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Effect of Natriuretic Peptide–Guided Therapy on Hospitalization or Cardiovascular Mortality in High-Risk Patients With Heart Failure and Reduced Ejection Fraction
A Randomized Clinical Trial

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IMPORTANCE The natriuretic peptides are biochemical markers of heart failure (HF) severity and predictors of adverse outcomes. Smaller studies have evaluated adjusting HF therapy based on natriuretic peptide levels ("guided therapy") with inconsistent results.

OBJECTIVE To determine whether an amino-terminal pro–B-type natriuretic peptide (NT-proBNP)–guided treatment strategy improves clinical outcomes vs usual care in high-risk patients with HF and reduced ejection fraction (HFrEF).

DESIGN, SETTINGS, AND PARTICIPANTS The Guiding Evidence Based Therapy Using Biomarker Intensified Treatment in Heart Failure (GUIDE-IT) study was a randomized multicenter clinical trial conducted between January 16, 2013, and September 20, 2016, at 45 clinical sites in the United States and Canada. This study planned to randomize 1100 patients with HFrEF (ejection fraction ≤40%), elevated natriuretic peptide levels within the prior 30 days, and a history of a prior HF event (HF hospitalization or equivalent) to either an NT-proBNP–guided strategy or usual care.

INTERVENTIONS Patients were randomized to either an NT-proBNP–guided strategy or usual care. Patients randomized to the guided strategy (n = 446) had HF therapy titrated with the goal of achieving a target NT-proBNP of less than 1000 pg/mL. Patients randomized to usual care (n = 448) had HF care in accordance with published guidelines, with emphasis on titration of proven neurohormonal therapies for HF. Serial measurement of NT-proBNP testing was discouraged in the usual care group.

MAIN OUTCOMES AND MEASURES The primary end point was the composite of time-to-first HF hospitalization or cardiovascular mortality. Prespecified secondary end points included all-cause mortality, total hospitalizations for HF, days alive and not hospitalized for cardiovascular reasons, the individual components on the primary end point, and adverse events.

RESULTS The data and safety monitoring board recommended stopping the study for futility when 894 (median age, 63 years; 286 [32%] women) of the planned 1100 patients had been enrolled with follow-up for a median of 15 months. The primary end point occurred in 164 patients (37%) in the biomarker-guided group and 164 patients (37%) in the usual care group (adjusted hazard ratio [HR], 0.98; 95% CI, 0.79-1.22; P = .88). Cardiovascular mortality was 12% (n = 53) in the biomarker-guided group and 13% (n = 57) in the usual care group (HR, 0.94; (95% CI, 0.65-1.37; P = .75). None of the secondary end points nor the decreases in the NT-proBNP levels achieved differed significantly between groups.

CONCLUSIONS AND RELEVANCE In high-risk patients with HFrEF, a strategy of NT-proBNP–guided therapy was not more effective than a usual care strategy in improving outcomes.

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Evidence-based therapies targeting neurohormonal activation significantly improve outcomes in patients with heart failure (HF). Nevertheless, available data suggest that many patients in clinical practice are either not treated with these agents or are treated with lower than recommended doses.[1,2] The natriuretic peptides, specifically B-type natriuretic peptide (BNP) and amino-terminal pro-B-type natriuretic peptide (NT-proBNP), are biomarkers that reflect HF severity and are significantly associated with adverse outcomes in HF.[3,4] These markers decline in response to the use of guideline-recommended HF therapies, and rising levels portend a poor prognosis.[5] These observational data have led to the hypothesis that serial measurements of natriuretic peptides may be used to guide titration of long-term medical therapy in HF.

Previous clinical trials of varying size and design have tested this hypothesis over the last 2 decades with mixed results.[6-11] These studies have generally been limited by their small size and also by significant heterogeneity between studies. Several meta-analyses have suggested substantial benefits with this approach, but no individual study has been of sufficient power to be definitive.[12,13] In light of this uncertainty, current guidelines do not recommend the use of serial measurements of natriuretic peptides to guide titration of HF therapy.[14,15] The Guiding Evidence Based Therapy Using Biomarker Intensified Treatment in Heart Failure (GUIDE-IT) multicenter randomized clinical trial was designed to evaluate the efficacy of an NT-proBNP–guided HF treatment strategy compared with optimal medical therapy alone in high-risk patients with HF and reduced ejection fraction (HFrEF).

Methods

Study Design

The details of the rationale and design for this study have been published previously.[16] The study protocol, including the statistical analysis plan, is provided in the eMaterial in Supplement 1. The study was approved by the institutional review board at each study site, and all participants provided written informed consent. An independent data and safety monitoring board (DSMB) appointed by the National Heart, Lung, and Blood Institute (NHLBI) monitored study conduct and patient safety. To maximize adherence to the study protocol, an adherence committee reviewed episodes in which HF therapy was not titrated despite NT-proBNP values being above the target and provided general feedback to the executive committee and the study sites (including study site score cards indicating cumulative site performance with regard to protocol adherence) on a regular basis. The adherence committee had a stepped approached for sites with consistently poor performance, including contact from the coordinating center and escalation to the executive committee to reinforce study goals and site training.

Study Participants

Patients were eligible for enrollment if they had chronic HFrEF with an ejection fraction of 40% or less, a history of a prior HF event (hospitalization for HF, emergency department visit for HF, or outpatient treatment with intravenous diuretics for HF)

Key Points

Question Does a strategy of titrating therapy to a specific amino-terminal pro–B-type natriuretic peptide (NT-proBNP) target improve clinical outcomes in high-risk patients with heart failure and reduced ejection fraction?

Findings In this randomized clinical trial including 894 adults, a strategy of NT-proBNP–guided therapy compared with usual care did not significantly improve time to first hospitalization or cardiovascular mortality (hazard ratio, 0.98).

Meaning These findings do not support NT-proBNP–guided therapy for management of heart failure with reduced ejection fraction.

within the prior 12 months, and an NT-proBNP level of more than 2000 pg/mL or BNP of more than 400 pg/mL within the prior 30 days. Patients were excluded if they had an acute coronary syndrome or revascularization procedure within the prior 30 days, cardiac resynchronization therapy within the prior 3 months, end-stage renal disease, or anticipated heart transplant or mechanical cardiac support within the next 12 months. In accordance with National Institutes of Health policy, patient-reported race/ethnicity information was collected using fixed categories.

Randomization and Treatment Assignments

Enrolled patients were randomized in a 1:1 fashion using computer-generated random numbers using a simple randomization design with no restrictions to either the NT-proBNP–guided therapy strategy or usual care. Given the nature of the study intervention, treatment assignment was not blinded. For patients randomized to the NT-proBNP-guided strategy, clinicians were instructed to titrate HF therapy to target an NT-proBNP level of less than 1000 pg/mL. Specific adjustments of therapy for individual patients were at the discretion of the treating physician, but sites were encouraged to prioritize titration of neurohormonal antagonists over diuretics unless there was clinical evidence of congestion or volume overload. Patients randomized to the NT-proBNP-guided group used local laboratory NT-proBNP measurements to make decisions about titration of HF therapy. All patients in either group also had blinded NT-proBNP concentrations measured in a core laboratory at each study visit. For patients in either group, investigators were provided with the most recent American Heart Association (AHA)/American College of Cardiology (ACC) practice guidelines for the management of HF and specific information on target doses of proven medical therapies. After an initial visit at 2 and 6 weeks, visits occurred every 3 months throughout the remainder of the study. After therapy adjustment for HF (whether driven by NT-proBNP levels or clinical reasons), patients had a 2-week follow-up visit for reassessment.

Study Outcomes

The primary outcome was a composite of time-to-first HF hospitalization or death from cardiovascular causes. Prespecified secondary end points included all-cause mortality, total hospitalizations for HF, days alive and not hospitalized for cardiovascular reasons, the individual components on

the primary end point, health-related quality of life, resource utilization, costs, cost-effectiveness, and safety. Results of the economic and quality-of-life analyses are not reported in this article. Adjudication of all deaths and hospitalizations was carried out by a blinded clinical end point committee according to prespecified criteria. We predefined 4 adverse events of interest that might be anticipated to occur more frequently with more aggressive HF treatment: symptomatic hypotension, symptomatic bradycardia, hyperkalemia, and worsening renal function.

Statistical Analysis

A total sample size of 1100 patients (550 per group) was expected to provide approximately 90% power to detect a difference in the primary end point with an assumed type I error rate of .05, 2-sided. We estimated that the annual event rate for the composite end point would be 40% in the usual care group. We targeted a 20% decrease in the primary end point at 12 months for the biomarker-guided group in the sample size calculation, based on the recognition that this treatment effect would be consistent with other effective HF therapies that have been incorporated into clinical practice.[17] According to protocol, all patients were to be followed up for between 12 and 24 months after randomization (the last patient enrolled to be followed up for 12 months). For the analysis of the primary end point, the adjusted hazard ratio (HR) would be adjusted for 5 prespecified baseline covariates—age, sex, ejection fraction, NT-proBNP level, and the presence of diabetes mellitus—within the Cox regression model. For missing baseline categorical variables, we imputed the most common value. For missing baseline NT-proBNP values, we used the NT-proBNP value from screening. For missing baseline ejection fraction values, we imputed the population median. We also performed the primary end point analysis with site as a random effect as a sensitivity analysis. We tested for heterogeneity of effect on the primary end point by testing for interactions within a number of subgroups defined by demographics and baseline characteristics (see Supplement 2). A subgroup analysis based on age (≥75 years vs <75 years) was prespecified based on prior data suggesting that biomarker-guided therapy was more effective in younger patients.[9] For secondary analyses, inverse probability weighting was used to estimate mean days alive out of the hospital using the Bang-Tsiatis partitioned estimator.[18] The total number of recurrent HF hospitalizations by treatment group was modeled using the Andersen-Gill intensity model.[19] All analyses were based on the principle of intention to treat. All analyses were performed using SAS version 9.4 (SAS Institute Inc). The threshold for statistical significance was 2 sided with a type I error rate of .05. There was no adjustment performed for multiple comparisons; thus, secondary outcomes were considered exploratory.

Results

Study Patients

A total of 894 patients were enrolled at 45 sites in the United States and Canada between January 2013 and July 2016 (**Figure 1**). The groups were generally well balanced with re-

Figure 1. Flow of Patients in the GUIDE-IT Trial[a]
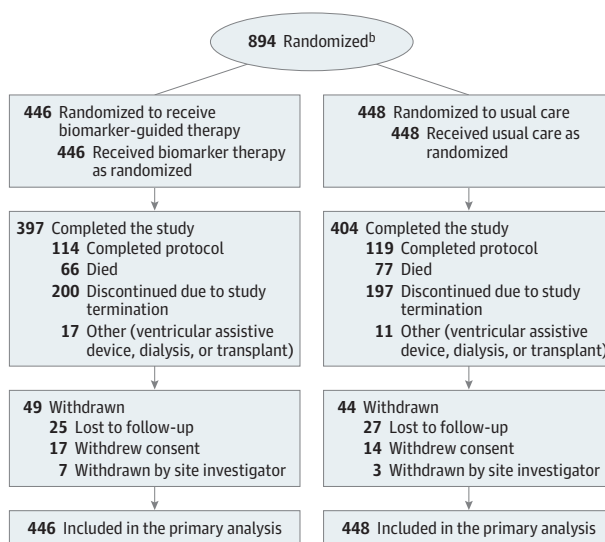
[a] The number of patients screened for eligibility was not available.

[b] Patients who had study contact within 90 days prior to the study's termination are considered complete in this diagram.

spect to baseline characteristics (**Table 1**). The study enrolled patients with high-risk HF, as characterized by a low ejection fraction (median, 25%), significantly elevated NT-proBNP (median, 2653 pg/mL), and a history of prior HF hospitalization (or equivalent) in the past year. Most patients were receiving recommended pharmacological therapy for chronic HF at baseline. The median follow-up time for all patients was 15 months. Missing data for the 5 prespecified adjustment covariates was rare (none for age or sex, 1 for diabetes mellitus, 14 for baseline NT-proBNP, and 12 for ejection fraction).

At the regularly scheduled DSMB meeting on July 8, 2016, at which time about 50% of planned primary end point events had occurred, the study met prespecified inefficacy criteria and the DSMB made a recommendation to the NHLBI to discontinue the study due to lack of efficacy evidence for the biomarker-guided treatment group compared with usual care. The NHLBI accepted this recommendation and enrollment was discontinued after 894 patients had been enrolled (81% of planned enrollment). Final study visits for all patients still actively participating in the trial were completed prior to database lock.

Medical Treatment by Strategy and Follow-up

Patients randomized to the biomarker-guided strategy had a greater number of study clinic visits (median, 12 vs 10, Wilcoxon $P = .002$) and more adjustments to HF therapy (median, 6 vs 4, Wilcoxon $P < .001$) compared with patients randomized to usual care. Over the course of the study, there was modest intensification of HF therapy in both groups, without statistically significant differences between those randomized to NT-proBNP-guided therapy or usual care (**Table 2**).

Study Outcomes

The composite end point of first hospitalization for HF or death from a cardiovascular cause occurred in 164 patients (37%) in

Table 1. Baseline Characteristics of the Study Population

Characteristic	NT-ProBNP–Guided Group (n = 446)	Usual Care Group (n = 448)
Age, median (IQR), y	62 (51-70)	64 (54-72)
Women, No. (%)	139 (31)	147 (33)
Race/ethnicity, No. (%)		
White	230 (54)	260 (59)
Black	168 (39)	156 (35)
Other	35 (7)	26 (6)
Hispanic	30 (7)	28 (6)
Duration of HF, median (IQR), mo	12 (1-65)	16 (1-61)
Ejection fraction, median (IQR), %	24 (19-30)	25 (20-30)
NYHA class at enrollment, No. (%)		
I	36 (8)	23 (5)
II	218 (50)	229 (52)
III	176 (40)	182 (41)
IV	8 (2)	9 (2)
Risk factors, No. (%)		
Ischemic heart disease	203 (46)	244 (55)
Diabetes mellitus	198 (44)	212 (47)
Atrial fibrillation	162 (36)	196 (44)
Chronic kidney disease	161 (36)	169 (38)
Systolic BP, median (IQR), mm Hg	114 (102-128)	114 (101-128)
Heart rate, median (IQR), beats/min	77 (68-87)	76 (67-86)
NT-proBNP, median (IQR), pg/mL	2632 (1462-5235)	2668 (1481-5604)
Creatinine, median (IQR), mg/dL	1.3 (1.1-1.7)	1.3 (1.1-1.7)
Treatments		
β-Blocker, No. (%)	415 (93)	416 (93)
ACE, angiotensin II receptor blocker, or angiotensin receptor blocker neprilysin inhibitor, No. (%)	345 (77)	339 (76)
Mineralocorticoid antagonist, No. (%)	223 (50)	217 (48)
Implantable cardioverter-defibrillator, No. (%)	182 (41)	178 (40)
Cardiac resynchronization therapy, No (%)	87 (20)	76 (17)

Abbreviations: ACE, angiotensin-converting enzyme; BP, blood pressure; HF, heart failure; IQR, interquartile range; NT-proBNP, amino-terminal pro–B-type natriuretic peptide; NYHA, New York Heart Association.

SI conversion factor: to convert creatinine from mg/dL to μmol/L, multiply values by 88.4.
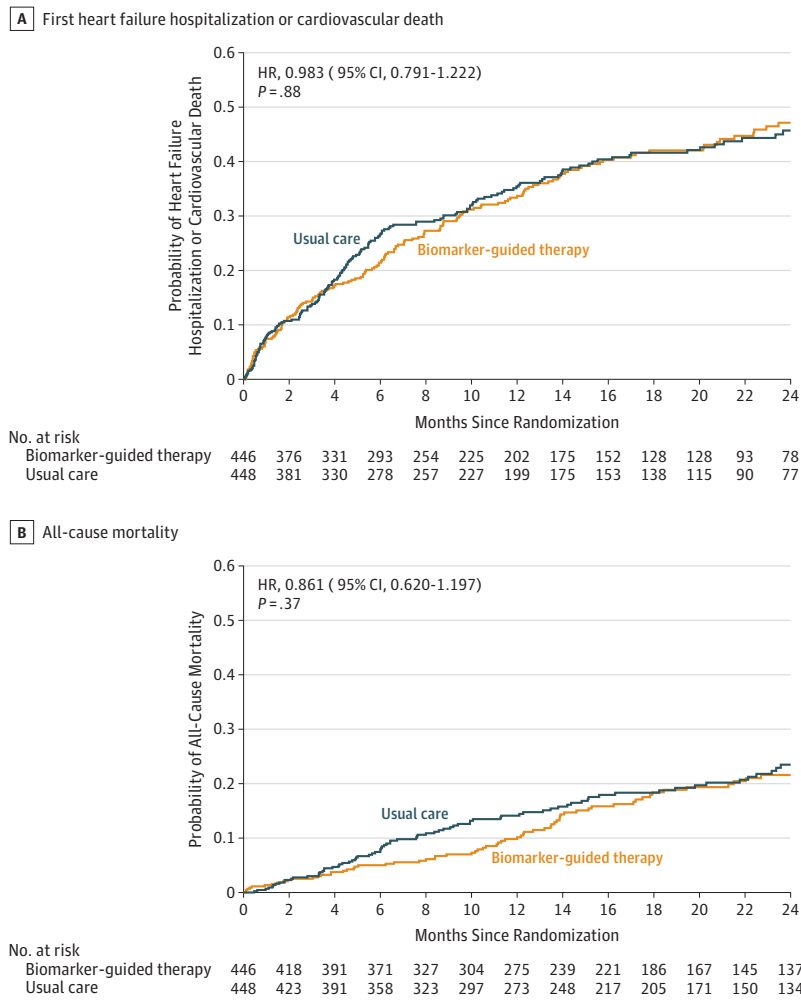
Table 2. Differences in Medical Therapy Over Time Between Treatment Groups

	NT-ProBNP–Guided Group (n = 446)		Usual Care Group (n = 448)		
	Baseline	12 Months	Baseline	12 Months	P Value[a]
Taking β-blocker, No. (%)	415 (93)	227 (91)	416 (93)	219 (91)	.86
Mean dose achieved (% of target dose)	33	48	35	45	.60
50% of target dose	152 (37)	136 (60)	139 (33)	125 (57)	.97
100% of target dose	30 (7)	33 (15)	26 (6)	25 (11)	.31
Taking ACE/ARB, No. (%)	342 (77)	187 (75)	333 (74)	172 (71)	.63
Mean dose achieved (% of target dose)	41	55	43	53	.35
50% of target dose	140 (41)	95 (51)	135 (41)	85 (49)	.74
100% of target dose	59 (17)	58 (31)	67 (20)	46 (27)	.11
Taking MRA, No. (%)	223 (50)	136 (54)	217 (48)	126 (52)	>.99
Mean dose achieved (% of target dose)	98	115	94	103	.29
50% of target dose	219 (98)	135 (99)	216 (100)	125 (99)	.42
100% of target dose	170 (76)	116 (85)	163 (75)	94 (75)	.06
Loop diuretics, mean dose (mg furosemide equivalents)	77	86	76	77	.26

Abbreviations: ACE/ARB, angiotensin-converting enzyme/angiotensin II receptor blocker; MRA, mineralocorticoid receptor antagonist; NT-proBNP, amino-terminal pro–B-type natriuretic peptide.

[a] P value is for comparison of change over time in NT-proBNP–guided group vs change over time in usual care group.

Figure 2. Primary End Point (Heart Failure Hospitalization or Cardiovascular Mortality) and All-Cause Mortality

A First heart failure hospitalization or cardiovascular death

HR, 0.983 (95% CI, 0.791-1.222)
P = .88

No. at risk													
Biomarker-guided therapy	446	376	331	293	254	225	202	175	152	128	128	93	78
Usual care	448	381	330	278	257	227	199	175	153	138	115	90	77

B All-cause mortality

HR, 0.861 (95% CI, 0.620-1.197)
P = .37

No. at risk													
Biomarker-guided therapy	446	418	391	371	327	304	275	239	221	186	167	145	137
Usual care	448	423	391	358	323	297	273	248	217	205	171	150	134

The median duration of biomarker-guided therapy was 15 months (interquartile range [IQR], 7-24) and 15 months (IQR, 7-7) for usual care. HR indicates hazard ratio.

Table 3. Secondary Outcomes

	NT-ProBNP-Guided Group	Usual Care Group	Effect (95% CI)	P Value
Mortality, No. (%)	66 (15)	77 (17)	HR, 0.86 (0.62-1.20)	.37
CV mortality, No. (%)	53 (12)	57 (13)	HR, 0.94 (0.65-1.37)	.75
Non-CV mortality, No. (%)	13 (3)	20 (5)	HR, 0.66 (0.33-1.32)	.24
First HF hospitalization, No. (%)	147 (33)	141 (32)	HR, 1.04 (0.82-1.31)	.76
Total HF hospitalizations, No.	350	277	HR, 1.29 (0.97-1.72)	.08[a]
Days alive and not hospitalized for CV reasons, mean (SD), d	581 (14.4)	562 (15.1)	Mean difference, 19.26 (−21.58 to 60.10)	.36[b]

Abbreviations: CV, cardiovascular; HF, heart failure; NT-proBNP, amino-terminal pro–B-type natriuretic peptide.
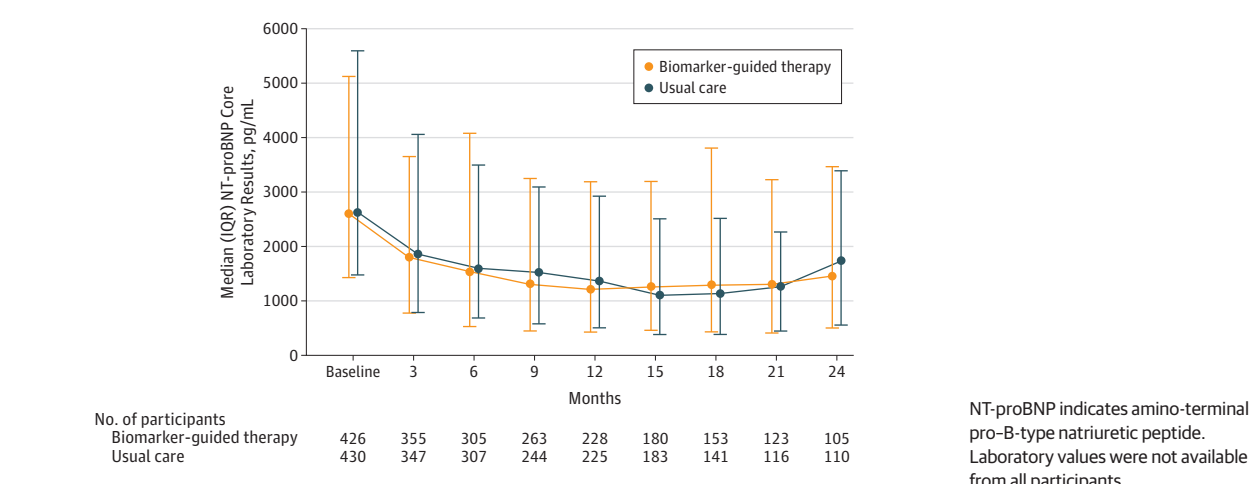
[a] Based on Andersen-Gill intensity model.

[b] Based on Bang-Tsiatis partitioned estimator.

the biomarker-guided group and 164 patients (37%) in the usual care group with 12-month Kaplan-Meier event rates of 33.8% and 36.0%, respectively, with a treatment difference of −2.2% (95% CI, −9.1% to 4.6%). After adjustment for prespecified covariates, the adjusted HR for the primary end point was 0.98 (95% CI, 0.79-1.22; *P* = .88) (**Figure 2**). These results were not significantly changed by including site as a random effect (HR, 0.99; 95% CI, 0.79-1.23; *P* = .92). Data for all-cause mortality, cardiovascular mortality, HF hospitalization, and all-cause hos-

pitalization were also not significantly different between treatment groups (**Table 3**). Death occurred in 66 patients (15%) in the biomarker-guided group and 77 (17%) in the usual care group. The 12-month Kaplan-Meier event rates for all-cause mortality were 9.8% for biomarker-guided group and 14.1% in the usual care group for a treatment difference of −4.3% (95% CI, −8.9% to 0.3%). After adjustment for the prespecified covariates, the adjusted HR for all-cause mortality was 0.86 (95% CI, 0.62-1.20; *P* = .37).

Figure 3. Change in NT-proBNP Levels

NT-proBNP indicates amino-terminal pro–B-type natriuretic peptide. Laboratory values were not available from all participants.

No. of participants	Baseline	3	6	9	12	15	18	21	24
Biomarker-guided therapy	426	355	305	263	228	180	153	123	105
Usual care	430	347	307	244	225	183	141	116	110

There was generally no evidence of heterogeneity of treatment effect in a number of prespecified and post hoc subgroups (eFigure in Supplement 2). Changes in the concentrations of NT-proBNP (based on blinded central core laboratory data) decreased over time in both groups and were not significantly different between groups; at 12 months, the median NT-proBNP had decreased from a median of 2568 pg/mL to 1209 pg/mL (53% decrease) in the biomarker-guided group, and from a median of 2678 pg/mL to 1397 pg/mL (48% decrease) in the usual care group (**Figure 3**). The proportion of patients in both groups achieving the target value of NT-proBNP less than 1000 pg/mL at 12 months was 46% for the biomarker-guided group vs 40% for the usual care group ($P = .21$).

Adverse Events

The rates of the predefined adverse events of interest (ie, symptomatic hypotension, symptomatic bradycardia, hyperkalemia, and worsening renal function) were generally low and similar between the groups (eTable in Supplement 2).

Discussion

The primary finding of this study is that in high-risk patients with HFrEF, a strategy of guiding therapy based on concentrations of NT-proBNP was not more effective than a usual care strategy in reducing the composite end point of time-to-first HF hospitalization or cardiovascular death. Similarly not significantly different results were seen in other clinical end points. Although there were more adjustments to therapy in the biomarker-guided group, neither doses of guideline-directed medical therapy, the achieved NT-proBNP concentrations, nor clinical outcomes were significantly different between the treatment groups.

These results differ from other data, including a recent comprehensive patient-level meta-analysis of data from 2431 patients from 11 trials which showed a reduction in all-cause mortality with natriuretic peptide-guided therapy compared with usual care (HR, 0.62).[13] A consistent feature of other stud-

ies in which natriuretic peptide-guided therapy was shown to be effective was the differential utilization of neurohormonal therapies as well as a separation of achieved natriuretic peptide concentrations between the 2 study groups. The up-titration of medical therapy in the NT-proBNP group in this study was substantially less than that seen in some smaller studies of biomarker-guided therapy. For example, a randomized study of 278 patients in 8 Austrian hospitals achieved 100% of angiotensin-converting enzyme (ACE) inhibitor or angiotensin II receptor blocker (ARB) target doses and 77% of β-blocker target doses in patients randomized to receive biomarker-guided therapy, which was accompanied by a substantial reduction in HF events.[10]

Although it is challenging to compare across studies, the achieved dosing of these classes of drugs in the NT-proBNP-guided group was substantially less in this study (55% for ACE/ARB and 48% for β-blockers at 12 months, Table 2). Whether the lack of up-titration of medical therapy observed in this study was related to patient characteristics (eg, inability to up-titrate due to azotemia or hypotension) or physician behavior (eg, unwillingness to up-titrate due to concern over adverse effects) in not clear from these data.

This study enrolled patients with high-risk features (elevated natriuretic peptide levels within the prior 30 days and an HF event within the prior 12 months) and allowed a broad range of renal function, resulting in a study population with relatively advanced HF compared with most other clinical trials involving ambulatory patients with HFrEF. By way of comparison, the median baseline NT-proBNP value in this study (2607 pg/mL) was 1.6-fold that of patients enrolled in the Prospective Comparison of ARNI with ACEI to Determine Impact on Global Mortality and Morbidity in Heart Failure (PARADIGM-HF) study of sacubitril/valsartan[17] and 3.2-fold that of patients enrolled in the Heart Failure and a Controlled Trial Investigating Outcomes of Exercise Training (HF-ACTION) study.[20] Patients with more severe HF such as those in this study may have more limitations to intensification of HF therapy, in particular hypotension and azotemia, which may have limited this ability to aggressively up-titrate

medical therapy in the guided-therapy group in response to above target NT-proBNP levels.

Another potential difference between this study and other data may relate to difference in the control group. In the single-center ProBNP Outpatient Tailored Chronic HF Therapy (PROTECT) study, patients randomized to receive biomarker-guided therapy achieved a 44% decrease in the NT-proBNP level over time (compared with a 5% decrease in the usual care group), which was associated with a significant improvement in clinical outcomes for those patients randomized to the NT-proBNP-guided strategy.[8] By contrast, in the current trial, both the decrease in NT-proBNP concentrations (Figure 3) and the proportion of patients in each group who reached the target NT-proBNP value of less than 1000 pg/mL (46% vs 40%) were not significantly different between the groups. This suggests that a key difference between this study and the PROTECT study may be in the usual care group rather than in the NT-proBNP-guided treatment group. Patients enrolled in the usual care group of the this study had relatively frequent study-related clinic visits (median, 10 visits over 15 months of follow-up) and adjustments to HF therapy (median, 4 adjustments), which represents a greater intensity of care (more akin to a disease management program) than would typically occur in routine clinical practice. Whether this frequency of clinical contact affected outcomes through mechanisms other than medication titration (eg, by earlier detection and intervention on HF decompensation) is unknown. Although this study included both academic and community sites, the majority of this study's sites had substantial focus and expertise in HF care, which may have tended to lessen differences in the optimization of evidence-based HF therapies between the study groups.

Limitations

This study has several important limitations. First, given the nature of the study intervention, the study was unblinded, which could be a potential source of bias. The design was based on an objective primary end point (cardiovascular death and HF hospitalization) that was adjudicated by a clinical events committee blinded to the treatment assignment in order to mitigate this bias. Second, although the study protocol discouraged measurement of NT-proBNP in patients in the usual care group, some patients may have had NT-proBNP levels assessed at nonstudy sites or by nonstudy clinicians, which may have served to diminish the difference between study groups. Finally, patients in both groups had more frequent clinical encounters than would typically occur in clinical practice, which may have influenced the results.

Conclusions

In high-risk patients with HFrEF, a strategy of NT-proBNP-guided therapy was not more effective than a usual care strategy in improving outcomes.

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