

THE EXACT NUMBER OF CONJUGACY CLASSES FOR  
2-GENERATOR  $p$ -GROUPS OF NILPOTENCY CLASS 2

AZHANA AHMAD

A thesis submitted in fulfilment of the  
requirements for the award of the degree of  
Doctor of Philosophy (Mathematics)

Faculty of Science  
Universiti Teknologi Malaysia

SEPTEMBER 2008

To  
my beloved husband  
son and daughter  
abah and mama

## ACKNOWLEDGEMENTS

First and foremost, praise be to Allah s.w.t for giving me His blessings throughout my studies. I would like to express my gratitude to those who had extended their help and support throughout my studies; towards the completion of this thesis in particular.

Thank you to my supervisor, Assoc. Prof. Dr. Nor Haniza Sarmin for her guidance and patience during this research. I would also like to thank my external supervisor Prof. Dr. Robert Fitzgerald Morse for his significant contributions and assistance in this thesis. The opportunity to learn from him is priceless and will not be forgotten. I am grateful for his supervision while I was in the University of Evansville, Indiana, USA for a two month-attachment (12 February–20 April 2007) followed by a three-week visit (21 March–12 April 2008) and also his guidance while he visited UTM as a Visiting Professor (11 May–8 June 2007). I wish to thank Assoc. Prof. Dr. Satapah Ahmad for his encouragement and suggestions throughout this research.

I am indebted to Universiti Sains Malaysia for sponsoring my study through the ASTS scholarship. Thank you also to the Ministry of Higher Education (MOHE), Malaysia and the Research Management Center, UTM for the partial financial funding through Fundamental Research Grant Scheme (FRGS) Vote No. 78157 and 78179.

My special thanks to my husband Zaini, my children Haziq and Damia for their great patience and support. Not to be forgotten, my parents and other family members for their continuous moral support. To my friend Primoz, I appreciate your ideas in this thesis. Last but not least, to all my friends who have helped me undergo the hard times together, which have all been worthwhile.

## ABSTRACT

An element  $x$  is conjugate to  $y$  in a group  $G$  if there exists an element  $g$  in  $G$  such that  $g^{-1}xg = x^g = y$ . The relation  $x$  is conjugate to  $y$  is an equivalence relation which induces a partition of  $G$  whose elements are called conjugacy classes. The general formula for the exact number of conjugacy classes for nilpotent groups does not exist. Researchers give only the lower bounds for the number of conjugacy classes of nilpotent groups. In this thesis, 2-generator  $p$ -groups of nilpotency class 2 ( $p$  an odd prime) are considered for their exact number of conjugacy classes. These groups have been classified by Bacon and Kappe in 1993. In 1999, Kappe, Visscher and Sarmin have corrected minor errors on the groups in the classification. Groups, Algorithms, and Programming (GAP) software is used in this research to gain insight into the structure of these groups. There are infinitely many of these groups which are partitioned into three types. For each type, there are infinitely many base groups. New structural results are found such that groups other than base groups are central extensions. As a result of this research, a general formula is derived for the exact number of conjugacy classes for each type of 2-generator  $p$ -groups of nilpotency class 2 ( $p$  an odd prime).

## ABSTRAK

Suatu unsur  $x$  adalah berkonjugat dengan  $y$  dalam kumpulan  $G$  jika wujud suatu unsur  $g$  dalam  $G$  dengan  $g^{-1}xg = x^g = y$ . Hubungan  $x$  berkonjugat dengan  $y$  adalah hubungan kesetaraan yang mengaruh petakan bagi  $G$  yang unsur-unsurnya dinamai kelas-kelas konjugat. Rumus umum bilangan tepat bagi kelas konjugat untuk kumpulan nilpoten tidak wujud. Penyelidik-penyelidik hanya menganggarkan batas bawah bagi bilangan kelas konjugat bagi kumpulan nilpoten. Dalam kajian ini, bilangan tepat bagi kelas konjugat bagi kumpulan- $p$  berpenjana-2 dengan kelas nilpoten 2 ( $p$  nombor perdana ganjil) akan ditentukan. Kumpulan-kumpulan tersebut telah diklasifikasikan oleh Bacon dan Kappe dalam tahun 1993. Dalam tahun 1999, Kappe, Visscher dan Sarmin telah membetulkan beberapa kesilapan kecil untuk kumpulan-kumpulan dalam klasifikasi tersebut. Perisian *Groups, Algorithms, and Programming* (GAP) telah digunakan dalam kajian ini untuk mendalami struktur kumpulan-kumpulan tersebut. Bilangan kumpulan tersebut adalah tak terhingga dan terbahagi kepada tiga jenis. Bagi setiap jenis kumpulan, terdapat tak terhingga banyaknya kumpulan-kumpulan asas. Kumpulan-kumpulan selain kumpulan asas adalah perluasan pusat dan ini merupakan struktur kumpulan yang baru ditemui. Hasil daripada kajian ini, suatu rumus umum telah diperolehi bagi bilangan tepat kelas konjugat bagi setiap jenis kumpulan- $p$  berpenjana-2 dengan nilpoten kelas 2 ( $p$  nombor perdana ganjil).

## TABLE OF CONTENTS

CHAPTER	TITLE	PAGE
	DECLARATION	ii
	DEDICATION	iii
	ACKNOWLEDGEMENTS	iv
	ABSTRACT	v
	ABSTRAK	vi
	TABLE OF CONTENTS	vii
	LIST OF NOTATION	x
1	<b>INTRODUCTION</b>	<b>1</b>
	1.1 Introduction	1
	1.2 Research Background	2
	1.3 Problem Statement	3
	1.4 Research Objectives	3
	1.5 Scope of Thesis	4
	1.6 Significance of Findings	4
	1.7 Thesis Outline	4
2	<b>LITERATURE REVIEW</b>	<b>6</b>
	2.1 Introduction	6
	2.2 Bounds On The Number Of Conjugacy Classes	6

2.3	The Classification of 2-Generator $p$ -Groups of Class 2 ( $p$ an odd prime)	8
2.4	Groups, Algorithms, and Programming (GAP)	9
2.5	Conclusion	10
<b>3</b>	<b>PRELIMINARY RESULTS</b>	<b>11</b>
3.1	Introduction	11
3.2	Definitions and Notations	11
3.3	Some Basic Results	15
3.4	Some Structural Results	20
3.5	Conclusion	25
<b>4</b>	<b>THE NUMBER OF CONJUGACY CLASSES FOR ALL GROUPS IN THE CLASSIFICATION</b>	<b>26</b>
4.1	Introduction	26
4.2	The Number of Conjugacy Classes for Type 1 Groups	26
4.3	The Number of Conjugacy Classes for Type 2 Groups	29
4.4	The Number of Conjugacy Classes for Type 3 Groups	31
4.5	The Number of Conjugacy Classes for Certain Nilpotent Groups of Class 2	33
4.6	Conclusion	34
<b>5</b>	<b>CONSTRUCTING EXAMPLES USING GAP PROGRAMMES</b>	<b>35</b>
5.1	Introduction	35
5.2	Constructing Examples of Type 1 Groups	35
5.3	Constructing Examples of Type 2 Groups	39
5.4	Constructing Examples of Type 3 Groups	42
5.5	Conclusion	45

<b>6</b>	<b>CONCLUSION</b>	<b>46</b>
	6.1 Summary of the Research	46
	6.2 Suggestions for Future Research	47
	<b>REFERENCES</b>	<b>48</b>
Appendix A	<b>Publication/Presentation In Seminars/Conferences</b>	50



## LIST OF NOTATION

$a \in G$	-	$a$ is an element of $G$
$a \notin G$	-	$a$ is not an element of $G$
$a \mid b$	-	$a$ divides $b$
$a \nmid b$	-	$a$ does not divide $b$
$a^{-1}$	-	inverse of an element
$a^b$	-	$b^{-1}ab$ , conjugate of $a$ by $b$
$a \pmod b$	-	$a$ modulo $b$
$A_n$	-	Alternating group on $n$ letters
$\text{cl}_G$	-	number of conjugacy classes of $G$
$C_n$	-	Cyclic group of order $n$
$D_{2n}$	-	Dihedral group of order $2n$
$\text{gcd}(a, b)$	-	greatest common divisor of the integers $a$ and $b$
$G'$	-	derived subgroup or commutator subgroup of $G$
$G/H$	-	factor group of $G$ by $H$
$H \leq G$	-	$H$ is a subgroup of $G$
$H \triangleleft G$	-	$H$ is a normal subgroup of $G$
$H \cong G$	-	$H$ is isomorphic to $G$
$H \equiv G$	-	$H$ is equivalent to $G$
$H \times G$	-	direct product of $H$ and $G$
$H \rtimes G$	-	semidirect product of $H$ by $G$
$\mathbb{N}$	-	natural numbers $0, 1, 2, \dots$
$S_n$	-	Symmetric group on $n$ letters
$Z(G)$	-	centre of $G$
$\mathbb{Z}_n$	-	integers mod $n$
$ a $	-	the order of an element

- $|G|$  - the order of a group  $G$
- $[a, b]$  -  $a^{-1}b^{-1}ab$ , the commutator of  $a$  and  $b$
- $\langle x \rangle$  - subgroup generated by an element  $x$  of  $G$
- $\langle X \mid R \rangle$  - group presented by generators  $X$  and relators  $R$
- $\prod_{i=1}^n G_i$  - product of  $G_{i=1, \dots, n}$
- $\sum_{i=1}^n G_i$  - summation of  $G_{i=1, \dots, n}$
- $\lfloor \ ]$  - floor values of integer
- $\cup$  - union

## CHAPTER 1

### INTRODUCTION

#### 1.1 Introduction

Let  $G$  be a group with the identity element  $e$  and  $x, y \in G$ . The element  $x$  is conjugate to  $y$  in  $G$  if there exists an element  $g \in G$  such that  $g^{-1}xg = x^g = y$ . The relation  $x$  is conjugate to  $y$  is an equivalence relation on  $G$ . This equivalence relation induces a partition of  $G$  whose elements are called conjugacy classes. The number of conjugacy classes of  $G$  is denoted by  $\text{cl}_G$ .

Let  $G$  be a finite group and  $x, y \in G$ . If  $x$  and  $y$  are conjugate, then  $x$  and  $y$  have the same order. Suppose  $x^n = 1$ . Then  $y^n = (x^g)^n = (x^n)^g = 1$ . Thus  $|x| = |y|$ . The conjugacy class containing the identity has only one element namely the identity itself. Every element of the centre is in its own conjugacy class containing only that element.

A finite group  $G$  is nilpotent if and only if  $G$  is the direct product of its Sylow  $p$ -subgroups. If conjugacy classes of each Sylow  $p$ -subgroups can be counted,  $\text{cl}_G$  can be found. By the following lemma, if  $A$  and  $B$  are the Sylow  $p$ -subgroups where  $G = A \times B$  and  $|G| = |A| \times |B|$  then  $\text{cl}_{A \times B} = \text{cl}_A \cdot \text{cl}_B$ . Therefore, it suffices to count the conjugacy classes for  $p$ -groups.

**Lemma 1.1.**

Let  $G = A \times B$ . Suppose the number of conjugacy classes of  $A$  and  $B$  are  $\text{cl}_A$  and  $\text{cl}_B$  respectively. Then  $\text{cl}_G = \text{cl}_A \cdot \text{cl}_B$ .

*Proof.* Let  $\text{cl}_A = n$  and  $\text{cl}_B = m$ . Set  $a_1, \dots, a_n$  to be representatives of the conjugacy classes of  $A$  and  $b_1, \dots, b_m$  to be representatives of the conjugacy classes of  $B$ . We claim that  $(a_i b_j) \in G$  for  $1 \leq i \leq n$  and  $1 \leq j \leq m$  are representatives of all the conjugacy classes of  $G$ . Let  $(g, h)$  be an element of  $G$ . Then  $(a_i b_j)^{(g, h)} = (a_i^g, b_j^h)$ . But  $(a_i^g, b_j^h)$  is in conjugacy classes of  $(a_i b_j)$ . On the other hand if some  $(a, b) \in G$  have  $a$  in some conjugacy classes  $a_i$  of  $A$  and  $b$  is in some conjugacy classes  $b_j$  of  $B$ . Hence  $(a, b)$  is in the conjugacy class  $(a_i b_j)$  of  $G$ . There are  $\text{cl}_A \cdot \text{cl}_B$  elements of the form  $(a_i b_j)$  and the result follows.  $\square$

There are classes of groups in which the number of conjugacy classes are known. The number of conjugacy classes for an abelian group  $A$  is equal to its order,  $\text{cl}_A = |Z(A)| = |A|$ . Formulas for the number of conjugacy classes for symmetric group  $S_n$ , alternating group  $A_n$  and dihedral group  $D_{2n}$  are already found. For symmetric group,  $\text{cl}_{S_n} = p(n)$ , the number of partitions of  $n$  [1]. The number of partitions of  $n$  also plays a role in counting  $\text{cl}_{A_n}$ . The simplest formula given by Girse in [2] where

$$\text{cl}_{A_n} = 2p(n) + 3 \sum_{r=1}^{\lfloor \sqrt{n/2} \rfloor} (-1)^r p(n - 2r^2).$$

For dihedral group  $D_{2n}$ , the formula for  $\text{cl}_{D_{2n}}$  is given as

$$\text{cl}_{D_{2n}} = \begin{cases} \lfloor \frac{n+2}{2} \rfloor + 1 & \text{if } 2 \nmid n. \\ \lfloor \frac{n+2}{2} \rfloor + 2 & \text{if } 2 \mid n. \end{cases}$$

## 1.2 Research Background

For nilpotent groups, many researchers only estimate the number of conjugacy classes by giving the lower bound of these groups. The trial of classifying finite nilpotent groups is a difficult task. There is no clear description of these groups which is the reason why the researchers only give the bounds on the number of conjugacy classes. However, there are some collection of

nilpotent groups that have been classified. In this research, 2-generator  $p$ -groups of nilpotency class 2 ( $p$  an odd prime) are considered. These groups were classified by Bacon and Kappe in 1993 and Kappe, Visscher and Sarmin did minor corrections in 1999. There are infinitely many of these groups which are partitioned into three types and parameterized by finite  $\alpha, \beta, \gamma$  and  $\sigma$ .

The Groups, Algorithms, and Programming (GAP) software is used in this research to gain insight into the 2-generator  $p$ -groups of class 2 ( $p$  an odd prime), to provide examples and to check the theoretical results obtained. GAP is a powerful tool and can be used to construct large  $p$ -groups and compute their conjugacy classes.

### 1.3 Problem Statement

To find general formulas for the exact number of conjugacy classes for 2-generator  $p$ -groups of class 2 ( $p$  an odd prime).

### 1.4 Research Objectives

The objectives of this thesis are

- (i) to provide general formulas for the exact number of conjugacy classes for 2-generator  $p$ -groups of class 2 ( $p$  an odd prime).
- (ii) to obtain new structure results for these groups including:
  - Conjugations of elements in a group.
  - Conjugations of elements between a group and its extension.
  - Characterization of a group by a central extension.

- Determination of base groups.
- Order of centre of a group.

(iii) to encapsulate the results obtained in Groups, Algorithms, and Programming (GAP) for others to use.

## 1.5 Scope of Thesis

In this thesis, the group considered will be 2-generator  $p$ -groups of class 2 ( $p$  an odd prime).

## 1.6 Significance of Findings

The major contribution of this thesis will be the new theoretical results on the exact number of conjugacy classes for 2-generator  $p$ -groups of class 2 ( $p$  an odd prime). This thesis also contributes to a greater challenge of counting conjugacy classes of groups in general. No classes of nilpotent groups have formulas for their exact number of conjugacy classes. Therefore, this thesis provides original results. Some of the results have been presented in national and international conferences and thus contribute to new findings in the field of group theory.

## 1.7 Thesis Outline

There are six chapters in this thesis. Chapter 1 provides the introduction of the thesis. This chapter discusses research background, problem statement, research objectives, scope and significance of findings of the thesis.

In Chapter 2, the bounds on the number of conjugacy classes for nilpotent groups given by several researchers are compared. We show that the bounds exist are very weak. The original classification of 2-generator  $p$ -groups of class 2 ( $p$  an odd prime) is stated. The modified classification of 2-generator  $p$ -groups of class 2 ( $p$  an odd prime) is given in terms of generators and relations. Then, the background and application of GAP in this research are presented.

Chapter 3 presents some definitions on group theory and number theory. In this chapter also, basic results for nilpotent groups are included. The new structural results obtained for 2-generator  $p$ -groups of class 2 ( $p$  an odd prime) are given and proved.

The main results of this thesis are given in Chapter 4. The general formulas for the exact number of conjugacy classes for 2-generator  $p$ -groups of class 2 ( $p$  an odd prime) are given according to their types. From the general formulas, an immediate result of the number of conjugacy classes for certain finite nilpotent groups of class 2 can be obtained.

Chapter 5 provides GAP programmes that have been used to construct examples for 2-generator  $p$ -groups of class 2 ( $p$  an odd prime). Not only that, the properties of these groups can be computed and lead to producing several lemmas. GAP is also used to check our theoretical results by the examples generated. We illustrate some examples and explain the interpretation of the commands used.

Finally, Chapter 6 concludes this thesis by giving summarization of the thesis and suggestions for future research.

## REFERENCES

1. Rotman, J.J. *An Introduction to The Theory of Groups*. 4th. ed. New York: Springer-Verlag. 1995.
2. Girse, R. D. The number of conjugacy classes of the alternating group. *BIT Numerical Mathematics*. 1980. 20(4): 515–517.
3. Erdos, P. and Turan, P. On some problems of a statistical group theory IV. *Acta Math. Acad. Sci. Hung.* 1968. 19: 113–135.
4. Poland, J. Two problems on finite groups with  $k$  conjugate classes. *J. Austral. Math. Soc.* 1968. 8: 49–55.
5. Sherman, G. J. A lower bound for the number of conjugacy classes in a finite nilpotent group. *Pac. J. Math.* 1979. 80: 253–254.
6. Trebenko, D.Y. Nilpotent groups of class two with two generators (Russian). *Current analysis and its applications, Naukova Dumka, Kiev*. 1989. 228: 201–208.
7. Bacon, M.R. and Kappe, L.-C. The nonabelian tensor square of a 2-generator  $p$ -group of class 2. *Arch. Math. (Basel)*. 1993. 61: 508–516.
8. Kappe, L.-C., Visscher, M.P. and Sarmin, N.H. Two-generator two-groups of class two and their nonabelian tensor squares. *Glasg. Math. J.* 1999. 41: 417–430.
9. Magidin, A. Capable 2-generator 2-groups of class two. *Comm. Algebra*. 2006. 34(6): 2183–2193.
10. The GAP Group. GAP-Groups, Algorithms, and Programming. Version 4.4.10: 2007. (<http://www.gap-system.org>).



11. Holt, D.F., Eick, B. and O'Brien, E.A. *Handbook of Computational Group Theory*. Boca Raton, Florida: Chapman & Hall/CRC Press. 2005.
12. Rotman, J.J. *Advanced Modern Algebra*. 2nd. ed. New York: Prentice Hall. 2003.