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Abstract

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Keywords

dunes, Eolian, morphodynamics, nonlinear, ripples, river

Disciplines

Earth Sciences | Environmental Sciences | Hydrology | Physical Sciences and Mathematics | Sedimentology | Water Resource Management

A unified model for subaqueous bed form dynamics

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[1] Bed form evolution remains dynamic even in the special case of steady, uniform flow. Data from the sandy, braided North Loup River, Nebraska, show that roughness features on the channel bottom display a statistical steady state and robust scaling that are maintained through the collective interactions of transient (short-lived) bed forms. Motivated by such field data, and laboratory observations of bed form growth, we develop a nonlinear stochastic surface evolution model for the topography of bed load dominated sandy rivers in which instantaneous sediment flux explicitly depends on local elevation and slope. This model quantitatively reproduces laboratory observations of initial growth and saturation of bed forms from a flat surface, and also generates long-term dynamical behavior characteristic of natural systems. We argue that the variability in geometry and kinematics of bed forms in steady flow, and the existence of roughness at all wavelengths up to the largest dunes, are a consequence of the nonlinear relationship between sediment flux and topography, subject to noise.

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1. Introduction

[2] The nonlinear dependence of sediment transport on surface topography produces a bewildering array of patterns, from ripples at the centimeter scale to river networks and depositional fans at a basin scale. A natural way to characterize such patterns involves measuring static geometrical properties, spatial correlations, and scaling laws that may be exhibited between physical parameters of the system [e.g., Rubin, 1992; Dodds and Rothman, 2000]. Landscapes are dynamic (i.e., variable in time); however, the study of their transient behavior is hindered by the slow rate of evolution of most geological systems. Although surface evolution equations are naturally time dependent, the dynamical predictions of erosional landscape models [see, e.g., Willgoose *et al.*, 1991; Howard, 1994; Pelletier, 1999] are difficult to test. A geomorphological transport system that exhibits both transient behavior on observable timescales and statistically robust geometrical properties allows strong tests of models, and provides a window into fundamental pattern formation mechanisms in sedimentary systems. Trains of bed forms in sand-bedded rivers are one example of such a system.

[3] While bed form classification schemes, such as distinguishing ripples from dunes [e.g., Ashley, 1990], may be useful in describing some aspects of bed form behavior, they belie the continuum of scales of topography that make up a sand-bedded channel. Indeed, there is theoretical [Hino, 1968], laboratory [Hino, 1968; Nordin, 1971], and field evidence [Levey *et al.*, 1980; Nikora *et al.*, 1997] that roughness of all wavelengths exists below the scale of the largest dunes. Further, bed forms in natural systems change dimensions continuously as they migrate downstream. The

internal dynamics of a train of bed forms manifests itself as variability in bed form height, length, and migration rate (celerity), and in bed form deformation, even when the topography is developing under steady and uniform macroscopic flow conditions [van den Berg, 1987; Gabel, 1993; Mohrig, 1994; Leclair, 2002]. Although great progress has been made in the understanding of instability and bed form growth from a flat surface [e.g., Smith, 1970; McLean, 1990], current models cannot describe the long-time behavior of a train of finite amplitude bed forms.

[4] Increasingly sophisticated measurements of the flow field over rigid topography [e.g., Nelson *et al.*, 1993; McLean *et al.*, 1994; Maddux *et al.*, 2003a, 2003b] have demonstrated the influence of topography on turbulence production and bed stress. There is now little doubt that the most accurate model of bed form evolution will eventually come from detailed numerical solution of the Navier-Stokes equations [e.g., Shimizu *et al.*, 2000], coupled to some force balance on sand grains and sediment continuity. Currently, however, the modeling of fluid flow over arbitrary (and rapidly deforming) topography is a formidable challenge. Moreover, a fully coupled fluid-sediment-topography model would be sufficiently complex that it could not easily serve as an exploratory tool for understanding fundamental aspects of sand bed evolution.

[5] At present, an incomplete understanding of how irregular bed topography controls turbulence production and how this turbulence affects local sediment transport precludes development of a bed form evolution model from first principles. Several models have been proposed that are fundamentally discrete and stochastic, with sediment transport represented by simple rules [e.g., Tuffillaro, 1993; Werner, 1995; Niño *et al.*, 2002]. Self-organization of bed forms in such models is robust, and in some cases many different bed form shapes may be reproduced by variation of coefficients or transport rules [Werner, 1995]. While

these models have been effective in illustrating how microscopic disorder can create macroscopic order [see *Tuffillaro*, 1993], their abstract nature prevents quantitative comparison to natural systems. Sediment transport in such models is essentially represented as stochastically driven directed diffusion. A family of deterministic continuum models for eolian ripple formation has been proposed by physicists based on phenomenological descriptions [e.g., *Terzidis et al.*, 1998; *Prigozhin*, 1999; *Valance and Rioual*, 1999] or conservation and symmetry principles [e.g., *Csahók et al.*, 2000], but these approaches do not allow the interpretation of coefficients in terms of measurable physical quantities [see *Csahók et al.*, 1999]. Many more models for eolian ripples have been proposed in the literature, with behavior, limitations, and caveats similar to those described above.

[6] In bed load dominated systems, it is well established that topography exerts a first-order control on sediment flux. In particular, *Gomez et al.* [1989] have linked instantaneous sediment flux q_s directly to the passage of bed forms, showing that the majority of variance in q_s may be explained by topography. *Gomez and Phillips* [1999] found that the highest-frequency variations in q_s , however, cannot be related directly to the passage of bed forms, and interpreted them as representing high-dimensional chaos (deterministic uncertainty) in the transport system. Motivated by these findings, and by documented time evolution of bed forms in the North Loup River, Nebraska, we develop a model including both a deterministic surface evolution equation based on parameterization of bed stress in terms of local topography and stochastic fluctuations in sediment flux. In this paper we focus on qualitative behavior not captured in the previously mentioned models for bed form evolution, and perform a preliminary analysis of temporal and spatial scaling with comparisons with empirical data. In a future work we will report more quantitative comparisons to field data.

2. River Data

[7] We present here topographic data capturing bed form evolution in time and in space that are derived from low-altitude aerial photography of the braided North Loup River, Nebraska [*Mohrig*, 1994; *Mohrig and Smith*, 1996], which has a bed consisting of well-sorted medium sand (Trask sorting coefficient = 1.32; median grain diameter, d_{50} = 0.31 mm). Time-lapse images taken with a camera suspended beneath a tethered helium-filled balloon were converted into topographic maps (Figure 1a), where the gray scale pixel intensity was transformed into water depth using the Beer-Lambert law [*Soo*, 1999] calibrated to numerous surveyed points within the channel. The spatial (downstream and cross-stream, or x - and y -directions, respectively) resolution is known to be 0.02 m from image pixel size, while we estimate vertical resolution to be ~ 0.01 m from analysis of sequential bed form profiles. Observations shown here were taken with an interval of 1 min for a period of 1 hour, covering a section of the river of $30 \text{ m} \times 15 \text{ m}$. Approximately constant river stage ensured that flow was essentially steady over the observation period, so the observed variability and adjustments of bed form geometry and migration rate were caused by internal dynamics of the sediment-fluid interface. A complete statistical description of channel-bottom topography, and the method developed to

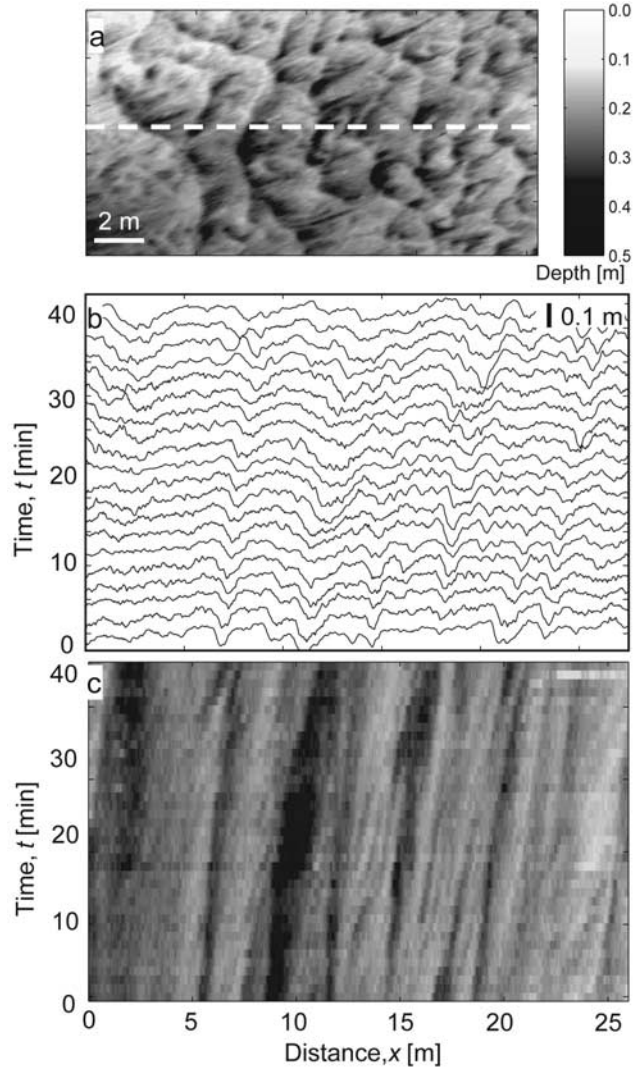


Figure 1. North Loup River topography extracted from images. Flow is from left to right for this and all figures. (a) Plan view snapshot in time of channel bottom, where brightness corresponds to water depth. Dashed line represents location of profiles in Figures 1b and 1c. (b) Sequential streambed profiles, shown every 120 s with vertical offset to allow visualization. (c) Space-time plot of sequential streambed profiles shown every 60 s, with elevation represented by brightness. Bed form crests and troughs are light and dark, respectively. Color scale is that same as in Figure 1a.

measure this topography, will be the focus of a later paper. Here we present salient properties of bed evolution in the North Loup River that we believe are representative of sand-bedded rivers in general, and these observations serve to motivate the development of a new mathematical description for the dynamics of bed forms in bed load dominated sandy rivers.

[8] It is convenient to examine elevation along one dimension (i.e., 1-D profiles in the downstream direction) to observe changes in cross-sectional geometry, and our data show that all downstream profiles at a given snapshot in time are statistically identical (as determined by scaling methods presented below) and therefore justify a 1-D

analysis. Sequential profiles stacked in time (Figure 1b) show that bed forms are not translation-invariant. While large-scale bed features remain recognizable over the duration of observation (40 min), individual bed forms are observed to split into smaller features, merge to form larger features, spontaneously form on the stoss side of larger features, and disappear in the lee slope of larger features. We see then that bed forms are inherently transient objects, such that the river bottom remains dynamic even in steady flow. Individual bed forms become unrecognizable after migrating one to two wavelengths, similar to observations of sand dunes in rivers in eastern Europe by *Nikora et al.* [1997] and laboratory dunes observed by *Leclair* [2002].

[9] Rather than subjectively identify and define individual bed forms from a profile, the series of elevations in a profile is treated as a random function [see *Nikora et al.*, 1997], and its variability is characterized as roughness. A simple and common measure of roughness is the root mean square of elevation on the interface, sometimes referred to as the interface width, w [*Barabási and Stanley*, 1995]:

$$w = \left[\frac{1}{N} \sum_{i=1}^N (\eta_i - \bar{\eta})^2 \right]^{1/2}, \quad (1)$$

where N is the number of observations, η is bed elevation, and the over bar represents an average over the domain considered. For reference, the average bed form height for a profile from the North Loup River is about 2 times the measured value of w for that profile.

[10] The scaling of w with observed length or “window size,” l , contains information about the size distribution of roughness elements, and is often found to exhibit a power law over some range for rough interfaces:

$$w \sim l^\alpha, \quad (2)$$

where α is the roughness exponent, characterizing the scaling of elevation fluctuations [see *Barabási and Stanley*, 1995; *Dodds and Rothman*, 2000]. For North Loup River profiles, we determine w for every box of the smallest window size, which is twice the data resolution or 0.04 m. We then take the average of all w values to obtain a characteristic roughness for that window size. This procedure is repeated for sequentially larger window sizes, up to one half the size of the observation domain (~ 15 m); a similar analysis was performed by *Nikora and Hicks* [1997]. An example result is shown in Figure 2, which plots the characteristic interface width against window size for downstream profiles at a snapshot in time. There are several features worthy of note. First, there is a scale-invariant regime in which a power law relationship holds between w and l , where the slope of the line in the scaling regime is the roughness exponent. Second, there is a gradual rollover of the interface width with window size at the transition between the lower scaling regime and the upper saturation regime. This transition occurs at a length equal to the characteristic wavelength of the largest dunes; the associated transition length and interface width values are l_x and w_x , respectively (Figure 2). Repeating this analysis of w for profiles taken at different times but at the same location yields the same values for α , l_x and w_x , suggesting the scaling of roughness elements is stationary. Taken together,

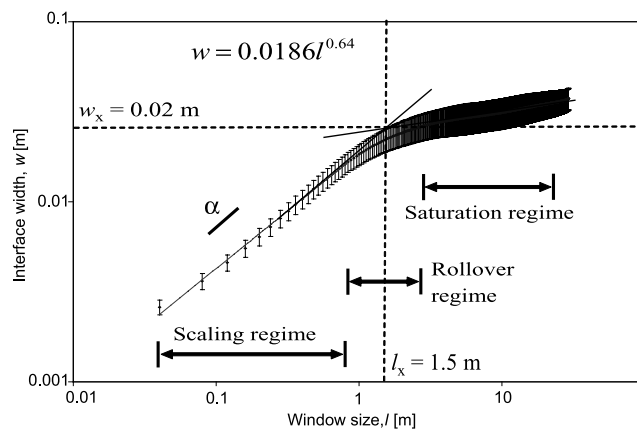


Figure 2. Example of North Loup River spatial scaling of roughness for 20 downstream profiles, averaged at a snapshot in time. Interface width grows as a power law in the scaling regime, with the slope equal to the roughness exponent α ; equation is a best fit linear regression to log-log data. Growth rolls over at the transition length l_x , with corresponding roughness value, w_x . Error bars represent one standard deviation.

these results show that despite the transience of individual topographic elements, the river bottom maintains a statistical steady state in terms of roughness.

[11] The riverbed displays a continuum of scales of topography up to the wavelength of the largest features, as represented by the power law relationship between w and l , and no clear distinction can be made between ripples and dunes. A similar conclusion is reached by computing the power spectra of bed profiles (not shown), which contains equivalent information about roughness scaling. These results are not unique to the North Loup River; similar findings have been reported in the laboratory [*Hino*, 1968; *Nordin*, 1971] and field [*Levey et al.*, 1980; *Nikora et al.*, 1997] and may be the rule in sand-bedded systems, rather than the exception. The two regimes present in Figure 2, power law roughness growth and saturation, may be indicative of different organizing physical processes. In many interface problems such as crystal growth, the scale-invariant regime is generated by internal dynamics of the interface itself, while saturation occurs due to “finite size effects,” where growth is limited by the size of the container [see *Barabási and Stanley*, 1995]. In the case of bed forms, scale invariance may be due to the local sediment transport physics, while maximum dune size is controlled by boundary conditions such as water depth or background shear stress.

[12] Qualitatively, the existence of many scales of topography may be understood from examining the temporal evolution of topography in successive profiles (Figure 1c). The largest dune features translate by the motion of smaller bed forms on their backs. These smaller features spontaneously form on a dune back, then grow in amplitude as they migrate across the dune back before disappearing in the subsequent trough (as discussed by *Jain and Kennedy* [1974], *Nikora et al.* [1997], and *Gomez and Phillips* [1999]). The appearance, growth, and disappearance of bed forms maintains a constant distribution of channel roughness, and this process is a fundamental organizing

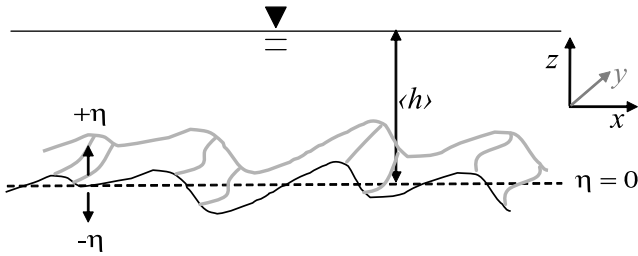


Figure 3. Definition sketch of the model shown in oblique perspective, with coordinate axes displayed. The mean elevation of the sediment-fluid interface (channel bottom) is shown by the dashed line, which is defined as $\eta = 0$ and used as the datum for mean water depth, $\langle h \rangle$. Elevations above and below this line are positive and negative, respectively.

principle that should be reproduced by a model of sand-bed evolution.

3. Model Development

[13] We seek an intuitive, physically realistic, continuum model capable of reproducing both the instability of a flat sand bed subjected to a shear flow, and the longtime evolution of dynamic topography. We focus on bed forms built from a unimodal distribution of particle sizes moving primarily as bed load because this sediment flux can be treated as responding instantaneously to changes in the flow field without accruing significant error. We hypothesize that the detailed structure of the fluid flow field is not important for determining temporal and spatial scaling, and hence we can write a “local growth model” [Barabási and Stanley, 1995; Dodds and Rothman, 2000] for the evolution of the sediment-fluid interface; this hypothesis is tested below. This said, the three main ingredients to our model are (1) a relationship between sediment flux and local bed elevation; (2) the dependence of sediment flux on local flow strength (here characterized by bed shear stress, τ); and (3) the dependence of flow strength on local topography. The first model condition is simply a statement of mass conservation:

$$\frac{\partial \eta}{\partial t} = -\frac{1}{(1-p)} \frac{\partial q_s}{\partial x}, \quad (3)$$

where t is time, p is porosity, and q_s is sediment flux with dimensions L^2/T . The second condition takes the form of a power law relationship between sediment flux and boundary shear stress:

$$q_s = m\tau^n, \quad (4)$$

where n is generally 1.5 [Meyer-Peter and Müller, 1948] but may vary up to 2.5 [Fernandez-Luque and van Beek, 1976] and m can vary between 5.7 and 12 depending on the rate of sediment transport [Wiberg and Smith, 1989]. Equation (4) could also be written in terms of an excess stress above that value required for initiation of grain motion; our intent here, however, is simply to write down the most generic representation of the governing equations.

[14] Our third model condition relates the local boundary shear stress to the local bed topography. Specifically, it relates shear stress to bed elevation and bed slope as

$$\tau(x) = \tau_b \left(1 + A \frac{\eta}{\langle h \rangle} + B \frac{\partial \eta}{\partial x} \right), \quad (5)$$

where $\langle h \rangle$ is the spatially averaged depth of flow at the beginning of a run, τ_b is the background boundary shear stress associated with $\langle h \rangle$, η is vertical distance of a point on the local sediment-fluid interface from the mean elevation, and A and B are coefficients (Figure 3). This equation for stress in terms of local topography may be considered a Taylor expansion, where higher-order spatial derivatives have been neglected. Relating local bed stress to local bed elevation was first proposed by Exner [1925], who noted that conservation of fluid mass required an increase in the vertically averaged velocity over the top of an arbitrary two-dimensional bump and derived an explicit relationship between bed stress and topography by relating bed stress to the square of vertically averaged fluid velocity. Neglecting higher-order terms (i.e., $\eta/\langle h \rangle \ll 1$), Exner [1925] found that

$$\tau(x) = \tau_b \left(1 + 2 \frac{\eta}{\langle h \rangle} \right). \quad (6)$$

[15] Smith [1970] and Engelund [1970] were the first to propose that the magnitude of local shear stress is also a function of the local bed slope. Smith [1970] argued that the relationship between local bed slope and local bed stress is a consequence of the fluid inertia. Moving water is not easily deflected, and as a result, steep adverse slopes put relatively high velocity fluid closer to the bed, producing larger values of bed stress [see also Nelson *et al.*, 1993]. Equation (5) simply sums the contributions of relative bed elevation (6) and slope to arrive at a value for bed stress at every site on the bed. The predicted variation of bed stress over topography using (5) is consistent with measured bed stress over static dunes in the laboratory [Nelson *et al.*, 1993; McLean *et al.*, 1994].

3.1. One-Dimensional Surface Evolution Equation

3.1.1. Exner’s Equation

[16] Combining equations (3), (4), and (6) gives the result,

$$\frac{\partial \eta}{\partial t} = -\langle q_s \rangle \frac{2n}{\langle h \rangle (1-p)} \left(1 + 2 \frac{\eta}{\langle h \rangle} \right)^{n-1} \frac{\partial \eta}{\partial x}, \quad (7)$$

a nonlinear wave equation describing surface evolution. The explicit dependence of advection on bed elevation means that points of higher elevation move faster. Exner [1925] used (7) to explain why bed forms become skewed with downstream transport [see Smith, 1970]. An angle-of-repose condition must be added to this equation to stop the lee surfaces of bed forms from oversteepening unrealistically. The nonlinear wave equation (7) is neutrally stable, i.e., perturbations neither grow nor decay in amplitude with time. While this lack of instability renders (7) inadequate as

a general bed form evolution model, (7) serves as a useful point of departure for our elaboration described next.

3.1.2. New Surface Evolution Equation

[17] Equations (3), (4), and (5) represent our complete model system in one dimension. Combining them, we arrive at a new surface evolution equation for sand-bedded channels:

$$\frac{\partial \eta}{\partial t} = -\langle q_s \rangle \frac{n}{(1-p)} \left(\frac{A}{\langle h \rangle} \frac{\partial \eta}{\partial x} + B \frac{\partial^2 \eta}{\partial x^2} \right) \left(1 + A \frac{\eta}{\langle h \rangle} + B \frac{\partial \eta}{\partial x} \right)^{n-1}. \quad (8)$$

The simple addition of a slope-dependent contribution to bed stress produces a surface evolution equation that is quite different from Exner's equation (7). Equation (8) contains not only a nonlinear advection term, but also a nonlinear diffusion term. The diffusion term may change sign in this formulation, and negative diffusion leads to the growth of perturbations on the surface.

[18] A formal stability analysis of (8) is beyond the scope of this paper, and here we only provide a qualitative discussion of the bed instability following *Smith* [1970] and *McLean* [1990]. From (3) we may write

$$\frac{\partial \eta}{\partial t} = -\frac{1}{(1-p)} \frac{\partial q_s}{\partial \tau} \frac{\partial \tau}{\partial x}. \quad (9)$$

Since $\partial q_s / \partial \tau$ is always positive, it is the shear stress gradient that determines the sign of $\partial \eta / \partial t$, and hence whether the bed undergoes erosion or deposition. Because sediment deposition occurs downstream of the stress maximum, a perturbation on the streambed may cause another bump to grow downstream of it, ultimately leading to a train of finite-amplitude bed forms. When elevation is small, the stress maximum is upstream from the crest of a bump, causing the bump to continue growing. As elevation becomes large, the stress maximum shifts to the elevation maximum and deposition no longer occurs on the crest; growth ceases.

3.1.3. Stochastic Form

[19] High-frequency fluctuations in sediment flux are a direct consequence of turbulence-aided sediment transport [*Nelson et al.*, 1995; *Gomez and Phillips*, 1999; *Schmeeckle and Nelson*, 2003; *Sumer et al.*, 2003]. While fluctuations in instantaneous bed stress may be modeled deterministically in a fluid-mechanical model, we treat this variability as stochastic and explore its morphodynamic importance by addition of a noise term. The stochastic surface evolution equation then reads

$$\frac{\partial \eta}{\partial t} = -\langle q_s \rangle \frac{n}{(1-p)} \left(\frac{A}{\langle h \rangle} \frac{\partial \eta}{\partial x} + B \frac{\partial^2 \eta}{\partial x^2} \right) \cdot \left(1 + A \frac{\eta}{\langle h \rangle} + B \frac{\partial \eta}{\partial x} \right)^{n-1} + \zeta(x, t), \quad (10)$$

where $\zeta(x, t)$ is Gaussian-distributed low-amplitude white noise, although the time evolution of (10) turns out to be insensitive to the details of $\zeta(x, t)$. A stochastic partial differential equation like (10) can produce long-range spatial correlations on the interface even when the term

describing interface growth or transport is entirely local in origin [*Rubin*, 1992; *Barabási and Stanley*, 1995].

3.2. Two-Dimensional Surface Evolution Equation

[20] Our surface-evolution equation can be made two-dimensional through inclusion of a lateral diffusion term. The principle transport direction is still downstream, while lateral sediment transport has a magnitude dependent on the cross-stream (y -direction) slope [*Murray and Paola*, 1997; *Hersen*, 2004]. In essence, sediment flux is calculated as one-dimensional downstream slices which are coupled to neighboring slices via the lateral diffusion of sediment. A deterministic form of the two-dimensional model then consists of (8) plus a lateral diffusion term

$$\frac{\partial \eta}{\partial t} = -\langle q_s \rangle \frac{n}{(1-p)} \left(\frac{A}{\langle h \rangle} \frac{\partial \eta}{\partial x} + B \frac{\partial^2 \eta}{\partial x^2} \right) \cdot \left(1 + A \frac{\eta}{\langle h \rangle} + B \frac{\partial \eta}{\partial x} \right)^{n-1} + D \frac{\partial^2 \eta}{\partial y^2}, \quad (11)$$

where D is the lateral diffusivity constant (units L^2/T). Note that this treatment of lateral sediment transport is identical to equation (10) of *Hersen* [2004] and similar to the explicit slope-dependent transport used by *Murray and Paola* [1997]. This approach makes the assumption that the fundamental transport mechanisms occur in the downstream direction, and that cross-stream sediment flux depends linearly on slope; it is the simplest formulation consistent with observation [e.g., *Parker*, 1984]. A stochastic form of the two-dimensional model simply consists of (11) plus a noise term,

$$\frac{\partial \eta}{\partial t} = -\langle q_s \rangle \frac{n}{(1-p)} \left(\frac{A}{\langle h \rangle} \frac{\partial \eta}{\partial x} + B \frac{\partial^2 \eta}{\partial x^2} \right) \left(1 + A \frac{\eta}{\langle h \rangle} + B \frac{\partial \eta}{\partial x} \right)^{n-1} + D \frac{\partial^2 \eta}{\partial y^2} + \zeta(x, y, t). \quad (12)$$

Equation (12) is our new anisotropic "local growth equation" for depositional systems. We expect the applicability of (12) to be general, but it may be made specific by calibration of coefficients to a particular situation. In order to realistically simulate the morphodynamics of a train of subaqueous bed forms, several additional ingredients are required for numerical implementation and are discussed next.

3.3. Numerical Method

[21] We explore the dynamical behavior of our model system by solving discrete versions of equations (3), (4), and (5) at every location on the 2-D grid, where i and j represent the x and y grid positions, respectively. Boundary conditions used are periodic in the downstream direction and zero flux in the cross-stream direction. Grid size is 100×50 cells. Larger domain sizes were explored, but did not have any significant effect on model results. The initial condition for model runs is a flat, horizontal surface seeded with elevation perturbations of very low amplitude produced as white noise. Values for grid spacing Δx (equal in x and y directions), time step Δt , water depth $\langle h \rangle$, all coefficients and background shear stress, τ_b , are specified at the beginning of a model run; the exponent $n = 1.5$ for all

Table 1. Model Parameters Used for All Runs^a

Parameter	D	S
Δx	0.1	0.2
Δt	0.005	0.002
A	4.3	4.3
B	4.3	4.3
D	0.025	0.025
E	1	1
ζ	0	0.1(randn)
τ_b	0.07	0.07
$\langle h \rangle$	1	1
m	1	1
n	1.5	1.5
θ_c [°]	34	34
p	0.4	0.4

^aSee equations (13)–(17). Boundary conditions are periodic in the downstream (x) direction and zero flux in the cross-stream (y) direction; the noise term $\zeta = 0.1(\text{randn})$, where “randn” represents a random variable having a normal distribution with zero mean and variance of one. D and S refer to model runs where D means deterministic and S means stochastic. Units are arbitrary unless specified.

simulations. At a given time step, the following sequence of operations is performed:

$$\tau_{i,j} = \tau_b \left(1 + A \frac{\eta_{i,j}}{\langle h \rangle} + B \frac{\eta_{i,j} - \eta_{i-1,j}}{\Delta x} \right), \quad (13)$$

$$\tau_{i,j} = \begin{cases} \tau_{i,j}; & \geq 0 \\ 0; & < 0 \end{cases}, \quad (14)$$

$$q_{a,i,j} = \begin{cases} E \left[\left(\frac{\eta_{i,j} - \eta_{i+1,j}}{\Delta x} \right)^2 - (\tan \theta_c)^2 \right] \left(\frac{\eta_{i,j} - \eta_{i+1,j}}{\Delta x} \right); & \left(\frac{\eta_{i,j} - \eta_{i+1,j}}{\Delta x} \right) > \tan \theta_c \\ 0; & \left(\frac{\eta_{i,j} - \eta_{i+1,j}}{\Delta x} \right) \leq \tan \theta_c \end{cases}, \quad (15)$$

$$q_{s,i,j} = m\tau_{i,j}^n + q_{a,i,j} + \zeta_{i,j}, \quad (16)$$

$$\Delta \eta_{i,j} = - \frac{\Delta t}{(1-p)\Delta x} (q_{s,i,j} - q_{s,i-1,j}) + \frac{\Delta t D}{(\Delta x)^2} (\eta_{i+1,j} + \eta_{i-1,j} + \eta_{i,j+1} + \eta_{i,j-1} - 4\eta_{i,j}). \quad (17)$$

Equation (13) computes bed stress using an upwind scheme for slope, and (14) makes all negative bed stresses zero, crudely mimicking the shadow zone of low transport occurring immediately downstream from a bed form lee face. In order to prevent oversteepening of lee surfaces, we employ a version of the grain-avalanching proxy as presented by *Hersen* [2004]. If the downwind-calculated slope exceeds the critical angle θ_c , then an additional “avalanche flux” is computed using (15). If the chosen value for coefficient E is sufficiently large, any slope that builds to an angle $> \theta_c$ relaxes instantaneously at the next time step. Equation (16) determines the sediment flux at each grid point by summing the contributions from local bed stress, avalanching, and noise; the noise term is zero for deterministic model runs. Finally, (17) finds elevation change using a 1-D, upwind version of the sediment

continuity equation. The second term on the right-hand side of (17) is a diffusion term, solved by calculating the discrete 2-D Laplacian of the elevation field, and scaled using a diffusivity D , which represents the importance of lateral coupling of sediment transport. Although the explicit diffusion term in (12) is for the y -direction only, in our numerical implementation (17) we add an explicit 2-D diffusive term which serves the additional purpose of numerical dissipation [*Press et al.*, 1988], helping to smooth the elevation field to enhance numerical stability.

[22] The choice of coefficients for bed stress and sediment transport relations is presently unconstrained. In practice, A and B could be estimated empirically from laboratory observations of bed stress over topography, while cross-stream sediment transport could be treated in a more rigorous manner using an explicit method such as *Parker* [1984]. Values for m may be selected from the literature. Varying coefficients affects the growth rate and amplitude of bed features but does not greatly affect temporal or spatial scaling. Here we are interested in whether the general equations (13)–(17) can produce a variety of dynamical behavior observed in laboratory and field settings, so coefficients were selected such that the contributions of elevation and slope to the total bed stress are approximately equal, and cross-stream sediment transport is a small fraction of the downstream flux (see Table 1). We will perform future experiments to estimate these coefficients. Grid spacing and time step values were selected from considerations of numerical stability and computation time.

4. Results

4.1. Deterministic Model ($\zeta = 0$)

[23] Numerically solving (13)–(17) with appropriately chosen coefficients (Table 1) reproduces growth and saturation of bed forms from a perturbed flat surface, and evolving bed forms display nonuniform geometries characteristic of natural topography (Figure 4). Additionally, celerity is roughly inversely related to bed form height, and merging of bed forms occurs due to varying migration speeds (as in experiments by *Coleman and Melville* [1994]) in a manner similar to models of eolian ripple development [*Caps and Vandewalle*, 2001; *Prigozhin*, 1999; *Schwämmle and Herrmann*, 2004]. In contrast to these previous eolian models where the coarsening of bed forms continues until there is only one bed form in the model domain, the steady state solution of our model consists of a train of bed forms. Steady state for model output is verified by computing α , w_x , and l_x at several different times to ensure there is no systematic drift.

[24] Cross-stream diffusion provides sufficient coupling to generate sinuous-crested bed forms whose width occupies the entire model domain (Figure 4a). Crest-line terminations, or defects, are observed to migrate through the system faster than the bed forms, as postulated by *Werner and Kocurek* [1997] and seen in previous numerical simulations [*Caps and Vandewalle*, 2001; *Yizhaq et al.*, 2004]. In contrast to *Werner and Kocurek* [1999; see also *Werner*, 1999] who treat bed form crest lines and defects as independent dynamical variables, crest lines and defects in our model arise naturally from the local coupling of sediment transport to topography, and so are a consequence

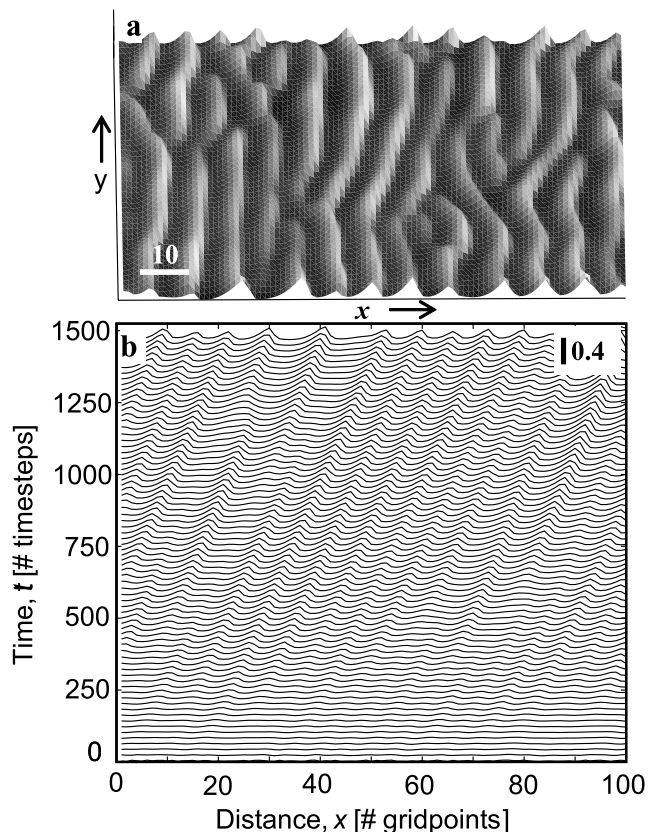


Figure 4. Deterministic model (run D, Table 1) evolution. (a) Oblique view snapshot of transient evolution of bed surface, at time = $1500\Delta t$. (b) Profile down the centerline of the 2-D model domain, showing growth of bed forms from a flat surface. Compare to Figure 4 of *Coleman and Melville* [1996]. Profiles are plotted every $20\Delta t$ from zero up to time = $1500\Delta t$.

rather than a cause of the dynamics. In the deterministic scenario, $\zeta = 0$ for $t > 0$, nonuniform transient evolution occurs because of the spatial noise inherited from initial conditions. At long time, the bed forms evolve toward uniform, straight-crested features. In other words, the final state of the deterministic model is a static state (in a Lagrangian frame), with only one scale of topography.

[25] The growth of bed roughness with time can be quantified by calculating the interface width of downstream profiles over the entire model domain for each time step using (1). To facilitate comparison with previous data, interface width and model time are scaled by their respective equilibrium values, or the values corresponding to saturation of roughness growth. Several authors [e.g., *Baas*, 1994; *Niño et al.*, 2002] have found experimentally that bed form growth is fit well by an exponential function of the form

$$\frac{w}{w_{eq}} = 1 - e^{-\gamma \frac{t}{t_{eq}}}, \quad (18)$$

where $\gamma = 6$ provides a good fit to most data [see also *Nikora and Hicks*, 1997] and the subscript *eq* denotes equilibrium values. Equation (18) with $\gamma = 6$ provides an excellent fit to the growth of bed roughness for the deterministic model (Figure 5a), implying the essential

dynamics of bed form development are captured in the model. In another set of experiments reported by *Nikora and Hicks* [1997], a power law relationship was observed:

$$\frac{w}{w_{eq}} = \begin{cases} \left(\frac{t}{t_{eq}}\right)^\beta; & t < t_{eq} \\ 1; & t \geq t_{eq} \end{cases}, \quad (19)$$

where β , the growth exponent (as given by *Barabási and Stanley* [1995]), was found to be 0.28 under the laboratory conditions examined. This power law relation does not fit the deterministic model data, a topic we return to below.

[26] The general model behavior is not very sensitive to changes in values of the coefficients. The bed form instability is present if B is positive, and sinuous-crested bed forms develop as long as there is a weak lateral coupling via diffusion. We verified numerically that spatial and temporal scaling are unaffected by varying coefficients; only growth rate and amplitude of bed features change.

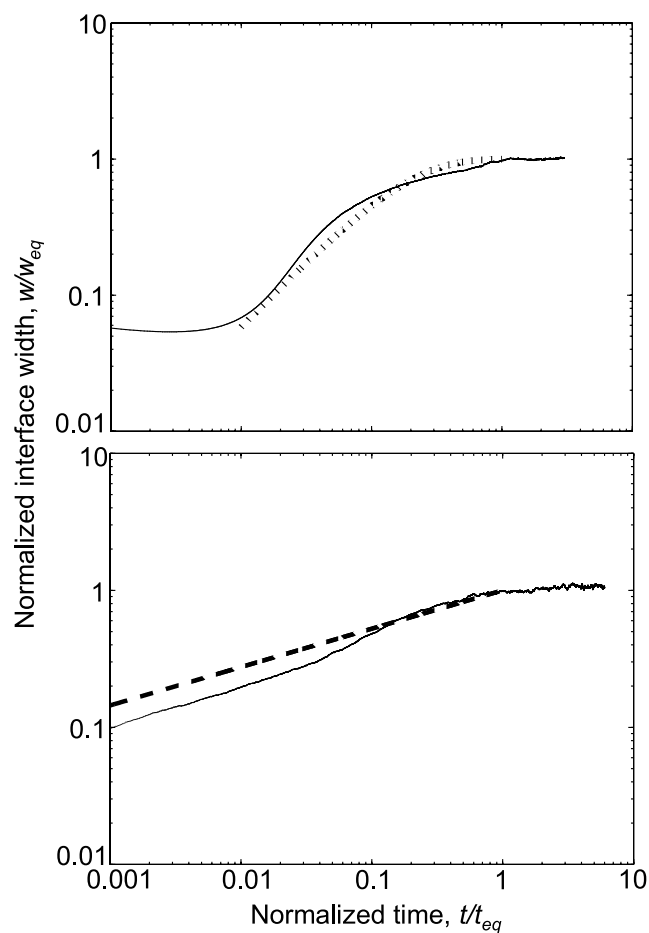


Figure 5. Growth of roughness in time from a flat surface, calculated from averaging all downstream profiles at each time step, for (a) deterministic and (b) noisy simulations. Dotted line is the exponential growth relation (18) in the text with $\gamma = 6$, while the dashed line is the power law growth relation (19) with $\beta = 0.28$. Interface width and time are scaled by their respective equilibrium values; see text for details. Relations (18) and (19) were derived from flume studies and were not fit to model data.

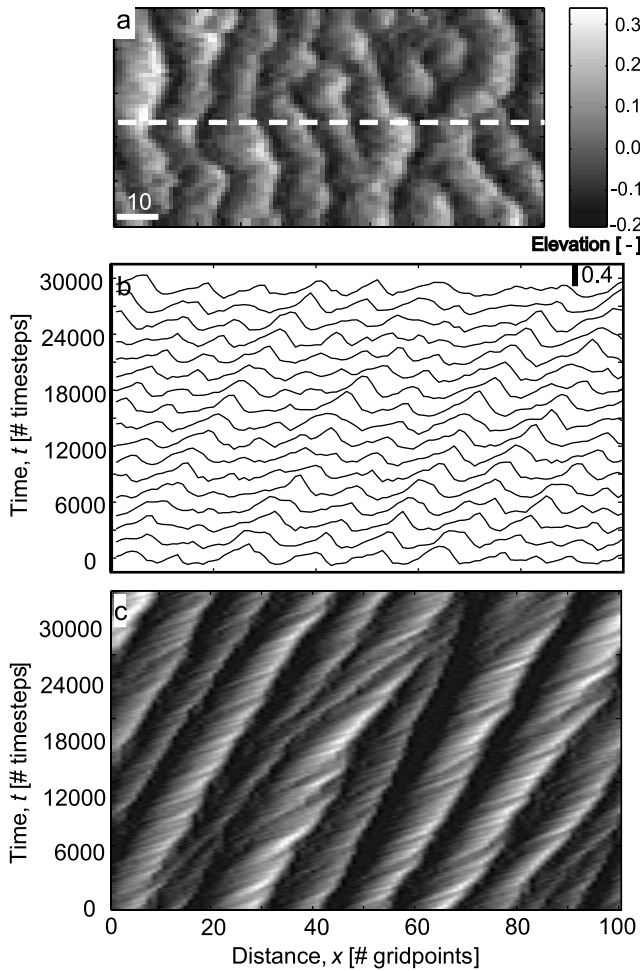


Figure 6. Stochastic model topography (run S, Table 1) where roughness is in statistical steady state; compare to Figure 1. (a) Plan view snapshot. (b) Sequential profiles shown every $1500\Delta t$. (c) Space-time plot of sequential profiles shown every time step.

4.2. Stochastic Model

[27] Addition of noise has a profound influence on bed form dynamics and spatial scaling. Low-amplitude noise (run S; see Table 1) produces growth of bed roughness from a flat surface that is well fit by *Nikora and Hicks's* [1997] power law relation (19), as seen in Figure 5b. In other words, the presence of noise shifts the development of roughness from an exponential to a power law trajectory, and ultimately increases the saturation amplitude of bed features.

[28] From a cursory glance it is apparent that bed forms of many scales coexist on the fully developed model interface (Figure 6) with 2-D morphology that compares well to bed forms measured in the North Loup River (Figure 1a). Stacked sequential profiles from the model at steady state show bed forms that are continuously varying in shape (Figure 6b), with the emergence and disappearance of bed forms being an ongoing process. Perhaps the most notable aspect of the stochastic model results is their qualitative similarity to steady state dynamics observed in the river data. Sequential profiles generated by the model clearly show larger dune-like topography mantled with smaller ripple-like topography that spontaneously emerges in the troughs of the larger forms and

rapidly moves over their stoss sides (Figure 6c). The ripple-like forms grow in amplitude as they migrate across the stoss sides of the larger bed forms, only to be absorbed by the lee faces of the larger forms. This disappearance of the smaller bed forms provides the mass that causes the larger forms to migrate downstream. As observed for river dunes, modeled bed forms become unrecognizable after migrating one to two wavelengths downstream.

[29] We compare the spatial roughness scaling of our noisy model to data from the North Loup River using equations (1) and (2), where w and l are normalized by their transition values w_x and l_x , respectively (Figure 7). The roughness exponent for the model, computed over the scaling regime, is 0.56, in reasonable agreement with the North Loup River. More important, the form of the roughness scaling curve from the North Loup River is reproduced by our noisy model results (Figure 7). In particular, the existence of a large dominant wavelength, and a continuum of scales below that wavelength, along with the long crossover to saturation, are captured by the model.

5. Discussion

[30] The striking difference in dynamical behavior between deterministic and noisy simulations provides insight into the importance of transport fluctuations in determining bed roughness properties. To gain an understanding of the physical processes controlling temporal growth of roughness, we compare sediment transport conditions of two experimental studies. Transport stage is defined as $T = \psi/\psi_c$, where $\psi = \tau_b/[(\rho_s - \rho_f) d_{50} g]$ is the dimensionless shear stress, ψ_c is the critical value for initiation of motion of grains, ρ_s and ρ_f are the sediment and fluid density, respectively, and g is acceleration due to gravity. The exponential growth of bed roughness corresponds to low transport stage, while power law growth occurs at high transport stage. *Niño et al.* [2002] conducted all experiments in the range $2 < T < 3.3$, and their bed form growth curves (see their Figure 10) are close to the exponential relation (18). Flume runs reported by *Nikora*

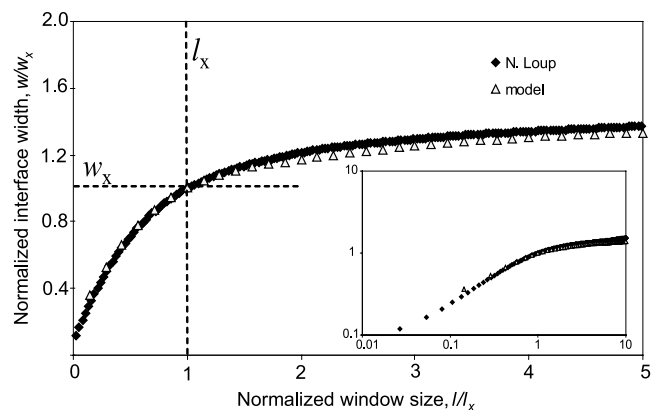


Figure 7. Example of spatial scaling of roughness for stochastic model (run S) and North Loup River at statistical steady state using a downstream profile at a snapshot in time; w and l are normalized by their transition values. See caption of Figure 2 for explanation; note linear scale. Inset is the same data plotted on a log-log scale. No calibration was performed to match model with river data.

and Hicks [1997] span the range $9 < T < 30$ and display the power law growth described by (19). Larger T certainly corresponds to larger fluctuations in sediment flux from direct influences of turbulence on bed load transport, and from suspended sediment transport where fluctuations in fluid stress have a greater influence.

[31] The match of deterministic and stochastic model runs to the empirical exponential and power law growth relations, respectively, implies that in some sense the equations are capturing the features of sediment transport relevant to bed form evolution. Exponential growth of roughness in time is generally predicted for linear instabilities, while power law growth is a generic process of noisy interfaces [Barabási and Stanley, 1995]. The effect of noise in our model is to induce more rapid bed form growth early on, such that large roughness amplitude is achieved rapidly and hence the nonlinearity governs growth. Coleman *et al.* [2005] fit power law growth relations to data over a range $3.4 < T < 32.9$. In reality, there is likely a gradual transition between exponential and power law growth such that the respective relations are two end-members in a spectrum. Indeed, numerical experiments with very low amplitude noise (not shown here) exhibit roughness growth intermediate between exponential and power law.

[32] At long time, deterministic simulations evolve toward a steady state of uniform, periodic, straight-crested bed forms, i.e., a static steady state. Once the sediment flux field is exactly in phase with topography, evolution stops and the cross-stream diffusion ensures that all lateral variability disappears. This final state is not representative of trains of dunes in natural rivers. The longtime evolution of stochastic model runs consists of a bed that is continuously varying, but in statistical steady state. The mechanistic explanation for this phenomenon is that noise creates small perturbations on the streambed that allow the growth of instabilities from the governing equations. The growth of new bed forms is balanced by the disappearance of bed forms in the troughs of larger features. The bed remains continuously dynamic because the sediment flux can never be exactly in phase with topography, and hence nonuniform divergences in sediment flux force continuous adjustments of bed forms.

6. Conclusions

[33] The model results obtained here are for a uniform sediment size on a freely deformable surface (i.e., no non-erodible areas exist on the bed). Pattern formation in this model is robust, as evidenced by the lack of sensitivity to model coefficients. Robustness of pattern formation implies that the details of fluid flow may not be important for a first-order description of the bed dynamics. In other words, the sediment-fluid interface has an internal dynamic that is independent of the details of the system, and allows for a geometric description of its evolution.

[34] There is much to explore in the dynamics of our model system (13)–(17), and the analyses presented here are meant only to demonstrate the promise of this approach. A great advantage of the model is its flexibility, which will allow examination of unsteady flow and complex boundary conditions in order to address issues relevant to river management. The fluid enters into the problem only through a small, interpretable set of coefficients that may be related to measured quantities. Equations (13)–(17) represent a

unified model for subaqueous bed form dynamics because they provide a description of bed form initiation, development, and steady state behavior. Further, bed forms of different scales arise from the same fundamental transport processes. Variability in the geometry and kinematics of bed forms is a consequence of the deterministic relationship between sediment flux and topography, and noise.

[35] Modeled bed forms are self-organized in the sense that large-scale features arise from a completely local description of bed evolution, i.e., bed forms are produced from interactions between adjacent grid points in the model. Measurements of fluid flow around static bed forms show that topography can generate long-range disturbances in the flow field, in the form of turbulence production and coherent flow structures [Nelson *et al.*, 1993; McLean *et al.*, 1994; Best *et al.*, 1997; Maddux *et al.*, 2003a, 2003b]. While the flow structure undoubtedly influences sediment transport, nonlocal effects introduced by turbulent fluid flow may be of second-order importance in determining the large-scale structure of the streambed. At the very least, this modeling approach shows that a completely local, geometric description of topographic evolution can generate realistic bed form dynamics, and even quantitatively model bed form growth (Figure 5) and spatial scaling (Figure 7). The presence of uncorrelated noise is sufficient to induce a dynamic steady state comparable to natural rivers. These results suggest that the presence of turbulence is important in terms of a perturbation source, but the structure of turbulence may be less important in terms of transport [Sumer *et al.*, 2003] and bed form dynamics. A systematic numerical exploration of the structure (distribution) of noise and its influence on model behavior is necessary to address this issue but is beyond the scope of this paper. We have observed no effect on scaling when the stochastic term is changed from Gaussian to uniformly distributed white noise.

[36] An improved understanding of bed form evolution is required to predict the stage-discharge relationship in sand-bedded rivers [e.g., Allen, 1973; Levey *et al.*, 1980] and also to interpret bed form geometry from preserved cross beds in the stratigraphic record [Jerolmack and Mohrig, 2005]. Dunes and ripples determine the flow resistance in sandy channels because they are the principle roughness elements on the bed. The manner in which bed forms adjust in space and in time determines, to a large extent, the cross-sectional geometry of a channel, because bottom roughness adjusts much more rapidly than channel width. The model presented here can be used to explore the response of a channel bottom to changes in sediment transport conditions. In future work we will calibrate the model to field and laboratory data.

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