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R-EVO  
A REACTIVE EVOLUTIONARY ALGORITHM  
FOR THE MAXIMUM CLIQUE PROBLEM

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# R-EVO: a Reactive Evolutionary Algorithm for the Maximum Clique Problem

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**Abstract**—An evolutionary algorithm with guided mutation (EA/G) has been proposed recently for solving the maximum clique problem. In the framework of estimation-of-distribution algorithms (EDA), guided mutation uses a model distribution to generate the offspring by combining the local information of solutions found so far with global statistical information. Each individual is then subjected to a Marchiori’s repair heuristic, based on randomized extraction and greedy expansion, to ensure that it represents a legal clique.

The novel reactive and evolutionary algorithm (R-EVO) proposed in this paper starts from the same evolutionary framework but considers more complex individuals, which modify tentative solutions by local search with memory, in the reactive search framework. In particular, the estimated distribution is used to periodically initialize the state of each individual based on the previous statistical knowledge extracted from the population. We demonstrate that the combination of the estimation-of-distribution concept with reactive search produces significantly better results than EA/G and is remarkably robust w.r.t. the setting of the algorithm parameters.

R-EVO adopts a drastically simplified low-knowledge version of reactive local search (RLS), with a simple internal diversification mechanism based on tabu-search, with a prohibition parameter proportional to the estimated best clique size. R-EVO is competitive with the more complex full-knowledge RLS-EVO which adopts the original RLS algorithm. For most of the benchmark instances, the hybrid scheme version produces significantly better results than EA/G for comparable or smaller CPU times.

**Index Terms**—Maximum Clique, Reactive Search, Estimation of Distribution, guided mutation

## I. INTRODUCTION

PROBLEM-solving systems, both natural and artificial, can be made more efficient by working along different directions. One direction measures the complexity of a single problem-solving entity (an individual in the population in GA terms), another one is the number of individuals and the amount of their mutual interaction. For example, an individual may be very simple, leaving to mutation, cross-over and selection the work of exploring and exploiting the fitness surface, or it may become more complex, embodying for example repair procedures [1], or elements of local search, as in *memetic* algorithms [2], [3]. The interaction in the population can be indirect, based on the current fitness of the individuals which influences the reproduction, or more direct and based on both global and local information, see for example the “particle swarm” technique where each member of the swarm is updated based on the global best position and the individual best [4]. Interaction through explicit statistical models of

the promising solutions is advocated in the “estimation of distribution algorithms” (EDA), see for example [5]–[9]. In a different community, methods to combine solutions have been designed with the term “scatter search” [10], [11], showing the advantages provided by intensification and diversification mechanisms that exploit adaptive memory, drawing on foundations that link scatter search to tabu search [12].

While a complete coverage of the tradeoffs between complexity of the individual population members and complexity of their interaction is beyond the scope of this paper (some useful historical references can be found in the cited papers), this work is motivated by a recent evolutionary algorithm with guided mutation for the maximum clique proposed in [13], where the authors obtain state-of-the-art results in the area of evolutionary algorithms for the problem, by improving upon Marchiori’s results [1], [14] and upon an advanced EDA algorithm like MIMIC [15].

The Maximum Clique problem in graphs (MC for short) is a paradigmatic combinatorial optimization problem with relevant applications [16], including information retrieval, computer vision, and social network analysis. Recent interest includes computational biochemistry, bio-informatics and genomics, see for example [17], [18]. The problem is NP-hard and strong negative results have been shown about its approximability [19], making it an ideal test-bed for search heuristics.

Let  $G = (V, E)$  be an undirected graph,  $V = \{1, 2, \dots, n\}$  its vertex set,  $E \subseteq V \times V$  its edge set, and  $G(S) = (S, E \cap S \times S)$  the subgraph induced by  $S$ , where  $S$  is a subset of  $V$ . A graph  $G = (V, E)$  is *complete* if all its vertices are pairwise adjacent, i.e.,  $\forall i, j \in V, (i, j) \in E$ . A *clique*  $K$  is a subset of  $V$  such that  $G(K)$  is complete. The Maximum Clique problem asks for a clique of maximum cardinality.

The initial motivation of this work was to assess whether the incorporation of Reactive Search ideas developed in [20], [21] into an evolutionary approach could lead to a competitive technique. Furthermore, we wanted to confirm whether the advantage of the technique persisted after radical simplifications of the Reactive Search algorithm. The simplification has been motivated by a note in the cited paper [13], stating that, while more effective, “reactive local search is much more complicated and sophisticated than EA/G”. We propose here a radically simplified reactive scheme, hybridized with an EDA approach, which maintains a significant advantage over EA/G, when both clique sizes and CPU times are considered.

Reactive Search, see [22], [23] for seminal papers, advocates the use of *machine learning* to automate the parameter tuning process and make it an integral and fully documented part of the algorithm. Learning is performed on-line, and therefore

*task-dependent and local properties* of the configuration space can be used. In this way a single algorithmic framework maintains the flexibility to deal with related problems through an internal feedback loop that considers the previous history of the search.

The main novelties introduced by the R-EVO algorithm are:

- While guided mutation is used to generate new individuals in EA/G, individuals which are then subjected to a repair heuristics to create a legal clique, the model obtained by estimation of distribution is used to create new individuals in R-EVO
- Memetic evolution: each individual is subjected to a short run of intelligent local search (prohibition-based reactive search) which considers the given individual as a starting point.
- Extreme simplification through the design of a low-knowledge version of the reactive local search algorithm: instead of the complete memorization of previous solutions, only the best cliques (up to a given tolerance parameter  $\Delta$ ) are used to derive a probabilistic model of the fittest individuals and to self-tune the prohibition period on a specific instance.
- Simplification of the model derivation. The model is not obtained through an exponentially weighted moving average but in a parameter-less manner from the best previous solutions (within tolerance parameter  $\Delta$ ).
- Proposal of number of iterations and prohibition period scaled according to the best clique-size estimated at runtime on a specific instance.

## II. EVOLUTION WITH GUIDED MUTATION

Estimation of Distributions (EDA) algorithms [5]–[9], [24] have been proposed in the framework of evolutionary computation for modeling promising solutions in a probabilistic manner, and for using such models to produce the next generation. A survey in [25] considers population-based probabilistic search algorithms based on “modeling promising solutions by estimating their probability distribution and using the model to guide the exploration of the search space.” The main idea of model-based optimization is to create and maintain a *model* of the problem, whose aim is to provide some clues about the problem’s solutions. If the problem is a function to be minimized, for instance, it is helpful to think of such model as a *simplified version* of the function itself; in more general settings, the model can be a probability distribution defining the estimated likelihood of finding a good quality solution at a certain point.

To solve a problem, we resort to the model in order to generate a candidate solution, then check it. The result of the check shall be used to refine the model, so that the future generation is biased towards better and better candidate solutions. Clearly, for a model to be useful it must provide as much information about the problem as possible, while being somehow “more tractable” (in a computational or analytical sense) than the problem itself.

Although model-based techniques can be used in both discrete and continuous domains, the latter case better supports our intuition. In Fig. 1 a function (continuous line) must

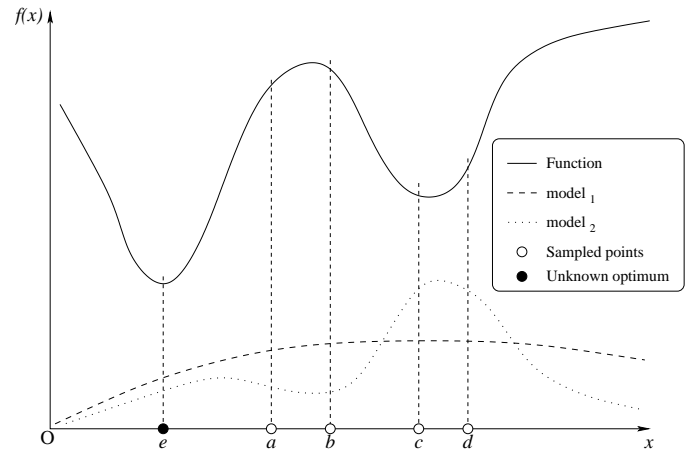


Fig. 1. Model-based search: one generates sample points from model<sub>1</sub> and updates the generative model to increase the probability for point with low cost values (see model<sub>2</sub>). In pathological cases, optimal point  $e$  runs the risk of becoming more and more difficult to generate (figure from [21]).

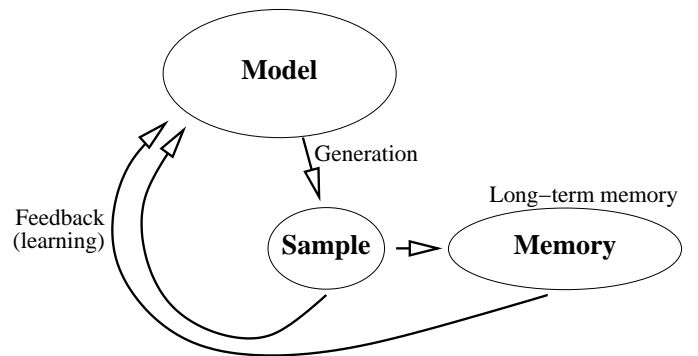


Fig. 2. Model-based architecture: a generative model is updated after learning from the last generated samples and the previous long-term memory (figure from [21]).

be minimized. An initial model (the dashed line) provides a prior probability distribution for the minimum (in case of no prior knowledge, a uniform distribution can be assumed). Based on this estimate, some candidate minima are generated (points  $a$  through  $d$ ), and the corresponding function values are computed. The model is updated (dotted line) to take into account the latest findings: the global minimum is more likely to occur around  $c$  and  $d$ , rather than  $a$  and  $b$ . Further model-guided generations and tests shall improve the distribution: eventually the region around the global minimum  $e$  shall be discovered and a high probability density shall be assigned to its surroundings. The same example also highlights a possible drawback of naïf applications of the technique: assigning a high probability to the neighborhood of  $c$  and  $d$  could lead to a negligible probability of selecting a point near  $e$ , so the global minimum would never be discovered. The emphasis is on *intensification* (or *exploitation*) of the search. This is why, in practice, the models are corrected to ensure a significant probability of generating points also in unexplored regions.

The scheme of a model-based search approach, see also [26], is presented in Fig. 2. Represented entities are:

- a model used to generate sample solutions,
- the last samples generated,

- a memory containing previously accumulated knowledge about the problem (previous solutions and evaluations).

The process develops in an iterative way through a feedback loop where new candidates are generated by the model, and their evaluation—together with memory about past states—is used to improve the model itself in view of a new generation.

The design choices consist of defining a suitable generative model, and an appropriate learning rule to favor generation of superior models in the future steps. The simple model considered in this paper is as follows. The search space  $\mathcal{X} = \{0, 1\}^n$  is the set of all binary strings of length  $n$ , the generation model is defined by an  $n$ -tuple of parameters

$$\mathbf{p} = (p_1, \dots, p_n) \in [0, 1]^n,$$

where  $p_i$  is the probability of producing 1 as the  $i$ -th bit of the string and every bit is independently generated. One way to look at the model is to “remove genetics from the standard genetic algorithm” [6]: instead of maintaining implicitly a statistic in a GA population, *statistics are maintained explicitly* in the vector  $(p_i)$ .

The initial state of the model corresponds to indifference with respect to the bit values:  $p_i = 0.5$ ,  $i = 1, \dots, n$ . In the Population-Based Incremental Learning (PBIL) algorithm [6] the following steps are iterated:

1. Initialize  $\mathbf{p}$ ;
2. **repeat**:
3.     Generate a sample set  $S$  using the vector  $\mathbf{p}$ ;
4.     Extract a fixed number  $\bar{S}$  of the best solutions from  $S$ ;
5.     **for each** sample  $\mathbf{s} = (s_1, \dots, s_n) \in \bar{S}$ :
6.      $\mathbf{p} \leftarrow (1 - \lambda)\mathbf{p} + \lambda\mathbf{s}$ ,

where  $\lambda$  is a learning rate parameter (regulating exploration versus exploitation). The moving vector  $\mathbf{p}$  can be seen as representing an exponentially weighted moving average of the best samples, a prototype vector placed in the middle of the cluster providing the recently-found best quality solutions. As a parallel with machine learning literature, the update rule is similar to that used in Learning Vector Quantization, see [27]. Variations include moving away from bad samples in addition to moving towards good ones. A schematic representation is shown in Fig. 3.

Estimates of probability densities for optimization considering possible dependencies in the form of pairwise conditional probabilities are studied in [15]. Their MIMIC technique (Mutual-Information-Maximizing Input Clustering) aims at estimating a probability density for points with value below a given threshold (remember that the function is to be *minimized*). These more complex models have been considered for the maximum clique problem in [13], which demonstrates inferior performance with respect to the simpler PBIL, in spite of added computational overhead.

The EA/G algorithm proposed in [13] for the maximum clique problem is based on the following principles:

- Use of the PBIL algorithm [5] to create a model of the fittest individuals created in the population.
- Use of a guided mutation operator to produce the offspring. This is motivated by the “proximate optimality principle” [28] which assumes that good solutions tend to have similar structures. Global statistical information

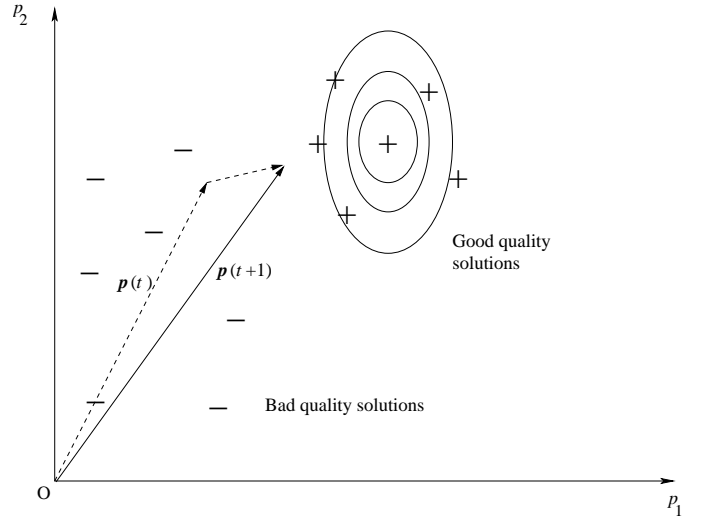


Fig. 3. PBIL: the “prototype” vector  $\mathbf{p}$  gradually shifts towards good quality solutions (qualitative example in two dimensions).

is extracted through EDA from the previous search and represented as a probability model (a vector  $p$ ) characterizing the distribution of promising solutions in the search space. A new individual is moved in a stochastic manner towards the center of the model. In detail, for each bit of the binary string describing the individual, one flips a coin with head probability  $\beta$ . If head turns up, the specific bit is set to 1 with probability  $p_i$ , to 0 otherwise. If  $\beta = 1$ , the string is sampled from the probability model  $p$ .

- Use of a lower bound on the maximum clique (size of best clique found so far) to search for progressively larger cliques.
- Use of Marchiori’s repair heuristic [1] to create a legal clique (some of the internal connections can be missing in the individual created with guided mutation) and to extend it in a greedy manner until a maximal clique is reached.

Fig. 4 outlines the EA/G code. The algorithm accepts as input the population size  $N$  and some parameters described below. The guided mutation operator described above is implemented in function `Mutation`, while Marchiori’s repair heuristic is performed by function `Repair`. The algorithm works by maintaining a population of size  $N$ . At each step, the  $M$  (equal to  $N/2$  in the cited paper) fittest individuals are kept, while the others are replaced by repaired mutations of the fittest individual. This is achieved in the pseudo-code by sorting individuals according to their fitness (line 15) and by using the first one,  $x^1$ , for generation of others (lines 18–20). Elements  $x^2, \dots, x^M$  do not generate offspring, but participate to the PBIL model update (line 17). If a new population is to be generated (for instance, when a larger clique is found, or population converges to a single individual), new individuals are selected among strings of length  $S_{\text{best}} + 1 \dots S_{\text{best}} + \Delta$  (where  $S_{\text{best}}$  is the lower bound on the maximum clique size, i.e., the size of the best clique found so far) and the  $p_i$  distribution is reset (lines 9–13). The PBIL model is initialized at line 13 and updated by a moving average at line 17.

```

1. function EA/G ( $N, M, \Delta, \lambda, \beta, \alpha$ )
2.    $t \leftarrow 0$ ;
3.   pick  $x \in \Omega$ ;
4.    $Q_{\text{best}} \leftarrow \text{Repair}(x, \alpha)$ ;
5.    $S_{\text{best}} \leftarrow |U|$ ;
6.   restart  $\leftarrow \text{true}$ ;
7.   repeat
8.     if restart or all  $x^i$ 's are equal
9.       for  $i \leftarrow 1, \dots, N$ 
10.        [ pick  $x \in \bigcup_{j=S_{\text{best}}+1}^{S_{\text{best}}+\Delta} \Omega_j$ ;
11.           $x^i \leftarrow \text{Repair}(x, \alpha)$ ;
12.          for  $i \leftarrow 1, \dots, n$ 
13.             $p_i \leftarrow \sum_{j=1}^N x_i^j / N$ ;
14.          restart  $\leftarrow \text{false}$ 
15.        SortBySize ( $x^i, i = 1, \dots, N$ );
16.        for  $i \leftarrow 1, \dots, n$ 
17.           $p_i \leftarrow (1 - \lambda)p_i + \lambda \sum_{j=1}^M x_i^j / M$ ;
18.        for  $i \leftarrow M + 1, \dots, N$ 
19.          [  $x \leftarrow \text{Mutate}(x^1, (p_i), \beta)$ ;
20.             $x^i \leftarrow \text{Repair}(x, \alpha)$ ;
21.          if (size of fittest individual)  $> S_{\text{best}}$ 
22.            [  $Q_{\text{best}} \leftarrow \text{new maximum clique}$ ;
23.               $S_{\text{best}} \leftarrow |Q_{\text{best}}|$ ;
24.              restart  $\leftarrow \text{true}$ ;
25.            ]
26.           $t \leftarrow t + 1$ 
27.   until stopping condition

```

Fig. 4. The EA/G algorithm for the Maximum Clique problem.

### III. A LOW-KNOWLEDGE REACTIVE LOCAL SEARCH ALGORITHM

A Reactive Local Search (RLS) algorithm for the solution of the Maximum-Clique problem is proposed in [20], [29] and obtains state-of-the-art results in computational tests on the second DIMACS implementation challenge<sup>1</sup>.

RLS is based on local search complemented by a feedback (history-sensitive) scheme to determine the amount of diversification. The reaction acts on the single parameter that decides the temporary *prohibition* of selected moves in the neighborhood. In detail, given the prohibition parameter  $T$ , after being moved (added to the clique or dropped from it) a node remains prohibited for the next  $T$  iterations. We shall refer to non-prohibited nodes as *allowed nodes*.

In local search algorithms for MC, the basic moves consist of the addition to or removal of single nodes from the current clique. A swap of nodes can be trivially decomposed into two separate moves. The local changes generate a search trajectory  $X^{\{t\}}$ , the current clique at different iterations  $t$ . Two sets are involved in the execution of basic moves: the set of the *possible additions* (PA) which contains nodes connected to all the elements of the clique, and the set of the *level neighbors* *oneMissing* containing the nodes connected to all *but* one element of the clique, see Fig. 5.

The RLS algorithm [20] is presented in Fig. 6. It consists of a local search loop; every iteration basically

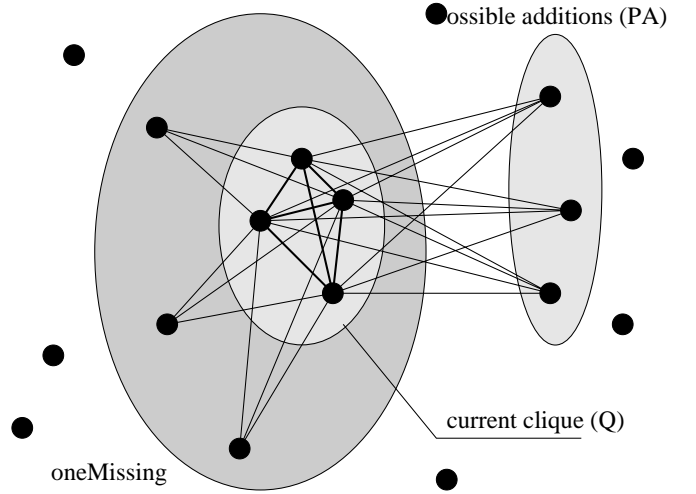


Fig. 5. Neighborhood of current clique.

```

1. function RLS
2.    $t \leftarrow 0$ ;  $T \leftarrow 1$ ;  $t_R \leftarrow 0$ ;
3.    $Q \leftarrow \emptyset$ ;  $Q_{\text{best}} \leftarrow \emptyset$ ;  $S_{\text{best}} \leftarrow 0$ ;  $t_b \leftarrow 0$ ;
4.   repeat
5.      $T \leftarrow \text{MemoryReaction}(Q, T)$ ;
6.      $Q \leftarrow \text{BestNeighbor}(Q)$ ;
7.      $t \leftarrow t + 1$ ;
8.     if  $f(Q) > S_{\text{best}}$ 
9.       [  $Q_{\text{best}} \leftarrow Q$ ;
10.         $S_{\text{best}} \leftarrow |Q|$ ;
11.         $t_b \leftarrow t$ ;
12.        if  $t - \max\{t_b, t_R\} > A$ 
13.          [  $t_R \leftarrow t$ ;
14.            Restart ();
15.          ]
16.   until  $S_{\text{best}}$  is acceptable
17.   or maximum iterations reached;

```

Fig. 6. The RLS algorithm for the Maximum Clique problem.

calls the *BestNeighbor* function that provides the fittest neighboring configuration, given the current one. Function *BestNeighbor*, outlined in Fig. 7, alternates between expansion and plateau phases, and it selects the nodes among the allowed ones which have the highest degree in PA. In particular, the function searches for an allowed node within PA with the highest degree within PA itself (lines 4–6). If no such node is available, then it tries to remove an allowed node from the clique which would maximally increase PA (lines 10–11). If all such nodes are prohibited, then it proceeds removing a random node from the clique (line 13).

The part that differentiates RLS from other local search mechanisms is function *MemoryReaction*, which maintains the history of the search by storing each visited clique  $Q$  (or a suitable fingerprint) into a dictionary structure, e.g., a hash table, together with some details, such as the number of times a given clique was found and the last time it has been generated. Such information is used to adjust the prohibition time  $T$ : if *MemoryReaction* detects that the same clique has been visited too often (a hint that the search is trapped inside a local

<sup>1</sup><http://dimacs.rutgers.edu/Challenges/>

```

1. function BestNeighbor ( $Q$ )
2.    $\text{type} \leftarrow \text{notFound}$ ;
3.   if  $\{\text{allowed } v \in \text{PA}\} \neq \emptyset$ 
4.      $\text{type} \leftarrow \text{addMove}$ ;
5.      $D_{\max} \leftarrow \max \deg_{G(\text{PA})}(\{\text{allowed } v \in \text{PA}\})$ ;
6.     pick  $v \in \{\text{allowed } w \in \text{PA} \mid \deg_{G(\text{PA})}(w) = D_{\max}\}$ ;
7.   if  $\text{type} = \text{notFound}$ 
8.      $\text{type} \leftarrow \text{dropMove}$ ;
9.     if  $\{\text{allowed } v \in Q\} \neq \emptyset$ 
10.       $\Delta_{\max} \leftarrow \max\{\Delta_{\text{PA}}[j] \mid j \in Q \wedge j \text{ allowed}\}$ ;
11.      pick  $v \in \{\text{allowed } w \in Q \mid \Delta_{\text{PA}}[w] = \Delta_{\max}\}$ ;
12.    else
13.      pick  $v \in Q$ ;
14.    IncrementalUpdate ( $v, \text{type}$ );
15.    if  $\text{type} = \text{addMove}$ 
16.      return  $Q \cup \{v\}$ 
17.    else
18.      return  $Q \setminus \{v\}$ 

```

Fig. 7. Search for the best neighboring configuration in RLS.

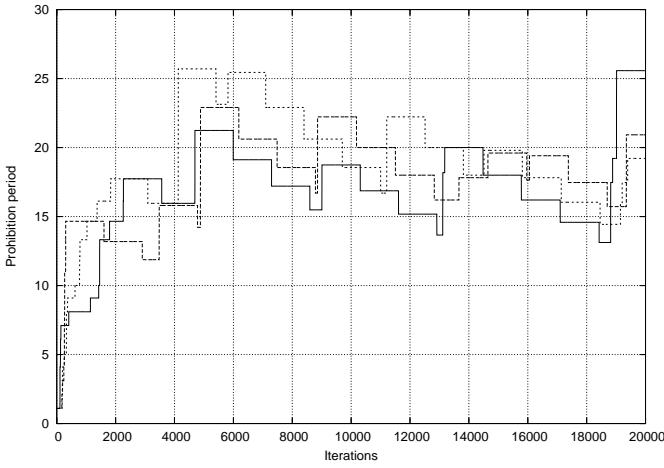


Fig. 8. Dynamic evolution of the prohibition  $T(t)$  as a function of the iteration for three different runs on C1000.9 (with different random seeds).

minimum), it can try to improve differentiation by increasing the prohibition period  $T$ , thus forcing a larger Hamming distance in subsequent steps. Restarts are executed only when the algorithm cannot improve the current configuration within a number of iterations  $A$  from either the last restart or the last improvement in clique size. Additional and more recent implementation details are described in [30].

Let us now come to the extreme simplification of the RLS algorithm. By analyzing the evolution of the prohibition period  $T$  during runs on different instances we noted a tendency of the  $T$  values during the run to grow as a function of the size of the maximum cliques contained in the graph.

The evolution of  $T$  for the graph C1000.9 on three different RLS runs is shown in Fig. 8. One observes a transient period when  $T$  grows from the initial value 1 to a value which then tends to remain approximately stable during the run (apart from random fluctuations caused by the reactive mechanism).

In Fig. 9 we show the evolution of  $T$  for a couple of

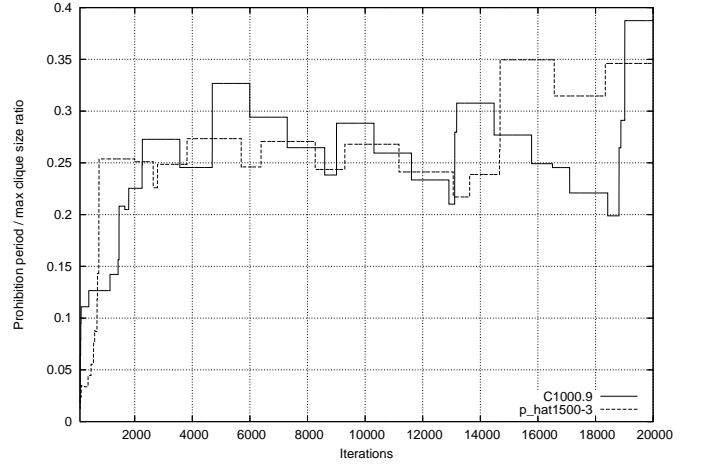


Fig. 9. Dynamic evolution of the prohibition  $T(t)$  as a function of the iteration for runs on  $Q_{\text{best}}$ .  $y$ -values are ratios between prohibition and best clique size  $T(t)/Q_{\text{best}}(t)$ .

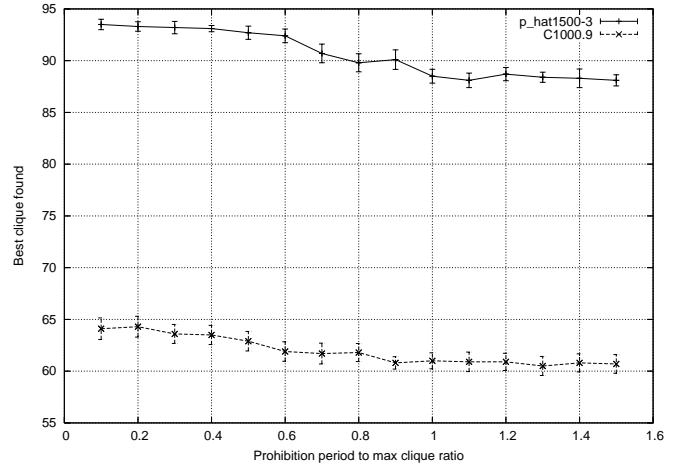


Fig. 10. Final  $Q_{\text{best}}$  results obtained after a run of s-RLS (simplified RLS) of 2000 iterations, as a function of the  $\tau$  parameter. Error bars are at  $\pm\sigma$ .

representative significant graphs. To make the approximate proportionality to the clique dimension clear we plot directly the ratio between the current  $T$  value and the size of the best clique found at iteration  $t$ , called  $Q_{\text{best}}(t)$ .

After confirming the hypothesis of a parameter  $T$  being adapted to approximately a fraction of  $Q_{\text{best}}(t)$  we experimented with a simplified reactive scheme which sets the prohibition value  $T(t)$  equal to  $\tau \cdot Q_{\text{best}}(t)$ . This version is called a *low-knowledge* reactive scheme because the only information used from the previous history of the search is given by the size of the best clique.

The average results obtained for  $Q_{\text{best}}$  in ten different runs are plotted in Fig. 10. It can be observed that a small value for the proportionality constant  $\tau$  is associated to the best results obtained. Furthermore, one observes a robust behavior of the results as a function of  $\tau$ , provided that its value ranges approximately between 0.15 and 0.25. After these experimental results, confirmed also on the other graphs, we decided to fix  $\tau = 0.2$  for all cases.

The difference is coded in the `MemoryReaction` mech-

```

1. function R-EVO ( $N$ )
2.   for  $i \leftarrow 1, \dots, N$  Generate population
3.      $R_i \leftarrow$  new RLS searcher;
4.   for  $i \leftarrow 1, \dots, n$  Initialize model uniformly
5.      $p_i \leftarrow 0.5$ ;
6.   repeat
7.     for  $i \leftarrow 1, \dots, N$  Iterate through solvers
8.       [ execute one step of  $R_i$ ;
9.          $Q_i \leftarrow$  best clique of  $R_i$ ;
10.         $S_{\max} \leftarrow \max\{|Q_i| : i = 1, \dots, N\}$ 
11.         $B \leftarrow \{Q_i : i = 1, \dots, N \wedge |Q_i| \geq S_{\max} - \Delta$ ;
12.        for  $i \leftarrow 1, \dots, n$  Update PBIL model
13.           $p_i \leftarrow (1 - \lambda)p_i + \lambda \sum_{Q \in B} \chi_Q(i)/|B|$ ;
14.        until  $S_{\max}$  is acceptable
15.        or maximum iterations reached;

```

Fig. 11. The RLS-EVO algorithm for the Maximum Clique problem. The R-EVO simplified version only differs in the implementation of the RLS step, so the pseudo-code fits R-EVO as well.

anism: the simplified version does not need to maintain a history structure, and the only action is to update the value of  $T$  according to the size of the largest clique found up to that moment.

#### IV. THE HYBRID EVOLUTIONARY AND REACTIVE ALGORITHMS (R-EVO)

To distinguish the new and simplified version of RLS, the hybrid algorithm is called R-EVO, while the hybrid algorithm adopting the original RLS method is called RLS-EVO. Both algorithms have the same overall structure, shown in Fig. 11. The pool of searching individuals ( $R_i$ ) is created with an empty clique, and the initial estimate ( $p_i$ ) of the node distribution in the clique is set to a uniform value (each node having a 50% probability of appearing in a maximum clique).

Every iteration of the algorithm consists of a single iteration of each individual searcher (lines 7–9). After all searchers have been executed, the model is updated. The average is computed by counting, for each node  $i$ , how many searchers include node  $i$  in their maximum clique. In order to reduce noise, only searchers providing cliques whose size is comparable (within a tolerance  $\Delta \in \mathbb{N}$ ) with the largest one are taken into account.

The model ( $p_i$ ) is used within function `Restart` (see the RLS pseudo-code in Fig. 6) in order to build an initial clique with the most probable nodes. In detail, the initial clique is built in a greedy fashion, where candidate nodes at every step are selected with probability proportional to model values ( $p_i$ ). The model is the only data which is globally shared by all searchers.

#### V. COMPUTATIONAL EXPERIMENTS

All experiments have been performed with the following parameters, derived from [13]: population of  $N = 10$  individuals, 10 runs per problem instance, model depth  $\Delta = 3$ ; the restart parameter  $A$  in Fig. 6 is  $100 \cdot Q_{\text{best}}$ . The EA/G data are obtained from [13], where 20000 calls of the repair operator per run were considered. The construction of a new individual

in EA/G requires a sequence of node additions and removals, while an R-EVO iteration only performs one node addition or removal, so a direct comparison is not possible. To solve this problem, two series of experiments have been performed: one with a fixed but larger number of iterations, another with a number of iterations proportional to the maximum clique found. Both choices are motivated in the following sections.

Our experiments were executed on a Dual Xeon 3.4GHz machine with 6GB RAM and Linux 2.6 operating system. However, all tested algorithms were implemented as monolithic processes, so only a single processor core was in use at any time, and no CPU core parallelism has been exploited. To take hardware differences into account, some runs of EA/G have been reproduced and their average execution times were used to obtain the 0.85 speedup factor used to re-estimate execution times in the EA/G time columns.

##### A. Fixed number of iterations

The first series of tests was performed on R-EVO with 200,000 solver steps (20,000 steps per solver). The number of iterations has been chosen in order to approach the amount of work that the EA/G heuristic performs at every iteration. With this choice the execution times of R-EVO are significantly lower than those allowed for EA/G, therefore the comparison is unbalanced in favor of EA/G.

Results are reported in Table I. The first set of columns reports the average maximum clique found (with the corresponding standard error), the overall maximum and the average execution time for 10 runs of the EA/G algorithm; the second set of columns contains the corresponding results for the R-EVO algorithm. Finally, the results of a Student's t-test for equality of means with unequal sample variance [31] has been applied in order to test the equality of the two clique size averages (last column contains the significance of the null hypothesis, i.e., that the two distributions have the same average). Note that some tests could not be performed due to null variance in both algorithms.

Results show that the R-EVO algorithm has a significant superiority to EA/G in the case of dense random graphs (the `C*.9` and `DSJC*` lines), for example the average size is 7% better in `C2000.9`; for the small instances both algorithms always locate the maximum clique. The only `gen*`-type instance (random graphs embedding a known clique) which was not always solved by both algorithms is the 400-node graph with 55-node clique, where R-EVO outperforms EA/G. Also the `p_hat1500*` instances (random graphs with higher spread in node degree) and the `hamming*` instances (graphs of bit strings with connections between words if they are far apart) show a slight superiority of R-EVO in the cases that are not optimally solved by both algorithms.

The performance tends to be more difficult to assess in the Brockington-Culberson graphs (the `brock*` lines), where the best clique is hidden in a very effective manner so that intelligent techniques tend to be not competitive with respect to brute force local search (in fact, the camouflaging process is designed to *fool* intelligent techniques).

The R-EVO algorithm does not perform at the EA/G level on Steiner Triple Problem graphs (the `MANN*` lines), however



TABLE I  
COMPARISON BETWEEN EA/G AND R-EVO FOR A FIXED NUMBER OF ITERATIONS.

	EA/G			R-EVO (200000)			t-test	
	Avg. (std)	Best	Time (s)	Avg. (std)	Best	Time (s)	$t$	sig.
C125.9	34.0 (0.0)	34	1.3	34.0 (0.0)	34	0.464	-	-
C250.9	44.0 (0.0)	44	2.5	44.0 (0.0)	44	0.491	-	-
C500.9	55.2 (0.9)	56	4.8	57.0 (0.0)	57	0.719	6.325	0.000
C1000.9	64.4 (1.4)	67	18.0	67.3 (0.5)	68	1.189	6.169	0.000
C2000.9	70.9 (1.0)	72	38.4	75.8 (0.6)	77	2.900	13.287	0.000
DSJC500_5	13.0 (0.0)	13	4.0	13.0 (0.0)	13	1.206	-	-
DSJC1000_5	14.5 (0.3)	15	10.3	15.0 (0.0)	15	3.107	5.270	0.000
C2000.5	14.9 (0.7)	16	24.3	16.0 (0.0)	16	4.372	4.969	0.001
C4000.5	16.1 (0.3)	17	51.9	17.0 (0.0)	17	9.342	9.487	0.000
MANN_a27	126.0 (0.0)	126	10.3	125.6 (0.5)	126	0.651	2.530	0.026
MANN_a45	343.7 (0.7)	345	68.2	342.2 (0.4)	343	2.852	5.883	0.000
MANN_a81	1097.2 (0.6)	1098	705.1	1096.9 (0.6)	1098	5.754	1.118	0.208
brock200_2	12.0 (0.0)	12	1.5	11.5 (0.5)	12	0.844	3.162	0.009
brock200_4	16.5 (0.5)	17	1.7	16.1 (0.3)	17	0.500	2.169	0.044
brock400_2	24.7 (0.4)	25	3.1	25.0 (0.0)	25	0.722	2.372	0.034
brock400_4	25.1 (2.6)	33	3.3	25.8 (2.5)	33	1.158	0.614	0.323
brock800_2	20.1 (0.4)	21	7.6	21.0 (0.0)	21	1.430	7.115	0.000
brock800_4	19.9 (0.5)	21	7.6	21.0 (0.0)	21	2.253	6.957	0.000
gen200_p0.9_44	44.0 (0.0)	44	1.8	44.0 (0.0)	44	0.402	-	-
gen200_p0.9_55	55.0 (0.0)	55	3.3	55.0 (0.0)	55	0.586	-	-
gen400_p0.9_55	51.8 (0.7)	55	3.6	54.0 (1.1)	55	0.621	5.336	0.000
gen400_p0.9_65	65.0 (0.0)	65	3.6	65.0 (0.0)	65	0.940	-	-
gen400_p0.9_75	75.0 (0.0)	75	3.7	75.0 (0.0)	75	0.948	-	-
hamming8-4	16.0 (0.0)	16	1.7	16.0 (0.0)	16	0.597	-	-
hamming10-4	39.8 (0.6)	40	14.2	40.0 (0.0)	40	1.427	1.054	0.217
keller4	11.0 (0.0)	11	1.3	11.0 (0.0)	11	0.730	-	-
keller5	26.9 (0.3)	27	9.1	26.9 (0.3)	27	1.275	0.000	0.393
keller6	53.4 (1.2)	56	53.6	53.3 (0.7)	54	6.210	0.228	0.381
p_hat300-1	8.0 (0.0)	8	2.0	8.0 (0.0)	8	1.191	-	-
p_hat300-2	25.0 (0.0)	25	2.0	25.0 (0.0)	25	0.705	-	-
p_hat300-3	36.0 (0.0)	36	2.3	36.0 (0.0)	36	0.972	-	-
p_hat700-1	11.0 (0.0)	11	5.6	11.0 (0.0)	11	1.772	-	-
p_hat700-2	44.0 (0.0)	44	7.6	44.0 (0.0)	44	1.407	-	-
p_hat700-3	62.0 (0.0)	62	11.1	62.0 (0.0)	62	1.233	-	-
p_hat1500-1	11.1 (0.3)	12	16.8	11.7 (0.5)	12	3.933	3.254	0.006
p_hat1500-2	65.0 (0.0)	65	24.6	65.0 (0.0)	65	4.182	-	-
p_hat1500-3	93.7 (0.5)	94	29.2	94.0 (0.0)	94	2.408	1.897	0.072

the t-test figures are uncertain in the larger case, where both techniques could find a (non-optimal, however) 1098-node clique. Finally, while average cliques are comparable in the keller6 instance, the 10 EA/G runs find a larger clique.

### B. Iterations proportional to (estimated) maximum clique size

The CPU time differences in the previous series of experiments tended to increase on larger graphs, in particular on graphs with larger cliques. In order to attain a more fair comparison, we chose a different termination criterion for R-EVO by limiting the overall number of iterations to  $20,000 \times \max\{Q_i\}$ , where  $Q_i$  is the maximum clique found by searcher  $R_i$  (see Fig. 11).

Results are reported on Table II. We can see that all cases where the null hypothesis is rejected with high confidence (significance column  $< 0.05$ ) R-EVO outperforms EA/G, with the exception of some Steiner Triple Problem (MANN\*) and Brockington-Culberson (brock\*) instances. Note that time differences have greatly reduced; in particular, now large graphs are searched for a time that is in the same order of magnitude as EA/G.

### C. Internal comparison between R-EVO and RLS-EVO

Another set of experiments has been devoted to a comparison between the full-fledged RLS-EVO heuristic and its much simpler low-knowledge R-EVO counterpart used in the previous experiments.

Results are shown in Table III. CPU times are not reported because they are very similar in Table II for R-EVO. The simpler version shows some marginal performance degradation, but in many cases experimental variance is very large. For instance, the more complex RLS-EVO found the 33-node clique of brock400\_4 once, and the higher average is due to this single event.

The last group of columns in Table III refers to a final experiment with a mixed population: 5 searchers implement the RLS-EVO algorithms, 5 implement R-EVO. The results show that in some cases this technique helps achieving the “best of both worlds,” however in other cases a slight degradation can be observed. As examples, the brock400\_4 and keller6 cases show that the mixed technique can obtain a performance equal to the best of its components.

TABLE II  
COMPARISON BETWEEN EA/G AND R-EVO FOR A NUMBER OF ITERATIONS PROPORTIONAL TO THE MAXIMUM DETECTED CLIQUE.

	EA/G			R-EVO (20000×cliquesize)			t-test	
	Avg. (std)	Best	Time (s)	Avg. (std)	Best	Time (s)	<i>t</i>	sig.
C125.9	34.0 (0.0)	34	1.3	34.0 (0.0)	34	1.215	-	-
C250.9	44.0 (0.0)	44	2.5	44.0 (0.0)	44	3.237	-	-
C500.9	55.2 (0.9)	56	4.8	57.0 (0.0)	57	4.227	6.325	0.000
C1000.9	64.4 (1.4)	67	18.0	68.0 (0.0)	68	10.165	8.132	0.000
C2000.9	70.9 (1.0)	72	38.4	76.5 (0.5)	77	29.196	15.839	0.000
DSJC500_5	13.0 (0.0)	13	4.0	13.0 (0.0)	13	1.573	-	-
DSJC1000_5	14.5 (0.3)	15	10.3	15.0 (0.0)	15	3.732	5.270	0.000
C2000.5	14.9 (0.7)	16	24.3	16.0 (0.0)	16	6.833	4.969	0.001
C4000.5	16.1 (0.3)	17	51.9	17.1 (0.3)	18	15.729	7.454	0.000
MANN_a27	126.0 (0.0)	126	10.3	125.8 (0.4)	126	7.991	1.581	0.114
MANN_a45	343.7 (0.7)	345	68.2	342.5 (0.5)	343	46.859	4.411	0.000
MANN_a81	1097.2 (0.6)	1098	705.1	1096.7 (0.5)	1097	438.570	2.024	0.056
brock200_2	12.0 (0.0)	12	1.5	11.4 (0.5)	12	0.654	3.795	0.003
brock200_4	16.5 (0.5)	17	1.7	16.1 (0.3)	17	1.249	2.169	0.044
brock400_2	24.7 (0.4)	25	3.1	25.0 (0.0)	25	1.794	2.372	0.034
brock400_4	25.1 (2.6)	33	3.3	25.0 (0.0)	25	2.965	0.122	0.385
brock800_2	20.1 (0.4)	21	7.6	21.0 (0.0)	21	3.725	7.115	0.000
brock800_4	19.9 (0.5)	21	7.6	21.0 (0.0)	21	2.977	6.957	0.000
gen200_p0.9_44	44.0 (0.0)	44	1.8	44.0 (0.0)	44	1.789	-	-
gen200_p0.9_55	55.0 (0.0)	55	3.3	55.0 (0.0)	55	2.026	-	-
gen400_p0.9_55	51.8 (0.7)	55	3.6	55.0 (0.0)	55	3.378	14.456	0.000
gen400_p0.9_65	65.0 (0.0)	65	3.6	65.0 (0.0)	65	3.744	-	-
gen400_p0.9_75	75.0 (0.0)	75	3.7	75.0 (0.0)	75	5.114	-	-
hamming8-4	16.0 (0.0)	16	1.7	16.0 (0.0)	16	1.814	-	-
hamming10-4	39.8 (0.6)	40	14.2	40.0 (0.0)	40	8.110	1.054	0.217
keller4	11.0 (0.0)	11	1.3	11.0 (0.0)	11	0.837	-	-
keller5	26.9 (0.3)	27	9.1	26.8 (0.4)	27	3.680	0.632	0.319
keller6	53.4 (1.2)	56	53.6	53.7 (0.7)	55	34.573	0.683	0.307
p_hat300-1	8.0 (0.0)	8	2.0	8.0 (0.0)	8	1.149	-	-
p_hat300-2	25.0 (0.0)	25	2.0	25.0 (0.0)	25	2.774	-	-
p_hat300-3	36.0 (0.0)	36	2.3	36.0 (0.0)	36	2.194	-	-
p_hat700-1	11.0 (0.0)	11	5.6	11.0 (0.0)	11	1.950	-	-
p_hat700-2	44.0 (0.0)	44	7.6	44.0 (0.0)	44	6.166	-	-
p_hat700-3	62.0 (0.0)	62	11.1	62.0 (0.0)	62	12.579	-	-
p_hat1500-1	11.1 (0.3)	12	16.8	11.8 (0.4)	12	5.181	4.427	0.000
p_hat1500-2	65.0 (0.0)	65	24.6	65.0 (0.0)	65	18.577	-	-
p_hat1500-3	93.7 (0.5)	94	29.2	94.0 (0.0)	94	24.735	1.897	0.072

## VI. CONCLUSIONS

The paper presented a hybrid algorithm which uses an evolutionary scheme in the framework of “estimation of distribution algorithms” to generate new individuals, which are then subjected to memetic evolution through a simplified reactive search method. In this manner, each individual in the population executes a short local search with prohibition (a.k.a. tabu search). The prohibition period is determined in a simple reactive manner on a specific instance based on the estimated size of the maximum clique.

The results show that the proposed technique is competitive with respect to state-of-the-art evolutionary algorithms based on similar assumptions (the EA/G algorithm).

It is remarkable how a drastic simplification of the original RLS algorithm, complemented by the interaction of more population members through a model derived by EDA is capable of achieving results which are comparable to those obtained by a very complex individual searcher. Coupling a limited form of “intelligence” (actually a low-knowledge reactive local search technique) with an evolutionary scheme achieves state-of-the-art results on the maximum clique problem.

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TABLE III

INTERNAL COMPARISON BETWEEN A POPULATION OF 10 R-EVO SEARCHERS, A POPULATION OF 10 RLS-EVO SEARCHERS AND A MIXED POPULATION WITH 5 INDIVIDUALS OF EACH TYPE.

	R-EVO		RLS-EVO		Mix	
	Avg. (std)	Best	Avg. (std)	Best	Avg. (std)	Best
C125.9	34.0 (0.0)	34	34.0 (0.0)	34	34.0 (0.0)	34
C250.9	44.0 (0.0)	44	44.0 (0.0)	44	44.0 (0.0)	44
C500.9	57.0 (0.0)	57	57.0 (0.0)	57	57.0 (0.0)	57
C1000.9	68.0 (0.0)	68	67.9 (0.3)	68	67.9 (0.3)	68
C2000.9	76.5 (0.5)	77	76.2 (0.6)	77	76.2 (0.6)	77
DSJC500_5	13.0 (0.0)	13	13.0 (0.0)	13	13.0 (0.0)	13
DSJC1000_5	15.0 (0.0)	15	14.9 (0.3)	15	14.9 (0.3)	15
C2000.5	16.0 (0.0)	16	16.0 (0.0)	16	16.0 (0.0)	16
C4000.5	17.1 (0.3)	18	17.0 (0.0)	17	17.0 (0.0)	17
MANN_a27	125.8 (0.4)	126	125.7 (0.5)	126	125.7 (0.5)	126
MANN_a45	342.5 (0.5)	343	342.6 (0.5)	343	342.6 (0.5)	343
MANN_a81	1096.7 (0.5)	1097	1097.0 (0.5)	1098	1097.0 (0.5)	1098
brock200_2	11.4 (0.5)	12	12.0 (0.0)	12	12.0 (0.0)	12
brock200_4	16.1 (0.3)	17	16.6 (0.5)	17	16.6 (0.5)	17
brock400_2	25.0 (0.0)	25	25.0 (0.0)	25	25.0 (0.0)	25
brock400_4	25.0 (0.0)	25	25.8 (2.5)	33	25.8 (2.5)	33
brock800_2	21.0 (0.0)	21	21.0 (0.0)	21	21.0 (0.0)	21
brock800_4	21.0 (0.0)	21	21.0 (0.0)	21	21.0 (0.0)	21
gen200_p0.9_44	44.0 (0.0)	44	44.0 (0.0)	44	44.0 (0.0)	44
gen200_p0.9_55	55.0 (0.0)	55	55.0 (0.0)	55	55.0 (0.0)	55
gen400_p0.9_55	55.0 (0.0)	55	55.0 (0.0)	55	55.0 (0.0)	55
gen400_p0.9_65	65.0 (0.0)	65	65.0 (0.0)	65	65.0 (0.0)	65
gen400_p0.9_75	75.0 (0.0)	75	75.0 (0.0)	75	75.0 (0.0)	75
hamming8-4	16.0 (0.0)	16	16.0 (0.0)	16	16.0 (0.0)	16
hamming10-4	40.0 (0.0)	40	40.0 (0.0)	40	40.0 (0.0)	40
keller4	11.0 (0.0)	11	11.0 (0.0)	11	11.0 (0.0)	11
keller5	26.8 (0.4)	27	27.0 (0.0)	27	27.0 (0.0)	27
keller6	53.7 (0.7)	55	59.0 (0.0)	59	59.0 (0.0)	59
p_hat300-1	8.0 (0.0)	8	8.0 (0.0)	8	8.0 (0.0)	8
p_hat300-2	25.0 (0.0)	25	25.0 (0.0)	25	25.0 (0.0)	25
p_hat300-3	36.0 (0.0)	36	36.0 (0.0)	36	36.0 (0.0)	36
p_hat700-1	11.0 (0.0)	11	11.0 (0.0)	11	11.0 (0.0)	11
p_hat700-2	44.0 (0.0)	44	44.0 (0.0)	44	44.0 (0.0)	44
p_hat700-3	62.0 (0.0)	62	62.0 (0.0)	62	62.0 (0.0)	62
p_hat1500-1	11.8 (0.4)	12	11.6 (0.5)	12	11.6 (0.5)	12
p_hat1500-2	65.0 (0.0)	65	65.0 (0.0)	65	65.0 (0.0)	65
p_hat1500-3	94.0 (0.0)	94	94.0 (0.0)	94	94.0 (0.0)	94

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