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## Analysis of neonatal heart rate variability by a microprocessor-based on-line system

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### 1 Introduction

Continuous monitoring of fetal and neonatal heart rate has gained a wide acceptance in the clinical perinatal practice. Signal noise and premature beats can create a considerable bias in the visual evaluation of cardiograms. Quantitative statistical methods have been presented for the assessment of the short term and the long term components of neonatal heart rate variability (NHRV) [2, 7, 8]. We recently reported reference values for NHRV after normal labor and after elective cesarean section [7]. We have also shown previously that rejection logic limits have a considerable effect on the values of variability indices, albeit they are necessary in the quantitative analysis of a noisy signal as from an abdominal fetal electrocardiogram [6]. When reproducing the recorded neonatal electrocardiograms from magnetic tapes, we observed that, in addition to the infant's movements and crying, there are two further principal sources of error in neonatal heart rate tracings: the remote shadow trace caused by premature beats (Fig. 1 (a)) and another shadow trace, close to the actual heart rate, caused by distorted QRS complexes (Fig. 1 (b)). The aim of the present study was to analyze the effects of different rejection limits on neonatal variability indices.

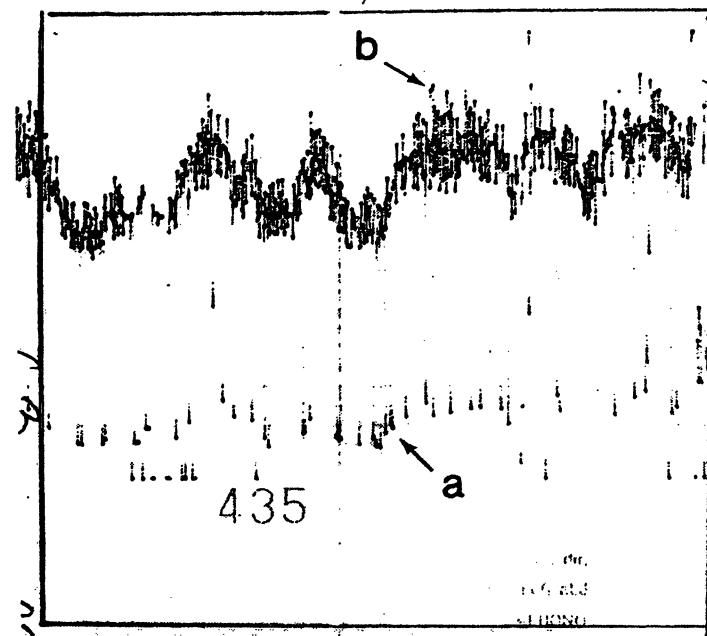


Fig. 1. Neonatal heart rate tracing recorded from an electrocardiogram with two shadow traces: a) a remote trace created by premature beats, and b) a trigger error caused by distorted QRS complexes.

### 2 Subjects and methods

The series studied consisted of fourteen infants after normal labor and ten infants after cesarean section, of which two were born under general anesthesia and eight under epidural blockade. Atropine was used for premedication in general anesthesia, but not in epidural

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blockade. The mean birth weight was 3651 g (range 2550–4780), and the Apgar scores ranged from 7 to 10. Cardiorespirography (Hewlett-Packard 8025 B) was started at an age of 20 to 40 minutes and was continued for 40 to 90 minutes. A neonatal electrocardiogram was recorded with two precordial leads and fed via a cardiorespirograph and an instrument recorder (Hewlett-Packard 3960) onto a magnetic tape. The analyses of NHRV were performed by a previously described system [4] from ten minutes samples of the neonatal electrocardiogram. In this method, the short term component of heart rate variability is expressed as the differential index (DI) and the long term component as the interval index (II) [9]. The analysis is regarded as valid when 30 per cent or more of the interval differences are included in the analysis. The analyses of variability were performed four times on each sample of the electric heart signal: first the raw signal (without the rejection logic of the cardiotocograph) was analyzed using rejection limits of five and ten beats per minute (bpm), and second, the signal handled by the rejection limit of the cardiotocograph (27 bpm) was analyzed using rejection limits of five and ten bpm. The means of the variability indices obtained by these four methods were compared in three groups of subjects: I. Infants with noiseless QRS complexes and without premature beats ( $N = 11$ ), II. Infants with noiseless QRS complexes and premature beats ( $N = 8$ ), and III. Infants with distorted QRS complexes ( $N = 5$ ). The differences of means were calculated using Student's paired t-test. In one subject with a double-peaked QRS complex the variability indices were calculated eight times from the same period of the electrocardiogram by using rejection limits of one to ten bpm.

### 3 Results

The effects of different rejection logic limits on the interval indices of the newborn are shown in Fig. 2. The change of rejection limits had no effect on II when no premature beats were present or when the premature beats were excluded by the cardiotocograph (groups I and

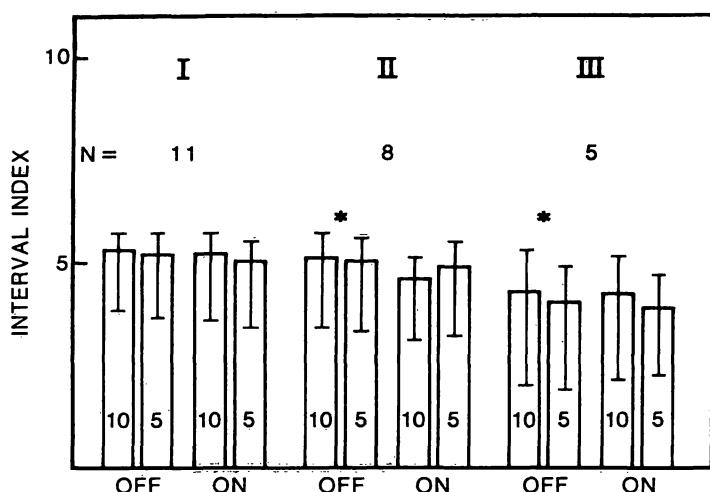


Fig. 2. Means, standard errors of means (on the bars) and standard deviations of means (in the bars) of interval indices measured with the logic of the cardiotocograph (off-on) and with the logic of the analyzer of heart rate variability (10–5) in three groups of infants (for definition, see text). \* $p < 0.05$

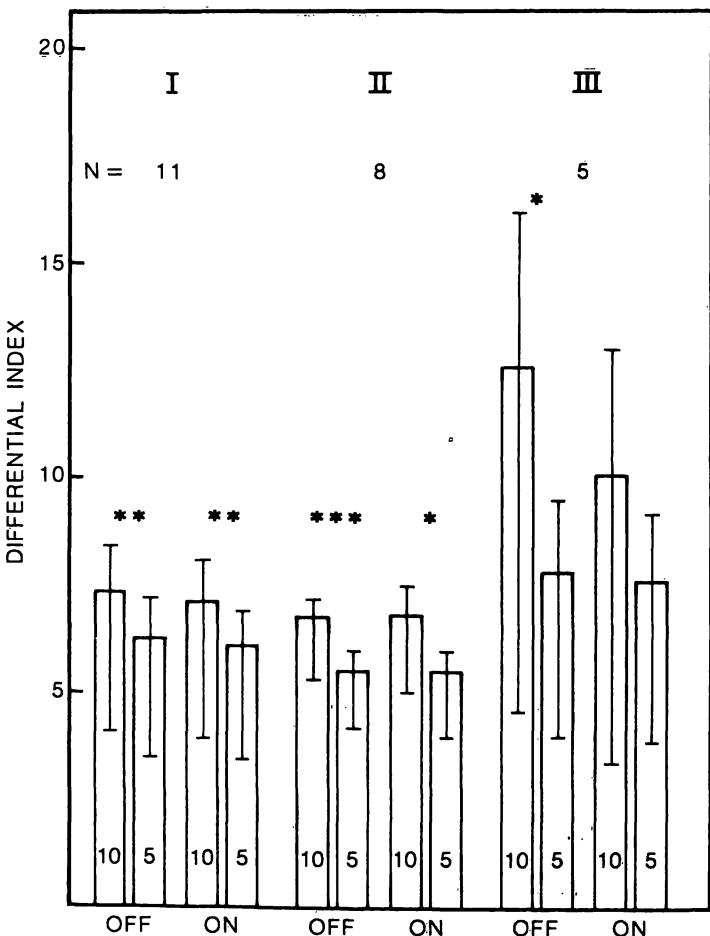


Fig. 3. Means, standard errors of means (on the bars) and standard deviations of means (in the bars) of differential indices measured with the logic of the cardiotocograph (off-on) and with the logic of the analyzer of heart rate variability (10–5) in three groups of infants (for definition see text). \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$

II). Premature beats increased the II values calculated using a rejection limit of ten bpm (group II). When distorted QRS complexes were present, II values calculated using a rejection limit of ten bpm were also higher than those calculated using a rejection limit of five bpm (group III).

The effects of different rejection limits on DI are shown in Fig. 3. The DI values calculated using a rejection limit of ten bpm were always higher than those calculated using a rejection limit of five bpm. The mean DI was always between five and eight, except when a rejection limit of ten bpm was used in the group with distorted QRS complexes, which created a much higher DI value. The association between variability indices and rejection limits chosen for an infant with abundant double-peaked QRS complexes is shown in Fig. 4. The rejection limit had no effect on II, but had a strong effect both on DI and on the percentage of accepted intervals. It is worth noting that DI values of less than ten were achieved when the rejection limit was lower than six bpm.

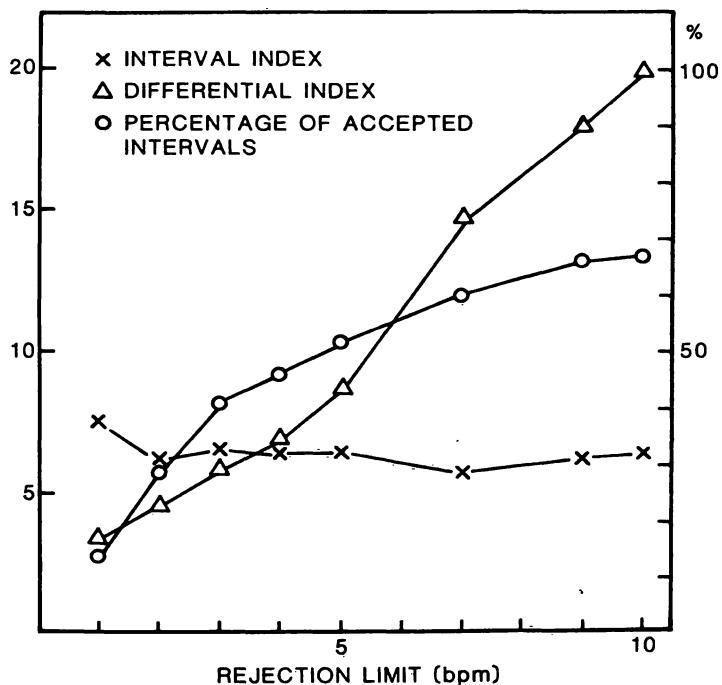


Fig. 4. The association between the rejection limit used in the calculation of the interval and the differential indices of neonatal heart rate and variability indices and the percentage of accepted intervals. The analyses of variability were performed from the same ten minute sample of the electrocardiogram.

#### 4 Discussion

The present study suggests that rejection logic is a useful tool in processing a neonatal electrocardiogram for the analysis of neonatal heart rate variability. Other research groups have eliminated the signal noise either by waiting for 512 consecutive heart intervals [8] or by selecting noiseless two-minute windows [2], both of which methods are impractical for routine monitoring of the neonate. In the present study, the use of rejection logic allows the variability indices to be measured continuously in samples of a chosen time length. As has been shown previously regarding fetal heart rate [3], II is not sensitive for missing intervals due to the rejection logic. DI, on the other hand, is always somewhat lower when stricter rejection limits are used, whether there are premature beats or not. If the QRS complexes are not bipolar, as they are presumed to be in the detection logic of the cardiographs [1], a DI value calculated with a rejection limit of ten bpm will be much higher than those measured from bipolar signals. With a rejection limit of five bpm, even these DI values fall within the normal range. We have collected some evidence from fetal studies that the valid information of fetal heart rate variability is in those beat-to-beat differences not exceeding five bpm [5, 10, 11]. Low indices of NHRV have been reported in association with idiopathic respiratory distress syndrome and prematurity [2, 8]. Too wide rejection limits, or abandoning the rejection limits totally, in the quantitative analysis of heart rate variability could produce erroneously high results in case of non-ideal QRS-complex and thus lead to false negative results. Therefore, it might be advisable to use narrow rejection limits in neonatal studies in order to avoid the trigger error caused by distortion of the QRS complex. The shape of the QRS complexes is dependent on the electrode position, therefore the incidence of distorted QRS-complexes might be decreased also by a careful search after a bipolar signal. The use of rejection logic allows also those epochs of neonatal electrocardiogram, which have missing intervals, to be analyzed for NHRV.

Premature beats do not seem to create a noteworthy problem in the analysis of neonatal heart rate variability. Their effect is excluded by rejection limits of both five and ten bpm,

whether the rejection logic of the cardiograph is used or not. The rejection logic of the cardiotocograph is designed solely to make the heart rate tracings more readable.

## Summary

The effects of different rejection logic limits on the results of analysis of neonatal heart rate variability from electrocardiograms by a microprocessor-based system were studied on fourteen infants after normal labor and on ten infants after elective cesarean section. In addition to the infant's movements and crying, two further main sources of error in the calculation of variability indices were detected: premature beats and problems in the shape of QRS complexes in a neonatal electrocardiogram. No noteworthy problem was observed in the calculation of the interval index (II), which describes the long term variability of heart rate. In the calculation of the differential indices (DI), which describe the short term component of heart rate, distorted

QRS complexes created very high DIs when the rejection logic was ten beats per minute (bpm). When stricter rejection limits were used (five bpm), the DI values even in these cases fell within the normal range. The DI values calculated using a rejection limit of five bpm were always lower than those calculated using a rejection limit of ten bpm, but the reference values of neonatal II and DI after normal labor were similar to those presented previously using a rejection limit of ten bpm. In conclusion, the neonatal electrocardiogram appears to be a noisy signal, comparable with the abdominal fetal electrocardiogram, and strict rejection limits are useful in processing it for indices of variability.

**Keywords:** Electrocardiography, heart rate variability, neonatal heart rate.

## Zusammenfassung

### Analyse der neonatalen Herzfrequenzvariabilität mit einem mikroprozessorgesteuerten On-line-System

Wir untersuchten den Einfluß unterschiedlicher Unterdrückungskriterien auf die Ergebnisse der Variabilitätsanalyse im neonatalen EKG von 15 Kindern nach Spontangeburt und 10 Kindern nach primärer Sektio. Dabei wurde ein mikroprozessorgesteuertes On-line-System benutzt. Zusätzlich zu den Kindsbewegungen und Schreiphasen wurden zwei weitere Fehlerquellen aufgedeckt: vorzeitig einfallende Schläge und Probleme bei der Formanalyse des QRS-Komplexes im neonatalen EKG. Die Berechnung des Intervallindex (II) als Ausdruck der Langzeitvariabilität bereitete keine Schwierigkeiten. Bei der Berechnung des Differentialindex (DI), der die Kurzzeitschwankungen widerspiegelt, führten je-

doch verzerrte QRS-Komplexe zu hohen DI's, wenn als Unterdrückungskriterium 10 Schläge pro Minute (bpm) angesetzt wurden. Bei einem strengerem Unterdrückungskriterium (5 bpm) lagen die Werte jedoch innerhalb der normalen Streubreite. Wurden 5 bpm als Unterdrückungskriterium angesetzt, lagen die DI-Werte immer niedriger als bei 10 bpm. Die Referenzwerte von neonatalen II's und DI's nach Spontangeburt waren jedoch vergleichbar mit Werten, die nach Anlegung eines Unterdrückungskriteriums von 10 bpm berechnet wurden. Wir meinen, daß das neonatale EKG, ähnlich wie das abdominale fetale EKG, verrauschte Signale liefert und damit strenge Unterdrückungskriterien bei der Berechnung der Variabilitätsindices angelegt werden sollten.

**Schlüsselwörter:** Elektrokardiographie, Herzfrequenzvariabilität, neonatale Herzfrequenz.

## Résumé

### Analyse de la variabilité du rythme cardiaque néonatal par un microprocesseur utilisant un système en temps réel

On a étudié, chez 14 enfants nés après un travail normal et chez 10 enfants nés par césarienne, les effets des limites des logiques de réjection différentes sur les résultats de l'analyse de la variabilité du rythme cardiaque néonatal à partir d'électrocardiogrammes à l'aide d'un système

utilisant un microprocesseur. En plus des mouvements et des cris de l'enfant, deux sources principales d'erreur ont été détectées dans le calcul des indices de variabilité: les battements prématûres et les problèmes de la forme des complexes QRS sur l'électrocardiogramme néonatal. On n'a pas observé de problème notable pour le calcul de l'index d'intervale (II), qui décrit la variabilité à long terme du rythme cardiaque. Pour le calcul des indices

différentiels (ID), qui décrivent la composante à court terme du rythme cardiaque, des distorsions dans les complexes QRS ont créé des ID très élevés lorsque la logique de réjection était de dix battements par minute (BPM). Lorsque des limites de réjection plus strictes ont été utilisées (cinq BPM), les valeurs de l'ID chutent à l'intérieur des résultats normaux même dans ces cas. Les valeurs de l'ID calculées en utilisant une limite de

réjection de cinq BPM ont toujours été plus basses que celles calculées en utilisant une limite de réjection de dix BPM. En conclusion, il apparaît que l'electrocardiogramme néonatal est un signal parasité, comparable à l'electrocardiogramme fœtal par voie abdominale, et des limites strictes de réjection sont utiles lorsqu'on le traite pour obtenir des indices de variabilité.

**Mots-clés:** Electrocardiographie, rythme cardiaque néonatal, variabilité du rythme cardiaque.

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