

**CARDIOVASCULAR RESPONSES TO STRESS: A POTENTIAL PATHWAY LINKING  
SLEEP AND CARDIOVASCULAR DISEASE?**

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Reports of short sleep are related to incident cardiovascular (CV) disease. Previous data suggest that changes in basal autonomic activity may be one pathway through which habitually short sleep increases CV risk. No studies have examined whether chronic, moderate sleep loss is related to acute, autonomic responses to stressful stimuli in healthy populations. This study compared CV responses to psychological stressors in a group of undergraduate men reporting habitual sleep duration of  $\leq 6$  hours per night ( $n = 37$ ) versus those reporting habitual duration of 7-8 hours per night ( $n = 42$ ). Wrist actigraphy was used to assess total sleep time and sleep efficiency based on mobility for one week prior to CV stress testing. Laboratory stress tests included two computer tasks (Stroop color-word interference task and a numeric multisource interference task) and preparation and delivery of a speech while heart rate (HR) and blood pressure (BP) were monitored. Reactivity and recovery indices of HR, high-frequency heart rate variability (HF-HRV), and BP were created by regressing task and post-task values, respectively, on baseline values. Participants reporting  $\leq 6$  hours of sleep per night rated stress tasks as more arousing, and they had delayed HR recovery, compared to those reporting 7-8 hours of sleep; the two groups did not differ in any of the other CV parameters. After adjusting for age, race, body mass index, health behaviors, and psychosocial factors, shorter actigraphy-assessed sleep was related to greater HF-HRV withdrawal during stress tasks, and delayed HR and diastolic BP stress recovery. Decreased actigraphy-assessed sleep efficiency was related to greater HF-HRV

withdrawal during stress and delayed HR recovery. Associations between sleep and HF-HRV were independent of respiration rate. Links between sleep and delayed HR recovery were no longer significant after adjusting for actigraphy-assessed daytime naps. In sum, healthy young men with shorter actigraphy-assessed sleep exhibit less vagal inhibition, and prolonged HR and diastolic BP recovery, upon encountering stressful stimuli. Such responses may have pathophysiological CV effects, and, thus, may be one mechanism linking short sleep to CV outcomes.

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## PREFACE

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## 1.0 INTRODUCTION

A growing epidemiological literature suggests that sleep characteristics predict cardiovascular outcomes. Self-reported short sleep is related to incident cardiovascular disease, including coronary heart disease, myocardial infarction, and death from cardiovascular causes (Ayas et al., 2003; Chen et al., 2008; Ferrie et al., 2006; Ikehara et al., 2009; King et al., 2008; Meisinger Heier, Lowel, Schneider, & Doring, 2007). Indices of disrupted sleep continuity, such as increased sleep latency and wake after sleep onset, are also predictors of cardiovascular morbidity and mortality (Mallon, Broman, & Hetta, 2002; Meisinger, et al., 2007; Nicholson, Fuhrer, & Marmot, 2005). Additionally, short and fragmented sleep are associated with major cardiovascular risk factors, including hypertension (Phillips & Mannino, 2007), diabetes (Mallon, Broman, & Hetta 2005), obesity (Marshall, Glozier, & Grunstein, 2008), and the metabolic syndrome (Hall et al., 2008).

Changes in autonomic nervous system activity constitute one plausible pathway through which sleep may increase cardiovascular risk. Cardiovascular activation may be a particularly relevant mechanism, as the same aspects of cardiovascular activity that are posited to play a causal role in the development of cardiovascular disease – namely, increases in heart rate and blood pressure, and decreases in high frequency heart rate variability - are also affected by sleep. For instance, experimental sleep deprivation leads to increased heart rate and blood pressure, and reduced heart rate variability, in some but not all studies (Meier-Ewart et al., 2004; Spiegel,

Leprout, & Van Cauter, 1999; Zhong et al., 2005), and short and fragmented sleep are related to subsequent daytime increases in cardiovascular activity (Barnett & Cooper, 2008; Tochikubo, Ikeda, Miyajima, & Ishii, 1996). In addition to influencing basal autonomic activity, it is also possible that sleep loss modulates acute autonomic *responses* to stressful stimuli. The cardiovascular reactivity hypothesis posits that exaggerated increases of the sympathetic nervous system in response to stress leads to cardiovascular disease over time (Krantz & Manuck, 1984; Jennings, Kamarck, Everson-Rose, Kaplan, Manuck, & Salonen, 2004; Matthews, Zhu, Tucker, & Whooley, 2006; Treiber, Kamarck, Schneiderman, Sheffield, Kapuku, & Taylor, 2003). Subsequent theories have suggested that in addition to reactivity, prolonged cardiovascular recovery from stressors also may have pathophysiological effects on the cardiovascular system (Linden et al., 1997; Schuler & O'Brien, 1997). Thus, if sleep disturbances are related to exaggerated or prolonged cardiovascular reactions to stress, these responses may represent one pathway linking sleep to cardiovascular disease.

The purpose of the proposed project is to examine the hypotheses that cardiovascular reactions to psychological stress are indeed more exaggerated and prolonged in individuals with short or inefficient sleep. These questions will be examined in two groups of healthy young men who differ on self-reports of habitual sleep duration, with the expectation that those reporting short sleep ( $\leq 6$  hours) will have greater cardiovascular responses to stress than those reporting average sleep (7 – 8 hours). Additionally, participants will wear a wrist actigraph for the seven nights preceding laboratory stress tasks in order to determine whether cardiovascular stress responses are influenced by objective assessments of recent sleep patterns. Specifically, it is hypothesized that those with shorter, less efficient, and more variable sleep will show greater cardiovascular stress responses.

The proposed project has several important implications. Positive findings will support a potential mechanistic pathway linking sleep disturbance to cardiovascular mortality and morbidity. Studying sleep and stress responses in healthy individuals, in particular, reduces some of the potentially confounding factors (i.e., disease status, medication use, obesity) that may have influenced previous associations between sleep and clinical cardiovascular outcomes. In addition, identifying the specific aspects of sleep (i.e., short vs. fragmented sleep) most closely related to cardiovascular reactivity may inform prevention strategies in targeting the most relevant risk characteristics early in the disease process.

## **1.1 SLEEP AND CARDIOVASCULAR DISEASE**

The current project focuses on short sleep duration and disrupted sleep continuity, as they have been related to cardiovascular disease in a multitude of studies, and there are several theoretical pathways that may link these sleep characteristics to cardiovascular stress responses. Although long sleep (i.e., > 8 hours) has also been related to cardiovascular morbidity and mortality (Chen et al., 2008), there is less evidence of a causal association, with some suggesting that long sleep is more likely a marker of unmeasured, confounding factors (i.e., subclinical disease, depression, socioeconomic status; Knutson & Turek, 2006).

With regard to short sleep, reporting a habitual sleep duration of less than five or six hours is associated with an elevated risk for hypertension and cardiovascular events in some (Amagai et al., 2010; Ayas et al., 2003; Chen et al., 2008; Gangwisch et al., 2006; Gottlieb et al., 2006; Ikehara et al., 2009), but not all, studies (Lopez-Garcia et al., 2009; van den Berg et al., 2007). Two recent meta-analyses concluded that self-reported short sleepers have an elevated

mortality risk compared to those sleeping 7-8 hours per night (Cappuccio, D'Elia, Strazzullo, & Miller, 2010; Gallicchio & Kalesan, 2009), although the one review that examined cardiovascular-related death separately found that the effect for short sleep did not reach statistical significance (Gallicchio & Kalesan, 2009). There is some evidence of a decreasing trend in self-reported sleep over the past 40 years in the United States (Van Cauter, Knutson, Leproult, & Spiegel, 2005), and 20% of adults currently report sleeping less than six hours on weeknights (National Sleep Foundation [NSF], 2009). Thus, studying the cardiovascular consequences of self-reported short sleep may have significant public health implications.

Actigraphy estimates of sleep duration have been used in a smaller number of studies than self-report measures. At least two studies report inverse associations between actigraphy-measured sleep duration and blood pressure in mid-life (Knutson et al., 2009) or in adolescents (Javaheri, Storfer-Isser, Rosen, & Redline, 2008), and actigraphy-assessed short sleep, but not self-report, was associated with an increase in coronary artery calcification over five years in the Coronary Artery Risk Development in Young Adults (CARDIA) cohort (King, Knutson, Rathouz, Sidney, Liu, & Lauderdale, 2008). Short sleep as measured by PSG has also been associated with factors that increase cardiovascular risk, such as the metabolic syndrome (Hall et al., in press) and central adiposity (Theorell-Haglöw, Berne, Janson, Sahlin, & Lindberg, 2010).

In sum, short sleep is associated with incident cardiovascular disease and risk markers in a number of studies, although the data are not entirely consistent. The bulk of the evidence linking short sleep to cardiovascular disease is based on self-reports of habitual sleep duration, which may suggest that short sleep (or perceptions of short sleep) over an extended period of time is associated with the development of disease. Relationships between actigraphy or PSG estimates of sleep duration and cardiovascular disease have been examined in a smaller number



of studies; however, those that have investigated this question report an inverse relationship between sleep duration and disease outcomes or risk factors. Although it appears that short sleep is becoming more common in the United States, the reasons for this decline are unclear. A number of factors may influence sleep duration, including biological sleep need, environmental influences, and unconsolidated sleep (i.e., difficulty falling or staying asleep). More information on the reasons for short sleep may be helpful in refining models of sleep and cardiovascular disease risk (Grandner, Patel, Gehrman, Perlis, & Pack, 2009).

In addition to sleep duration, aspects of sleep continuity, or the ease or difficulty associated with initiating and maintaining sleep, are also related to incident cardiovascular disease, including hypertension (Phillips & Mannino, 2007), myocardial infarction (Meisinger et al., 2007), and death from coronary heart disease (Mallon, Broman, & Hetta, 2002). The vast majority of studies linking disrupted sleep continuity with hypertension or cardiovascular events rely on self-reports of difficulty falling or staying asleep. In contrast, very few studies examine objective measures, such as PSG or actigraphy, of sleep continuity in relation to cardiovascular disease, and none have combined objective measures with prospective designs. Knutson et al. (2009) demonstrated that lower sleep maintenance, as measured by actigraphy, was associated with larger increases in blood pressure over a five-year period; however, actigraphy data were collected in the middle of the five years, rather than at the start of the protocol. In a study by Javaheri et al. (2008), adolescents with low weeknight sleep efficiency (defined as  $\leq 85\%$ ) as assessed by actigraphy were 3.5 times more likely to have pre-hypertensive blood pressure levels. Another recent study reported that PSG sleep efficiency was reduced in those with resistant hypertension compared to normotensive adults and those with controlled hypertension, independent of sleep apnea (Friedman, Bradley, Ruttanaumpawan, & Logan, 2010). PSG

measures of sleep efficiency or wakefulness after sleep onset have also been related to cardiovascular risk factors, such as prothrombotic and inflammatory markers (von Kanel et al., 2006; von Känel, Loreda, Ancoli-Israel, Mills, Natarajan, & Dimsdale, 2007). Data from several studies show that fragmented sleep may be associated with heightened autonomic activation, including transitory increases in heart rate and blood pressure (Janackova & Sforza, 2008), consistent with autonomic activity being one mechanism between poor sleep continuity and cardiovascular outcomes.

In the aggregate, data show that aspects of sleep continuity are also related to cardiovascular risk. There are some prospective studies showing that self-reports of difficulty falling or staying asleep predict the development of cardiovascular disease, while all of the studies linking actigraphy or PSG estimates of sleep continuity to cardiovascular disease or risk markers are cross-sectional in nature.

### **1.1.1 Variability in sleep duration**

Traditionally, studies of sleep and cardiovascular disease have focused on mean levels of sleep duration or continuity over time. However, it is unlikely that sleep disturbances occur on a consistent and regular basis across nights. For instance, a person with short or fragmented sleep one night may have longer or more solid sleep the following night due to the homeostatic process of sleep regulation. Indeed, several studies demonstrate that individuals' sleep may be characterized by a high degree of variability, or instability, across nights (Mezick et al., 2009; Knutson, Rathouz, Yan, Liu, & Lauderdale, 2007), with greater variability in sleep

characteristics among those with insomnia (Buysse et al., 2010). Because individuals likely differ in their biological need for sleep (Tucker, Dinges, Van Dongen, 2007), consistently short sleep patterns may not always be associated with negative health outcomes. In contrast, a pattern of highly variable sleep duration across nights may reflect a state of sleep debt and an attempt to “catch up” to one’s required amount of sleep. Thus, nightly variability in sleep duration may also be important to consider in relation to cardiovascular disease.

One potential reason that persons with a varied sleep pattern may be at increased risk for cardiovascular disease is that they may have an inefficient or dysregulated circadian pattern of endocrine (e.g., cortisol, catecholamine) and autonomic (e.g., blood pressure) activity compared to those who keep regular sleep schedules. For instance, an early intervention study that altered sleep-wake schedules of policemen to be more in line with the naturally occurring circadian rhythm resulted in a decrease in nocturnal catecholamine activity (Orth-Gomer, 1983). Consistent with this finding is work showing that individuals with a high degree of nightly variability in their sleep duration and fragmentation (as measured by individual standard deviations) have elevated nocturnal norepinephrine levels, especially when they also report high levels of negative affect (Mezick et al., 2009). Few other studies of variability in sleep patterns in relation to physiological measures or disease outcomes have been conducted. However, a related literature has shown that rotating shift work confers risk for obesity, diabetes, and heart disease (DiLorenzo et al., 2003; Fujino, et al., 2006; Kawachi et al., 1995; Sookoian et al., 2007; Morikawa et al., 2007), with some suggesting that a desynchronization of the sleep-wake schedule and circadian biological rhythms may be partially responsible.

In sum, self-reports of short and fragmented sleep are associated with cardiovascular morbidity and mortality in a growing number of studies. Short and fragmented sleep, as

measured by actigraphy or PSG, have also been associated with concurrent hypertension and other cardiovascular risk markers. Finally, although there are few data directly linking variable sleep patterns to cardiovascular risk, there is some theoretical evidence to support such a relationship. While data on sleep and cardiovascular disease are increasing, the mechanisms responsible for this relationship have not yet been delineated. The current project proposes to examine one plausible physiological pathway that may link sleep characteristics to disease: cardiovascular responses to psychological stress.

## **1.2 CARDIOVASCULAR STRESS RESPONSES**

Treiber and colleagues (2003) define cardiovascular reactivity as the “magnitude or pattern of an individual’s hemodynamic responses to behavioral stressors” (p. 46). Such hemodynamic responses appear to be a relatively stable, or trait-like, individual difference characteristic (Treiber et al., 2003). For example, a recent study reported that correlations for heart rate and blood pressure responses to a mental arithmetic task ranged from .60 to .70 over an 18-year period (Hassellund, Flaa, Sandvik, Kjeldsen, & Rostrup, 2010). Support for associations between psychological attributes and cardiovascular reactivity partly emerged from studies showing that individuals with a Type A behavior pattern also showed exaggerated heart rate and blood pressure increases during mental stress tasks (Krantz & Manuck, 1984). Since that time, cardiovascular reactivity has often been posited as a pathway linking a variety of psychosocial characteristics with coronary heart disease and essential hypertension (Chida & Hamer, 2008; Chida & Steptoe, 2010).

Early evidence linking cardiovascular reactivity as a possible etiological factor in disease came from a series of studies in which cynomolgus monkeys on an atherogenic diet were challenged with the threat of capture (Clarkson, Kaplan, Adams, & Manuck, 1987). These experiments showed that monkeys with the greatest heart rate responses to threat of capture also had the greatest degree of coronary atherosclerosis. Since this seminal work, data supporting the prognostic value of cardiovascular responses to psychological stress have accumulated in humans. In their review of the literature, Treiber et al. (2003) describe largely consistent associations between cardiovascular reactivity to acute stress and incident hypertension, moderate evidence linking reactivity to subclinical disease states (ventricular remodeling; carotid atherosclerosis), and less consistent data linking reactivity to clinical disease. However, several subsequent studies have shown blood pressure reactivity, in particular, to be a predictor of atherosclerosis in relatively healthy adults (Jennings, Kamarck, Everson-Rose, Kaplan, Manuck, & Salonen, 2004; Matthews, Zhu, Tucker, & Whooley, 2006). Exaggerated and repeated cardiovascular reactions are thought to be related to the development of cardiovascular disease via several pathophysiological mechanisms, including endothelial injury from increased flow turbulence, and lipid mobilization and platelet aggregation from corresponding sympathetic activation (Dimsdale & Herd, 1982; Manuck, 1994; Markovitz & Matthews, 1991).

High frequency heart rate variability (HF-HRV) is considered to be an index of parasympathetic, or vagal, function. Low levels of tonic HRV are predictive of clinical coronary heart disease and hypertension, as well as risk factors such as diabetes and obesity (Dekker et al., 2000; Huikuri et al., 1999; Schroeder, Liao, Chambless, Prineas, Evans, & Heiss, 2003; Thayer, Yamamoto, & Brosschot, 2009). In theory, increased vagal influence in response to stress could dampen or counteract sympathetically driven increases in heart rate and blood pressure, thus

acting as a “buffer” against the consequences of sympathetic stress responses (Jennings, van der Molen, Somsen, Graham, & Gianaros, 2002). However, few studies have looked at changes in HRV in response to stress as an index of disease risk. One study by Gianaros and colleagues (2005) showed that greater reductions in HF-HRV during preparation for a laboratory speech task were associated with more extensive calcification in the coronary arteries and the aorta, suggesting that parasympathetic activity during stress may be implicated in the atherosclerotic process. Matthews, Salomon, Brady, and Allen (2003) also reported that lower mean successive differences in interbeat intervals during a battery of laboratory stress tasks predicted increases in diastolic blood pressure over a 3-year period in children and adolescents.

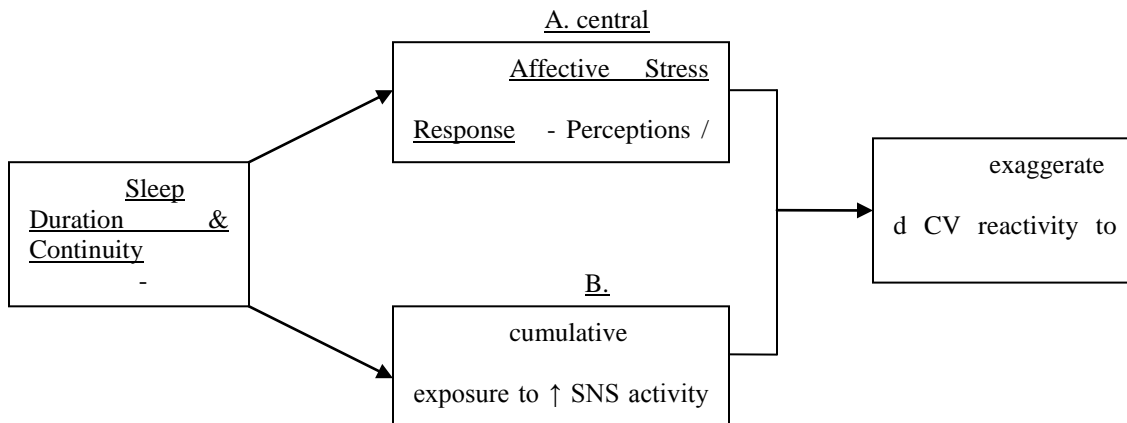
Linden, Earle, Gerin, and Christenfeld (1997) define cardiovascular recovery as the degree to which elevations in cardiovascular parameters persist following the termination of a stressor, or the length of time until stress-induced cardiovascular activity returns to baseline levels. Some have argued that if frequent and intense cardiovascular reactions to stress are pathogenic, then prolonged elevations should confer greater risk than reactions that are short-lived (Pieper & Brosschot, 2005; Schwartz et al., 2003). Early studies showing that aerobic fitness was associated with a quicker recovery to baseline following lab challenges, independent of reactivity scores, were consistent with the known health-protective effects of exercise (Jamieson & Lavoie, 1987, as cited by Linden et al., 1997). Family history of cardiovascular disease (Gerin & Pickering, 1995) and various psychosocial factors, including chronic stress and trait negative affect, have since been linked to poorer recovery (Chida & Hamer, 2008). There is some evidence that perseverative cognitive processes such as worry and rumination may play a particularly important role in sustaining cardiovascular reactions to stress (Brosschot, Pieper, & Thayer, 2005).

Slower heart rate and blood pressure recovery from both physical and psychological stress has been associated with cardiovascular morbidity and mortality in several samples (Cheng et al., 2003; Stewart & France, 2001). Some have suggested that the prognostic value of cardiovascular recovery is confounded with reactivity, as those who have the most exaggerated increases to stress might also be expected to take the longest time to return to baseline levels; however, several studies show that recovery predicts disease independent of cardiovascular reactivity. For instance, slower blood pressure recovery following cognitive stress was related to increases in blood pressure over a five-year period in young adults with borderline hypertension, whereas blood pressure reactivity was unrelated (Borghi, Costa, Boschi, Mussi, & Ambrosini, 1986), and similar results were reported more recently in a normotensive, community sample (Stewart et al., 2006). Other studies have shown that both reactivity and recovery are each independent indicators of disease risk (Treiber et al., 2001). As similar physiological mechanisms are thought to link both reactivity and recovery with disease, it is also possible that these two responses act in a synergistic fashion, with prolonged recovery accelerating the pathogenic effects of heightened reactivity (Stewart et al., 2006).

### **1.3 HOW MIGHT SLEEP RELATE TO CARDIOVASCULAR STRESS RESPONSES?**

Sleep may be conceptualized as a resource that aids in regulating emotional responses to stress (Hamilton et al., 2007). Individuals whose sleep is characterized by short duration, poor efficiency, or high variability across nights may be more likely to show exaggerated

cardiovascular reactivity to and recovery from stress through both central and peripheral pathways.



**Figure 1.** Model of sleep and cardiovascular reactivity and recovery

Sleep may be conceptualized as a resource for managing stress and regulating emotions. Individuals whose “resource bank” has been depleted due to short, discontinuous, or variable sleep may be more likely to show exaggerated cardiovascular reactivity and recovery to stress through both central and peripheral pathways: A) Short, fragmented, or variable sleep may influence responses to stress at the level of the central nervous system. Disturbed sleep may result in stressful stimuli being perceived as more threatening or distressing and, thus, accompanied by heightened emotional reactivity. Heightened emotional reactivity may result in greater cardiovascular reactivity and prolonged recovery through corticolimbic influences on autonomic activating areas. Sleep disturbances may also influence neural processes, particularly in brain areas that are implicated in regulation of the autonomic stress response (e.g., the amygdala), independent of subjective reports of distress. B) Sleep is an anabolic and restorative state, with short sleep duration and decreased sleep efficiency resulting in greater cumulative



exposure to increased sympathetic and decreased parasympathetic activity over a 24-hour period. A greater physiological stress load may in turn alter one's ability to respond effectively to stress at the peripheral level, resulting in exaggerated and prolonged cardiovascular responses to stressful stimuli.

Regarding the former pathway, Lovallo and colleagues (2005) propose a conceptual model in which cardiovascular responses to stress result from interactions between cognitive appraisal processes (at the corticolimbic level), neuromodulatory systems (at the midbrain and brainstem level), and peripheral target organs. In this model, increased or dysregulated corticolimbic activation involved in the evaluative appraisal of stressors is thought to result in exaggerated cardiovascular responses. Although largely preliminary, some evidence suggests that sleep loss may influence similar corticolimbic processes in response to affective stimuli. For instance, a recent neuroimaging study shows that total sleep deprivation is linked to increased reactivity of the amygdala to negative emotional stimuli, as well as greater functional connectivity between the amygdala and autonomic-activating centers of the brainstem (Yoo, Gujar, Hu, Jolesz, & Walker, 2007). Sleep-deprived individuals also show increased pupil dilation in response to negatively valenced pictures, a response which is thought to reflect brain processes associated with affect regulation and arousal (Franzen, Buysse, Dahl, Thompson, & Siegle, 2009). Thus, it is possible that neural regions affected by sleep loss (e.g., amygdala) overlap with those regions believed to play a regulatory role in the autonomic stress response (Gianaros, Sheu, Matthews, Jennings, Manuck, & Hariri, 2008).

Sleep may influence appraisals of stressful events at the subjective level as well. Short and poor quality sleep each have been shown to intensify reports of negative emotions in response to stressful events (Hamilton, Catley, & Karlson, 2007; Kumari et al., 2009; Zohar et

al., 2005), and to reduce positive emotional responses to rewarding or goal-enhancing events (Zohar et al., 2005). In a similar vein, sleep loss may affect the ability to emotionally recover from stressors. In a sample of women with fibromyalgia, nights characterized by an inadequate amount of sleep prevented affective “recovery” from stressful events, as demonstrated by reports of elevated negative affect the next day (Hamilton et al., 2008). If short sleep results in more intense and negative emotional reactions to taxing experiences, this may constitute an additional pathway leading to greater cardiovascular reactivity (Feldman et al., 1999) and prolonged recovery from stress (Pieper & Brosschot, 2005). In other words, short or inefficient sleep may cause individuals to *perceive* events as more stressful, thereby amplifying emotional reactions and altering the neural regions that orchestrate the cardiovascular stress response.

Lovallo and Gerin (2003) posit that in addition to neural influences, alterations in peripheral mechanisms may influence cardiovascular stress responses. Specifically, they suggest that individuals with altered adrenoceptor sensitivity or those developing hypertension may exhibit greater peripherally-induced physiological responses to stress, independent of central input. Thus, peripheral pathways may be another mechanism linking sleep to cardiovascular reactivity/recovery. Sleep is a restorative, anabolic process, typically characterized by decreased cardiovascular and increased parasympathetic activity. For instance, recordings of heart rate and blood pressure decline, and HRV increases (during non-rapid eye movement sleep, in particular), during sleep relative to daytime in most individuals (Burgess, Trinder, Kim, & Luke, 1997; Otzenberger et al., 1998; Hall et al., 2004; Trinder et al., 2001). Moreover, sleep onset is marked by a decline in circulating norepinephrine and epinephrine levels, with both endogenous rhythms and sleep itself believed to play a role in this process (Akerstedt, 1979; Irwin et al., 1999).

If sleep is a restorative state of lowered cardiovascular activity, then a loss of sleep should be accompanied by elevations in cardiovascular parameters. Indeed, short sleep (Barnett & Cooper, 2008; Friedman et al., 2009), poor sleep continuity (Matthews et al., 2008; Mausbach et al., 2006), and variable sleep (Mezick et al., 2009) each have been linked to markers of increased cardiovascular activity, including elevated blood pressure and heart rate, as well as related increases in sympatho-adrenal medullary activity. Support for a causal role of sleep in cardiovascular activation comes from sleep restriction experiments resulting in increased heart rate and blood pressure, and shifts in sympathovagal balance (Meier-Ewart et al., 2004; Spiegel, Leproult, & Van Cauter, 1999; Zhong et al., 2005), although not all studies have reported consistent results (Meier-Ewart et al., 2004; Meunter et al., 2000). Short sleep duration in more naturalistic settings is also associated with increased heart rate (Barnett & Cooper, 2008; Tochikubo, Ikeda, Miyajima, & Ishii, 1996) and blood pressure the following day (Tochikubo et al., 1996). Although the mechanisms by which sleep loss may increase heart rate and blood pressure are unclear, both central and peripheral processes are hypothesized to play a role (Ogawa et al., 2003; Sauvet et al., 2010). For instance, sleep deprivation results in a blunting of the arterial baroreflex, as well as increases in markers of vascular dysfunction (microvascular reactivity and endothelial cell activation), which are in turn associated with increases in heart rate and blood pressure. Regarding sleep and parasympathetic function, decreased sleep maintenance is related to reduced heart rate variability (Hall et al., 2004), and Bonnet and Arand (1998) reported that heart rate variability was decreased in individuals with insomnia compared to normal sleepers.

Taken together, these data suggest that persons with short, fragmented, and perhaps variable sleep have greater cumulative exposure to increased cardiovascular activity and

decreased parasympathetic influence over a 24-hour period. Such an autonomic balance may gradually lead to alterations in peripheral tissue function reflected by exaggerated cardiovascular responses to stressful stimuli. As an example, sleep loss may be associated with pre-clinical alterations in vascular resistance, which would in turn cause heightened and prolonged increases in blood pressure reactivity. In this case, exaggerated cardiovascular responses may serve as a marker of developing disease rather than a causal factor (Lovallo & Gerin, 2003).

#### **1.4 STUDIES OF SLEEP AND CARDIOVASCULAR STRESS RESPONSES**

As noted above, sleep loss is often conceptualized as a physical stressor that results in elevated cardiovascular activity. In addition to lack of sleep being a stressor in and of itself (i.e., leading to changes in basal cardiovascular activity), a handful of studies have examined the hypothesis that sleep may be related to cardiovascular reactions to or recovery from stressful stimuli. For instance, two previous studies in clinical adult samples provide data linking objective measures of sleep and cardiovascular responses during stressful tasks (Palesh et al., 2008; Stepanski et al., 1994). In the Stepanski et al. (1994) study, adults with insomnia slept for a shorter duration, had more wake after sleep onset, and had less Stage 3-4 sleep during an overnight PSG study, and they also showed greater increases in heart rate during a morning psychomotor task, than individuals without insomnia. In a study of women with metastatic breast cancer, poorer actigraphy-measured sleep continuity over three nights was associated with lower area under the curve measures of respiratory sinus arrhythmia during the Trier Social Stress task (Palesh et al.,

2008). Regarding non-clinical populations, an increase in self-reports of typical sleep duration over a 10-month period was associated with less vagal withdrawal during speech and mental arithmetic tasks in 108 healthy adults (Uchino et al 2005). Thus, in the aggregate, these results suggest that short or fragmented sleep may be associated with a cardiovascular stress response marked by increased heart rate and reduced parasympathetic activity.

Regarding sleep deprivation, Zhong et al. (2005) reported that sleep-deprived adults who underwent cognitive testing had more consistent increases in low frequency HRV and decreases in HF-HRV and baroreflex sensitivity compared to adults who were sleep-deprived but did not undergo cognitive testing, and those who performed cognitive tasks but were not sleep-deprived. In other words, the combination of sleep deprivation and cognitive stress appeared to cause a particularly pronounced sympathovagal shift. However, another study showed that one night of sleep deprivation did not influence heart rate or blood pressure responses to a range of stressful stimuli (mental arithmetic, hand grip, cold pressor, maximal forearm ischemic response), despite overall elevations in blood pressure (Kato et al., 2000).

Other studies have failed to show an association between sleep deprivation and physiological responses to physical challenges (i.e., exercise). Specifically, periods of sleep deprivation ranging from 24-60 hours were not related to the magnitude of heart rate responses to aerobic tasks in at least four studies (Martin & Chen, 1984; Martin, Bender, & Chen, 1986; McMurray & Brown, 1984; Symons, VanHelder, & Myles, 1988). Meerlo, Sgoifo, and Suchecki (2008) note that although sleep loss does not appear to affect acute physiological responses to physical stressors, sleep may still influence responses to emotional stressors, as these challenges may activate different brain regions. For instance, they argue that responses to emotional and

psychological challenges may be more susceptible to corticolimbic input, while physical stress may directly activate neuroendocrine control centers (Meerlo, Sgoifo, & Suchecki, 2008).

El-Sheikh and Buckhalt (2005) examined the association between sleep and vagal regulation during a reaction time task in school-aged children. In this sample, lower vagal suppression (indicative of less vagal withdrawal) to the task was associated with *increased* activity during the night and reduced sleep length across four nights as measured by actigraphy, as well as children's reports of sleep disruptions. More recently, Raikonen et al. (2010) reported that 8-year-old children with low actigraphy-assessed sleep efficiency ( $\leq 77.4\%$ ) showed greater baseline  $\alpha$ -amylase levels (a marker of sympatho-adrenal-medullary activity), as well as greater peak levels of  $\alpha$ -amylase, after a psychosocial stress test than children with higher sleep efficiency. While the Raikonen study noted that the sleep and stress test were measured within one day of each other in over 90% of the sample, the study by El-Sheikh and Buckhalt does not specify the time course of measurements. Thus, the two studies that examined physiological responses to stressful stimuli in children are somewhat inconsistent, although the use of different autonomic markers and stress tasks makes direct comparison of results difficult.

Finally, there is evidence that sleep loss may be associated with altered stress responses in animals. For instance, rats that have been sleep deprived for 48 hours not only show increases in overall heart rate and reductions in heart rate variability, but they also exhibit altered cardiovascular responses to an acute, novel stressor (Sgoifo, Buwalda, Roos, Costoli, Merati, & Meerlo, 2006). Specifically, rats in the sleep loss condition show a blunted parasympathetic antagonism, or larger vagal withdrawal, following sympathetic activation in response to restraint stress, which is in turn associated with increased susceptibility to cardiac arrhythmias. The

authors conclude that sleep loss may influence phasic responses to stressful stimuli, in addition to altering basal levels of cardiovascular activity (Sgoifo et al., 2006).

#### **1.4.1 Sleep and other physiological stress responses**

In addition to cardiovascular reactivity, a small number of studies have examined sleep in relation to other physiological stress responses. Most of these reports focus on activity of the hypothalamic pituitary adrenocortical axis. For example, more actigraphy-measured wake after sleep onset predicted blunted cortisol reactivity to the Stroop task the next day in a sample of over 50 young-to-middle aged, healthy women (Wright, Valdimarsdottir, Erblich, & Bovbjerg, 2007). Similarly, Capaldi, Handwerger, Richardson, and Stroud (2005) demonstrated a blunted cortisol response to psychological stress tasks among adolescents reporting more sleep-wake behavior problems on a modified version of the Sleep Habits Survey (Carskadon, Seifer, & Acebo, 1991). Sleep restriction and deprivation have also been shown to result in an attenuation of adrenocorticotrophic hormone release without changes in corticosterone levels, potentially suggesting an increased adrenal sensitivity to stress (Meerlo et al., 2002; Sgoifo et al., 2006).

In sum, there is preliminary evidence to suggest that short and fragmented sleep, as assessed by both self-report and objective measures, may be related to altered physiological reactions to laboratory-induced cognitive and emotional stress. Most of the work in this area, however, has been in studies of clinical populations (i.e., insomnia, cancer patients), or has focused on experimental sleep deprivation. While it is theoretically plausible that more normative variation in sleep may be associated with cardiovascular reactivity to stress, this relationship has received little attention in healthy, adult populations, and virtually no studies

have investigated whether sleep is associated with cardiovascular recovery from stress. Moreover, cardiovascular stress responses have not been examined in individuals reporting habitually short sleep, despite the fact that this group shows elevated rates of incident cardiovascular disease in a number of studies (Amagai et al., 2010; Ayas et al., 2003; Chen et al., 2008; Gangwisch et al., 2006; Gottlieb et al., 2006; Ikehara et al., 2009).

## **1.5 POTENTIAL CONFOUNDERS OF THE RELATIONSHIP BETWEEN SLEEP AND CARDIOVASCULAR STRESS RESPONSES**

A number of factors are related to both sleep and cardiovascular stress responses, and, thus, may confound observed relationships between these variables. Some of the most relevant potential third factors include psychological attributes, chronic stress, obesity, and health behaviors.

Sleep and psychological attributes are closely related. Decreased sleep duration, continuity, and quality are associated with depression, anxiety, and hostility, and greater nightly variability in sleep patterns is related to negative affect in both adolescents (Fuligni & Hardway, 2006) and adults (Mezick et al., 2009). Those with short or fragmented sleep also score lower on measures of psychological well-being, including purpose in life, self-acceptance, and positive affect, independent of negative attributes (Hamilton et al., 2006; Ryff, Singer, & Love, 2004; Steptoe, O'Donnell, Marmot, & Wardle, 2008). Additionally, reports of life stressors are associated with sleep characteristics, including changes in sleep duration and consolidation, and increased variability across nights (Akerstedt, 2006; Dahlgren, Kecklund, Akerstedt, 2006; Mezick et al., 2009; Sadeh, Keinan, & Daon, 2004; von Kanel et al., 2006). Many of the same



psychosocial factors, including trait negative affect and chronic stress, are related to cardiovascular reactivity to and recovery from stress, although relationships may differ by task type and the cardiovascular parameter in question (Chida & Hamer, 2008).

Short and fragmented sleep are related to concurrent obesity, and growing data support a causal role of sleep disturbance in weight gain (Marshall, Glozier, & Grunstein, 2008; Pearson et al., 2006). Obesity may also be associated with cardiovascular stress responses; however, previously reported relationships are not entirely consistent. For instance, a recent study demonstrated an inverse link between obesity and heart rate reactivity (Phillips, 2010), while other studies have failed to find any association (Steptoe & Wardle, 2005).

A number of health behaviors, including excessive alcohol use, smoking, low physical activity, and caffeine intake are more common among those with short and fragmented sleep, most likely in a bi-directional manner (Boutou et al., 2008; Htoo et al., 2004; Krueger & Friedman, 2009; Santos et al, 2007; Zhang et al, 2006). Similarly, each of these behaviors has been linked to alterations in cardiovascular responses to psychological stress (Crews & Landers, 1987; Lane & Williams, 1985; Straneva, Hinderliter, Wells, Lenahan, & Girdler, 2000; Zeichner, Edwards, & Cohen, 1985).

In sum, a number of factors may confound relationships between sleep and cardiovascular responses to stress, including psychological attributes, chronic stress, obesity, and health behaviors. It is important for cross-sectional studies to measure and adjust for potential third factors, in order to determine whether associations between sleep and cardiovascular reactivity and recovery are independent of, or partly due to, their influence.

## 1.6 STATEMENT OF PURPOSE

Although sleep is associated with the development of cardiovascular disease, the mechanisms responsible for this relationship are unclear. The proposed project investigates the hypotheses that disturbances in sleep duration and continuity (defined below) are associated with exaggerated and prolonged cardiovascular responses to stressful stimuli. Evidence for this hypothesis comes from previous research showing that sleep influences reports of distress in response to daily challenges, as well as brain areas involved in the modulation of autonomic stress responses. Moreover, sleep loss and fragmentation are related to elevations in cardiovascular activity. If sustained over extended lengths of time, such sleep patterns could theoretically affect peripheral tissue function and contribute to pre-clinical disease. Thus, sleep may be associated with increased cardiovascular responses to stress through both central and peripheral mechanisms, as outlined in Figure 1.

The proposed project has several important implications. Positive findings will be consistent with a model in which short or fragmented sleep leads to increased cardiovascular responses to stress, and, thus, may improve our understanding of how sleep may be related to cardiovascular disease risk. Although this project cannot rule out reverse causation or control for all relevant confounding variables, results may provide a foundation for future studies to investigate causal pathways between sleep loss and cardiovascular stress responses using experimental (sleep deprivation or extension) paradigms. Moreover, examining naturalistic sleep patterns may offer an advantage, as there are relatively few studies devoted to studying psychophysiological correlates of short sleepers (Grandner, et al., 2009), and it is unknown how the effects of experimental sleep deprivation generalize to the impact of less severe but more habitual sleep loss. Indeed, some have argued that the physiological effects of sleep disturbances

may only accumulate over time via gradual changes to stress response systems (Meerlo, Sgoifo, & Suchecki, 2008). Another important aspect of the current study is the ability to study reactivity and recovery (whether they serve as markers or mechanisms) in a relatively healthy sample, thus reducing the number of potentially confounding variables. For instance, it is possible that physiological changes associated with the disease process lead to disturbances in sleep, or that both sleep and cardiovascular risk are influenced by co-morbid conditions. Finally, identifying the specific sleep characteristics most closely related to cardiovascular responses may inform prevention strategies in effectively targeting the most important sleep risk factors.

## 2.0 HYPOTHESES

**Hypothesis One:** Individuals with short sleep (as assessed by self-report and actigraphy) will have greater and more prolonged cardiovascular responses to stress than those with longer sleep.

In many epidemiological studies, individuals reporting habitually short sleep are at elevated risk for cardiovascular morbidity and mortality (Eguchi et al., 2008; Gangwisch et al., 2009; Shankar et al., 2008). While such data cannot speak to causality, experimental studies in humans and animals suggest that sleep loss may lead to overall increases in cardiovascular activity, as well as heightened acute cardiovascular reactivity to novel stressors (Franzen et al., 2011; Sgoifo, et al., 2006). Moreover, at least one study has demonstrated that decreases in self-reported sleep duration over a 10-month period predict greater vagal withdrawal during laboratory stress tasks (Uchino et al., 2005). Thus, the main hypothesis of the proposed study is that short sleep will be related to a cardiovascular stress response characterized by greater reactivity and more prolonged recovery.

Sleep duration will be measured in two ways. First, two groups of participants will be recruited based on self-reports of habitual sleep duration: 1) short sleepers (defined as  $\leq 6$  hours/night) and 2) average sleepers (defined as 7-8 hours/night). It is hypothesized that those reporting habitually short sleep will show greater and more prolonged cardiovascular stress responses than those reporting average sleep.

Second, participants' sleep will be measured with actigraphy for the week preceding laboratory stress tasks. It is important to examine actigraphy estimates of sleep directly prior to the assessment of cardiovascular stress responses for several reasons. For one, actigraphy provides a more behavioral, objective estimate of actual sleep time than self-reports, which may reflect time in bed rather than sleep time (Grandner et al., 2010) and vary systematically with other cardiovascular risk factors, such as sociodemographics, negative affect, and subjective sleep quality (Lauderdale, Knutson, Yan, Liu, & Rathouz, 2008; Owens et al., under review; Rotenberg, Indursky, Kayumov, Sirota, Melamed, 2000). In addition, short sleep on a given night has been shown to amplify emotional reactivity to stressful events on subsequent days, suggesting that sleep may directly influence stress appraisals (Hamilton et al., 2007; Kumari et al., 2009; Zohar et al., 2005), and both normative and experimental sleep loss lead to immediate, next-day increases in overall cardiovascular activity in several studies (Barnett & Cooper, 2008; Spiegel et al., 1999; Tochikubo et al., 1996). Thus, it is hypothesized that actigraphy-measured sleep duration averaged across the week preceding laboratory stress tasks will be inversely related to cardiovascular reactivity and recovery from stress tasks in a linear fashion (i.e., shorter sleep will be related to greater reactivity and slower recovery). In theory, persons describing themselves as short sleepers should have lower actigraphy estimates of sleep than those reporting average sleep duration. Therefore, differences in cardiovascular responses to stress between self-reported sleep groups (i.e., short versus average) should be at least partially attenuated in statistical models that adjust for actigraphy-measured sleep duration across the week.

**Hypothesis Two:** Lower sleep efficiency, as assessed by actigraphy during the week preceding laboratory tasks, will be related to greater and more prolonged cardiovascular responses to stress.

Decreased sleep efficiency, as measured by actigraphy, has also been associated with increased blood pressure and cardiovascular risk markers in a small number of studies (Javaheri et al., 2008; Knutson et al., 2009; von Kanel et al., 2010). As described above, sleep duration preceding psychological stressors may have an impact on both how stressors are appraised and overall cardiovascular activation. This rationale may not only apply to sleep duration, but sleep continuity, as well. Indeed, both of the two studies that have examined normative variation in sleep directly prior to laboratory challenges report that poor sleep continuity, in particular, predicts altered stress reactivity (Palesh et al., 2008; Wright et al., 2007). Thus, it is hypothesized that in addition to short sleep, inefficient sleep during the period preceding a stressor will also be related to greater and more prolonged cardiovascular stress responses. Short sleep and low efficiency will first be tested in separate analytical models due to their potentially high correlation. However, both predictors can then be included in the same model in order to determine whether duration and efficiency are independently related to stress responses. For instance, it is plausible that individuals whose sleep is short due to difficulty falling or staying asleep (i.e., unconsolidated sleep) are at particularly high risk for cardiovascular disease (Chandola, Ferrie, Perski, Akbaraly, & Marmot, in press). Measuring sleep efficiency and adjusting estimates of sleep duration accordingly can help elucidate whether sleep duration confers cardiovascular risk independent of, or partly due to, poor efficiency.

**Hypothesis Three:** Greater variability in sleep duration, as assessed by actigraphy during the week preceding laboratory tasks, will be associated with greater and more prolonged cardiovascular responses to stress.

Individuals' sleep may be characterized by a high degree of variability across nights (Buysse et al., 2010; Mezick et al., 2009; Knutson et al., 2007), and collapsing data across multiple nights may not accurately reflect sleep patterns. As individuals appear to differ in their biological need for sleep (Tucker et al., 2007), it is possible that a stable pattern of short sleep (i.e., 6 hours) may be physiologically sufficient for a subset of individuals, and, thus, not associated with physical health consequences. In contrast, a pattern of highly variable sleep duration across nights may reflect a state of sleep debt and may be associated with a dysregulated circadian pattern of physiological activity (Mezick et al., 2009). Therefore, it is hypothesized that greater variability in sleep duration, as assessed by actigraphy during the week preceding laboratory tasks, will be associated with greater and more prolonged cardiovascular responses to stress. The relationships between variability in sleep duration and cardiovascular stress responses are expected to be independent of mean sleep duration across the seven nights preceding the laboratory stressors.

## **3.0 METHODS**

### **3.1 PARTICIPANTS**

Undergraduate men between the ages of 18-30 were recruited from a university to participate in a study of “Stress and Sleep.” The study aimed to include a group of short sleepers, defined as self-reporting a habitual nocturnal sleep duration of  $\leq 6$  hours, and a comparison group of individuals self-reporting a habitual sleep duration of 7 or 8 hours. These values were based upon epidemiological studies linking self-reports of  $\leq 6$  hours of habitual sleep duration to an increased risk of cardiovascular morbidity and mortality (Amagai et al., 2010; Ayas et al., 2006; Gottlieb et al., 2006). Reference groups in such studies are most commonly composed of those reporting 7-8 hours of habitual sleep. Only men were included, as analyses in a previous sample showed that sleep parameters were more closely related to perceptions of stress, negative affect, and norepinephrine activity in men than in women (Mezick et al., 2009) and to eliminate sex-specific effects on cardiovascular responses to stress. Participants were recruited through the undergraduate subject pool at the University of Pittsburgh, in which students receive course credit for participating in research studies, or through advertisements on campus. Participants underwent telephone screening to determine eligibility and sleep duration group status (short versus average sleep duration) before beginning the protocol. Exclusion criteria included



engaging in overnight or shift work, a diagnosed sleep disorder, regular medication use for sleep (defined as typically taking sleep medication on  $\geq 3$  nights/week), binge drinking ( $\geq 5$  drinks at a time in the past month), self-reporting a body mass index (BMI) consistent with obesity (BMI  $> 30$ ), anti-hypertensive or cardiac medication use, and likelihood of depression as reflected by endorsement of one of two critical depression diagnostic criteria (“decreased interest or pleasure” or “feeling down, depressed, or hopeless” for more than half of the time over the past two weeks; Spitzer, Kroenke, & Williams, 1999) or antidepressant use. All participants completed informed consent and received either \$50 or four hours of research credit for study completion.

### **3.1.1 Power calculation**

There are no previously reported effect sizes for the association between normative sleep and cardiovascular reactivity or recovery. Therefore, two related data sets were used to generate an anticipated effect size for the proposed study. First, the group difference in absolute heart rate between chronically poor sleepers and good sleepers during a reaction time task was calculated from the Stepanski et al. (1994) study. Second, the within-person difference in absolute systolic blood pressure during a speech stressor following sleep deprivation versus a normal sleep night was calculated from a pilot study by Franzen and colleagues (2011). The two resulting effect sizes were averaged and reduced by 30% in order to provide a more conservative sample size estimate, based on the rationale that both of the previous studies examined more severe sleep disturbance conditions (i.e., total sleep deprivation and chronic insomnia) than those proposed in the current study. Based on this adjusted effect size,  $\alpha = .05$ , and power = .80, a power analysis generated a recommended sample size of 80 participants to detect an effect.

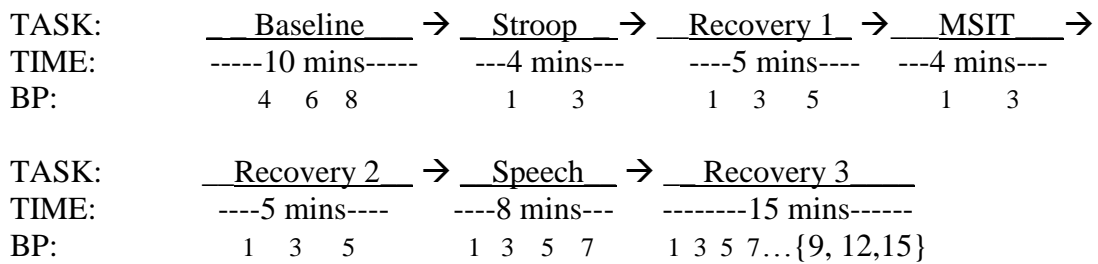
## 3.2 PROCEDURE

### 3.2.1 Laboratory testing session

The start of the laboratory testing session ranged from 8:30 am – 3:00 pm, dependent upon participant availability. Eighty percent of participants started the session before 1:30 pm. Participants were asked to abstain from caffeinated beverages, tobacco products, and exercise for three hours before testing and to avoid alcohol for 12 hours (night before) before testing. After arriving at the laboratory, participants confirmed that they had followed these instructions. They were then seated in a comfortable chair and instrumented for physiological recording. This included electrocardiogram (EKG) recordings using a modified lead II configuration to measure heart rate and HF-HRV, a standard blood pressure cuff with an automated monitor, and a respiratory belt. The blood pressure cuff was placed over the brachial artery on the nondominant arm, and the automated monitor was placed in the control room so that readings could not be observed by the participant. As described by Egizio, Eddy, Robinson, and Jennings (2012), a respiratory belt measuring pressure changes corresponding to thoracic expansion and contraction was placed on the chest between the fifth and eighth ribs. The band was wrapped tightly enough to allow only the experimenter's index and middle fingers to fit underneath, and the pressure of the belt was adjusted to approximately 100 kPa using an air bladder.

Participants sat quietly and watched a nature video for a 10-minute rest period. Baseline blood pressure readings were taken at Minutes 4, 6, and 8 of the baseline period, while heart rate and respiration were monitored continuously. After the baseline period, participants completed the Positive and Negative Affect Schedule (PANAS; Watson, Clark, Tellegen, 1988) to assess mood states. Participants then completed two blocks of tasks. The first block included two

computer tasks: the Stroop color-word interference task (4 minutes) and the multisource interference task (4 minutes), both of which were performance-titrated at a 60% accuracy level in order to minimize individual differences in task performance, which may be related to sleep (Durmer & Dinges, 2005). The second block included a speech task in which participants were instructed to defend themselves from a traffic violation in front of a video camera (4 minutes of speech preparation + 4 minutes of speech delivery). Participants were told that the speech would be recorded and later evaluated for clarity, persuasiveness, and style, and they were instructed to continue talking for the entire 4-minute period. The two computer tasks always occurred first, in a counter-balanced order, followed by the speech task. Each of the computer tasks was followed by a 5-minute recovery period, and the speech task was followed by a 15-minute recovery period in order to allow extended time for heart rate and blood pressure to return to baseline. During each of the three recovery periods, participants appraised the previous task on three dimensions (*valence*, *arousal*, and *control*). Blood pressure readings were taken at 2-minute intervals during both task and recovery periods. The final 15-minute recovery period included an additional three readings at Minutes 9, 12, and 15, which were taken only if blood pressure and heart rate had not yet returned to baseline levels. After the final recovery period, participants completed the PANAS once more to assess changes in mood.



**Figure 2.** Laboratory testing protocol

### 3.3 MEASURES

#### 3.3.1 Physiological data processing

The ECG signal was digitized (12 bit), sampled (with Vernier software at 500 Hz), and stored for off-line processing. QRS waves were identified using PhysioScripts, a collection of publically available scripts used for processing physiological data (Christie & Gianaros, *under review*), implemented in the R computer environment (Ihaka & Gentleman, 1996; R Development Core Team, 2010). The QRS detection algorithm in PhysioScripts uses the amplitude of the digitally filtered ECG waveform as well as its first derivative. Estimates of HF-HRV were derived using the band-limited variance method, with HF band cut-offs of 0.15 to 0.40 Hz (Allen, Chambers, & Towers, 2007). The ECG signal was assessed for artifacts by visual inspection and an artifact detection algorithm. Epochs with greater than or equal to 20% artifact were excluded (1% of all 1-minute epochs). HF-HRV values were natural log transformed before use in analysis. Respiration was processed using a custom algorithm. Briefly, the respiratory waveform was bandpass filtered (.05 - .5 Hz, 10 sec Hamming window) and local maxima (inspirations) and minima (expirations) were identified within a specified time window based on the shortest expected respiratory period (2 sec or .5 Hz). Unbalanced inspirations and expirations (e.g., two inspirations with no intervening expiration) were then corrected by removing the member of the paired values with the lesser absolute magnitude (i.e., the smaller inspiration or the larger expiration). The respiratory variable used as a covariate in analyses of HF-HRV was mean respiratory rate, defined as the inverse of the mean of all periods between inspiratory peaks (analyses run with peak respiratory frequency as an alternative covariate produced identical results). Mean respiratory rate was averaged across reactivity periods and across recovery

periods separately; analyses examining HF-HRV reactivity were adjusted for the former, and analyses examining HF-HRV recovery were adjusted for the latter.

### 3.3.2 Actigraphy

An Actiwatch-16 (Philips Respironics, Inc.) was worn on the non-dominant wrist continuously for 7 days and nights to measure sleep parameters as inferred from movement and acceleration patterns. A period of seven nights was chosen based on reliability analyses in a previous sample showing that a minimum of six nights of actigraphy measurement was necessary to obtain adequately reliable estimates of duration. Data were stored in 1-minute epochs. Major rest intervals were determined by the “tried to go to sleep” and “finally woke” times recorded in participant diaries. Validated software algorithms were then used to estimate sleep parameters within the designated rest intervals. The medium threshold was used to detect sleep. The main sleep parameters hypothesized to be related to cardiovascular responses to stress were *total sleep time* and *sleep efficiency*, averaged across the 7 nights preceding laboratory testing. Total sleep time is the actual time scored as sleep within the rest interval, excluding periods of wakefulness after sleep onset. Sleep efficiency, or the percentage of time in bed that is spent sleeping, was calculated as:  $(\text{time spent asleep} / \text{time in bed} \times 100)$ . Exploratory analyses examining the association between variability in sleep and cardiovascular responses to stress were based upon the within-person standard deviation (SD) in *total sleep time* across 7 nights. Actigraphy-assessed daytime naps were detected using a software algorithm that ‘automatically’ creates minor rest intervals based on when the participant appears to be napping (i.e., participants’ reports were not used to set rest interval parameters). A rest interval duration minimum of 15

minutes was used to auto-detect naps (i.e., the scoring program searched for sleep only within minor rest intervals greater than or equal to 15 minutes).

### **3.3.3 Sleep diary**

In evening sleep diaries, participants reported daily levels of exercise (length and intensity), daily nap minutes, alcohol use, nicotine use, medication use, mood, and perceptions of daytime and pre-bedtime stress. Morning sleep diaries inquired about sleep parameters from the prior night (time participant tried to fall asleep, latency, wake after sleep onset, time of final morning wake-up), and subjective sleep quality upon waking. Morning diaries also inquired about reasons for sleep amount and subjective sleep sufficiency in order to learn more about the potential factors that may differentiate short and average sleepers. See Appendix for a sample sleep diary. Participants chose between completing paper diaries versus completing the diary on a secure internet website. Seventy-six participants chose to complete the web-based diaries. The web-based diary provided time-stamped entries; entries were “flagged” if they were completed more than 3 hours before or after the reported bed or wake time, in order to assess participant compliance. Less than 6% of all entries were flagged.

### **3.3.4 Sleep questionnaires**

Global sleep quality was measured with the Pittsburgh Sleep Quality Index (PSQI; Buysse, Reynolds, Monk, Berman, & Kupfer, 1989). Eighteen individual items on the PSQI are grouped

to create seven component scores (e.g., subjective sleep quality, sleep latency, sleep duration, etc.). These component scores are summed to generate a global score between 0 and 21, with higher scores indicating worse sleep quality over the past month. The Epworth Sleepiness Scale (ESS; Johns, 1991) is an 8-item questionnaire designed to assess daytime sleepiness by determining the propensity of dozing or falling asleep during daytime activities, with responses ranging from “never” to “highly likely.” Items were summed to compute a final score ranging between 0 and 24, with scores above 10 indicative of significant daytime sleepiness. The Fatigue Severity Scale (FSS; Krupp, LaRocca, Muir-Nash, & Steinberg, 1989) is a 9-item questionnaire measuring the behavioral consequences of fatigue. Participants are asked to consider the extent to which fatigue has had an impact on several areas of functioning over the past week, with responses indicated on a 1-7 Likert type scale. The FSS has been shown to distinguish individuals with disorders characterized by fatigue (i.e., chronic fatigue syndrome) from those with primary depression. The multivariable apnea index (MAP; Maislin et al., 1995) was used to screen for sleep apnea. The MAP inquires about various symptoms of sleep apnea, including snoring, difficulty sleeping, and daytime sleepiness, as well as age, body mass index, and gender in order to generate the probability of having an apnea-hypopnea index  $\geq 10$  episode per hour.

### **3.3.5 Psychological attributes**

The Center for Epidemiological Studies Depression Scale (CES-D; Radloff, 1977), the Spielberger Trait Anxiety Inventory (STAI; Spielberger, Gorsuch, & Lushene, 1970), and the Cook-Medley Hostility Scale (Ho; Cook & Medley, 1954) were used to measure depressive

symptoms, anxiety, and hostility, respectively. Life stress over the previous six months was measured using an original questionnaire created for this study. The questionnaire was based on previous life events and stress questionnaires created for use in university populations (Crandall, Preisler, & Aussprung, 1992; Kohn, Lafreniere, Gurevich, 1990). The questionnaire contained 29 items, each of which identified a potentially distressing life event (e.g., death of a friend, conflict with a roommate, financial difficulty). Participants were asked to mark a) if they experienced the event within the past 6 months, b) how upsetting or stressful the event was from 0 (not at all stressful or upsetting) to 3 (extremely stressful or upsetting) and c) whether or not the event was *currently still* upsetting. Participants could also enter up to three additional, personal life stressors that had not been listed on the questionnaire. This measure was used to calculate two life stress scores: the first was a sum of distressing events over the past 6 months (points on each item were summed for a grand total), and the second was a count of events that were endorsed as “still upsetting.” Sense of life purpose was assessed with the Life Engagement Test (Scheier et al., 2006), a scale measuring the extent to which one engages in activities that are personally valued. Optimism was assessed using the Revised Life Orientation Test (Scheier, Carver, & Bridges, 1994).



### 3.4 ANALYTIC PLAN

**Hypothesis One:** Individuals with short sleep (as assessed by self-report and actigraphy) will have greater and more prolonged cardiovascular responses to stress than those with longer sleep.

Reactivity scores were calculated for heart rate, HF-HRV, and blood pressure by averaging values within each task and within each baseline period. Mean task values for heart rate, HF-HRV, systolic, and diastolic blood pressure were regressed on the corresponding mean baseline value and then standardized. Standardized residuals were aggregated across the three tasks to produce four composite indices of reactivity (one each for heart rate, HF-HRV, systolic, and diastolic blood pressure). Similarly, a mean recovery score was calculated for each of the three tasks by averaging the three recovery values following each task. This average value was regressed on the mean baseline level and the mean task level in order to create a residualized recovery score. This score was standardized and aggregated across the three tasks to produce four indices of recovery (one each for heart rate, HF-HRV, systolic, and diastolic blood pressure).

Short ( $\leq 6$  hours) versus average (7 – 8 hours) sleep groups were based on self-reports of habitual duration as reported on the PSQI. Analysis of covariance was used to examine differences in each index of reactivity between self-reported sleep groups. Covariates included age, race, and body mass index. Analyses of HF-HRV included mean respiration rate as an additional covariate, as interbeat interval waveforms that aggregate within the high frequency band are linked to respiration. There were four participants with a measured BMI above 30 (BMI = 31 for all four). Analyses were repeated after excluding these participants to examine if obesity was responsible for any significant relationships observed.

Secondary analyses adjusted for factors within the following three clusters to determine whether group differences were independent of these variables: diary characteristics, psychosocial attributes, and laboratory variables. A variable was considered as a potential mediator if 1) it was associated with the sleep parameter under study and 2) inclusion of the variable led to a reduction in the association between the independent and dependent variables. Once a potential mediator was identified, nonparametric bootstrapping analyses were used to test a mediation pathway (i.e., the pathway linking the sleep characteristic to the cardiovascular stress response via the potential mediator), as is recommended for small samples (Preacher & Hayes, 2004; Preacher, Rucker, & Hayes, 2007). In these analyses, mediation is significant if the 95% Bias Corrected and accelerated confidence intervals for the indirect effect do not include 0 (Preacher & Hayes, 2004; Preacher et al., 2007). Finally, analyses examining whether or not associations between sleep parameters and cardiovascular stress responses were independent of daytime sleep were conducted. Both actigraphy-assessed and self-reported naps were considered as covariates, calculated in average daily nap minutes (i.e., nap minutes per day/study days).

In order to examine differences in recovery between self-reported sleep groups, a process identical to the one described above was used, with recovery indices replacing reactivity indices as the outcome variable. Potential mediating variables were analyzed as described above.

The second part of this hypothesis examined actigraphy-measured total sleep time (measured continuously) during the week preceding laboratory tasks as a predictor of cardiovascular stress reactivity and recovery. This association was examined in linear regression models adjusted for age, race, and body mass index, with additional models adjusting for potential mediators as described above.

**Hypothesis Two:** Lower sleep efficiency, as assessed by actigraphy during the week preceding laboratory tasks, will be related to greater and more prolonged cardiovascular responses to stress.

Associations between actigraphy-measured sleep efficiency and cardiovascular responses to stress were examined in an identical process to the one described above for actigraphy-assessed total sleep time, using efficiency as the independent variable.

**Hypothesis Three:** Greater variability in sleep duration, as assessed by actigraphy during the week preceding laboratory tasks, will be associated with greater and more prolonged cardiovascular responses to stress. This association will be independent of average actigraphy-measured sleep duration.

Linear regression models were used to examine the association between within-person variability in actigraphy-measured total sleep time and cardiovascular responses to stress. Variability was calculated as an individual's standard deviation in total sleep time across the seven nights of the study. Models were adjusted for covariates (age, race, BMI) and mean total sleep time before entering within-person standard deviations in total sleep time as a predictor variable. Thus, these analyses examined the association between variable sleep duration and cardiovascular responses to stress, independent of mean levels of actual sleep.

## **4.0 RESULTS**

### **4.1 SAMPLE CHARACTERISTICS**

Eighty male undergraduates completed the protocol. Demographic, physical, and sleep characteristics are displayed in Table 1.

**Table 1.** Sample characteristics

<b><u>Variable</u></b>	<b><u>Mean</u></b>	<b><u>Range</u></b>	<b><u>N %</u></b>
Age	19.4	18 - 29	
Body Mass Index	24.0	18.2 – 31.9	
Resting Systolic Blood Pressure (Visit 1)	120.4	104.3 – 141.3	
Resting Diastolic Blood Pressure (Visit 1)	67.2	55.3 – 84.7	
<b><u>Race</u></b>			
White/Caucasian			63 (81.0)
Asian/Pacific Islander			9 (11.4)
Black/African American			7 (8.9)
<b><u>Ethnicity</u></b>			
Non-Hispanic / non-Latino			77 (97.5)
Hispanic / Latino (White)			2 (2.5)
<b><u>Self-Reported Habitual Sleep Duration<sup>^</sup></u></b>			
≤ 6 hours/night			37 (46.8)
4 hours/night			2 (2.5)
5 hours/night			10 (12.7)
6 hours/night			25 (31.6)
> 6 hours/night			42 (53.2)

7 hours/night			26 (32.9)
8 hours/night			16 (20.3)

^as defined by Question 4 on the PSQI

The average age of participants was 19.4 years and 80% identified their race as “White or Caucasian.” Thirty-eight (47.5%) participants reported habitual sleep duration of 6 or fewer hours on the PSQI at Visit 1 and, thus, were classified as short sleepers. Forty-two (52.5%) participants reported habitual sleep duration of 7 – 8 hours and were classified as average length sleepers. One participant reporting short sleep was excluded from the main analyses examining cardiovascular responses and related laboratory data due to a reported history of heart murmur and inability to detect R waves on his ECG. Thus, the final sample for these analyses included 79 participants (37 short sleepers and 42 average sleepers). Seventy-eight of the 79 participants had resting blood pressure values below the cut-off for hypertension, and one participant met hypertensive criteria (SBP = 141, DBP = 67).

Sample characteristics by sleep duration group are shown in Table 2.

**Table 2.** Sample characteristics by self-reported sleep duration group

<b><u>Variable</u></b>	<b><u>≤ 6 hours/night</u></b> <b><u>(n=37)</u></b>	<b><u>7-8 hours /night</u></b> <b><u>(n = 42)</u></b>	<b><u>p</u></b>
Age	19.32 (1.45)	19.36 (2.41)	.93
BMI	24.26 (2.75)	23.75 (3.58)	.48
Resting Systolic BP (Visit 1)	122.14 (8.33)	122.43 (8.44)	.88
Resting Diastolic BP (Visit 1)	67.27 (7.11)	70.00 (8.08)	.12
CES-D total	13.76 (7.82)	10.52 (6.90)	.05
STAI total	11.42 (5.00)	10.38 (4.61)	.34
LOT total	15.18 (3.81)	15.74 (3.81)	.52
LES total	34.95 (2.98)	35.51 (3.06)	.41
Hostility total	13.13 (4.29)	12.64 (4.38)	.62
No. of Current, Upsetting Life Events	3.87 (2.95)	3.45 (2.83)	.52
PSQI total	7.16 (2.24)	5.14 (1.84)	<.001
FSS total	31.74 (8.87)	26.86 (8.51)	.01
LR MAP relative risk	.182 (.135)	.140 (.091)	.11
Epworth Total	8.71 (3.62)	7.55 (3.91)	.17

Participants reporting 6 or fewer hours of sleep per night did not differ from those reporting longer sleep in age, BMI, or resting BP measured at baseline (Visit 1). Sleep groups did not differ by race/ethnicity ( $\chi^2 = .05$ ,  $p = .82$ ). Generally, the two sleep duration groups did not differ on psychosocial attributes. The one exception was depressive symptoms; short sleepers

had higher CES-D scores compared to average sleepers. This difference was attenuated when Item #11 (“my sleep was restless”) was removed from the overall CES-D score (short sleepers mean = 12.63 (SD = 7.54), average sleepers mean = 10.07 (SD = 6.78),  $p = .10$ ). With regard to subjective sleep quality and daytime energy, short sleepers had elevated PSQI and FSS scores relative to average sleepers. Sleep groups did not differ in reports of daytime sleep propensity as measured by the ESS or in likelihood of sleep apnea as calculated by the MAP.

Daily diary variables, including reports of mood and health behaviors, are shown in Table 3.



**Table 3.** Means (SDs) of diary characteristics by self-reported sleep duration group

<u>Variable</u>	<u>≤ 6 hours/night</u> (n=37)	<u>7-8 hours /night</u> (n = 42)	<i>p</i>
% Smokers	21.1	14.3	.43
Avg Daily Caffeinated Beverages	1.00 (.93)	1.46 (1.40)	.09
Avg Daily Alcohol	.54 (.88)	.32 (.60)	.20
Avg Daily Exercise Minutes	51.00 (27.34)	56.44 (28.74)	.39
Avg Daily Stress Ratings (1-4)	1.90 (.47)	1.71 (.48)	.08
Avg Pre-Bedtime Stress Ratings (1-4)	1.58 (.47)	1.47 (.47)	.31
Avg Daily Positive Mood (1-5)	3.23 (.51)	3.31 (.49)	.47
Avg Daily Negative Mood (1-5)	1.61 (.36)	1.52 (.42)	.33
Total # of Naps Reported During Study	1.84 (1.82)	1.57 (1.77)	.50
Avg Daily Self-Reported Nap Minutes	28.77 (41.86)	14.07 (19.81)	.06
Avg Daily Response to “How much longer would you have liked to sleep?” (Minutes)	91.36 (39.70)	56.73 (45.70)	.001

Although both sleep duration groups reported napping just under two times throughout the 7-day study, short sleepers reported nearly twice as many average daily minutes of napping than 7-8 hour sleepers. Short sleepers reported that they wanted to sleep for an additional 90 minutes upon

waking in the morning, whereas average sleepers desired an additional 56 minutes of sleep. Short sleepers also tended to report less caffeine use and more daily stress than average sleepers, although these differences were not statistically significant. The two groups did not differ in alcohol use, exercise amount or intensity, daily mood ratings, or pre-bedtime stress ratings. Seventeen percent of the sample reported smoking at least one cigarette over the course of the study period; however, average number of daily cigarettes smoked was low, at 2.85 per day (SD = 4.11, range = .14 – 11.6). Sleep duration groups did not differ in percentage of smokers or number of cigarettes smoked ( $p = .97$ ).

#### **4.1.1 Actigraphy**

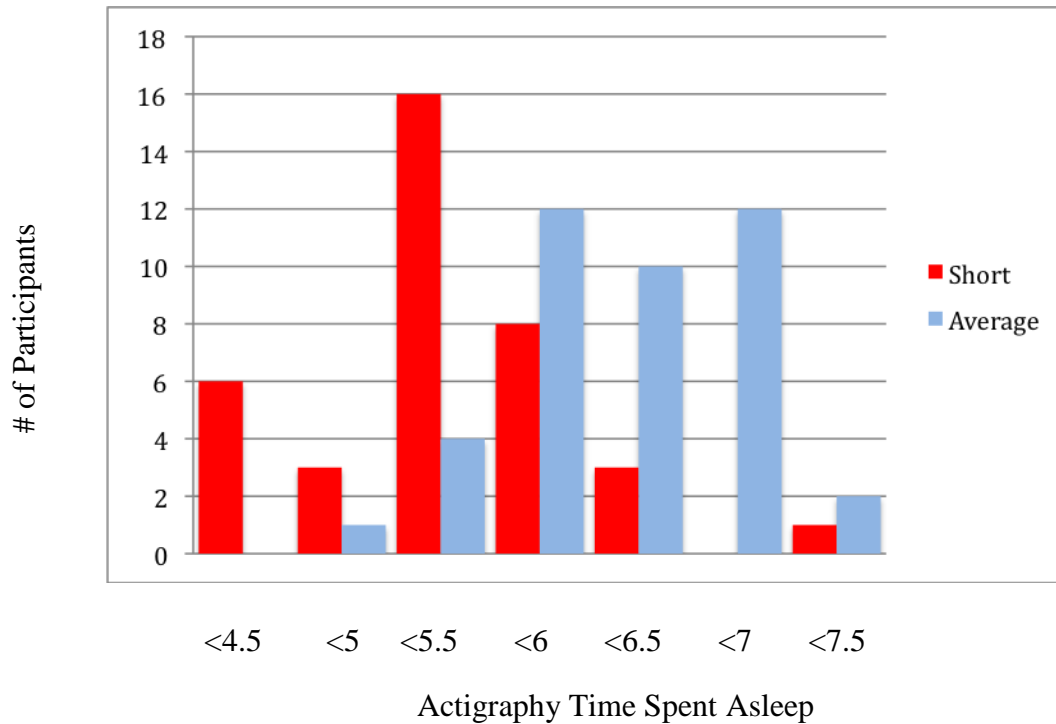
Mean actigraphy-assessed sleep duration was 7.05 hours (SD = .84) across the entire sample, and mean total sleep time was 6.08 hours (SD = .80). Mean within-person variability in total sleep time across the study was about 1.3 hours (SD = .56). Mean sleep efficiency was 81.81% (SD = 4.68). Actigraphy sleep characteristics by self-reported sleep group are shown in Table 4.

**Table 4.** Means (SDs) of actigraphy sleep characteristics by self-reported sleep duration group

<b><u>Variable</u></b>	<b><u>≤ 6 hours/night</u></b>	<b><u>7-8 hours /night</u></b>	<b><i>t</i></b>	<b><i>p</i></b>
Mean Duration	369.17 (50.66)	428.66 (40.67)	5.82	<.001
Mean Time Spent Asleep	318.23 (46.47)	372.11 (37.30)	5.74	<.001
Mean Efficiency	76.71 (8.01)	80.22 (5.17)	2.36	.02
SD Actual Sleep	77.13 (35.57)	64.92 (29.75)	-1.67	.10
Naps (>15 minutes)				
Mean # of Naps Across Study	2.92 (2.78)	2.64 (2.41)	-.48	.63
Mean Minutes per Nap	30.91 (33.27)	22.59 (18.69)	-1.38	.18
Mean Bedtime	1:54 AM	1:49 AM	-.48	.64
24-Hour Sleep (Night Actual Sleep + Nap Minutes)	348.69 (43.00)	394.70 (38.81)	5.00	<.001
Mean Weeknight Time Spent Asleep	310.76 (53.24)	367.73 (41.91)	5.34	<.001
Mean Weekend Time Spent Asleep	358.98 (77.87)	384.29 (62.22)	1.61	.11

Actigraphy measures of duration and total sleep time were, on average, about one hour longer in those reporting 7-8 hours of sleep compared to short sleepers. The two groups differed in weeknight, but not weekend, mean sleep time. Using a cut-off of  $\geq 2$  hours discrepancy between weekend – weeknight total sleep time, 19% of short sleepers ( $n = 7$ ) were considered to be in “sleep debt,” compared to 5% of those reporting 7-8 hours of sleep per night ( $n = 2$ ). This was a statistically significant difference ( $\chi^2 = 3.91, p = .05$ ). Sleep efficiency was nearly 4% higher in average sleepers relative to short sleepers. Average sleepers had marginally less within-person

nightly variability in their actual sleep time across the study. Actigraphy-assessed total sleep time by self-reported sleep duration group is displayed in Figure 3.



**Figure 3.** Actigraphy total sleep time by self-reported sleep duration group

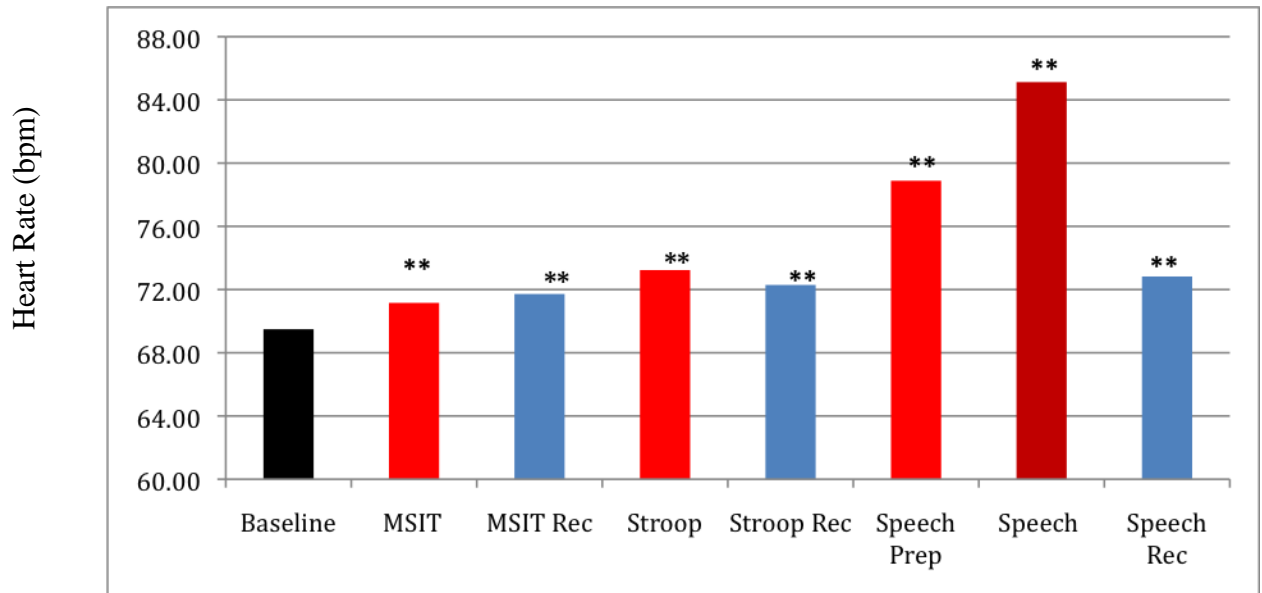
Actigraphy sleep parameters did not correlate with start time of the laboratory testing session (total sleep time:  $r = .09$ ,  $p = .42$ ; sleep efficiency:  $r = -.14$ ,  $p = .21$ ; SD in total sleep time:  $r = .002$ ,  $p = .98$ ). Correlations among self-report and actigraphy sleep parameters are displayed in Table 5.

**Table 5.** Correlations among self-report and actigraphy sleep parameters

	Habitual Sleep Duration^	Actigraphy Time Spent Asleep	Diary Time Spent Asleep	Avg Diary Nap Minutes	Avg Actigraphy Nap Minutes	Actigraphy Sleep Efficiency
Habitual Sleep Duration^	--	.54**	.54**	-.26*	-.26*	.30**
Actigraphy Time Spent Asleep		--	.73**	-.54**	-.39**	.62**
Diary Time Spent Asleep			--	-.32*	-.17	.07
Avg Diary Nap Minutes				--	.61**	-.34*
Avg Actigraphy Nap Minutes					--	-.25*
Actigraphy Sleep Efficiency						--

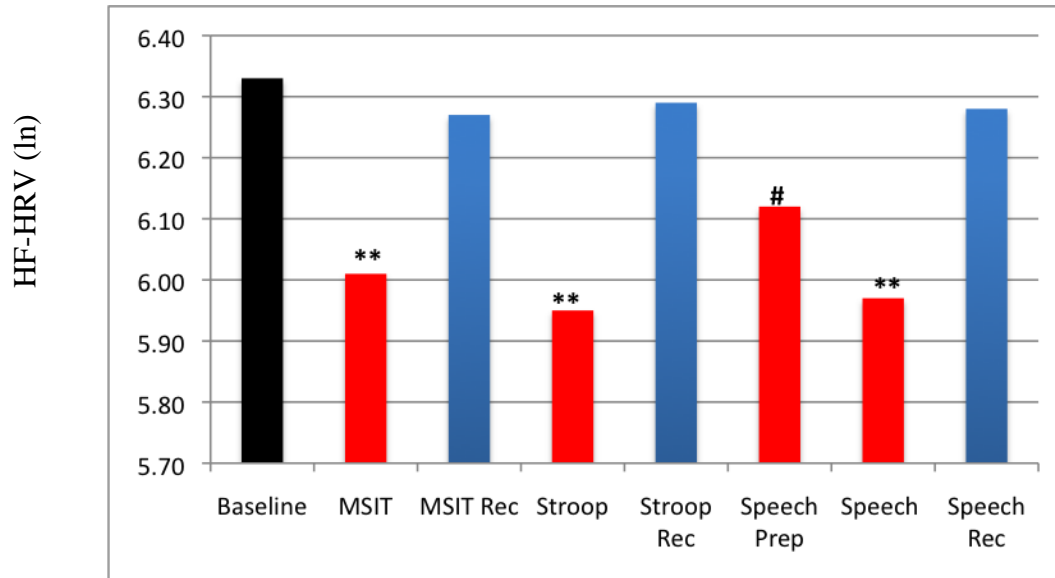
#### **4.1.2 Cardiovascular stress responses**

Heart rate, HF-HRV, and BP values throughout the laboratory testing session and across both sleep groups are shown in Figures 4-6.



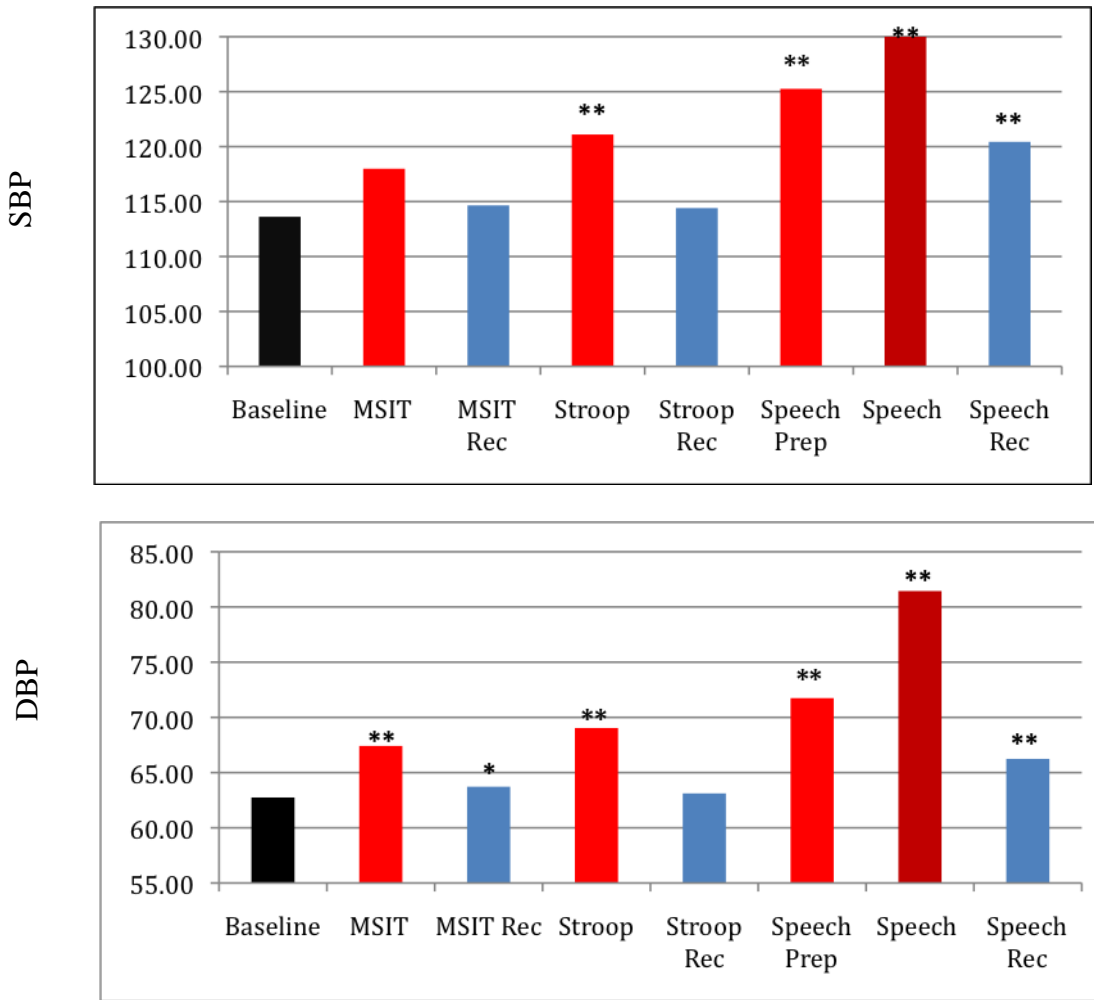
Asterisks indicate significant change from baseline; \* $p < .05$ , \*\* $p < .01$ .

**Figure 4.** Mean heart rate throughout laboratory session



Asterisks indicate significant change from baseline; # $p < .10$ ; \*\* $p < .01$ .

**Figure 5.** Mean HF-HRV throughout the laboratory session



Asterisks indicate significant change from baseline; \* $p < .05$ , \*\* $p < .01$

**Figure 6.** Mean systolic and diastolic BP throughout the laboratory session

Mean HR across the 7-minute baseline was 69.49 (SD = 10.09) beats per minute (bpm) and mean HF-HRV was 6.33 (SD = .91) ln units. Mean baseline SBP was 113.62 (SD = 8.07) mmHg and mean baseline DBP was 62.72 (SD = 5.04) mmHg. Mean change from baseline to stress task was 7.48 (SD = 5.38) bpm for heart rate, -.27 (SD = .52) ln units for HF-HRV, 12.14 (SD = 6.63) mmHg for SBP, and 9.54 (SD = 4.38) mmHg for DBP. Nearly all reactivity values for HR, HF-HRV, and BP represented significant changes from baseline; one exception was that HF-HRV



did not decrease significantly during the speech preparation period relative to baseline ( $t(78) = 1.64, p = .10$ ). The speech elicited the greatest changes in HR and BP, followed by speech preparation, the Stroop task, and finally the MSIT. HF-HRV decreased more during cognitive computer tasks (mean = .32, SD = .53 ln units) than during the speech preparation and delivery tasks (mean = .23, SD = .54 ln units) ( $t(78) = 3.58, p = .001$ ).

Regarding recovery, HR averaged across all three recovery periods was 2.81 (SD = 3.18) bpm higher than baseline HR; HR did not return to baseline during any recovery period. HF-HRV across all three recovery periods was reduced by .03 (SD = .41) ln units; HF-HRV returned to baseline levels during each of the three recovery periods. SBP recovery values were higher than baseline by 3.08 (SD = 4.14) mmHg on average; only the speech recovery SBP did not return to baseline level. DBP recovery values were higher than baseline by 1.66 (SD = 2.87) mmHg on average; DBP during both the MSIT and speech recovery remained elevated relative to baseline. None of the reactivity or recovery indices correlated with laboratory session start time ( $ps > .10$ ).

#### **4.1.3 Factor analysis of cardiovascular stress reactivity and recovery**

Correlations among reactivity values are displayed in Appendix A. Because reactivity values were correlated across tasks for each outcome parameter examined (HR, HF-HRV, and BP), the factorability of these values was examined using exploratory factor analysis. Principle components analysis was performed separately for HR, HF-HRV, and BP. The Kaiser-Meyer-Olkin measure of sampling adequacy was above the recommended value of .6, and Bartlett's test of sphericity was significant ( $p < .05$ ) in all cases. For HR, all four task reactivity values loaded onto one factor at .78 or higher with an eigen value of 3.46; this factor explained 86% of the

variance. For HF-HRV, all four reactivity values loaded onto one factor at .87 or higher with an eigen value of 3.44; this factor explained 86% of the variance. For SBP, all four reactivity values loaded onto one factor at .75 or higher with an eigen value of 2.43, explaining 61% of the variance. For DBP, all four reactivity values loaded onto one factor at .72 or higher with an eigen value of 2.36, explaining 59% of the variance. Thus, reactivity values were residualized on baseline values and then averaged across all four conditions to create a composite reactivity variable; this process was performed separately for HR, HF-HRV, SBP, and DBP.

Correlations among recovery values are displayed in Appendix A. The factorability of these values was examined in a similar matter to reactivity values, as described above. The Kaiser-Meyer-Olkin measure of sampling adequacy was above the recommended value of .6, and Bartlett's test of sphericity was significant ( $p < .05$ ) in all cases. For HR, all four task recovery values loaded onto one factor at .98 or higher with an eigen value of 2.91; this factor explained 97% of the variance. For HF-HRV, all four recovery values loaded onto one factor at .97 or higher with an eigen value of 2.83; this factor explained 94% of the variance. For SBP, all four recovery values loaded onto one factor at .79 or higher with an eigen value of 2.01, explaining 67% of the variance. For DBP, all four recovery values loaded onto one factor at .73 or higher with an eigen value of 1.85, explaining 59% of the variance. Thus, recovery values were residualized on baseline and task reactivity values, and then averaged across all four conditions to create a composite recovery variable; this process was performed separately for HR, HF-HRV, SBP, and DBP.

#### 4.1.4 Subjective laboratory ratings

Paired t-tests showed that participants rated all three tasks similar on valence ( $ps > .2$ ). Both cognitive tasks were rated as less arousing than the speech (MSIT vs speech:  $t = -4.94, p < .001$ ; Stroop vs. speech:  $t = -3.04, p = .003$ ), but also less controllable than the speech (MSIT vs. speech:  $t = -3.35, p = .001$ ; Stroop vs. speech:  $t = -4.94, p < .001$ ). With regard to differences in self-reported sleep duration groups, short sleepers reported more arousal following stress tasks than average sleepers; this difference was due to short sleepers reporting increased arousal following cognitive computer tasks ( $t(78) = 2.37, p = .02$ ) rather than the speech task ( $t(78) = .75, p = .45$ ) relative to average sleepers (see Table 6). There were no group differences in ratings of task valence or task control.

**Table 6.** Means (SDs) of subjective reports throughout laboratory session

<b><u>Variable</u></b>	<b><u>≤ 6 hours/night</u></b>	<b><u>7-8 hours /night</u></b>	<b><u>t</u></b>	<b><u>p</u></b>
Task Valence	5.05 (1.46)	5.07 (1.19)	.08	.94
Task Arousal	5.66 (1.37)	4.96 (1.53)	2.16	.03
Task Control	4.52 (1.28)	4.56 (1.52)	.11	.92
<b>PANAS</b>				
Change in Negative Affect	.22 (.31)	.08 (.21)	2.24	.03
Change in Positive Affect	.10 (.52)	-.11 (.50)	1.82	.07

Short sleepers reported greater increases in negative affect as measured by the PANAS across the laboratory testing session. Short sleepers also reported marginally greater increases in

positive affect, whereas average sleepers reported a slight decrease in positive affect across the laboratory session. Follow-up analyses revealed that the difference between groups in change in positive affect was due to greater increases in alertness ( $t(78) = 1.92, p = .06$ ) and excitement ( $t(78) = 2.12, p = .04$ ) among short sleepers relative to average sleepers. Correlations among task ratings, changes in mood, and cardiovascular responses to stress are shown in Table 25A.

## 4.2 HYPOTHESIS ONE

### 4.2.1 Cardiovascular stress responses by self-reported sleep duration group

Heart rate reactivity and recovery values by sleep duration group are displayed in Table 7.

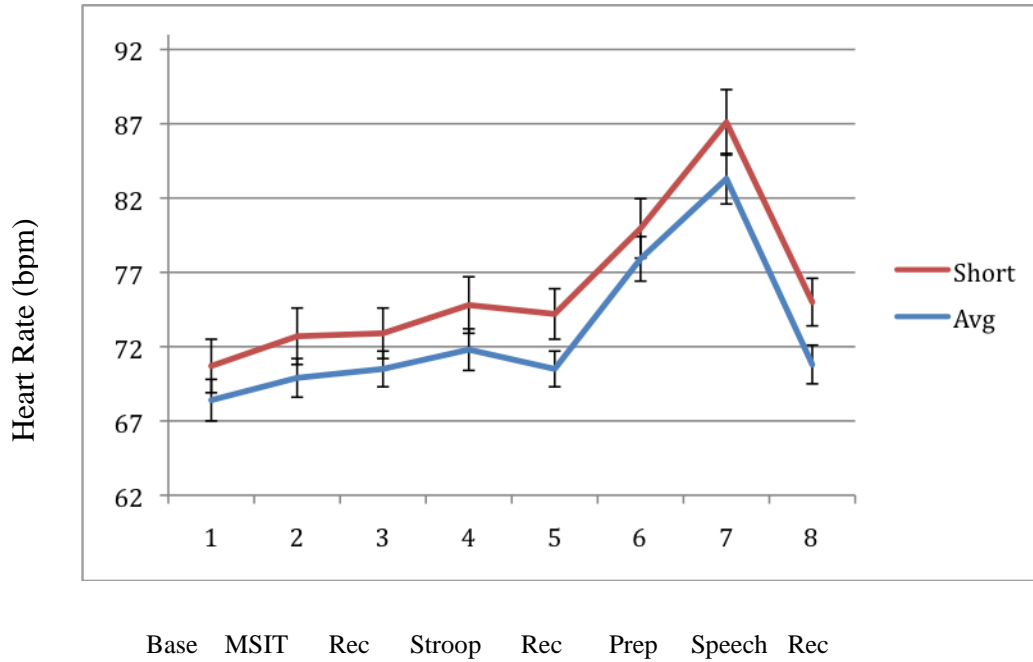
**Table 7.** HR during baseline, reactivity, and recovery by self-reported sleep duration group

<b>Variable</b>	<b><u>≤ 6 hours/night</u></b>	<b><u>7-8 hours /night</u></b>	<b><u>F*</u></b>	<b><u>p</u></b>
Baseline HR	70.74 (11.08)	68.39 (9.13)	1.42	.23
Task HR Reactivity	+7.08 (5.52)	+7.95 (5.26)	.75	.39
Task HR Recovery	+3.58 (2.81)	+2.14 (3.36)	7.44	.008

\* Outcomes are residualized change scores, adjusted for age, race, and BMI

After adjusting for age, race, and BMI, the two sleep duration groups did not differ in HR reactivity to laboratory stress tasks. There was a difference in HR recovery between groups, with short sleepers showing elevated HR following stress tasks. Short sleepers had higher HR during

both cognitive ( $F(78) = 5.39, p = .02$ ) and speech recovery periods ( $F(77) = 7.09, p = .01$ ) compared to average length sleepers. HR is plotted for each sleep duration group in Figure 7.



**Figure 7.** HR across laboratory session in sleep duration groups

Follow-up analyses showed that the difference between sleep groups in HR recovery was stronger during the first two minutes of the recovery period ( $F(78) = 7.67, p = .007$ ) than the final two minutes of the recovery period ( $F(78) = 3.39, p = .07$ ), suggesting delayed HR recovery rather than anticipatory arousal. The difference in HR recovery between sleep duration groups remained after removing the four participants with  $BMI > 30$ .

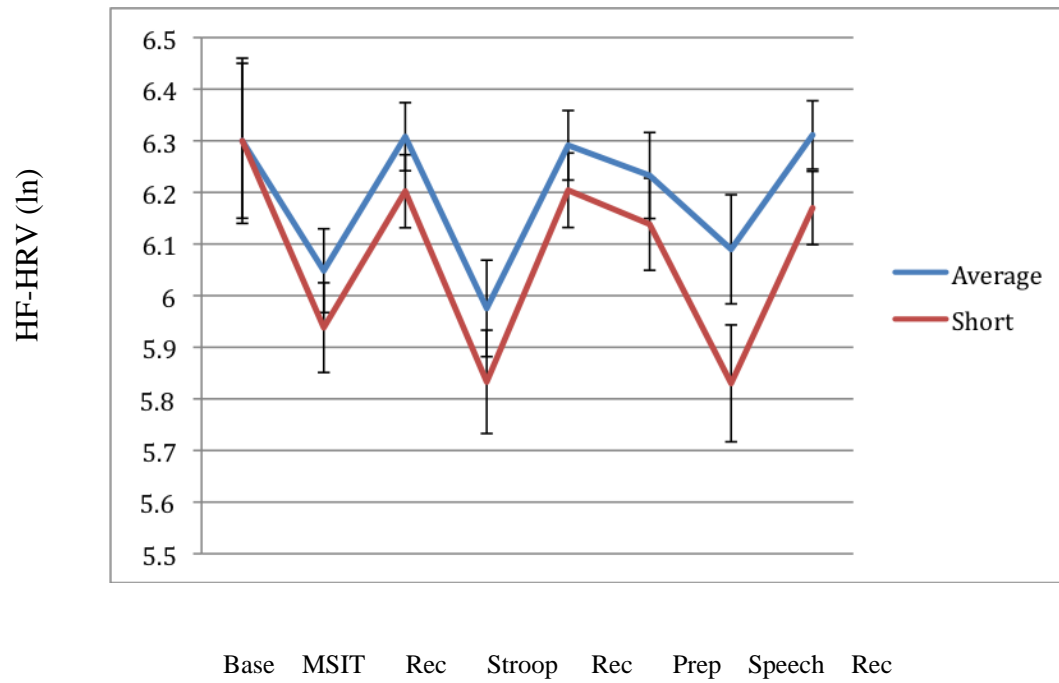
HF-HRV reactivity and recovery values by sleep duration group are displayed in Table 8.

**Table 8.** HF-HRV during baseline, reactivity, and recovery by self-reported sleep duration group

<b><u>Variable</u></b>	<b><u>≤ 6 hours/night</u></b>	<b><u>7-8 hours /night</u></b>	<b><u>F*</u></b>	<b><u>p</u></b>
Baseline HF-HRV	6.33 (.90)	6.34 (.92)	.001	.98
HF-HRV Reactivity	- .377 (.540)	- .211 (.478)	2.78	.10
HF-HRV Recovery	- .106 (.343)	+ .005 (.396)	.54	.47

\* adjusted for age, BMI, race, and respiration rate

After adjusting for age, race, BMI, and respiration rate, there were no differences between sleep groups in HF-HRV reactivity during stress tasks or HF-HRV recovery following stress tasks. Follow-up analyses examining tasks separately showed that short sleepers had marginally lower HF-HRV during the speech preparation and delivery periods compared to average sleepers ( $F(77) = 3.12, p = .08$ ). There was no difference between groups in HF-HRV during cognitive tasks ( $F(78) = 1.55, p = .22$ ).



**Figure 8.** HF-HRV across laboratory session in sleep duration groups

BP reactivity and recovery values by sleep duration group are displayed in Table 9.

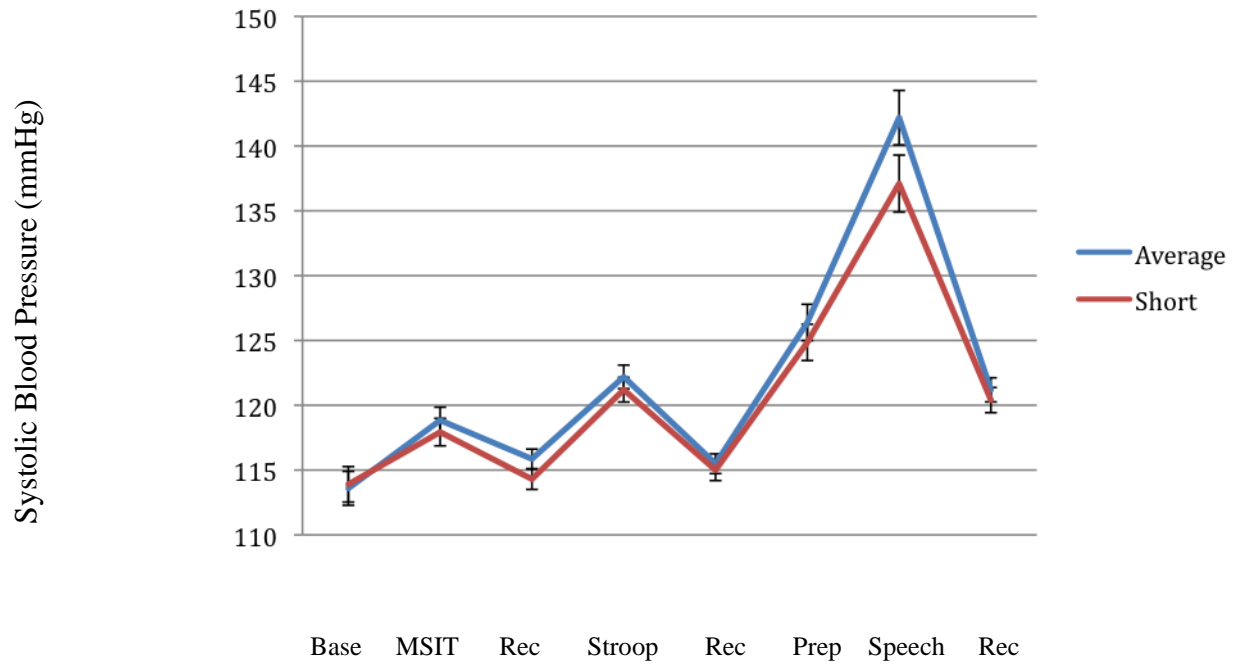
**Table 9.** BP during baseline, reactivity, and recovery by self-reported sleep duration group

<u>Variable</u>	Systolic Blood Pressure				Diastolic Blood Pressure			
	<u>≤ 6</u> <u>hours/night</u>	<u>7-8 hours</u> <u>/night</u>	<u>F*</u>	<u>p</u>	<u>≤ 6</u> <u>hours/night</u>	<u>7-8 hours</u> <u>/night</u>	<u>F*</u>	<u>p</u>
Baseline	113.93 (8.25)	113.33 (8.01)	.10	.75	61.81 (5.01)	63.54 (5.00)	2.38	.13
Task Reactivity	10.98 (5.98)	13.22 (7.09)	2.34	.13	9.50 (4.65)	9.58 (4.16)	.09	.76
Task Recovery	2.44 (3.17)	3.67 (4.85)	.76	.39	1.38 (2.90)	1.96 (2.84)	.18	.67

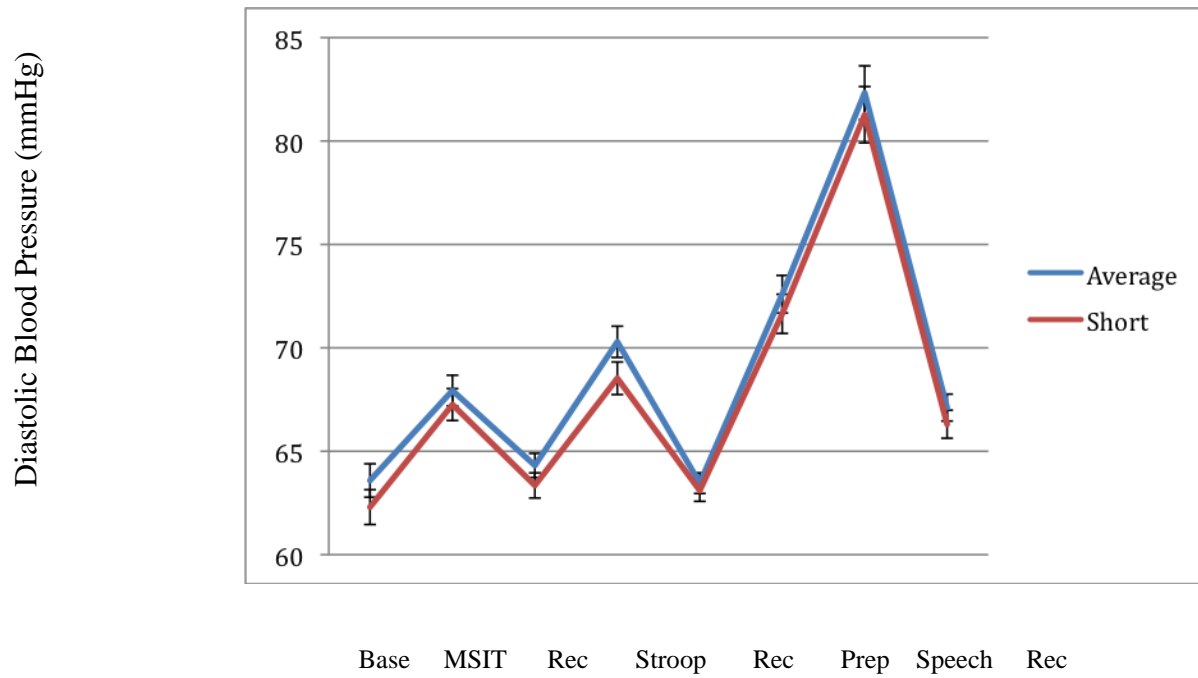
\*analyses use residualized change scores as outcomes; adjusted for age, race, and BMI.

After adjusting for age, race, and BMI, there were no differences between sleep groups in SBP or DBP reactivity during stress tasks. Sleep duration groups were also similar in their SBP and DBP recovery following stress tasks.





**Figure 9.** SBP throughout laboratory session in sleep duration groups



**Figure 10.** DBP throughout laboratory session in sleep duration groups

#### **4.2.2 Potential mediators of group differences in cardiovascular stress responses**

Short sleepers continued to show higher HR recovery after adjusting for daily stress ratings ( $F(78) = 7.46, p = .008$ ) or caffeine use ( $F(78) = 8.35, p = .005$ ). Short sleepers continued to show higher HR during recovery periods after adjusting for depression ( $F(78) = 6.08, p = .02$ ) or stressful life events ( $F(78) = 6.08, p = .02$ ). Adjustment for task arousal ratings or change in affect on the PANAS did not alter the difference in HR recovery between sleep groups ( $ps = .01$ ).

#### **4.2.3 Naps**

Adjustment for actigraphy-detected daytime naps did not alter the difference in HR recovery between self-reported sleep groups ( $F(78) = 6.73, p = .01$ ), nor did adjustment for self-reported naps ( $F(78) = 6.74, p = .01$ ).

#### **4.2.4 Continuous measure of self-reported sleep duration**

Self-reported habitual sleep duration was examined as a continuous predictor of cardiovascular stress responses. Shorter self-reported sleep duration was associated with higher HR recovery ( $\beta = -.28, p = .02$ ) after adjusting for age, race, and BMI, and with lower HF-HRV during stress tasks after adjusting for age, race, BMI, and respiration rate ( $\beta = .23, p = .05$ ). Self-reported sleep duration was not associated with HR reactivity ( $\beta = -.13, p = .28$ ), SBP reactivity ( $\beta = .04, p = .74$ ) or recovery ( $\beta = .04, p = .75$ ), or DBP reactivity ( $\beta = -.03, p = .84$ ) or recovery ( $\beta = .02, p = .86$ ).

**4.2.5 Continuous measure of self-reported sleep duration and cardiovascular stress responses after adjustment for mediators and naps**

Self-reported sleep duration was no longer associated with HR recovery after adjusting for actigraphy-detected nap minutes ( $\beta = -.15, p = .22$ ). The association between shorter self-reported sleep duration and lower HF-HRV during stress tasks remained after adjusting for all candidate mediators. The self-reported sleep duration and HF-HRV link was also independent of actigraphy-detected or self-reported nap minutes.

**4.2.6 Cardiovascular stress responses and actigraphy-measured sleep duration**

Results from linear regression models examining the association between actigraphy-assessed time spent asleep and cardiovascular reactivity and recovery are displayed in Tables 10 and 11.

**Table 10.** Results from linear regression models examining actigraphy total sleep time and CV stress reactivity

	HR Reactivity		HF-HRV Reactivity		Systolic BP Reactivity		Diastolic BP Reactivity	
	B	p	B	p	B	p	B	p
Average Time Spent Asleep	-.09	.49	.29	.02	.10	.42	-.01	.99

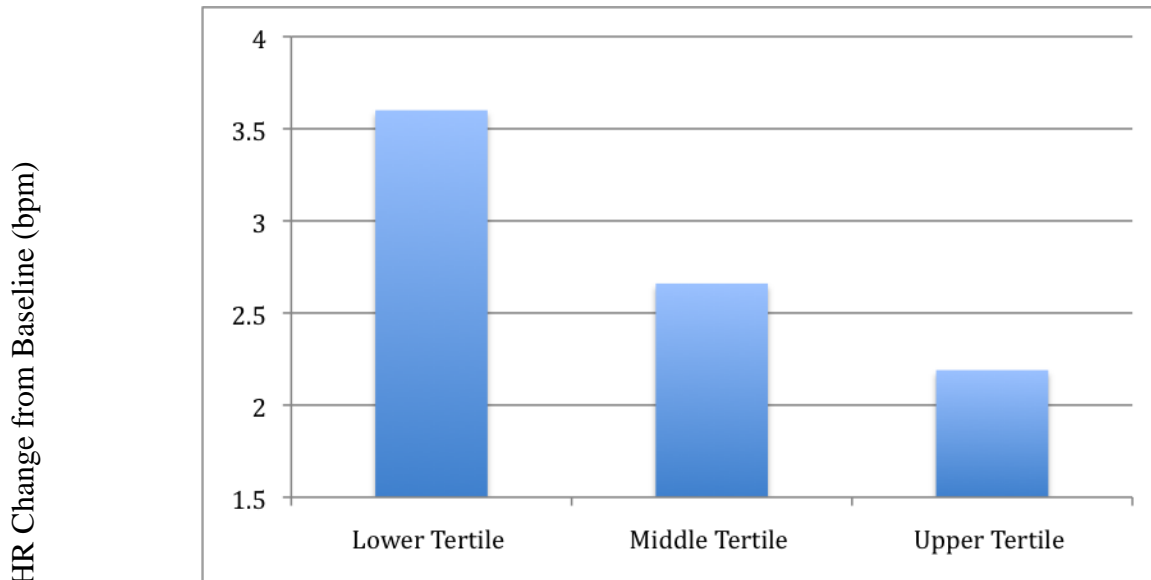
\*adjusted for age, race (Asian/Pacific Islander, Black, White), BMI; HF-HRV analyses are also adjusted for respiration rate.

**Table 11.** Results from linear regression models examining actigraphy total sleep time and CV stress recovery

	HR Recovery		HF-HRV Recovery		Systolic BP Recovery		Diastolic BP Recovery	
	B	p	B	p	B	p	B	p
Average Time Spent Asleep	-.26	.03	.12	.34	.08	.51	-.28	.02

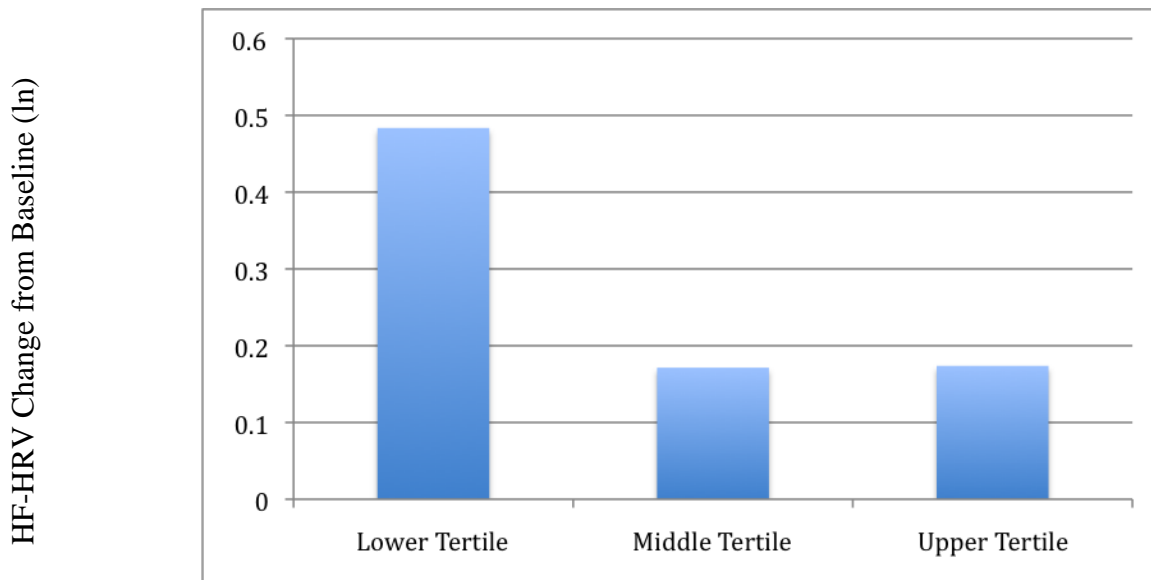
\*adjusted for age, race (Asian/Pacific Islander, Black, White), BMI; HF-HRV analyses are also adjusted for respiration rate.

Time spent asleep was not associated with HR reactivity. Shorter time spent asleep was associated with elevated HR recovery; results were slightly weaker for the cognitive ( $\beta = -.23, p = .07$ ) than the speech ( $\beta = -.25, p = .05$ ) recovery periods. Total sleep time accounted for about 5% of the variance in HR recovery. Follow-up analyses showed that time spent asleep was associated with HR during the first two minutes of the recovery period ( $\beta = -.26, p = .03$ ) but was not associated with HR during the final two minutes of recovery ( $\beta = -.20, p = .11$ ). Repeating analyses after removing four participants with BMI > 30 produced the same results.



Actigraphy-Assessed Time Spent Asleep

**Figure 11.** HR recovery averaged across task by tertile of time spent asleep

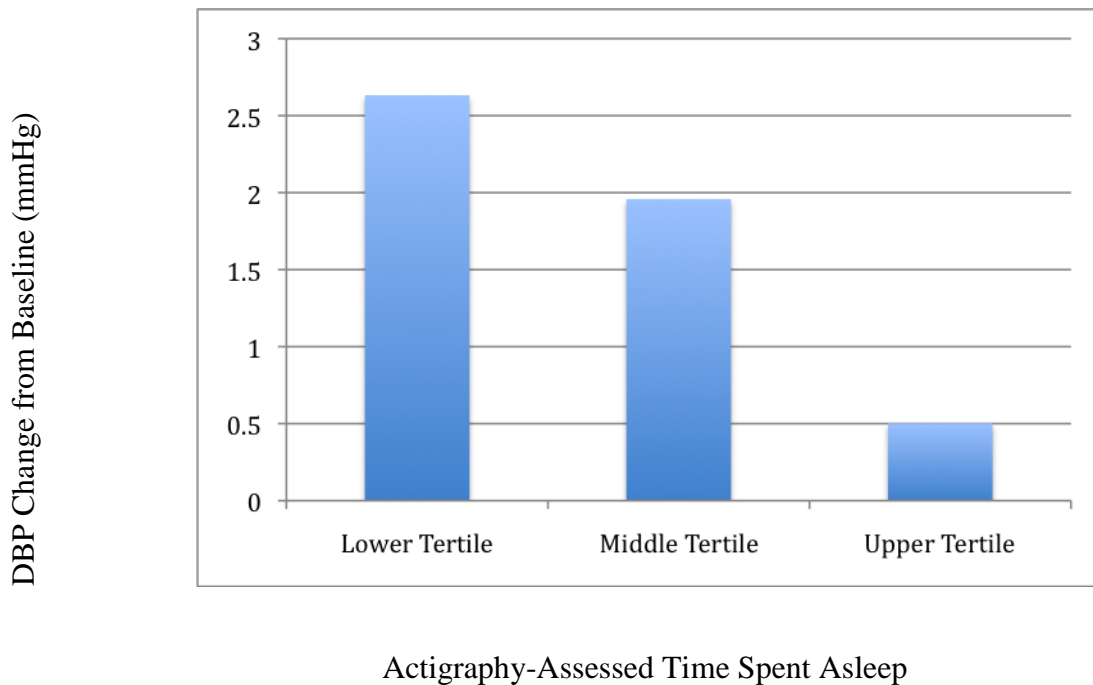


Actigraphy-Assessed Time Spent Asleep

**Figure 12.** Baseline to task HF-HRV reactivity averaged across task by tertile of time spent asleep

Shorter actigraphy time spent asleep was related to a greater decline in HF-HRV during stress tasks; total sleep time accounted for approximately 7% of the variance in HF-HRV. Follow-up analyses showed that shorter time asleep was associated with a greater reduction in HF-HRV during cognitive tasks ( $\beta = .33, p = .005$ ) but was not related to change in HF-HRV during the speech preparation and delivery tasks ( $\beta = .18, p = .15$ ). Repeating analyses after removing four participants with BMI > 30 produced the same results. Time spent asleep was not related to HF-HRV during recovery periods.

Actigraphy time spent asleep was not associated with systolic or diastolic BP reactivity, nor was it associated with SBP recovery. Shorter time spent asleep was associated with elevated DBP recovery, accounting for approximately 6% of the variance. The association remained after removing four participants with BMI > 30. Shorter time spent asleep was associated with higher DBP after cognitive tasks ( $\beta = -.29, p = .01$ ) but not after the speech task ( $\beta = -.16, p = .20$ ).



**Figure 13.** DBP recovery averaged across task by tertile of time spent asleep

#### **4.2.7 Potential mediators of relationships between actigraphy-measured total sleep time and cardiovascular stress responses**

The associations between shorter actigraphy-measured sleep and greater HF-HRV withdrawal during stress tasks, higher HR recovery, and higher diastolic BP recovery remained after adjusting for daily stress ratings (HF-HRV:  $\beta = .25$ ,  $p = .05$ ; HR:  $\beta = -.26$   $p = .03$ ; diastolic BP:  $\beta = -.28$ ,  $p = .02$ ). Shorter actigraphy time spent asleep was marginally associated with higher daily diary ratings of negative mood (see table 23A in Appendix 1), and adjusting for diary negative mood reduced the association between time spent asleep and stressor-evoked HF-HRV by 18% and to marginal significance ( $\beta = .22$ ,  $p = .07$ ); thus, diary negative mood was tested as a mediator in bootstrapping analyses. There was a marginally significant direct path from time spent asleep to diary negative mood ( $B = -.002$ ,  $SE = .0009$ ,  $p = .08$ ) and a significant direct path from diary negative mood to HF-HRV during stress tasks ( $B = -.45$ ,  $SE = .22$ ,  $p = .04$ ). However, the bootstrapped confidence interval for the estimate of the indirect effect from time spent asleep to HF-HRV through diary reports of negative mood contained 0, indicating that mediation was nonsignificant (95% CI = .00 - .03). Adjusting for diary reports of negative mood did not alter any of the other relationships reported above.

Those with shorter total sleep time continued to show lower HF-HRV during stress tasks, and elevated HR and diastolic BP recovery following stress tasks, after adjustment for depression (HF-HRV:  $\beta = .25$ ,  $p = .05$ ; HR:  $\beta = -.25$   $p = .05$ ; diastolic BP:  $\beta = -.27$ ,  $p = .03$ ) or ongoing, stressful life events (HF-HRV:  $\beta = .24$ ,  $p = .05$ ; HR:  $\beta = -.28$   $p = .03$ ; diastolic BP:  $\beta = -.25$ ,  $p = .04$ ).

Adjustment for task arousal ratings or change in negative affect as reported on the PANAS did not alter links between total sleep time and HF-HRV reactivity, HR recovery, or diastolic BP recovery ( $p < .05$ ). Adjustment for change in positive affect resulted in a slight decrease in the strength of the association between total sleep time and HR recovery (12% reduction;  $\beta = -.23$   $p = .07$ ). Change in positive affect was tested as a mediator in bootstrapping analyses. The direct path from total sleep time to change in positive affect was not significant ( $B = -13.1$ ,  $SE = 10.5$ ,  $p = .22$ ), nor was the direct path from positive affect to HR recovery significant ( $B = -.003$ ,  $SE = .002$ ,  $p = .09$ ); therefore, a mediating pathway through positive affect was not supported.

#### **4.2.8 Naps**

Adjustment for actigraphy-detected daily nap minutes reduced the association between actigraphy-assessed total sleep time and HR recovery to non-significance ( $\beta = -.22$ ,  $p = .10$ ). This could either suggest that naps acted as a “buffer,” negating the effects of shorter nocturnal sleep, or that naps and nocturnal sleep account for common variance in HR recovery (actigraphy total sleep time and actigraphy-detected naps were correlated at  $r = -.4$ ,  $p < .001$ ). Thus, the relationship between actigraphy-detected naps and HR recovery was examined in linear regression models. Longer daily actigraphy-detected naps were associated with higher HR recovery before adjusting for actigraphy nocturnal total sleep time ( $\beta = .23$ ,  $p = .05$ ). In the model that included both daytime naps and nocturnal sleep, naps were no longer associated with HR recovery ( $\beta = .16$ ,  $p = .20$ ). Adjusting for self-reported nap minutes did not change the association between actigraphy total sleep time and HR recovery ( $\beta = -.36$ ,  $p = .01$ ).



The associations of actigraphy-assessed total sleep time with HF-HRV reactivity and DBP recovery remained after adjusting for actigraphy-detected nap minutes (HF-HRV:  $\beta = .29$ ,  $p = .03$ ; DBP:  $\beta = -.26$ ,  $p = .05$ ) or self-reported nap minutes (HF-HRV:  $\beta = .28$ ,  $p = .05$ ; HR:  $\beta = -.32$ ,  $p = .02$ ; diastolic BP:  $\beta = -.27$ ,  $p = .05$ ).

### 4.3 HYPOTHESIS TWO

Results from linear regression models examining the links between sleep efficiency and cardiovascular reactivity and recovery are displayed in tables 12 and 13.

**Table 12.** Results from linear regression models examining actigraphy sleep efficiency and CV stress recovery

	HR Reactivity		HF-HRV Reactivity		Systolic BP Reactivity		Diastolic BP Reactivity	
	B	p	B	p	B	p	B	p
Sleep Efficiency	-.15	.22	.27	.03	-.11	.37	-.10	.44

\*adjusted for age, race (Asian/Pacific Islander, Black, White), BMI; HF-HRV analyses are also adjusted for respiration rate.

**Table 13.** Results from linear regression models examining actigraphy sleep efficiency and CV stress recovery

	HR Recovery		HF-HRV Recovery		Systolic BP Recovery		Diastolic BP Recovery	
	B	p	B	p	B	p	B	p
Sleep Efficiency	-.30	.01	.29	.13	-.13	.28	-.17	.16

\*adjusted for age, race (Asian/Pacific Islander, Black, White), BMI; HF-HRV analyses are also adjusted for respiration rate.

Sleep efficiency was unrelated to HR reactivity averaged across all tasks, but examination of separate tasks revealed an association between decreased sleep efficiency and higher HR reactivity during cognitive stressors ( $\beta = -.26, p = .03$ ). There was no association between sleep efficiency and HR reactivity during speech preparation and delivery ( $\beta = -.08, p = .54$ ). Sleep efficiency was related inversely to HR recovery; in follow-up analyses, decreased efficiency was related to higher HR during the speech recovery period ( $\beta = -.32, p = .01$ ) but not during the cognitive task recovery period ( $\beta = -.20, p = .11$ ). When sleep efficiency and total sleep time were included in the same model as predictors of HR recovery, both sleep parameters were reduced to non-significance (sleep efficiency:  $\beta = -.22, p = .15$ ; total sleep time:  $\beta = -.15, p = .30$ ).

Decreased sleep efficiency was associated with greater withdrawal in cardiac parasympathetic activity as characterized by a greater decrease in HF-HRV during stress tasks. Sleep efficiency accounted for approximately 7% of the variance in HF-HRV during stress tasks. Follow-up analyses showed that the link between sleep efficiency and lower HF-HRV was due to reactivity during cognitive tasks ( $\beta = .32, p = .009$ ) rather than during speech preparation and delivery ( $\beta = .18, p = .18$ ). When both sleep efficiency and total sleep time were included in the same model as predictors of cognitive task HF-HRV, both parameters were reduced to non-significance (sleep efficiency:  $\beta = .18, p = .20$ ; total sleep time:  $\beta = .22, p = .11$ ). Sleep efficiency was not related to HF-HRV during recovery periods.

Sleep efficiency was not associated with SBP or DBP reactivity or recovery averaged across cognitive and speech tasks. When tasks were examined separately, one association approached significance: decreased sleep efficiency was marginally related to higher SBP

reactivity to cognitive tasks ( $\beta = -.29, p = .06$  after adjusting for covariates and total sleep time). All of the above results were identical after removing four participants with BMI > 30.

#### **4.3.1 Potential mediators of relationships between actigraphy-measured sleep efficiency and cardiovascular stress responses**

The associations between decreased actigraphy-measured sleep efficiency and greater HF-HRV withdrawal during stress tasks and higher HR during recovery remained after adjusting for daily stress ratings (HF-HRV:  $\beta = .31, p = .01$ ; HR:  $\beta = -.26, p = .03$ ). Decreased sleep efficiency was related to higher daily ratings of negative mood in the diary (see Table 17A in Appendix 1), and adjusting for negative mood reduced the association between sleep efficiency and stressor-evoked HF-HRV by 21% and to marginal significance ( $\beta = .23, p = .06$ ); thus, negative mood was tested as a mediator in bootstrapping analyses. There was a marginally significant direct path from sleep efficiency to diary negative mood ( $B = -.01, SE = .007, p = .07$ ) and a significant direct path from diary negative mood to HF-HRV during stress tasks ( $B = -.46, SE = .22, p = .04$ ). However, the bootstrapped confidence interval for the estimate of the indirect effect from sleep efficiency to HF-HRV through diary negative mood contained 0, indicating nonsignificance (95% CI = .00 - .02). Adjustment for diary reports of negative mood did not affect the link between sleep efficiency and HR recovery ( $\beta = -.29, p = .02$ ).

Less efficient sleepers continued to show greater HF-HRV withdrawal during cognitive tasks and higher HR during recovery from tasks after adjustment for depressive symptoms (HF-HRV:  $\beta = .33, p = .01$ ; HR:  $-.28, p = .03$ ) or ongoing, upsetting stressful life events (HF-HRV:  $\beta$

= .36,  $p = .006$ ; HR:  $\beta = -.32$ ,  $p = .02$ ). Decreased sleep efficiency was also associated with higher Cook Medley Hostility scores, but adjusting for hostility did not alter any of the relationships reported above.

Adjustment for task arousal ratings or changes in affect (negative or positive valence) as reported on the PANAS did not alter links between sleep efficiency and HF-HRV reactivity or HR recovery ( $ps > .05$ ).

### **4.3.2 Naps**

The association between sleep efficiency and HR recovery was slightly attenuated after adjustment for actigraphy-detected naps ( $\beta = -.24$ ,  $p = .06$ ), but not after adjustment for self-reported naps ( $\beta = -.29$ ,  $p = .03$ ). Sleep efficiency and HF-HRV reactivity remained associated after adjustment for actigraphy-detected ( $\beta = .29$ ,  $p = .03$ ) or self-reported naps ( $\beta = .31$ ,  $p = .02$ ).

## **4.4 HYPOTHESIS THREE**

Results from linear regression models examining the link between within-person variability and cardiovascular responses to stress are displayed in Tables 14-15. None of the hypothesized associations were supported. The only relationship observed was that between greater variability in actigraphy-assessed total sleep time and lower diastolic BP during recovery periods. (Mean actigraphy total sleep time remained associated with higher diastolic BP during recovery after

adjustment for variability in total sleep time,  $\beta = -.36$ ,  $p = .003$ ). The association between variability in sleep and diastolic BP was independent of diary characteristics, including daily stress, daily negative mood, and self-reported nap minutes; psychosocial attributes, including depressive symptoms and stressful life events; laboratory variables, including task appraisals and PANAS ratings; and naps. Removing four participants with BMI > 30 did not alter the results.

**Table 14.** Results from linear regression models examining variability in actigraphy total sleep time and CV stress reactivity

	HR Reactivity		HF-HRV Reactivity		Systolic BP Reactivity		Diastolic BP Reactivity	
	B	p	B	p	B	p	B	p
Within-Person Variability in Total Sleep Time	.09	.47	-.16	.16	.17	.16	.15	.23

\*adjusted for age, race (Asian/Pacific Islander, Black, White), BMI, and mean total sleep time; HF-HRV analyses are also adjusted for respiration rate.

**Table 15.** Results from linear regression models examining variability in actigraphy total sleep time and CV stress recovery

	HR Recovery		HF- HRV Recovery		Systolic BP Recovery		Diastolic BP Recovery	
	B	p	B	p	B	p	B	p
Within-Person Variability in Total Sleep Time	-.17	.15	-.02	.88	-.13	.28	-.27	.02

\*adjusted for age, race (Asian/Pacific Islander, Black, White), BMI, and mean total sleep time; HF-HRV analyses are also adjusted for respiration rate.

## 4.5 SUPPLEMENTARY ANALYSES

### 4.5.1 Actigraphy-measured sleep directly prior to the laboratory session

Total sleep time as assessed by actigraphy on the night before the laboratory session was not associated with any of the cardiovascular stress reactivity (HR:  $\beta = .08$ ,  $p = .64$ ; SBP:  $\beta = -.15$ ,  $p = .40$ ; DBP:  $\beta = -.09$ ,  $p = .64$ ; HF-HRV:  $\beta = -.02$ ,  $p = .90$ ) or recovery parameters (HR:  $\beta = .02$ ,  $p = .92$ ; SBP:  $\beta = -.01$ ,  $p = .97$ ; DBP:  $\beta = .18$ ,  $p = .28$ ; HF-HRV:  $\beta = .03$ ,  $p = .86$ ).

### 4.5.2 Diary-measured sleep quality

Poorer sleep quality as reported in the morning diary during the week before the laboratory session was related to lower HF-HRV during stress tasks ( $\beta = .23$ ,  $p = .05$ ), as well as lower HF-HRV during recovery from stress tasks ( $\beta = .24$ ,  $p = .04$ ). These effects were independent of total sleep time and sleep efficiency as assessed by actigraphy. These effects were also independent of diary characteristics, psychological attributes, laboratory variables, and naps. Diary-reported sleep quality was unrelated to HR reactivity ( $\beta = -.09$ ,  $p = .46$ ), HR recovery ( $\beta = -.18$ ,  $p = .13$ ), BP reactivity (SBP:  $\beta = -.04$ ,  $p = .75$ ; DBP:  $\beta = .07$ ,  $p = .57$ ), or BP recovery (SBP:  $\beta = -.18$ ,  $p = .11$ ; DBP:  $\beta = -.02$ ,  $p = .89$ ).

### **4.5.3 Weekday versus weekend sleep**

All of the associations between actigraphy-assessed total sleep and cardiovascular stress responses were significant when average sleep time was calculated using weeknight sleep only. There were no associations between weekend sleep time and cardiovascular stress responses.

### **4.5.4 Moderators of the sleep-cardiovascular stress response relationship**

Sleep efficiency was tested as a moderator of the associations between actigraphy-assessed total sleep time and cardiovascular stress responses. The only evidence of moderation was for HF-HRV recovery (total sleep time X efficiency,  $\beta = 3.43$ ,  $p = .03$ ). Plotting the simple slopes at one standard deviation above and below the mean of sleep efficiency revealed that the relationship between total sleep time and recovery HF-HRV tended to be positive at high levels of sleep efficiency and negative at low levels of sleep efficiency; however, the simple slopes were not significant (total sleep time and HF-HRV at high levels of sleep efficiency:  $\beta = .23$ ,  $p = .20$ ; total sleep time and HF-HRV at low levels of sleep efficiency:  $\beta = -.20$ ,  $p = .26$ ).

Several other variables were tested as potential moderators of the associations between actigraphy-assessed total sleep time and cardiovascular stress responses, including daytime sleepiness as measured by the Epworth Sleepiness Scale, within-person variability in actigraphy total sleep time, negative affect (composite score of depressive symptoms, anxiety, and hostility questionnaires), stressful life events, sleep quality, task arousal, and naps. None of the interaction terms were related to cardiovascular stress outcomes.



#### 4.5.5 Sleep as a mediator of psychosocial attributes and cardiovascular stress responses

There was some evidence that actigraphy-assessed time spent asleep mediated links between reports of psychological stress and HF-HRV withdrawal during stress. Results based on 5000 bootstrapped samples indicated that there were significant direct effects of ongoing, stressful life events on actigraphy-assessed time spent asleep ( $B = -3.74$ ,  $SE = 1.90$ ,  $p = .05$ ) and of time spent asleep on HF-HRV during stress tasks ( $B = .004$ ,  $SE = .002$ ,  $p = .04$ ). While the total effect of stressful life events on HF-HRV was significant ( $B = -.06$ ,  $SE = .03$ ,  $p = .05$ ), the direct effect was not ( $B = -.05$ ,  $SE = .03$ ,  $p = .13$ ). The bootstrapped confidence interval for the estimate of the indirect effect of stressful life events on HF-HRV through time spent asleep did not contain 0, indicating significant mediation (95% CI =  $-.04$  -  $-.002$ ).

Similarly, there were marginally significant direct effects of daily diary stress reports on actigraphy-assessed time spent asleep ( $B = -19.8$ ,  $SE = 11.5$ ,  $p = .09$ ), and there was a significant direct effect of time spent asleep on HF-HRV during stress tasks ( $B = .004$ ,  $SE = .002$ ,  $p = .03$ ). While the total effect of daily diary stress on HF-HRV was significant ( $B = -.37$ ,  $SE = .19$ ,  $p = .05$ ), the direct effect was not ( $B = -.29$ ,  $SE = .19$ ,  $p = .12$ ). The bootstrapped confidence interval for the estimate of the indirect effect of daily diary reports on HF-HRV through time spent asleep did not contain 0, indicating significant mediation (95% CI =  $-.25$  -  $-.007$ ).

## 5.0 DISCUSSION

This study examined the associations between sleep and cardiovascular stress responses in 79 healthy, undergraduate men. The primary hypothesis was that men reporting a typical sleep duration of 6 or less hours over the past month would have more dramatic and prolonged HR, HF-HRV, and BP responses to stressful laboratory tasks than men reporting 7-8 hours of sleep per night. Those reporting fewer than 6 hours of sleep per night, referred to here as short sleepers, had higher recovery HR following stress tasks than those reporting longer sleep. There were no group differences in HR reactivity to stressful tasks, nor were there any group differences in HF-HRV or BP reactivity or recovery to stressful tasks. Thus, the primary hypothesis of this project was largely unsupported, with self-reported sleep duration groups showing mostly similar cardiovascular responses to stress.

In addition to self-reports of typical sleep, estimates of total sleep time and sleep efficiency during the week preceding the laboratory testing session were obtained with actigraphy. Shorter actigraphy-assessed total sleep time over the week was associated with greater reductions in HF-HRV during stress tasks, and with elevated DBP following stress tasks. Associations were independent of age, race, BMI, respiration, and a variety of health behaviors and psychosocial attributes. Shorter actigraphy total sleep also was related to heightened HR following stress; however, this association was no longer significant after adjusting for daytime naps detected by the actigraph. Actigraphy-assessed total sleep was not related to HR reactivity

or BP reactivity, nor was it related to HF-HRV recovery after tasks. With regard to actigraphy-assessed sleep efficiency, less efficient sleep was related to greater reductions in HF-HRV during stress, as well as higher HR recovery following stress. Adjustment for actigraphy naps led to an attenuation of the relation between sleep efficiency and HR recovery. Decreased efficiency was also related to increased HR reactivity to cognitive tasks only. Unlike total sleep time, sleep efficiency was not associated with DBP recovery.

Thus, hypothesized relationships among shorter, less efficient actigraphy-assessed sleep and exaggerated cardiovascular stress responses were partially supported by the data. Overall, the most consistent relationships were between actigraphy-assessed sleep parameters and attenuated HF-HRV during stress tasks, and higher HR after stress tasks. The strength of several of these relationships was reduced after adjusting for actigraphy-assessed daytime naps.

A secondary aim of this study was to determine if within-person variability in actigraphy total sleep time co-varied with cardiovascular stress responses. This hypothesis was not supported, as estimates of individual variability in sleep were unrelated to nearly all of the cardiovascular stress responses examined. The one exception was an inverse relationship between within-person variability in total sleep time and DBP following stress tasks.

## **5.1 CARDIOVASCULAR STRESS RESPONSES BY SELF-REPORTED SLEEP DURATION GROUP**

Cut-offs used to determine the sleep groups in this sample were based on epidemiological data showing an increased risk of cardiovascular morbidity and mortality among individuals reporting

habitually short sleep duration, typically less than 5 or 6 hours per night (Cappuccio, Cooper, D'Elia, Strazzullo, & Miller, 2011). It was expected that short sleepers would show more exaggerated cardiovascular responses upon encountering a stressor, and that these responses may constitute one physiological pathway by which short sleep increases cardiovascular risk. However, self-reported sleep groups in the current study did not differ in most of the cardiovascular stress responses examined. One exception was that short sleepers had elevated HR during the recovery periods following stress tasks compared to the 7-8 hour sleepers. This finding may suggest that either the process by which HR is restored after a challenge is decelerated among individuals reporting short sleep, or that these individuals have higher levels of anticipatory arousal preceding a challenging task. Follow-up analyses supported the former, as the difference in HR between sleep groups was stronger during the two minutes following task completion than during the two minutes before an upcoming task. Furthermore, sleep groups did not differ in their HR reactivity during the speech preparation period, a time likely characterized by anticipatory arousal.

The bulk of the literature linking delayed HR recovery to cardiovascular outcomes is based on studies of aerobic stress; slower HR decline following exercise tolerance tests predicts coronary events (Pitsavos et al., 2004), coronary artery disease (Lipinski, Vetrovec, & Froelicher, 2004), and mortality (Cole, Blackstone, Pashkow, Snader, & Lauer, 1999) in longitudinal studies. Fewer studies have examined the cardiovascular consequences of delayed HR recovery following psychological stress. A meta-analysis by Chida and Steptoe (2010) based on a small number of studies reported that prolonged HR recovery following mental stress predicts subsequent cardiovascular risk status, with outcomes consisting of higher IMT (Heponiemi et al., 2004) and higher BP (Steptoe et al., 2005; Stewart et al., 2006) across 2-3 year

follow-ups. Therefore, it is plausible that prolonged HR recovery following psychological stress is among the pathways linking self-reported short sleep to cardiovascular morbidity.

It was expected that self-reported short sleepers would perceive challenging tasks as more negative or more arousing, and that this appraisal may have a top-down influence on autonomic activity. Results showed that short sleepers rated cognitive tasks, but not the speech, as more arousing than did average length sleepers, and they also reported larger increases in negative mood across the laboratory session, than average length sleepers. Interestingly, shorter sleepers also reported greater increases in positive, activated mood states, such as feeling alert and excited, across the stress session. Reports of decreased mood and higher arousal did not mediate HR recovery differences between the self-reported sleep groups (although ratings of arousal, in particular, co-varied with many of the cardiovascular reactivity indices; see Table 25A). Nevertheless, these data complement a larger literature linking sleep disturbances or deficits with heightened emotional reactivity (Hamilton, Catley, & Karlson, 2007; Kumari et al., 2009; Morin, Rodrigue, & Ivers, 2003; Zohar et al., 2005). Prior work in this area has focused on populations with abnormal sleep, such as medical residents or persons with insomnia, or has acknowledged the challenge of capturing “objective” stressors independent of subjective appraisal or recall bias. Thus, the current results add to the literature by demonstrating a relationship between normative variation in subjective sleep duration and emotional reactivity to a controlled set of stressful stimuli in healthy young adults.

Sleep duration groups did not differ in HF-HRV reactivity or recovery, perhaps suggesting that changes in vagal cardiac influence may not be a relevant mechanism linking self-reported short sleep to cardiovascular outcomes. On the other hand, when self-reported sleep duration was examined as a continuous predictor, shorter sleep duration was related to lower HF-

HRV during stress tasks, suggesting that dichotomization of groups may have resulted in loss of information about individual differences and decreased power (MacCallum, Zhang, Preacher, & Rucker, 2002). This explanation may be likely given that shorter actigraphy-assessed total sleep time was similarly associated with lower HF-HRV during stress.

Self-reported sleep duration groups did not differ in BP reactivity or recovery, nor was there a relation between continuously measured self-reported sleep duration and BP stress responses. These results suggest that pressor changes in response to stress are not among the mechanisms linking reports of short sleep to cardiovascular outcomes. Another possibility is that BP responses to stress may be a relevant pathway only after short sleep occurs consistently over extended periods of time, or in those who sleep less during specific periods of the lifespan. For instance, the bulk of the literature reporting elevated cardiovascular risk among self-reported short sleepers is based upon samples of middle-aged to older adults. All of the participants in this study were in college, a period characterized by dramatic shifts in sleep timing (Carskadon & Davis, 1989). Thus, reported sleep duration may reflect a relatively recent change in behavior, with adult sleep patterns not stabilizing for several more years. On the other hand, Mezick et al. (2012) reported a link between shorter actigraphy-assessed sleep and higher BP in a sample of high school students, and Franzen et al. (2011) demonstrated that sleep deprivation in healthy young adults (mean age = 23 yrs) results in increased SBP reactivity, suggesting that the cardiovascular correlates of sleep restriction may indeed be present earlier in the lifespan. Longitudinal studies examining sleep duration and BP reactivity over time will be critical in determining whether changes in the pressor response system gradually accumulate as a result of short sleep.

## 5.2 CARDIOVASCULAR STRESS RESPONSES AND ACTIGRAPHY-ASSESSED SLEEP DURATION

Shorter actigraphy total sleep time during the week preceding the laboratory testing session was related to greater HF-HRV withdrawal during stress tasks, as well as higher HR and diastolic BP during task recovery. There may be several reasons why actigraphy total sleep time was related to DBP recovery, but self-reported sleep duration was not. Self-reports do not correlate perfectly with objective assessments of sleep duration, and individuals typically overestimate their habitual sleep length relative to total sleep time as quantified by actigraphy (Lauderdale, Knutson, Yan, Liu, & Rathouz, 2008). Researchers have suggested several explanations for this discrepancy, positing that overestimates may be due to variability in sleep duration over time or to the misperception of sleep onset and nighttime awakenings. Others have suggested that factors other than actual sleep time, such as sleep quality, daytime fatigue, or psychological attributes, may influence subjective reports (Knutson & Turek, 2006; van den Berg et al., 2008).

In the current study, habitual sleep duration reported on the PSQI correlated with actigraphy total sleep time at  $r = .54$ . Similar to previous reports, more than 80% of participants over-estimated their habitual sleep duration relative to actigraphy sleep time across one week; the mean over-estimation was by 47 minutes. These data suggest that self-reported and actigraphy-assessed sleep duration are related but not synonymous constructs, and it is possible that the aspects of sleep duration captured by actigraphy (i.e., movement throughout the night) are more closely associated with BP regulation than perceptions of duration.

Additionally, although the two self-reported sleep groups in this study differed by 54 minutes in actigraphy sleep over one week, visual inspection of the data revealed substantial overlap in total sleep time between the two groups (see Figure 3). Indeed, about 10% of

participants would have been classified in a different sleep group if actigraphy total sleep time was used to create groups instead of self-report (i.e., four participants reporting a habitual sleep duration of fewer than 6 hours got more than 6 hours of sleep as assessed by actigraphy, and five participants reporting a habitual sleep duration of 7-8 hours got less than 5.5 hours of sleep as assessed by actigraphy). Thus, the two groups in this study may not have been disparate enough in their actual sleep to observe a difference in BP stress responses. Another possibility is that the week of sleep assessment for this study was not representative of usual patterns as reported on the PSQI. If so, this would suggest that acute changes in sleep during the week preceding the laboratory tasks were more influential on BP responses to stress than habitual sleep. Although this explanation may be less likely given that sleep on the night before the laboratory testing session was not related to cardiovascular reactivity or recovery, it cannot be ruled out based on the current data.

Several previous studies examining variation in sleep in relation to physiological stress responses, including cardiovascular and neurohormonal activity, observed associations with actigraphy-assessed sleep continuity but not with self-reported sleep duration (Palesh et al., 2008; Wright et al., 2007). Others have detected associations between sleep parameters and physiological stress responses in studies where only self-report sleep measures were used (Capaldi et al., 2005; Uchino et al., 2005). With regard to the larger literature on sleep and CVD, relatively few studies have examined actigraphy-assessed sleep in relation to cardiovascular morbidity, and even fewer have compared self-reports and actigraphy estimates within the same study. An exception is King et al.'s 2008 study demonstrating that actigraphy-assessed short sleep, but not self-report, was associated with an increase in coronary artery calcification over five years in CARDIA. Matthews et al. (2011) reported that PSG-assessed sleep duration, but



not self-reported sleep duration, was associated with higher blood pressure in a cross-sectional study of 224 black and white adults. Additionally, a small but growing literature has linked actigraphy-assessed total sleep time with other cardiovascular risk factors, such as elevated BP and obesity (Javaheri et al., 2008; Mezick et al., 2012; Patel et al., 2008). Inclusion and comparison of multiple methods of sleep assessment for their associations with cardiovascular risk will be helpful in elucidating the mechanisms responsible for the short sleep-CVD link.

### **5.3 CARDIOVASCULAR STRESS RESPONSES: A CLOSER LOOK**

#### **5.3.1 High-frequency heart rate variability and heart rate**

Shorter actigraphy total sleep time and shorter self-reported sleep duration (when measured continuously) were associated with greater reductions in HF-HRV during stress tasks. This finding is largely consistent with the few prior studies on sleep and vagal stress responses that have been conducted. In Uchino et al.'s 2005 study, increases in self-reported sleep over a 7-to-16 month span were related to less vagal withdrawal during laboratory stressors (mental arithmetic and speech tasks). The age range of that sample was 30 to 70 years old, and sleep duration was defined as self-reported hours typically obtained in a week. In a sample of women with breast cancer, decreased sleep continuity assessed by actigraphy over three nights was related to lower overall HF-HRV during the Trier Social Stress Test. Studies in humans and animals also have shown that experimental sleep deprivation leads to reductions in resting vagal

activity, as well as reduced vagal antagonism in response to stress (Zhong et al., 2005; Sgoifo et al., 2005).

One study reported contradictory results, such that actigraphy-assessed shorter sleep and increased sleep fragmentation were associated with *less* vagal withdrawal during a reaction time task in 6-to-12-year-olds (El-Sheikh & Buckhalt, 2005). The authors argue that *higher* levels of vagal withdrawal during a challenging situation reflect a heightened, or more efficient, capacity to formulate an organized and appropriate physiological response. This explanation is in line with Porges' polyvagal theory, which posits that increased cardiac vagal control in times of rest (vagal tone) and decreased vagal control in times of stress or challenge (vagal reactivity) is due to a more flexible and efficient disengagement and reengagement of the vagal brake (Porges 2003, Porges, Doussard-Roosevelt & Maiti, 1994). Thus, greater vagal suppression is argued to be adaptive in some situations and related to increased emotion regulatory capacity. The polyvagal theory has been examined and supported primarily in infants and children; it has not been studied as frequently in adults. For instance, the literature on vagal reactivity to laboratory tasks and psychosocial functioning in adults is small and equivocal, with one study reporting a link between increased task vagal suppression and depressive symptoms (Rottenberg, Clift, Bolden, & Salomon, 2007), and another reporting that less vagal withdrawal during psychological challenge correlates with more positive social functioning (Egizio, Jennings, Christie, Sheu, Matthews, & Gianaros, 2008).

With regard to cardiovascular risk, diminished HF-HRV at rest or during clinic visits is associated with the progression of coronary artery calcification, and an increased risk for cardiac events and all-cause mortality (Tsuji et al., 1994; Tsuji et al., 1996; Rodrigues, Ehrlich, Hunter, Kinney, Rewers, Snell-Bergeon, 2010); however, the cardiovascular implications of vagal

withdrawal during stress are less clear. Gianaros et al. (2005) reported that a greater reduction in HF-HRV during preparation for a speech task was related to more extensive coronary artery and aortic calcification, and Matthews et al. (2003) reported that lower mean successive differences in interbeat intervals during a battery of laboratory stress tasks predicted increases in diastolic BP over 3 years in children and adolescents. Steptoe and Marmot (2005) also demonstrated that lower HRV (as assessed by the root mean square of successive difference in R-R intervals) during stress tasks predicted increases in DBP over 3 years in 209 middle-aged men and women free from CVD and hypertension. In contrast, Heponiemi et al. (2007) observed a link between greater stressor-evoked RSA reactivity and lower IMT 2 years later. Thus, further study of the associations between sleep and task-induced fluctuations in vagal control in the context of cardiovascular risk versus emotion regulation may be warranted.

The fact that actigraphy-assessed sleep parameters were related to a greater reduction in HF-HRV *during* tasks but with attenuated HR recovery *after* tasks is somewhat surprising, given that HF-HRV and HR might be expected to co-vary in an inverse manner. Examination of HR and HF-HRV values throughout the protocol showed that reactivity change scores for these two parameters correlated from -.3 to -.5, and recovery change score correlations ranged from -.2 to -.4. Thus, while HR and HF-HRV moved in a related and inverse fashion, the correlations suggest some degree of dissociation, which may relate to differences in autonomic origins. While HF-HRV is believed to primarily reflect vagal efferent activity to the heart, increases in HR may be a function of increased sympathetic activity, decreased parasympathetic activity, or combinations of activation and/or inhibition of both branches (Bernston, Cacioppo, Quigley, & Fabro, 1994).

Studies of HR decline after moderate exercise have shown that there is an initial, rapid decrease in HR upon stopping exercise that is entirely due to a fast-acting increase in cardiac

vagal activity, which is followed by a slower and more gradual decline in HR resulting from a combination of increasing vagal activation and decreasing sympathoadrenal activity (Imai, Sato, Hori, et al., 1994; Rosenwinkel, Bloomfield, Arwady et al., 2001; Savin, Davidson, & Harkell, 1982). At least one study has suggested that a similar recovery process, (i.e., a rapid increase in parasympathetic activity which serves to decelerate HR) may occur following psychological and cold pressor challenge (Mezzacappa, Kelsey, Katkin, & Sloan, 2001). Evidence suggests that the typical time frame for vagal reactivation is 30 – 60 seconds post-challenge (Imai et al., 1994; Mezzacappa et al., 2001). Using an autonomic blockade design, Imai et al. (1994) showed that at two minutes post-exercise, there are both parasympathetic and sympathetic contributions to HR. Thus, the fact that self-reported and actigraphy sleep were associated with HR recovery at two minutes post-stress, but not with HF-HRV recovery, may suggest that sleep duration is more closely linked to a dysregulation of sympathetic recovery. However, this conclusion remains speculative, as the study was limited in its ability to assess the degree of sympathetic versus parasympathetic influence on the heart. Moreover, additional work is needed to understand whether the mechanisms that restore HR following aerobic exercise are similar to the restorative mechanisms following mental or emotional stress.

Finally, the relationship between actigraphy-assessed total sleep time and HR recovery was reduced to non-significance after adjusting for average actigraphy-detected, but not self-reported, daily nap minutes across the study period. Similarly, self-reports of sleep duration no longer predicted HR recovery after inclusion of actigraphy nap minutes in linear models. Nocturnal sleep and daytime naps were inversely correlated, and attenuation was likely due to the fact that the two variables accounted for overlapping variance in HR recovery. Longer naps may disrupt nighttime sleep, and shorter nighttime sleep may lead to increased daytime napping

(Owens et al., 2010). Thus, the co-occurrence of shorter nocturnal sleep and increased daytime naps may reflect a dysregulated sleep cycle. The literature on napping and cardiovascular risk has been mixed, with some studies reporting a protective effect (Kalandidi et al., 1992; Naska et al., 2007) and others reporting elevated risk associated with naps (Stone et al., 2009; Tanabe et al., 2010). In experimental studies, Vgontzas et al. (2007) showed that a midafternoon nap reversed the effects of one night of sleep deprivation on cortisol and interleukin-6 secretion in healthy 18-30 year-olds, and Brindle and Conklin (2011) demonstrated that a longer daytime nap was associated with accelerated BP recovery following mental stress, relative to a shorter nap. Thus, one possibility is that an isolated nap leads to acute physiological benefits, whereas a chronic pattern of napping leads to disrupted nighttime sleep and subsequent negative effects on health. Disentangling the potential negative and positive effects of napping on the cardiovascular system, especially in the context of inadequate sleep, warrants further investigation.

The fact that associations between nocturnal sleep and HR recovery were attenuated after actigraphy-assessed naps, but not after self-reported naps, may be important. The actigraph software algorithm automatically detects naps, or minor rest intervals, on the basis of immobility. A recent study showed that an actigraph (of the same brand and using a scoring algorithm similar to the one in the current study) detected naps with relatively high sensitivity but less specificity compared to PSG sleep (Kanady, Drummond, & Mednick, 2011). In other words, periods of quiescence may be misidentified as sleep when using actigraphy alone. Thus, the nap periods detected in the current study could possibly reflect either daytime sleep or rest/immobility.

### 5.3.2 Blood pressure

Total sleep time as assessed by actigraphy was not related to systolic BP responses to stress. These findings are in contrast to results recently reported by Franzen and colleagues (2011); in that study, one night of experimental sleep deprivation resulted in increased systolic BP reactivity to a laboratory speech task in healthy young adults. Different results may be due to the fact that Franzen et al.'s study demonstrated an effect of acute, extreme sleep loss, while the present study examined naturally occurring variation in sleep duration that presumably reflects a more chronic pattern of behavior. Although neither self-reported nor actigraphy-assessed sleep duration was associated with systolic BP responses to stress in the current study, shorter actigraphy sleep time was associated with delayed diastolic BP recovery. The finding that sleep duration may be associated with BP recovery is somewhat consistent with the aforementioned study by Brindle and Conklin (2011). In that study, healthy young adults who obtained at least 45 minutes of sleep during a daytime nap in the laboratory had lower mean arterial pressure following mental stress tasks than those who slept for a shorter duration. Taken together, these results offer preliminary evidence that longer sleep may buffer cardiovascular risk through accelerating BP recovery rather than by attenuating BP reactivity.

It should be noted that the literature linking delayed BP recovery to future CVD outcomes is stronger and more consistent for systolic, rather than diastolic, recovery. The meta-analysis by Chida and Steptoe (2010), for example, reported that delayed SBP recovery following stress, defined as sustained BP activation above baseline during post-task recovery periods, predicted poor cardiovascular risk status, while delayed DBP stress recovery was only a marginal predictor of subsequent risk status. Thus, while it is theoretically plausible that delayed

BP recovery contributes to the development or progression of cardiovascular disease, the degree of this contribution, and of diastolic recovery in particular, is unclear.

Much of the literature examining the contribution of clinic or resting BP to cardiovascular disease risk similarly suggests that systolic BP may have more prognostic value than resting diastolic BP (Black, 2004; Lloyd-Jones, Evans, Larson, O'Donnell, & Levy, 1999). On the other hand, some have suggested that systolic pressure is primarily relevant for those over the age of 50, and that both systolic and diastolic clinic BP predict risk in younger populations (Franklin et al., 2001; Khattar, Swales, Dore, Senior, & Lahiri, 2001; Neaton et al., 1995). For example, Franklin et al. (2001) reported that diastolic BP was the strongest predictor of coronary heart disease risk in those under the age of 50, and Khattar et al. (2001) reported that ambulatory DBP was the strongest predictor of cardiovascular events in those under 60 years of age in a sample of 546 men and women. Others have shown that DBP is the only hemodynamic correlate of arterial stiffness in young, healthy males (Nürnberger, Dammer, Saez, Philip, & Schäfers, 2003). It has been proposed that the superior accuracy of DBP versus SBP in the young may be due to timing of the reflected pulse wave, which changes with age. In the young, pulse wave augmentation in the aorta occurs during diastole due to high aortic distensibility and low pulse wave velocity, which cause the reflected wave to travel more slowly. In contrast, in the periphery, where there is a short distance between measurement and reflection sites, pulse wave augmentation occurs during systole. Thus, peripheral diastolic pressure correlates well with central values, whereas peripheral pressures may overestimate central systolic pressure (Vlachopoulos & O'Rourke, 2000). As the current study included healthy young men only, it is possible that the delayed DBP recovery observed among this sample may have more prognostic value than SBP.

Finally, prolonged BP recovery may reflect elevations in either cardiac output or total peripheral resistance, and a more complete evaluation of these factors would require direct assessment of cardiac versus vascular influences. The relationship between sleep duration and *stressor-evoked* hemodynamic changes has not been examined in prior work; however, acute, experimental sleep loss has been shown to lead to increased overall vascular resistance in a sample of healthy undergraduates (James & Gregg, 2004; however, not all studies find an effect, e.g., Kato, Phillips, Sigurdsson, Narkiewicz, Pesek, & Somers, 2000). Therefore, the association between sleep and stress-induced changes in the overall hemodynamic profile, particularly total peripheral resistance, may warrant further attention.

#### **5.4 WHAT MAY ACCOUNT FOR THE LINKS BETWEEN ACTIGRAPHY- ASSESSED SLEEP DURATION AND CARDIOVASCULAR STRESS RESPONSES?**

It was theorized that individuals getting less sleep might perceive laboratory tasks as more challenging due to diminished resources to cope with stressful stimuli. Actigraphy-assessed sleep time, however, was not related to task ratings of arousal, valence, or control in this study, nor was actigraphy sleep time related to change in negative mood across the laboratory testing session. Shorter actigraphy sleep was marginally associated with increases in positive mood across the testing session, due to reports of increased activation. Adjusting for positive mood led to only a slight reduction in the link between sleep time and HR recovery. Thus, the hypothesis that elevated perceptions of stress might lead to heightened cardiovascular responses was largely unsupported.



Previous work shows that correlations between cognitive task appraisals and HR and BP reactivity are weak to moderate (Feldman et al., 1999; Franzen et al., 2011; Gianaros et al., 2009; Maier, Waldstein, & Synowski, 2003), suggesting that factors other than subjective distress influence stressor-evoked responses. For instance, resting regional cerebral blood flow in corticolimbic areas (the cingulate, medial prefrontal, and insular cortices) has been associated with BP reactivity, independent of subjective reports of stress (Gianaros, Sheu, Remo, Christie, Critchley, & Wang, 2009). Previous work has also shown that acute sleep deprivation leads to increased reactivity of the amygdala to negative emotional stimuli, as well as increased functional connectivity between the amygdala and autonomic-activating centers of the brainstem (Yoo et al., 2007). Thus, the possibility that shorter actigraphy-assessed sleep leads to heightened cardiovascular stress responses by influencing neural processes at the corticolimbic level, independent of perceptions of stress, cannot be ruled out based on the current data.

Several factors other than task-related distress were tested as potential mediators of the relationship between actigraphy total sleep time and cardiovascular stress responses. There was some evidence that daily experiences of negative affect may mediate the relationship between actigraphy-assessed sleep parameters and vagal withdrawal during stress. Specifically, adjusting for daily diary ratings of negative mood led to a reduction in the links between shorter actigraphy total sleep time and poorer actigraphy sleep efficiency, on the one hand, and lower HF-HRV during stress tasks on the other, suggesting that recurrent experiences of negative mood may be more relevant than acute, task-related changes in mood. It should be noted, however, that more formal statistical tests did not support diary-reported negative mood as a mediating pathway. With regard to other possible mediators, such as trait negative affect, stressful life events, and

daytime napping, statistical adjustment for these variables did not reduce associations between actigraphy-assessed sleep and cardiovascular stress responses.

One or more underlying factors common to both short, inefficient sleep and cardiovascular stress responses may account for the relationships observed in this study. Overlapping heritability, for example, may play a role. Studies report moderate heritability estimates for sleep duration (Landolt, 2008) and for cardiovascular responses to stress, including HR, BP, and HF-HRV reactivity and BP recovery (Roy-Gagnon et al., 2008; Wu, Snieder, & Geus, 2009). Interestingly, one study observed a combination of delayed HR and diastolic BP recovery – the same pattern observed in the current study - following a serial-subtraction math task in young, healthy males with a positive family history of hypertension versus those without a family history (Schneider, Jacobs, Gevirtz, & O'Connor, 2003). Group differences in BP reactivity were not found, leading the authors to speculate that impairments in HR and diastolic BP recovery may be early precursors to, or markers of, development of essential hypertension. It is possible that common variations in genes implicated in both sleep regulation and cardiovascular stress responses account for the observed relationships. Disruptions of the core clock genes that regulate endogenous circadian rhythmicity have been linked to vascular function (Prasai, George, & Scott, 2008), and a functional genetic variant in the linked polymorphic region of the serotonin transporter gene has been implicated in both sleep-wake regulation and cardiovascular stress reactions (Landolt, 2008; Wu et al., 2009). Animal models may be particularly helpful in further uncovering molecular mechanisms underlying cardiometabolic disease and circadian disruption (Arble, Ramsey, Bass, & Turek, 2010).

## **5.5 CARDIOVASCULAR STRESS RESPONSES AND ACTIGRAPHY-ASSESSED SLEEP EFFICIENCY**

Lower actigraphy-assessed sleep efficiency was associated with increased HF-HRV withdrawal during stress and elevated HR during recovery periods. The potential interaction between actigraphy total sleep time and sleep efficiency was also explored; however, there was little evidence that disrupted continuity moderated links between sleep time and stress responses. About half of the small literature that has examined sleep and physiological stress responses reports that sleep continuity, rather than duration, may be the more critical component (Palesh et al., 2008; Stepanski et al., 1994; Wright et al., 2007). Decreased sleep continuity has been associated with elevations in nocturnal sympatho-adrenal medullary activity and sympathovagal balance in previous studies (Mezick et al., 2008; Hall et al., 2004). Thus, sleep characterized by repeated waking or heightened activity may result in greater cumulative exposure to increased sympathetic and/or decreased parasympathetic cardiovascular influence over time, which may “prime” the system to exhibit exaggerated sympathetic activity or exaggerated parasympathetic withdrawal when faced with challenge.

Decreased sleep efficiency was associated with reports of increased depression, hostility, and daily negative affect recorded in diaries, as well as increases in negative mood across the laboratory session, raising the possibility that emotional reactivity might mediate links between sleep efficiency and cardiovascular stress responses. However, bootstrapping analyses did not support this model. Another possibility is that underlying autonomic dysregulation leads to disrupted sleep continuity, as well as increased and prolonged stress responses. Studies examining whether experimentally manipulating sleep continuity affects autonomic activity will help elucidate a causal effect versus an association due to residual confounding.

Actigraphy assessments of sleep duration and sleep efficiency often correlate in a positive manner. In the present study, there was considerable overlap between actigraphy assessments of total sleep time and efficiency ( $r = .62$ ). In models where both variables were entered as simultaneous predictors, neither was associated with stress responses, likely due to multicollinearity. Despite their correlation, total sleep time and sleep efficiency likely have different origins in different individuals. For example, it is possible that short sleep may result from voluntary sleep curtailment, a decreased need for sleep, or an inability to fall and/or stay asleep. Decreased sleep efficiency is likely caused by either difficulty initiating and maintaining sleep, or it may result from an individual attempting to sleep for a longer duration than physiologically necessary. As deficits in sleep duration and efficiency may have different physiological correlates and consequences, it is important for future studies to examine both variables for their independent links with health. Determining whether sleep duration, sleep efficiency, or both, are related to cardiovascular risk may inform behavioral sleep interventions that aim to reduce cardiovascular risk.

## **5.6 CARDIOVASCULAR STRESS RESPONSES AND WITHIN-PERSON VARIABILITY IN ACTIGRAPHY-ASSESSED SLEEP DURATION**

Within-person variability in actigraphy-assessed total sleep time was not related to HR or HF-HRV responses to stress. Greater within-person variability was associated with lower diastolic BP recovery, in contrast to the hypothesized relationship. Such results were not expected, based on the rationale that those who are more reactive to stress may also show a more “reactive” sleep

pattern as characterized by greater night-to-night variability. Prior work, for example, has shown that the degree of variability in sleep correlates with reports of life stressors and negative affect in both adolescents (Fuligni & Hardway, 2006) and adults (Mezick et al., 2009), with one potential explanation being that a chaotic, stressful environment, along with heightened emotional reactions to stressors, disrupts the regularity of sleep timing and/or duration. In the current sample, variability in sleep duration was not associated with reports of current life stress, nor was it associated with daily diary reports of stress or negative mood (see tables A7 and A8). Thus, nightly variability in sleep among college-aged men may result from factors other than emotional reactivity to stress. Several studies also have shown that greater within-person variability in sleep duration is related to poorer self-reported sleep quality in university student populations (Brown, Buboltz, & Soper, 2002; Kang & Chen, 2009; Manber, Bootzin, Acebo, & Carskadon, 1996). However, this link was not replicated in the current sample. Instead, shorter actigraphy-assessed mean sleep duration was associated with poorer sleep quality.

Unfortunately, the mean or range of within-person variability among college students in this study cannot be directly compared to prior work in samples of a similar age due to different metrics and definitions of variability. However, the amount of nightly variability observed in the current study was similar to, or slightly greater than, values observed in older samples (Knutson et al., 2007; Mezick et al., 2009), which is not surprising given the relative flexibility of college students' schedules. Thus, it is unlikely that this sample did not display enough variability in sleep to allow detection of a relationship with stress responses. It may be that increased nightly variability was more common among the current sample compared to previous samples and, therefore, did not correlate with other variables in the expected manner due to a restricted range.

Another possible explanation for the lack of a relationship between within-person variability in sleep and stress responses may be that highly variable sleep duration is related to physiological dysregulation at the circadian level rather than a physiological response measured on one isolated (and diurnal) occasion. For instance, repetitive, abrupt shifts in the sleep-wake schedule, as would occur in an individual with high nightly variability in sleep duration, may lead to a dissociation of autonomic and endocrine 24-hour rhythms. Such an explanation is consistent with previous findings of dysregulated *nocturnal* autonomic activity and altered 24-hour blood pressure patterns in those with more nightly variability in sleep parameters (Mezick et al., 2009; Mezick et al., 2011).

Results from this study suggesting that more variable actigraphy-assessed total sleep time may provide a protective cardiovascular effect via quicker recovery of DBP following stress is intriguing but should be interpreted with caution, given that no other studies have observed decreased markers of cardiovascular risk among more variable sleepers (Mezick et al., 2009; Okun et al., 2010). Indeed, within-person variability in sleep duration has only recently begun to receive attention for its potential links with health, and more work is needed to understand whether regularity of sleep contributes to disease risk, over and above mean sleep duration.

## **5.7 CARDIOVASCULAR STRESS RESPONSES AND SLEEP QUALITY**

Poorer sleep quality, as reported over the week in the morning diary, was associated with lower HF-HRV during stress tasks, as well as lower HF-HRV during stress recovery. Interestingly, this effect was independent of actigraphy-assessed total sleep time and actigraphy-assessed sleep efficiency. The association was also independent of depressive symptoms and reports of

negative affect, decreasing the likelihood that underlying mood disruption or biased reporting style was responsible. These results suggest that aspects of sleep other than duration and restlessness co-vary with changes in parasympathetic activity during stress. Prior studies have not identified consistent PSG or actigraphy correlates of self-reported sleep quality. While some show that poor sleep quality is related to PSG-assessed sleep continuity or sleep architecture (Akerstedt, Hume, Minors, & Waterhouse, 1994; Argyropoulos et al., 2003), others fail to observe correlations between subjective and objective indices (Buysse et al., 2008). It is possible that poor sleep quality reflects less commonly studied aspects of the polysomnogram, such as indices obtained using spectral EEG analysis (i.e., beta power, which has been found to be elevated in those with subjective sleep complaints; Hall et al., 2007; Krystal, Edinger, Wohlgemuth, & March, 2002). It is also likely that the factors that contribute to poor sleep quality differ between individuals. Poor sleep quality and subjective sleep complaints have been linked to a variety of health outcomes, including the metabolic syndrome, hypertension, coronary artery disease, and cardiac events (Grandner, Jackson, Pak, & Gehrman, 2011; Jennings, Muldoon, Hall, Buysse, & Manuck, 2007; Nicholson, Fuhrer, & Marmot, 2005; Phillips & Mannino, 2007). Results from the current study suggest that the parasympathetic stress response should be studied as a potential pathway linking sleep quality to cardiometabolic outcomes.

## 5.8 LIMITATIONS

The current study has several limitations. First, there was some overlap in actigraphy-assessed total sleep time between those endorsing  $\leq 6$  hours of sleep per night and those endorsing 7-8 hours. Thus, the two groups were not as different in their sleep duration as was intended in the original study design. The method of assessing sleep duration was chosen in part to reflect the questionnaires used in epidemiological studies linking sleep duration to CVD. However, an extreme groups approach to selecting participants, as was used in the current study, has several statistical limitations. Preacher, MacCallum, Rucker, & Nicewander (2005) point out that selecting individuals based on extreme scores may result in inflated effect sizes and model misspecification. Thus, future work on self-reported sleep duration would benefit from using a thorough and comprehensive assessment of sleep patterns and including participants with a wide range of sleep duration values. A detailed interview regarding sleep and wake behaviors on weekends versus weekdays may lead to greater accuracy in estimates of sleep duration.

There were strengths and drawbacks to studying only college students. College students tend to have relatively flexible, self-determined schedules, characterized by daytime naps and irregular sleep patterns (Kloss, Nash, Horsey, & Taylor, 2010). On the one hand, this may have allowed for more naturally occurring variation in sleep between individuals and an opportunity to study the effects of naps; on the other, it is possible that participants were able to sleep in later and minimize sleep debt. Examining a sample that is more likely to be mildly sleep deprived due to environmental demands (i.e., working adults) might reveal stronger associations between sleep duration and cardiovascular stress responses. The fact that all of the study participants were



young and free from cardiovascular disease, and nearly all were free from hypertension, decreased the potential of underlying disease influencing cardiovascular stress responses.

As only undergraduate men participated in this study, results may not generalize to women, individuals of different age groups and those of diverse socioeconomic backgrounds. Gender differences in sleep exist, such that women report poorer sleep quality than men but have longer sleep and increased sleep continuity as assessed by actigraphy and PSG (Collop, Adkins, & Phillips, 2004; Goel, Kim, & Lao, 2005; Lauderdale et al., 2006). Moreover, there are mixed data on whether the links between sleep characteristics and cardiovascular outcomes differ by gender, with some studies reporting stronger effects in men (Mallon, Broman, & Hetta, 2002; Rod et al., 2011) and others reporting stronger effects in women (Cappuccio et al., 2007; Meisinger et al., 2007). Thus, it will be important for future studies to examine whether relationships between multi-method assessments of short sleep and stressor-evoked cardiovascular responses also exist in women.

The study was cross-sectional, preventing claims about the causality of the observed relationships. The data are consistent with a model in which chronic sleep deprivation influences cardiovascular responses to stress, and there is some evidence that experimentally manipulating sleep influences stressor-evoked cardiovascular responses (Brindle & Conklin, 2011; Franzen et al., 2011). It is also theoretically possible, however, that heightened cardiovascular stress reactivity results in short, inefficient sleep. Similarly, it is unknown what proportion of participants sleeping for shorter durations in this study were “natural” short sleepers versus physiologically sleep deprived. There is evidence that individuals vary in their biological sleep need (Aeschbach et al., 2003; Tucker, Dinges, & Van Dongen, 2007; Van Dongen, Baynard, Maislin, & Dinges, 2004), and 6 hours of sleep may be sufficient for some. Thus, the current

results may have different implications for an individual who needs 8 hours but is sleeping for 6 hours, than for an individual with a biological sleep need of 6 hours. Participants reporting 6 or fewer hours of sleep per night in this study reported that they wanted to continue sleeping for a significantly longer amount of time in morning diary reports than the average length sleepers. Self-reported short sleepers were also more likely to show a sleep pattern consistent with sleep debt, such that their weekend sleep duration was two or more hours longer than their weeknight sleep duration. Therefore, while the data suggest that the shorter sleepers were indeed experiencing a greater degree of sleep debt, neither subjective nor physiological sleep need was comprehensively assessed in this study.

Another limitation of the current study was the inability to fully delineate autonomic processes underlying cardiovascular stress responses. Although HF-HRV is believed to reflect vagal influence on the heart, sympathetic modulation of cardiovascular responses to stress could not be determined. Therefore, the autonomic mechanisms accounting for delayed HR recovery among short sleepers are unknown.

With regard to the laboratory session, each stress task was rated on valence, arousal, and control; however, baseline ratings of these factors were not obtained, preventing analysis of task-related changes in these dimensions. The overall laboratory session led to increases in negative mood, and, thus, was successful in evoking changes in emotion. However, it cannot be assumed that the tasks themselves led to acute increases in negative emotion. The computer tasks used in this study are considered cognitive interference tests of executive attention, while the speech task included a social-evaluative component but focused on a relatively impersonal topic. More emotionally laden tasks - for example, those aimed at provoking interpersonal conflict or requiring recall of highly personal, emotional material - may be differentially affected by sleep.

Finally, obstructive sleep apnea was not measured in this study. Obstructive sleep apnea is an established risk factor for hypertension and cardiovascular disease (Malhotra & Loscalzo, 2009), and it is possible that sleep apnea contributed to short sleep or decreased sleep efficiency in this sample. This study attempted to limit and account for the effects of sleep apnea by including a self-report questionnaire of apnea risk (the MAP) and measuring BMI, which correlates with the apnea-hypopnea index (Lam et al., 2006). Statistical adjustment for BMI or MAP scores did not alter the associations between sleep parameters and cardiovascular stress responses. Moreover, all associations between sleep and cardiovascular stress responses were similar after excluding four participants who fell above the obesity cut-off. Such data decrease the likelihood that apnea was a substantive confounder of the observed relationships.

## **5.9 FUTURE DIRECTIONS**

Continuing work on how self-report, behavioral, and physiological assessments of sleep differentially relate to cardiovascular risk factors will improve our understanding of how disrupted sleep is related to cardiovascular morbidity and mortality. Future work should combine experimental sleep manipulation, including both sleep extension and partial sleep restriction, with more comprehensive measures of cardiovascular reactivity and recovery (i.e., pre-ejection period as a measure of sympathetic cardiac influence; cardiac output and total peripheral resistance as measures of myocardial versus vascular activation). Such work will help to clarify whether sleep is causally related to stressor-evoked cardiovascular responses, as well as

elucidate the autonomic origins of the responses. Researchers have only recently begun to examine how sleep restriction may influence the central pathways that regulate the stress response (Meerlo, Sgoifo, & Suchecki, 2008; Yoo et al., 2007). Additional work focusing on whether chronic sleep loss is related to functioning of the neural areas implicated in cardiovascular control will provide important data regarding a potential pathway between sleep and CVD. Studies in both animals and humans, and utilization of imaging techniques, will be helpful in this regard.

In addition, it may be interesting to extend this work on sleep and cardiovascular responses to other physiological stress responses. Given the observation from the current study that sleep co-varies with vagal activity during stress, stress-induced inflammatory activity may be a pathway of particular interest. Indeed, a recent study reported that poor sleep quality, as assessed by the PSQI, was associated with increased interleukin-6 responses to cognitive stress in older adults after adjustment for age, BMI, and a variety of psychosocial attributes (Heffner et al., 2012). Thus, future work may wish to examine if links between actigraphy-assessed sleep and inflammatory stress responses exist in younger populations.

As argued by van Dongen, Vitellaro, & Dinges (2005), there may be wide variability in what is considered “normal” for a number of sleep parameters, including sleep duration. Thus, it is possible that some individuals are more vulnerable to the cardiovascular consequences of short sleep than others. Going forward, it will be important to assess aspects of sleep debt in addition to duration alone. More complex questions include examining whether cardiovascular stress responses following sleep deprivation differ between groups with varying physiological sleep requirements, using markers that have been proposed in previous work (e.g., indices of sleep pressure, sleepiness, or cognitive impairment following sleep deprivation, polymorphisms in

genes associated with sleep homeostasis; Vandewalle et al., 2009; Van Dongen, Vitellaro, & Dinges, 2005). Those individuals who are more physiologically reactive following sleep deprivation may also be more likely to develop cardiovascular morbidity associated with short sleep duration.

Future work should also consider chronobiological factors when studying sleep and cardiovascular stress responses. For one, individuals' circadian preference, or morningness versus eveningness, may affect both sleep duration as well as cardiovascular responses to stress, with some recent evidence suggesting evening types may be more reactive (Roeser et al., 2012) (i.e., in the current study, it is possible that participants characterized as Evening types had shorter sleep and showed more cardiovascular reactivity than Morning type participants). At the least, a measure such as the Morning-Eveningness Questionnaire (Horne & Ostberg, 1976) could be included as a statistical covariate in future work. More extensive possibilities include using an experimental design to investigate the relationship between sleep characteristics and cardiovascular stress responses at different points in the circadian period. For instance, a plausible hypothesis is that those who are more reactive in the evening than in the morning also will have the shortest sleep duration.

It is critical to determine whether links between sleep and cardiovascular stress responses are similar in other populations. As previously mentioned, sleep duration and continuity differ between sociodemographic groups. Men have shorter, more fragmented sleep than women, and African Americans have shorter, more fragmented sleep than Caucasians (Lauderdale et al., 2006; Mezick et al., 2007). Intriguing questions for the future include whether or not sleep duration is more or less strongly associated with health outcomes in different sociodemographic groups, and whether sleep may partially mediate health disparities that exist between these

groups. Finally, there was some evidence that shorter actigraphy-assessed sleep mediates the associations between indices of stress and HF-HRV levels during laboratory stressors. Future work may want to investigate disrupted sleep as a pathway linking increased chronic stress to cardiovascular and metabolic outcomes.

## 5.10 SUMMARY

Prior data has demonstrated that sleep deprivation has an activating effect on the body's autonomic stress system, as characterized by overall elevations in heart rate and blood pressure, and decreases in parasympathetic tone. However, fewer studies have considered how sleep loss relates to the dynamic response of the autonomic system when faced with a threat or challenge. Given the literature linking short or disrupted sleep to increased perceptions of stress, emotional reactivity, and altered brain activity (Hamilton et al., 2007; Kumari et al., 2009; Morin et al., 2003; Zohar et al., 2005), a cycle in which short sleep leads to heightened stress and heightened cardiovascular reactivity, and increased stress reactivity disrupts ensuing sleep, is not difficult to imagine. Indeed, two previous studies have demonstrated that disrupted sleep is related to an exaggerated cardiovascular stress response in clinical populations (Palesh et al., 2008; Stepanski et al., 1994). The current study sought to determine whether naturally occurring variation in sleep duration and continuity were similarly related to exaggerated and prolonged cardiovascular stress responses in a healthy, young sample.

Results from this study showed that undergraduate men who reported typically sleeping less than 6 hours over the past month had higher HR following mental stress than those who reported sleeping 7-8 hours. Other indices of cardiovascular stress reactivity and recovery did not differ between self-reported sleep duration groups. When self-reported sleep duration was examined as a continuous variable, however, shorter sleep was also related to decreased parasympathetic cardiac influence during stress, as assessed by high-frequency heart rate variability. In terms of objectively assessed sleep, shorter actigraphy-assessed total sleep time over the week preceding stress tasks was associated with exaggerated reductions in HF-HRV during psychological stress, along with higher HR and diastolic BP during stress recovery. Most relationships were independent of age, race, body mass index, and respiration rate, as well as a number of psychosocial attributes and health behaviors. The link between actigraphy-assessed total sleep time and HR recovery, however, was no longer significant after actigraphy-assessed naps were included as a covariate. Similar associations were observed between actigraphy-assessed sleep efficiency and cardiovascular reactions to stress: decreased efficiency was related to exaggerated HF-HRV reactivity and elevated HR recovery. Participants were by and large in good cardiovascular health, had no diagnosed sleep disorders, and were not using cardiovascular or sleep medications.

Overall, these results are consistent with a model in which short, inefficient sleep interacts with psychological stress to affect cardiovascular activity, particularly HF-HRV and HR. Taken together with experimental work in animals and humans showing that sleep deprivation influences the cardiovascular stress response (Franzen et al., 2011; Sgoifo et al., 2006), the data provide initial support for the hypothesis that daily stress responses may constitute one pathway linking short, inefficient, or poor quality sleep to cardiovascular disease. Given that the specific

cardiovascular indices related to sleep vary between studies, additional research will be needed to identify the most consistent autonomic correlates of disrupted sleep. Moreover, associations between sleep and cardiovascular stress responses, as well as cardiovascular outcomes, vary depending on the manner in which sleep is assessed. Therefore, it is important for future work to incorporate multiple methods of sleep assessment, including subjective and objective measures, when possible.

Chronically restricted sleep is an increasingly common occurrence, with 14% of the population reporting that they typically sleep less than 6 hours on weeknights and about two-thirds of the population (63%) reporting that they need more sleep overall (NSF, 2011). Moreover, 43% of adults state that they “never” or “rarely” have good sleep on weeknights (NSF, 2011). Thus, the question of how sleep loss and disrupted sleep quality affect physiology may have wide-reaching implications. Associations between short or fragmented sleep and cardiovascular outcomes have been supported in multiple studies (Cappuccio et al., 2011; Knutson, 2010). Thus, the next steps for researchers include identifying the mechanisms accounting for this relationship and investigating moderators of these links. Ultimately, it is essential to determine whether or not sleep disruption is causally related to cardiovascular disease, as this information may lead to more efficacious treatment and preventative strategies for heart disease and related disorders.



## APPENDIX

Table 16A. Correlations among HR reactivity values

	MSIT	Stroop	Speech Prep	Speech Delivery
MSIT	---	.69**	.39**	.39**
Stroop		--	.63**	.53**
Speech Prep			--	.74**
Speech Delivery				--

Table 17A. Correlations among HR recovery values

	MSIT	Stroop	Speech Delivery
MSIT	---	.70**	.70**
Stroop		--	.64**
Speech Delivery			--

\*\*p<.001

Table 18A. Correlations among HF-HRV reactivity values

	MSIT	Stroop	Speech Prep	Speech Delivery
MSIT	---	.90**	.67**	.69**
Stroop		--	.71**	.76**
Speech Prep			--	.81**
Speech Delivery				--

Table 19A. Correlations among HF-HRV recovery values

	MSIT	Stroop	Speech Delivery
MSIT	---	.92**	.92**
Stroop		--	.89**
Speech Delivery			--

\*\*p<.001

Table 20A. Correlations among BP reactivity values

	MSIT SBP	Stroop SBP	Prep SBP	Speech SBP	MSIT DBP	Stroop DBP	Prep DBP	Speech DBP
MSIT SBP	---	.56**	.41**	.40**	.60**	.31*	.27*	.22‡
Stroop SBP		--	.44**	.46**	.32*	.51**	.34*	.20‡
Prep SBP			--	.59**	.19‡	.30*	.42**	.30*
Speech SBP				--	.38**	.38**	.49**	.67**
MSIT DBP					--	.50**	.44**	.48**
Stroop DBP						--	.38**	.35*
Prep DBP							--	.57**
Speech DBP								--

\*\* $p \leq .001$ , \* $p \leq .05$ , ‡  $p \leq .10$

Table 21A. Correlations among BP recovery values

	MSIT SBP	Stroop SBP	Speech SBP	MSIT DBP	Stroop DBP	Speech DBP
MSIT SBP	---	.57**	.51**	.35*	.09	.21‡
Stroop SBP		--	.44**	.18‡	.14	.13
Speech SBP			--	.23*	.09	.38**
MSIT DBP				--	.53**	.48**
Stroop DBP					--	.28*
Speech DBP						--

\*\* $p \leq .001$ , \* $p \leq .05$ , ‡  $p \leq .10$

Table 22A. Correlations between actigraphy sleep variables and psychosocial and self-reported sleep

	Depression	Anxiety	Hostility	Optimism	Life Engagement
Total Sleep Time	-.15	-.04	-.05	-.01	-.06
Sleep Efficiency	-.31**	-.10	-.25*	.14	-.01
Variability in Total Sleep Time	-.04	-.03	-.12	.10	.03
	# Still Upsetting Events	Fatigue	Epworth Sleepiness	PSQI	Map Relative Risk
Total Sleep Time	-.25*	-.19	-.22*	-.24*	.06
Sleep Efficiency	-.36**	-.15	-.20‡	-.36**	.04
Variability in Total Sleep Time	-.05	-.10	.15	.17	-.07

‡  $p < .10$ , \*  $p \leq .05$ , \*\*  $p \leq .01$

Table 23A. Correlations between actigraphy sleep variables and diary attributes

	Daily Caffeine Use	Daily Cigarettes	Daily Alcohol	Daily Activity	Daily Stress Ratings	Pre-Bedtime Stress Ratings	Daily Positive Mood	Daily Negative Mood
Total Sleep Time	.07	.09	-.11	.07	-.18	-.14	.13	-.18‡
Sleep Efficiency	-.01	-.09	-.13	.10	-.03	-.10	.07	-.22*
Variability in Total Sleep Time	.06	.20‡	.07	-.13	.14	.04	-.08	.04

‡  $p < .10$ , \* $p \leq .05$ , \*\*  $p \leq .01$

Table 24A. Correlations between actigraphy sleep variables and laboratory task ratings

	Change in PANAS Positive Mood	Change in PANAS Negative Mood	Task Arousal	Task Valence	Task Control
Total Sleep Time	-.19‡	-.11	-.04	-.07	.09
Sleep Efficiency	-.20‡	-.28**	-.06	-.03	.09
Variability in Total Sleep Time	.07	.02	.10	.11	.02

‡  $p < .10$ , \* $p \leq .05$ , \*\*  $p \leq .01$

Table 25A. Correlations between laboratory task ratings and cardiovascular stress responses

	Change in PANAS Positive Mood	Change in PANAS Negative Mood	Task Arousal	Task Valence	Task Control
HR Reactivity	.13	.07	.33**	-.07	-.08
HR Recovery	.24*	.18	.04	.04	.15
HF-HRV Reactivity	-.16	-.08	-.21‡	-.14	-.18
HF-HRV Recovery	-.17	.16	-.04	-.28**	-.08
SBP Reactivity	-.02	.04	.23*	-.07	-.10
SBP Recovery	-.05	-.02	-.05	-.12	-.10
DBP Reactivity	.15	.20‡	.26*	.004	-.06
DBP Recovery	.20	-.15	.25*	-.08	-.07

‡  $p < .10$ , \*  $p \leq .05$ , \*\*  $p \leq .01$

Sample Sleep Diary: Evening

Please complete this page immediately before going to bed.



Day of the week: \_\_\_\_\_

Date: \_\_\_\_\_

<b>Today I had:</b>	# in the morning (first ~4 hrs of my day)	# in the afternoon (middle of my day)	# in the evening (last 4 hrs before bed)
Caffeinated drinks	_____	_____	_____
Alcoholic drinks	_____	_____	_____
Cigarettes or other tobacco products	_____	_____	_____
<b>How long (in mins) did you nap?</b>	_____ mins	_____ mins	_____ mins

I took the following medications: \_\_\_\_\_ Amt. of Dose: \_\_\_\_\_  
 \_\_\_\_\_ Amt. of Dose: \_\_\_\_\_

The most vigorous physical activity that I did today was: None Light Medium Heavy  
 Altogether, this activity lasted approximately \_\_\_\_\_ minutes.

Please use the following scale to rate your mood since you woke up this morning. Think about your general mood throughout the day. Since waking this morning, I have felt:

happy/cheerful	1 = not at all	2 = a little	3 = moderately	4 = a lot	5 = extremely
energetic/lively	1 = not at all	2 = a little	3 = moderately	4 = a lot	5 = extremely
calm/at ease	1 = not at all	2 = a little	3 = moderately	4 = a lot	5 = extremely
angry/resentful	1 = not at all	2 = a little	3 = moderately	4 = a lot	5 = extremely
depressed/sad	1 = not at all	2 = a little	3 = moderately	4 = a lot	5 = extremely
tense/nervous	1 = not at all	2 = a little	3 = moderately	4 = a lot	5 = extremely

*Overall*, today was:  
 not at all stressful    a little stressful    moderately stressful    extremely stressful

*Right now*, I feel  
 not at all stressed    a little stressed    moderately stressed    extremely stressed

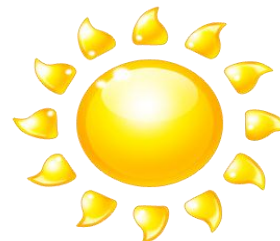
Did you take off the actiwatch today? Yes No

If Yes, please record any times you took off the actiwatch below:

OFF: \_\_\_\_\_ AM/PM ON: \_\_\_\_\_ AM/PM OFF: \_\_\_\_\_ AM/PM ON: \_\_\_\_\_ AM/PM



Sample Sleep Diary: Morning



Please complete this page immediately upon waking.

Day of the week: \_\_\_\_\_

Date: \_\_\_\_\_

Last night I got into bed at: \_\_\_\_\_:\_\_\_\_\_ PM / AM (i.e., reading, watching TV)

I first tried to fall asleep at: \_\_\_\_\_:\_\_\_\_\_ PM / AM

I finally fell asleep at: \_\_\_\_\_:\_\_\_\_\_ PM / AM

After I first fell asleep, I woke up \_\_\_\_\_ times

Altogether, these awakenings lasted \_\_\_\_\_ minutes

This morning, I finally woke at: \_\_\_\_\_ AM / PM

I actually got out of bed to start my day at: \_\_\_\_\_ AM / PM

Ideally, I would have liked to sleep for \_\_\_\_\_ more hours than I did.

The main reason I slept less than I would have liked is (circle one):

school/work demands      social activity (spending time with friends)      worry/anxiety  
partner's sleep schedule      couldn't fall/stay asleep      Other: \_\_\_\_\_

The quality of my sleep last night was:

very bad      somewhat bad      average      somewhat good      very good

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