

SUB-MICRON TECHNOLOGY DEVELOPMENT AND SYSTEM-ON-CHIP
(SoC) DESIGN - DATA COMPRESSION CORE

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Final Report for IRPA Vot 72319

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ABSTRACT

Data compression removes redundancy from the source data and thereby increases storage capacity of a storage medium or efficiency of data transmission in a communication link. Although several data compression techniques have been implemented in hardware, they are not flexible enough to be embedded in more complex applications. Data compression software meanwhile cannot support the demand of high-speed computing applications. Due to these deficiencies, in this project we develop a parameterized lossless universal data compression IP core for high-speed applications. The design of the core is based on the combination of Lempel-Ziv-Storer-Szymanski (LZSS) compression algorithm and Huffman coding. The resulting IP core offers a data-independent throughput that can process a symbol in every clock cycle. The design is described in parameterized VHDL code to enable a user to make a suitable compromise between resource constraints, operation speed and compression saving, so that it can be adapted for any target application. In implementation on Altera FLEX10KE FPGA device, the design offers a performance of 800 Mbps with an operating frequency of 50 MHz. This IP core is suitable for high-speed computing applications or for storage systems.

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Pemampatan data menyingkirkan kelebihan dari sumber data dan dengan itu meningkatkan keupayaan simpanan sesuatu bahantara storan atau kecekapan penghantaran data dalam sesuatu kait perhubungan. Walaupun beberapa teknik pemampatan data telah dilaksanakan dalam perkakasan, mereka tidak mudah diubah suai untuk digunakan di dalam aplikasi yang lebih kompleks. Perisian pemampatan data pula tidak boleh menyokong keperluan aplikasi perkomputeran kelajuan tinggi. Oleh kerana kekurangan tersebut, dalam projek ini kami membina satu teras IP pemampatan data tanpa-kehilangan berparameter yang semesta untuk aplikasi kelajuan tinggi. Rekabentuk teras adalah berdasarkan gabungan algoritma pemampatan Lempel-Ziv-Storer-Szymanski (LZSS) dan pengekodan Huffman. Teras IP yang dihasilkan mempunyai celusan yang tidak bergantung pada data dan boleh memproses satu simbol dalam setiap kitar jam. Rekabentuk teras ditulis dalam kod VHDL berparameter untuk membolehkan pengguna membuat kompromi yang sesuai diantara kekangan komponen, kelajuan operasi dan penjimatan pemampatan, supaya ia dapat disesuaikan untuk sebarang aplikasi sasaran. Berdasarkan pelaksanaan dalam peranti FPGA FLEX10KE Altera, rekabentuk ini mempunyai prestasi 800 Mbps dengan frekuensi pengendalian 50 MHz. Teras IP ini adalah sesuai untuk aplikasi perkomputeran kelajuan tinggi atau untuk sistem storan.

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CHAPTER I

INTRODUCTION

This project is a collaboration project between MIMOS, which acted as the Project Leader, and Universiti Teknologi Malaysia, Universiti Putra Malaysia, Universiti Sains Malaysia and Universiti Malaya. The main objective of the project is to develop a 0.35-micron integrated circuit (IC) fabrication technology. MIMOS can already fabricate ICs using 0.8-micron technology. However, this technology is several generations behind state of the art fabrication process. With this project, the gap can be reduced. The current state of the art fabrication technology is 0.15-micron process. All current processes are called sub-micron processes because the smallest feature that can be fabricated is less than 1 micron.

When the fabrication process is successfully upgraded, local research institutions or companies can contract with MIMOS to fabricate their designs. To help these clients, MIMOS must have many sub-system designs, ready to be embedded by clients into their applications. These sub-systems should be in the form of IP (intellectual property) cores, where the design is described in a hardware design language like VHDL, and must be parameterizable so that customers can easily modify the cores to suit their applications. For organizations that do not want to fabricate their design, they can also use FPGA (Field Programmable Gate Array.) The FPGA devices nowadays can accommodate really large designs, integrating many sub-systems into a single device. These new FPGA devices give rise to so-called SoC (Silicon-on-Chip) design methodology, where sub-system integration and software interfacing have to be given serious consideration. The entire project is distributed as follows:

MIMOS (Project Leader) is responsible for sub-micron process development and device design.

UTM is responsible for IP core design and SoC design methodology.

UPM is responsible for sub-micron process and device simulations.

USM is responsible for process development test and characterization.

UM is also responsible for core design.

UTM chose to develop data compression IP core. The objective is to produce a reusable data compression core such that a user can choose to compromise between resource constraints, speed and compression level to suit a variety of high-speed applications. The design is based on Lempel-Ziv-Storer-Szymanski (LZSS) compression algorithm combined with Huffman coding. The rest of this report describes the development of this data compression core. In this chapter, the challenge of data compression implementation is discussed. The objective and scope of this work is also presented.

1.1 Problem Statement

Data compression is a cost-effective way to increase the effective communication bandwidth or the effective storage capacity without significant increment in cost. It removes redundant information inherent in the source data, thereby enabling a communication link to transmit the same amount of data in fewer bits. For storage systems, fewer bits are actually stored thus increasing the effective storage capacity.

The compression core must be able to handle any kind of source data so that it can be used in real-world applications. The implementation has to be fast enough to satisfy high-speed applications. Finally, the design must not be too complicated such that hardware requirements are too excessive.

Several data compression techniques have been implemented in either software or hardware. Data compression software, which runs on a personal

computer (PC), is generally slow and thus not suitable for high-speed computing applications. Dedicated, stand-alone compression ICs are also available, (e.g. IBM *ALDC1-40S* and STAC Electronics *Hi/fn 9600*.) These ICs are fixed hardware and cannot be customized or embedded into a larger system. Therefore, the core design must be parameterizable and must take into account resource constraints, speed of operation and compression ratio.

1.2 Objectives

The objectives of the research are:

1. To design an embedded data compression core for high-speed applications. The design is parameterizable to facilitate reusability for future applications.
2. To develop a PC-based hardware evaluation system that integrates host PC to the compression core through a PCI-based communication bus. This evaluation system is used to verify the functionality of the compression core.

1.3 Scope of Work

The project can be divided into two phases. The first phase is to design a data compression core, where parameterized VHDL is used as design entry. This core should have a speed of at least 100 Mbits per second and a comparable compression ratio with other reported implementations. The second phase is to develop a PC-based hardware evaluation system. The system consists of a GUI software running on a host PC and an evaluation platform. This platform employs a commercial PCI controller to handle the complex PCI bus communication protocol. An integration module consisting various basic interface blocks is then developed and used to handle data transfer protocol between the PCI controller and the compression hardware. The evaluation system is shown in Figure 1.1.

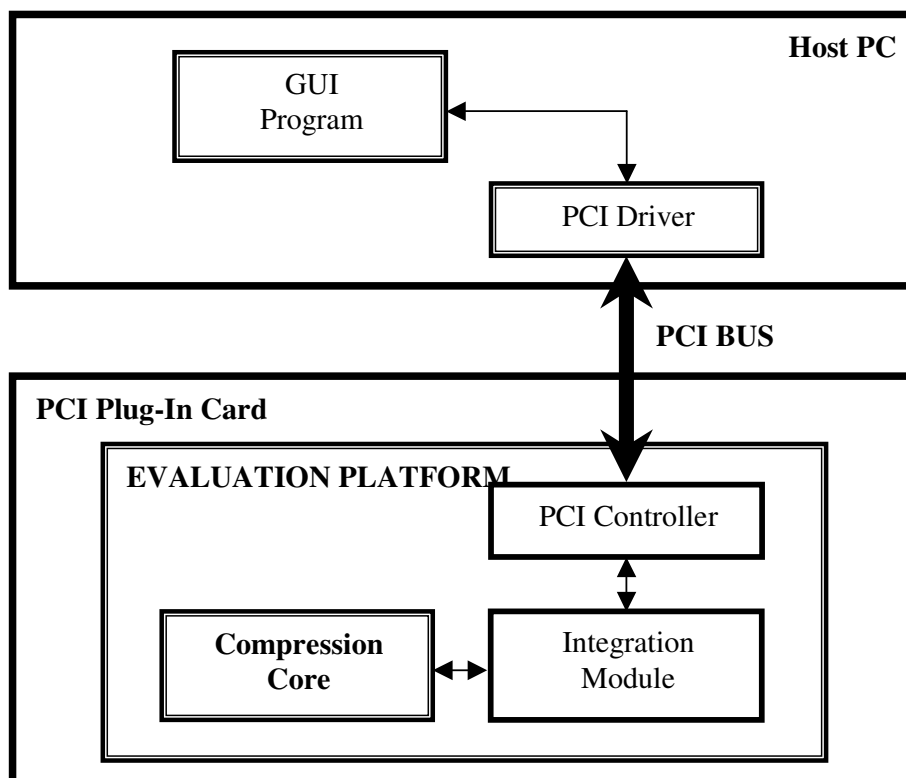


Figure 1.1: Evaluation System

The implementation for this project is limited to Altera devices only. This is because of the usage of Altera LPM modules to instantiate RAM and FIFO. For implementations other than Altera devices, all the LPM modules should be replaced with equivalent modules in the particular chosen device.

1.4 Contributions of the Research

This research work has led to the following outcomes:

1. The development of a parameterizable data compression embedded core with a competitive performance, compared to other high-performance ASIC or FPGA data compression hardware. It can process a symbol with a clock speed of 50 MHz and offer a data-independent throughput of 50 Msymbols per second. Since the design is parameterizable, it can be easily interfaced

with any system and enable a user to choose a suitable compromise between resource constraints, speed and compression ratio.

2. The development of a PC-based hardware evaluation system that allows a host PC to access the hardware resources in the compression coprocessor via the PCI communication bus interface. This evaluation system facilitates verification of the coprocessor design.
3. With a suitable arrangement of compression and decompression units in an embedded core environment, we can obtain a real-time data compression system that can work in either half-duplex or full-duplex processing.

1.5 Organization of Report

This report is organized into six chapters. The first chapter introduces the motivation of this research. Chapter II contains the literature review to support the rationale behind this work and also to search for the solutions to the research problem.

Chapter III describes the methodologies for this project where design verification methods, tools and techniques used in this project are described. Chapter IV presents the hardware design of the data compression core. Chapter V reports the results obtained after testing the designed core. The results are analyzed to validate the compression core design. The performance analysis of the core is also included in this chapter. Finally, the last chapter summarizes the research findings and suggests potential future work.

1.6 Summary

This chapter provides an overview of the project. The challenge of the data compression implementation is discussed, and the objectives for the project are identified.

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LIST OF ABBREVIATIONS

API	-	Application Programming Interface
ASIC	-	Application Specific Integrated Circuit
CMOS	-	Complementary Metal Oxide Semiconductor
EAB	-	Embedded Array Block
FIFO	-	First In First Out
FLEX	-	Flexible Logic Element MatriX
FPGA	-	Field Programmable Gate Array
GUI	-	Graphic User Interface
I/O	-	Input/Output
IC	-	Integrated Circuit
IP	-	Intellectual Property
JTAG	-	Joint Action Test Group
LC	-	Logic Cell
LE	-	Logic Element
LPM	-	Library of Parameterized Modules
LZ	-	Lempel-Ziv
LZ77	-	Lempel-Ziv-77
LZSS	-	Lempel-Ziv-Storer-Szymanski
OS	-	Operating System
PC	-	Personal Computer
PCI	-	Peripheral Component Interconnect
PE	-	Processing Element
RAM	-	Random Access Memory
SoC	-	System on Chip
UTM	-	Universiti Teknologi Malaysia
VHDL	-	Very high speed integrated circuit Hardware Description Language
VLSI	-	Very Large Scale Integration

CHAPTER I

INTRODUCTION

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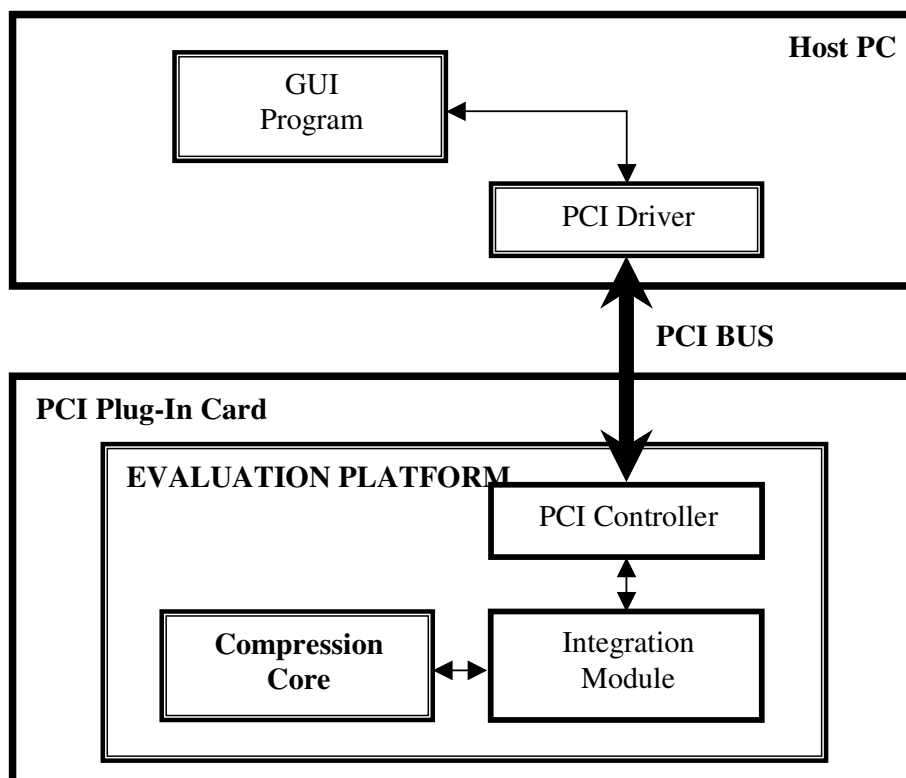


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1.6 Summary

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CHAPTER II

LITERATURE REVIEW

In this chapter we present reviews regarding data compression technique and its algorithms. The hardware implementation of data compression and reconfigurability and reusability issues are also discussed.

2.1 Data Compression Background

Data compression is the science and the art of massaging data from a given source data in such a way as to obtain a simple representation with at most a tolerable loss of fidelity [Nelson 1996]. Such simplification may be necessitated by storage constraints, bandwidth limitations, or communications channel capacity. Depending on the tolerability of loss, data compression technique can be classified as either lossless or lossy. A lossless technique means that the restored data is identical to the original. It is necessary for many types of data, such as executable code, where nobody can afford to misplace even a single bit of information. A lossy technique means that the restored data approximates the original. This technique might be suitable for data that represent images or voice, where the changes made to the original data resemble a small amount of additional noise. Therefore a lossy technique can produce more saving compared to the lossless techniques.

Data compression techniques are widely used to reduce the data to be stored or transmitted. In addition, when the amount of data to be transmitted is reduced, the

communication channel is effectively increased, resulting in a higher data transfer rate [Hifn 1999].

Data compression can also be applied in the field of cryptography [Lim 2001], due to some similarities between data compression and encryption techniques.

2.2 Data Compression Algorithms

Most of the widely used data compression algorithms are based on Lempel-Ziv (LZ) compression [Lempel and Ziv 1977, 1978]. In LZ compression, the previous seen symbols in the source data are used to build a dictionary (or encoding table) adaptively. This dictionary is then used to detect the repeating symbols in the incoming source data. When the repeating symbols are detected, the symbols are replaced with a form whose length is as small as possible. This replacement method is well known as textual substitution. Since the dictionary is adaptively generated from the source data, a prior knowledge of the source properties is no longer required. Therefore, LZ compression and its variants are the universal type of data compression algorithm. Lempel-Ziv-77 (LZ77) algorithm proposed by Abraham Lempel and Jacob Ziv in 1977 is the first LZ compression algorithm [Lempel and Ziv 1977]. LZ77 is a lossless compression algorithm.

LZ77 algorithm achieves a very good compression ratio for many types of data, but it involves a computation-intensive comparison during the matching process. Furthermore, the LZ77 codeword is not optimal and it contains redundancy. To overcome this redundancy problem, a more efficient encoding has been provided in Lempel-Ziv-Storer-Szymanski (LZSS) algorithm [Storer and Szymanski 1982].

Unlike the LZ77, which produces fixed-length codeword, the LZSS produces variable-length codeword. The LZSS codeword is a *position-length* pair when the *length* is not zero. Otherwise, it outputs the explicit symbols (only the extension symbol). This modification results in a better compression ratio than that of the LZ77 algorithm.

In general, the *length* in the LZ77/LZSS codeword is not uniform. A smaller *length* occurs more frequently, and this suggests that Huffman coding [Huffman 1952] can be used to encode further the codeword to yield additional saving in the compression. The general strategy in the Huffman coding is to construct a code table that is optimized for known characteristics of source data. It allows codeword length to vary from symbol to symbol, where fewer bits are assigned to the frequently occurring symbols and more bits to the seldom-used symbols. In many practical applications, Huffman coding is usually applied after an encoding process that produce non-uniformly distributed codeword. With this strategy, a better compression savings can be achieved.

2.3 Previous Work on Implementations of Data Compression

Software implementations can be divided into two types. The first type is software that emphasizes on the compression ratio, sacrificing speed. These include software like *gzip*, *LZH* and *LZEXE*, in which the compression is achieved based on the combination of the LZ77/LZSS and Huffman coding algorithm. The second type is software that emphasizes on the speed rather than on compression ratio. These include Stac's *Stacker* and Microsoft's *DoubleSpace*, software compression programs in which, data read or written to hard disk is compressed based on the LZ77 algorithm or its variants.

To achieve high performance in both the speed and compression ratio, data compression need to be implemented on hardware. Several parallel processing hardware architectures that provide high throughput has been proposed. [Gonzalez-Smith and Storer 1985, Storer 1992] introduced several systolic-array-based architectures, differing in the manner the comparison output is transmitted. [Stauffer and Hirshberg 1993] evaluated the performances of these systolic-array-based architecture, including: Match Tree, Broadcast/Reduce, and Wrap architecture [Gonzalez-Smith and Storer 1985, Zito-Wolf 1990, Storer 1992]. These systolic array hardware architectures would be further elaborated in Section 2.5.

In 1995, the LZ77 algorithm with a dictionary size of 512-2048 bytes has been made into a commercial product by IBM's ALDC [Craft 1995]. In 1997, [Benchop 1997] implemented the combination of LZSS and Huffman coding with a dictionary size of up to 8192 bytes in VLSI circuit using a 0.35 μm process technology. VLSI implementations are application-specific, hence they need to be replaced when the target application change. On the other hand, FPGA-based implementations provide an advantage over these custom VLSI implementations in that they are reconfigurable, and therefore flexible and reusable. These reconfigurability and reusability issues are discussed further in the next section.

FPGA-based hardware implementation of compression grows rapidly in the late 1990s. [Huang 2000] from Stanford University has implemented the LZ77 algorithm on four Xilinx 4036XLA FPGA chips in 2000, with a throughput of 100 Mbits per second. In the same year, System Design Group from Loughborough University [Nunez and Jones 2000] introduced their FPGA-based hardware implementation, where they implement their X-MatchPRO algorithm into 0.25 μm FLASH-CMOS FPGA Actel A500K ProASIC device and offer a throughput of 100 Mbytes per second at 25 MHz clock.

2.4 Reconfigurability and Reusability

Reusability promotes the use of the existing design (product) without significant modifications of the original design. This helps to speed the production line design and lower the cost of implementation. Reconfigurability promotes a possibility to re-architect entire design in the existing component (product).

In reconfigurable hardware, designs are implemented in Field Programmable Gate Array (FPGA) device. An FPGA is a type of user programmable gate array that can provide the functionality of custom VLSI circuit. It is ideal for rapid-prototyping, where the chip-level design can be implemented without having to fabricate the microchip.

2.5 Systolic Architecture for LZ77/LZSS

A systolic array is composed of a collection of linearly connected processing elements (PEs), where each processing element contains local units for processing control and storage. Total processing time for a complete task is partitioned into steps by a global clock so that the entire array operates synchronously.

Several VLSI implementations of systolic array architecture for the LZ77/LZSS proposed by [Gonzalez-Smith and Storer 1985, Storer 1992] are reviewed. For a fixed-sized dictionary of N symbols, the first systolic architecture, called Match Tree architecture, employs $4N - 1$ processors. The first $2N$ processors are configured as a systolic array and the remaining $2N - 1$ processors are arranged as a binary tree (the match tree) attached to the systolic array. It is able to process a new symbol in a given clock cycle (a step) but the drawback is that the data rate (throughput) is dependant on the size of the dictionary.

Broadcast/Reduce is the second architecture, which is also built from a systolic array and binary tree of processors. For a dictionary of size N , it consists of $3N - 2$ processors. N processors are arranged in a systolic array and the remaining processors are configured as two binary trees (called broadcast tree and reduce tree, and each containing $N - 1$ processors) synchronized with the systolic array (as shown in Figure 2.1). It is also able to process a new symbol in every clock cycle, and the data rate is independent to the size of dictionary.

The third architecture is called Wrap architecture. It consists of a bi-directional systolic array, where the trees in the previous two architectures are removed. For a dictionary of size N , the systolic array consists of $N/2$ PEs connected by a two-way communication channel. It allows a new input to be processed on every cycle, but the PEs and data paths of this architecture are more complicated and increase the processing time for each cycle.

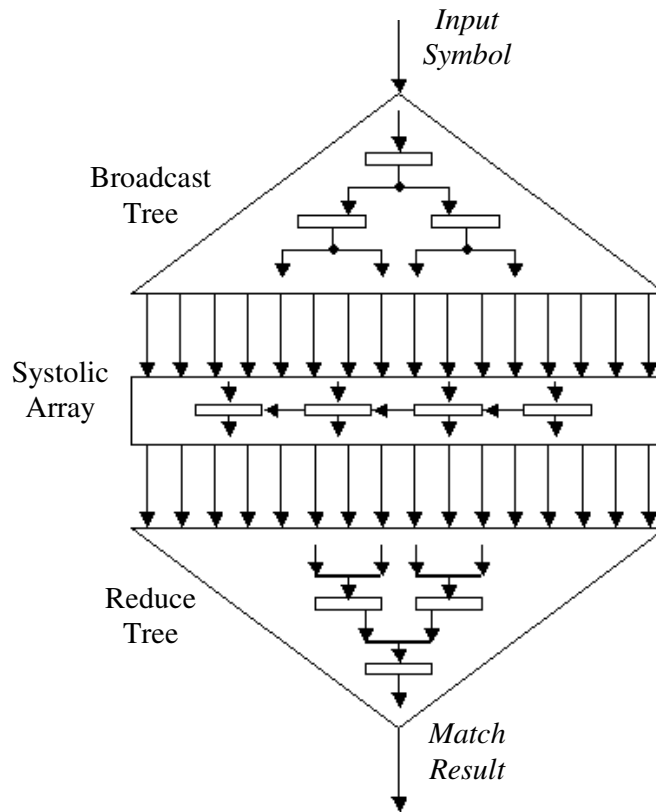


Figure 2.1: Broadcast/Reduce Systolic Architecture

2.6 Discussion and Summary

Data compression techniques are widely used in a variety of applications, especially in data storage and high-speed communications. It can be classified as either lossless or lossy.

For many real-world applications, universal data compression is needed because it provides an optimized compression performance to source data with unknown characteristics. LZ77 and LZSS are two such universal data compression techniques. The LZSS algorithm offers a better compression saving than the LZ77. To achieve high-speed operation, a systolic architecture is proposed in the LZSS hardware design.

As the state-of-art digital design is moving to SoC technology, it is desirable that a design is made reusable and reconfigurable, in order to support rapid integration of new and existing technologies. Hence, this work uses a platform-based approach to develop a library of parameterized components supplemented by the verification support and documentation. For design implementation, an FPGA-based hardware is chosen.

CHAPTER III

METHODOLOGIES AND TOOLS

This chapter describes the design methodology used in this research project. Research procedure, design verification methods, and tools used will be discussed.

3.1 Research Procedure

The digital design is carried out by using top-down approach. This involves a hierarchical and modular design methodology that divides a module into sub-modules and then repeating this operation on the sub-modules until the complexity of the sub-modules is at an appropriately comprehensible level of detail [Weste 1993]. The design is completely described in parameterized VHDL code using UTM VHDLmg [Khalil and Koay 1999]. Leonardo Spectrum is used to synthesize the VHDL code to produce a netlist, and this netlist is compiled using Altera MAX+plus II. For implementation in an Altera FPGA device, the MegaWizard Plug-In Manager from the MAX+plus II is used to infer the predefined component in VHDL code (such as RAM, FIFO buffer and *pci_mt64*). A black box is then specified in the VHDLmg to represent the predefined module.

While the hardware core is being produced, a software implementation of the algorithm is also developed using Microsoft Visual C++. Testbench for the hardware core is generated from the developed software, and it is used to verify the functionality of the hardware core during design verification stage. In application

development stage, a real-time data compression platform is developed using the Microsoft Visual C++. It serves as the test application to test the feasibility of the hardware core in handling real-time applications, and the performance of the resulting design is benchmarked.

3.2 Timing Simulation in Design Verification

A testbench for data compression hardware would consist of inputting source data and output would be compressed data. At the timing simulation, the testbench has to be translated into a form that is equivalent to the I/O format in the MAX+plus II simulator, where both of the source data and the compressed data is translated into a series of byte codes. The translation of the testbench is done using a GUI program written in the Microsoft Visual C++.

Based on the translated testbench, test vectors for timing simulation are created using Microsoft Excel, and are then exported to the MAX+plus II simulator. After simulation, the design is verified by comparing the simulation result to the translated output testbench.

Because of the difficulty to obtain the most suitable test vector, the timing simulation is very time-consuming, especially when simulating a large testbench that involved a lot of handshaking. Therefore, it is more practical to conduct the design verification by using hardware evaluation system.

3.3 Hardware Evaluation System for Test and Performance Analysis

In hardware evaluation system, the design is programmed into FPGA-based hardware. By connecting the FPGA to a host PC, the design can be interfaced to software, which can concurrently generate the required test vectors. Compared to the timing simulation method, this method reduces the time required in the design verification. In this work, Peripheral Component Interconnect (PCI) bus is employed

to connect the FPGA to the host PC, due to its higher bandwidth in data transfer. The PCI bus is able to transfer 32-bit data via 33 MHz clock, resulting in a throughput of 1.056 Gbps [PCI 1998].

A PCI controller is required to interface the design to the PCI bus. Altera *pci_mt64* MegaCore [Altera 2001] is the PCI controller that can be used as a master or target on the PCI bus. The *pci_mt64* provides flexible general-purpose PCI interfaces that can be customized for specific peripheral requirements. To access the design from the software, a PCI device driver is needed. NuMega DriverAgent [Compuware 1999] is used to develop the PCI device driver. The DriverAgent is a program used as an aid to write Windows-based device drivers. It provides a set of Application Programming Interface (API) functions to directly access and control hardware via the interface on various bus standards (such as PCI and ISA).

For the performance analysis of the compression core, three types of data sets are used to evaluate the compression ratio achieved in the design. Table 3.1 lists the types of the compression benchmark data, together with their sources. Those data sets are chosen to assess the viability of the selected universal data compression algorithms in handling different types of data. The first data set is commonly used to test the text data compression, while the second data set is used to evaluate the selected algorithms with different probabilities of data. The third data is the standard image data used to test the feasibility of the design in image applications.

Table 3.1: Compression Benchmark Data Set

No	Data Type	Source
1	Text	Calgary corpus (ftp://ftp.cpsc.ucalgary.ca/pub/projects/text.compression.corpus/)
2	Binary	UNIX drand48 () pseudorandom number generator (http://www.dcs.warwick.ac.uk/~nasir/work/fp/datasets/binary-iid.tar.gz)
3	Image	CCITT Fax Standard Image (ftp://ftp.funet.fi/pub/graphics/misc/test-images/)

3.4 Hardware and Software Tools

To carry out this project, several tools are required. Following is a list of software and hardware tools that are used in this work.

1. PCI-based Hardware Development Board – A configurable computing FLEX10KE PCI card from Altera, with EPF10K200SFC672-1 FPGA onboard to be used for verification and test of the design. The design is programmed into the FPGA through JTAG chain.
2. PCI Controller Core – A master/target PCI controller core, *pci_mt64*, from Altera to be used to interface our design to the PCI bus.
3. VHDLmg – A graphical VHDL design entry tool, developed by UTM, to be used for hierarchical and modular VHDL code development.
4. Leonardo Spectrum 2001_1a_28_OEM_Altera – A VHDL synthesis tool.
5. Altera MAX+plus II 10.1 – A design entry, compilation, simulation and implementation software tool.
6. Microsoft Visual C++ Version 6.0 – A window based C++ compiler for creating the GUI test program.
7. NuMega DriverAgent – A device driver application development tool used to create the PCI device driver, and to provide a set of API to the GUI test program for accessing and controlling the hardware under test.
8. Microsoft Excel 2000 – A spreadsheet program to create the test vector for timing simulation.

3.5 Discussion and Summary

The research procedure and design verification method, and the tools used are presented. Timing simulation and hardware evaluation are the two methods used to verify the design. Since design verification process using timing simulation is very time-consuming, especially in handling a large testbench, the hardware evaluation is mainly used for design verification, and performance analysis as well.

CHAPTER IV

DESIGN OF DATA COMPRESSION HARDWARE

In this chapter, the design of the proposed compression core is presented. These include the design of the compression and decompression subsystems, with their specific interfacing modules. The designs are described completely in parameterized VHDL code, so that they can be easily modified to meet any design requirements in a specific target application.

4.1 Overview of Compression Hardware System

Figure 4.1 gives a diagram of the proposed compression system. The general operation of compression subsystem is as follows:

1. when compression is ready to receive data, it collects source data from the host system
2. when the host system is ready to receive data, the compression subsystem sends the compressed data to the host system
3. the status is monitored to determine the availability of this compression system.

The decompression subsystem works similarly to that of compression subsystem.

The functional block diagram of the compression subsystem is shown in Figure 4.2. *Compression_Interface* is the controller to interface the compression core, labeled *Compression_Unit*, to the host system. The compression core is the kernel of the design, where the combination of LZSS and Huffman encoding is

implemented. Figure 4.3 shows the functional block diagram of the decompression subsystem. It consists of a decompression core and an interface controller.

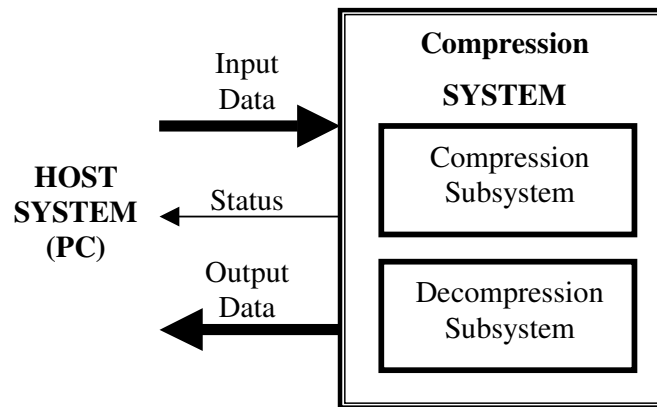


Figure 4.1: Compression System

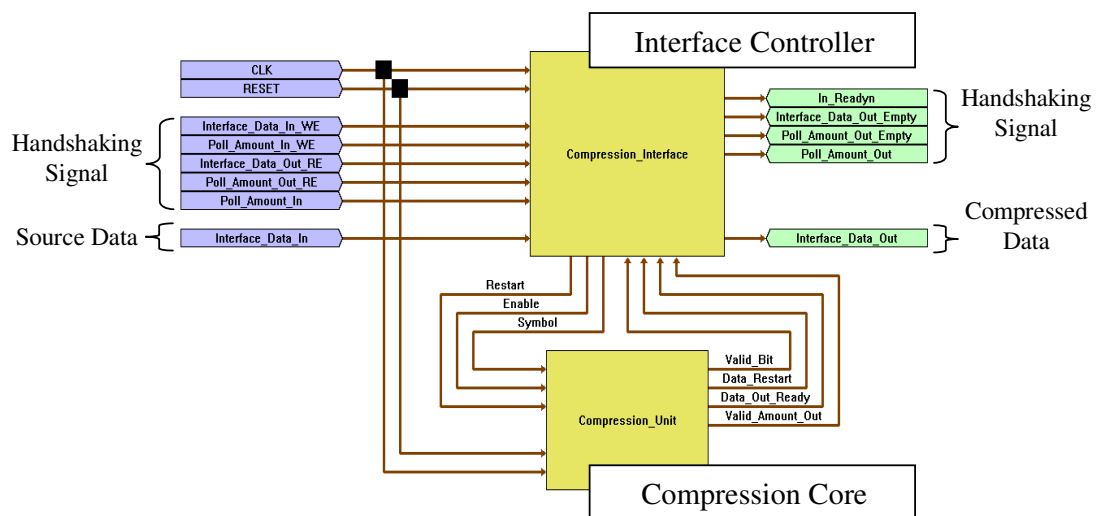


Figure 4.2: Functional Block Diagram of the Compression Subsystem

The design of these modules are presented in the following sections. Section 4.2 explains the design of the *Compression_Unit*, and Section 4.3 describes the *Compression_Interface*. Sections 4.4 to 4.5 describe the design of the decompression subsystem.

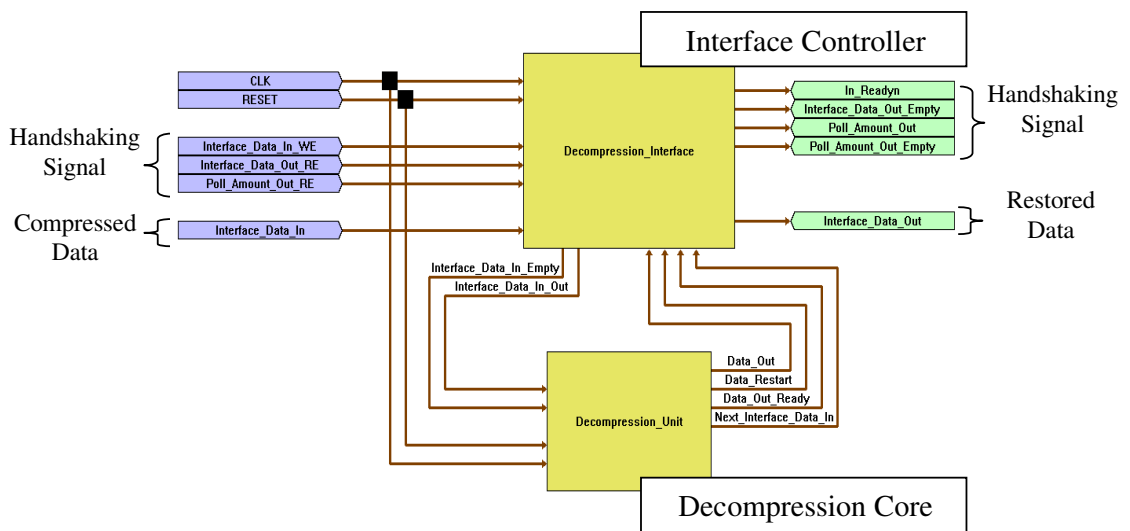


Figure 4.3: Functional Block Diagram of the Decompression Subsystem

4.2 Design of Compression Core (*Compression_Unit*)

Figure 4.4 shows the block diagram of the *Compression_Unit*. It consists of three hierarchical blocks, which are LZSS Coder, Fixed Huffman Coder and Data Packer. All the modules are synchronously clocked. The LZSS Coder performs the LZSS encoding of source data, which is obtained from the *Compression_Interface*, and the Fixed Huffman Coder re-encodes the LZSS codeword to achieve a better compression ratio. Finally, the Data Packer packs the unary codes from the Fixed Huffman Coder into a fixed-length output packet and sends it to the *Compression_Interface*.

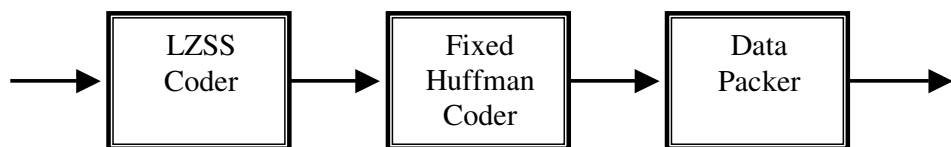


Figure 4.4: Block Diagram of *Compression_Unit*

The LZSS Coder is designed to perform the LZSS encoding at high speed. The algorithm of LZSS encoding is mapped into a systolic architecture to obtain a

data-independent throughput. The Fixed Huffman Coder uses a table-lookup procedure on a predefined code table to perform the Huffman encoding. Beside the task of Huffman encoding, the Fixed Huffman Coder also computes the length of its codeword. This information would be used in the Data Packer to pack all the variable-length Huffman codeword into a fixed-length output packet. The Data Packer consists of two registers and a queue. It allows only one fixed-length data to be sent to the *Compression_Interface* in every cycle.

4.3 Compression Interface Controller (*Compression_Interface*)

The architecture of the *Compression_Interface* is shown in Figure 4.5. The controllers are the main unit in this module. A controller is grouped together with two FIFO buffers. Input Controller extracts input to the *Compression_Unit*, while the Output Controller collects the output from the *Compression_Unit*.

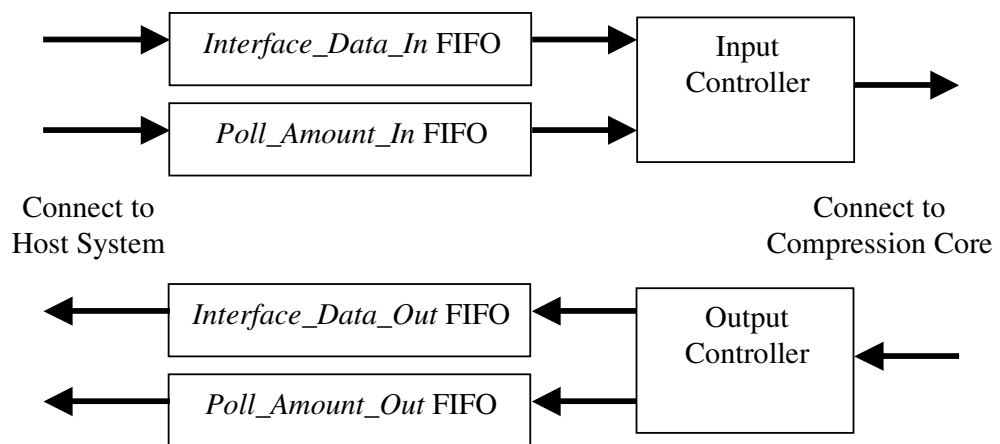


Figure 4.5: Block Diagram of *Compression_Interface*

4.4 Design of Decompression Core (*Decompression_Unit*)

Figure 4.6 shows the block diagram of the *Decompression_Unit*. It consists of a Data Unpacker, a Fixed Huffman Decoder, a LZSS codeword FIFO buffer, and a LZSS Expander. All the modules are synchronously clocked. The Data Unpacker unpacks the fixed-length compressed input packets from the *Decompression_Interface*, and the unpacked data would be decoded in Fixed Huffman Decoder to restore the LZSS codeword. The FIFO buffer stores the LZSS codeword and supplies it to the LZSS Expander. Finally, the LZSS Expander restores the symbols corresponding to the LZSS codeword and sends it to the *Decompression_Interface*.

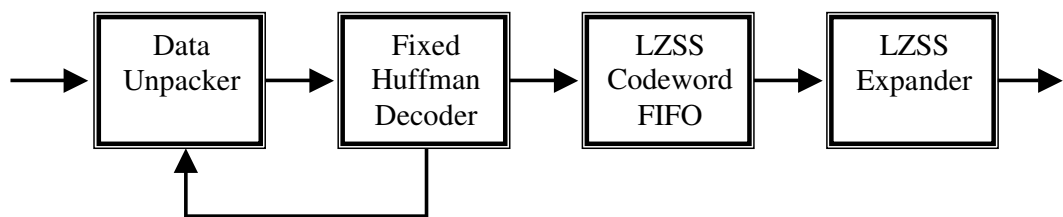


Figure 4.6: Block Diagram of *Decompression_Unit*

The Data Unpacker consists of a register and a queue. Fixed Huffman Decoder is designed to perform Huffman decoding using a predefined code table. During the decoding process, a Huffman codeword would be extracted from the unpacked data, and the validity of the extracted Huffman codeword is evaluated. If a valid Huffman codeword is detected, the decoder restores the corresponding LZSS codeword from the predefined code table. In addition, the decoder also determines the length of the extracted Huffman codeword. The LZSS Expander is designed to perform the LZSS decoding procedure. It consists of a codeword analyzer, a dictionary, a delay tree, and a symbol generator.

4.5 Decompression Interface Controller (*Decompression_Interface*)

Figure 4.7 shows the block diagram of the *Decompression_Interface*, which is similar to the *Compression_Interface*. The design consists of three FIFO buffers and two controllers. The Input Controller determines whether the decompression hardware is ready to receive a new series of compressed input packets from the host system. The *Interface_Data_In* FIFO stores the compressed input packets and supplies it to the *Decompression_Unit*. The Output Controller is grouped together with the *Interface_Data_Out* FIFO and the *Poll_Amount_Out* FIFO, and is used to collect output from the *Decompression_Unit*.

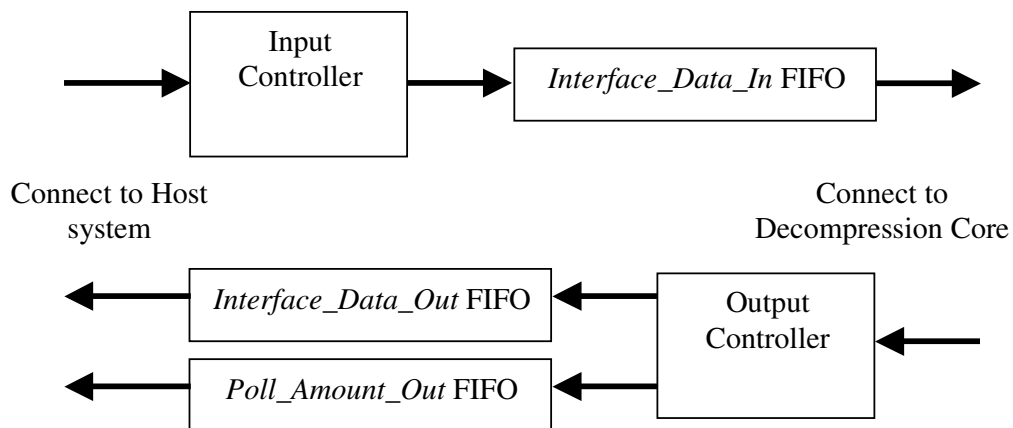


Figure 4.7: Block Diagram of *Decompression_Interface*

4.6 Summary

The hierarchical hardware design of the compression core, the decompression core, and their specific interfacing modules have been described. The designed cores are accessible from any host system through proper interfacing procedures.

CHAPTER V

DESIGN VERIFICATION AND PERFORMANCE ANALYSIS

This chapter reports the results obtained on testing the data compression hardware design using the hardware evaluation system. It starts with design verification, followed by the performance analysis.

5.1 Design Verification

In the following sub-sections, design verification results using timing simulation and hardware test are presented. Section 5.1.1 describes the timing simulation results and Section 5.1.2 presents the results obtained from hardware test.

Taking into account factors such as resource limitation on the FPGA device and the 32-bit communication interface supported by the PCI device driver, the PCI-based data compression hardware core (labeled as *PCI_Chip*) is configured according to the parameters as listed in Table 5.1 for hardware implementation. The actual hardware is the EPF10K200SFC672-1 FPGA device. This is the device available on the Altera PCI development board. The device has 9984 logic cells (LCs) grouped into 1248 logic array blocks (LABs) for complex logic functions, and 98304 memory bits grouped into 24 embedded array blocks (EABs) for memory functions [Altera 1999].

Table 5.1: Parameter Configuration of the PCI-based Data Compression Hardware Core

PARAMETER	VALUE
<i>SymbolWIDTH</i>	16
<i>DicLEVEL</i>	7
<i>MAXWIDTH</i>	5
<i>IniDicValue</i>	0
<i>InterfaceWIDTH</i>	5
<i>PollAmount</i>	64

5.1.1 Results of Timing Simulation

For timing simulation, the design parameters are also set according to the parameter configuration as listed in Table 5.1. Several simple source data are encoded by the compression core. These encoded data (the compressed data) are then decoded using the decompression core. The restored output is found to be identical to the original source data. This timing simulation results prove that the decompression core is able to restore the original source data, which are compressed by the compression core.

Since timing simulation is very time-consuming, only one test vector from the standard data set, “*paper4.txt*” (the benchmark data set obtained from Calgary text compression corpus), is chosen for the timing simulation. This test vector is successfully applied, proving correct operation of both compression and decompression cores.

5.1.2 Results of Hardware Test

The complete compression and decompression design is further verified through a PC-based compression hardware evaluation system. All the compression

data sets as listed in Table 5.2 are tested using this hardware evaluation system.

Table 5.2: Standard Data Compression Benchmark Data Sets

No	Data Set	Data Size (Bytes)	Data Type	Source
1	Paper4.txt	13286	Text Data	Calgary corpus
2	Bib.txt	111261		
3	E.coli	4638690		
4	B7-100k	102400	Binary Data	UNIX drand48() pseudorandom number generator
5	B9-100k	102400		
6	B97-100k	102400		
7	Ccitt1.pbm	513229	Image Data	CCITT Fax Standard Image
8	Ccitt2.pbm	513229		
9	Ccitt3.pbm	513229		

The mechanism of design verification process in the hardware evaluation system is similar to that in the timing simulation. By comparing the results with that obtained from software compression and decompression, the compression and decompression operations performed by the hardware are proven to be correct.

5.2 Performance Analysis

This section describes the performance metrics chosen to evaluate the data compression core, and the corresponding performance data are reported. Section 5.2.1 describes the performance metrics, and Sections 5.2.2 to 5.2.3 report the results of the performance analysis.

5.2.1 Performance Metrics Applied

The performance of the data compression core is analyzed using three main performance metrics. The first metric is the area evaluation, where the amount of logic cells (LC) and memory bits in the EPF10K200SFC672-1 device used to implement the design is determined. It provides a measure of the required hardware cost when fabricating the design. The second metric is compression saving, which represents the efficiency of the compression algorithm. This is normally given as compression ratio, and the formula used is

$$\text{Compression Ratio (CR)} = SCD / SSD \quad (1)$$

where SCD is the size of compressed data and SSD is the size of source data. When compressing several source data, the compression saving is measured using the weighted average compression ratio, and the formula used is

$$\text{Weighted Average CR} = \sum SCD / \sum SSD \quad (2)$$

The third metric is operation speed. Speed performance measures the time required to compress source data or to restore a compressed data. It is related to throughput, defined as

$$\text{Throughput (TP)} = SSD / T \quad (3)$$

where T is the time to compress data or to restore the source data. The throughput value is affected by the latency of the pipelined implementation. Tables 5.3 and 5.4 summarize the latency of our design.

Table 5.3: Latency of Compression Core

Function	Pipelined Component	Latency (clock cycle)
LZSS Coding	Systolic Array	1
	Reduce Tree	$DicLEVEL$
	Codeword Generator	1
Fixed Huffman Coding	Fixed Huffman Coder	1
Pack Data	Data Packer	1
Total Latency		$4 + DicLEVEL$

Table 5.4: Latency of Decompression Core

Function	Pipelined Component	Latency (clock cycle)
Unpack Data	Data Unpacker	1
Fixed Huffman Decoding	Fixed Huffman Decoder	1
Store LZSS Codeword	LZSS Codeword FIFO	1
LZSS Decoding	Codeword Analyzer	1
	Dictionary	1
	Symbol Generator	1
Total Latency		6

Since our design processes a symbol every clock cycle, the formula for calculating throughput is

$$TP = f * SymbolWIDTH \quad (4)$$

where f is clock frequency and $SymbolWIDTH$ is the length of the symbol.

From equations (3) and (4), the formula for calculating the time to compress or decompress data is

$$T \approx NoOfSymbol / f \quad (5)$$

where $NoOfSymbol = (SSD / SymbolWIDTH)$. Since typically the value of $NoOfSymbol \gg$ total latency, the latency effect on throughput, and thus on time T can be neglected.

5.2.2 Performance Analysis – Clock Speed and Area

The maximum clock speed can be obtained from the timing analyzer in MAX+plus II. For the design configured according to the parameters listed in Table 5.1, the area and speed results are summarized in Table 5.5.

Table 5.5: Area and Speed Evaluation Results

Evaluation		Compression Core	Decompression Core	<i>PCI_Chip</i>
Area	LE	7644	1145	9362
	Memory Bit	23040	26112	54528
Speed	Frequency	54.34 MHz	53.76 MHz	46.51 MHz
	Throughput	869.44 Mbps	860.16 Mbps	744.16 Mbps

5.2.3 Performance Analysis – Compression Ratio

In order to evaluate the compression ratio and computation time, the hardware evaluation system is clocked at the PCI clock frequency, which is 33 MHz, thus resulting in a throughput of 528 Mbps [calculated using equation (4)]. The compression ratio achieved is shown in Table 5.6. A better compression ratio could be achieved if the design is implemented in a larger device (because it can accommodate a larger dictionary size).

The time taken to execute compression and decompression operations are shown in Tables 5.7 and 5.8. The hardware evaluation system executes the compression operation faster than that through software running on a 1 GHz PIII CPU with 1 GB RDRAM. The computation time for the evaluation system is longer than the actual compression time for the compression core calculated using equation (5). This is because the whole operation performed by the evaluation system involves a lot of software overhead. These software overheads include:

1. division of processor time among many tasks by the OS
2. data transfer between host PC and the *PCI_Chip*.

Table 5.6: Compression Ratio

Data Set		Compression Ratio	Weighted Average Compression Ratio
Text Data	Paper4.txt	0.760	0.426
	Bib.txt	0.811	
	E.coli	0.416	
Binary Data	B7-100k	0.197	0.127
	B9-100k	0.122	
	B97-100k	0.062	
Image Data	Ccitt1.pbm	0.104	0.116
	Ccitt2.pbm	0.091	
	Ccitt3.pbm	0.152	

Table 5.7: Computation Time for Compression

Data Set	No. of Symbols	Compression Time (ms)		
		Compression Core (33 MHz)	PC-Based Evaluation System (33 MHz)	Software (1 GHz PIII CPU)
Paper4.txt	6643	0.2	8	16
Bib.txt	55631	1.7	48	141
E.coli	2319345	70.3	2110	6140
B7-100k	51200	1.6	39	156
B9-100k	51200	1.6	39	156
B97-100k	51200	1.6	41	140
Ccitt1.pbm	256615	7.8	224	610
Ccitt2.pbm	256615	7.8	223	656
Ccitt3.pbm	256615	7.8	221	687
Total Computation Time		100.1	2951	8702

Data transfer time for the PCI bus, system memory and hard disk varies according to the mode of operation, that is whether read or write operation. Since the size of a compressed data is smaller than the size of a source data, time for data transfer for compression and decompression is not equal. Table 5.8 shows that the PC-based evaluation system takes a longer time to execute decompression operation.

Table 5.8: Computation Time for Decompression

Data Set	No of Symbol	Decompression Time (ms)		
		Decompression Core (33MHz)	PC-Based Evaluation System (33MHz)	Software (1GHz PIII CPU)
Paper4.txt	6643	0.2	8	16
Bib.txt	55631	1.7	79	47
E.coli	2319345	70.3	3381	2562
B7-100k	51200	1.6	56	31
B9-100k	51200	1.6	53	32
B97-100k	51200	1.6	56	31
Ccitt1.pbm	256615	7.8	279	204
Ccitt2.pbm	256615	7.8	278	188
Ccitt3.pbm	256615	7.8	286	188
Total Computation Time		100.1	4476	3299

5.2.4 Performance Analysis – Comparison with Other Implementations

The performance of our compression core, compared to other data compression implementations is presented in this section. The comparisons are made in terms of speed and compression ratio. The area comparison is not done due to different process technology in implementing each design.

Table 5.9 compares the designed hardware against several popular high-performance ASIC or FPGA lossless data compression hardware. It is found that our data compression core offers a competitive performance to currently available ASIC or FPGA data compression hardware. In addition, it is parameterizable to accommodate reusability for future applications.

Table 5.9: Comparison of Data Compression Hardware Using Text Data

DEVELOPER	Technische Universiteit Eindhoven [Benchop 1997]	System Design Group Loughborough University [Nunez 2000]	Universiti Teknologi Malaysia
PROCESS TECHNOLOGY	0.35 micron gate array	0.25 micron FLASH-CMOS FPGA Actel A500K ProASIC	EPF10K200SFC672- 1
ALGORITHM	LZH	X-MatchPRO	LZSS + Huffman
THROUGHPUT	100 Mbps	800 Mbps	869.44 Mbps
PARAMETERIZABLE	No	No	Yes
COMPRESSION RATIO	0.358	0.58	0.426

5.3 Summary

The results of design verification and performance analysis are reported in this chapter. With the results obtained from timing simulation and hardware evaluation, the functionality of our design is proved. We also report the performance metrics of our design, and compare the results with other data compression implementations.

CHAPTER VI

CONCLUSIONS

This chapter summarizes the research findings, followed by suggestions for potential future work.

6.1 Concluding Remarks

This project describes the design of a data compression embedded core based on the combination of LZSS data compression algorithm and fixed Huffman coding. By using the industry standard language VHDL, the hardware design is parameterizable so that it can meet any requirement in a specific application. The data compression core achieves a throughput of at least 100 Mbps and a high compression ratio.

The embedded core offers a data-independent throughput that can process a symbol on every clock cycle. Through its implementation in an Altera FLEX10KE device, the design offers a performance of up to 869.44 Mbps throughput with an operating frequency of 54.34 MHz, making it suitable for high-speed and real-time computing applications. Table 6.1 summarizes the general specifications of the data compression embedded core. The data compression embedded core can also be used as a reusable IP core that promotes reusability for future applications. It can integrate with other IP cores (such as a cryptography core) to achieve a more complex application (such as network security system).

Table 6.1: Specifications of the Data Compression Embedded Core

Function	A real-time data compression and decompression engine
Algorithm	Combination of Lempel-Ziv-Storer-Szymanski (LZSS) and Huffman Coding
Architecture	Systolic Array, Parallel, Pipelined
Feature	Parameterizable - Compromise between speed, compression ratio and resource
Other Features	Data-independent throughput Suitable for real-time and high-speed applications
Performance	For the parameter configuration as listed in Table 5.1, a throughput of 869.44 Mbps and a compression ratio of 0.426 is achieved

A PC-based hardware evaluation system for the data compression core was also developed. This system integrates a host PC with the embedded core through PCI communication bus. It has a user-friendly GUI program to facilitate the verification of the data compression hardware core. The GUI program can be used to evaluate the efficiency of a chosen configuration. It helps to evaluate the effect of design parameters on the compression ratio. A customized version of the data compression core can be generated using this GUI program.

6.2 Future Work

Further enhancements can be done based on the work in this project.

Adaptive Huffman coding can be implemented instead of the fixed Huffman coder in the design. The adaptive Huffman coding proposed by [Vitter 1987] can achieve a better compression saving than that of the fixed Huffman coding. A prior knowledge of source data characteristics is not necessary in the adaptive Huffman coding. It can estimate the source data characteristics during compression process,

and then modifies the code table that it currently uses to generate an adaptive codeword that remains optimal for the current estimates.

The **Wrap architecture** proposed by [Gonzalez-Smith 1982, Storer 1992] is worth studying. The resource utilization in this architecture is reduced. However, the datapaths for this architecture are more complicated, resulting in a lower throughput.

The **interface block in hardware evaluation platform** can be enhanced to suit hardware under test that has different types of I/O. This allows the PC-based hardware evaluation system to test many other cores, not just the compression core.

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