



Effects of High Intensity Ultrasound Frequency and High-Speed Agitation on Fat Crystallization

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4 1 **Effects of High Intensity Ultrasound Frequency and High-Speed Agitation on Fat**
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6 2 **Crystallization**
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4 13 **Abstract:** The objective of this research was to examine the effect of ultrasound frequency
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6 14 and high-speed agitation on lipid crystallization. Interesterified soybean oil was crystallized
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8 15 at 44 °C without and with the application of high intensity ultrasound (HIU – 20 and 40 kHz)
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10 16 or with high-speed agitation (6,000 and 24,000 rpm). Two tip amplitudes (24 μm and 108
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12 17 μm) and three pulse durations were evaluated (5, 10, and 15 s) for the acoustic frequencies
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14 18 tested. Sonication at 20 kHz of frequency significantly reduced crystal size, increased ($p <$
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16 19 0.05) elasticity (435.9 ± 173.3 Pa to $80,218 \pm 15,384$ Pa) and SFC (0.2 ± 0.0 % to 4.5 ± 0.4
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18 20 %). No significant difference was observed in the crystallization behavior of these samples
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20 21 when sonicated at different amplitudes for 5 and 10 s. The crystallization behavior was
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22 22 significantly delayed ($p < 0.05$) in samples sonicated using 108 μm amplitude for 15 s.
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24 23 Larger crystals were formed in samples sonicated at 40 kHz compared to those obtained with
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26 24 20 kHz and lower SFC (3.7 ± 0.0 %) and elasticity ($3,943 \pm 1,459$ Pa) values were obtained.
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28 25 High-speed agitation at 24,000 rpm increased SFC (5.5 ± 0.1 %) and crystallized area and
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30 26 decreased the elasticity ($42,602 \pm 11,775$ Pa) compared to the samples sonicated at 20 kHz.
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36 27 **Keywords:** Interesterified oil, crystallization behavior, sonication, ultrasound, high
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29 Introduction

30 The use of power ultrasound or high intensity ultrasound (HIU) has recently been of
31 interest to researchers in the food processing area. HIU generates safe, non-toxic, and
32 environmentally friendly sound waves with frequencies between 20 and 100 kHz and power
33 levels between 1 and 10,000 W cm⁻². Under these sonication conditions acoustic waves
34 propagate through a medium generating high and low density zones due to partial
35 displacement of particles. These zones of differential particle density induce cavitation [1].
36 Cavitation is usually referred to as the formation of a void in a liquid that grows to form
37 bubbles. When cavitation is induced by acoustic waves, the phenomena is called acoustic
38 cavitation. During acoustic exposure, these bubbles or cavities oscillate around their
39 equilibrium position or they grow over time with an eventual collapse. Bubble collapse is
40 associated with micro-currents and important increases in temperature and pressure in the
41 media and with the generation of high shear forces [2]. The ability of ultrasound to cause
42 cavitation depends on ultrasound process parameters such as frequency and power, intrinsic
43 properties of the product such as viscosity and surface tension, and processing conditions
44 such as temperature and pressure [3].

45 Events associated with the formation and collapse of the cavities are responsible for
46 several physicochemical changes in materials [4] such as cell disruption, emulsification,
47 dispersion of aggregates, crystallization, enzyme inactivation, drying, and viscosity
48 modification among others [5-15]. In particular, HIU has been used by several research
49 groups to change the crystallization behavior of fats [15-25]. This process is commonly
50 referred to as sonocrystallization and the effects reported across these systems include
51 induction in crystallization, generation of smaller and more uniform crystal sizes, induction
52 of stable polymorphic forms, and generation of harder materials. The mechanisms

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4 53 responsible for sonocrystallization in lipids have not been totally clarified. Some researchers
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6 54 believe that sonocrystallization occurs through an induction in nucleation since cavities or
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8 55 bubbles provide a heterogeneous surface for nucleation [26]. However, others argue that the
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10 56 effect is counterintuitive in that a local temperature increase will reduce or eliminate the
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12 57 supersaturation in the immediate vicinity effectively removing the driving force for
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14 58 nucleation. However, shock waves generated during sonication may contribute to nucleation
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16 59 in the regions of the supersaturated solution somewhat remote from the cavitation event [2].
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18 60 In the case of lipid sonocrystallization, localized high pressures generated during sonication
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20 61 might result in localized increase in supercooling thus inducing crystallization. Changes
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22 62 observed in crystal size and morphology can be related to the shear forces associated with
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24 63 ultrasound that act to slow growth processes [27] and to break down nascent agglomerates
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26 64 [28].
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32 65 Most of ultrasound studies in fat systems have evaluated the effects of ultrasound
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34 66 power levels and pulse duration [15, 18, 19, 25], moreover these previous studies were
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36 67 limited to a single frequency (20 kHz). It is still unclear if the same induction in
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38 68 crystallization can be generated with a higher acoustic frequency and if the effects observed
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40 69 during lipid sonocrystallization are due to the presence of cavities, high shear forces, or a
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42 70 combination of both events. Therefore, the objective of this study is to: (i) evaluate the effect
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44 71 of acoustic frequency on sonocrystallization and (ii) understand the role of agitation in the
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46 72 sonication process. With this purpose commercial interesterified soybean oil (45% saturated
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48 73 fat) was crystallized at 44 °C and treated with two acoustic frequencies (20 and 40 kHz) and
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50 74 with high-speed agitation. The physical properties such as crystal microstructure, melting
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52 75 behavior, solid fat content, and elasticity, of the crystallized material obtained were
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54 76 measured.
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4 77 **Material and Methods**
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10 Commercial interesterified soybean oil (IESBO) with 45% saturated fat (ADM
11 762420) was crystallized at 44 °C without and with the application of high intensity
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13 ultrasound (HIU) or with high-speed agitation.
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18 82 **Methods**
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22 83 **Melting Point**
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25 84 The melting point of IESBO (52.8 ± 0.1) was determined by DSC using a DSC Q20
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27 (TA Instruments, New Castle, DE). The samples were heated from 25 °C to 80 °C at 5 °C/
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29 min, holding at this temperature for 30 min and cooled to -20 °C at 5 °C/min to crystallize the
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31 sample and holding at this temperature for 90 min. After this procedure, the samples were
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33 heated again to 80 °C at 5 °C/min. Melting temperature was considered as the peak
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35 temperature of the highest temperature melting peak.
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40 90 **Triacylglycerol (TAG) and diacylglycerol (DAG) composition**
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43 91 The TAG composition of IESBO (Table 1) was analyzed by reversed phase high
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45 performance liquid chromatography (HPLC) based on the official AOCS method Ce 5b-89.
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47 Minor practical adjustments to the flow rate and mobile phase composition was made for
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49 optimal performance of the equipment. The analysis was performed on a Waters HPLC
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51 system (Zellik, Belgium) equipped with two stainless steel Nova-Pak C18 columns (4 μm ,
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53 3.9 x 150 mm) from Waters (Zellik, Belgium). The mobile phase was an isocratic solvent
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55 mixture of acetone and acetonitrile (62.5/37.5, v/v) with a flow rate of 1.2 ml/min; the
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4 98 injection volume was 20 μl . The samples were dissolved in methanol/chloroform (1/1, v/v)
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6 99 and a differential refractometer was utilized for the detection. The partition number (PN) also
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9 100 called equivalent carbon number (ECN) is used to predict the elution order. $\text{PN (ECN)} = \text{CN}$
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11 101 $- 2 \times \text{DB}$, where CN is the total carbon number and DB is the total number of double bonds
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13 102 on the fatty acids. Peak areas were correlated with the quantities of DAG/TAG in the oil or
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15 103 fat sample. The data were integrated by using the program Empower Pro with a generic Apex
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17 104 Track method for integration. Peak areas below 4000 area counts (equivalent to
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19 105 approximately 0.04% of the total peak area) were not taken into account.
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23 106 **Crystallization Experiments**

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26 107 Samples were melted in a microwave oven and then kept in an oven at 80 $^{\circ}\text{C}$ for 30
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28 108 min to eliminate crystal history. The melted sample (100 g) was transferred to a double-
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30 109 walled crystallization cell connected to an external water bath that allowed for temperature
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32 110 control (44 $^{\circ}\text{C}$). This crystallization device was previously described in Martini et al. [18].
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34 111 The sample was stirred for 10 min using a magnetic stirrer (200 rpm) to improve heat
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36 112 transfer. The crystallization behavior of the samples was followed as a function of time for
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38 113 90 min. Crystal morphology and solid fat content were monitored during crystallization using
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40 114 a polarized light microscope and pulsed nuclear magnetic resonance equipment (p-NMR) at
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42 115 44 $^{\circ}\text{C}$, respectively. Physical properties such as melting behavior and viscoelasticity were
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44 116 measured after 90 min of crystallization time at 44 $^{\circ}\text{C}$.
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49 117 **Ultrasound Application**

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53 118 HIU was applied to the samples after 45 min when a slight turbidity was observed that
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55 119 indicated the presence of crystals. Previous research in our laboratory has shown that greater
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57 120 induction in crystallization is observed when HIU is applied at the onset of crystallization
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4 121 [18, 24]. A Misonix Sonicator 3000 (Misonix Inc., Farmingdale, NY, USA) operating at a
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6 122 frequency of 20 kHz and a custom-made Qsonica Sonicator Q500 (Qsonica, Newtown, CT,
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8 123 USA) operating at a frequency of 40 kHz were used to apply the ultrasound pulse. HIU was
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10 124 applied using a 1/2"-diameter tip operating at two tip amplitudes (24 μm and 108 μm) and at
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12 125 three pulse durations (5, 10, and 15 s).
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16 **Application of High-speed Agitation**

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20 127 Samples were crystallized as described above and high-speed agitation was applied
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22 128 using an Ultraturrax (IKA – Labortechnik, Staufen, Germany) at 45 min into the
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24 129 crystallization process. Two probes were used: (a) small probe (S18N 10g - circumference
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26 130 speed max: 9.8 m/s) and (b) big probe (S18N – 19g - circumference speed max: 16.6 m/s).
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28 131 Each probe was used at 2 speeds: (a) 6,000 rpm and (b) 24,000 rpm for 10 s.
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32 **Measurement of Solid Fat Content (SFC)**

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35 133 Samples were kept in the cell until the application of sonication or high-speed agitation
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37 134 and then transferred to p-NMR tubes and a test tube using a 10-ml pipettor. Tubes were kept
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39 135 in a water bath set at crystallization temperature (T_c) of 44 °C. Tubes were tempered at T_c in
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41 136 the water bath before the sample was transferred. The crystallization behavior of the samples
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43 137 was followed by measuring SFC. SFC values of samples during crystallization at 44 °C were
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45 138 measured using a NMR minispec mq 20 analyzer (Bruker, California, USA). SFC was
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47 139 measured as soon as the sample was taken out of the crystallization cell and every 2 min until
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49 140 the end of crystallization (90 min).
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53 **Crystallization Kinetics**

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57 142 The SFC vs. time data were fitted to the reparametrized Gompertz model (eq. 1).
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$$143 \quad SFC(t) = SFC_{max} \left(\exp \left\{ -ex \left[\mu x 2.781281 x \left(\frac{1-x}{z} \right) + 1 \right] \right\} \right) \quad [1]$$

144 The parameter SFC_{max} (%) is related to the final SFC, μ ($\% \cdot \text{min}^{-1}$) is related to the maximal
145 growth rate, whereas λ (min) is the induction time of crystallization. This equation has been
146 used previously to describe isothermal crystallization of fats [29-31].

147 **Crystal Microstructure**

148 Crystal morphology was recorded during crystallization using a polarized light
149 microscope (PLM-Olympus BX 41 America Inc., Melville, NY, USA) with a digital camera
150 (Lumenera Scientific, Infinity 2, Ottawa, Ontario, Canada) attached and a temperature-
151 controlled stage (Instec, TS62, Colorado, USA) to allow for temperature control during the
152 measurement. A 20 X magnification objective was used. PLM images were taken every 10
153 min throughout the crystallization experiment at 44 °C.

154 **Melting Behavior**

155 The melting behavior of the crystallized material was evaluated using a differential
156 scanning calorimeter (DSC-TA Instruments, New Castle, DE, U.S.A.). The crystallized
157 material (5–15 mg) was placed in a hermetic aluminum pan and heated from T_c (44 °C) to 80
158 °C at 5°C/min to evaluate its melting behavior. Melting parameters such as onset temperature
159 (T_{on} ; the temperature at which the sample starts melting), peak temperature (T_p ; the
160 temperature at which the melting peak reaches its maximum), and melting enthalpy (energy
161 required for melting) were recorded.

162 **Viscoelastic Properties**

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4 163 A magnetic bearing rheometer (TA Instruments AR-G2, New Castle, DE, USA) was
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6 164 used to evaluate the viscoelastic properties of the material after 90 min of crystallization. A
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9 165 temperature-controlled standard size recessed end concentric cylinders geometry (15.17
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11 166 mm diameter - 991036) was used. The geometry temperature was set at 44 °C and a gap of
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13 167 4000 μm was used for these measurements. Oscillatory tests were performed by strain
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15 168 sweep step to obtain viscoelastic parameters such as the storage modulus (G') from the linear
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17 169 viscoelastic region.

20 21 170 **Statistical Analysis**

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24 171 Crystallization experiments were performed in triplicate and physical properties were
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26 172 measured in triplicate (SFC and crystal microstructure) and duplicate (DSC and elasticity).
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28 173 Significant differences ($\alpha = 0.05$) were evaluated using two-way ANOVA using GraphPad
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30 174 Prism software, version 6.00 for Windows (GraphPad Software, San Diego, CA, USA).

33 34 175 **Results and Discussion**

35 36 37 176 **Solid fat content**

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41 177 Figure 1 shows the crystallization behavior of IESBO measured by SFC as a function
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43 178 of time when different acoustic amplitudes and pulse duration were used at 20 kHz (Figure
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45 179 1A), 40 kHz (Figure 1B), and high-speed agitation (Figure 1C). The solid fat content after 90
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47 180 min of crystallization of sonicated and high-speed agitated samples are shown in Table 2.

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51 181 Figure 1A shows the curves of SFC for non-sonicated IESBO and for sonicated at 20
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53 182 kHz. Non-sonicated IESBO started crystallizing at 44 min and SFC did not increase in a
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55 183 significant manner over the 90 min of crystallization with a final SFC of 0.2%. The SFC of
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57 184 all sonicated samples increased over time in a sigmoidal manner, reaching a plateau

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4 185 approximately after 75 min for amplitudes 24 μm and 108 μm with 10 s pulse ($3.7 \pm 0.2\%$
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6 186 and $3.9 \pm 0.2\%$ respectively), indicating that an equilibrium of SFC [32] was first established
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8 187 for these samples compared to the ones sonicated using 108 μm amplitude for 5 s that
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10 188 reached the plateau after 83 min of crystallization ($4.3 \pm 0.04\%$) and 108 μm amplitude for
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12 189 15 min in which the plateau was reached almost at the end of the experiment at 90 min ($3.7 \pm$
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14 190 0.21%). SFC values were fitted to the Gompertz equation and all sonicated samples were
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16 191 well fitted with R^2 values above 0.91 (Table 3). Non-sonicated samples did not fit the
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18 192 Gompertz equation ($R^2 = 0.71$) since its SFC did not increase as a function of time and
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20 193 remained close to zero. IESBO sonicated with 24 and 108 μm for 10 s had shorter induction
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22 194 times (51.1 ± 0.7 and 50.8 ± 0.5 min) and a significant ($p < 0.0001$) greater growth rate ($0.2 \pm$
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24 195 0.0 and $0.2 \pm 0.0 \text{ \% min}^{-1}$) when were compared to the other sonication conditions at 20 kHz.
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26 196 Results obtained for the same amplitude (108 μm) suggest that pulse duration plays an
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28 197 important role to promote crystallization. The pulse time of 5 s was short and probably not
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30 198 enough cavitation was generated to promote nucleation resulting in a slower crystallization.
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32 199 On the other hand, a longer pulse duration such as 15 s produced a significant ($p < 0.001$)
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34 200 delay on induction time (58.0 ± 1.0 min) that may be associated with increases of
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36 201 temperature ($\Delta T = 5.6 \text{ }^\circ\text{C}$) (Table 4) during the sonication. This increase in temperature is
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38 202 associated to the dissipation of acoustic energy converted into heat [33]. Table 4 shows the
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40 203 temperature increase for all sonicated samples. This table shows that the greatest temperature
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42 204 increase was observed for the IESBO sonicated at 20 kHz for 15 s using a 108 μm pulse.
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44 205 Even though sonication for longer times increased the induction time due to an increase in
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46 206 temperature the SFC after 90 min was similar to the other sonicated samples ($p > 0.05$, Table
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48 207 2). These facts suggest that the thermal effect generated by HIU in longer pulse durations can
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50 208 promote undesirable effects in the crystallization kinetics.
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4 209 Figure 1B shows SFC values as a function of time for the sample crystallized with and
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6 210 without HIU at 40 kHz frequency. As observed for 20 kHz, sonicated IESBO using 40 kHz
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8 211 waves presented higher values ($p < 0.0001$) of solid fat content (Table 2) than those observed
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10 212 in the sample crystallized without HIU ($0.2 \pm 0.0\%$) indicating that a sonication frequency of
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12 213 40 kHz also induced crystallization. However, SFC values obtained with 40 kHz frequency
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14 214 were slightly lower than those observed at 20 kHz frequency after 90 min (Table 2) but these
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16 215 differences were only significant ($p < 0.0001$) for sample crystallized at $24 \mu\text{m}$ for 10 s and at
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18 216 $108 \mu\text{m}$ for 5 s. This suggests that sonication using 40 kHz frequency is also able to induce
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20 217 crystallization; however, higher power levels are needed to obtain a similar SFC after 90 min.
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22 218 When using 40 kHz frequency and amplitude of $108 \mu\text{m}$ for 15 s, the plateau was reached
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24 219 after 77 min of crystallization, whereas when the same amplitude was applied for 10 s SFC
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26 220 values reached a plateau after 87 min. Only samples sonicated using $108 \mu\text{m}$ amplitude for
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28 221 10 and 15 s were well fitted to the Gompertz equation with R^2 values above 0.90 (Table 3).
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30 222 This lack of fit for the milder sonication conditions ($24 \mu\text{m}$ for 10 s and $108 \mu\text{m}$ for 5 s) is
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32 223 explained by the slow growth in SFC where the shape of the SFC curve did not follow a
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34 224 sigmoidal shape (Figure 1B). The kinetics parameters show that IESBO sonicated using
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36 225 amplitude of $108 \mu\text{m}$ for 10 and 15 s had induction times of 51.9 ± 1.2 and 51.8 ± 0.7 min,
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38 226 respectively which were in the same order of magnitude than the ones obtained for the
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40 227 IESBO sonicated at 20 kHz. Sonication conditions at 20 kHz that had the greater effect on
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42 228 SFC curves were the ones performed at $24 \mu\text{m}$ and $108 \mu\text{m}$ of amplitude for 10 s. However,
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44 229 these conditions did not perform well for the 40 kHz sonication conditions where the best
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46 230 conditions were obtained for samples sonicated at $108 \mu\text{m}$ for longer time (10 and 15 s). This
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48 231 again supports the hypothesis that a higher power level is needed when HIU is applied at a
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50 232 higher frequency such as 40 kHz compared to the 20 kHz one. In addition, it is important to
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52 233 note that temperature increases observed for the samples sonicated using the 40 kHz wave
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4 234 are lower than the ones obtained for the 20 kHz one (Table 4) and explains the efficiency of
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6 235 the 40 kHz sonication at 108 μm for 15 s.
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10 236 Figure 1C shows SFC values as a function of time for IESBO crystallized using high-
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12 237 speed agitation with two different probes and two speeds (6,000 and 24,000 rpm) for 10 s.
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14 238 High-speed agitation was effective in promoting crystallization as shown by a significant
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16 239 increase ($p < 0.0001$) in SFC for agitation values of 24,000 rpm (Table 2). As expected,
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18 240 higher agitation rate produces changes in crystallization [34]. With the exception of the
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20 241 sample crystallized using the big probe at 6,000 rpm all other high-speed treated samples
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22 242 were well fitted to the Gompertz model (Table 3). Kinetic parameters (Table 3) showed
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24 243 shorter induction time (λ) ($p < 0.001$) and slightly higher growth rate (μ) for samples agitated
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26 244 using the bigger probe and greater speed (24,000 rpm). The SFC after 90 min of
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28 245 crystallization under this condition was significantly higher ($p < 0.0001$) than the one
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30 246 obtained for the non-sonicated sample. Samples agitated at 24,000 rpm reached a
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32 247 significantly higher SFC value ($p < 0.0001$, Table 2) of 5.5 ± 0.1 % compared to the ones
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34 248 obtained for sonicated samples.
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40 249 In order to understand differences in SFC observed between sonicated and agitated
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42 250 samples images and videos were captured during sonication and high-speed agitation (Figure
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44 251 2, supplementary material). Figure 2A and 2B show bubbles generated during sonication
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46 252 using the higher tip amplitude (108 μm) at 40 and 20 kHz, respectively; while Figure 2C and
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48 253 2D show bubbles generated during the use of high-speed agitation using 24,000 rpm and
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50 254 6,000 rpm of speed respectively. These images show that a greater amount of bubbles and
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52 255 agitation is generated with the 20 kHz tip compared to the 40 kHz one for the same tip
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54 256 amplitude suggesting that cavitation events generated at 20 kHz and 40 kHz are different.
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57 257 Sonication at 20 kHz produced mostly very small bubbles associated with shear and
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4 258 microstreaming events. Sonication at 40 kHz produced larger bubbles that did not dissipate
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6 259 so easily in the media with low shear and microstreaming. This corroborates our previous
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8 260 hypothesis concerning the generation of fewer cavities during sonication at higher
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10 261 frequencies. This hypothesis is also supported by the lower increase in temperature observed
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12 262 for samples sonicated at 40 kHz compared to the 20 kHz samples (Table 4).
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16 263 Figures 2C and 2D show IESBO treated with high-speed agitation (24,000 rpm or
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18 264 6,000 rpm) and through these images it is possible to observe that agitation levels produced
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20 265 by the ultraturrax at the speed of 24,000 rpm was much higher than the agitation produced by
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22 266 sonication (20 and 40 kHz). This higher agitation levels explain the higher SFC obtained
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24 267 using 24,000 rpm compared to the sonicated IESBO. However, the agitation produced at the
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26 268 speed of 6,000 rpm resembles that observed in the sonication using the frequency of 20 kHz.
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28 269 The effect of high agitation on lipid crystallization has been widely described by others [22,
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30 270 35-38]. Shear greatly accelerates nucleation resulting in higher crystallization rates and an
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32 271 increased number of smaller crystals, which typically results in increased fat crystal network
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34 272 strength [38]. It is known that shear provides enough energy to overcome activation energy
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36 273 barriers and consequently increase the rate of primary nucleation [37]. IESBO crystallized
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38 274 under high-speed agitation confirmed these statements (Figure 2C and 2D).
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44 275 **Crystal Microstructure**

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47 276 Polarized light microscopy (PLM) images of crystallized IESBO with and without HIU
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49 277 at 44 °C are presented in Figure 3. The crystallization of IESBO without HIU started before
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51 278 50 min with the crystallization of few and weak birefringent clusters. After 90 min in the
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53 279 crystallization cell these few irregular clusters increased in size and birefringence, but they
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55 280 did not form a crystalline network, which eventually reflected the low SFC ($0.2 \pm 0.0\%$)
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4 281 observed in Table 2 and Figure 1. The images shown in Figure 3 support the results discussed
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6 282 in the SFC section where all ultrasound treatments induced crystallization. Consequently, an
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8 283 increase in the crystallized area is observed in the PLM images.
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11 284 As previously described for the SFC data crystal microstructure show that the
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13 285 frequency of 20 kHz induced crystallization, mainly using amplitudes of 24 and 108 μm for
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15 286 10 s leading to a crystalline network formed by small lipid crystals and confirming the results
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17 287 showed by the kinetics parameters. Even though slight differences were observed in the
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19 288 crystallization kinetics (Table 3, Figure 1) as a function of tip amplitude and duration, the
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21 289 PLM images obtained after 90 min did not show significant differences in terms of crystal
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23 290 sizes and shapes. For IESBO sonicated at 40 kHz a slight induction in crystallization was also
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25 291 observed at 50 min; however, the crystalline network formed after 90 min was more open
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27 292 with bigger crystals compared to the ones observed using 20 kHz frequency. Likewise,
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29 293 images of IESBO using 40 kHz are coherent to previous results with big clusters of variable
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31 294 sizes were observed after 90 min of crystallization. The differences described above for SFC
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33 295 between 20 and 40 kHz can also be seen in the PLM images. Samples sonicated using 20
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35 296 kHz of frequency induced crystallization even at the lowest amplitude and slightly bigger
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37 297 clusters were observed in samples sonicated for 15 s. Whereas for 40 kHz of frequency the
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39 298 sonication only generated enough cavitation to form a crystalline lattice when the largest
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41 299 amplitudes were used at higher pulse times (108 μm for 10 and 15 s)
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49 300 High-speed agitation induced fast crystallization mainly using 24,000 rpm
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51 301 independently of the probe used (Figure 4). After 50 min of crystallization, several small
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53 302 crystals were observed confirming the short induction time (48.8 ± 1.4 min and 44.8 ± 1.1
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55 303 min) reported in Table 3 compared to sonicated IESBO. After 90 min of crystallization, high-
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57 304 speed agitation at 24,000 rpm showed a homogeneous area of small spherulites and a
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4 305 crystalline network comparable with the ones obtained with sonication at 20 kHz. The effect
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6 306 of agitation on crystal size was described by others [34, 39] showing perhaps evidence of a
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8 307 secondary nucleation caused by crystal contact mechanism [37].
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11 308 High-speed agitation at 6,000 rpm also induced crystallization but after 50 min of
12
13 309 crystallization only few big crystals were observed that after 90 min formed a dense network
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15 310 with heterogeneous clusters and voids between these clusters. Probably this speed (6,000
16
17 311 rpm) was not high enough to induce as much nucleation as the one generated using 24,000
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19 312 rpm but was enough to enhance the rate of heat and mass transfer and to promote crystal
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21 313 growth [40].
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26 314 **Melting Behavior**

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29 315 Figure 5 shows the melting profile of IESBO measured by DSC when different
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31 316 acoustic amplitudes and pulse duration were used at 20 kHz (Figure 5A) and 40 kHz (Figure
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33 317 5B) frequencies and high-speed agitation (Figure 5C). Table 5 shows onset (T_{on} , °C), peak
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35 318 temperatures (T_p , °C), and melting enthalpy (ΔH , J/g) obtained from these melting
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37 319 thermograms (Figure 5).
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42 320 DSC thermograms of the non-sonicated IESBO and of the IESBO sonicated with 20
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44 321 kHz frequency (Figure 5A) show that sonication produces changes in the melting profiles.
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46 322 The melting profile of the non-sonicated IESBO showed a main peak at 51.7 ± 1.2 °C with a
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48 323 shoulder at 59.39 ± 1.25 °C indicating some level of fractionation. IESBO sonicated at a
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50 324 frequency of 20 kHz using 24 μm of amplitude for 10 s and 108 μm of amplitude for 5 and
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52 325 10 s showed melting profiles with only one peak, and this peak was sharper than the one
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54 326 obtained for the non-sonicated sample and no shoulder was observed at higher temperatures.
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56 327 This sharper melting peak suggest co-crystallization of the triacylglycerols (TAGs) promoted
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4 328 by sonication. Although no significant differences ($p > 0.05$) were observed in T_p of
5
6 329 sonicated and non-sonicated samples (Table 5) values obtained for sonicated samples were
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8 330 slightly lower than the ones obtained for the non-sonicated ones. The enthalpy of samples
9
10 331 sonicated using 24 μm amplitude for 10 s and 108 μm amplitude for 5 and 10 s were
11
12 332 significantly higher ($p < 0.05$) than the ones obtained for the non-sonicated samples (Table 5)
13
14 333 indicating that the amount of crystallized fat was significantly higher for these samples,
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16 334 which correspond to the higher SFC obtained after 90 min of crystallization (Table 2).
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18 335 However, for samples sonicated using 108 μm amplitude for 15 s the melting profile showed
19
20 336 a wider peak similar to the one observed for the non-sonicated IESBO. In addition, even
21
22 337 though enthalpy values for this sonicated sample was higher than the one obtained for the
23
24 338 non-sonicated sample, this difference was not significant ($p > 0.05$).
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30 339 Sonication at 40 kHz did not affect melting profiles in a significant manner as melting
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32 340 peaks of all sonicated samples were broad as the ones observed for the non-sonicated
33
34 341 samples (Figure 5B). Moreover, no differences ($p > 0.05$) were observed in T_p or in melting
35
36 342 enthalpy values among the sonicated and non-sonicated samples. This lack of effect on the
37
38 343 melting behavior is probably due to the low degree of cavitation obtained at this frequency
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40 344 as previously discussed.
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44 345 The thermograms obtained for samples treated with high-speed agitation show that the
45
46 346 effects in melting behavior were totally different from sonicated samples. High-speed
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48 347 agitation mainly at higher speeds (24,000 rpm) shows significant fractionation as evidenced
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50 348 by a marked shoulder obtained at high temperatures (55.74 ± 0.39 °C). This unexpected
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52 349 result could be a consequence of air incorporation during high-speed agitation. The air
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54 350 incorporated during the agitation is cooler than the crystallization temperature and therefore
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56 351 induces the crystallization of high melting point TAGs. The amount of crystals was increased
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4 352 as shown by the enthalpy values and this increase was only significant ($p < 0.05$) for samples
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6 353 crystallized using the big probe at high speed (24,000 rpm). The enthalpy values for agitation
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8 354 using the 24,000 rpm were related to the results observed in SFC and PLM where higher
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10 355 final SFC and higher crystallized area were obtained compared to the other speed.
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14 356 **Viscoelastic Properties**

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17 357 Figure 6 shows the storage or elastic modulus (G') of IESBO crystallized without and
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19 358 with sonication at 20 and 40 kHz (Figure 6A) and high-speed agitation (Figure 6B)
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21 359 crystallized for 90 min. IESBO sonicated at 20 kHz using amplitudes of 24 and 108 μm for
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23 360 10 s and 108 μm for 5 s showed a significantly higher G' ($p < 0.0001$) than the ones obtained
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25 361 for non-sonicated IESBO and for IESBO sonicated using 108 μm amplitude for 15 s (Figure
26
27 362 6A). The elasticity of sonicated IESBO at amplitudes of 24 and 108 μm for 10 s and 108 μm
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29 363 for 5 s at 20 kHz frequency showed the highest increments ($80,218 \pm 15,384$ Pa, $72,735 \pm$
30
31 364 $9,547$ Pa and $67,727 \pm 16,797$ Pa, respectively) in G' compared to the non-sonicated samples
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33 365 (436 ± 173 Pa). The amplitude of 108 μm for 15 s was not significantly different from the
34
35 366 non-sonicated IESBO ($p > 0.05$) probably due to the increment of 5.6 $^{\circ}\text{C}$ in temperature
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37 367 (Table 3) that occurred with higher pulse durations. Although there has been an increase in
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39 368 the elasticity of IESBO sonicated at 40 kHz these increments were not significantly different
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41 369 from the non-sonicated samples ($p > 0.05$) (Figure 6A).
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47 370 The elasticity of the IESBO subjected to high-speed agitation (Figure 6B) was
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49 371 significantly higher ($p < 0.0001$) than non-sonicated ones only for samples crystallized using
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51 372 24,000 rpm agitation with both probes. Despite the samples subjected to high-speed agitation
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53 373 showed significantly higher SFC (Table 2) and the PLM (Figure 4) showed a microstructure
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55 374 with a large crystallized area, which resembles the 20 kHz frequency, the G' values for
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4 375 samples crystallized under 24,000 rpm agitation were significantly ($p < 0.05$) smaller (42,602
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6 376 ± 11.177 and $39,950 \pm 23,828$ Pa) than the ones observed for IESBO sonicated at 20 kHz
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8 377 ($80,218 \pm 15,384$ Pa for 24 μm for 10 s).

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11 378 These differences in elasticity of IESBO caused by the treatments (20 kHz, 40 kHz and
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13 379 high-speed agitation) used in this study could be attributed to differences in microstructure
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15 380 observed in Figures 3 and 4. Smaller crystals observed in IESBO sonicated with 20 kHz (24
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17 381 μm for 10 s, 108 μm for 5 and 10 s) and high-speed agitation (24,000 rpm) are associated
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19 382 with higher G' values. On the other hand, the highest SFC observed in IESBO crystallized
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21 383 with high-speed agitation ($5.5 \pm 0.1\%$) was not high enough to result in a higher elastic
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23 384 modulus (G'). This means that in this case crystal morphology had a greater contribution in
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25 385 G' value than SFC values. A similar behavior was observed by Rincón-Cardona et al. [25]
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27 386 when they studied the crystallization of sonicated sample of a stearic fraction of high stearic
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29 387 high oleic sunflower oil where a higher SFC was not correlated to higher values of G' . These
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31 388 authors associated this behavior to different crystal morphologies and/or polymorphic forms
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33 389 obtained in the systems. The elasticity depends not only of macrostructural properties of the
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35 390 crystalline network but it is also related to interactions that occur at the molecular level [41].

391 **Conclusion**

392 This research demonstrated that the HIU can induce changes in physical properties of IESBO
393 using 20 and 40 kHz of frequency. These changes included solid fat content, microstructure,
394 rheological and melting properties, however, these changes were more significant when
395 using 20 kHz of frequency in specific conditions of amplitude (24 and 108 μm) and pulse
396 time (5 and 10 s). An increase in a pulse time to 15 s for waves operating at 20 kHz showed
397 negative effects on physical properties due to a significant increase in temperature. Even

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4 398 though crystallization was also induced when higher frequencies of 40 kHz were used higher
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6 399 amplitude (108 μm) for long pulse duration (15 s) were needed to effectively change the
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8 400 physical properties since higher frequencies generated fewer cavities during sonication.
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10 401 High-speed agitation showed that agitation also improved the physical properties of IESBO.
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12 402 However samples crystallized under high speed agitation had different the melting profiles,
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14 403 microstructure, and the elasticity than the sonicated samples. These results suggest that the
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16 404 induction on crystallization by sonication is not only caused by the agitation of the system
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18 405 but also by cavitation events that are enhanced at lower frequencies. Results from this study
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21 406 help understand the unlying mechanisms that drive lipid sonocrystallization and are
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23 407 fundamental for the implementation of this technology in an industrial setting.
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28
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For Peer Review

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4 514 **Figure legends**
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7 515 **Figure 1.** SFC of IESBO during 90 min of crystallization at 44 °C (control, open circles).
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9 516 Samples were crystallized with HIU (solid symbols) operating at 20 kHz (A), 40 kHz (B) and
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11 517 with high speed agitation (C).
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15 518 **Figure 2.** Images of IESBO crystallized at 44 °C using HIU at 20 and 40 kHz and high-speed
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17 519 agitation.
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21 520 **Figure 3.** Polarized-light microscopy (PLM) images of IESBO crystallized at 44 °C without
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23 521 and with high-intensity ultrasound pulse using 20 and 40 kHz frequency. White bar in the
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25 522 first picture represents 100 µm.
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29 523 **Figure 4.** Polarized-light microscopy (PLM) images of IESBO crystallized at 44 °C without
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31 524 and with high-speed agitation at 6,000 and 24,000 rpm. White bar in the first picture
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33 525 represents 100 µm.
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37 526 **Figure 5.** DSC melting profiles of IESBO crystallized for 90 min without and with HIU
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39 527 using 20 kHz (Figure 5A) and 40 kHz (Figure 5B) of frequency. Melting profiles of samples
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41 528 crystallized using high-speed Agitation are shown in Figure 5C.
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45 529 **Figure 6.** Elastic modulus (G') of IESBO crystallized for 90 min without and with HIU using
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47 530 20 and 40 kHz frequency (Figure 6A) and high-speed agitation (Figure 6B). Data with
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49 531 different letters are statistically different ($\alpha = 0.05$).
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533 **Table 1.** Triacylglycerol and diacylglycerol composition (%) of IESBO.

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	IESBO
DAG	0.8 ± 0.0
LLnLn	0.8 ± 0.0
LLLn	3.1 ± 0.1
LnLnO	0.2 ± 0.0
LLL	6.2 ± 0.0
OLLn	1.8 ± 0.1
PLLn	2.1 ± 0.0
LLO+OOLn	6.9 ± 0.1
PLL+POLn	10.2 ± 0.1
SLLn	0.3 ± 0.1
OOL	2.7 ± 0.1
POL+SLL+SOLn	16.7 ± 0.1
PPL+PSLn	2.6 ± 0.1
MPP	0.1 ± 0.0
OOO	0.4 ± 0.0
POO+SOL	9.8 ± 0.1
POP+PLS+SLnS	9.3 ± 0.1
GOO	0.2 ± 0.0
SOO	1.8 ± 0.1
POS+SLS	11.9 ± 0.1
PPS	0.9 ± 0.0
AOO	0.1 ± 0.1
SOS	4.0 ± 0.1
PSS	3.3 ± 0.2
BOO	0.1 ± 0.0
SSG+SAO	0.3 ± 0.1
SSS	2.9 ± 0.5

DAG: Diacylglycerols, L: linoleic acid, Ln: linolenic acid, O: oleic acid, P: palmitic acid, S: stearic acid, M: myristic acid; A: arachidic acid, G: gondoic acid; B: behenic acid

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4 552 **Table 2.** Solid fat content of IESBO after sonication using 20 and 40 kHz of frequency and
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6 553 using high-speed agitation (Mean \pm SD). Data with different superscripts are statistically
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9 554 different ($\alpha=0.05$).

	20 kHz	40 kHz
Wo HIU	0.238 \pm 0.028 ^f	
24 μm for 10 s	3.68 \pm 0.17 ^{bc}	2.14 \pm 0.03 ^e
108 μm for 5 s	4.29 \pm 0.04 ^b	3.38 \pm 0.21 ^{cd}
108 μm for 10 s	3.95 \pm 0.13 ^{bc}	3.42 \pm 0.28 ^{cd}
108 μm for 15 s	3.71 \pm 0.21 ^{bc}	3.69 \pm 0.02 ^{bc}
High-speed agitation		
Small Probe (6,000 rpm)	3.44 \pm 0.39 ^{cd}	
Small Probe (24,000 rpm)	5.47 \pm 0.06 ^a	
Big Probe (6,000 rpm)	2.92 \pm 0.62 ^d	
Big Probe (24,000 rpm)	5.42 \pm 0.22 ^a	

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556 **Table 3.** Kinetic parameters obtained from the Gompertz model corresponding to isothermal
 557 crystallization of IESBO without and with sonication at 20 and 40 kHz and using high-speed
 558 agitation (Mean \pm SD). Data with different superscripts are statistically different ($\alpha=0.05$).

20 kHz					
	Wo HIU*	24 $\mu\text{m}/10\text{ s}$	108 $\mu\text{m}/5\text{ s}$	108 $\mu\text{m}/10\text{ s}$	108 $\mu\text{m}/15\text{ s}$
<i>SFC</i> _{max} (%)	0.2 \pm 0.0	3.8 \pm 0.1 ^{c,d}	4.8 \pm 0.4 ^{b,c}	4.0 \pm 0.0 ^{c,d}	4.6 \pm 0.5 ^{b,c,d}
μ (% <i>min</i> ⁻¹)	0.01 \pm 0.0	0.20 \pm 0.0 ^a	0.16 \pm 0.0 ^b	0.20 \pm 0.0 ^a	0.12 \pm 0.01 ^{c,d}
λ (<i>min</i>)	46.5 \pm 3.9	51.1 \pm 0.7 ^{bc}	52.7 \pm 1.4 ^b	50.8 \pm 0.5 ^{bc}	58.0 \pm 1.0 ^a
<i>r</i> ²	0.71	0.96	0.91	0.98	0.93
40 kHz					
	Wo HIU*	24 $\mu\text{m}/10\text{ s}^*$	108 $\mu\text{m}/5\text{ s}^*$	108 $\mu\text{m}/10\text{ s}$	108 $\mu\text{m}/15\text{ s}$
<i>SFC</i> _{max} (%)	0.2 \pm 0.0	8.8 \pm 7.7	7.5 \pm 3.3	3.4 \pm 0.2 ^d	3.7 \pm 0.1 ^{c,d}
μ (% <i>min</i> ⁻¹)	0.01 \pm 0.0	0.08 \pm 0.0	0.11 \pm 0.0	0.11 \pm 0.0 ^d	0.16 \pm 0.0 ^b
λ (<i>min</i>)	46.2 \pm 3.9	65.1 \pm 12.8	59.9 \pm 3.7	51.9 \pm 1.2 ^{bc}	51.8 \pm 0.7 ^{bc}
<i>r</i> ²	0.71	0.85	0.86	0.92	0.96
High-Speed Agitation					
	Wo HIU*	Small probe (6,000 rpm)	Small probe (24,000 rpm)	Big probe (6,000 rpm)*	Big probe (24,000 rpm)
<i>SFC</i> _{max} (%)	0.2 \pm 0.0	3.8 \pm 0.4 ^{c,d}	6.3 \pm 0.7 ^a	4.1 \pm 1.4	5.7 \pm 0.2 ^{a,b}
μ (% <i>min</i> ⁻¹)	0.01 \pm 0.0	0.10 \pm 0.01 ^d	0.15 \pm 0.01 ^{b,c}	0.09 \pm 0.01	0.17 \pm 0.01 ^{b,a}
λ (<i>min</i>)	46.5 \pm 3.9	53.6 \pm 1.3 ^b	48.8 \pm 1.4 ^c	56.2 \pm 2.6	44.8 \pm 1.1 ^d
<i>r</i> ²	0.71	0.90	0.90	0.67	0.93

559 *SFC*_{max} (%) = final solid fat content, μ (% *min*⁻¹) = maximal growth rate, λ (*min*) = induction time

560 * ANOVA was performed only for samples that fitted to the Gompertz equation with *R*² above 0.90.

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4 561 **Table 4.** Increase in temperature ($\Delta T = T_f - T_i$) obtained after sonication using 20 and 40
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6 562 kHz frequency (Mean \pm SD). T_f = Final temperature, T_i = Initial temperature,
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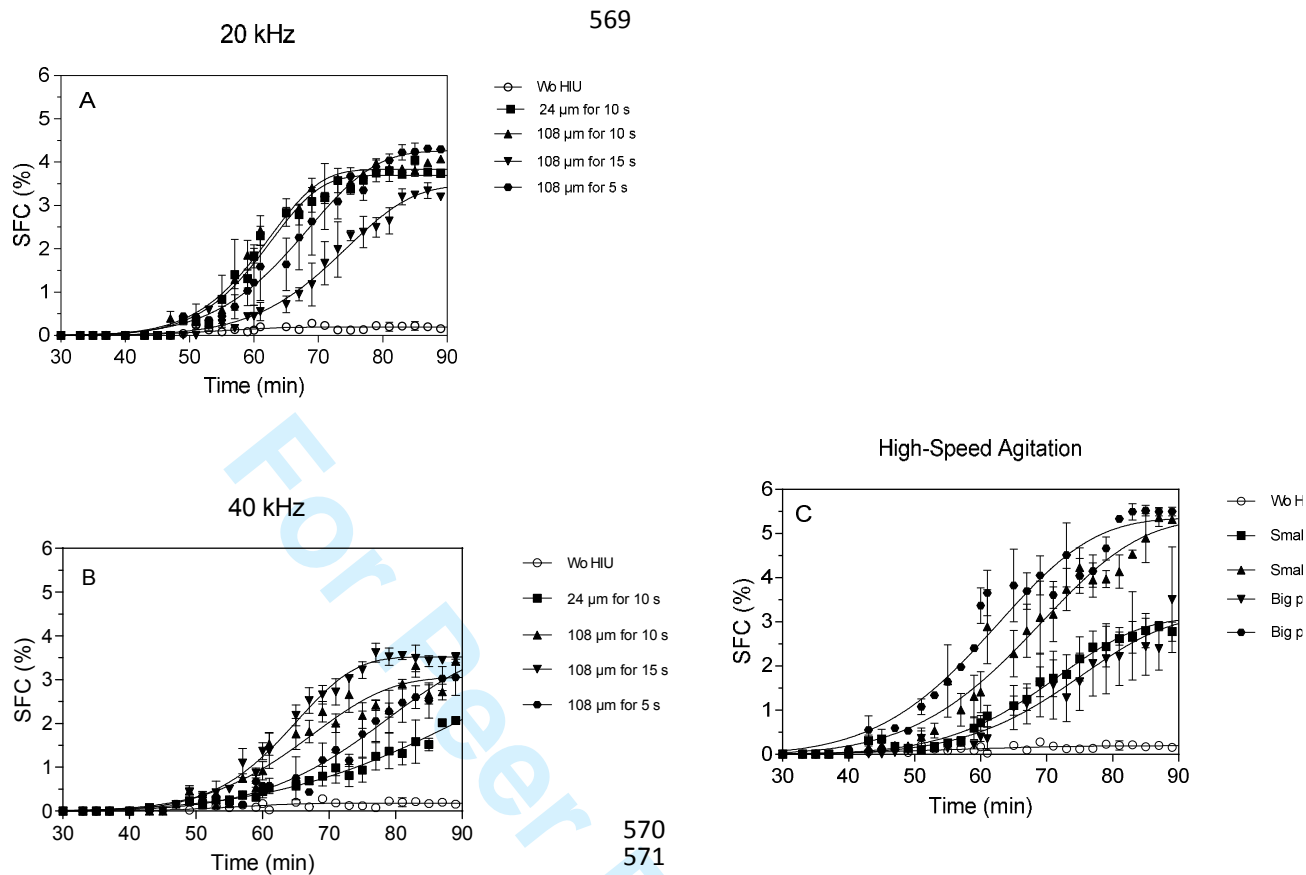
	20 kHz	40 kHz
24 μm for 10 s	1.6 \pm 0.4	0.2 \pm 0.1
108 μm for 5 s	0.7 \pm 0.3	0.5 \pm 0.1
108 μm for 10 s	1.0 \pm 0.2	0.6 \pm 0.0
108 μm for 15 s	5.6 \pm 0.8	2.1 \pm 0.2

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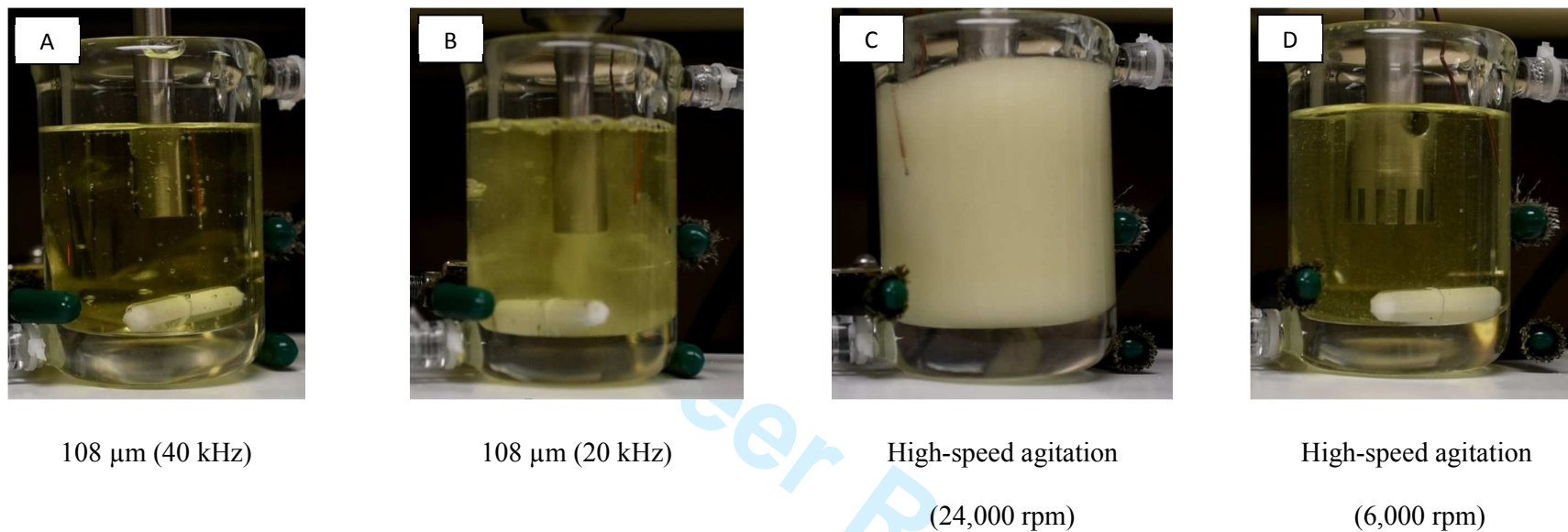
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4 564 **Table 5.** Melting parameters of IESBO crystallized at 44 °C for 90 min without and with
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6 565 HIU and with high-speed agitation (Mean \pm SD). Samples were sonicated using 20 kHz and
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8 566 40 kHz frequency. T_{on} : onset temperature, T_p : peak temperature, and ΔH : melting enthalpy.
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10 567 Data with different superscripts are statistically different ($\alpha=0.05$)
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20 kHz			
	T_{on} (°C)	T_p (°C)	ΔH (J/g)
Wo HIU	47.7 [*]	51.7 \pm 1.2 ^a	6.5 \pm 2.7 ^d
24 μm for 10 s	46.9 \pm 1.4	49.7 \pm 0.5 ^a	11.3 \pm 0.6 ^{a,b,c}
108 μm for 5 s	46.4 \pm 0.1	50.1 \pm 0.5 ^a	11.7 \pm 1.6 ^{a,b}
108 μm for 10 s	45.4 \pm 0.4	49.8 \pm 0.1 ^a	10.5 \pm 1.1 ^{a,b,c}
108 μm for 15 s	46.8 \pm 1.2	49.8 \pm 0.9 ^a	8.5 \pm 0.6 ^{b,c,d}
40 kHz			
Wo HIU	47.7 [*]	51.7 \pm 1.2 ^a	6.5 \pm 2.7 ^d
24 μm for 10 s	48.1 \pm 0.0	51.7 \pm 0.7 ^a	5.5 \pm 1.7 ^d
108 μm for 5 s	46.8 \pm 0.7	51.2 \pm 1.6 ^a	6.5 \pm 2.2 ^d
108 μm for 10 s	46.7 \pm 1.0	52.6 \pm 3.2 ^a	8.4 \pm 0.9 ^{b,c,d}
108 μm for 15 s	47.8 \pm 1.2	51.4 \pm 2.7 ^a	7.3 \pm 1.9 ^{c,d}
HIGH-SPEED AGITATION			
Wo HIU	47.7 [*]	51.7 \pm 1.2 ^a	6.5 \pm 2.7 ^d
Small Probe (24000 rpm)	45.9 \pm 0.5	49.2 \pm 0.5 ^a	10.6 \pm 1.4 ^{a,b,c,d}
Small Probe (6000 rpm)	47.6 \pm 0.4	51.0 \pm 0.8 ^a	6.3 \pm 1.1 ^d
Big Probe (24000 rpm)	46.2 \pm 0.4	51.6 \pm 2.4 ^a	12.8 \pm 3.6 ^a
Big Probe (6000 rpm)	46.2 \pm 0.6	49.3 \pm 0.6 ^a	9.5 \pm 1.6 ^{a,b,c,d}

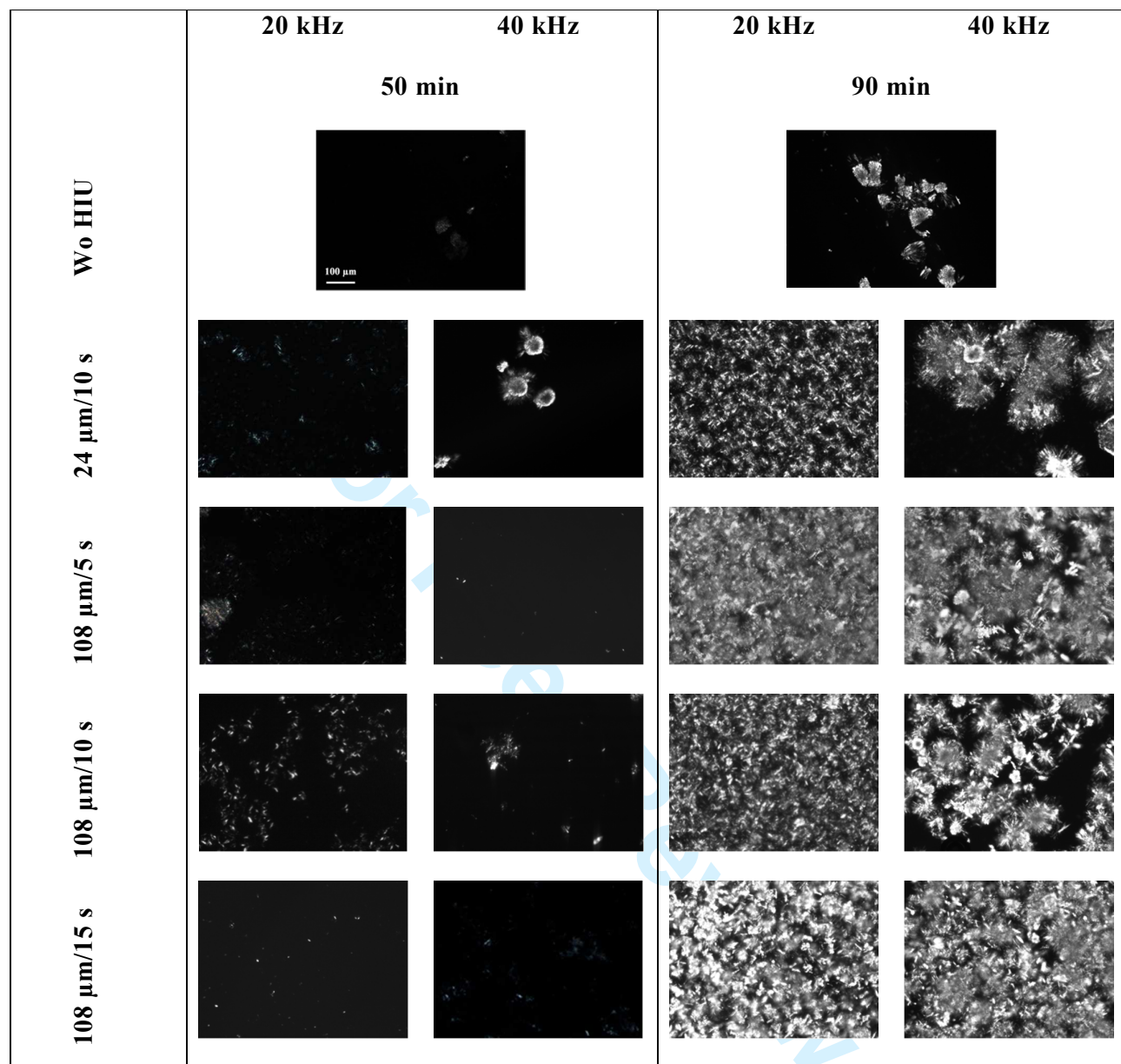
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57 568 ^{*}This value refers to a single measure, so the error was not established.
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572 **Figure 1.** SFC of IESBO during 90 min of crystallization at 44 °C (control, open circles).
 573 Samples were crystallized with HIU (solid symbols) operating at 20 kHz (A), 40 kHz (B) and
 574 with high speed agitation (C).

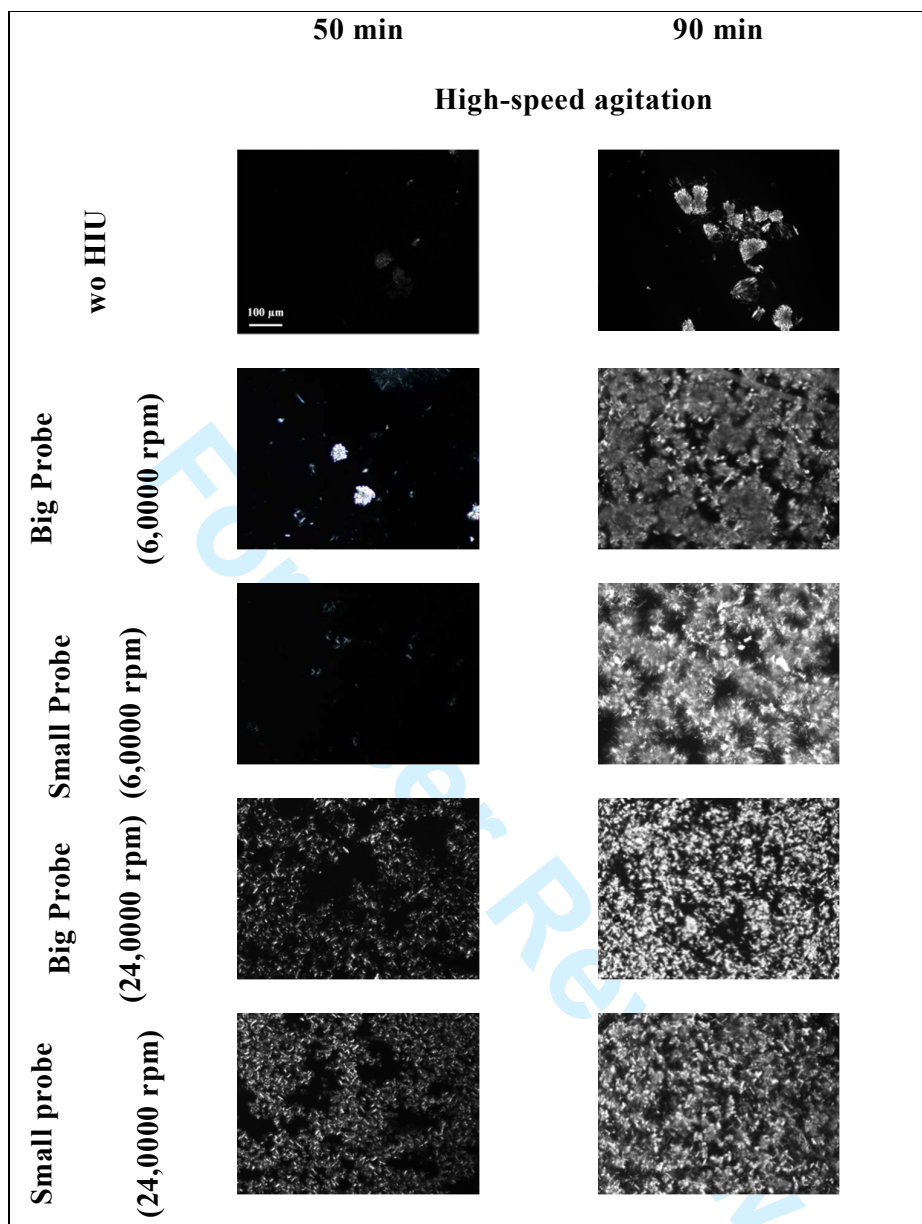


575 **Figure 2.** Images of IESBO crystallized at 44 °C. These images were captured during the treatments of sonication at 40 kHz (A), 20 kHz (B) at
576 amplitude 108 μm and high-speed agitation at 24,000 rpm (C) and 6,000 rpm (D) – videos are submitted as supplementary material.



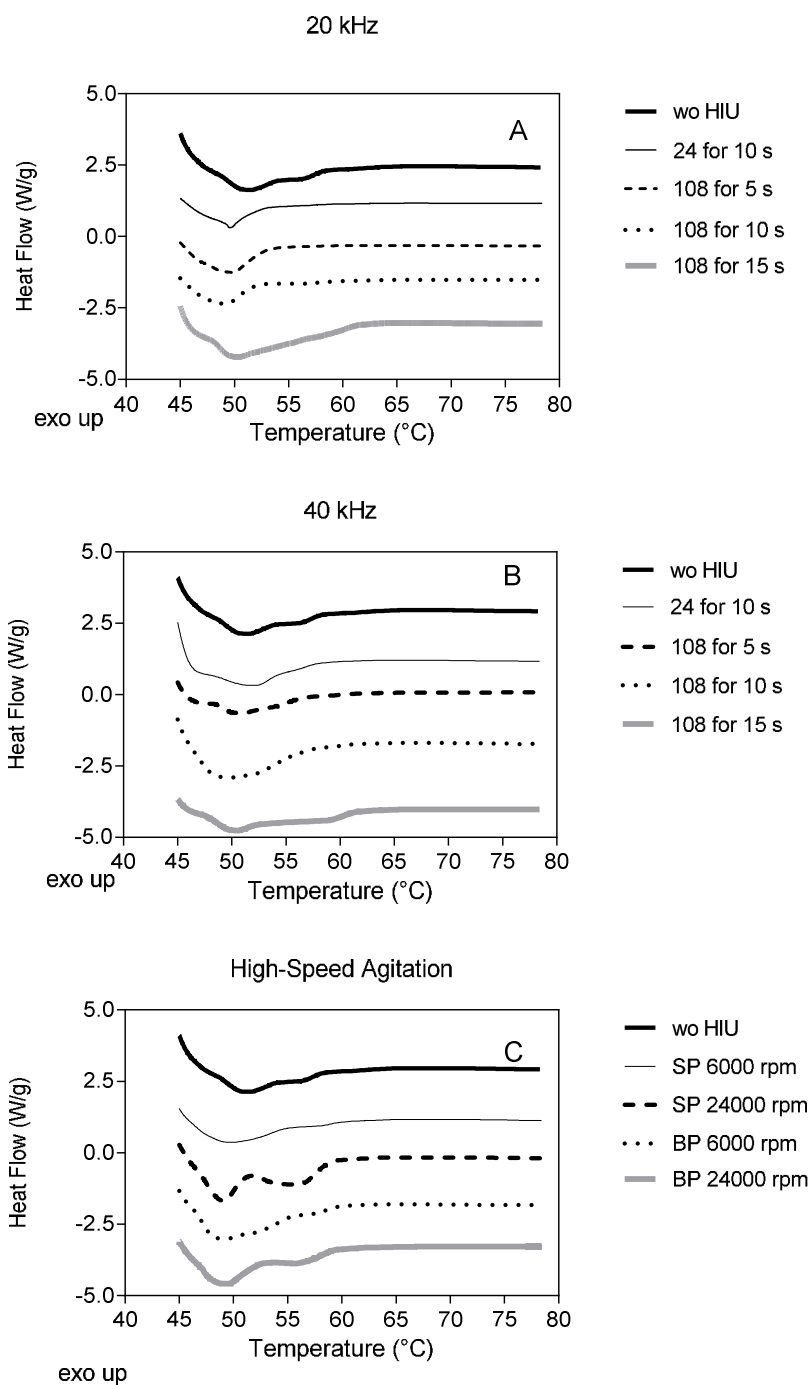
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578 **Figure 3.** Polarized-light microscopy (PLM) images of IESBO crystallized at 44 °C without
 579 and with high-intensity ultrasound pulse using 20 and 40 kHz frequency. White bar in the
 580 first picture represents 100 μm.



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582 **Figure 4.** Polarized-light microscopy (PLM) images of IESBO crystallized at 44 °C without
583 and with high-speed agitation at 6,000 and 24,000 rpm. White bar in the first picture
584 represents 100 μm .



599 **Figure 5.** DSC melting profiles of IESBO crystallized for 90 min without and with HIU
600 using 20 kHz (Figure 5A) and 40 kHz (Figure 5B) of frequency. Melting profiles of samples
601 crystallized using high-speed Agitation are shown in Figure 5C.

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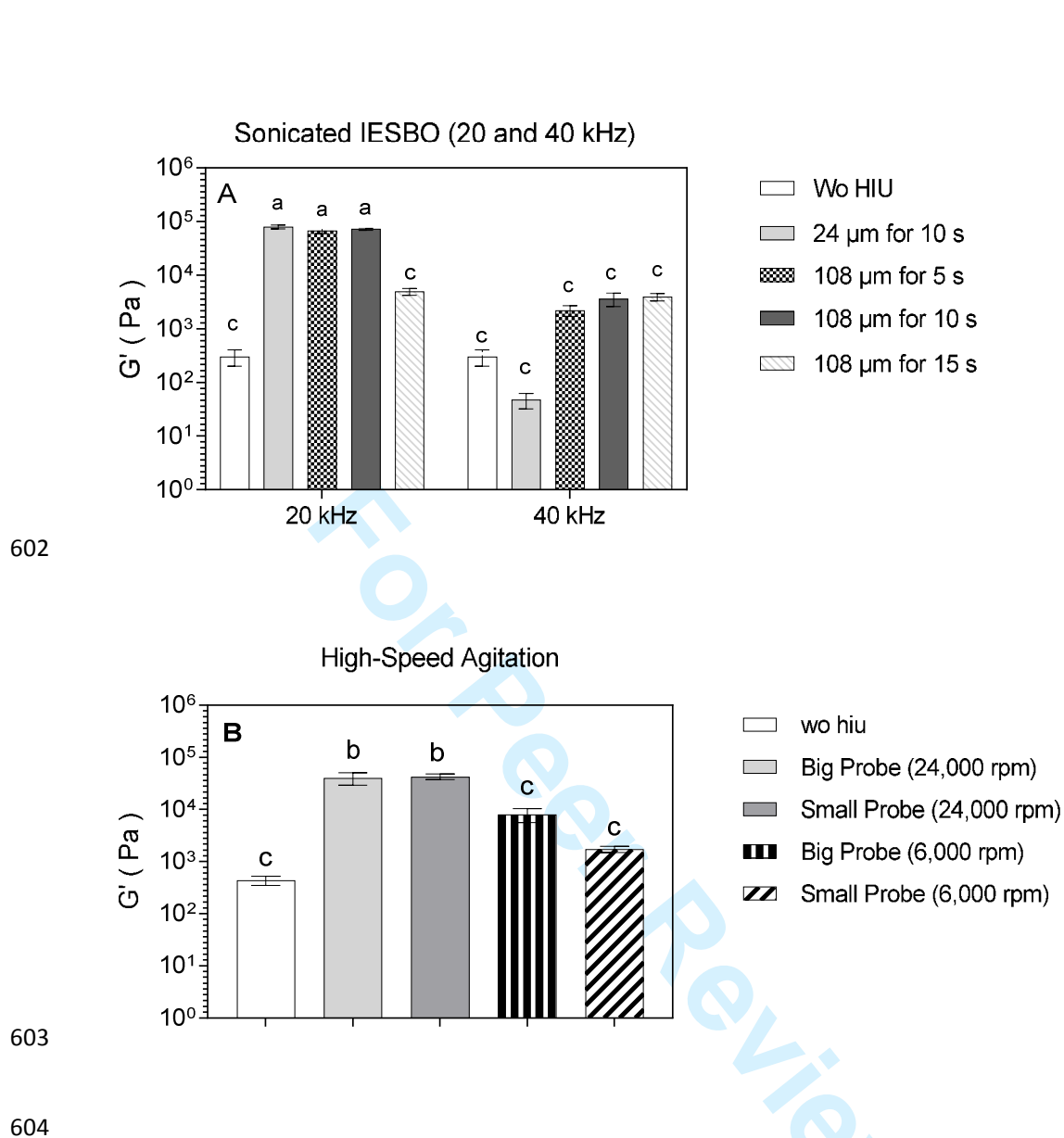


Figure 6. Elastic modulus (G') of IESBO crystallized for 90 min without and with HIU using 20 and 40 kHz frequency (Figure 6A) and high-speed agitation (Figure 6B) (Mean \pm SEM). Data with different letters are statistically different ($\alpha = 0.05$)