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PURDUE UNIVERSITY GRADUATE SCHOOL Thesis/Dissertation Acceptance

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By Soohwan Park

Entitled MEASURING FLUENCY: TEMPORAL VARIABLES AND PAUSING PATTERNS IN L2 ENGLISH SPEECH

For the degree of Doctor of Philosophy

Is approved by the final examining committee:

April Ginther

Atsushi Fukada

Mary K Niepokuj

Elaine J Francis

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Approved by Major Professor(s): April Ginther

Approved by: _____ Felicia D Roberts

4/11/2016

Head of the Departmental Graduate Program

MEASURING FLUENCY: TEMPORAL VARIABLES AND PAUSING PATTERNS IN L2 ENGLISH SPEECH

A Dissertation

Submitted to the Faculty

of

Purdue University

by

Soohwan Park

In Partial Fulfillment of the

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of

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TABLE OF CONTENTS

Ι	Page
LIST OF TABLES	vi
LIST OF FIGURES	. viii
ABSTRACT	x
CHAPTER 1. INTRODUCTION	1
1.1 Introduction	1
1.1.1 Evaluating Oral Proficiency	1
1.1.2 Oral Proficiency in L2 English Speech Sample	2
1.1.3 Fluency as a Component of Oral Proficiency	3
1.1.4 Extracting Temporal and Pausing Information from Speech Samples	4
1.1.5 Temporal Variables as the Speed of Oral Delivery	5
1.1.6 Pausing Patterns as Smoothness of Oral Delivery	6
CHAPTER 2. LITERATURE REVIEW	8
2.1 Fluency as a Component of Oral Proficiency	8
2.1.1 Definition of Fluency	8
2.1.2 Pausing as Hesitation Phenomena	11
2.1.3 Characteristics of Silent Pauses	12
2.1.4 Pausing Positions in Oral Delivery	15
2.1.5 Pausing as a Component of Prosody	16
2.2 Measuring Oral Proficiency	17
2.2.1 Testing Oral Proficiency	17
2.2.2 Measuring Fluency with Temporal Variables	20
2.2.3 Measuring Smoothness of Fluency with Pausing Pattern	26
CHAPTER 3. RESEARCH QUESTION	28

Page
CHAPTER 4. METHODOLOGY
4.1 Speech Samples
4.2 Procedures
4.2.1 Definition of a Pause
4.2.2 Transcribing Speech Samples
4.2.2.1 The Annotation Tool
4.2.2.2 Wave Form
4.2.2.3 Text Editor
4.2.2.4 Transcription with Fluency Information
4.2.2.5 Result File
4.2.3 Calculating Temporal Variables
4.2.4 Measuring Pausing Patterns
CHAPTER 5. RESULTS AND DISCUSSION
5.1 Result of Fluency Measures
5.2 Temporal Measures of Fluency
5.2.1 Total Response Time
5.2.2 Speech Rate
5.2.3 Mean Syllables per Run
5.2.4 Number of Pauses per Second
5.2.5 Number of Silent Pauses per Second
5.2.6 Number of Filled Pauses per Second
5.3 Pausing Patterns of Fluency
5.3.1 Number of Expected Pauses per Second
5.3.2 Number of Unexpected Pauses per Second
5.3.3 Expected Pausing Ratio
5.4 Correlation of Variables
5.5 Discussion on Fluency Measures
5.5.1 Methodology of Measuring Fluency
5.5.1.1 Fluency variables

		Page
5.5.1.2	Pausing patterns: expected and unexpected pausing positions	
5.5.1.3	Transcribing tool	
5.5.2 Fl	uency Measures	105
5.5.2.1	The speed of oral delivery	106
5.5.2.2	The smoothness of oral delivery	108
CHAPTER 6.	CONCLUSION AND FUTURE RESEARCH	110
REFERENCE	2S	115
VITA		120

LIST OF TABLES

Table	Page
2.1 Temporal Variables and Temporal Measures of Fluency	
2.2 Temporal Measures of Fluency in Ginther, Dimova & Yang (2010)	
4.1 Speech Samples	
4.2 Special Characters Used in Transcription	48
4.3 Temporal and Pausing Variables	60
5.1 List of Variables	62
5.2 Total Response Time (NP)	66
5.3 Total Response Time (RAL)	66
5.4 Speech Rate (NP)	
5.5 Speech Rate (RAL)	
5.6 Mean Syllable per Run (NP)	
5.7 Mean Syllable per Run (RAL)	
5.8 Number Pauses per Second (NP)	
5.9 Number Pauses per Second (RAL)	
5.10 Number Silent Pauses per Second (NP)	82
5.11 Number Silent Pauses per Second (RAL)	82
5.12 Number Filled Pauses per Second (NP)	
5.13 Number Filled Pauses per Second (RAL)	

Table	Page
5.14 Number of Expected Pauses per Second (NP)	87
5.15 Number of Expected Pauses per Second (RAL)	87
5.16 Number of Unexpected Pauses per Second (NP)	89
5.17 Number of Unexpected Pauses per Second (RAL)	89
5.18 Expected Pause Ratio (NP)	
5.19 Expected Pause Ratio (RAL)	
5.20 Correlation (NP)	
5.21 Correlation (RAL)	

LIST OF FIGURES

Figure	Page
1.1 Components in Oral Proficiency	
2.1 The Analysis of Hesitations (Trevor, 2006, p.432)	
4.1 Steps in Processing Fluency Variables	
4.2 Sample Screen of the Annotation Tool	
4.3 Wave Form	
4.4 Text Editor	
4.5 Transcription with Fluency Information	
4.6 Result Text File Example	
5.1 Total Response Time (NP)	65
5.2 Total Response Time (RAL)	65
5.3 Speech Rate (NP)	69
5.4 Speech Rate (RAL)	69
5.5 Mean Syllables per Run (NP)	
5.6 Mean Syllables per Run (RAL)	
5.7 Number of Pauses per Second (NP)	
5.8 Number of Pauses per Second (RAL)	77
5.9 Number of Silent Pauses per Second (NP)	
5.10 Number of Silent Pauses per Second (RAL)	

Figure	Page
5.11 Number of Filled Pauses per Second (NP)	83
5.12 Number of Filled Pauses per Second (RAL)	83
5.13 Number of Expected Pauses per Second (NP)	86
5.14 Number of Expected Pauses per Second (RAL)	86
5.15 Number of Unexpected Pauses per Second (NP)	88
5.16 Number of Unexpected Pauses per Second (RAL)	88
5.17 Expected Pause Ratio (NP)	
5.18 Expected Pause Ratio (RAL)	
5.19 Scatter Plots (NP)	95
5.20 Scatter Plots (RAL)	

ABSTRACT

Park, Soohwan. Ph.D., Purdue University, May 2016. Measuring Fluency: Temporal Variables and Pausing Patterns in L2 English Speech. Major Professor: April Ginther.

This paper examines temporal variables and pausing patterns in L2 English speech to investigate fluency as a measurable component of oral proficiency. Fluency can be defined as 'speed and smoothness of oral delivery'. We can measure the speed of oral delivery through calculating temporal variables such as speech rate and mean syllables per run where 'run' is the vocal chunk between silent pauses. The smoothness of oral delivery can be measured through examination of pausing patterns by classifying the placement of pauses. Pauses may be placed in expected positions such as clause/phrase boundaries or in unexpected positions. Pause placement in unexpected positions may reduce the smoothness of oral delivery. The data sets are speech samples from the Oral English Proficiency Test (OEPT) but include the responses from two items (RAL: read aloud; NP: news passage). A total of 325 speakers across four different language groups (native speakers of Korean, Chinese, Hindi, and English) are represented across 6 proficiency levels (rated by holistic scoring based on the OEPT scale from 35 to 60). The speech samples were transcribed manually using a computer-assisted annotation tool that allowed capture of information about syllables, pausing boundaries, and types of pausing positions. Development of the annotation tool became a central concern of this study as

establishing reliable and efficient methods in fluency research. Speech rate, mean syllables per run, and number of pauses per second were selected to examine temporal variables; number of unexpected pauses per second and expected pausing ratio were selected to compare pausing patterns across proficiency levels and language backgrounds. The results show that there are some linear relationships in temporal and pausing variables. High proficiency level speakers spoke at higher rates with expected pausing patterns compared to low proficiency level speakers who spoke at slower rates with almost no identifiable pausing patterns.

Keywords: second language acquisition, language testing, oral proficiency, fluency, pausology

CHAPTER 1. INTRODUCTION

1.1 Introduction

Fluency is one of the most important components of oral proficiency and can be used to represent general oral proficiency. In the narrow and focused definition, fluency can be defined as the speed and smoothness of oral delivery (Lennon 1990, 2000). The speed of oral delivery can be represented by temporal variables, and research on fluency has generally focused on the speed of oral delivery because temporal variables are relatively easy to extract and calculate from speech samples. However, fluency measurement as represented by pausing patterns and temporal variables in L2 English speech samples are less frequently examined together. This study investigates the possibility of expanding the measurement of fluency beyond speed to include the smoothness of oral delivery by examining pausing patterns.

1.1.1 Evaluating Oral Proficiency

Measuring oral proficiency has been limited due to difficulties in collecting and analyzing speech samples. In addition, although evaluating proficiency in speaking is essential in evaluating overall language proficiency, testing speaking has only recently become a standard component of language tests. Recent developments in computer technology have aided efforts to effectively test speaking rates in language test, and large scale collection and analysis of speech samples to investigate the components of oral proficiency has become easier for researchers.

1.1.2 Oral Proficiency in L2 English Speech Sample

This study examines speech samples of L2 and L1 English speakers. More specifically, the speech samples analyzed in this study are collected from the OEPT (Oral English Proficiency Test) at Purdue University. The OEPT is a local, semi-direct English proficiency test for prospective international graduate teaching assistants. The OEPT test takers are assumed to have at least an intermediate level of English proficiency because they have been already admitted to the graduate school and have met the required language proficiency cut-off for the TOEFL iBT (77 total score) or a comparable test. The rating scale of the OEPT consists of six score points 35, 40, 45, 50, 55, and 60.

The three major language groups of international graduate students who take the OEPT are Chinese, Korean, and Hindi. Hindi speakers from India generally score at the higher proficiency levels while the oral English proficiency levels of Korean and Chinese test takers distribute across score points. The majority of Korean and Chinese test takers have scores of 40 and 45 with smaller numbers at levels of 50 and 35, and less frequently at 55 and 60.

The data set in this study is composed of the OEPT responses from Korean, Chinese, and Hindi language groups across score levels of 35, 40, 45, 50, and 55. Item responses from those groups should not be considered equivalent because Korean, Chinese, and Hindi speakers have different characteristics in speaking their first language backgrounds, and language learning experiences affect their performance in responses. Therefore, when we compare test takers, we must keep in mind how those language backgrounds may have affected language performances.

1.1.3 Fluency as a Component of Oral Proficiency

There are common components in language proficiency such as grammar and vocabulary that are assumed to have similar roles in language use for listening, reading, writing, and speaking. Fluency is another component of language use. For example, we can discuss fluency in reading to refer to whether we can read written English passages with speed and smoothness. However, fluency is most commonly associated with oral proficiency.

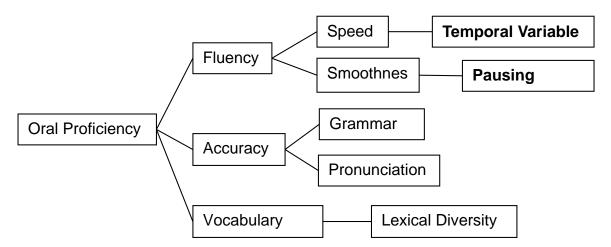


Figure 1.1 Components in Oral Proficiency

Possible components in oral proficiency are shown in Figure 1.1. There are surely other components in oral proficiency such as coherence that also play a role. However, one important feature of fluency as represented in Figure 1.1 is that it is relatively easy to measure as compared to other components in oral language proficiency. We can measure the speed of oral delivery by measuring temporal variables such as speech rate and mean syllables per run (Ginther, Dimova, & Yang 2010). Measures of smoothness are not as

easily established. However, it has been suggested that pausing patterns are related to the smoothness of oral delivery. (Petrie 1987; Riggenbach 1991)

1.1.4 Extracting Temporal and Pausing Information from Speech Samples

This paper analyzed relatively large numbers of responses from 325 subjects to extract temporal and pausing information. Speech samples were transcribed to count the number of syllables and tagged to determine the boundaries of phonetically realized vocalizations and silent pauses. Each pause was marked as occurring in an expected or unexpected position. Speech samples in this study were collected from responses of the read aloud (RAL) and news passage (NP) items of the OEPT and the length of the responses are restricted to two minutes long maximum. It was not necessary to transcribe the RAL item because test takers were reading scripts. After the NP item responses were transcribed, tagged, and marked for the main analysis of measuring fluency, temporal information such as total response time, number of syllables, and number of pauses were extracted for calculating temporal and pausing variables.

Using an effective tool is important in language processing because processing tagged language data from any raw audio and text data is tedious and hard work if it is done by hand. That is why most fluency research has analyzed only relatively small amounts of data. Although this study does not include fully computerized natural language processing, e.g., calculating speech rates automatically by detecting number of syllables with a computer application, tagging a transcribed speech sample to get positions of pauses is not possible without a computer-assisted annotation tool. This study uses a computer assisted annotation tool developed specially for fluency research that is covered in this study. The computer-assisted tool aids in manual transcription of

speech samples, determination of boundaries of runs and pauses, and indication of types of pauses. The tool also automatically extracts temporal and pausing information from transcription, and calculates temporal and pausing variables. In addition, transcription conventions to mark fluency related features from speech samples are included. Development of the computer-assisted annotation tool is a central concern in establishing methods for fluency research.

1.1.5 Temporal Variables as the Speed of Oral Delivery

Research on fluency has been focused on calculating and comparing temporal variables across different language proficiency levels and the use of temporal variables to represent overall oral proficiency has worked well (Kormos & Denes 2004). Temporal information from speech samples are categorized into length and number variables, such as the length of spoken and silent time periods, and the number of syllables and pauses. The syllable is the basic unit of production and the average number of syllables with a given time period has been recognized as a good measure of oral proficiency. Pauses are silent parts that occur between runs and denote hesitation or breathing, and long silent pauses are regarded as basic evidence of non-fluency. However, not every pause is silent and pauses vocalizations such as 'uh' are called filled pauses. Filled pauses are not necessarily evidence of non-fluency.

From the information on length and number (e.g., total response time, total number of syllables, silent pause time, and total number of pauses), we can calculate various temporal variables of quantity and rate of production (e.g., speech time ratio, speech rate, and mean syllable per run), and frequency and length of pauses (e.g., number of silent pauses per second, silent pause total response ratio). Among those temporal variables, rate of production (i.e., speech rate and mean syllable per run) have been chosen for this study because counting the number of syllables and silent pauses is highly reliable, and rate of production has been found to be related to the holistic ratings by human raters (Riggenbach, 1991; Kormos & Denes, 2004; Ginther, Dimova, & Yang, 2010). Therefore, speech rates, number of silent pauses per minutes, and mean syllables per run are calculated to establish that temporal variables can represent overall oral proficiency in speech samples from the OEPT across different proficiency levels.

1.1.6 Pausing Patterns as Smoothness of Oral Delivery.

When measuring fluency, the pause, along with the syllable, is one of the basic units in oral production. Pauses are generally regarded as hesitation phenomena in oral delivery and evidence of non-fluency. However, not every pause is due to hesitation. We need pauses in oral production because we have to breathe occasionally when we speak. Pausing as a hesitation phenomenon may not be found in some oral delivery. In conversations between two people, a relatively long pause may indicate turn-taking. In other words, a pause is an indication that a speaker has finished his or her turn and the other conversational partner can take a turn in the conversation. Or the speaker stops oral production, pauses as a hesitation, and the hesitation could incorrectly signal turn-taking and the other conversational partner might take the next turn.

In spontaneous monologic speech, like the responses to the OEPT, pauses are commonly found and can be associated with hesitation phenomena or normal respiration. Speech samples from higher proficiency levels contain fewer pauses because speakers with higher proficiency do not hesitate as often as lower proficiency speakers in their responses. In contrast, the responses of lower level speakers often contain noticeable pauses in their oral production and those pauses tend to be longer. Pausing is a distinctive characteristic of lower proficiency speakers.

However, oral delivery without pauses would be fast and fluent but not necessarily evidence of 'good' oral delivery. Pausing, therefore, can be understood and categorized as expected versus unexpected. Fluent speakers place pauses in the 'right' places and expected placement does not reduce fluency. In other words, when pauses occur in oral delivery, pauses in 'expected' positions such as phrase and clause boundaries help listeners to process messages. For example, a pause placed between a subject and a verb would be in an expected position while a pause placed between an article and a noun would be in an unexpected position. Speakers with higher proficiency level might produce more pauses in expected positions while lower level speakers may pause more frequently in unexpected positions. This paper identifies expected pauses based on the list of expected pausing positions from Goldman-Eisler (1968) and then analyzes pausing patterns to compare across proficiency levels.

Therefore, this study suggests pausing patterns as a component of fluency to measure smoothness of oral delivery, along with temporal variables to measure speed of oral delivery, by showing whether there are differences across proficiency levels regarding to temporal and pausing variables in speech samples. Moreover, this study provides detailed procedures for processing speech sample data with a computer-assisted tool in order to measure fluency.

CHAPTER 2. LITERATURE REVIEW

2.1 Fluency as a Component of Oral Proficiency

2.1.1 Definition of Fluency

Fillmore (1979) categorized four different dimensions of fluency: "1) the ability to talk at length with few pauses and to fill time with talk. 2) the ability to talk in coherent, reasoned, and 'semantically dense' sentences. 3) the ability to have appropriate things to say in a wide range of contexts. 4) the ability (that some people have) to be creative and imaginative in their language use such as to express their ideas in novel ways, or to create and build on metaphors." (p. 51) Fillmore summarized these dimensions based on how well people speak in their native languages. In other words, fluency as developed by Fillmore is closely related to the proficiency of L1 language use.

Because Fillmore was discussing fluency with respect to first language speaking abilities, the four dimensions in Fillmore's scheme may be problematic when applied to second language speaking. Specifically the first dimension of "simply the ability to talk at length with few pauses, the ability to fill time with talk" is a challenge for second language speakers. Fillmore gave the example of disc jockeys or sports announcers who may be able to speak fluently, but not necessary in "a semantically dense" manner. Fillmore gave scholars as an example for the other aspects of fluency (the second, third, and fourth dimensions), which suggest that such fluent speakers may be outlying performers, even among first language speakers.

Fillmore's discussion on first language speaking can, to some extent, be extended to second language speaking abilities, and fluency has been widely researched in second language studies. Lennon (1990) presented a new point of departure to examine fluency in second language speaking and explained two senses of fluency: a broad sense and a narrow sense (p. 389).

- (1) The broad sense: fluency corresponding roughly to all-round oral proficiency
- (2) The narrow sense: fluency referring to the speed and smoothness of oral proficiency

In the broad sense, fluency is often used as a synonym for overall proficiency, as in "She speaks English fluently" which is more expected than to say "She speaks English proficiently". Thus, "She speaks English fluently" can mean "She speaks English with good oral proficiency" while it might also refer to the narrow sense as in "She speaks English with speed and smoothness". In the narrow sense, speaking at a particular rate and smoothly is generally recognized as a necessary but insufficient condition for overall oral proficiency.

Developing the concept of fluency further, Lennon (2000) argued that a narrow sense of fluency constituted lower-order fluency, while the broad sense of fluency represented higher-order fluency. (p. 25) Lower-order fluency can be measured by examining temporal variables such as speech rate and dysfluency markers (i.e. pauses). However, Lennon also pointed out that "temporal variables were merely the tip of iceberg as indicators of fluency" because a listener's perception of fluency was not simply determined by temporal characteristics alone (p. 25). Furthermore, temporal variables would vary even for an individual speaker depending on the discourse topic, situation, interlocutor, and the speakers' mental state. Lennon also distinguished 'false fluency' which is the outcome of a particular strategy employed by some language learners to maintain high levels of purely temporal fluency by using and repeating familiar automatized phrases. He suggested that fluency could only be accurately measured by taking into account assessed topic, situation, and role relations. In addition, Lennon discussed the relationship between fluency and error, and introduced 'fossilized fluency' to describe second language speech that may be fluent but displays systematic errors. From the speaker's point of view, there is a trade-off between temporal fluency and the errors that are the result of processing pressures (p. 32). Lennon concluded that eventually these errors will be 'fossilized' in order to maintain a particular level of temporal fluency.

Lennon (2000) suggested a working definition of fluency as "the rapid, smooth, accurate, lucid, and efficient translation of thought or communicative intention into language under the temporal constraints of on-line processing." (p. 26) This definition contains the words 'accurate', 'lucid,' and 'efficient' as well as 'smooth' and 'rapid,' while the definition of the narrow sense of fluency only contains 'speed' and 'smoothness.'. However, with regards to temporal variables, at present, we can only really measure the narrow sense of fluency.

This study focuses on the low-order or narrow sense of fluency, that is, the speed and smoothness of oral delivery. The speed of oral proficiency can be measured by temporal variables and has been examined thoroughly in fluency research. Temporal variables are calculated by information of articulated sounds and silent pauses in oral delivery. Pauses have an important role in fluency that can affect both speed and smoothness because frequent pausing or misplaced pauses are evidence of non-fluency.

2.1.2 Pausing as Hesitation Phenomena

A pause is a silent or non-semantic portion in speaking that is not a part of meaningful oral delivery. In the view of regarding pausing as hesitation phenomena, pauses are not obligatory when speaking and any noticeable pause can be regarded as hesitation in speaking. Trevor (2006) analyzed hesitations based on a theory of language production. Trevor argued pauses occur in the stage of both micro-planning and macroplanning that are two core processes in the conceptual generation for speech (Levelt, 1999).

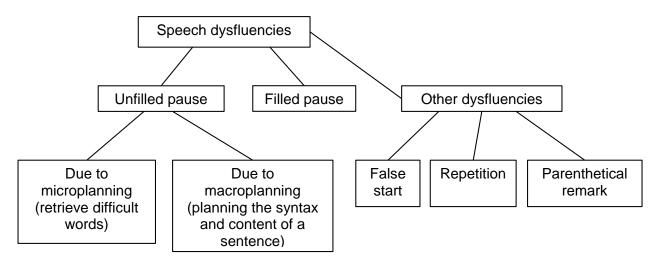


Figure 2.1 The Analysis of Hesitations (Trevor, 2006, p.432)

Pauses may occur before difficult lexical units in micro-planning (Goldman-Eisler, 1958; Beattie & Butterworth, 1979) and before complex syntactic or semantic structures in macro-planning (Boomer, 1965; Butterworth, 1975; Hawkins, 1971). In this view, pauses may reflect evidence of additional effort in planning because there is hesitation in oral delivery. Petrie (1987), based on the studies of Goldman-Eisler (1968), discussed relationships between hesitation and word selection, speech task difficulty, syntactic structure, and cognitive 'cycles' (semantic planning) in planning of utterances.

2.1.3 Characteristics of Silent Pauses

The term 'unfilled pause' refers to a silent pause that does not contain any articulation. However, a very short silent part within an utterance would not be recognized as hesitation. Goldman-Eisler (1958) noted that a pause of less than 0.25 seconds should not be considered a discontinuity (p. 12). However, she argued that, in terms of planning of speech, a silent period longer than 0.25 seconds is related to planning; and the silent pause may also contribute to reducing fluency, along with filled pauses such as 'uh' and other dysfluencies such as self-repairs, repetitions, and false starts.

Riggenbach (1991) investigated measures of fluency in the speech samples of second language learners within an interactive context between NS (native speaker) and NNS (non-native speaker). Riggenbach categorized measures of fluency into five parts: 1) hesitation phenomena, 2) repair phenomena, 3) rates and amount of speech, 4) interactive phenomena, and 5) interactive features regarding to turn change types. Hesitation phenomena included micropauses, hesitations, and unfilled pauses based on their lengths, along with lexical and non-lexical filled pauses. Repair phenomena included retraced restarts (i.e., reformulation in which part of the original utterance is repeated) and unretraced restarts (i.e., reformation in which the original utterance is rejected, or a 'false start'). Rate and amount of speech included rate of speech (= number

of words / semantic units per minute), amount of speech (= total number of words / semantic units), percentage of speech (= non-native speaker to native speaker), and the total number of turns between non-native speaker and native speaker. Interactive features included various phenomena related to interactions between NS and NSS whether there is a gap in turn-taking. In addition to a silent gap in turn-taking, turns (i.e., the end of former speaker and the beginning of the latter speaker) of two speakers can be connected without any gap, or overlapped.

Riggenbach (1991) categorized silent pauses into three categories by their length (p. 426) when she investigated measures of fluency in the speech samples of second language learners in an interactive context.

- (1) Micro pause -a silence of 0.2 second
- (2) Hesitation -a silence of 0.3 to 0.4 second
- (3) Unfilled pause -a silence of 0.5 second or greater

If speaking does not happen in an interactive context such as a monologic speech, categorization of silent pauses may be different because of turn-taking in conversation. A definition of a silent pause is not necessarily a strict length like 0.25 seconds. However, it should be consistent within a study. This paper uses 0.25 seconds following the tradition of Goldman-Eisler.

Riggenbach (1991) analyzed speech samples in conversations of six NNS subjects - three very fluent and three very non-fluent. The results showed that there were statistically significant differences in some variables such as pausing and speech rate. Like earlier studies in fluency, the sample size was not large enough to lend to generalization. However, Riggenbach gave an overall classification of fluency-related features and temporal variables. In addition, she provided a good description of the results from dialogic as well as monologic speech samples. As mentioned in the discussion of interactive phenomena, it is difficult to transcribe and mark interaction-related features in the speech samples. For research purposes, it would be preferable to narrow down the types of speech samples (e.g., a narrative task with a fixed content), even though most speaking activities happen between two sides (i.e., speaker and listener) with various and unlimited topics.

Riggenbach (1991) argued that micro pauses and hesitation (short pauses of 0.4 second or less) occurred frequently in NS speech samples and such short pauses were not perceived as a lack of fluency because native speakers are supposed to be fluent compared to non-native speakers (p. 426). Riggenbach provided possible types of short pauses according to their place in a sentence, and claimed that short pauses do not always indicate non-fluency (p. 427). Sentence (1) shows pauses that are inserted at predicable places or clause boundaries (juncture pauses; Hawkins, 1971) and sentence (2) shows pauses that occur in mid-clause or mid-phrase rather than at clause boundaries and do not contribute to a smoothly flowing speech.

- (1) I'm interested in that subject (pause) and I pursued it further.
- (2) So I think we should live (pause) with our old parents or even (pause) old grandpa (pause) together.

Pawley and Syder (1983) would appear to agree when they claimed that there were rather few hesitations within simple clauses in non-fluent NS speaking and even fluent speakers pause or slow down at or near clause boundaries in lengthy connected discourse (p. 200). Pauses from NNS speaking would follow NS speaking in terms of nativelike fluency and pauses may not indicate non-fluency either.

2.1.4 Pausing Positions in Oral Delivery

Goldman-Eisler (1968) claimed that pauses in L1 speech samples normally occur at grammatical junctures. She descrived grammatical junctures as follows: (p. 13)

(1) "Natural" punctuation points, e.g. the end of sentence.

- (2) Immediately preceding a conjunction whether (i) co-ordinating, e.g. and, but, neither, therefore, or (ii) subordinating, e.g. if, when, while, as, because.
- (3) Before, relative and interrogative pronouns, e.g. who, which, what, why, whose.
- (4) When a question is indirect or implied, e.g. "I don't know whether I will".
- (5) Before all adverbial clauses of time (when), manner (how) and place (where).
- (6) When complete parenthetical references are made, e.g. "You can tell that the words – this is the phonetician speaking – the words are not sincere".

Along with the occasions of grammatical junctures, Goldman-Eisler gave

examples of non-grammatical pauses that are not covered by the rules given above:

- (1) Where a gap occurs in the middle or at the end of a phrase, e.g. "In each of // the cells of the body // ..."
- (2) Where a gap occurs between words and phrases repeated, e.g. (i) "The question of the // of the economy". (ii) "This attitude is narrower than that // than that of many South Africans".
- (3) Where a gap occurs in the middle of a verbal compound, e.g. "We have // taken issue with them and they are // resolved to oppose us".

(4) Where the structure of a sentence was disrupted by a reconsiderations or a false start, e.g. "I think the problem of de Gaulle is the // what we have to remember about France is ..."

Example (2) and (4) show the case of dysfluency i.e., repetition, self-repair, and false-starts. Pauses are thought to appear as dysfluency when additional planning occurs after producing errors. Examples (1) and (3) show that pauses should not be inserted inside grammatical units such as prepositional phrases and verbal compounds but should be added before them. In addition, pauses should be inserted at grammatical junctures that occur before function words such as conjunctions, relative pronouns and adverbs. Thus, the basic pausing pattern is to place a pause before grammatical units such as phrases, clauses, and multi-word units. However, 'punctuation' as a grammatical juncture looks like a unit placed after, for instance, a sentence. Actually a silent gap between sentences occurs before producing a new sentence, not after finishing the previous sentence, because a speaker's discontinuing oral production would indicate the end of his or her speaking, not a pause. We can say that there is a gap between sentences because the two sentences are already produced in the speech production; we never know whether the second sentence will be produced in practice.

2.1.5 Pausing as a Component of Prosody

Pausing patterns are not only related to syntactic structures but also to sound patterns of English. Price, Ostendorf, Shattuck-Hufnagel, and Fong (1991) define prosody as "suprasegmental information in speech samples, such as phrasing and stress, which can alter perceived sentence meaning without changing the segmental identity of the components" (p. 2956). Warren (1996) included temporal parameters and tonal features in prosody. Warren defined temporal parameters as "the incidence and duration of silent pauses, and the lengthening of speech segments and syllables before the boundary" (p. 2) and noted that temporal parameters can be related to fluency in oral delivery.

Ferreira (1993) provided the following example of prosodic boundary and sentence structure (p. 234). The word 'black' in (1) would be produced longer than in (2) with a pause. In other words, there is a prosodic boundary after the words 'black' in (1) because (1) and (2) have different sentence structures.

(1) The table that I thought was **black** tempted me.

(2) The **black** table tempted me.

As pointed out in Fodor (2002), prosody has been widely researched in linguistics in regards to sentence processing. It is obvious that we cannot easily separate prosody from sentence processing in oral production and perception. Pausing phenomena as a part of prosody are strongly related to sentence structure, and pauses can be investigated as prosodic boundaries in sentence processing.

2.2 Measuring Oral Proficiency

2.2.1 Testing Oral Proficiency

The domain of language use and the situation of test takers may be differentiated based upon the purpose of the oral proficiency test. Ginther (2003) summarized and discussed various methods of testing the oral proficiency of International Teaching Assistants (ITAs) in American universities. ITAs have the responsibility of teaching undergraduate students in American university classrooms and therefore require relatively high levels of oral proficiency to deliver the content of courses as well as communicate with their students. In addition to teaching abilities, screening for the position of ITA in American universities must pay special attention to oral proficiency because the primary mode of instruction is oral. Thus, testing the oral English proficiency of ITA is an example of language assessment for specific purposes.

Methods for assessing oral proficiency are categorized into indirect, semi-direct, direct, and performance assessments. In the past, indirect methods produced scores for English proficiency tests such as the TOEFL (Test of English as a Foreign Language) or the GRE (Graduate Record Examination) verbal sections to determine the oral proficiency of ITAs. Using indirect methods was based on assuming some correlation between the TOEFL or the GRE verbal scores and levels of oral proficiency. However, the use of indirect measures for ITA screening was problematic because TOEFL and GRE did not include a speaking section.¹

Semi-direct tests allow for large-scale measurement of oral proficiency through testing actual spoken English. Ginther (2003) mentioned that the Test of Spoken English (TSE)² is the classic example of a semi-direct test of oral proficiency. The main characteristic of semi-direct tests is the absence of an interlocutor. In the TSE, examinees responded to a series of prompts, which were audio taped and then sent to Educational Testing Service (ETS) to be scored. Thus, there was no interaction with an interlocutor. Despite the difference in tasks and interactions in direct and semi-direct measures,

¹ The most recent version of TOEFL iBT does include a speaking section and TOEFL iBT is therefore no longer an indirect form of assessment.

 $^{^{2}}$ TSE is not provided by ETS anymore due to the inclusion of a speaking section in the TOEFL iBT.

linguistic features appear to be similar, although responses from semi-direct tests have been formed to be more coherent and organized due to the nature of the tasks and lack of an interlocutor (p. 69).

Ginther (2003) explained that semi-direct tests provide no opportunity for interaction with an interlocutor. However, for evaluating the teaching abilities of ITAs, semi-direct tests have the advantage of evaluating examinees' abilities in a standard manner without the informality, interruptions, and asides associated with casual conversation or interviews.

Purdue's Oral English Proficiency Test (OEPT) was designed to test communicative abilities of ITAs using a computer-based administrative platform. The OEPT is a locally designed and administrated English test for a specific population: international graduate students at a large mid-western American university. The OEPT uses prompts that simulate various situations for TAs to provide information about the abilities required for performing TA-related work (e.g, giving advice to students, leaving message for an office mate). Thus, the OEPT not only evaluates general oral English proficiency that is needed for studying at the graduate level, but also presents communicative language abilities that are needed to become a successful ITA.

Ginther (2003) gave an example of Oral Proficiency Interviews (OPIs) for the explanation of direct tests. OPIs are argued to test speaking ability in 'real-life' situations because there is interaction between an interviewer and the examinee. However, OPIs do not actually mirror natural conversation because examinees respond to interview questions, but both testers and examinees might favor the interview format because it allows for more control of the interaction through interaction and negotiation.

19

The final category of tests for ITAs is performance assessments. Ginther (2003) explained that the common form of performance assessments is a teaching simulation. An examinee of an ITA screening test is asked to prepare a short presentation on a topic from the examinee's field of study. Performance assessments have the advantage of simulating classroom environments by giving an examinee the chance to teach in English. However, like interviews, performance assessments are still not identical to natural teaching situations and they are not cost-effective. Direct tests and performance assessments might have greater face validity with respect to natural oral conversation, but they are not always favored because of the considerable cost and the lack of reliability of test results across performance contexts.

2.2.2 Measuring Fluency with Temporal Variables

Measuring the speed of oral delivery using temporal variables has been widely used in fluency research of second language speakers (Möhle, 1984; Lennon, 1990; Riggenbach, 1991; Towell, Hawkins, & Bazergui, 1996; Cucchiarini, Strik, & Boves, 2002; Wood, 2004; Kormos & Denes, 2004; Ginther, Dimova, & Yang, 2010). Based on the literature, it is clear that temporal variables such as speech rate and mean syllables per run are positively correlated with proficiency. It makes sense that L2 speakers with high proficiency can speak faster than speakers with low proficiency. Furthermore, temporal measures of fluency are reliable measures of oral proficiency because researchers can provide an objective guideline of how to extract temporal features from speech samples such as total response time, number of syllables, and number of pauses.

Monologic speech samples are common to many fluency studies (e.g., retelling a story after watching video clips or responding to a question). However, Riggenbach

(1991) analyzed speech samples from interviews and noted that interactive situation is a more natural environment for the use of spoken language. That being said, for ITAs who will often be giving short lectures and instructions, monologues may also be considered an appropriate measure. Analyzing monologic speech samples has the advantage of control. Speech samples do not contain pausing features common to interaction and extracting temporal and pausing information is much simpler.

Kormos and Denes (2004) categorized temporal variables based on a monologic narrative task with a fixed content. Selected temporal variables were observed and analyzed in speech samples. The variables were derived as follows (pp. 151-152).

- Speech rate: number of syllables / total response time (total time to produce speech sample; including all utterances and pauses). Unfilled pauses under 3 seconds were not included in calculation following Riggenbach (1991)
- (2) Articulation rate: number of syllables / (speech time + filled pause time).Articulation rate includes all semantic units (partial words and filled pauses)
- (3) Phonation time ratio: total time spent speaking / total response time
- (4) Mean length of run: number of syllables / number of runs. Run indicates utterances between pauses of 0.25 second and above
- (5) The number of silent pauses per minute: total number of pauses / total amount of time spent speaking * 60
- (6) The mean length of pauses: total length of pauses / total number of pauses.For calculation of 5 and 6, pauses over 0.2 seconds were considered
- (7) The number of filled pauses per minute: based on the number of filled pauses such as 'uhm,' 'er,' and 'mm'

- (8) The number of disfluencies per minute: based on the number of disfluencies such as repetitions, restarts and repairs
- (9) Pace: the number of stressed words per minute
- (10) Space: The proportion of stressed words to the total number of words

The first six variables are typical temporal variables related to the speed of oral delivery. The seventh and eighth variables are regarded as factors related to disfluency such as hesitating and repairing with additional sounds. The ninth and tenth variables are related to prosodic features, especially stress in English. Except for the last two, the other variables have been commonly included in fluency studies.

Kormos and Denes (2004) calculated temporal variables for 16 subjects (8 fluent and 8 non-fluent; rated by three non-native speakers and three native speakers) and the results showed that there were statistically significant differences between fluent and non-fluent participants in speech rate, phonation time ratio, the mean length of run, and the mean length of pauses. Kormos and Denes measured other non-temporal aspects of oral delivery such as quantity of talk (the total number of words), lexical diversity (Dvalue in Malvern & Richards, 1997) and accuracy (number of error-free clauses / clauses). Results showed that there were significant differences between fluent and nonfluent participants in accuracy, D-value, and number of words. In addition, rank-order correlations of the temporal, linguistic variables, and raters' scores showed that there were strong correlations between raters' score and speech rate, mean length of run, and number of stressed words. There were strong correlations between raters' scores and phonation time ratio (r=0.74) and mean length of pauses (-0.62), as well as accuracy (0.76), D-value (0.57) and number of words (0.56). However, the sample size was relatively small. Despite the assistance of computer-assisted tools to transcribe and extract temporal variables as in Kormos & Denes, analyzing speech samples remains a difficult task for fluency researchers. Table 2.1 summarizes the most common temporal variables based on Kormos & Denes.

Fluency studies like Riggenbach (1991) and Kormos & Denes (2004) focused on fluency-related features and temporal variables. For example, Riggenbach focused on fluency-related features, while Kormos and Denes focused on the calculation of temporal variables themselves. The research methods for measuring fluency based on temporal variables by Riggenbach and Kormos & Denes has been well established. In measuring fluency, it is necessary to divide two types of temporal variables: temporal variables extracted from speech samples directly; and temporal variables calculated from extracted values. For example, the number of silent pauses and the number of syllables are directly extracted from a speech sample, while the mean number of runs will be calculated from these two values.

Extracted from a speech sample	Calculated from extracted values
Total silent pause time	Mean of silent pause time
The number of silent pauses	The number of silent pauses per minute
Total filled pause time	Mean of filled pause time
The number of filled pauses	The number of filled pauses per minute
Total syllables	Mean length of runs
Speech time	Speech rate
Speech time plus filled pause time	Articulation rate
Total response time	Phonation time ratio

Table 2.1 Temporal Variables and Temporal Measures of Fluency

Ginther, Dimova, and Yang (2010) conducted research on temporal measures of fluency using a relatively large number of sample responses to the OEPT (Oral English Proficiency Test). The 150 subjects represented various language backgrounds and levels of English proficiency. The OEPT had 8 different test items. The examinees' responses to each item were rated by trained raters using a holistic scale ranging from 3 to 6. Test takers who got scores of 3 and 4 were placed into a language support program while test takers with 5 and 6 could teach in classroom without additional training in English.

Ginther, et al. (2010) analyzed OEPT examinee responses to measure their fluency in English. Analyses were conducted on responses to the news item (NP) in which test takers gave an opinion after reading a news passage related to life at the university. The language backgrounds of examinees were the two largest populations of ITAs: Chinese and Hindi. In addition, L1 English speakers recorded responses to provide a comparison with the L2 English speakers. All speech samples were transcribed to extract basic temporal information. Seventeen individual variables were calculated from extracted temporal information and they were examined for differences across proficiency levels and language backgrounds. Table 2.2 presents calculated temporal variables in Ginther et al.

Ginther et al. (2010) categorized temporal measures of fluency into two major categories as follows.

- (1) Measures of rate such as speech rate, articulation rate, and mean syllables per run
- (2) Measures of sound and silence (quantity of times spent in sound and silent)such as speech time ratio, silent pause ratio, filled pause ratio

Temporal measures	Variables	Explanation		
	Total response time	Total time to produce speech sample including all utterances and pauses		
Quantity	Speech time	Time spent on speaking including all semantic units (partial words and filled pauses) ³		
	Speech time ratio	Speech time / Total response time		
	Number of syllables	Total number of syllables in a speech sample		
Rates	Speech rate	Number of syllables / Total response time * 60		
Kales	Articulation rate	Number of syllables / Speech time * 60		
	Mean syllable per run	Number of syllables / Number of runs ⁴		
	Silent pause time	Total time of silent pauses ⁵		
	Number of silent pauses	Total number of silent pauses		
Silent Pauses	Mean silent pause time	Silent pauses time / Number of silent pauses		
r auses	Silent pause total pause ratio	Silent pauses time / Total pause time		
	Silent pause total response ratio	Silent pauses time / Total response time		
	Filled Pauses Time	Total time of filled pauses ⁶		
	Number of Filled Pauses	Total number of filled pause		
Filled Pauses	Mean Filled Pauses	Filled pauses Time / Number of filled pauses		
1 auses	Filled pause total pause ratio	Filled pauses time / Total pause time		
	Filled pause total response ratio	Filled pauses time / Total response time		

Table 2.2 Temporal Measures of Fluency in Ginther, Dimova & Yang (2010)

³ Roughly, total response time minus total silent pause time ⁴ Run indicates utterances between pauses of 0.25 second and above (Kormos & Denes, 2004)

⁵ Silent pauses are silent part of 0.25 second and above between utterances.
⁶ Non-lexical sound stretches such as uh, um and uhr. (Riggenbach, 1991)

The measures of rate are related to how quickly speakers produced their oral delivery. For example, speech rate shows how many syllables are produced in one minute. The research showed that a speaker who was rated highly in terms of English proficiency produced a higher number of syllables per minute indicating they can talk relatively quickly and continuously, as compared to lower proficiency speakers. The measures of sound and silence are related to pausing as hesitation phenomena in oral delivery. Ginther et al. (2010) found that there was no significant difference in filled pause ratio across proficiency levels. Thus, it may not be necessary to examine filled pauses separately and it may be possible to incorporate them with either silent pauses or vocalization. It may be more natural to include filled pauses with silent pauses and speech time ratio will be the same as silent pause ratio. Thus, we can contrast the silent parts and the sounding parts of speech samples more effectively. Speech samples from lower proficiency levels are composed of, on average 60% sound and 40% pausing, while at higher proficiency levels it is on average 80% sound and 20% pausing (p. 392). To be succinct, more pausing contributes to less fluent oral delivery and is correlated with a lower proficiency level.

2.2.3 Measuring Smoothness of Fluency with Pausing Pattern

Speaking consists of sound creation that contains the actual content of oral delivery and pausing that contains silence and non-lexical vocalization. It is important to note that even a speech sample from a speaker who has a high proficiency level has 20% pausing. Those pauses do not always indicate non-fluency and pauses in expected position do not reduce the smoothness of oral delivery and may even facilitate listeners' understanding. In other words, pauses do not always indicate a lower proficiency level of

speaking when they are in expected positions. From the discussions of characteristics of pauses and their positions in Riggenbach (1991) and Goldman-Eisler (1968), the positions of pauses may greatly contribute to the effective delivery of oral production. Additionally, understanding pausing as a prosodic phenomenon and investigating its patterns in oral delivery would help clarify the nature of fluency.

CHAPTER 3. RESEARCH QUESTION

The focus of this paper is the evaluation of oral proficiency through fluency measures that are one of the most crucial components in language proficiency. Examining fluency as a proxy for overall oral proficiency can be done by measuring temporal variables and pausing patterns for the speed and smoothness of oral delivery. This study uses responses from the OEPT for speech samples of various language background and proficiency levels. Ginther et al. (2010) examined OEPT data regarding temporal variables and showed that fluency may represent overall oral proficiency well. Ginther, et al analyzed the old OEPT while this study analyses the second version of OEPT (OEPT2) that is currently provided to international graduate students. The result of this paper are expected to be similar to Ginther, et al. That is, speakers at higher proficiency levels produce their responses faster than lower proficiency levels. However, the result of this paper does not include a comparison of the temporal variables in responses from OEPT1 and OEPT2 to validate each test in terms of fluency.

Examining fluency is done by analyzing temporal and pausing information in speech samples. First, finding and summarizing expected pausing positions is necessary for examining pausing patterns in different proficiency levels of L2 English. This analysis is done through the read-aloud (RAL) item. Inspecting pausing patterns in read-aloud speech samples across various L2 English levels including L1 English speakers

28

gives a basic idea of probable pausing patterns. Test takers read the same passages for the RAL item and place pauses differently in their responses; some of the pauses would be placed in expected positions while some are not. Speech samples from L1 speakers and high proficiency level speakers should show expected pausing patterns as compared to low level speakers. After finding a list of expected pausing positions from the RAL item, the speech samples from the free-response news (NP) item are analyzed to compare fluency with regards to pausing patterns of three different language groups of Korean, Chinese, and Hindis with different proficiency levels from 35 to 60.

This study addresses the following research questions regarding measuring oral proficiency in the responses from the OEPT2:

- (1) What computer-assisted annotation tool and detailed procedures of measuring temporal variables and pausing patterns in speech samples can most effectively and consistently measure fluency?
- (2) Can temporal variables effectively represent overall oral proficiency? Are there differences across proficiency levels and language backgrounds regarding the speed of oral delivery?
- (3) Can pausing patterns effectively represent overall oral proficiency? Are there differences across proficiency levels and language backgrounds regarding the smoothness of oral delivery?

The first question (1) concerns the main contribution of this paper. The discussion on the first question aims to establish procedures in measuring fluency by designing and developing a computer-assisted annotation tool, and analyzing fluency variables using the tool to process large amounts of speech samples. The second question (2) was discussed in Ginther et al. (2010) regarding the responses from the OEPT1 and it is re-examined for the OEPT2 for the further discussion of speed of oral delivery. The third question (3) extends the second question of examining temporal variables to examining pausing patterns related to smoothness of oral delivery.

CHAPTER 4. METHODOLOGY

4.1 Speech Samples

The speech samples used in this study are test takers' responses from two OEPT items. Test items are designed to represent various situations in language use that correspond to instructional domains. Trained human raters evaluate recorded responses from the OEPT using a holistic rating scale for evaluating the oral proficiency of test takers. The human raters consider overall oral proficiency or general language proficiency of the test takers when scoring the responses, they do not necessarily focus on a certain component of oral proficiency such as fluency. The OEPT scale rubrics used for holistic scoring include references to pronunciation, fluency, grammar, vocabulary, content, and coherence. These six factors in the OEPT scale are common components of oral proficiency scales (ETS, 2008). The main characteristics of the responses from the examinees of the OEPT are as follows: the responses are recorded by graduate students who have relatively high levels of English proficiency; the responses from test-takers are monologic and fixed to each item because test-takers are supposed to make their responses based on the prompt; and the responses are categorized by oral proficiency level using holistic scoring by trained human raters.

This paper uses speech samples from the OEPT2. The OEPT scale ranges from 35 to 60. As a whole, all six factors in the OEPT scale represent oral proficiency of an

English learner by the proficiency levels of 35, 40, 45, 50, 55, and 60. In other words, the oral proficiency of test takers is categorized into six levels using the holistic rating factors mentioned above. Some factors, such as pronunciation, fluency, grammar, and vocabulary, can be quantified easily, while others, such as content and coherence, are less easily quantified.

Speech samples analyzed in this paper are randomly selected from the news item (NP) following Ginther et al. (2010). In the news item, a news passage is provided to testtakers as a prompt and the test-takers will respond with their own opinions and comments about the news passage. In addition to NP, the read-aloud item (RAL) is analyzed for providing expected pausing patterns to measure smoothness. The speech samples are selected among the responses from test takers of the OEPT whose language backgrounds are Korean, Mandarin Chinese (the majority Chinese language group represented among OEPT examinees), and Hindi. It would be ideal if we had speech samples across all six proficiency levels with each language background. However, there are not enough examinees at certain levels. For example, most Hindi speakers have a higher level of proficiency (50 and above) while there are fewer Chinese and Korean speakers who score at 50 or above. Furthermore, there are few speakers who score 60 on the OEPT partly due to the fact that international students who score higher than 27 on the TOEFL speaking do not need to take the OEPT. With those limitations in mind, this paper looks at speech samples from levels 35, 40, 45, and 50 for Korean speakers, levels 35, 40, 45, 50, and 55 for Chinese speakers, and levels 50, 55, and 60 for Hindi speakers.

Korean, Chinese, and Hindi speakers have different language backgrounds that interact with English proficiency and Hindi speakers who have relatively higher proficiency levels may not be compared directly with the lower levels of Korean and Chinese speakers. Similarly, it may not be possible to compare the measures of fluency across proficiency levels including L1 English speakers. L1 English speakers do not use English as a second language or a foreign language and they belong to a different population compared to L2 English speakers. However, analyzing speech samples from Hindi speakers gives some patterns of fluency in L2 English that can be used for analyzing relatively lower proficiency L2 English from Korean and Chinese speakers.

Table 4.1 shows the numbers of subjects that are used in this study. The main target data for analysis are speech samples from L2 English speakers of Korean, Chinese (Mandarin), and Hindi. The 12 groups indicated in Table 4.1 corresponded to the groups discussed above. Twenty-five speech samples from each group are randomly selected for analysis. Fluency variables from those 12 groups are compared across proficiency levels and language backgrounds. In addition to the 300 subjects of Korean, Chinese, and Hindi speakers, 25 L1 English speakers provided speech samples for comparison. As a whole, there are 650 speech samples from 13 groups and 2 items.

	35	40	45	50	55	60	70
Korean	25	25	25	25			
Chinese	25	25	25	25	25		
Hindi				25	25	25	
English							25

Table 4.1	Speech	Samples
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This study uses a factor of proficiency levels (OEPT rating 35, 40, 45, 50, 55, and 60) combined with language backgrounds (i.e., Korean, Mandarin Chinese, and Hindi) as an independent variable. The measures of fluency such as speed and smoothness of oral delivery are the dependent variables of this study. The speech samples from the OEPT are already categorized by proficiency level and language background, therefore this study does not attempt to classify speech samples by their fluency measure into different proficiency levels.

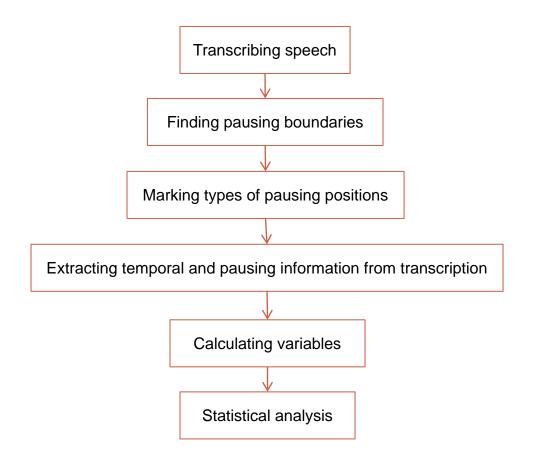


Figure 4.1 Steps in Processing Fluency Variables

4.2 Procedures

Figure 4.1 shows steps in processing fluency variables from speech samples. Analyzing fluency in speech samples includes transcribing speech samples, finding pausing boundaries, and marking types of pausing positions to extract temporal and pausing information. From that information, temporal and pausing variables are calculated for further analysis across proficiency levels and language backgrounds. Figure 4.1 shows the procedures of data analysis in this study.

4.2.1 Definition of a Pause

This study defines a silent pause as a silent part longer than 0.25 seconds between runs, following Goldman-Eisler (1968). Runs in the study of fluency are defined by the sounding part between silent pauses and the definitions of run and silent pause are in fact circular. Thus, it would be easier to say that categorizing parts in a speech sample into sounding and silent and call sounding part 'run' and silent part 'pause'. This study uses 'run' to denote sounding parts in a speech sample and 'pause' for the remaining parts other than sounding parts.

Additionally, this study separates filled pauses from silent pauses and finds boundaries of filled pauses in addition to silent pauses. However, filled pauses are not included in runs. More specifically, filled pauses are not categorized separately and included in silent pauses when counting the number of pauses. The number of filled pauses, then, is not added to the number of syllables. Because filled pauses are not included in syllables, filled pauses do not affect speech rates. However, filled pauses may affect other measures of fluency that contain the number of pauses in their calculation such as mean syllable per run because runs can be separated by filled pauses not just by silent pauses.

This study does not categorize silent pauses by their lengths like Riggenbach (1991). Long pauses may be categorized into different dysfluency factors because different processing efforts may vary in different lengths of pauses. However, there is no practical use in discerning these longer pauses in tested speech samples in this case, regardless of either reading or spontaneous speech due to the fact that long pauses do not occur frequently in oral production with an interlocutor. For example, if there is a long pause in a conversation, people would take turns instead of waiting. In other words, a silent part over than 200 or 300 milliseconds is usually recognized as a sign of turn taking during conversation or completion of the task. In a response to an interview question, people would insert filled pauses or small words (e.g., you know) to fill gaps in the effort of avoiding an awkward long silence.

Categorizing short pauses by their length is unnecessary as well because slight differences across pausing times are hardly noticed. For example, it is unclear whether a silent pause of 0.5 seconds indicates double efforts in planning compared to a silent pause of 0.25 seconds. Length of pause, rather, is dependent on an individual's language proficiency. Speakers who tend to make longer pauses might be more likely to include many pauses in their oral production. In sum, a unified standard length of silent pauses needs to be selected to normalize and measure temporal variables related to pauses such as number of pauses. The selected length of silent pauses in this study is 0.25 seconds following Goldman-Eisler (1968). Any silent part shorter than 0.25 seconds is not

regarded as a silent pause and all the silent parts longer than 0.25 seconds are categorized as pauses regardless of their lengths.

4.2.2 Transcribing Speech Samples

All speech samples were transcribed manually by using a computer assisted tool. There are several computer assisted tools that can be used for transcribing speech samples (e.g., Praat⁷). However, those applications are not specially designed for analyzing measures of fluency. Rather, they are targeted for discourse or acoustic analysis. An application for transcribing and tagging fluency information has been developed for this study. The application aids the transcription of speech samples, finds pausing boundaries, counts the numbers of syllables and pauses, and marks expected/unexpected pausing positions.

There are several ways to transcribe speech samples to mark temporal and pausing information. For example, listening to an audio file while typing its content is a simple method. However, using a computer assisted tool is a reasonable way to do data analysis. One of the most popular transcribing tools is Praat, and Ginther et al. (2010) used Praat to transcribe speech samples to get temporal information. Praat is a very powerful acoustic analysis tool and has some advantages in transcribing speech samples. For fluency analysis specifically, it provides the means for most essential function of marking boundaries of sound and silence in speech samples. This aids in classifying pauses and runs. Additionally, because Praat is an acoustic analysis tool, it is possible to

⁷ http://www.fon.hum.uva.nl/praat/

find syllables in a speech sample and count the number of syllables automatically without transcribing its actual contents. (De Jong & Wempe, 2009).

However, Praat is a rather general tool for acoustic and phonetic analysis and not specifically designed for fluency research. Finding and marking boundaries of sound and silence in oral production is just one function of Praat; there are other functions that are irrelevant to transcribing temporal and pausing information. The function of finding syllables appears at first to be very useful, but the function is not 100% accurate when detecting syllables. In order to count the number of syllables manually, the actual content of the speech sample needs to be transcribed. Although Praat can transcribe the content of oral production and mark the boundaries of sound and silence, it is not an ideal tool for transcribing speech samples and extracting fluency information. When using Praat directly for fluency research, there are several additional steps needed to apply functions in Praat for analyzing speech samples. Besides, Praat saves results in its unique format of text grid files and the result files from Praat need to be processed in order to extract fluency information. Praat has lots of potential to use in various areas of acoustic analysis but using Praat for annotate fluency information in a speech sample is not the main application of Praat and using a targeted computer-assisted tool for fluency analysis is the better choice in fluency researches.

For these reasons, I developed a computer-assisted annotation tool using Python⁸ for this study. The development of the tool is essential in terms of establishing an effective methodology for measuring fluency. Considerations when designing the tool

⁸ https://www.python.org/

were focused on assisting the transcription of oral production and marking temporal and pausing information. The tool is intended to aid in the transcription of speech samples in order to analyze fluency and not considered for other applications such as discourse analysis. The tool is a combination audio player and text editor for transcribing an audio file, in this case a speech sample. It also has several other functions for marking temporal and pausing information and saves analysis results in JSON⁹ files that can be directly used for calculating fluency variables.

4.2.2.1 The Annotation Tool

Figure 4.2 shows a sample of the transcribing tool during use. The design and implementation of the tool follows the steps in processing fluency variables in Figure 4.1. Transcribing a speech sample and finding pausing boundaries in the speech sample are not completely separated processes and can be done simultaneously. It is not likely to listen to the whole speech sample at once and transcribe all of its content, and it is necessary to break down the speech sample into small parts to process easily. Thus, it would be good to mark pausing boundaries roughly first to break down the speech sample by looking at the wave form of the speech sample. And then exact pausing boundaries will be found and marked along with the actual transcription of the speech sample by listening to each part.

The annotation tool is composed of three main parts that implement the first three steps in Figure 4.1: transcribing speech sample, finding pausing boundaries, and marking types of pausing positions. The next two steps of extracting temporal and pausing

⁹ http://json.org/

information from transcription and calculating variables are also implemented in the tool and will be done automatically. The function of statistical analysis to show the result of fluency variables is not included in the tool because the tool is only for a single speech sample to process fluency variables.

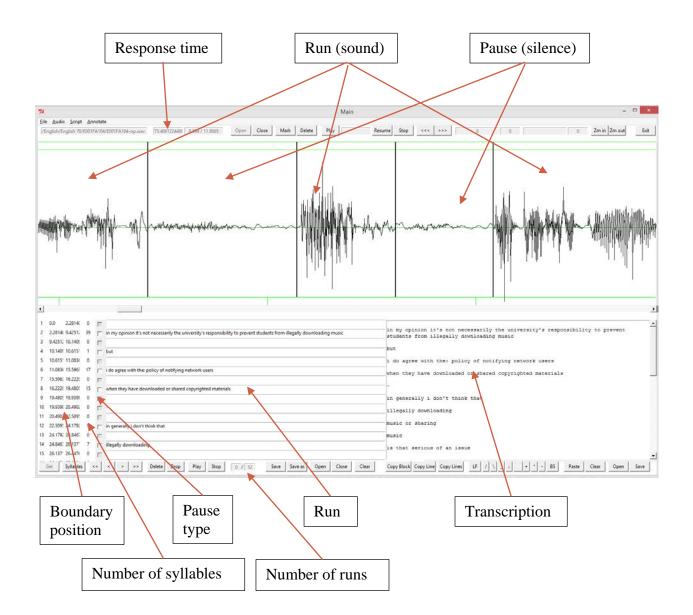


Figure 4.2 Sample Screen of the Annotation Tool

The upper portion of the screen in Figure 4.2 shows the wave form of the audio file to mark pausing and sounding boundaries. The upper portion contains sounding and silent parts separated by boundary lines. Silent parts are classified as silent pauses and sounding parts are classified as runs except filled pauses. The bottom portion is an editor for transcribing oral production and marking temporal and pausing information. This portion also contains areas to type in transcription and dysfluency markers. In the bottom right side is a text editor to work on transcribing oral production in runs and the left side shows positions of boundaries in seconds and transcriptions separated by runs and pauses. The left bottom portion also includes check boxes for pause types and the number of syllables for each run. Transcribing is done in the bottom right portion of the program and the bottom left portion shows the final result of transcribing and marking temporal and pausing information.

The tool loads an audio file and shows it visually, in a wave form, for marking boundaries. The tool provides a function for marking boundaries in the wave form and those boundaries are actually positions in time. Clicking a certain position in the wave form to mark a boundary can be saved as an instance of time in that position. Clicking and setting a boundary in any position is possible; however, because the purpose of marking boundaries is classifying sounding and silent parts in a speech sample, boundaries should be set at the beginning and end of sounding or silent parts. Silent parts are then categorized as silent pauses. Sounding parts are transcribed for their actual content. Sometimes a sounding part can contain a filled pause that does not have any meaningful content. Sounding parts with meaningful oral production excluding filled pauses are called a run. Sometimes a run may contain partial words or unintelligible sounds but they still contain syllables and those non-words will be included in the number of syllables.

The tool also contains a simple text editor for transcribing the content of the audio and sections for playing audio to find silent parts, transcribing content, marking pauses that are placed in unexpected position, and counting the number of syllables based on transcription. Finally, it saves the transcriptions, temporal variable information, and pausing patterns from speech samples in text files for further analysis. After transcribing and tagging a speech sample, temporal and pausing information (i.e., total response time, the number of syllables, the number of runs, the number of pauses, and the number of unexpected pauses) are extracted and stored. Therefore, the application of the tool is essentially converting audio data into text data to extract numbers of various fluency values such as syllables and pauses.

4.2.2.2 Wave Form

Figure 4.3 shows the upper portion of the annotation tool in Figure 4.2. When we look at the wave form in Figure 4.3, it appears that the sounding parts and silent parts are easy to distinguish in terms of the formation of waves. However, the sample figure is from an audio file with good sound quality where the silent parts have almost no sound. Sometimes silent parts between sounding parts that are classified as pauses may contain noise from microphone, aspiration, or outside sources such as other people's talking. Thus, the shape of the wave form may give some idea as to which part is sounding and silence but the audio must be listened to carefully to distinguish sounding parts and silent parts. In other words, this tool does not provide any supplementary acoustic analysis

function to separate sounding and silent part; the wave form is the place to mark boundaries of sounding and silent parts that are going to be converted into numbers that are positions in time.

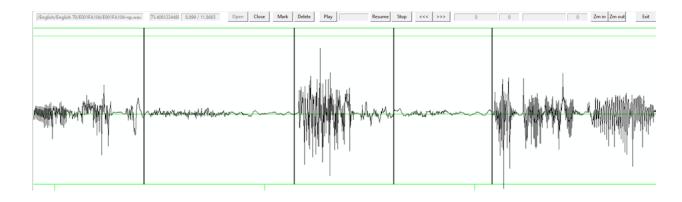


Figure 4.3 Wave Form

Any silent parts longer than 0.25 seconds are marked as silent pauses. However, filled pauses that have actual sounds such as 'uh' are not included in sounding parts. The purpose of marking boundaries on the wave form is classifying pauses and runs, not just separating sounding and silent parts. It is especially important that filled pauses inside sounding parts without any silence are separated by boundaries in order to mark runs.

(1) All parking on campus is regulated and available only for a fee.

- (2) All parking on campus (pause) is regulated and available only for a fee.
- (3) All parking on campus <ub> is regulated and available only for a fee.
- (4) All parking on campus <ub> (pause) is regulated and available only for a fee.
- (5) All parking on campus (pause) <u > is regulated and available only for a fee.

For example, sentence (1) may contain a silent pause like sentence (2). Therefore, sentence (2) is composed of the two runs of 'all parking on campus' and 'is regulated and

available only for a fee' that are separated by a silent pause. On the contrary, there is no silent pause in sentence (3) but a filled pause 'uh' separates the two runs like sentence (2). Usually filled pauses are accompanied by silent pauses like sentence (4) and (5), and those filled pauses must be separated as well not to be included in any sounding part because filled pauses are not a part of syllables.

Most of the silent parts are marginally longer than 0.25 seconds, but a silent part around 0.25 seconds needs additional attention to decide whether it is separated as a silent part or not. Sometimes it is not clear to determine the length of silent part is exactly longer than 0.25 seconds. For example, the length can be measured only 0.24 seconds even though this part is heard as a hesitation. However, a silent pause should be longer than 0.25 seconds by its definition and a silent part shorter than 0.25 seconds will not be classified as a silent pause even though the silence sounds like a hesitation. The most important thing in annotating a speech sample is consistency. Applying the same rule to each and every part of annotation processes such as marking pausing boundary and counting the number of syllables should be kept throughout the whole processes.

The transcribing tool provides the function of zooming in and zooming out to show the wave form in detail. If a silent pause looks to be around 0.25 seconds, it is important to revisit the pause and review the hesitation in that silent part and the silent part is longer than 0.25 seconds and thus categorized as a silent pause. That being said, pausing boundaries do not need to be marked at the exact position of the beginning and end because the quantity of pausing time is not considered as a temporal variable in this paper. It is important to get sounding syllables in runs to calculate rates of fluency; however, placing the boundaries of runs in an exact position is not important. After

44

marking boundaries of sounding and silent parts, the content of the audio file is transcribed using the text editor provided in the annotation tool.

4.2.2.3 Text Editor

Figure 4.4 shows the text editor from the right bottom portion of Figure 4.2. In this text editor, it is possible to directly transcribe oral production without considering runs and pauses that are separated in the wave form. Sometimes runs are too long to listen to and transcribe all at once, and it would be easier to work on small parts of oral production individually. Additionally, when working on a script of an audio file (e.g., read aloud item), it is possible to paste the script in this text editor and revise the text based on the audio file to add fluency features. Moreover, each empty line in this text editor corresponds to a silent pause to show runs in the speech sample.

```
٠
in my opinion it's not necessarily the university's responsibility to prevent
students from illegally downloading music
but
i do agree with the: policy of notifying network users
when they have downloaded or shared copyrighted materials
in generally i don't think that
illegally downloading
music or sharing
music
is that serious of an issue
                                                       BS
Copy Block Copy Line
                             LF
                                                              Paste
                                                                     Clear
                                                                                    Save
                 Copy Lines
                                                                             Open
```

Figure 4.4 Text Editor

1	0.0	2.2814(0		
2	2.2814(9.42512	39		in my opinion it's not necessarily the university's responsibility to prevent students from illegally downloading music
3	9.42512	10.140	0		
4	10.1409	10.6151	1		but
5	10.6151	11.0836	0		
6	11.0836	15.5963	17		i do agree with the: policy of notifying network users
7	15.5963	16.2229	0		
8	16.2229	19.4805	15		when they have downloaded or shared copyrighted materials
9	19.4805	19.9398	0		
10	19.9398	20.4902	0		
11	20.4902	22.5095	0		
12	22.5095	24.1792	9		in generally i don't think that
13	24.1792	24.8467	0		
14	24.8467	26.1371	7		illegally downloading
15	26.1371	26.447€	0		
(Get	Syllables	<	<	< > >> Delete Drop Play Stop 0 / 52 Save Save as Open Close Clear

Figure 4.5 Transcription with Fluency Information

4.2.2.4 Transcription with Fluency Information

Figure 4.5 shows the left bottom portion of Figure 4.2. The upper portion (Figure 4.3) and the right side of bottom portion (Figure 4.4) are places for run boundaries and transcriptions, and the left side of bottom portion (Figure 4.5) contains the result of transcribing and marking oral production. The bottom left side also can be used as a text editor to transcribe oral production in each line separated by pauses and runs from the wave form. However, the main work place for transcribing is the text editor in the bottom right side. After the transcribing process is done in the bottom right side, the contents in the right side (Figure 4.4) are copied into the left side (Figure 4.5) for storing as text data. For instance, the text lines in Figure 4.4 are copied to runs in Figure 4.5 while empty lines in Figure 4.4 correspond to pauses in Figure 4.5. Therefore, the contents of the right side and the left side are exactly the same. The main difference between the right side

(Figure 4.4) and the left side (Figure 4.5) is that the left side contains boundary information of sounding and silent parts as temporal information from the annotated speech sample.

In addition, the number of syllables in each run are calculated automatically using the syllable dictionary provided in the tool. The transcribing tool has a function for counting the number of syllables in each run automatically using a MRD (Machine Readable Dictionary) that is comprised of words and their number of syllables. The purpose of using the syllable dictionary is that the MRD prevents errors in counting the number of syllables by hand. Once a word is registered in the syllable dictionary, it is counted as the same number of syllables repeatedly. Using this method, by a machine and not a person, greatly reduces the effort in counting the numbers of syllables manually. The use of syllable dictionary is also for providing standard and consistent guideline for counting the number of syllables in each English word.

Syllable is a basic unit to measure production of oral delivery when calculating temporal variables in fluency. Even though speech samples in this paper are from L2 English speakers and their productions of syllables may be different from L1 English speakers because of possible influence of L1 language background of L2 English speakers, the basic unit of oral production should be the same as syllables from L1 English speaker. L2 English speakers are speaking the same language as L1 English speaker, and there is no reason to have a different guideline in analyzing the productions of English from different proficiency levels and language backgrounds. Moreover, such influences from L1 language would not appear in speech production from high

47

proficiency L2 speakers and their nativelike oral productions would follow the oral productions from L1 speakers.

Sometimes an oral production contains non-words such as partial words from self-repair or repetition and incomprehensible sounds. For the convenience of counting syllables, those non-words were transcribed as '*'. The character was repeated by the number of syllables based on the sound of the non-word part and the number of characters was added to the total number of syllables of run. The purpose of transcribing speech samples in this study is not acquiring the exact content of the oral production but mainly for counting the number of syllables and categorizing pause types based on surrounding words of pauses.

	Explanation	Example
\	Repetition	All parking on \on campus is regulated and available only for a fee.
/	Self-repair	All parking in /on campus is regulated and available only for a fee.
_	False-start	All parking is _all parking on campus is regulated and available only for a fee.
:	Elongated vowel	All parking :on campus is regulated and available only for a fee.
*	Non-word	All parking * /on campus is regulated and available only for a fee.
-	Filled pause	All parking on campus - is regulated and available only for a fee.

Table 4.2 Special Characters Used in Transcription

Table 4.2 shows special characters to denote non-fluency factors in transcriptions. The characters for repetition (\), self-repair (/), false-start (_), and elongated-vowel (:) are added before the first character of each word to indicate dysfluency factors. Even though analyzing dysfluency factors such as repetition, self-repair, and false-start as a temporal variables is not included as a focus of this paper, dysfluencies in speech samples are marked to help the transcribing process and classifying pauses. For example, a pause that occur before or after a dysfluency factor is classified as an unexpected pause. This is because the pause that occurs with an additional hesitation (i.e., dysfluency) is assumed a processing error and therefore unexpected whether it occur in an expected or an unexpected position. Any dysfluencies that occur within runs and not accompanied by a pause may affect fluency because they are redundant production during oral production but they are not treated separately in this paper. Besides, any partial or non-words (transcribed as *) are included in counting numbers of syllables in each run while filled-pauses (transcribed as _) are not included in counting numbers of syllables.

Marking pausing types by pausing positions is the final procedure of transcribing and marking speech samples. There are check boxes for marking pausing types whether pauses are placed in expected or unexpected positions. In Figure 4.5 (the left bottom part of Figure 4.2), the check boxes are provided for marking the types of pause position. Those check boxes are supposed to be checked for unexpected pauses because number of unexpected pauses is smaller than expected pauses in most cases. The check boxes placed before each pause are disabled to avoid any confusion because the types of pause placement are checked (i.e., expected and unexpected positions), not the types of pauses (e.g., silent and filled pauses). Pausing type should be checked at the beginning of each run because sometimes filled pauses occur along with silent pauses to make one pause. This is why the number of runs may be different from the number of pauses. In addition, like silent pauses, filled pauses can be placed at either expected or unexpected positions and not always classified as unexpected pauses.

```
• • •
     "1": {
       "begin": 50305,
       "end": 207824,
       "syllables": 39,
       "tag": 0,
       "text": "in my opinion it's not necessarily the university's responsibility to prevent students from
illegally downloading music"
     },
    "2": {
       "begin": 207824,
       "end": 223609,
       "syllables": 0,
       "tag": 0,
       "text": ""
     },
     "3": {
       "begin": 223609,
       "end": 234064,
       "syllables": 1,
       "tag": 0,
       "text": "but"
     },
     "4": {
       "begin": 234064,
       "end": 244395,
       "syllables": 0,
       "tag": 0,
       "text": ""
     },
     "5": {
       "begin": 244395,
       "end": 343900,
       "syllables": 17,
       "tag": 0,
       "text": "i do agree with the: policy of notifying network users"
     },
     "6": {
       "begin": 343900,
       "end": 357717,
       "syllables": 0,
       "tag": 0,
       "text": ""
     },
    "7": {
       "begin": 357717,
       "end": 429547,
       "syllables": 15,
```

50

```
"tag": 0,
  "text": "when they have downloaded or shared copyrighted materials"
},
"8": {
  "begin": 429547,
  "end": 439674,
  "syllables": 0,
  "tag": 0,
  "text": ""
},
"9": {
  "begin": 439674,
  "end": 451810,
  "syllables": 0,
  "tag": 0,
  "text": "-"
},
"10": {
  "begin": 451810,
  "end": 496335,
  "syllables": 0,
  "tag": 0,
  "text": ""
},
"11": {
  "begin": 496335,
  "end": 533152,
  "syllables": 9,
  "tag": 0,
  "text": "in generally i don't think that"
},
"12": {
  "begin": 533152,
  "end": 547871,
  "syllables": 0,
  "tag": 0,
  "text": ""
},
"13": {
  "begin": 547871,
  "end": 576324,
  "syllables": 7,
  "tag": 0,
  "text": "illegally downloading"
},
```

Figure 4.6 Result Text File Example

4.2.2.5 Result File

The results of transcribing oral productions are saved in a text file for further analysis. Figure 4.6 shows an example of result file. The analysis results in Figure 4.6 contains information of each run and pause with begin/end time, number of syllables (syllable), pausing type (tag), and transcription (text). In this example, '5', '7', and '11' are runs. '4', '6', '8', and '10' are silent pauses and '9' is a filled pause. For calculating temporal and pausing variables, the number of syllables is the sum of 'syllable', the number of runs is the total number of 'syllable' that has value other than 0. The number of pauses is the sum of silent and filled pauses, and the number of unexpected pauses is the number of 'tag', and the number of expected pauses is the difference between the number of runs and number of unexpected pauses. Finally 'begin', 'end', and 'text' are not used when calculating temporal and pausing variables in this paper.

4.2.3 Calculating Temporal Variables

The temporal measures of fluency analyzed in this study are rate of production related to number of pauses and syllables and not quantity of production related to speaking and pausing time. Among the various temporal measures of fluency that measure the speed of fluency, this study examines the following four temporal variables.

- (1) Total response time
- (2) Speech rate: number of syllables / total response time
- (3) Mean syllables per run: number of syllables / number of runs (run: utterances between silent pauses of 0.25 second and above, or filled pauses)
- (4) Number of pauses per second: number of pauses / total response time

For example, in order to calculate the speed of oral delivery from a response on the OEPT, we need to extract the number of syllables. Then the number of syllables is normalized using the total spoken time in the speech sample to calculate speech rate. Similarly, the number of pauses per second is a normalized number of pauses. Mean syllable per run is calculated by using information from pauses and syllables. Mean syllable per run, as we can see from its title, is based on the number of syllables in a sound chunk delineated by silent pauses. For calculating mean syllable per run, pauses are identified to separate runs in the speech sample and count the number of runs. In this context, the number of runs equals the number of pauses. Thus, mean syllable run is the normalized number of syllables regarding to the number of pauses.

However, the number of pauses are not always the same as the number of runs because runs can also be separated by filled pauses. Additionally, silent pauses may be preceded or followed by filled pauses. More importantly, a speech sample may or may not end with a silent part because in this data the recording may stop while a responder is still producing sound due to a time limit. This study distinguishes pauses from runs by their number of syllables because silent and filled pauses do not have syllables to count. As a result, the number of any sounding part that has more than one syllable is the number of runs. A run does not have to be composed of meaningful sound. Any partial words or unrecognizable sounds are regarded as a set of syllables and included in the number of syllables.

Firstly, information is needed about the syllables and pauses in a given speech sample in order to calculate speech rate, mean syllable per run, and the number of pauses per second. The most efficient way to get this temporal information is finding the places of syllables and pauses from the speech sample through transcribing the speech sample manually. More accurately, we can count the number of syllables of each word from the transcription of the speech sample, and we can mark the position of silent and filled pauses in the transcription of the speech sample to count the number of pauses. The number of syllables in each word are not counted manually in this study to avoid error in discerning syllables in a word. A syllable dictionary is used for counting number of syllables automatically within the transcribing tool.

4.2.4 Measuring Pausing Patterns

Pausing patterns are related to the positions of pauses and can be used for representing the smoothness of oral delivery. Pausing positions can be categorized into two types: expected and unexpected positions. A list of expected pausing positions was made from the syntactic structure of English such as clause boundaries and phrase boundaries. In addition, expected pausing positions are obtained from observing native speakers' speech samples. This study examines the results from the RAL item to provide a basis for identifying expected pausing positions. Unexpected pausing positions must have pauses other than expected pausing positions. In other words, if a pause is placed in any positions other than that of expected pausing positions, the position of that pause is marked as an unexpected pausing position. Expected pausing positions may include:

- (1) After periods (or between sentences)
- (2) Before conjunctions (and, or, and but)
- (3) After subject clauses (or before verb or auxiliary verb)
- (4) Before prepositions
- (5) Before complementizers (if and that)

(6) At punctuations (comma, colon and semi-colon)

An additional type of pausing pattern not yet discussed is the skipped pausing positions. It turns out that a pause may not be put in every expected position. In other words, we do not have to put any pause in our oral delivery, especially within a sentence. The most important place to put a pause is 'after periods' to denote the end of a sentence or beginning of a new sentence. Period may be an imaginary boundary in an utterance but used in transcription to separate sentences. Uttering a whole sentence without any pause (i.e., hesitation) may indicate that the utterance is very speedy and smooth (i.e., fluent). Furthermore, a pause does not necessarily have to be put between sentences because the boundary of sentence and rising intonation at the beginning of a sentence. Thus, we cannot assume that there is any skipped pause with respect to measuring pausing patterns. Expected pausing positions merely indicate the tendency to pause in an expected position to hesitate, for instance, for additional planning.

This study uses speech samples of RAL to create a detailed list of expected and unexpected pausing positions based on the analysis of responses from L1 English speakers and L2 English speakers with higher proficiency levels such as an OEPT score of 55. Classifying expected and unexpected position is the first step of analyzing pausing patterns. The list of expected pausing positions is summarized as follows. A pause can be put in sentence, phrase and clause boundaries. In the examples, targeted clause are highlighted as bolded and '|' indicates the expected pause position.

(1) Between sentences or after .(period)

(2) After subject (or subject clause)

Faculty members / often receive inquiries from prospective students about *Purdue University.*

Forms for this purpose | *should be obtained through the school or department.*

(3) Before preposition

University parking regulations and a ten mile per hour speed limit are continually enforced / *in* the garages.

Forms for this purpose should be obtained | through the school or department.

(4) Before relative pronoun

Permits designated A allow the staff member | who has purchased and properly

displayed this permit to park in either A, B, or C areas.

(5) Before past participle

The University has built a reputation / **respected** in fields of education throughout the world.

(6) Before present participle

Purdue marketing communications has reproduction proofs and instruction sheets | outlining proper use of both the seal and the mascot logo.

The office of admissions makes the final determination of the quality of the applicant's record / **basing** the decision on a combination of the applicant's high school rank in class, standardized test scores, ..., and trends in achievement.

(7) Before to infinitive

It is necessary that they receive academic adjustments | **to make** educational opportunity more accessible.

(8) Before direct object with indirect object

This handling of inquiries can save the staff members | considerable time.

(9) Before predicative complement

It is necessary that they receive academic adjustments to make educational opportunity / more accessible.

(10) Adverb (including adjunct phrase)

Also some students experience temporary disabilities | each year.

Students may operate university vehicles / **only** with an written approval of the risk Manager.

Thus / Purdue does not permit the use of its name or the University title of any of its employees ...

Also / students who need to improve their academic records to meet program requirements.

(11) Conjunctions

The office of admissions handles more than fifty thousand inquires per year | **and** has on hand materials that need to be provided.

Some inquiries come from acquaintances of staff members, but most of the

inquiries come | because the staff member's name is seen in some publication.

The much larger classes will affect the quality of education | **and** | the quality of education is being affect in a negative way.

(12) That & null-that

Purdue students have the opportunity to participate in cocurricular activities /

that supplement formal studies.

My opinion is | that large class sizes do affect the quality of education

My opinion is **that** | large class sizes do affect the quality of education *My* opinion is | large class sizes do affect the quality of education

(13) Inserted clause such as 'I think', 'I mean', and 'you know'

Academic adjustments may include | **but are not limited to** | alternate testing methods, ...

(14) Within, before, or/and after dysfluency markers – mostly unexpected pausesFalse starts, self-repairs, repetition, partial word and unrecognizable sounds

(15) At comma and other punctuation markers (in RAL)

,;:()"'!?

The list of expected pausing positions is based on the item responses of RAL and contains most structures that can be found in English sentences. Spontaneous item responses in this data do not show frequent complex structures such as using a relative pronoun. The most important expected pausing position is between a subject phrase and a verb phrase. In contrast, a pause placed within a verb phrase, like between a verb and an object, is in an unexpected position. Especially placing a pause within a verb phrase or a prepositional phrase is a common mistake for an L2 English speaker.

Based on the list of expected pausing positions, each pause is classified as either expected or unexpected. Similar to temporal variables, unexpected and expected pauses are normalized to compare results across proficiency levels. The normalized number of expected and unexpected pauses, and the ratio between them are a sub-variable of the number of pauses per second. Pausing variables are calculated as follows.

(1) Expected pause ratio: number of expected pauses / number of pauses

- (2) Number of expected pauses per minutes: number of expected pauses / total response time * 60
- (3) Number of unexpected pauses per minutes: number of unexpected pauses / total response time * 60

In addition to the normalized number of pauses, it is possible to calculate a variable that includes number of syllables similar to speech rate and mean syllable per run. In other words, similar to expected and unexpected pauses, there might be expected and unexpected runs. However, there is no difference between the numbers of syllables delineated by expected or unexpected pauses. Thus, this study does not consider a pausing variable in terms of the number of syllables.

Table 4.3 shows temporal and pausing information that are used in this paper. The second column shows temporal and pausing information that are extracted from the result text file. The variables in the third column are calculated from those temporal and pausing information from the second column. This paper analyzed pausing rate variables in Table 5.1 to observe pausing phenomena in speech samples regarding the smoothness of oral delivery. However, not all pausing variables are needed for fluency research because some pausing variables are highly correlated to each other such as number of pauses per second and number of silent pauses per second. On the contrary, this paper only calculated basic temporal rates of production such as speech rate and mean syllable per run to show the speed of oral delivery. However, because result files from the transcribing tool have other temporal information such as pausing and speech time, it is possible to calculate quantities for fluency such as articulation time, speech ratio, and

total pausing time per second. Of course one has to be more careful about marking

boundaries of pauses and runs to get accurate quantities.

	Extracted values from a speech sample	Variables that Calculated from extracted values				
	Total response time					
Temporal variables	Number of syllables Total response time	Speech rate				
	Number of syllables Number of runs	Mean syllable per run				
	Number of pauses Total response time	Number of pauses per second				
	Number of filled pauses Total response time	Number of filled pauses per second				
Pausing	Number of silent pauses Total response time	Number of silent pauses per second				
variables	Number of expected pauses Total response time	Number of expected pauses per second				
	Number of unexpected pauses Total response time	Number of unexpected pauses per second				
	Number of pauses Number of expected pauses	Expected pause ratio				

Table 4.3 Temporal and Pausing Variables

The main part of measuring fluency is calculating temporal and pausing variables to get the actual fluency measures of speech samples. The work of this paper was then to compare fluency measures across different proficiency levels and language backgrounds. That being said, the most important and time consuming part of measuring fluency is transcribing speech samples to extract and calculate temporal and pausing variables. It may not be a problem when analyzing a small number of speech samples. However, this paper analyzed a large number, 650 speech samples, to compare across various proficiency levels and language backgrounds. Developing a transcribing tool was one of important processes in this research and facilitated analysis of more than a small sample. The development of this tool will enhance research opportunities for others who want to extend the findings reported in this paper.

CHAPTER 5. RESULTS AND DISCUSSION

5.1 Result of Fluency Measures

Table 5.1 shows temporal and pausing variables that are analyzed in this paper. Speech rate and mean syllable run are selected as rates of production. Various pausing variables are calculated for the analysis of pausing patterns such as number of silent, filled, expected, and unexpected pauses that are sub parts of pauses in speech samples. Mean syllable per run contains pausing information as well.

Measures	Variables	Explanation			
	Total response time (Time)	The length of speech sample			
Temporal variables	Speech rate (SR)	Number of syllables / Total response time			
	Mean syllable per run (MSR)	Number of syllables / Number of runs			
	Number of pauses per second (PR)	Number of pauses / Total response time			
	Number of silent pauses per second (SPR)	Number of silent pauses / Total response time			
Pausing	Number of filled pauses per second (FR)	Number of filled pauses / Total response time			
variables	Number of expected pauses per second (ER)	Number of expected pauses / Total response time			
	Number of unexpected pauses per second (UR)	Number of unexpected pauses / Total response time			
	Expected pause ratio (EPR)	Number of expected pauses / Number of pauses			

Table 5.1 List of Variables

The results of each variable for both NP and RAL items are shown in from Figure 5.1 to Figure 5.18. The scatter plots show distributions of variables by proficiency levels (35, 40 45, 50, 55, 60, and 70). Each proficiency levels comprises different language backgrounds: Chinese and Korean in level 35, 40, and 45, Chinese, Hindi, and Korean in level 50, Chinese and Hindi in level 55, and English in level 70. Therefore, we can compare distributions across proficiency levels. In addition, we can observe differences across language background within each proficiency levels as well. Basic descriptive statistics such as mean, standard deviation (std), minimum (min), maximum (max), and quartile values are provided in from Table 5.2 to Table 5.19.

5.2 Temporal Measures of Fluency

Temporal variables that represent the speed of oral delivery have been investigated widely in fluency research because temporal variables are relatively easy to define and measure among other components in oral proficiency. The temporal variables discussed in this paper are total response time, speech rate, mean syllables per run, and number of pauses per second.

5.2.1 Total Response Time

Figure 5.1 (Table 5.2) shows the result of total response time in NP and Figure 5.2 (Table 5.3) shows the result of total response time in RAL. Total response time itself is not a measure of fluency because it is not normalized and is limited to a maximum length of 120 seconds. It would be possible to compare reading time in RAL to compare how fast test takers read the script. However, some readers fail to finish reading in the given time. For a spontaneous response like NP, not every test taker use all of the given time to

record their responses and thus finish the response early. L1 English speakers generally responded with shorter response times while Chinese, Korean, and Indian speakers used most of the given time for their responses. Additionally, Korean speakers tended to have shorter responses than Chinese speakers. However, it is not clear that short responses denote that the speaker produced their oral delivery faster than longer responses. Shorter speech samples rather, may denote that speakers just made short responses irrespective of fluency. If two speakers produce exactly the same speech, more fluent speaker's speech would be shorter. We can see this case in the result of the RAL. Higher proficiency level speakers' response time is shorter than lower proficiency level speakers. Similarly, even if the two speakers spent the same amount of time in their responses, one speaker may produce more oral delivery than another simply because of speedy speaking.

Total response time is the length of time that was spent by test takers in their responses. Total response time is not a normalized value but the result of total response time gives some interesting insight into test takers of the OEPT in constructing their responses. There was a maximum time limit of 120 seconds to respond and some of the test takers were using all the time given while some of them were not. In NP (Figure 5.1), the distributions of the lengths of responses are stretched to the maximum values across all groups, especially in lower proficiency levels. The responses to NP are spontaneous and the test takers may want to use all of the given time to make their arguments. The distribution of values is close to the maximum value except higher levels such as Hindi 60 and English 70.

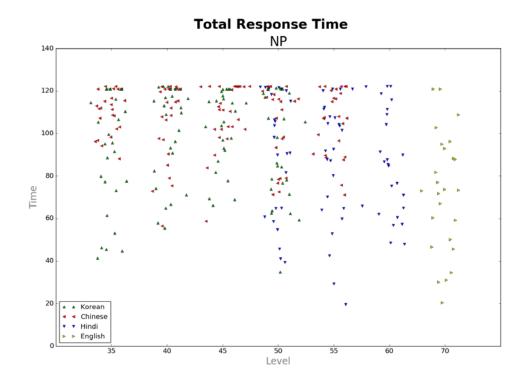


Figure 5.1 Total Response Time (NP)

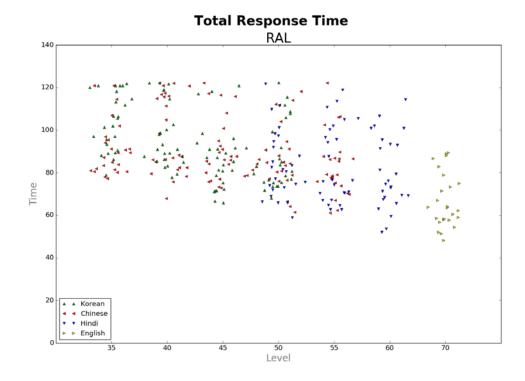


Figure 5.2 Total Response Time (RAL)

level	language	count	mean	std	min	0.25	0.50	0.75	max
35	Korean	25	89.12	27.62	41.29	73.16	95.12	114.50	120.87
35	Chinese	25	110.43	9.92	88.12	103.06	112.03	120.87	122.16
40	Korean	25	99.73	22.76	55.50	82.38	109.72	117.50	122.19
40	Chinese	25	105.81	18.97	56.41	97.09	114.75	120.87	122.19
45	Korean	25	102.01	18.07	66.18	92.16	105.41	116.31	120.91
45	Chinese	25	108.97	15.39	58.72	101.91	111.25	122.06	122.19
50	Korean	25	91.76	25.27	34.88	73.75	86.03	118.78	121.41
50	Chinese	25	104.45	18.18	71.34	93.31	115.03	118.41	122.19
50	Hindi	25	91.12	29.09	39.37	64.69	98.12	120.05	121.80
55	Chinese	25	105.65	15.70	71.06	90.31	107.47	120.31	122.19
55	Hindi	25	87.13	29.51	19.59	64.69	92.61	107.88	120.91
60	Hindi	25	85.51	24.56	47.81	64.97	85.50	109.03	122.19
70	English	25	72.37	28.07	20.41	50.16	73.41	92.86	120.87

Table 5.2 Total Response Time (NP)

Table 5.3 Total Response Time (RAL)

				1		· · ·			
level	language	count	mean	std	min	0.25	0.50	0.75	max
35	Korean	25	104.44	13.39	78.87	93.44	105.60	118.28	121.81
35	Chinese	25	91.35	12.77	77.31	81.50	89.41	95.43	120.91
40	Korean	25	97.75	15.04	77.84	86.28	90.98	114.75	122.19
40	Chinese	25	97.98	17.95	67.97	82.38	88.37	115.94	122.19
45	Korean	25	85.67	13.78	65.84	75.22	85.25	91.62	120.87
45	Chinese	25	90.48	14.77	72.91	78.75	86.03	94.91	122.19
50	Korean	25	87.24	15.89	68.09	75.37	80.72	99.27	122.32
50	Chinese	25	87.03	15.10	61.34	78.87	84.34	91.22	118.16
50	Hindi	25	83.98	16.00	58.84	73.03	81.55	94.62	121.67
55	Chinese	25	82.86	14.36	61.06	75.25	79.12	86.81	122.16
55	Hindi	25	84.17	18.17	62.62	70.35	76.37	100.19	118.78
60	Hindi	25	79.80	17.19	51.97	68.34	74.59	93.31	114.43
70	English	25	66.81	12.73	48.22	58.06	63.66	74.97	89.41

When listening to the 120 second responses, the test takers managed to finish their responses in the given time. The test takers had 3 minutes of preparation time to build up arguments before starting recording. They generally placed the conclusion of responses at the beginning and established arguments later, and such structure may give an impression of completeness to the whole argument. However, lots of responses from lower level speakers sounded like they could not express their idea thoroughly in the given time and thus total response time may not be a good measure of oral proficiency because of its time limit.

In contrast, the result of the RAL (Figure 5.2) shows that most test takers did not use all of the given time to finish reading the script because they managed to finish reading. Compared to the result of the NP item, we can see a linear trend in total response time of RAL. Higher proficiency levels spent less time than lower levels in their reading. Noteworthy is that there is no English 70 who spent more than 90 seconds in reading while other levels have speakers who had to spend most of given 120 seconds. The mean and median shows similar results, English 70 are much lower than other levels. Such results show some difference between L1 and L2 English speakers. L2 English speakers may need more time to process their production, while L1 English speakers may only need additional processing time in spontaneous speech.

Total response time also indicates that there are clear differences among Korean, Chinese, and Hindi speakers. Chinese speakers spent more time than Korean and Hindi speakers and Hindi speakers spent less time than Korean and Chinese speakers. The fact that Hindi speakers took less time responding than other language groups may be due in part to the proficiency level populations. They have higher proficiency levels, 50, 55, and 60, in comparison to 35 to 50 for Chinese and Korean speakers. It is not clear why Korean speakers took less time responding than Chinese speakers, but possibly they could finish their responses in a shorter time than Chinese speakers with more speed. However, it is not easy to observe differences across groups in fluency by just looking at total response time and we need to look at other temporal measures of fluency to compare the oral deliveries across different populations.

5.2.2 Speech Rate

Figure 5.3 and Figure 5.4 (Table 5.4 and Table 5.5) show the result of speech rate. Speech rate is the most basic measure of fluency. A syllable is the basic unit of production and the number of syllables per second directly shows how many production units were processed in an amount of time. It is clear that there is a linear trend across levels; higher proficiency speakers produced their oral delivery faster than lower ones. However, the difference between neighboring levels in Korean and Chinese speakers are not as clear as the difference between L1 English speaker and L2 English speakers.

There are obviously differences between Korean and Chinese speakers; Korean speakers produce their oral delivery slower than Chinese speakers. Combining the result of speech rate and total response time, we can see that Korean speakers produced much less oral delivery than Chinese speakers both in the amount of time used and the number of syllables produced. Another salient observation is that Hindi speakers spoke faster than Chinese and Korean speakers. Hindi 60 show similar speech rate to English 70 in the NP item.

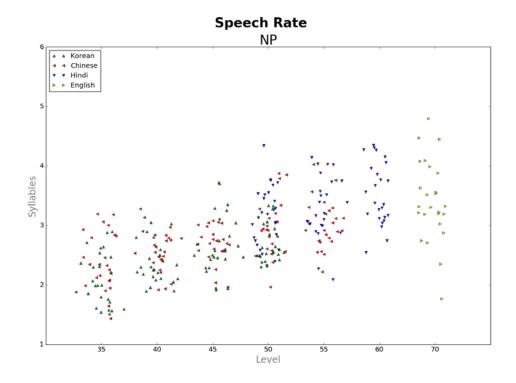


Figure 5.3 Speech Rate (NP)

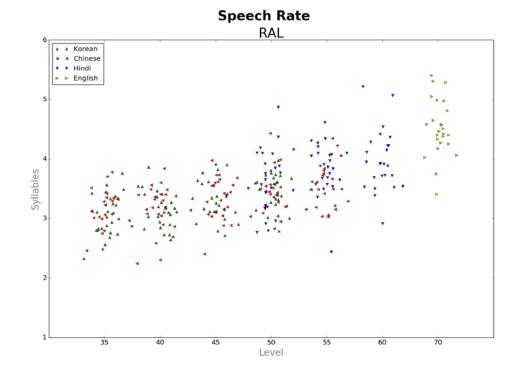


Figure 5.4 Speech Rate (RAL)

level	language	count	mean	std	min	0.25	0.50	0.75	max
35	Korean	25	2.10	0.45	1.51	1.71	2.00	2.47	2.90
35	Chinese	25	2.38	0.49	1.44	2.07	2.33	2.82	3.19
40	Korean	25	2.36	0.34	1.90	2.11	2.26	2.50	3.05
40	Chinese	25	2.57	0.34	1.92	2.40	2.55	2.79	3.28
45	Korean	25	2.68	0.50	1.92	2.43	2.57	3.05	3.73
45	Chinese	25	2.69	0.29	1.97	2.58	2.70	2.85	3.08
50	Korean	25	2.68	0.31	2.31	2.49	2.55	2.85	3.33
50	Chinese	25	2.97	0.49	1.97	2.58	2.93	3.20	3.88
50	Hindi	25	3.14	0.50	2.32	2.74	3.19	3.52	4.34
55	Chinese	25	3.02	0.41	2.22	2.75	2.92	3.25	4.03
55	Hindi	25	3.30	0.53	2.09	3.01	3.20	3.73	4.14
60	Hindi	25	3.53	0.52	2.55	3.13	3.37	3.96	4.35
70	English	25	3.42	0.68	1.77	3.19	3.32	3.88	4.79

Table 5.4 Speech Rate (NP)

Table 5.5 Speech Rate (RAL)

level	language	count	mean	std	min	0.25	0.50	0.75	max
35	Korean	25	3.03	0.36	2.33	2.80	3.03	3.33	3.76
35	Chinese	25	3.17	0.30	2.46	2.99	3.13	3.35	3.78
40	Korean	25	3.14	0.29	2.70	2.94	3.11	3.27	3.86
40	Chinese	25	3.17	0.40	2.24	3.04	3.31	3.41	3.84
45	Korean	25	3.32	0.34	2.71	3.11	3.34	3.62	3.91
45	Chinese	25	3.32	0.35	2.41	3.12	3.31	3.56	3.98
50	Korean	25	3.47	0.29	2.79	3.32	3.49	3.68	3.98
50	Chinese	25	3.44	0.38	2.83	3.20	3.44	3.54	4.43
50	Hindi	25	3.63	0.51	2.77	3.22	3.60	3.92	4.87
55	Chinese	25	3.54	0.36	3.03	3.22	3.50	3.75	4.34
55	Hindi	25	3.85	0.42	2.44	3.65	3.87	4.10	4.61
60	Hindi	25	3.98	0.51	2.91	3.69	3.92	4.23	5.21
70	English	25	4.52	0.48	3.41	4.27	4.47	4.81	5.40

Speech rate is the most popular fluency measure partially because it is easy to quantify by calculating the number of syllables in a given period of time and analyzing speech rate is the first step of measuring fluency. The result of speech rate in NP (Figure 5.3) shows a moderate linear trend that higher proficiency levels have a higher speech rate while lower proficiency levels have a lower speech rate. There are obvious differences among language backgrounds in speech rate. Korean speakers have a lower L2 English speech rates than Chinese and Hindi speakers and Hindi speakers have a higher L2 English speech rate than Chinese and Korean speakers. Speech rate is an indicator of the speed of oral delivery and we can say that Hindi speakers speak faster than Chinese and Korean speakers for the speech samples in this paper. Korean speakers speak slower than Chinese and Hindi speakers speak slower than Hindi speakers and speak faster than Korean speakers for the speech samples in this paper.

In general, based on this data, Hindi speakers have a tendency to speak quickly with a comparable speech rate to English 70. It is hard to say that Hindi 60 have the same English proficiency as English 70 but both groups have similar levels of fluency based on speech rate. Still, it is not clear why Korean speakers have a lower speech rate than Chinese speakers across levels and Korean speakers tend to speak slower than Chinese and Hindi speakers with less fluency. Korean speakers may only have some characteristics in their oral delivery that have lower speech rate. Notably, however, Korean speakers are not less fluent than other language groups. As seen in the result of total response time, Korean speakers tended to respond using less time but also a slower speech rate. It is obvious that Korean 50, Chinese 50, and Hindi 50 are not the same in terms of speech rate even though they have been given the same scale. In other words, if we consider the speed of oral delivery as a holistic measures of oral proficiency, the test takers in those three groups present different profiles with respect to fluency, and we can say that the speed of oral delivery plays a role in oral proficiency. However, to a certain extent, strengths and weaknesses in other components of oral proficiency can compensate for the difference in the speed of oral delivery. There is a clear L1 effect in speech rate, and it is important to look at other fluency variables to understand the role that language background plays.

5.2.3 Mean Syllables per Run

Figure 5.5 and Figure 5.6 (Table 5.6 and Table 5.7) show the result of mean syllables per run (MSR). MSR is a better measure of fluency than other variables such as speech rate and pausing rate because it contains information about both syllables and pauses. Compared to speech rate, there is less difference across levels in L2 English speakers. However, the difference between L1 English speakers and other L2 English speakers is so large that it makes the difference between L2's appear smaller. Korean speakers clearly have a smaller MSR than Chinese speakers in level 35 and 40 while there is no difference in level 45 and 50. Similar to speech rate, Korean speakers have a lower MSR and Hindi speakers have higher MSR compared to Korean and Chinese speakers. Especially in level 50 where all three language groups were analyzed, it is quite obvious that there is a language background effect in fluency even though those three groups of Korean, Chinese, and Hindi 50s received the same holistic OEPT score.

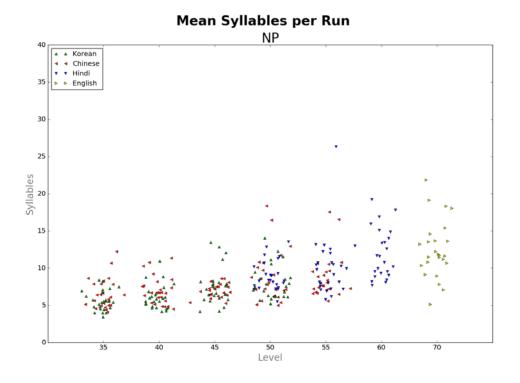


Figure 5.5 Mean Syllables per Run (NP)

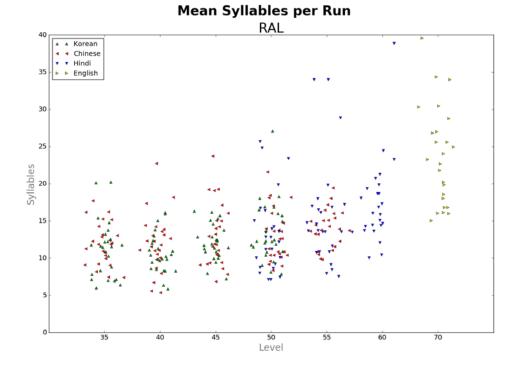


Figure 5.6 Mean Syllables per Run (RAL)

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level	language	count	mean	std	min	0.25	0.50	0.75	max
35	Korean	25	5.65	1.36	3.45	4.67	5.53	6.82	8.42
35	Chinese	25	6.57	2.02	4.07	5.02	6.11	7.85	12.23
40	Korean	25	6.11	1.64	4.18	4.85	5.85	6.65	10.97
40	Chinese	25	7.00	1.88	4.50	5.61	6.68	7.65	11.37
45	Korean	25	7.54	2.47	4.20	6.02	7.17	8.09	13.45
45	Chinese	25	7.02	0.91	5.26	6.41	7.00	7.67	8.60
50	Korean	25	8.06	2.28	5.22	6.23	7.45	8.72	14.04
50	Chinese	25	8.72	3.37	5.00	6.27	7.76	10.07	18.36
50	Hindi	25	9.00	1.98	5.51	7.64	8.37	10.20	13.56
55	Chinese	25	8.82	2.85	5.59	7.23	8.00	9.55	17.57
55	Hindi	25	10.04	4.04	5.78	7.55	9.80	10.74	26.33
60	Hindi	25	11.96	3.30	7.66	9.32	11.61	14.00	19.24
70	English	25	12.53	3.87	5.15	10.67	11.75	13.70	21.87

Table 5.6 Mean Syllable per Run (NP)

Table 5.7 Mean Syllable per Run (RAL)

level	language	count	mean	std	min	0.25	0.50	0.75	max
35	Korean	25	11.18	3.84	6.00	7.82	11.56	12.52	20.25
35	Chinese	25	11.93	2.80	7.41	9.96	11.87	13.14	17.73
40	Korean	25	10.63	2.66	5.84	8.59	10.22	12.00	16.12
40	Chinese	25	11.96	3.85	5.37	10.02	11.78	13.58	22.75
45	Korean	25	12.18	2.43	7.24	10.88	11.91	13.76	16.31
45	Chinese	25	13.07	4.22	6.85	9.41	11.92	15.06	23.71
50	Korean	25	13.49	4.14	7.89	10.89	12.27	16.00	27.10
50	Chinese	25	13.02	3.52	8.38	10.42	12.59	14.74	21.58
50	Hindi	25	13.36	5.34	7.14	9.21	12.84	15.06	25.70
55	Chinese	25	14.01	2.51	9.82	12.29	13.93	15.41	19.48
55	Hindi	25	15.72	7.09	7.53	10.88	13.72	17.00	34.00
60	Hindi	25	17.43	5.78	10.04	14.26	16.06	19.36	38.86
70	English	25	23.72	6.62	15.06	18.05	23.27	27.00	39.60

Mean syllable per run may represent the speed of oral delivery more effectively than other temporal variables because it has both pausing and syllable information. Unlike speech rate or pausing rate, it may not be easy to establish the meaning of mean syllable per run intuitively. Mean syllable per run is not a normalized value. Rather, it presupposes that we measure the length of run by its number of syllables. When the value of mean syllable per run is 10, for instance, it means that the average length of run in a speech sample is 10 syllables. A speaker whose mean syllable per run is 10, normally produces 10 syllables between pauses. In other words, we can expect that the speaker will put a pause after producing 10 syllables, on average. Therefore, while speech rate and pausing rate measure how many syllables and pauses are produced during a given time, mean syllable run indicates a speaker's performance in producing syllables and pauses.

Mean syllables per run (MSR) shows similar results to that of speech rate. In MSR of NP (Figure 5.5), there is a linear trend; higher proficiency groups have higher values of MSR than lower proficiency groups. Korean speakers have lower MSR than Chinese and Hindi speakers and Hindi speakers have higher MSR than Chinese and Korean speakers. Hindi 60 and English 70 have similar MSR in NP while English 70 has much higher values in the result of RAL (Figure 5.6). Even though there is no difference in the number of pauses, because the number of syllables is different across proficiency levels and language backgrounds, the values of mean syllables per run differentiate across different groups. This result supports the idea that speech rate may be enough for comparing the speed of oral delivery in practice due to the fact that speech rate and MSR are similar. However, when we want to investigate the effort in oral delivery, it is important to look at MSR because it reflects the actual performance of speakers, in terms of how many syllables are produced between pauses.

5.2.4 Number of Pauses per Second

Figure 5.7 and Figure 5.8 (Table 5.8 and Table 5.9) show the result of number of pauses per second. A pause is another basic unit in oral production and the number of pauses per second is a measure of fluency along with speech rate that is the normalized value of basic production unit. However, the number of pauses per second is not a strong indicator of speed of oral delivery. There are no linear trends across levels, except English 70 and Hindi 60 produced fewer pauses compared with other English speakers. Pauses in this measure contain both silent and filled pauses and the existence of filled pauses may affect the result. Number of pauses is not exactly the same as the number of runs in a speech sample, but Figure 5.7 and Figure 5.8 roughly show the result of the number of runs per second as well. Thus, the number of runs per second may not be a good fluency measure and it may explain less variability in MSR.

Number of pauses per seconds is a normalized value of another basic unit in oral production, the pause. The number of pauses is the sum of silent pauses and filled pauses and the number of pauses is slightly bigger than the number of runs in most speech samples. In contrast to fluency variables based on the number of syllables, such as speech rate and mean syllable per run, in which a bigger number shows a better performance, a smaller value of number of pauses per second shows a better performance in fluency.

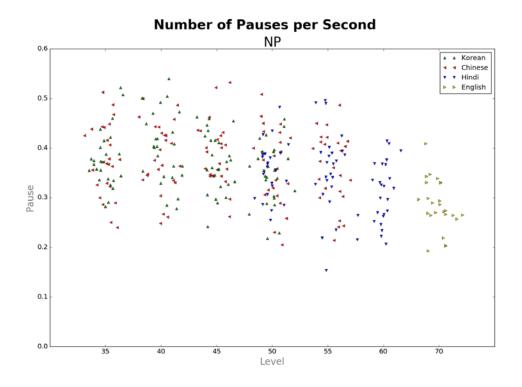
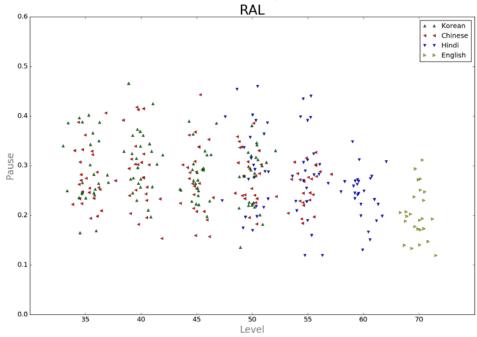


Figure 5.7 Number of Pauses per Second (NP)



Number of Pauses per Second RAL

Figure 5.8 Number of Pauses per Second (RAL)

level	language	count	mean	std	min	0.25	0.50	0.75	max
35	Korean	25	0.38	0.06	0.28	0.34	0.37	0.40	0.52
35	Chinese	25	0.38	0.07	0.24	0.33	0.37	0.44	0.51
40	Korean	25	0.40	0.07	0.28	0.35	0.40	0.45	0.54
40	Chinese	25	0.38	0.07	0.25	0.34	0.38	0.43	0.50
45	Korean	25	0.37	0.06	0.24	0.33	0.37	0.42	0.46
45	Chinese	25	0.39	0.06	0.26	0.34	0.39	0.43	0.53
50	Korean	25	0.35	0.06	0.22	0.30	0.36	0.39	0.46
50	Chinese	25	0.36	0.08	0.21	0.32	0.38	0.42	0.51
50	Hindi	25	0.36	0.05	0.25	0.32	0.36	0.39	0.48
55	Chinese	25	0.36	0.07	0.21	0.31	0.37	0.41	0.49
55	Hindi	25	0.35	0.08	0.15	0.32	0.37	0.39	0.50
60	Hindi	25	0.31	0.06	0.21	0.26	0.32	0.37	0.41
70	English	25	0.28	0.05	0.19	0.26	0.27	0.33	0.41

Table 5.8 Number Pauses per Second (NP)

Table 5.9 Number Pauses per Second (RAL)

level	language	count	mean	std	min	0.25	0.50	0.75	max
35	Korean	25	0.29	0.07	0.17	0.25	0.27	0.35	0.40
35	Chinese	25	0.28	0.06	0.19	0.23	0.26	0.32	0.41
40	Korean	25	0.31	0.06	0.20	0.26	0.31	0.34	0.47
40	Chinese	25	0.29	0.07	0.15	0.24	0.28	0.31	0.42
45	Korean	25	0.28	0.05	0.20	0.25	0.27	0.30	0.39
45	Chinese	25	0.27	0.07	0.16	0.22	0.26	0.31	0.44
50	Korean	25	0.27	0.06	0.14	0.22	0.28	0.32	0.38
50	Chinese	25	0.28	0.05	0.18	0.24	0.28	0.31	0.39
50	Hindi	25	0.30	0.09	0.17	0.23	0.29	0.36	0.46
55	Chinese	25	0.26	0.04	0.18	0.23	0.27	0.28	0.33
55	Hindi	25	0.28	0.09	0.12	0.23	0.28	0.31	0.44
60	Hindi	25	0.24	0.05	0.13	0.22	0.24	0.27	0.35
70	English	25	0.20	0.05	0.12	0.17	0.19	0.24	0.31

There are no obvious differences across language and proficiency groups regarding the number of pauses per second except English 70 which has lower value than the other groups (Figure 5.7). The L2 English test takers put pauses when responding regardless of their proficiency levels and language backgrounds. The number of pauses is a compounded variable because it includes both the number of silent and filled pauses. Based on this data, L1 English speakers tend to produce fewer pauses in their oral delivery because there is a clear difference in the result of number of pauses between L1 English and L2 English speakers. For L2 English speakers, we already observed that each group showed different fluency performances according to speech rate. Higher speech rate means that speakers produce more syllables and each run in their oral delivery would contain more syllables when each speech sample has similar numbers of pauses. Therefore, mean syllable per run will show a clearer picture because it contains information of both syllables and pauses.

5.2.5 Number of Silent Pauses per Second

Figure 5.9 and Figure 5.10 (Table 5.10 and Table 5.11) show the result of number of silent pauses per second. A pause can be composed of either one silent pause, one filled pause, or combination of silent and filled pauses. In other words, the number of silent pauses per second and the number of filled pauses per second are subsets of the number of pauses per second, and number of pauses is the same as the sum of number of silent pauses plus the number of filled pauses. The number of silent pauses per second gives a clearer picture of pausing than the number of pauses per second because this measure does not include filled pauses. One interesting trend is that level 35 and 40 Korean speakers produced more pauses than level 35 and 40 Chinese speakers while level 45 and 50 Korean speakers produced fewer pauses than level 45 and 50 Chinese speakers. The number of silent pauses of Hindi 50 and 55 are not much different from Korean and Chinese 50 and 55. In other words, there is no distinct difference between Korean, Chinese, and Hindi speakers regarding to the number of pauses per second at the higher proficiency levels.

The number of silent pauses per second of NP (Figure 5.9) gives a clearer picture than the result of the number of pauses per second. It indicates that higher levels have fewer pauses than lower levels. When comparing level 35 and 55, level 55 produces at least one less silent pause every twenty seconds than level 35. And the length of runs of level 55 is much longer than level 35. Still pausing rate is not a good fluency measure and should be combined with syllable information when measuring fluency.

5.2.6 Number of Filled Pauses per Second

Figure 5.11 and Figure 5.12 (Table 5.12 and Table 5.13) show the result of number of filled pauses per second that is another subset of number of pauses per second. However, there is no difference across levels even between L1 English and L2 English speakers indicating that the use of filled pauses does not necessary demonstrate a lack of fluency. The number of filled pauses per second in RAL shows that Hindi speakers and L1 English speakers did not produce filled pauses in their reading. On the other hand, they produced lots of filled pauses in the spontaneous item responses (NP). The results in Figure 5.7 to Figure 5.12 show that the frequency rate of pauses is not a good fluency measure, especially the rate of filled pauses. L1 English speakers produce less silent pauses than L2 English speakers while L1 English and L2 English speaker produce similar number of filled pauses in their oral productions.

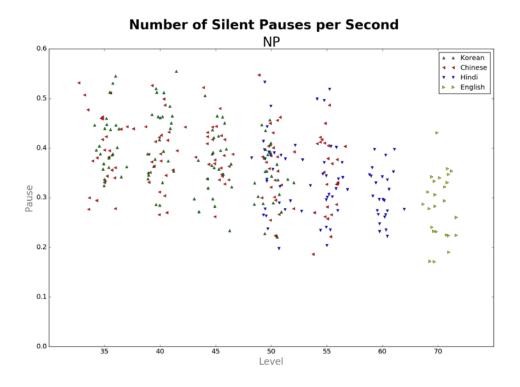
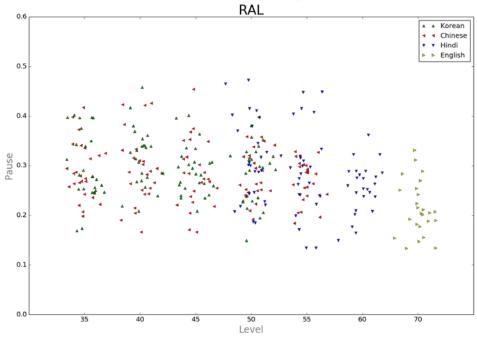


Figure 5.9 Number of Silent Pauses per Second (NP)



Number of Silent Pauses per Second

Figure 5.10 Number of Silent Pauses per Second (RAL)

level	language	count	mean	std	min	0.25	0.50	0.75	max
35	Korean	25	0.41	0.06	0.32	0.36	0.40	0.45	0.55
35	Chinese	25	0.40	0.07	0.28	0.34	0.39	0.46	0.53
40	Korean	25	0.42	0.07	0.28	0.35	0.42	0.46	0.56
40	Chinese	25	0.39	0.07	0.27	0.35	0.39	0.43	0.53
45	Korean	25	0.37	0.07	0.23	0.32	0.37	0.42	0.51
45	Chinese	25	0.39	0.06	0.26	0.35	0.38	0.42	0.52
50	Korean	25	0.35	0.06	0.22	0.30	0.34	0.39	0.46
50	Chinese	25	0.36	0.08	0.22	0.30	0.36	0.40	0.55
50	Hindi	25	0.35	0.08	0.20	0.29	0.38	0.39	0.53
55	Chinese	25	0.35	0.08	0.19	0.28	0.35	0.41	0.49
55	Hindi	25	0.34	0.08	0.20	0.30	0.32	0.37	0.52
60	Hindi	25	0.31	0.05	0.22	0.27	0.30	0.34	0.40
70	English	25	0.28	0.07	0.17	0.23	0.29	0.33	0.43

Table 5.10 Number Silent Pauses per Second (NP)

Table 5.11 Number Silent Pauses per Second (RAL)

level	language	count	mean	std	min	0.25	0.50	0.75	max
35	Korean	25	0.30	0.07	0.17	0.25	0.28	0.35	0.40
35	Chinese	25	0.29	0.06	0.20	0.25	0.27	0.32	0.42
40	Korean	25	0.32	0.06	0.21	0.28	0.33	0.34	0.46
40	Chinese	25	0.29	0.07	0.17	0.25	0.29	0.33	0.43
45	Korean	25	0.29	0.05	0.21	0.26	0.28	0.31	0.40
45	Chinese	25	0.28	0.07	0.17	0.24	0.27	0.32	0.45
50	Korean	25	0.28	0.06	0.15	0.23	0.30	0.32	0.38
50	Chinese	25	0.29	0.05	0.19	0.25	0.27	0.32	0.40
50	Hindi	25	0.31	0.08	0.18	0.24	0.30	0.38	0.47
55	Chinese	25	0.27	0.04	0.18	0.24	0.28	0.30	0.33
55	Hindi	25	0.29	0.09	0.13	0.24	0.30	0.32	0.45
60	Hindi	25	0.25	0.05	0.15	0.24	0.26	0.29	0.36
70	English	25	0.21	0.05	0.13	0.18	0.21	0.25	0.33

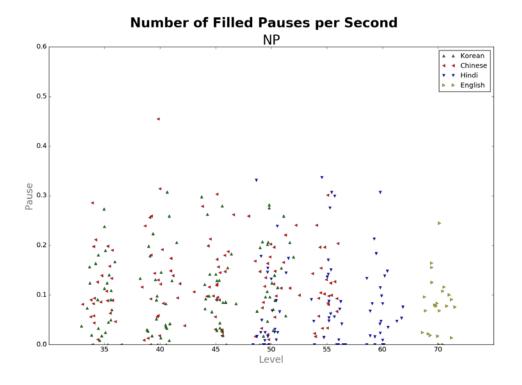


Figure 5.11 Number of Filled Pauses per Second (NP)

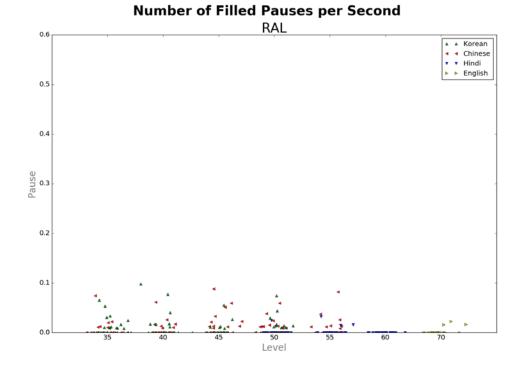


Figure 5.12 Number of Filled Pauses per Second (RAL)

83

level	language	count	mean	std	min	0.25	0.50	0.75	max
35	Korean	25	0.10	0.07	0.00	0.04	0.09	0.16	0.27
35	Chinese	25	0.11	0.07	0.00	0.06	0.09	0.14	0.29
40	Korean	25	0.10	0.09	0.00	0.03	0.08	0.15	0.31
40	Chinese	25	0.14	0.11	0.00	0.06	0.12	0.18	0.46
45	Korean	25	0.11	0.08	0.00	0.07	0.09	0.14	0.30
45	Chinese	25	0.13	0.08	0.02	0.09	0.12	0.18	0.30
50	Korean	25	0.13	0.08	0.00	0.07	0.12	0.20	0.28
50	Chinese	25	0.12	0.07	0.00	0.07	0.12	0.17	0.26
50	Hindi	25	0.08	0.09	0.00	0.02	0.03	0.14	0.33
55	Chinese	25	0.12	0.07	0.02	0.08	0.10	0.14	0.30
55	Hindi	25	0.10	0.10	0.00	0.01	0.06	0.14	0.34
60	Hindi	25	0.08	0.08	0.00	0.02	0.05	0.11	0.31
70	English	25	0.07	0.06	0.00	0.02	0.08	0.10	0.25

Table 5.12 Number Filled Pauses per Second (NP)

Table 5.13 Number Filled Pauses per Second (RAL)

level	language	count	mean	std	min	0.25	0.50	0.75	max
35	Korean	25	0.01	0.02	0.00	0.00	0.00	0.01	0.07
35	Chinese	25	0.01	0.02	0.00	0.00	0.00	0.01	0.07
40	Korean	25	0.01	0.03	0.00	0.00	0.00	0.01	0.10
40	Chinese	25	0.01	0.01	0.00	0.00	0.00	0.01	0.06
45	Korean	25	0.00	0.01	0.00	0.00	0.00	0.00	0.05
45	Chinese	25	0.01	0.02	0.00	0.00	0.00	0.01	0.09
50	Korean	25	0.01	0.02	0.00	0.00	0.00	0.01	0.07
50	Chinese	25	0.01	0.01	0.00	0.00	0.00	0.01	0.06
50	Hindi	25	0.00	0.01	0.00	0.00	0.00	0.00	0.02
55	Chinese	25	0.01	0.02	0.00	0.00	0.00	0.01	0.08
55	Hindi	25	0.00	0.01	0.00	0.00	0.00	0.00	0.03
60	Hindi	25	0.00	0.00	0.00	0.00	0.00	0.00	0.00
70	English	25	0.00	0.01	0.00	0.00	0.00	0.00	0.02

The result of filled pauses per second of NP (Figure 5.11) shows no difference across all groups including L1 English speakers. The use of filled pauses such as 'um' does not indicate lack of fluency or low proficiency in language use. The result of RAL (Figure 5.12) shows some difference between high and low proficiency groups. Notably Hindi 60 did not produce any filled pauses in their responses. However, read-aloud is different from spontaneous responses in processing language for speakers because they read a given script rather than producing new context. Despite this fact, lower proficiency levels appear to put filled pauses as a hesitation when they encountered unfamiliar words or structures in the script. In this case, filled pauses can be a fluency measure for a readaloud situation and lower proficiency level speakers may improve their fluency in reading by not using filled pauses. Reducing the use of filled pauses may help improve oral proficiency in spontaneous responses.

5.3 Pausing Patterns of Fluency

This paper introduces measuring the smoothness of oral delivery by analyzing pausing patterns in speech samples. As seen in the result of number of pauses per second (Figure 5.7 to Figure 5.12), pausing rate is not a good measure of fluency because there is no clear difference across proficiency levels and language backgrounds. Although pausing rate does not have an important role in temporal variables, pausing patterns have an important role in fluency in terms of the smoothness of oral delivery.

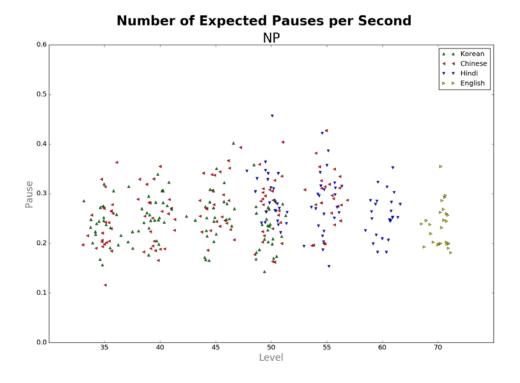
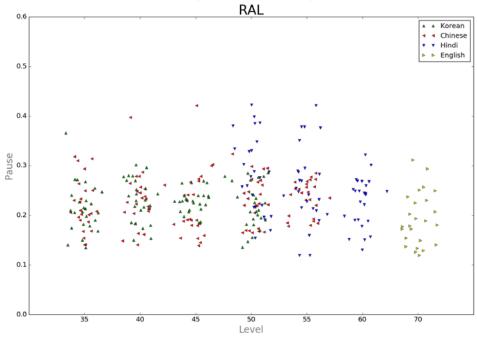


Figure 5.13 Number of Expected Pauses per Second (NP)



Number of Expected Pauses per Second

Figure 5.14 Number of Expected Pauses per Second (RAL)

level	language	count	mean	std	min	0.25	0.50	0.75	max
35	Korean	25	0.24	0.04	0.16	0.20	0.24	0.26	0.32
35	Chinese	25	0.24	0.05	0.12	0.20	0.23	0.27	0.36
40	Korean	25	0.26	0.04	0.18	0.24	0.26	0.28	0.34
40	Chinese	25	0.25	0.05	0.17	0.20	0.25	0.29	0.36
45	Korean	25	0.26	0.06	0.17	0.23	0.25	0.28	0.40
45	Chinese	25	0.28	0.05	0.19	0.24	0.27	0.34	0.39
50	Korean	25	0.23	0.05	0.14	0.20	0.24	0.26	0.36
50	Chinese	25	0.27	0.06	0.16	0.22	0.28	0.31	0.40
50	Hindi	25	0.30	0.05	0.22	0.26	0.28	0.33	0.46
55	Chinese	25	0.29	0.06	0.20	0.26	0.29	0.32	0.43
55	Hindi	25	0.28	0.06	0.15	0.24	0.27	0.32	0.42
60	Hindi	25	0.26	0.04	0.18	0.23	0.25	0.28	0.35
70	English	25	0.24	0.04	0.18	0.20	0.24	0.26	0.36

Table 5.14 Number of Expected Pauses per Second (NP)

Table 5.15 Number of Expected Pauses per Second (RAL)

level	language	count	mean	std	min	0.25	0.50	0.75	max
35	Korean	25	0.22	0.05	0.14	0.18	0.21	0.24	0.37
35	Chinese	25	0.22	0.05	0.14	0.19	0.21	0.25	0.32
40	Korean	25	0.23	0.04	0.15	0.21	0.23	0.27	0.30
40	Chinese	25	0.23	0.05	0.14	0.21	0.23	0.26	0.40
45	Korean	25	0.23	0.02	0.18	0.21	0.23	0.24	0.27
45	Chinese	25	0.22	0.06	0.14	0.18	0.19	0.27	0.42
50	Korean	25	0.22	0.05	0.14	0.19	0.21	0.27	0.29
50	Chinese	25	0.23	0.05	0.16	0.17	0.22	0.27	0.32
50	Hindi	25	0.28	0.08	0.15	0.22	0.28	0.33	0.42
55	Chinese	25	0.24	0.03	0.18	0.20	0.25	0.26	0.28
55	Hindi	25	0.26	0.08	0.12	0.21	0.25	0.29	0.42
60	Hindi	25	0.23	0.05	0.13	0.19	0.24	0.26	0.32
70	English	25	0.19	0.05	0.12	0.15	0.18	0.23	0.31

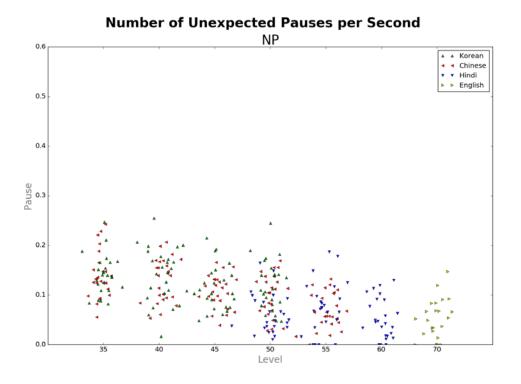
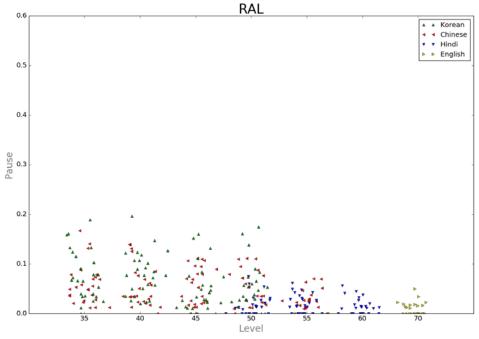


Figure 5.15 Number of Unexpected Pauses per Second (NP)



Number of Unexpected Pauses per Second

Figure 5.16 Number of Unexpected Pauses per Second (RAL)

level	language	count	mean	std	min	0.25	0.50	0.75	max
35	Korean	25	0.14	0.04	0.08	0.12	0.14	0.17	0.25
35	Chinese	25	0.14	0.05	0.06	0.11	0.13	0.15	0.24
40	Korean	25	0.14	0.06	0.02	0.10	0.15	0.18	0.26
40	Chinese	25	0.13	0.04	0.05	0.09	0.14	0.17	0.21
45	Korean	25	0.11	0.04	0.05	0.08	0.10	0.14	0.22
45	Chinese	25	0.11	0.03	0.04	0.09	0.11	0.13	0.17
50	Korean	25	0.12	0.05	0.05	0.08	0.11	0.14	0.24
50	Chinese	25	0.10	0.04	0.02	0.07	0.10	0.13	0.17
50	Hindi	25	0.06	0.04	0.01	0.03	0.05	0.09	0.16
55	Chinese	25	0.07	0.04	0.00	0.05	0.07	0.10	0.13
55	Hindi	25	0.07	0.05	0.00	0.05	0.07	0.10	0.19
60	Hindi	25	0.05	0.04	0.00	0.02	0.04	0.09	0.13
70	English	25	0.05	0.04	0.00	0.01	0.05	0.07	0.15

Table 5.16 Number of Unexpected Pauses per Second (NP)

Table 5.17 Number of Unexpected Pauses per Second (RAL)

level	language	count	mean	std	min	0.25	0.50	0.75	max
35	Korean	25	0.08	0.05	0.00	0.04	0.07	0.11	0.19
35	Chinese	25	0.06	0.04	0.01	0.03	0.05	0.07	0.17
40	Korean	25	0.08	0.04	0.02	0.05	0.08	0.11	0.20
40	Chinese	25	0.05	0.04	0.00	0.02	0.03	0.08	0.14
45	Korean	25	0.05	0.05	0.00	0.01	0.03	0.08	0.16
45	Chinese	25	0.05	0.04	0.00	0.02	0.05	0.09	0.11
50	Korean	25	0.05	0.05	0.00	0.03	0.05	0.07	0.17
50	Chinese	25	0.05	0.04	0.00	0.01	0.04	0.08	0.11
50	Hindi	25	0.02	0.02	0.00	0.00	0.01	0.03	0.07
55	Chinese	25	0.02	0.02	0.00	0.00	0.02	0.03	0.07
55	Hindi	25	0.02	0.02	0.00	0.00	0.02	0.03	0.06
60	Hindi	25	0.01	0.02	0.00	0.00	0.01	0.02	0.06
70	English	25	0.01	0.01	0.00	0.00	0.00	0.02	0.05

5.3.1 Number of Expected Pauses per Second

Figure 5.13 and Figure 5.14 (Table 5.14 and Table 5.15) show the result of number of expected pauses per second. This fluency measure is related to the smoothness of oral delivery. However, there is no clear difference across levels in number of expected pauses per second. It may be because higher proficiency level speakers tend to use fewer pauses and those pauses are in expected positions. Thus, the rate of expected pauses is actually a combination of two variables; rate of pauses and the position of pauses. Thus, another measure for pausing pattern is necessary to show the smoothness of oral delivery.

Similar to the result of number of pauses per second, the result of number of expected pauses per second (Figure 5.13) does not show any difference across proficiency levels and language backgrounds. The interpretation of values in the number of expected pauses per second is unclear because fewer pauses would indicate fluency in oral productions, while proficient speaker should have more expected pauses in their oral productions. Therefore, it is important to look at the result of unexpected pauses to observe differences across proficiency and language groups.

5.3.2 Number of Unexpected Pauses per Second

A more effective measure is illustrated in Figure 5.15 and Figure 5.16 (Table 5.16 and Table 5.17) which show the number of unexpected pauses per second. Number of unexpected pauses per second illustrates the differences across levels. Unexpected pauses are unnecessary parts in oral production as noted by their position. L1 English speakers clearly produced fewer unexpected pauses compared to L2 English speakers and thus, made fewer pauses in the first place. Level 35 and 40 Korean and Chinese speakers produced more unexpected pause than level 45 and 50. Hindi 50 produced fewer unexpected pauses compared to Korean and Chinese 50 while there was no difference between Chinese 55 and Hindi 55 in NP. Additionally, Hindi 60 and English 70 produced a similar number of unexpected pauses. In the RAL item, Hindi and L1 English speakers produced a similar number of unexpected pauses and clearly fewer than Korean and Chinese speakers.

It is hard to say that there is a linear trend in the result of the number of unexpected pauses per second (Figure 5.15 and Figure 5.16) but higher proficiency groups have fewer unexpected pauses than lower proficiency groups. There is no clear difference between level 35 and level 40. Similarly, level 45 and level 50 show similar distribution in their values except Hindi 50. It is obvious that higher proficiency groups use fewer unexpected pauses in their oral productions and more expected pausing patterns are found in higher proficiency groups compared to lower proficiency groups.

5.3.3 Expected Pausing Ratio

Figure 5.17 and Figure 5.18 (Table 5.18 and Table 5.19) show the result of expected pause ratio. This is one of the most distinctive measures of smoothness in fluency. Expected pause ratio shows a similar result to that of the rate of unexpected pauses. However, it is easier to interpret than number of pauses. For example, 65% of pauses by Korean and Chinese 35 and 40 are placed in expected pausing position and almost 90% of pauses by English 70 are expected. That is, L1 English speakers show higher expected pause ratio than L2 English speakers. Additionally, proficiency level is correlated with expected pause ratio. Level 35 and 40 Korean and Chinese speakers in Figure 5.17 show a lower ratio than level 45 and above.

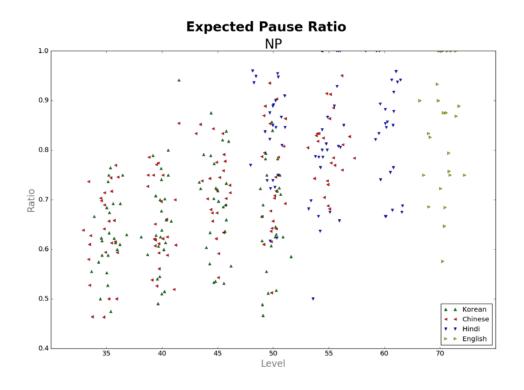


Figure 5.17 Expected Pause Ratio (NP)

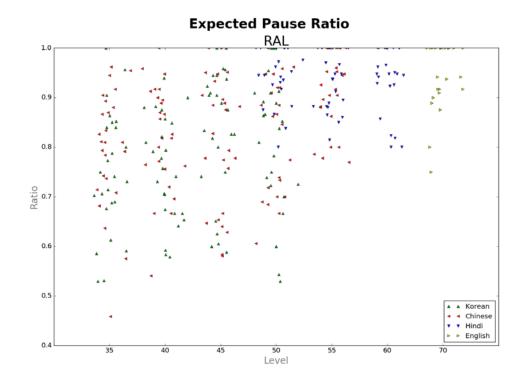


Figure 5.18 Expected Pause Ratio (RAL)

93

level	language	count	mean	std	min	0.25	0.50	0.75	max
35	Korean	25	0.63	0.08	0.48	0.59	0.62	0.68	0.76
35	Chinese	25	0.63	0.09	0.46	0.59	0.64	0.70	0.77
40	Korean	25	0.66	0.10	0.49	0.60	0.64	0.71	0.94
40	Chinese	25	0.66	0.09	0.52	0.61	0.63	0.75	0.85
45	Korean	25	0.70	0.10	0.53	0.63	0.70	0.77	0.88
45	Chinese	25	0.72	0.08	0.54	0.67	0.72	0.78	0.85
50	Korean	25	0.67	0.11	0.47	0.61	0.67	0.75	0.86
50	Chinese	25	0.74	0.11	0.51	0.66	0.72	0.81	0.94
50	Hindi	25	0.83	0.10	0.62	0.75	0.85	0.90	0.96
55	Chinese	25	0.81	0.08	0.68	0.76	0.81	0.83	1.00
55	Hindi	25	0.80	0.13	0.50	0.70	0.80	0.87	1.00
60	Hindi	25	0.84	0.11	0.67	0.76	0.85	0.94	1.00
70	English	25	0.84	0.13	0.58	0.75	0.87	0.93	1.00

Table 5.18 Expected Pause Ratio (NP)

Table 5.19 Expected Pause Ratio (RAL)

level	language	count	mean	std	min	0.25	0.50	0.75	max
35	Korean	25	0.75	0.12	0.53	0.69	0.74	0.84	1.00
35	Chinese	25	0.80	0.12	0.46	0.74	0.81	0.88	0.96
40	Korean	25	0.75	0.11	0.58	0.67	0.76	0.85	0.94
40	Chinese	25	0.82	0.11	0.54	0.76	0.83	0.90	1.00
45	Korean	25	0.83	0.13	0.59	0.75	0.88	0.94	1.00
45	Chinese	25	0.81	0.13	0.58	0.67	0.83	0.90	1.00
50	Korean	25	0.82	0.14	0.53	0.73	0.85	0.91	1.00
50	Chinese	25	0.84	0.12	0.61	0.72	0.87	0.95	1.00
50	Hindi	25	0.95	0.06	0.80	0.93	0.95	1.00	1.00
55	Chinese	25	0.91	0.08	0.77	0.88	0.93	1.00	1.00
55	Hindi	25	0.94	0.06	0.81	0.88	0.95	1.00	1.00
60	Hindi	25	0.94	0.07	0.80	0.93	0.95	1.00	1.00
70	English	25	0.95	0.07	0.75	0.92	1.00	1.00	1.00

There is no difference between Korean and Chinese 35 and 40 in pausing patterns in NP. However, Korean speakers show lower rates than Chinese speakers which is similar to speech rate. In lower levels like 35 and 40, Korean speakers produce not only slower oral delivery than Chinese speakers but also put pauses in unexpected positions. In higher levels like 45, 50, and 55, there is no difference between Korean and Chinese speakers while Hindi speakers speak faster and follow expected pausing patterns.

The result of expected pausing ratio (Figure 5.17 and Figure 5.18) is similar to the number of unexpected pauses per second. However, expected pausing ratio is easier to compare across proficiency levels and language backgrounds because the values of expected pausing ratio indicate the frequency of pauses placed in expected positions. For example, lower proficiency groups such as level 35 and level 40 placed 60% of their pauses in expected positions while higher proficiency groups placed 90% of their pauses in expected positions. Only 10% of pauses produced by higher proficiency groups are unexpected, while 40% of pauses were unexpected from lower proficiency groups. These differences contribute to vastly different levels of smoothness in oral delivery.

5.4 Correlation of Variables

Figure 5.19 and Figure 5.20 (Table 5.20 and Table 5.21) show correlation scatter plots of variables such as speech rate (SR), mean syllables per run (MSR), number of pauses per second (PR), number of silent pauses per second (SPR), number of filled pauses per second (FPR, number of expected pauses per second (ER), number of unexpected pauses per second (UR), and expected pause ratio (EPR). Mean syllables per runs is highly correlated to other variables because it contains the information of pauses. Number of filled pauses and number of expected pauses are not correlated to other temporal and pausing variables because they may not represent fluency well. Number of unexpected pauses and expected pause ratio are highly correlated with each other and actually they represent the same feature in fluency, pausing patterns. Thus, expected pause ratio effectively shows pausing patterns in oral delivery.

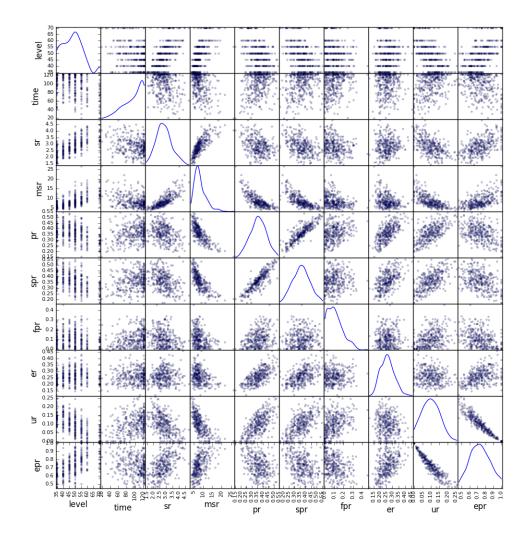


Figure 5.19 Scatter Plots (NP)

	Level	Time	SR	MSR	PR	SPR	FPR	ER	UR	EPR
Level	1.00	-0.29	0.62	0.59	-0.38	-0.47	-0.12	0.06	-0.56	0.55
Time	-0.29	1.00	-0.24	-0.35	0.29	0.21	0.25	0.07	0.31	-0.30
SR	0.62	-0.24	1.00	0.79	-0.33	-0.42	-0.25	0.10	-0.53	0.52
MSR	0.59	-0.35	0.79	1.00	-0.77	-0.74	-0.34	-0.34	-0.67	0.57
PR	-0.38	0.29	-0.33	-0.77	1.00	0.87	0.32	0.66	0.65	-0.40
SPR	-0.47	0.21	-0.42	-0.74	0.87	1.00	0.09	0.52	0.62	-0.41
FPR	-0.12	0.25	-0.25	-0.34	0.32	0.09	1.00	0.04	0.38	-0.34
ER	0.06	0.07	0.10	-0.34	0.66	0.52	0.04	1.00	-0.14	0.40
UR	-0.56	0.31	-0.53	-0.67	0.65	0.62	0.38	-0.14	1.00	-0.94
EPR	0.55	-0.30	0.52	0.57	-0.40	-0.41	-0.34	0.40	-0.94	1.00

Table 5.20 Correlation (NP)

Table 5.21 Correlation (RAL)

	Level	Time	SR	MSR	PR	SPR	FPR	ER	UR	EPR
Level	1.00	-0.48	0.69	0.55	-0.31	-0.29	-0.16	-0.03	-0.47	0.48
Time	-0.48	1.00	-0.52	-0.40	0.27	0.22	0.20	0.00	0.44	-0.43
SR	0.69	-0.52	1.00	0.73	-0.42	-0.40	-0.19	-0.14	-0.49	0.46
MSR	0.55	-0.40	0.73	1.00	-0.84	-0.83	-0.22	-0.61	-0.53	0.45
PR	-0.31	0.27	-0.42	-0.84	1.00	0.99	0.22	0.79	0.55	-0.39
SPR	-0.29	0.22	-0.40	-0.83	0.99	1.00	0.17	0.80	0.52	-0.35
FPR	-0.16	0.20	-0.19	-0.22	0.22	0.17	1.00	-0.05	0.43	-0.42
ER	-0.03	0.00	-0.14	-0.61	0.79	0.80	-0.05	1.00	-0.08	0.25
UR	-0.47	0.44	-0.49	-0.53	0.55	0.52	0.43	-0.08	1.00	-0.96
EPR	0.48	-0.43	0.46	0.45	-0.39	-0.35	-0.42	0.25	-0.96	1.00

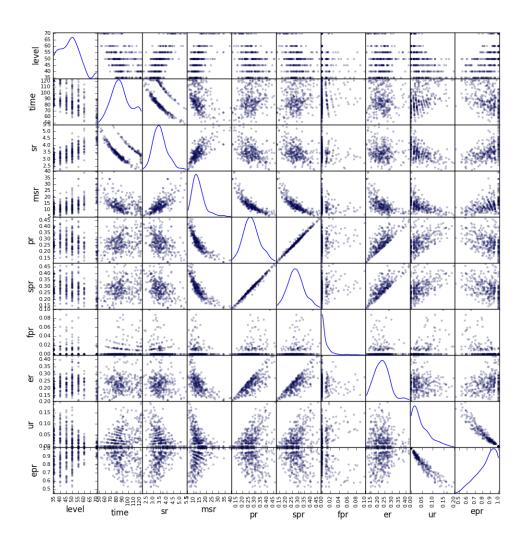


Figure 5.20 Scatter Plots (RAL)

5.5 Discussion on Fluency Measures

This paper investigates fluency measures through extracting temporal and pausing information from speech samples and calculating this information into temporal and pausing variables. Speech samples that are analyzed in this paper were already rated and classified by proficiency levels and it would be reasonable to expect that item responses from high proficiency levels would be more fluent than item responses from low proficiency levels. As shown in the results, temporal variables such as speech rate and mean syllable run showed linear trends across proficiency levels. Pausing patterns also, even though not as clearly as temporal variables, show that there are some obvious trends in pausing patterns in speech samples from high proficiency levels.

In addition, this paper analyzed s large data set to show quantified fluency values in speech samples. Calculating fluency variables from temporal and pausing information is made simpler through appropriate data analysis tool; however, transcribing speech samples to extract temporal and pausing variables from speech samples is time and labor intensive work that cannot be done easily. The methodology of analyzing speech samples in measuring fluency includes transcribing oral productions, extracting fluency information, and calculating fluency variables. This first step of analyzing speech samples to get fluency information is important methodological aspect of this paper.

5.5.1 Methodology of Measuring Fluency

5.5.1.1 Fluency variables

Fluency is clearly an important component in oral proficiency and effectively represents overall language proficiency. Previous research on fluency such as Kormos & Denes (2004) and Ginther et al. (2010) measured fluency by calculating temporal variables in speech samples. This paper extended the method of measuring fluency by finding pausing patterns in addition to calculating temporal variables. This paper categorized fluency variables into temporal and pausing variables. Temporal variables are the measure of the speed of oral delivery and pausing variables are related to the smoothness of oral delivery.

Measuring fluency can be done by calculating temporal and pausing variables. In other words, calculated temporal and pausing variables are the measures of fluency that can represent overall oral proficiency. Thus, it is important to select which temporal and pausing variables to calculate for fluency measures. This paper selected speech rate and mean syllable per run for temporal variables, as discussed in the methodology section of this paper. For the pausing variables, this paper provided the normalized values of number of various pauses such as silent, filled, expected, and unexpected pauses, as well as expected pausing ratio. Those variables are highly correlated to each other. However, expected pausing ratio is the one variable that represents smoothness of oral delivery. Pausing variables are calculated by pausing patterns in speech samples and finding pausing patterns is worth thorough discussion.

5.5.1.2 Pausing patterns: expected and unexpected pausing positions

Pauses basically comprise of silent and filled pauses. In addition, pauses can be categorized by their length. For example, a pause of 0.5 second and a pause of 1 second may have different characteristics in terms of hesitation phenomena. Longer pauses indicate more serious hesitation that needs some redundant processing time in oral production. This paper introduces another classification of pauses regarding pausing placement in speech samples. In some cases, the use of pauses indicate lack of fluency because pauses indeed reduce the speed of oral delivery. However, if pauses are placed in expected pausing positions, those pauses do not reduce the smoothness of oral delivery and may aid in interlocutor processing to compensate for a slower speed in oral delivery.

Pauses are, whether expected or unexpected, additional breaks between utterances and do not need to be produced in the first place. With this perspective, expected pauses are unexpected because pauses are a hesitation phenomenon and evidence of non-fluency. The term 'expected' denotes that it would be effective if a pause is placed in the position, but does not indicate that a pause should necessarily be put in that position. The following examples are from the RAL scripts to show possible pausing placements.

- (1) All parking on campus is regulated and available only for a fee.
- (2) All parking (pause) on campus (pause) is regulated (pause) and available (pause) only for a fee.
- (3) All parking on campus (pause) is regulated and available only for a fee.
- (4) All persons operating motor vehicles within the boundaries of the campus shall observe and obey all applicable state laws and shall hold valid driver's licenses.
- (5) All persons operating motor vehicles within the boundaries of the campus shall observe and obey all applicable state laws (pause) and shall hold valid driver's licenses.
- (6) All persons operating motor vehicles within the boundaries of the campus shall observe (pause) and obey all applicable state laws (pause) and shall hold valid driver's licenses.

(7) All persons operating motor vehicles within the (pause) boundaries of the campus shall observe and obey all applicable (pause) state laws and shall hold valid driver's licenses.

The sentence in (1) is short and there is no need to put a pause in the middle of the sentence at all when producing it. Production of this sentence may contain pauses like (2) where all pauses are placed in expected positions. It would sound very non-fluent if someone actually produced sentence (2) because there are too many hesitations even though they are placed in expected positions. On the contrary, the sentence production like (3) with one pause in the middle of the sentence may sound much better than (2). However, the pause in (3) is still redundant and somewhat reduces the fluency of speaker who is producing the sentence. Sentence (4) is relatively long and may have pauses in the middle of the sentence like (5) or (6), not like (7). The pauses in expected position like (5) and (6) do not reduce the fluency of speaker greatly while the pauses in unexpected positions like (7) may be strong evidence of lower proficiency.

More importantly, categorizing pausing positions only focuses on a target pause in its place and surrounding words, and does not consider any of the next or previous pauses and words or expressions.

- (1) All parking on campus (pause) is regulated and available only for a fee.
- (2) All parking (pause) on campus is regulated (pause) and available only for a fee.
- (3) All parking (pause) on campus (pause) is regulated (pause) and available only for a fee.

(4) All persons operating motor vehicles (pause) within the boundaries of the campus shall observe and obey all applicable state laws and (pause) shall hold valid driver's licenses.

For example, the pause in (1) is placed after a subject noun phrase and before a verb phrase and can be unarguably regarded as an expected pause. On the contrary, the pauses in (2) are placed in not so expected positions because they are placed within a subject clause and a verb clause while there is no pause between the subject and verb clauses like (1). However, both of the pauses in (2) are categorized as expected pauses even though there is no pause placed in the more expected position like (3). Considering the pause position between the surrounding words, the pausing position follows the convention of an expected pausing placement before a preposition phrase. The sentence in (4) is relatively long and it sounds natural to put some pauses during the production of sentence, and the pauses in (3) look better than pauses in (2).

We may categorize expected pausing positions into different categories such as more expected and less expected positions. For example, placing a pause between sentences is much more expected than other places such as phrase and clause boundaries. Similarly, pausing before phrase boundaries would be more expected than pausing before clause boundaries. However, pausing patterns are not always related to the syntactic structure of utterances. Rather, pause placement, or hesitation may be related to various factors in oral production such as prosody, style, and vocabulary use.

> Campus visitors must use metered parking areas or the visitor garage, or must purchase a daily visitor permit (pause) from the visitor information center.

- (2) Campus visitors (pause) must use metered parking areas or the visitor garage, or must purchase a daily visitor permit from the visitor information center.
- (3) Campus visitors must use metered parking areas or the visitor garage, or must purchase a daily visitor permit from (pause) the visitor information center.
- (4) Campus visitors must (pause) use metered parking areas or the visitor garage, or must purchase a daily visitor permit from the visitor information center.

The pause in (1) is placed near at the end of sentence, and we cannot say that it would be better to put a pause, for instance, at the comma because placing a pause in that place is more expected. Similarly, the pause in (2) occurred rather early in the sentence but we cannot say that it would be better to wait until the clause boundary. The production of sentence (1) is better than (3) and the production of sentence in (2) is better than (4), in terms of pause placement.

Pauses that occur before dysfluency phenomena such as repetition, self-repairs, and false-starts are categorized as unexpected pauses because dysfluency markers are unexpected in the first place. Dysfluency markers usually come with silent or filled pauses because there usually is hesitation when making those errors, and such hesitations are therefore unexpected. Sometimes there is no silent or filled pauses before or after dysfluency markers and such occasion is not considered when counting expected and unexpected pauses because it does not involve pause placement.

5.5.1.3 Transcribing tool

Temporal and pausing variables are calculated from several basic units in speech samples such as total response time, number of syllables, number of pauses/runs, and number of silent/expected/unexpected pauses. If there are transcribed speech samples with time, syllable, and pause information, it is possible to extract temporal and pausing information and calculate temporal and pausing variables to measure fluency. A transcribed speech sample denotes that sound data is turned into text data that contains annotated information including boundaries of pauses/runs and types of pauses. Transcribing speech samples with temporal and pausing information is the first step of measuring fluency.

The transcribing tool used in this paper is explained in detail in the methodology section. The purpose of developing the computer-assisted annotation tool is mainly establishing efficient procedures for the fluency research in this paper. The functions of the tool are limited to annotating information of pauses and runs to count number of syllables and pauses, and categorize types of pauses. The tool can also calculate fluency variables such as speech rates, pausing rates, mean syllables per run, and expected pausing ratio from fluency information.

Currently, the tool is only able to handle information of runs and pauses and cannot process other information such as marking words or phonemes in speech samples. However, analyzing those different types of linguistic features are not the main target of this paper, and therefore the tool does not have functions to process such additional linguistic information. Because the tool only has functions for fluency research in this paper, there is no need for additional functions because analyzing speech samples using the tool is focused on the target research. In other words, the design and implementation of the tool is targeted at the steps in processing fluency variables (Figure 4.1) in this paper. The limited functions of this tools is not a disadvantage when using the tool for fluency research; actually the limitation is one of advantages because of its ease of use.

For additional fluency research with an extended list of fluency variables, it is possible to add new features to the tool or expand its functions. For example, currently the tool only separates oral productions into runs and pauses, but another tier can be added to store additional fluency information such as boundaries of multi-word units. Expanding the annotation tool can be done by updating the current codes or implementing a new tool based on the design of current one.

The development of this annotation tool is one of main parts of this paper because the tool essential in the methodology of fluency research. Data collection for fluency research takes time, especially when the size of speech sample is large. Having a transcribing tool targeted for specific analysis has a great advantage in reducing this.

5.5.2 Fluency Measures

Analyzing speech samples to calculate fluency variables allow us to see how fluency measures represent overall oral proficiency. It is important to evaluate the results of fluency variables as a whole to discuss the relationship between fluency measures and proficiency levels. Fluency measures are categorized into the speed and smoothness of oral delivery by the definition of fluency (Lennon 1990, 2000).

5.5.2.1 The speed of oral delivery

Temporal variables show an expected result. Higher proficiency groups speak faster than lower proficiency groups. For example, English 70 is the fastest group while Chinese 35 and Korean 35 have the slowest performances. This applies to other groups, for instance, level 50 speak faster than level 45. However, there is no clear difference between neighboring groups such as between level 35 and level 40, and between level 50 and level 55.

The old OEPT had four score levels of 30, 40, 50, and 60. The current version of OEPT has six levels 35, 40, 45, 50, 55, and 60. For the old OEPT, level 30 and 40 were regarded as an intermediate proficiency group and level 50 and 60 as a high proficiency group. Actually test takers who scored 30 or 40 failed the test and had to take an oral English course. For the new OEPT, level 35, 40, and 45 are an intermediate proficiency group and level 50, 55, and 60 are a high proficiency group. Therefore, it may be possible that differences in proficiency between score levels are narrowed in the new six level rating system compared to the four level rating system, especially among the intermediate levels of 35, 40, and 45, and among the higher levels of 50, 55, and 60.

Even though there is no clear difference between neighboring groups, the difference between the intermediate proficiency groups and the higher proficiency groups is obvious. For example, the values of temporal variables from level 35 and level 50 are quite different. Proficiency level is not decided by only one factor such as fluency and there are many other components in oral proficiency such as accuracy, vocabulary use, and coherence. Even though two speech samples from level 35 and level 40 have the

same rate of fluency, another component such as accuracy likely made the difference between the two groups.

Another noticeable result is that Hindi speakers generally speak faster than other language groups and even some temporal variables of Hindi 60 are similar to English 70. In other words, Hindi speakers with higher English proficiency spoke as fast as native speakers of English. Hindi 50 spoke faster than Chinese 50 and Korean 50, and Hindi 55 spoke faster than Chinese 55. Korean speakers spoke slower than other language groups especially in lower groups. Korean 35 and 40 show a slower speech rate compared to Chinese 35 and 40. The results of differences between language groups imply that just speaking faster does not necessarily improve overall oral proficiency.

Speech samples from the native speakers of English are included in analysis as English 70 to compare L2 English speech samples with L1 English speech samples. It is obvious that English 70 spoke faster than other proficiency groups. However, English 70 did not just speak as fast as they could when they produced their speech samples, and there are some ranges of production values in terms of the speed of oral delivery. For example, from Table 5.4 and Table 5.7, the average value of speech rate of English 70 is around 3.5 syllables per second, and the average value of mean syllables per run is 12.5 syllables per run. Therefore, when L2 English speaker produce their speech samples close to these values such as producing 12 syllables per run, it may be possible to say that they say fluently as the native speaker of English in terms of the speed of oral delivery.

There are some ranges, or threshold values for the speed of oral delivery in oral productions from high proficiency speakers, but speaking fast or slow within this range rather depends on individual preferences. In other words, some L1 speakers speak slower

than L2 speakers but still fluent, and some lower proficiency level speakers speak fast regardless of their overall proficiency; that is why the speed of oral delivery is not enough to measure fluency and measuring the smoothness of oral delivery is another important task.

5.5.2.2 The smoothness of oral delivery

This paper suggests that smoothness of oral delivery can be measured by analyzing pausing patterns. Analysis of pausing patterns add an important level of complexity to research on fluency and the use of temporal measures to represent fluency. Differences across proficiency levels and language backgrounds indicate that pause frequency is not a strong measure of fluency. However, the result of expected pausing ratio shows that lower proficiency speakers frequently placed pauses in unexpected places and possibly contribution to their lower holistic score. Higher proficiency speakers' pauses, on the other hand, are generally placed in expected positions. Even though not every response from English 70 contains only expected pause placements, the ideal value of expected pause ratio would be 1. In other words, high proficiency speakers are expected put pauses only in expected positions.

Fluency may be the most important component in oral proficiency. However fluent speech is not always proficient speech because other components in oral proficiency such as accuracy, vocabulary use, and coherence also need to be considered. Unlike temporal variables, pausing patterns depend on the content of speech. Pause positions are closely related to neighboring words and expressions around pauses. For example, if a pause is placed between a subject phrase and a verb phrase, the pause is placed in an expected pausing place. However, whether placing a pause before a verb or not has nothing to do with the actual meaning or the accurate use of the verb. The pauses in the sentence (1) and (2) are still in an expected pausing place even though the sentences are not grammatically accurate.

- (1) *Faculty members (pause) receives inquiries from prospective students aboutPurdue University.
- (2) *All parking on campus (pause) are regulated and available only for a fee.

CHAPTER 6. CONCLUSION AND FUTURE RESEARCH

This paper investigates measuring fluency by analyzing pausing patterns as the smoothness of oral delivery and temporal variables as the speed of oral delivery. Based on the discussion of methodology in measuring fluency, large amounts of speech samples from the OEPT were analyzed to observe fluency measures across different L2 English proficiency levels and language backgrounds. A computer-assisted annotation tool was developed for this study and the speech samples were transcribed and tagged by using the annotation tool. The result of fluency variables shows that higher proficiency level speakers have better performances in temporal measures of fluency than lower proficiency level speakers, and placed pauses following expected pausing patterns. Therefore, the differences across proficiency levels show that fluency can represent overall oral proficiency well.

So far this paper has analyzed how to measure fluency that can represent overall oral proficiency by measuring the speed and smoothness of oral delivery. However, we can only say that fluent speakers produce their oral delivery fast and smooth, but it is not clear to say 'how fast' and 'how smooth'. Based on the result in this study, we may suggest some ranges of fluency measures to define fluent oral delivery. For example, we can say that producing 12 syllables per run with 0.8 expected pause ratio is fluent enough for highly proficient L2 speakers.

110

However, we need more data samples and analysis to figure out what would be the ideal values of fluency measures in terms of overall oral proficiency. This paper analyzed relatively large number of speech samples across various proficiency levels and language backgrounds, but it is not likely to say that all the subjects in these groups are randomly chosen to generalize the results. For example, this study could not include speech samples from Korean 60 and Chinese 60 simply because there were not enough number of L2 English speakers with high proficiency among international students. Therefore, it is important to gather more data to analyze fluency measures through the methodology introduced in this paper to get more reliable results. Besides, the results in this study show some implications that there are differences among language backgrounds especially in low proficiency levels; further analysis with more reliable data sets will show whether the language backgrounds of L2 English speakers indeed have a significant role in their oral productions.

In addition to the results of basic statistic and scatter plots to see the overall distributions of fluency variables, more analysis results from larger speech samples will make it possible to do further statistical analysis to see the level of statistical significance by hypothesis testing. Besides, this paper only analyzed the rates of production such as speech rate and mean syllables per run, but it would be necessary to analyze the quantity of production such as length of pauses and length of vocal productions to add more reliable results in measuring fluency. The quantity of production can be extracted from the transcribed data using the annotation tool in this study. Transcribing speech samples begins with finding the boundaries of pauses and runs (i.e., the beginning and end of pauses and runs), and the length between boundaries are the quantities of production.

The data set in this study are composed of different oral proficiency levels and we can compare the results across levels to see different characteristics in fluency. The speech samples were collected from various L2 English speakers and we may predict that the oral proficiency of low level speakers would be improved and have the characteristics of high proficiency level speakers. For example, low level speakers will achieve high proficiency level by speaking more fluently, producing oral delivery faster and smoother. However, the results in this paper show that there were high proficiency level speakers with low fluency values. In other words, some test takers in OEPT were rated as high proficiency levels (e.g., level 60 and 70) even though they responded with not so fast and smooth oral productions. Or it would be possible that some high proficiency level speakers spoke relatively slow but rather smooth to compensate their fluency. Thus, we need to analyze individual differences among different components in fluency to see which fluency variables affects more on overall oral proficiency.

The data sets for this study are already categorized by OEPT ratings in the ranges from 35 to 60. The purpose of this study is not extended to see if the rating system of OEPT is good enough to classify test takers by their respective oral proficiency. The results show that there must be a clear difference between, for instance, level 35 and level 50 because their proficiency levels are far enough to have distinct fluency values. However, sometimes it is not clear whether the fluency values of adjacent levels clearly differ from each other, especially between level 35 and 40, and level 40 and 45. Those lower levels were incorporated into two groups of 30 and 40 in the old OEPT; the current OEPT separated them into three levels rather than two levels. In the next version of OEPT, those proficiency levels may be set differently, either separated into two levels or three levels. Different values in fluency measures may separate proficiency levels, and the further analysis of fluency measures would support a new and better rating system for the new OEPT.

A longitudinal study can be conducted to see improvements over time in fluency. For example, students in an ESL (English as a Second Language) course, who took OEPT at the beginning of semester, may take the OEPT again at the end of semester to show their improvement. The OEPT rating would be changed for the students who have practiced English throughout the course, and fluency measures from their OEPT responses would show different results how the speed and smoothness of oral delivery are changed over time. The longitudinal analysis of fluency measures can give an idea to pedagogical considerations in ESL courses such as practicing speed and smoothness in oral production to improve overall oral proficiency. For example, not only speaking fast helps improving oral proficiency but speaking smoothly by placing pauses in expected positions is also important in effective oral production.

Measuring fluency is the first step of measuring oral proficiency, and selecting other components in oral proficiency to quantify and measure is possible as well. Measuring vocabulary use is possible by using transcriptions of oral productions from analyzing the speech samples to calculate fluency variables. For example, vocabulary use can be measured by lexical diversity in oral delivery, and the basic measurement of lexical diversity is the total number of words used in oral delivery (i.e., tokens) and the number of different words in oral delivery (i.e., types). Measuring accuracy in oral delivery is possible by providing a list of accurate language use and counting the number of inaccurate language use in oral production such as counting grammatical errors, as well as errors in pronunciation and intonation.

Furthermore, it is possible to conduct an experiment for measuring fluency variables to predict fluency levels of L2 English speakers. In addition, establishing methodology to measure each component in oral proficiency will make it possible to measure oral proficiency as a whole and eventually evaluation of oral proficiency in L2 speech samples may be automatic. REFERENCES

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VITA

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Education	
Purdue University West Lafayette, Indiana	
Ph.D, Linguistics	May 2016
Dissertation: Measuring Fluency - Temporal Variables and	d Pausing Patterns in L2
English Speech	
Advisor: Dr. April Ginther	
Sogang University Seoul, Korea	
M.E. Computer Science	February 2001
Sogang University Seoul, Korea	
B.S. Computer Science	February 1999
B.S. Korean Language and Literature	February 1997

Research Interest & Skills

Research interest

Applied Linguistics, Second language acquisition, Language testing, Computer assisted language learning & testing, Quantitative methodology in linguistic research, Fluency

Skills

Quantitative analysis of language data Web (HTML5) programming Python programming

Work Experience

NLP Laboratory, DaumSoft; ResearcherJanuary 2001 – September 2004Developed and modified the machine readable dictionaries (MRD) for NLP (Natural
Language Processing) enginesLanguage Processing) enginesDesigned and constructed a word sense classification system in KoreanMade a proposal of the project for Korean culture & contents agencyParticipated in the ISP (Information Strategy Planning) of the integrated government
portal system projectDesigned and constructed a word sense classification system in Korean

Research Experience

Research Assistant

August 2006 – May 2016

Researched and developed language learning tools at TELL (Technology Enhanced Language Leaning) Participated in developing Speak Everywhere, a computer-assisted language learning and testing tool for foreign language classrooms (<u>http://speak-everywhere.com</u>) Developed a web-based version of Speak Everywhere Developed a mobile version of Speak Everywhere Managed Speak Everywhere for foreign language courses Developed course materials using Speak Everywhere for foreign language courses Developed an associative vocabulary learning tool (web-based application) Developed the interface of foreign language placement examination at SLC (School of Language and Culture)

Research Assistant

August 2006 - May 2007

Analyzed speech samples from OEPT (Oral English Proficiency Test) using Praat for the fluency project in OEPP (Oral English Proficiency Program)

Research Assistant

May 2007 – August 2007

Participated in the OEPT2 project Developed transcribing manual using Praat for OEPP

Research AssistantDecember 2011 – January 2012Participated in the survey project in OEPP: A comparative investigation intounderstanding and uses of English language proficiency scores in the U.S. and Australia

Presentation

Automatic measurement of temporal variables in Oral English Proficiency Test. Presented at the Purdue Linguistics Association symposium 2009

Temporal measures of fluency: automatic and manual extraction of temporal variables. Presented at the 11th annual meeting of Midwest Association of Language Testers (MwALT 2009)

Oral Proficiency and Vocabulary Use in L2 English Speech. Presented at the 12th annual meeting of Midwest Association of Language Testers (MwALT 2010)

Oral proficiency and pausing pattern in English L2 speech. Presented at the Purdue Linguistics Association symposium 2011

Temporal Measures of Fluency as Explications of Holistic Scores on a Semi-Direct Exam of Oral English Proficiency. Presented at Georgetown University Round Table on Languages and Linguistics 2012 (GURT 2012)

Measuring fluency: temporal variables and pausing patterns in L2 English speech. Presented at the 1st Purdue Language and Culture Conference (PLCC 2016)

References

Dr. April Ginther, Professor of English, director of OEPP, <u>aginther@purdue.edu</u>Dr. Atsushi Fukada, Professor of SLC, director of TELL, <u>afukada@purdue.edu</u>