

ACCEPTED MANUSCRIPT

Rasch NPI-TBI-IA MCID

**The Minimal Clinically Important Difference for the Rasch Neuropsychiatric Inventory
Irritability and Aggression Scale for Traumatic Brain Injury**

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1 **The Minimal Clinically Important Difference for the Rasch Neuropsychiatric Inventory**
2 **Irritability and Aggression Scale for Traumatic Brain Injury**

3 **Abstract**

4 **Objective:** To determine the Minimal Clinically Important Difference (MCID) for a
5 Rasch measure derived from the Irritability/Lability and Agitation/Aggression subscales of the
6 Neuropsychiatric Inventory (NPI-TBI-IA) **Design:** Distribution-based statistical methods were
7 applied to retrospective data to determine candidates for the MCID. These candidates were
8 evaluated by anchoring the NPI-TBI-IA to Global Impression of Change (GIC) ratings by
9 participants, significant others, and a supervising physician. **Main Outcome Measure:** NPI-
10 TBI-IA. **Setting:** Postacute rehabilitation outpatient clinic. **Participants:** 274 cases with
11 observer ratings; 232 cases with self-ratings by participants with moderate-severe TBI at least 6
12 months post-injury. **Results:** For observer ratings on the NPI-TBI-IA, anchored comparisons
13 found an improvement of $\frac{1}{2}$ SD was associated with at least minimal general improvement on
14 GIC by a significant majority (69-80%); $\frac{1}{2}$ SD improvement on participant NPI-TBI-IA self-
15 ratings was also associated with at least minimal improvement on the GIC by a substantial
16 majority (77-83%). The percent indicating significant global improvement did not increase
17 markedly on most ratings at higher levels of improvement on the NPI-TBI-IA. **Conclusions:** A
18 $\frac{1}{2}$ SD improvement on the NPI-TBI-IA indicates the MCID for both observer and participant
19 ratings on this measure.

20 **Abbreviations**

21 GIC Global Impression of Change scale
22 MCID Minimal Clinically Important Difference
23 NPI Neuropsychiatric Inventory

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- 24 NPI-TBI-IA Rasch Neuropsychiatric Inventory Irritability/Aggression Scale for Traumatic
25 Brain Injury
- 26 RCID Robust Clinically Important Difference
- 27 TBI Traumatic brain injury
- 28
- 29

30 **The Minimal Clinically Important Difference for the Rasch Neuropsychiatric Inventory**
31 **Irritability and Aggression Scale for Traumatic Brain Injury**

32 The Minimal Clinically Important Difference (MCID) is the smallest change on a clinical
33 measure that is associated with a meaningful perceived difference in an individual's condition,
34 function, or quality of life. Meaningful change may be evaluated from the perspective of the
35 person served, that of a close other, or a clinician involved in their care.

36 A number of values for the MCID based on distribution-based statistical methods
37 (i.e., methods that compare change scores to a measure of variability)¹ have been proposed
38 including the standard error of measurement (SEM), standard deviation, reliable change index
39 (RCI) and derivatives of these values.² For example, 1.96SEM describes the 95% confidence
40 interval for the SEM and the 95% confidence interval for the RCI is equal to 2.77SEM.³
41 Anchored methods (i.e., those that compare change scores to change in another measure
42 considered to be an external criterion)¹ in which a hypothetical MCID value is evaluated in
43 relationship to another measure that reflects meaningful change have also been recommended.^{1,4}
44 A Global Impression of Change (GIC) scale has been frequently used as the anchor for MCID
45 estimates. Current recommendations are to use both statistical and anchored methods to
46 triangulate on the best supported value of the MCID.^{3,5}

47 In this paper, we estimate— from multiple perspectives using both statistical and
48 anchored methods—the value of the MCID for a measure based on the Neuropsychiatric
49 Inventory (NPI) subscales for irritability and aggression among individuals with traumatic brain
50 injury (TBI). The NPI was originally designed for administration as a structured interview for
51 assessing neuropsychiatric syndromes with scoring based on the most problematic item on each
52 subscale.⁶ We have developed a measure, the Rasch Neuropsychiatric Inventory Irritability and

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53 Aggression Scale for TBI (NPI-TBI-IA), for use with individuals with TBI that combines the
54 Irritability/Lability and Agitation/Aggression subscales and is based on scoring all specific items
55 in these subscales. The development and structural validation of this measure is described in
56 detail in a prior publication.⁷

57 Method

58 Participants

59 Distribution-based indicators were derived from de-identified archival data obtained at
60 baseline assessment in three studies of pharmacologic interventions for irritability and aggression
61 after TBI conducted in rehabilitation outpatient settings in the United States: (1) single site
62 amantadine trial,⁸ (2) amantadine multi-site intervention study (AIMS),⁹ and (3) a carbamazepine
63 trial.¹⁰ These data were used in the development of the NPI-IA-TBI in English.⁷ Observer
64 ratings included a sample of the 274 cases used in the final Rasch calibration of the NPI-TBI-IA
65 (mean age=38.78 yrs; SD=13.09; 41% women). Participant self-ratings included the 232 cases
66 used in the final Rasch calibration of these data (mean age=39.12; SD=12.65; 38% women). For
67 anchor-based estimates, change scores from baseline to Day-28 and Day-60 follow-up were
68 computed from de-identified data for the NPI-TBI-IA for 161 cases from the AIMS trial (mean
69 age= 39.42; SD=12.56; 22% women). These change scores were compared or “anchored” to
70 Global Impression of Change scores provided by the participant, an observer, and a physician.
71 Participants in all studies had a history of moderate-severe TBI and were at least 6 months post-
72 injury. Additional details regarding these studies are available in prior reports.⁷⁻¹⁰ Analyses of
73 the de-identified data sets used in this study was approved as exempt by the Indiana University
74 IRB.

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76 **Measures**

77 **Rasch NPI TBI Irritability/Aggression Scale (NPI-TBI-IA).** The psychometric
78 qualities of this measure were found acceptable in its initial development and evaluation.⁷ Using
79 tables provided as supplemental material in that report, raw scores were converted to a Rasch
80 metric on a 0-100 scale.

81 **Global Impression of Change scale (GIC).** As part of the AIMS trial, GIC were
82 independently completed by participants with TBI, an observer, and the physician conducting
83 evaluations at 28-day and 60-day follow-ups. Overall change in irritability and aggression was
84 rated on a 7-point scale: (1) very much improved, (2) much improved, (3) minimally improved,
85 (4) no change, (5) minimally worse, (6) much worse, and (7) very much worse.

86 **Statistical analyses**

87 Observer and participant ratings on the NPI-TBI-IA were converted to a Rasch 0-100
88 metric. Distribution-based indicators were computed from baseline values. The mean for
89 observer baseline ratings=45.17 (SD=6.96) with no extreme scores; mean baseline participant
90 ratings=40.68 (SD=10.56) with 8 zero scores and no maximum scores. The Rasch person
91 reliability coefficient of .89 for observer ratings and .85 for participant self-ratings were used to
92 compute SEMs. The reliability coefficient that is required in the computation of the SEM is the
93 proportion of a measure that represents true variance; the Rasch person reliability coefficient
94 provides a conservative estimate of this value.¹¹ Missing item data were rare (<1%), and
95 consequently imputation of missing values was not attempted.

96 In anchored comparisons, change scores were computed by subtracting 28-day and 60-
97 day Rasch metric values from baseline values. Specified cut-points (1 SEM, ½ SD, 1.96 SEM,
98 2.77 SEM or RCI, and 1 SD), representing hypothetical MCID, were selected as distribution-

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99 based benchmarks. For ease of use and to avoid exaggerating the precision of this measure, cut-
100 points were rounded to the nearest $\frac{1}{2}$ point. The sample was then divided into those whose
101 change scores indicated improvement greater or less than the selected cut-points. Finally, percent
102 agreement between classification based on the selected cut-point and minimal to very much
103 improvement on GIC completed by participants with TBI, an observer, and the physician were
104 examined. At Day 28, no participant or observer NP-TBI-IA scores were missing; 1 participant
105 GIC and 3 physician GIC were missing. At Day 60, 6 observer and 1 participant NPI-TBI-IA
106 scores were missing; GIC data were also missing for these cases. Because of the small number
107 of missing data, imputation was not attempted.

Results

108
109 Values for distribution-based indicators for both participant and observer ratings are
110 reported in the far left column of Table 1. In order to anchor these indicators to improvement on
111 the GIC, we computed the ratio of cases in which NPI-TBI-IA scores at 28- and 60-day follow-
112 up reflect a positive change from baseline greater than or equal to the amount of change specified
113 by each distribution-based indicator to the total number of cases with minimal to very much
114 improvement on the GIC.

115 Examination of Table 1 reveals that the percent of individuals achieving either a SEM or
116 $\frac{1}{2}$ SD level of improvement on the NPI-TBI-IA with at least a minimal level of improvement
117 recorded on the GIC is substantial (69-83%), suggesting that either of these levels might serve as
118 the MCID. Table 2 describes agreement between GIC and NPI-TBI-IA change scores at 60-day
119 evaluations in greater detail at the $\frac{1}{2}$ SD and 1 SD level of improvement. There is only slight
120 shift toward greater endorsement of “much” and “very much” general improvement at the 1 SD

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121 improvement level. A similar slight shift toward the perception of greater improvement was also
122 apparent at 28-day evaluations (see Supplemental Table 1).

123 **Discussion**

124 Anchoring potential statistically-based MCID values to GIC ratings suggests that either
125 the SEM or $\frac{1}{2}$ SD level of improvement is considered by a large majority of participants,
126 observers, or supervising physicians to represent meaningful improvement on the GIC. Since the
127 SEM is the smallest amount of change that is statistically reliable, we suggest adopting the
128 slightly more conservative value of $\frac{1}{2}$ SD improvement as the MCID (3.5 for observer ratings;
129 5.5 for participant self-ratings). As would be expected, the percentage of cases with a positive
130 GIC rating increases as the value of the required improvement on the NPI-TBI-IA increases.
131 However, except for physician ratings at Day 28, the difference between percent agreement
132 based at $\frac{1}{2}$ SD level of improvement is not dramatically different from percent agreement based
133 on 2.77 SEM (RCI) or 1 SD (see Table 1), reinforcing the $\frac{1}{2}$ SD level as a reasonable value for
134 the MCID. The level of improvement indicated by the RCI or 1 SD might be considered what
135 we have previously termed a “robust clinically important difference” (RCID).¹² Because it is the
136 traditional value for a large effect size, the 1 SD improvement is proposed as the RCID for the
137 NPI-TBI-IA (7.0 for observer ratings; 10.5 for participant self-ratings). On the other hand, the
138 perception of greater improvement on the GIC at the 1 SD level compared to the $\frac{1}{2}$ SD level is
139 not marked. For the NPI-TBI-IA, once the MCID threshold of $\frac{1}{2}$ SD is crossed, further
140 improvement is not strongly associated the perception of overall improvement.

141 **Limitations.** These analyses were based on retrospective data and may not be
142 generalizable to all individuals with TBI.

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143 **Conclusions.** The MCID for the NPI-TBI-IA is represented by a ½ SD improvement for
144 both participant and observer ratings; a 1 SD change represents a robust clinically important
145 difference (RCID).

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Table 1. Percent with improvement on GIC showing positive change at or above distribution-based indicators for 28- and 60-day NPI-TBI-IA ratings						
Distribution-based indicators (rounded cut point)	Participant		Observer		Physician	
	28-day	60-day	28-day	60-day	28-day	60-day
Observer ratings						
1 SEM=2.31 (2.5)	68%	70%	72%	79%	71%	77%
½ SD=3.48 (3.5)	69%	71%	74%	80%	73%	77%
1.96SEM=4.53 (4.5)	70%	74%	77%	82%	76%	79%
2.77SEM=6.40 (6.5)	76%	76%	78%	87%	80%	82%
1 SD=6.96 (7.0)	78%	76%	80%	87%	83%	82%
Participant self- ratings						
1 SEM=4.09 (4.0)	72%	76%	76%	80%	75%	78%
½ SD=5.28 (5.5)	77%	80%	77%	83%	75%	81%
1.96SEM=8.02 (8.0)	81%	84%	80%	87%	82%	84%
2.77SEM=11.33 (11.5)	89%	83%	86%	88%	96%	86%
1 SD=10.56 (10.5)	88%	82%	84%	87%	94%	87%

Table 2. Percent indicating various levels of change on GIC with ½ SD or greater and 1 SD or greater change on 60-day NPI-TBI-IA ratings

NPI-TBI-IA change score:	Participant		Observer		Physician	
	½ SD	1 SD	½ SD	1 SD	½ SD	1 SD
Observer GIC ratings						
Very much improved	9%	11%	19%	21%	15%	16%
Much improved	38%	41%	35%	39%	37%	37%
Minimally improved	24%	24%	26%	27%	25%	29%
No change	24%	21%	18%	13%	22%	18%
Minimally worse	2%	2%	1%	0%	1%	0%
Much worse	2%	1%	1%	0%	0%	0%
Very much worse	1%	0%	0%	0%	0%	0%
Participant GIC self- ratings						
Very much improved	10%	12%	21%	26%	16%	21%
Much improved	39%	43%	32%	31%	38%	45%
Minimally improved	31%	27%	30%	30%	27%	21%
No change	18%	18%	16%	13%	19%	13%
Minimally worse	1%	0%	0%	0%	0%	0%
Much worse	1%	0%	1%	0%	0%	0%
Very much worse	0%	0%	0%	0%	0%	0%

Highlights

- A measure combining the Irritability/Lability and Agitation/Aggression subscales of the Neuropsychiatric Inventory (NPI-TBI-IA) has been developed for use with individuals with traumatic brain injury (TBI).
- The new measure (the Rasch Neuropsychiatric Inventory Irritability and Aggression Scale for TBI; NPI-TBI-IA) was developed with Rasch analysis and includes responses to all specific items on these subscales.
- The Minimal Clinically Important Difference (MCID) is the smallest change on a clinical measure that is associated with a meaningful perceived difference in an individual's condition, function, or quality of life.
- We determined the MCID for this measure using distribution-based and by anchoring the measure to Global Impression of Change scales completed by individuals with TBI, their observers, and their physicians.
- Our analysis suggests that the MCID for the NPI-TBI-IA is $\frac{1}{2}$ standard deviation and that a standard deviation change indicates a Robust Clinically Important Difference for both observer ratings and participant self-ratings.