

**FOOD DESERT VERSUS FOOD OASIS: AN EXPLORATION OF RESIDENTS'  
PERCEPTIONS OF FACTORS INFLUENCING FOOD BUYING PRACTICES**

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Utilizing concept mapping, the primary goal of this dissertation research was to explore the range of factors that influence food buying practices. A total of twenty five participants from two low-income zip codes in Pittsburgh, PA completed the concept mapping process. The participants were recruited based on residential proximity to a supermarket.

This dissertation is organized around the presentation of three manuscripts. The first manuscript presents an exhaustive review of the literature related to food deserts. The research presented in manuscript two identified perceptions of factors influencing food buying practices among residents of an urban food desert (n=12) compared to a food oasis (n=13). Results identified 121 unique statements that were grouped by participants into 12 clusters, or unique concepts. Analyses show that overall, the average cluster ratings for residents of the food desert were higher than residents of the food oasis.

Research presented in manuscript three addressed how residents' perceptions of factors influencing food buying practices differ by food security status. Findings show that food insecure participants rated clusters higher than food secure participants. A secondary aim was to explore how important these factors are to hindering healthy eating based on food desert and food security statuses. Overall, cluster rankings were similar for food secure participants in a food desert and food secure participants in a food oasis. However, participants in the food desert rated all of the clusters higher than participants in the food oasis. In comparing food insecure

participants in a food desert to a food oasis, findings show that although cluster rankings were different, average cluster ratings were similar.

The public health significance of this study is that it contributes to our understanding of factors that influence food buying practices based on neighborhood and individual-level characteristics, an area that has received limited consideration. Based on findings from this research, areas for future research, and policy and program development have been uncovered to address the lack of access to healthy foods for urban residents of low-income areas.

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## **1.0 CHAPTER 1. INTRODUCTION**

Little is known about the range of factors that influence food buying practices among low-income individuals. A variety of factors including cultural, economical, individual and environmental are believed to play a role in food buying practices. The extent to which these factors influence food buying practices, and subsequently healthy eating, is unknown. Exploring the role these factors have in influencing food buying practices will assist in the development of effective programs and policies focusing on increasing healthy eating. Furthermore, understanding these factors will offer insight into decreasing rates of chronic disease with diet as a risk factor and adverse health outcomes such as obesity.

### **1.1 Statement of the Problem**

An extensive body of literature has been generated focusing on the importance of consuming fresh fruits and vegetables. Four of the ten leading causes of death in the United States (US) are chronic conditions for which diet is a major risk factor ("U.S. Department of Health and Human Services, Centers for Disease Control and Prevention, National Center for Chronic Disease Prevention and Health Promotion," 2008). It is widely accepted that consuming fruits and vegetables can lower risks associated with cardiovascular disease, diabetes, certain types of cancers, and being overweight and obese (Hendrickson, Smith, & Eikenberry, 2006; Lewis, Sloane, Nascimento, Diamant, Guinyard, Yancey et al., 2005; Winkler, Turrell, & Patterson, 2006; Zenk, Schulz, Israel, James, Bao, & Wilson, 2005). Similarly, it is documented that a diet filled with processed foods, frequently containing high contents of fat, sugar and sodium, often lead to poorer health outcomes compared to a diet high in complex carbohydrates

and fiber (Block, Scribner, & DeSalvo, 2004; Lewis et al., 2005; "Mari Gallagher Research & Consulting Group. 2006.,"; Swinburn, Caterson, Seidell, & James, 2004).

As a result, it is recommended by dietitians and other healthcare providers that fresh fruits and vegetables be consumed to maintain a proper, balanced diet. Dietitians and healthcare providers are fighting an uphill battle given the tactics associated with food marketing that appeals to the consumer's desire to obtain familiar, easily prepared and tasty food (Chambers, 2007). This is illustrated in the budget allotted the food industry for food advertising. Approximately 20 times the United States Department of Agriculture (USDA) education expenditure is devoted to advertising, primarily in the form of promoting processed and packaged foods (Gallo, 1999). To help counter the adverse affects caused by food marketing, dietary guidelines have been encouraged to promote the consumption of fruits and vegetables. According to the Dietary Guidelines for Americans, 2005, a joint report by the US Department of Health and Human Services (HHS) and the US Department of Agriculture (USDA), 4-5 daily servings of both fruits and vegetables are recommended to promote health and minimize the risk of chronic diseases ("Dietary Guidelines for Americans, 2005,"). Unfortunately, there are people who are not able to access such foods readily due to various individual, economic, and environmental factors.

Individual factors include the lack of transportation needed to get to supermarkets and the ability to transport groceries safely. Transportation-related concerns include the lack of a personal vehicle, reliance on infrequent bus times, and inadequate bus routes associated with public transportation (Kimberly Morland, Wing, Roux, & Poole, 2002b). Economic factors pertain to the cost of purchasing healthy and nutritious foods that studies report are more expensive than eating less healthy foods high in fat (Chung & Myers, 1999; Hendrickson et al.,

2006). Environmental factors involve the built environment and where people live. Residing in a neighborhood that does not have a supermarket, referred to in the literature as a food desert, poses another challenge to accessing healthy and nutritious foods (Giang, Karpyn, Laurison, Hillier, & Perry, 2008; Inagami, Cohen, Finch, & Asch, 2006). These factors can lead to barriers to healthy eating, especially for low-income residents. Oftentimes, this inaccessibility leads to hunger, malnutrition and poor health (Bhattacharya, Currie, & Haider, 2004).

In 2003, 66% of adults in the US were overweight or obese ("National Center for Health Statistics, 2003-2004,"). Poor health stemming from consequences associated with overweight and obesity is becoming more common in the U.S. (Block et al., 2004). For example, the number of overweight adults at increased risk for chronic diseases has increased dramatically, particularly since 1990 (Flegal, Carrol, Kuczmarski, & Johnson, 1998). This finding in conjunction with relevant research on the topic suggests that environment may be more important in addressing the rates of overweight and obesity than genetics (Birch & Davison, 2001; Campfield & Smith, 1999; Jebb, 1997). As a result, the neighborhood food environment is crucial to understanding food buying and healthy eating practices due to the convenience afforded residents (Lewis et al., 2005; Pollard, Kirk, & Cade, 2002). There are challenges to shopping locally that include higher prices than at chain supermarkets (Philip R. Kaufman, MacDonald, Lutz, & Smallwood, 1997), diminished quantity of foods, and poorer quality of food items (Hendrickson et al., 2006). To counter the adverse affects caused by the lack of an adequate diet, it is important to understand factors that influence food buying practices given the context in which people live. These factors, which have been poorly studied to date, do not take into account neighborhood-level characteristics such as differences in supermarket access or individual-level characteristics such as household food security.

In order to address this complex topic, an ecological approach must be taken. An ecological approach takes into account that individuals are not only influenced by physical environment (e.g., geography) and social environment (e.g., culture, economic), but also personal factors including behavioral and psychological disposition (Stokols, 1992). An understanding of the interplay between behavioral, environmental, and personal factors that influence an individual is imperative to address concerns related to food buying practices and the promotion of healthy eating.

An ecological approach assumes that optimal health outcomes will result when coordination occurs at different levels. These levels include the individual, interpersonal, organizational/institutional, community, and policy levels (Stokols, 1992; Yoo, Weed, Lempa, Mbondo, Shada, & Goodman, 2004). The individual level targets individual perceptions, attitudes, beliefs and values about healthy eating; interpersonal level focuses on social networks such as family members and friends who influence the individual; organizational/institutional level targets the food purveyors including supermarkets, convenience stores and restaurants; at the community level is a focus on community organizations that provide services such as soup kitchens and food pantries; and the policy level targets policy makers and public health officials who are instrumental in policy and program development. Utilizing an ecological approach highlights the importance of a multifaceted examination of food buying practices and subsequent healthy eating that requires an interdisciplinary team of professionals to address.



## 1.2 Research Questions

The primary goal of this study is to explore the range of factors that influence food buying and healthy eating practices. Specifically, this research seeks to understand the factors that influence food buying practices among residents living in a low-income food desert compared to residents living in a low-income food oasis. A second goal of the study is to explore the association between food security and food desert statuses. Food security is a household measure of hunger assessed annually in the U.S. by the United States Department of Agriculture. Literature searches revealed no previous research on this topic. Understanding residents' perceptions will offer insight into factors that influence buying practices and facilitators and barriers to healthy eating. Specifically, the two research questions that will be answered are:

1. What are perceptions of factors influencing food buying practices among residents of an urban food desert and residents of an urban food oasis?
2. How do residents' perceptions of factors influencing food buying practices differ by food security status?
  - a. A secondary aim is to explore how residents' perceptions of factors influencing food buying practices differ by both food security and food desert status.

While there is ongoing debate about the definition of a food desert (Hendrickson et al., 2006; Raja, Ma, & Yadav, 2008), for the purpose of this study, a food desert is defined as a geographic area that does not have a large chain supermarket within 0.5 miles from the zip code

centroid, the center of the defined area identified by latitude and longitude coordinates. A distance of 0.5 miles is consistent with the literature that defines food deserts in terms of time required to walk a distance to the nearest supermarket. It is suggested that an approximate one-way walking time in excess of 15 minutes for an adult in an urban area is a proxy for a food desert (Apparicio, Cloutier, & Shearmur, 2007). In Pittsburgh, examples of large supermarkets include Giant Eagle, Shop ‘N Save, and Save-A-Lot. A food oasis will be used to describe a geographic area that contains a supermarket within 0.5 miles of the center of the zip code. Specifics regarding the operationalization of this definition can be found in Chapter 3, which provides details about the research methods.

### **1.3 Dissertation organization**

This dissertation is based on the three manuscript format and is organized into six chapters. This chapter provided a statement of the problem and introduced the research questions to be addressed. Chapter 2 presents a literature review on food deserts in the United States. Measured variables were grouped into constructs to help orient the reader to how the topic is conceptualized in the literature. Chapter 3 is a description of the methods used for the dissertation research. This chapter explains in detail how the areas selected in the study were identified, the process of recruitment, and data collection and data analysis procedures for each manuscript. Chapter 4 is a concept mapping results oriented manuscript exploring factor influencing food buying practices among residents of a food desert compared to a food oasis. Chapter 5 is also a concept mapping results oriented manuscript, but it focuses on presenting the results of analyses comparing factors that influence food buying practices among food secure and food insecure households. A discussion of the results, policy implications and future

research is presented in Chapter 7. Following these chapters is an appendix that includes questionnaires and other relevant materials that were used in the concept mapping sessions.

### Manuscript One

The goal of manuscript one was to provide a comprehensive literature review of empirical studies conducted in the United States on food deserts. This manuscript summarizes the constructs that have been studied in this area and concludes with a discussion outlining gaps in the literature and areas for future research.

### Manuscript Two

Concept mapping is a participatory research method that has received increased recognition as a research tool for hypotheses generation and theory development. Manuscript two used the concept mapping results to explore factors that influence food buying practices among residents of a food desert compared to residents of a food oasis. This manuscript identifies factors, how they are related and their role in influencing food buying practices.

### Manuscript Three

Manuscript three sought to explore factors that hinder healthy eating in food secure households compared to food insecure households. Using concept mapping these factors were identified and the relationship between them was explored. A secondary aim of this manuscript was to explore how strongly factors that influence food buying practices hinder healthy eating based on food security and food desert statuses.

## **2.0 CHAPTER TWO: MANUSCRIPT ONE**

### **DISPARITIES AND ACCESS TO HEALTHY FOOD IN THE UNITED STATES: A REVIEW OF FOOD DESERTS LITERATURE**

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## **2.1 ABSTRACT**

Increasingly, studies are focusing on the role the local food environment plays in residents' ability to purchase affordable, healthy and nutritious foods. Studies that explore differences in neighborhoods that have access to a supermarket and neighborhoods that lack a supermarket focus on a limited number of factors including cost, access, and location (urban versus rural and/or suburban), and the implications these factors have for impacting healthy eating and health outcomes. Yet, there is little known about additional factors, namely factors that influence food buying practices, within these areas with different supermarket access. The goal of this paper is to identify existing studies that have focused on food deserts in the United States. This paper provides a brief overview of the research that has focused on food deserts and categorizes these articles based on measures studied in order to identify areas that have been studied extensively compared to areas that require additional research. This paper concludes with a discussion of the policy implications and areas for future research related to access to healthy and nutritious foods within areas that lack a supermarket.

## 2.2 INTRODUCTION

The phrase “food desert” was first used in the early 1990s in Scotland by a resident of a public housing sector scheme (S. Cummins & Macintyre, 2002). Since that time, the phrase has been used differently by different researchers. For example, in a study by Hendrickson et al. (2006) food deserts were defined as “urban areas with 10 or fewer stores and no stores with more than 20 employees” (2006: 372). Cummins and Macintyre (2002) define food deserts as “poor urban areas where residents cannot buy affordable, healthy food” (S. Cummins & Macintyre, 2002). The latter definition focuses on the type and quality of foods rather than the number, type and size of food stores available to residents. Beyond these descriptions, there is a lack of consensus on the definition of food deserts (Hendrickson et al., 2006), and what measures are required for identifying food deserts, thereby contributing to the debate about their actual existence (S. Cummins & Macintyre, 2002; S. C. J. Cummins, 2003; Reisig & Hobbiss, 2000; Shaw, 2006).

To date, there is a relatively limited amount of research on food deserts conducted in the U.S. One explanation for this finding is that household food security, opposed to food deserts, is assessed in the U.S. annually, and forms the basis of numerous research studies in the U.S. In Europe, the U.S., Canada, and other developing countries, food deserts are believed to be created and exist via similar mechanisms although mediated by different factors. These factors are differences between the countries and include racial/ethnic composition of each country, geographical and residential segregation of the citizens, social inequality, and the infrastructure of the food environment (Shaw, 2006). In the U.S. several theories to how food deserts formed have been postulated.

One theory has been associated with both the development and closure of stores (Curtis & McClellan, 1995; Guy, Clarke, & Eyre, 2004). It is believed that the growth of large chain supermarkets on the outskirts of inner-cities in more affluent areas offer consumers a better quality, variety, and price for food options. Additionally, these venues tend to have longer business hours and better parking options that are attractive to consumers (Alwitt & Donley, 1997; Guy et al., 2004). The expansion of these supermarkets have forced the smaller, independent, neighborhood grocery stores to close, thereby creating areas where affordable, varied food is accessible to those who have access to a car, or those able to pay public transportation costs (Guy et al., 2004). This theory has led one independent retailer to define a food desert as ‘an area where high competition from the multiples [large chain supermarkets] has created a void’ (Furey, Strugnell, & McIlveen, 2001).

Another theory of how food deserts formed in the inner cities pertain to changes in demographics in larger U.S. cities between 1970 and 1988. It is speculated that during this period, economic segregation became more prominent with more affluent households emigrating from inner cities to suburban areas (Bianchi, Farley, & Spain, 1982; Nyden, Lukehart, Maly, & Peterman, 1998; Wienk, Reid, Simmons, & Eggers, 1979). This shift caused the median income in the inner cities to decrease and forced nearly one-half of the supermarkets in the three largest U.S. cities to close (Alwitt & Donley, 1997; Diesenhouse, 1993; Miller, 1994).

Other factors that make the establishment of businesses in inner cities less desirable are inaccurate perceptions of these areas, declining demand for low-skilled workers, low-wage competition from international markets, and zoning laws (Gittell & Thompson, 1999). For instance, in urban areas, it is difficult for large supermarkets to find land that is appropriate for the size of the supermarket due to fragmentation of property that results from the ease of selling

smaller pieces of land (Alwitt & Donley, 1997). It is plausible that urban food deserts would have a competitive advantage as sites for a supermarket due to its prime location near the city center, ability to address an unmet demand, and access to a large labor force. However, financial gain is often an underlying factor that tends to override these characteristics and deter retailers from establishing in urban areas (Gittell & Thompson, 1999).

The goal of this paper is to explore the current state of research on food deserts in the U.S. and to identify areas in need of future research. This paper will categorize constructs of food deserts. In doing so, attention will be brought to measures that have been well studied and others that are in need for additional research. The articles included in this review were identified from January – September 2008 by two mechanisms: keyword searches in the Agricola, Anthropology, Environmental Studies, Geography, Public Affairs, and Sociology databases, and by reviewing the references of the articles identified from these databases. The keyword “food desert” was used to identify relevant articles. Only articles written in English were included in the review. No constraints were made for year of article publication. Abstracts were then reviewed to ensure that articles that did not meet certain criteria were excluded from the review. Abstracts excluded were: 1. editorials, 2. non-empirical papers including review articles and book reviews, and 3. articles with outcomes that did not focus on food deserts. Thirty-six abstracts were identified in the initial review. After reading the abstracts, it was found that only 22 remained after 5 were excluded based on the first exclusion criterion, 6 for the second criterion, and 3 abstracts for the third criterion. After reading the 22 articles, it became apparent that findings from the selected articles represented 11 categories based on similar measures used in the studies. For example, articles that focused on racial/ethnic differences in the neighborhood food environment were grouped under the category “Race/Ethnicity.”



Similarly, articles that compared food stores between urban areas versus rural and/or suburban were grouped under the category “Location.” Table 2.1 represents the articles included in the review and the measures that were included in the study. Table 2.2 lists each of the measures that represent the existing food desert literature and the corresponding articles.

Table 2.1. Review Articles with Measures Used in the Study

Author, year	Measure										
	Access to stores	Income/SES	Race/Ethnicity	Food Store Density	Cost	Location	Store Type	Availability	Perception	Quality of Available Foods	Impact
Alwitt & Donley, 1997	X	X									
Block et al., 2004			X	X							
Chung & Myers, 1999	X	X			X	X	X				
Cotterill & Franklin, 1995	X	X									
Gallagher et al., 2006	X		X								
Garasky et al., 2004									X		
Giang et al., 2008	X	X									
Glanz et al., 2007		X			X		X	X		X	
Hendrickson et al., 2006					X	X		X	X	X	X
Inagami et al., 2006	X										
Kaufman et al., 1997		X			X	X					
Kaufman, 1999	X					X					
Lewis et al., 2005		X	X	X							X
Moore & Diez Roux, 2006		X	X				X				
Morland et al., 2002a	X		X								
Morland et al., 2002b		X	X	X							
Morris et al., 1990					X	X		X			
Morris et al., 1992					X	X		X			
Powell et al., 2007						X					
Raja et al., 2008	X		X				X				
Rose & Richards, 2004	X										
Zenk et al. 2005		X	X								

Table 2.2. Article Summaries by Food Desert Category

Categories	Article Results
Access to Stores	<p><u>Alwitt &amp; Donley, 1997</u>- Poor residents travel a greater distance to access the same resources as non-poor residents.</p> <p><u>Chung &amp; Myers, 1999</u>- Poor residents have less access to chain stores.</p> <p><u>Cotterill &amp; Franklin, 1995</u>- More low income residents lack transportation which limits access to food outlets.</p> <p><u>Gallagher et al., 2006</u>- African Americans have the lowest access to grocery stores and greatest access to fast food outlets. A decrease in grocery store access is associated with an increase in obesity.</p> <p><u>Giang et al., 2008</u>- Access to food is unevenly distributed in Philadelphia. In areas where access is limited the most, residents suffer greater health challenges with diet as a risk factor.</p> <p><u>Inagami et al., 2006</u>-Residents who shopped in more disadvantaged neighborhoods had higher BMIs than those who did not shop in a more disadvantaged neighborhood, suggesting that neighborhood SES of the grocery store is a proxy for quality of the grocery store.</p> <p><u>Kaufman, 1999</u>- More than 70% of the total low-income population in the catchment area had accessibility challenges.</p> <p><u>Morland et al., 2002a</u>-Fewer supermarkets were observed for neighborhoods where both black study participants and white study participants resided. However, there were 5 times as many supermarkets in the areas where white participants resided compared to blacks.</p> <p><u>Raja et al., 2008</u>-There are no food deserts in Erie County, New York</p> <p><u>Rose &amp; Richards, 2004</u>-Easy access to supermarkets was associated with increased household fruit intake.</p>
Income/SES	<p><u>Alwitt &amp; Donley, 1997</u>-Poor areas have fewer and smaller food outlets than non-poor areas.</p> <p><u>Chung &amp; Myers, 1999</u>- Residents of poor neighborhoods pay more for shopping locally</p> <p><u>Cotterill &amp; Franklin, 1995</u>- Low income areas have 30% fewer supermarkets compared to higher income areas.</p> <p><u>Giang et al., 2008</u>- Low income residents have limited access to supermarkets.</p> <p><u>Glanz et al., 2007</u>- Non-poor neighborhoods were more likely to have healthier food options than poor neighborhoods</p> <p><u>Kaufman et al., 1997</u>- There is little evidence that food prices are higher in poor areas compared to non-poor areas.</p> <p><u>Lewis et al., 2005</u>- Poorer neighborhoods have fewer healthy food options compared to non-poor neighborhoods</p> <p><u>Moore &amp; Diez Roux, 2006</u>- Low-income neighborhoods had four times as many grocery stores (non-chain stores) and half as many supermarkets (chain stores) compared to more affluent neighborhoods.</p> <p><u>Morland et al., 2002b</u>-There were 3 times as many supermarkets in non-poor neighborhoods compared to poor neighborhoods. Non-poor neighborhoods were less likely to have smaller grocery stores (non-chain), convenience stores (without a gas station), and specialty stores compared to poor neighborhoods.</p> <p><u>Powell et al., 2007</u>-Poor neighborhoods have fewer supermarkets, only 75%, of that in middle-income neighborhoods</p> <p><u>Zenk et al. 2005</u>- Supermarket access was similar among the least impoverished neighborhoods regardless of race/ethnicity.</p>
Race/Ethnicity	<p><u>Block et al., 2004</u>- Predominantly black neighborhoods have six times more fast food restaurants than predominantly white neighborhoods.</p> <p><u>Gallagher et al., 2006</u>- African Americans travel the greatest distance to any type of grocery store.</p> <p><u>Lewis et al., 2005</u>-Predominantly African American neighborhoods have fewer healthy food options compared to areas with a lower percentage of African American residents.</p> <p><u>Moore &amp; Diez Roux, 2006</u>-Predominantly minority and racially mixed neighborhoods had more than twice as many grocery stores (non-chain stores) and half the number of supermarkets (chain stores) than predominantly white neighborhoods.</p> <p><u>Morland et al., 2002a</u>-The presence of one supermarket was associated with a 32% increase in fruit and vegetable consumption among blacks and 11% increase in fruit and vegetable consumption among whites.</p>

Table 2.2 continued

	<p><u>Morland et al., 2002b</u>-Supermarkets were 4 times more likely to be found in predominantly white neighborhoods compared to predominantly black neighborhoods.</p> <p><u>Powell et al., 2007</u>-Predominantly African American neighborhoods have 52% of the supermarkets that are available in predominantly white neighborhoods. Hispanic neighborhoods have only 32% of the supermarkets that are available in non-Hispanic neighborhoods.</p> <p><u>Raja et al., 2008</u>-There is a lack of supermarkets in neighborhoods of color compared to white neighborhoods</p> <p><u>Zenk et al. 2005</u>-Compared to the most impoverished white neighborhoods, African American neighborhoods were 1.1 miles farther from the nearest supermarket.</p>
<p>Food Store Density</p>	<p><u>Block et al., 2004</u>- Neighborhoods with 80% black residents have 2.4 fast-food restaurants/mile<sup>2</sup> compared to 1.5 fast food restaurants/mile<sup>2</sup> in neighborhoods with only 20% black residents.</p> <p><u>Lewis et al., 2005</u>-The comparison group for the study (more affluent, smaller percentage of African American residents) contained 50% more full-service restaurants than the target area.</p> <p><u>Morland et al., 2002b</u>-With the exception of bars and taverns, all food outlets were more common in racially mixed and predominantly white neighborhoods than predominantly black neighborhoods. Full-service restaurants were 2 times more common in white neighborhoods. Carryout food outlets serving specialty food items are 9-11 times more common in racially mixed and predominantly white areas.</p>
<p>Cost</p>	<p><u>Chung &amp; Myers, 1999</u>- Prices at chain stores are lower than smaller convenience stores.</p> <p><u>Glanz et al., 2007</u>- The prices for most healthy options (low fat, low calorie) were not significantly different from the comparable regular item. The greatest cost difference found in the cost of lean ground beef, low-fat hot dogs, baked chips and 100% fruit juice compared to the regular items (p&lt;0.01).</p> <p><u>Hendrickson et al., 2006</u>- Food prices were higher in both rural and urban food deserts compared to non-food deserts</p> <p><u>Kaufman et al., 1997</u>- Food items in supermarkets offer greater variety and quality at a lower cost.</p> <p><u>Morris et al., 1990</u>- The average cost of one week's worth of Thrifty Food Plan groceries was 36% higher than the maximum weekly food stamp allotment of \$75 for a family of four.</p> <p><u>Morris et al., 1992</u>-The average thrifty food plan cost for small/medium stores was \$102 compared to \$81 in supermarkets.</p>
<p>Location</p>	<p><u>Chung &amp; Myers, 1999</u>- More chain stores are located outside inner cities where there is low poverty.</p> <p><u>Hendrickson et al., 2006</u>- Food prices in the urban food desert were more expensive than the market basket price.</p> <p><u>Kaufman, 1997</u>-Supermarkets in inner cities have somewhat higher prices than those in suburban areas.</p> <p><u>Kaufman, 1999</u>- Poor residents of rural areas depend on smaller convenience stores than residents in metropolitan cities.</p> <p><u>Morris et al., 1990</u>-Rural poor depend on limited, more expensive food outlets.</p> <p><u>Morris et al., 1992</u>-In 1988, the number of supermarkets per county in rural America versus urban America was 3.8 and 29, respectively.</p> <p><u>Powell et al., 2007</u>-Food outlets are more common in urban areas compared to suburban, rural and farm areas.</p>
<p>Store Type</p>	<p><u>Chung &amp; Myers, 1999</u>- For specific food items, chain stores offer prices that are 10-40% less than non-chain stores.</p> <p><u>Glanz et al., 2007</u>- Convenience stores were found to have lower food price compared to grocery stores.</p> <p><u>Moore &amp; Diez Roux, 2006</u>-Poorer areas were less likely to have fruit and vegetable markets, bakeries, specialty stores, and natural food stores compared to affluent areas. These areas were more likely than affluent areas to have liquor stores.</p> <p><u>Raja et al., 2008</u>-Smaller grocery stores (non-chain) are more prevalent in neighborhoods of color compared to white neighborhoods.</p>

Table 2.2 continued

Availability	<p><u>Glanz et al., 2007</u>- Grocery stores were found to have greater availability of healthier food options compared to convenience stores.</p> <p><u>Hendrickson et al., 2006</u>- Foods within rural and urban food deserts are more limited in type and in number compared to non-food deserts.</p> <p><u>Morris et al., 1990</u>- Many rural food outlets contained poorly stocked shelves and lacked healthy and nutritious foods.</p> <p><u>Morris et al., 1992</u>-Small/medium stores carried a small amount of fresh foods.</p>
Perception	<p><u>Garasky et al., 2004</u>-Rural clients were more likely than urban or suburban to perceive their food environment as having an inadequate number of supermarkets (50% compared to 22% and 13%, respectively). Suburban clients' perceived local food as being more affordable compared to urban and rural clients. Transportation concerns were greatest among suburban and rural clients.</p> <p><u>Hendrickson et al., 2006</u>- Residents identified lack of affordable healthy food options within their communities and food insecurity as concerns</p>
Quality of Available Foods	<p><u>Glanz et al., 2007</u>- Grocery stores were found to have greater quality of healthier food options compared to convenience stores.</p> <p><u>Hendrickson et al., 2006</u>- Foods within the urban and rural food desert were of fair or poorer quality compared to a non-food desert.</p>
Impact	<p><u>Hendrickson et al., 2006</u>-The lack of affordable, quality foods diminishes the ability to access healthy foods needed to maintain a healthy diet.</p> <p><u>Lewis et al., 2005</u>-The neighborhood food environment in the low-income neighborhoods in the study provides challenges to healthy eating for residents. Restaurants in the less affluent target area promoted unhealthy food options to residents.</p>

## **2.3 Article Results**

Of the 11 measures found in the literature, 3 were represented more frequently: Access to supermarkets; 2. Racial/ethnic disparities in food deserts; and 3. Income/Socioeconomic status in food deserts. A fourth measure, differences in chain versus non-chain stores will also be explored due to the complexity of this measure in incorporating factors of cost, availability, and store type.

### ***2.3.1 Access to Supermarkets***

Increasingly, studies are focusing on the availability of healthy and nutritious foods within communities across the country, and suggest that factors within the built environment play a critical role in a person's diet (Kimberly Morland et al., 2002b; Donald Rose & Richards, 2004). A widely cited example of the lack of access to supermarkets is in Philadelphia, PA (Giang et al., 2008). Results from the University of Connecticut's Food Marketing Policy Center study showed that Philadelphia had the second lowest number of supermarkets per capita among major cities in the U.S. during the 1990s (Cotterill & Franklin, April 1995).

To illustrate this further, consider the number of supermarkets on the national level. It is believed that the lowest income neighborhoods had nearly 30% less supermarkets than the highest income neighborhoods (Weinberg, 1995). Compare this to the food environment in Philadelphia where the highest income neighborhoods had 156% more supermarkets than the lowest income neighborhoods (Weinberg, 1995). Access-related concerns are even more compounded by the lack of transportation. Low-income residents may have difficulty affording transportation costs to the supermarket located outside of their immediate vicinity, thereby limiting access to food options (Donald Rose & Richards, 2004; Weinberg, 1995).

Transportation is not the only barrier to accessing healthy foods. Rose and Richards (2004) suggest that access to food goes beyond the food environment and incorporates the built environment and individual characteristics. For example, unsafe neighborhoods for walking, and the lack of time due to work schedules, being a single parent, or the lack of time required to prepare meals, can result in difficulty accessing supermarkets (Rose & Richards, 2004).

A related finding in the aforementioned University of Connecticut study was that residents in many of the neighborhoods that lack access to supermarkets in low-income neighborhoods of Philadelphia had greater prevalence of health challenges with diet as a risk factor. These challenges include diabetes, heart disease, and cancer (Cotterill & Franklin, April 1995). Studies suggest that disparities in supermarket access exist with racial/ethnic minority communities and low-income communities being disproportionately affected (Chung & Myers, 1999; Hendrickson et al., 2006; Powell, Slater, Mirtcheva, Bao, & Chaloupka, 2007; Zenk et al., 2005). While many of these studies address access-related concerns, they focus on the racial/ethnic and income disparities that exist within food deserts. Findings from these studies will be discussed in subsequent sections.

### ***2.3.2 Racial/Ethnic Disparities in Food Deserts***

Previous studies found that predominantly Black neighborhoods have fewer supermarkets compared to predominantly White neighborhoods ("Metro Chicago Information Center," 2008; Kimberly Morland et al., 2002b). In an examination of the associations between the availability of food stores in the US and race, ethnicity and socioeconomic status, Powell et al. (2007) found that the availability of chain supermarkets in Black neighborhoods was only 52% that of their

White counterpart (Powell et al., 2007). These differences still existed after controlling for relevant covariates including neighborhood income.

In a similar study using geographic information system (GIS) to measure spatial accessibility of chain supermarkets with respect to neighborhood racial composition and poverty in Detroit, Michigan, Zenk and colleagues (2005) found that the most impoverished neighborhoods in which African Americans resided were 1.1 miles farther from the closest supermarket compared to the most impoverished White neighborhoods (Zenk et al., 2005). Additional findings show that 28% of residents in the most impoverished Black neighborhoods did not own a car in 2000, that these neighborhoods had 2.7 fewer supermarkets within a three-mile radius compared to the most impoverished White neighborhoods, and that among the most impoverished neighborhoods in Detroit, 76% of these areas had a high proportion of African Americans (Zenk et al., 2005). Understanding the social and racial history has helped frame the present-day issue of racial segregation and consumer purchasing power. Looking at the history in Detroit, Michigan, Zenk et al. (2005) surprisingly found that among the least impoverished neighborhoods studied, all but one of the predominantly Black neighborhoods that had access to a supermarket equivalent to their White counterparts, was located in the inner city. The interpretation of this finding is two-fold. First, this suggests that supermarkets will stay invested in a neighborhood as long as the residents have the purchasing power to make their commitment to the area profitable. Second, supermarkets that remain in these urban areas are remnants from when these areas were predominantly White, again implying that it is profitable for these retailers to remain in the area (Zenk et al., 2005).



### ***2.3.3 Socioeconomic Status in Food Deserts***

The majority of smaller stores located in urban areas are in low-income areas (Alwitt & Donley, 1997; Hendrickson et al., 2006). The consequence is that the issue of poverty plays out in economic barriers in accessing food in low-income areas. Hendrickson and colleagues (2006) found that food prices are higher and food quality is poorer, often inedible, in areas where poverty is the highest, compared to more affluent areas. Furthermore, results from the same study show that there is a smaller quantity and variety offered at stores in impoverished areas. These findings are consistent with other studies that show that residents living in areas that do not have a supermarket pay more for their food (Chung & Myers, 1999; Freedman, 1991; Hendrickson et al., 2006; Philip R. Kaufman et al., 1997; "U.S. House of Representatives Select Committee on Hunger," 1990). In a similar report by New York's Consumer Affairs Department in 1991, results from price surveys in 60 stores and 140 interviews with consumers and retailers showed that the poor residing in urban areas paid more for groceries, and received poorer quality foods (Chung & Myers, 1999; Freedman, 1991).

One explanation for the higher costs of food in urban areas has to do with increased crime in these areas. Theft within stores in urban areas where the cost is already high tends to drive up the cost of food items even more. The unfortunate result is that a vicious cycle may form where the high cost of food makes stealing an attractive option thereby forcing store owners to increase the price of food for consumers that already have a difficult time paying for food (Hendrickson et al., 2006).

Additionally, the issue of lack of transportation is echoed throughout the literature citing that many low-income households do not have access to a car and cannot afford the costs associated with getting to a supermarket outside of their immediate neighborhood. (Alwitt &

Donley, 1997; Guy et al., 2004; Hendrickson et al., 2006; Phil R. Kaufman, 1999; "U.S. House of Representatives Select Committee on Hunger," 1990). As a result of the lack of transportation, low-income households are less likely to travel the distance to a supermarket outside of their neighborhood and will purchase food items from the stores that are nearby, thereby sacrificing cost and quality for convenience.

#### ***2.3.4 Differences in Chain Versus non-Chain Stores***

A report by the Economic Research Services (ERS) of the United States Department of Agriculture (USDA) found that urban supermarket prices are higher than suburban ones (Philip R. Kaufman et al., 1997; Powell et al., 2007). The fewer supermarkets and the prevalence of smaller grocery stores that are located in urban areas may account for the higher food prices. The ERS report also explained that smaller grocery stores tend to stock leading brand items and smaller package sizes which can drive the cost of food prices up. Larger supermarkets are able to stock both leading brand and generic items, both offered in larger and smaller packages. The variety in brands and package size that larger supermarkets are able to offer helps offset the higher priced items, thereby keeping the cost lower (Chung & Myers, 1999; Phil R. Kaufman, 1999).

In an examination of food items in approximately 55 stores within the Minneapolis and St. Paul metropolitan areas, Chung et al. (1999) found that only 22% (n=256) of chain supermarkets were located in urban areas. However, nearly one-half of the non-chain stores were located there. Results also showed that non-chain stores were more likely to be located in poor areas whereas chain supermarkets were more likely to be located in more affluent areas (Chung & Myers, 1999). To identify differences between two markets, chain versus non-chain,

Chung and Myers (1999) compared market basket prices. These prices reflect the cost of a fixed list of items and provide information regarding inflation within the larger economy as well as within a specific market.

The biggest disparity in price between chain and non-chain venues was in the price of dry goods including flour and oatmeal. Consumers who shop at chain supermarkets paid between 10-40% less for these items (Chung & Myers, 1999). In terms of market basket prices, there was a \$16.62 price gap between non-chains and chains, \$1.18 price gap between urban and suburban retailers, and a \$5.15 price gap between poor and non-poor areas (Chung & Myers, 1999). This means that consumers who shopped at non-chain stores, in urban and poorer areas paid more per unit of measurement than chain, suburban and non-poor areas.

## **2.4 DISCUSSION**

This review focused on food desert literature in the US. The specific focus on food deserts opposed to including articles pertaining to areas that have supermarkets, or food oases, was to highlight the issues surrounding poor access to healthy and nutritious foods characteristic of food deserts. Furthermore, the focus allowed for better understanding of the challenges in obtaining healthy and affordable foods faced by residents of these areas. These challenges are not experienced by residents of food oases who reside in close proximity to a supermarket. Results of the review of the literature produced 22 empirical studies that focus on food deserts in the U.S. These studies focused on 11 measures that have been used to categorize food deserts. The majority of the studies included in this review (n=20) utilized more than one measure to explore food deserts. It is worthwhile to note that most research in this area has focused on exploring racial/ethnic and income disparities within food deserts. This can partly be attributed

to increased attention focusing on reducing and eliminating health disparities including racial/ethnic and income disparities. The measures that have received the least attention have focused on residents' perceptions of their food environment and the impact of living in a food desert. One explanation for this finding is that unlike income and race/ethnicity which are easier to quantify, perceptions are more subjective. However, understanding perceptions can offer insight into facilitators and barriers to healthy eating. Similarly, it is difficult to assess the direct impact of residing in a food desert when additional factors such as race/ethnicity or income could be contributing to the association.

Few studies discuss policy implications for food deserts. The few studies that mention policy-related concerns discuss reducing the racial/ethnic and related income disparities that exist in accessing food, and working to attract supermarkets to economically disadvantaged neighborhoods (Chung & Myers, 1999; Lang & Caraher, 1998; Zenk et al., 2005). This underscores the need for policymakers and stakeholders to begin determining food-related policies and practices. These policies can have a major impact in addressing the limited access to affordable healthy and nutritious foods for low-income residents of urban areas that lack access to these foods. An example of how cities are addressing the lack of access to supermarkets are found in Pittsburgh, Boston and New York where many communities have relied on local leadership and policy development to alleviate these disparities (Pothukuchi, 2000). These cities have developed public/private partnerships, agreements between government and private sector organizations, to build and maintain infrastructure and necessary community facilities (Nayga & Weinberg, 1999; Widdus, Chacko, Holmand, & Currat, 2001). Specifically, partnerships between local government and supermarket leaders have been developed to bring supermarkets into underserved areas. Ultimately, these partnerships seek to increase

supermarket access within neighborhoods that have been overlooked by food retailers. In addition to addressing the food environment, it is imperative to address transportation-related issues that have been identified as additional barriers to accessing healthy foods for many low-income residents.

While many studies focus on the presence or absence of supermarkets, few examine the dynamic interaction between other food venues (restaurants, corner stores, gas stations, etc.) as places where residents purchase food. This is important because these venues, in addition to local grocery stores, comprise the food environment and offer food items for residents, despite the nutritional value of these foods. The importance of identifying these types of food stores within a neighborhood is two-fold. First, identifying these stores offers a complete picture of the entire food environment within a neighborhood. Second, researchers will have a better understanding of the food options that are available to residents. While it is important to identify places that offer healthy foods within a neighborhood, it is equally important to identify the places within a neighborhood that can offset these locations.

There is limited knowledge about the associations between residing in a food desert and both behavioral and physical health outcomes. More specifically, there is debate about whether living in a food desert is associated with unhealthy eating and food buying practices, or health outcomes such as obesity, diabetes, or hypertension, all of which have diet and nutrition as a risk factor. Similarly, it is unknown whether other factors including personal preferences are better indicators for healthy eating than the actual presence or absence of a supermarket. Additional research is needed to better understand these associations and additional factors involved in food buying practices among residents of food deserts. The salience for this research is to better understand how a neighborhood characteristic such as access to a supermarket influences healthy

eating. It is also worthwhile to explore how household food security status (food secure or food insecure) influences food buying practices and how these differences vary by food desert status. This information will be useful in program planning and policy development aimed at addressing access to healthy and affordable foods.

### **3.0 CHAPTER THREE: METHODS**

This dissertation research utilized a participatory research method called concept mapping to identify factors that influence food buying practices in residents of a food desert and a food oasis. Additionally, this research sought to explore similarities and differences in importance of factors that influence food buying practices on hindering healthy eating among food secure and food insecure participants. This chapter presents the research methods used in this dissertation research. The chapter begins with a description of how the two areas in the research were identified. Next, a detailed description of the recruitment and concept mapping processes. Then, information on the data collection procedures is presented. This chapter concludes with information on data analyses including examples of the analytic techniques that were used in this research.

#### **3.1 IDENTIFICATION OF FOCAL AREAS**

To identify the two zip codes that were included in this study, the 39 residential zip codes in Pittsburgh, PA were categorized by food desert status. The online yellow pages located at [www.yellowpages.com](http://www.yellowpages.com), was used to identify distance to the nearest supermarket in each of the 39 residential zip codes in Pittsburgh. Utilizing the yellow pages is an efficient and comprehensive tool to identify distance based on latitudinal and longitudinal coordinates. The use of the yellow pages has been cited in the literature as a means of identifying physical activity resources in a midwestern U.S. city (Estabrooks, Lee, & Gyurcsik, 2003), verifying the existence of food stores in Baltimore from a purchased list (Franco, Roux, Glass, Caballero, & Brancati, 2008), and identifying industry codes for businesses (Kimberly Morland et al., 2002b). Fifteen zip codes were identified as food oases. In other words, 38.5% of the zip codes have a

supermarket within 0.5 miles of the center of the zip code. Conversely, 24 (61.5%) zip codes were identified as food deserts, meaning they lack a supermarket within 0.5 miles. After food desert status was determined, each zip code was evaluated for neighborhood poverty status.

Neighborhood poverty status has been measured using various markers including: number of households that receive welfare, presence of female-headed households with children, and the number of male residents unattached to the labor force (Zenk et al., 2005). The most common measure, and the measure that was used in this study as a proxy for neighborhood poverty status is percentage of families below the federal poverty line as determined by the US Census Bureau. The U.S. Census Bureau uses the federal government's official poverty definition, originally developed by the Social Security Administration (SSA). Additional information regarding the calculation of poverty status, including poverty thresholds is available at <http://www.census.gov/hhes/www/poverty/threshld/thresh99.html> ("U.S. Census Bureau," Undated). The percentage of families below the federal poverty line was obtained for each zip code by accessing [www.census.gov](http://www.census.gov) ("U.S. Census Bureau," Undated). The mean percentage of families below the federal poverty line in Pittsburgh from 2005-2007 was 9.8% ("U.S. Census Bureau, Current Poverty Data," 2005-2007). Among the zip codes in Pittsburgh, 16 zip codes have mean percentage of families below the federal poverty line ("U.S. Census Bureau, Current Poverty Data," 2005-2007). Eligible zip codes were explored for demographic characteristics. To be selected for the study, zip codes had to be comparable in terms of total population, age distribution, racial/ethnic composition, educational attainment and median household income. Based on these criteria, two zip codes included in the study were identified. The selected food oasis has the second highest percentage of families below the federal poverty line and the second lowest median household income among food oasis zip codes. The selected food desert zip code



is a zip code that has similar demographic characteristics as the food oasis, has one of the highest percentages of families below the federal poverty line and one of the lowest median household incomes in Pittsburgh. These zip codes are 15201 (food oasis) and 15207 (food desert), and illustrated in Figure 3.1. To further substantiate the use of 0.5 miles as an indicator of a food desert, the numbers of food outlets (including supermarkets, sit-down and fast-food restaurants, and convenience stores) per unit area were calculated for each zip code. The list of food outlets was purchased from [www.usadata.com](http://www.usadata.com) ("USADData," New York, NY ). There are 43 different food outlets in the food oasis compared to 16 in the food desert. The food density for the food oasis is 17.55 food outlets/mile<sup>2</sup> compared to 2.75 food outlets/mile<sup>2</sup> in the food desert. To illustrate the difference in food outlets even further, consider the density of supermarkets in each of these areas. There are a total of two supermarkets in the food oasis compared to zero in the food desert. This calculates to a supermarket density of 0.82 supermarkets/mile<sup>2</sup> in the food oasis compared to zero in the food desert. The total area was obtained from 2000 Census data.

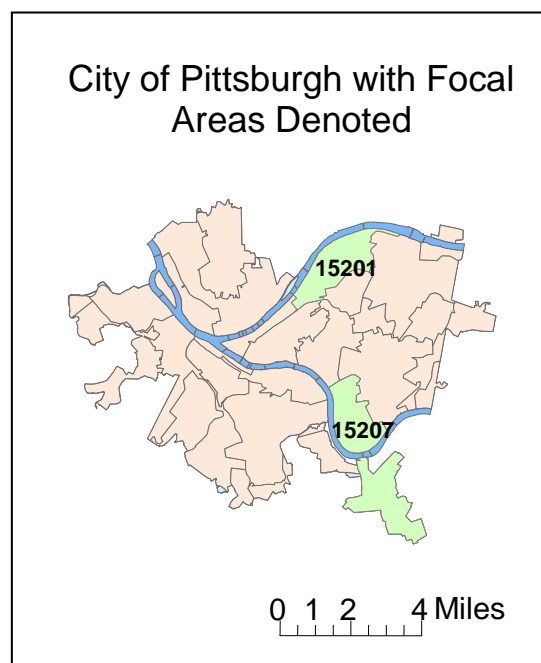


Figure 3.1. Map of Pittsburgh with the selected zip codes highlighted

Table 3.1 presents a summary of characteristics from both zip codes selected. The age distribution was similar for both zip codes with the median age being 40.6 years in the food desert and 42.0 years in the food oasis. As intended, the total populations for both areas were comparable, with 13,203 residents in the food desert and 14,326 in the food oasis, according to the 2000 census. Another noteworthy characteristic is the racial/ethnic make-up of the two zip codes. Both zip codes are predominantly Caucasian, representing nearly 80% of the residents in the food desert and 77% of the residents in the food oasis. Of particular importance is the difference in distance to the supermarket from the centroid of the zip code. For the food desert, this distance is 1.6 miles compared to 0.35 miles in the food oasis.

Table 3.1. Characteristics of Selected Zip codes

Zip code Characteristic	Hazelwood (15207)	Lawrenceville (15201)
Distance to supermarket (miles)	1.6	0.35
Total population	13,203	14,326
Age		
Median age (years)	40.6	42.0
≤ 5 years (%)	5.4	5.1
18 years + (%)	77.9	79.9
65 years + (%)	20.3	22.2
Race & Ethnicity		
African American	18.8	19.8
Caucasian	79.1	77.1
Other	2.1	3.1
Hispanic/Latino	1.0	0.8
Education		
High school graduate or higher (%)	76.8	77.6
Bachelor's degree or higher (%)	12.6	18.8
Economic		
Median household income, 1999 (\$)	28,156	27,031
Percent families below poverty line	14.0	14.5

Source: U.S. Census Bureau (2000) located at [www.census.gov](http://www.census.gov).

Note: Food desert =15207; Food oasis = 15201

### **3.2 RECRUITMENT**

Recruitment of study participants took place over a four-week period in January 2009 and continued until 15 participants in each zip code had enrolled and involved a modified snowball sampling technique (Magnani, Sabin, Saidel, & Heckathorn, 2005). A modified snowball technique was used to yield a sample based on referrals from people who knew others who met the inclusion criteria. The referrals were made from staff from social service agencies, such as neighborhood clinics, senior centers, and food banks, and from participants who had already been recruited to participate in the study. A study recruitment flyer was developed (see Appendix A) and included information about the study and a contact number to call if interested. When a potential participant called the number, they were asked if they: 1. were at least 18 years of age, 2. lived in either zip code 15201 or 15207, and 3. had lived there for the past 12 months. If the participant answered “yes” to these three questions, the purpose and the requirements of the study were described. If the caller was still interested in participating after every question was answered, the caller was enrolled in the study and mailed a consent form. Instructions were given to read the consent form thoroughly and to bring to the first group session. Twenty-five participants from the two zip codes attended three concept mapping sessions. Twelve participants were residents from the food desert and 13 were residents from the food oasis. The median age was 46.5 years and the racial/ethnic composition was nearly half Caucasian and half African American. Additional participant demographics are presented in table 3.2. The concept mapping sessions are described in more detail in the following Data Collection section of this chapter. All concept mapping sessions were conducted in private rooms at community agencies located within each zip code. This study was approved by the University of Pittsburgh Institutional Review Board.

Table 3.2. Sample of Participant Demographics by Food Desert Status

Zip code Characteristic	Food Desert (15207)	Food Oasis (15201)	Total
Total number of participants	12 (48%)	13 (52%)	25 (100%)
Age			
Median age (years)	42.8	47.5	46.5
Sex			
Male	2 (16.7%)	1 (7.7%)	3 (12%)
Female	10 (83.3%)	12 (92.3%)	22 (88%)
Race & Ethnicity			
African American	6 (50.0%)	6 (46.2%)	12 (48%)
Caucasian	6 (50.0%)	6 (46.2%)	12 (48%)
Other	0 (0%)	1 (7.7%)	1 (4%)
Average number of adults in household	1.50	1.54	2.11
Number of children in household	0.50	0.77	1.33
Car ownership			
Do not own car & hard to find a ride	5 (41.7%)	4 (30.8%)	9 (36%)
Do not own car & able to find a ride	4 (33.3%)	4 (30.8%)	8 (32%)
Own car	3 (25.0%)	5 (38.5%)	8 (32%)

### 3.3 DATA COLLECTION

Increasingly, participatory approaches to collecting, analyzing and interpreting qualitative data have gained recognition and use within public health research (Minkler, Blackwell, Thompson, & Tamir, 2003). Community Based Participatory Research (CBPR) is one approach that is recognized by health scholars and funders to accomplish this task (Minkler et al., 2003). CBPR has been accepted as an important tool for engaging participants. With this approach, participants share their experiences in helping identify areas of concern that require additional research, and utilizing study results to inform program and policy development. Like CBPR, concept mapping also accomplishes these tasks due to the participatory nature of the methodology. Concept mapping participants are involved in freely generating a list of items in response to a focal question to be used in data collection and analysis. Furthermore, participants are actively engaged in the interpretation of the maps constructed and offer insight into how the

findings inform the original focal question. The use of concept mapping in this dissertation research is described below.

Concept mapping is the methodology that was used in this study to investigate food buying practices among residents of a food desert and a food oasis. It is an appropriate methodology for this study because it is a participatory approach that involves the participants in every step of the process, and allows hypotheses to be generated (Kane & Trochim, 2007). Concept mapping is a mixed-methods approach that involves participation of the stakeholders to identify, list, and organize barriers according to their perception, and integrates the results in such a way that multivariate analyses can be used to make comparisons between groups (W. Trochim, 1989; W. M. Trochim & Kane, 2005). Concept mapping allows individuals or groups the ability to express their ideas graphically. It is an ideal tool for when groups of stakeholders have to work together (Robinson & Trochim, 2007). The concept mapping process facilitates the generation of ideas about a complex topic. Respondents were able to rate, according to importance or relevance, features that are the most important pertaining to the topic. The resulting map is one in which respondents can visualize the organization of their ideas and identify priority areas for further action. Traditionally, concept mapping has been used to guide program planning and evaluation (Kane & Trochim, 2007). However, recent research has successfully applied concept mapping in community settings. For example, Burke et al. (2006) utilized concept mapping to explore perceptions of neighborhood characteristics related to intimate partner violence and to mental health (J. Burke, O'Campo, & Peak, 2006; J. Burke, O'Campo, Salmon, & Walker, 2009; J. G. Burke, O'Campo, Peak, Gielen, McDonnell, & Trochim, 2005). Another example of the successful use of concept mapping is in identifying and

addressing barriers to familial involvement in mental illness care among African American families (Biegel, Johnsen, & Shafran, 1997).

There are six steps involved in the concept mapping process: 1. preparation, 2. generation of statements, 3. structuring of statements, 4. representation, 5. interpretation of maps, and 6. utilization of maps. Participants in each zip code completed steps 2-5 over a period of three non-consecutive days. The research team included the doctoral student (R. Walker), who facilitated the concept mapping sessions; the dissertation chair (J. Burke), who is an expert on the concept mapping process, provided oversight for each session and guidance during the process; and two Master of Public Health students at the University of Pittsburgh, Graduate School of Public Health, who used the opportunity to learn about and engage in the concept mapping process as a community practicum requirement. The students assisted in writing statements on flip chart paper during the first session, note taking, and collecting and entering data during the second session. Each of these activities is described in more detail below.

### ***3.3.1 Generation of Statements/Brainstorming***

Day 1: At the first session, which lasted 2 hours in duration, the facilitator reviewed the study aims with the group, answered any questions and obtained consent from each participant. The goal of the first session was for participants to brainstorm the focus statements. Participants were asked to generate words and short phrases that they believed were relevant to the focus statement. Table 3.3. presents the focus statement and relevant definitions that were offered to participants at the brainstorming session.

Table 3.3. Focus statement and Definitions

<b>Focus Statement</b>	<b>Food Buying Practices Definitions</b>
What things, good or bad, influence your food buying practices?	<ul style="list-style-type: none"> <li>• Where you buy food</li> <li>• The types of food you buy</li> <li>• When you buy food</li> </ul>

Each phrase generated during the brainstorming session was written down on flip chart paper for the entire group to visualize. After every participant made their final contribution to the list, the group reviewed the list and agreed that the list was exhaustive and represented all of their perspectives. With permission from the participants, the group discussions were tape recorded and reviewed to ensure that the list accurately represented what the group contributed. Audiotapes did not contain any personal identifiers and were stored in a locked cabinet and accessible only to personnel involved in the study.

Participants residing in the food desert (15207 zip code) generated a list of 125 statements (Appendix B) pertaining to factors that influence food buying practices. Similarly, participants of the food oasis (15201 zip code) generated 105 statements (Appendix C). After the brainstorming session, the data were cleaned in a process where redundancies were removed and similar concepts grouped. This process is consistent with prior concept mapping work (J. G. Burke et al., 2005) and is necessary for the subsequent concept mapping steps. This grouping was based on the intent of the participants during the brainstorming process. In instances where it was difficult to identify how a participant intended the statement to be grouped, audiotapes from the session were referenced to ensure the viewpoint of the participant was accurately represented. The expertise of the dissertation chair in concept mapping was used to facilitate this process. An example of this consolidation process is creating the unique statement “food expiration date” based on the statements “expired food” and “not outdated food” generated in the

food desert and food oasis respectively. Another example is consolidating the statements “fuel perks” and “gas discount”, generated by participants of the food desert, into the unique statement “gas discount perks.” A third example was consolidating the statements “look for bargains”, “bargains”, “only shop when there is a sale” and “Shop ‘n Save has 2 for 1” generated by participants of the food oasis into the unique statement “bargains.” After the cleaning process, a master list was generated with 121 unique statements that included statements generated from each group (Appendix D). For attending the first session, participants received a \$20 gift card to Giant Eagle supermarket for their time.

### ***3.3.2 Administration of Questionnaires/ Structuring of Statements & Representation***

Day 2: The second concept mapping session was held two weeks after the first session and lasted 4 hours. The second session involved sorting and rating the 121 unique statements that resulted from the brainstorming session. Also during this session, participants were asked to complete the brief questionnaire (Appendix E) and the Food Security Scale (Appendix F) that was used to characterize the sample and to answer the second research question. The questionnaire was divided into four parts. The first part assessed background information including the number of adults and children in the household and employment status. The second part of the questionnaire focused on transportation and included questions regarding car ownership and bus frequency. The third part of the questionnaire inquired about where participants shop for food and asked about the time in minutes to the store and best/worst features of the place where food is usually purchased. The final part of the questionnaire dealt with health concerns and asked about chronic conditions that participants have been diagnosed with. The food security scale was completed by participants to assess food security, which is



calculated from 18 questions for households with children and 10 questions for households without children. Questions that comprise the food security scale inquire about conditions, experiences, and behaviors surrounding food quantity, quality, and variety (Nord, Andrews, & Carlson, 2006). The FSS has been used in research to measure the adequacy and stability of a household's food supply (Frongillo, Rauschenbach, Olson, Kendall, & Colmenares, 1997). It is used to estimate the number of people in the U.S. that are hungry.

### **Sorting**

Each participant was given a stack of index cards with each card containing one statement from the master list (and an arbitrarily assigned statement number) generated during the brainstorming session. The first step involved participants working independently on sorting the statements from the master list into piles that made sense to them. Participants were asked not to have too many or too few piles, both of which could cause difficulty in data analysis. After the statements were sorted, each participant provided a name or label for each pile. For example, one participant from the food desert grouped the statements “availability of sale items”, “sale advertisement delivered late”, “different circulars for different neighborhoods”, “read labels”, “false advertising” and “knowledge of food prices” into the same pile and named the pile “advertising.” Another participant from the same group created a pile that included the statements “false advertising”, “buy 1 get 1 free”, “flyers and newspapers to see sales”, “television commercials”, “food bank”, “bargains”, “availability of sale items”, “word of mouth of sales”, “convenience”, “knowledge of food prices”, “share information with others”, “sales”, “sale advertisement delivered late”, “senior coupons for farmer's market”, “coupons”, “different circulars for different neighborhoods”, “car service cost”, “coupon sharing”, “double and triple

coupons”, “meat available from hunting”, and “quantity of items on coupons.” This pile with the aforementioned 21 statements was named “advertisement.” Once a name or label was generated, the piles were ready to be entered into the Concept Systems software for data analysis. The two Master of Public Health student assistants, under the direction of R.Walker and J. Burke, entered the data into the concept systems software. This data entry process occurred in real time while the participants completed the sorting activities.

Concept Systems is a licensed software program used to facilitate the concept mapping process. Individual participant data were entered into the software and an aggregate group product was generated. First, a similarity matrix was used to tally the number of times two statements are sorted together. Next, multidimensional scaling of the similarity matrix was conducted whereby the distance between two statements was calculated using an x-y coordinate system (Davison, 1983; Kruskal & Wish, 1978). Statements that were sorted together more frequently were considered to be more closely related and placed in closer proximity to each other on the map. Statements that were considered less related were placed further away from each other on the map. Then, hierarchical cluster analysis was applied. At this step, individual statements represented by points on the map were grouped together to illustrate similar concepts. Using hierarchical cluster analysis methods, the software utilized an algorithm whereby the distance between all statements was adjusted so that the number of clusters selected was increased or decreased based on input from the participants (Everitt, 1980).

The final cluster solutions map was agreed upon by participants as accurately reflecting their perspective. The key diagnostic statistic in multidimensional scaling is the “stress” index which represents a “goodness of fit” (Kruskal & Wish, 1978). A low stress value indicates a better overall fit between sort data entered into the similarity matrix and the representation of the

data on a two-dimensional map. A meta-analysis of a variety of concept mapping projects approximated 95% of concept mapping projects are likely to produce stress values between 0.205 and 0.365 (W. M. K. Trochim, 1993). The stress value for the final 12 cluster solutions map generated with all of the participants' data was 0.31. This value is well within the range of most concept maps generated (Kane & Trochim, 2007; Kruskal & Wish, 1978).

### **Rating**

To begin the rating process, each participant received a sheet with all of the statements listed. The task for the participant was to work independently and rate each statement based on a rating scale. Participants were asked to rate each statements with respect to its perceived influence on three rating scales. Table 3.4 shows the rating statements and responses that were used in the rating process.

Table 3.4. Rating statements and rating scale

<b>Rating Issue</b>	<b>Rating Statement</b>	<b>Rating Scale</b>
Impacts buying practices	For each statement, how strongly does it influence your food buying practices?	1= not at all strong 2= somewhat strong 3= moderately strong 4= strong 5= extremely strong
Facilitates healthy eating	For each statement, how strongly does it facilitate healthy eating?	1= not at all strong 2= somewhat strong 3= moderately strong 4= strong 5= extremely strong
Hinders healthy eating	For each statement, how strongly does it hinder healthy eating?	1= not at all strong 2= somewhat strong 3= moderately strong 4= strong 5= extremely strong

The ratings that each participant submitted were used to generate maps that illustrate the strength of association between the statements and clusters and the influence on food buying practices, factors that facilitate health eating, and factors that hinder healthy eating.

### **Mapping**

An advantage of the Concept Systems software occurs during the representation stage of the concept mapping process when individual piles of sorted data are simultaneously entered into the mapping software and immediately analyzed. The software was used to conduct multidimensional scaling analyses (Davison, 1983), which sorted the data across participants and developed a point map. In essence, this point map illustrates each statement as a point on the map. Further analyses, specifically, hierarchical cluster analysis (Everitt, 1980) used the data from the point map to arrange statements into groups of clusters. After the clusters were generated, the groups discussed the clusters, identify which statements and clusters most accurately represented their perceptions and corrected the maps as appropriate. Figure 3.2 presents the point map generated, which illustrates where each of the unique statements are situated on the map with statements in close proximity being perceived by participants as being related and grouped together more frequently compared to statements that are further away.

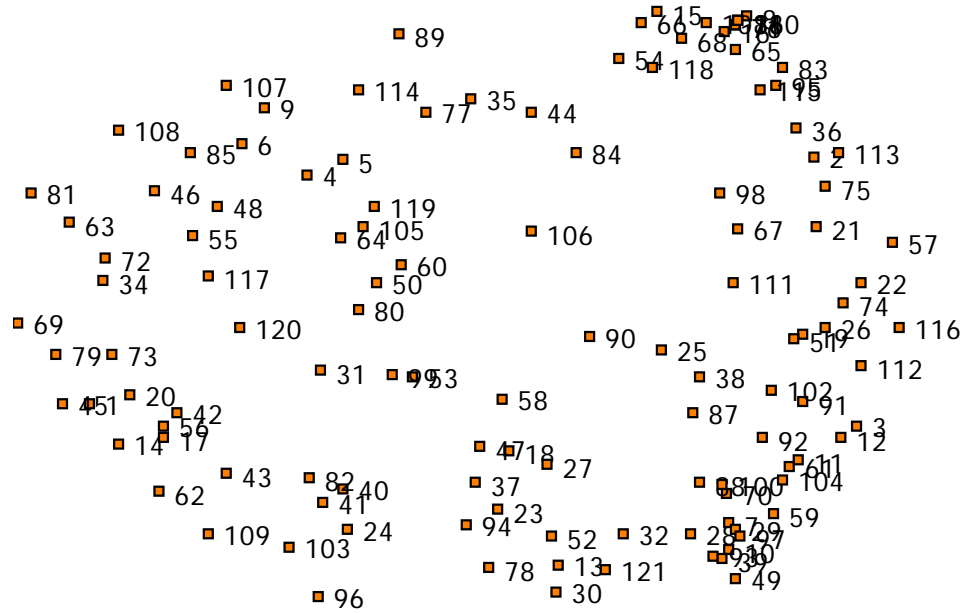


Figure 3.2. Point Map for the 121 Unique Statements Generated in the Brainstorming Session

Each group was initially presented with the nine-cluster solution map, illustrated in Figure 3.3 below. Each cluster was given a label by the participants that represented the statements within each cluster (Table 3.5).

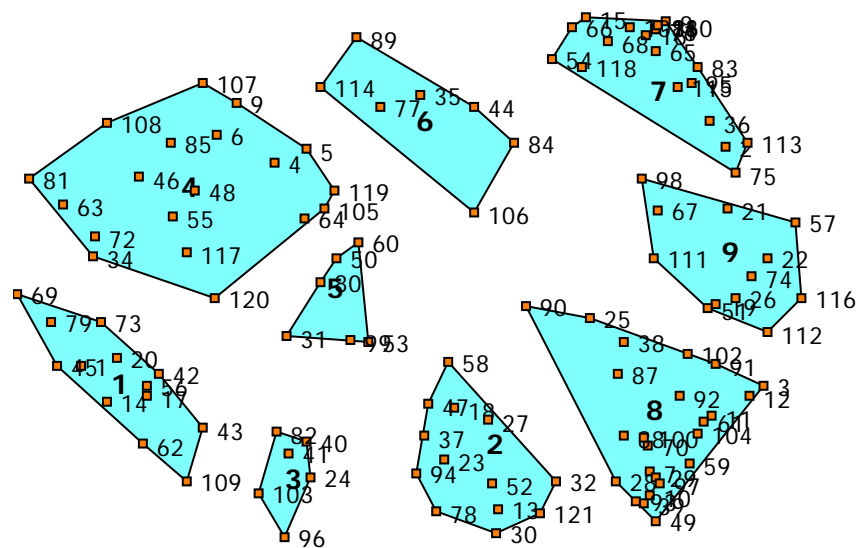


Figure 3.3. Cluster Point map with Nine Clusters

Table 3.5. Cluster Labels for a Nine-Cluster Solution Map

Cluster Number	Cluster Label
1	High Risk
2	Information I Depend On
3	Lifestyle
4	False Advertising
5	Making Ends Meet
6	Neighborhood Issues – The Day Will Get Better
7	Transportation Affects Access
8	Good Price
9	Concerns About the Store

This cluster map posed some problems for many participants of the food desert since the map did not represent their ideas accurately. For instance, the cluster *Good Price* (cluster number 8, located on the bottom right corner of the map), which includes statements such as “coupon sharing”, “organic foods”, “quality of food”, and “bargains” was perceived to include too many concepts that participants felt were able to stand alone. Similar feelings were expressed among residents of the food oasis for the cluster “false advertising.” After increasing the number of clusters to 12, the statements participants felt should be separated from the initial cluster were teased out. For example, the *Good Price* cluster was split into two clusters named *Quality Healthy Foods* and *Budgeting*. This final cluster solution map with 12 clusters was agreed upon by participants in both groups and is illustrated in Figure 3.4. A list of the statements that comprise each cluster is found in Appendix G. For attending the second group session, participants received a \$25 gift card to Giant Eagle supermarket for their time.

### ***3.3.3 Interpretation & Utilization of Maps***

Day 3: This third session was conducted three weeks after the second session and lasted 2 hours. At the beginning of this last session, the facilitator began by explaining the purpose of the activities for the day and answered questions that participants had. The group was presented with the final cluster solution map and a list of the generated clusters with the statements. Based on differences in cluster ratings, participants were asked to offer insight into how the statements are related to each other and how the statements either influence food buying practices or hinder healthy eating. The discussion offered a unique perspective into food access-related issues in terms of how participants within a food desert and food oasis perceive accessing food and the implications for healthy eating. Specifically, each group was divided into 3 smaller groups. Within the small groups, participants were given a list of statements for a specific cluster, flip chart paper and markers, and asked to diagram how the statements within the cluster are related. Table 3.6. displays the clusters that were selected and the instructions for each cluster. These clusters were selected because the inter-relationship of the statements within each cluster is not easily understood and has not been explored in the literature and because of the difference in cluster ratings between the food desert and food oasis participants. Average cluster ratings for food desert and food oasis participants will be discussed in more detail in chapter four.

Table 3.6. Clusters Selected for Interpretation and Instructions for Interpretation

<b>Group</b>	<b>Cluster Name</b>	<b>Instructions</b>
1	Lifestyle	How do these statements influence food buying practices? Please diagram your response.
	High Risk	How do these statements influence food buying practices? Please diagram your response.
	Concerns About the Stores	How do these statements hinder healthy eating? By hinder, we mean make it difficult for you to eat healthy. Please diagram your response.
2	Neighborhood Issues	How do these statements influence food buying practices? Please diagram your response.
	Concerns About the Stores	How do these statements hinder healthy eating? By hinder, we mean make it difficult for you to eat healthy. Please diagram your response.
3	Areas for Improvement	How do these statements influence food buying practices? Please diagram your response.
	Concerns About the Stores	How do these statements hinder healthy eating? By hinder, we mean make it difficult for you to eat healthy. Please diagram your response.

Upon completion, each group presented their diagrams to the larger group and provided an in-depth explanation. The group discussions were tape recorded to capture the rich qualitative data expressed in the thoughts, perceptions and beliefs of the participants. For attending the third group session, participants received a \$30 gift card to Giant Eagle supermarket.



### 3.4 DATA ANALYSIS

During the sorting and rating session, participants' sort and rate data were immediately entered into Concept Systems, a licensed software program used to facilitate the concept mapping process. The software uses multidimensional scaling to identify where statements on the map will be located based on participant data (Kane & Trochim, 2007). In other words, statements sorted more frequently by participants will be closer in proximity on the map than statements sorted together less frequently. The next analysis, hierarchical cluster analysis, partitions the map into clusters representing unique concepts. Participants were informed that there was not "right or wrong" number of clusters on the map, rather the map should reflect their perspectives. Participants were first presented with a nine cluster solutions map. After discussing the statements that comprised these nine clusters, participants felt the nine cluster solutions map did not accurately capture their perspective. The Concept Systems software allowed the number of clusters to be increased until a consensus was reached by the participants where the map represented their ideas accurately. This resulted in the final 12-cluster solutions map and is illustrated in Figure 3.4.



Figure 3.4. Final 12-Cluster Solution Map with Labels

Multiple concept mapping analytical tools are available for exploring the data (Kane & Trochim, 2007). These tools include bridging, anchoring, pattern match, and go-zones. Each of these techniques is useful for offering a unique analysis of the data. For instance, bridging and anchoring offers insight into the independent sorting and rating process. It is important to realize that participants will complete the sorting process uniquely. Oftentimes, there are statements that participants are uncertain how to sort. Consequently, these statements tend to be sorted together. Graphically, these “leftover” statements tend to cluster together within the center of the map. A spanning analysis, a type of analysis which illustrates the location of statements that were grouped together with a specific statement, was conducted in Figure 3.5. This analysis illustrates how the statement “making choices between buying food and paying bills”, located within the central *Making Ends Meet* cluster, was sorted with statements from every cluster. This suggests that participants perceived the statement “making choices between buying food and paying bills” as being related to issues of transportation, access, lifestyle, and statements within the other clusters.

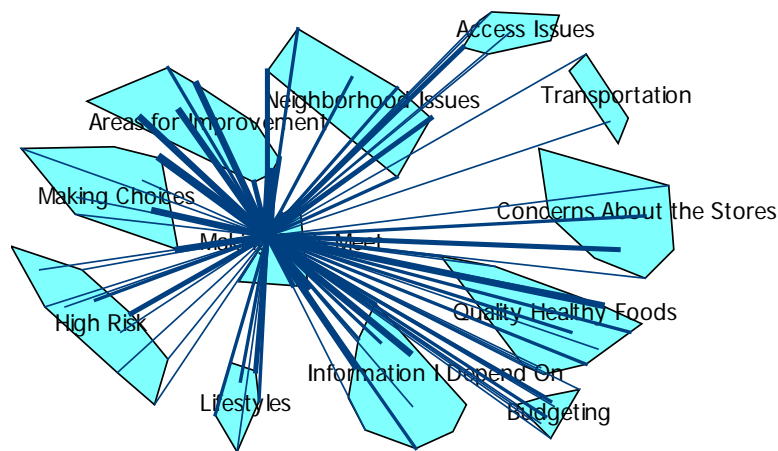


Figure 3.5. Spanning Analysis of a Statement Within the Cluster Making Ends Meet

An additional analysis that illustrates how concrete statements are within a particular cluster is the bridging value. This value identifies clusters that “bridge” across the map. This is in contrast to anchors, which are clusters that are “anchored” to clusters in close proximity. In other words, the higher the average bridging value, the more the cluster is “bridged” to other clusters across the map. The lower the average bridging value, the more “anchored” the cluster is to nearby clusters. This value offers information to how statements within the cluster were sorted with respect to statements within other clusters. For example, if statements within a cluster were frequently sorted with statements from each of the remaining clusters, the cluster in question would have a higher average bridging value. Table 3.7 shows the average bridging value for each of the 12-clusters.

Table 3.7. Average Bridging Values for the 12 Cluster Solution Map

Cluster Name	Average Bridging Value
High Risk	0.54
Information I Depend On	0.41
Lifestyles	0.52
Areas for Improvement	0.54
Making Choices	0.55
Making Ends Meet	0.40
Neighborhood Issues	0.50
Transportation	0.27
Access Issues	0.08
Quality Healthy Foods	0.37
Budgeting	0.28
Concerns About the Stores	0.46

It is worthwhile to explore the clusters with the highest and lowest average bridging values. For example, Figure 3.6 shows a spanning analysis of the statement “Healthy food is where people have money” which is located within the *Making Choices* cluster (average bridging rating =0.55). It is evident that this statement was sorted with statements from all of the remaining

clusters. The depth of the line bridging the clusters illustrates the number of participants that grouped the statement to statements within other clusters. The more participants that made that exact sort, the thicker the line bridging the statements. In this example, participants thought the statement “healthy food is where people have money”, a statement within the cluster *Making Choices*, was related to each cluster with more participants sorting this statement with statements within the clusters *High Risk*, *Information I Depend On*, *Quality Healthy Foods*, and *Neighborhood Issues*.

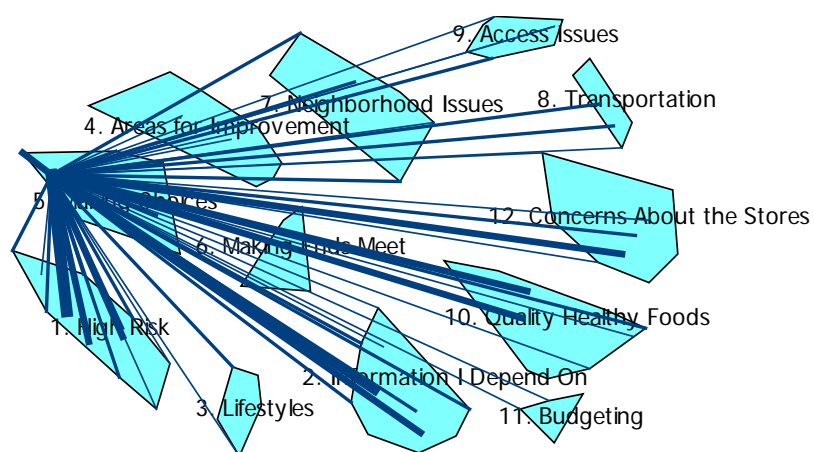


Figure 3.6. Example of a Highly Bridged Statement in the Cluster Making Choices

Similarly, Figure 3.7 shows a spanning analysis of the statement “bus lines being cut so stores not accessible” within the cluster *Access Issues*. This cluster has an average bridging value of 0.08. This means that this cluster is highly anchored to its location on this map. It is clear that this statement was sorted with statements predominantly within the clusters *Access Issues* and *Transportation*. Only one participant sorted this statement with a statement in the cluster *High Risk*. This indicates that there was a high level of agreement in how the statements in *Access Issues* were sorted.

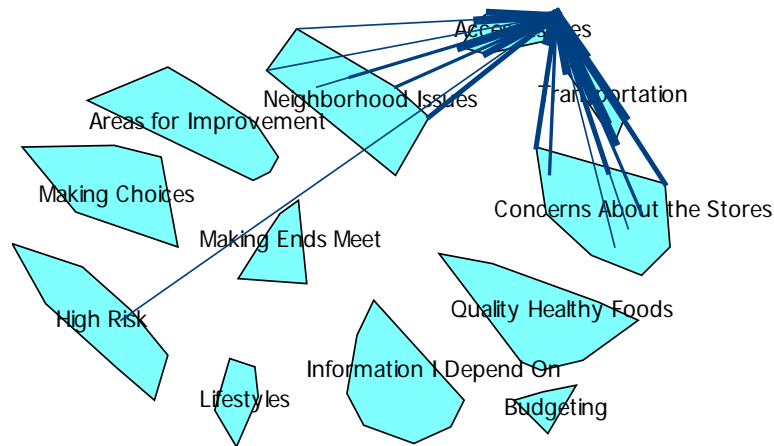


Figure 3.7. Example of a Highly Anchored Statement in the Cluster Access Issues

### 3.4.1 Pattern Match

A pattern match is constructed by first computing statement averages across participants, and then computing cluster averages. A pattern match display is used to make comparisons of average cluster ratings between two variables. For this dissertation research, comparisons were made between average ratings for a food desert and a food oasis, and between food secure and food insecure households. The “ladder graph” representation is useful for illustrating a correlation between the two variables. A Pearson product-moment correlation is calculated to represent the relationship between the variables. A pattern match was generated comparing the three rating scales to identify the extent to which these scales are correlated. Among residents of the food desert, there was a high correlation between cluster ratings for the “influences food buying practices” rating scale and the “hinders healthy eating” rating scale ( $r = 0.80$ ). This relationship, although not as strong, holds true for residents of the food oasis ( $r = 0.66$ ). An example of a pattern match is illustrated in Figure 3.8.

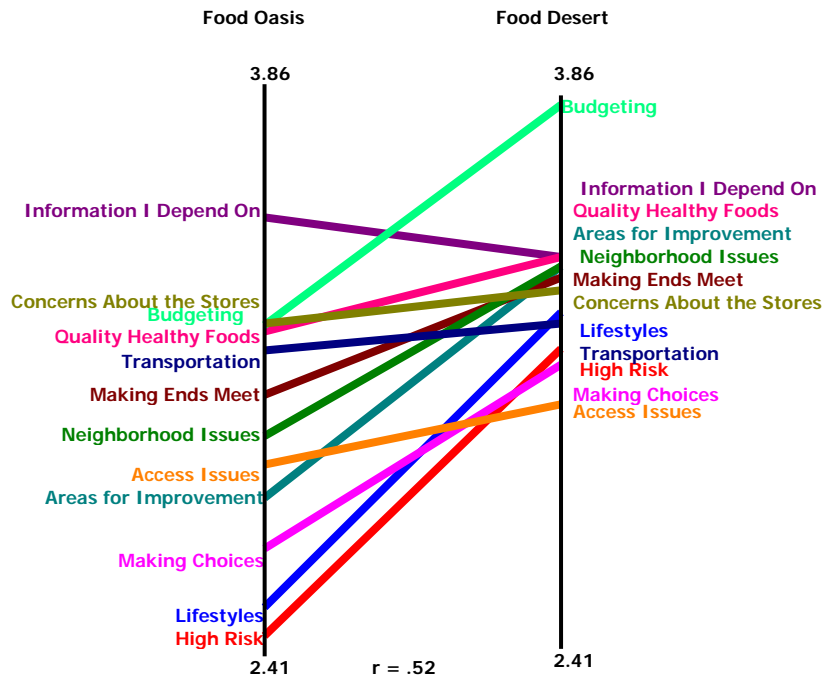


Figure 3.8. Example of a Pattern Match Comparing Average Cluster Ratings for Food Oasis and Food Desert Participants on the Facilitates Healthy Eating Rating Scale

### 3.4.2 Go-Zone

A go-zone is a type of plot that illustrates average ratings for items within a specific cluster. This type of plot shows the data in an X-Y graph whereby each quadrant offers usable information. For example, in Figure 3.9, the go-zone display is for the cluster *Budgeting*. The horizontal line (3.86) describes the mean of the values among residents of a food desert. Similarly, the vertical line (3.26) describes the mean of the values among residents of a food oasis. The numbers located in the upper right quadrant represent statements that were rated above average by residents in both zip codes. This quadrant is often considered a quadrant where action can occur by addressing the statements located within this zone, hence the name “go-zone.” In addition to items in the upper right quadrant, other quadrants provide useful information. For this study, it is important to note the statements located in the upper left

quadrant. This quadrant contains statements pertaining to budgeting that are more important to residents of the food desert compared to residents of the food oasis. These statements may offer insight into areas of intervention and suggest ways in which healthy eating can be enhanced by addressing budgeting-related concerns among residents of a food desert.

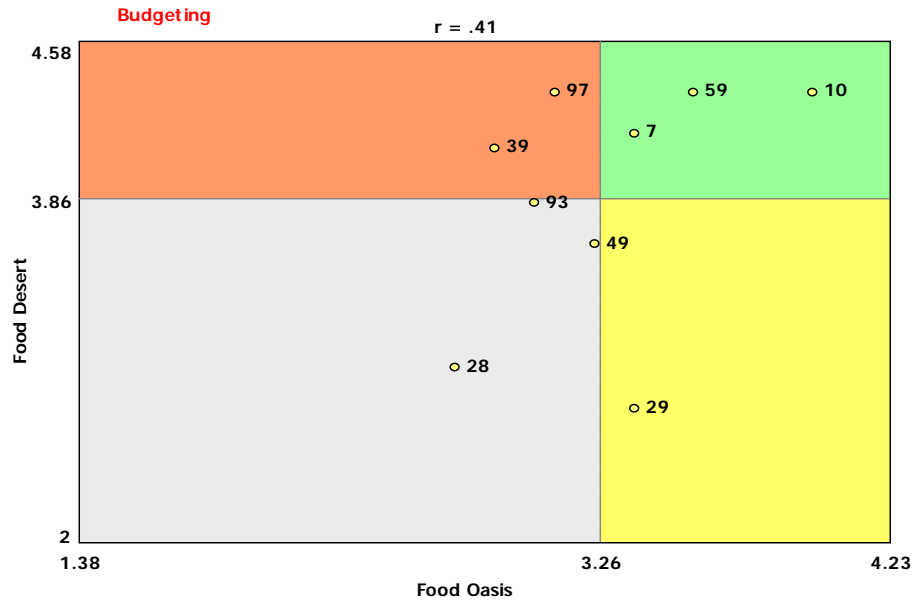


Figure 3.9. Example of a go-zone for the Cluster Budgeting

## **4.0 CHAPTER FOUR: MANUSCRIPT TWO**

### **FACTORS INFLUENCING FOOD BUYING PRACTICES IN RESIDENTS OF A FOOD DESERT AND A FOOD OASIS**

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#### 4.1 ABSTRACT

It is widely documented that consuming a diet of fruits and vegetables leads to better health outcomes. While studies report that proximity to a supermarket influences access to healthy and nutritious foods, there is little known about additional factors that influence food buying practices and subsequently healthy eating, specifically within areas with different supermarket access. The goal of this paper is to identify these factors, explore how they are related, and how these factors influence healthy eating. Study participants were twenty-five men and women from two low-income zip codes in Pittsburgh, PA. Twelve participants were recruited from a zip code designated a food desert due to the lack of a supermarket within 0.5 miles of the center of the zip code. Thirteen participants were recruited from a zip code, a food oasis, characterized by the presence of a supermarket. Participants engaged in the concept mapping process, a mixed methods approach that allows participants to identify, list, and organize ideas according to their perceptions. Participants identified 121 unique statements as factors that influence food buying practices. Utilizing a sorting process, statements were grouped by participants into 12 clusters, or concepts that represent their perceptions. Examples of clusters include *Making Ends Meet*, *Transportation*, *Access Issues*, *Budgeting*, *Neighborhood Issues*, and *Quality Healthy Foods*. Results of the rating process which allowed participants to rate each statement, according to importance, showed that overall, the average cluster ratings for residents of the food desert were higher than residents of the food oasis. The results of this study highlight perceptions of factors and the relative importance of these factors for influencing food buying practices among residents with different supermarket access. Awareness of these factors are important for policy and program development geared at increasing access to and consumption of healthy and nutritious foods for residents of a food desert and food oasis.

## 4.2 INTRODUCTION

In the U.S. there is a degree of unevenness in supermarket distribution with many urban areas lacking a supermarket (Giang et al., 2008). These areas of exclusion are commonly referred to in the literature as food deserts. The phrase “food desert” has been used to describe neighborhoods with varying characteristics. In some instances, food deserts have been used to refer to areas without a supermarket (Short, Guthman, & Raskin, 2007). In other instances, food deserts refer to urban areas that lack affordable and healthy foods (Wrigley, Warm, Margetts, & Whelan, 2002). In a study by Kaufman et al. (1997), findings show that the costs of food was higher in urban areas compared to suburban areas due to the higher operating costs in urban areas. Other studies suggest that the higher prices are a result of the smaller quantities of food items and the increased amount of processed foods that are available in smaller stores in urban neighborhoods (Kimberly Morland et al., 2002b). An unfortunate consequence is that compared to suburban areas, urban areas tend to have a higher percentage of low-income households that experience financial difficulties in making ends meet (Philip R. Kaufman et al., 1997).

Increasingly, studies focusing on the neighborhood food environment are becoming more prevalent due to the importance the local food environment has gained for offering healthy food options for residents (Giang et al., 2008; Lewis et al., 2005; Moore & Roux, 2006; Zenk et al., 2005). For example, results from a study by Morland et al. (2002) looking at the distribution of food stores by neighborhood wealth and racial segregation show that African American residents residing in a census tract with one or more supermarkets were more likely to meet the requirements for daily fruit and vegetable consumption compared to those residing in a census tract without a supermarket. Additionally, one of the few pre/post evaluation studies conducted show that introducing a new supermarket into a food desert was associated with an increase in

fruit and vegetable consumption (Wrigley et al., 2002). Similarly, a study comparing food stores in San Diego, CA found that supermarkets carried twice as many healthy and nutritious foods compared to smaller neighborhood grocery stores, and four times as many healthy and nutritious foods as neighborhood convenience stores (Sallis, Nader, & Atkins, 1986).

The breadth of knowledge regarding food deserts have focused primarily on physical access to healthy and nutritious food (Donald Rose & Richards, 2004; Whelan, Wrigley, Warm, & Cannings, 2002) and the benefits that result from increasing access to supermarkets (Giang et al., 2008). Studies suggest that increased access to a supermarket is associated with lower rates of overweight and obesity (K Morland, Diez Roux, & Wing, 2006; Powell et al., 2007). Other studies show that increased access to a supermarket increases fruit and vegetable consumption (Cheadle, Psaty, Curry, Wagner, Diehr, Koepsell et al., 1991; Kimberly Morland, Wing, & Diez Roux, 2002a; Donald Rose & Richards, 2004). Although useful information can be gleaned from these findings, physical access issues do not pose the sole constraints to healthy eating. These analyses offer little insight into additional factors that are involved in food purchasing and consumption patterns of food desert residents.

Previous studies tend to focus on measures that have been studied extensively in food desert research: cost, availability, access, etc. Previous studies fail to allow participants to freely generate, from their perspectives, ideas that are important to them as a result of living in a food desert. While these past studies have made significant contributions to the literature, they do not take into consideration the numerous factors that are involved in decisions people make regarding what foods they buy, where they shop for food and when they purchase food. These factors, which warrant additional research, are critical to understanding facilitators and barriers

to healthy eating and can offer insight into policies and programs geared towards promoting healthy eating. The research question addressed in this research is:

What are perceptions of factors influencing food buying practices among residents of an urban food desert and residents of an urban food oasis?

### **4.3 METHODS**

The first step in completing this research study was to identify two zip codes in Pittsburgh, PA, one categorized as a food desert, the other as a food oasis. First, the residential zip codes in Pittsburgh were categorized by food desert status. This involved utilizing the online yellow pages located at [www.yellowpages.com](http://www.yellowpages.com) to identify distance to the nearest supermarket. This method has been used in the literature as an accurate means of identifying addresses based on latitudinal and longitudinal coordinates (Estabrooks et al., 2003). For this study, a food desert is defined as a geographic area that does not have a large chain supermarket within 0.5 miles from the zip code centroid, the center of the defined area identified by latitude and longitude coordinates. A distance of 0.5 miles is consistent with the literature that defines food deserts in terms of time required to walk a distance to the nearest supermarket. It is suggested that an approximate one-way walking time in excess of 15 minutes for an adult in an urban area is a proxy for a food desert (Apparicio et al., 2007). On the other hand, a food oasis will be used to describe a geographic area that contains a supermarket within 0.5 miles of the center of the zip code.

Then, percentage of families below the federal poverty line, as determined by the US Census Bureau, was determined and used as a proxy for neighborhood poverty status. The use of families below the federal poverty line as a proxy for neighborhood poverty status is consistent with previous studies focusing on neighborhood poverty status (Zenk et al., 2005). Based on distance to the nearest supermarket from the center of the zip code (food desert status) and families living below the federal poverty line (neighborhood poverty status), the two zip codes were identified. The two zip codes included in the studied were 15207 (food desert) and 15201 (food oasis).

#### ***4.3.1 Recruitment***

Recruitment of study participants took place over a four-week period in January 2009 and continued until 15 participants in each zip code had enrolled. Recruitment involved a modified sampling technique that produced a sample based on referrals from people who knew others who met the inclusion criteria (Magnani et al., 2005). The referrals were made from staff from social service agencies, such as neighborhood clinics, senior centers, and food banks, and from participants who had already been recruited to participate in the study. A study recruitment flyer was developed and included information about the study and a contact number to call if interested. When a potential participant called the number, they were asked if they: 1. were at least 18 years of age, 2. lived in either zip code 15201 or 15207, and 3. had lived there for the past 12 months. If the participant answered “yes” to these three questions, the purpose and the requirements of the study were described. If the caller was still interested in participating after every question was answered, the caller was enrolled in the study and mailed a consent form. Instructions were given to read the consent form thoroughly and to bring to the first group session.

### ***4.3.2 Concept Mapping Methodology***

The methodology used in this study was concept mapping. Concept mapping is an integrated quantitative and qualitative methodology that allows hypotheses to be generated (Trochim, 1998). Trochim (1998) describes concept mapping as a participatory research method that yields a conceptual framework for how a group views a particular topic or aspect of a topic.

Traditionally, concept mapping has been used in to guide program planning and evaluation. The process helps individuals think effectively as a larger group without compromising individual contributions (Kane & Trochim, 2007). In addition to its intended use in program planning and evaluation (Kane & Trochim, 2007), concept mapping has been applied extensively in community settings. For example, Burke et al. (2006) utilized concept mapping to explore perceptions of neighborhood characteristics related to intimate partner violence among rural and suburban women (J. Burke et al., 2006). Concept mapping relies on participants to generate statements and short phrases in response to a focus prompt offered by the researcher.

Participants then group the statements into piles by sorting similar statements together.

Traditionally, concept mapping involves six steps: preparation, generation of statements, structuring of statements, representation, interpretation of maps, and utilization of maps.

- 1. Preparation* – Preparation for the concept mapping sessions involved recruiting participants, locating places convenient for participants to conduct the group sessions, and identifying a focus prompt that was to serve as the basis of the brainstorming session.
- 2. Generation of statements* – Participants were asked to brainstorm words and short phrases in response to the focus prompt “What things, good or bad, influence your food buying

practices?” Key words and phrases were clarified for participants. The phrase “food buying practices” was defined as “where you buy food, the types of food you buy and when you buy food.”

3. *Structuring of statements* – The structuring of statements involved participants working independently to sort the statements generated during the brainstorming session into piles “that make sense.” A related task required participants to utilize rating sheets to rate, using a 5-point likert scale, how important each of the statements are to influencing food buying practices.
4. *Representation* – During the representation step, the data were entered in specialized computer software produced by Concept Systems, Inc. (2008) which facilitated the analysis of the data.
5. *Interpretation* – For this step, participants worked in small groups to discuss and illustrate how the statements within the cluster influence food buying practices. The explanation of the diagrams provided rich qualitative data that lends credence to the pathways and mechanisms that influence food buying practices from the perspective of those whose lived experience was sought throughout this process.
6. *Utilization* – The last step involved a discussion with participants about how the research findings inform the focus prompt that was proposed at the onset of the brainstorming session.

All concept mapping sessions were conducted in private rooms at community agencies located within each zip code. This study was approved by the University of Pittsburgh Institutional Review Board.

#### **4.4 DATA ANALYSIS**

A strength of the concept mapping process is in the ability of the group to manage a complex topic without losing important detail (Kane & Trochim, 2007). One of the key components of concept mapping is the analytic process and mapping of the data generated during the structuring of the statements step. Data analysis begins with individual sorting and rating data and ends with a variety of tools (maps, lists of statements, reports, etc.) to be utilized during the interpretation step (Kane & Trochim, 2007). Data analysis involves three core steps which will be described in more detail below: 1. Managing sort and rate data, 2. Multidimensional scaling, and 3. Hierarchical cluster analysis.

During the representation step, the data from the sorting and rating process were entered into the Concept Systems, Inc. software ("Concept Systems, Inc.," 2008) for analysis. Utilizing quantitative techniques, multidimensional scaling was first performed whereby data across individuals were processed to produce an aggregate group product. The resultant concept map, a "point map" illustrates points on the map representing the location of each of the statements generated in the brainstorming session. Points that are in close proximity represent statements that were sorted together more frequently by participants. Points that are further away were grouped together less frequently by participants, suggesting that they are not as similar as statements in closer proximity. The next analysis applied, hierarchical scaling, involved partitioning the point map into clusters that represent unique concepts. The number of clusters



formed was modified so that the final number of clusters selected accurately represented the concepts that hinder healthy eating among study participants.

The rating sheets that participants were required to complete during the second session were incorporated into the concept maps to illustrate how important each item and cluster is to influencing healthy eating. From this rating, a “pattern match”, a display that allows average cluster ratings to be compared between two variables, was performed. For this analysis, the two variables were food desert and food oasis. This “ladder-graph” representation of the data provides information regarding how strongly correlated the two variables in comparison are. A Pearson product-moment correlation is calculated to represent the relationship between the two variables.

## **4.5 RESULTS**

### ***4.5.1 Participant Characteristics***

Twenty-five participants were recruited to participate in the concept mapping process. Twelve participants were residents of a zip code that lacks a supermarket within 0.5 miles of the center of the zip code, or a food desert. Thirteen participants were residents of a zip code that has a supermarket within 0.5 miles of the centroid of the zip code, or a food oasis. The median age was 46.5 years. Nearly one-third of the sample (32%) reported owning a car. The racial/ethnic composition of the sample was nearly half Caucasian and half African American. The 48% Caucasian and 48% African American composition of the group was unintentional and unexpected. When recruitment began, it was expected that the sample would reflect the racial/ethnic composition of each zip code. The racial composition of the food desert is 79.1% Caucasian and 18.8% African American. Similarly, Caucasians make up 77.1% of the

population in the food oasis while African Americans make up 19.8%. Compared to participants in the food oasis, those residing in a food desert reported a greater distance to the bus and a longer trip to the store. Nearly one-third of the participants from the food oasis were able to walk to the store. Table 4.1 displays additional participant characteristics.

Table 4.1. Participant Characteristics by Food Desert Status

Zip code Characteristic	Food Desert (15207)	Food Oasis (15201)	Total
Total number of participants	12 (48%)	13 (52%)	25 (100%)
Age			
Median age (years)	42.8	47.5	46.5
Sex			
Male	2 (16.7%)	1 (7.7%)	3 (12%)
Female	10 (83.3%)	12 (92.3%)	22 (88%)
Race & Ethnicity			
African American	6 (50.0%)	6 (46.2%)	12 (48%)
Caucasian	6 (50.0%)	6 (46.2%)	12 (48%)
Other	0 (0%)	1 (7.7%)	1 (4%)
Car ownership			
Do not own car & hard to find a ride	5 (41.7%)	4 (30.8%)	9 (36%)
Do not own car & able to find a ride	4 (33.3%)	4 (30.8%)	8 (32%)
Own car	3 (25.0%)	5 (38.5%)	8 (32%)
Nearest bus stop (blocks)			
Range	2-20	0-30	0-30
Average	7.42	3.69	6.23
Number of different bus routes near home			
Range	1-4	2-4	1-4
Average	2.60	2.83	2.71
Bus frequency			
≤ 30 minutes	7 (58.3%)	10 (77%)	17 (68%)
> 30 minutes	4 (33.3%)	3 (23.1%)	7 (28%)
Unknown	1 (8.3%)	0 (0%)	1 (4%)
Transportation to the store			
Drive own car	3 (25.0%)	4 (31%)	7 (28%)
Get a ride	4 (33.3%)	2 (15.4%)	6 (24%)
Take the bus	4 (33.3%)	2 (15.4%)	6 (24%)
Walk	0 (0%)	4 (31%)	4 (16%)
Other	1 (8.3%)	1 (7.7%)	2 (8%)
Number of minutes to the store			
Range	10-60	5-30	5-60
Average	29.17	16.42	22.79

From the brainstorming session, participants from the food desert generated 125 statements while participants from the food oasis generated 105 statements. Examples of statements generated by participants from the food desert include “aftertaste of healthy foods is

not good”, “depression”, and “cab service won’t come to certain neighborhoods.” Examples of statements generated by participants from the food oasis include “planning weekly menus”, “day old sales for fruits and vegetables”, and “making choices between buying food and paying bills.” Both of these lists were consolidated in a process that removed duplicate statements and grouped similar statements together. For example, the statements “fuel perks” and “gas discount”, generated by participants of the food desert, were consolidated into the unique statement “gas discount perks.” The resultant master list contained 121 unique statements. Examples of the unique statements include “coupons”, “when you have the money”, “television commercials”, and “food expiration date.” The 121 statements were partitioned or clustered into a map of 12 clusters that was agreed upon by participants from both groups as accurately expressing their perceptions of concepts that influence their food buying practices. Each of the 12 clusters contained statements ranging in number from 6 to 15, depending on how participants sorted the statements generated during the brainstorming process. After reviewing the statements within each cluster, participants agreed upon a cluster name that represented the statements within the cluster. Examples of cluster names include *Neighborhood Issues*, *Lifestyles*, and *Budgeting*. Examples of statements in the *Neighborhood Issues* cluster include “store closing”, “need more neighborhood stores” and “different circulars for different neighborhoods.” Statements sorted in the *Lifestyles* cluster include “eat what is in the refrigerator/cupboard”, and “shop when you are hungry.” The cluster *Budgeting* includes statements such as “double and triple coupons”, “good price”, and “quantity of items on coupons.” Figure 4.1 illustrates the point cluster map generated by the sorting process. Each of the statements is a point on the map (represented by a statement number) with points in close proximity to each other representing statements participants considered more closely related. Points that are further away represent statements participants

considered less related. The bolded numbers represent the cluster number. Table 4.2 can be referenced to identify the cluster name. The statement numbers (unbolded numbers represented as points on the map) presented in Figure 4.1 can be linked to Table 4.3 to identify the exact statement name.

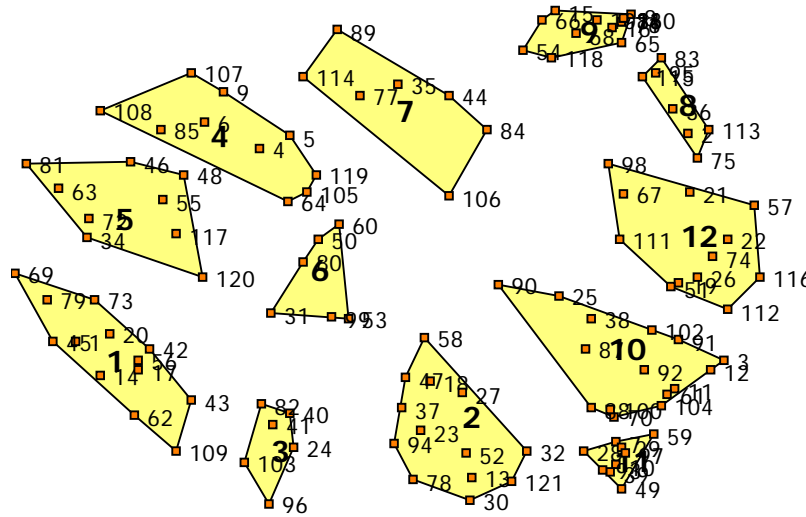


Figure 4.1. Point Cluster Map

Table 4.2. Cluster Labels for a 12-Cluster Solution Map

Cluster Number	Cluster Label
1	High Risk
2	Information I Depend On
3	Lifestyle
4	Areas for Improvement
5	Making Choices
6	Making Ends Meet
7	Neighborhood Issues
8	Transportation
9	Access Issues
10	Quality Healthy Foods
11	Budgeting
12	Concerns About the Stores

#### **4.5.2 Average Cluster and Statement Ratings**

This study set out to explore similarities and differences in food buying practices among residents of a food desert and food oasis. The rating process was used to further explore how clusters generated influenced food buying practices, facilitated healthy eating and hindered healthy eating. Participants were asked to rate on a scale of 1 (not at all strong) to 5 (extremely strong) how strong each statement within the 12 clusters influenced food buying practices, facilitated healthy eating and hindered healthy eating. For this analysis, the focus was on the “influences food buying practices” rating scale.

Table 4.3 outlines the average cluster ratings for residents of the food desert and residents of the food oasis. The table is separated by cluster with the cluster name bolded. Underneath the cluster name is the list of statements that were grouped together in this cluster. Next to each statement is a number in parenthesis. This number refers to the actual statement number and can link the statements to the location on a point map as in Figure 4.1. The numbers do not have any substantive meaning. In the two columns next to the cluster name and corresponding statements are average cluster and statement ratings for food desert and food oasis participants. During the structuring of statements step, participants were asked to rate each statement in terms of how strongly the statement influences food buying practices. The rating scale ranged from 1 (not at all strong) to 5 (extremely strong). The average cluster ratings reflect the average ratings given by each group. For example, the overall cluster ***Making Ends Meet*** received an average rating of 3.49 for food desert participants and 2.95 for food oasis participants. Within this cluster, statement ratings for food desert participants ranged from 2.50 (for the statement “got a raise and lost food stamps”) to 4.33 (for the statement “food bank”). For food oasis participants, statement ratings ranged from 2.23 (for the statement “got a raise and lost food stamps”) to 3.46 (for the

statement “food bank”). Overall, the average cluster ratings for residents of the food desert were higher than residents of the food oasis. This suggests that residents of the food desert perceived each cluster as having a stronger influence on food buying practices than residents of the food oasis.

Table 4.3. Average Cluster and Statement Ratings by Food Desert Status

Cluster Name and Statements (Statement ID Number)	Influences Food Buying Practices		Cluster Name and Statements (Statement ID Number)	Influences Food Buying Practices	
	Food Desert	Food Oasis		Food Desert	Food Oasis
<b>HIGH RISK</b>	<b>3.48</b>	<b>2.50</b>	<b>MAKING CHOICES</b>	<b>3.28</b>	<b>2.73</b>
Lifestyle (73)	4.17	3.77	Fixed income (48)	4.42	3.69
Fruits and vegetables are expensive (56)	4.17	3.15	When you have the money (117)	4.33	3.23
Emotional eating (45)	3.67	2.62	Healthy food is where people have money (63)	4.00	2.62
Aftertaste of healthy foods is not good (1)	3.25	2.85	Wonder if I'm going to have food (120)	3.42	2.69
Think about food all the time (109)	3.67	2.38	Fresh food not available (55)	2.75	2.46
Eating junk is what I can afford (42)	3.75	2.31	Lactose intolerant (72)	2.25	2.77
Junk food is cheap (69)	4.00	1.92	False advertising (46)	3.00	2.38
Habitual eating (62)	3.25	2.33	McDonald's is one-stop shopping (81)	3.25	2.00
Low sodium (79)	2.75	2.69	Diabetes (34)	2.08	2.69
Cheaper to eat fat foods (17)	3.42	2.00			
Eating socially (43)	2.92	2.38			
Compulsive shopper (20)	3.00	2.15			
Buying junk (14)	3.25	1.92			
<b>INFORMATION I DEPEND ON</b>	<b>3.54</b>	<b>3.49</b>	<b>MAKING ENDS MEET</b>	<b>3.49</b>	<b>2.95</b>
Cost (27)	4.17	3.77	Making choices between buying food and paying bills (80)	3.92	3.08
Choosing how to spend money (18)	4.25	3.62	Food stamps (53)	3.92	3.15
Word of mouth of sales (121)	2.92	3.15	Customer service (31)	3.50	3.15
Cooking healthy (23)	3.17	3.77	Food bank (50)	4.33	3.46
Food preparation (52)	3.58	3.38	Got a raise and lost food stamps (60)	2.50	2.23
Read labels (94)	2.75	3.23	Senior coupons for farmer's markets (99)	2.75	2.62
Don't buy what you can't afford (37)	4.25	3.23			
Coupons (30)	4.08	3.69			
Buying frozen foods which are better than canned (13)	3.42	3.46			
Day old sales for fruits and vegetables (32)	3.67	3.31			
Love to cook (78)	3.08	3.85			
Farmer's market (47)	3.00	3.85			
Generic brands (58)	3.67	3.08			
<b>LIFESTYLES</b>	<b>3.18</b>	<b>2.69</b>	<b>NEIGHBORHOOD ISSUES</b>	<b>3.82</b>	<b>2.93</b>
Cooking in one pot (24)	2.67	3.15	Need more neighborhood stores (84)	3.83	3.46
Shop when you are hungry (103)	3.25	2.46	Economy (44)	4.50	3.08
Eat what is in the refrigerator/cupboard (41)	3.67	3.08	War increases prices (114)	4.25	3.15
Sale advertisement delivered late (96)	3.00	2.77	Poor neighborhoods (89)	2.92	2.77
Eat the same thing (40)	4.33	2.62	Store closing (106)	4.08	2.77
Meat available from hunting (82)	2.17	2.08	Long lines (77)	3.50	2.62
			Different circulars for different neighborhoods (35)	3.67	2.69

Note: the 121 statements are presented within their clusters (bolded) and the parenthetical numbers refer to the actual statement number and can be used to link the table information to Figure 4.1. The numbers do not have any substantive meaning. Ratings represent how strongly each statement influences food buying practices. Ratings range from 1 (not at all strong) to 5 (extremely strong).

Table 4.3 continued

Cluster Name and Statements (Statement ID Number)	Influences Food Buying Practices		Cluster Name and Statements (Statement ID Number)	Influences Food Buying Practices	
	Food Desert	Food Oasis		Food Desert	Food Oasis
<b>AREAS FOR IMPROVEMENT-THE DAY WILL GET BETTER</b>	<b>3.60</b>	<b>3.03</b>	<b>TRANSPORTATION</b>	<b>3.20</b>	<b>3.16</b>
Source of income (105)	4.50	3.85	Weather (115)	3.33	3.23
Need to eat to live (85)	3.67	3.69	Need a car (83)	3.25	3.08
Bush administration (9)	3.50	3.31	Distance to shops (36)	3.25	2.92
Help from organizations (64)	4.25	3.38	Location (75)	4.33	3.77
Bad government policy (6)	3.83	3.38	Area (2)	3.50	3.31
Bad food packaging (5)	4.00	2.92	Walking (113)	2.58	3.31
Stress (107)	3.83	2.69	Ride bike to store (95)	2.17	2.54
Television commercials (108)	3.33	2.23			
Bad attitudes from store employees (4)	3.08	2.85			
WIC vouchers (119)	2.00	2.00			
<b>ACCESS ISSUES</b>	<b>3.24</b>	<b>2.79</b>	<b>BUDGETING</b>	<b>3.93</b>	<b>3.32</b>
High gas prices (66)	4.08	3.31	Cost more to buy less (28)	3.58	2.92
Where you live (118)	4.08	3.38	Good price (59)	4.50	3.54
Car service cost (16)	3.50	2.31	Sales (97)	4.08	3.31
Transportation (110)	4.08	3.00	Bargains (7)	4.17	3.46
Bus lines being cut so stores not accessible (8)	2.83	2.85	Buy 1 get 1 free (10)	4.33	4.08
Lack of transportation (71)	3.25	3.08	Flyers and newspapers to see sales (49)	3.58	3.15
Depend on the bus lines (33)	3.00	2.85	Quantity of items on coupons (93)	4.08	3.08
High bus fare (65)	2.92	3.00	Coupon sharing (29)	2.92	3.62
Jitney charges for bags and people (68)	3.00	2.77	Double and triple coupons (39)	4.08	2.77
Cab service won't come to certain neighborhoods (15)	2.92	2.31			
Free bus for Social Security or Medicare (54)	2.75	2.62			
Location of the bus and shuttle (76)	3.25	2.69			
Ship of Zion shuttle (101)	3.08	2.15			
Only take four bags on the bus (86)	2.58	2.77			
<b>QUALITY HEALTHY FOODS</b>	<b>3.23</b>	<b>3.27</b>	<b>CONCERNS ABOUT THE STORES</b>	<b>3.42</b>	<b>3.04</b>
Knowledge of food prices (70)	3.67	3.54	Convenience (22)	4.00	3.23
Quality of food (91)	3.92	3.85	Gas discount perks (57)	3.50	2.38
Availability of sale items (3)	3.58	3.15	Clean and organized store (19)	3.58	3.31
Quantity (92)	4.33	3.23	Variety (112)	3.50	3.15
Share information with others (100)	2.83	3.31	Food expiration date (51)	3.50	3.08
Shopping frequently for fresh produce (104)	2.67	3.54	Well-stocked shelves (116)	4.00	3.23
Buy what you need (12)	4.25	4.00	Hours (67)	3.92	2.46
Buy in bulk (11)	3.25	3.38	Consolidate trips-go to many stores one day per week (21)	2.83	3.31
Portions for single people (90)	3.00	2.54	Local foods (74)	3.67	3.46
Grocery list (61)	3.25	3.15	Season (98)	3.58	3.38
Don't mind paying more for organic/better food (38)	2.64	3.38	Co-op shopping (26)	2.00	3.00
Cooking shows (25)	2.58	2.92	Treatment of store employees (111)	3.00	2.46
Planning weekly menus (88)	2.83	3.23			
Organic foods (87)	2.75	3.31			
Shop for kids and grandkids (102)	2.83	2.46			

Note: the 121 statements are presented within their clusters (bolded) and the parenthetical numbers refer to the actual statement number and can be used to link the table information to Figure 4.1. The numbers do not have any substantive meaning.

Ratings represent how strongly each statement influences food buying practices. Ratings range from 1 (not at all strong) to 5 (extremely strong).



While many statements identified by participants in this study are consistent with existing studies, there are a wide range of factors that have not been studied and are worthy to note. Statements identified that have not been studied or poorly explored in depth in existing literature include “bad government policy”, “television commercials”, “cab service won’t come to certain neighborhoods”, “jitney charges for bags and people”, and “double and triple coupons.” An example of bad government policy was given by a food oasis participant who explained that eligibility for food stamps was denied once employment was obtained. Although employed, there was not enough money to obtain food. Policies that affect the ability to participate in food assistance programs were identified as influencing food buying practices. Participants identified being influenced by television commercials as influencing food buying practices. Specifically, it was mentioned how enticing television commercials are in promoting the food item being advertised. Related to transportation concerns is the issue of cab service not entering certain communities and bus lines being cut. As a result, participants rely on jitneys as an alternative mode of transportation. However, participants mentioned that jitney drivers take advantage of the consumer by charging for people and bags. This practice influences the amount of groceries that are purchased when relying on jitney services. Statements pertaining to the use of coupons were identified as influencing food buying practices. Participants appreciated stores that allowed the value of a coupon to be doubled or tripled. Additionally, differences in perceptions of factors identified were noted between food desert and food oasis participants. These differences are noted below.

### Food Desert Participants

Statements unique to participants in the food desert fall into three categories: survival, mental health, macro-level factors. Unlike participants in the food oasis, participants in the food desert identified statements that related to food being a means of survival. Examples of statements that fall into this category include “need to eat to live”, “wonder if I’m going to have food”, and “eating junk is what I can afford.” The second category, mental health, includes statements such as “stress”, “emotional eating”, “food is an addiction”, and “depression.” The third category, macro-level factors, is based on statements that influence food buying from a policy or corporate standpoint. Examples of statements within this category include “different circulars for different neighborhoods”, “corporate taking advantage of the consumer [by offering smaller food quantities for more money], and “war increases prices.”

### Food Oasis Participants

Statements unique to participants in the food oasis centered around two categories. First, luxuries or conveniences surrounding the food environment and shopping experiences. Second, taking advantage of available resources. Statements that were identified as luxuries include “don’t mind paying more for organic/better food”, “need more neighborhood bakeries”, “organic food stores have decent prices and good quality”, and “shopping frequently for fresh produce.” Knowledge of resources available within the food oasis was discussed during the group sessions. Examples of statements that highlight awareness and utilization of these resources include, “information provided by Catholic Charity”, “Salvation Army has bread on Tuesdays”, “senior coupons for farmer’s market”, “SNAPS: support for low-income”, and “WIC vouchers.”

To illustrate the differences in average cluster ratings, Figure 4.2 presents a pattern match, which compares average cluster ratings for how strongly each cluster influences food buying practices for residents of the food desert and food oasis. The pattern match uses a “ladder graph” representation where a perfect correlation would be a horizontally straight line. The bolded numbers 3.93 at the top of the display and 2.5 at the bottom represent the maximum and minimum average cluster ratings given to a cluster. In other words, this value represents how strongly each cluster is perceived to influence food buying practices for food desert and food oasis participants. The rating scale ranged from 1 (not at all strong) to 5 (extremely strong). For example, the cluster *Budgeting* was the highest rated cluster for food desert participants with an average cluster rating of 3.93. This suggests that the cluster *Budgeting* is perceived as the most important for influencing food buying practices for food desert participants. The cluster with the lowest average cluster rating was *High Risk* for food oasis participants, which received a rating of 2.50. This rating suggests that the cluster *High Risk* is perceived as the least important for influencing food buying practices. The  $r = 0.3$  value located at the bottom of the display is the Pearson product-moment correlation, which represents the correlation between average cluster ratings between food desert and food oasis participants. In this illustration, a correlation of 0.3 represents a small correlation between the food desert and food oasis participants.

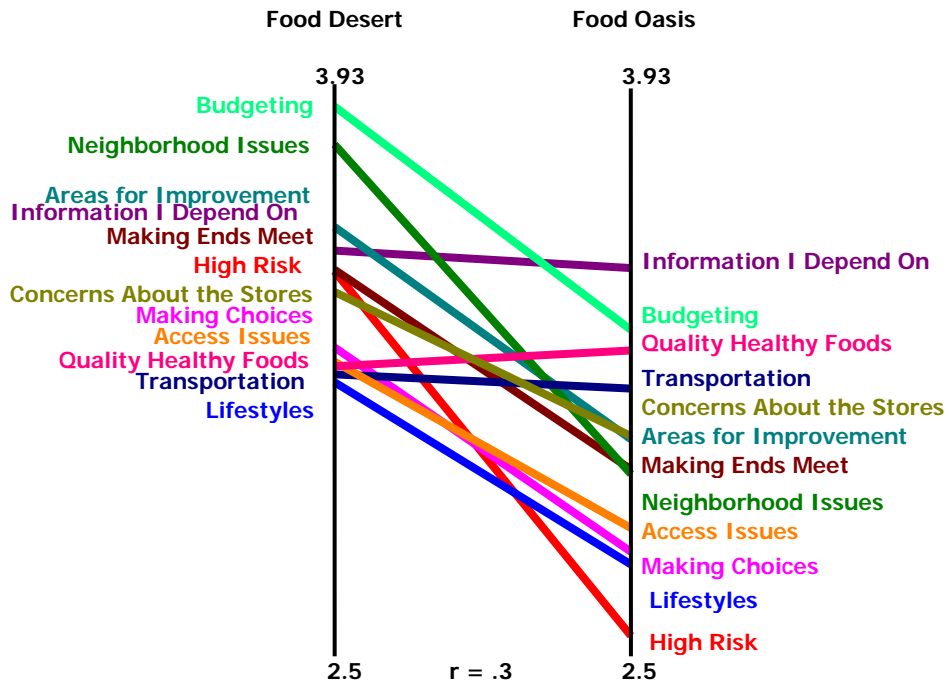


Figure 4.2. Pattern Match Comparing Factors that Influence Food Buying Practices Between Participants from the Food Desert and Food Oasis

For the interpretation step, clusters that could offer the most insight into perceptions of factors that influence food buying practices among food desert and food oasis participants were selected. The selection of the most appropriate clusters involved a process of analyzing each cluster for differences in cluster ratings, rankings, and the potential contribution to the breadth of knowledge pertaining to understanding these perceptions. From the pattern match in Figure 4.2, there are 3 clusters where the average ratings for food desert participants and food oasis participants were similar. These clusters, *Information I Depend On*, *Quality Healthy Foods* and *Transportation* are easily identified by the nearly horizontal lines formed in the pattern match. The average cluster ratings for these clusters for food desert and food oasis participants are 3.54 and 3.49, respectively, for the cluster *Information I Depend On*. For *Quality Healthy Foods*, average cluster ratings are 3.23 and 3.27 for food desert and food oasis participants, respectively.

Lastly, the average cluster ratings for the cluster *Transportation* are 3.20 and 3.17 for food desert and food oasis participants, respectively. The similar cluster ratings suggest that differences in perceived strength of association between food desert and food oasis participants related to these clusters are minimal and do not offer any new insight into differences between the two groups.

In addition to the cluster ratings, the cluster rankings, or relative order is also important to consider. For example, the cluster *Budgeting* is ranked first for food desert participants and food oasis participants. This suggests that both groups perceive issues surrounding budgeting as important for influencing food buying practices. Similarly, the cluster *Lifestyles* is ranked last for food desert participants and second to last for food oasis participants. Again, this suggests that both food desert and food oasis participants perceive issues of lifestyle as being less important to influencing food buying practices. Exploring these clusters in-depth would not offer new insight into differences in perceptions of factors that influence food buying practices between food desert and food oasis participants. A similar rationale is true for the cluster *Concerns About the Stores*. This cluster falls in the middle of all 12 clusters, ranking seventh for food desert participants with an average cluster rating of 3.42 compared to ranking fifth with an average cluster rating of 3.04 for food oasis participants. Compared to other clusters, the difference in cluster ratings between food desert and food oasis participants is modest. Furthermore, the statements within the cluster *Concerns About the Stores* highlight perceptions of factors that have been studied in previous studies, including the statements “variety” and “hours.” Similarly, the statements within the cluster *Access Issues*, which ranked ninth for both food desert and food oasis participants, have been studied extensively in the literature and do not warrant further exploration in this study. Statements within this cluster include “lack of transportation”, and “high bus fare.” Results from a spanning analysis (results not shown) show

that the cluster *Making Ends Meet* is the cluster where many participants sorted the “leftover” statements, statements participants were unsure how to sort. Therefore, this cluster was not appropriate for further exploration given the “randomness” of the statements that comprise this cluster. The cluster *Making Choices* was not selected for an in-depth exploration due to the apparent relationship of the statements within the cluster. For example, the relationship of how the statements “fixed income”, “when you have money”, and “wonder if I’m going to have food” are related and influence food buying practices is more clear compared to other clusters. The remaining three clusters were selected for an in-depth explanation during the interpretation step of the concept mapping process.

#### **4.5.3 Cluster Interpretation**

Three clusters, *Neighborhood Issues*, *Areas for Improvement*, and *High Risk* were selected for an in-depth explanation during the interpretation step. This process involved participants identifying how the statements within each cluster influences food buying practices. These clusters were selected because: 1. of the differences in cluster ratings and rankings between participants in the food desert and the food oasis, 2. they were identified by participants as being relevant to influencing food buying practices, and 3. the inter-relationship of the statements within each cluster was not easily understood. The cluster ratings for the selected clusters were: *Neighborhood Issues* (food desert =3.82, food oasis =2.93), *Areas for Improvement* (food desert =3.82, food oasis =2.93), and *High Risk* (food desert =3.48, food oasis =2.50). Participants were assigned to small groups where they collectively diagrammed how the statements within the selected clusters influenced food buying practices. For each of the selected

clusters, participants from the food desert and participants from the food oasis identified the same factor as being the most important for influencing food buying practices.

### **Neighborhood Issues**

Among the statements that comprise the cluster *Neighborhood Issues* (see table 4.3), participants in both groups identified the economy as being the most important factor for influencing food buying practices. This is supported by the statement ratings. The statement “economy” was the highest rated statement within this cluster for participants in the food desert and second highest for participants in the food oasis. Participants in the food desert rated “economy” 4.50 and participants in the food oasis as 3.08. Participants from both groups stated that the economy is the most important factor because the economy plays a role in neighborhood store closures which forces residents to shop at smaller convenience stores that do not have the quality or quantity of healthy foods. As one participant explained:

*The bad economy leads to poor neighborhoods and store closings. Poor neighborhoods end up losing the stores and now we need more neighborhood stores.* – Food oasis participant in response to how the statements within the Neighborhood Issues cluster influence food buying practices.

### **Areas for Improvement**

Among the statements that comprise the cluster *Areas for Improvement* (see table 4.3), participants in both groups identified “source of income” as being the most important factor for influencing food buying practices. This is consistent with the statement ratings. The statement “source of income” was the highest rated statement within this cluster for both groups. Participants in the food desert rated Source of income 4.50 and participants in the food oasis

3.85. Participants from both groups stated that source of income is the most important factor because without sufficient income, they must choose how to spend their limited funds.

Participants spoke of choosing to pay a bill over eating healthy and nutritious foods. An example is highlighted by a participant in the food desert:

*Our main thing was source of income because if you don't have any money, it affects everything. It affects your bills, whether you can purchase foods. Help from organizations can help to a point to get food, like the food bank. We really need more help, because what happens when you're not able to get the food stamps and you have no income? I'm worried because I applied for emergency unemployment and I'm still trying to get something. What do you do when you have nothing? I eat a bag of potato chips to pay a bill because it is cheaper to buy a bag of potato chips when the bill has to be paid. And that's a horrible way to live your life.*

– food desert participant in response to how the statements within the Areas for Improvement cluster influence food buying practices.

### **High Risk**

Among the statements that comprise the cluster *High Risk* (see table 4.3), participants in both groups identified “lifestyle” as being the most important factor for influencing food buying practices. This is consistent with the statement ratings. The statement “lifestyle” was the highest rated statement within this cluster for both groups. Participants within the food desert rated “lifestyle” as 4.17 and participants within the food oasis as 3.77. Participants from both groups stated that lifestyle is the most important factor because food is part of their lifestyle because they think about food all the time. Examples of how participants explained how the statements within the *High Risk* cluster are related to influence food buying practices are:

*We [focused on] lifestyle because it's all based around our life. Buying junk and compulsive shop[ping] is a lifestyle. Emotional and habitual eating because we think about food – it's a habit.* – Food desert participant in response to how the statements within the High Risk cluster influence food buying practices.



*Lifestyle is the main objective. Lifestyle affects eating because you think about food all the time.*  
–Food oasis participant in response to how the statements within the High Risk cluster influence food buying practices.

### Subgroup Analysis

As noted above, the racial/ethnic composition of the sample was unexpected. The equal number of African American and Caucasian (n=12 for each group) participants in the sample allowed an unplanned subgroup analysis to be conducted to examine racial/ethnic differences in perceptions of factors that influence food buying practices. Similar cluster ratings were noted (results not shown), suggesting minimal differences in perceptions of factors that influence food buying practices between the groups. The correlation coefficient for the “influences food buying practices” rating scale was  $r = 0.76$ , suggesting a strong correlation in perceptions of factors that influence food buying practices between African American and Caucasian participants.

## **4.6 DISCUSSION**

This research identified perceptions of factors that influence food buying practices for residents of a food desert and residents of a food oasis. Participants identified a wide range of factors that have not been studied or poorly explored in depth in existing literature. One unexpected statement, “cab service won’t come to certain neighborhoods” offers insight into residents’ perceptions of the role of jitneys in influencing food buying practices. As a result of cabs not entering certain communities, a demand for transportation services was created. Jitneys, unlicensed taxis, have been used to meet this demand. In many low-income areas in Pittsburgh, jitneys aggregate in parking lots of supermarkets offering a cheaper fare than taxis (May, 2004).

Another unexpected statement, “double and triple coupons”, suggest how residents perceive the important role of coupons in saving money, and subsequently influencing food

buying practices. It is understandable the monetary savings that doubling or tripling coupons can have for the consumer. While it is unknown the type of food items that were purchased with the coupon, it is worthwhile to explore how healthier food options can be appealing to the consumer and coupons available for these items, redeemed at double or triple the face value.

Statements unique to participants in the food desert pertained to survival, mental health, and macro-level factors. To understand the context in which these statements were given, it is important to understand the history of this area and the feelings of injustice as perceived by the residents. Participants shared examples of wrongdoings by a grocery store that is no longer operational in their area. One example is of rancid meat purchased from larger chain supermarkets, injected with red dye to give the appearance of fresh meat, re-packaged and sold to residents at regular price. This community, where a supermarket does not exist, has witnessed other business closures, including banks and schools, and where few social service agencies exist to address unmet needs. These issues, cited in the environmental justice literature explores how inequities in planning and zoning in poor, urban communities lead to differential exposures to neighborhood characteristics that adversely affect health outcomes while diminishing access to health promoting resources including supermarkets (Wilson, Hutson, & Mujahid, 2008). These dismal conditions may offer insight into how the food desert participants perceive their neighborhood.

Statements unique to participants in the food oasis centered around luxuries or conveniences surrounding the food environment and shopping experiences, and taking advantage of available resources. Like the food desert, responses may be based on the larger context in which residents reside. Given that a supermarket is accessible to these participants, it is not surprising that factors that influence food buying practices go beyond basic food as a means of

survival as observed in the food desert. According to a report published in the Pittsburgh Post Gazette (Grant, November 2, 2007), real estate appreciation in the food oasis is the second highest in the city of Pittsburgh. This area is part of Pittsburgh's interior design district that consists of shops, galleries and studios where products and services for in-home and office décor are available ("16:62 Design Zone," 2008). With the opening of art galleries and furniture stores, coffee shops, restaurants, and bars have followed suit. The implication is that businesses are willing to establish in low-income, urban neighborhoods provided signs of economic development and growth are apparent.

From the in-depth explanation from the interpretation step, concerns associated with the economy, sources of income, and lifestyles were perceived by participants from both groups as the most important factors for influencing food buying practices. Many small, neighborhood businesses have closed for a variety of reasons, including the lack of business. Additionally, food prices have increased over the past year ("Food Price Outlook," 2009), and many people have lost their jobs. Given the current economic situation, arguably a recession by many standards, it is not surprising that participants would perceive these factors as key factors that influence food buying practices.

The aforementioned statements present new findings pertaining to factors that influence food buying practices. In addition to these novel findings, statements were generated during the brainstorming session that are consistent with the literature as influencing healthy eating among urban residents of low-income areas. Examples of these statements pertain to concerns surrounding the lack of transportation (Garasky, Morton, & Greder, 2004; Morton, Bitto, Oakland, & Sand, 2005), shopping when money is available, for example, at payday or when food stamps are available (Wooden, 2002), lack of supermarkets within their neighborhoods

(Alwitt & Donley, 1997; Chung & Myers, 1999), and quality and quantity of foods available within the immediate neighborhood (Hendrickson et al., 2006).

### *Strengths and Limitations*

A strength of this study includes the use of concept mapping as the methodology to answer the research questions. Unlike qualitative methods such as focus groups, concept mapping offers the participants the unique opportunity to rate items according to individual importance and note how items are related to each other. Furthermore, this participant-driven methodology involves the participants in each phase of the concept mapping process. This is especially salient for exploring and understanding perspectives and viewpoints through the interpretation and analyses of the constructed maps. Quantitatively, concept mapping uses multidimensional scaling and hierarchical cluster analysis to examine similarities of ideas among participants and identify the degree of similarity. These analyses, in conjunction with the rich qualitative data collected during the early stages of the process, highlights additional strengths of this methodology.

To the knowledge of the research team, this study is the first to investigate food buying practices among residents of a food desert in comparison to a food oasis. Many studies conducted in the US focus on food security as a household measure of hunger. However, food deserts which have historically been an international phenomenon are increasingly gaining attention nationally. Despite this increased attention, the topic is not well understood and poorly researched. A strength of this study is that it offers new information about local food environments with a unique focus on the food environment within low-income areas in Pittsburgh, PA.

Similar to other qualitative studies, this study is limited in its generalizability. This study represents the views of 12 participants who reside in one low-income food desert in Pittsburgh and 13 participants who reside in one low-income food oasis. As a result, our findings are not generalizable to other food deserts and oases, and not generalizable to non low-income zip codes. However, the purpose of this study was not to make generalizations, but to generate hypotheses. Furthermore, the goal was to explore perceptions of the participants regarding their food buying practices.

A second limitation of the study is in the sampling technique. A modified snowball technique was used to yield a sample based on referrals from people who know others who meet the inclusion criteria. The referrals were made from staff from social service agencies and participants who had already been recruited to participate in the study. The drawback to this method is that participants were primarily those who utilized soup kitchens or food pantries, for instance, and friends or relatives of participants who were recruited. Referral from friends and family is likely to account for the differences in racial/ethnic composition of the sample that does not reflect the racial/ethnic composition of the selected zip codes. If a participant was eligible to participate, s/he was enrolled in the study. The race/ethnicity of a potential participant or the relationship to enrolled participants was unknown until the first concept mapping session.

### *Recommendations*

This study represents important first steps in identifying similarities and differences in factors that influence food buying practices based on access to a supermarket. Additional research is needed to explore the extent to which residing in a food desert impacts health outcomes including obesity, diabetes, heart disease and other chronic conditions that have diet as

a risk factor. Similarly, larger research studies are warranted that study multiple low-income food deserts and food oases. For example, a research study that builds upon this study by exploring perceptions of factors that influence food buying practices among residents within households in the same food desert (and food oasis) and then between food deserts (and food oases). These research findings could further contribute to our understanding of factors influencing food buying practices in low-income areas.

Additionally, the qualitative nature of concept mapping focuses on the perceptions of the participants. It could be worthwhile to triangulate these data with neighborhood data using Geographic Information System (GIS) techniques. For example, food stores including restaurants, convenience stores, produce markets, food pantries, and other places where participants are able to obtain food within their immediate neighborhoods could be geocoded. A sample of the geocoded food stores can be identified to obtain an assessment of the quality, quantity, and brand of food items that are available to the consumer. This research could provide a comprehensive view of neighborhood food environments that include an actual map of the food environment, an assessment of the available food items, and the perceptions of those the local food store is intended to serve. The results from this study highlight areas where policy development could have the most impact in facilitating healthy eating.

There has been much debate concerning whether the presence of a supermarket increases fruit and vegetable consumption. It is plausible that, in and of itself, a supermarket increases access to healthy foods, but not necessarily consumption. Even if access to supermarkets is increased, additional barriers to healthy eating exist. For instance, participants identified the aftertaste of healthy foods as a barrier to healthy eating. The question researchers need to ask is how is this gap bridged? Results of this study highlighted the importance of cost of food,

individual income and budgeting as the most salient factors for healthy eating. Addressing concerns related to socioeconomic status is a daunting task that is beyond the scope of this study. While poverty may drive the relationship between where one lives and healthy eating (lack of transportation, not enough money to purchase food, the cost of healthier foods are expensive, etc.), potential areas for intervention were identified. These areas include:

1. Accessible public transportation. Increasing bus lines and bus times from food deserts to supermarkets that would allow residents the opportunity to shop at full-service stores.
2. Coupons for purchasing fresh produce. The cost of unhealthy foods was identified as being cheaper than healthy foods. Tipping the balance in the other direction where healthy foods are affordable, even cheaper than less healthy foods, could prove beneficial. This recommendation does not negate the notion that the lack of knowledge regarding fresh food preparation is a real concern and should also be addressed.

**5.0 CHAPTER FIVE: MANUSCRIPT THREE**

**HOW DOES FOOD SECURITY IMPACT RESIDENTS  
OF A FOOD DESERT AND A FOOD OASIS?**

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## 5.1 ABSTRACT

This study explored how factors that influence food buying practices hinder healthy eating between food secure and food insecure households in two low-income zip codes in Pittsburgh, PA. Study participants were 25 men and women. Nine participants identified their households as being food secure based on the United States Department of Agriculture Food Security Scale. Sixteen participants identified their households as being food insecure. Participants engaged in the concept mapping process, a mixed methods approach that allows participants to identify, list, and organize ideas according to their perceptions. Participants identified 121 unique statements as factors that influence food buying practices. Statements were grouped by participants into 12 clusters, or concepts that represent their perceptions. Results of the rating process showed that average cluster ratings for each cluster were higher among food insecure participants compared to food secure participants. A secondary aim was to explore how food security and food desert statuses impact cluster ratings. Findings show that while both groups ranked clusters similarly, food secure participants in a food desert perceived clusters as more important to hindering healthy eating than food secure participants in a food oasis. Among food insecure residents in a food desert and food oasis, both groups rated clusters similarly as being important to hindering healthy eating. Results from this study contribute to our understanding of how food desert and food security statuses shape residents' perceptions of factors that influence food buying practices. These perceptions have major implications for healthy eating and can prove beneficial for policy and program development.

## 5.2 INTRODUCTION

Rates of poverty, food insecurity and hunger are increasing in the U.S (DeNavas-Walt, Proctor, & Mills, 2004). Within the last two decades, “food insecurity” has been developed as a measure of hunger in the United States (Bhattacharya et al., 2004). Food insecurity is defined by national experts as “limited or uncertain availability of nutritionally adequate and safe foods or the limited or uncertain ability to acquire acceptable foods in socially acceptable ways” (Stuff, Casey, Szeto, Gossett, Robbins, Simpson et al., 2004). A common misperception of food security is that having any type of food constitutes food security. Food security pertains to a sufficient quantity of a variety of nutritious foods of a good quality that is obtained in ways that are not intended to be extremely destructive to one’s dignity. A major cause of food insecurity is the lack of financial resources. Families with low financial resources often go hungry, are malnourished, experience changes in psychological, physical, or developmental states, or diminished productivity that result from inadequate food intake due to limited access to food as a result of store locations or financial constraints (Bhattacharya et al., 2004; Garasky et al., 2004).

The USDA developed an instrument to measure food insecurity, the U.S. Food Security Scale (FSS) which has been used in research to measure the adequacy and stability of a household’s food supply (Frongillo et al., 1997). It is used to estimate the number of people in the U.S. that are hungry. The USDA incorporates the FSS into an annual survey, the Current Population Survey, which assesses household food insecurity, how much money is spent on food, and the extent to which government food assistance programs are utilized (Nord & Andrews, 2002).

Food security is calculated from 18 questions for households with children (10 questions for households without children). These questions ask about conditions, experiences, and behaviors surrounding food quantity, quality, and variety (Nord et al., 2006). The results of the 2005 survey show that the prevalence of household food insecurity was 11% (12.6 million households). This means that 11% of US households were food insecure at some point during the year (Nord et al., 2006). This estimate was down from 11.9% in 2004. However, in 2003, 35% of households with the lowest incomes (below the poverty line) was food insecure (Nord, Andrews, & Carlson, 2003).

Studies that focus on food security pertain to understanding the prevalence, experience, and adverse consequences of food insecurity among the nation's families, adults, and children (Alaimo, 2005). These studies identify risk factors that can contribute to food insecurity. These risk factors include financial hardships, educational attainment, race/ethnicity, family composition, time, employment skills, health insurance status, social support, abuse, and the availability of affordable and nutritious foods within the local food environment (Blank, 1997; Campbell & Desjardins, 1989; Danziger, Corcoran, Danziger, & Heflin, 2000; Edin & Lein, 1997; S Mayer, 1998; S. Mayer & Jencks, 1988; Olson, Anderson, Kiss, Lawrence, & Seiling, 2004; D. Rose, 1999). These studies help conceptualize food insecurity in the U.S. and offer information about the prevalence and burden of food insecurity, and programs available to counter the consequences of a poor diet. However, they fail to explore food insecurity in the greater context in which people live, specifically the food environment in which people are required to obtain food from. Beyond financial constraints, there exist a myriad of factors that play a role in healthy eating for both food secure and food insecure households. While these factors have been speculated in the literature, additional research is needed to explore these

factors in-depth to understand how they affect healthy eating for food secure and food insecure households. It has been shown that given the financial obligations that low-income families have with a limited source of income, food is oftentimes the first necessity to be compromised (Edin & Lein, 1997; S. Mayer & Jencks, 1988). Understanding the factors that are involved in the decision-making processes involved in purchasing food and healthy eating could offer insight into the best programs and policies needed to provide affordable, healthy and nutritious foods to food insecure households. The research question addressed in this manuscript is:

How do residents' perceptions of factors influencing food buying practices differ by food security status? A secondary aim of this research is to explore how perceptions of factors influencing food buying practices differ by both food security and food desert statuses.

### **5.3 METHODS**

The first step in completing this research study was to identify two zip codes in Pittsburgh, PA, one categorized as a food desert, the other as a food oasis. Residential zip codes in Pittsburgh were categorized by food desert status. This involved utilizing the online yellow pages located at [www.yellowpages.com](http://www.yellowpages.com) to identify distance to the nearest supermarket. This method has been used in the literature as an accurate means of identifying addresses based on latitudinal and longitudinal coordinates (Estabrooks et al., 2003). For this study, a food desert is defined as a geographic area that does not have a large chain supermarket within 0.5 miles from the zip code centroid, the center of the defined area identified by latitude and longitude coordinates. A distance of 0.5 miles is consistent with the literature that defines food deserts in terms of time required to walk a distance to the nearest supermarket. It is suggested that an approximate one-way walking time in excess of 15 minutes for an adult in an urban area is a

proxy for a food desert (Apparicio et al., 2007). On the other hand, a food oasis will be used to describe a geographic area that contains a supermarket within 0.5 miles of the center of the zip code.

Then, percentage of families below the federal poverty line, as determined by the US Census Bureau, was determined and used as a proxy for neighborhood poverty status. The use of families below the federal poverty line as a proxy for neighborhood poverty status is consistent with previous studies focusing on neighborhood poverty status (Zenk et al., 2005). Based on distance to the nearest supermarket from the center of the zip code (food desert status) and families living below the federal poverty line (neighborhood poverty status), the two zip codes were identified. The two zip codes included in the studied are 15207 (food desert) and 15201 (food oasis).

### ***5.3.1 Recruitment***

Recruitment of study participants took place over a four-week period in January 2009 and continued until 15 participants in each zip code had enrolled. Recruitment involved a modified sampling technique that produced a sample based on referrals from people who knew others who met the inclusion criteria (Magnani et al., 2005). The referrals were made from staff from social service agencies, such as neighborhood clinics, senior centers, and food banks, and from participants who had already been recruited to participate in the study. A study recruitment flyer was developed and included information about the study and a contact number to call if interested. When a potential participant called the number, they were asked if they: 1. were at least 18 years of age, 2. lived in either zip code 15201 or 15207, and 3. had lived there for the past 12 months. If the participant answered “yes” to these three questions, the purpose and the

requirements of the study were described. If the caller was still interested in participating after every question was answered, the caller was enrolled in the study and mailed a consent form. Instructions were given to read the consent form thoroughly and to bring to the first group session.

### ***5.3.2 Concept Mapping Methodology***

Concept mapping is a systematic process that incorporates group processes including brainstorming, sorting and rating of generated ideas, and the use of multivariate statistical methods (e.g. multidimensional scaling and hierarchical cluster analysis) to graphically represent the results of the stakeholders (Kane & Trochim, 2007). The generated maps depict relationships of ideas in the form of clusters, or unique concepts. The intended use of concept mapping was in program planning and evaluation. However, more recently, researchers have utilized concept mapping innovatively to explore health-related topics. Examples include utilizing concept mapping to assess students' knowledge obtained from a diet therapy (Roberts, 1995), and identifying and addressing barriers to familial involvement in mental illness care among African American families (Biegel et al., 1997). For this study, the concept mapping process was conducted over a period of three non-consecutive days and lasted approximately 8 hours in duration. Each session was conducted in a private room at a community agency located within each zip code. This study was approved by the University of Pittsburgh Institutional Review Board.

*Day 1: Generation of statements:* During this 2 hour session, participants were asked to generate words and short phrases in response to the focus prompt: “What things, good or bad, influence your food buying practices?” The phrase “food buying practices” was defined as “where you buy

food, the types of food you buy and when you buy food.” The items generated during this session were written on flip-chart paper and displayed for the group to see. When necessary, participants were probed for clarity to ensure that their perspective was recorded accurately.

*Day 2: Structuring of statements & Representation:* During this 4 hour session, participants were required to complete 3 tasks. First, participants received a stack of note cards with each of the unique items generated during the first session written on each card. Participants were asked independently sort the cards into piles with similar items being sorted together. Second, participants were asked to rate, on a scale of 1 (not at all) to 5 (extremely) how strongly each of the generated statements hinders healthy eating. Third, participants were asked to complete the Food Security Scale, a measure of household hunger within the past 12 months, which is assessed by the United States Department of Agriculture annually.

Table 5.1 outlines the items that incorporate the Food Security Scale. Households without children under the age of 18 years complete the first 10 items of the assessment tool while households with children under the age of 18 years complete all 18 items of the assessment tool. Households that answer “yes” to two items or fewer are considered food secure, meaning they did not experience hunger in the past 12 months. This is in contrast to households with 3 or more affirmative responses. These households are classified as food insecure, or experienced hunger within the past 12 months.

Table 5.1. USDA Food Security Scale

Topic	Item Number	Item
<b>Household Items</b>	1	I/We worried food would run out before (I/we) got money to buy more
	2	The food that I/we bought didn't last and (I/we) didn't have money to get
	3	I/We couldn't afford to eat balanced meals
<b>Adult Items</b>	4	I or other adult(s) cut size of meals or skipped meals
	5	I ate less than I felt I should
	6	I or other adult(s) cut size of meals or skipped meals in 3 or more months
	7	I was hungry but didn't eat because I couldn't afford enough food
	8	I lost weight
	9	I or other adult(s) did not eat for whole day
	10	I or other adult(s) did not eat for whole day in 3 or more months
<b>Child Items</b>	11	I/We relied on few kinds of low-cost food to feed child(ren)
	12	I/We couldn't feed child(ren) balanced meals
	13	The child(ren) were not eating enough
	14	I/We cut size of child(ren)'s meals because there wasn't enough money for food
	15	The child(ren) were hungry, but I couldn't afford more food
	16	The child(ren) skipped meals
	17	The child(ren) skipped meals in 3 or more months
	18	The child(ren) did not eat for whole day because there wasn't enough money for food

Once the sorting and rating steps were completed, data were entered into the Concept Systems, Inc. software ("Concept Systems, Inc.," 2008) for immediate representation of the participants' ideas.

*Day 3: Interpretation of the map:* The last session, which lasted 2 hours in duration, involved the participants interpreting the concept map that was generated based on the sorting and rating process that took place during the second session. Participants were asked to elaborate on the role specific items have on hindering healthy eating. Furthermore, participants had the opportunity to explain the relationship between items that were sorted together.



## 5.4 DATA ANALYSIS

A strength of the concept mapping process is in the ability of the group to manage a complex topic without losing important detail (Kane & Trochim, 2007). At the heart of concept mapping is the analytic process and mapping of the data generated during the structuring of the statements and representation step. Data analysis begins with individual sort and rate data and ends with a variety of tools (maps, lists of statements, reports, etc.) to be utilized during the interpretation step (Kane & Trochim, 2007). Data analysis involves three core steps which will be described in more detail below: 1. Managing sort and rate data, 2. Multidimensional scaling, and 3. Hierarchical cluster analysis. For these analyses, the sample will be stratified by food security status in order to answer Research Question 1. The sample will be stratified by both food security and food desert status to answer the secondary aim of this research.

During the second group session, data from the sorting and rating step were entered into a specialized concept mapping software for analysis ("Concept Systems, Inc.," 2008). The analysis involved using quantitative techniques that yields a group product based on individual data. From this process one type of concept map, a "point map" was generated to illustrate how the group as a whole sorted statements generated during the brainstorming session. For instance, points that are in close proximity represent statements that were sorted together more frequently by participants. This is in contrast to points that are further away on the map which represent points that were sorted together less frequently by participants. From the point map, distinct clusters or ideas were formed that represented unique concepts that pertain to the original focus prompt.

Data from individual rating sheets were entered into the software to illustrate how important each statement and overall cluster was to hindering healthy eating. Rating data is also crucial when performing sub-group analyses to illustrate how important the clusters are to

different groups. From average group ratings, data was analyzed using a “pattern match”, a display that allows average cluster ratings to be compared between two variables. For this analysis, the two variables were food secure and food insecure households. This “ladder-graph” representation of the data provided information regarding how strongly correlated the two variables in comparison are. A Pearson product-moment correlation was calculated to represent the relationship between the two variables.

## **5.5 RESULTS**

### ***5.5.1 Participant Characteristics***

Twenty-five participants were included in this analysis. Based on results of the Food Security Scale, 9 participants were classified as being food secure while 16 participants were classified as food insecure. The median age of the sample was 44.8 years. In terms of racial/ethnic make up, nearly half (48%) of the sample was African American while another 48% was Caucasian. Among African American participants, 55.6% were food secure. Among Caucasian participants, 44.4% were food secure. Access to transportation was comparable with nearly one-third of participants falling into one of three categories: own a car, able to find a ride, or find it difficult to get a ride. Additional demographic characteristics for the sample are displayed in table 5.2.

Table 5.2. Participant Characteristics by Food Security Status

Zip code Characteristic	Food Secure	Food Insecure	Total
Total number of participants	9 (36%)	16 (64%)	25 (100%)
Age			
Median age (years)	45.3	44	44.8
Sex			
Male	1 (11.1%)	2 (12.5%)	3 (12.0%)
Female	8 (88.9%)	14 (87.5%)	22 (88.0%)
Race & Ethnicity			
African American	5 (55.6%)	7 (43.8%)	12 (48.0%)
Caucasian	4 (44.4%)	8 (50.0%)	12 (48.0%)
Other	0 (0%)	1 (6.2%)	1 (4.0%)
Employment status			
Disabled	1 (11.1%)	2 (12.5%)	3 (12.0%)
Employed part-time	4 (44.4%)	9 (56.2%)	13 (52.0%)
Unemployed	4 (44.4%)	5 (31.2%)	9 (36.0%)
Car ownership			
Do not own car & hard to find a ride	2 (22.2%)	6 (37.5%)	8 (32.0%)
Do not own car & able to find a ride	4 (44.4%)	5 (31.2%)	9 (36.0%)
Own car	3 (33.3%)	5 (31.2%)	8 (32.0%)

### 5.5.2 Cluster Ratings

A list of 121 unique statements was brainstormed by participants. Examples of statements include “fixed income”, “convenience”, “help from organizations”, and “season.” Quantitative techniques were used to partition the map into a 12-cluster map that was identified by participants as the appropriate number of clusters for best depicting their perception of factors that influence food buying practices (see Manuscript 2). Each of the 12 clusters contained statements ranging in number from 6 to 15, depending on how participants sorted the statements generated during the brainstorming process. After reviewing the statements within each cluster, participants agreed upon a cluster name that represented the statements within the cluster. Examples of cluster names include *Information I Depend On*, *Making Choices*, and *Quality Healthy Foods*. Examples of statements in the *Information I Depend On* cluster are “generic brands”, “day old sales for fruits and vegetables”, “don’t buy what you can’t afford”, and “read labels.” Statements sorted in the cluster *Making Choices* include “fixed income”, “false

advertising”, “diabetes”, and “fresh food not available.” The cluster *Quality Healthy Foods* include statements such as “availability of sale items”, “portions for single people”, “planning weekly menus”, and “shop for kids and grandkids.” The rating process was used to further explore how perceptions of clusters generated hinder healthy eating. Participants were asked to rate on a scale of 1 (not at all strong) to 5 (extremely strong) how strongly each statement within the 12 clusters hinder healthy eating. Table 5.3 lists the cluster name and the average cluster ratings for each of the 12 clusters for food secure and food insecure participants.

Table 5.3. Average Cluster Ratings by Food Security Status

Cluster Name	Cluster Ratings	
	Food Secure	Food Insecure
High Risk	2.74	3.26
Information I Depend On	2.94	3.53
Lifestyles	2.65	3.05
Areas for Improvement	2.88	3.48
Making Choices	2.59	3.58
Making Ends Meet	2.63	3.42
Neighborhood Issues	3.06	3.54
Transportation	2.44	3.41
Access Issues	2.53	3.40
Quality Healthy Foods	2.61	3.31
Budgeting	2.75	3.73
Concerns About the Stores	2.84	3.54

For each cluster, food insecure participants rated clusters higher than food secure participants. For example, the cluster *Areas for Improvement*, which include statements such as “bad attitudes from store employees”, “need to eat to live”, and “stress” received an average cluster rating of 2.88 from food secure participants. Among food insecure participants, this same cluster was given an average rating of 3.48. This suggests that food insecure participants perceive each cluster is more important in its role in hindering healthy eating than food secure participants.

To illustrate the differences in average cluster ratings, Figure 5.1 presents a pattern match, which compares average cluster ratings for how strongly each cluster is perceived to hinder healthy eating for food secure and food insecure participants. The pattern match uses a “ladder graph” representation where a perfect correlation would be a horizontally straight line. The bolded numbers 3.73 at the top of the display and 2.44 at the bottom represent the maximum and minimum average cluster ratings given to a cluster. In other words, this value represents how strongly each cluster is perceived to hinder healthy eating for food secure and food insecure participants. The rating scale ranged from 1 (not at all strong) to 5 (extremely strong). For example, the cluster *Neighborhood Issues*, which includes statements such as “store closing”, “war increases prices”, and “need more neighborhood stores”, was the highest rated cluster for food secure participants with an average cluster rating of 3.06. This same cluster was ranked third highest for food insecure participants with an average cluster rating of 3.54. This suggests that the cluster *Neighborhood Issues* is perceived as the most important factor for hindering healthy eating for food secure participants, but not for food insecure participants. The cluster with the lowest average cluster rating for food insecure participants was *Lifestyles*, which includes statements such as “shop when you are hungry”, “eat the same thing” and “eat what is in the refrigerator/cupboard”. This cluster received a rating of 3.05 from food insecure participants. This rating suggests that the cluster *Lifestyles* is perceived as the least important for hindering healthy eating among this group. The  $r = 0.36$  value located at the bottom of the display is the Pearson product-moment correlation, which represents the correlation between average cluster ratings between food secure and food insecure participants. In this illustration, a correlation of 0.36 represents a small correlation between the food secure and food insecure participants.

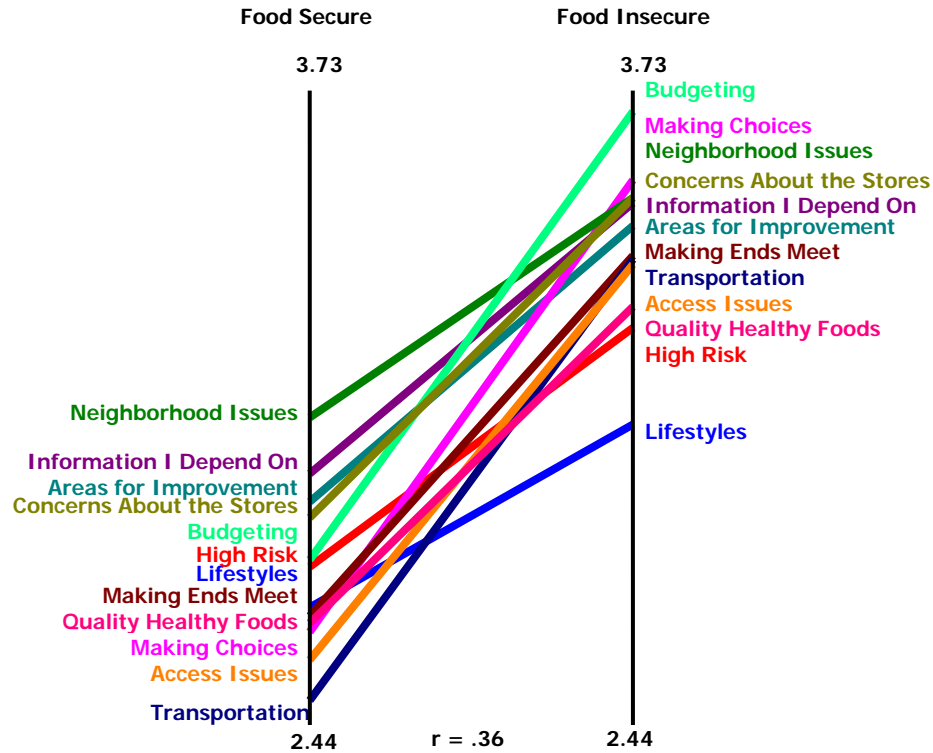


Figure 5.1. Pattern Match Comparing Factors that Hinder Healthy Eating for Food Secure and Food Insecure Participants

It is worthwhile to note that while 5 of the 6 highest rating clusters are the same for both groups (*Neighborhood Issues, Information I Depend On, Areas for Improvement, Concerns About the Stores* and *Budgeting*), the average ratings are quite different. For example, the cluster *Neighborhood Issues* was the highest rated cluster for food secure participants with a rating of 3.06. This same cluster received a rating of 3.54 for food insecure participants. Among food secure participants, *Budgeting* received a rating of 2.94. This same cluster was the highest rated cluster among food insecure participants and received a rating of 3.73. This ranking suggests that overall, the same clusters are important for hindering healthy eating among food secure and food insecure participants. However, the degree to which the cluster is perceived to hinder

healthy eating is greater among food insecure participants. The following section further explores differences in cluster ratings.

### ***5.5.3 Differences in Cluster Ratings***

One of the differences between food secure and food insecure participants was in the ratings for the cluster *Concerns About the Stores*. While this cluster was ranked fourth by both food secure and food insecure participants in terms of perceived importance for hindering healthy eating, there were considerable differences between the average cluster ratings. Food secure participants rated this cluster 2.84 and food insecure participants rated this cluster 3.54. Table 5.4 outlines the statements and statement ratings for the cluster *Concerns About the Stores*. The ratings in this table present how strongly each statement within the cluster is perceived to hinder healthy eating. The ratings range from 1 (not at all strong) to 5 (extremely strong). As shown in the table, the statement with the highest rating among food secure participants was “[store] hours” with an average rating of 3.44. Food insecure participants perceived this statement as slightly less important in hindering healthy eating with a rating of 3.31. The highest rated statement for food insecure participants was “convenience” with a rating of 4.06. This was the third highest rated statement for food secure participants with a rating of 3.25.

Table 5.4. Average Statement Ratings for the Cluster Concerns About the Stores

Statement	Food Secure	Food Insecure
Hours	3.44	3.31
Clean & organized store	3.33	3.69
Convenience	3.25	4.06
Food expiration date	3.22	3.50
Variety	3.00	3.75
Gas discount perks	3.00	3.94
Season	2.89	3.31
Well-stocked shelves	2.89	3.69
Consolidate trips	2.75	3.53
Treatment of store employees	2.22	2.63
Co-op shopping	2.11	3.25
Local foods	2.00	3.88

Note: Ratings reflect how strongly each statement is perceived to hinder healthy eating. Ratings range from 1 (not at all strong) to 5 (extremely strong.)

These differences were explored in-depth during the interpretation step. The results of the interpretation step are presented in the next section.

#### 5.5.4 Cluster Interpretation

During the interpretation step of the concept mapping process, participants were assigned to small groups where they collectively diagrammed how the statements within the cluster *Concerns About the Stores* hinder healthy eating. Based on each group's interpretation of this cluster, differences were noted between food secure and food insecure participants in terms of how each group perceived the relationship of the statements within the cluster and the role in hindering healthy eating. Additionally, discrepancies were observed between how participants rated the statements and what was mentioned during the interpretation step of the concept mapping process.



During the interpretation step, food secure participants identified “convenience” and “treatment of store employees” as being the most important factors for hindering healthy eating among the statements that comprise the cluster *Concerns About the Stores*. This is not supported by the average statement ratings (see Table 5.4). For food secure participants, “hours” was the highest rated statement with a rating of 3.44; “convenience” ranked third (out of 12 statements within the cluster) with a statement rating of 3.25; and “treatment of store employees” ranked tenth with a statement rating of 2.22. Among food insecure participants, “convenience”, “variety”, “cost” and “customer service” were identified during the interpretation step as being the most important factors for hindering healthy eating. Based on average statement ratings for food insecure participants (see Table 5.4), “convenience” ranked first with the highest statement rating of 4.06, while “variety” ranked fourth with a statement rating of 3.75. Interestingly, “cost” and “customer service” were not statements within the cluster *Concerns about the Stores*. The statement “customer service” was mentioned by food insecure participants in the context of store employees being in a position to ensure that the shelves are well stocked, that expired foods are removed from the shelves, and that the store is clean and organized. Among food insecure participants, “well-stocked shelves” and “clean and organized store” both ranked fifth for hindering healthy eating with a rating of 3.69 and “food expiration date” ranked seventh with a rating of 3.50. Examples of how participants explained how the statements within the *High Risk* cluster are related to influence food buying practices are:

*Employee treatment is the most important thing. Employees are not treated right and they are gonna quit and the store is going to go out of business.* – food secure participant in response to how statements within the Concerns About the Stores cluster hinder healthy eating.

*There is no convenience here. It hinders [healthy eating] because the stores that are in Hazelwood, the prices are so high you can't afford to buy things there.* – food insecure participant in response to how statements within the Concerns About the Stores cluster hinder healthy eating.

### 5.5.5 Secondary Aim: Exploring the Discordant Pairs

A secondary aim of this study was to stratify the sample by food desert status to explore how perceptions of factors that hinder healthy eating for food secure households compare and contrast within a food desert and food oasis. The same analysis was performed for food insecure households within a food desert and food oasis. The goal of this analysis was to explore how perceptions of factors that hinder healthy eating are influenced by an individual-level measure of hunger within the larger neighborhood context.

No differences in factors that hinder healthy eating were found when comparing food secure participants in a food desert (n=5) and food secure participants in a food oasis (n=4). Figure 5.2 presents a pattern match comparing average cluster ratings for food secure participants in a food desert to food secure participants in a food oasis. The pattern match uses a “ladder graph” representation where a perfect correlation would be a horizontally straight line. The bolded numbers 3.45 at the top of the display and 1.71 at the bottom represent the maximum and minimum average cluster ratings given to a cluster. In other words, this value represents how strongly each cluster is perceived to hinder healthy eating for food secure participants in a food desert and food secure participants in a food oasis participants. The rating scale ranged from 1 (not at all strong) to 5 (extremely strong). The  $r=0.15$  value located at the bottom of the display is the Pearson product-moment correlation, which represents the correlation between average cluster ratings between food desert and food oasis participants. In this illustration, a correlation of 0.15 represents a small correlation between food secure participants in a food desert and food secure participants in the food oasis.

From this illustration, it is clear that the overall rankings were similar for both groups of participants with the clusters *Areas for Improvement*, *Information I Depend On*, and

*Neighborhood Issues* being some of the most important clusters for hindering healthy eating. It is worthwhile to note that although the overall rankings were similar, participants in the food desert rated all of the clusters higher than participants in the food oasis. For example, both groups ranked the cluster *Information I Depend On* second most important cluster for hindering healthy eating. Food secure participants in the food desert rated this cluster 3.43 compared to food secure participants in the food oasis who rated this cluster 2.33. Average cluster ratings for food secure participants residing in a food desert and food secure participants residing in a food oasis are listed in table 5.6.

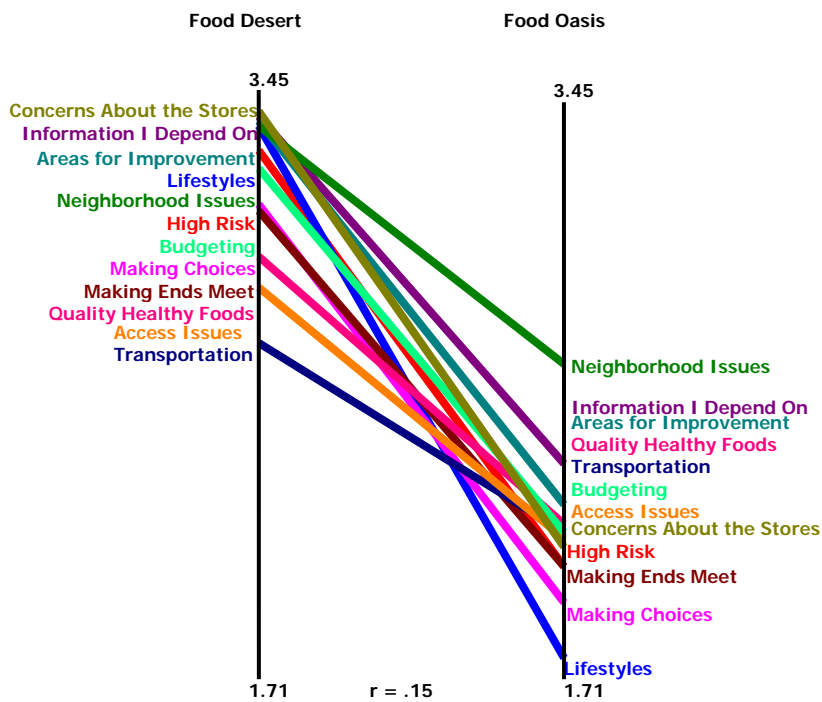


Figure 5.2. Pattern Match for Factors that Hinder Healthy Eating Comparing Food Secure Participants in a Food Desert to a Food Oasis

When exploring perceptions of factors that hinder healthy eating among food insecure participants in the food desert (n=7) and the food oasis (n=9), the results were not as clear. Figure 5.3 presents a pattern match, which compares average cluster ratings for how strongly each cluster is perceived to hinder healthy eating for food insecure participants residing in a food desert and food insecure participants residing in a food oasis. The pattern match uses a “ladder graph” representation where a perfect correlation would be a horizontally straight line. The bolded numbers 4.1 at the top of the display and 2.97 at the bottom represent the maximum and minimum average cluster ratings given to a cluster. In other words, this value represents how strongly each cluster is perceived to hinder healthy eating for food insecure participants from the food desert and food insecure participants from the food oasis. The rating scale ranged from 1 (not at all strong) to 5 (extremely strong). The  $r = 0.12$  value located at the bottom of the display is the Pearson product-moment correlation, which represents the correlation between average cluster ratings between food desert and food oasis participants. In this illustration, a correlation of 0.12 represents a small correlation between food insecure participants residing in the food desert compared to food insecure participants residing in the food oasis.

At first glance, it would appear that there were major differences between food insecure participants in a food desert compared to food insecure participants in a food oasis. For instance, the overall rankings of the clusters were quite different with the cluster *Transportation* being ranked nearly last for the food desert but ranked first for the food oasis.

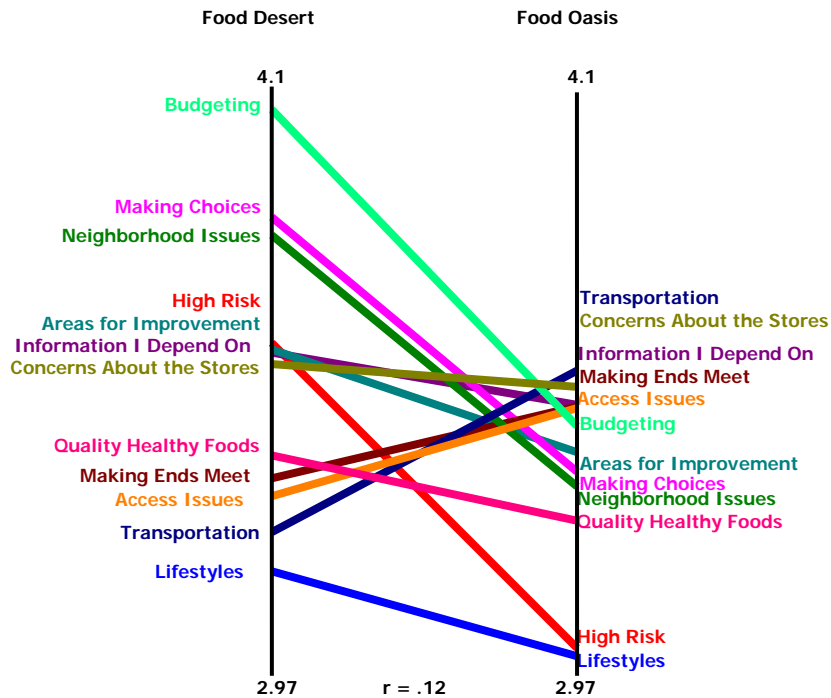


Figure 5.3. Pattern Match for Factors that Hinder Healthy Eating Comparing Food Insecure Participants in a Food Desert to a Food Oasis

Upon closer inspection, it became clear that although the rankings were different, the average cluster ratings were similar, suggesting that the importance of each cluster in hindering healthy eating was similar for food insecure participants regardless of neighborhood-level access to a supermarket. For example, consider the cluster *Making Choices*. This cluster ranked second among food insecure participants residing in a food desert and eighth among food insecure participants residing in a food oasis. While these rankings are different, the average cluster ratings were 3.87 for food insecure participants from the food desert and 3.35 for food insecure participants in a food oasis. Overall, the average cluster ratings were slightly higher for participants in the food desert compared to the food oasis. The exceptions are in the clusters *Transportation*, *Making Ends Meet*, and *Access Issues*. Examples of statements that comprise the cluster *Transportation* are “need a car”, “distance to shops”, and “weather.” Examples of

statements that make up the cluster *Making Ends Meet* are “food stamps”, making choices between buying food and paying bills” and “got a raise and lost food stamps.” Examples of statements that make up the cluster *Access Issues* include “high gas prices”, “lack of transportation”, “high bus fare”, and “depend on the bus lines.”

To illustrate the results of the secondary aim of this study with a particular focus on relative order (cluster rankings) and perceived importance (cluster ratings), refer to Table 5.5. This table presents the results of the secondary aim and depicts how the rankings and ratings are related. Shaded cells represent variables that are similar within each food security status. For example, the shaded cells for relative order under “Food Secure” show that the relative order of the clusters are similar for food secure participants in a food desert and food secure participants in a food oasis. The perceived importance, on the other hand, is higher for food secure participants in a food desert compared to food secure participants in a food oasis. The shaded cells for perceived importance under “Food Insecure” show that perceptions of how important each cluster is to hindering healthy eating is similar for food insecure participants in a food desert and food insecure participants in a food oasis. Among food insecure participants in a food desert and food insecure participants in a food oasis, no distinct pattern is observable in terms of the relative order. Therefore, the relative order of these clusters are said to vary.

Table 5.5. Relationship of cluster rankings and ratings for results of secondary aim

	Food Secure		Food Insecure	
	Food Desert	Food Oasis	Food Desert	Food Oasis
<b>Perceived Importance</b> (Cluster Ratings)	Higher	Lower		
<b>Relative Order</b> (Cluster Rankings)			Varies	Varies

Note: Shaded cells represent variables that are similar within each food security status group

Table 5.6 presents the average cluster ratings comparing food secure participants in a food desert to a food oasis and food insecure participants in a food desert to a food oasis. The table summarizes average cluster ratings for each of the 12 clusters for the sample stratified by both food security and food desert statuses. To qualify the degree to which each cluster hinders healthy eating, cluster ratings were divided into tertiles and designated low, moderate (mod) and high. These designations are listed in the table besides the average cluster ratings. A low value indicates a rating value between 1.71-2.50, whereas a moderate (mod) value indicates a rating value between 2.51-3.30. High indicates a rating value between 3.31-4.10. For example, average cluster ratings for the cluster *Information I Depend On* was 3.43 for food secure participants in a food desert, 2.33 for food secure participants in a food oasis, 3.59 for food insecure residents in a food desert, and 3.49 for food insecure residents in a food oasis. Based on the rating cutoff values designated, the cluster *Information I Depend On* is perceived by food secure participants in a food desert and all food insecure participants as rating high in terms of importance for hindering healthy eating. Food secure participants in a food oasis was the exception in this example and perceived *Information I Depend On* as being of low importance for hindering healthy eating.

Table 5.6. Average Cluster Ratings and Importance for Hindering Healthy Eating

Cluster	Food Secure		Food Insecure	
	Food Desert	Food Oasis	Food Desert	Food Oasis
Budgeting	3.27 (mod)	2.11 (low)	4.10 (high)	3.44 (high)
Making Choices	3.16 (mod)	1.89 (low)	3.87 (high)	3.35 (high)
Neighborhood Issues	3.40 (high)	2.64 (mod)	3.84 (high)	3.32 (high)
High Risk	3.32 (high)	2.00 (low)	3.62 (high)	2.98 (mod)
Areas for Improvement	3.42 (high)	2.20 (low)	3.60 (high)	3.39 (high)
Information I Depend On	3.43 (high)	2.33 (low)	3.59 (high)	3.49 (high)
Concerns About the Stores	3.45 (high)	2.06 (low)	3.57 (high)	3.52 (high)
Quality Healthy Foods	2.99 (mod)	2.13 (low)	3.38 (high)	3.25 (mod)
Making Ends Meet	3.13 (mod)	2.00 (low)	3.33 (high)	3.48 (high)
Access Issues	2.89 (mod)	2.09 (low)	3.30 (mod)	3.48 (high)
Transportation	2.71 (mod)	2.11 (low)	3.22 (mod)	3.56 (high)
Lifestyles	3.40 (high)	1.71 (low)	3.14 (mod)	2.97 (mod)

NOTE: Low indicates a rating value between 1.71-2.50. Moderate (mod) indicates a rating value between 2.51-3.30. High indicates a rating value between 3.31-4.10.

## 5.6 DISCUSSION

This study represents one of the first studies seeking to explore the relationship between an individual-level measure of hunger and a neighborhood-level measure of supermarket access on hindering healthy eating in an urban area. While caution must be taken when interpreting the results and comparing findings to existing studies due to the small sample size, these findings provide novel insight into an area of research that is poorly explored. Before discussing the prevalence of food insecurity in this study, background rates for comparison purposes are warranted. The prevalence of food insecurity in the sample was comparable to rates in the state of Pennsylvania. In a 2005 USDA report, 66% of low-income residents in the state were food



insecure (Nord, Andrews, & Carlson, 2008). In this sample 64% of the participants were food insecure. In the same report, it was noted that 53% of food secure residents of the state had access to a working car. In this sample, 62% had access to a car either through ownership or from a friend or relative.

Key findings from this study show that factors that hinder healthy eating are the same for food secure and food insecure participants. The difference is in the degree of importance, or the cluster ratings. Compared to food secure participants, food insecure participants viewed each cluster as being more important to hindering healthy eating. This is not surprising given that food insecurity pertains to the inability to obtain affordable, safe and nutritious foods (Morris, Neuhauser, & Cambell, 1992). Each cluster, comprised of statements related to concerns regarding access, affordability, neighborhood characteristics and individual factors, are all impacted by income.

The in-depth explanation of the cluster *Concerns About the Stores* highlighted convenience as a concern for both food secure and food insecure participants. It was noted in the results section that the statement convenience was rated third (after “hours” and “clean and organized store” in terms of perceived importance in hindering healthy eating among food secure participants. It is reasonable and appropriate for participants to perceive convenience as the most important factor for hindering healthy eating when the other statements included in this cluster are closely related to convenience. For instance, one participant was concerned about the impact of store employees being treated unfairly. The concern was that employees will quit and stores will close, thereby making food shopping inconvenient. Additionally, store hours, variety of foods offered, and well-stocked shelves all play a role in convenience. If the store hours are not conducive to the consumer, if there is a lack of variety and poorly stocked shelves, it is likely

that residents would have to shop at another store, potentially in another neighborhood. This can be an inconvenience to a low-income individual who has to rely on public transportation to obtain food.

When comparing food secure residents within a food desert to a food oasis, the data suggest that living in a food desert heightens the degree to which residents perceive the range of factors as hindering healthy eating. This has major public health significance because it highlights the need for additional research to explore the impact of living in a food desert. Findings from this study suggest that perceptions of factors that hinder healthy eating do not vary by location (food desert or food oasis), but the mere fact that living in a food desert and not having access to a supermarket is a key component in hindering healthy eating despite the household's ability to afford healthy and nutritious foods.

Food insecure participants from the food desert and the food oasis had similar ratings for each of the clusters. Each of the clusters was rated high or moderate in terms of hindering healthy eating. This suggests that for food insecure households, location (food desert or food oasis) does not play a major role in determining factors that hinder healthy eating. Rather, this relationship is associated with being food insecure. In other words, among households that are food insecure, the degree of importance for each cluster in hindering healthy eating is heightened regardless of neighborhood food desert status. This has major health and community development implications. An improvement in diet comprised of healthy and nutritious foods cannot be achieved alone by increasing access to a supermarket. Underlying issues of poverty and related food insecurity must also be addressed.

Two of the clusters that were rated higher among food insecure participants in a food oasis compared to food insecure participants in a food desert were *Access Issues* and

*Transportation.* One explanation for this finding is nearly half (44.4%) of food insecure participants residing in a food oasis own their cars and almost half (44.4%) find it difficult to obtain transportation (results not shown). This is in comparison to food insecure participants residing in a food desert where 14.3% own a car and 28.6% find it difficult to obtain transportation (results not shown). Food insecure participants in the food oasis may view these clusters as more important for hindering healthy eating because a greater percentage, compared to food insecure participants in the food desert, has to maintain the upkeep of their personal cars including paying for high gas prices. Similarly, a greater percentage of food insecure participants in the food oasis find it difficult to obtain transportation. As a result, these participants may have rated statements pertaining to the public transportation system as more important since they tend to rely mostly on this mode of transportation.

### *Strengths and Limitations*

A strength of this study focused on household food security status and food desert status. This allowed for associations between these two measures to be studied. These analyses offer new insight into the intersection of food security and food desert statuses and can prove beneficial in offering recommendations to improve diet and nutrition among low-income food secure and food insecure residents with different levels of supermarket access.

Another strength of this study includes the use of concept mapping as the methodology to answer the research questions. Unlike qualitative methods such as focus groups, concept mapping offers the participants the unique opportunity to rate items according to individual importance and note how items are related to each other. Furthermore, this participant-driven methodology involves the participants in each phase of the concept mapping process. This is

especially salient for exploring and understanding perspectives and viewpoints through the interpretation and analyses of the constructed maps. Quantitatively, concept mapping uses multidimensional scaling and hierarchical cluster analysis to examine similarities of ideas among participants and identify the degree of similarity. These analyses, in conjunction with the rich qualitative data collected during the early stages of the process, highlights additional strengths of this methodology.

Similar to other qualitative studies, this study is limited in its generalizability. This study represents the views of 9 food secure and 16 food insecure. For the secondary aim, the sample size was even smaller. As a result, our findings are not generalizable to other food secure and food insecure households, and not generalizable to non low-income zip codes. However, the purpose of this study was not to make generalizations, but to generate hypotheses. Furthermore, the goal was to explore perceptions of the participants regarding factors that hinder healthy eating. This study can serve as pilot data for a supplemental study with a larger sample size. It would be beneficial to replicate this study to verify the results and contribute new findings.

### *Recommendations*

Addressing concerns related to both the built environment and socioeconomic status is a daunting task that will require an ecological approach involving a variety of key players including community developers, policy makers and public health professionals. Findings from this study uncovered potential areas for future research and intervention. These areas include:

1. Increasing food assistance programs. Increasing access to food assistance programs for low-income urban residents. These programs have proven beneficial in reducing food insecurity (Edward & Evers, 2001).
2. Research on food assistance programs and food security. Additional research is needed to explore the impact of existing food assistance programs (i.e., soup kitchens, food banks, community gardens, etc.) on reducing food insecurity.
3. Exploring pathways between food security status and barriers to healthy eating. Further research is required to better understand the mechanism whereby food security status intensifies the relative importance of factors involved in hindering healthy eating.

## **6.0 CHAPTER SIX: DISCUSSION**

This chapter presents a discussion of the research findings from the three manuscripts as they relate to the original research questions. A discussion of the limitations will follow with particular emphasis on methodological limitations of the research. Lastly, policy implications and areas for future research will be discussed.

### **6.1 Manuscript One**

Manuscript One focused on food desert literature in the U.S. Twenty two articles pertaining to food desert research were identified. These articles focus on at least one of 11 measures with the most frequently studied measures being access to stores, income/SES disparities in food deserts, and racial/ethnic differences in food deserts. The measures that have received the least attention have focused on residents' perceptions of their food environment and the impact of living in a food desert. One explanation for this finding is that unlike income and race/ethnicity which are easier to quantify, perceptions are more subjective. However, understanding perceptions can offer insight into facilitators and barriers to healthy eating. Similarly, it is difficult to assess the direct impact of residing in a food desert when additional factors such as race/ethnicity or income could be contributing to the association.

Few studies discuss policy implications for food deserts. The few studies that mention policy-related concerns discuss reducing the racial/ethnic and related income disparities that exist in accessing food, and working to attract supermarkets to economically disadvantaged neighborhoods (Chung & Myers, 1999; Lang & Caraher, 1998; Zenk et al., 2005). This underscores the need for policymakers and stakeholders to begin determining food-related

policies and practices. These policies can have a major impact in addressing the limited access to affordable healthy and nutritious foods for low-income residents of urban areas that lack access to these foods. An example of how cities are addressing the lack of access to supermarkets are found in Pittsburgh, Boston in New York where many communities have relied on local leadership and policy development to alleviate these disparities (Pothukuchi, 2000). These cities have developed public/private partnerships, agreements between government and private sector organizations, to build and maintain infrastructure and necessary community facilities (Nayga & Weinberg, 1999; Widdus et al., 2001). Specifically, partnerships between local government and supermarket leaders have been developed to bring supermarkets into underserved areas. Ultimately, these partnerships seek to increase supermarket access within neighborhoods that have been overlooked by food retailers. In addition to addressing the food environment, it is imperative to address transportation-related issues that have been identified as additional barriers to accessing healthy foods for many low-income residents.

While many studies focus on the presence or absence of supermarkets, few examine the dynamic interaction between other food venues (restaurants, corner stores, gas stations, etc.) as places where residents purchase food. This is important because these venues, in addition to local grocery stores, comprise the food environment and offer food items for residents, despite the nutritional value of these foods. The importance of identifying these types of food stores within a neighborhood is two-fold. First, identifying these stores offers a complete picture of the entire food environment within a neighborhood. Second, researchers will have a better understanding of the food options that are available to residents. While it is important to identify places that offer healthy foods within a neighborhood, it is equally important to identify the places within a neighborhood that can offset these locations.

There is limited knowledge about the associations between residing in a food desert and both behavioral and physical health outcomes. More specifically, there is debate about whether living in a food desert is associated with unhealthy eating and food buying practices, or health outcomes such as obesity, diabetes, or hypertension, all of which have diet and nutrition as a risk factor. Similarly, it is unknown whether other factors including personal preferences are better indicators for healthy eating than the actual presence or absence of a supermarket. Additional research is needed to better understand these associations and additional factors involved in food buying practices among residents of food deserts. The salience for this research is to better understand how a neighborhood characteristic such as access to a supermarket influences healthy eating. It is also worthwhile to explore how household food security status (food secure or food insecure) influences food buying practices and how these differences vary by food desert status. This information will be useful in program planning and policy development aimed at addressing access to healthy and affordable foods.

## **6.2 Manuscript Two**

Research Question 1. What are perceptions of factors influencing food buying practices among residents of an urban food desert and residents of an urban food oasis?

Results from the analyses conducted in manuscript two showed that 121 unique statements are involved in influencing food buying practices among residents of a food desert and food oasis. The 121 statements represent 12 clusters that were agreed upon by participants from both groups as accurately expressing their perceptions of concepts that influence their food buying practices. The 12 clusters identified as influencing food buying practices are: *Budgeting*, *Making Choices*, *Neighborhood Issues*, *High Risk*, *Areas for Improvement*, *Concerns About the*



*Stores, Quality Healthy Foods, Making Ends Meet, Access Issues, Transportation, and Lifestyles.*

Concept mapping allowed participants to sort and rate statements generated in each cluster independently before producing an aggregate group product for both groups. Overall, the average cluster ratings for residents of the food desert were higher than residents of the food oasis. This suggests that residents of the food desert perceived each cluster as being more important in influencing food buying practices than residents of the food oasis. The similarity in cluster rankings suggest that cluster importance is similar between participants in the food desert and food oasis, however; the degree of importance is heightened among residents in the food desert.

This research identified perceptions of factors that influence food buying practices for residents of a food desert and residents of a food oasis. Participants identified a wide range of factors that have not been studied or poorly explored in depth in existing literature. One unexpected statement, “cab service won’t come to certain neighborhoods” offers insight into residents’ perceptions of the role of jitneys in influencing food buying practices. As a result of cabs not entering certain communities, a demand for transportation services was created. Jitneys, unlicensed taxis, have been used to meet this demand. In many low-income areas in Pittsburgh, jitneys aggregate in parking lots of supermarkets offering a cheaper fare than taxis (May, 2004).

Another unexpected statement, “double and triple coupons”, suggest how residents perceive the important role of coupons in saving money, and subsequently influencing food buying practices. It is understandable the monetary savings that doubling or tripling coupons can have for the consumer. While it is unknown the type of food items that were purchased with the coupon, it is worthwhile to explore how healthier food options can be appealing to the consumer and coupons available for these items, redeemed at double or triple the face value.

Statements unique to participants in the food desert pertained to survival, mental health, and macro-level factors. To understand the context in which these statements were given, it is important to understand the history of this area and the feelings of injustice as perceived by the residents. Participants shared examples of wrongdoings by a grocery store that is no longer operational in their area. One example is of rancid meat purchased from larger chain supermarkets, injected with red dye to give the appearance of fresh meat, re-packaged and sold to residents at regular price. This community, where a supermarket does not exist, has witnessed other business closures, including banks and schools, and where few social service agencies exist to address unmet needs. This issues, cited in environmental justice literature explores how inequities in planning and zoning in poor, urban communities lead to differential exposures to neighborhood characteristics that adversely affect health outcomes while diminishing access to health promoting resources including supermarkets (Wilson et al., 2008). These dismal conditions may offer insight into how the food desert participants perceive their neighborhood.

Statements unique to participants in the food oasis centered around luxuries or conveniences surrounding the food environment and shopping experiences, and taking advantage of available resources. Like the food desert, responses may be based on the larger context in which residents reside. Given that a supermarket is accessible to these participants, it is not surprising that factors that influence food buying practices go beyond basic food as a means of survival as observed in the food desert. According to a report published in the Pittsburgh Post Gazette (Grant, November 2, 2007), real estate appreciation in the food oasis is the second highest in the city of Pittsburgh. This area is part of Pittsburgh's interior design district that consists of shops, galleries and studios where products and services for in-home and office décor

are available ("16:62 Design Zone," 2008). With the opening of art galleries and furniture stores, coffee shops, restaurants, and bars have followed suit. The implication is that businesses are willing to establish in low-income, urban neighborhoods provided signs of economic development and growth are apparent.

From the in-depth explanation from the interpretation step, concerns associated with the economy, sources of income, and lifestyles were perceived by participants from both groups as the most important factors for influencing food buying practices. Many small, neighborhood businesses have closed for a variety of reasons, including the lack of business. Additionally, food prices have increased over the past year ("Food Price Outlook," 2009), and many people have lost their jobs. Given the current economic situation, arguably a recession by many standards, it is not surprising that participants would perceive these factors as key factors that influence food buying practices.

The aforementioned statements present new findings pertaining to factors that influence food buying practices. In addition to these novel findings, statements were generated during the brainstorming sessions that are consistent with the literature as influencing healthy eating among low-income urban residents. Examples of these statements pertain to concerns surrounding the lack of transportation (Garasky et al., 2004; Morton et al., 2005), shopping when money is available, for example, at payday or when food stamps are available (Wooden, 2002), lack of supermarkets within their neighborhoods (Alwitt & Donley, 1997; Chung & Myers, 1999), and quality and quantity of foods available within the immediate neighborhood (Hendrickson et al., 2006).

### 6.3 Manuscript Three

Research Question 2. How do residents' perceptions of factors influencing food buying practices differ by food security status?

The results of analyses presented in manuscript two showed that for each cluster, food insecure participants rated clusters higher than food secure participants. This suggests that for food insecure participants, each cluster is more important in its role in hindering healthy eating than it is for food secure participants. Five of the 6 highest rating clusters (*Neighborhood Issues, Information I Depend On, Areas for Improvement, Concerns About the Stores, and Budgeting*) were the same for both groups, although the average cluster ratings were quite different. The rankings suggest that overall, the same clusters were important for hindering healthy eating among food secure and food insecure participants. However, the degree to which the cluster hinders healthy eating was greater among food insecure participants. This is not surprising given that food insecurity pertains to the inability to obtain affordable, safe and nutritious foods (Morris et al., 1992). Each cluster, comprised of statements related to concerns regarding access, affordability, neighborhood characteristics and individual factors, are all impacted by income.

The in-depth explanation of the cluster *Concerns About the Stores* highlighted convenience as a concern for both food secure and food insecure participants. It was noted in the results section that the statement convenience was rated third (after “hours” and “clean and organized store” in terms of perceived importance in hindering healthy eating among food secure participants. It is reasonable and appropriate for participants to perceive convenience as the most important factor for hindering healthy eating when the other statements included in this cluster are closely related to convenience. For instance, one participant was concerned about the impact of store employees being treated unfairly. The concern was that employees will quit and stores will close, thereby making food shopping inconvenient. Additionally, store hours, variety of

foods offered, and well-stocked shelves all play a role in convenience. If the store hours are not conducive to the consumer, if there is a lack of variety and poorly stocked shelves, it is likely that residents would have to shop at another store, potentially in another neighborhood. This can be an inconvenience to a low-income individual who has to rely on public transportation to obtain food.

A secondary aim was to explore the importance of factors that influence food buying practices on hindering healthy eating based on both food security and food desert statuses. Results from these analyses showed that there were no differences in factors that hinder healthy eating comparing food secure participants in a food desert (n=5) and food secure participants in a food oasis (n=4). The overall rankings were similar for both groups of participants with the clusters *Areas for Improvement*, *Information I Depend On*, and *Neighborhood Issues* being the some of the most important clusters for hindering healthy eating. While the overall rankings were similar, participants in the food desert rated all of the clusters higher than participants in the food oasis. This suggests that living in a food desert heightens the degree to which residents perceive the range of factors as hindering healthy eating. This has major public health significance because it highlights the need for additional research to explore the impact of living in a food desert. Findings from this study suggest that perceptions of factors that hinder healthy eating do not vary by location (food desert or food oasis), but the mere fact that living in a food desert and not having access to a supermarket is a key component in hindering healthy eating despite the household's ability to afford healthy and nutritious foods.

Results of the analysis exploring perceptions of factors that hinder healthy eating among food insecure participants in the food desert (n=7) and the food oasis (n=9) showed that while cluster rankings were different, the average cluster ratings were similar, suggesting that the importance of each cluster in hindering healthy eating was similar for food insecure participants

regardless of neighborhood-level access to a supermarket (food desert or food oasis). This suggests that for food insecure households, location (food desert or food oasis) does not play a major role in determining factors that hinder healthy eating. Rather, this relationship is associated with being food insecure. In other words, among households that are food insecure, the degree of importance for each cluster in hindering healthy eating is heightened regardless of neighborhood food desert status. This has major health and community development implications. An improvement in diet comprised of healthy and nutritious foods cannot be achieved alone by increasing access to a supermarket. Underlying issues of poverty and related food insecurity must also be addressed.

Food insecure participants from the food desert and the food oasis had similar ratings for each of the clusters. Each of the clusters was rated high or moderate in terms of hindering healthy eating. Two of the clusters that were rated higher among food insecure participants in a food oasis compared to food insecure participants in a food desert were *Access Issues* and *Transportation*. One explanation for this finding is nearly half (44.4%) of food insecure participants residing in a food oasis own their cars and almost half (44.4%) find it difficult to obtain transportation. This is in comparison to food insecure participants residing in a food desert where 14.3% own a car and 28.6% find it difficult to obtain transportation. Food insecure participants in the food oasis may view these clusters as more important for hindering healthy eating because a greater percentage, compared to food insecure participants in the food desert, has to maintain the upkeep of their personal cars including paying for high gas prices. Similarly, a greater percentage of food insecure participants in the food oasis find it difficult to obtain transportation. As a result, these participants may have rated statements pertaining to the public

transportation system as more important since they tend to rely mostly on this mode of transportation.

## **6.4 Methodological Limitations**

### **6.4.1 Sample size**

Similar to other qualitative studies, this study is limited in its generalizability. Research question 1 represents the views of 12 participants who reside in one low-income food desert in Pittsburgh and 13 participants who reside in one low-income food oasis. Research question 2 represents the views of 9 food secure and 16 food insecure. As a result, our findings are not generalizable to other food deserts and oases, and not generalizable to non low-income zip codes. However, the purpose of this study was not to make generalizations, but to generate hypotheses. Furthermore, the goal was to explore perceptions of the participants regarding their food buying practices.

### **6.4.2 Sampling technique**

Another limitation of the study is in the sampling technique. A modified snowball technique was used to yield a sample based on referrals from people who know others who meet the inclusion criteria. The referrals were made from staff from social service agencies and participants who had already been recruited to participate in the study. The drawback to this method is that participants were primarily those who utilized soup kitchens or food pantries, for instance, and friends or relatives of participants who were recruited.

#### 6.4.3 Definition of a food desert

The definition of a food desert has been a source of debate in existing literature. The majority of studies examining food deserts have defined these areas as geographic locations that do not have a supermarket within 0.5 miles from the center of the location. This study builds upon existing definitions of food deserts by maintaining the current designation of a food desert as a geographic area that is devoid of a supermarket within 0.5 miles from the center of the zip code. Although this designation is the convention, there are some challenges with this definition. For example, a zip code can be classified as a food desert; however, a residence within a food desert can be located within walking distance to a supermarket located within a food oasis. While this may be a challenge in the definition of a food desert, it does not minimize the importance of the research aims of exploring the perspectives and viewpoints of participants regarding the factors that influence food buying practices.

#### 6.4.4 Concept Mapping process

A certain degree of literacy is required to complete and comprehend the concept mapping process. Some participants in the food desert experienced challenges with the concept mapping process that are worthy to discuss. First, during the structuring of statements and representation steps of the concept mapping process, participants expressed concern with their individual data being grouped with the rest of the participants. One participant expressed a feeling of losing his individuality by aggregating the data. Similarly, a second participant expressed frustration that his data were lost, that the group product did not represent how he sorted and rated the data. It was explained that the final cluster solutions map was a group product that was generated based on the input of each participant. At the last session, efforts were made to further explain the



concept mapping process and how individual data are pertinent to the final maps. Handouts of point and cluster maps were distributed to help illustrate these points.

Another limitation in this study was creating one exhaustive brainstorming list from two brainstorming sessions with residents from each zip code, and the subsequent generation of one cluster ratings map that represented ideas from all of the participants from both zip codes. While it may be ideal to generate two brainstorming lists that represent ideas generated from each group and to illustrate these ideas graphically with two cluster ratings maps, this is not feasible when comparisons between the two groups are to be made. Utilizing one overall map which incorporates the ideas of two groups is common practice within concept mapping. The similarities and differences that exist between the two groups will be captured during the interpretation of the maps session of the concept mapping process.

When studying a sensitive topic such as access to food, response biases may occur. A potential bias of this study is social desirability bias that may result from respondents replying in a manner to avoid feelings of embarrassment or shame. The Food Security Scale, which assesses household hunger, asks questions pertaining to economic barriers to accessing food. Respondents may be ashamed to admit that their household ran out of food, and that there was not enough money to buy more food. For example, a respondent may answer “No” to items which asks whether children in the household had enough food to eat. Difficulty in admitting that children in the household had to skip meals because of insufficient foods to sustain the family could cause respondents to answer this item in a way that is “socially acceptable.” As a result, the number of food secure households identified in the study may be inflated.

## 6.5 Concept Mapping and Theory Development

Models for understanding how a wide range of factors influence food buying practices and promote healthy eating are unknown. One of the strengths of concept mapping is that results contribute to theory development. The concept mapping process allows participants to freely brainstorm a focal question. The sorting and rating of these statements and the interpretation of how these statements are inter-related can be used to develop theories to how a wide range of factors influence food buying practices.

In this research, participants worked in small groups and translated a complex topic into a visual representation that developed into a theory. For example, participants were asked to diagram and explain how statements within the cluster *Access Issues* are inter-related to influence food buying practices. The statements were “high gas prices”, “where you live”, “car service cost”, “transportation”, “bus lines being cut so stores not accessible”, “lack of transportation”, “depend on the bus lines”, “high bus fare”, “jitney charges for bags and people”, “cab service won’t come to certain neighborhoods”, “free bus for Social Security or Medicare”, “location of the bus and shuttle”, “ship of Zion shuttle”, and “only take four bags on the bus.” Participants were told that all statements did not have to be used, and that statements that were not generated during the brainstorming process could be added to clarify their diagram in an effort to make the description more complete. Participants within one small group organized the statements in a way that illustrated that transportation was the most important statement within the cluster for influencing food buying practices.

A pathway was diagrammed to theorize how the cluster influences food buying practices. Participants stated that access to a supermarket is dependent on the ability to obtain transportation by paying for high gas prices, bus fare or jitney services. Participants explained

that without transportation, residents are limited to shopping within walking distance.

Participants identified convenience stores and fast food restaurants as the food stores that are within walking distance, which leads them to purchase unhealthy foods that are readily available at these stores. As illustrated from this example, concept mapping facilitates participants' active involvement in creating knowledge that can be used to guide future research and in program and policy development.

## **6.6 Policy Implications**

The findings from manuscripts two and three have neighborhood and individual-level policy implications. This study highlights factors that influence food buying practices and underscores the need for increased access to affordable, healthy and nutritious foods for low-income communities. Furthermore, this study presents areas where interventions could be the most feasible, cost-effective, or beneficial.

Given that diet is a risk factor for many chronic conditions and is being studied as rates of overweight and obesity continue to drastically increase, exploring the context in which people live, such as the neighborhood food environment, can offer invaluable insight. Findings from this research study, which are consistent with existing literature suggest that there are various factors involved in food buying practices and subsequently healthy eating practices. Income plays a role in these practices in the ability to acquire affordable foods. Access plays a role in the ability of residents to obtain food. There is then the issue of poverty which can be an added stressor due to the availability of limited funds needed to make ends meet. This in turn can lead to emotional eating or the consumption of cheaper, fat-filled foods. This scene plays out in many low-income communities across the country.

There has been discussion as to how to resolve this issue. As discussed in the literature, the presence of a supermarket does not necessarily lead to an increase in fruit and vegetable consumption (Hendrickson et al., 2006). However, studies show that the presence of a chain supermarket does increase access to foods at affordable prices. A local supermarket also has the potential to address many of the factors that were identified as influencing food buying practices from manuscript two. For instance, a local supermarket can minimize distance to the store which decreases travel time for car owners (and subsequently the amount of gasoline used), as well as those who rely on public transportation. Furthermore, chain supermarkets tend to have sales papers, honor coupons, have a high turnover of food which ensures that foods are not expired, and have well-stocked shelves with a variety of generic and name brand food items, and quantities. These benefits, which come with having access to a supermarket, were identified by participants as being important to influencing food buying practices.

It can be argued that the presence of a supermarket in an area devoid of one is a sign of revitalization. Other businesses may see this as an opportunity to establish in this area and follow suit. With the opening of new businesses, the potential exists for neighborhood perceptions to change. These perceptions may influence how residents view their neighborhood food environment whereby the differential in importance ratings for influencing food buying practices between food desert and food oasis participants will become more balanced. Results from exploring food security within a food desert and food oasis have similar policy implications, therefore, programs and interventions targeting neighborhood-level concerns are warranted.

Manuscript two, which explored factors that influence food buying practices based on household food security sheds light on the salience of addressing individual ability to obtain affordable foods. Increased financial support and resources for programs that provide emergency food assistance and food vouchers are needed to increase food security among low-income households. A study by Garasky et al. (2004) reported that compared to non-users, food pantry users were less likely to report challenges to acquiring food. It is suspected that their utilization in this emergency food program helped supplement their food supply thereby reducing the likelihood of being food insecure. These policy implications are relevant for addressing food insecurity whether in a food desert or food oasis.

## **6.7 Future Research**

Additional research is needed to explore the extent to which residing in a food desert impacts health outcomes including obesity, diabetes, heart disease and other chronic conditions that have diet as a risk factor. Similarly, larger research studies are warranted that study multiple low-income food deserts and food oases. These research findings, in comparison with the findings from this research study could further contribute to our understanding of factors influencing food buying practices in low-income areas.

Additionally, the qualitative nature of concept mapping focuses on the perceptions of the participants. It could be worthwhile to triangulate these data with neighborhood data using Geographic Information System (GIS) techniques. For example, food stores including restaurants, convenience stores, produce markets, food pantries, and other places where participants are able to obtain food within their immediate neighborhoods could be geocoded. A sample of the geocoded food stores can be identified to obtain an assessment of the quality,

quantity, and brand of food items that are available to the consumer. This research could provide a comprehensive view of neighborhood food environments that include an actual map of the food environment, an assessment of the available food items, and the perceptions of those the local food store is intended to serve.

One of the methodologically sophisticated ways to study the impact of living in a food desert is a pre/post evaluation study. Discussions are underway to establish a chain supermarket in a food desert in Pittsburgh. There is the opportunity to study the residents in this area before and after the intervention (opening of the supermarket) to identify changes in food buying practices, eating practices, and perceptions of the food environment. Similarly, it would be interesting to replicate this study in this area to observe how ratings and rankings of factors that influence food buying practices may alter pre and post intervention. This would offer great insight into questions that have not been addressed presently in the literature.

## **6.8 Conclusions**

Ample research supports the importance of consuming fruits and vegetables and their benefits in reducing risks associated with many chronic diseases. Other research focus on the similarities and differences in food environments based on race/ethnicity, income, chain store versus non-chain store, and location (urban, rural, or suburban). The findings from this dissertation research contribute to the identification and relationship of factors that influence food buying practices among residents with different supermarket access. These factors identify areas where programs and interventions can be targeted to improve healthy eating. Furthermore, the findings from this research identifies factors that hinder healthy eating among food secure and food insecure households, thereby suggesting areas for improving access to food. To fully

address access to affordable healthy and nutritious foods, an ecological approach is required.

Future research is needed to further explore the impact of the neighborhood food environment on healthy and health outcomes.

Appendix A: Recruitment Flyer

**Title: Healthy Neighborhoods, Healthy Eating Study**

**Are you at least 18 years old?  
Is your zip code 15201 or 15207?  
Have you lived there for the last 12 months?**

**If you answered “Yes” to both of these questions,  
researchers at the University of Pittsburgh  
Graduate School of Public Health want to hear  
from you!**

**We invite you to share your thoughts and ideas  
about your food buying practices in a friendly  
community setting!**

**You will meet other people who live in your zip  
code and earn up to \$75 in gift cards!**

**If you are interested, please call  
412-417-4826 for more details!**



## Appendix B: Zip code 15207 statement list

Advantage Card	Emotional eating
Aftertaste of healthy foods is not good	Everything is for families
Area	Expired food
Availability of sale items	False advertising
Bad government policy	Food is an addiction
Bad packaging	Food preparation
Bad weather	Food stamps
Baked, boiled, fried-same food	Food stamps once per month
Bill money to restaurant	Food to prepare
Bush lied	Free bus for Social Security or Medicare
Buy in bulk	Fresh food not available
Buying junk	Fruits and vegetables are expensive
Cab service won't come to certain neighborhoods	Fruits and vegetables at food bank
Car service cost	Fuel perks
Cheaper for companies	Gas discount
Cheaper to eat fat foods	Generic
Clean and organized store	Good information from commercials
Climate	Good Prices
Commercials	Grocery list
Compulsive shopper	Habitual eating
Convenience	Have to go a distance to find healthy food
Cooking healthy	Healthy food is where people have money
Cooking shows	Healthy foods are not good
Co-op shopping	High gas prices
Corporate taking advantage of the consumer	Hours
Cost	Hunting
Cost more to buy less	Information on specials
Coupon sharing	Jitney charge for bags and people
Coupons	Junk food cheap
Customer service	Lack of information
Depression	Lack of transportation
Different circulars for different neighborhoods	Lactose intolerant
Distance to shops	Late advertisement
Eat anything that is in the cupboard	Less quantity for more cost
Eat constantly	Lifestyle
Eat deer meat	Like variety
Eat the same thing	Live to eat
Eating healthy is more expensive	Location
Eating junk is what I can afford	Location of the bus and shuttle
Economy affects everything	Love to cook
Economy is down	Low prices

**Appendix B: Zip code 15207 statement list** *(continued from previous page)*

Low sodium	Ship of Zion shuttle
McDonald's one-stop	Shop when you are hungry
Money stays the same, food prices go up	Socializing
Money=food	Source of income
Monthly shopping	Spices cost a fortune
Need to eat to live	Store closing
Not all at one time	Stress
Not enough help	Television
Nothing exotic	The way we treat others-bonding
Only take 4 bags on the bus	Think about food all the time
Paid by job	Transportation
Poor neighborhoods	Transportation for elderly
Poor people's concern is food	Trim expenses
Portions for single people	Used to eat socially
Price information	War increase prices
Quantity of items on coupons	When you have money
Read labels	Where you live
Reasonable price	Wholesale
Sav A Lot	Wonder if I'm going to have food
Savings	Word of mouth about sales
Season	Work
Share with relatives	

## Appendix C: Zip code 15201 statement list

Able to buy fruits and vegetables	Giant Eagle closed
Aldi has good prices	Giant Eagle mistreats employees
Aldi has long lines	Good deals
Bad attitudes from employees	Got a raise and lost food stamps
Bargains	Grocery stores are too far out
Bus lines being cut so stores not accessible	Have to open doors to family to pull together and pay bills
Buy 1 Get 1 Free	Have to take the bus
Buy in bulk and freeze	Having to make hard choices
Buy what you need	Help from organizations
Buying frozen foods which are better than canned	High bus fare
Buying more getting less	High gas prices
Buying the necessities	If you don't want 2 items, just buy 1
Buying the quantity that you need	Information about coupons
Changing lifestyle	Information provided by Catholic Charity
Choosing how to spend money	Keep track of flyers to see sales
Cleanliness	Knowledge food prices
Consolidate trips-go to many stores one day per week	Local foods
Cooking in one pot	Long lines
Cost of food	Look for bargains
Day old sales for fruits and vegetables	Making choices between buying food and paying bills
Depend on the bus	Need a car
Diabetes	Need more neighborhood bakeries
Don't buy what you can't afford	Need more neighborhood stores
Don't buy what you don't need	Not outdated foods
Don't mind paying more for organic/better food	Only shop where there is a sale
Don't shop at Walmart anymore	Organic food stores have decent prices and good quality
Double and triple coupons	Organic foods
East Liberty provides twice as much for your money	People losing their jobs and homes
Eat what is in the refrigerator/house	Planning weekly menus
Eating healthy cost a lot	Price
Eating leftovers	Price is right
Economy	Quality of food
Elderly not able to shop when Giant Eagle closed	Quantity
Expiration date	Rely on family and friends
Families living together to help out with the bills	Ride my bike
Farmer's market	Sales
Fixed income	Salvation Army has bread on Tuesdays
Flyers/newspapers	Senior coupons for farmer's market
Food bank	Share information with family and friends
Fresh produce at farmer's market	Sharing information with others
Fruits and vegetables are not cheap	

## **Appendix C: Zip code 15201 statement list** *(continued from previous page)*

Shop for kids and grandkids

Shop 'n Save has 2 for the price of 1 or 5 for \$20

Shopping frequently for fresh produce

Shopping in the Strip District

SNAPS: support for low-income

Spend money to get to store, have to save money when get there

Stars' discount

Stores closing and becoming employee parking lot

Substitutions for sale items not available

Sugar-free tastycakes

Summer versus winter

The way people are treated

The word "sale" can be a trick

The working class needs the financial bailout

Thinking about if you really need the item

Transportation

Variety

Walked when gas prices high

Walmart prices are too high

Watch where you shop

Well-stocked shelves

Why pay more when you can pay less

WIC vouchers

Word of mouth

## Appendix D: Master list with statement numbers

Aftertaste of healthy foods is not good (1)	Double and triple coupons (39)
Area (2)	Eat the same thing (40)
Availability of sale items (3)	Eat what is in the refrigerator/cupboard (41)
Bad attitudes from store employees (4)	Eating junk is what I can afford (42)
Bad food packaging (5)	Eating socially (43)
Bad government policy (6)	Economy (44)
Bargains (7)	Emotional eating (45)
Bus lines being cut so stores not accessible (8)	False advertising (46)
Bush administration (9)	Farmer's market (47)
Buy 1 get one free (10)	Fixed income (48)
Buy in bulk (11)	Flyers and newspapers to see sales (49)
Buy what you need (12)	Food Bank (50)
Buying frozen foods which are better than canned (13)	Food expiration date (51)
Buying junk (14)	Food preparation (52)
Cab service won't come to certain neighborhoods (15)	Food stamps (53)
Car service cost (16)	Free bus for Social Security or Medicare (54)
Cheaper to eat fat foods (17)	Fresh food not available (55)
Choosing how to spend money (18)	Fruits and vegetables are expensive (56)
Clean and organized store (19)	Gas discount perks (57)
Compulsive shopper (20)	Generic brands (58)
Consolidate trips-go to many stores one day per week (21)	Good price (59)
Convenience (22)	Got a raise and lost food stamps (60)
Cooking healthy (23)	Grocery list (61)
Cooking in one pot (24)	Habitual eating (62)
Cooking shows (25)	Healthy food is where people have money (63)
Co-op shopping (26)	Help from organizations (64)
Cost (27)	High bus fare (65)
Cost more to buy less (28)	High gas prices (66)
Coupon sharing (29)	Hours (67)
Coupons (30)	Jitney charges for bags and people (68)
Customer service (31)	Junk food is cheap (69)
Day old sales for fruits and vegetables (32)	Knowledge of food prices (70)
Depend on the bus lines (33)	Lack of transportation (71)
Diabetes (34)	Lactose intolerant (72)
Different circulars for different neighborhoods (35)	Lifestyle (73)
Distance to shops (36)	Local foods (74)
Don't buy what you can't afford (37)	Location (75)
Don't mind paying more for organic/better food (38)	Location of the bus and shuttle (76)
	Long lines (77)

## **Appendix D: Master list with statement numbers** *(continued from previous page)*

Love to cook (78)  
Low sodium (79)  
Making choices between buying food and paying bills (80)  
McDonald's is one-stop shopping (81)  
Meat available from hunting (82)  
Need a car (83)  
Need more neighborhood stores (84)  
Need to eat to live (85)  
Only take four bags on the bus (86)  
Organic foods (87)  
Planning weekly menus (88)  
Poor neighborhoods (89)  
Portions for single people (90)  
Quality of food (91)  
Quantity (92)  
Quantity of items on coupons (93)  
Read labels (94)  
Ride bike to store (95)  
Sale advertisement delivered late (96)  
Sales (97)  
Season (98)  
Senior coupons for farmer's markets (99)  
Share information with others (100)  
Ship of Zion shuttle (101)  
Shop for kids and grandkids (102)  
Shop when you are hungry (103)  
Shopping frequently for fresh produce (104)  
Source of income (105)  
Store closing (106)  
Stress (107)  
Television commercials (108)  
Think about food all the time (109)  
Transportation (110)  
Treatment of store employees (111)  
Variety (112)  
Walking (113)  
War increases prices (114)  
Weather (115)  
Well-stocked shelves (116)  
When you have the money (117)  
Where you live (118)  
WIC vouchers (119)  
Wonder if I'm going to have food (120)  
Word of mouth of sales (121)

## Appendix E: Participant Questions

This brief survey is designed to help us better understand how you get the foods you buy. Please take a few moments to answer each item. Your responses are anonymous and will not be linked to you. Please be sure to place a label that you received in the upper right hand corner of this survey.

**Part I. Background Information** – In this section we want to learn more about you.

1. What is your zip code (please check one)?

15201  
 15207

2. What is your age? \_\_\_\_\_

3. What is your sex (Please check one)?

Male/Man  
 Female/Woman

4. What is your race/ethnicity? \_\_\_\_\_

5. How many **adults** live with you? \_\_\_\_\_

6. How many **children** under the age of 18 years live with you? \_\_\_\_\_

7. What is your employment status (please check one)?

Employed full-time  
 Employed part-time  
 Retired  
 Disabled  
 Unemployed

**Part II. Transportation** – In this section, we want to learn about the types of transportation that you may use.

8. Which statement best describes you?

I own my car  
 I do not own a car, but usually, I can get a ride with somebody  
 I do not own a car **and** I find it hard to get a ride with somebody

9. About how many blocks away from your home is the nearest bus stop? \_\_\_\_\_ blocks

10. About how many different bus routes operate near your home? \_\_\_\_\_

11. About how often do the buses that operate near your home come?

- \_\_\_\_\_ Less than every 15 minutes
- \_\_\_\_\_ Every 15-30 minutes
- \_\_\_\_\_ Every 30-45 minutes
- \_\_\_\_\_ More than every 45 minutes

**Part III. Where You Shop** – In this section, we want to learn more about the place where you usually buy food.

12. What is the name of the store where you shop for food the most?

\_\_\_\_\_

13. Usually, how do you get to the store where you shop for food the most? \_\_\_\_\_

\_\_\_\_\_

14. In minutes, how long does it take you to get to the store where you shop for food the most? \_\_\_\_\_ minutes

15. What do you like **best** about the place where you usually buy your food?

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

16. What do you like **least** about the place where you usually buy your food?

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_



**Part IV. Health Matters** – In this last section, we want to know a little about your health.

17. Has a health care provider ever told you that you have or had diabetes/sugar, high blood pressure, cancer, stroke, or other conditions (Please check one)?

- Yes
- No
- I do not wish to answer

18. If you answered “Yes” to question 17, what condition(s) were you told you have?

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Thank you for taking time to complete this survey. Your responses are valuable to us and will help us better understand how people access food for their households.

## Appendix F: United States Department of Agriculture (USDA) Food Security Scale

The following are several statements that people have made about their food situation. These statements are meant to get an idea of how people cope when there is not enough food at home. Please check “yes” if the statement is true for you **within the last 12 months** or “no” if the statement is not true for you **within the last 12 months**.

Topic	Item Number	Item	Yes	No
<b>The first 3 items have to do with concerns members of your household have in getting food. In the last 12 months:</b>				
<b>Household Items</b>	1	I/We worried food would run out before (I/we) got money to buy more		
	2	The food that I/we bought didn't last and (I/we) didn't have money to get more		
	3	I/We couldn't afford to eat balanced meals		
<b>The next 7 items have to do with changes you and other adults in your household made in your eating habits. In the last 12 months:</b>				
<b>Adult Items</b>	4	I or other adult(s) cut size of meals or skipped meals		
	5	I ate less than I felt I should		
	6	I or other adult(s) cut size of meals or skipped meals in 3 or more months		
	7	I was hungry but didn't eat because I couldn't afford enough food		
	8	I lost weight		
	9	I or other adult(s) did not eat for whole day		
	10	I or other adult(s) did not eat for whole day in 3 or more months		
<b>The last 8 items have to do with the eating patterns of the children in your household. Only answer the following questions if you have at least one child under the age of 17 years living with you. In the last 12 months:</b>				
<b>Child Items</b>	11	I/We relied on few kinds of low-cost food to feed child(ren)		
	12	I/We couldn't feed child(ren) balanced meals		
	13	The child(ren) were not eating enough		
	14	I/We cut size of child(ren)'s meals because there wasn't enough money for food		
	15	The child(ren) were hungry, but I couldn't afford more food		
	16	The child(ren) skipped meals		
	17	The child(ren) skipped meals in 3 or more months		
	18	The child(ren) did not eat for whole day because there wasn't enough money for food		

## Appendix G: Statements Grouped by Cluster

<b>HIGH RISK</b>	<b>NEIGHBORHOOD ISSUES</b>
<p>Lifestyle            Fruits and vegetables are expensive            Emotional eating            Aftertaste of healthy foods is not good            Think about food all the time            Eating junk is what I can afford            Junk food is cheap            Habitual eating            Low sodium            Cheaper to eat fat foods            Eating socially            Compulsive shopper            Buying junk</p>	<p>Need more neighborhood stores            Economy            War increases prices            Poor neighborhoods            Store closing            Long lines            Different circulars for different neighborhoods</p>
<b>INFORMATION I DEPEND ON</b>	<b>TRANSPORTATION</b>
<p>Cost            Choosing how to spend money            Word of mouth of sales            Cooking healthy            Food preparation            Read labels            Don't buy what you can't afford            Coupons            Buying frozen foods which are better than canned            Day old sales for fruits and vegetables            Love to cook            Farmer's market            Generic brands</p>	<p>Weather            Need a car            Distance to shops            Location            Area            Walking            Ride bike to store</p>
<b>LIFESTYLES</b>	<b>ACCESS ISSUES</b>
<p>Cooking in one pot            Shop when you are hungry            Eat what is in the refrigerator/cupboard            Sale advertisement delivered late            Eat the same thing            Meat available from hunting</p>	<p>High gas prices            Where you live            Car service cost            Transportation            Bus lines being cut so stores not accessible            Lack of transportation            Depend on the bus line            High bus fare            Jitney charges for bags and people            Cab service won't come to certain neighborhoods            Free bus for Social Security or Medicare            Location of the bus and shuttle            Ship of Zion shuttle            Only take four bags on the bus</p>

<b>AREAS OF IMPROVEMENT- THE DAY WILL GET BETTER</b>	<b>QUALITY HEALTHY FOODS</b>
<p>Source of income            Need to eat to live            Bush administration            Help from organizations            Bad government policy            Bad food packaging            Stress            Television commercials            Bad attitudes from store employees            WIC vouchers</p>	<p>Knowledge of food prices            Quality of food            Availability of sale items            Quantity            Share information with others            Shopping frequently for fresh produce            Buy what you need            Buy in bulk            Portions for single people            Grocery list            Don't mind paying more for organic/better food            Cooking shows            Planning weekly menus            Organic foods            Shop for kids and grandkids</p>
<b>MAKING CHOICES</b>	<b>BUDGETING</b>
<p>Fixed income            When you have the money            Healthy food is where people have money            Wonder if I'm going to have food            Fresh food not available            Lactose intolerant            False advertising            McDonald's is one-stop shopping            Diabetes</p>	<p>Cost more to buy less            Good price            Sales            Bargains            Buy 1 get 1 free            Flyers and newspapers to see sales            Quantity of items on coupons            Coupon sharing            Double and triple coupons</p>
<b>MAKING ENDS MEET</b>	<b>CONCERNS ABOUT THE STORES</b>
<p>Making choices between buying food and            Paying bills            Food stamps            Customer service            Food bank            Got a raise and lost food stamps            Senior coupons for farmer's markets</p>	<p>Convenience            Gas discount perks            Clean and organized store            Variety            Food expiration date            Well-stocked shelves            Hours            Consolidate trips-go to many stores one day per week            Local foods            Season            Co-op shopping            Treatment of store employees</p>

## Appendix H: Participant Demographics

Zip code Characteristic	Food Desert (15207)	Food Oasis (15201)	Total
Total number of participants	12 (48%)	13 (52%)	25 (100%)
Age			
Median age (years)	42.8	47.5	46.5
Sex			
Male	2 (16.7%)	1 (7.7%)	3 (12%)
Female	10 (83.3%)	12 (92.3%)	22 (88%)
Race & Ethnicity			
African American	6 (50.0%)	6 (46.2%)	12 (48%)
Caucasian	6 (50.0%)	6 (46.2%)	12 (48%)
Other	0 (0%)	1 (7.7%)	1 (4%)
Average number of adults in household	1.50	1.54	2.11
Number of children in household	0.50	0.77	1.33
Employment status			
Disabled	4 (33.3%)	9 (69.2%)	13 (52%)
Employed part-time	2 (16.7%)	1 (7.7%)	3 (12%)
Unemployed	6 (50.0%)	3 (23.1%)	9 (36%)
Car ownership			
Do not own car & hard to find a ride	5 (41.7%)	4 (30.8%)	9 (36%)
Do not own car & able to find a ride	4 (33.3%)	4 (30.8%)	8 (32%)
Own car	3 (25.0%)	5 (38.5%)	8 (32%)
Nearest bus stop (blocks)			
Range	2-20	0-30	0-30
Average	7.42	3.69	6.23
Number of different bus routes near home			
Range	1-4	2-4	1-4
Average	2.60	2.83	2.71
Bus frequency			
≤ 30 minutes	7 (58.3%)	10 (77%)	17 (68%)
> 30 minutes	4 (33.3%)	3 (23.1%)	7 (28%)
Unknown	1 (8.3%)	0 (0%)	1 (4%)
Store where shopping done the most			
Giant Eagle	6 (50%)	4 (30.8%)	10 (40%)
Other	6 (50%)	9 (69.2%)	15 (60%)
Transportation to the store			
Drive own car	3 (25.0%)	4 (31%)	7 (28%)
Get a ride	4 (33.3%)	2 (15.4%)	6 (24%)
Take the bus	4 (33.3%)	2 (15.4%)	6 (24%)
Walk	0 (0%)	4 (31%)	4 (16%)
Other	1 (8.3%)	1 (7.7%)	2 (8%)
Number of minutes to the store			
Range	10-60	5-30	5-60
Average	29.17	16.42	22.79
Best features of primary store for shopping			
Prices	6 (50%)	2 (15.4%)	8 (32%)
Quality of goods & services	0 (0%)	5 (38.5%)	5 (20%)
Other	6 (50%)	6 (46.2%)	12 (48%)
Least desirable feature of primary store for shopping			
Nothing	2 (16.7%)	4 (30.8%)	6 (24%)
Prices	1 (8.3%)	2 (15.4%)	3 (12%)
Other	9 (75%)	7 (53.8%)	16 (64%)
Diagnosed with chronic condition			
Yes	4 (33.3%)	6 (46.2%)	10 (40%)
No	7 (58.3%)	6 (46.2%)	13 (52%)
Unanswered	1 (8.3%)	1 (7.7%)	2 (8%)
Chronic condition diagnosis			
Diabetes	0 (0%)	3 (50.0%)	3 (30%)
High blood pressure	1 (25%)	2 (33.3%)	3 (30%)
Other	3 (75%)	1 (16.7%)	4 (40%)
Food Secure			
No	7 (58.3%)	9 (69.2%)	16 (64%)
Yes	5 (41.7%)	4 (30.8%)	9 (36%)

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