



SCHOOL OF ENGINEERING, COMPUTER SCIENCE AND MATHEMATICS

Decision Support System for Planning of Integrated Water Reuse Projects

Submitted by

Darko Joksimović

**to the University of Exeter as a thesis for the degree of
Doctor of Philosophy in Engineering**

December 2006

This thesis is available for Library use on the understanding that it is copyright material and that no quotation from the thesis may be published without proper acknowledgement.

I certify that all material in this thesis which is not my own work has been identified and that no material has previously been submitted and approved for the award of a degree by this or any other University.

Abstract

The availability of fresh water supplies throughout the world has been getting scarcer over the past several decades, leading to existing or impending water shortages in many regions. In this context, water reuse has emerged as a genuine and reliable alternative that can be used to supplement, and in some cases substitute traditional water sources.

The practice of water reclamation and reuse has developed tremendously in the last century. With the rate of growth projected to increase even further, water reuse schemes of larger size will have to be planned, addressing an ever expanding list of technological, environmental, social and financial considerations. Therefore, decision support systems (DSS) are acutely needed to assist the planners of future water reuse schemes.

The DSS developed in this thesis and embodied in the WTRNet (Water Treatment for Reuse with Network Distribution) software tool takes into account the interactions that exist between the individual schemes components (treatment trains, distribution system and end-users of reclaimed water) in evaluation and selection of most promising design alternatives. Comprising of a simulation and optimisation components, the DSS provides a user-friendly platform for evaluation and optimisation of integrated water reuse schemes.

The numbers of potential design alternatives for schemes of different size are determined, and are shown to be substantially reduced by using rules that determine feasible treatment trains. Optimisation algorithms appropriate for schemes of different size are developed and tested on case studies to verify the DSS, which includes a novel and efficient linear programming methodology of least-cost sizing of reclaimed water distribution systems.

The benefits of evaluation in the proposed manner are demonstrated by deriving optimal water reuse schemes for the City of Waterloo, Ontario, Canada. The results of application of WTRNet on the case study indicate that the selection of optimal treatment alternatives based on different criteria has potentially significant effect on the cost of optimal alternatives, and that the selection of end-users requires a structured approach that takes into account factors other than their demand and location relative to the source.

Acknowledgements

Many people contributed directly or indirectly in completing this thesis. I would first like to express my gratitude to Professors Dragan Savić and Godfrey Walters for the opportunity to conduct this research, and for all their support and guidance.

This thesis would not have been possible without the generous support of my extended family. I would especially like to express deepest gratitude to my wife Dijana Praskač for supporting my decision to embark on attaining the PhD, and sacrifices made over the course of the last three years.

The funding for majority of research presented in this thesis was provided by the European Commission, through the AQUAREC project on "Integrated Concepts for Reuse of Upgraded Wastewater" (EVK1-CT-2002-00130), and this financial assistance is gratefully acknowledged. In addition, there are a number of project partners that provided valuable guidance in this research and whom I would like to thank: Prof. Thomas Melin, Thomas Wintgens and Rita Hochstrat (RWTH Aachen, Chemical Engineering Department, Germany); Prof. Petr Hlavinek, Beata Janosova and Jiri Kubik (Brno University of Technology, Institute of Municipal Water Management, Czech Republic); Davide Bixio and Chris Thoeye (Aquafin NV – Water Body of Flanders, Belgium); Prof. Jaap van der Graaf, Jaap de Koning, Viviane Miska and Aldo Ravazzini (Technical University Delft, Department of Water Management, Netherlands); Prof. Anastasios Karabelas and Stergios Yiantsios (Centre for Research and Technology, Hellas, Chemical Process Engineering Research Institute, Greece); Haim Cikurel and Avi Aharoni (Mekorot Water Company Ltd., Israel).

I am grateful to the examiners of this thesis, Prof. Suiqing Liu and Dr Zoran Kapelan, for reviewing the work presented in this thesis and their valuable comments. Finally, I thank all my colleagues from the Centre for Water Systems for their assistance and thought inspiring discussions, particularly Francesco di Pierro, Mark Morley and Gianluca Dorini.

Table of Contents

ABSTRACT.....	2
ACKNOWLEDGEMENTS.....	3
TABLE OF CONTENTS.....	4
LIST OF FIGURES	8
LIST OF TABLES	11
LIST OF PUBLICATIONS.....	13
LIST OF SYMBOLS AND ABBREVIATIONS	15
CHAPTER 1 WATER RECLAMATION AND REUSE	17
1.1 Introduction.....	17
1.1.1 Uses of Reclaimed Water	18
1.1.2 Evolution and Extent of Water Reuse	20
1.1.3 Future of Water Reuse	24
1.1.4 The Need for Decision Support	25
1.2 Research Objectives and Thesis Outline.....	28
CHAPTER 2 DECISION SUPPORT FOR PLANNING OF WATER REUSE PROJECTS	32
2.1 Introduction.....	32
2.2 Wastewater Treatment	32
2.2.1 Synthesis of Treatment Trains	33
2.2.2 Evaluation of Treatment Trains	36
2.2.2.1 Review of Evaluation Criteria	36
2.2.2.2 Selected Evaluation Criteria	38
2.2.3 Optimisation of Treatment Trains	44
2.3 Distribution of Reclaimed Water	47
2.3.1 System Layout	48
2.3.2 Sizing of Pipes and Pumps	50
2.3.3 Storage Sizing.....	52
2.3.4 Changes in Reclaimed Water Quality	54
2.4 Integrated Approaches	57

2.5 Summary and Conclusions.....	59
CHAPTER 3 DSS EVALUATION METHODOLOGIES	62
3.1 Introduction.....	62
3.2 Knowledge Base	63
3.2.1 Unit Processes.....	63
3.2.1.1 Efficiencies	66
3.2.1.2 Costs	68
3.2.1.3 Land Requirements.....	71
3.2.1.4 Labour Requirements	71
3.2.1.5 Production of Sludge and Concentrates.....	72
3.2.1.6 Energy Consumption	74
3.2.1.7 Qualitative Criteria Scores.....	74
3.2.2 Treatment Train Synthesis Rules.....	74
3.2.3 Distribution System Components	81
3.3 Treatment Train Performance	84
3.3.1 Quantitative Criteria	85
3.3.2 Qualitative Criteria	87
3.4 Distribution System Sizing	88
3.4.1 Operational Policy and Storage Sizing.....	89
3.4.2 Sizing of Pipes and Pumps	93
3.4.3 Sequential Approach.....	94
3.5 Summary and Conclusions.....	96
CHAPTER 4 METHODOLOGIES FOR OPTIMISATION OF INTEGRATED WATER REUSE SYSTEMS	99
4.1 Introduction.....	99
4.2 Number of Design Alternatives	100
4.3 Optimisation using Enumeration	103
4.4 Optimisation using GAs.....	105
4.4.1 GA Basic Concepts.....	105
4.4.2 Definition of Search Space and Feasible Alternatives	108
4.5 Simple GA.....	109

4.6 Comprehensive GA.....	110
4.6.1 Coding of Design Alternatives	110
4.6.2 Generation of Initial Population	112
4.6.3 Crossover Operator.....	113
4.6.4 Mutation Operator	115
4.6.5 Single-objective Optimisation	117
4.6.6 Multi-objective Selection	119
4.7 Summary and Conclusions.....	123
CHAPTER 5 DSS TESTING AND SENSITIVITY ANALYSES	125
5.1 Introduction.....	125
5.1.1 London Test Case	125
5.1.2 Kyjov Test Case.....	127
5.2 Testing.....	129
5.2.1 Sequential Approach for Distribution System Sizing.....	129
5.2.2 GA Operators.....	133
5.2.2.1 Initial Population	133
5.2.2.2 Crossover Operator.....	135
5.2.2.3 Mutation Operator	138
5.3 Sensitivity Analyses.....	139
5.3.1 NLP Model Parameters	139
5.3.2 GA Population Size and Operator Values	142
5.3.3 Objective Function Weights in Single-objective Optimisation	146
5.3.4 Effluent Quality Tolerance in Multi-objective Optimisation	148
5.3.5 Pollutant Removal Efficiency of Unit Processes.....	150
5.4 Summary and Conclusions.....	152
CHAPTER 6 CASE STUDY	156
6.1 Introduction.....	156
6.2 Study Area.....	156
6.3 WTRNet Model of the Study Area	158
6.4 Analyses of Reuse Options using WTRNet.....	161
6.4.1 Least-cost Optimisation	162

Table of Contents

6.4.2 Optimisation Using Three Objectives	163
6.4.2.1 Qualitative Criteria Score	163
6.4.2.2 Land Required	164
6.4.2.3 Sludge Production.....	165
6.4.2.4 Energy Consumption	166
6.4.2.5 Labour Required.....	167
6.4.3 Selection of Treatment Processes	168
6.4.4 Selection of End-users	175
6.5 Summary and Conclusions.....	181
CHAPTER 7 SUMMARY AND CONCLUSIONS	184
7.1 Thesis Summary.....	184
7.2 Conclusions and Recommendations for Further Research	189
APPENDIX A UNIT PROCESSES DATA SHEETS	193
APPENDIX B DSS HYDROINFORMATICS FEATURES	238
APPENDIX C NLP MODEL SENSITIVITY ANALYSES RESULTS	270
APPENDIX D CASE STUDY WTRNET MODEL INPUT	275
BIBLIOGRAPHY	279

List of Figures

Figure 2.1	Wastewater Treatment Network Representation.....	35
Figure 2.2	Network Flow Representation of a Reservoir System	54
Figure 3.1	Sludge Treatment and Disposal Options	73
Figure 3.2	Assembly Rules for an Electric Torch.....	78
Figure 3.3	Matrix of Treatment Train Assembly Rules.....	80
Figure 3.4	Treatment Train Evaluation.....	85
Figure 3.5	Network Representation of Multiperiod Multireservoir Sizing	90
Figure 3.6	Specification of NLP Procedure Used in the DSS	91
Figure 3.7	Sequential Approach for Sizing of Distribution System	96
Figure 4.1	Number of Integrated Water Reuse Scheme Design Alternatives	102
Figure 4.2	Use of Alternative DSS Optimisation Methods	103
Figure 4.3	Enumeration of Design Alternatives	104
Figure 4.4	Basic Flowchart of Genetic Algorithms	106
Figure 4.5	Illustration of Search Space Feasible Treatment Alternatives	109
Figure 4.6	Chromosome Representation of Design Alternatives	111
Figure 4.7	Initial Population Generation Flowchart	113
Figure 4.8	Crossover Operator Flowchart	115
Figure 4.9	Mutation Operator Flowchart	117
Figure 4.10	NSGA-II Procedure for Generation of Child Populations	122
Figure 5.1	Mogden STW Serviced Area.....	126
Figure 5.2	Kyjov Test Case Overview.....	128
Figure 5.3	London Test Case Overview	129
Figure 5.4	Storage and Shortfall Volumes - London Test Case	130
Figure 5.5	Costing Results – London Test Case.....	132
Figure 5.6	Distributions of Test Treatment Train Lengths	133
Figure 5.7	Distributions of Lengths of Test Treatment Trains Meeting Criteria.	134

Figure 5.8	Percent of Test Population Individuals with Different Number of Potential Mating Categories	136
Figure 5.9	Distribution of Mating Categories – Raw Sewage Inflow	137
Figure 5.10	Distribution of Mating Categories – Primary Treated Inflow	137
Figure 5.11	Possible Unit Process Replacements using Mutation Operator	139
Figure 5.12	Percent of Treatment Trains without Mutation Alternatives.....	139
Figure 5.13	Sensitivity of NLP Optimisation on Unit Cost of Alternate Supply ..	141
Figure 5.14	Cumulative Distribution of Top Design Alternatives	143
Figure 5.15	GA Parameter Testing Results – Success Rate	144
Figure 5.16	GA Parameter Testing Results – Computational Effort.....	145
Figure 5.17	GA Parameter Testing Results – Number of Generations.....	146
Figure 5.18	Effluent Quality Tolerance Sensitivity Analyses Results	150
Figure 5.19	Pollutant Removal Efficiency Sensitivity Analyses Results	151
Figure 6.1	Study Area Location.....	157
Figure 6.2	Potential Reclaimed Water End-users in the Waterloo Study Area...	159
Figure 6.3	Demand Satisfied – Lifecycle Cost Optimisation Results	163
Figure 6.4	Demand Satisfied – Lifecycle Cost – Qualitative Criteria Score Optimisation Results	164
Figure 6.5	Demand Satisfied – Lifecycle Cost – Land Optimisation Results	165
Figure 6.6	Demand Satisfied – Lifecycle Cost – Sludge Optimisation Results ..	166
Figure 6.7	Demand Satisfied – Lifecycle Cost - Energy Optimisation Results...	167
Figure 6.8	Demand Satisfied – Lifecycle Cost - Labour Optimisation Results...	168
Figure 6.9	Summary of Unit Processes Included in Optimal Schemes	170
Figure 6.10	Unit Processes Included in Optimal Upgrade Schemes	175
Figure 6.11	Overall Inclusion of End-users in Optimal Treatment Schemes	176
Figure 6.12	Inclusion of End-users in Optimal Treatment Schemes as Function of Their Distance from Water Reclamation Facility and Demand Satisfaction	178
Figure 6.13	Inclusion of End-users in Optimal Treatment Schemes as Function of Their <i>PER</i> and Demand Satisfaction.....	180

Figure B.1	Overview of WTRNet Structure.....	240
Figure B.2	WTRNet Main Control Form	242
Figure B.3	Import/Export Data Form	243
Figure B.4	Project File Form.....	244
Figure B.5	Regional Data Form	245
Figure B.6	Pollutant Details Form.....	246
Figure B.7	Evaluation Criteria Details Form.....	247
Figure B.8	End-user Types Form	248
Figure B.9	Unit Process Details Form.....	249
Figure B.10	Treatment Train Assembly Rules Form	250
Figure B.11	Sludge Treatment and Disposal Options Form	252
Figure B.12	Treatment Train Selection – Expert Approach.....	253
Figure B.13	Treatment Train Selection – Step-wise Approach.....	254
Figure B.14	Treatment Train Evaluation Results.....	256
Figure B.15	Distribution System Layout Form.....	257
Figure B.16	Distribution System Nodes Summary Form.....	259
Figure B.17	Node Properties Form.....	260
Figure B.18	Distribution System Links Summary Form.....	262
Figure B.19	Link Properties Form.....	263
Figure B.20	Detailed Treatment Trains Results	264
Figure B.21	Saved Treatment Trains Summary	265
Figure B.22	Distribution System Results	266
Figure B.23	Cost Summary	267
Figure B.24	Optimisation Form – Enumeration.....	268
Figure B.25	Optimisation Form – Genetic Algorithm	268
Figure C.1	1,000 m ³ /day Treatment Capacity	272
Figure C.2	2,000 m ³ /day Treatment Capacity	273
Figure C.3	3,000 m ³ /day Treatment Capacity	274
Figure D.1	Map of the Distribution System	276

List of Tables

Table 1.1	Categories of Municipal Water Reuse.....	20
Table 1.2	Examples of Historic Development of Water Reuse.....	22
Table 1.3	Largest Water Reclamation Markets.....	25
Table 1.4	Considerations for Wastewater Reuse Facilities Plan.....	26
Table 2.1	Short List of Treatment Train Evaluation Criteria	38
Table 3.1	Unit Processes Included in the Knowledge Base	64
Table 3.2	Wastewater Parameters Included in the Knowledge Base	66
Table 3.3	Expressions Used for Calculation of Pollutant Removal Efficiency....	68
Table 3.4	Expressions Used for Calculation of Capital Costs.....	70
Table 3.5	Expressions Used for Calculation of O&M Costs.....	70
Table 3.6	Expressions Used for Calculation of Land Requirements.....	71
Table 3.7	Expressions Used for Calculation of Labour Requirements	72
Table 3.8	Expressions Used for Calculation of Sludge Produced.....	72
Table 3.9	Default Costs of Sludge Treatment and Disposal.....	73
Table 3.10	Expressions Used for Calculation of Energy Consumption	74
Table 3.11	Starting Unit Processes.....	77
Table 3.12	Storage Facilities Cost Factors	82
Table 3.13	Pipe Unit Cost Factors.....	82
Table 3.14	Classification of Reclaimed Water End-users.....	83
Table 3.15	Information on Potential Reclaimed Water End-users	84
Table 3.16	Common Treatment Facility Costs.....	86
Table 4.1	Number of Possible and Feasible Treatment Trains.....	101
Table 4.2	Number of Practical Treatment Trains	101
Table 4.3	Objectives Considered in Multi-objective Optimisation.....	120
Table 5.1	Estimated Demands of Potential End-users in London Test Case	127
Table 5.2	Demand of Potential End-users of Reclaimed Water in Kyjov.....	128
Table 5.3	Test Population Analyses Results for Primary Effluents	134

Table 5.4	London Test Case Input Parameters for NLP Model Testing	140
Table 5.5	GA Parameter Values Tested	143
Table 5.6	Summary of Objective Function Weights Sensitivity Analyses	148
Table 5.7	Pollutant Concentrations in Treatment Steps	152
Table 6.1	Estimated Constant Demands of Industrial End-users	159
Table 6.2	Estimated Variable Demands of Residential and Irrigation End-users	160
Table 6.3	Assumed Water Quality Requirements of Potential End-users.....	161
Table 6.4	Summary of Treatment Trains Included Most Frequently in Optimal Schemes.....	173
Table B.1	Hydraulic Loading Parameters	241
Table B.2	Toolbar Functionality	244
Table B.3	Distribution System Layout Form Buttons Summary	258
Table B.4	WTRNet Node Types	258
Table D.1	Case Study Node Data.....	277
Table D.2	Case Study Links Data	278

List of Publications

- Joksimovic, D.** and D.A. Savic. (2006). Cost Management and Optimisation. Chapter 3 in “Water Reuse System Management Manual – AQUAREC”, Edited by D. Bixio and T. Wintgens, Office for Official Publications of the European Communities, Luxembourg.
- Joksimovic, D.**, D.A. Savic and G.A. Walters. (2006). An Integrated Approach to Least-Cost Planning of Water Reuse Schemes. *Water Science & Technology: Water Supply*, 6(5), pp 93-100.
- Joksimovic, D.**, D.A. Savic, G.A. Walters, D. Bixio, K. Katsoufidou, S.G. Yiantsios, B. Janosova, P. Hlavinek. (2006) Development and Validation of System Design Principles for Water Reuse Systems, Proceedings of Integrated Concepts for Reuse of Upgraded Wastewater, Barcelona, Spain.
- Joksimovic, D.**, J. Kubik, P. Hlavinek, D.A. Savic, G.A. Walters. (2006). Development of an Integrated Simulation Model for Treatment and Distribution of Reclaimed Water. *Desalination*, 188, pp. 9-20.
- Bixio, D., C. Thoeye, J. De Koning, **D. Joksimovic**, D. Savic, T. Wintgens, T. Melin. (2006). Wastewater Reuse in Europe. *Desalination*, 187, pp. 89-101.
- Joksimovic, D.**, J. Kubik, P. Hlavinek, D. Savic, G. Walters (2005) Development of an Integrated Simulation Model for Treatment and Distribution of Reclaimed Water, Integrated Concepts in Water Recycling, Proceedings of ICWR 2005 Conference, Khan, S.J., Muston, M.H. and Schäfer, A.I. (Eds.), Wollongong, Australia, pp. 343-354.
- Joksimovic, D.**, J. Kubik, P. Hlavinek, D. Savic, G. Walters. (2005). Simulation Model for Integrated Planning of Water Reuse Schemes. Proceedings of 20th Annual WateReuse Symposium, Denver, USA.
- Bixio, D., B. De heyder, H. Cikurel, M. Muston, V. Miska, **D. Joksimovic**, A.I. Schäfer, A. Ravazzini, A. Aharoni, D. Savic and C. Thoeye. (2005). Municipal wastewater reclamation: where do we stand? An overview of treatment technology and management practice. *Water Science and Technology: Water Supply*, 5(1), pp 77-85.
- Joksimovic, D.**, J. Kubik, P. Hlavinek, D. Savic, G. Walters. (2004). Development of a Simulation Model for Water Reuse Systems. Proceedings of IWA 4th World Water Congress and Exhibition, Marrakech, Morocco.

Bixio, D., B. De heyder, H. Cikurel, M. Muston, V. Miska, **D. Joksimovic**, A.I. Schäfer, A. Ravazzini, A. Aharoni, D. Savic and C. Thoeye (2004). Municipal Wastewater reclamation: where do we stand? An overview of treatment technology and management practice. Proceedings of IWA 4th World Water Congress and Exhibition, Marrakech, Morocco.

List of Symbols and Abbreviations

AHP	Analytical Hierarchy Process
ASPP	Assembly Sequence Planning Problem
ASR	Aquifer Storage and Recovery
BOD ₅	Biochemical Oxygen Demand (5-day)
CAPDET	Computer Assisted Procedure for the Design and Evaluation of Wastewater Treatment Systems
CBR	Case Based Reasoning
CRF	Capital Recovery Factor
DAF	Dissolved Air Floatation
DP	Dynamic programming
DSS	Decision support system
EA	Evolutionary algorithm
EM	Electro-mechanical (equipment)
EMO	Evolutionary Many-objective Optimisation
GA	Genetic algorithm
GIS	Geographical Information System
ILP	Integer Linear Programming
LP	Linear programming
LTWS	Long Term Water Strategy
MCA	Multicriteria Decision Analysis
MCDM	Multicriteria Decision Making
MENA	Middle East and North Africa
MILP	Mixed integer linear programming
MINLP	Mixed integer non-linear programming
MPM	Multiplicative Penalty Method
NFP	Network Flow Programming
NLP	Network Linear Programming

NPV	Net Present Value
NSGA-II	Fast Elitist Non-Dominated Sorting Genetic Algorithm
O&M	Operation and maintenance
PE	Serviced area population equivalents
RoW	Region of Waterloo
SAT	Soil Aquifer Treatment
STW	Sewage Treatment Works
UP	Unit Process
USEPA	United States Environmental Protection Agency
WHO	World Health Organisation
WTRNet	Water Treatment for Reuse and Network Distribution
WWTP	Wastewater treatment plant