

Mathematical Journal of Okayama University

Volume 31, Issue 1

1989

Article 15

JANUARY 1989

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SEPARATED SETS OF TORSION THEORIES

Dedicated to Prof. Hiroyuki Tachikawa on the occasion of
his 60th birthday

JONATHAN S. GOLAN

Throughout the following R will denote an associative ring with identity element 1 and $R\text{-mod}$ will denote the category of unitary left R -modules. The frame of all (hereditary) torsion theories on $R\text{-mod}$ will be denoted by $R\text{-tors}$. Notation and terminology concerning $R\text{-tors}$ will follow [2]. In particular, if M is a left R -module then $E(M)$ will denote the injective hull of M , $\xi(M)$ will denote the smallest torsion theory on $R\text{-mod}$ relative to which M is torsion and $\chi(M)$ will denote the largest torsion theory on $R\text{-mod}$ relative to which M is torsionfree. If $\tau \in R\text{-tors}$ then a nonzero left R -module N is τ -cocritical if N is τ -torsionfree but every proper homomorphic image of N is τ -torsion. A τ -cocritical left R -module N is uniform and has the property, which we will use repeatedly, that $\chi(N') = \chi(N)$ for every nonzero submodule N' of N . If $\sigma \leq \tau$ in $R\text{-tors}$ we say that τ is a *generalization* of σ . The generalization is *proper* if $\sigma < \tau$.

The notion of a separated set of torsion theories on $R\text{-mod}$ was considered briefly in Chapter 29 of [2]. We expand the definition given there as follows: if σ is a torsion theory on $R\text{-mod}$ then a set U of generalizations of σ in $R\text{-tors}$ is σ -separated if and only if $\tau \wedge [\vee(U \setminus \{\tau\})] = \sigma$ for each τ in U . The empty set is trivially σ -separated for every torsion theory σ . This relation was studied in the context of modular lattices (under the name of "independence") in [3] and [4]. It is straightforward to show that the following result is true:

1. Proposition. *If $\sigma \in R\text{-tors}$ then the following conditions on a nonempty set U of generalizations of σ are equivalent:*

- (1) U is σ -separated;
- (2) For any partition $U = U' \cup U''$ of U , $\sigma = (\vee U') \wedge (\vee U'')$;
- (3) Every nonempty subset of U is σ -separated;
- (4) Every finite nonempty subset of U is σ -separated.

The torsion theory σ has *finite dimension* if and only if every σ -sepa-

rated set of generalizations of σ is finite.

2. Proposition. *If $\sigma \in R\text{-tors}$ and if $\{U_i | i \in \Omega\}$ is a chain of σ -separated sets of generalizations of σ then $U = \cup\{U_i | i \in \Omega\}$ is σ -separated.*

Proof. If Y is a finite subset of U then there exists some $h \in \Omega$ such that $Y \subseteq U_h$ and so Y is σ -separated. Hence, by Proposition 1, U is σ -separated. \square

By Zorn's Lemma, we see that if $\sigma \in R\text{-tors}$ then any σ -separated set of generalizations of σ is contained in a maximal σ -separated set.

For the purposes of this note we will say that a torsion theory σ on $R\text{-mod}$ is *good* if and only if for each torsion theory τ on $R\text{-mod}$ satisfying $\sigma < \tau$ there exists a σ -cocritical τ -torsion left R -module. By Proposition 2.10 of [2] we know that ξ , the unique minimal element of $R\text{-tors}$, is always good.

Recall that if $\sigma \in R\text{-tors}$ then the ring R is σ -noetherian if and only if the set of all σ -pure left ideals of R satisfies the ascending chain condition. If σ is a torsion theory on $R\text{-mod}$ such that R is σ -noetherian then we claim that σ is good. Indeed, assume that $\sigma < \tau$ in $R\text{-tors}$ and let N be a nonzero τ -torsion σ -torsionfree left R -module. If $0 \neq x \in N$ then the set of all σ -pure left ideals of R containing $(0 : x)$ is nonempty and so has a maximal element H . Then R/H is τ -torsion and σ -cocritical, proving that σ is good.

Thus we see, in particular, that if the ring R is left noetherian then every torsion theory on $R\text{-mod}$ is good.

If $\sigma < \tau$ in $R\text{-tors}$ then we say that the torsion theory τ is σ -uniform if and only if the set of torsion theories τ' satisfying $\sigma < \tau' \leq \tau$ is closed under taking finite meets. We will denote the set of all σ -uniform torsion theories on $R\text{-mod}$ by $\sigma\text{-unif}$.

3. Proposition. *Let σ be a good torsion theory on $R\text{-mod}$ and let τ be a proper generalization of σ in $R\text{-tors}$. Then the following conditions are equivalent:*

- (1) τ is σ -uniform;
- (2) $\chi(M) = \chi(M')$ for all τ -torsion σ -cocritical left R -modules M and M' .

Proof. (1) \Rightarrow (2) : If M and M' are τ -torsion σ -cocritical left R -modules we set $\rho = \sigma \vee \xi(M)$ and $\rho' = \sigma \vee \xi(M')$. Then $\sigma \neq \rho$, $\rho' \leq \tau$ and so, by (1), $\sigma \neq \rho \wedge \rho' = \sigma \vee [\xi(M) \wedge \xi(M')]$. Since σ is good,

there exists a σ -cocritical left R -module N which is $(\rho \wedge \rho')$ -torsion, and so $\sigma \vee \xi(N) \leq \rho \wedge \rho'$. In particular, N is not $[\xi(M) \wedge \xi(M')]$ -torsion-free and so, by restriction if necessary, we can assume that it is $[\xi(M) \wedge \xi(M')]$ -torsion. This means that there exist nonzero R -homomorphisms from M to $E(N)$ and from M' to $E(N)$ which must indeed be monic since N is σ -torsionfree and M, M' are σ -cocritical. Since N is cocritical and hence uniform as well, this implies that $\chi(M) = \chi(M')$.

(2) \Rightarrow (1): Assume that $\sigma < \tau', \tau'' \leq \tau$. Since σ is good we know that there exist σ -cocritical left R -modules M' and M'' satisfying $\xi(M') \leq \tau'$ and $\xi(M'') \leq \tau''$. By (2), this means that $\chi(M') = \chi(M'')$ and so we can assume that $E(M') = E(M'')$. If $N = M' \cap M''$ then N is σ -cocritical and $\sigma \neq \sigma \vee \xi(N) \leq \tau' \wedge \tau''$, proving (1). \square

If $\sigma \in R\text{-tors}$, let us denote by $\mathbf{M}(\sigma)$ the set of all prime torsion theories of $R\text{-mod}$ of the form $\chi(M)$, where M is a σ -cocritical left R -module. By Proposition 33.21 of [2] we see that $\mathbf{M}(\sigma)$ is contained in the set $\mathbf{P}_o(\sigma)$ of all minimal prime generalizations of σ . However, we need not have equality in general. If $\sigma \leq \tau$ in $R\text{-tors}$, let $\mathbf{M}(\sigma, \tau)$ be the set of all elements of $\mathbf{M}(\sigma)$ of the form $\chi(M)$, where M is both σ -cocritical and τ -torsion. The cardinality of $\mathbf{M}(\sigma, \tau)$ will be called the σ -rank of τ . Clearly $\mathbf{M}(\sigma, \sigma) = \phi$ and, by definition, we see that the set $\mathbf{M}(\sigma, \tau)$ is nonempty (and hence the σ -rank of τ is nonzero) if $\sigma < \tau$ and σ is good. We also note that if $\sigma \leq \tau' \leq \tau$ then $\mathbf{M}(\sigma, \tau') \subseteq \mathbf{M}(\sigma, \tau)$. By Proposition 3, we see that if $\sigma < \tau$ and σ is good then τ is σ -uniform if and only if it has σ -rank equal to 1, i.e. if and only if $\mathbf{M}(\sigma, \tau)$ is a singleton $\{\pi\}$. In this case, we will say that the σ -uniform torsion theory τ is of type π .

4. Proposition. *Let σ be a good torsion theory on $R\text{-mod}$. A set U of σ -uniform torsion theories is σ -separated if and only if no two elements of U are of the same type.*

Proof. Assume that no two elements of U are of the same type. By Proposition 1 it suffices to assume that the set U is nonempty and finite. Let $U = \{\tau_1, \dots, \tau_n\}$ be a set of σ -uniform torsion theories and let $\mathbf{M}(\sigma, \tau_i) = \{\pi_i\}$ for each $1 \leq i \leq n$. For each such i , let M_i be a τ_i -torsion σ -cocritical left R -module satisfying $\chi(M_i) = \pi_i$. If there exists an index h such that $\tau_h \wedge [\bigvee_{i \neq h} \tau_i] \neq \sigma$ then there exists a σ -cocritical left R -module N which is $\tau_h \wedge [\bigvee_{i \neq h} \tau_i]$ -torsion. In particular, N is τ_h -torsion and so $\chi(N) \in \mathbf{M}(\sigma, \tau_h) = \{\pi_h\}$. Hence, without loss of generality, we can as-

sume that $N = M_h$. Since M_h is $[\bigvee_{i \neq h} \tau_i]$ -torsion, there exists an index $k \neq h$ such that M_h is not τ_k -torsionfree. Replacing M_h by its τ_k -torsion submodule, we can assume that it is τ_k -torsion and so $\pi_h = \chi(M_h) \in \mathbf{M}(\sigma, \tau_k) = \{\pi_k\}$, contradicting the assumption that τ_h and τ_k are not of the same type.

Now, conversely, assume that U is σ -separated. If there are two distinct elements τ and τ' of U of the same type $\chi(M)$, then $\xi(M) \leq \tau \wedge [\bigvee(U \setminus \tau)]$, contradicting σ -separation. Therefore no two elements of U are of the same type. \square

5. Corollary. *If σ is a good torsion theory on R -mod then any two maximal σ -separated sets of σ -uniform torsion theories have the same cardinality*

Proof. The cardinality of a maximal σ -separated set of σ -uniform torsion theories is clearly equal to the cardinality of $\mathbf{M}(\sigma)$. \square

Recall that an *independence structure* \mathcal{E} on a nonempty set A consists of a family of subsets of A satisfying the following conditions :

- (1) $\emptyset \in \mathcal{E}$;
- (2) If $A' \subseteq A'' \in \mathcal{E}$ then $A' \in \mathcal{E}$;
- (3) If A' and A'' are finite sets in \mathcal{E} satisfying $|A'| < |A''|$ then there is a set B in \mathcal{E} satisfying $A' \subseteq B \subseteq A' \cup A''$ and $|B| = |A''|$;
- (4) If every finite subset A' of a set A belongs to \mathcal{E} then $A \in \mathcal{E}$.

For more information on such structures, refer to [1] or [5].

6. Proposition. *If σ is a good torsion theory on R -mod then the family of all σ -separated sets of σ -uniform torsion theories is an independence structure on σ -unif.*

Proof. Condition (1) is true by definition and conditions (2) and (4) follow from Proposition 1. We are therefore left to prove condition (3). Let U' and U'' be finite σ -separated sets of σ -uniform torsion theories on R -mod with $|U'| < |U''|$. Say $U' = \{\tau_1, \dots, \tau_k\}$, where each τ_i is of type π_i , and let $U'' = \{\sigma_1, \dots, \sigma_n\}$, where each σ_j is of type π'_j . By renumbering if necessary, we can assume that there exists an integer $1 \leq t \leq k+1$ such that π_i and π'_i are equal for all $i < t$ and are not equal for all $i \geq t$. Then $Y = \{\tau_1, \dots, \tau_k, \sigma_{k+1}, \dots, \sigma_n\}$ is a set of σ -uniform torsion theories no two of which are of the same type and so the set is σ -separated. Moreover, $U' \subseteq Y \subseteq U' \cup U''$ and $|Y| = |U''|$. \square

If $\sigma < \sigma' \leq \tau$ in R -tors then τ is σ -essential over σ' if and only if $\sigma \neq \sigma' \wedge \sigma''$ for all $\sigma < \sigma'' \leq \tau$. Thus, trivially, if $\sigma < \tau$ then τ is σ -essential over itself. Moreover, a torsion theory $\tau > \sigma$ is σ -uniform if and only if it is σ -essential over every torsion theory σ' satisfying $\sigma < \sigma' \leq \tau$.

7. Proposition. *If $\sigma < \sigma' \leq \tau$ are torsion theories on R -mod with σ good then τ is σ -essential over σ' if and only if $\mathbf{M}(\sigma, \tau) = \mathbf{M}(\sigma, \sigma')$.*

Proof. Assume that τ is σ -essential over σ' . Since $\sigma' \leq \tau$ we have $\mathbf{M}(\sigma, \sigma') \subseteq \mathbf{M}(\sigma, \tau)$. On the other hand, assume that $\pi \in \mathbf{M}(\sigma, \tau)$ and let N be a σ -cocritical τ -torsion left R -module satisfying $\pi = \chi(N)$. Then $\sigma < \sigma \vee \xi(N) \leq \tau$ so $\sigma \neq \sigma' \wedge (\sigma \vee \xi(N))$. Since σ is good, there exists a σ -cocritical left R -module N' which is $[\sigma' \wedge (\sigma \vee \xi(N))]$ -torsion. In particular, there exists a nonzero R -homomorphism from N to $E(N')$, which must be monic since N and N' are σ -cocritical. Then the uniformness of N' implies that $\pi = \chi(N) = \chi(N') \in \mathbf{M}(\sigma, \sigma')$, proving that $\mathbf{M}(\sigma, \tau) = \mathbf{M}(\sigma, \sigma')$.

Conversely, assume that $\mathbf{M}(\sigma, \tau) = \mathbf{M}(\sigma, \sigma')$ and let $\sigma < \sigma'' \leq \tau$. If N is a σ -cocritical σ'' -torsion left R -module then $\chi(N) \in \mathbf{M}(\sigma, \tau) = \mathbf{M}(\sigma, \sigma')$ and so N is σ' -torsion as well. Thus $\sigma < \sigma \vee \xi(N) \leq \sigma' \wedge \sigma''$, proving that τ is σ -essential over σ' . \square

8. Corollary. *If $\sigma \in R$ -tors is good then a σ -essential generalization of a σ -uniform torsion theory is σ -uniform.*

Proof. This is a direct consequence of Proposition 3 and Proposition 7. \square

9. Corollary. *If $\sigma \in R$ -tors is good and if $\tau > \sigma$ in R -tors then there exists a σ -separated set U of σ -uniform torsion theories on R -mod such that τ is a σ -essential extension of $\vee U$.*

Proof. Take $U = \{ \sigma \vee \xi(M) \mid M \text{ a } \sigma\text{-cocritical } \tau\text{-torsion left } R\text{-module} \}$. This set is σ -separated by Proposition 4. \square

10. Proposition. *If σ is a good torsion theory on R -mod then any proper generalization of σ has a unique maximal σ -essential generalization.*

Proof. Let $\sigma < \tau$ in R -tors and let $\tau' = \vee \{ \sigma' > \sigma \mid \mathbf{M}(\sigma, \tau) = \mathbf{M}(\sigma, \sigma') \}$. Clearly $\tau' \geq \tau$ and so $\mathbf{M}(\sigma, \tau) \subseteq \mathbf{M}(\sigma, \tau')$. Conversely, if

$\pi \in \mathbf{M}(\sigma, \tau')$ and if M is a σ -cocritical τ' -torsion left R -module satisfying $\pi = \chi(M)$ then there exists a torsion theory $\sigma' > \sigma$ satisfying $\mathbf{M}(\sigma, \tau) = \mathbf{M}(\sigma, \sigma')$ and having the property that M is not σ' -torsionfree. Therefore $\pi = \chi(M')$, where M' is the σ' -torsion submodule of M . This implies that $\pi \in \mathbf{M}(\sigma, \sigma') = \mathbf{M}(\sigma, \tau)$, proving that $\mathbf{M}(\sigma, \tau')$ and $\mathbf{M}(\sigma, \tau)$ are equal. By Proposition 7, this means that τ' is a σ -essential generalization of τ which, by construction, is clearly maximal. \square

If $\sigma \leq \tau$ in R -tors we will denote the σ -rank of τ by $r_\sigma(\tau)$. The torsion theory τ is σ -flat if and only if $r_\sigma(\tau') > r_\sigma(\tau)$ for all $\tau' > \tau$ in R -tors. In other words, τ is σ -flat if and only if for each $\tau' > \tau$ there exists a τ' -torsion σ -cocritical left R -module which is τ -torsionfree. (Note that the term "flat" is used here in its combinatoric, rather than algebraic, sense ; see [1] or [5].)

11. Proposition. *If $\sigma \leq \tau$ in R -tors then the family of all σ -flat generalizations τ is closed under taking arbitrary meets.*

Proof. Let U be a nonempty set of σ -flat generalizations of τ and assume that $\tau' > \bigwedge U$ in R -tors. Then there exists an element ρ of U such that $\tau' \not\leq \rho$ and so $\tau' \vee \rho > \rho$. Thus there exists a ρ -torsionfree σ -cocritical left R -module M which is $(\tau' \vee \rho)$ -torsion and hence not τ' -torsionfree. Replacing M by its τ' -torsion submodule, we can assume that it is τ' -torsion. On the other hand, M is $(\bigwedge U)$ -torsionfree since it is ρ -torsionfree. Therefore $r_\sigma(\tau') > r_\sigma(\bigwedge U)$. \square

12. Proposition. *Let σ be a good torsion theory on R -mod and let $\sigma < \tau$. If $\mathbf{M}(\sigma, \tau)$ is finite then the maximal σ -essential generalization of τ is the meet of all σ -flat generalizations of τ .*

Proof. Let τ' be the maximal σ -essential generalization of τ . If $\tau'' > \tau'$ then τ'' is not σ -essential over τ and so, by Proposition 7, $\mathbf{M}(\sigma, \tau'') \supset \mathbf{M}(\sigma, \tau) = \mathbf{M}(\sigma, \tau')$. Therefore $r_\sigma(\tau'') > r_\sigma(\tau')$, proving that τ' is σ -flat over τ . Now assume that ρ is σ -flat over τ and satisfies $\rho < \tau'$. Then $r_\sigma(\tau') > r_\sigma(\rho)$ and so $\mathbf{M}(\sigma, \tau) = \mathbf{M}(\sigma, \tau') \supset \mathbf{M}(\sigma, \rho) \supseteq \mathbf{M}(\sigma, \tau)$, which is a contradiction. Thus, by Proposition 11, τ' is the meet of all σ -flat generalizations of τ . \square

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