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J. Matthias Walz University of Massachusetts Medical School

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ORIGINAL ARTICLE

**Clinical Trials Study** 

# Microvascular response to transfusion in elective spine surgery

J Matthias Walz, Ottokar Stundner, Federico P Girardi, Bruce A Barton, Aimee R Koll-Desrosiers, Stephen O Heard, Stavros G Memtsoudis

J Matthias Walz, Stephen O Heard, Department of Anesthesiology and Perioperative Medicine, UMass Memorial Healthcare, Worcester, MA 01655, United States

Ottokar Stundner, Department of Anesthesiology, Hospital for Special Surgery, Weill Cornell Medical Center, New York, NY 10021, United States

Ottokar Stundner, Department of Anesthesiology, Perioperative Medicine and Intensive Care Medicine, Paracelsus Medical University, 5020 Salzburg, Austria

Federico P Girardi, Department of Orthopedic Surgery, Hospital for Special Surgery, Weill Cornell Medical Center, New York, NY 10021, United States

Bruce A Barton, Aimee R Kroll-Desrosiers, Department of Quantitative Health Sciences, University of Massachusetts Medical School, Worcester, MA 01655, United States

Stavros G Memtsoudis, Department of Anesthesiology, Hospital for Special Surgery, Weill Cornell Medical Center, New York, NY 10021, United States

Author contributions: Walz JM, Heard SO and Memtsoudis SG designed the research; Girardi FP, Stundner O and Memtsoudis SG conducted the research; Barton BA and Kroll-Desrosiers AR developed the statistical model and performed all statistical analysis; all authors analyzed and interpreted the data; Walz JM wrote the first draft of the manuscript; all authors revised the manuscript, approved the final manuscript, and are accountable for all aspects of the work; Walz JM is the guarantor of the manuscript and takes full responsibility for the integrity of the work as a whole, from inception to published article.

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Correspondence to: J Matthias Walz, MD, Department of Anesthesiology and Perioperative Medicine, UMass Memorial Healthcare, 55 Lake Avenue North, Worcester, MA 01655, United States. matthias.walz@umassmemorial.org

Telephone: +1-508-8563266

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#### Abstract

#### **AIM**

To investigate the microvascular (skeletal muscle tissue oxygenation; SmO<sub>2</sub>) response to transfusion in patients undergoing elective complex spine surgery.

#### **METHODS**

After IRB approval and written informed consent, 20 patients aged 18 to 85 years of age undergoing > 3



level anterior and posterior spine fusion surgery were enrolled in the study. Patients were followed throughout the operative procedure, and for 12 h postoperatively. In addition to standard American Society of Anesthesiologists monitors, invasive measurements including central venous pressure, continual analysis of stroke volume (SV), cardiac output (CO), cardiac index (CI), and stroke volume variability (SVV) was performed. To measure skeletal muscle oxygen saturation (SmO<sub>2</sub>) during the study period, a non-invasive adhesive skin sensor based on Near Infrared Spectroscopy was placed over the deltoid muscle for continuous recording of optical spectra. All administration of fluids and blood products followed standard procedures at the Hospital for Special Surgery, without deviation from usual standards of care at the discretion of the Attending Anesthesiologist based on individual patient comorbidities, hemodynamic status, and laboratory data. Time stamps were collected for administration of colloids and blood products, to allow for analysis of SmO2 immediately before, during, and after administration of these fluids, and to allow for analysis of hemodynamic data around the same time points. Hemodynamic and oxygenation variables were collected continuously throughout the surgery, including heart rate, blood pressure, mean arterial pressure, SV, CO, CI, SVV, and SmO<sub>2</sub>. Bivariate analyses were conducted to examine the potential associations between the outcome of interest, SmO<sub>2</sub>, and each hemodynamic parameter measured using Pearson's correlation coefficient, both for the overall cohort and within-patients individually. The association between receipt of packed red blood cells and SmO2 was performed by running an interrupted time series model, with SmO2 as our outcome, controlling for the amount of time spent in surgery before and after receipt of PRBC and for the inherent correlation between observations. Our model was fit using PROC AUTOREG in SAS version 9.2. All other analyses were also conducted in SAS version 9.2 (SAS Institute Inc., Cary, NC, United States).

#### RESULTS

Pearson correlation coefficients varied widely between  $SmO_2$  and each hemodynamic parameter examined. The strongest positive correlations existed between  $ScvO_2$  (P=0.41) and SV (P=0.31) and  $SmO_2$ ; the strongest negative correlations were seen between albumin (P=-0.43) and cell saver (P=-0.37) and  $SmO_2$ . Correlations for other laboratory parameters studied were weak and only based on a few observations. In the final model we found a small, but significant increase in  $SmO_2$  at the time of PRBC administration by 1.29 units (P=0.0002).  $SmO_2$  values did not change over time prior to PRBC administration (P=0.6658) but following PRBC administration,  $SmO_2$  values declined significantly by 0.015 units (P<0.0001).

#### **CONCLUSION**

Intra-operative measurement of  $SmO_2$  during large volume, yet controlled hemorrhage, does not show a statistically significant correlation with either invasive

hemodynamic, or laboratory parameters in patients undergoing elective complex spine surgery.

**Key words:** Transfusion; Complex spine surgery; Near infrared spectroscopy; Microvascular blood flow; Hemodynamic monitoring

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Core tip: Tissue oxygenation determined by Near Infrared Spectroscopy has been used to assess the adequacy of end-organ perfusion in models of trauma and sepsis and has been shown to correlate with stroke volume in models of hemorrhagic shock. We sought to investigate muscle tissue oxygenation (SmO<sub>2</sub>) during transfusion in patients undergoing complex spine surgery, and to study the association of SmO<sub>2</sub> with invasive hemodynamic parameters in the clinical setting. In our study, we were unable to demonstrate a statistically significant correlation between SmO<sub>2</sub> and either invasive hemodynamic, or laboratory parameters in patients undergoing elective complex spine surgery.

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#### INTRODUCTION

Over 400000 elective spine fusion surgeries are performed annually in the United States<sup>[1]</sup>. Blood loss during complex spine surgery can be significant, and these patients frequently undergo either homologous or autologous blood transfusion, with the aim of preserving patient hemodynamics and adequate endorgan perfusion. While the transfusion of blood products is clearly indicated in situations of severe anemia associated with hemodynamic instability, the individual threshold at which a patient should undergo transfusion is less clear. Due to the properties of stored blood, unloading of oxygen may be impaired<sup>[2-4]</sup>, and transfusion may thus not achieve the desired effect of optimizing oxygen supply to the tissues. Furthermore, liberal blood transfusions in patients undergoing elective orthopedic surgery are not associated with improved outcomes even in patients at high risk for cardiac complications, and may cause adverse side effects such as an increase in surgical side infections, pulmonary complications, and increased length of hospital stay in general patient populations undergoing non-cardiac surgery<sup>[5,6]</sup>. In addition, blood transfusions can cause a significant economic burden on the healthcare system if not clearly indicated<sup>[7]</sup>.

Tissue oxygenation determined non-invasively by Near Infrared Spectroscopy (NIRS) has been suggested as one possible modality to determine the adequacy of end-organ perfusion in models of trauma and sepsis<sup>[8,9]</sup>, and has been shown to correlate well with stroke volume in states of acute, untreated hypovolemia in models of hemorrhagic shock outside of the clinical arena<sup>[10]</sup>. We sought to investigate the microvascular (skeletal muscle tissue oxygenation; SmO<sub>2</sub>) response to transfusion in patients undergoing elective complex spine surgery, and to study the association of muscle tissue oxygenation with invasive hemodynamic parameters obtained by pulse contour analysis in the clinical setting, thereby providing guidance as to when to transfuse a patient.

#### **MATERIALS AND METHODS**

#### Study design

After obtaining approval from the Institutional Review Board (Hospital for Special Surgery, New York, NY), potential participants were identified by review of the surgical schedule and approached on the day of surgery in the preoperative holding area. Twenty patients aged 18 to 85 years of age undergoing > 3 level anterior and posterior spine fusion surgery were enrolled. Exclusion criteria included minors, mentally disabled patients, pregnant women, employees, and prisoners. In addition, patients with skin lesions at the sensor placement site, and a history of allergies to skin adhesives were excluded from the study. Patients enrolled in the study were followed throughout the operative procedure, and for 12 h postoperatively.

#### Procedures and data collection

After informed consent was obtained, patients were taken to the operating room where general anesthesia was induced in standard fashion. In addition to standard American Society of Anesthesiologists (ASA) monitors, patients received an invasive arterial blood pressure catheter (Edwards Lifesciences, Irvine, CA) in the radial artery position, as well as a multi-lumen central venous catheter (Arrow International, Reading, PA) for administration of fluids, blood products, blood sampling, and measurement of central venous pressure. The arterial pressure transducer was connected to a pulse contour analysis module (FloTrac, Edwards Vigileo®, Edwards Lifesiences, Irvine, CA) for continual analysis of stroke volume (SV), cardiac output (CO), cardiac index (CI), and stroke volume variability (SVV). To measure skeletal muscle oxygen saturation (SmO<sub>2</sub>) during the study period, a non-invasive adhesive skin sensor based on NIRS (CareGuide, Reflectance Medical, Westborough, MA) was placed over the deltoid muscle. After a 5-min period to obtain a stable baseline signal, continuous recording of optical spectra was performed throughout the operative procedure. Measurements were interrupted during prone positioning of the patient (anterior-posterior procedures), and during patient transport.

Central venous and mean arterial pressures were recorded with every routine lab draw during the procedure, and no less than every two hours intra-operatively. Lactate, hematocrit, base excess, arterial blood gases and central venous oxygen saturation were determined from blood samples that were drawn as part of routine care, and no less than every two hours intra-operatively.

#### Fluid administration and blood transfusion

No standardized protocol for administration of crystalloids, colloids, and blood products was used for the purpose of this study. Administration of fluids and blood products was performed at the discretion of the Attending Anesthesiologist based on individual patient comorbidities, hemodynamic status, and laboratory data. Crystalloid solutions were administered in the form of Lactated Ringer's. The colloid administered during the study period was human albumin 5% in 250 mL aliquots. Blood products transfused were either autologous blood from cell saver (Hemonetics, Braintree, MA) in 125 mL aliquots, or allogenic packed red blood cells (PRBC) from the blood bank. No specific transfusion triggers were used, and the healthcare team was blinded to the collection of NIRS spectra. All administration of fluids and blood products followed standard procedures at the Hospital for Special Surgery, without deviation from usual standards of care. Time stamps were collected for administration of colloids and blood products, to allow for analysis of SmO2 immediately before, during, and after administration of these fluids, and to allow for analysis of hemodynamic data around the same time points. In general, PRBC were infused using pressure infusion bags (Vital Signs Inc, Totowa, NJ), whilst blood processed with the cell saver system was infused as a free flowing infusion.

#### Statistical analysis

Data on stroke volume, SmO<sub>2</sub>, transfusion, and blood gas were collected on a total of 20 patients. Several variables were collected on a continuously throughout the surgery and included heart rate, blood pressure, mean arterial pressure, SV, CO, CI, SVV and SmO<sub>2</sub>. These variables were identified at each time point with a time recording out to seconds. Patient data from the four sources was merged by a unique patient ID and timed at which the measurement occurred rounded to the nearest minute. Bivariate analyses were conducted to examine the potential associations between the outcome of interest, SmO<sub>2</sub>, and each hemodynamic parameter measured using Pearson's correlation coefficient, both for the overall cohort and within-patients individually.

To examine the association between receipt of packed red blood cells and SmO<sub>2</sub>, we created a dataset that included 11 patients who had received PRBC with documented administration times. After conducting an exploratory data analysis on SmO<sub>2</sub> using graphical techniques and percentile ranges, we excluded SmO<sub>2</sub>



	Table 1	Patier	ıt den	nograpi	iics
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	Age (yr)	Height (cm)	Weight (kg)	BMI	Sex (m/f)
Mean (SD)	59.80 (10.96)	165.99 (9.83)	75.84 (15.75)	27.58 (5.45)	5/15

Table 2 Correlations with SmO<sub>2</sub>

Variable	ρ <b>(correlation)</b>	No. of observations <sup>1</sup>
ScvO <sub>2</sub>	0.40704	92
SV	0.30967	8490
$PvO_2$	0.20475	92
HCT	0.19402	89
CO	0.06498	8490
Lactate	0.0609	61
CI	0.05839	8490
рН А	0.0578	92
SVV	-0.10652	8490
RBC	-0.25085	18
Cell saver	-0.37471	37
Albumin	-0.42714	29

<sup>1</sup>Eight thousand four hundred and ninety total observations within 20 patients (not all hemodynamic parameters were examined at each observation).

values  $\leq$  30 as well as values that jumped by > 10% from one time point to the next, unless the jump in values was typical for that specific patient. Due to the few occurrences of multiple PRBC administration during surgery in our data, we only focused on the first PRBC event.

A variable, "timecount", was created to standardize the time units, where the first minute of each patient surgery in our data was equal to one and subsequently increased by one unit for every minute until the end of the surgery. A second variable was created to flag the time at which PRBC was administered, where the variable was equal to 0 until the time at which PRBC were administered and 1 at every time point thereafter. Finally, an additional time variable, "postcount", was created to count the time after PRBC was administered, where postcount = 1 referred to the minute at which PRBC was given and increased by one unit per minute of time remaining in surgery for each subsequent measurement. These flagging variables allowed us to run an interrupted time series model, with SmO<sub>2</sub> as our outcome, controlling for the amount of time spent in surgery before and after receipt of PRBC and for the inherent correlation between observations. Our model was fit using PROC AUTOREG in SAS version 9.2. All other analyses were also conducted in SAS version 9.2 (SAS Institute Inc., Cary, NC, United States).

#### RESULTS

Summative patient demographics are presented in Table 1. Pearson correlation coefficients varied widely between SmO<sub>2</sub> and each hemodynamic parameter examined. When examined for the overall cohort,

Table 3 SmO<sub>2</sub> statistics for individual patients and overall

Patient	No. of	SmO <sub>2</sub> statistics			
	observations (time counts)	Mean	Std Dev	Minimum	Maximum
1	1609	47.30205	4.231185	39.24457	59.81775
2	261	54.48111	4.559080	41.36314	60.91571
3	110	58.08155	3.474810	49.92571	63.56974
4	1061	59.45269	5.814339	42.39521	67.48903
5	333	59.86487	6.765487	43.87553	70.40168
6	284	60.44015	2.490064	53.33939	68.04648
7	486	60.78656	13.90398	30.77515	75.79442
8	1440	60.95438	4.090378	48.86891	73.39652
9	420	61.17271	2.276567	54.89989	65.64407
10	1470	61.18899	2.880967	52.65419	69.78379
11	203	61.95770	3.067270	47.69575	71.06789
Total	7677	57.63074	7.677996	30.77515	75.79442

Sorted by mean SmO2.

where each unit of time served as an observation, the maximum number of observations was 8490 among the 20 participants. Several parameters, including central venous oxygen saturation ScvO2, lactate, venous blood oxygen tension (PvO<sub>2</sub>), arterial pH (pH-A), hematocrit, PRBC, cell saver, and albumin had less than 100 observations among all participants. The strongest positive correlations existed between  $ScvO_2$  (P = 0.41) and SV (P = 0.31) and SmO<sub>2</sub>; the strongest negative correlations were seen between albumin (P = -0.43) and cell saver (P = -0.37) and SmO<sub>2</sub>. Correlations for other laboratory parameters studied were weak and only based on a few observations (Table 2). When correlations were examined within individual patients, values varied widely; for example, correlations for SV varied from 0.40590 to -0.66903 for 18 patients with recorded SV values (data not shown).

Average SmO<sub>2</sub> for the 11 patients included in the final modeling was 57.63 ( $\pm$  SD 7.68), with a range of mean values from 47.30-61.92. The total number of observations analyzed was 7677, representing 7677 time counts, or minutes, of surgery over all patients included. Individual patient time counts ranged from 110 to 1609 (Table 3).

The final model showed a small, but significant increase in SmO<sub>2</sub> at the time of PRBC administration by 1.29 units (P = 0.0002). SmO<sub>2</sub> values did not change over time prior to PRBC administration (P = 0.6658) but following PRBC administration, SmO<sub>2</sub> values declined significantly by 0.015 units (P < 0.0001).

#### **DISCUSSION**

The key finding of our study is that when compared to experimental<sup>[11]</sup>, and clinical settings of uncontrolled hemorrhagic shock<sup>[8]</sup>, intra-operative measurement of SmO<sub>2</sub> during large volume, yet controlled hemorrhage does not show a statistically significant correlation with either invasive hemodynamic, or laboratory parameters in patients undergoing elective complex spine surgery.

The non-invasive assessment of tissue perfusion



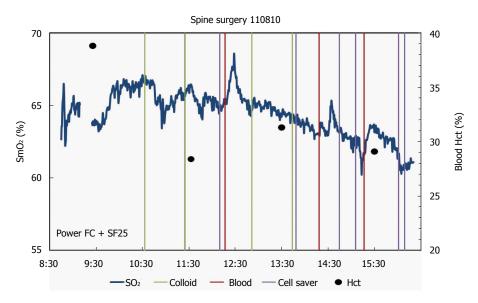


Figure 1 Representative SmO<sub>2</sub> response to infusion of colloid, cell saver, and homologous blood in one of the study patients. Notice the apparent lack of correlation between SmO<sub>2</sub> and HCT.

has garnered increasing interest in acute care medicine, based on the fact that traditional hemodynamic and oxygenation parameters such as blood pressure, central venous pressure, pulse oximetry, and central venous oxygen saturation are not necessarily reflective of the actual amount of blood loss, or the degree of shock a patient is experiencing. Furthermore, monitoring the macrocirculation with standard blood pressure, and heart rate monitors may not provide the clinician with relevant information about the adequacy of end-organ perfusion in certain disease states.

The ability of SmO<sub>2</sub> values derived by NIRS to track the adequacy of fluid resuscitation has been demonstrated in a model of swine hemorrhagic shock<sup>[12]</sup>, and a NIRS-derived variable of tissue oxygenation (StO<sub>2</sub>) has been used to guide closed-loop fluid resuscitation in animal models<sup>[13]</sup>. SmO<sub>2</sub> has also been shown to have a strong correlation with stroke volume in a human model of acute central hypovolemia<sup>[14]</sup>. Recently, Bohula May and coworkers were able to demonstrate correlation of SmO<sub>2</sub> with invasively-measured SvO<sub>2</sub>, and cardiac index (CI) in patients hospitalized with heart failure and cardiogenic shock<sup>[15]</sup>.

In disease states such as septic shock and traumatic hemorrhage, low tissue oxygen saturation determined by modalities such as NIRS, and persistent alterations in microcirculatory blood flow determined with OPS-imaging have been shown to correlate with severity of illness, and to be associated with organ failure, and death<sup>[9,16-18]</sup>.

While we were able to demonstrate a positive correlation between  $SmO_2$  and SV, no statistical significance was found in our study, despite significant blood loss experienced by some of the patients. It is important to note however that data generated in models of acute, un-resuscitated shock may not be comparable to the situation found during elective surgery, where

patients undergo continuous administration of crystalloid, colloids, and blood products. The same is likely true for patients in cardiogenic shock on vasopressor therapy, where accumulation of significant oxygen debt is not uncommon, and is more likely to negatively affect tissue oxygenation.

No definitive transfusion triggers exist to guide clinicians during the intraoperative period, particularly during surgical procedures associated with substantial blood loss such as complex spine surgery. There is compelling retrospective data suggesting that intraoperative transfusion of blood in patients with anemia undergoing non-cardiac surgery, including orthopedic surgery is associated with an increase in morbidity and mortality<sup>[19]</sup>. The situation is different for post-operative patients, including those admitted to an intensive care unit with severe sepsis, as well as patients with active gastrointestinal hemorrhage outside the operating room. Several prospective, randomized controlled trials have shown that restrictive transfusion strategies are either superior, or non-inferior to liberal transfusion strategies with respect to outcomes such as in-hospital and 90-d mortality, infection, cardiac ischemia, and in-hospital acute myocardial infarction<sup>[5,6,20,21]</sup>.

The most recent clinical practice guideline for perioperative blood management by ASA recommends that monitoring perfusion and oxygenation of vital organs should be continuous, and may include cerebral oximetry, and NIRS, in addition to standard hemodynamic monitors<sup>[22]</sup>. While conceptually attractive due to its noninvasive nature, and the ability to reliably monitor the microcirculation in a variety of tissue beds, data on the ability of NIRS to provide clinically relevant information in the intraoperative period during elective surgery is sparse, and is mostly restricted to the monitoring of cerebral oxygenation.

The microvascular response to red blood cell trans-



fusion has been studied in patients with severe sepsis and trauma, however to our knowledge, no such data has been collected in patients undergoing elective orthopedic surgery associated with significant blood loss to date. Sakr and coworkers analyzed the microvascular response to transfusion in patients with severe sepsis. The authors were unable to demonstrate an overall effect of transfusion on sublingual microvascular perfusion as assessed with OPS-imaging. However, baseline microvascular blood flow predicted the microvascular response to transfusion. Those patients who were shown to have reduced microvascular blood flow at baseline demonstrated improved perfusion with transfusion whereas those with normal perfusion suffered a decrease in microvascular blood flow after transfusion<sup>[23]</sup>. The same pattern has been demonstrated in trauma patients using Sidestream Dark Field imaging, with some decline in microvascular blood flow in response to transfusion of stored RBC in patients who had normal sublingual perfusion patterns at baseline  $^{\!\scriptscriptstyle [24]}\!.$  More recent investigations using NIRS-based technology to analyze the microvascular response to transfusion in trauma patients suggest that increasing age of transfused RBC results in decreased StO<sub>2</sub> levels. This effect was demonstrated both in critically injured, as well as stable, but anemic patients<sup>[25,26]</sup>. These effects are likely attributable to "storage defects" of red blood cells, which decrease post-transfusion RBC survival. Changes that have been reported in the literature include depletion of adenosine triphosphate, and 2,3 diphosphoglycerate (2,3 DPG), a decrease in pH, release of potassium, reduced nitric oxide, increased cell volume, and reduced RBC deformability<sup>[27]</sup>. Further experimental evidence in support of a negative impact on physiologic properties of stored RBC comes from a recent analysis of the impact of exchange transfusion in a rat model using intravital microscopy among other techniques. Yalcin and coworkers were able to demonstrate that exchange transfusion with stored RBC's produced microcirculatory vasoconstriction resulting in decreased blood flow and oxygen delivery that was not found in anemia alone, or transfusion with fresh red blood cells. In addition, the authors showed that stored RBC's have a shorter circulating lifetime, and appear to be removed from circulating blood due to their impaired elastic, and hydrodynamic behavior<sup>[28]</sup>.

In conclusion, while we detected a short-lived increase in  $SmO_2$  in response to transfusion of PRBC, we were unable to detect a sustained, and relevant change in  $SmO_2$  signal in a patient population subjected to significant intra-operative blood loss. The reasons for the short-lived increase (Figure 1) remain speculative, but might be explained by the aforementioned changes found in stored RBC's.

#### Study limitations

The limitations of our study are significant, and include the small number of subjects enrolled, and the fact that there were no specific treatment algorithms or outcomes studied in this proof-of-concept design. In addition, the age of transfused PRBC was not documented in our study protocol, which may limit the interpretability of our results. We were able however to evaluate a promising, non-invasive technology based on near-infrared spectroscopy in a "real-world" clinical setting, combined with a complex statistical analysis of continual oxygenation data. The results of this prospective, observational pilot study may provide a framework for future studies looking at specific patient outcomes associated with a hemodynamic management strategy incorporating real-time, microvascular blood flow data based on NIRS.

#### **COMMENTS**

#### Background

The assessment of the adequacy of end-organ perfusion in states of shock from sepsis or hemorrhage remain a challenge, as global hemodynamic measurements such as blood pressure, and cardiac filling pressures may not be reflective of perturbations of microcirculatory blood flow, and hence inadequate oxygen supply to critical end organs. Furthermore, standard physiologic parameters may not be sensitive to the early changes associated with hypovolemia from hemorrhage or anemia resulting in undetected tissue hypoxemia. Various technologies have been tested in both, exercise physiology laboratories as well as the clinical arena in an attempt to provide clinicians with more complete information regarding the state of the (micro) circulation, and oxygen supply to critical end organs. At the same time, individualized transfusion triggers based on objective data remain elusive, and there is ongoing research to determine rational, and safe transfusion patterns for hemodynamic impairment in states of shock from both, hemorrhagic, and non-hemorrhagic causes.

#### Research frontiers

One of the non-invasive technologies, which have shown promise in experimental settings both in the laboratory, as well as the clinical arena, is based on Near Infrared Spectroscopy (NIRS). The technology allows for accurate assessment of skeletal muscle oxygen saturation (SmO<sub>2</sub>), which has been demonstrated to be a very early indicator of central hypovolemia in humans in a model of lower body negative pressure. It also shows excellent correlation with non-invasively measured stroke volume in the same model. A decrease in peripheral muscle NIR spectra is reflective of a decrease in tissue blood volume, and increased oxygen extraction by the peripheral tissues. NIRS has shown promise as an adjunct monitoring system for patients undergoing emergency surgery for trauma, or during treatment of patients in septic shock in addition to standard physiologic monitors for hemodynamic evaluation.

#### Innovations and breakthroughs

This study is the first to investigate tissue oxygenation based on NIRS in orthopedic patients undergoing elective complex spine surgery with anticipated high volumes of blood loss. While restrictive transfusion strategies appear safe in high-risk patients undergoing hip surgery, intra-operative blood loss during these procedures is usually much lower compared to estimated blood loss incurred during complex spine surgery. Optimal transfusion strategies during the latter type of surgery remain elusive, and largely based on experience and local practice patterns. The authors sought to determine in this observational pilot study if NIRS derived data on microcirculatory blood flow may provide useful objective information on the microcirculatory response to transfusion, which could guide subsequent prospective randomized controlled clinical trials on rational transfusion strategies in patients undergoing complex spine surgery. They also developed a complex statistical model for the analysis of continuous NIR spectra and their correlation with invasive hemodynamic and laboratory parameters, which can serve as a template for future trials in this area.

#### Application

As they were unable to detect a sustained, and relevant change in SmO2 signal in a patient population subjected to significant intra-operative blood loss, the



role of NIRS during elective surgery associated with large volume blood loss will require further investigation.

#### **Terminology**

Light emitted in the near infrared spectrum from 700 to 100 nm near infrared spectroscopy can penetrate deep in to the muscle, and be reflected back to a sensor bundle providing information on the absorption spectra of hemoglobin, and de-oxyhemoglobin. This spectral information allows for the calculation of skeletal muscle tissue oxygenation with great accuracy. The sensor used in this clinical study also allows for correction of fat thickness and skin pigmentation, thus further increasing the accuracy of the spectral information derived from the tissues.

#### Peer-review

This manuscript is a well-written report of an original study, with good analysis and methodology, informative tables, and clear results.

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