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1 **Metalloproteases of the inner mitochondrial membrane**

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3 1 **ABSTRACT**
4

5 2 The inner mitochondrial membrane (IM) is among most protein-rich cellular compartments. The metastable IM
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7 3 sub-proteome where the concentration of proteins is approaching oversaturation creates a challenging protein
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9 4 folding environment with high probability for protein malfunction or aggregation. Failure to maintain protein
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11 5 homeostasis in such a setting can impair functional integrity of the mitochondria and drive clinical
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13 6 manifestations. The IM is equipped with a series of highly conserved, proteolytic complexes dedicated to the
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15 7 maintenance of normal protein homeostasis within this mitochondrial sub-compartment. Particularly important
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17 8 is a group of membrane-anchored metallopeptidases commonly known as m-AAA and i-AAA proteases, and the
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19 9 ATP-independent Oma1 protease. Herein, we will summarize current biochemical knowledge about these
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21 10 proteolytic machines and discuss recent advances toward understanding mechanistic aspects of their
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23 11 functioning.
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1 INTRODUCTION

2 Mitochondria are complex organelles involved in a plethora of vital cellular functions including energy
3 conversion, cofactor and metabolite synthesis, maintenance of ion homeostasis, cell signaling and death ¹. Two
4 membranes of distinctive lipid composition, known as the outer (OM) and inner (IM) membranes segregate
5 mitochondrial compartments from the rest of the cell and harbor a significant portion of proteins comprising the
6 mitochondrial proteome. Particularly fascinating is the IM, which is estimated to house over 800 integral and
7 peripherally associated proteins originating from both nuclear and mitochondrial genomes, and is one of the
8 major sites of essential mitochondrial functions ². The extremely protein-rich environment of the IM sub-
9 compartment poses a major homeostatic challenge and necessitates tight control of its biogenesis and
10 maintenance. Not surprisingly, the failure to properly fold, assemble and maintain protein complexes within the
11 IM is increasingly recognized as a root cause of a wide spectrum of pathological conditions ³⁻⁵. Several
12 interdependent mechanisms known as mitochondrial quality control (MQC) are involved in the maintenance of
13 protein homeostasis within the IM. A major facet of MQC that operates in this mitochondrial sub-compartment
14 is represented by three evolutionarily conserved metalloproteases: the intermembrane space (IMS)-oriented
15 AAA (i-AAA) protease, the matrix-oriented AAA (m-AAA) protease, and the Oma1 protease. In this review,
16 we will summarize current knowledge gained from the biochemical studies focusing on the mechanistic aspects
17 of these proteolytic machines.

18 MITOCHONDRIAL AAA METALLOPROTEASES

19 The AAA+ (ATPases Associated with diverse cellular Activities) metalloproteases in mitochondria are
20 direct descendants of the bacterial FtsH AAA+ protease ⁶, and share common domain and structure organization
21 features with members of the so-called classic clade of AAA proteins ⁷⁻⁹. They are a subgroup of AAA+
22 proteases classified as ring-shaped P-loop NTPases ¹⁰ - a diverse family of proteins responsible for unfolding
23 misfolded or damaged polypeptides ¹¹. The proteins of the FtsH family form hexameric complexes that utilize
24 the energy of coordinated ATP hydrolysis to propel target proteins through the central pore for unfolding ¹²
25 (Fig. 1A). A typical AAA+ metalloprotease comprises an N-terminal domain, a AAA+ ATPase domain that
26 contains the nucleotide binding Walker A and B (also known as NBD1 and NBD2) motifs, as well as the

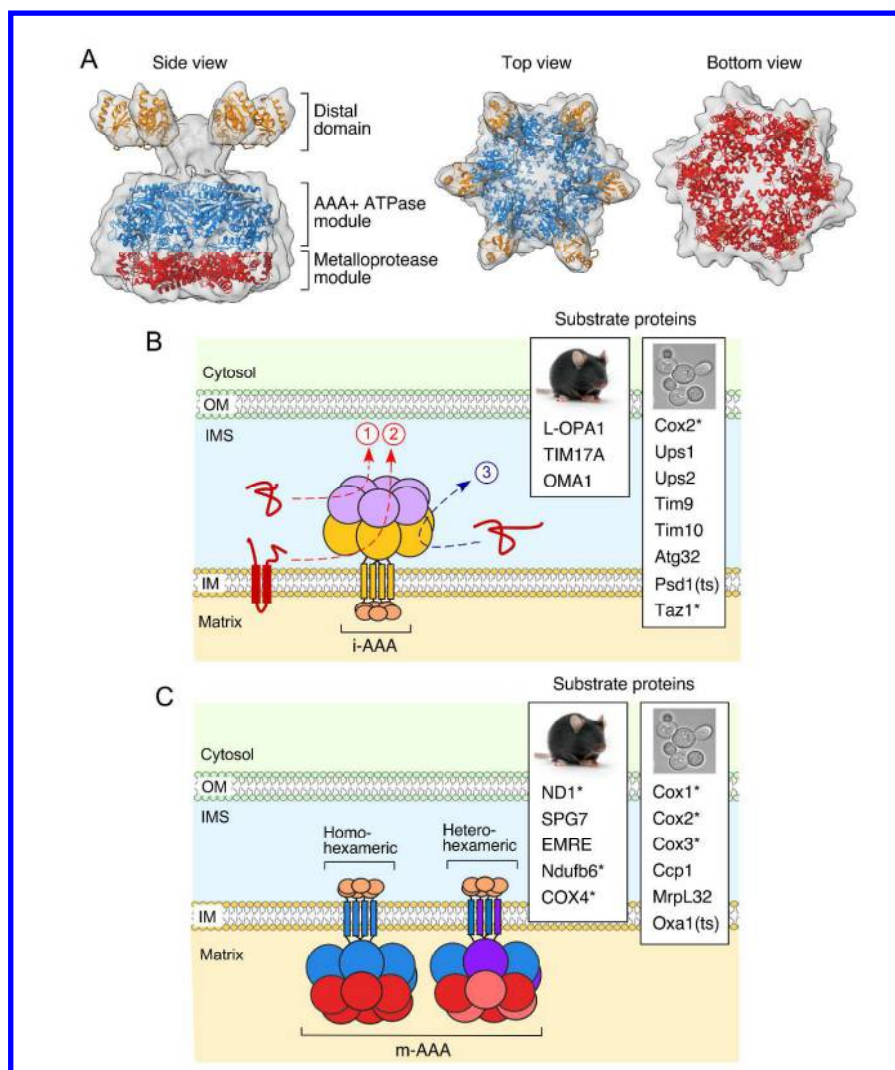
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3 1 Second Region of Homology (SRH) domain ¹¹, and Zn²⁺ metalloprotease C-terminal domain ^{7,11}. The N-
4
5 2 terminal portion is usually the least conserved part of AAA+ metalloproteases ⁷. Metalloproteinase domains
6
7 3 have either HExxH or variant HxxEH motifs, and belong to the M41 protease domain family ¹³. In this setup,
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9 4 histidines bind a Zn²⁺ ion and keep it in the correct orientation while glutamine takes part in the catalytic
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11 5 reaction as a proton donor ¹⁴ through a “oxyanion hole” mechanism that was described in detail for
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13 6 Carboxypeptidase A ¹⁵. While bacteria are usually equipped with only one FtsH-type metalloprotease ¹⁶, the
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15 7 mitochondria of eukaryotic cells bear several different homologs – the homomeric i-AAA and heteromeric m-
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17 8 AAA, with their active sites facing the IMS and mitochondrial matrix, respectively ¹¹. The following sections
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19 9 describe these proteolytic machines in a greater detail.
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23 24 10 **i-AAA PROTEASE**

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26 11 Six copies of the inner membrane-anchored, IMS-facing protein Yme1 (Yta11, also known as YME1L
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28 12 in mammals) form an active homo-hexameric complex commonly referred to as i-AAA protease ¹⁷. These
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30 13 eukaryote-specific proteins are believed to have evolved from bacterial FtsH peptidases by losing a
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32 14 transmembrane helix and substituting an $\alpha + \beta$ fold with tetratricopeptide repeat (TPR) fold ^{7,18}. It is postulated
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34 15 that Yme1 proteins have further diverged in the animal kingdom by acquiring an additional domain, Yme1-NN
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36 16 (at present found only in the *Hydra* genus), which along with the TPR fold was replaced by Yme1-NC domain
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38 17 following the evolutionary split between *Cnidaria* and *Bilateria* phylae ⁷. In contrast, *Nematoda* and *Biptera*
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40 18 harbor yet another Yme1 variant, where Yme1-NN is replaced with other domains ⁷. i-AAA function is highly
41
42 19 conserved in eukaryotes ¹⁹.
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45 20 Most of the current knowledge about i-AAA is derived from studies in yeast. NMR analysis of the yeast
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47 21 enzyme showed that the N-terminal domain of Yme1 comprises a short mitochondrial targeting sequence, and a
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49 22 single, 19 kDa polypeptide chain, which in turn contains a core and loosely folded flanking regions. The core
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51 23 region harbors a fold with five helices, four of which are forming TPR hairpins. Such fold structure is conserved
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53 24 in most eukaryotes, ranging from yeast to mammals, but has an additional extended loop in some fungi and
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55 25 plants ⁷. The N-terminal domain of Yme1 is protein-specific and does not share any homology with the N-
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1 termini of the other AAA+ proteins. These domains mediate hexamerization of Yme1, thereby forming the
 2 central pore structure of the complex that leads a target protein to the complex's catalytic chamber⁷ (Fig. 1A,
 3 B). The remainder of the protein consists of the AAA+ ATPase domain, the conserved SRH motif, the catalytic
 4 (protease) domain, and the C-terminal Calponin Homology (CH) domain²⁰.



5 **Figure 1. Structural and functional organization of the AAA+ IM metalloproteases.** (A) A structural model
 6 of prototypal IM AAA+ protease is presented as a hexameric structure with a distinct metalloprotease domain
 7 (red) and an AAA+ domain (blue) modeled by fitting the crystal structure of the FtsH protease from *Thermogota*
 8 *maritima* (3KDS) into the cryoEM envelope from *S. cerevisiae* m-AAA enzyme (EMD-1712). The IMSD
 9 domain (orange) in the distal part is presented by the solution structure of a portion of human AFG3L2 (2LNA).
 10 Note that the AAA+ and metalloprotease modules are not color-highlighted in top and bottom view projections,

1
2
3 1 respectively, for better clarity. The model was generated using the ChimeraX software. **(B)** i-AAA protease
4 2 forms a homo-hexameric complex with the catalytic M41 zinc metalloprotease's domain (purple) and the AAA+
5 3 ATPase domain (yellow) facing the IMS, which are then followed by the transmembrane spans and the Yme1-
6 4 specific N-terminus (orange). The enzyme is able to: (1) proteolytically process soluble, IMS-residing
7 5 substrates, presumably without engaging its AAA+ module; (2) extract and degrade IM-anchored proteins
8 6 through the concerted action of the ATPase and proteolytic domains; or (3) merely unfold/refold them via its
9 7 AAA+ module, thus functioning as a chaperone. Various substrates have been identified for both mammalian
10 8 and yeast iAAA proteases, which however, in many cases are not orthologous. The insets in panels B and C list
11 9 proteins that have been experimentally confirmed as *bona fide* substrates of each respective protease. The
12 10 asterisks or *ts* (temperature sensitive) acronyms denote proteins that are perceived as substrates upon a condition
13 11 when they are damaged, unassembled, and/or misfolded. OM, outer mitochondrial membrane; IM, inner
14 12 mitochondrial membrane; IMS, intermembrane space. **(C)** m-AAA proteases can form either homo- or hetero-
15 13 oligomeric complexes with their proteolytic (red, pink) and ATPase (blue, dark purple) domains exposed to the
16 14 matrix. Similar to the i-AAA enzyme, the m-AAA proteases demonstrate remarkable versatility in their
17 15 substrate processing modes. Likewise, the m-AAA substrates appear to differ between mammalian and yeast
18 16 systems. More details can be found in the text.

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40 18 The E541Q mutation in the HExxH domain of Yme1 disables the enzyme's catalytic site ²¹. The SRC
41 19 domain also contributes to enzymatic activity by co-localizing conservative arginine residues close to the
42 20 catalytic center of the neighboring subunit, thereby boosting catalytic efficiency of the enzyme ²². An intact
43 21 AAA+ domain structure with a hydrophobic amino acid at position 354 within the pore loop of Yme1 was
44 22 postulated to be critical for substrate unfolding ²³, however neither the catalytic domain, nor AAA+ module
45 23 appear to play a role in determining substrate specificity. Two helical subdomains of the C-terminal CH domain
46 24 appear to be responsible for substrate recognition ²¹, even though the NH domain also seems to play some role
47 25 in substrate binding ²¹. The ATPase module of Yme1 can translocate proteins through the membrane into the
48 26 IMS without engaging the cleavage by the catalytic domain ²⁴ (Fig. 1B). In line with this are the observations
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3 1 that i-AAA can perform chaperone-like functions both *in vitro*²⁵ and *in vivo*^{26,27}. At present, Yme1 appears to
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5 2 be the only protein with the ATP-dependent chaperone function that operates in the IMS²⁶.
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8 3 The recent elegant *in vitro* studies using an engineered soluble version of mammalian Yme1 ortholog
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10 4 YME1L – stabilized via replacement of its native transmembrane segment with the *cc-hex* artificial peptide
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12 5 permitting the enzyme's assembly into enzymatically active i-AAA complex in solution – showed that Yme1
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14 6 recognizes certain specific motifs (degrons) in its substrate proteins, and the simple unfolding of a substrate is
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16 7 insufficient to initiate degradation²⁸. While the molecular nature of i-AAA degrons remains to be elucidated,
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18 8 the study by the Glynn lab identified a F-*h*-*h*-F (where *h* is any hydrophobic residue) as a potential recognition
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20 9 signal for YME1L²⁸. Of note, this motif or its variants are found in about 40 proteins comprising the IMS and
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22 10 IM sub-proteomes²⁸. Further analyses determined that Yme1 exhibits specificity toward unfolded degrons, but
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24 11 does not seem to recognize them in a folded state²⁹. Only when an unfolded degron is recognized, the i-AAA
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26 12 can subsequently unfurl the rest of the protein and proteolyze it in a processive manner. This is achieved by
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28 13 active unfolding and feeding of the substrate into the enzyme's catalytic chamber through the complex's central
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30 14 pore using the energy of ATP hydrolysis²⁸.
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34 15 In line with the functional versatility of Yme1 are its multifaceted activities within the mitochondria –
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36 16 the i-AAA has been implicated in a variety of processes including regulation of mitochondrial fission/fusion
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38 17 mechanism by processing the IM GTPase OPA1³⁰, and metallopeptidase OMA1³¹ – assembly, maintenance,
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40 18 and quality control of IM proteins and respiratory complexes^{32,33}; IMS protein transport²⁴; and the
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42 19 mitochondrial unfolded protein response (UPRmt)³⁴. While physiological roles of i-AAA remain to be
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44 20 clarified, the enzyme's functional importance is underscored by studies in rodents showing its crucial role in
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46 21 embryonic development and maintenance of normal mitochondrial populations³⁵. In addition, a recent clinical
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48 22 study identified the homozygous R149W mutation in YME1L in patients with an infantile-onset
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50 23 mitochondriopathy³⁶. This substitution affects the protein's maturation and impairs its accumulation within the
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52 24 mitochondria³⁶.
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55 56 25 **m-AAA PROTEASE** 57 58 59 60

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3 1 The matrix-facing m-AAA protease has been originally described in yeast as a hetero-hexameric 850
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5 2 kDa complex consisting of paralogous Yta10 and Yta12 subunits (SPG7/paraplegin and AFG3L2 in humans,
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7 3 respectively)^{37,38} (Fig. 1C), which are well-conserved across the Eukarya³⁹. In addition to the usual AAA+ and
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9 4 M41 metallopeptidase domains, these proteins harbor specific IMS domain (IMSD) and two transmembrane
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11 5 helices at their N-termini¹². In humans, IMSD contains an $\alpha + \beta$ fold of two alpha helices and five beta sheets
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14 6³⁹. Both catalytic ATPase domains of m-AAA subunits are exposed to the matrix^{25,37} (Fig. 1A). Not only are
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16 7 these modules responsible for protein unfolding, but they also appear to be specifically driving formation of an
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18 8 active hexameric m-AAA complex. Swapping of catalytic domains between Yta10 and Yta12 prevents
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20 9 functionality of the complex, while switching other domains does not¹². Interestingly, hetero-oligomeric
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22 10 assembly of the Yta10-Yta12 complex is dependent upon the proteolytic domains of both subunits - only two
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24 11 amino acid substitutions in this region can promote homo-oligomerization of Yta12. These mutations have
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26 12 been allocated to the interface between promoters in the m-AAA hexamer¹². Coordinated ATP hydrolysis is
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28 13 ensured by a complex signaling network within the AAA ring with the primary role given to the arginine finger,
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30 14 followed by the inter-subunit signaling motif (located at the end of helix $\alpha 7$) and the pore loop-2 region of
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32 15 Yta10⁴⁰. Similar to the AAA+ domain of Yme1, the ATPase domains of Yta10 and Yta12 were shown to exert
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34 16 some chaperone-like properties^{25,37}. The molecular organization and architecture of m-AAA appears to be
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36 17 similar between eukaryotes^{39,41}. Human m-AAA complexes can rescue yeast cells with m-AAA deletions,
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38 18 which points to a highly conserved structure and function^{41,42}.

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42 19 Structural analysis of human SPG7 revealed that this domain comprises a large beta-sheet consisting of
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44 20 five parallel strands, surrounded by two alpha helices, and terminated with four antiparallel alpha helices at the
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46 21 C-terminus. The domain's ATP/ADP-binding site is situated between these structures⁴³. The Walker A and B
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48 22 motifs in SPG7 are highly conserved and are responsible for handling ADP and Mg^{2+} ions, respectively⁴³.
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51 23 Activity of the catalytic domains of each subunit is boosted in hexameric m-AAA complex due to inter-subunit
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53 24 influence of the Walker A motifs from neighboring subunits, and resulting cooperative mechanism allowing
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55 25 simultaneous coordinated ATP hydrolysis by all subunits of the complex⁴⁰. In addition to heteromeric
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57 26 SPG7/AFG3L2 m-AAA complexes, human mitochondria contain m-AAA variants formed by six copies of
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3 1 AFG3L2^{41,42} that have overlapping functionality⁴⁴. Interestingly, SPG7 does not appear to homo-oligomerize,
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5 2 likely due to the fact that its own maturation requires AFG3L2⁴⁵. Additionally, murine mitochondria contain
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7 3 yet another AFG3L2 paralog, Afg3L1, which has transformed into a pseudogene in humans^{45,46}. The abundance
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9 4 of each variant complex appears to be determined merely by abundance of a particular subunit⁴². Inceptive
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11 5 evidence of differential substrate preference/specificity was provided for both murine and human homo- versus
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13 6 hetero-oligomeric m-AAA complexes²⁰. Of note, tissue-specific patterns of the m-AAA subunit composition
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15 7 have been observed in rodents⁴², however the functional significance of this observation remains to be clarified.

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18 8 Numerous studies have catalogued information about mutations in the m-AAA complex. For example,
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20 9 mutations in SPG7's catalytic center or hydrophobic core, such as A510V, completely abrogate m-AAA
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22 10 complex activity⁴³. Interestingly, however, a constitutively active R688Q substitution, which renders SPG7
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24 11 unsusceptible to AFG3L2-mediated maturation, has also been reported⁴⁷. Several mutations affecting the
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26 12 function of AFG3L2's peptidase domain have also been described. Most of these substitutions occur in the
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28 13 region spanning the amino acid residues 654 through 700⁴⁸⁻⁵⁰. Additionally, the E575Q, M625I, and K354A
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30 14 mutations disable the catalytic center of the enzyme⁵¹⁻⁵³, while the Y616C substitution prevents hetero-
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32 15 oligomerization of AFG3L2 with SPG7⁵⁴; and the E408Q mutation ablates ATPase activity by disrupting the
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34 16 Walker B motif⁵². Given the detrimental effect of the abovementioned AFG3L2 and paraplegin mutations, their
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36 17 association with clinical manifestations is not surprising. Many of these substitutions manifest in neurological
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38 18 pathologies such as hereditary spastic paraplegia (HSP type 7), spinocerebellar ataxia type 28 (SCA28), and
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40 19 spastic ataxia-neuropathy syndrome (SPAX5). More detailed reviews on the roles of m-AAA protease in
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42 20 neuronal dysfunctions can be found elsewhere⁴.

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46 21 The molecular architecture of m-AAA appears to be similar to that of the i-AAA protease^{7,39}. It is
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48 22 therefore plausible that the mechanism of substrate recognition by m-AAA may be similar to that reported for
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50 23 YME1L. Available reports suggest that AAA proteases may be finding their substrates in the protein-rich IM by
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52 24 recognizing specific patterns that become accessible upon polypeptide's domain unfolding and/or its projection
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54 25 from the membrane face. Consistent with this idea, studies in yeast have determined that a certain length of the
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56 26 polypeptide's unfolded region is required for substrate retention and processing by m-AAA⁵⁵. However,
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3 1 molecular determinants of substrate recognition by m-AAA, as well as identity of potential degrons, remain to
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5 2 be investigated.

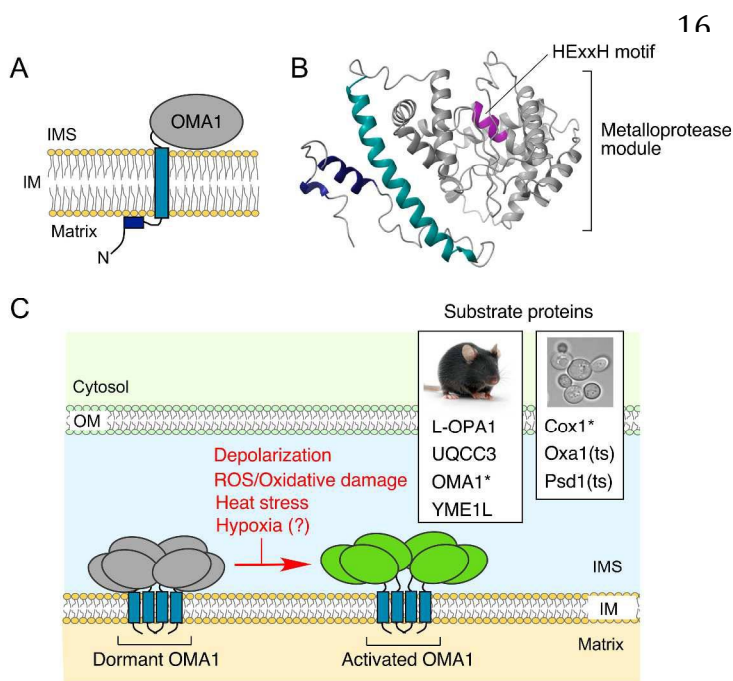
6
7 3 Just like i-AAA, the m-AAA protease can perform both proteolytic and chaperoning functions. The
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9 4 enzyme is responsible for maturation, maintenance, and quality control of membrane proteins on the matrix side
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11 5 of the IM^{56,57} as well as certain proteins in the matrix⁵⁸ (Fig. 1C) – most notably the key ribosomal protein
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13 6 MRPL32⁵⁶. The m-AAA protease is also known to regulate mitochondrial fusion via influencing cleavage of
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15 7 the OPA1 GTPase, albeit likely in an indirect manner⁵². Interestingly, both m-AAA and i-AAA complexes can
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17 8 degrade IM-anchored polypeptides with at least a short tail exposed to the matrix or IMS respectively by ATP
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19 9 dependent unfolding and translocation of the proteins across the membrane for degradation⁵⁹. An interesting
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21 10 example of protein translocation that is uncoupled from degradation has been reported in yeast, wherein m-
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23 11 AAA dislocates fungi-specific protein cytochrome *c* peroxidase (Ccp1) from its initial position in the IM and
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25 12 correctly positions it for subsequent cleavage by rhomboid protease Pcp1^{60,61}. The intact central pore loop and
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27 13 the insertion of Ccp1 into the protease's central channel are critical requirements of this process⁶¹.

28 29 30 31 32 14 **OMA1 PROTEASE**

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35 15 Initially postulated to be a backup protease for m-AAA proteolytic module, Oma1 belongs to the M48C
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37 16 family of conserved metalloproteases⁶² with homologs found in both prokaryotes and eukaryotes⁶³. Some
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39 17 notable exceptions include *Nematoda* and *Trematoda* worms and certain flies (*Drosophilidae*), which appear to
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41 18 have lost OMA1 homologs throughout their evolution. The enzyme comprises an eukaryote-specific N-terminal
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43 19 region⁶³ and a M48 metallopeptidase domain¹³. Unlike i-AAA and m-AAA proteases, Oma1 lacks the ATPase
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45 20 domain, and thus is ATP-independent (Fig. 2A, B). Amino acid sequence analysis predicts Oma1 to contain two
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47 21 hydrophobic transmembrane segments (residues 63-83 and 220-240 in *S. cerevisiae*)⁶² which anchor OMA1 to
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49 22 the IM and orient the enzyme's active site to the IMS⁶⁴ (Fig. 2A). However, the experimental evidence rather
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51 23 suggests that the enzyme contains a single transmembrane segment⁶⁵. Mutations of catalytic glutamate and
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53 24 histidine residues within the protease's HEXxH catalytic domain ablate its enzymatic activity^{63,66}.

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Oma1 is assumed to exist as a homo-oligomeric complex^{66,67}. The protease appears to be largely dormant under basal conditions, but becomes rapidly activated by various homeostatic challenges such as loss of mitochondrial membrane potential, oxidative, and heat stress^{65,66,68} (Fig. 2C). With no available structural data on Oma1, the mechanism of its stress activation remains largely obscure. However, several insights have emerged from studies on the yeast and mammalian enzyme. Probing yeast mitochondria-derived Oma1 oligomers by limited proteolysis revealed that conditions of mitochondrial stress alter conformation and/or stability of the protease complex, while constituent Oma1 subunits remain stable⁶⁶. In contrast, mammalian OMA1 was reported to undergo autocleavage upon its activation^{64,65,68}. OMA1 is presumed to self-cleave at the C-terminal residues 443–452 upon mitochondrial depolarization⁶⁸. Of note, the C-terminal moiety of metazoan Oma1 is significantly extended as compared to the yeast enzyme. However, a more recent study by Rainbolt *et al.* has challenged the OMA1 self-cleavage model by demonstrating that degradation of activated OMA1 occurs via YME1L-mediated proteolysis⁶⁹. Mammalian OMA1 harbors another metazoan-specific element – a positively charged N-terminal region spanning amino acid residues 144-163. This region appears to be important for OMA1 activation – mutations in the residues constituting the segment impair the enzyme’s stress-activation and processing⁶⁵.



16 **Figure 2. Structural and functional organization of OMA1 protease. (A)**

Predicted molecular organization of mammalian OMA1. The enzyme comprises the IMS-facing proteolytic domain (grey; the position of the HEXxH catalytic motif is highlighted in purple), the transmembrane segment (teal), and a shorter N-terminal α helix that likely helps to further stabilize the enzyme’s association with the IM (navy). The

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2
3 1 N-terminal moiety of vertebrate Oma1 orthologs also contains a stretch of charged amino acid residues that has
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5 2 been proposed to participate in stress-induced activation of the enzyme. **(B)** Predicted structural model of the
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7 3 yeast Oma1 protease. The model was produced using the iTASSER prediction software and further processed
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9 4 using the ChimeraX. **(C)** OMA1 exists as a largely dormant, homo-oligomeric complex that is activated upon
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11 5 indicated homeostatic insults. While the exact mechanism behind this process remains to be clarified, changes in
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13 6 conformation of the Oma1 oligomer were proposed to trigger the enzyme's activation. The inset shows currently
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15 7 known substrates of Oma1. The yeast Cox1 protein (marked with the asterisk) is degraded by Oma1 in a subset
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17 8 of cytochrome oxidase assembly mutants. Similarly, Oma1 appears to specifically degrade temperature sensitive
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19 9 (ts), misfolding-prone variants of the IM translocase Oxa1 and phosphatidylserine decarboxylase Psd1. More
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21 10 details are available in the text. The current Oma1 substrate repertoire includes the misfolded and/or
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23 11 unassembled translocase Oxa1 ⁶³, respiratory complex IV subunit Cox1 ⁶⁷, and phosphatidylserine
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25 12 decarboxylase Psd1 in yeast ⁷⁰; and the GTPase OPA1 ^{52,64,65} and complex III assembly factor UQCC3 ⁷¹ in
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27 13 mammals (Fig. 2C). The mechanisms by which Oma1 selects and recognizes its substrates remain to be
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29 14 determined.
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37 16 Heterozygous mutations in conserved His-69, Glu-272, and Asp-365 residues of OMA1 have been
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39 17 reported in several patients afflicted with familial and sporadic forms of amyotrophic lateral sclerosis ⁷². While
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41 18 molecular effects of these mutations remain to be tested, these substitutions are likely to exert negative effects
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43 19 due to the likely homo-oligomeric nature of the OMA1 complex.
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46 20 **FUNCTIONAL INTERACTIONS OF THE IM METALLOPEPTIDASES**

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49 21 Functional interactions between the IM proteases can manifest in various forms including: (1) specific
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51 22 condition-defined reciprocal proteolysis of the enzymes; (2) sequential processing or degradation of shared
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53 23 substrates; and/or (3) formation of supramolecular complexes to facilitate processing of certain substrates or
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55 24 modulate enzymes' activity. These functional scenarios are not mutually exclusive, as –for instance –
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3 1 exemplified by versatile functional interactions between Oma1 and i-AAA proteases. The following text will
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5 2 discuss some of these activities.
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8 3 The study by Rainbolt *et al.* reported that mammalian YME1L and OMA1 can reciprocally regulate
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10 4 each other's steady state levels in response to membrane depolarization and ATP levels, respectively ⁶⁹ (Fig.
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12 5 3A). This work suggested that the i-AAA is responsible for degradation of depolarization-activated OMA1,
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14 6 whereas ATP-independent OMA1 peptidase may be involved in proteolytic processing of YME1L when
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16 7 mitochondrial ATP pools are critically low. At present, it is unclear whether this *modus operandi* is specific to
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18 8 mammalian enzymes – the stress-activated Oma1 appears to remain stable in yeast ^{65,66}. On the other hand,
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20 9 combined deletion of fungal Oma1 and Yme1 leads to a severe synthetic genetic effect ⁶⁶. Such synergy,
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22 10 however, may relate to functional cooperation between the enzymes towards certain substrates. For example, a
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24 11 recent study by Ogunbona *et al.* demonstrated in yeast that Oma1 and Yme1 are responsible for the processing
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26 12 of temperature-sensitive mutant phosphatidylserine decarboxylase Psd1 ⁷⁰. Psd1 is a mitochondrial protein
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28 13 comprised of non-covalently associated α - (required for enzymatic activity) and β -subunits, which are separated
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30 14 as a result of autocatalysis. The i-AAA alone can degrade post-autocatalysis aggregated β -subunits. However,
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32 15 when the latter process is compromised, Oma1 is required to cleave the misfolded temperature-sensitive Psd1
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34 16 precursor, which is subsequently degraded by Yme1 ⁷⁰. Of note, similar cooperation has been reported for the
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36 17 Oma1 and m-AAA proteases during proteolytic removal of the temperature-sensitive L240S mutant of the IM
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38 18 insertase Oxa1 ⁶³.
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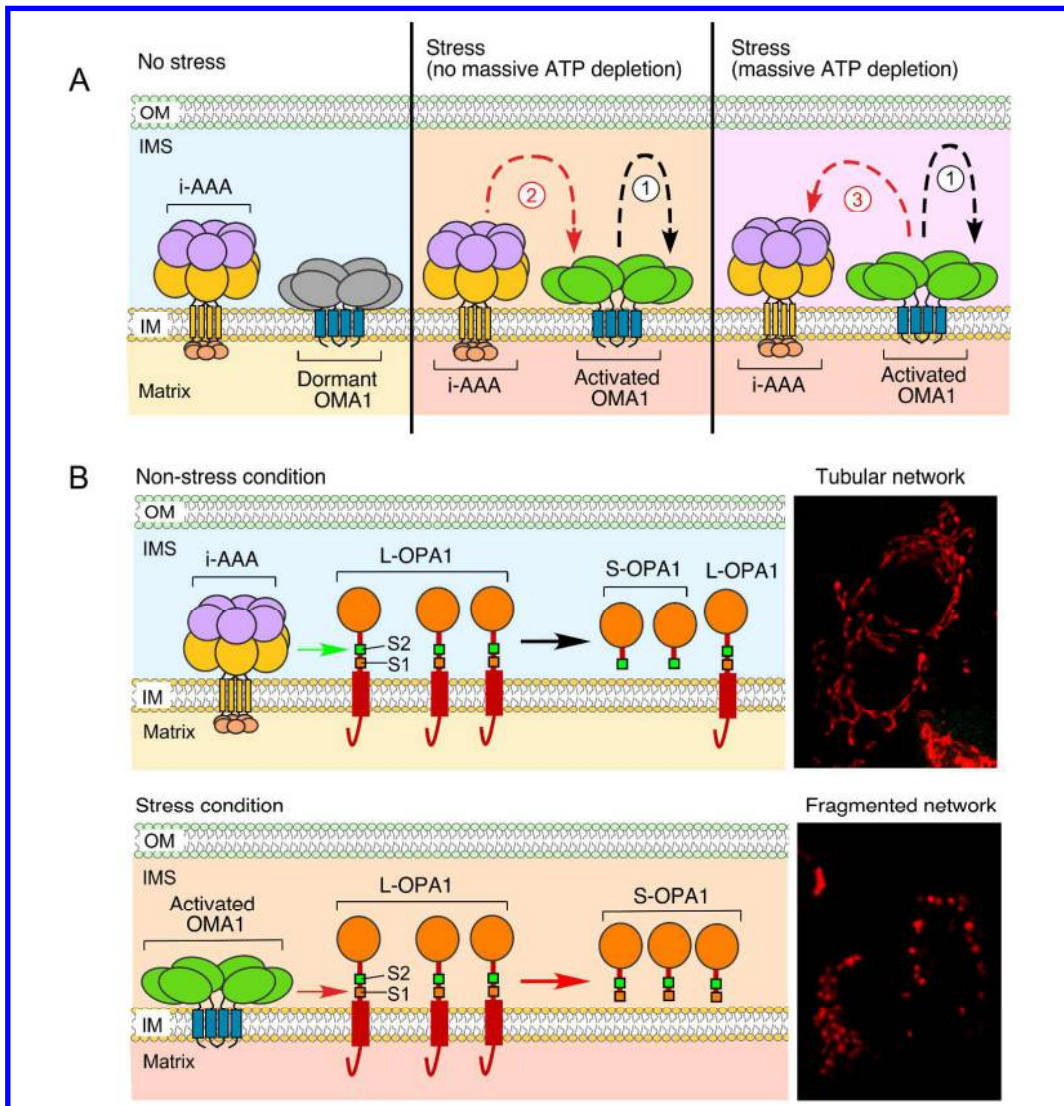


Figure 3. Functional cooperation between the i-AAA and OMA1 proteases. (A) OMA1 is activated by conditions of mitochondrial stress. Original reports suggested that the activated protease undergoes autoprolysis (1), thereby eliminating over-reactive enzyme when the stressed condition is over. However, a recent report proposed an alternative model, wherein the i-AAA is responsible for degradation of stress-activated OMA1 (2). Reciprocally, OMA1 may be involved in proteolytic cleavage of YME1L when mitochondrial ATP levels are attenuated (3). **(B)** Metalloproteases YME1L and OMA1 cooperate in proteolytic processing of long forms of GTPase OPA1 (L-OPA1). This variant is important for mitochondrial fusion and normal cristae ultrastructure. L-OPA1 forms harbor two physically separate cleavage sites, S1 and S2, which are recognized by OMA1 and YME1L, respectively. Cleavage of L-OPA by either protease yields short forms of

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2
3 1 the enzyme, S-OPA1, which facilitates partitioning of the mitochondrial network. The i-AAA protease appears
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5 2 to be the key enzyme mediating L-OPA1 processing under non-stress conditions, yielding nearly equimolar
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7 3 amounts of L-OPA1 and S-OPA1 and thus balances fusion and fission of the mitochondrial network. OMA1
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9 4 exhibits little or no involvement in L-OPA1 processing under non-stress conditions. Homeostatic challenges or
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11 5 mitochondrial dysfunction trigger stress-activation of OMA1, which mediates rapid processing of the entire L-
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13 6 OPA1 pool into S-OPA1 forms, thereby stimulating mitochondrial fission and massive fragmentation of the
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15 7 mitochondrial network. Further details can be found in the text. Representative confocal fluorescent images
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17 8 show MitoTracker Red-stained mitochondrial network in cultured SH-SY5Y neuroblastoma cells that have been
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19 9 challenged or not with an uncoupler CCCP.
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25 11 Another well-characterized shared substrate of the IM metallopeptidases is mammalian dynamin-related
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27 12 GTPase OPA1^{65,73}. This protein – which has emerged as a key mediator of the IM fusion – is present in
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29 13 multiple variants in various tissues due to alternative splicing and processing resulting in six different isoforms
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31 14 in mice⁷⁴ and eight in humans⁷⁵. Long OPA1 isoforms (L-OPA1) are produced due to alternative splicing;
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33 15 these polypeptides contain two physically separate cleavage sites, designated S1 and S2, which are recognized
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35 16 by OMA1 and YME1L, respectively (Fig. 3B). Cleavage of L-OPA by either protease yields short forms of
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37 17 OPA1, known as S-OPA1 (reviewed in^{76,77}). However, in this case OMA1 and YME1L seem to engage under
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39 18 different physiological conditions. The i-AAA protease appears to be the key enzyme mediating L-OPA1
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41 19 processing under non-stress conditions; its activity yields roughly equimolar amounts of long and short OPA1
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43 20 isoforms - the state required for an optimal fusion/fission balance of the mitochondrial network. Additionally,
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45 21 YME1L's activity toward L-OPA1 has been proposed to further stimulate IM fusion during conditions that
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47 22 demand increased bioenergetics capacity⁷⁸. In contrast, OMA1 exhibits little or no involvement in L-OPA1
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49 23 processing under basal conditions. However, it becomes activated in response to various homeostatic insults
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51 24 (Fig. 2C) and mediates rapid conversion of all L-OPA1 isoforms into the short variant, thereby triggering IM
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53 25 fission and subsequent partitioning of the mitochondrial network^{30,64,65,73} (Fig. 3C). The balance between
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55 26 proteolytic activities of i-AAA and OMA1 appears to be crucial for normal cell functioning. For example,
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3 1 cardiac-specific inactivation of YME1L in mice triggers OMA1 activation and subsequent mitochondrial
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5 2 fragmentation, and metabolic alterations in cardiac tissue. These events further lead to the development of
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7 3 dilated cardiomyopathy and ultimately heart failure. Remarkably, these pathological conditions can be
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9 4 effectively alleviated by the additional deletion of OMA1, leading to L-OPA1 stabilization and consequent
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11 5 restoration of the mitochondrial network ³⁵.

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14 6 Of note, the yeast OPA1 ortholog Mgm1 is not a substrate of either i-AAA or Oma1. The fungal
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16 7 GTPase is processed by the rhomboid serine protease Pcp1 (PARL in mammals), which also yields equimolar
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18 8 amounts of L-Mgm1 and its single short isoform (reviewed in ⁷⁹).

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20 9 Both i-AAA and m-AAA proteases can form super-molecular structures with other proteins that are not directly
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22 10 required for their proteolytic activity. In some cases, such assemblies have been reported to display regulatory
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24 11 features, associate with specific substrate recruitment activities, or facilitate protease-substrate interactions. In
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26 12 yeast, nematodes, and mammals, the prohibitins Phb1 and Phb2 form a large IM-anchored ring-shaped complex
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28 13 of 1.2 MDa (PHB) (reviewed in ⁸⁰). The PHB complex assembles together with independently formed m-AAA
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30 14 protease ^{80,81}, however the functional significance of this interaction remains unclear. Osman *et al.* ⁸⁰ speculated
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32 15 that such assembly may be assisting recruitment of the m-AAA complex to specific membrane sites where lipid
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34 16 composition could activate the protease and/or modulate its activity. An additional component of the PHB-m-
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36 17 AAA complex has been reported recently ⁸¹. The previously uncharacterized protein c2orf47 (now named
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38 18 MAIP1, for m-AAA-protease interacting protein 1) is the matrix protein peripherally attached to the IM.
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40 19 MAIP1 is a binding partner, but not a substrate of the m-AAA. It facilitates maturation of the EMRE subunit of
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42 20 mitochondrial Ca²⁺ uniporter (MCU) by protecting it from degradation by YME1L. Interestingly, non-
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44 21 assembled EMRE also appears to be the substrate of m-AAA, however its cleavage seems to be independent of
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46 22 its association with MAIP1 ⁸¹.

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49 23 The i-AAA protease is also known to be a part of supramolecular assemblies regulating its proteolytic
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51 24 activity. The study by Dunn *et al.* ⁸² revealed that the yeast i-AAA can associate into a structure comprising
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53 25 proteins Mgr1 and Mgr3. While this assembly is not essential for proteolysis per se, it appears to improve the
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55 26 protease's binding to model substrates, thereby suggesting an adaptor role for the Mgr1-Mgr3 subcomplex ⁸².

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3 1 Another report studying i-AAA-mediated turnover of disease allele-mimicking mutant forms of phospholipid
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5 2 transacylase Taz1 demonstrated that degradation of these proteins is impaired but not ablated in the absence of
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8 3 Mgr1 and Mgr3, further indicating their role in facilitating Yme1 function ⁸³.

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10 4 Interestingly, in the absence of the adaptor subcomplex, Yme1 may function as a chaperone ⁸⁴.

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12 5 Similarly, the human YME1L protease also exists in several macromolecular complexes ranging from 600 to
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14 6 2000 kDa in size ^{32,85}. At present, however, no functional homologs of the Mgr1-Mgr3 subcomplex have been
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16 7 identified outside of fungi. Instead, the recent study described a novel supramolecular complex consisting of the
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18 8 YME1L, membrane scaffold stomatin-like protein SLP2, and the rhomboid protease PARL ⁸⁵. This ~2 MDa
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20 9 complex, named SPY, appears to facilitate proteolytic activity of i-AAA under conditions of high substrate
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23 10 load; in this role it resembles the PHB-mAAA supercomplex and may exert a modulatory effect on i-AAA
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25 11 activity towards specific substrates ⁸⁵.

26 27 28 12 **CONCLUDING REMARKS**

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30 13 A significant body of experimental data pertaining to the IM metalloproteases has been accumulated
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32 14 since identification and initial characterization of the i-AAA enzyme more than two decades ago ^{15,83}.
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34 15 Nevertheless, despite intensive studies by numerous labs around the world, many outstanding questions remain
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36 16 to be addressed. For example how the IM proteases, which appear to be predominantly localized to the inner
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38 17 boundary membrane region of the IM ^{88,89} survey and access substrate proteins residing in the cristae
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41 18 compartments? Further challenges for the future include identification of substrate repertoires for each IM
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43 19 metalloprotease, understanding structural bases for selective substrate recognition, and either modulation or
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45 20 activation of enzymes' proteolytic function. The physiological roles of the IM proteases under basal and
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47 21 homeostasis-perturbing conditions represent another exciting issue. While multiple lines of evidence indicate
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49 22 functional overlap and apparent case or condition-specific cooperation of the IM metalloproteases in processing
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51 23 various substrates, the prospective therapeutic significance of enzymes like Oma1 has begun to emerge. These
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53 24 versatile enzymes are no longer viewed as mere "custodians" of the IM involved in simple removal of damaged
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3 1 or surplus proteins. The fine-tuned, multifaceted roles of the IM metalloproteases in mitochondrial and cellular
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5 2 physiology are being increasingly recognized and await further investigations.
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8 3 **AUTHOR INFORMATION**

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14 6 **Author Contributions**

16 7 I.B. and R.L. analyzed the literature, designed the figures and co-wrote the manuscript. O.K. conceived the idea,
18 8 helped with the literature analysis and co-wrote the manuscript. § R.M.L. and I.B. contributed equally to this
20 9 work.
22

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47 21 **ABBREVIATIONS**

49 22 IM, inner mitochondrial membrane; OM, outer mitochondrial membrane; IMS, intermembrane space; ATPase,
50 23 adenosine triphosphate hydrolyzing enzyme, AAA+, ATPase associated with diverse cellular activities; i-AAA,
51 24 IMS-oriented AAA+ protease; m-AAA, matrix-oriented AAA+ protease; MQC, mitochondrial quality control;
52 25 NBD, nucleotide binding domain; SRH, second region of homology; TPR, tetratricopeptide repeat; NMR,
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- 1 nuclear magnetic resonance; UPRmt, mitochondrial unfolded protein response; GTPase, guanosine triphosphate
- 2 hydrolyzing enzyme; IMSD, IMS domain; HSP, hereditary spastic paraplegia; SCA, spinocerebellar ataxia;
- 3 SPAX, spastic ataxia-neuropathy syndrome; MCU, mitochondrial calcium uniporter; PHB, prohibitin complex.

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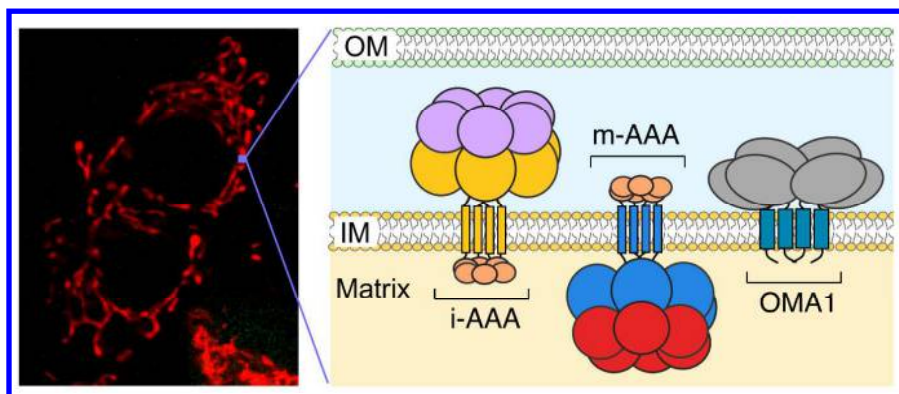
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1 For Table of Contents Use Only

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3 Metalloproteases of the inner mitochondrial membrane

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5 Roman M. Levytsky, Iryna Bohovych, Oleh Khalimonchuk



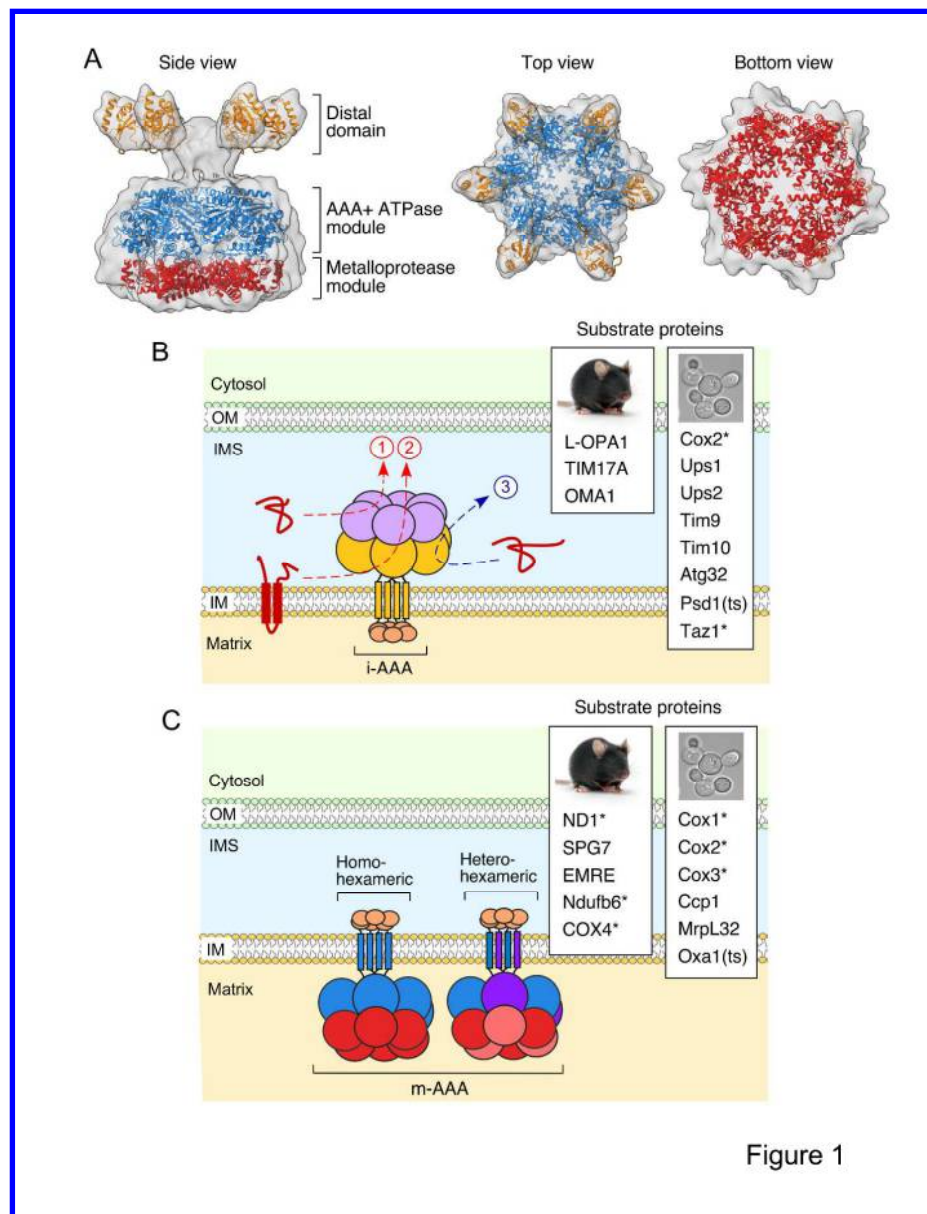


Figure 1. Structural and functional organization of the AAA+ IM metalloproteases. (A) A structural model of prototypal IM AAA+ protease is presented as a hexameric structure with a distinct metalloprotease domain (red) and an AAA+ domain (blue) modeled by fitting the crystal structure of the FtsH protease from *Thermogota maritima* (3KDS) into the cryoEM envelope from *S. cerevisiae* m-AAA enzyme (EMD-1712). The IMSD domain (orange) in the distal part is presented by the solution structure of a portion of human AFG3L2 (2LNA). Note that the AAA+ and metalloprotease modules are not color-highlighted in top and bottom view projections, respectively, for better clarity. The model was generated using the ChimeraX software. (B) i-AAA protease forms a homo-hexameric complex with the catalytic M41 zinc metalloprotease's domain (purple) and the AAA+ ATPase domain (yellow) facing the IMS, which are then followed by the transmembrane spans and the Yme1-specific N-terminus (orange). The enzyme is able to: (1) proteolytically process soluble, IMS-residing substrates, presumably without engaging its AAA+ module; (2) extract and degrade IM-anchored proteins through the concerted action of the ATPase and proteolytic domains; or (3) merely unfold/refold them via its AAA+ module, thus functioning as a chaperone. Various substrates have

1
2
3 been identified for both mammalian and yeast iAAA proteases, which however, in many cases are not
4 orthologous. The insets in panels B and C list proteins that have been experimentally confirmed as bona fide
5 substrates of each respective protease. The asterisks or ts (temperature sensitive) acronyms denote
6 proteins that are perceived as substrates upon a condition when they are damaged, unassembled, and/or
7 misfolded. OM, outer mitochondrial membrane; IM, inner mitochondrial membrane; IMS, intermembrane
8 space.

9 (C) m-AAA proteases can form either homo- or hetero-oligomeric complexes with their proteolytic (red,
10 pink) and ATPase (blue, dark purple) domains exposed to the matrix. Similar to the i-AAA enzyme, the m-
11 AAA proteases demonstrate remarkable versatility in their substrate processing modes. Likewise, the m-AAA
12 substrates appear to differ between mammalian and yeast systems. More details can be found in the text.
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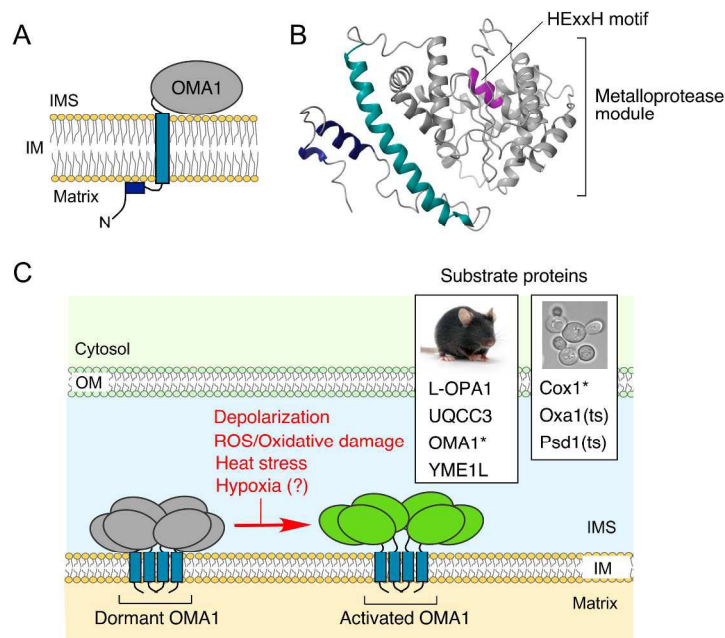


Figure 2

Figure 2. Structural and functional organization of OMA1 protease. (A) Predicted molecular organization of mammalian OMA1. The enzyme comprises the IMS-facing proteolytic domain (grey; the position of the HExxH catalytic motif is highlighted in purple), the transmembrane segment (teal), and a shorter N-terminal α helix that likely helps to further stabilize the enzyme's association with the IM (navy). The N-terminal moiety of vertebrate Oma1 orthologs also contains a stretch of charged amino acid residues that has been proposed to participate in stress-induced activation of the enzyme.

(B) Predicted structural model of the yeast OMA1 protease. The model was produced using the iTASSER prediction software and further processed using the ChimeraX.

(C) OMA1 exists as a largely dormant, homo-oligomeric complex that is activated upon indicated homeostatic insults. While the exact mechanism behind this process remains to be clarified, changes in conformation of the Oma1 oligomer were proposed to trigger the enzyme's activation. The inset shows currently known substrates of Oma1. The yeast Cox1 protein (marked with the asterisk) is degraded by OMA1 in a subset of cytochrome oxidase assembly mutants. Similarly, Oma1 appears to specifically degrade

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3 temperature sensitive (ts), misfolding-prone variants of the IM translocase Oxa1 and phosphatidylserine
4 decarboxylase Psd1. More details are available in the text.
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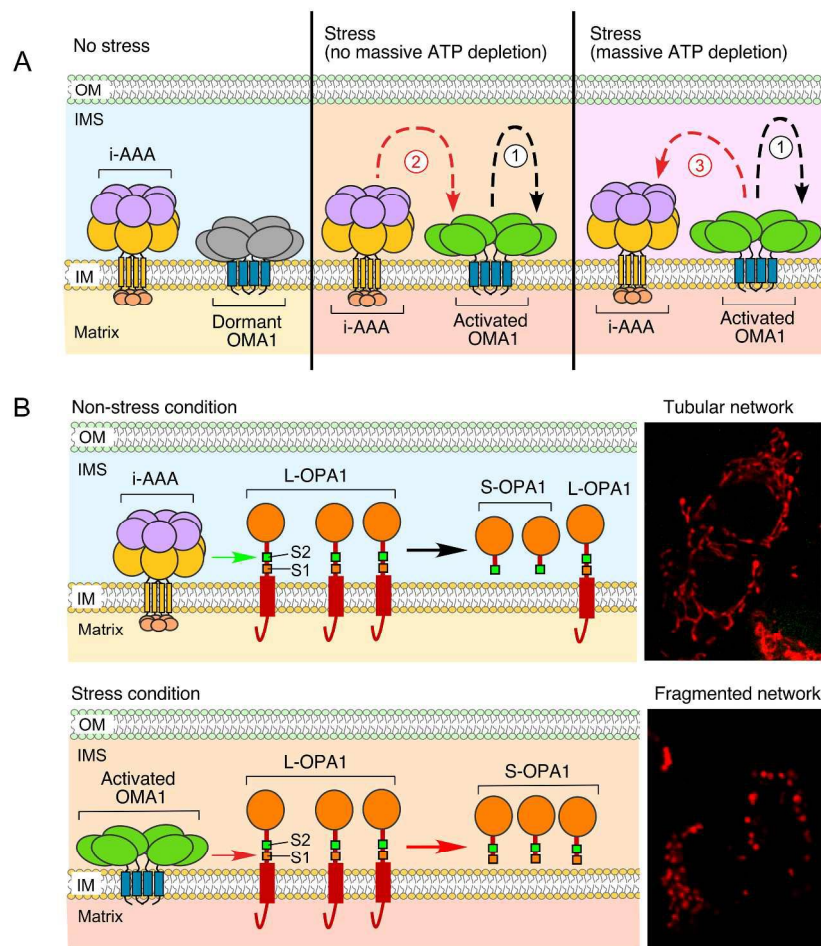


Figure 3

Figure 3. Functional cooperation between the i-AAA and OMA1 proteases. (A) OMA1 is activated by conditions of mitochondrial stress. Original reports suggested that the activated protease undergoes autoprolysis (1), thereby eliminating over-reactive enzyme when the stressed condition is over. However, a recent report proposed an alternative model, wherein the i-AAA is responsible for degradation of stress-activated OMA1 (2). Reciprocally, OMA1 may be involved in proteolytic cleavage of YME1L when mitochondrial ATP levels are attenuated (3).

(B) Metalloproteases YME1L and OMA1 cooperate in proteolytic processing of long forms of GTPase OPA1 (L-OPA1). This variant is important for mitochondrial fusion and normal cristae ultrastructure. L-OPA1 forms harbor two physically separate cleavage sites, S1 and S2, which are recognized by OMA1 and YME1L, respectively. Cleavage of L-OPA by either protease yields short forms of the enzyme, S-OPA1, which facilitates partitioning of the mitochondrial network. The i-AAA protease appears to be the key enzyme mediating L-OPA1 processing under non-stress conditions, yielding nearly equimolar amounts of L-OPA1 and S-OPA1 and thus balances fusion and fission of the mitochondrial network. OMA1 exhibits little or no

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3 involvement in L-OPA1 processing under non-stress conditions. Homeostatic challenges or mitochondrial
4 dysfunction trigger stress-activation of OMA1, which mediates rapid processing of the entire L-OPA1 pool
5 into S-OPA1 forms, thereby stimulating mitochondrial fission and massive fragmentation of the
6 mitochondrial network. Further details can be found in the text. Representative confocal fluorescent images
7 show MitoTracker Red-stained mitochondrial network in cultured SH-SY5Y neuroblastoma cells that have
8 been challenged or not with an uncoupler CCCP.
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