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## Primitive Elements for Cyclic $\hat{p}^n$ -extensions of Commutative Rings

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## PRIMITIVE ELEMENTS FOR CYCLIC $p^n$ -EXTENSIONS OF COMMUTATIVE RINGS

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In this note we study the existence and the construction of a primitive element for a cyclic Galois  $p^n$ -extension, where  $p$  is a prime natural number.

Let  $A$  be a commutative unitary ring which is an algebra over the prime field  $F_p$ . Let  $B$  be a Galois extension of  $A$  (cf. [1, Theorem 1.3]) with cyclic Galois group  $(\sigma)$  of order  $p^n$ . Such a  $B$  will be called a cyclic  $p^n$ -extension of  $A$ . If  $B$  is generated by a single element  $z$  over  $A$ , i.e.  $B = A[z]$ , we say that  $z$  is a primitive element for the extension  $B/A$ .

It is well known that a field Galois extension has a primitive element. But there are examples of Galois extensions of rings which have no primitive elements: cf. [4], [2, Remarks 3 and 4], [3, §2]. In [2, Theorem 5] Kikumasa and Nagahara found conditions for a cyclic  $2^2$ -extension to have a primitive element. The theorem below generalizes this result to an arbitrary cyclic  $p^n$ -extension.

**Notation.** For a group  $G$  acting on a ring  $R$ , we set :

$$R^G = \{x \in R \mid g(x) = x \ \forall g \in G\};$$

$$t_H(x) = \sum_{h \in H} h(x) \text{ for a subgroup } H \text{ of } G;$$

$$G_z(\mathfrak{a}) = \{g \in G \mid g(\mathfrak{a}) \subset \mathfrak{a}\} \text{ the decomposition subgroup of an ideal } \mathfrak{a} \subset R;$$

$$G_I(\mathfrak{a}) = \{g \in G \mid \forall x \in R: g(x) - x \in \mathfrak{a}\} \text{ the inertia subgroup of } \mathfrak{a};$$

$$\text{Max}(R) = \{M \mid M \text{ is a maximal ideal of } R\};$$

$$R^\times = \text{the group of units of } R;$$

$$F_q = \text{the field with } q \text{ elements.}$$

In what follows, we fix a cyclic  $p^n$ -extension  $B/A$  with Galois group  $(\sigma)$  and we set :

$$B_i = B^{(\sigma^{p^i})} \text{ for } 0 \leq i \leq n \text{ (Clearly } B_0 = A \text{ and } B_n = B);$$

$$\text{Max}_0(A) = \{M \in \text{Max}(A) \mid MB_1 \in \text{Max}(B_1)\}, \text{ and abbreviate as follows:}$$

$$\text{Max}_0 = \text{Max}_0(A) \text{ unless there are confusions.}$$

Finally, for a ring  $S \supset A$  we denote by  $\bar{s}$  the image of  $s \in S$  in  $\bar{S} = S/MS$  when  $M$  is fixed in  $\text{Max}(A)$ .

**Theorem.** *Let  $B/A$  be a cyclic  $p^n$ -extension with Galois group  $(\sigma)$  and let*

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$n \geq 2$ . Assume that

- (i) the set  $\text{Max}(A) \setminus \text{Max}_0$  is finite;
- (ii) for every  $M \in \text{Max}(A) \setminus \text{Max}_0$  the field  $A/M$  contains at least  $p^n$  elements.

Then  $B/A$  has a primitive element  $z$  which is of the form  $z = y_n + \sum_{i=1}^{n-1} a_i y_i$ , where  $a_i \in A$  for  $1 \leq i \leq n-1$ , and  $\sigma^{p^{i-1}}(y_i) = y_i + 1$  for  $1 \leq i \leq n$ .

**Lemma 1** (cf. e.g. [8, Corollary 2.2]). *The element  $z$  is primitive for  $B/A$  if and only if  $\sigma^k(z) - z \in B^\times$  for  $1 \leq k < p^n$ .*

**Remarks 2.** Note that for  $0 \leq j < i \leq n$ ,  $B_i$  is a cyclic  $p^{i-j}$ -extension of  $B_j$  with Galois group  $(\sigma^{p^j}|_{B_i})$ .

Fix an integer  $i$ ,  $1 \leq i \leq n$ . According to [7, Theorem 1.2], applied to the extension  $B_i/B_{i-1}$ , there exists an element  $x$  in  $B_i$  such that  $\sigma^{p^{i-1}}(x) = x + 1$ . Set  $b_k = \sigma^k(x) - x$  for  $0 \leq k < p^i$ . Then:

(a)  $b_k \in B_{i-1}$ . Indeed,  $\sigma^{p^{i-1}}(b_k) = \sigma^k \sigma^{p^{i-1}}(x) - \sigma^{p^{i-1}}(x) = \sigma^k(x+1) - (x+1) = b_k$ .

(b)  $b_{k+1} = \sum_{j=0}^k \sigma^j(b_1)$  for  $k < p^i - 1$ . Indeed, assume that this is true for  $k < p^i - 2$ , then  $b_{k+2} = \sigma(\sigma^{k+1}(x)) - x = \sigma(b_{k+1} + x) - x = \sum_{j=0}^k \sigma^{j+1}(b_1) + b_1$ , hence (b) holds.

(c)  $b_k = b_r + q$  for  $k = p^{i-1}q + r$  with  $0 \leq q < p$  and  $0 \leq r < p^{i-1}$ . Indeed,  $\sigma^k(x) = \sigma^r \sigma^{p^{i-1}q}(x) = \sigma^r(x+q) = \sigma^r(x) + q$ . Moreover, one has:

(d) Except for  $i = 1$  and  $p = 2$  one has  $t_{(\sigma|_{B_i})}(x) = 0$ . Indeed, by (c),  $t_{(\sigma|_{B_i})}(x) = \sum_{k=0}^{p^i-1} \sigma^k(x) = \sum_{q=0}^{p-1} \sum_{r=0}^{p^{i-1}-1} (\sigma^r(x) + q) = p \sum_{r=0}^{p^{i-1}-1} \sigma^r(x) + p^{i-1} \sum_{q=0}^{p-1} q$  which implies (d).

**Lemma 3.** *Let  $C/A$  be a cyclic  $p^n$ -extension with Galois group  $(\rho)$ . If  $x \in C$  is such that  $t_{(\rho)}(x) = 1$ , then  $\rho^i(x) \neq x$  for every  $i$ ,  $1 \leq i < p^n$ .*

*Proof.* This is easily shown: see e.g. the proof of Theorem 11 in [2].

**Lemma 4.** *Let  $z$  be such that  $z \in B$ , and  $\sigma^{p^{n-1}}(z) = z + 1$ . Set  $b_k = \sigma^k(z) - z$  for  $0 \leq k < p^n$ . Then for every  $M \in \text{Max}_0$  the following hold:*

- (a)  $b_r \text{ mod } MB_{n-1} \notin A/M$  for  $1 \leq r < p^{n-1}$ ;
- (b)  $z \text{ mod } MB$  is primitive for  $B/MB$  over  $A/M$ .

*In particular, if  $\text{Max}(A) = \text{Max}_0$ , then  $z$  is primitive for  $B/A$ .*

*Proof.* Let  $M \in \text{Max}_0$  and  $\bar{B} = B/MB$ . Then  $\bar{B}$  is a cyclic  $p^n$ -extension of  $\bar{A}$  with the induced action of  $\sigma$ . As  $\bar{B}^{(\sigma^p)} = B_1/MB_1$  is a field,  $\bar{B}$  is also a field by [7, Theorem 1.8]. By Remarks 2(a) one has  $b_k \in B_{n-1}$ .

Suppose that  $\bar{b}_r \in \bar{A}$  for some  $r$ ,  $1 \leq r < p^{n-1}$ . Then

$$\begin{aligned} \sigma^r(\bar{b}_1) - \bar{b}_1 &= \sigma^r(\sigma(\bar{z}) - \bar{z}) - (\sigma(\bar{z}) - \bar{z}) \\ &= \sigma(\bar{b}_r) - \bar{b}_r = 0. \end{aligned}$$

On the other hand, by Remarks 2(b)  $t_{(\sigma|_{B_{p^{n-1}}})}(b_1) = b_{p^{n-1}} = 1$ . According to Lemma 3  $\sigma^i(\bar{b}_1) \neq \bar{b}_1$  for every  $i$ ,  $1 \leq i < p^{n-1}$ . This contradiction proves (a).

Next, we shall show that  $\bar{b}_k \in \bar{B}_{n-1}^*$  for  $1 \leq k < p^n$  and then (b) will follow from Lemma 1. Suppose that  $\bar{b}_k = 0$  for some  $k$ ,  $1 \leq k < p^n$ . Writing  $k$  in the form  $k = p^{n-1}q + r$  with  $0 \leq q < p$  and  $0 \leq r < p^{n-1}$ , by Remarks 2(c) one has  $\bar{b}_r = -q \in \bar{A}$ . Now (a) implies that  $r = 0$ , so  $k = p^{n-1}q$  and  $b_k = q$ . But as  $k \geq 1$ ,  $q > 0$  and  $\bar{b}_k = q \neq 0$  which is a contradiction.

**Lemma 5.** *Let  $M \in \text{Max}(A)$  and  $t = |\text{Max}(B/MB)|$ . Then  $t = p^m$  for some  $m$ ,  $0 \leq m \leq n$ , and  $|\text{Max}(B_m/MB_m)| = t$ . If  $M \in \text{Max}(A) \setminus \text{Max}_0$  then  $t > 1$  and  $N \cap B_m \in \text{Max}_0(B_m)$  for each  $N \in \text{Max}(B)$  with  $N \supset M$ . Moreover,  $|\text{Max}(B_i/MB_i)| = p^i$  for  $0 \leq i \leq m$ .*

*Proof.* For  $N, N' \in \text{Max}(B)$  with  $N \cap N' \supset MB$ , there is an element  $\tau$  in  $(\sigma)$  such that  $\tau(N) = N'$ . Hence  $[(\sigma) : (\sigma)_z(N)] = t$  and  $(\sigma)_z(N) = (\sigma^t)$ . Clearly  $t = p^m$  for some  $m$ ,  $0 \leq m \leq n$ . Moreover, if  $N \cap B_m = N' \cap B_m$  ( $\supset M$ ) then, there is an element  $\rho$  in  $(\sigma^{p^m})$  such that  $\rho(N) = N'$  which coincides with  $N$ . Hence  $N$  is the unique maximal over  $N \cap B_m$ , therefore  $(N \cap B_m)B = N$ . Thus  $|\text{Max}(B_m/MB_m)| = p^m$  (cf. [9, (20.4)] and [7, Lemma 1.4]). The other assertions will be easily seen.

**Lemma 6.** *Assume that  $|\text{Max}(A) \setminus \text{Max}_0| < \infty$  and fix an integer  $i$ ,  $1 \leq i \leq n$ . Then there exists an element  $y \in B_i$  with  $\sigma^{p^{i-1}}(y) = y + 1$  and such that for every  $N \in \text{Max}(B_{i-1})$  with  $M = N \cap A \notin \text{Max}_0$ , there holds for  $\bar{b}_k = (\sigma^k(y) - y) \bmod N$  ( $0 \leq k < p^{i-1}$ ) one of the following conditions:*

- (i)  $\bar{b}_k \in \{0, 1\}$ ;
- (ii)  $\bar{b}_k \notin A/M$ ,

where for  $p^m = |\text{Max}(B_{i-1}/MB_{i-1})|$ ,

- ( $\alpha$ ) if  $p^m < p^{i-1}$  and  $1 \leq h < p^{i-m-1}$  then  $\bar{b}_{p^m h} \notin A/M$ ,
- ( $\beta$ ) if  $p^m = p^{i-1}$  then  $\bar{b}_k \in \{0, 1\}$ .

*Proof.* Let  $\text{Max}(A) \setminus \text{Max}_0 = \{M_v \mid 1 \leq v \leq w\}$ . Then  $M_v B_{i-1} = \bigcap_{j=1}^{t_v} N_{vj}$  where  $N_{vj} \in \text{Max}(B_{i-1})$  (e.g. [7, Lemma 1.4]), so that  $\text{Max}(B_{i-1}/M_v B_{i-1}) = \{N_{vj}/M_v B_{i-1} \mid 1 \leq j \leq t_v\}$ . By Lemma 5, we have  $t_v \leq p^{i-1}$  for  $1 \leq v \leq w$ .

Take an  $x \in B_i$  such that  $\sigma^{p^{i-1}}(x) = x + 1$  (cf. Remarks 2). Then  $\sigma^k(x) - x$

$\in B_{i-1}$  for  $0 \leq k < p^{i-1}$ . By the chinese remainder theorem, we can choose an element  $b \in B_{i-1}$  such that  $b \equiv \sigma^{j-1}(x) - x \pmod{N_{vj}}$  for every  $v, 1 \leq v \leq w$ , and for every  $j, 1 \leq j \leq t_v$ . Now, we set  $y = x + b$ . Then  $\sigma^{p^{i-1}}(y) = \sigma^{p^{i-1}}(x) + b = x + 1 + b = y + 1$  and  $y \equiv \sigma^{j-1}(x) \pmod{N_{vj}B_i}$  for  $1 \leq v \leq w, 1 \leq j \leq t_v$ . Moreover  $b \equiv 0 \pmod{N_{v1}}$  and  $\sigma^{tv}(y) = \sigma^{tv}(x) + \sigma^{tv}(b) \equiv \sigma^{tv}(x) \pmod{N_{v1}}$  for  $1 \leq v \leq w$  by Lemma 5.

Fix  $v, 1 \leq v \leq w$ , and set  $M = M_v, t = t_v, N = N_{v1}, N_j = N_{vj}$ . Then for  $G = (\sigma|_{B_{i-1}}), t = [G : G_Z(N)]$ , so that  $t = p^m$  for some  $m, 0 \leq m \leq i-1, G_Z(N) = (\sigma^{p^m}|_{B_{i-1}}), N_j = \sigma^{j-1}(N)$  for  $1 \leq j \leq t$ , and  $B_i^{G_Z(N)} = B_m$ . Moreover,  $N_j$  is the unique prime over  $\mathfrak{m}_j = N_j \cap B_m$ , therefore  $\mathfrak{m}_j B_{i-1} = N_j$ . Thus  $|\text{Max}(\bar{B}_m)| = t$ , where  $\bar{B}_m = B_m / MB_m$ , (cf. Lemma 5).

Now we shall show that for  $k = tq + s$  with  $0 \leq s < t$  and  $0 \leq q < p^{i-m-1}$  one has :

$$(a) \quad \sigma^k(y) \equiv \begin{cases} \sigma^{t(q+1)}(y) \pmod{N_j B_i} & \text{for } 1 \leq j \leq s; \\ \sigma^{tq}(y) \pmod{N_j B_i} & \text{for } s+1 \leq j \leq t. \end{cases}$$

From  $y - \sigma^{j-1}(x) \in N_j B_i$  it follows that  $\sigma(y) - \sigma^j(x) \in \sigma(N_j B_i)$  and since  $\sigma(N_j) = N_{j+1}$ , one obtains :

$$\sigma(y) \equiv \begin{cases} \sigma^t(y) \pmod{N_1 B_i}; \\ y \pmod{N_{j+1} B_i} & \text{for } 2 \leq j+1 \leq t. \end{cases}$$

Assume that :

$$(b) \quad \sigma^s(y) \equiv \begin{cases} \sigma^t(y) \pmod{N_j B_i} & \text{for } 1 \leq j \leq s; \\ y \pmod{N_j B_i} & \text{for } s+1 \leq j \leq t. \end{cases}$$

Clearly  $\sigma^t$  acts on  $B_i / N_j B_i$  ( $1 \leq j \leq t$ ). In case  $1 \leq j \leq s$ , we have  $\sigma^{s+1}(y) \pmod{N_{j+1} B_i} = \sigma^t(\sigma(y) \pmod{N_{j+1} B_i}) = \sigma^t(y) \pmod{N_{j+1} B_i}$  ( $2 \leq j+1 \leq s+1$ ). In case  $s+1 \leq j \leq t-1$ , we have  $\sigma^{s+1}(y) \equiv \sigma(y) \equiv y \pmod{N_{j+1} B_i}$ . Moreover in case  $j = t$ , we have  $\sigma^{s+1}(y) \equiv \sigma(y) \pmod{\sigma(N_j) B_i} \equiv \sigma^t(y) \pmod{N_1 B_i}$ . Hence (b) holds for  $\sigma^{s+1}$ . Then  $\sigma^k(y) \pmod{N_j B_i} = \sigma^{tq} \sigma^s(y) \pmod{N_j B_i} = \sigma^{tq} (\sigma^s(y) \pmod{N_j B_i})$ , therefore using (b), we obtain (a).

From Lemma 4(a) and Lemma 5, applied to the extension  $B_i / B_m$ , it follows that  $b_{th} \pmod{N_j} \notin B_m / \mathfrak{m}_j$  for  $1 \leq j \leq t, 1 \leq h < p^{i-m-1}$ . Hence by (a) one has :

$$(c) \quad b_k \pmod{N_j} \begin{cases} = 0 & \text{for } q = 0, s+1 \leq j \leq t; \\ = 1 & \text{for } q = p^{i-m-1} - 1, 1 \leq j \leq s; \\ \notin B_m / \mathfrak{m}_j & \text{otherwise.} \end{cases}$$

If  $t = p^{i-1}$  then  $q = 0$  and so, by (a),  $b_k \pmod{N_j} \in \{0, 1\}$  for  $1 \leq j \leq t$ . This

completes the proof of the lemma.

*Proof of the theorem.* For every  $i$ ,  $1 \leq i \leq n$ , take the element  $y_i \in B_i$  constructed in Lemma 6.

Let  $M \in \text{Max}(A) \setminus \text{Max}_0$  and set  $p^m = |\text{Max}(\bar{B}_{n-1})|$ . Then  $m \leq n-1$ . By condition (ii) one can choose elements  $a_{im} \in A$ ,  $1 \leq i \leq n-1$ , such that  $1, \bar{a}_{1m}, \dots, \bar{a}_{mm}$  are linearly independent in  $\bar{A}$  over  $F_p$ , and  $\bar{a}_{im} = 0$  for  $m+1 \leq i \leq n-1$ . According to (i), for every  $i$ ,  $1 \leq i \leq n-1$ , there is an  $a_i \in A$  such that  $a_i \equiv a_{im} \pmod{M}$  for each  $M \in \text{Max}(A) \setminus \text{Max}_0$ .

We shall prove that  $z = y_n + \sum_{i=1}^{n-1} a_i y_i$  is primitive for  $B/A$ , by showing that  $b_k = \sigma^k(z) - z \in B_{n-1}^\times$  for  $1 \leq k < p^n$  (cf. Lemma 1 and Remarks 2(a)).

Let  $N \in \text{Max}(B_{n-1})$  and set  $M = N \cap A$ .

If  $M \in \text{Max}_0$ , then as  $\sigma^{p^{n-1}}(z) = z + 1$ , by Lemma 4(b) and Lemma 1 one has  $b_k \notin N$  for  $1 \leq k < p^n$ .

Let  $M \notin \text{Max}_0$ . Then  $\bar{z} = \bar{y}_n + \sum_{i=1}^m \bar{a}_i \bar{y}_i$ , where  $1, \bar{a}_1, \dots, \bar{a}_m$  are linearly independent over  $F_p$ .

Take a  $k$ ,  $0 \leq k < p^n$ , and write it in the form  $k = p^{n-1}q_{n-1} + \sum_{j=0}^{n-2} p^j q_j$  with  $0 \leq q_j < p$  for  $0 \leq j \leq n-1$ . Set :

$$k_i = \sum_{j=0}^{i-2} p^j q_j \text{ for } 2 \leq i \leq n, r = k_n;$$

$$b_{iv} = \sigma^v(y_i) - y_i, \bar{b}_{iv} = b_{iv} \pmod{N \cap B_{i-1}} \text{ for } 0 \leq v < p^n, 1 \leq i \leq n.$$

As  $\sigma^{p^i}(y_i) = y_i$ , by Remark 2(c) one has  $b_{1k} = q_0$  and  $b_{ik} = b_{ik_i} + q_{i-1}$  for  $2 \leq i \leq n$ .

Suppose that  $b_k \in N$ . Then, from  $\sigma^k(z) - z \equiv 0 \pmod{N}$ , it follows that

$$\bar{b}_{nr} = -q_{n-1} - \sum_{i=2}^m \bar{a}_i (\bar{b}_{ik_i} + q_{i-1}) - \bar{a}_1 q_0.$$

From Lemma 5, one obtains that  $|\text{Max}(\bar{B}_{i-1})| = p^{i-1}$  for  $2 \leq i \leq m$ . Hence  $\bar{b}_{ik_i} \in \{0, 1\}$  for  $2 \leq i \leq m$  by Lemma 6( $\beta$ ) (noting  $k_i < p^{i-1}$ ). Therefore  $\bar{b}_{nr} \in \bar{A}$ , which implies that Lemma 6(i) is fulfilled for  $\bar{b}_{nr}$ , that is,  $\bar{b}_{nr} \in \{0, 1\}$ . Now, from the linear independence of  $1, \bar{a}_1, \dots, \bar{a}_m$  over  $F_p$ , we conclude that  $q_0 = 0, \bar{b}_{ik_i} + q_{i-1} = 0$  for  $2 \leq i \leq m$ , and  $\bar{b}_{nr} = -q_{n-1}$ . Assume that  $q_j = 0$  for  $0 \leq j \leq u < m-1$ . Then  $k_{u+2} = 0$  and so  $b_{u+2, k_{u+2}} = 0$ . Therefore  $q_{u+1} = 0$ . Hence  $q_j = 0$  for  $1 \leq j \leq m-1$ . Hence, if  $m = n-1$  then  $r = 0, b_{nr} = 0$  and so  $q_{n-1} = 0$  which implies  $k = 0$ . In case  $m < n-1$ , we have  $r = \sum_{j=m}^{n-2} p^j q_j = p^m \sum_{j=m}^{n-2} p^{j-m} q_j < p^m p^{n-m-1}$  and  $\bar{b}_{nr} = -q_{n-1} \in A/M$ . According to Lemma 6( $\alpha$ ) this is possible only if  $\sum_{j=m}^{n-2} p^{j-m} q_j = 0$ . But then  $r = 0, b_{nr} = 0$  and so  $q_{n-1} = 0$ . Hence  $k = 0$ . Therefore, it follows that  $b_k \notin N$  for  $1 \leq k < p^n$ .

Thus,  $b_k \in B_{n-1}^\times$  for every  $k$ ,  $1 \leq k < p^n$ , which completes the proof of the theorem.

**Remarks 7.** Now we shall comment on the assumptions of the theorem. It is known [7, Theorem 1.2] that a cyclic  $p$ -extension always has a primitive element, so we can assume  $n \geq 2$ . In [4, Lemma 2] (cf. also [2, Lemma 3]) it is shown that condition (ii) is necessary for a cyclic  $2^2$ -extension to have a primitive element. However, there are examples of a  $3^2$ -extension [2, Remark 2] and of a  $2^3$ -extension [2, Remark 3] which show that this condition is not necessary in general. But if condition (ii) does not hold, then there are extensions which have no primitive elements: cf. e.g. the example of a  $2^3$ -extension of  $F_4$  in [2, Remark 4]. On the other hand, in [8, Theorem 2.4] it is proved that every separable extension of an  $LG$  ring  $R$  of degree  $d$  has a primitive element if and only if for every  $M \in \text{Max}(R)$ ,  $R/M$  has at least  $d$  elements. (A commutative ring  $R$  with identity is called an  $LG$  ring if whenever a polynomial  $g$  in  $R[X_1, \dots, X_m]$  represents a unit over  $R_M$ , for each  $M \in \text{Max}(R)$ , then  $g$  represents a unit over  $R$ .)

Example 9 below shows that when condition (i) is not fulfilled, then there are extensions which have no primitive elements. However, this condition is not necessary in general: cf. Example 10 below.

In [2, Theorem 11] it is shown that if  $\text{Max}(A) = \text{Max}_0$ , then  $B/A$  has a primitive element with trace 1. Taking an idea from the proof of this theorem (cf. Lemma 3), we find a primitive element with trace 0 (cf. Lemma 4 and Lemma 2(c)), which is used in order to establish the main result.

Finally, note that using [5, Théorème 2.3] we may assume that  $p$  is a prime natural number in the Jacobson radical of  $A$ .

**Lemma 8.** *If  $n \geq 2$  and  $B^\times \subset A$ , then  $B/A$  has no primitive element.*

*Proof.* Assume that  $B = A[z]$ . Then by Lemma 1 one has  $\sigma(z) - z = a \in B^\times$ , so that  $a \in A$ . Hence  $\sigma^p(z) = z + pa = z$  which contradicts Lemma 1.

**Example 9.** Let  $k$  be an algebraically closed field of characteristic 2 and let  $B = k[x, y]$  be the polynomial ring in 2 indeterminates. Let  $\sigma$  be the  $k$ -linear endomorphism of  $B$  defined by  $\sigma(x) = x+1$ ,  $\sigma(y) = x^2 + y + 1$ . Then  $\sigma$  is an automorphism of  $B$  and  $B$  is a cyclic  $2^2$ -extension of  $A = B^{(\sigma)}$  which has no primitive element.

Indeed, as  $y = \sigma(y) - (\sigma(x))^2$ , we have  $B = k[\sigma(x), \sigma(y)]$ , therefore  $\sigma$  is an

automorphism. Since  $\sigma^2(x) = x$ ,  $\sigma^2(y) = y+1$  and  $\sigma^4(y) = y$ , the order of  $\sigma$  is 4.

Let  $N \in \text{Max}(B)$ . Then  $N = (x-a, y-b)$  for some  $a, b \in k$  and  $B/N = k$ . Hence,  $\sigma$  being  $k$ -linear,  $G_\tau(N) = G_z(N)$ . Thus by [1, Theorem 1.3]  $B$  is a Galois extension of  $A$  if and only if  $G_z(N) = 1$  for every  $N \in \text{Max}(B)$ . Suppose that  $\sigma^i(N) \subset N$  for some  $i$ ,  $1 \leq i < 4$ . Then  $\sigma^i(x-a) = x+i-a \in N$ , therefore  $i = 2$ . But  $\sigma^2(y-b) = y+1-b \notin N$ . Hence  $G_z(N) = 1$ .

Note that  $\text{Max}_0 = \phi$ : if  $M = N \cap A \in \text{Max}_0$ , then  $MB = N$  (cf. [7, Theorem 1.8]), but this is a contradiction to  $G_z(N) = 1$ . Thus condition (i) of the theorem does not hold.

By Lemma 7,  $B/A$  has no primitive element.

**Example 10.** Let  $B = F_p[x]$  be the polynomial ring with  $q = p^p$ . Let  $\tau$  be an automorphism of  $F_q$  of order  $p$  and let  $a \in F_q$  be such that  $\text{tr}_{(F_q)}(a) = 1$ . Define the automorphism  $\sigma$  of  $B$  by  $\sigma|_{F_q} = \tau$  and  $\sigma(x) = x+a$ . Then  $B$  has a primitive element over  $A = B^{(\sigma)}$ , although  $|\text{Max}(A) \setminus \text{Max}_0| = \infty$ .

Indeed, since  $\sigma^p(x) = x+1$  and  $\sigma^{p^2}(x) = x$ , the order of  $\sigma$  is  $p^2$ . As  $\sigma^i(x) - x \in F_q^\times$  for  $1 \leq i < p^2$ , for every  $N \in \text{Max}(B)$  one has  $G_\tau(N) = 1$ , therefore  $B$  is a Galois extension of  $A$  [1, Theorem 1.3], and by Lemma 1,  $x$  is primitive for  $B/A$ .

If  $f(x) = \sum_{i=0}^m a_i x^i \in A$  with  $a_m \neq 0$ , then  $f(x) = \sigma^p(f(x)) = \sum_{i=0}^m a_i (x+1)^i$ . Equating the coefficients of  $x^{m-1}$ , one finds  $a_{m-1} = a_{m-1} + ma_m$ , so that  $m \equiv 0 \pmod{p}$ .

Now let  $N = (f(x)) \in \text{Max}(B)$  and  $M = N \cap A$ . Note that  $M \in \text{Max}_0$  if and only if  $G_z(N) = (\sigma)$ . But if  $G_z(N) = (\sigma)$ , then  $\sigma(f(x)) = f(x)g(x)$  with  $g(x) \in B$ , which is fulfilled if and only if  $g(x) = 1$ , i.e.  $f(x) \in A$ . Therefore, if  $\deg f(x) \not\equiv 0 \pmod{p}$ , then  $f(x) \notin A$  and  $G_z(N) \neq (\sigma)$ . Thus  $|\text{Max}(A) \setminus \text{Max}_0| = \infty$ .

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