

# *Mathematical Journal of Okayama University*

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*Volume 28, Issue 1*

1986

*Article 17*

JANUARY 1986

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## On restricted anti-Hopfian modules

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Math. J. Okayama Univ. 28 (1986), 119–131

# ON RESTRICTED ANTI-HOPFIAN MODULES

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**1. Introduction.** In the previous paper [3], we investigated the structure of anti-Hopfian modules (non-simple modules all of whose non-zero factor modules are isomorphic). In connection with the previous investigation, in the present paper, we shall study the structure of non-simple modules all of whose non-zero proper factor modules are isomorphic. We call such a module restricted anti-Hopfian. A restricted anti-Hopfian module has the striking property that every non-zero proper factor module is subdirectly irreducible. Non-simple modules with such property will be called restricted subdirectly irreducible, and will be studied in Section 2. Section 3 is devoted to the study of the structure of restricted anti-Hopfian modules, and in the final theorem (Theorem 14) we shall explicitly describe the structure of restricted anti-Hopfian modules over a commutative ring.

Throughout this paper,  $R$  will represent an associative ring with identity and all modules will be unitary right  $R$ -modules. For any module  $M$ , we denote the *Jacobson radical* and the *socle* of  $M$  by  $\text{Rad}(M)$  and  $\text{Soc}(M)$ , respectively. Given a non-empty subset  $N$  of an  $R$ -module  $M$ , we put  $\text{Ann}_R(N) = \{r \in R \mid xr = 0 \text{ for all } x \in N\}$ .

## 2. Restricted subdirectly irreducible modules.

**Definitions.** (a) A module  $M$  is said to be *uniserial* if the set of submodules of  $M$  is linearly ordered by inclusion.

(b) A non-zero module  $M$  is said to be *subdirectly irreducible* if the intersection  $H$  of all its non-zero submodules is not 0. In this case, the submodule  $H$  is called the *heart* of  $M$ .

(c) A module  $M$  is called *completely subdirectly irreducible* if every non-zero factor module of  $M$  is subdirectly irreducible.

(d) A non-simple module  $M$  is called *restricted subdirectly irreducible* (resp. *restricted Artinian*) if each proper non-zero factor module of  $M$  is subdirectly irreducible (resp. Artinian).

In this section, we shall study the structure of the restricted subdirectly irreducible modules.

First, we need the following

**Lemma 1** (cf. [3, Proposition 1]). *An  $R$ -module  $M$  is completely sub-*

*directly irreducible if and only if  $M$  is Artinian and uniserial.*

*Proof.* It suffices to prove the only if part. Clearly, the set of submodules of  $M$  is linearly ordered. Suppose that there exists a countably infinite strictly descending chain

$$M_1 \supsetneq M_2 \supsetneq M_3 \supsetneq \cdots,$$

of submodules of  $M$ . If we set  $N = \bigcap_{i \in \mathbb{N}} M_i$ , then each  $\bar{M}_i = M_i/N$  is a non-zero submodule of  $M/N$ , but  $\bigcap_{i \in \mathbb{N}} \bar{M}_i = 0$ . This is contrary to our assumption.

The *quasi-cyclic ( $p$ -Prüfer) group* will be denoted by  $\mathbf{Z}(p^\infty)$ , and a *cyclic group* of order  $n$  by  $\mathbf{Z}(n)$ .

**Example 2.**  $\mathbf{Z}(p^\infty)$  is completely subdirectly irreducible. In fact, every non-zero factor group of  $\mathbf{Z}(p^\infty)$  is isomorphic to  $\mathbf{Z}(p^\infty)$ . But  $\mathbf{Z}(p^\infty)$  is not Noetherian.

We shall now prove the following theorem which plays an important role in this paper.

**Theorem 3.** *Let  $M$  be an  $R$ -module. Then,  $M$  is restricted subdirectly irreducible if and only if one of the following holds :*

- (1)  *$M$  is a direct sum of two simple modules ;*
- (2)  *$M$  is restricted Artinian and uniserial ;*
- (3)  *$M$  is Artinian,  $M/\text{Soc}(M)$  is non-zero uniserial,  $\text{Soc}(M)$  is a direct sum of two simple modules and  $\text{Soc}(M)$  is a waist of  $M$  (that is, every submodule is comparable with  $\text{Soc}(M)$ ).*

Moreover, if  $M \neq \text{Rad}(M)$  and  $M$  satisfies (2) or (3), then  $M$  is local.

*Proof.* It suffices to prove the only if part. Let  $N$  be a non-zero proper submodule of  $M$ . Since  $M$  is restricted subdirectly irreducible, every non-zero factor submodule of  $M/N$  is subdirectly irreducible. Therefore, by Lemma 1,  $M/N$  is Artinian and uniserial. This proves that  $M$  is restricted Artinian and  $M/N$  is uniserial for every non-zero proper submodule  $N$  of  $M$ . If  $M$  is uniserial, then (2) in this theorem holds. Suppose  $M$  is not uniserial. Then there exist two submodules  $M_1$  and  $M_2$  which are not comparable. If  $M_1 \cap M_2 \neq 0$ , then  $M/(M_1 \cap M_2)$  is not subdirectly irreducible. This contradiction implies that  $M_1 \cap M_2 = 0$ . Then  $M$  is embeded in the Artinian module  $M/M_1 \oplus M/M_2$ , and so  $M$  is also Artinian. We shall prove that  $M_1$

and  $M_2$  are simple. If  $M_1$  is not simple, then  $M_1$  contains a simple submodule  $M' \neq M_1$ . Then  $\text{Soc}(M/M')$  isomorphically contains  $\text{Soc}(M_1/M') \oplus \text{Soc}(M_2)$ . This contradicts the hypothesis that  $M/M'$  is subdirectly irreducible. Therefore  $M_1$  is simple. Similarly, we can prove that  $M_2$  is also simple. Hence every submodule of  $M$  is comparable with  $\text{Soc}(M)$ . By the same reason as above,  $\text{Soc}(M)$  is a direct sum of two simple modules. Hence, in this case, (1) or (3) in our assertion holds.

Next, we assume that  $M \neq \text{Rad}(M)$  and  $M$  satisfies (2) or (3), then  $M$  does not satisfy (1). If there exist two distinct maximal submodules  $M_1$  and  $M_2$ , then  $M_1 \cap M_2 = 0$ . In this case,  $M$  satisfies (1). This is a contradiction. Therefore, if  $M \neq \text{Rad}(M)$  and  $M$  satisfies (2) or (3), then  $M$  is local. This completes the proof.

In case  $R$  is commutative, we can prove the following

**Theorem 4.** *Let  $R$  be a commutative ring, and  $M$  an  $R$ -module such that  $M \neq \text{Rad}(M)$ . Then,  $M$  is restricted subdirectly irreducible if and only if one of the following holds :*

- (1)  *$M$  is a direct sum of two simple modules ;*
- (2)  *$M$  is local, Noetherian and uniserial ;*
- (3)  *$\text{Soc}(M)$  is a unique maximal submodule of  $M$ , and is a direct sum of two simple modules.*

*Proof.* If  $M$  satisfies (1) or (3), then clearly  $M$  is restricted subdirectly irreducible. Suppose that  $M$  satisfies (2). For any  $m \in M \setminus \text{Rad}(M)$ , we have that  $M = mR \cong R/\text{Ann}_R(m)$ . Let  $J$  be the Jacobson radical of  $R/\text{Ann}_R(m)$ . Then we can easily see that if  $MJ^n \neq 0$  for some positive integer  $n$ , then  $MJ^{n+1}$  is a unique maximal submodule of  $MJ^n$ . By the Krull intersection theorem,  $\bigcap_{n=1}^{\infty} MJ^n = 0$ . Therefore,  $0, M, MJ, MJ^2, \dots$  are the only submodules of  $M$ . Hence  $M$  is restricted subdirectly irreducible.

Conversely, suppose that  $M$  is restricted subdirectly irreducible. First, we consider the case when  $M$  satisfies (2) in Theorem 3. Then  $M$  is local and  $M = mR$  for any  $m \in M \setminus \text{Rad}(M)$ . Let  $N$  be a non-zero submodule of  $M$ . Then  $M/N$  is Artinian, and so is  $\bar{R} = R/\text{Ann}_R(m+N)$  ( $\cong M/N$  as  $R$ -modules). Clearly,  $\bar{R}$  is Noetherian and hence the cyclic module  $M/N$  over  $\bar{R}$  is also Noetherian. This shows that  $M$  is Noetherian. Next, we consider the case when  $M$  satisfies (3) of Theorem 3. Suppose, to the contrary, that  $\text{Soc}(M)$  is not maximal. Then  $M/\text{Soc}(M)$  is not simple. Let  $N'/\text{Soc}(M)$  be the heart of  $M/\text{Soc}(M)$ , and  $N/N'$  the heart of  $M/N'$ . Then

we have a chain of submodules

$$\text{Soc}(M) \subseteq N' \subseteq N,$$

where both  $N/N'$  and  $N'/\text{Soc}(M)$  are simple, and both  $N$  and  $N'$  are local Artinian. If we take  $x \in N \setminus N'$ , then  $N = xR$  and  $N' = xaR$  for some  $a \in R$ . Since  $\tilde{R} = R/\text{Ann}_R(x) \cong xR = N$ ,  $\tilde{R}$  is local and Artinian. Clearly,  $\text{Rad}(\tilde{R}) = \tilde{a}\tilde{R}$ , where  $\tilde{a} = a + \text{Ann}_R(x)$ . Therefore, we conclude that

$$\tilde{R} \supseteq \tilde{a}\tilde{R} \supseteq \tilde{a}^2\tilde{R} \supseteq \dots$$

is a unique composition series of  $\tilde{R}$ . Hence  $N$  has also a unique composition series. This is a contradiction. Therefore  $\text{Soc}(M)$  is a unique maximal submodule of  $M$ . This completes the proof.

**Example 5.** Let  $K$  be a field, and  $R = \left\{ \begin{pmatrix} a & b \\ 0 & a \end{pmatrix} \mid a \in K, b \in K \oplus K \right\}$ .

Then the right  $R$ -module  $R_R$  satisfies (3) in Theorem 4.

Let  $R$  be a Dedekind domain,  $K$  the field of fractions of  $R$ , and  $P$  a prime ideal of  $R$ . We denote by  $R(P^\infty)$  the  $P$ -primary part of  $K/R$  and, following Kaplansky [4, p. 335], we call this the *module of type  $P^\infty$* . It is easily seen that  $R(P^\infty)$  is isomorphic to  $K/R_P$ , where  $R_P$  is the localization of  $R$  at  $P$ .

When  $R$  is a Dedekind domain, we can completely classify the restricted subdirectly irreducible  $R$ -modules as follows :

**Theorem 6.** Let  $R$  be a Dedekind domain, and  $M$  an  $R$ -module. Then,  $M$  is restricted subdirectly irreducible if and only if one of the following holds :

- (1)  $M \cong R/P \oplus R/Q$  for some prime ideals  $P$  and  $Q$  ;
- (2)  $M \cong R/P^n$  for some prime ideal  $P$  and some positive integer  $n$  ;
- (3)  $M$  is isomorphic to  $R(P^\infty)$  for some prime ideal  $P$  ;
- (4)  $R$  is a discrete valuation ring and  $M$  is isomorphic to the field of fractions  $K$  of  $R$ .

*Proof.* “If” : This follows from Theorem 3.

“Only if” : First, suppose that  $M \neq \text{Rad}(M)$ . If  $M$  satisfies (3) in Theorem 4, then  $M$  is isomorphic to  $R/I$  for some non-zero ideal  $I$ . Since  $R$  is a Dedekind domain, we have a decomposition

$$I = P_1^{n_1} P_2^{n_2} \cdots P_k^{n_k}$$

with some prime ideals  $P_i$  and positive integers  $n_i$ . Hence

$$R/I \cong R/P_1^{n_1} \oplus R/P_2^{n_2} \oplus \cdots \oplus R/P_k^{n_k}.$$

Since  $\text{Soc}(M)$  is a direct sum of two simple modules, we conclude  $k = 2$ . But, in this case,  $R/I$  is not local. Hence, this case cannot occur. If  $M$  satisfies (2) in Theorem 4,  $M$  is also a cyclic  $R$ -module. Since  $M$  is local,  $M$  is isomorphic to  $R/P^n$  for some prime ideal  $P$  and some positive integer  $n$ . Clearly, if  $M$  satisfies (1) in Theorem 4, then (1) in this theorem holds. Next, suppose that  $M = \text{Rad}(M)$ . In this case, we claim that  $M$  is divisible. Suppose, to the contrary, that  $M$  is not divisible. Then there exists a non-zero element  $p$  in  $R$  such that  $Mp \neq M$ . Since  $R$  is a Dedekind domain, we have a decomposition

$$(p) = P_1^{n_1} P_2^{n_2} \cdots P_t^{n_t}$$

with some prime ideals  $P_i$  and positive integers  $n_i$ . Then  $MP_i \neq M$  for some  $i$ , and thus  $M/MP_i$  is a non-zero vector space over the field  $R/P_i$ . Therefore, there exists a maximal submodule  $N$  of  $M$  containing  $MP_i$ . This is contrary to the assumption that  $M = \text{Rad}(M)$ , and so we conclude that  $M$  is a divisible  $R$ -module. Then by Kaplansky [4, Theorem 7],  $M$  is the direct sum of a vector space over  $K$  and modules of type  $P^\infty$  for various prime ideals  $P$ . Since  $M$  is restricted subdirectly irreducible, we conclude that either  $M$  is isomorphic to  $R(P^\infty)$  for some prime ideal  $P$  or  $M$  is isomorphic to  $K$ . In the latter case, since  $K$  is a uniserial  $R$ -module (by Theorem 3), it is easy to see that  $R$  has exactly one non-zero prime ideal, that is,  $R$  is a discrete valuation ring. This completes the proof.

As a particular case of Theorem 6, we have

**Corollary 7.** *An abelian group  $M$  is restricted subdirectly irreducible if and only if one of the following holds :*

- (1)  $M \cong \mathbb{Z}(p) \oplus \mathbb{Z}(q)$  for some primes  $p$  and  $q$  ;
- (2)  $M \cong \mathbb{Z}(p^n)$  for some prime  $p$  and some positive integer  $n$  ;
- (3)  $M \cong \mathbb{Z}(p^\infty)$  for some prime  $p$ .

### 3. Restricted anti-Hopfian modules.

**Definitions.** (e) A module  $M$  is said to be *Hopfian* if every surjective endomorphism of  $M$  is an isomorphism.

(f) A submodule  $N$  of  $M$  is said to be a *non-Hopf kernel (for  $M$ )* if

there exists an isomorphism of  $M/N$  to  $M$ .

(g) A non-simple module  $M$  is said to be *anti-Hopfian* if every proper submodule of  $M$  is a non-Hopf kernel.

(h) A non-simple module  $M$  is said to be *restricted anti-Hopfian* if any two non-zero proper factor modules of  $M$  are isomorphic. Clearly, every anti-Hopfian module is restricted anti-Hopfian.

As is well known, every module has a subdirectly irreducible factor module (see, e.g., Anderson and Fuller [1, p. 95]). Hence every restricted anti-Hopfian module is restricted subdirectly irreducible. The purpose of this section is to study about the structure of restricted anti-Hopfian modules and their endomorphism rings.

First, we shall consider the case when  $M$  has at least one maximal submodule.

**Theorem 8.** *Let  $M$  be an  $R$ -module such that  $M \neq \text{Rad}(M)$ . Then,  $M$  is restricted anti-Hopfian if and only if one of the following holds :*

- (1)  *$M$  has exactly one non-zero proper submodule ;*
- (2)  *$M$  is a direct sum of two isomorphic simple modules.*

*Proof.* The if part is clear. We shall prove the only if part. Since  $M$  is restricted subdirectly irreducible, we can apply Theorem 3. At first, we consider the case when  $M$  satisfies(2) in Theorem 3. Then we claim that  $M$  has exactly one non-zero proper submodule. Suppose, to the contrary, that

$$0 \subseteq J_1 \subseteq J \subseteq M$$

is a chain of submodules of  $M$ . Then  $M/J$  and  $M/J_1$  are not isomorphic, because  $M/J$  is simple and  $M/J_1$  is not simple. This contradicts our hypothesis on  $M$ . Therefore,  $M$  has exactly one non-zero proper submodule, that is, (1) in this theorem holds. If  $M$  satisfies (1) in Theorem 3, then (2) in this theorem holds, clearly. Finally, we consider the case that  $M$  satisfies (3) in Theorem 3. Let  $J$  be the unique maximal submodule of  $M$  and  $\text{Soc}(M) = S_1 \oplus S_2$ , where  $S_1$  and  $S_2$  are simple. Then  $M/J$  and  $M/S_1$  are not isomorphic. Hence, this case cannot occur, completing the proof.

**Corollary 9.** *Let  $R$  be a Dedekind domain, and  $M$  an  $R$ -module such that  $M \neq \text{Rad}(M)$ . Then,  $M$  is restricted anti-Hopfian if and only if one of the following holds :*

- (1)  $M \cong R/P^2$ ;
- (2)  $M \cong R/P \oplus R/P$ , where  $P$  is a non-zero prime ideal of  $R$ .

*Proof.* This is immediate from Theorems 6 and 8.

A ring  $R$  is said to be a (*right*) *CH-ring* if every cyclic right  $R$ -module is Hopfian. Clearly, every right Noetherian ring is a *CH*-ring. As is well known, every finitely generated module over a commutative ring  $R$  is Hopfian (see, e.g., Armendariz, Fisher and Snider [2]). Hence, every commutative ring is a *CH*-ring.

Next, we shall consider a restricted anti-Hopfian module  $M$  with  $M = \text{Rad}(M)$ . When this is the case, for any non-zero proper submodule  $N$  of  $M$ ,  $M/N$  is a non-simple  $R$ -module all of whose factor modules are isomorphic. Hence,  $M$  is a restricted anti-Hopfian module with  $M = \text{Rad}(M)$  if and only if  $M/N$  is anti-Hopfian for every non-zero proper submodule  $N$  of  $M$ .

Now, by making use of Theorem 3 and [3, Theorem 2], we shall characterize restricted anti-Hopfian modules  $M$  over a *CH*-ring with  $M = \text{Rad}(M)$ .

**Theorem 10.** *Let  $R$  be a *CH*-ring, and  $M$  an  $R$ -module such that  $M = \text{Rad}(M)$ . Then,  $M$  is restricted anti-Hopfian if and only if one of the following holds :*

- (1) 1a) *The set of proper submodules of  $M$  forms a chain*

$$0 \subseteq M_1 \subseteq M_2 \subseteq M_3 \subseteq \dots$$

*such that*

$$\bigcup_{i \in \mathbb{N}} M_i = M, \text{ and}$$

- 1b)  $M_2/M_1$  is a non-Hopf kernel for  $M/M_1$ .

- (2) 2a) *The set of proper submodules of  $M$  forms a chain*

$$\dots \subseteq M_{-2} \subseteq M_{-1} \subseteq M_0 \subseteq M_1 \subseteq M_2 \subseteq \dots$$

*such that*

$$\bigcap_{i \in \mathbb{Z}} M_i = 0, \bigcup_{i \in \mathbb{Z}} M_i = M, \text{ and}$$

- 2b) *for each  $i$ ,  $M_{i+1}/M_i$  is a non-Hopf kernel for  $M/M_i$ .*

- (3) 3a)  *$\text{Soc}(M)$  is a waist of  $M$ , and is a direct sum of two isomorphic simple modules and the set of proper submodules of  $M$  containing  $\text{Soc}(M)$  forms a chain*

$$M_1 = \text{Soc}(M) \subseteq M_2 \subseteq M_3 \subseteq \dots$$

such that

$$\bigcup_{i \in \mathbb{N}} M_i = M, \text{ and}$$

3b) for every simple submodule  $S$  of  $M$ ,  $M_1/S$  is a non-Hopf kernel for  $M/S$ .

*Proof.* “Only if” : First, suppose that  $M$  satisfies (2) in Theorem 3, namely  $M$  is restricted Artinian and uniserial. If  $\text{Soc}(M) = M_1 \neq 0$ ,  $M/M_1$  is anti-Hopfian and hence, by [3, Theorem 2], (1) in our assertion holds. Next, we shall show that if  $\text{Soc}(M) = 0$  then (2) in this theorem holds. Let  $M_1$  be a non-zero proper submodule of  $M$ . By [3, Theorem 2], since  $M/M_1$  is anti-Hopfian, the set of proper submodules of  $M$  containing  $M_1$  forms a chain

$$M_1 \subseteq M_2 \subseteq M_3 \subseteq \dots$$

such that

$$\bigcup_{i \in \mathbb{N}} M_i = M.$$

Since  $M_1$  has a non-zero proper submodule  $M'_0$  and  $M/M'_0$  is anti-Hopfian, again by [3, Theorem 2]  $M_1$  has the unique maximal submodule  $M_0$ . Continuing this procedure, we have a chain of the submodules of  $M$

$$\dots \subseteq M_{-2} \subseteq M_{-1} \subseteq M_0 \subseteq M_1 \subseteq M_2 \subseteq M_3 \subseteq \dots$$

It is easy to see that those are the only non-zero proper submodules of  $M$ ,  $\bigcap_{i \in \mathbb{Z}} M_i = 0$  and  $\bigcup_{i \in \mathbb{Z}} M_i = M$ . The assertion 2b) is obvious.

Finally, suppose that  $M$  satisfies (3) in Theorem 3. Then, by hypothesis,  $\text{Soc}(M)$  is a waist of  $M$  and the set of proper submodules of  $M$  containing  $\text{Soc}(M)$  forms a chain

$$M_1 = \text{Soc}(M) \subseteq M_2 \subseteq M_3 \subseteq \dots$$

such that

$$\bigcup_{i \in \mathbb{N}} M_i = M.$$

It is easy to see that  $\text{Soc}(M)$  is a direct sum of two isomorphic simple modules. Again by [3, Theorem 2],  $M_1/S$  is a non-Hopf kernel for  $M/S$  for

every simple submodule  $S$  of  $M$ .

“If” : Assume (1). Since the factor module  $M/M_1$  is anti-Hopfian by [3, Theorem 2], we see that

$$M/M_1 \cong (M/M_1)/(M_i/M_1) \cong M/M_i$$

for all  $i \in \mathbb{N}$ .

Assume (2). Let  $M_i$  be an arbitrary non-zero proper submodule of  $M$ . Since the factor module  $M/M_i$  is anti-Hopfian by [3, Theorem 2],  $M$  is restricted anti-Hopfian.

Finally, assume (3). Let  $S$  be an arbitrary simple submodule of  $M$ . Again by [3, Theorem 2], the factor module  $M/S$  is anti-Hopfian, and so we obtain  $M/S \cong M/N$  for every proper submodule  $N$  of  $M$  containing  $S$ . This shows that  $M$  is restricted anti-Hopfian, completing the proof.

**Corollary 11.** *Let  $R$  be a commutative ring, and  $M$  an  $R$ -module such that  $M = \text{Rad}(M)$ . Then,  $M$  is restricted anti-Hopfian if and only if one of the following holds :*

- (1) *The set of proper submodules of  $M$  forms a chain*

$$0 \subseteq M_1 \subseteq M_2 \subseteq M_3 \subseteq \dots$$

*such that*

$$\bigcup_{i \in \mathbb{N}} M_i = M,$$

*that is,  $M$  is anti-Hopfian.*

- (2) *The set of proper submodules of  $M$  forms a chain*

$$\dots \subseteq M_{-2} \subseteq M_{-1} \subseteq M_0 \subseteq M_1 \subseteq M_2 \subseteq \dots$$

*such that*

$$\bigcap_{i \in \mathbb{Z}} M_i = 0, \quad \bigcup_{i \in \mathbb{Z}} M_i = M.$$

*Proof.* In view of Theorem 10 and [3, Theorem 8], it suffices to show that  $M$  does not satisfy (3) in Theorem 10. Suppose, to the contrary, that  $M$  satisfies (3) in Theorem 10, and choose a simple submodule  $S$  of  $M$ . Then,  $\text{Soc}(M)$  is a waist of  $M$  and the set of proper submodules of  $M$  containing  $\text{Soc}(M)$  forms a chain

$$M_1 = \text{Soc}(M) = S \oplus S' \subseteq M_2 \subseteq M_3 \subseteq \dots$$

such that

$$\bigcup_{i \in \mathbb{N}} M_i = M$$

with some simple submodule  $S'$  of  $M$ . And so, there exist  $m_1$  and  $m_2$  in  $M$  such that  $S = m_1R$ ,  $M_2 = m_2R$ . Since  $S \subseteq M_2$ , there exists  $r_0$  in  $R$  such that  $m_1 = m_2r_0$ . Now we define  $f \in \text{End}_R(M)$  by  $f(x) = xr_0$  ( $x \in M$ ). Since  $f(S') \subset f(M_2)$  and  $0 \neq f(M_2) = S$ , we see that  $S' \subset \text{Ker}(f)$ . Hence  $\text{Ker}(f)$  is a non-zero proper submodule of  $M$ . Since every non-zero proper submodule is finitely generated,  $f$  must be an epimorphism, because  $M$  is not finitely generated. Hence  $M/\text{Ker}(f) \cong M$ . This shows that  $M$  is anti-Hopfian, which contradicts [3, Theorem 8].

We shall describe here some properties of restricted anti-Hopfian modules  $M$ , and the structure of their endomorphism rings  $\text{End}_R(M)$ .

**Proposition 12.** *Let  $R$  be a CH-ring, and  $M$  an  $R$ -module such that  $M = \text{Rad}(M)$ . If  $M$  is not anti-Hopfian but restricted anti-Hopfian, then*

- (1) *every proper submodule of  $M$  is finitely generated ;*
- (2)  *$S = \text{End}_R(M)$  is a division ring.*

*Proof.* (1). In case  $M$  satisfies (1) or (2) in Theorem 10, every proper submodule of  $M$  has a unique maximal submodule, so that it is cyclic. On the other hand, in case  $M$  satisfies (3) in Theorem 10,  $\text{Soc}(M)$  is generated by two elements and other proper submodules are cyclic.

(2). Let  $g$  be an arbitrary non-zero element of  $S$ . Then  $g(M) \cong M/\text{Ker}(g)$ . If  $g(M)$  is a proper submodule of  $M$ , then  $M$  is finitely generated by (1). This contradicts the assumption  $M = \text{Rad}(M)$ . Thus we have  $g(M) = M$  and hence  $M \cong M/\text{Ker}(g)$ . Since  $M$  is not anti-Hopfian,  $\text{Ker}(g) = 0$ . Therefore  $S$  is a division ring.

**Lemma 13.** *Let  $R$  be a commutative ring, and  $M$  an  $R$ -module such that  $M = \text{Rad}(M)$ . If  $M$  is not anti-Hopfian but restricted anti-Hopfian, then*

- (1) *every proper submodule of  $M$  is cyclic ;*
- (2) *any two non-zero proper submodules are isomorphic ;*
- (3)  *$\bar{R} = R/\text{Ann}_R(M)$  is a discrete valuation ring ;*
- (4)  *$M$  is an injective  $\bar{R}$ -module (so that  $M$  is a quasi-injective  $R$ -module).*

*Proof.* By Corollary 11, the set of non-zero proper submodules of  $M$

forms a chain

$$\cdots \subseteq M_{-2} \subseteq M_{-1} \subseteq M_0 \subseteq M_1 \subseteq M_2 \subseteq \cdots$$

such that

$$\bigcap_{t \in \mathbb{Z}} M_t = 0, \quad \bigcup_{t \in \mathbb{Z}} M_t = M.$$

(1). Since each  $M_t$  has the unique maximal submodule  $M_{t-1}$ , we obtain  $M_t = m_t R$  for any  $m_t \in M_t \setminus M_{t-1}$ .

(2) and (3). Let  $m_i$  be a generator of  $M_i$  for each  $i$ , namely  $M_i = m_i R$ . Then there exists  $r_0 \in R$  such that  $m_i = m_{i+1}r_0$ . We now define  $f \in \text{End}_R(M)$  by  $f(x) = xr_0$  ( $x \in M$ ). Since  $f(m_{i+1}) = m_{i+1}r_0 = m_i$ ,  $f$  is an isomorphism by Proposition 12. Then  $M_{i+1} \cong f(M_{i+1}) = M_i$ ; furthermore  $f(M_j) = M_{j-1}$  for any  $j$ . Hence  $M_t \cong M_t$  for any  $t$ , so that  $\text{Ann}_R(M) = \text{Ann}_R(M_t)$ . Therefore  $M_t = m_t R \cong R/\text{Ann}_R(M) = \bar{R}$ . Taking the structure of the module  $M_t$  into consideration, we conclude that  $\bar{R}$  is a discrete valuation ring.

(4). Let  $a$  be an arbitrary non-zero element of  $\bar{R}$ . We define an  $R$ -epimorphism  $h : M \rightarrow Ma$  by  $h(x) = xa$  ( $x \in M$ ). By Proposition 12,  $M \cong Ma$ . Since  $M$  is not finitely generated, we conclude that  $M = Ma$ . Therefore  $M$  is a divisible  $\bar{R}$ -module. As is well known, over a Dedekind domain, divisibility is the same with injectivity (see, e.g., Rotman [5, Theorem 4.27]). Therefore  $M$  is an injective  $\bar{R}$ -module. This completes the proof.

We denote the lattice of the  $R$ -submodules of  $M$  by  $\mathcal{L}_R(M)$ .  $Q(U)$  denotes the field of fractions of an integral domain  $U$ . When  $R$  is a commutative ring, we can explicitly describe the class of restricted anti-Hopfian  $R$ -modules.

**Theorem 14.** *Let  $R$  be a commutative ring, and  $M$  an  $R$ -module. Then,  $M$  is restricted anti-Hopfian if and only if one of the following holds :*

- (1)  *$M$  has exactly one non-zero proper submodule ;*
- (2)  *$M$  is a direct sum of two isomorphic simple modules ;*
- (3)  *$S = \text{End}_R(M)$  is a discrete valuation ring,  $M \cong Q(S)/S$  and  $\mathcal{L}_S(M) = \mathcal{L}_{\bar{R}}(M)$  ;*
- (4)  *$\bar{R} = R/\text{Ann}_R(M)$  is a discrete valuation ring and  $M$  is isomorphic to  $Q(\bar{R})$ .*

*Proof.* To prove this theorem, it suffices to show that the following three statements hold :

(I)  $M$  is a restricted anti-Hopfian module with  $M \neq \text{Rad}(M)$  if and only if (1) or (2) holds.

(II)  $M$  is an anti-Hopfian module if and only if (3) holds.

(III)  $M$  is not an anti-Hopfian module, but a restricted anti-Hopfian module with  $M = \text{Rad}(M)$  if and only if (4) holds.

Proof of (I). This follows from Theorem 8.

Proof of (II). "Only if": This follows from [3, Theorem 10] and its proof.

"If": Let  $P$  be the unique maximal ideal of  $S$ . Since  $M \cong Q(S)/S$  ( $\cong S(P^\infty)$ ),  $M$  is anti-Hopfian by [3, Theorem 9]. This together with  $\mathcal{L}_s(M) = \mathcal{L}_R(M)$  implies that  $M$  is an anti-Hopfian  $R$ -module.

Proof of (III). "Only if": By Lemma 13 (3),  $\bar{R}$  is a discrete valuation ring. Since  $M = \text{Rad}(M)$  and  $M$  is not anti-Hopfian, none of (1), (2) and (3) in Theorem 6 can occur. Therefore  $M$  is isomorphic to  $Q(\bar{R})$ .

"If": Let  $P$  be the unique maximal ideal of  $\bar{R}$ . Then the set of proper submodules of  $Q(\bar{R})$  forms a chain

$$\cdots \subsetneq P^2 \subsetneq P \subsetneq \bar{R} = P^0 \subsetneq P^{-1} \subsetneq P^{-2} \subsetneq \cdots,$$

where  $P^{-n}$  denotes the inverse of  $P^n$  in the ideal group of  $\bar{R}$ . It is easy to see that

$$\bigcap_{i \in \mathbb{Z}} P^{-i} = 0 \text{ and } \bigcup_{i \in \mathbb{Z}} P^{-i} = Q(\bar{R}).$$

Now, our assertion follows from the conditions (2) in Corollary 11.

Combining Theorem 6 with Corollary 9 and Theorem 14 we readily obtain the following

**Corollary 15.** *Let  $R$  be a Dedekind domain, and  $M$  an  $R$ -module. Then,  $M$  is restricted anti-Hopfian if and only if one of the following holds :*

- (1)  $M \cong R/P^2$ ;
- (2)  $M \cong R/P \oplus R/P$ , where  $P$  is a non-zero prime ideal of  $R$ ;
- (3)  $M$  is isomorphic to  $R(P^\infty)$  for some prime ideal  $P$ ;
- (4)  $R$  is a discrete valuation ring and  $M$  is isomorphic to the field of fractions  $K$  of  $R$ .

In particular, if  $M = \text{Rad}(M)$ , the following statements are equivalent :

- 1)  $M$  is a restricted anti-Hopfian module.
- 2)  $M$  is a restricted subdirectly irreducible module.

**Acknowledgment.** This paper was written while the second-named author visited the Department of Mathematics of Okayama University. He wishes to express his thanks to the members of the Department for their hospitality, particularly to Professor H. Tominaga and Professor T. Nagahara.

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(Received February 26, 1986)