

MESOSCALE POROELASTICITY OF HETEROGENEOUS MEDIA

Siavash Monfared¹

Hadrien Laubie²

Farhang Radjai³

Roland Pellenq⁴

Franz-Josef Ulm⁵

ABSTRACT

Poromechanics of heterogeneous media is reformulated in a discrete framework using Lattice Element Method (LEM) that accounts for the presence of interfaces as well as local microtextural and elastic variations. The exchange of mechanical information between pore and solid(s) is captured by means of force field potentials for these domains, which eliminate the requirement of scale separability of continuum-based poromechanics approaches. In congruence with μVT and NPT ensembles of statistical mechanics, discrete expressions for Biot poroelastic coefficients are

¹Department of Civil and Environmental Engineering, Massachusetts Institute of Technology, 77 Massachusetts Avenue, Cambridge, MA 02139, USA. Email: monfared@mit.edu.

²Department of Civil and Environmental Engineering, Massachusetts Institute of Technology, 77 Massachusetts Avenue, Cambridge, MA 02139, USA. Email: hlaubie@mit.edu.

³MultiScale Material Science for Energy and Environment UMI 3466 CNRS-MIT, MIT Energy Initiative, Massachusetts Institute of Technology, Cambridge, MA 02139, USA. Email: fradjai@mit.edu.

⁴MultiScale Material Science for Energy and Environment UMI 3466 CNRS-MIT, MIT Energy Initiative, Massachusetts Institute of Technology, Cambridge, MA 02139, USA. Email: pellenq@mit.edu.

⁵Department of Civil and Environmental Engineering, Massachusetts Institute of Technology, 77 Massachusetts Avenue, Cambridge, MA 02139, USA. Email: ulm@mit.edu.

14 derived. Considering harmonic-type interaction potentials for each link, analytical expressions for
 15 both isotropic and transversely isotropic effective elasticity are presented. The theory is validated
 16 against continuum based expressions of Biot poroelastic coefficients for porous media with isotropic
 17 and transversely isotropic elastic solid behavior.

18 INTRODUCTION

19 Poromechanics is dedicated to modeling and prediction of how porous materials deform in
 20 response to various external loadings. These loadings range from fluid-solid interactions by a
 21 variety of pressures at the liquid-solid interface to complex physical chemistry phenomena at the
 22 pore scale that produce a mechanical deformation (incl. fracture) of the solid. The classical
 23 backbone of poromechanics is based on continuum theories, ever since Maurice A. Biot defined
 24 the kinematics of deformation of the skeleton within the classical continuum mechanics framework
 25 as the reference for the description of flow of liquid phase through the pore space (Biot 1941), with
 26 the state equations for stress, Σ , and porosity change, $\phi - \phi_0$, given in the linear poroelastic case by:

$$27 \quad \Sigma = \frac{1}{V} \frac{\partial E_{pot}}{\partial \mathbf{E}} = \mathbb{C} : \mathbf{E} - \mathbf{b}p \quad (1)$$

$$28 \quad \phi - \phi_0 = -\frac{1}{V} \frac{\partial E_{pot}}{\partial p} = \mathbf{b} : \mathbf{E} + \frac{p}{N} \quad (2)$$

29 where E_{pot} is the potential energy of the solid phase of the solid-pore composite of volume V ,
 30 subjected to an average strain $\mathbf{E} = \langle \epsilon \rangle_V$ at the boundary ∂V , and a pressure p at the solid-
 31 pore interface. \mathbb{C} is the fourth-order elastic stiffness tensor, \mathbf{b} is the second-order tensor of Biot
 32 pore pressure coefficients, and N denotes the solid Biot modulus. This continuum framework also
 33 provided the backbone for the development of the close-to-equilibrium thermodynamics framework
 34 of irreversible deformation of porous media pioneered by Coussy (Coussy 1995), and its extension
 35 to a large range of phase change and adsorption phenomena (Coussy 2010). In the same vein,
 36 microporomechanics theories can be viewed as refined extensions of the continuum framework to
 37 the microscale, in that they adapt continuum micromechanics theory (Suquet 1987; Zaoui 2002)
 38 to the specific nature of porous materials viewed as solid-pore composite materials (Dormieux

39 et al. 2002; Dormieux et al. 2006). While the continuum poromechanics theory has entered
40 and transformed many engineering fields ranging from Civil- and Environmental Engineering
41 and geophysics applications to biomechanics and the food industry (see for e.g. (Hellmich et al.
42 2013)), the intrinsic limitations of the theory relate to the very foundations of the continuum model,
43 including scale separability and its impact on the relevance of the differential operators defining
44 the momentum balance and displacement–strain operators. This is a serious limitation of the
45 theory in its applicability to highly heterogeneous materials. For instance, such a continuum theory
46 will fail for microstructure resolutions achieved by micro and nano Computed Tomography (CT)
47 imaging techniques of highly heterogeneous materials, in which the characteristic length scale of the
48 heterogeneity is of a similar scale as the sample size, or for multiscale heterogeneous materials for
49 which a single representative elementary volume (rev) cannot be defined. It is for such systems that
50 a discrete form of poromechanics theory is proposed, in which physical interactions replace volume
51 descriptors. This approach is much akin to molecular representations of material systems with
52 interaction forces between mass points derived from potentials that define the out-of-equilibrium
53 state of the system w.r.t. a relaxed equilibrium configuration.

54 Herein, the elements of such a discrete poromechanics approach are developed using statistical
55 mechanics ensemble definitions within the context of the Lattice Element Method (Topin et al.
56 2007; Affes et al. 2012) using the framework of effective potentials (Laubie et al. 2017b). By way
57 of validation, some pore-solid morphologies are revisited to determine poroelastic constants within
58 and beyond the classical continuum limits of scale separability.

59 **LATTICE ELEMENT METHOD APPLIED TO PORE-SOLID COMPOSITES**

60 Consider a porous material composed of a solid (volume V_s) and pore space (volume V_p).
61 Following the Lattice Element Method (Topin et al. 2007; Affes et al. 2012; Laubie et al. 2017b),
62 the two domains are discretized into a number of unit cells (or voxels), the center of which defines
63 a mass point that interacts with a fixed number of neighboring mass points forming a regular or
64 irregular lattice structure. The interaction forces and moments between two mass points i and j
65 derive from an effective potential U_{ij} as a function of the translational, $\vec{\delta}_i = \vec{x}_i - \vec{X}_i$, and rotational,

66 $\vec{\vartheta}_i$, degrees of freedom, where \vec{X}_i and \vec{x}_i denote the position vectors of mass point i in the reference
 67 and the deformed configurations, respectively (for a detailed derivation, see (Laubie et al. 2017b)):

$$68 \quad \vec{F}_i^j = -\frac{\partial U_{ij}}{\partial \vec{\delta}_i}; \quad \vec{F}_i^j + \vec{F}_j^i = \vec{0} \quad (3)$$

$$69 \quad \vec{M}_i^j = -\frac{\partial U_{ij}}{\partial \vec{\vartheta}_i}; \quad \vec{M}_i^j + \vec{M}_j^i + \vec{r}_{ij} \times \vec{F}_j^i = \vec{0} \quad (4)$$

70 where $\vec{r}_{ij} = l_{ij}^0 \vec{e}_n^{ij}$ is the vector connecting node i to node j of rest-length l_{ij}^0 and oriented by the unit
 71 vector \vec{e}_n^{ij} in a local orthonormal basis $(\vec{e}_n, \vec{e}_b, \vec{e}_t)$. For such discrete system, the stresses are modeled
 72 using the Virial expression (Christoffersen et al. 1981) $\sigma = \rho_c \langle \vec{r} \otimes \vec{F} \rangle$, where ρ_c represents the
 73 number of interaction bonds per unit volume, $\langle \cdot \rangle$ denotes the first moment of $\vec{r} \otimes \vec{F}$ distribution
 74 over interaction bonds; while neglecting the momentum term. In LEM for mass point i , this Virial
 75 expression can be written as:

$$76 \quad \sigma_i = \frac{1}{V_i} \sum_{j=1}^{N_i^b} \vec{r}_{ij} \otimes \vec{F}_i^j \quad (5)$$

77 with V_i denoting the volume of the unit cell, and N_i^b representing the number of node i 's neighboring
 78 mass points. The Virial expression provides a truly discrete description of the system as opposed to
 79 the continuum-based stress definition employed in classical finite-element based approaches. The
 80 stress in volume V composed of a total of N_t unit cells is simply the volume average of the local
 81 stresses; that is:

$$82 \quad \sigma = \frac{1}{2V} \sum_{i=1}^{N_t} V_i \sigma_i \quad (6)$$

83 What thus differs between different material domains is the interaction potential from which forces
 84 and moments are derived.

85 **Effective Solid Potentials**

86 The effective potential employed here for the solid phase(s) considers both two-body and three-
 87 body interactions between two mass points i and j , in the form:

$$88 \quad U_{ij} = U_{ij}^s + U_{ij}^b \quad \forall i \in V_s \quad (7)$$

where $U_{ij}^s = U_{ij}^s \left((\vec{x}_j - \vec{x}_i) \cdot \vec{e}_n = \delta_j^n - \delta_i^n \right)$ stands for any suitable pairwise potential representative of the solid. For linear poroelastic systems this necessarily implies a harmonic expression for this pairwise potential:

$$U_{ij}^s = \frac{1}{2} \epsilon_{ij}^n \left(\frac{\delta_j^n - \delta_i^n}{l_{ij}^0} \right)^2 \quad (8)$$

with ϵ_{ij}^n denoting the axial energy parameter. Similarly, the three-body and rotational interactions read in the harmonic case (Laubie et al. 2017b):

$$\begin{aligned} U_{ij}^b = & \frac{1}{2} \epsilon_{ij}^t \left\{ \left(\frac{\delta_j^b - \delta_i^b}{l_{ij}^0} - \vartheta_i^t \right)^2 + \left(\frac{\delta_j^t - \delta_i^t}{l_{ij}^0} + \vartheta_i^b \right)^2 \right. \\ & + \left(\frac{\delta_j^b - \delta_i^b}{l_{ij}^0} - \vartheta_i^t \right) (\vartheta_i^t - \vartheta_j^t) + \left(\frac{\delta_j^t - \delta_i^t}{l_{ij}^0} + \vartheta_i^b \right) (\vartheta_j^b - \vartheta_i^b) \\ & \left. + \frac{1}{3} \left((\vartheta_j^b - \vartheta_i^b)^2 + (\vartheta_i^t - \vartheta_j^t)^2 \right) \right\} \quad (9) \end{aligned}$$

where ϵ_{ij}^t is the transverse energy parameter. With Eq. (7) at hand, the forces and moments read:

$$\left\{ \begin{aligned} \vec{F}_i^j &= -\frac{\partial U_{ij}}{\partial \vec{\delta}_i} = \underbrace{\frac{\epsilon_{ij}^n}{l_{ij}^0} \left(\frac{\delta_j^n - \delta_i^n}{l_{ij}^0} \right)}_{F_i^{j,n}} \vec{e}_n + \underbrace{\frac{\epsilon_{ij}^t}{l_{ij}^0} \left(\frac{\delta_j^b - \delta_i^b}{l_{ij}^0} - \frac{1}{2} (\vartheta_j^t + \vartheta_i^t) \right)}_{F_i^{j,b}} \vec{e}_b + \underbrace{\frac{\epsilon_{ij}^t}{l_{ij}^0} \left(\frac{\delta_j^t - \delta_i^t}{l_{ij}^0} + \frac{1}{2} (\vartheta_j^b + \vartheta_i^b) \right)}_{F_i^{j,t}} \vec{e}_t \\ \vec{M}_i^j &= -\frac{\partial U_{ij}}{\partial \vec{\vartheta}_i} = \underbrace{-\frac{\epsilon_{ij}^t}{2} \left(\frac{\delta_j^t - \delta_i^t}{l_{ij}^0} + \frac{1}{3} (\vartheta_j^b + 2\vartheta_i^b) \right)}_{M_i^{j,b}} \vec{e}_b + \underbrace{\frac{\epsilon_{ij}^t}{2} \left(\frac{\delta_j^b - \delta_i^b}{l_{ij}^0} - \frac{1}{3} (\vartheta_j^t + 2\vartheta_i^t) \right)}_{M_i^{j,t}} \vec{e}_t \end{aligned} \right. \quad (10)$$

It should be noted that the defined harmonic potentials are merely Taylor expansions of non-harmonic potentials around the equilibrium state of the system in LEM (Laubie et al. 2017b). Thus, the linear poroelastic formulation herein presented could be extended to non-linear poroelastic systems when considering non-harmonic potentials without much loss of generality. Additionally, one can calibrate the energy parameters to reproduce an effective elastic behavior based on the lattice and the network chosen. This point will be developed further in the Application section for

106 two different elastic symmetries.

107 **Effective Pore-Pressure Force Field Potential**

108 The simplest case to consider the deformation behavior of the solid phase due to a pressure in
 109 the pore space is the saturated drained situation, in which the fluid in the pore domain is assumed
 110 to communicate with an outside reservoir maintained at a constant pressure p , so that in the relaxed
 111 state, the same pressure will prevail in the pore domain. Such a hydrostatic drained stress state,
 112 $\boldsymbol{\sigma} = -p\mathbf{1}$, necessarily implies that only central-forces are active on each mass point in the pore
 113 domain, $\vec{F}_i^j = F_i^{j,n}\vec{e}_n$ so that the Virial stress expression for the entire pore domain of volume V_p
 114 and N_p voxels becomes:

$$115 \quad \boldsymbol{\sigma} = -p\mathbf{1} = \frac{n_\ell^p}{2V_p} \langle r_{ij} F_i^{j,n} \vec{e}_n \otimes \vec{e}_n \rangle = \frac{1}{2V_p} \sum_{i=1}^{N_p} \sum_{j=1}^{N_i^b} r_{ij} F_i^{j,n} \vec{e}_n^{ij} \otimes \vec{e}_n^{ij} \quad (11)$$

116 where n_ℓ^p denotes number of links in the pore domain. In a zeroth-order description of the
 117 microtexture, $F_i^{j,n}$ and r_{ij} are considered to be independently distributed and thus not correlated
 118 (Radjai et al. 1998; Radjai et al. 2009; Azema and Radjai 2014) which allows Eq. (11) to be
 119 expressed as $\boldsymbol{\sigma} = \frac{n_\ell^p}{2V_p} \langle r F^n \rangle \langle \vec{e}_n \otimes \vec{e}_n \rangle$, which leads to the equality of traces:

$$120 \quad 3p = \frac{n_\ell^p}{2V_p} \langle r F^n \rangle \quad (12)$$

121 Now, by way of analogy with logarithmic equations of state for bulk fluids (Poirier and Tarantola
 122 1998), consider a logarithmic potential, $U(r_{ij}) = \omega \ln(l_{ij}^0/r_{ij})$, and hence $F_i^{j,n} = -\partial U/\partial r_{ij} =$
 123 ω/r_{ij} , where $\omega = \langle r F^n \rangle$ (of dimension of work) can be viewed as a fluid characteristic and should
 124 be constant. $\langle r F^n \rangle$ can be made independent of r_{ij} (which is dependent on the orientation \vec{e}_n of the
 125 bonds) by simply setting:

$$126 \quad F_i^{j,n} = -\frac{6p V_p}{r_{ij} n_\ell^p} \quad (13)$$

127 This relation ensures that the mean pressure is p and the equality (12) is satisfied. This paves the
 128 way for imposing a pressure inside a domain discretized by a regular lattice:

$$129 \quad p = -\frac{\omega n_\ell^p}{6V_p} \quad (14)$$

130 Equation (13) defines the interaction between pore and solid mass points in the form of externally
 131 supplied work. This perturbation of the system's equilibrium is resolved through the theory of
 132 minimum potential energy as a new equilibrium position is sought through energy minimization
 133 (see (Laubie et al. 2017b)). Lastly, it is readily recognized that $\langle \vec{e}_n \otimes \vec{e}_n \rangle$ is the fabric tensor, \mathbf{H}^p ,
 134 characterizing the morphology of the pore space. It can be expanded in the following way:

$$135 \quad \mathbf{H}^p = \frac{1}{n_\ell^p} \sum_{i=1}^{N_p} \sum_{j=1}^{N_i^b} \vec{e}_n \otimes \vec{e}_n \quad (15)$$

136 For Eq. (11) to hold, the fabric tensor, Eq. (15), should be diagonal, $\mathbf{H}^p = \frac{1}{3} \text{tr}(\mathbf{H}^p) \mathbf{1}$ with no
 137 deviatoric components, i.e. $\text{dev}(\mathbf{H}^p) = \mathbf{H}^p - 1/3 \text{tr}(\mathbf{H}^p) \mathbf{1} = \mathbf{0}$, which holds true for any regular
 138 lattice. Furthermore, $\text{tr}(\mathbf{H}^p)=1$ by construction. Note that, if the underlying lattice is not regular
 139 and hence not diagonal, then the values of $F_i^{j;n}$ would have been dependent not only on the average
 140 pressure to be imposed, but also the orientations of the bonds.

141 **POROELASTIC PROPERTIES AND ENSEMBLE DEFINITIONS**

142 The poroelastic properties of materials form much of the backbone of application of the porome-
 143 chanics theory. This includes the elasticity tensor, \mathbb{C} , the tensor of Biot coefficients, \mathbf{b} , and the
 144 solid Biot modulus N . From the composite structure of porous materials, it is readily understood
 145 that these macroscopic properties call for averages. Such averages are best defined, in statistical
 146 mechanics, within the context of specific statistical ensembles which –at least theoretically– include
 147 every possible microscopic state of the system. The advantage of using statistical ensembles for the
 148 determination of the poroelastic properties is that each ensemble is associated with a characteristic
 149 state function or thermodynamic potential that uniquely define –upon minimization– the equilib-

150 rium state of the system in function of a few observable parameters; much akin to the classical
 151 minima theorems of elasticity employed in continuum mechanics, e.g. for the derivation of the
 152 state equations of poroelasticity (1) and (2) (Dormieux et al. 2002; Dormieux et al. 2006). It is thus
 153 shown that making the link between statistical ensembles and such boundary conditions is quite
 154 helpful for the determination of the poroelastic constants from discrete simulations.

155 **Drained Elasticity Properties in the NVT -Ensemble**

156 The first quantity of interest is the drained elasticity tensor, which is obtained by letting
 157 $p \sim \omega = 0$. In this drained situation, a regular displacement boundary condition is prescribed at
 158 the boundary (∂V) of the simulation box:

$$159 \quad \vec{\xi} = \mathbf{E} \cdot \vec{x} \quad \forall \vec{x} \in \partial V \quad (16)$$

160 where \mathbf{E} refers to macroscopic strain tensor. Such a mechanical boundary condition is akin
 161 to an NVT -ensemble (or canonical ensemble) at the *composite* (solid + pore) scale, in that the
 162 total number of particles N_t is constant, the volume (or more generally, the displacement) of
 163 the system (V) is controlled via the boundary condition (16), and temperature (T) is maintained
 164 constant. The thermodynamic potential that defines such an ensemble is the Helmholtz free energy
 165 Ψ of the composite system, which realizes a minimum value at equilibrium ($r \rightarrow r_0$). Given the
 166 mechanical boundary value problem ($\mathbf{E}, p = 0$), the minimum of the Helmholtz free energy is strictly
 167 equivalent to the minimum of the potential energy of the solid phase subjected at its boundary to the
 168 (displacement) boundary condition, Eq. (16), and a zero pressure in the pore space; and coincides
 169 with the free energy of the solid phase(s):

$$170 \quad E_{pot}^s(\mathbf{E}) = \Psi(N_t, V, T) = \min_{\vec{\delta}_i, \vec{\vartheta}_i} \sum_{\substack{\text{links} \\ ij \in V_0^s}} U_{ij} \left(\vec{\delta}_j - \vec{\delta}_i + \vec{r}_{ij} \times \vec{\vartheta}_i; \vec{\vartheta}_j - \vec{\vartheta}_i \right) \quad (17)$$

171 The fourth-order stiffness tensor is then obtained by considering the curvature of the potential
 172 energy of the system around the relaxed state ($r \rightarrow r_0$):

$$173 \quad \mathbb{C} = \frac{1}{V} \frac{\partial}{\partial \mathbf{E}} \left(\frac{\partial E_{pot}^s}{\partial \mathbf{E}} \right) \Big|_{\omega=0; r \rightarrow r_0} \quad (18)$$

174 **Biot Pore Pressure Coefficients in the μVT -Ensemble**

175 The determination of the tensor of the Biot pore pressure coefficients, \mathbf{b} , and the solid's Biot
 176 modulus, N , requires some further considerations. From the first macroscopic state equation,
 177 Eq. (1), it is realized that the tensor of Biot coefficients is obtained from the average stresses
 178 in an experiment where the strain, \mathbf{E} , is zero, while a constant pressure p prevails in the pore
 179 space; exerting this pressure onto the solid–pore interface. Such conditions are akin to the Grand
 180 canonical ensemble or μVT ensemble at the *composite* (solid + pore) scale, in that (1) the porous
 181 system is open at a specified chemical potential μ ; and (2) the overall volume is conserved with
 182 $\mathbf{E} = \mathbf{0}$; and (3) the temperature, T , is maintained constant. In this μVT -ensemble, the characteristic
 183 state function that needs to be minimized is the so called Landau potential (or Grand potential),
 184 $\Omega(\mu, V, T) = \Psi - \mu N_f$, where Ψ is the Helmholtz free energy, μ the chemical potential and N_f
 185 the number of particles (here fluid particles). For the open system, the free energy is the sum of
 186 the free energy of the solid (Ψ_s) and of the fluid phase (Ψ_f) [see (Coussy 1995) for a detailed
 187 derivation of the thermodynamics of the porous continuum as an open system]; and the latter is but
 188 the difference between the potential energy of the fluid at constant pressure (μN_f) and the work
 189 by the fluid in the pore space; i.e. $\Psi_f = \mu N_f - p \left(V^p - V_0^p \right)$ (where V^p and V_0^p stand for the pore
 190 volumes respectively after and before deformation; i.e. $V^p = V\phi$; $V_0^p = V\phi_0$, with ϕ the Lagrangian
 191 porosity). The Landau potential for the composite system thus reduces to the classical expression
 192 of the potential energy of the solid phase for the considered boundary conditions ($\mathbf{E} = \mathbf{0}, p$); that is:

$$193 \quad E_{pot}^s(\mathbf{E} = \mathbf{0}, p) \equiv \Omega(\mu, V, T) = \min_{\delta_i, \bar{\delta}_i} \left(\Psi_s^{(\mu VT)} - pV(\phi - \phi_0) \right) \quad (19)$$

194 where $\Psi_s^{(\mu VT)} = \sum_{links\ ij \in V_0^s} U_{ij} \left(\vec{\delta}_j - \vec{\delta}_i + \vec{r}_{ij} \times \vec{\vartheta}_i; \vec{\vartheta}_j - \vec{\vartheta}_i \right)$ is the Helmholtz free energy of the solid
 195 phase.

196 With the characteristic state function thus defined, the inter-particle forces \vec{F}_i^j in the solid domain
 197 are readily determined, permitting the determination of the stress via the virial expression in the
 198 composite μVT ensemble:

$$199 \quad \Sigma^{(\mu VT)} = \frac{1}{2V} \sum_{i \in V} \sum_{j=1}^{N_i^b} \vec{r}_{ij} \otimes \vec{F}_i^j = - \left(\frac{1}{2V} \sum_{i \in V_s} \sum_{j=1}^{N_i^b} \vec{r}_{ij} \otimes \vec{F}_i^j + \phi_0 p \mathbf{1} \right) \quad (20)$$

200 where the first term on the r.h.s. of Eq. (20) is the contribution of the solid phase with inter-particle
 201 forces $\vec{F}_i^j = \partial \Psi_s^{(\mu VT)} / \partial \vec{r}_{ij}$, whereas the second term represents the contribution of the pressure
 202 prevailing in the (Lagrangian) porosity, $\phi_0 = (V_p/V)$, with pressure p defined by Eq. (14). A
 203 straightforward comparison with the classical equation of state of poroelasticity, Eq. (1), thus leads
 204 to the following definition of the second-order tensor of Biot pore pressure coefficients, \mathbf{b} :

$$205 \quad \mathbf{b} = - \frac{\Sigma^{(\mu VT)}}{p} = \frac{1}{p} \left(\frac{1}{2V} \sum_{i \in V_s} \sum_{j=1}^{N_i^b} \vec{r}_{ij} \otimes \vec{F}_i^j \right) + \phi_0 \mathbf{1} \quad (21)$$

206 Hence, all what it takes to obtain the tensor of Biot coefficient is to determine, in the μVT ensemble,
 207 the inter-particle forces \vec{F}_i^j in the solid domain that result from the pore-pressure loading using the
 208 Landau potential expression (19).

209 **Biot Modulus in the NPT -Ensemble**

210 The classical way of determining the Biot modulus is by means of the so-called unjacketed
 211 test, originally proposed by Biot and Willis (Biot and Willis 1957). The test consists of placing a
 212 sample into a pressure vessel maintained at the same pressure p as the fluid in the pore space. Such
 213 test conditions are akin to the isothermal–isobaric, NPT –ensemble of the *solid* phase (i.e. at the
 214 constituent scale, in contrast to the composite scale), in that (1) the number of solid particles N_s are
 215 maintained constant, (2) the solid is subjected at its (entire) boundary ∂V_s to a pressure p , while
 216 (3) the temperature, T , is maintained constant. The thermodynamic potential that characterizes the

217 *NPT*-ensemble is the Gibbs free energy of the solid phase, $G(N_s, p, T)$, which strictly coincides for
 218 the pressure boundary condition to which the solid is subjected to the solid's potential energy:

$$219 \quad E_{pot}^s \equiv G(N_s, p, T) = \min_{\vec{\delta}_i, \vec{\vartheta}_i} \left(\Psi_s^{(NPT)} - W_p \right) \quad (22)$$

220 where $\Psi_s^{(NPT)}$ is the Helmholtz free energy of the solid phase in the considered ensemble:

$$221 \quad \Psi_s^{(NPT)} = \sum_{links \ ij \in V_0^s} U_{ij} \left(\vec{\delta}_j - \vec{\delta}_i + \vec{r}_{ij} \times \vec{\vartheta}_i; \vec{\vartheta}_j - \vec{\vartheta}_i \right) \quad (23)$$

222 while $W_p = -p(V^s - V_0^s)$ is the external work realized by the prescribed pressure p on the solids
 223 boundary, with $V^s - V_0^s = V_0(E_v - (\phi - \phi_0))$ the volume change of the solid phase; that is:

$$224 \quad W_p = -pV_0(E_v - (\phi - \phi_0)) \quad (24)$$

225 Herein, $E_v = (V - V_0)/V_0 = \mathbf{1} : \mathbf{E}$ is the relative volume variation of the simulation box and
 226 $\phi - \phi_0$ represents the change of the (Lagrangian) porosity, compared to the reference porosity ϕ_0 .
 227 Evaluation of (22) thus requires measurements of the volume strain (E_v) and the porosity change
 228 ($\phi - \phi_0$) in the simulations (as classically done in laboratory tests using theunjacketed test). Around
 229 the equilibrium state, defined by harmonic interactions, such determination can be circumvented,
 230 when evoking Clapeyron's formula which permits a direct determination of the free energy of the
 231 solid, in the *NPT* ensemble, from the external work; i.e., $W_p = 2\Psi_s^{(NPT)}$. This in turn provides a
 232 direct means to assess the porosity change from both Eq. (2) and (24):

$$233 \quad (\phi - \phi_0) = \mathbf{b} : \mathbf{E} + \frac{p}{N} = \mathbf{1} : \mathbf{E} + \frac{2\Psi_s^{(NPT)}}{pV_0} \quad (25)$$

234 Finally, it should be noted that under the considered boundary conditions in the isothermal-isobaric
 235 ensemble (relative to the solid), the effective stress obtained from the Virial expression is zero:

$$236 \quad \Sigma^{(NPT)} + p\mathbf{1} = \frac{1}{2V} \sum_{i \in V_s} \sum_{j=1}^{N_i^b} \vec{r}_{ij} \otimes \vec{F}_i^j + (1 - \phi_0) p\mathbf{1} = \mathbf{0} \quad (26)$$

237 where the interaction forces, \vec{F}_i^j , are obtained by minimizing the potential energy in this isothermal-
 238 isobaric ensemble (i.e., Eq. (22)). Expanding Eq. (25 with strain tensor $\mathbf{E} = -\mathbb{S} : (\mathbf{1} - \mathbf{b})p$ and
 239 $\mathbb{S} = \mathbb{C}^{-1}$, the drained compliance tensor of the composite as predicted by Eq. (1) for $\Sigma^{(NPT)} + p\mathbf{1} = \mathbf{0}$,
 240 leads to the solid Biot modulus:

$$241 \quad \frac{1}{\mathbf{N}} = \frac{2\Psi_s^{(NPT)}}{p^2 V_0} - (\mathbf{1} - \mathbf{b}) : \mathbb{S} : (\mathbf{1} - \mathbf{b}) \quad (27)$$

242 It should be emphasized that this determination of the Biot modulus is strictly valid only when
 243 the behavior of the solid phase is defined by harmonic potentials, for which Clapeyron's formula
 244 applies. This still holds for non-harmonic potentials around the equilibrium state, $r \rightarrow r_0$, for which
 245 most non-harmonic potential expressions (e.g. Lennard-Jones) degenerate to harmonic expressions.
 246 The Biot modulus is thus confirmed as a measure of the solid's elasticity around the equilibrium
 247 state, much akin to the drained elasticity tensor, as defined by Eq. (18).

248 APPLICATION

249 By way of application, the proposed discrete model of poroelasticity is implemented for Simple
 250 Cubic (SC) lattice systems. The LEM-approach here employed follows the approach developed
 251 by Laubie et al. (Laubie et al. 2017b) for solids, where specific details about calibration and
 252 numerical implementation of the method can be found. In short, for all the cases considered herein,
 253 a cubic simulation box of side length $L = a_0(n - 1)$ composed of $(n - 1)^3$ unit cells of size a_0 are
 254 employed, where n stands for the number of mass points in any given direction. The mass points
 255 form a regular lattice with their interactions encapsulated by a network of links that connects a mass
 256 point to its 26 neighboring mass points. Thus, mechanical information are propagated through this

257 lattice network in 13 directions. This forms the so-called D3Q26 lattice structure consisting of 6
 258 box-links of rest-length $l^0 = a_0$, 8 cross-diagonal links of length $\sqrt{3}a_0$, and 12 in-plane-diagonal
 259 links of length $\sqrt{2}a_0$ (see Fig. 1).

260 Solid Potential Parameter Calibration

261 With a focus on linear poroelasticity, the interactions between mass points of the solid phase(s)
 262 (volume V_s) are defined by harmonic potentials, requiring the calibration of the energy parameters
 263 $\epsilon_{ij}^{(n,t)}$ for mass points i belonging to a specific solid phase and link $j = 1, 26$, with the understanding
 264 that links in same directions have same energy parameters. These energy parameters define the
 265 curvature of the potential energy around the equilibrium state, in the sense of expressions (17) and
 266 (18) for a pure solid phase subjected at its boundary to the regular displacement condition (16).
 267 It is thus readily understood that the $2 \times 13 = 26$ energy parameters, $\epsilon_{ij}^{(n,t)}$, need to be calibrated
 268 with respect to the elasticity of the solid, expressed by stiffness tensor \mathbb{C}^s . However, the choice of
 269 lattice/network used imposes some constraints on the range of elastic behavior that can be captured.
 270 This is consistent with the current understanding of the link between texture (here lattice structure)
 271 and deformation behavior of materials (Greaves et al. 2011). Specifically, in the isotropic case, it
 272 has been shown that the D3Q26 lattice structure in LEM, with non-negative normal and tangential
 273 energy parameters $\epsilon_{ij}^{(n,t)} \geq 0$, is able to capture the following range of solid Poisson's ratios (Laubie
 274 et al. 2017b):

$$275 \quad -1 \leq \nu^s = \frac{C_{13}^s}{(C_{11}^s + C_{13}^s)} \leq 1/4 \quad (28)$$

276 where the Voigt notations for stiffness constants is employed; i.e. $C_{11}^s = C_{1111}^s$, $C_{13}^s = C_{1133}^s$. The
 277 upper bound in (28) is the limit on Poisson's ratios for the central-force lattice, when three-body
 278 interactions are neglected ($\epsilon_{ij}^t = 0$). For $\nu > 1/4$, one needs to consider a different combination
 279 of lattice/network (see e.g. (Norris 2014)). Given isotropic symmetry, a maximum of 6 non-zero
 280 energy parameters can be used to calibrate the isotropic elastic behavior. For $0 \leq \nu^s \leq 1/4$,
 281 among possible calibrations, only three non-zero energy parameters are required ($\epsilon_1^n, \epsilon_1^t$) for the
 282 6 box-links of rest-length $l^0 = a_0$, and ϵ_4^n for the 12 in-plane-diagonal links of length $\sqrt{2}a_0$ (for

283 numbering of the links, see Fig. 1). Considering a discretization by n mass points of unit cell size
 284 a_0 , the following explicit parameterization of these energy parameters in function of the isotropic
 285 plane-strain modulus, $M^s = C_{11}^s - (C_{13}^s)^2 / C_{11}^s = E^s / (1 - (\nu^s)^2)$ (with $E^s =$ Young's modulus)
 286 and the Poisson's ratio $\nu^s \in [0, 1/4]$ is obtained:

$$287 \left\{ \begin{array}{l} \frac{\epsilon_1^n}{M^s a_0^3} = \frac{(n-1)^2 (1-3\nu^s)(1-\nu^s)}{n^2 (1-2\nu^s)} \\ \frac{\epsilon_4^n}{M^s a_0^3} = \frac{(n-1) \nu^s (1-\nu^s)}{n (1-2\nu^s)} \\ \frac{\epsilon_1^t}{M^s a_0^3} = \frac{(n-1)^2 (1-4\nu^s)(1-\nu^s)}{n^2 (1-2\nu^s)} \end{array} \right. \quad (29)$$

288 From this parametrization it is also recognized that the three energy parameters are not independent,
 289 but related by the Poisson's ratio:

$$290 \frac{\epsilon_1^t}{\epsilon_1^n} = \frac{(1-4\nu^s)}{(1-3\nu^s)} \leq 1; \quad \frac{\epsilon_4^n}{\epsilon_1^n} = \frac{n}{n+1} \frac{\nu^s}{(1-3\nu^s)} \quad (30)$$

291 That is, one energy parameter is required in the isotropic case, with the other ones being scaled by
 292 Poisson's ratio of the solid.

293 Similar restrictions can be derived for transversely isotropic materials, for which the non-zero
 294 components of the stiffness tensor – in Voigt notation – are $C_{11}^s = C_{22}^s$, C_{12}^s , $C_{13}^s = C_{23}^s$, C_{33}^s ,
 295 $C_{44}^s = C_{55}^s$, while $C_{11}^s - C_{12}^s = 2C_{66}^s$; namely (Laubie et al. 2017b):

$$296 C_{12}^s \leq C_{66}^s \left(\text{i.e., } C_{12}^s \leq \frac{1}{3} C_{11}^s \right); \quad C_{13}^s \leq C_{44}^s \quad (31)$$

297 Considering rotational material symmetry around the \vec{e}_3 -axis, there are, a priori, a total of 8 energy
 298 parameters that can be used for fitting the elastic properties, which reduce (thanks to the condition
 299 $C_{11} - C_{12} = 2C_{66}$) to six; namely $(\epsilon_1^n, \epsilon_1^t)$ and $(\epsilon_4^n, \epsilon_4^t)$ for links in the plane of symmetry $\vec{e}_1 \times \vec{e}_2$
 300 $[(\epsilon_1^n, \epsilon_1^t)$ for the 4 box-links of rest length $l^0 = a_0$ oriented in the \vec{e}_1 - and \vec{e}_2 - directions, and $(\epsilon_4^n, \epsilon_4^t)$
 301 for the 4 in-plane diagonals of length $\sqrt{2}a_0$; see Fig. 1] and ϵ_3^n and ϵ_6^n for links in the $\vec{e}_3 \times \vec{e}_1$

302 and $\vec{e}_3 \times \vec{e}_2$ plane [ϵ_3^n for the 2 box-links oriented in the \vec{e}_3 -direction; and ϵ_6^n for the 8 in-plane
 303 diagonals of length $\sqrt{2}a_0$; Fig. 1], for which the non-zero elastic constants of the transversely
 304 isotropic material are linearly linked to the energy parameters by:

$$\begin{pmatrix} C_{11}^s \\ C_{12}^s \\ C_{33}^s \\ C_{13}^s \\ C_{44}^s \\ C_{11}^s - C_{12}^s - 2C_{66}^s = 0 \end{pmatrix} = \frac{1}{a_0^3} \begin{bmatrix} \frac{n^2}{(n-1)^2} & \frac{n}{2(n-1)} & 0 & \frac{n}{2(n-1)} & 0 & \frac{n}{n-1} \\ 0 & \frac{n}{2(n-1)} & 0 & 0 & 0 & -\frac{n}{2(n-1)} \\ 0 & 0 & \frac{n^2}{(n-1)^2} & \frac{n}{n-1} & 0 & \frac{n}{n-1} \\ 0 & 0 & 0 & \frac{n}{2(n-1)} & 0 & -\frac{n}{2(n-1)} \\ 0 & 0 & 0 & \frac{n}{2(n-1)} & \frac{n^2}{2(n-1)^2} & \frac{n}{2(n-1)} \\ \frac{n^2}{(n-1)^2} & -\frac{n}{n-1} & 0 & \frac{n}{2(n-1)} & -\frac{n^2}{(n-1)^2} & \frac{n}{n-1} \end{bmatrix} \begin{pmatrix} \epsilon_1^n \\ \epsilon_4^n \\ \epsilon_3^n \\ \epsilon_6^n \\ \epsilon_1^t \\ \epsilon_4^t \end{pmatrix} \quad (32)$$

306 Continuum Micromechanics Reference Solutions

307 A cubic simulation box of size $L = a_0(n-1)$, with a centric spherical pore of different pore
 308 radius R corresponding to different porosities is considered:

$$\phi_0 = \frac{n_p}{(n-1)^3} \quad (33)$$

310 where $(n-1)^3$ is the total number of mass points discretizing the solid and the pore volumes, and
 311 n_p the number of mass points defining the pore space in a simple cubic lattice. The focus of the
 312 validation examples is to compare the poroelastic properties one obtains using the discrete approach
 313 with analytical expressions of microporomechanics based on the assumption of scale separability.
 314 In this vein, the pore morphology herein considered is akin to a matrix-pore inclusion microtexture
 315 often associated with the Mori-Tanaka effective estimates (Mori and Tanaka 1973; Beneviste 1987)
 316 for which linear homogenization methods provide the following expressions for (1) the drained
 317 stiffness tensor (Dormieux et al. 2002; Dormieux et al. 2006):

$$\mathbb{C} = (1 - \phi_0) \mathbb{C}^s : \langle \mathbb{A} \rangle_{V_s} \quad (34)$$

319 (2) the tensor of Biot pore pressure coefficients, with $\phi_0 \mathbf{1}$ and $\mathbf{1}$ as its lower and upper bounds,
 320 respectively:

$$321 \quad \mathbf{b} = \mathbf{1} : (\mathbb{I} - \mathbb{S}^s : \mathbb{C}) \quad (35)$$

322 and (3) the solid Biot modulus:

$$323 \quad \frac{1}{\mathbf{N}} = \mathbf{1} : \mathbb{S}^s : (\mathbf{b} - \phi_0 \mathbf{1}) \quad (36)$$

324 where $\langle \mathbb{A} \rangle_{V_s}$ in Eq. (34) is the average strain localization tensor over the solid phase (V_s). In
 325 continuum micromechanics, the strain localization tensor links the macroscopic strain \mathbf{E} imposed
 326 as a boundary condition (16) to the continuous microstrains $\boldsymbol{\varepsilon}(\vec{z}) = \mathbb{A}(\vec{z}) : \mathbf{E}$ into the solid phase,
 327 $\forall \vec{z} \in V_s$. In general, the average strain localization tensor for the r th phase given a matrix stiffness
 328 \mathbb{C}^s , reads:

$$329 \quad \langle \mathbb{A} \rangle_r = [\mathbb{I} + \mathbb{P} : (\mathbb{C}^r - \mathbb{C}^s)]^{-1} : \langle [\mathbb{I} + \mathbb{P} : (\mathbb{C}^r - \mathbb{C}^s)^{-1}] \rangle_V^{-1} \quad (37)$$

330 with \mathbb{P} , the generalized Hill concentration tensor defined as (Zaoui 2002):

$$331 \quad P_{ijkl} = - \left(\frac{\partial^2}{\partial x_j \partial x_l} \int_{\Omega} G_{ik}(\vec{x} - \vec{x}') \right)_{(ij)(kl)} \quad (38)$$

332 where $(ij)(kl)$ indicates symmetrization, and $G_{ij}(\vec{x} - \vec{x}')$ is the second order Green's tensor for
 333 generalized linear elastic anisotropic media. In the micro- and macro- isotropic case, i.e. $\mathbb{C} =$
 334 $3K\mathbb{J} + 2G\mathbb{K}$ and $\mathbf{b} = \mathbf{b}\mathbf{1}$, the previous relations simplify, for a matrix-inclusion microtexture, as
 335 follows (Dormieux et al. 2006):

$$336 \quad \frac{K}{k^s} = \frac{4g^s(1 - \phi_0)}{3k^s\phi_0 + 4g^s} \quad (39)$$

$$337 \quad \frac{G}{g^s} = \frac{(9k^s + 8g^s)(1 - \phi_0)}{(6\phi_0 + 9)k^s + (12\phi_0 + 8)g^s} \quad (40)$$

$$338 \quad \mathbf{b} = \mathbf{1} - \frac{K}{k^s} \quad (41)$$

$$339 \quad \frac{1}{\mathbf{N}} = \frac{\mathbf{b} - \phi_0}{k^s} \quad (42)$$

340 For the transversely isotropic case, the effective elasticity can be obtained from Eq. (34) while
 341 expressions (35) and (36) in this case, i.e. $\mathbf{b} = b_1 (\mathbf{1} - \vec{e}_3 \otimes \vec{e}_3) + b_3 \vec{e}_3 \otimes \vec{e}_3$, read:

$$342 \quad b_1 (= b_2) = 1 - (S_{11}^s + S_{12}^s) (C_{11} + C_{12}) - S_{13}^s (C_{11} + C_{12} + 2C_{13}) - S_{33}^s C_{13} \quad (43)$$

$$343 \quad b_3 = 1 - 2S_{11}^s C_{13} - 2S_{12}^s C_{13} - 2S_{13}^s (C_{13} + C_{33}) - S_{33}^s C_{33} \quad (44)$$

$$344 \quad \frac{1}{N} = 2(b_1 - \phi_0) (S_{11}^s + S_{12}^s + S_{13}^s) + (b_3 - \phi_0) (2S_{13}^s + S_{33}^s) \quad (45)$$

345 For comparison of the elasticity content in the transversely isotropic case, the indentation moduli
 346 expressions for transversely isotropic materials are employed, which nicely condense the different
 347 macro- and micro-stiffness parameters into two single elasticity parameters that can be probed in
 348 contact experiments, in and normal to the axis of rotational symmetry (Delafargue and Ulm 2004):

$$350 \quad \frac{M_3(x_3)}{m_3^s} = \frac{2}{m_3^s} \sqrt{\frac{C_{11}C_{33} - C_{13}^2}{C_{11}} \left(\frac{1}{C_{44}} + \frac{2}{\sqrt{C_{11}C_{33} + C_{13}}} \right)^{-1}} \quad (46a)$$

$$351 \quad \frac{M_1(x_1)}{m_1^s} \simeq \frac{1}{m_1^s} \sqrt{\sqrt{\frac{C_{11}}{C_{33}}} \frac{C_{11}^2 - C_{12}^2}{C_{11}}} M_3 \quad (46b)$$

352 where m_3^s and m_1^s are the indentation moduli of the solid phase. These continuum micromechanics
 353 solutions are strictly valid only in the case of scale separability between the size of the heterogeneity
 354 (pore size $R/a_0 = \left(\frac{3}{4\pi}n_p\right)^{1/3}$) and the size of the representative volume element (r.e.v. size
 355 $L/a_0 = (n - 1)$); and hence for $n_p \ll \frac{4\pi}{3}(n - 1)^3$, a condition to be challenged in the LEM
 356 simulations. The continuum relations are thus an ideal target to compare with the discrete solutions,
 357 using Eq. (18) for the elasticity, and the ensemble definitions of the tensor of Biot coefficients (21)
 358 and of the solid Biot modulus (27), respectively.

359 Validation Results

360 Cubic simulation boxes of different lengths $L=\{50,70,90\}$, with $a_0 = 1$, were considered with a
 361 spherical pore centered inside. The pore radius, R , was gradually increased, with a maximum pore
 362 radius - to - box size ratio $R/L = 0.45$ corresponding to a porosity $\phi_0 = \frac{4\pi}{3} (R/L)^3 = 0.38$. The

363 case of isotropic solid behavior, defined by a bulk modulus $k^s = 20$ GPa and a Poisson's ratio of
 364 $\nu^s = 0.2$ is considered first. The energy parameters $\epsilon_{ij}^{(n,t)}$ for the solid were thus calibrated using Eq.
 365 (29). The effective stiffness tensor \mathbb{C} was obtained through evaluation of Eq. (18) by considering,
 366 in the simulations, appropriate displacement boundary conditions as defined in Eq. (16). Figure
 367 2a compares the simulation results with the effective stiffness coefficients obtained from the Mori-
 368 Tanaka homogenization scheme, Eqs. (39) and (40). Furthermore, the effective moduli, K and
 369 $G(= C_{44})$, are displayed in Figs. 2c and 2e, respectively. Next, poroelastic properties are considered
 370 by first focusing on the μVT ensemble and the discrete definition of Biot pore pressure coefficient,
 371 b. A pressure $p/k^s = 0.05$ is imposed inside the pore space using Eq. (14) in the μVT ensemble.
 372 Utilizing the theorem of minimum potential energy as stated in Eq.(22), inter-particle forces \vec{F}_i^j
 373 induced from the pore-pressure loading are obtained. This paves the way to evaluate b from Eq.
 374 (21). Figure 3a compares the simulation results with the reference solution (41), using either the
 375 previously determined effective bulk moduli, K (labeled "Direct" in Fig. 2c) or the Mori-Tanaka
 376 estimate (labeled "MT" in Fig. 2c) via Eq. (39). Lastly, Biot solid modulus, N , is obtained by
 377 considering its NPT ensemble definition (25), which in the isotropic case reads:

$$\frac{1}{N^{(NPT)}} = \frac{2U^{(NPT)}}{p^2 V_0} - \frac{3(\mathbf{b} - 1)^2}{C_{11} + 2C_{12}} \quad (47)$$

379 The evaluation is achieved here by prescribing, in the simulations, a pressure $p/k^s = 0.05$ both
 380 inside the pore space utilizing Eq. (14) and on the boundaries of the simulation box. Thus, all it takes
 381 for obtaining N from Eq. (47) is the computation of the free energy of the solid, $U^{(NPT)}$, once the
 382 structure finds its new equilibrium through Eq. (22), and the previously determined Biot coefficient.
 383 Figure 3b displays the comparison between the NPT -simulation results, using b from discrete theory
 384 in the μVT ensemble labeled as "LEM (NPT)*" and b determined directly from simulated effective
 385 elasticity in LEM, labeled as "LEM (NPT)**" against its continuum reference solution, Eq. (42),
 386 labeled in Figure 3b as "Direct". The same cubic simulation boxes were considered for validating
 387 the transversely isotropic poroelastic properties obtained from simulation vis-á-vis their continuum

388 counterparts. To this end, the energy parameters $\epsilon_{ij}^{(n,t)}$ were calibrated using Eq. (32) to reproduce
389 the following solid elastic properties, $C_{11}^s = 55$ GPa, $C_{12}^s = 10$ GPa, $C_{13}^s = 14$ GPa, $C_{33}^s = 28$ GPa,
390 and $C_{44}^s = 17$ GPa, and thus the solid indentation moduli (according to Eq.46), $m_3^s = 31.8$ GPa,
391 and $m_1^s = 48.7$ GPa. Figure 2b shows the comparison of the simulated effective elasticity against
392 the continuum values from the matrix-pore inclusion model captured via Mori-Tanaka effective
393 estimates. Furthermore, the elasticity content is condensed into the normalized indentation moduli
394 (46) and compared with the continuum matrix-pore inclusion (Mori-Tanaka) model, Eq. (34),
395 as displayed in Figures 2d and 2f. Using the same μVT simulation strategy as in the isotropic
396 case, a pore pressure loading normalized by the average Voigt-Reuss-Hill (VRH) bulk modulus
397 for materials with hexagonal symmetry (see e.g. (Berryman 2005)), $p/k_{VRH}^s = 0.05$ is imposed.
398 Figures (4a) and (4b) display a comparison of the μVT simulation results of the Biot coefficients of
399 the considered transversely isotropic medium, $\mathbf{b} = b_1 (\mathbf{1} - \vec{e}_3 \otimes \vec{e}_3) + b_3 \vec{e}_3 \otimes \vec{e}_3$, with the analytical
400 solutions (43) and (44) using as inputs either the simulated effective elasticity obtained by LEM,
401 labeled as "Direct" or the analytical homogenized elasticity as obtained from Eq. (34), labeled
402 "MT". Finally, a comparison of the NPT simulation results with the analytical expression (45)
403 is shown in Figure 4c, displaying the evolution of the solid Biot modulus N with R/L . In the
404 evaluation of N from the NPT simulation results (i.e. same pressure $p/k_{VRH}^s = 0.05$ imposed on
405 the pore wall and on the simulation box), a specification of Eq. (25) for the transversely isotropic
406 case reads:

$$407 \frac{1}{N^{(NPT)}} = \frac{2U^{(NPT)}}{p^2 V_0} - \left(\frac{2C_{11} (b_1 - 1)^2}{(C_{11} - C_{12})(C_{11} + 2C_{12})} + \frac{(b_3 - 1)((b_3 - 1)(C_{11} + C_{12}) - 4C_{13}(b_1 - 1))}{C_{33}(C_{11} + C_{12}) - 2C_{13}^2} \right) \quad (48)$$

408 where $U^{(NPT)}$ is the free energy of the solid links in the NPT ensemble, while the effective elasticity
409 C_{ij} and Biot coefficients b_1 and b_3 are previously determined by simulations (see Figs. 2b, 4a and
410 4b).

411 DISCUSSIONS

412 The idealized structures considered in this study represent a microtexture best captured by

413 the Mori-Tanaka homogenization scheme. The Mori-Tanaka scheme is often associated with a
 414 matrix-inclusion microtexture where the matrix phase overshadows the mechanical response of
 415 the inclusion phase(s), while considering interactions between inclusions (in contrast to the dilute
 416 scheme; see, for instance, (Dormieux et al. 2006)). Furthermore, for a two-phase composite with
 417 spherical inclusions, the Mori-Tanaka scheme corresponds to the Hashin-Shtrikman bounds (Weng
 418 1984) and specifically for spherical voids, the upper Hashin-Shtrikman bound. However, it is worth
 419 noting that the presented methodology to estimate poroelastic properties of heterogeneous media
 420 is independent of microtextures being considered.

421 While the discrete simulation results compare well against their continuum poroelastic counterparts
 422 for both the isotropic and the transversely isotropic cases, a deviation is observed at higher porosity
 423 values that merit further discussion. Specifically, for small porosities, $\phi_0 < 5 \times 10^{-3}$ (or $R/L \leq 0.1$),
 424 the two approaches provide similar results. This is not surprising since – within this limit – scale
 425 separability, delineating the domain of application of the continuum models (here the Mori-Tanaka
 426 model) strictly applies. Beyond that limit, however, the results obtained from the discrete and the
 427 continuum approach begin to differ. One possible reason for the observed deviations is related to
 428 finite size effects associated with the finite size of the simulation box, noting that the elementary
 429 voxel size (a_0) remains much smaller than the size of the elementary heterogeneity at high porosities.
 430 To explore this further, two quantities, $\delta_{iso.}$ and $\delta_{ti.}$ are defined to capture any deviations from the
 431 imposed elastic solid symmetry for the isotropic and transversely isotropic cases; that is, for the
 432 isotropic case:

$$433 \quad \delta_{iso.} = \frac{|C_{44} - \frac{1}{2}(C_{11} - C_{12})|}{C_{44}} \times 100 \quad (49)$$

434 and for the transversely isotropic case:

$$435 \quad \delta_{ti.} = \frac{|C_{66} - \frac{1}{2}(C_{11} - C_{12})|}{C_{66}} \times 100 \quad (50)$$

436 Using the elasticity constants C_{ij} obtained from the simulations, Figs. 2g and 2h plot $\delta_{iso.}$ and $\delta_{ti.}$
 437 vs. R/L , showing that for $R/L > 0.1$ the effective (i.e. composite) elasticity content captured by the

438 simulations departs from the material symmetries of the solid phase. Within the range of considered
 439 values, $\delta_{iso.} \leq 4$ in the isotropic case and $\delta_{ti.} \leq 8$ in the transversely isotropic case. On the other
 440 hand, the simulation results deviate from the continuum solution for the poroelastic constants (see
 441 Figs. 2a, 2b), for which the continuum solutions (i.e. Eqs. (41), (42) in the isotropic case, and Eqs.
 442 (43) through (45) for the transversely isotropic case) hold irrespective of elastic homogenization
 443 scheme. Thus, the observed deviation between discrete simulations and continuum calculations in
 444 the high porosity limit for elastic and poroelastic properties seem to be rooted in the finite size of
 445 the system as it challenges both the application of Eshelby's solution for an ellipsoidal inclusion
 446 in an infinite medium (Eshelby 1957) and Mori-Tanaka homogenization scheme's subjection of
 447 inclusions to the first moment (mean) of matrix stresses (Mori and Tanaka 1973; Beneviste 1987).
 448 The same deviation is observed for highly disordered systems (Laubie et al. 2017a) but attributed
 449 to the high stress concentrations between pore walls. In this vein, the probability density function
 450 (pdf) of normalized solid stresses of the considered idealized pore-matrix structures in the μVT
 451 ensemble are plotted in Fig. 5 for three different R/L ratios. In violation of scale separability, for
 452 $R/L = 0.157$ and $R/L = 0.229$ normalized stresses follow Gaussian distributions. However, the
 453 long tails for $R/L = 0.443$ indicate areas of high stress concentration, a feature not captured by
 454 mean-field based theories of micromechanics. This is intimately related to the requirement of scale
 455 separability in homogenization theory. A key property of scale separability exploited in the theory
 456 of homogenization is that the local problem cannot see the boundaries (Pavliotis and Stuart 2007)
 457 which clearly is violated in cases of high R/L ratios studied here.

458 Surface energy effects are incorporated in poromechanics by making a distinction between
 459 the free energy stored elastically into the solid matrix, ψ_s , and energy u stored at the solid-fluid
 460 interface; such that $\Psi_s = \psi_s(\mathbf{E}, p) + u(\mathbf{E}, p)$, with the energy balance for the interface at equilibrium
 461 expressed as (Vandamme et al. 2010; Brochard et al. 2012):

$$462 \quad du = \tilde{\sigma}^s ds \quad (51)$$

463 where $\tilde{\sigma}^s$ denotes surface stress and s represents the actual area of the pore walls per unit volume of
 464 porous material in its reference configuration. Furthermore, for example in the case of adsorption
 465 in a linearly elastic isotropic porous material, one can obtain material parameters α_ϵ and α_φ , to
 466 quantify strain and porosity changes due to surface stresses, respectively (Vandamme et al. 2010).
 467 In this vein, the proposed method can be extended to capture adsorption-induced structural phase
 468 transitions in a porous material employing an osmotic ensemble (Snurr et al. 1993; Mehta and
 469 Kofke 1994; Coudert et al. 2011):

$$470 \quad \Omega_{os.}(T, P) = \Psi_s + PV - \int_0^P N_{ads.}(T, p) V_m(T, p) dp \quad (52)$$

471 where $T, P, \Psi_s, V, N_{ads.}$ and V_m are temperature, pressure, the free energy of the solid in the absence
 472 of adsorbed molecules, the volume of the porous host, the number of adsorbed molecules inside the
 473 host, and the molar volume of the adsorbing species in its bulk state, respectively. Then, one seeks
 474 for the structure that minimizes $\Omega_{os.}$. Once this structure is obtained, $N_{ads.}(T, P)$ can be predicted
 475 with standard Grand Canonical Monte Carlo (GCMC) simulations. Classically, the main challenge
 476 of using Eq. (52) is access to Ψ_s , which would be readily available via LEM.

477 CONCLUSIONS

478 As the resolution of microtexture and heterogeneity of porous materials is progressing rapidly
 479 thanks to advancements in e.g. CT-imaging techniques (Hubler et al. 2017), there is a need to adapt
 480 the tools of poromechanics to model and to predict the deformation of porous materials in response
 481 to various external loadings. The discrete poromechanics approach proposed and implemented in
 482 the Lattice Element Method (LEM) aims at contributing to this effort, well beyond the classical
 483 mean-field based theories of continuum microporomechanics which do not capture microtextural
 484 information beyond one-point correlation functions and confined in its application by the scale
 485 separability condition. Specifically, the discrete nature of the approach provides access to local
 486 stresses and displacements as well as force flow in a heterogeneous system, which can illuminate
 487 the path for understanding stress and strain localization in a multiphase porous composite, and form

488 a basis for subsequent refinements to include irreversible deformation (incl. fracture), deformation
489 during flow, and so on. The following points of observation deserve attention:

- 490 1. The discrete approach herein proposed considers a porous materials as an ensemble of
491 mass points that interact via forces and moments that derive from effective potentials.
492 Illustrated here for harmonic potentials for both 2-body and 3-body interactions, it is
493 thus readily understood that both the solid and the composite responses are relevant for
494 linear poroelastic theory only. However, this linear discrete poromechanics model can,
495 in a straightforward manner, be extended to the nonlinear case through the consideration
496 of non-harmonic effective potentials (such as Lennard-Jones, Morse potential, and so on),
497 whose Taylor expansion around the (undeformed) equilibrium configuration is the harmonic
498 case. Otherwise said, the calibration procedure herein suggested for the interaction energies
499 ('well-depth') remain valid and just need to be refined to calibrate the nonlinear potential
500 parameters. As such, LEM can be contrasted with finite-element based approaches, as it
501 provides a consistent framework to coarse grain interaction potentials validated at a lower
502 scale.
- 503 2. Re-formulated within the context of statistical physics, the discrete approach thus derived
504 provides access to the classical poroelastic properties of highly heterogeneous porous ma-
505 terials as macroscopic properties relevant to specific statistical ensemble definitions. It was
506 thus shown, that the results from an μVT -ensemble provide access to the tensor of Biot
507 pore pressure coefficients, \mathbf{b} , while the results from an NPT -ensemble permit determination
508 of the Biot solid modulus, N . To achieve this goal, an original reformulation of drained
509 pressure conditions was proposed to translate pressure in the pore space into interaction
510 forces. While the approach was here derived for a constant pressure prevailing in the pore
511 space, it could equally be applied to varying pressures prevailing in the pore space. The
512 approach as such could thus possibly be used for coupled flow-deformation problems, and
513 via some minor adaptation for partially saturated situations, which will be reported in future
514 work.

515 3. The discrete approach herein proposed removes by its very nature the assumption of scale
516 separability that delineates continuum microporomechanics approaches. This opens new
517 insights into the intimate interplay between constituent behavior and composite behavior of
518 porous materials. The proposed approach can be viewed as a powerful tool to link micro-
519 to macro-behavior of porous materials; specifically for porous materials exhibiting a large
520 size range of heterogeneities that does not permit scale separation.

521 **ACKNOWLEDGMENT**

522 This work was funded by X-Shale Hub: the Science and Engineering of Gas Shale, a collabora-
523 tion between Shell, Schlumberger, and the Massachusetts Institute of Technology, enabled through
524 MIT's Energy Initiative. The Authors would like to acknowledge Vincent Richefeu (Universite
525 Joseph Fourier), Jean-Yves Delenne (Montpellier SupAgro) and Saeid Nezamabadi (Universite de
526 Montpellier) who provided the backbone of the LEM code used for simulations. FR would like to
527 acknowledge the support of the ICoME2 Labex (ANR-11-LABX-0053) and the A*MIDEX projects
528 (ANR-11-IDEX-0001-02) cofunded by the French program Investissements d'Avenir, managed by
529 the French National Research Agency (ANR). The authors would also like to thank the reviewers
530 for their insightful comments.

REFERENCES

- Affes, R., Delenne, J.-Y., Monerie, R., Radjai, F., and Topin, V. (2012). “Tensile strength and fracture of cemented granular aggregates.” *Eur. Phys. J.E.*, 35(117).
- Azema, E. and Radjai, F. (2014). “Internal structure of inertial granular flows.” *Phys. Rev. Lett.*, 112(7).
- Beneviste, Y. (1987). “A new approach to the application of mori-tanaka’s theory in composite materials.” *Mech. Mater.*, 6(2).
- Berryman, J. (2005). “Bounds and self-consistent estimates for elastic constants of random polycrystals with hexagonal, trigonal, and tetragonal symmetries.” *J. Mech. Phys. Solids*, 53(10).
- Biot, M. (1941). “General theory of three-dimensional consolidation.” *J. Appl. Phys.*, 12(2).
- Biot, M. and Willis, D. (1957). “The elastic coefficients of the theory of consolidation.” *J. Appl. Mech.*, 24.
- Brochard, L., Vandamme, M., and Pellenq, R.-M. (2012). “Poromechanics of microporous media.” *J. Mech. Phys. Solids*, 60.
- Christoffersen, J., Mehrabadi, M., and Nemat-Nasser, S. (1981). “A micromechanical description of granular material behavior.” *J. Appl. Mech.*, 48(2).
- Coudert, F.-X., Boutin, A., Jeffroy, M., Mellot-Draznieks, C., and Fuchs, A. (2011). “Thermodynamic methods and models to study flexible metal-organic frameworks.” *ChemPhysChem*, 12.
- Coussy, O. (1995). *Mechanics of porous continua*. Wiley and Sons, Chichester, England.
- Coussy, O. (2010). *Mechanics and physics of porous solids*. Wiley and Sons, Chichester, England.
- Delafargue, A. and Ulm, F.-J. (2004). “Explicit approximations of the indentation modulus of elastically orthotropic solids for conical indenters.” *Int. J. Solids. Struct.*, 41(26).
- Dormieux, L., Kondo, D., and Ulm, F.-J. (2006). *Microporomechanics*. Wiley and Sons, Chichester.
- Dormieux, L., Molinary, A., and Kondo, D. (2002). “Micromechanical approach to the behavior of poroelastic materials.” *J. Mech. Phys. Solids*, 50(10).
- Eshelby, J. (1957). “The determination of the elastic field of an ellipsoidal inclusion, and related

558 problems.” *Proc. R. Soc. A.*, 241.

559 Greaves, G., Greer, A., Lakes, R., and Rouxel, T. (2011). “Poisson’s ratio and modern materials.”

560 *Nat. Mater.*, 10.

561 Hellmich, C., Pichler, B., and Adam, D. (2013). “Poromechanics v: Proceedings of the fifth biot

562 conference on poromechanics.” Amer Society of Civil Engineers.

563 Hubler, M., Gelb, J., and Ulm, F.-J. (2017). “Microtexture analysis of gas shale by xrm imaging.”

564 *J. Nanomech. Micromech.*, 7(3).

565 Laubie, H., Monfared, S., Radjai, F., Pellenq, R.-M., and Ulm, F.-J. (2017a). “Disorder-induced

566 stiffness degradation of highly disordered porous materials.” *J. Mech. Phys. Solids* . In press.

567 Laubie, H., Monfared, S., Radjai, F., Pellenq, R.-M., and Ulm, F.-J. (2017b). “Effective potential and

568 elastic properties in the lattice-element method: Isotropy and transverse isotropy.” *J. Nanomech.*

569 *Micromech.*, 7(3).

570 Mehta, M. and Kofke, D. (1994). “Coexistence diagrams of mixtures by molecular simulation.”

571 *Chem. Eng. Sci.*, 49.

572 Mori, T. and Tanaka, K. (1973). “Average stress in matrix and average elastic energy of materials

573 with misfitting inclusions.” *Acta Metallurgica*, 21(5).

574 Norris, A. (2014). “Mechanics of elastic networks.” *Proc. R. Soc. A.*, 470.

575 Pavliotis, G. and Stuart, A. (2007). *Multiscale Methods - Averaging and Homogeniation*. Springer.

576 Poirier, J. and Tarantola, A. (1998). “A logarithmic equation of state.” *Phys. Earth. Planet. Int.*,

577 109(1-2).

578 Radjai, F., Delenne, J.-Y., Azema, E., and Roux, S. (2009). “Force and fabric states in granular

579 media.” *Granular Matter*, 35(1145).

580 Radjai, F., Wolf, D., Jean, M., and Moreau, J.-J. (1998). “Bimodal character of stress transmission

581 in granular packings.” *Phys. Rev. Lett.*, 80(1).

582 Snurr, R., Bell, A., and Theodorou, D. (1993). “Prediction of adsorption of aromatic hydrocarbons

583 in silicalite from grand canonical Monte Carlo simulations with biased insertions.” *J. Phys.*

584 *Chem.*, 97(51).

- 585 Suquet, P. (1987). *Elements of homogenization for inelastic solid mechanics*.
- 586 Topin, V., Delenne, J.-Y., Radjai, F., Brendel, L., and Mabilie, F. (2007). “Strength and failure of
587 cemented granular matter.” *Eur. Phys. J.E.*, 23(413).
- 588 Vandamme, M., Brochard, L., Lecampion, B., and Coussy, O. (2010). “Adsorption and strain: The
589 CO₂-induced swelling of coal.” *J. Mech. Phys. Solids*, 58(10).
- 590 Weng, G. J. (1984). “Some elastic properties of reinforced solids with special reference to isotropic
591 ones containing spherical inclusions.” *Int. J. Eng. Sci.*, 22, 845–856.
- 592 Zaoui, A. (2002). “Continuum micromechanics: A survey.” *J. Eng. Mech.*, 128(8).

593

List of Figures

594

1 29

595

2 30

596

3 31

597

4 32

598

5 33

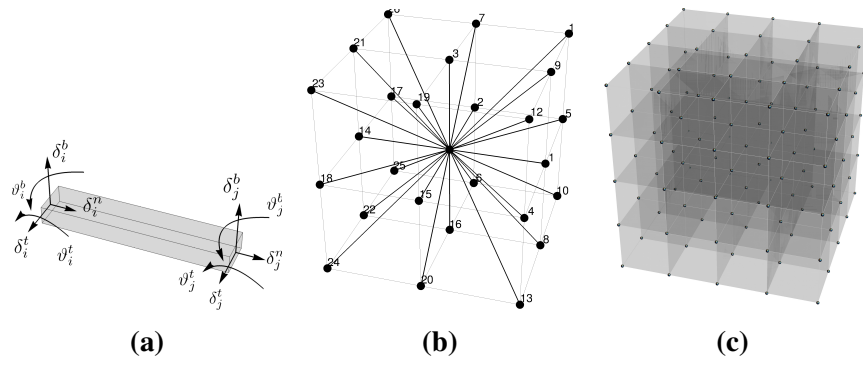


Fig. 1

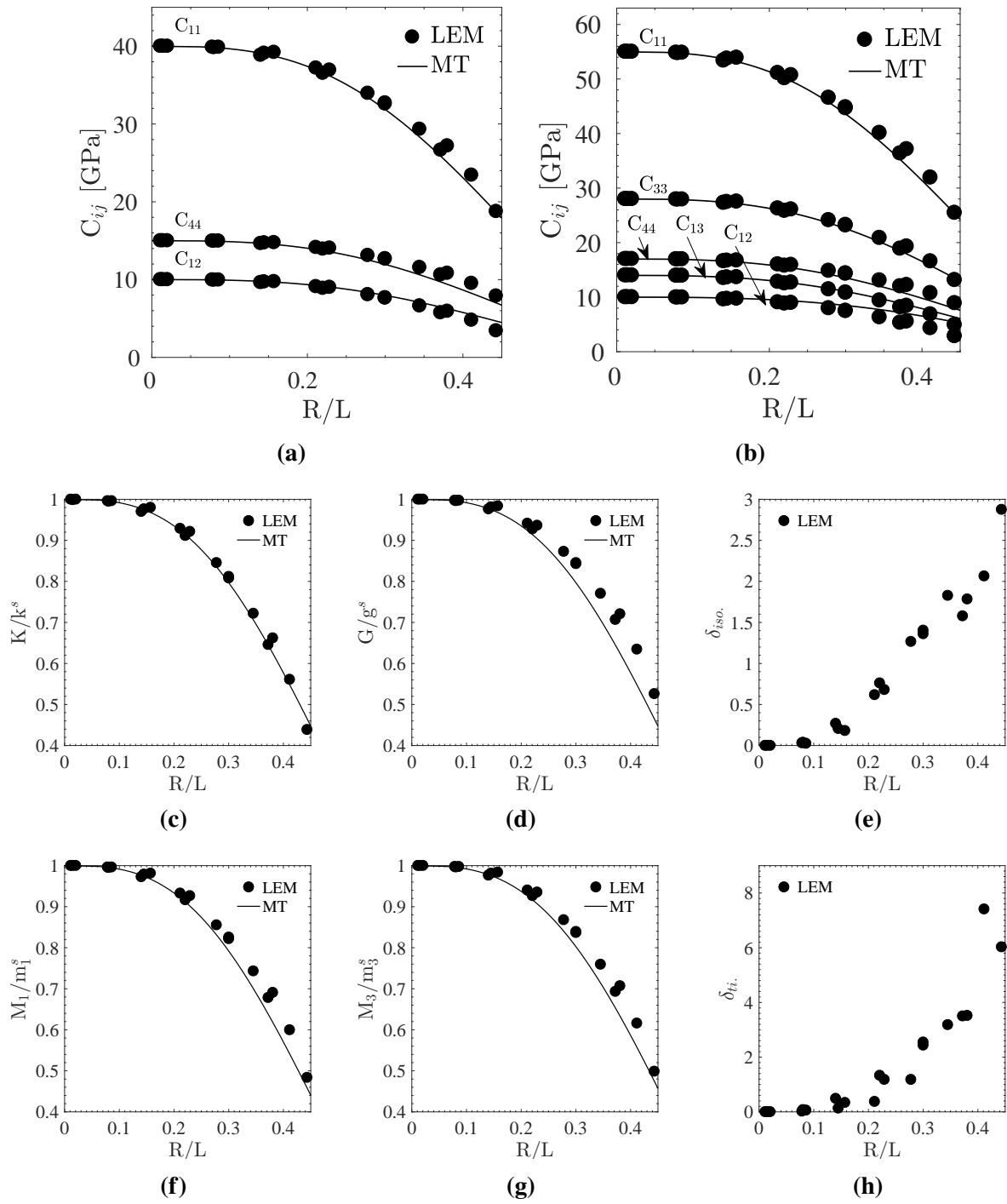


Fig. 2

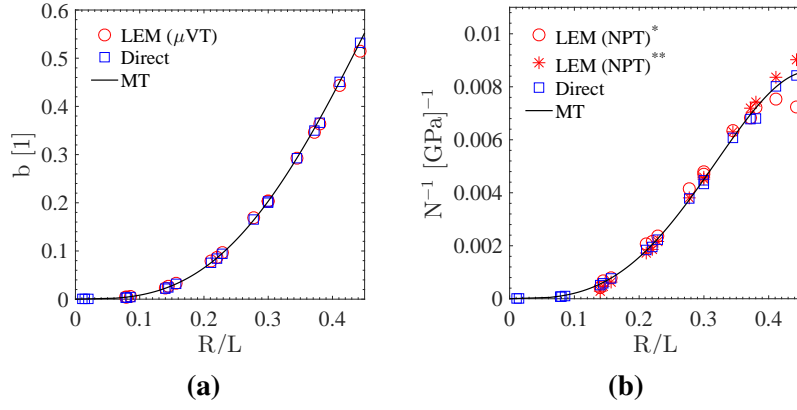
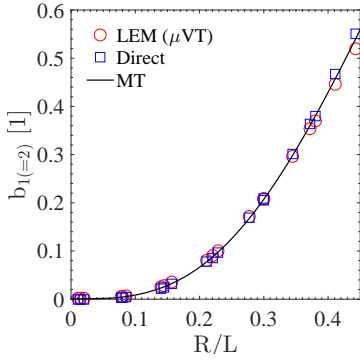
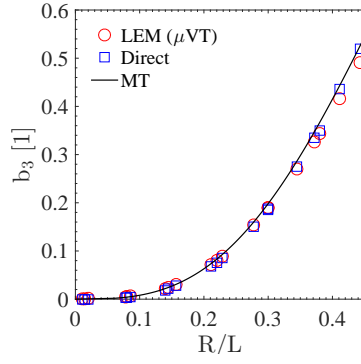


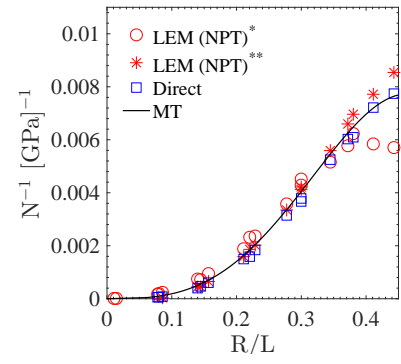
Fig. 3



(a)



(b)



(c)

Fig. 4

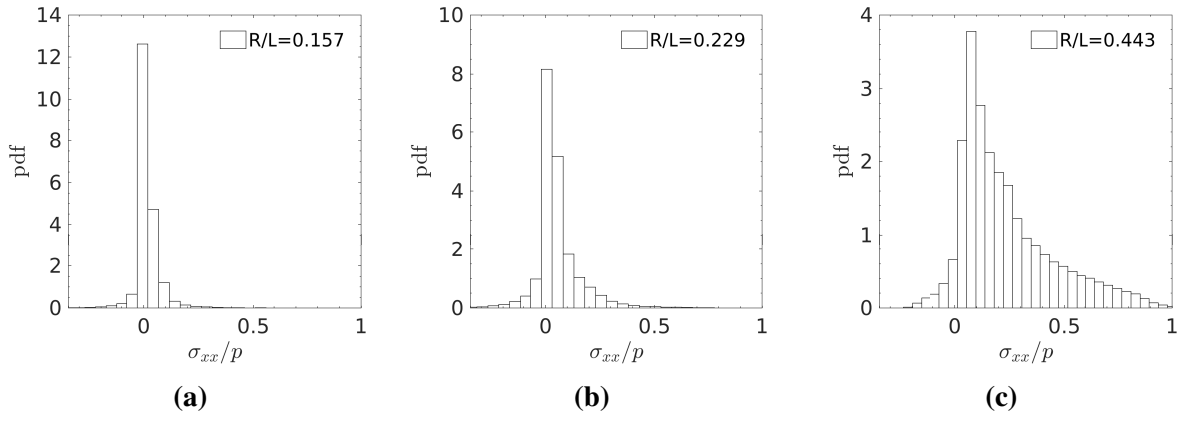


Fig. 5