

# 1 Photoemission Study of Ca-Intercalated Graphite Superconductor $\text{CaC}_6$

2

3 Hiroyuki Okazaki,<sup>1,2</sup> Rikiya Yoshida,<sup>1</sup> Keisuke Iwai,<sup>1</sup> Kengo Noami,<sup>1</sup> Takayuki Muro,<sup>3</sup> Tetsuya  
4 Nakamura,<sup>3</sup> Takanori Wakita,<sup>2,4</sup> Yuji Muraoka,<sup>1,2,4</sup> Masaaki Hirai,<sup>1,4</sup> Fumiaki Tomioka,<sup>5</sup> Yoshihiko  
5 Takano,<sup>5</sup> Asami Takenaka,<sup>6</sup> Masahiro Toyoda,<sup>6</sup> Tamio Oguchi,<sup>7</sup> and Takayoshi Yokoya<sup>1,2,4</sup>

6 <sup>1</sup>*The Graduate School of Natural Science and Technology, Okayama University, Okayama*  
7 *700-8530, Japan*

8 <sup>2</sup>*Core Research for Evolutional Science and Technology (CREST), Japan Science and Technology*  
9 *Agency, Okayama 700-8530, Japan*

10 <sup>3</sup>*Japan Synchrotron Radiation Research Institute (JASRI) /Spring-8, Hyogo 679-5198, Japan*

11 <sup>4</sup>*Research Laboratory for Surface Science (RLSS), Okayama University, Okayama 700-8530, Japan*

12 <sup>5</sup>*National Institute for Materials Science (NIMS), Tsukuba, Ibaraki 305-0047, Japan*

13 <sup>6</sup>*Faculty of Engineering, Oita University, Oita 870-1192, Japan*

14 <sup>7</sup>*Department of Quantum Matter, Graduate School of Advanced Sciences of Matter (ADSM),*  
15 *Higashi-Hiroshima, Hiroshima 739-8530, Japan*

16

## 17 Abstract

18 In this work, we have performed resonant photoemission studies of Ca-intercalated graphite  
19 superconductor  $\text{CaC}_6$ . Using photon energy of the Ca  $2p$ - $3d$  threshold, the photoemission intensity  
20 of the peak at Fermi energy ( $E_F$ ) is resonantly enhanced. This result provides spectroscopic  
21 evidence for the existence of Ca  $3d$  states at  $E_F$ , and strongly supports that Ca  $3d$  state plays a  
22 crucial role for the superconductivity of this material with relatively high  $T_c$ .

23

24 PACS number(s): 74.70.-b, 74.25.Jb, 79.60.-i

25

26

## 1 **1. Introduction**

2 The superconducting graphite intercalation compounds (GICs) have been studied, since the  
3 discovery of Alkali-metal GIC (AGIC) superconductors of  $C_8K$  [1]. However, their superconducting  
4 transition temperatures ( $T_c$ 's) are generally low ( $< 2$  K) [1, 2]. Recently, it was discovered that  $CaC_6$   
5 exhibit  $T_c$  of 11.5K [3], which are much higher than those of other GIC superconductors. The  
6 observation of high  $T_c$  in  $CaC_6$  has provoked much attention. The theoretical studies suggest that Ca  
7  $3d$  states in  $CaC_6$  induce relatively high  $T_c$  [4]. However, orbital character of  $CaC_6$  did not been  
8 experimentally elucidated. Therefore, we have performed resonant photoemission spectroscopy  
9 (RPES) of  $CaC_6$  and presented the existence of Ca  $3d$  electrons at  $E_F$ , which play a crucial role for  
10 the superconductivity.

11

## 12 **2. Experimental**

13  $CaC_6$  samples were prepared by reacting highly oriented pyrolytic graphite (HOPG) with a  
14 molten Li-Ca alloy at  $350^\circ C$  for several hours [5].  $T_c$  of 11.2 K was confirmed by magnetization  
15 measurement. Because of easy deterioration of  $CaC_6$  by exposure to the air, the sample was glued to  
16 a sample holder under argon atmosphere and transferred to measurement chamber under the same  
17 condition.

18 Resonant photoemission spectroscopy (RPES) was measured at BL25SU of SPring-8 with a  
19 Scienta SES200 electron analyzer. The energy resolution was set to be 70 meV to obtain a  
20 reasonable count rate. The sample was cooled by a He-cycled cryostat down to 20 K. Clean surfaces  
21 for this measurement were obtained by cleaving the sample under  $5 \times 10^{-8}$  Pa.  $E_F$  of the sample was  
22 referenced to that of a Au film which was measured frequently during the experiments.

23

## 24 **3. Results and discussion**

25 We show the Ca  $2p_{3/2}$  ( $L_3$ ) absorption spectrum of  $CaC_6$ , as shown in Fig. 1(a). The Ca  $2p_{3/2}$  ( $L_3$ )

1 absorption spectrum consists of a peak at photon energies 348.6 eV and an additional weak  
2 structure at the onset. The multiple peaks are similar to the  $2p$ - $3d$  absorption spectrum of Ca [6].  
3 This result indicates that the absorption spectrum of  $\text{CaC}_6$  dominantly comes from the Ca  $2p$ - $3d$   
4 absorption. Thus, by RPES using the photon energy at the Ca  $2p_{3/2}$  threshold, Ca  $3d$  states should be  
5 enhanced if Ca  $3d$  states exist. Figure 1(b) shows valence band spectra of a near  $E_F$  measured using  
6 photon energies around the Ca  $2p_{3/2}$  threshold. The labels on the spectra (A-F) denote photon  
7 energies used with RPES. For curve C-F, a new peak, which is denoted an arrow on Fig. 1(b),  
8 appears on the high binding energy side of near  $E_F$  peak. This is characterized by Auger transition.  
9 Going toward Ca  $2p_{3/2}$  absorption line (curve A to D), the near  $E_F$  peak intensity increases, reaching  
10 its maximum at the photon energy of the absorption maximum (curve D), and decreases at higher  
11 photon energies (curve E and F). As the result, we observed that the near  $E_F$  peak obviously  
12 exhibits the resonant behavior. This directly indicates that there are Ca  $3d$  states at  $E_F$ , as expected  
13 on the band calculation [4].

14 It is important to compare the electronic structure of  $\text{CaC}_6$  with superconducting AGICs, in  
15 order to clarify why  $\text{CaC}_6$  has relatively high  $T_c$ . The valence band at  $E_F$  of superconducting AGICs  
16 ( $\text{C}_8\text{K}$ ,  $\text{C}_8\text{Rb}$ , and  $\text{C}_8\text{Cs}$ ) consists of C  $2p$  and intercalated alkali-metal  $s$  states [7, 8]. The existence  
17 of the states derived from intercalated atom is the same as  $\text{CaC}_6$ . However, we found a definite  
18 difference between  $\text{CaC}_6$  and the superconducting AGICs;  $\text{CaC}_6$  has Ca  $3d$  states at  $E_F$ , while the  
19 superconducting AGICs have no  $d$  states.  $\text{CaC}_6$  is unique because of the existence of Ca  $3d$  states at  
20  $E_F$ , suggesting close correlation with its relatively high  $T_c$ . This is consistent with the theoretical  
21 study [4] suggesting that Ca  $3d$  states leads to enhanced  $T_c$ . Thus, there is the possibility that  
22 transition-metal GICs become GIC superconductor with higher  $T_c$  than  $\text{CaC}_6$ .

23

## 24 Acknowledgements

25 We thank Y. Kubozono, Y. Ohta, and N. Kawasaki for sample preparation. We also thank K.

1 Okada for fruitful discussions. This work was supported partially by a Grant-in-Aid for Scientific  
2 Research of the Ministry of Education, Culture, Sports, Science and Technology, Japan. This  
3 experiment at SPring-8 was performed under a proposal number 2008A1740.

4

## 5 **References**

6 [1] N. B. Hannay, T. H. Geballe, B. T. Matthias, K. Andres, P. Schmidt D. MacNair, Phys. Rev. Lett.  
7 **14** (1965) 225.

8 [2] I. T. Belash, A. D. Bronnikov, O. V. Zharikov, A. V. Pal'nichenko, Solid State Commun. **69**  
9 (1989) 921.

10 [3] T. E. Weller, M. Ellerby, S. S. Saxena, R. P. Smith, N. T. Skipper, Nature Physics **1** (2005) 39.

11 [4] L. Zhang, Y. Xie, T. Cui, Y. Li, Z. He, Y. Ma, G. Zou, Phys. Rev. B **74** (2006) 184519.

12 [5] M. Toyoda, A. Takenaka, Y. Takano, A. Yoshida, Y. Kaburagi, N. Akuzawa, Synthesis condition  
13 of graphite intercalation compounds with Ca in molten Li-Ca alloy and its super conducting  
14 characteristics TANSO **233** (2008) 148.

15 [6] F. J. Himpsel, U. O. Karlsson, A. B. McLean, L. J. Terminello, F. M. F. de Groot, M. Abbate, J.  
16 C. Fuggle, J. A. Yarmoff, B.T. Thole, G. A. Sawatzky, Phys. Rev. B **43** (1991) 6899.

17 [7] U. Mizutani, U. Mizutani, T. Kondow, T. B. Massalski, Phys. Rev. B **17** (1978) 3165.

18 [8] P. Oelhafen, P. Pfluger, E. Hauser, H. J. Guntherodt, Solid State Commun. **33** (1980) 241.

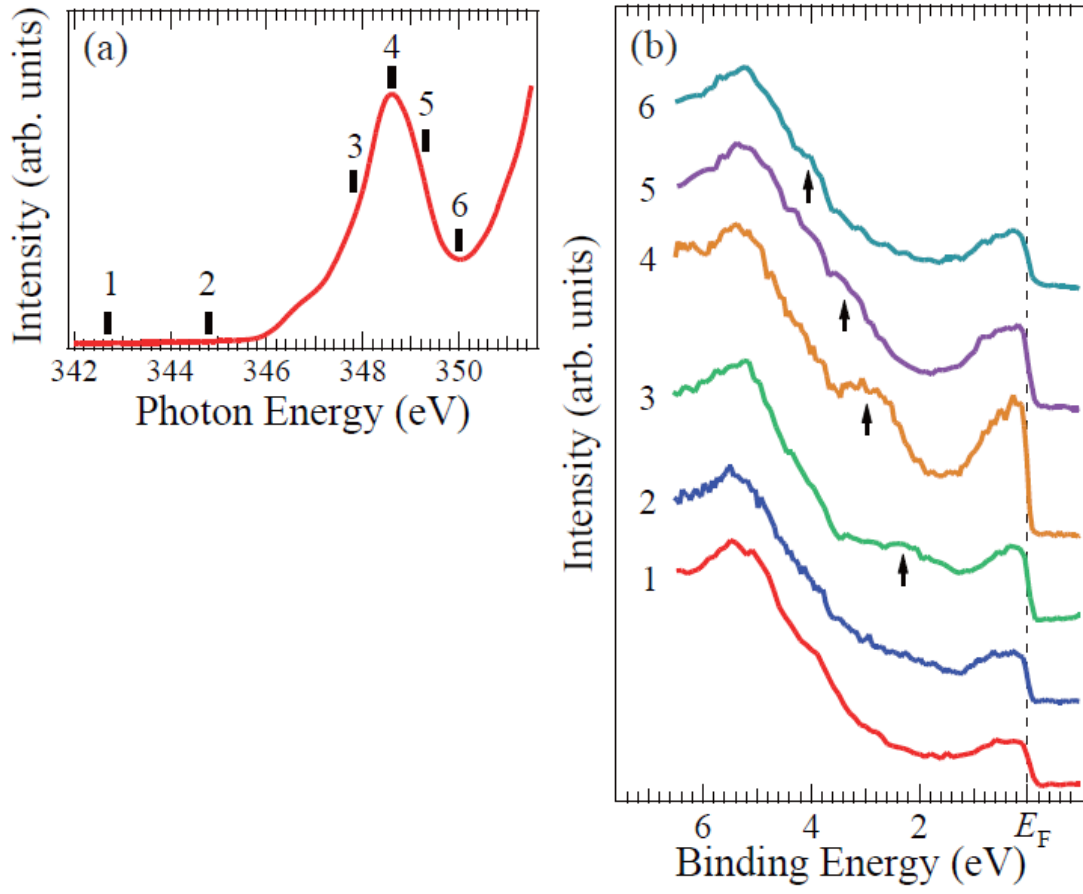
19

## 20 **Figure captions**

21

22 Fig. 1. RPES using incident energy of the Ca  $2p_{3/2}$  ( $L_3$ ) absorption. (a) The Ca  $2p_{3/2}$  absorption  
23 spectrum of  $\text{CaC}_6$ . The labels (A-F) correspond to incident energies for RPES. (b) Near  $E_F$   
24 photoemission spectra of  $\text{CaC}_6$  measured using photon energies near Ca  $2p_{3/2}$  absorption. The  
25 arrows correspond to the peaks of Auger transition.

1



2

3

4

5

Fig. 1. H. Okazaki