in PASTURE (age 0-6 years). Multiple linear imputation was performed in 20 runs resulting in 20 datasets for each cohort, then the continuous values for each dataset were transformed for MAS into 4 (<0.35, <0.7, <3.5, or ≥ 3.5 kU/L), for PASTURE into 5 (one additional CAP class <0.2 kU/L) ordinal CAP classes. At this step, data up to age 6 years were used in both studies for comparability. Finally, in MAS 35 4-staged variables representing 7 allergen specificities at 5 time points and in PASTURE 36 5-staged variables representing 9 allergen specificities at 4 time points were entered in the LCAs, which were performed for each of the 20 imputed dataset per cohort. For each LCA, individuals were assigned to classes by their highest posterior probabilities. Each subject was assigned to its definite latent class by the majority of the class memberships in 20 repeats. In addition, class membership was confirmed by visualizing sIgE prevalences in analogy to **Figure 2**.

Supplemental tables

Table E1: Selection of study population

Variable	MAS						PASTURE					
	Not included		Included		P	Not included		Included		P		
	N	%	N	%		N	%	N	%			
Center 1	Berlin	316	49.84	278	40.88	0.0011	Austria	94	25.61	126	16.45	0.0003
Center 2	Düsseldorf	52	8.20	109	16.03	0.0000	Switzerland	84	22.89	158	20.63	0.3847
Center 3	Mainz	101	15.93	111	16.32	0.8466	France	46	12.53	157	20.50	0.0011
Center 4	Freiburg	100	15.77	108	15.88	0.9567	Germany	94	25.61	160	20.89	0.0743
Center 5	Munich	65	10.25	74	10.88	0.7106	Finland	49	13.35	165	21.54	0.0010
High risk group		229	37.06	253	37.76	0.7936		--	--	--	--	--
Farming		--	--	--	--	--		153	41.69	377	49.22	0.0175
Sex (female)		303	47.79	327	48.09	0.9144		161	49.39	369	48.30	0.7421
Family history of allergic disease		309	50.08	345	50.88	0.7726		174	51.63	417	54.72	0.3431
Maternal history of allergic disease		207	33.33	226	33.28	0.9850		110	30.05	261	34.07	0.1779
High parental education		362	88.08	531	87.05	0.6264		307	87.46	701	91.87	0.0198
At least 2 older siblings		76	11.99	89	13.11	0.5406		112	30.52	267	34.86	0.1475
Breastfeeding in 1 st year		547	88.37	612	90.94	0.1292		256	89.20	689	90.90	0.4048
Environmental tobacco smoking		201	58.94	315	53.48	0.1062		32	17.88	56	8.20	0.0001
Doctor's diagnosed asthma at age 6		13	4.48	28	4.61	0.9305		4	2.27	36	5.28	0.0917
Sensitized to any allergen at birth (CAP class 1)		--	--	--	--	--		31	12.20	81	11.91	0.9024
Sensitized to any allergen at age 1 (CAP class 1)		28	13.66	90	16.70	0.3107		60	28.17	204	28.10	0.9841
Sensitized to any allergen at age 2 (CAP class 1)		36	24.49	131	25.49	0.8063		--	--	--	--	--
Sensitized to any allergen at age 3 (CAP class 1)		29	24.79	133	26.71	0.6713		--	--	--	--	--
Sensitized to any allergen at age 4 (CAP class 1)		--	--	--	--	--		19	59.38	396	57.81	0.8609
Sensitized to any allergen at age 5 (CAP class 1)		48	40.34	180	34.16	0.2026		--	--	--	--	--
Sensitized to any allergen at age 6 (CAP class 1)		42	42.42	160	37.74	0.3883		25	54.35	376	53.79	0.9415

Given are absolute numbers and percentages (in brackets). P-values are derived from chi-square tests. The two columns represent the entire population and the analysis population with complete sIgE data for the selected time points.

Table E2: Model fit of latent class analysis

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Number of classes	AIC	Entropy
MAS		
3	7384 (7361 - 7406)	0.96 (0.95 - 0.96)
4	7172 (7151 - 7192)	0.95 (0.95 - 0.96)
5	7064 (7044 - 7084)	0.97 (0.97 - 0.97)
6	7067 (7047 - 7088)	0.96 (0.96 - 0.97)
PASTURE		
3	14632 (14474 - 14791)	0.95 (0.94 - 0.96)
4	14444 (14290 - 14597)	0.92 (0.91 - 0.94)
5	14357 (14202 - 14511)	0.93 (0.92 - 0.94)
6	14382 (14234 - 14530)	0.93 (0.91 - 0.95)

Mean values of AIC and entropy are given with 95%-confidence intervals for 20 imputed data sets.

Table E3: Prediction of latent sensitization classes by classical definitions of sensitization at age 6 – AUC of ROC analyses with 95% CIs

Latent classes	Any inhalant sensitization	Any food sensitization
MAS		
LC 'Food'	49.52 (44.34 - 54.69)	74.13 (68.58 - 79.68)
LC 'Seasonal'	90.10 (86.74 - 93.45)	65.48 (58.92 - 72.04)
LC 'Mite'	93.22 (91.55 - 94.89)	54.87 (47.00 - 62.73)
LC 'Severe atopy'	90.70 (86.20 - 95.20)	94.89 (90.56 - 99.23)
PASTURE		
LC 'Cow's milk'	53.92 (49.40 - 58.43)	81.78 (78.74 - 84.82)
LC 'Food'	57.85 (50.26 - 65.44)	91.98 (89.72 - 94.25)
LC 'Inhalant'	77.49 (72.87 - 82.11)	55.45 (50.75 - 60.15)
LC 'Severe atopy'	90.67 (87.17 - 94.16)	76.08 (68.24 - 83.91)

Figure E1: Distribution of latent classes across study centers**Figure E2: Prediction of latent classes by classical definitions of sensitization at age 6**

The dots mark the sensitization statuses (from right to left: Unsensitized, CAP class 1-3)

Figure E3: Associations of health conditions with latent classes and classical definitions of atopic sensitization at age 6

* As there was no case of late onset wheeze in this LC, we calculated a conservative estimation of the odds ratio based on one case of late onset wheeze in this LC, which was simulated at random.

Black point estimates with error bars mark the latent classes as reference, red the classical definitions as comparison.

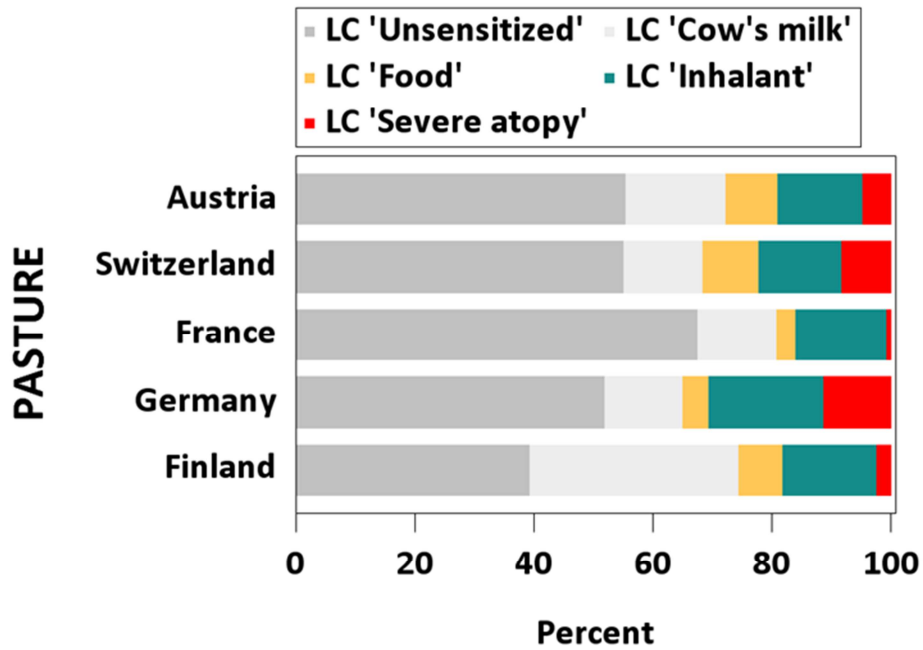
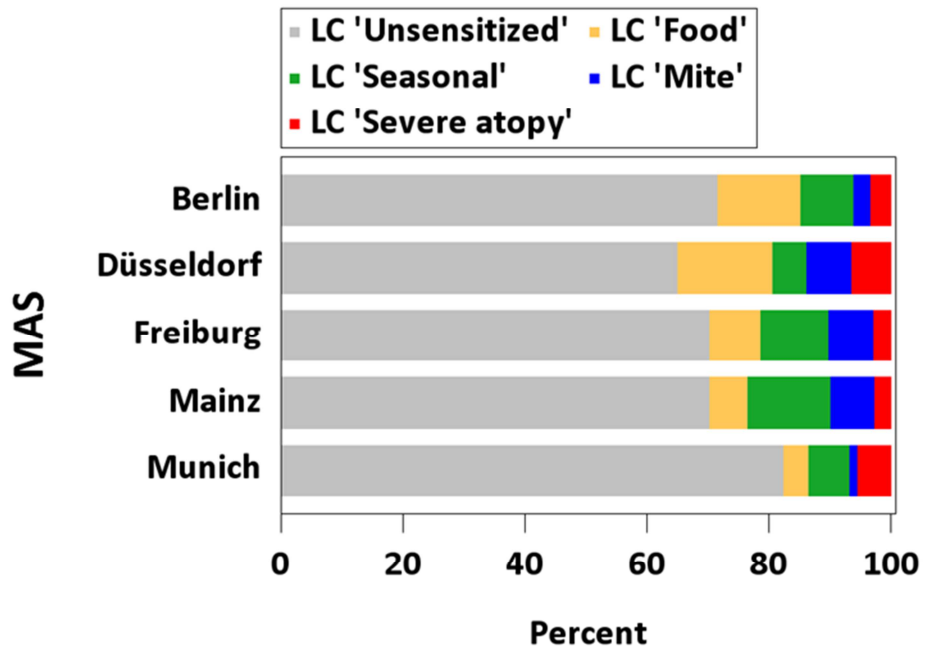
“Late onset wheeze” was defined as described at Depner M, Fuchs O, Genuneit J, Karvonen AM, Hyvarinen A, Kaulek V, et al. Clinical and epidemiologic phenotypes of childhood asthma. *Am J Respir Crit Care Med* 2014; 189:129-38.

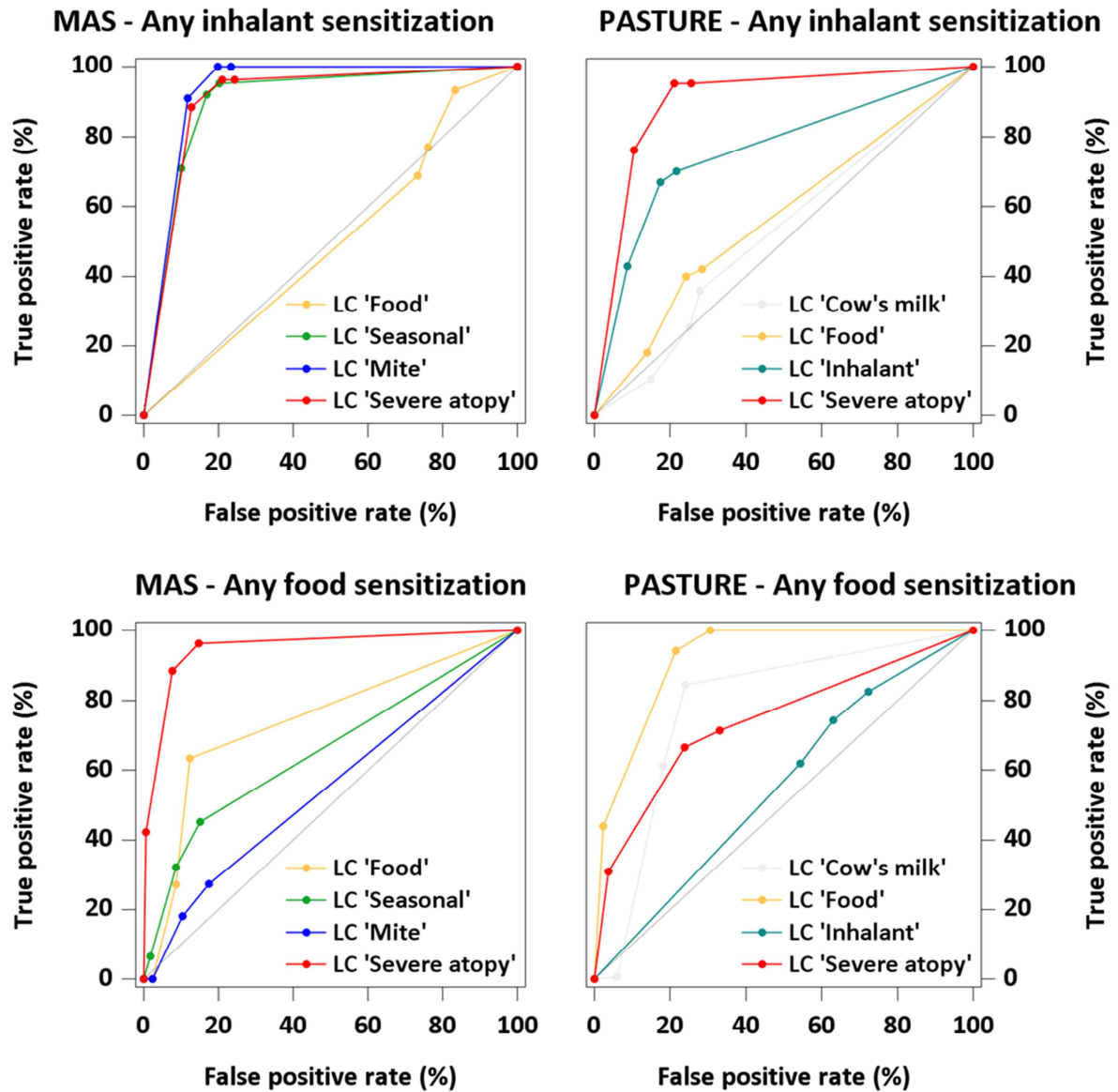
Figure E4: Associations of latent classes with parental atopy**Figure E5: Sensitivity analyses omitting single dimensions of LCA****Figure E6: Comparing disease associations across all sensitivity analyses**

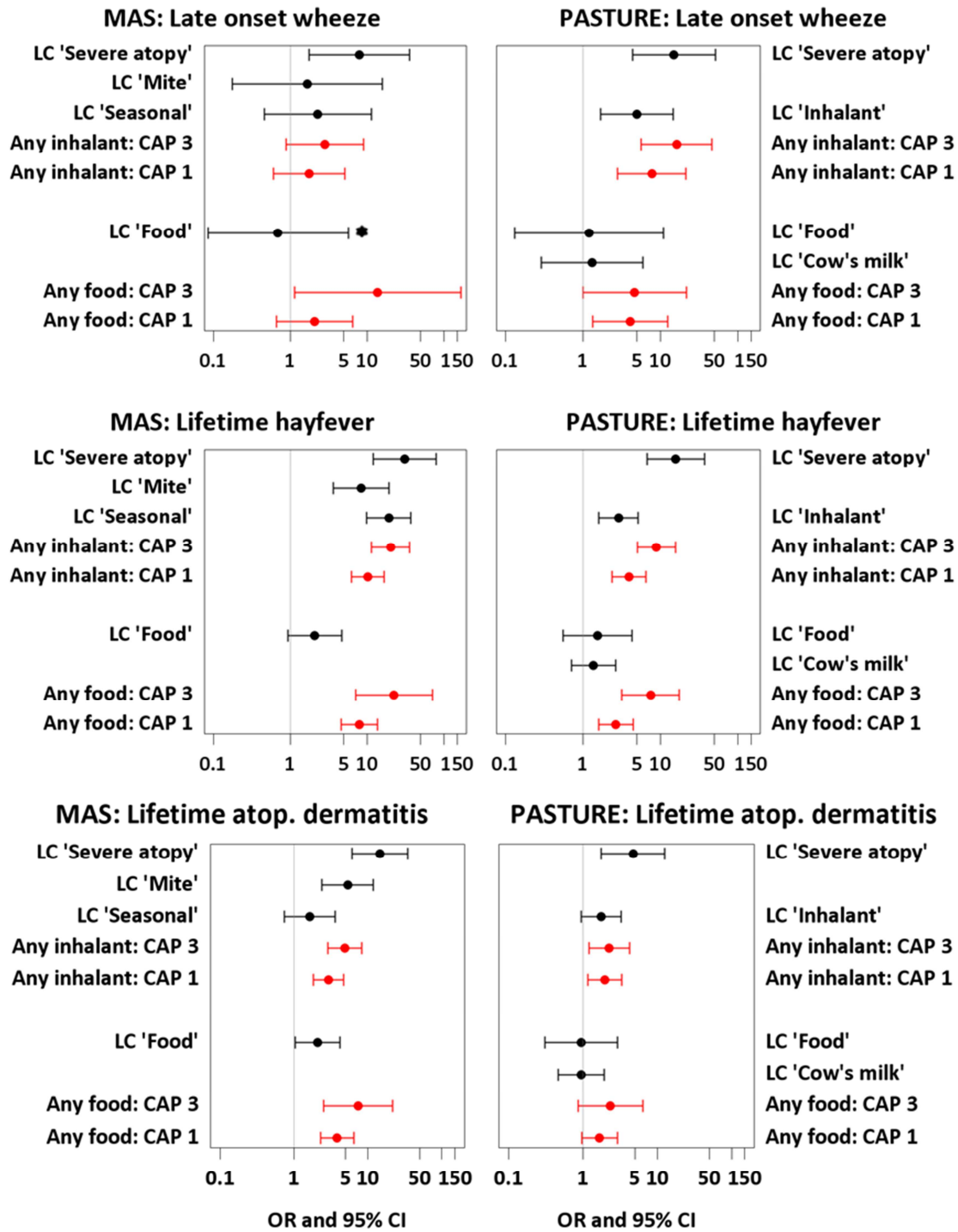
* As there was no case of lifetime asthma in this LC, we calculated a conservative estimation of the odds ratio based on one case of asthma in this LC, which was simulated at random.

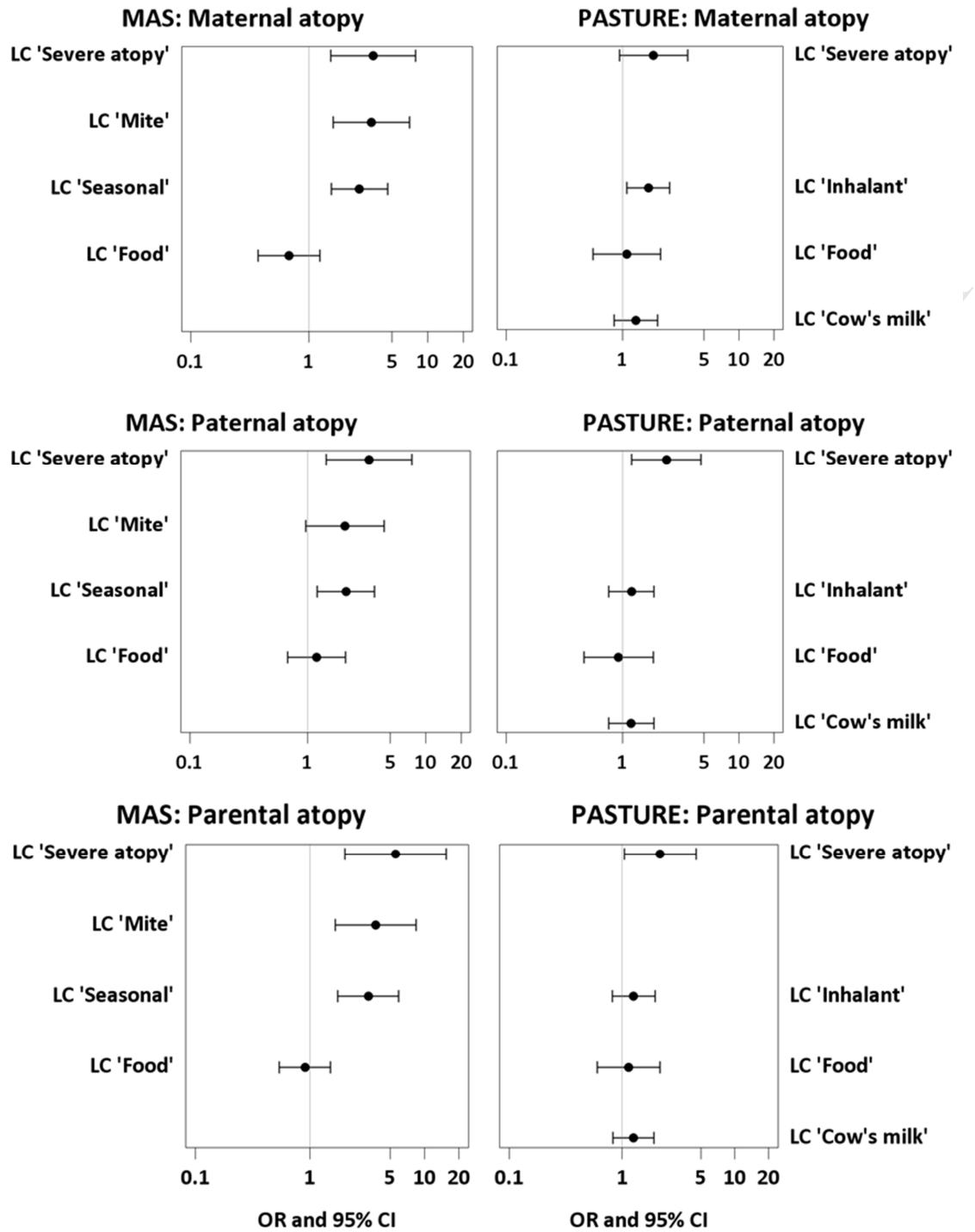
Black point estimates with error bars mark the latent classes as reference, red the classical definitions as comparison.

Figure E7: Number of sensitizations to different allergen specificities across latent classes (CAP classes 1-3)**Figure E8: Absolute sIgE levels at age 6**



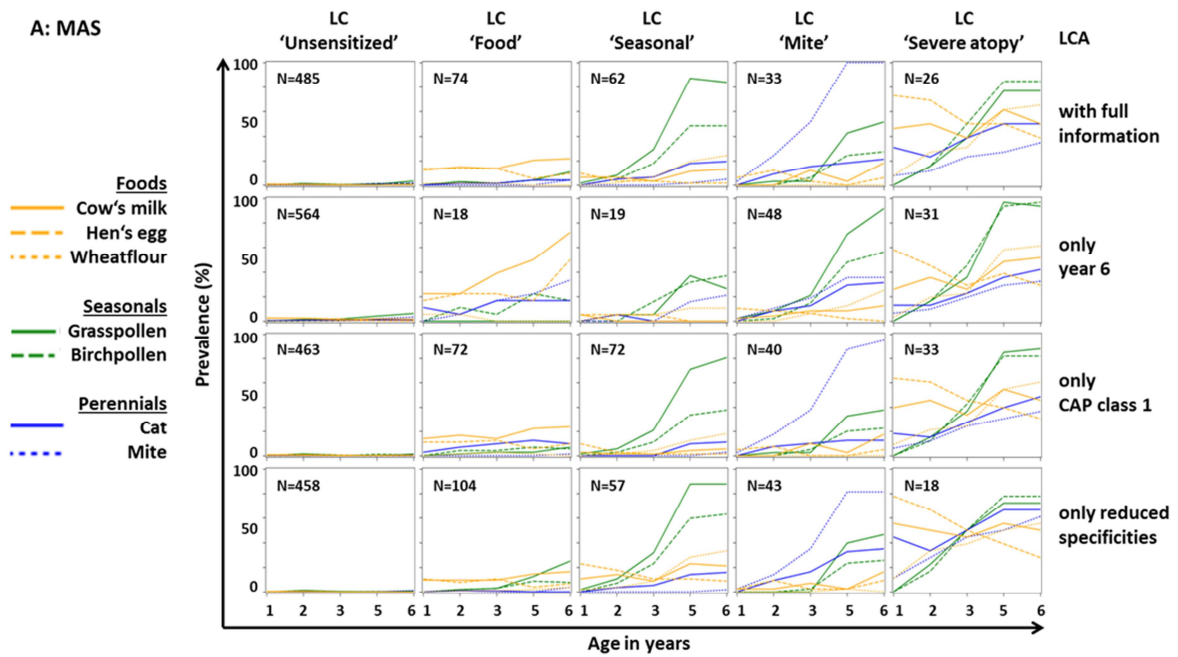




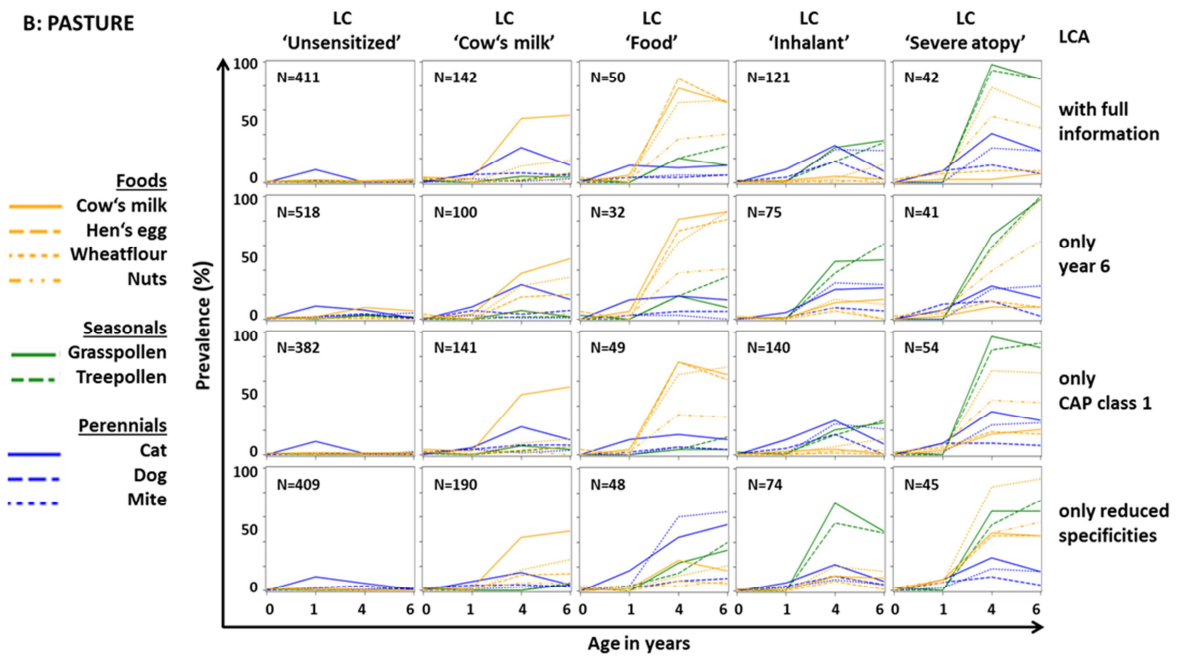


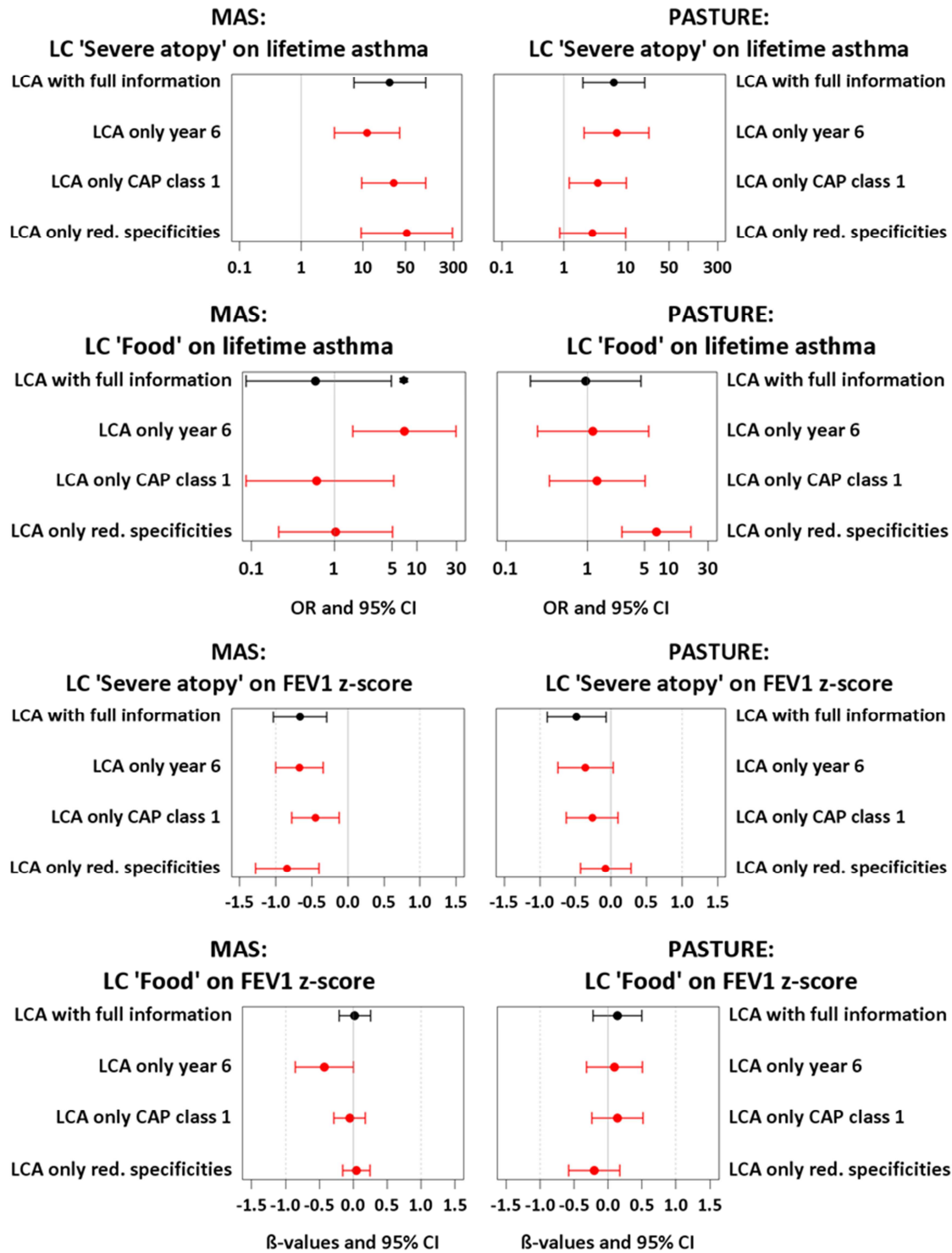
A

A: MAS

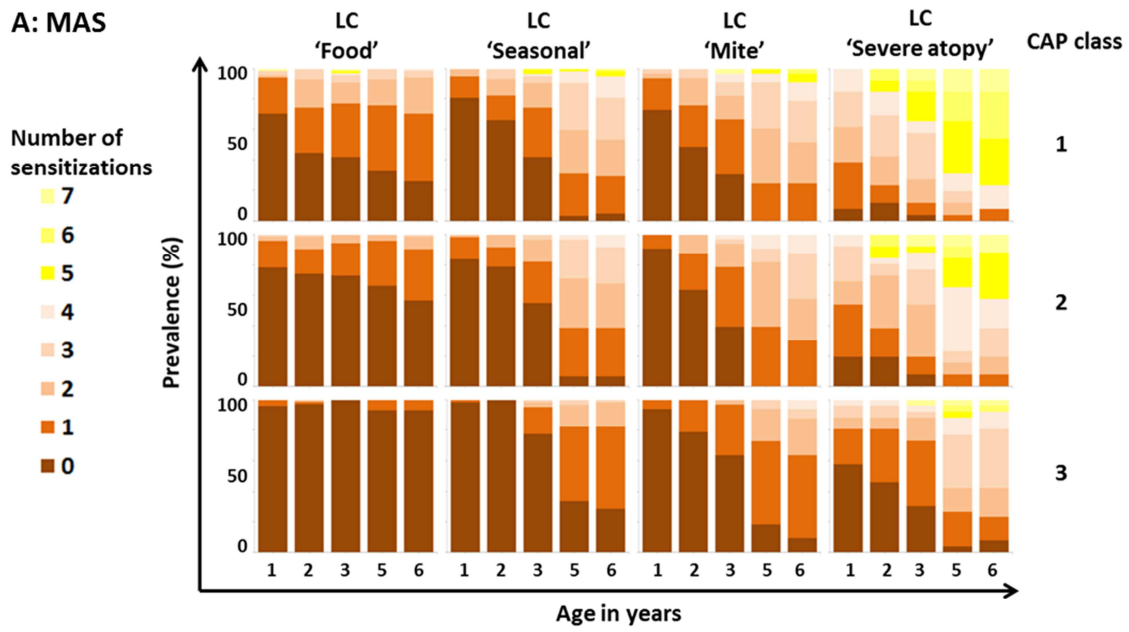


B: PASTURE

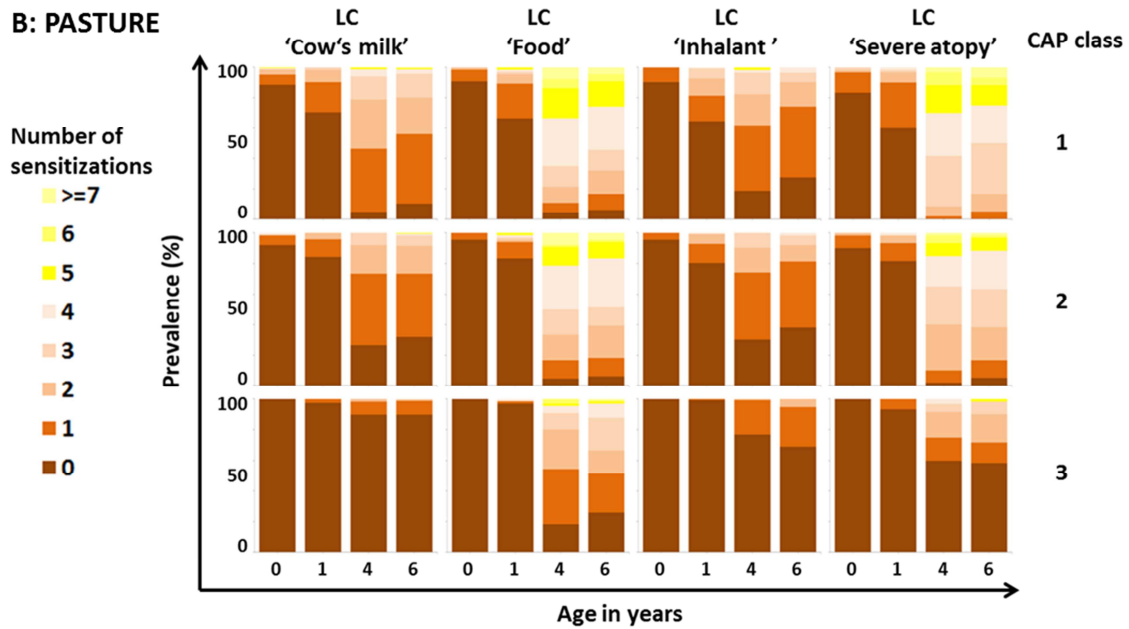


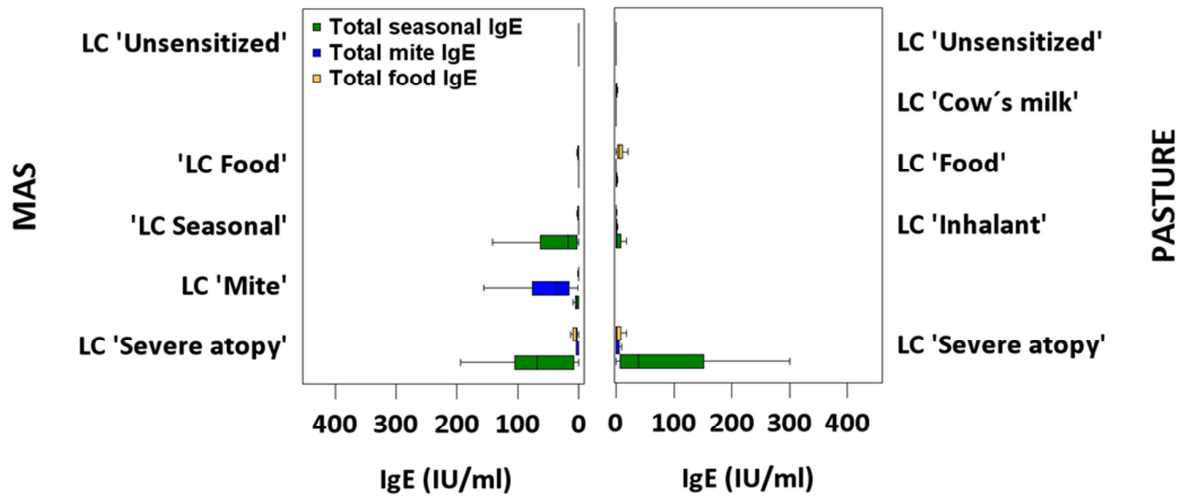


A: MAS



B: PASTURE





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