



# DISSERTATION

Titel der Dissertation

**VALERENIC ACID, ACTEIN AND DERIVATIVES: SUBUNIT-  
DEPENDENT MODULATION OF GABA<sub>A</sub> RECEPTORS**

Verfasserin

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# **1. INTRODUCTION / STATE OF RESEARCH**

## **1.1. BASIS OF NEURONAL EXCITABILITY**

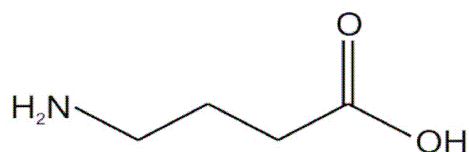
At the end of the 18<sup>th</sup> century Galvani and Volta suggested that propagation of nerve impulses and muscle contraction are based on electrical signals. In 1902, Bernstein hypothesized that in nerve and muscle cells the unequal distribution of potassium ( $K^+$ )- ions over the cell membrane and the selective  $K^+$ -permeability produces the resting potential and that a transient breakdown of selective permeability induces excitation, allowing other ions to pass the membrane. These changes in ion permeability along the axon of a neuron were suggested to build the backbone of the propagation of nerve impulses. Isolation of the squid giant axon and subsequent electrophysiological experiments by Hodgkin and Huxley in 1952 revealed that ion movements are the basis for the propagation of nerve impulses and local changes of the membrane potential (Zubay, 2000).

In the central nervous system (CNS) communication between neurons is based on both electrical and chemical means. A prerequisite for propagation of electrical signals are gap junctions and a cytoplasmatic continuum between presynapse and postsynapse. A communication based on chemical means (e.g. neurotransmitters or hormones) involves a ligand that travels the distance between the cell by diffusion and binds to specific proteins (Luddens and Korpi, 1995; Rang et al., 2003).

## **1.2 $\gamma$ -AMINOBUTYRIC ACID (GABA)**

GABA (see structural formula in Fig. 1) is the major inhibitory neurotransmitter in the CNS of the mammals (Sieghart, 1995; Barnard et al., 1998; Sieghart, 2006). GABA is synthesized from glutamate catalysed by two isoforms of the glutamic acid decarboxylase (GAD) GAD 65 and GAD 67 (Erlander et al., 1991). GABA is stored in synaptic vesicles by vesicular neurotransmitter transporters and released from nerve terminals by calcium-dependent exocytosis (Owens and Kriegstein, 2002). There is also evidence for non-vesicular secretion of GABA by e.g. reverse transporter action, a mechanism that might be of particular relevance during brain development (Attwell et al., 1993; Taylor and Gordon-Weeks, 1991). GABA interacts with ionotropic GABA type A ( $GABA_A$ ) and metabotropic GABA type B ( $GABA_B$ ) receptors, which can be localized either pre- or postsynaptic (Bormann, 2000; Owens and Kriegstein, 2002). Reuptake of the neurotransmitter into nerve terminals and/or surrounding glial cells by the plasma-membrane bound GABA transporter (GAT) terminates the action of the neurotransmitter (Cherubini and Conti, 2001). GABA is finally metabolised by a

transamination reaction, which is catalysed by the GABA transaminase (GABA-T) (Owens and Kriegstein, 2002).



**Figure 1** Structural formula of GABA

### **1.2.1 GABAERGIC NEURONS**

GABA is present in approximately 20-50% of all cerebral cortex synapses (Halasy and Somogyi, 1993; Bloom and Iversen, 1971; Hevers and Lüddens, 1998). In the neocortex, most of the GABA-containing neurons are apparently interneurons with few dendritic spines. They are classified as sparsely spiny, aspiny or smooth cells. These cells are further subdivided into groups such as basket cells, chandelier cells, double bouquet cells, local plexus neurons or neurogliaform cells. GABAergic neurons differ in respect to their morphology, neurochemical composition, somatic location and terminal arborization. GABA containing neurons are apparently distributed throughout the cortical lamina (Owens and Kriegstein, 2002).

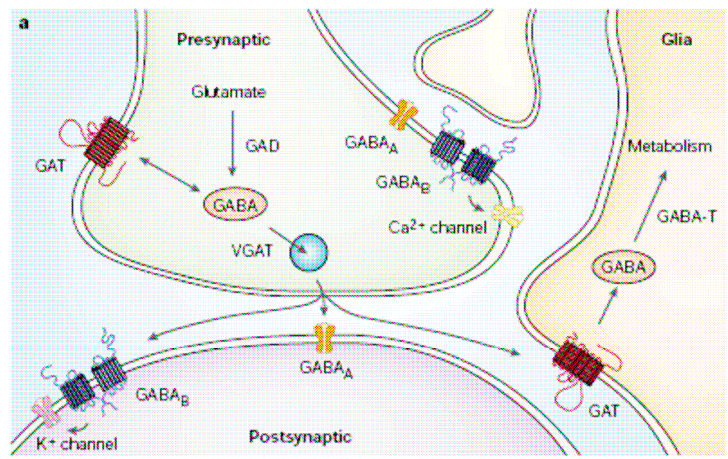
GABA interneurons are further classified in respect with their intrinsic membrane properties and synaptic connectivity (Connors and Gutnick, 1990; Gupta et al., 2000). Most GABA-containing neurons in the neocortex are generated and migrate from subcortical rather than from cortical locations (Anderson et al., 1997).

It has been proposed that in addition to the local circuit neurons, direct GABAergic afferents project to the cortex from the basal forebrain and the zona incerta (Owens and Kriegstein, 2002).

### **1.2.2 GABAERGIC SYNAPSES**

Neocortical synapses differ in their ultrastructure and are thus divided into 2 groups: type 1 and type 2 synapses (Gray, 1959; Owens and Kriegstein, 2002). Type 1 synapses are characterized by an asymmetrical membrane density at the synaptic cleft and are assumed to be excitatory, while type 2 synapses exhibit a symmetrical appearance and are considered to be inhibitory. There is evidence that more than 15% of all adult cortical synapses are inhibitory and thus, contain GABA.

GABAergic synapses are present in all neocortical layers, and in particular on cell somata, proximal dendrites, axon initial segments, distal dendrites and dendritic spines (Owens and Kriegstein, 2002).



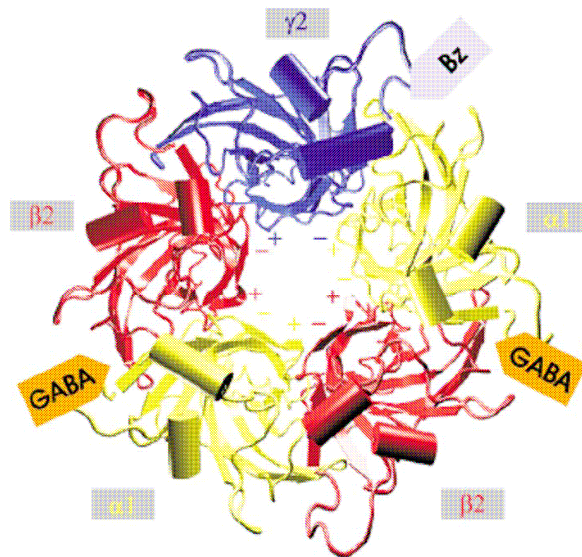
**Figure 2** Schematic diagram of the synthesis and transport of GABA at synapses (Owens and Kriegstein, 2002)

GABA mediates its effect by interacting with i.) GABA<sub>A</sub> receptors representing ligand gated chloride channels (Sieghart, 1995), ii.) G-Protein coupled GABA<sub>B</sub> receptors that modulate Ca<sup>2+</sup>- and K<sup>+</sup>- channels via G-proteins and iii.) GABA<sub>C</sub> receptors (Barnard et al., 1998; Bormann, 2000). However, this classification system is controversially discussed (see Barnard et al., 1998; Bormann, 2000).

### 1.3 GABA TYPE A (GABA<sub>A</sub>) RECEPTORS

GABA<sub>A</sub> receptors, the major inhibitory neurotransmitter receptors, belong to the superfamily of ligand-gated ion channels (LGICs) (Sieghart, 1995; Sieghart, 2006). This family comprises amongst others the nicotinic acetylcholine (nACh receptor), serotonin subtype 3 (5-HT<sub>3</sub> receptors) or glycine receptors (Barnard et al., 1998; Minier and Sigel, 2004; Conolly and Wafford, 2004).

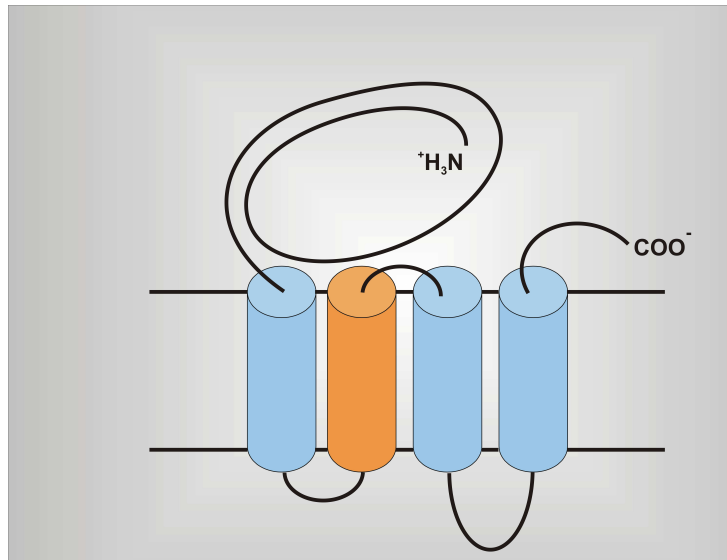
Ligand-gated ion channels such as GABA<sub>A</sub> receptors are commonly formed by assembly of 5 subunits (Conolly and Wafford, 2004, Sieghart, 2006). So far, nineteen different isoforms of mammalian GABA<sub>A</sub> receptor subunits have been cloned:  $\alpha_{1-6}$ ,  $\beta_{1-3}$ ,  $\gamma_{1-3}$ ,  $\delta$ ,  $\epsilon$ ,  $\pi$ ,  $\rho_{1-3}$  and  $\theta$  (Barnard et al., 1998; Simon et al., 2004).



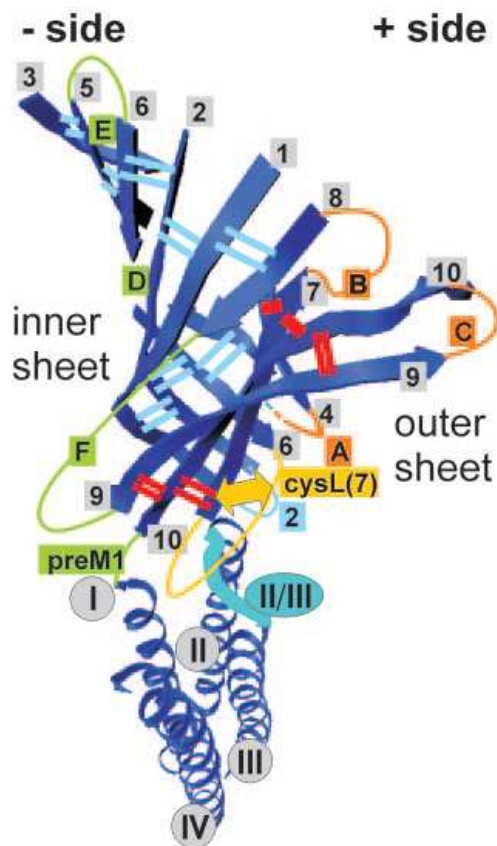
**Figure 3** Model structure of the extracellular domains of GABA<sub>A</sub> receptor extracellular domains showing the arrangement for  $\alpha_1$ ,  $\beta_2$  and  $\gamma_2$  containing GABA<sub>A</sub> receptors (view from extracellular) (Ernst et al., 2003).

The knowledge about the assembly of a certain subunit combination in neurons is limited and many different subunit combinations are expected to be present in the brain (McKernan and Whiting, 1996). There is evidence that the major receptor subtype of the GABA<sub>A</sub> receptor in adults comprises  $\alpha_1$ -,  $\beta_2$ -, and  $\gamma_2$ -subunits in a 2:2:1 stoichiometry (Chang et al., 1996, Tretter et al., 1997; Baumann et al., 2002, Sieghart and Sperk, 2002).

The putative membrane topology of a single subunit is schematically represented in Fig. 4. Each subunit has an extracellular,  $\beta$ -rich, N-terminal domain of approximately 200 amino acids, which comprises ligand binding sites such as for GABA or benzodiazepines and the highly conserved Cys-loop. 2  $\beta$ -sheets form a “sandwich” (Brejc et al. 2001) designated as “inner” and “outer” sheet (Unwin et al., 2002). The inner and outer sheet are connected by the signature disulfide bridge (Ernst et al., 2005). The C-terminus is similar sized with 4 hydrophobic membrane spanning domains (M1-M4) (Horenstein et al., 2001). The M1-M4 segments are assumed to be  $\alpha$ -helical (Ernst et al., 2005). Because both the N-terminus and C-terminus of GABA<sub>A</sub> receptor subunits extend outside the cell membrane, the intracellular M3-M4 loop becomes the most important domain interacting with the intracellular environment, e.g. providing protein-protein interactive domains involved in regulating synaptic localization and intracellular trafficking (Chen and Olsen, 2007). The chloride-conducting pore is flanked by the M2 segments of the 5 subunits (Horenstein et al., 2001).



**Figure 4** Schematic illustration of a GABA<sub>A</sub> receptor subunit



**Figure 5**

Illustration of the topology of a subunit of cys-loop receptors. The secondary structure motifs that are assumed be conserved are shown in ribbon representation, strand numbering is shown in Arabic numerals, whereas numbering of the membrane spanning helices is shown in Roman numerals. The important topologically conserved but structurally variable regions are indicated only schematically. The hydrogen bonds of the inner sheet are illustrated in light blue and outer sheets' hydrogen bonds are depicted in red. All features associated with the plus side are depicted in orange, those belonging to the minus side are green. The cys-loop is shown in yellow, and the disulfide bond is shown as a yellow double arrow (Ernst et al., 2005).

Another common feature of GABA<sub>A</sub> receptors is a highly conserved sequence in the M2 region encompassing the amino acids TTVLTMTT (Korpi et al., 2002).

Upon activation of GABA<sub>A</sub> receptors, the intrinsic chloride channel opens. Depending on the reversal potential of the permeating ions (chloride and bicarbonate) the postsynaptic GABA response can be either excitatory or inhibitory. Since the intracellular concentration of chloride in most mature neurons is low, the chloride reversal potential is negative to the action potential threshold. Activation of GABA<sub>A</sub> receptors exerts an inhibitory influence on the neuron (Akk et al., 2007). However, there is recent evidence that GABA-mediated responses can be also excitatory especially in immature neurons (Bernard et al., 1998; Ben-Ari, 2002).

An inhibitory postsynaptic potential is triggered by the release of presynaptic GABA that binds to postsynaptic GABA<sub>A</sub> channels. IPSCs are characterized by a fast activating current with a rise time of approximately 1 millisecond or less that decays to the baseline over tens or hundreds of milliseconds (Macdonald et al., 2004).

## **1.4 GABA<sub>A</sub> RECEPTOR DYNAMICS**

### **1.4.1 ASSEMBLY OF GABA<sub>A</sub> RECEPTORS**

Assembly of GABA<sub>A</sub> receptor subunits to functional receptors requires specific signaling sequences for the interaction of the subunits (Korpi et al., 2002).

A glia-derived protein binding acetylcholine was identified in the molluscan CNS (Smit et al., 2001). This protein exhibits a high degree of sequence similarity to the N-terminus of nicotinic acetylcholine receptor subunits including the domains that are assumed to form the agonist binding sites and a cysteine loop with 12 intervening amino acids (instead of 13 as reported for e.g. GABA<sub>A</sub> receptors, see Ernst et al., 2005). It lacks, however, the membrane spanning domains and it forms soluble (i.e. non-membrane-bound), homopentameric complexes (Brejc et al., 2001). In analogy with the acetylcholine binding protein it is assumed that the extracellular N-terminus apparently represents a major determinant for the subunit assembly in ligand gated ion channels (Korpi et al., 2002). A 70 amino acid stretch in the second half of the N-terminal extracellular domain determines homooligomeric assembly of the GABA<sub>C</sub> receptor composed of  $\rho_1$  subunits (Enz and Cutting, 1999).

Klausberger et al. (2001a) suggested that the regions  $\alpha_1$ (80- 100) and  $\gamma_2$ (91–104) participate in subunit interaction, assembly and formation of the benzodiazepine binding site in recombinant  $\alpha_1\beta_3\gamma_2$  receptors. An adjacent region of the  $\gamma_2$  subunit  $\gamma_2$ (83–90) was suggested to mediate assembly with  $\alpha_1$  and  $\beta_3$  subunits- (Klausberger et al., 2000).

Truncated N-terminal extracellular domains of GABA<sub>A</sub> receptor  $\gamma_2$  (1-234) and  $\alpha_1$  subunit dimers can form a benzodiazepine binding site, while [<sup>3</sup>H]muscimol binding apparently requires the presence of transmembrane domains of both  $\alpha$  and  $\beta$  subunits (Klausberger et al., 2001b).

#### **1.4.2 TARGETING AND REGULATION OF MEMBRANE EXPRESSION**

*De novo* synthesis and assembly of GABA<sub>A</sub> receptor subunits occurs in the endoplasmatic reticulum. After correct assembly GABA<sub>A</sub> receptors are targeted to the plasma membrane via the Golgi apparatus where they might aggregate and anchor to subsynaptic elements to form synaptic clusters. Unassembled receptor subunits are degraded (Kneussel, 2002).

A protein - GABA<sub>A</sub> receptor-associated protein (GABARAP)- involved in GABA<sub>A</sub> receptor plasma membrane targeting has been identified (Wang et al., 1999). GABARAP comprises 117 amino acids and is expressed ubiquitously in all tissues including several areas of the CNS. The N-terminal domain of GABARAP (amino acids 1–36) is highly charged and features a tubulin binding motif that interacts with both soluble tubulin and polymerized microtubules, while the GABA<sub>A</sub> receptor  $\gamma_2$ -subunit interacts with residues 36–68 (Wang et al., 1999; Kneussel, 2002). Residues 37–117 of GABARAP were shown to interact with the tubulin-binding protein gephyrin. Interestingly, this interaction with GABA<sub>A</sub> receptors is limited to  $\gamma_1$ ,  $\gamma_{2S}$ , and  $\gamma_{2L}$ , but does not occur with other GABA<sub>A</sub> receptor subunits (Wang et al., 1999; Nymann-Andersen et al., 2002; Chen and Olsen, 2007).

Chen et al. (2000) suggested that co-expression of GABARAP and different GABA<sub>A</sub> receptor subunits leads to GABA<sub>A</sub> receptor aggregates that influence the function of surface GABA<sub>A</sub> receptors. This indicates an involvement of GABARAP in the organization of GABAergic synapses or alternatively a participation of GABARAP in membrane fusion events underlying organizational processes at GABAergic synapses (Kneussel, 2002). Postsynaptic receptors are likely to be saturated by presynaptically released transmitters. Thus, an increased number of active postsynaptic receptors represents an efficient way of strengthening synaptic efficacy. Processes facilitating the insertion of newly synthesized or recycled receptors into neuronal membranes to maintain a stable cell surface receptor number, therefore seem to be a critical requirement for the regulation of synaptic strength (Kneussel, 2002).

The insulin receptor tyrosine kinase (Wan et al., 1997) and the ubiquitin-like protein Plic-1 (Bedford et al., 2001) have been suggested to be involved in regulation of GABA<sub>A</sub> receptor surface expression (Kneussel, 2002).



Insulin causes a rapid translocation of GABA<sub>A</sub> receptors from the intracellular compartment to the plasma membrane in heterologous expression systems. This process has been shown to be specifically dependent on the presence of a GABA<sub>A</sub> receptor  $\beta_2$ -subunit (Kneussel, 2002).

In neurons, the expression of GABA<sub>A</sub> receptors on dendritic and postsynaptic membranes is also enhanced by insulin suggesting that a rapid recruitment of functional receptors is regulated via pathways that include the activation of growth factor receptors (Kneussel, 2002).

However, the receptor density on the membrane is also regulated by negative modulators of the protein degradation machinery at the proteasome. In proteasomes, poly-ubiquitinated substrates are unfolded and degraded to small peptides. In humans, the proteins Plc-1 and Plc-2 represent apparently ubiquitin-like proteins that physically interfere with both proteasomes and ubiquitin-ligases. Thus, overexpression of Plc proteins interferes with the *in vivo* degradation of ubiquitin-dependent proteasome substrates. Plc-1 has been further shown to bind to both  $\alpha$  and  $\beta$  subunits of GABA<sub>A</sub> receptors leading to an increased number of receptors available for insertion into the plasma membrane. Further support for this scenario comes from the finding that a blockade of the interaction of Plc-1 with GABA<sub>A</sub> receptors results in a time-dependent reduction in whole-cell GABA-activated current amplitudes.

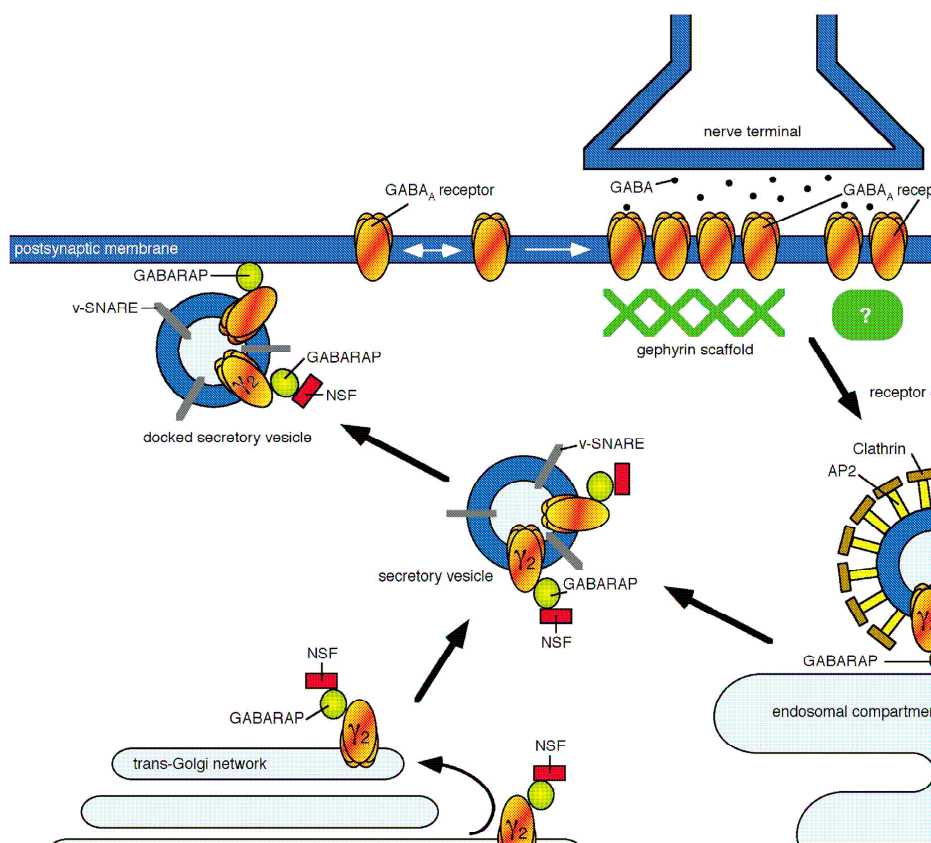
Thus, Plc-1 is probably involved in controlling GABA<sub>A</sub> receptors subunit half-life (Kneussel, 2002). In contrast, the neurotrophic factor BDNF (Brain-derived neurotrophic) factor has been suggested to negatively modulate GABA<sub>A</sub> receptor surface expression (Brunig et al., 2001). A close connection between GABA<sub>A</sub> receptor down-regulation and voltage-gated calcium channels has been proposed (Lyons et al., 2001), which is supported by the finding that nifedipine inhibits both GABA-induced increases in calcium concentrations and GABA<sub>A</sub> receptor downregulation. Taken together, the surface expression of GABA<sub>A</sub> receptors is a regulated by processes including positive and negative modulatory pathways (Kneussel, 2002).

### **1.4.3 CLUSTERING OF GABA<sub>A</sub> RECEPTORS AT SYNAPSES**

Gephyrin was initially described as 93 kDa protein that was co-purified with glycine receptors (Pfeiffer et al., 1982). Gephyrin, however, shows a wider expression over the CNS as well as in peripheral tissues (Prior et al., 1992). Gephyrin is a multifunctional protein accounting for both molybdenum cofactor synthesis and the clustering of glycine and GABA<sub>A</sub> receptors at inhibitory synapses.

The underlying mechanism of clustering at the synapse, however, is complex and currently not well understood. Removal of gephyrin by gene targeting or mRNA expression interference strongly affects GABA<sub>A</sub> receptor clustering (Fritschy et al., 2008).

Mice lacking gephyrin die after birth and exhibit reduced clustering of glycine receptors at their synapses (Feng et al., 1998) and a reduction in GABA<sub>A</sub> receptor  $\alpha_2$  and  $\gamma_2$  subunits in the spinal cord (Kneussel et al., 1999). In primary hippocampal neuronal cultures, diminished synaptically clustered GABA<sub>A</sub> receptors have been detected, while the intracellular pool of GABA<sub>A</sub> receptors was increased (Korpi et al., 2002). In analogy to the gephyrin-knockout mice,  $\gamma_2$ -knockout mice show also decreased GABA<sub>A</sub> receptor clustering (Craig et al., 1996; Essrich et al., 1998), providing evidence for a dominant role for gephyrin and  $\gamma$ -subunits in GABA<sub>A</sub> receptor clustering. As for GABARAP, the gephyrin interaction with GABA<sub>A</sub> receptors is limited to  $\gamma_1$ ,  $\gamma_{2S}$ , and  $\gamma_{2L}$  (Wang et al., 1999; Nymann-Andersen et al., 2002; Chen and Olsen, 2007).



**Figure 6** Illustration of GABA<sub>A</sub> receptor dynamics (Kneussel, 2002)

#### 1.4.4 GABA<sub>A</sub> RECEPTOR INTERNALIZATION AND RECYCLING

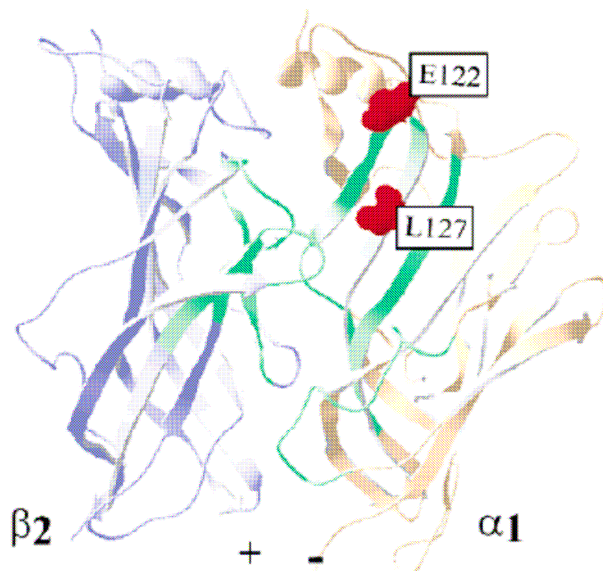
The uptake (endocytosis) of extracellular material and membrane proteins into cells via membrane-bound vesicles is mediated by proteins that cycle between the synaptic membrane and intracellular sites (Liang and Huganir, 2001; Marsh and McMahon; 1999; Noel et al., 1999; Kneussel, 2002). There is evidence that GABA<sub>A</sub> receptors are internalized from cell bodies and dendrites via clathrin-mediated endocytosis (Kittler et al., 2001; Korpi et al., 2002).

This process (interaction of the adaptin complex AP2 with the GABA<sub>A</sub> receptor  $\beta$  and  $\gamma$  subunits) has been shown to be critical for the recruitment of integral membrane proteins into clathrin-coated pits. Blocking the clathrin-dependent endocytosis results in large, sustained increases in the amplitude of miniature IPSCs in cultured neurons suggesting a constant cycle of GABA<sub>A</sub> receptors between synaptic sites and endocytic structures (Kneussel, 2002).

#### 1.5 STRUCTURAL DETERMINANTS OF THE AGONIST BINDING SITE

Nicotinic ACh receptor models have strongly influenced the design of structural models for the family of ligand-gated ion channels. The agonist binding site is formed by 6 loops designated A through F (Corringer et al., 2000; Sedelnikova et al., 2005).

GABA binding is assumed to occur at the interface  $\alpha/\beta$  (see Figure 6), whereas benzodiazepines binding site is formed by the  $\alpha$  and the  $\gamma$  subunit (Sieghart, 2006).



**Figure 7**

Side view of the GABA binding site at the  $\alpha/\beta$  subunit interface. The putative GABA-binding region is depicted in green (Muroi et al., 2006).

In analogy to the agonist binding site of nACh receptors (see Corringer et al., 2000), the GABA binding site at the  $\alpha/\beta$  interface is predicted to be constituted by 6 loops designated A-

F with several residues of these loops facing the binding site (Boileau et al., 2002a; Ernst et al., 2003; Ernst et al., 2005).  $\alpha_1$  contributes to the loops D and E, whereas loops A, B, C and F are formed by the principal binding site of  $\beta_2$ . Loop A defines approximately the region  $\beta_2$ Trp92-Asp101. This region is assumed to be a  $\beta$ -strand. Leu 99 and Tyr 97 have been identified to line the GABA binding site (Boileau et al., 2002a). Loop B comprises the region  $\beta_2$ Ile154-Asp163. Loop B is formed by  $\beta$ -strand 9, loop 10 and the beginning of  $\beta$ -strand 10.  $\beta_2$ Thr160 and  $\beta_2$  Asp163 are assumed to line the GABA binding site. Interestingly, mutation E155C produces spontaneously open channels by altering both channel gating and agonist binding (Newell et al., 2004). Loop C is formed by the region  $\beta_2$  V199-S209, residues S204, Y205, R207 and S209 have been shown to face the agonist binding site. In contrast, F200, S201, T202 and G203 do not directly face the binding site, but if mutated they drastically affect the sensitivity to GABA. Loop C has an extended conformation that may traverse the GABA binding site from its rim to its depth. This region, which does not show a regular secondary structure, is assumed to represent an extended coil or loop (Wagner and Czajkowski, 2001). After agonist binding loop C is predicted to move inward towards loop E (Unwin et al., 2002; Celie et al., 2004, Law et al., 2005).

The region of  $\alpha_1$ Y59 to K70 comprises loop D. Residues F64, R66 and S68 are major determinants of GABA binding. This region is likely to be constituted by a  $\beta$ -strand (Boileau et al. 1999). Loop E is formed by the region  $\alpha_1$ Met113-Leu132 and linked to loop A of the benzodiazepine binding site via an 11 amino acid stretch. In this region Asn 115, Leu 117, The 129 and Arg 131 are assumed to line the agonist binding site (Kloda and Czajkowski, 2007).

Loop F - formed by the region  $\alpha_1$ Pro174-Asp191- is poorly conserved in GABA<sub>A</sub>- receptor subunits. Residues V178, V180, D183 are assumed to contribute to the GABA binding site. Loop F is predicted to be a random coil (Newell and Czajkowski, 2003)

## 1.6 GATING OF GABA<sub>A</sub> RECEPTORS

The binding of a neurotransmitter to its binding site is assumed to result in the opening of the ion channel within the transmembrane domain, a process known as activation or ‘gating’. It has been suggested that neurotransmitter binding to the agonist binding site results in a local perturbation of its structure (Grosman & Auerbach, 2001; Dahan et al., 2004; Beene et al., 2004). This structural change is further propagated along the longitudinal axis of the receptor through the extracellular domain as a ‘wave’ of conformational changes (Grosman et al.,

2000), Finally, a physical gate is moved within the membrane spanning domain, resulting in an ion flux through the pore (Keramidas et al., 2006).

*“pin-into-socket mechanism”*

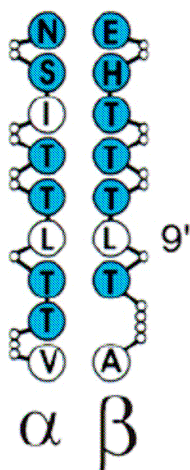
For the nicotinic ACh receptor, the coupling of the the extracellular domain with the membrane domains has been suggested to be a “pin-into-socket” mechanism based on the structure published by Miyazawa et al. (2003). It was proposed that a single valine residue in the extracellular domain (V261) enables contact with the transmembrane domains serving as a pivot, permitting a rotation of the extracellular domain induced by agonist binding to be transduced to the M2 segments. The resulting rotation of the M2 segments enables thus the pore to expand at its narrowest point (Kash et al., 2004a).

*“conformational-wave-mechanism”*

Binding of the agonist to its binding site was also alternatively suggested to initiate a series of local movements that are transduced to the membrane spanning domains (Kash et al., 2004a). In such a scenario, the extracellular N-terminal domain of the GABA<sub>A</sub> receptor connected to the M1 domain and thereby interacts with the loop between M2 and M3 (M2-M3 linker). In analogy to a model for the AchBP, it has been suggested that loops 2 and 7 of the GABA<sub>A</sub> receptor  $\alpha$  subunit interact with a lysine residue in the M2-M3 linker to couple GABA binding to gating (Kash et al., 2003). Moreover, it was proposed that gating induced a conformational change in and/or around the N-terminal half of the M2-M3 linker (Bera et al., 2002). For gating of the ACh receptor it was suggested that the loop 2 of both  $\alpha$  subunits is in direct contact with the distal M2 regions (just before the beginning of the M2-M3 linker). Upon acetylcholine binding both loops rotate by 15° around an axis passing through the disulfide bridge. The rotations of the loops are associated with rotations of the M2 domain, which are translated to the gate and might presumably result in the opening of the gate (Unwin et al., 2002; Miyazawa et al., 2003). It was further suggested that the  $\alpha$ 1K220 residue plays an active role in the transduction process that converts the energy associated with the binding of agonist to the opening of the ion pore. The  $\beta$ -10 strand (pre-M1 segment) appears to be an integral component of a ‘coupling zone’ that includes loops 2 and 7 and the M2–M3 linker (Keramidas et al., 2006).

## 1.7 STRUCTURE OF THE ION PERMEATION PATHWAY

The structural basis of ion permeation and selectivity was intensively investigated by Xu and Akabas, 1993; Xu and Akabas, 1996 and Goren et al., 2004. Residues assumed to line the channel are illustrated in Figure 8.



Analysis of the sequence of the M2 segments of different GABA<sub>A</sub> receptor subunits reveals a high degree of sequence identity, including several highly or absolutely conserved hydrophilic residues, such as threonine residues at positions 7', 10' and 13', that can be found in all of the GABA<sub>A</sub> receptor subunits, while other positions may feature either a serine or threonine (Kash et al., 2004a).

**Figure 8** Schematic illustration of the M2 region of  $\alpha_1$  and  $\beta_2$  subunits, showing the residues contributing to the pore. Residues that can preferentially interact with water molecules are depicted in blue (Kash et al., 2004a).

Moreover, the hydroxylgroups on the side chains are assumed to interact with the water molecules that form the hydration shell surrounding the chloride ions thereby providing energetic stabilization for ions when they enter the pore domain, as previously shown for K<sup>+</sup> channels (Roux and MacKinnon, 1999; Kash et al., 2004a). This mechanism can be applied to both positively and negatively charged ions. A similar hydrophilic sequence (TMTT) was identified in many K<sup>+</sup>- channels. In K<sup>+</sup>- channels the threonine residues have been shown to comprise the walls of a large water-filled cavity in the center of the channel, deep within the membrane (Doyle et al., 1998; Kash et al., 2004a). Thus, it has been assumed that this hydrophilic region of M2 is presumably not involved in ion selectivity for GABA<sub>A</sub> receptors, but it might enhance the channel conductance (Kash et al., 2004a).

## 1.8 STRUCTURE OF THE SELECTIVITY FILTER

By comparison of the permeability of several inorganic and organic anions, it was suggested that the selectivity filter (narrowest portion of the pore) of GABA<sub>A</sub> receptors is 5.6 Å wide (Bormann et al., 1987). Several conserved positively charged residues (arginine and lysine) are clustered around the extracellular end of the M1 and M2 segments. It was suggested that they might play a role in charge selectivity by concentrating negative ions within the channel

vestibule (Kash et al., 2004a). Xu and Akabas (1996) suggested that the selectivity filter might be located at two-thirds of the way down the M2 helix.

There is recent evidence, that the M1–M2 loop of the  $\beta$  subunit plays a pivotal role in determining the ion selectivity of GABA<sub>A</sub> receptors (Jensen et al., 2002; Kash et al., 2004a).

## 1.9 THE GATES

The structure of the resting and desensitized channel gates in the resting or desensitized state in the presence of an agonist, is yet unknown (Kash et al., 2004a). There is evidence, that in some ion channels e.g. in the CIC chloride channel, the selectivity filter simultaneously represents the gate (Furst et al., 2000; Kash et al., 2004a). For K<sup>+</sup>- channels it has been shown that gate and selectivity filter are distinct structures located several Å apart (Kash et al., 2004a).

Five 9' leucine residues that are apparently located in the center of the channel-lining M2 segments making a symmetrical contribution have been assumed to form the resting gate of nACh receptors (Unwin, 1995; Brejc et al., 2001). However, there is recent evidence that both the resting gate and the selectivity filter of the nACh receptor are located in the M1-M2 loop (Wilson and Karlin, 1998; Wilson and Karlin, 2001; Kash et al., 2004a).

For GABA<sub>A</sub> receptors it was suggested that the top of the channel gate is located in proximity to  $\alpha$ V257, which would be approximately two helical turns more cytoplasmatic than the assumed 9' leucine (Xu and Akabas, 1996; Kash et al., 2004a). Making use of substituted adamantane derivatives non-competitive antagonism of different positions within the channel pore of nACh receptors was probed, providing further evidence for the suggestion of the location of the closed gate (Arias et al., 2002; Kash et al., 2004a). More evidence comes from trapping experiments with the open channel blocker picrotoxin inferring that the closed channel gate is located between the picrotoxin binding site ( $\alpha_1$ V257) and the channel's extracellular end (Bali and Akabas, 2007)

The structure of the desensitized gate of GABA<sub>A</sub> receptors is not known, although it is assumed to be distinct from the resting gate (Scheller and Forman, 2002).

Based on the asymmetric structure of the selectivity filter, both the resting gate and the desensitization gate could represent an asymmetrically “collapsed” or constricted pore as shown e.g. for the selectivity filter of KcsA K<sup>+</sup>- channel in the presence of low K<sup>+</sup> concentrations (Zhou and MacKinnon, 2003; Kash et al., 2004a).

### 1.10 DISTRIBUTION PATTERN OF GABA<sub>A</sub> RECEPTOR SUBUNITS

So far, 19 different subunits of mammalian GABA<sub>A</sub>-receptors have been identified; their expression pattern is heterogeneous. The  $\alpha_1$  subunit represents the most widely expressed subunit among all  $\alpha$ -variants. Except in the striatum, the reticular thalamic nucleus and the internal granular layer of the olfactory bulb  $\alpha_1$  subunits are found in all parts of the brain.

Receptors containing  $\alpha_2$  subunits in contrast are found especially in the accessory olfactory bulb, dentate molecular layer, in the hippocampal area CA3, central and lateral amygdaloid nuclei, septum, striatum, accumbens and the hypothalamus. In the olfactory bulb (external plexiform and glomerular layers), the inner layers of the cerebral cortex, the endopiriform nucleus, the amygdala, the lateral septum, the claustrum and the superior colliculus  $\alpha_3$  represents the predominantly expressed  $\alpha$ -isoform (Pirker et al., 2000).  $\alpha_5$  subunits are expressed in high density in the external plexiform layer of the olfactory bulb, the endopiriform nucleus and the inner layers of the cerebral cortex. Additionally,  $\alpha_3$ - expression was found in the internal granular layer of the olfactory bulb (together with  $\alpha_2$ ), the subiculum, the Ammon's Horn and in ventromedial hypothalamic nuclei. Furthermore  $\alpha_5$  was detected in the superior colliculus, ventral nuclei of the lateral lemniscus and nuclei of the trapezoid body (Pirker et al., 2000). The diazepam-insensitive  $\alpha$ -variants,  $\alpha_4$  and  $\alpha_6$ , are predominantly expressed in the thalamus (not including the ventral lateral geniculate, reticular and central medial nuclei), the striatum and nucleus accumbens, the tuberculum olfactorium and the molecular layer of the dentate gyrus ( $\alpha_4$ -isoform).  $\alpha_4$  subunits were also detected, even though less dominantly distributed, in the cerebral cortex (mainly outer layers), the hippocampus (CA1), the septum, the colliculus superior (outer layers) and the brainstem.

In granule cells of the cerebellum and the granular layer of the cochlear nuclei especially  $\alpha_6$ -comprising GABA<sub>A</sub>-receptors are expressed. Expression of  $\alpha_6$  in the olfactory bulb and the superior colliculus is still controversially discussed (Pirker et al., 2000).

In contrast to the different  $\alpha$ - subunits the  $\beta$ -variants are broadly distributed, in particular in the cerebral cortex. In some areas (mainly subcortical areas and the cerebellum), however, their distribution is complementary. As can be exemplified with high density of  $\beta_2$ - containing receptors in the pallidum and a lower density in the striatum, whereas  $\beta_3$  represents the main  $\beta$ -variant in the striatum and is found in low concentrations in the pallidum. Strong staining for  $\beta_1$  is found in the CA2 subfield of the hippocampus.  $\beta_2$  represents the main  $\beta$  variant in the thalamic nuclei (with exception of the reticular nuclei), moreover it can be found in many



interneurons throughout the brain as well as in the cerebellum (together with  $\beta_2$ ). In the hippocampus  $\beta_3$  is incorporated in most GABA<sub>A</sub> receptors (Pirker et al., 2000).

$\gamma_2$  represents the predominantly expressed  $\gamma$ - variant. High density of  $\gamma_2$  was found over the CNS with exception of the thalamus (olfactory bulb, cortex, hippocampus, amygdala, septum and basal forebrain, pallidum, hypothalamus). In contrast,  $\gamma_1$  subunits shows a very limited distribution pattern.  $\gamma_1$  was detected in the pallidum, substantia nigra, septum, medial and central amygdaloid nuclei and in the bed nucleus of the stria terminalis. Weak expression of  $\gamma_3$  mRNA was shown all over the CNS, stronger expression has been reported in cerebral cortex and the medial geniculate nucleus. There is also evidence for  $\gamma_3$  in the parabrachial nucleus.

$\delta$  subunits comprising GABA<sub>A</sub> channels are mainly located in the cerebellar granule cells, the thalamus, the dentate molecular layer and subiculum, cortex and striatum (Pirker et al., 2000; Korpi et al., 2002).

## **2. MODULATION OF GABA<sub>A</sub> RECEPTORS**

### **2.1 BENZODIAZEPINES**

Since their introduction in clinics, benzodiazepines (BZs) belong to the most frequently prescribed drugs due to their sedative and anxiolytic properties in the treatment of anxiety disorders, insomnia, muscle spasms and epilepsy (Bateson, 2004; Savic et al., 2008). Benzodiazepines with a short plasma half-life (such as triazolam) are usually administered for the treatment of sleep disorders in order to avoid next day “hangover” effects. Benzodiazepines prescribed for the treatment of anxiety disorders such as clonazepam or lorazepam, however, display a longer half-life (Bateson, 2004).

The use of benzodiazepines, however, is also associated with a number of unwanted side effects such as ataxia, daytime sedation, loss of coordination and impairment of memory and cognition (Kales et al., 1986; Kales et al., 1987). There is evidence that long-term administration of benzodiazepines is associated with the development of tolerance and an increased risk of physical and psychological dependence. After sudden cessation of benzodiazepine administration withdrawal symptoms are observed (Bateson, 2004). The underlying mechanism is still unknown. A simple down-regulation of the total GABA<sub>A</sub> receptor number does apparently not occur (Bateson, 2002).

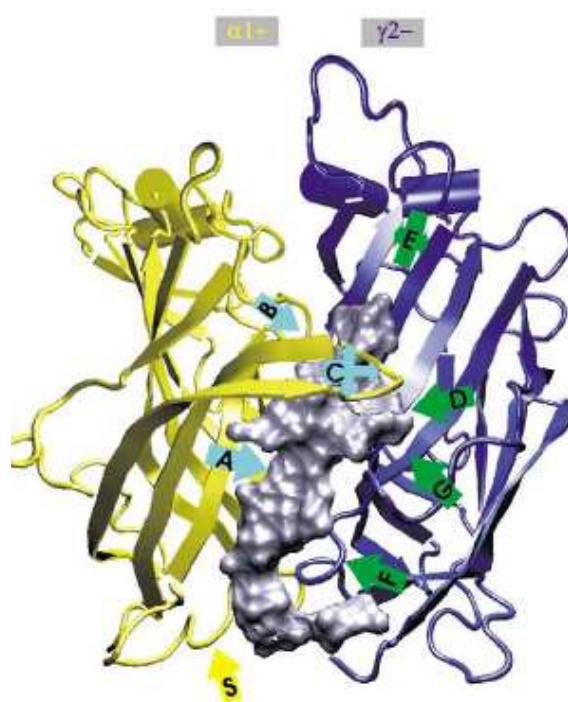
Until the discovery of a high-affinity binding site for benzodiazepines on GABA<sub>A</sub> receptors, the molecular target for benzodiazepines remained elusive (Mohler and Okada, 1977; Braestrup and Squires, 1977; Sigel and Buhr, 1997). Drugs not comprising the classical benzodiazepine structure such as  $\beta$ -carbolines, imidazopyridines, triazolopyridazines or

cyclopyrrolones have been shown to interact with the putative benzodiazepine binding site (Sieghart, 1995).

At synapses, GABA<sub>A</sub> receptors are activated by a rapid exposure to high concentrations of GABA. Benzodiazepines apparently prolong the decay of spontaneous miniature postsynaptic currents (mIPSC). It was shown that the amplitude of the mIPSCs is enhanced by benzodiazepines in different neuronal systems (Hajos et al., 2000) suggesting that the benzodiazepine-induced increased GABA affinity results in recruitment of additional GABA<sub>A</sub> receptors (Mohler et al., 2001).

It is assumed that the enhancement of inhibitory GABAergic neurotransmission induced by benzodiazepines is based on the prolonged decay of the mIPSC. The increase of mIPSC amplitude is probably not based on an enhancement of the mIPSC peak amplitude, but more likely reflects the summation of several mIPSCs due to the drug-induced prolongation of the current (Mody et al., 1994; Mohler et al., 2001).

### 2.1.1 THE BENZODIAZEPINE BINDING SITE



**Figure 9** Illustration of the putative benzodiazepine binding pocket. Side view of the  $\alpha$  and  $\gamma$  subunits, the membrane would be on the bottom of the figure. (Ernst et al., 2003).

The  $\alpha_1$  subunit containing residue  $\alpha_1$ H101 has been identified as the main subunit photoaffinity labelled by [ $^3$ H] flunitrazepam (Duncalfe et al., 1996). Additionally, a  $\gamma_2$  subunit is a prerequisite for GABA<sub>A</sub> receptor modulation by benzodiazepines (Sigel et al., 1990; Sigel and Buhr, 1997).

In multiple binding studies several amino acid residues have been shown to influence benzodiazepine binding: Mutation of  $\alpha_3$ E225G (glycine is the homologous residue in the  $\alpha_1$  subunit) increased the affinity for zolpidem and CI218872 (Pritchett and Seeburg, 1991). Substitution of H101 by arginine in the  $\alpha_1$  subunit ( $\alpha_1$ H101R) resulted in a complete loss of diazepam, zolpidem and CI218872 binding, whereas the binding affinity of [ $^3$ H]Ro 15-4513 was not affected (Wieland et al., 1992).

Mutations  $\alpha_6$ R100H,  $\alpha_6$ P161T,  $\alpha_6$ E199G and  $\alpha_6$ I210V (mutation to the corresponding residues in the  $\alpha_1$  subunit) drastically changed the affinities of benzodiazepine ligands (Wieland and Luddens, 1994). Mutation  $\alpha_1$ Y159S induced a complete loss of [ $^3$ H]flumazenil binding (Amin et al., 1997). After mutating Tyrosine 209 in the  $\alpha_1$  to glutamine ( $\alpha_1$ Y209Q) binding of [ $^3$ H]flumazenil and [ $^3$ H]flunitrazepam was abolished, while [ $^3$ H]muscimol binding was not affected (Buhr et al., 1997c). In contrast, mutation  $\alpha_1$ T206A increases the enhancement of  $I_{GABA}$  by diazepam and zolpidem (Buhr et al., 1996).

Two amino acids in the  $\gamma_2$  subunit ( $\gamma_2$ F77 and  $\gamma_2$ M130) confer benzodiazepine sensitivity (Buhr and Sigel, 1997; Buhr et al., 1997a,b; Wingrove et al., 1997). Mutation  $\gamma_2$ F77I leads to a complete loss of zolpidem, CI218872 and DMCM binding, whereas high-affinity flunitrazepam binding was not affected (Wingrove et al., 1997).

$\gamma_2$ F77Y apparently increased the affinity for zolpidem and CI218872 (Buhr et al., 1997b). Substitution of  $\gamma_2$ M130 by leucine results in drastically reduced zolpidem binding (Buhr and Sigel, 1997). I77 and L130 in  $\gamma_1$  subunits correspond apparently to F77 and M130 in  $\gamma_2$  subunits (Wingrove et al., 1997).

The ligand-binding sites of neurotransmitter receptors such as nicotinic ACh, glycine and GABA<sub>A</sub> receptors are apparently formed by homologous amino acid loops located at the subunit interfaces (Galzi and Changeux, 1995). There is evidence that the GABA binding site and the benzodiazepine binding pocket are homologous. Moreover, not only homologous amino acid loops, but also multiple homologous amino acids are involved in both GABA and benzodiazepine binding.  $\gamma_2$ F77/ $\alpha$ 64,  $\alpha$ 159/ $\beta$ 157,  $\alpha$ 206/ $\beta$ 202 and  $\alpha$ 209/ $\beta$ 205 are apparently directly homologous to each other, while  $\alpha$ 200,  $\alpha$ 211 and  $\gamma$ 130 are assumed to be located in

homologous regions to  $\beta 205$  and  $\alpha 120$ . It has therefore been suggested that many of the amino acid residues in the benzodiazepine binding site are directly homologous to amino acids in the GABA binding site. In analogy to GABA binding that is assumed to occur at  $\alpha/\beta$  subunit interface, the benzodiazepine binding pocket is also assumed to be located at a subunit interface. Interestingly, no homologous amino acid for  $\alpha_1\text{H101}$  has been identified in the GABA binding site (Sigel and Buhr, 1997).

Additional amino acids determining benzodiazepine binding affinity include  $\alpha\text{Y159}$ ,  $\alpha\text{Y161}$ ,  $\alpha\text{T206}$ ,  $\alpha\text{Y209}$ , amino acid residues that are located in different regions of the  $\text{GABA}_A$  protein, which have been suggested to be located close to each other. It has been proposed that both the  $\alpha$ - subunit and the  $\gamma$ -subunit fold back after 50 amino acid residues. The correct three-dimensional arrangement of the benzodiazepine pocket remains to be established. It has been suggested that the region 199-209 of the  $\alpha$ -subunit represents a  $\alpha$ -helix (Sigel and Buhr, 1997).  $\alpha_1\text{G200}$ ,  $\gamma_2\text{A79}$  and  $\gamma_2\text{T81}$  have been suggested to form part of the benzodiazepine binding pocket (Berezhnoy et al., 2003). The region comprising residues  $\gamma_2\text{F77}$ ,  $\gamma_2\text{A79}$  and  $\gamma_2\text{T81}$  is assumed to form a  $\beta$ -strand and to undergo a conformational change during the gating process. Flurazepam is in direct contact with  $\gamma_2\text{F77}$  and it might occupy space within the binding site that is close to  $\gamma_2\text{A79}$  (Teissère and Czajkowski, 2001). Although a direct interaction of flurazepam with the alanine residue is unlikely, the small size of alanine might be crucial for providing space for benzodiazepines of different size. Berezhnoy et al. (2003) suggested that  $\gamma_2\text{A79}$  is most probably located in the access pathway of the benzodiazepine ligand to the binding pocket. Moreover, residue  $\gamma_2\text{D75}$  was suggested to play an important role in maintaining the architecture of the benzodiazepine binding pocket (Teissère and Czajkowski, 2001).

An interaction of the 5'-phenyl substituent of classical benzodiazepines with  $\gamma_2\text{F77}$  via  $\pi$ - $\pi$  stacking was hypothesized by Buhr et al., 1997b and Sigel et al., 1998. Amino acid residue  $\alpha_1\text{H101}$  seems to be involved (McKernan et al., 1998; Davies and Dunn, 1998) as  $\alpha_1\text{H101C}$  covalently interacts with a reactive group attached to the carbon atom in diazepam that normally carries a chloride atom (Berezhnoy et al., 2003).

### **2.1.2 BENZODIAZEPINE MECHANISM OF ACTION**

Binding of benzodiazepines is only the first step in enhancing  $I_{\text{GABA}}$  (for review, see Colquhoun, 1998). The second step is a conformational change (Boileau and Czajkowski, 1999) coupling benzodiazepine binding to an increased open frequency of  $\text{GABA}_A$  channels

(Rogers et al., 1994). In contrast to barbiturates or neuroactive steroids benzodiazepines enhance GABA binding and increase the frequency of GABA-gated channel opening (Macdonald and Twyman, 1992; Vicini et al., 1987). They do, however, neither directly gate the receptor nor they alter the mean open time of the channel (Vicini et al., 1987; Campo-Soria et al., 2006).

Although binding occurs at the N-terminus, there are multiple domains of the receptor involved in transducing binding to an enhancement of GABA<sub>A</sub> receptor current (Jones-Davies et al., 2005). The classical concept of benzodiazepine enhancement of I<sub>GABA</sub> is explained by an increase of the apparent affinity of the GABA binding site for the agonist (Campo-Soria et al., 2006). From single channel studies there is evidence, that diazepam increases the rate at which monoliganded receptors open, although actually two GABA molecules are required for channel opening (Twyman et al., 1989; Rogers et al., 1994). It has been suggested that binding of benzodiazepines to the interface of  $\alpha$  and  $\gamma_2$  subunits induces a conformational change that shortens the distance between the two subunits therefore transducing binding to allosteric modulation of GABA<sub>A</sub> receptors (Teissère and Czajkowski, 2001). Campo-Soria et al. (2006) suggested that benzodiazepine binding destabilizes the closed state of the receptor and benzodiazepines might act as very weak partial agonists for the GABA<sub>A</sub> receptor.

Making use of chimeras ( $\gamma_2$ - $\delta$ ) and site-directed mutagenesis a crucial role of the distal portion of the M1 region, the distal portion of the M2 region and the M2-3 linker in coupling was identified. The pre-M1 region plays apparently a crucial role in transducing binding to gating. This hypothesis is based on the assumption that the pre-M1 region physically connects both the N-terminus (harbouring the putative binding site) and the M2-region (Jones-Davis et al., 2005).

Further support for such a scenario comes from a GABA<sub>A</sub> receptor model by Trudell and Bertaccini (2004) indicating that the N-terminal region of the M1 before the conserved P243 lines the receptor pore by intercalating between M2 channel-lining domains. Three residues  $\gamma_2$ Y235,  $\gamma_2$ F236 and  $\gamma_2$ T281 have been identified in this region to be crucial for transduction (Jones-Davis et al., 2005). Residues  $\gamma_2$ I282 and  $\gamma_2$ T281 in the M2-M3 linker region - that are also involved in formation of an anaesthetic binding site (Jenkins et al., 2001)- have been shown to participate in “benzodiazepine transduction”, suggesting a scenario where the physically adjacent and intercalated extracellular M1 and M2 domains of the receptor act in concert to enhance GABA<sub>A</sub> receptor gating (Jones-Davis et al., 2005).

It has been proposed that diazepam induces a conformational change of GABA<sub>A</sub> receptors that is structurally distinct from the closed, open, GABA-bound or desensitised states (Williams

and Akabas, 2000). However, Bianchi and Macdonald (2001) reported an enhancement of spontaneous GABA<sub>A</sub> receptor currents by benzodiazepines, a finding that does not agree with the classical mechanism of benzodiazepine action by enhancing microscopic affinity of the GABA binding site.

The latter concept suggests that benzodiazepines facilitate gating by a mechanism similar to that of GABA. An analogy between gating models of ACh receptors (Unwin et al., 2002) and GABA<sub>A</sub> receptors (Kash et al., 2003) suggests that GABA binding to the interface of  $\alpha$  and  $\beta$  subunits initiates a conformational change in the N-terminal region of the  $\beta$ -subunit. This conformational change results in a rotational opening of the girdle of the pore via the M2-M3 linker- a process that requires a certain amount of energy. Structurally, these domains are localized in a manner to promote communication between these domains, as the N-terminal loop 7 is localized in parallel to the M2-M3 linker. Both loops have been shown to be flexible. On agonist binding the N-terminus undergoes a constriction resulting in a movement of the M2-M3 loop to the loop 7 of the N-terminus (Kash et al., 2003; Jones-Davies et al., 2005).

Benzodiazepine binding at the  $\alpha/\gamma$  interface might use an analogous pin-into-socket mechanism, which couples the N-terminal binding pocket (pin) through the M2 and the proximal portion of the M2-M3 loop (socket) (Jones-Davies et al., 2005).

Transduction via the  $\gamma_2$  subunit might reduce the energy required for activation of GABA<sub>A</sub> receptor agonist binding sites by supplying a certain amount of energy of its own, that is, however, not sufficient to induce an opening of the channel. Jones-Davies et al. (2005) assumed, that benzodiazepines affect GABA<sub>A</sub> receptors by lowering the energy barrier that is required for GABA-mediated gating.

Benzodiazepines produce a biphasic enhancement of  $\alpha_1\beta_2\gamma_{2L}$  receptors. The first component in the nanomolar concentration range is dependent on the presence of a  $\gamma$ -subunit and sensitive to antagonism by flumazenil. The low affinity component is mediated via  $\alpha_1\beta_2$  receptors that are sensitive to benzodiazepines at micromolar concentrations. Two residues in the M2 and M3 region have been identified to confer sensitivity to the micromolar component of potentiation without affecting the nanomolar component (Walters et al., 2000).

### **2.1.3 EFFECTS OF BENZODIAZEPINES ARE MEDIATED BY DIFFERENT GABA<sub>A</sub> RECEPTOR SUBTYPES**

The relevance of GABA<sub>A</sub> receptor subtypes in mediating the different effects of benzodiazepines was established based on a gene knock-in strategy. Mice carrying mutations in the benzodiazepine binding pocket (point mutations  $\alpha_1$ H101R,  $\alpha_2$ H101R,  $\alpha_3$ H1261R or

$\alpha_5$ H105R render the receptor insensitive to benzodiazepine modulation) were generated. These mice were expected to fail to display some effects of benzodiazepines and thus, the contribution of a certain subtype to a certain effect of benzodiazepines could be deduced (Rudolph et al., 1999; Rudolph et al., 2001; Mohler et al., 2002).

### **2.1.3.1 GABA<sub>A</sub> RECEPTORS MEDIATING SEDATIVE-HYPNOTIC EFFECTS**

Sedative and hypnotic effects by benzodiazepines are mediated by GABA<sub>A</sub> receptors containing  $\alpha_1$  subunits. This was demonstrated by the lack of motor activity depressing by diazepam and zolpidem action in the  $\alpha_1$ H101R mouse line (Rudolph et al., 1999; Crestani et al., 2000). This finding is supported by McKernan et al. (2000) with  $\alpha_1$ -subunit-selective benzodiazepine binding site ligands.

Sedative effects of anaesthetics such as etomidate are also likely to be mediated by  $\beta_2$  subunit containing receptors. A similar approach was chosen (rendering the  $\beta_3$  subunit insensitive to modulation of etomidate by the mutation  $\beta_3$ N265M). It was shown that mutant mice recovered their motor abilities quicker than wild type mice after i.p. application of etomidate (Reynolds et al., 2003).

### **2.1.3.2 GABA<sub>A</sub> RECEPTORS MEDIATING AMNESIA**

Anterograde amnesia is one of the typically reported side effects of benzodiazepine treatment. The impairment of memory by diazepam is related to  $\alpha_1$  containing receptors (Rudolph et al., 1999; Mohler et al., 2001)

### **2.1.3.3 GABA<sub>A</sub> RECEPTORS MEDIATING ANTICONVULSANT EFFECTS OF BENZODIAZEPINES**

The anticonvulsant effect of diazepam is markedly decreased in the  $\alpha_1$ H101R mouse line. There is evidence that other than  $\alpha_1$  containing GABA<sub>A</sub> receptors are involved in mediating the anticonvulsant effects, as the residual activity in  $\alpha_1$ H101R mice could be reversed by flumazenil. Thus, GABA<sub>A</sub> receptors containing  $\alpha_1$  subunits are assumed to mediate partially but not elusively the anticonvulsant effects of benzodiazepines (Mohler et al., 2001).

#### **2.1.3.4 GABA<sub>A</sub> RECEPTORS MEDIATING ANXIOLYTIC EFFECTS OF BENZODIAZEPINES**

There is evidence that anxiolysis after benzodiazepine treatment results from modulation of a population of neurons expressing GABA<sub>A</sub> receptors containing  $\alpha_2$  subunits, as the  $\alpha_2$  mutated mouse line is resistant to the anxiolytic effects of benzodiazepines. It was therefore suggested that ligands selectively interacting with  $\alpha_2$ -containing GABA<sub>A</sub> receptors represent promising leads for future anxiolytic drugs (Low et al., 2000). Moreover, the majority of GABA<sub>A</sub> receptors expressed in the amygdala, an area associated with strong impact on anxiety and fear, contain  $\alpha_2$  subunits (LeDoux, 2000; Pirker et al., 2000). However, there is growing evidence, that drugs specifically modulating  $\alpha_3$  containing receptors also exert anxiolytic effects (McKernan et al., 2000). It has been thus suggested that both  $\alpha_2$  and  $\alpha_3$  containing receptors contribute to the anxiolytic effects of benzodiazepines (Atack et al., 2006). The  $\alpha_2$ H101R mice failed, however, to display the anxiolytic effects of diazepam. It was suggested that higher doses of diazepam are required to achieve anxiolysis in the mouse line and that the anxiolytic effect might have been obscured by sedation (Morris et al., 2006). An  $\alpha_3$ -selective inverse agonist has been reported to be anxiogenic (Collins et al., 2002), whereas an agonist selectively interacting with  $\alpha_3$ -comprising receptors has been shown to be anxiolytic *in vivo* (Dias et al., 2005). Another compound with activity on  $\alpha_2$ ,  $\alpha_3$  and  $\alpha_5$  containing receptors while displaying no activity on  $\alpha_1$  containing receptors has been shown to reduce anxiety in both wild type and  $\alpha_2$ H101R mice. These findings underline the role of  $\alpha_3$ -containing receptors in anxiolysis. A contribution of  $\alpha_5$ -containing receptors to anxiolysis is unlikely (Collinson et al., 2002; Morris et al., 2006).

#### **2.1.3.5 GABA<sub>A</sub> RECEPTORS MEDIATING MYORELAXATION**

There is evidence that the myorelaxant effects of benzodiazepines are mainly mediated by GABA<sub>A</sub> receptors containing  $\alpha_2$  subunits (Crestani et al., 2001), however at high doses  $\alpha_3$  containing receptors are also involved (Mohler et al., 2001).

#### **2.1.3.6 GABA<sub>A</sub> RECEPTORS MEDIATING ANALGESIC EFFECTS**

A common concomitant phenomenon of inflammatory diseases and neuropathic insults is severe and debilitating pain that might become chronic and that does not respond to analgesic treatment. An impaired synaptic inhibition in the spinal dorsal horn is assumed to contribute essentially to this phenomenon. Enhancement of spinal GABAergic neurotransmission via



enhancement of GABA<sub>A</sub> receptors might, thus, be a promising approach. In the spinal dorsal horn presumably GABA<sub>A</sub> receptors containing  $\alpha_2$  and/or  $\alpha_3$  containing receptors are expressed. Selectively targeting of these receptors may induce analgesia (Knabl et al., 2008). A benzodiazepine site ligand selectively interacting with GABA<sub>A</sub> receptors containing  $\alpha_2$ ,  $\alpha_3$  or  $\alpha_5$  subunits is highly efficient in relieving inflammatory and neuropathic pain, without exhibiting unwanted sedation, motor impairment and tolerance development (Knabl et al., 2008).

#### **2.1.4 MODULATION OF GABA<sub>A</sub> RECEPTORS CONTAINING $\gamma_1$ SUBUNITS**

Substitution of  $\gamma_2$  by  $\gamma_1$  or  $\gamma_3$  drastically alters the effects of benzodiazepines on GABA<sub>A</sub> receptors (Hevers and Luddens, 1998).  $\gamma_1$  and  $\gamma_3$  subunits are apparently less abundant in the central nervous system compared to  $\gamma_2$  (Pirker et al., 2000; Sieghart and Sperk, 2002). The pharmacology of  $\gamma_1$  and  $\gamma_3$  containing receptors is, however, less well understood compared to  $\gamma_2$  containing subtypes. Ymer et al. (1990) reported a reduced affinity for the benzodiazepine antagonist flumazenil and the inverse agonist DMCM (methyl-6,7-dimethoxy-4-ethyl- $\beta$ -carboline) in  $\alpha_1\beta_1\gamma_1$  receptors. Ro 15-4513,  $\beta$ -CCM and DMCM changed their direction of action and became positive modulators of  $\alpha_{1/2/3}\beta_1\gamma_1$  receptors compared to  $\alpha_{1/2/3}\beta_1\gamma_2$  receptors. Our group investigated the interaction of 21 ligands of the benzodiazepine binding site with  $\alpha_1\beta_2\gamma_1$  receptors. Triazolam, clonazepam, midazolam, CGS 20265 and CGS 9896 were identified as potent and efficient modulators of GABA<sub>A</sub> receptors containing  $\gamma_1$  subunits (Khom et al., 2006).

#### **2.2 BARBITURATES**

After introduction of barbiturates in medical practice in 1934, these drugs interact with multiple targets in the central nervous system. Besides inhibition of excitatory amino acid-gated receptors (Marszalec and Narahasi, 1993) and voltage-gated Ca<sup>2+</sup> channels (Leslie, 1987) GABA<sub>A</sub> receptors represent the major target for hypnotic-anaesthetic barbiturates such as pentobarbital and phenobarbital (Rho et al., 1996). There is evidence that barbiturates exert three different actions on GABA<sub>A</sub> receptors: at low concentrations they potentiate agonist-induced currents (Macdonald and Barker, 1979), at higher concentrations they directly activate the receptor in the absence of an agonist (Yang and Olsen, 1987) and at even higher concentrations, barbiturates block GABA<sub>A</sub> receptors (Drafts and Fisher, 2006).

On the single channel level, barbiturates increase the mean open time of GABA-activated channels (Macdonald et al., 1989). Interestingly, the kinetic properties of GABA-induced currents in the presence of modulating concentrations of pentobarbital differ from GABA-induced currents in the absence of pentobarbital (Rho et al., 1996).

So far, binding of barbiturates to GABA<sub>A</sub> receptors could not be investigated, as barbiturates exhibit a low receptor affinity (Sieghart, 1995). Barbiturates enhance [<sup>3</sup>H]GABA, [<sup>3</sup>H]muscimol and [<sup>3</sup>H]flunitrazepam binding (Olsen, 1982). Moreover, in contrast to convulsants such as picrotoxinin, TBPS, pentamethylenetetrazole that inhibit [<sup>35</sup>H]TBPS binding competitively, central depressant barbiturates allosterically modulate the [<sup>35</sup>H]TBPS binding site. Thus, the barbiturate binding site is presumably distinct from the GABA, benzodiazepine or DHP/TBPS binding site (Sieghart, 1995).

The three distinct actions of barbiturates on GABA<sub>A</sub> receptors are dependent on the subunit composition (Thompson et al., 1996). Modulation and direct activation are apparently structurally separable (Dalziel et al., 1999; Chang et al., 2003). In general, there is evidence that  $\alpha$  and  $\beta$  subunit isoforms influence the modulation of GABA<sub>A</sub> receptors by barbiturates (Thompson et al., 1996). Receptors comprising  $\beta_2$  or  $\beta_3$  subunits are more efficiently modulated by barbiturates than those containing  $\beta_1$  subunits (Drafs and Fisher, 2006).

Mutation T262Q in the  $\beta_1$  subunit abolishes modulation of GABA<sub>A</sub> receptors by barbiturates. This, however, does not affect direct activation (Dalziel et al., 1999) as well as mutation  $\beta_2$ G219F eliminates the potentiating effect of pentobarbital (Carlson et al., 2000).

$\beta_3$  containing GABA<sub>A</sub> receptors seem to contribute to some of the *in vivo* effects of barbiturates.  $\beta_3$ N265M mice showed a strongly reduced duration of the loss of righting reflex and lacked completely the loss of hindlimb withdrawal reflex (Zeller et al., 2007).

### **2.2.1 DIRECT ACTIVATION OF GABA<sub>A</sub> RECEPTORS BY BARBITURATES**

Several point mutations in the  $\beta_2$  subunit (mutation of residues  $\beta_2$ Y157,  $\beta_2$ T160,  $\beta_2$ T202 and  $\beta_2$ Y205) abolished activation of GABA<sub>A</sub> receptors by GABA, however did not affect direct activation by barbiturates. This suggests the existence of two distinct sites for activation by GABA and barbiturates (Amin and Weiss, 1993; Rho et al., 1996). Bicuculline and picrotoxin block pentobarbital-induced currents, the latter, however, with higher efficiency. Bicuculline was assumed to inhibit the action of pentobarbital allosterically. Upon rapid termination of barbiturate perfusion of cells expressing GABA<sub>A</sub> receptors a transient increase (rebound) in both pentobarbital-induced or pentobarbital-potentiated GABA-induced current was observed,

that could result from a rapid dissociation from a low-affinity channel blocking site facing a relatively slow relaxation of the agonist effect (Rho et al., 1996).

The  $\alpha$ -subunit isoform of GABA<sub>A</sub> receptors substantially affects direct activation by barbiturates. Pentobarbital gates most efficiently GABA<sub>A</sub> receptors comprising  $\alpha_6$  subunits (Thompson et al., 1996). A single amino acid residue located in the N-terminal domain of the  $\alpha_6$  subunit ( $\alpha_6$ Thr69) regulates the efficacy of pentobarbital. When mutated to either lysine, histidine or isoleucine this residue drastically reduces the ability of pentobarbital to activate GABA<sub>A</sub> receptors directly. There is evidence that residue  $\alpha_6$ Thr69 lies at the end of loop D (Drafts and Fisher, 2006). The  $\beta$  subunit is assumed to contribute to the GABA binding site via its “plus side” including loops A and B and the  $\alpha$  subunit forms the “minus side” by providing loops D and E (Ernst et al., 2005). Thus,  $\alpha_6$ Thr69 is suggested to play an important role in pentobarbital binding or in transducing the binding signal (Drafts and Fisher, 2006).

## 2.3 GENERAL AND VOLATILE ANAESTHETICS

The ways in which general anaesthetics produce their effects on the CNS are still not completely understood. Historically, general anaesthetics were considered to interfere with membrane fluidity, thereby affecting cellular events. Recent evidence suggests that anaesthetics interact with proteins within the plasma membrane (Franks and Liebs, 1994). Several ion channels are modulated by anaesthetics at clinically relevant concentrations (Krasowski and Harrison, 1999; Thompson and Wafford, 2001). The GABAergic system is considered to be a likely target for multiple anaesthetics (Reynolds et al., 2003). Only ketamine,  $\alpha_2$ -adrenergic agonists and xenon target receptors other than GABA<sub>A</sub> channels (Franks et al., 1998; Belelli et al., 1999; Miller et al., 2002). In analogy to barbiturates (see chapter 2.2) anaesthetics such as propofol or isoflurane exert three distinct effects on GABA<sub>A</sub> receptors: at clinically relevant concentrations they enhance GABA-induced chloride currents, at concentrations higher than those required for potentiation they directly gate GABA<sub>A</sub> receptors in the absence of GABA and at still higher concentrations, they block both GABA- and anaesthetic-induced currents (Orser et al., 1994; Belelli et al., 1999; Bali and Akabas, 2004; Hemmings et al., 2005; Rosen et al., 2007). The different actions may also reflect distinct binding sites. Support for this hypothesis comes from the observation that the conformation of segment M3 and the surrounding domains are distinct in the presence of enhancing or directly gating concentrations of propofol (Williams and Akabas, 2002). Direct activation, at least for some anaesthetics, is apparently mediated via a binding site distinct from the GABA binding pocket (Rosen et al., 2007). Single channel studies suggest that

general anaesthetics and GABA induce a similar conductance, however infer different kinetics (Jackson et al., 1982). The open-state structure of the channel seems to be similar in the presence of either general anaesthetics or GABA. Upon activation by GABA residues  $\alpha_1$ T261 and  $\beta_1$ T256 are brought in close proximity. This effect is not seen at potentiating concentrations of anaesthetics, but during direct activation induced by anaesthetics (Rosen et al., 2007). There is evidence that the occupancy of these sites stabilises different receptor states or an ensemble of receptor states (Rosen et al., 2007). Single channel studies revealed that- in analogy to barbiturates- general anaesthetics increase the channel mean open time (Twyman and Macdonald, 1992).

Several amino acid residues involved in mediating the effects of both intravenous and volatile anaesthetics have been identified: mutations in the  $\alpha$ -subunit affect the effects of volatile anaesthetic, while intravenous anaesthetics are sensitive to mutations in the  $\beta$ -subunit (Belelli et al., 1997; Mihic et al., 1997; Mascia et al., 2000; Krasowski et al., 2001; Nishikawa et al., 2002).

Insights into putative anaesthetic binding sites were provided by studies on mutant receptors or chimeras (Williams and Akabas, 2002; Bali and Akabas, 2004). However, it is almost indistinguishable to judge whether these residues are involved in ligand binding or in transducing the effect (Colquhoun, 1998). Despite these limitations there is evidence that anaesthetics interact with GABA<sub>A</sub> receptors in a region close to the extracellular end of membrane spanning domains (Belelli et al., 1999; Krasowski and Harrison, 1999; Miller, 2002). This region has been suggested to be lined by the non-channel lining face of the M2 segment (Xu and Akabas, 1996) and by residues from the M3 as well as from M1 and M4 (Bali and Akabas, 2004).

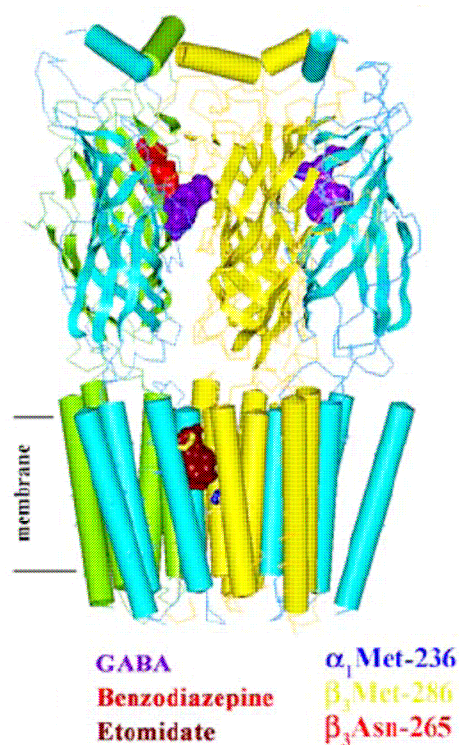
### **2.3.1 ETOMIDATE**

Etomidate enhances chloride currents through GABA<sub>A</sub> receptors at clinically relevant concentrations (Belelli et al., 2003). The estimated effective plasma levels of etomidate correlate well with the concentrations required for modulation of GABA<sub>A</sub> receptors (Krasowski and Harrison, 1999).

The activity of etomidate is enantioselective and highly dependent on  $\beta_2$  or  $\beta_3$  subunit incorporation into GABA<sub>A</sub> receptors (Hill-Venning et al., 1997; Belelli et al., 2003). This selectivity is apparently conferred by an asparagine residue in position 265 ( $\beta_2$ N265) in the M2 domain, which distinguishes this ligand from pentobarbital (McGurk et al., 1998; Belelli

et al., 2003). The same residue is crucial for the activity of loreclezole (Wingrove et al., 1994; see also chapter 2.7.1.).

*In vivo*, N265 also determines the  $\beta$ -selectivity for etomidate (Belelli et al., 1997). Knock-in mice ( $\beta_3$ S265M) showed a reduced sensitivity to anaesthetic effects of etomidate and propofol (Jurd et al., 2003). It remained uncertain, whether these residues contribute to anaesthetic binding or allosteric modulation of gating. By means of a photoreactive analogue of etomidate ( $[^3\text{H}]$ azietomidate) amino acids contributing to a putative anaesthetic binding site were identified. The identified residues,  $\alpha_1$ M236 and  $\beta_1$ M286, are likely to border a pocket in the transmembrane domain (located at the same subunit interfaces as the GABA binding site) (Li et al., 2006). The subunit stoichiometry of  $2\alpha:2\beta:1\gamma$  (Chang et al., 1996; Tretter et al., 1997) and the arrangement of GABA<sub>A</sub> receptor subunits (Baumann et al., 2002) predicts two interfacial etomidate sites per channel (Stewart et al. 2008).



**Figure 10** Illustration of an azietomidate binding site in a GABA<sub>A</sub> receptor homology model. Pockets in proximity of the binding sites for etomidate (maroon), GABA (purple), and benzodiazepines (red) are shown (Li et al., 2006).

#### *Proposed orientation of etomidate in its binding pocket*

The N3 atom of etomidate and the side-chain amine group of  $\beta_2$ Asn265 in the M2 region presumably form a hydrogen-bond. The imidazole ring of Trp237 in the M1 region and the phenyl group of etomidate were proposed to interact via a  $\pi$ - $\pi$  stacking. Other residues located in the putative binding pocket include Ile230, Leu231, Thr233, Ile234 and Ser236 in

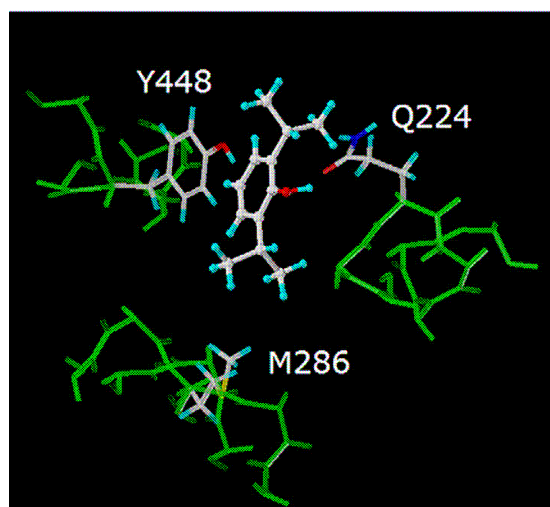
M1, Met261 in M2, and Phe293, Leu296, Leu297, and Ala300 in M3 (Campagna-Slater and Weaver, 2007).

### 2.3.2 PROPOFOL

The intravenous anaesthetic propofol increases the chloride conductance and the open probability of GABA<sub>A</sub> channels (Hales and Lambert, 1991). As reported for other anaesthetics e.g. methohexital (Koltchine et al., 1996), etomidate (Uchida et al., 1995; Sanna et al., 1997), alphaxalone (Horne et al., 1993), or isoflurane (Harrison et al., 1993; Mihic et al., 1994), propofol action does not require  $\gamma$  subunits (Jones et al., 1995). There is evidence for an involvement of the segment M3 in propofol binding (Bali and Akabas, 2003).  $\beta_2$ M286 has been suggested to play a major role, as mutating this residue abolished I<sub>GABA</sub> enhancement without affecting direct activation (Krasowski et al., 1998). Mutation of  $\beta_2$ Tyr157, a residue located in the GABA binding site, decreases the direct receptor activation by propofol, but did not affect the potentiating activity (Fukami et al., 1999). These findings suggest that propofol acts via two distinct sites on GABA<sub>A</sub> receptors (Bali and Akabas, 2003). Residues potentially contributing to the binding site for propofol on GABA<sub>A</sub> receptors were identified by analysing the crystal structure of two propofol molecules bound to human serum albumin. The phenolic hydroxylgroup bonds to a backbone carbonyl at one site and a serine hydroxyl at the other side (Bali and Akabas, 2003).

Campagna-Slater and Weaver (2007) proposed that propofol on GABA<sub>A</sub> receptors is located in close proximity to the membrane-extracellular interface between M1 and M4, close to M3. The propofol hydroxyl-hydrogen atom might form a bond to the side-chain carbonyl group of  $\beta_2$ Gln224. Moreover, a  $\pi$ - $\pi$  stacking interaction has been suggested to occur between the phenyl ring of residue Tyr448 and in addition a weak hydrogen-bond between the Tyr448 side-chain hydroxyl hydrogen atom and the propofol hydroxyl oxygen atom. Residues Gln224 and Tyr448 are conserved in all  $\beta$  subunit isoforms. This is consistent with the lack of  $\beta$ -subunit specificity of propofol. Other residues that have been suggested to interact with propofol molecule include Leu223, Thr225 and Tyr226 in M1, Leu285, Phe289 and Phe293 in M3, and Tyr444 and Trp445 in M4. The binding cavity for propofol has been suggested to open between the M1 and M4 segments on the extracellular side of the membrane, thus not the whole molecule is buried in the membrane, but one of the isopropyl groups might extend out in this opening (Campagna-Slater and Weaver, 2007). Mutation of residue  $\beta_2$ Y444W selectively suppresses the ability of propofol to enhance GABA<sub>A</sub> receptor function while normal sensitivity to etomidate and pregnenolone is retained. Propofol's reduced sensitivity

in this mutant is in line with the loss of a hydrogen bond within the propofol binding site (Richardson et al., 2007).



**Figure 11** The docked propofol molecule in the putative binding site on the  $\beta_2$  subunit on GABA<sub>A</sub> (Campagna-Slater and Weaver, 2007).

*In vivo*,  $\beta_3$ M265S knock-in mice propofol failed to show any anaesthetic effect (Jurd et al., 2003), although the action of propofol is independent of a particular  $\beta$ -subunit variant (Hill-Venning et al., 1997).

### 2.3.3 VOLATILE ANAESTHETICS

The CNS depressant effects of volatile anaesthetics have first been assumed to be non-specific actions (Janoff et al., 1981). At clinically relevant concentrations GABA<sub>A</sub> and glycine receptor mediated currents are enhanced (Franks and Lieb, 1994). Interestingly, the effect on GABA<sub>C</sub> receptors has been shown to be less pronounced (Mihic et al., 1997).

The size of the putative binding site for volatile anesthetics has been proposed to range between 250 and 370Å. Amino acids  $\alpha$ L232,  $\alpha$ S270, and  $\alpha$ A291 (M1, M2, and M3, respectively) define a binding cavity for small anesthetic molecules (Jenkins et al., 2001).

Jenkins et al. (2001) reported later that the data for mutants L232F and S270H suggest that the anesthetics do not fit into the putative binding cavity in an identical manner. Mutation L232F did not affect isoflurane, while the enhancement of halothane was reduced. Halothane (unlike isoflurane) was active at the S270H mutant, suggesting a closer contact with L232 than isoflurane, which may instead be in close contact to S270. Introducing bulkier residues in

these positions results in a loss of sensitivity to both isoflurane and halothane (Jenkins et al., 2001).

$\alpha$ Ser270 is likely to be involved in binding ethanol and isoflurane, as the GABA<sub>A</sub> receptors carrying the  $\alpha$ 2S270C mutation were irreversibly enhanced by an alkanethiol anesthetic and the sulfhydryl reagent propyl methanethiosulfonate. The alkylated the  $\alpha$ 2S270C mutant was insensitive to isoflurane (Mascia et al., 2000) suggesting that  $\alpha$ 2S270 is indeed involved in the binding of volatile anaesthetics such as isoflurane (Jenkins et al., 2001). Isoflurane and sevoflurane evoke currents in the absence of GABA and similar to picrotoxin induce an open-channel block (Haseneder et al., 2002). Isoflurane and picrotoxin even might share a common binding site in the channel pore (Edwards and Lees, 1997), as picrotoxin suppresses the induction of a tail current on the removal of isoflurane, whereas bicuculline lacks this effect (Haseneder et al., 2002).

## 2.4 NEUROSTEROIDS

In the 1940s, metabolites of the hormone progesterone were identified as potent sedatives and anaesthetics (Seyle, 1941; Seyle, 1942). As the central depressant effect of such steroids occurs with a rapid time course, a genomic mechanism of action was excluded (Lambert et al., 2003).

Steroids have later been established as highly selective and potent positive allosteric modulators of GABA<sub>A</sub> receptors in multiple *in-vitro* experiments (Lambert et al., 2003).

Although endocrine glands such as ovaries and adrenals represent relevant sources of endogenous GABA<sub>A</sub> receptor active steroids, there is, however, evidence that certain neurons and glial cells possess the enzyme repertoire required for local synthesis of steroids. The brain may thus function in a paracrine fashion by locally influencing inhibitory neurotransmission. The mechanism by which neurosteroids are released *in-vivo* from neurons and glia is yet not fully understood (Lambert et al., 2003). Steroids do not affect GABA<sub>A</sub> channel single conductance, but they greatly facilitate the open state of GABA<sub>A</sub> receptors (Lambert et al., 1995).

Endogenous neurosteroids are potent modulators of all GABA<sub>A</sub> receptor isoforms. GABA<sub>A</sub> receptors are sensitive to low nanomolar concentrations of neurosteroids that have been shown to occur physiologically. At such concentrations (observed during stress, alcohol intoxication and oestrus) they potentiate GABA-induced currents, while at submicromolar to micromolar concentrations, which occur e.g during parturition, they gate the channel directly



(Lambert et al., 2003; Hosie et al., 2006). Thus, the major inhibitory neurotransmitter receptor in the mammalian CNS might be affected by both remote endocrine and local paracrine “fine-tuning” by neuroactive steroids (Lambert et al., 2003). *In-vivo* neuroactive steroids exhibit a behavioural profile resembling that of other positive allosteric modulators of GABA<sub>A</sub> channels. Neurosteroids are anxiolytic, anticonvulsant and sedative, and at higher concentrations neuroactive steroids induce general anaesthesia (Rupprecht, 2003).

To regulate GABA<sub>A</sub> receptor function neurosteroids require a C3 $\alpha$  hydroxylgroup on the A-ring and a C20 ketone in the D-ring, indicating possible hydrogen bonding with polar and/or charged residues (Harrison et al., 1987).

#### **2.4.1 INFLUENCE OF THE SUBUNIT COMPOSITION ON NEUROSTEROID ACTION ON GABA<sub>A</sub> RECEPTORS**

In contrast to benzodiazepines (Sieghart, 1994; Sieghart, 1995) the modulatory effect by neurosteroids does not depend on a particular  $\alpha$ - isoform ( $\alpha_{1-6}$ ) (Belelli et al., 2002). 3 $\alpha$ ,5 $\beta$ -5 $\beta$ -pregnan-3 $\alpha$ -ol-20-one (3 $\alpha$ , 5 $\beta$ - THPROG) produces a 6-7 fold enhancement of I<sub>GABA</sub>. However, on receptors containing  $\alpha_6$  subunits the enhancement was markedly stronger (12-fold), suggesting a preference of neuroactive steroids for receptors containing  $\alpha_6$  subunits. The apparent affinity for neuroactive steroids is not affected by the  $\alpha$ -isoform present (Belelli et al., 2002).

Small differences in apparent affinities might be of relevance, however, considering the concentrations of neurosteroids known to occur physiologically (Lambert et al., 2003). The relative insensitivity of  $\alpha_4\beta_1\gamma_2$  receptors is of particular interest, since following progesterone withdrawal expression of this receptor subtype is increased. This up-regulation is apparently not mediated by progesterone itself, but by the GABA<sub>A</sub> receptor active metabolite 3 $\alpha$ , 5 $\beta$ -THPROG (Follesa et al., 2001). The steroid sensitivity of GABA<sub>A</sub> channels containing  $\alpha_4$  subunits is strongly dependent on the subunits co-expressed, as  $\alpha_4\beta\delta$  containing receptors are highly sensitive for neurosteroids (Belelli et al., 2002).

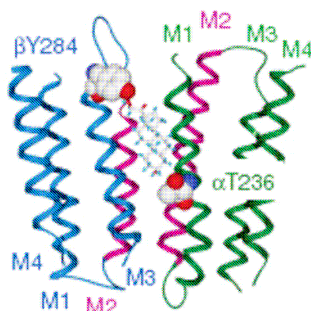
The  $\beta$ -variant has only little influence on the modulatory effect of neurosteroids (Sanna et al., 1997 Belelli et al., 2002, Lambert et al., 2003) and expression of a  $\gamma$ -subunit is not a prerequisite for neurosteroid modulation of GABA<sub>A</sub> receptors. Replacement of  $\gamma$ -subunits by  $\delta$ -subunits has been demonstrated to increase the sensitivity of GABA<sub>A</sub> receptors for steroids (Belelli et al., 2002). Receptors composed of  $\alpha_4$ ,  $\beta_3$  and  $\delta$  (a subunit combination expressed in the thalamus) are highly sensitive to steroid modulation compared to the corresponding  $\gamma_2$ -

containing receptor (Belelli et al., 2002). In  $\delta(-/-)$  mice neurosteroids fail to show anticonvulsant and anaesthetic properties (Mihalek et al., 1999; Lambert et al., 2003). There is evidence that receptors containing  $\epsilon$ -subunits are spontaneously active. GABA<sub>A</sub> receptors containing  $\epsilon$ -subunits were directly activated by steroids. These results are, however, difficult to interpret, because it is not clear whether the observed effect is due to direct gating of GABA<sub>A</sub> channels or a modulation of the spontaneous channel activity (Belelli et al., 2002; Thompson et al., 2002; Lambert et al., 2003).

## 2.4.2 THE NEUROSTEROID BINDING SITE

An azide derivative of  $3\alpha, 5\beta$ - THPROG did not directly label GABA<sub>A</sub> receptor subunits, but was incorporated into the voltage-dependent anion channel-1 (VDAC-1) (Darbandi-Tonkabon et al., 2003; Lambert et al., 2003).

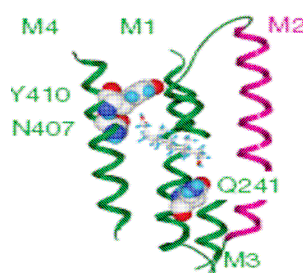
Insights into the putative binding site of neurosteroids on GABA<sub>A</sub> receptors have been gained in a study performed by Hosie et al. (2006), who replaced the murine M1 domain by the M1 domain of the *Drosophila melanogaster* RDL (resistance to dieldrin) GABA<sub>A</sub> receptor (RDL GABA<sub>A</sub> receptors exert a low sensitivity to potentiation and lacks direct activation by neurosteroids). Substitution of polar residues in the  $\alpha_1$  subunit by the homologous RDL positions identified  $\alpha$ Thr236 and  $\alpha$ Gln241 as crucial determinants of steroid action. Mutation  $\alpha$ Thr236Ile reduced receptor activation by  $\alpha$ -tetrahydrodeoxycorticosterone (THDOC) and allopregnanolone (ALLOP), whereas mutating  $\alpha$ Gln241 to Trp reduced the  $I_{\text{GABA}}$  potentiation and decreased the apparent agonist efficacy without affecting the half-maximal receptor activation. The effects of neurosteroids on GABA<sub>A</sub> channel function i.e. potentiation of GABA-gated currents and direct gating are therefore assumed to be mediated via 2 different binding sites.



**Figure 12** Model of the transmembrane domains of GABA<sub>A</sub> receptors with a bound THDOC molecule to  $\alpha$ Thr 236 and  $\beta$ Tyr 284 (Hosie et al., 2006)

In analogy to a homology model of the transmembrane region of the nACh-receptor it was assumed that Thr236 lies on the receptor surface of the GABA<sub>A</sub> receptor close to the  $\beta/\alpha$

subunit interface (the same interface in which GABA binds). This residue would thus be accessible to hydrophobic molecules in the membrane. Gln241 lies at the base of a putative cavity between the  $\alpha$ -subunits M1 and M4 domains. Upon receptor activation the depth and the volume of this cavity apparently increase and thus would allow the neurosteroid to bind to  $\alpha$ Gln241 and to stabilize the receptor in an active state. The relative locations of  $\alpha$ Thr236 and  $\alpha$ Gln241 on opposite faces of the M1 helix strongly suggest that they will contribute to steroid binding at 2 distinct sites. The neurosteroid's C20 ketone group can only participate as hydrogen-bond acceptor, whereas the C3 $\alpha$ -hydroxyl group can donate a hydrogen bond to Gln 241. The C20 ketone might also interact with the Asn407 and Tyr410 (M4 of the  $\alpha$ 1 subunit). Both of them can donate hydrogen bonds. Introduction of hydrophobic amino acids  $\alpha$ Asn407Ala and  $\alpha$ Tyr410Phe reduces neurosteroid potency (Hosie et al., 2006). However, the mutation  $\alpha$ Asn407Ala caused a greater reduction in the potency of THDOC (approx. 10-fold) than in that of ALLOP (approx. 3-fold), while  $\alpha$ Tyr410Phe solely reduced the potency of THDOC, which is consistent with the coordination of the oxygen moieties on the neurosteroid's D ring, the only region where THDOC and ALLOP structurally differ. As mutation  $\alpha$ Gln241Trp did not only abolish the potentiating effect, but also disrupted the neurosteroid-induced direct activation, it was assumed that binding to  $\alpha$ Thr236- $\beta$ Tyr284 only elicits low-efficiency activation. High-efficiency activation depends on further binding to  $\alpha$ Gln241 and  $\alpha$ Asn407, thereby potentiating the neurosteroid-induced activation (Hosie et al., 2006).



**Figure 13** Illustration of the  $\alpha$  subunit's transmembrane domains, showing  $\alpha$  Gln 241,  $\alpha$  Asn 407 and  $\alpha$  Tyr 410 docking with a THDOC molecule (Hosie et al., 2006).

It is thus assumed that 2 discrete groups of residues in the GABA<sub>A</sub> receptor transmembrane domains mediate the effects of neurosteroids: binding to  $\alpha$ Thr236 and  $\beta$ Tyr284 initiates the activation, while via  $\alpha$ Gln241 and  $\alpha$ Asn407 the potentiation of responses to GABA and/or neurosteroids are mediated. Given the stoichiometry of GABA<sub>A</sub> receptors, two copies of these binding sites are apparently present. The above-mentioned residues are conserved throughout

the  $\alpha$  and  $\beta$  subunits, thus they are ideally placed to mediate neurosteroid modulation and activation of all GABA<sub>A</sub> receptor subtypes (Hosie et al., 2006).

## 2.5 ETHANOL

The effects of ethanol on humans are well known. At low blood concentrations ethanol induces a feeling of euphoria and disinhibition, with increasing levels of ethanol motor function becomes impaired and speech becomes slurred. At blood ethanol concentrations reaching between 200 and 300 mg/dl blood, vomiting and falling into stupor can occur. Blood concentrations exceeding 300 mg/dl can induce coma, and at even higher concentrations (500mg/dl), there is a potential danger of respiratory failure and death (Davies, 2003).

Until it was shown that ethanol binds to specific proteins, in particular to ligand-gated ion channels and voltage-gated Ca<sup>2+</sup>-channels, the lipid theory has been widely accepted (Tabakoff and Hoffmann, 1996; Davies, 2003).

In native receptors from rat brain microsacs and synaptoneurosoms enhancement of I<sub>GABA</sub> by ethanol was shown for the first time. Ethanol exposure augmented the GABA-gated chloride uptake by 260% (Suzdak and Paul, 1987). Later, Wafford and Whiting (1992) showed that potentiation of I<sub>GABA</sub> by ethanol was critically dependent on the presence of the  $\gamma_{2L}$  splice variant in  $\alpha_1\beta_2\gamma_2$ , whereas others failed to reproduce this finding (Sigel et al., 1993, Zhai et al., 1998). GABA<sub>A</sub> receptors containing  $\alpha_{4/6}$ ,  $\beta_3$  and  $\delta$  subunits, those known to mediate tonic currents, have been described to be exceptionally sensitive to low ethanol concentrations (Wallner et al., 2003; Hanchar et al., 2005, Santhakumar et al., 2007). GABA<sub>A</sub> receptor  $\delta$ -subunit knockout ( $\delta^{-/-}$ ) mice show multiple defects in behavioural responses to ethanol (Mihalek et al., 2001). The  $\alpha_6R100Q$  mutation, identified in alcohol-tolerant rats, is sufficient to induce ethanol hypersensitivity, to confer supersensitivity to tonic currents in rat cerebellar granule cells and to dramatically increase the ethanol sensitivity of recombinantly expressed receptors ( $\alpha_6R100Q\beta_3\delta$ ) (Hanchar et al., 2005). Therefore, an amino acid residue important for BZ sensitivity is also critical for low-dose ethanol sensitivity of  $\alpha_6$  containing GABA<sub>A</sub> receptors (Wallner et al., 2006). The imidazobenzodiazepine Ro15-4516, a structural analogue of the clinically used benzodiazepine antagonist flumazenil, is also an ethanol antagonist (Bonetti et al., 1988).

The question whether Ro15-4513 's alcohol antagonism is related to a specific alcohol counteracting action or due to a non-specific functional antagonism or due to the weak inverse agonistic activity of Ro15-4513 on certain GABA<sub>A</sub> receptor subtypes has been overcome by identification of a high affinity binding site on  $\alpha_4\beta_3\delta$  receptors. At anaesthetic and potentially

lethal concentrations ( $\geq 100\text{mM}$ ), however, an additional Ro15-4513-insensitive component of ethanol enhancement occurs. This component is abolished by the mutation  $\beta_3\text{N265M}$  (Wallner et al., 2006). Ethanol increases the frequency and the duration of single-channel openings, and further augments channel bursts and burst duration and reduces the fraction of time that the channel remains in the closed state (Tatebayashi et al., 1998).

Various alcohols including methanol, butanol, hexanol, octanol, decanol and dodecanol enhance GABA-gated currents. A correlation between chain length and potency has been suggested, as ethanol potency increases with the number of carbon atoms in the backbone. However, alcohols possessing more than 12 carbon atoms do not affect GABA-gated currents anymore (Davies, 2003), indicating that they share a common binding site with a defined volume that accommodates only alcohol molecules with chain lengths not exceeding 12 carbon atoms (Nakahiro et al., 1996).

## 2.6 CATIONS MODULATING GABA<sub>A</sub> RECEPTOR FUNCTION

There is evidence for specific binding sites for divalent cations on ligand-gated ion channels. Calcium and other divalent cations have been shown to enhance or inhibit [ $^3\text{H}$ ] GABA or [ $^3\text{H}$ ] benzodiazepine binding (Lo and Snyder, 1983; Schwartz et al., 1994), though a direct interaction of calcium with GABA<sub>A</sub> channels has not been shown (Schwartz et al., 1994).

Magnesium attenuates neuronal excitability by a blockade of NMDA receptors under resting conditions (Mayer et al., 1984). Magnesium, thus, exhibits neuroprotective actions following seizure activity and cerebral ischemia (Tsuda et al., 1991; Schwartz et al., 1994). Magnesium also reduces basal and GABA-inhibited [ $^{35}\text{S}$ ] TBPS binding to GABA<sub>A</sub> receptors in a dose-dependent manner up to a concentration of 1mM. Concentrations exceeding 10 mM enhanced the binding. The effect of magnesium does not depend on the presence of a  $\gamma$ -subunit (Moykkynen et al., 2001).

Another cation of special interest in neuronal activity is zinc, being present in the cerebral cortex and the hippocampus, both enhancing and inhibiting neuronal excitability (Westbrook and Mayer, 1987; Xie and Smart, 1991). Zinc has been demonstrated to interact with a binding site located at the extracellular domain of NMDA receptors, thus inhibiting NMDA function (Westbrook and Mayer, 1987).

The blocking effect of  $\text{Zn}^{2+}$  ions on GABA<sub>A</sub> receptor has been intensively studied revealing a dependence on the species and maturity of the neurons as well as on the GABA<sub>A</sub> receptor subunit composition (Draguhn et al., 1990; Smart et al., 1991). GABA<sub>A</sub> receptors comprising  $\alpha_6$  and  $\beta_3$  subunits have been shown to be most sensitive to zinc (Burgard et al., 1996;

Knoflach et al., 1996; Saxena and MacDonald, 1996). Replacement of the  $\delta$  subunit by a  $\gamma$  subunit as well as replacement of  $\alpha_6$  by  $\alpha_1$  greatly reduces inhibition of GABA<sub>A</sub> receptors by  $\text{Zn}^{2+}$  (Draguhn et al., 1990; Saxena and MacDonald, 1996; Korpi et al., 2002). Comparison of zinc's inhibitory effects on GABA<sub>A</sub> receptors comprising different  $\alpha$  subunits revealed a higher sensitivity but lower efficacy of zinc on GABA<sub>A</sub> receptors containing  $\alpha_1$  subunits than on those containing  $\alpha_2$  or  $\alpha_3$  subunits (White and Gurley, 1995). It is assumed that the zinc binding site is formed by different subunits (Korpi et al., 2002). Woollorton et al. (1997) reported that  $\text{Zn}^{2+}$  inhibition is significantly reduced by the mutation H292A in the M2 region in both homomeric  $\beta_3$  and  $\beta_1$  receptors indicating an involvement of this residue, that is located in the putative channel-lining M2 region, in determining zinc sensitivity (Korpi et al., 2002). Another amino acid residue located at the extracellular end of the M2 region ( $\beta_3$ H267) also contributes to zinc modulation of GABA<sub>A</sub> receptors (Hosie et al., 2003).

A major determinant of  $\text{Zn}^{2+}$  insensitivity for GABA<sub>A</sub> receptors containing  $\gamma$  subunits (N156) was found in the N-terminal extracellular domain of  $\gamma_{2L}$  subunits. This residue is highly conserved in all GABA<sub>A</sub> receptor subunit families except  $\pi$ . Interestingly, this amino acid is adjacent to H101 in the  $\alpha_1$  subunit, which is required for benzodiazepine sensitivity in ternary  $\alpha\beta\gamma$  receptors (Nagaya and MacDonald, 2001). Other amino acids contributing to zinc insensitivity include residues  $\gamma_{2L}$ S278,  $\gamma_{2L}$ T279 and  $\gamma_{2L}$ I280 in the outer mouth of the M2 region as well as residue  $\gamma_{2L}$ K285 in the extracellular M2-M3 linker region (Korpi et al., 2002).

Lanthanum enhances GABA-gated currents neither via interaction with the benzodiazepine, barbiturate nor picrotoxin binding site, as no competition with chlordiazepoxid, pentobarbital or picrotoxin was observed (Ma and Narahashi, 1993). Lanthanum enhanced  $I_{\text{GABA}}$  through  $\alpha_1\beta_2\gamma_2$  receptors, whereas a drastically reduced efficiency was observed for  $\alpha_1\beta_2$  receptors (Im et al., 1992).

Copper inhibits GABA-induced currents in a non-competitive manner. The effect of copper cannot be influenced by cations such as lanthanum. There is evidence that zinc antagonizes the action of copper dose-dependently, suggesting that they share a common binding site. This binding site has been suggested to be located at or close to the external mouth of the channel (Ma and Narahashi, 1993).

## **2.7 OTHER MODULATORS OF GABA<sub>A</sub> RECEPTORS**

### **2.7.1 LORECLEZOLE**

Loreclezole is broad-spectrum anticonvulsant agent that has been shown to be effective against generalized absence seizures and tonic-clonic convulsions (Ghiani et al., 1996). Loreclezole enhances the effect of GABA by interacting with a specific modulatory site on the  $\beta$ -subunit. A 300-fold higher affinity for GABA<sub>A</sub> receptors containing  $\beta_2$  or  $\beta_3$  subunits over those comprising  $\beta_1$  subunits was established (Wingrove et al., 1994). A single amino acid residue in the  $\beta_2$  subunit ( $\beta_2$ N289 and  $\beta_3$ N290) was identified as crucial determinant for conferring loreclezole sensitivity. Mutation of this residue to the corresponding residue in the  $\beta_1$  subunit ( $\beta_1$ S290) abolishes the effect of loreclezole (Wingrove et al., 1994).

### **2.7.2 MEFENAMIC ACID**

The non-steroidal antiphlogistic mefenamic acid exhibits anticonvulsant and proconvulsant effects. There is evidence that this drug enhances  $I_{\text{GABA}}$  through  $\alpha_1\beta_2\gamma_2$  receptors. However, it is inactive on the corresponding  $\beta_1$  subunit containing subtype. Mefenamic acid gates  $\alpha_1\beta_{2/3}$  directly, but blocks  $\alpha_1\beta_1$  receptors. The positive modulatory action of mefenamic acid is determined by residue N290. When this position was mutated to serine ( $\beta_2$ N290S) the positively modulating effect is abolished. The reverse mutation in the  $\beta_1$  subunit ( $\beta_1$ S290N) induces sensitivity for mefenamic acid. Thus, positive allosteric modulation, direct activation and inhibition are determined solely by the  $\beta$ -subunit variant present, as shown for homomeric  $\beta_1$  and  $\beta_3$  receptors (Halliwell et al., 1999).

### **2.7.3 CLOZAPINE**

Clozapine is an atypical neuroleptic that inhibits GABA<sub>A</sub> receptors in brain vesicles with particular high efficiency in cerebrocortical and hippocampal areas. Clozapine has been demonstrated to block [<sup>3</sup>H]muscimol and [<sup>3</sup>H]SR 95531 binding. Receptors composed of  $\alpha_1$ ,  $\beta_2$  and  $\gamma_2$  subunits are sensitive to the antagonism by clozapine, whereas recombinant  $\alpha_6\beta_{2/3}\gamma_2$  receptors are not inhibited. The  $\alpha_6\beta_1\gamma_2$  subtype is however sensitive for clozapine, indicating that the effect of clozapine dependent on the  $\beta$ - subunit (in the order  $\beta_1 > \beta_2 > \beta_3$ ). The effect of clozapine is not mediated via the benzodiazepine binding site, as flumazenil failed to block the effect of clozapine. It is assumed that clozapine blocks most GABA<sub>A</sub> receptors. The GABA<sub>A</sub> antagonism of clozapine is not shared by other neuroleptics such as haloperidol. The

effect of clozapine on GABA<sub>A</sub> receptors might account for the enhanced seizure susceptibility associated with clozapine treatment (Korpi et al., 1995).

#### 2.7.4 FUROSEMIDE

Furosemide blocks GABA<sub>A</sub> receptors directly, an effect that is strongly dependent on the subunit composition ( $\alpha_6$  and  $\beta_{2/3}$ ) (Korpi and Luddens, 1997). Furosemide antagonism does not depend on the presence of a  $\gamma$  or  $\delta$ -subunit. Furosemide antagonism is not detectable in hippocampal and thalamic areas, although a modulation of  $\alpha_4\beta_2\gamma_2$  receptors has been shown (Knoflach et al., 1996; Korpi and Luddens, 1997).

The mechanism of action, however, differs apparently from the mechanism of action of other compounds antagonizing the function of GABA<sub>A</sub> receptors. The effect of furosemide on GABA<sub>A</sub> receptors can be blocked by high concentrations of Ro5-4864 (Korpi and Luddens, 1997). In ANT rats (carrying point mutation  $\alpha_6$ R100Q; see also chapter 2.5.) the effect of furosemide was less pronounced. This point mutation does not account for the reduced activity, as furosemide antagonism was comparable on recombinant wild type and mutated GABA<sub>A</sub> receptors ( $\alpha_6$ R100Q) (Makela et al., 1996). Based on the observation that furosemide preferentially modulates GABA<sub>A</sub> receptors containing  $\alpha_6$  subunits, molecular determinants for the activity of furosemide were identified: Mutation of I288T in the  $\alpha_6$  subunit largely shifts the IC<sub>50</sub> values (10-fold reduction). This residue is apparently located in the M1 domain, thus suggesting that M1 with the isoleucine residue might contribute to a putative binding site for furosemide (Thompson et al., 1999). The effect of furosemide is strongly dependent on the  $\beta$ -subunit incorporated into the receptor and stronger effects on  $\beta_{2/3}$  containing receptors are observed compared to  $\beta_1$  containing channels (Korpi et al., 1995). Co-expression of  $\beta_1$ S265M and  $\beta_3$ N265S with  $\alpha_6$  and  $\gamma_2$  subunits, respectively, showed that the effect of furosemide depends on the same residue as the action of loreclezole (see also chapter 2.7.1.).

Residue  $\alpha$ I288 is presumably located in M1 and residue  $\beta_3$ N265 in the extracellular end of the M2 domain. These residues are likely to be located in close proximity at the extracellular end of the channel. In the closed state of the channel M1 segments might intercalate between M2 segments at the extracellular end of the receptor. Upon activation of the receptors, the movements of the M1 and the M2 region might flip a gate (as proposed for the muscle nicotinic receptor (Akabas and Karlin, 1995)). It is assumed that furosemide by direct interaction with the M1 segment stabilizes the closed state of the gate of GABA<sub>A</sub> receptors (Thompson et al., 1999).



### 3. TONIC AND PHASIC INHIBITION

Whereas phasic inhibitory synaptic neurotransmission is well characterized, there is also convincing evidence for tonic, non-synaptic communication (Macdonald et al., 2004).

Low concentrations of ambient GABA apparently activate extrasynaptic receptors causing a small, but persistent chloride current, which results in tonic inhibition enabling the neuronal excitability to be regulated (Mody, 2001).  $\alpha_6$ -subunit containing receptors are found exclusively in cerebellar granule cells and the dorsal cochlear nucleus (Fritschy and Brunig, 2003; Sieghart and Sperk, 2002). They can therefore not account for the high GABA sensitivity associated with tonic inhibition in hippocampal pyramidal cells. The observed high GABA potency indicates the presence of  $\delta$ -subunit containing receptors (Mortensen and Smart, 2006), as recombinant  $\alpha_4\beta_3\delta$  receptors are highly sensitive to GABA (Brown et al., 2002).

$\alpha\beta$  receptors have been shown to be at least 5-fold more sensitive to GABA than  $\alpha\beta\gamma$  receptors (Baburin et al., 2008), raising the possibility that  $\alpha\beta$  receptors may also contribute to tonic inhibition.

Mortensen and Smart (2006) have shown that GABA<sub>A</sub> receptors lacking  $\delta$  or  $\gamma$  subunit are likely to be expressed in low numbers in the extrasynaptic membranes of pyramidal neurons.

The extent to which extrasynaptic GABA<sub>A</sub> receptors are tonically active is affected by the GABA<sub>A</sub> receptor subtypes expressed and their affinity to GABA, the basal GABA concentration around the extrasynaptic domains e.g. due to a spillover from nearby GABAergic synapses and finally, the activity of GABA transporters that will regulate ambient GABA concentrations (Mortensen and Smart, 2006). Estimates of ambient GABA concentrations range from tens of nanomolar to a few micromolar (Lerma et al., 1986; Tossman et al., 1986). Endogenous GABA concentrations have apparently a highly non-uniform distribution between the synaptic and extrasynaptic areas (Mortensen and Smart, 2006). A variation in subunit composition of extrasynaptic receptors may include the  $\alpha_5$  subunit, which is expressed in high density in the hippocampus (Pirker et al., 2000) and shows a diffuse extrasynaptic distribution (Crestani et al., 2002). This would agree with recent evidence supporting an important role of  $\alpha_5\beta\delta$  receptors in tonic inhibition in hippocampal pyramidal cells. The number of  $\alpha\beta$  receptors present in extrasynaptic receptors is rather low (estimated up to 10%) (Mortensen and Smart, 2006).

## **4. PATHOPHYSIOLOGICAL CONDITIONS ASSOCIATED WITH IMPAIRED GABAERGIC NEUROTRANSMISSION**

GABA plays a major role in controlling the excitability of the brain. A disruption of the balance between excitatory and inhibitory neurotransmission is associated with multiple neurological disorders (Sieghart and Sperk, 2002).

An enhancement of the GABAergic system is associated with anxiolysis, sedation, amnesia, ataxia and loss of consciousness, whereas a reduction of the inhibitory GABAergic neurotransmission results in arousal, anxiety, restlessness, insomnia, exaggerated reactivity, and even epileptic seizures (Mohler, 2006). Impaired GABA<sub>A</sub> receptor function is associated with neurological disorders such as insomnia, anxiety disorders, epilepsy and schizophrenia (Sieghart and Sperk, 2002; Mohler, 2006).

### **4.1 INSOMNIA**

Insomnia represents the most common sleep disorder affecting almost 20-50% of older adults (McCrae et al., 2007). Individuals suffering from sleep disorders can be grouped into three categories: sleep onset insomnia, sleep maintenance insomnia and terminal insomnia (awaking early morning combined with inability to return to sleep) (Rosenberg, 2006). GABAergic neurotransmission contributes significantly to human sleep regulation (Agosto et al., 2008). Three different areas of the central nervous system are involved in regulating non rapid-eye-movement sleep. Sleep-promoting GABAergic neurons in the ventrolateral preoptic nucleus (VLPO) are assumed to be tonically inhibited via noradrenergic neurons located in the locus coeruleus of the pons, thus inhibition of neurons of the locus coeruleus results in activation of VLPO neurons and thus may induce sleep. Moreover, the subsequent release of GABA from VLPO neurons is assumed to inhibit wake-active histaminergic neurons in the tuberomammillary nucleus (TMN) of the hypothalamus. The inactivation of the TMN seems to play a crucial role for the sleep onset (Bateson, 2004). Moreover, in a patient suffering from chronic insomnia a mutation in the gene encoding for the  $\beta_3$  subunit was identified, the resulting subunit carrying in position 129 instead of an arginine a histidine residue ( $\beta_3$ R129H). Analysis of the  $\alpha_1\beta_3\gamma_2$  receptors showed a slower rate of the phase of desensitisation and a faster current deactivation compared to wild type  $\alpha_1\beta_3\gamma_2$  receptors (Buhr et al., 2002), giving further evidence for an involvement of decreased GABAergic neurotransmission in insomnia (Mohler, 2006).

## 4.2 ANXIETY

The precise pathogenesis underlying anxiety disorders is still not fully understood. Several distinct mechanisms apparently underlie different anxiety disorders, however, the GABAergic system is likely to be involved in anxiety. The GABAergic system has been suggested to play a major role in anxiety mainly based on the anxiety-relieving effects of benzodiazepines (Nutt and Malizia, 2001).  $\gamma_2$ -knockout mice exhibit dysfunctions of the GABAergic system including reduced benzodiazepine binding and receptor clustering in the cerebral cortex and the hippocampus, regions that are associated with anxiogenesis in humans (Crestani et al., 1999). Moreover, these mice reveal an increased behavioural reactivity to aversive stimuli and bias for threat cues, a behaviour that could be reversed by acute application of benzodiazepine site ligands. Thus, impaired GABAergic neurotransmission leading to enhanced harm-avoidance behaviour and enhanced sensitivity to negative associations might predispose individuals to generalized anxiety disorder (GAD) and panic disorder (PD) (Crestani et al., 1999; Nemeroff, 2003).

In patients suffering from anxiety disorders a reduction in GABA levels and benzodiazepine binding was detected, while in individuals suffering from PD a 22% reduction of GABA levels in the total occipital cortex was observed (Goddard et al., 2001). A global decrease of GABA<sub>A</sub> receptor binding was found throughout the CNS in untreated individuals suffering from PD compared to controls, in particular with most pronounced decrease in the orbitofrontal cortex and right insula, two areas associated with mediation of anxiety (Malizia et al., 1998), moreover also in the left hippocampus and the precuneus benzodiazepine binding was reduced (Bremner et al., 2000a).

In individuals suffering from posttraumatic stress disorder (PTSD) a similar reduction in GABA<sub>A</sub> receptor binding in the prefrontal cortex was observed, however without significant changes of binding in the pons, striatum, thalamus, cerebellum or midbrain compared to controls (Bremner et al., 2000b).

Moreover, also individuals suffering from GAD showed a reduced GABA<sub>A</sub> receptor binding in the left temporal lobe (Tiihonen et al., 1997).

## 4.3 EPILEPSY

Epilepsy is a neurological disorder affecting more than 0.5% of mankind. Several genetic factors are assumed to play a pivotal role in the development of generalized epilepsies (IGEs) and in some partial epilepsies (Kaneko et al., 2002). Mutations associated with epilepsy have

been identified in multiple voltage- and ligand-gated ion channels including sodium, calcium and potassium channels and nACh and GABA<sub>A</sub> receptors (Scheffer and Berkovic, 2003). Impairment of GABAergic neurotransmission by genetic mutations and/or application of GABA<sub>A</sub> receptor antagonists cause epileptic seizures, whereas drugs enhancing GABAergic neurotransmission represent an important class in antiepileptic therapy (Sperk et al., 2004).

#### 4.3.1 MUTATIONS IN GABA<sub>A</sub> RECEPTOR SUBUNITS ASSOCIATED WITH EPILEPSY

Mutation	Epilepsy	Functional Consequences	References
$\gamma$ 2K289M	GEFS+	Shorter mean open times compared to wildtype receptors; reduces protein surface expression	<i>Baulac et al., 2001; Bianchi et al., 2002</i>
$\gamma$ 2R43Q	CAE, febrile seizures	Significant reduction of the peak current amplitude; reduced surface expression of the receptor protein resulting from a receptor retention in the ER	<i>Wallace et al., 2001; Kang and Macdonald, 2004; Macdonald et al., 2004</i>
$\gamma$ 2Q351X	GEFS+	Introduces a premature stop codon at position Q351; formation of non-functional receptors	<i>Harkin et al., 2002; MacDonald et al., 2004</i>
$\gamma$ 2 IVS6+2T→G	CAE, FC	Results in a truncated $\gamma$ 2 subunit protein; causes non-functional channels	<i>Macdonald et al., 2004</i>
$\alpha$ 1A322D	JME	Causes reduced peak current amplitudes, altered current kinetics and reduced mean channel open times; affects $\alpha$ 1 subunit trafficking after translation; due to the misfolding of the protein the mutant subunit is degraded in the ER (ERAD)	<i>Cossette et al., 2002; Gallagher et al., 2005</i>
$\delta$ E177A, $\delta$ R220H	GEFS+, JME	Reduces current amplitudes and receptor surface expression	<i>Feng et al., 2006</i>

**GEFS+** Generalized epilepsy with febrile seizures plus

**ER** Endoplasmatic reticulum

**FC** Febrile convulsions

**CAE** Childhood absence epilepsy

**JME** Juvenile myoclonic epilepsy

### **4.3.2 TEMPORAL LOBE EPILEPSY**

In patients suffering from temporal lobe epilepsy and animal models profound changes in GABA<sub>A</sub> receptors function have been observed such as a substantial cell loss in CA1 accompanied by a dramatic loss of GABA<sub>A</sub> receptors (Sperk et al., 2004; Mohler, 2006). In these cells subtype-specific changes in the subcellular distribution with an increase of  $\alpha_1$ ,  $\alpha_2$ ,  $\alpha_3$  and  $\gamma_2$  were detected pointing to a potential sprouting of GABAergic axons in the epileptic dentate gyrus. The latter might represent a compensatory mechanism to the loss of GABAergic neurons in the dentate gyrus (Mohler, 2006). Interictal activity in individuals suffering from temporal lobe epilepsy is apparently linked to depolarising GABAergic synaptic events at pyramidal cells (Cohen et al., 2002). The depolarising GABA-mediated response is more likely caused by a very negative resting potential of these cells rather than by changes in the chloride gradient (Stein and Nicoll, 2003). This finding is of major importance, as approximately 50% of all clinically used antiepileptics owe their efficacy either totally or at least partially to potentiation of GABA-mediated responses (Mohler, 2006).

### **4.3.3 ABSENCE EPILEPSY**

Interconnected networks of inhibitory neurons regulate oscillations throughout the CNS. One of these networks, the thalamic reticular nucleus (TRN), participates in many thalamo-cortical oscillations such as spindles in sleep and spike-wave seizures typically observed in generalized absence epilepsy. Similar to the generation of sleep spindles, spike-wave seizures may result from events, in which TRN neurons inhibit thalamocortical (TC) relay neurons, thereby inducing rebound bursts mediated by T-type channels resulting in a reexcitation of the TRN. TRN has been shown to be the source of the hyperpolarizing input that recovers calcium currents mediated by T-type channels in TC neurons from inactivation, suggesting that the TRN contributes to absence seizures.

The antiabsence drug clonazepam is known to suppress rhythmic activity in thalamic and thalamocortical slices. In the TRN, clonazepam acts on  $\alpha_3$  containing GABA<sub>A</sub> receptors as

well as in TC neurons on  $\alpha_1$  containing receptors, an enhancement which is required to suppress oscillations in thalamic slices (Sohal et al., 2003; Mohler, 2006).

#### 4.4 SCHIZOPHRENIA

A deficiency in GABAergic inhibitory neurotransmission is assumed to contribute particularly to the symptoms of schizophrenia (Lewis et al., 2005). Changes in cortical GABAergic systems have been reported in post-mortem brains of individuals suffering from schizophrenia including reduced uptake and release of GABA and a decreased activity of the glutamic acid decarboxylase. The axon terminals of GABAergic chandelier neurons were insufficient in the prefrontal cortex as shown by a 40% reduction of GAT-1 (Woo et al., 1998; Mohler, 2006).

Additionally, there is evidence for an enhanced  $\alpha_2$ -containing receptor expression in the axon initial segment of pyramidal cells, pointing to a synapse specific deficit of GABA-mediated neurotransmission in schizophrenia (Volk et al., 2002). The ratios of  $\gamma_2$  subunit splice variants are apparently affected in the prefrontal cortex of schizophrenics (Huntsman et al., 1998). In the primate brain dopamine (D4) receptors regulate GABAergic interneurons in the cerebral cortex, hippocampus, thalamic reticular nucleus and globus pallidus. Thus, the beneficial effects of clozapine in the treatment of schizophrenia may be partially caused by a D4-mediated modulation of GABA<sub>A</sub> receptors (Mrzljak et al., 1996; Mohler, 2006).

The GABAergic control in the dopaminergic system is mainly mediated via  $\alpha_3$ -containing receptors (Fritschy and Mohler, 1995; Pirker et al., 2000).  $\alpha_3$ -/- mice display a significant deficit in prepulse inhibition of the acoustic startle reflex, underlying the deficit in sensorimotor information processing (Yee et al., 2005). After administration of the dopamine antagonist haloperidol this deficit was normalised, suggesting that this effect is mainly caused by an increased activity of the dopaminergic system (Yee et al., 2005). Compounds selectively interacting with  $\alpha_3$ -containing receptors might be effective agents in treating the sensorimotor deficits in various psychiatric diseases (Mohler, 2006).

There is evidence, that the hippocampus plays also an important role in the modulation of prepulse inhibition. In a  $\alpha_5$ H105R point mutated mouse prepulse was diminished accompanied by an increase in spontaneous motor activity (Hauser et al., 2005).

## 5. GABA<sub>B</sub> RECEPTORS

The metabotropic GABA<sub>B</sub> receptor was first identified based on the receptor's distinct pharmacological profile compared to ionotropic GABA<sub>A</sub> or GABA<sub>C</sub> receptors (Hill and Bowery, 1981).

Subsequently, it was shown that GABA<sub>B</sub> receptors are G-protein coupled receptors (GPCR) that inhibit the adenylyl cyclase via the G<sub>ai/o</sub> subunits of the activated G-protein (Hill, 1985).

There is evidence that GABA<sub>B</sub> receptors are involved in regulation of transmitter release at several synapses (Bettler and Tiao, 2006). GABA<sub>B</sub> receptors also regulate Ca<sup>2+</sup>- and K<sup>+</sup>-channels at both pre- and postsynaptic sites via the G<sub>βγ</sub> subunits of the G-Protein (Bowery et al., 2002, Calver et al., 2002; Bettler et al., 2004). Presynaptic GABA<sub>B</sub> receptors have been found on both inhibitory and excitatory terminals where they act as auto- and heteroreceptors, respectively. Upon activation of presynaptic GABA<sub>B</sub> receptors neurotransmitter release is suppressed via inhibition of voltage-gated Ca<sup>2+</sup>-channels (Mintz & Bean, 1993; Poncer et al., 1997). Postsynaptic GABA<sub>B</sub> receptors have been shown to induce slow inhibitory postsynaptic currents (sIPSC) by activating Kir3-type K<sup>+</sup>-channels, which induce a hyperpolarization of the membrane, thus postpone excitatory currents (Luscher et al., 1997).

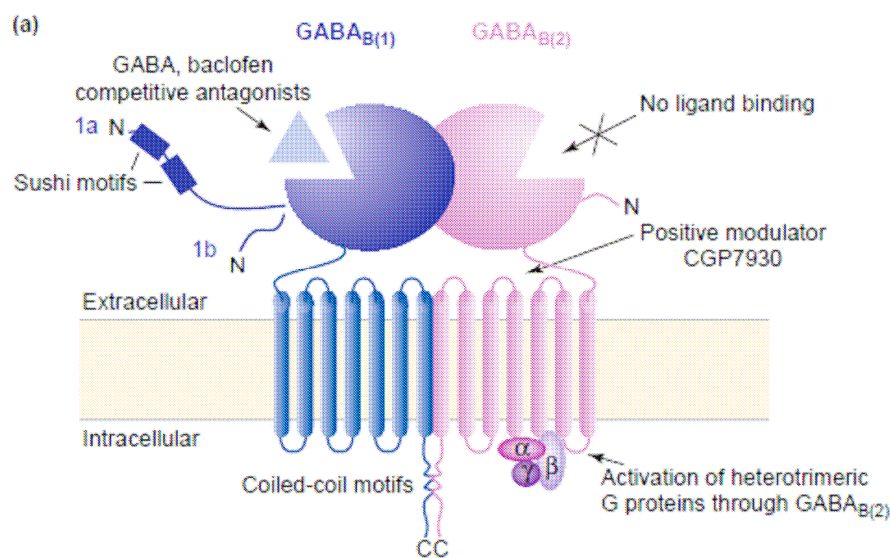
GABA<sub>B</sub> receptors modulate synaptic plasticity, heterosynaptic depression, population burst firing and inhibition of backpropagating action potentials (Bettler and Tiao, 2006), thus they represent promising drug targets (Bettler et al., 2004, Cryan and Kaupmann, 2005; Bowery, 2006). Several indications are available for the GABA<sub>B</sub> agonist baclofen. However, the use of baclofen in humans is limited due to the rapid development of tolerance and adverse effects after systemic administration. Thus, much effort is put in the development of novel compounds that might overcome the shortcomings of the so far available GABA<sub>B</sub> receptor agonists (Bettler and Tiao, 2006).

So far, 2 molecular subtypes of GABA<sub>B</sub> receptors are established (Bettler et al., 2004, Bettler and Tiao, 2006). There is evidence that elusively upon assembly of GABA<sub>B1</sub> and GABA<sub>B2</sub> subunits functional GABA<sub>B</sub> receptors are formed (Marshall et al., 1999, Mohler and Fritschy, 1999; Bettler et al., 2004). Interaction of GABA<sub>B1</sub> with GABA<sub>B2</sub> subunits is not only obligatory for cell surface expression but also for robust G-protein coupling (Margeta-Mitrovic et al., 2000, Calver et al., 2001, Galvez et al., 2001).

The molecular diversity in the GABA<sub>B</sub> system mainly arises from the GABA<sub>B1a</sub> and GABA<sub>B1b</sub> subunit isoforms (Kaupmann et al., 1997) and there is also evidence for two isoforms for GABA<sub>B2</sub> (Bettler and Tiao, 2006). Structurally, they differ in their N-terminal domain by a pair of sushi repeats that is present in GABA<sub>B1a</sub>, but not in GABA<sub>B1b</sub> (Blein et

al., 2004, Bettler and Tiao, 2006). This structural peculiarity -Sushi repeats- is also known as complement control protein modules, or short consensus repeats that have been found in other GPCR as well, play a role in mediating protein interactions in a wide variety of adhesion proteins (Bettler and Tiao, 2006).

GABA<sub>B</sub> receptors were the first example of GPCR that inevitably needs to heterodimerize to function. This unique property has been employed to study the mechanism underlying subunit assembly and receptor surface trafficking. It is well established that a C-terminal arginine-based ER retention/retrieval signal, RSRR, retains unassembled GABA<sub>B</sub>1 subunits in the ER and limits surface expression to correctly assembled heteromeric receptors (Couve et al., 1998, Margeta-Mitrovic et al., 2000; Pagano et al., 2001, Bettler and Tiao, 2006). Most likely, the RSRR signal in GABA<sub>B</sub>1 is inactivated by interaction of the GABA<sub>B</sub>1 with the GABA<sub>B</sub>2 subunit, which then triggers trafficking (Bettler and Tiao, 2006).



**Figure 14** Schematic illustration of GABA<sub>B</sub> receptors as heterodimers of two subunits, GABA<sub>B</sub>1 (dark blue) and GABA<sub>B</sub>2 (violet) (Cryan and Kaupmann, 2005)



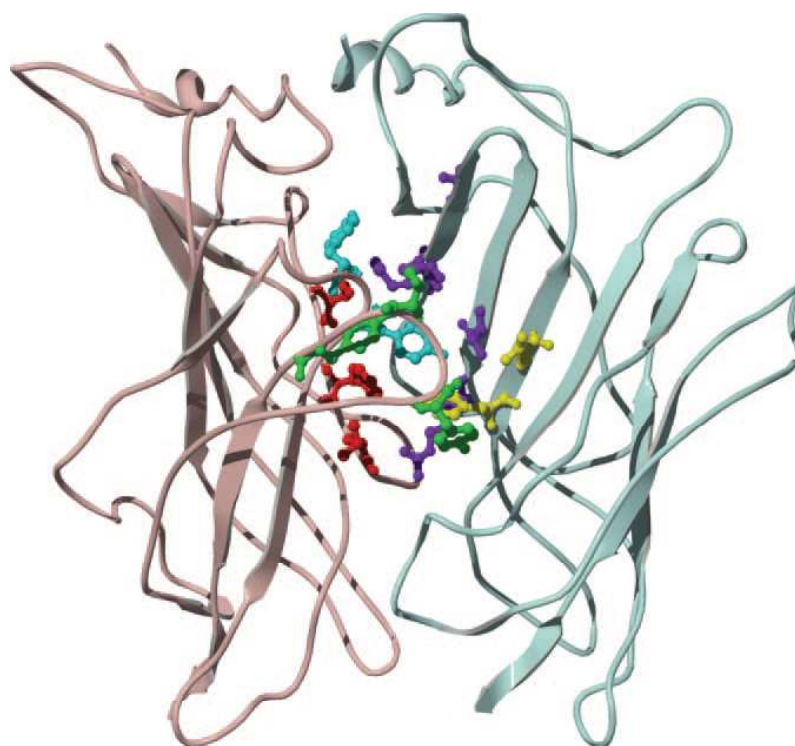
## 6. GABA<sub>C</sub> RECEPTORS, A GABA<sub>A</sub> RECEPTOR SUBTYPE

GABA<sub>C</sub> receptors gate Cl<sup>-</sup>-currents in various parts of the vertebrate brain. GABA<sub>C</sub> receptors were first described in interneurons of the spinal cord. Later, GABA<sub>C</sub> receptors were found in the retina (Enz and Cutting, 1998). Compared to GABA<sub>A</sub> receptors, GABA<sub>C</sub> receptors exhibit distinct electrophysiological properties: they are characterized by i.) higher sensitivity for GABA, ii.) smaller current amplitudes, iii.) they do not desensitise; iv.) prolonged single channel mean open times and v.) a lower chloride conductance (Feigenspan et al., 1993). GABA<sub>C</sub> receptors differ from GABA<sub>A</sub> and GABA<sub>B</sub> receptors in regard to their pharmacological properties. They are insensitive for typical GABA<sub>A</sub> receptor modulators such as benzodiazepines and barbiturates, and they are not blocked by bicuculline and they cannot be activated by the GABA<sub>B</sub> agonist baclofen (Bormann and Feigenspan, 1995).

It was, however, suggested to classify GABA<sub>C</sub> receptors as GABA<sub>A</sub> receptor subtype (Barnard et al., 1998; Olsen and Sieghart, 2008; Collingridge et al., 2008)

These receptors are composed of  $\rho$  subunits. A major characteristic of  $\rho$  subunits is their ability to build functional homooligomers (Enz and Cutting, 1998). Upon homooligomeric expression of  $\rho_2$  subunits GABA-gated channels are formed, however, with markedly lower whole cell currents produced compared to  $\rho_1$  receptors (10-100pA for  $\rho_2$  versus 200-1000pA for  $\rho_1$ ) (Wang et al., 1994). The  $\rho_3$  as well as the white perch  $\rho$  subunit have been also demonstrated to form homooligomeric, functional GABA-gated channels with electrophysiological and pharmacological properties similar to that of native GABA<sub>C</sub> receptors (Enz and Cutting, 1998). Human  $\rho_1$  subunits apparently do not assemble with GABA<sub>A</sub> receptor  $\alpha_1$ ,  $\beta_1$ ,  $\gamma_2$  and glycine receptor  $\beta$  subunits (Shimada et al., 1992). Besides electrophysiological and biochemical evidence, there is moreover anatomical data showing that GABA<sub>C</sub> receptor subunits do not interact with GABA<sub>A</sub> or glycine receptor subunits (Koulen et al., 1998).

GABA<sub>C</sub> receptors are sensitive to picrotoxinin (Enz and Cutting, 1998). In addition to the non-competitive inhibition of GABA<sub>C</sub> receptors by pore occlusion, picrotoxinin has been shown to display a competitive antagonism on GABA<sub>C</sub> receptors. Mutation P309S in the channel lining region enhances picrotoxinin sensitivity (Wang et al., 1995). A similar residue V257 in the GABA<sub>A</sub> receptor M2 domain is close to the picrotoxinin binding site or even directly involved in binding (Xu et al., 1995).



**Figure 15** The agonist/antagonist binding site of GABA<sub>C</sub> receptors. In analogy to GABA<sub>A</sub> receptors the binding site is constituted by different loops (A-E) with amino acid contributing to the binding pocket. However, loop F in  $\rho_1$  homomeric GABA<sub>C</sub> receptor is apparently not involved in agonist binding which stands in clear contrast to GABA<sub>A</sub> receptors (see also chapter 1.5.). Residues Asp136, Phe138, and Val140 in loop A (depicted in red), Tyr198 and Tyr200 in loop B (depicted in cyan), and Tyr241, Thr244, and Tyr247 in loop C (depicted in green) are assumed to form the principal site of the binding pocket, whereas residues Tyr102 and Arg104 in loop D (depicted in yellow) and Val155, Met156, Val159, Ser168, and Arg170 in loop E (depicted in purple) form the complimentary face of the binding pocket (Sedelnikova et al., 2006).

## 7. MODULATION OF GABA<sub>A</sub> RECEPTORS BY PLANT

### EXTRACTS AND NATURAL PRODUCTS

#### 7.1 VALERIAN (*VALERIANA OFFICINALIS*)

*Valerian* root (*Valeriana officinalis* L.) is a traditionally used herbal drug with sedative and CNS depressant effects (Houghton, 1999). Its mechanism of action, however, is not known so far and its efficacy is discussed controversially (Bent et al., 2006; Trauner et al., 2008).

In order to get insight into the molecular mechanism of action and target of *Valerian* preparations and active constituents of *Valerian*, respectively, multiple investigations on different molecular targets including the GABAergic system (Santos et al., 1994; Cavadas et al., 1995; Ortiz et al., 1999; Yuan et al., 2004) or Adenosine type 1 (A<sub>1</sub>) receptors (Schumacher et al., 2002) have been carried out (Trauner et al., 2008). Aqueous *Valerian*

extracts have been shown to enhance [ $^3\text{H}$ ] GABA release due to a reversal of the GABA carrier (Santos et al., 1994). Cavadas et al. (1995) observed a displacement of [ $^3\text{H}$ ] muscimol by aqueous and hydroalcoholic extracts of *Valerian*. Ethanolic *Valerian* extracts enhanced [ $^3\text{H}$ ] flunitrazepam binding (Ortiz et al., 1999). Various flavonoids including 6-methylapigenin, 2S(-)-hesperidin and linarin displayed sleep-enhancing, sedative and anxiolytic properties in animal models (Marder et al., 2003; Fernandez et al., 2004). Previously, a *Valerian* extract and valerenic acid were shown to decrease the firing rate in brainstem neurons in a concentration dependent manner, an effect that could be antagonized by bicuculline (Yuan et al., 2004). In a previous study, valerenic acid was identified as a modulator of GABA<sub>A</sub> receptors containing  $\beta_2$  or  $\beta_3$  subunits (Khom et al., 2007; see chapters results and discussion). Benke et al. (2008) provided evidence for an *in-vivo* interaction of valerenic acid and valerenol with GABA<sub>A</sub> receptors. Both compounds displayed anxiolytic activity with high potencies in mice. In mice carrying the point mutation  $\beta_3\text{N265M}$  valerenic acid failed to show anxiolytic effects. It has thus been suggested that neurons expressing  $\beta_3$  containing GABA<sub>A</sub> receptors represent a major cellular substrate for the anxiolytic action of valerenic acid (Benke et al., 2008).

## 7.2 BLACK COHOSH (*ACTAEA RACEMOSA*)

Preparations of *Black Cohosh* (*Actaea racemosa*) represent popular and frequently applied herbal products in the treatment of postmenopausal disorders; there is arising evidence from clinical trials that *Actaea racemosa* preparations containing actein affect CNS related symptoms of postmenopausal disorders including insomnia, restlessness and anxiety. The estrogenic effect of actein and *Black Cohosh* preparations remains controversially discussed (Cheema et al., 2007; McBane, 2008, Uebelhack et al., 2006).

There is accumulating evidence that *Black Cohosh* preparations are more effective than placebo in alleviating moderate vasomotor symptoms associated in the menopause (Cheema et al., 2007; McBane, 2008, Uebelhack et al., 2006). In particular, an improvement in sleep and mood disturbances was observed (Cheema et al., 2008).

Interactions with CNS targets of *Black Cohosh* and its main constituents have been suggested, including the central endogenous opioid system (Reame et al., 2008), the  $\mu$ -opoid receptor (Rhyu et al., 2006), 5-HT<sub>1A</sub>, 5-HT<sub>1D</sub> and 5-HT<sub>7</sub> receptors (Burdette et al., 2003) or D<sub>2</sub> receptors (Jarry et al., 2003).

## 8. AIMS

*Valerian* and *Black Cohosh* are traditionally used as “phytopharmaceuticals” to treat insomnia and anxiety (*Valerian*) and postmenopausal disorders (*Black Cohosh*) including symptoms like restlessness and agitation. In order to analyse the basis of the suggested sedative and anxiolytic effects I studied the action of the extracts and some of their main constituents on GABA<sub>A</sub> receptors expressed in *Xenopus* oocytes under two-microelectrode voltage clamp.

Specific aims were:

1. To analyse the modulation of GABA<sub>A</sub> receptors by commercially available *Valerian* extracts.
2. To characterise the action of valerenic acid, acetox- and hydroxy-valerenic acid (natural compounds isolated from *Valerian*) on GABA<sub>A</sub> receptors.
3. To characterise the subunit-specific action of valerenic acid on GABA<sub>A</sub> receptors.
4. To study the modulation of GABA<sub>A</sub> receptors by *Black Cohosh* extracts.
5. To analyse the molecular mechanism of action of actein, a compound isolated from *Black Cohosh*, on GABA<sub>A</sub> receptors.
6. To investigate a possible subunit-specific action of actein
7. To study the *in vivo* action of actein in a mouse model (Open Field, Elevated Plus Maze, Light Dark Choice, Stress induced hyperthermia)

## 9. MATERIALS AND METHODS

### 9.1 CHEMICALS

Valerenic acid was from Extrasynthese, France, Lyon, acetoxy-valerenic acid from LGC Promochem, Germany, Wesel and hydroxy-valerenic acid was isolated from *Valerian* root (kindly provided by Univ. Prof. DDr. Brigitte Kopp). A first sample of actein was kindly provided by Univ. Prof. DDr. Brigitte Kopp. Actein was also obtained from LGC Promochem, Germany, Wesel and Herbstandard Inc., California, USA. The structural formula for valerenic acid, acetoxy- and hydroxy-valerenic acid and actein, respectively, are given in Fig. 18 and 26. *Valerian* Extracts C1, C2, C3, C4 and C5 and *Actaea racemosa* extracts A1, A2 and A3 were kindly provided by Univ.Prof. DDr. Brigitte Kopp and colleagues (Mag. Gabriele Trauner and Mag.Ulrike Jäger). Stock solutions (100 mM) were prepared in DMSO (Dimethyl Sulfoxide, Sigma, Austria). Because of low solubility in ND96, valerenic acid, the two derivatives and actein were only used up to a concentration of 300  $\mu$ M. Equal amounts of DMSO were present in control and compound-containing solutions. The maximum DMSO concentration in the bath (0.3%) did not affect  $I_{GABA}$  (Khom et al., 2006; Khom et al., 2007). For experiments on mice, a stock solution of actein was prepared in 100% DMSO (50mg Actein/375  $\mu$ l DMSO). Actein was further diluted in 0.9% sodiumchloride solution up to the final desired concentrations. Actein and control solutions were freshly prepared every day prior to experiments. Care was taken for equal amounts of DMSO in the actein and control solutions (Khom et al., in preperation).

### 9.2 ANIMALS

Male mice (C57Bl/6N) were obtained from Charles River Laboratories (Germany). For breeding and maintenance mice were group housed with free access to food and water. Temperature was fixed to  $23\pm 1^{\circ}\text{C}$  and 60% humidity with a 12 h light–dark cycle (lights on 0700–1900 hours). Male mice at 3–8 months age were tested in all experiments. All procedures involving animals were approved by the Austrian Animal Experimentation Ethics Board in compliance with the European convention for the protection of vertebrate animals used for experimental and other scientific purposes ETS no.: 123. Every effort was taken to minimize the number of animals used (Wittmann et al., 2008; Khom et al., in preperation).

### 9.3 EXPRESSION AND FUNCTIONAL CHARACTERIZATION OF GABA<sub>A</sub> RECEPTORS

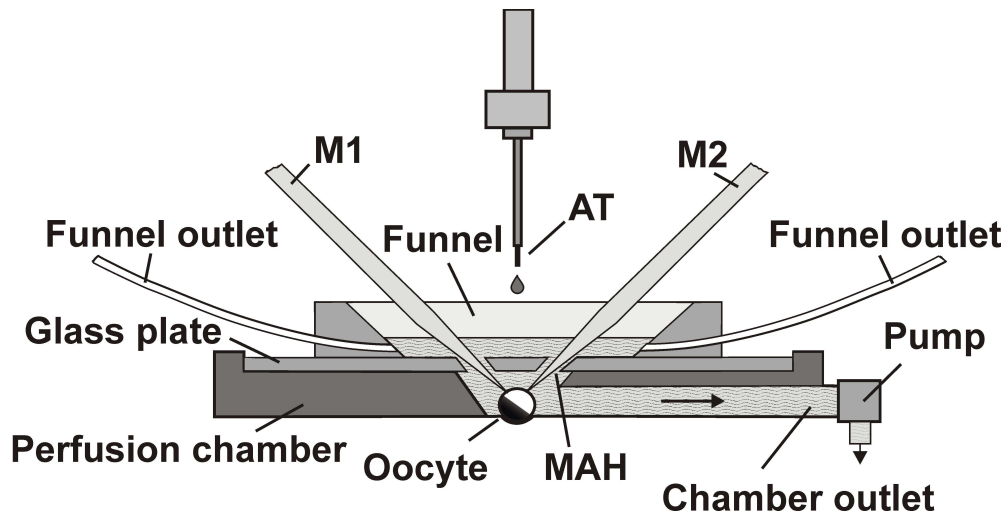
Preparation of stage V-VI oocytes from *Xenopus laevis*, synthesis of capped off run-off poly(A<sup>+</sup>) cRNA transcripts from linearized cDNA templates (pCMV vector) was performed as described (Khom et al. 2006). Briefly, female *Xenopus laevis* (NASCO, USA) were anaesthetised by exposing them for 15 minutes to a 0.2 % solution of MS-222 (methane sulfonate salt of 3-aminobenzoic acid ethyl ester; Sandoz) before surgically removing parts of the ovaries. Follicle membranes from isolated oocytes were enzymatically digested with 2 mg/ml collagenase (Type 1A, Sigma). One day after isolation, the oocytes were injected with about 10-50 nl of DEPC- treated water (diethyl pyrocarbonate, Sigma, Germany) containing the different cRNAs at a concentration of approximately 150 - 3000 ng/μl/subunit. The amount of cRNA was determined by means of a NanoDrop ND-1000 (Kisker-biotech, Steinfurt, Germany).

Mutation  $\beta_{1S290N}$  was introduced by the “gene SOEing” technique (Horton et al., 1989). This involved synthesizing mutagenic oligonucleotides to introduce the desired mutation, and using a silent restriction site was used to screen for the mutation. The mutant cDNA was verified by sequencing.

To ensure expression of the gamma-subunit in the case of  $\alpha_1\beta_2\gamma_1$ ,  $\alpha_1\beta_2\gamma_{2S}$ ,  $\alpha_2\beta_2\gamma_{2S}$ ,  $\alpha_2\beta_2\gamma_1$  and  $\alpha_6\beta_2\gamma_{2S}$  receptors, cRNAs were mixed in a ratio of 1:1:10 (Boileau et al., 2002b) except  $\alpha_4\beta_2\gamma_{2S}$  (ratio 3:1:5). For receptors comprising only  $\alpha$  and  $\beta$  subunits ( $\alpha_1\beta_2$ ,  $\alpha_2\beta_2$ ,  $\alpha_1\beta_3$ ,  $\alpha_1\beta_{2N265S}$  (cDNA gift of E. Sigel),  $\alpha_2\beta_2$ ,  $\alpha_3\beta_2$ ,  $\alpha_5\beta_2$ ), the cRNAs were mixed in a ratio 1:1. cRNAs for  $\alpha_1\beta_1$  and  $\alpha_1\beta_{1S290N}$  channels were injected in a ratio 3:1 to avoid formation of  $\beta_1$  homooligomeric GABA<sub>A</sub> receptors (Krishek et al., 1996). Oocytes were stored at 18°C in ND96 solution (Methfessel et al., 1986). Electrophysiological experiments were done using the two-microelectrode voltage-clamp method at a holding potential of -70 mV making use of a TURBO TEC 01C amplifier (npi electronic, Tamm, Germany) and an Axon Digidata 1322A interface (Molecular Devices, Sunnyvale, CA). Using pCLAMP v.9.2 data acquisition was carried out. The bath solution contained 90 mM NaCl, 1 mM KCl, 1 mM MgCl<sub>2</sub>·6H<sub>2</sub>O, 1 mM CaCl<sub>2</sub> and 5 mM HEPES (pH 7.4). Microelectrodes were filled with 2M KCl and had resistances between 1 and 3 MΩ (Khom et al., 2007).

#### 9.4 PERFUSION SYSTEM

GABA and VA and GABA and actein, respectively were applied by means of fast perfusion system (see Baburin et al., 2006 for details; Khom et al., 2006). Drug or control solutions were applied by means of a TECAN Miniprep 60 permitting automation of the experiments. To elicit  $I_{\text{GABA}}$  the chamber was perfused with 120  $\mu\text{l}$  of GABA-containing solution at volume rate between 300 and 1000  $\mu\text{l/s}$ . The  $I_{\text{GABA}}$  rise time ranged between 100 and 250 ms (see Khom et al., 2006). Care was taken to account for possible slow recovery from increasing levels of desensitization in the presence of high GABA, valerenic acid and actein concentrations. The duration of washout periods was therefore extended from 1.5 minutes (1-20  $\mu\text{M}$  GABA, <10  $\mu\text{M}$  valerenic acid or actein) to 30 minutes ( $\geq 100$   $\mu\text{M}$  GABA,  $\geq 10$   $\mu\text{M}$  valerenic acid and actein) respectively. Oocytes with maximal current amplitudes  $>3$   $\mu\text{A}$  were discarded to exclude voltage-clamp errors (Khom et al., 2006; Baburin et al., 2006; Khom et al., 2007).



**Figure 16** Cross-section view of the oocyte perfusion chamber. Two microelectrodes (M1 and M2) are inserted into the angular access inlets in a glass plate (the inlets serve simultaneously as perfusion holes) covering the small (15  $\mu\text{l}$ ) oocyte chamber. Drugs and control solutions were applied by a Tecan Miniprep 60 application tube (AT) to a quartz funnel surrounding the microelectrode access holes (MAH). Residual solution was removed from the funnel before drug application via the funnel outlets (Khom et al., 2006)

## 9.5 ANALYZING CONCENTRATION-RESPONSE CURVES

Stimulation of chloride currents by modulators of the GABA<sub>A</sub> receptor was measured at a GABA concentration eliciting between 5 and 10% of the maximal current amplitude (EC<sub>5-10</sub>). The EC<sub>5-10</sub> was determined at the beginning of each experiment.

Enhancement of the chloride current was defined as  $(I_{\text{GABA+Comp}}/I_{\text{GABA}}) - 1$ , where  $I_{\text{GABA+Comp}}$  is the current response in the presence of a given compound (valerenic acid or actein) and  $I_{\text{GABA}}$  is the control GABA current. To measure the sensitivity of the GABA<sub>A</sub> receptor for a given compound, it was applied for an equilibration period of 1 minute before applying GABA (EC<sub>5-10</sub>). Concentration-response curves were generated and the data were fitted by non-linear regression analysis using Origin software (OriginLab Corporation, USA).

Data were fitted to the equation:  $\frac{1}{1 + \left( \frac{EC_{50}}{[Comp]} \right)^{n_H}}$ , where  $n_H$  is the Hill coefficient. Each data

point represents the mean  $\pm$  S.E. from at least 4 oocytes and  $\geq 2$  oocyte batches. Statistical significance was calculated using paired Student *t*-test with a confidence interval of  $p < 0.05$  (Khom et al., 2007).

## 9.6 OPEN FIELD TEST

The open field test was performed as previously described by Wittmann et al. (2008). Briefly, the animals' behaviour was tested over 10 min in a 50x50 cm flexfield box equipped with infrared rearing detection. Illumination was set to 150 lux. Animals were video monitored and their explorative behavior was analyzed using the Video-Mot 2 equipment and software (TSE-systems, Bad Homburg, Germany). Arenas were subdivided into border (up to 8 cm from wall), center (20x20 cm, i.e. 16% of total area), and intermediate area according to the recommendations of EMPRESS (European Mouse Phenotyping Resource of Standardised Screens; <http://empress.har.mrc.ac.uk>) (Wittmann et al., 2008).

## 9.7 ELEVATED PLUS MAZE TEST

The elevated plus maze test was performed as previously described (Wittmann et al., 2008).

The animals's behavior was tested over 5 min on an elevated plus maze 1m above ground consisting of two closed and two open arms, each 50x5 cm in size. The test instrument was built from gray PVC; the height of closed arm walls was 20 cm. Illumination was set to 180



lux. Animals were placed in the center, facing an open arm. Analysis of open and closed arm entries and time on open arm was automatically done with Video-Mot 2 equipment and software (Wittmann et al., 2008).

### **9.8 LIGHT-DARK CHOICE TEST**

The light-dark choice test was adopted and performed as previously described by Wittmann et al. (2008). Explorative behavior in a brightly lit area (400 lux) was investigated by insertion of a black box into the open field arena, covering one third of the space. Time spent and distance travelled was measured over a 10 min period in the open area. One small field directly at the entrance to the black box was assigned as transition zone. To reach the larger compartment assigned as open area, the mouse had to leave the dark area completely (Wittmann et al., 2008).

### **9.9 STRESS-INDUCED HYPERTHERMIA TEST**

The stress-induced hyperthermia (SIH) test was performed as previously described (Wittmann et al., 2008). A temperature probe, lubricated with glycerol, was inserted into the rectum of the mouse for a depth of up to 2 cm. The temperature probe remained in the animal till a stable temperature was reached (maximum 10 s). Temperature measurement was repeated after 10 min and the rise in temperature between the first and second measurement was considered as stress-induced hyperthermia (Olivier et al., 2003).

### **9.10 HOME CAGE ACTIVITY**

Basal activity and circadian rhythm of control and actein-treated mice was monitored in their home cages using the Infra-Mot system (TSE, Bad Homburg, Germany). Animals were observed for two dark–light cycles after an initial accommodation phase of several hours (Wittman et al., 2008).

### **9.11 STATISTICAL ANALYSIS OF BEHAVIORAL EXPERIMENTS**

For comparison of control groups and actein-treated groups the Student's t-test was used. Comparison of more than two groups was done by one-way ANOVA, applying GraphPad Prism 4.0 software. P-values of < 0.05 were accepted as statistically significant. All data are given as mean  $\pm$  SEM (n).

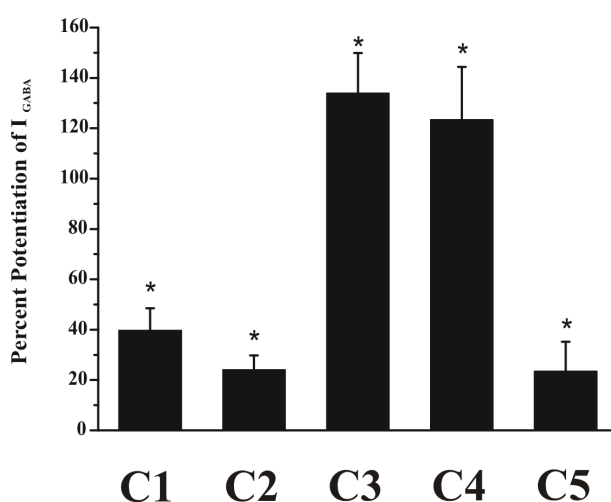
## 10. RESULTS

The effects of *Valerian* and *Black Cohosh* extracts and selected natural products from these plants on GABA<sub>A</sub> receptor function were investigated. For this purpose, GABA<sub>A</sub> receptors comprising different subunit compositions were expressed in *Xenopus* oocytes and the modulation of GABA-induced currents ( $I_{\text{GABA}}$ ) investigated by means of the 2-microelectrode voltage clamp technique (TEVC) and a fast perfusion system. To analyse the potentially sedative, hypnotic and anxiolytic effects of actein *in vivo* the Open-field-, the Dark-Light Choice, the Elevated Plus Maze- and Stress-Induced-Hyperthermia test were applied.

### 10.1 VALERENIC ACID POTENTIATES AND INHIBITS GABA<sub>A</sub> RECEPTORS: MOLECULAR MECHANISM AND SUBUNIT SPECIFICITY

#### 10.1.1 MODULATION OF $I_{\text{GABA}}$ BY VALERIAN EXTRACTS

The modulation of  $I_{\text{GABA}}$  by commercially available Valerian extracts (kindly provided by Univ. Prof. DDr. Brigitte Kopp and colleagues) was investigated on recombinant GABA<sub>A</sub> receptors ( $\alpha_1\beta_2\gamma_{2S}$ ) expressed in *Xenopus laevis* oocytes. As illustrated in Fig. 17, the extracts at a concentration of 100  $\mu\text{g/ml}$  significantly stimulated ( $p < 0.05$ )  $I_{\text{GABA}}$ . The strongest effect was observed for extracts C3 and C4, enhancing  $I_{\text{GABA}}$  by  $133.9 \pm 15.9\%$  ( $n=6$ ) and  $123.4 \pm 21.0\%$  ( $n=6$ ), respectively. The effect was less pronounced for the extracts C1 ( $39.7 \pm 8.8\%$ ,  $n=7$ ), C2 ( $24.0 \pm 5.8\%$ ,  $n=6$ ) and C5 ( $23.5 \pm 11.7\%$ ,  $n=4$ ).

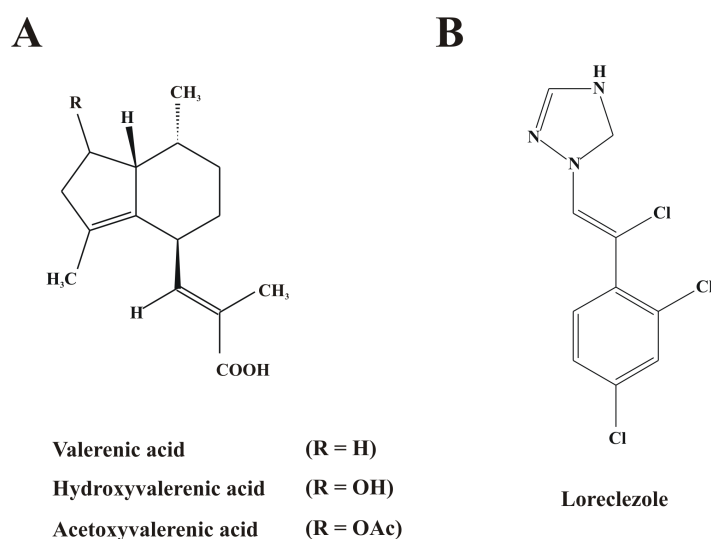


**Figure 17** Modulation of  $I_{\text{GABA}}$  through GABA<sub>A</sub> receptors composed of  $\alpha_1\beta_2\gamma_{2S}$ -subunits by *Valerian* extracts of different polarity at a concentration of 100  $\mu\text{g/ml}$ . Each value represents the mean  $\pm$  S.E.

from at least 4 oocytes and  $\geq 2$  oocyte batches. (\*) indicates significantly different from zero ( $p < 0.05$ ).

### 10.1.2 POTENTIATION OF $I_{GABA}$ BY VALERENIC ACID THROUGH $\alpha_1\beta_2$ , $\alpha_1\beta_2\gamma_1$ AND $\alpha_1\beta_2\gamma_{2S}$ CHANNELS

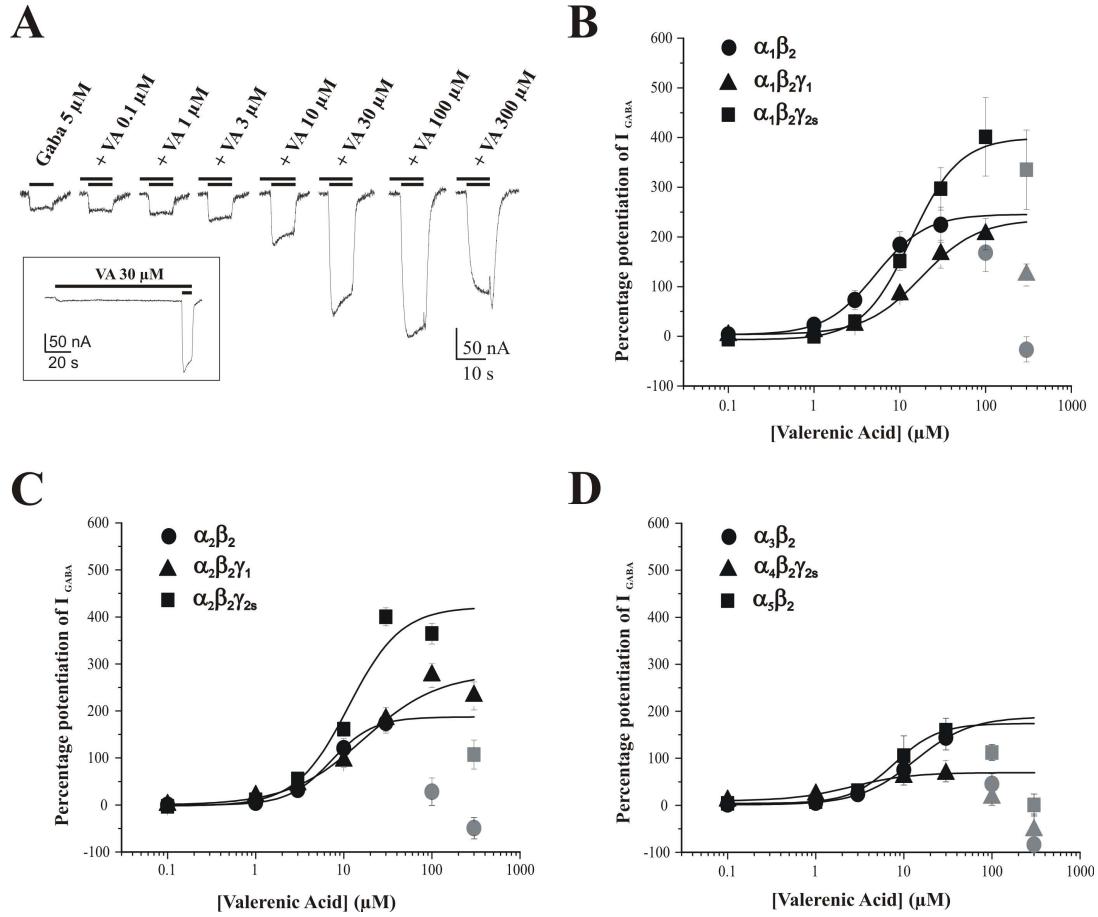
The modulation of  $I_{GABA}$  by VA was investigated on recombinant  $GABA_A$  receptors expressed in *Xenopus laevis* oocytes. Modulation of  $I_{GABA}$  by valerenic acid was first studied on  $GABA_A$  channels composed of either  $\alpha_1\beta_2$  or  $\alpha_1\beta_2\gamma_{1/2S}$  subunits. As shown in Fig. 19 A, B valerenic acid exhibited a positive allosteric modulatory effect at concentrations  $\geq 1 \mu M$  by enhancing  $I_{GABA}$ . The effect was dose-dependent and the averaged concentration-response curve shows that maximum stimulation of  $\alpha_1\beta_2\gamma_{2S}$  receptors occurred at  $\sim 100 \mu M$  (Fig. 19 B). The maximal potentiation of  $I_{GABA}$  (efficiency) through  $\alpha_1\beta_2\gamma_1$  ( $235.6 \pm 46.4\%$ ,  $n=8$ ) and  $\alpha_1\beta_2\gamma_{2S}$  channels ( $400.0 \pm 77.6\%$ ,  $n=12$ ) was however not significantly higher than in channels composed of  $\alpha_1$  and  $\beta_2$  subunits ( $245.7 \pm 53.3\%$ ,  $n=17$ ) (see Fig. 19 A and B, Tables 1 and 2). At concentrations  $\geq 30 \mu M$  a direct activation of  $GABA_A$  channels by valerenic acid was observed. This finding is illustrated in the inset of Fig. 18 A (see Fig. 23 for detailed analysis of channel activation by valerenic acid). At concentrations  $\geq 100 \mu M$  current enhancement by valerenic acid was less pronounced or even inhibition of control  $I_{GABA}$  was evident (Khom et al., 2007).



**Figure 18:** (A) Structure of valerenic acid and derivatives (B) chemical structure of loreclezole (Khom et al., 2007)

### 10.1.3 POTENTIATION OF $I_{GABA}$ BY VALERENIC ACID THROUGH $\alpha_2\beta_2$ , $\alpha_3\beta_2$ , $\alpha_4\beta_2\gamma_{2S}$ , $\alpha_5\beta_2$ , $\alpha_2\beta_2\gamma_1$ AND $\alpha_2\beta_2\gamma_{2S}$ CHANNELS

A possible  $\alpha$ -subunit specificity was analysed by substituting the  $\alpha_1$  by  $\alpha_2$ ,  $\alpha_3$ ,  $\alpha_4$  and  $\alpha_5$  subunits and subsequent analysis of the modulation of  $I_{GABA}$  through the corresponding GABA<sub>A</sub> channels. A comparison of Fig. 19 B and C reveals a very similar modulation of GABA<sub>A</sub> receptors incorporating  $\alpha_2$  instead of  $\alpha_1$  subunits. As illustrated in Fig. 19 B and C a slightly higher efficiency of valerenic acid in  $\gamma_{2S}$ -containing receptors was observed (see Table 1 for fitted efficiencies and  $EC_{50}$ s). A reliable estimation of the  $EC_{50}$  values and maximal  $I_{GABA}$  stimulation was complicated by an apparent  $I_{GABA}$  inhibition at concentrations  $\geq 100$   $\mu$ M that was consistently observed for all subunit compositions (except for  $\alpha_2\beta_2\gamma_1$  receptors). The bell-shaped concentration-effect curves might reflect a low-affinity open-channel block at high valerenic acid concentrations (see Fig. 24). Maximal stimulation of  $I_{GABA}$  through GABA<sub>A</sub> receptors composed of  $\alpha_3\beta_2$  and  $\alpha_5\beta_2$  subunits was comparable to  $\alpha_2\beta_2$  or  $\alpha_1\beta_2$  receptors (see Table 1 for efficiencies and  $EC_{50}$  values, compare Fig. 19 B, C and D and Table 2). Interestingly,  $\alpha_4\beta_2\gamma_{2S}$  receptors displayed a significantly lower sensitivity for valerenic acid with a maximal enhancement of  $I_{GABA}$  of  $68.9 \pm 14.1\%$  (Khom et al., 2007).



**Figure 19** (A) Typical  $I_{GABA}$  recordings illustrating concentration-dependent modulation by VA of GABA elicited chloride currents through  $\alpha_1\beta_2\gamma_{2s}$ -containing receptors. Direct activation of GABA<sub>A</sub> receptors during preincubation with 30  $\mu M$  VA is shown in an inset. Concentration-effect curves for action of VA on (B):  $\alpha_1\beta_2$  (●),  $\alpha_1\beta_2\gamma_1$  (▲) and  $\alpha_1\beta_2\gamma_{2s}$  (■), (C):  $\alpha_2\beta_2$  (●),  $\alpha_2\beta_2\gamma_1$  (▲) and  $\alpha_2\beta_2\gamma_{2s}$  (■) and (D):  $\alpha_3\beta_2$  (●),  $\alpha_4\beta_2\gamma_{2s}$  (▲) and  $\alpha_5\beta_2$  (■) receptors using an GABA  $EC_{5-10}$  ( $EC_{50}$  and  $n_H$  values are given in Table 1). Data points represent means  $\pm$  S.E. from at least 4 oocytes from  $\geq 2$  batches.  $I_{GABA}$  at 100 and 300  $\mu M$  ( $\alpha_1\beta_2$ ) and at 300  $\mu M$  ( $\alpha_1\beta_2\gamma_{2s}$  and  $\alpha_1\beta_2\gamma_1$ ) (Fig. B) (grey symbols),  $I_{GABA}$  at 100 and 300  $\mu M$  ( $\alpha_2\beta_2$ ) and at 300  $\mu M$  ( $\alpha_2\beta_2\gamma_{2s}$ ) (Fig. C) (grey symbols) and  $I_{GABA}$  at 100  $\mu M$  and 300  $\mu M$  ( $\alpha_3\beta_2$ ,  $\alpha_4\beta_2\gamma_{2s}$  and  $\alpha_5\beta_2$ ) (Fig. D) (grey symbols) were excluded from the fit (Khom et al., 2007).

Subunit combination	EC <sub>50</sub> (μM)	Maximum stimulation of I <sub>GABA</sub> (EC <sub>5-10</sub> ) (%)	Hill-Coefficient (n <sub>H</sub> )	Number (n) of experiments
α <sub>1</sub> β <sub>2</sub>	5.2 ± 2.4	245.7 ± 53.3	1.5 ± 0.4	n = 5
α <sub>1</sub> β <sub>3</sub>	16.6 ± 3.8	487.3 ± 78.9	1.7 ± 0.3	n = 5
α <sub>1</sub> β <sub>2</sub> γ <sub>1</sub>	18.0 ± 7.1	235.6 ± 46.4	1.3 ± 0.2	n = 4
α <sub>1</sub> β <sub>2</sub> γ <sub>2S</sub>	13.6 ± 4.1	400.0 ± 77.6	1.5 ± 0.3	n = 4
α <sub>2</sub> β <sub>2</sub>	7.2 ± 2.6	187.4 ± 36.8	1.8 ± 0.6	n = 5
α <sub>2</sub> β <sub>2</sub> γ <sub>1</sub>	16.8 ± 6.4	280.5 ± 36.1	1.0 ± 0.2	n = 4
α <sub>2</sub> β <sub>2</sub> γ <sub>2S</sub>	11.3 ± 1.6	421.4 ± 26.3	1.5 ± 0.1	n = 4
α <sub>3</sub> β <sub>2</sub>	13.2 ± 10.5	188.5 ± 90.4	1.4 ± 0.5	n = 5
α <sub>5</sub> β <sub>2</sub>	7.8 ± 6.1	174.2 ± 57.0	1.7 ± 1.1	n = 4
α <sub>4</sub> β <sub>2</sub> γ <sub>2S</sub>	2.3 ± 4.6	69.0 ± 23.6	1.5 ± 1.6	n = 4
α <sub>1</sub> β <sub>1S290N</sub>	10.4 ± 3.8	371.3 ± 69.6	1.5 ± 0.3	n = 4

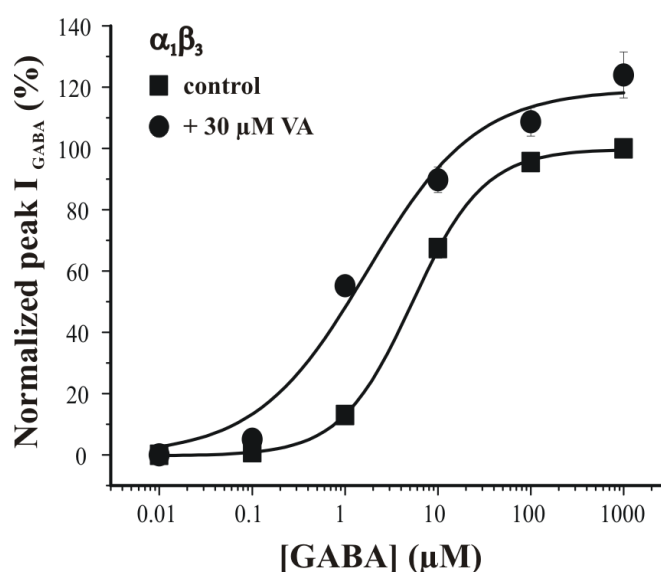
**Table 1** Potency and efficiency of Valerenic acid for GABA<sub>A</sub>-receptors of different subunit compositions.

EC <sub>50</sub> \ I <sub>MAX</sub>	α <sub>1</sub> β <sub>2</sub>	α <sub>1</sub> β <sub>3</sub>	α <sub>1</sub> β <sub>2</sub> γ <sub>1</sub>	α <sub>1</sub> β <sub>2</sub> γ <sub>2S</sub>	α <sub>2</sub> β <sub>2</sub>	α <sub>2</sub> β <sub>2</sub> γ <sub>1</sub>	α <sub>2</sub> β <sub>2</sub> γ <sub>2S</sub>	α <sub>3</sub> β <sub>2</sub>	α <sub>5</sub> β <sub>2</sub>	α <sub>4</sub> β <sub>2</sub> γ <sub>2S</sub>	α <sub>1</sub> β <sub>1S290N</sub>
α <sub>1</sub> β <sub>2</sub>		*					*			*	
α <sub>1</sub> β <sub>3</sub>	*		*		*	*		*	*	*	
α <sub>1</sub> β <sub>2</sub> γ <sub>1</sub>							*			*	
α <sub>1</sub> β <sub>2</sub> γ <sub>2S</sub>					*				*	*	
α <sub>2</sub> β <sub>2</sub>		*					*			*	*
α <sub>2</sub> β <sub>2</sub> γ <sub>1</sub>							*			*	
α <sub>2</sub> β <sub>2</sub> γ <sub>2S</sub>	*							*	*	*	
α <sub>3</sub> β <sub>2</sub>											
α <sub>5</sub> β <sub>2</sub>											*
α <sub>4</sub> β <sub>2</sub> γ <sub>2S</sub>		*	*	*		*	*				*
α <sub>1</sub> β <sub>1S290N</sub>											

**Table 2** Comparison of efficiencies (upper-right) and potencies (lower-left) for GABA<sub>A</sub> receptors of different subunit compositions. (\*) indicates statistically significant (p<0.05) differences.

### 10.1.4 MODULATION OF $I_{GABA}$ BY VALERENIC ACID AT DIFFERENT GABA CONCENTRATIONS

In order to gain insight into the mechanism of  $I_{GABA}$  modulation by valerenic acid, GABA concentration-response curves in the presence and absence of valerenic acid on  $\alpha_1\beta_3$  channels were compared. Valerenic acid was applied in a concentration of 30  $\mu\text{M}$  to minimise a potential inhibition of  $I_{GABA}$  (see Fig. 19 B, C and D). At this concentration valerenic acid shifted the concentration-effect curve towards lower GABA concentrations and also slightly increased the maximal GABA response (Fig. 20) (Khom et al., 2007).

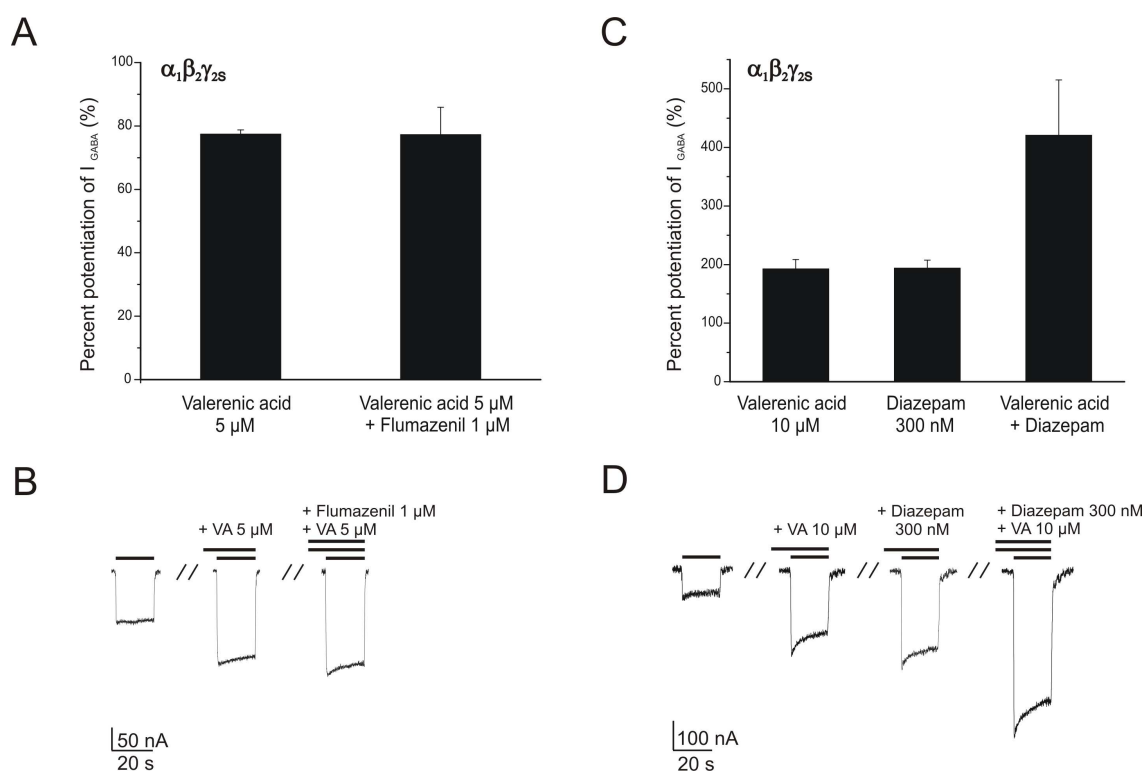


**Figure 20** GABA concentration-effect curve of  $\alpha_1\beta_3$  GABA<sub>A</sub> receptors (control, ■) and in the presence of 30  $\mu\text{M}$  VA (●). Corresponding  $\text{EC}_{50}$ -values were  $5.3 \pm 0.6 \mu\text{M}$  ( $n_H = 1.1 \pm 0.1$ ,  $n=4$ ) for control and  $1.7 \mu\text{M} \pm 0.7 \mu\text{M}$  ( $n_H = 0.7 \pm 0.2$ ,  $n=4$ ) in the presence of VA, respectively. The increase in the maximal  $I_{GABA}$  may result from a direct activation of GABA<sub>A</sub> receptors (see Fig. 23). Data points represent means  $\pm$  S.E. from at least 4 oocytes from  $\geq 2$  batches (Khom et al., 2007).

### 10.1.5 VALERENIC ACID DOES NOT INTERACT WITH THE BZD SITE

Valerenic acid has a strong stimulatory effect on GABA<sub>A</sub> channels not containing  $\gamma$  subunits. A trend towards a slightly higher efficiency of valerenic acid on  $\alpha_{1/2}\beta_2$  receptors containing a  $\gamma_{2S}$  subunit (Fig. 19 B-D), however, suggested a possible interaction of valerenic acid with the benzodiazepine binding pocket. To investigate this,  $I_{GABA}$  through  $\alpha_1\beta_2\gamma_2$  receptors was stimulated with 5  $\mu\text{M}$  valerenic acid either in the absence or presence of 1  $\mu\text{M}$  of the benzodiazepine antagonist flumazenil. Flumazenil did not affect  $I_{GABA}$  potentiation significantly ( $77.4 \pm 2.3 \%$ ,  $n=3$  control vs.  $77.3 \pm 8.6\%$ ,  $n=3$ ) (Fig. 21 A, B). Moreover,

additive effects of valerenic acid and 300 nM diazepam on  $\alpha_1\beta_2\gamma_{2S}$  receptors also suggest that the binding sites of valerenic acid and the BZD like diazepam are independent of each other (Fig. 21 C, D) (Khom et al., 2007).



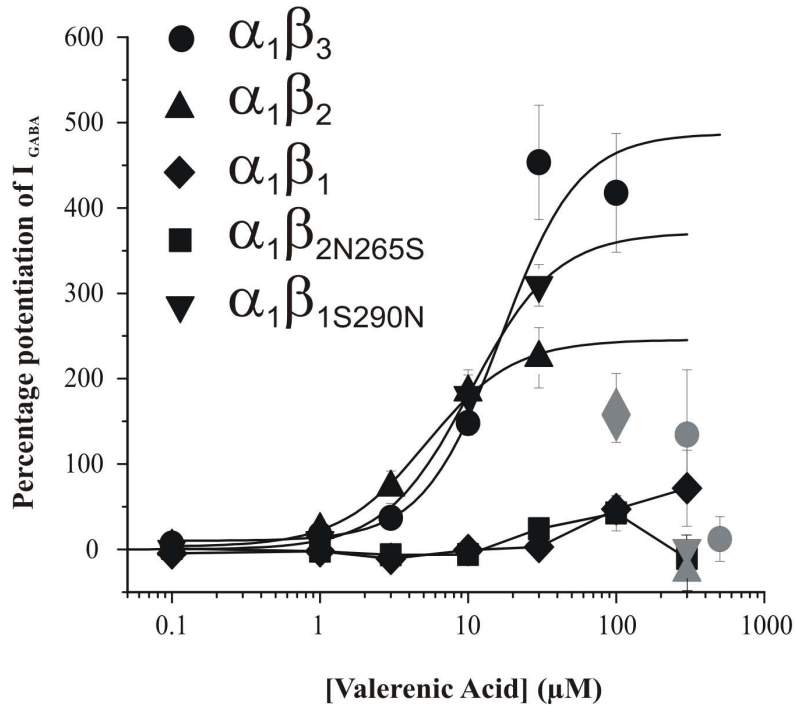
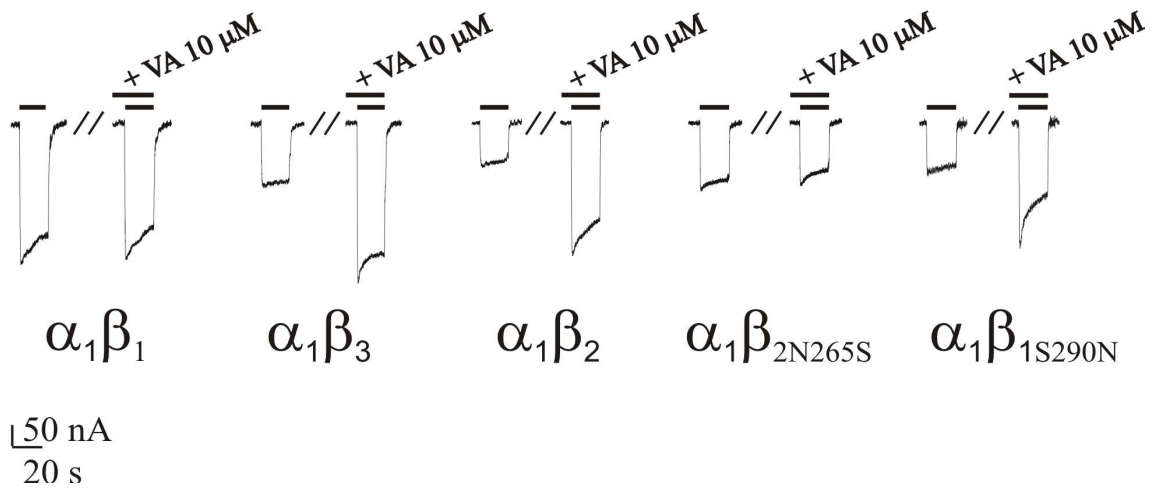
**Figure 21** (A) Stimulation of  $I_{GABA}$  by 5  $\mu$ M VA is not inhibited by flumazenil. The left bar shows the positive allosteric modulation of the GABA ( $EC_{5-10}$ )-induced chloride current by 5  $\mu$ M VA through  $\alpha_1\beta_2\gamma_{2S}$  receptors. The right bar illustrates that flumazenil (1  $\mu$ M) does not antagonize VA-induced enhancement of  $I_{GABA}$ . (B) Typical  $I_{GABA}$  through  $\alpha_1\beta_2\gamma_{2S}$  receptors in the absence and presence of the indicated concentrations of VA or VA and flumazenil, respectively. (C) Additive effects of VA 10  $\mu$ M and diazepam 300 nM. The left bar illustrates the enhancement of  $I_{GABA}$  by 10  $\mu$ M VA, the bar in the middle by 300 nM diazepam, whereas the right bar illustrates the enhancement of  $I_{GABA}$  under coapplication of VA 10  $\mu$ M and diazepam 300 nM through receptors composed of  $\alpha_1$ ,  $\beta_2$  and  $\gamma_{2S}$  subunits. (D) Representative currents through  $\alpha_1\beta_2\gamma_{2S}$  channels for the additive effects of VA and diazepam (Khom et al., 2007).

### 10.1.6 $\beta$ -SUBUNIT DEPENDENCE OF GABA<sub>A</sub> RECEPTOR POTENTIATION BY VALERENIC ACID

A strong dependence of the modulatory effect of valerenic acid on the  $\beta$ -subunit composition of the receptor was observed. Fig. 22 illustrates the effect of valerenic acid on  $I_{GABA}$  through



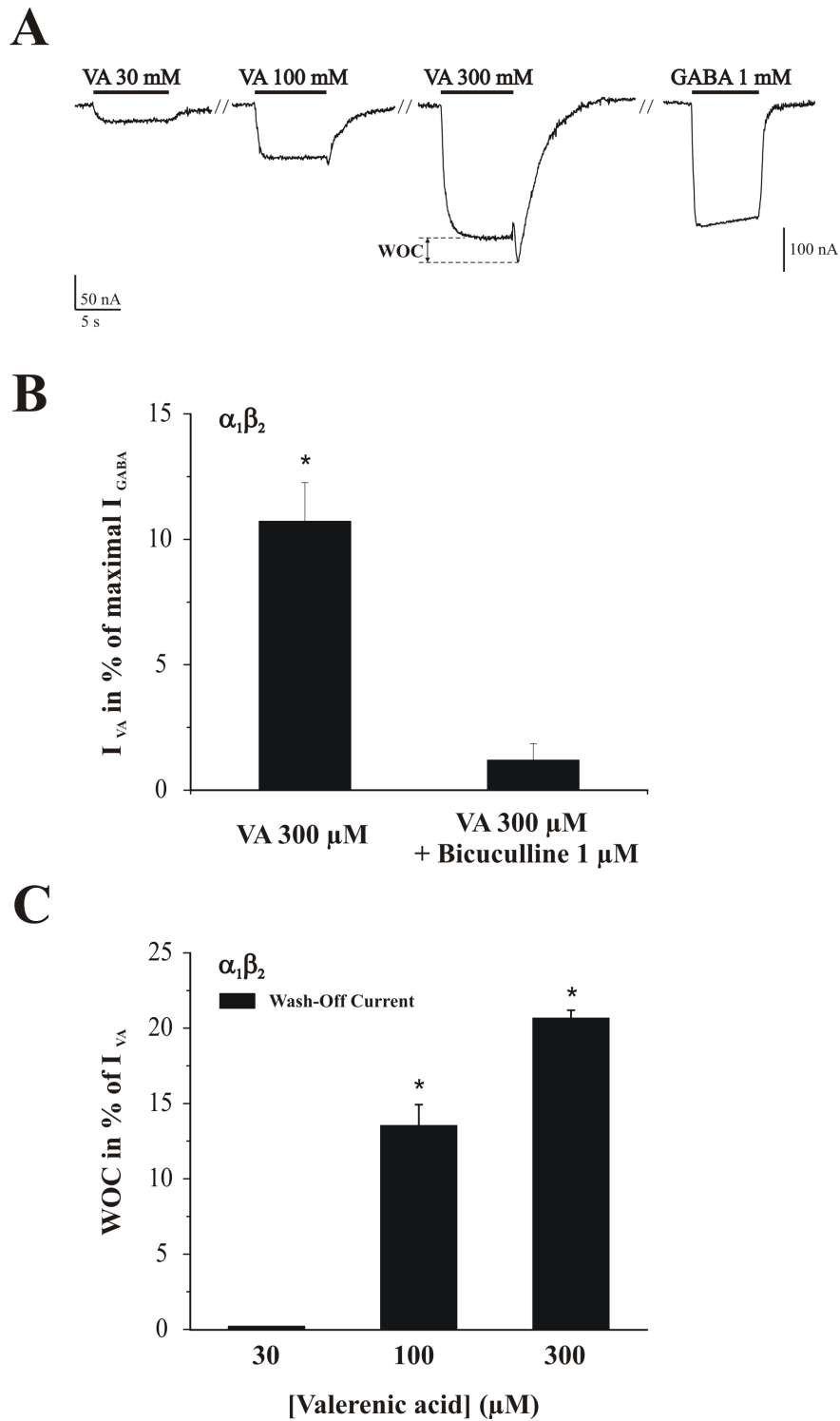
GABA<sub>A</sub> channels with different  $\beta$ -subunit isoforms (channels without  $\gamma$ -subunits). As illustrated in Fig. 22 only channels incorporating  $\beta_2$  or  $\beta_3$  subunits were stimulated by valerenic acid at concentrations between 1 and 30  $\mu$ M. The highest efficiency of valerenic acid was observed for  $\alpha_1\beta_3$  channels. Replacing  $\beta_{2/3}$  by  $\beta_1$  drastically reduced the sensitivity of the resulting GABA<sub>A</sub> channels. As shown in Fig. 22 A, the stimulation of  $I_{\text{GABA}}$  through  $\alpha_1\beta_1$  receptors was almost absent. Interestingly, the point mutation  $\beta_{2N265S}$  (known to reduce stimulatory effects by loreclezole, Wingrove et al., 1994) almost completely abolished the stimulatory effect of valerenic acid. The corresponding mutation in  $\beta_1$  ( $\beta_{1S290N}$ ), however, induced sensitivity for valerenic acid. These findings suggest an involvement of this residue in either binding of valerenic acid or transduction of the effect. Corresponding representative  $I_{\text{GABA}}$  in absence and presence of 10  $\mu$ M valerenic acid are shown in Fig. 22 B. The structures of valerenic acid, acetoxy- valerenic acid, hydroxy- valerenic acid and loreclezole are illustrated in Fig. 18 (Khom et al., 2007).

**A****B**

**Figure 22 (A)** Concentration-dependent effect for VA on  $\alpha_1\beta_1$ ,  $\alpha_1\beta_3$ ,  $\alpha_1\beta_2$ ,  $\alpha_1\beta_{2N265S}$  and  $\alpha_1\beta_{1S290N}$  receptors using a GABA  $EC_{5-10}$ . **(B)** Typical traces for modulation of chloride currents through  $\alpha_1\beta_1$ ,  $\alpha_1\beta_3$ ,  $\alpha_1\beta_2$ ,  $\alpha_1\beta_{2N265S}$  and  $\alpha_1\beta_{1S290N}$  channels by 10  $\mu M$  valerenic acid at GABA  $EC_{5-10}$ . Control currents (GABA, single bar) and corresponding currents elicited by coapplication of GABA and the indicated valerenic acid concentration (double bar) are shown (Khom et al., 2007).

### 10.1.7 DIRECT ACTIVATION OF GABA<sub>A</sub> RECEPTORS BY VALERENIC ACID

As shown in Fig. 19 A, valerenic acid induced currents through GABA<sub>A</sub> receptors ( $I_{VA}$ ). Fig. 23 A illustrates valerenic acid-evoked currents through channels composed of  $\alpha_1$  and  $\beta_2$  subunits. A comparison with the kinetics of an  $I_{GABA}$  at low GABA concentrations revealed a significantly slower activation of  $I_{VA}$  (Fig. 23 A). Compared to a mean current rise time ( $t_{10-90\%}$ ) of  $I_{GABA}$  at  $EC_{10}$  ( $180 \pm 45$  ms,  $n=7$ ) a significantly slower activation of  $I_{VA}$  with mean  $t_{10-90\%}$  of  $680 \pm 50$  ms ( $n=5$ ) at valerenic acid concentrations between 30 to 300  $\mu$ M was observed. Interestingly, not only the activation of GABA<sub>A</sub> channels but also the deactivation rate of  $I_{VA}$  were remarkably slower compared to  $I_{GABA}$ . The mean time-constants of  $I_{VA}$  deactivation of  $2083 \pm 232$  ms ( $n=5$  at 30 - 300  $\mu$ M VA) were about 10 times larger compared to  $I_{GABA}$  decay upon washout ( $200 \pm 10$  ms, GABA  $EC_{10}$ ,  $n=7$ , Fig. 23 A). With higher valerenic acid concentrations, a “wash-off” current (WOC) was observed during rapid perfusion of the oocytes with control solution (see Fig. 23 A and C). This finding suggests rapid unbinding of valerenic acid from a (low affinity) binding site located in the open channel pore (compare with Akk and Steinbach, 2000; Feng et al., 2004). The amplitude of the “wash-off” current increased with increasing valerenic acid concentration from 30 to 300  $\mu$ M (Fig. 23) (Khom et al., 2007).



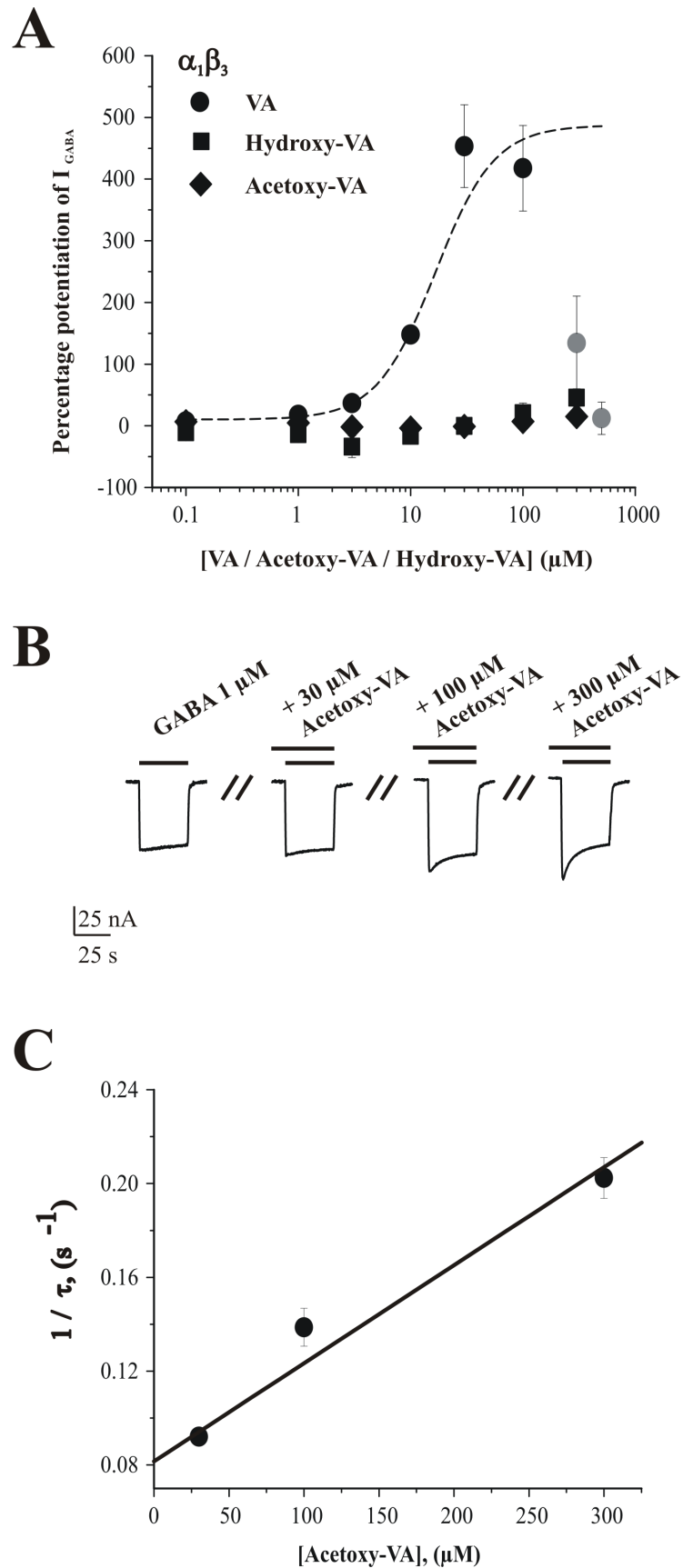
**Figure 23**

(A) Representative currents illustrating direct activation of GABA<sub>A</sub> receptors ( $\alpha_1\beta_2$ ) by VA at the indicated concentrations in comparison to a GABA-induced current at EC<sub>10</sub>. (B) Inhibition of  $I_{VA}$  (300  $\mu$ M) by bicuculline. The left bar illustrates the percentage of the VA-induced current (300  $\mu$ M) in relation to the maximal  $I_{GABA}$  at 1 mM. The right bar shows the effect of VA in the presence of 5  $\mu$ M bicuculline. (\*) indicates statistically significant differences from zero ( $p < 0.05$ ).

(C) Estimation of the relative “wash-off” current (WOC) in percentage of corresponding  $I_{VA}$  at the indicated VA concentrations (Khom et al., 2007).

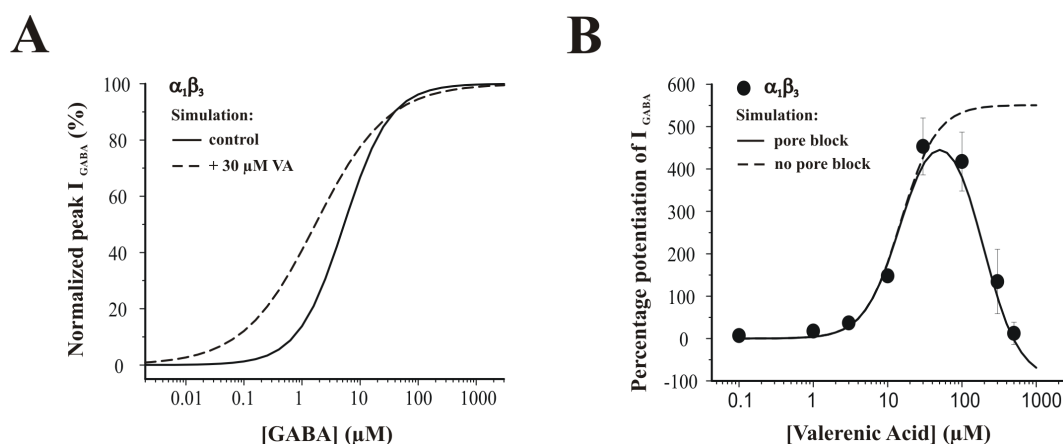
### **10.1.8 EVIDENCE FOR OPEN CHANNEL BLOCK BY VALERENIC ACID AND ACETOXY- VALERENIC ACID**

The data shown in Fig. 23 suggest an open channel block mechanism for valerenic acid. This hypothesis is supported by the observed “wash-off” currents that increased at high valerenic acid concentrations (Fig. 23). Interestingly, acetoxy- valerenic acid induced no stimulation at concentrations up to 100  $\mu\text{M}$  (and did not evoke currents when applied at this concentration alone, data not shown) but accelerated the current decay through  $\alpha_1\beta_3$  channels (Fig. 24 A). Making use of these properties (no stimulation but acceleration of current decay) inhibition of  $I_{\text{GABA}}$  in more detail could be studied. The shape of  $I_{\text{GABA}}$  in the presence of 100 and 300  $\mu\text{M}$  acetoxy- valerenic acid revealed a concentration dependent acceleration of the current decay (Fig. 24 B), which would agree with an open-channel block. Interestingly, only acetoxy- but not hydroxy- valerenic acid accelerated the  $I_{\text{GABA}}$  decay (data not shown). Plotting the reciprocal of the time constants of the acetoxy- valerenic acid induced current decay against concentration enabled the estimation of an apparent  $\text{IC}_{50}$  value ( $\sim 190 \mu\text{M}$ ) for an apparent open channel block mechanism. A simulation of the bell-shaped concentration-response curve of valerenic acid action on  $\alpha_1\beta_3$  channels assuming a low affinity open channel block mechanism is shown latter (see Fig. 25) (Khom et al., 2007).



**Figure 24** (A) Concentration-dependent effects for acetoxy-VA (◆), hydroxy-VA (■) on the enhancement of  $I_{GABA}$  ( $EC_{5-10}$ ) through  $\alpha_1\beta_3$  channels. Broken line illustrates the enhancement of  $I_{GABA}$  by VA (taken from **Fig. 22 A**). (B) Representative traces illustrating GABA-induced chloride currents

through  $\alpha_1\beta_3$  receptors in the absence (control) and the presence of 30  $\mu\text{M}$ , 100  $\mu\text{M}$  and 300  $\mu\text{M}$  acetoxo-VA. In the presence of 30, 100 and 300  $\mu\text{M}$  acetoxo-VA  $I_{\text{GABA}}$  decayed with time constants of  $10.9 \pm 0.4$  s,  $7.4 \pm 0.5$  s and  $5.0 \pm 0.2$  s. (C) Reciprocals of time constants of acetoxo-VA induced  $I_{\text{GABA}}$  decay plotted as function of the acetoxo-VA concentration. The regression line yields a y-intercept (rate constant of dissociation from the open channel,  $k_- = 0.08 \text{ s}^{-1}$ ) and a slope (rate constant of association with the open channel,  $k_+ = 420 \text{ s}^{-1}\text{M}^{-1}$ ) suggesting an  $\text{IC}_{50}$  for open channel block of  $\sim 190 \mu\text{M}$  (Khom et al., 2007).



**Figure 25** (A) Simulated shift of the concentration-response dependency making use of the experimental data ( $\text{EC}_{50}$ s and  $n_{\text{H}}$  taken from the concentration-effect curves shown in Fig. 19). The broken and straight lines illustrate the curves in the presence and absence of 30  $\mu\text{M}$  VA, respectively. Direct activation of GABA<sub>A</sub> receptors by VA was not taken into account. (B) Simulation of concentration-dependent enhancement and inhibition of  $I_{\text{GABA}}$  by VA (in %). Solid line represents a simulation of the positive allosteric modulation by VA ( $\text{EC}_{50} = 15 \mu\text{M}$ ,  $n_{\text{H}} = 1.8$ ) and open channel block ( $\text{IC}_{50} = 190 \mu\text{M}$ ,  $n_{\text{H}} = 1.8$ ). Broken line illustrates the simulated concentration-effect curve without open channel block. The approximated  $\text{IC}_{50}$  for apparent open channel block of GABA<sub>A</sub> channels corresponds to the estimated value of acetoxo-VA (Fig. 24 C) (Khom et al., 2007).

## 10.2 ACTEIN- A NOVEL HIGHLY EFFICIENT MODULATOR OF GABA<sub>A</sub> RECEPTORS WITH STRONG *IN VIVO* EFFECTS

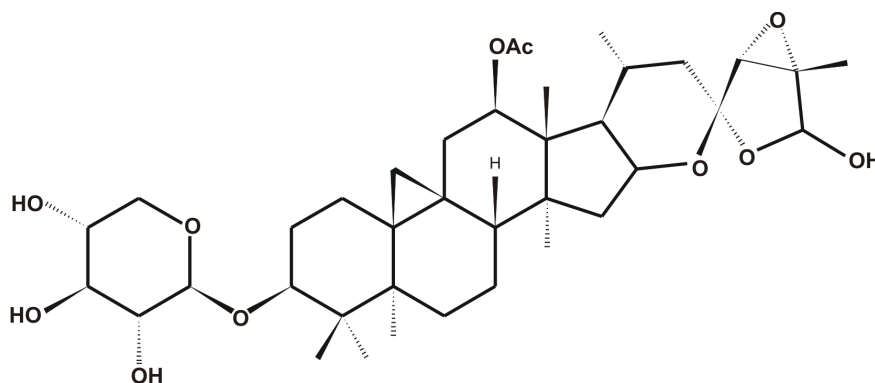


Figure 26 Chemical structure of Actein

### 10.2.1 MODULATION OF I<sub>GABA</sub> BY *ACTAEA RACEMOSA* EXTRACTS

The modulation of I<sub>GABA</sub> by *Actaea racemosa* extracts CR-I, CR-II and CR-III (kindly provided by Univ. Prof. DDr. Brigitte Kopp and colleagues) was investigated. As illustrated in Fig. 27, CR-I, CR-II and CR-III at a concentration of 100 µg/ml significantly ( $p < 0.05$ ) enhanced I<sub>GABA</sub>. CR-II and CR-III exhibited a stronger modulation of GABA-mediated currents, enhancing I<sub>GABA</sub> by  $130.2 \pm 14.4\%$  ( $n=3$ ) and  $132.8 \pm 33.1\%$  ( $n=3$ ) compared to the extract CR-I, which showed  $64.8 \pm 4.8\%$  potentiation of I<sub>GABA</sub> (see Fig. 27).

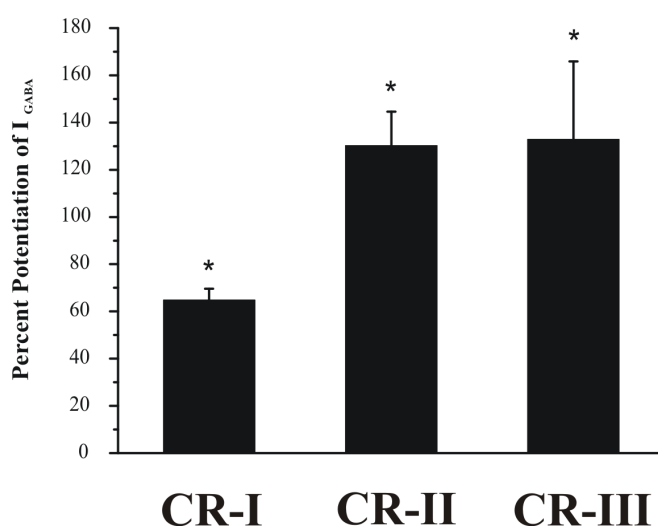


Figure 27 Enhancement of I<sub>GABA</sub> by *Actaea racemosa* extracts through GABA<sub>A</sub> channels comprising  $\alpha_1\beta_2\gamma_{2S}$  subunits at a concentration of 100 µg/ml at GABA EC<sub>5-10</sub>. Each bar represents the mean  $\pm$  S.E. from at least 3 oocytes and  $\geq 2$  oocyte batches. (\*) indicates significantly different from zero ( $p < 0.05$ ,  $t$ -test by ANOVA)



### 10.2.2 POTENTIATION OF $I_{GABA}$ BY ACTEIN THROUGH $GABA_A$ CHANNELS COMPOSED OF $\alpha_1$ , $\beta_2$ AND $\gamma_{2S}$ SUBUNITS

The effects of actein, a major constituent of *Actaea racemosa*, were examined on  $GABA_A$  receptors heterologously expressed in *Xenopus laevis* oocytes. Modulation of  $I_{GABA}$  by actein was first studied on  $GABA_A$  channels composed of  $\alpha_1\beta_2\gamma_{2S}$  subunits. As shown in Fig. 28 A, actein exhibited a positive allosteric modulatory effect at concentrations  $\geq 1 \mu M$  by enhancing  $I_{GABA}$  at a GABA  $EC_{5-10}$ . The effect was concentration-dependent ( $n=8$ ) and the averaged concentration-response curve shows that maximum stimulation of  $\alpha_1\beta_2\gamma_{2S}$  receptors occurred at  $\sim 300 \mu M$  (Fig. 28 A) and yielded  $1091.2 \pm 124.6\%$  potentiation of  $I_{GABA}$  (see also Table 3). The modulatory action of actein at  $100 \mu M$  was not blocked by flumazenil  $1 \mu M$  ( $n=3$ , data not shown).  $I_{GABA}$  modulation was not dependent on the presence of a  $\gamma$  subunit. The modulation of  $GABA_A$  channels composed of  $\alpha_1$  and  $\beta_2$  subunits ( $n=9$ ) was slightly more pronounced compared to  $\alpha_1\beta_2\gamma_{2S}$  receptors (see Table 3, and Fig. 28 A). At concentrations  $\geq 30 \mu M$  Actein elicited small currents in the absence of GABA. This direct activation did, however, not exceed 2% of the maximal GABA-induced current ( $n=7$ ) (data not shown). Representative traces for direct activation of  $GABA_A$  receptors are illustrated in insets in Figs. 28 B and 29 B).

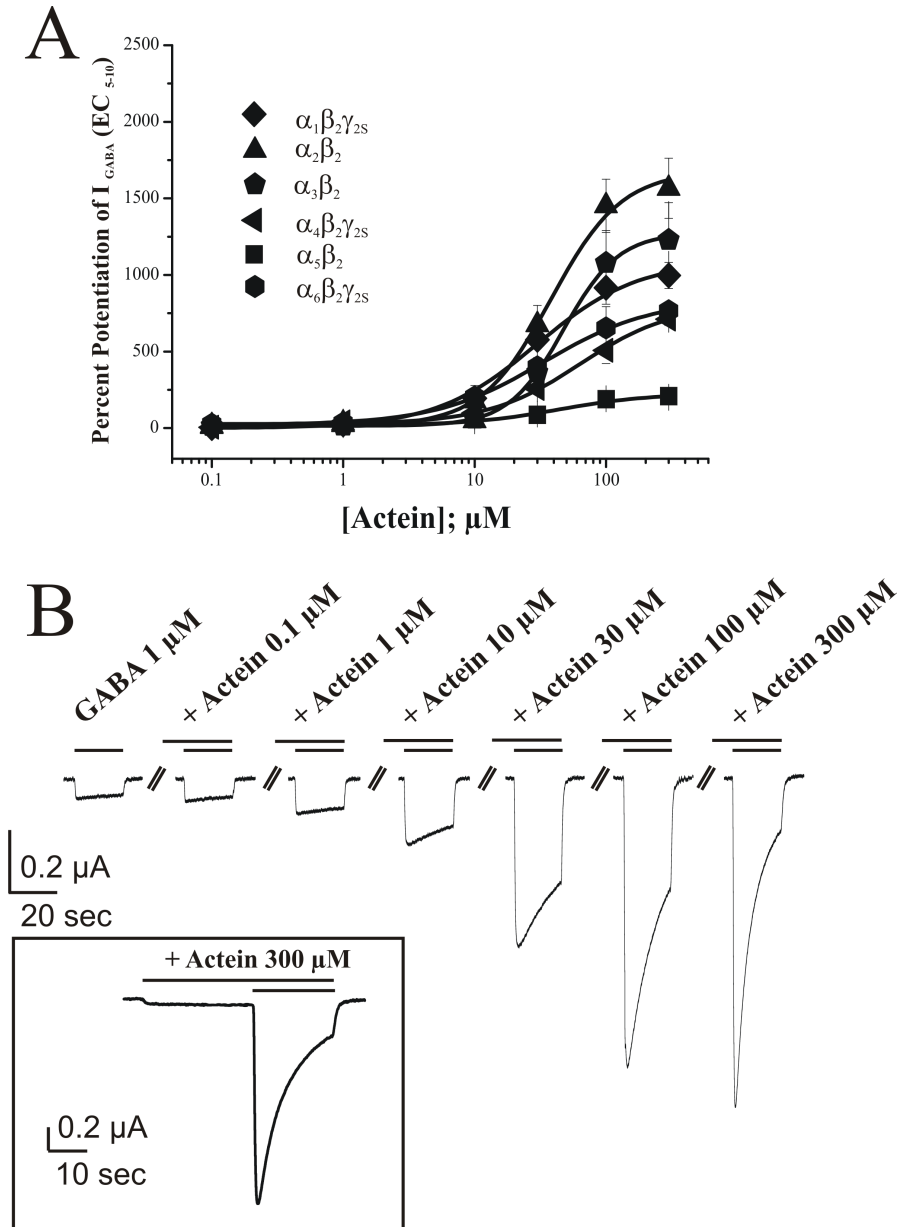
### 10.2.3 $\alpha$ -SUBUNIT DEPENDENCE OF $I_{GABA}$ MODULATION BY ACTEIN

In order to investigate a possible  $\alpha$ -subunit specificity of actein action, the  $\alpha_1$  subunit was substituted by  $\alpha_2$ ,  $\alpha_3$ ,  $\alpha_4$ ,  $\alpha_5$  and  $\alpha_6$ , respectively. Different  $\alpha$  subunits were expressed in combination with a  $\beta_2$  subunit to analyse the modulation of  $I_{GABA}$  (in the case,  $\alpha_4$  and  $\alpha_6$  containing receptors additionally a  $\gamma_{2S}$  subunit was co-expressed) (Fig. 27 A, Table 3). The highest efficiency was observed for receptors containing either  $\alpha_2$  or  $\alpha_3$  subunits, revealing a maximal potentiation of  $I_{GABA}$  ( $EC_{5-10}$ ) of  $1677.6 \pm 225.3\%$  ( $n=8$ ) and  $1322.5 \pm 237.8\%$  ( $n=8$ ), respectively, followed by  $\alpha_1$ -containing receptors ( $n=8$ ).

Actein displayed a considerably lower efficiency on  $GABA_A$  receptors composed of  $\alpha_4$  and  $\alpha_6$  subunits ( $811.6 \pm 96.6\%$ ;  $n=5$  and  $840.2 \pm 117.0\%$ ;  $n=6$ , see also Table 3). The effect of actein was least pronounced on  $GABA_A$  receptors containing  $\alpha_5$  subunits with an  $I_{GABA}$  enhancement of  $225.8 \pm 44.8\%$  ( $n=7$ ). Comparison of the potencies of the respective subtypes revealed only minor differences, with potencies ranging from  $29.5 \pm 12.9 \mu M$  ( $\alpha_1\beta_2\gamma_{2S}$ ) to  $63.5 \pm 20.0 \mu M$  ( $\alpha_4\beta_2\gamma_{2S}$ ) (see Table 3).

<b>Subunit combination</b>	<b>EC<sub>50</sub> (<math>\mu</math>M)</b>	<b>Maximum stimulation of I<sub>GABA</sub> (EC<sub>5-10</sub>) (%)</b>	<b>Hill- coefficient (n<sub>H</sub>)</b>	<b>Number (n) of experiments</b>
$\alpha_1\beta_1$	56.2 $\pm$ 12.8	2169.4 $\pm$ 374.5	1.8 $\pm$ 0.3	15
$\alpha_1\beta_2$	50.0 $\pm$ 10.9	1466.7 $\pm$ 220.7	1.6 $\pm$ 0.3	9
$\alpha_1\beta_3$	44.5 $\pm$ 18.2	2027.0 $\pm$ 398.9	1.2 $\pm$ 0.1	8
$\alpha_1\beta_2\gamma_{2S}$	29.5 $\pm$ 12.9	1091.2 $\pm$ 124.6	1.1 $\pm$ 0.1	8
$\alpha_2\beta_2$	38.3 $\pm$ 11.6	1677.6 $\pm$ 225.3	1.6 $\pm$ 0.3	8
$\alpha_3\beta_2$	50.8 $\pm$ 9.3	1322.5 $\pm$ 237.8	1.8 $\pm$ 0.2	8
$\alpha_4\beta_2\gamma_{2S}$	63.5 $\pm$ 20.0	811.6 $\pm$ 94.6	1.2 $\pm$ 0.2	5
$\alpha_5\beta_2$	43.6 $\pm$ 22.5	225.8 $\pm$ 44.8	1.2 $\pm$ 0.4	7
$\alpha_6\beta_2\gamma_{2S}$	33.4 $\pm$ 15.9	840.2 $\pm$ 117.0	1.1 $\pm$ 0.4	6

**Table 3** Potency and efficiency of actein for GABA<sub>A</sub>-receptors of different subunit compositions.



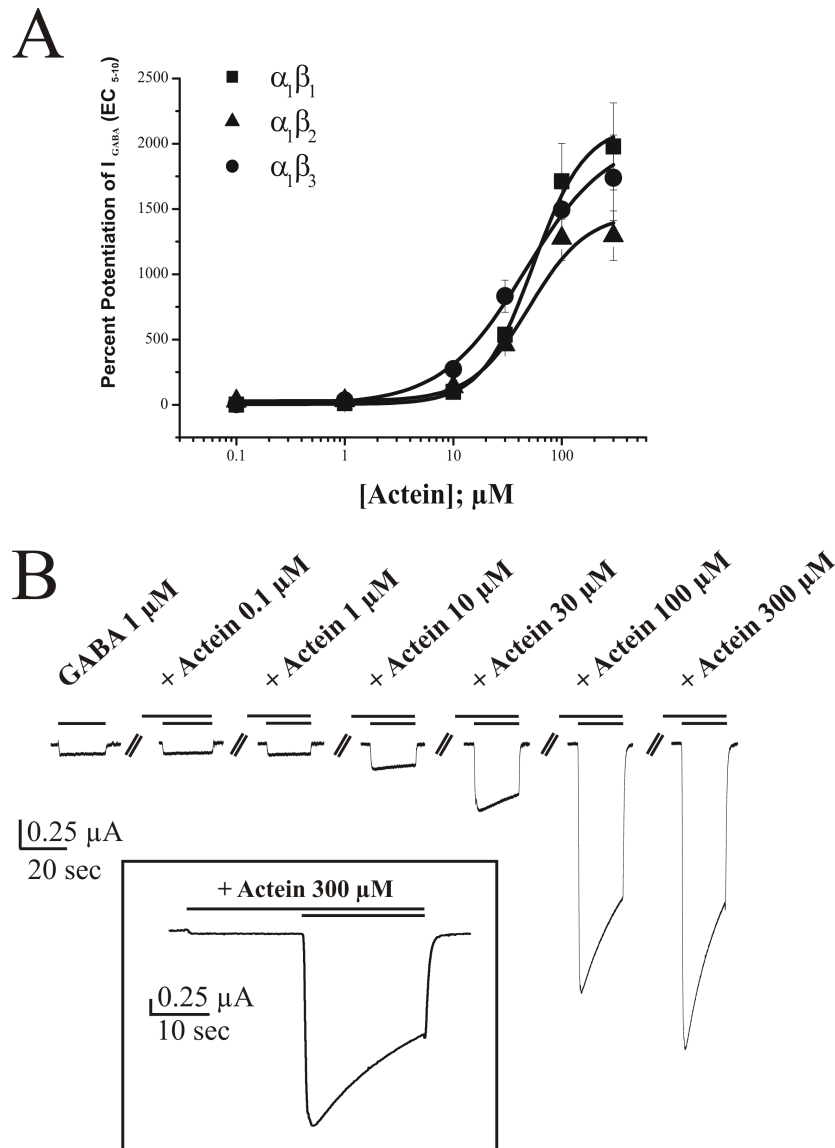
**Figure 28 Modulation of  $I_{GABA}$  through  $GABA_A$  receptors containing different  $\alpha$ -subunits**

(A) Concentration-effect curves for the action of actein on  $\alpha_1\beta_2\gamma_{2S}$  ( $\blacklozenge$ ),  $\alpha_2\beta_2$  ( $\blacktriangle$ ),  $\alpha_3\beta_2$  ( $\blacklozenge$ ),  $\alpha_4\beta_2\gamma_{2S}$  ( $\blacktriangleleft$ ),  $\alpha_5\beta_2$  ( $\blacksquare$ ),  $\alpha_6\beta_2\gamma_{2S}$  ( $\bullet$ ) receptors using an  $EC_{5-10}$  GABA concentration ( $EC_{50}$  and Hill-coefficient values are given in Table 3). Data points represent means  $\pm$  S.E. from at least 4 oocytes from  $\geq 2$  batches. (B) Typical  $I_{GABA}$  recordings illustrating concentration-dependent modulation by actein of GABA elicited chloride currents through  $\alpha_2\beta_2$ -containing receptors. Direct activation of  $GABA_A$  receptors by 300  $\mu M$  actein is shown in the inset.

### 10.2.4 INFLUENCE OF DIFFERENT $\beta$ -SUBUNITS ON $I_{GABA}$

#### POTENTIATION BY ACTEIN

In order to determine the contribution of the  $\beta$  subunits on the effect of actein on  $GABA_A$  receptors, the modulation of the respective subtypes ( $\alpha_1\beta_1$  and  $\alpha_1\beta_3$ , respectively) was analysed. A comparison of the concentration-effect curves for actein on  $GABA_A$  comprising either  $\beta_1$  or  $\beta_3$  receptors reveals a very similar modulation of  $GABA_A$  receptors in regard to both efficiency and potency (see Fig. 28, Table 3).

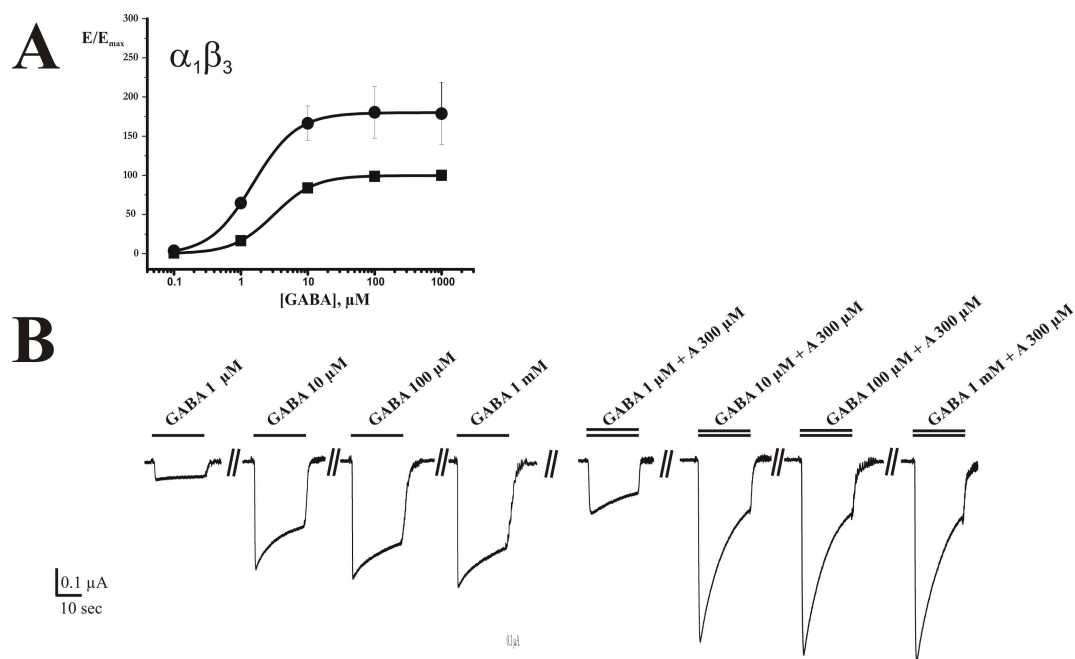


**Figure 29 Influence of the  $\beta$ -subunit incorporated in the receptor on  $I_{GABA}$  modulation by actein**  
**(A)** Concentration-effect curves for the action of actein on  $\alpha_1\beta_1$  (■)-,  $\alpha_1\beta_2$  (▲)- and  $\alpha_1\beta_3$  (●)-receptors using an  $EC_{5-10}$  GABA concentration ( $EC_{50}$  and Hill-coefficient values are given in Table 3). Data points represent means  $\pm$  S.E. from at least 4 oocytes from  $\geq 2$  batches.

**(B)** Typical  $I_{\text{GABA}}$  recordings illustrating concentration-dependent modulation by actein of GABA elicited chloride currents through  $\alpha_1\beta_1$  receptors. Direct activation of GABA<sub>A</sub> receptors during preincubation with 300  $\mu\text{M}$  actein is shown in an inset.

### 10.2.5 MODULATION OF $I_{\text{GABA}}$ BY ACTEIN AT DIFFERENT GABA CONCENTRATIONS

In order to gain further insight into the mechanism of  $I_{\text{GABA}}$  modulation by actein, GABA concentration-response curves in the presence and absence of actein on  $\alpha_1\beta_3$  channels were compared ( $n=6$ ). Actein was applied at a saturating concentration of 300  $\mu\text{M}$ . At this concentration, actein shifted the concentration-effect curve towards lower GABA concentrations and also substantially increased the maximal GABA response (Fig. 30).

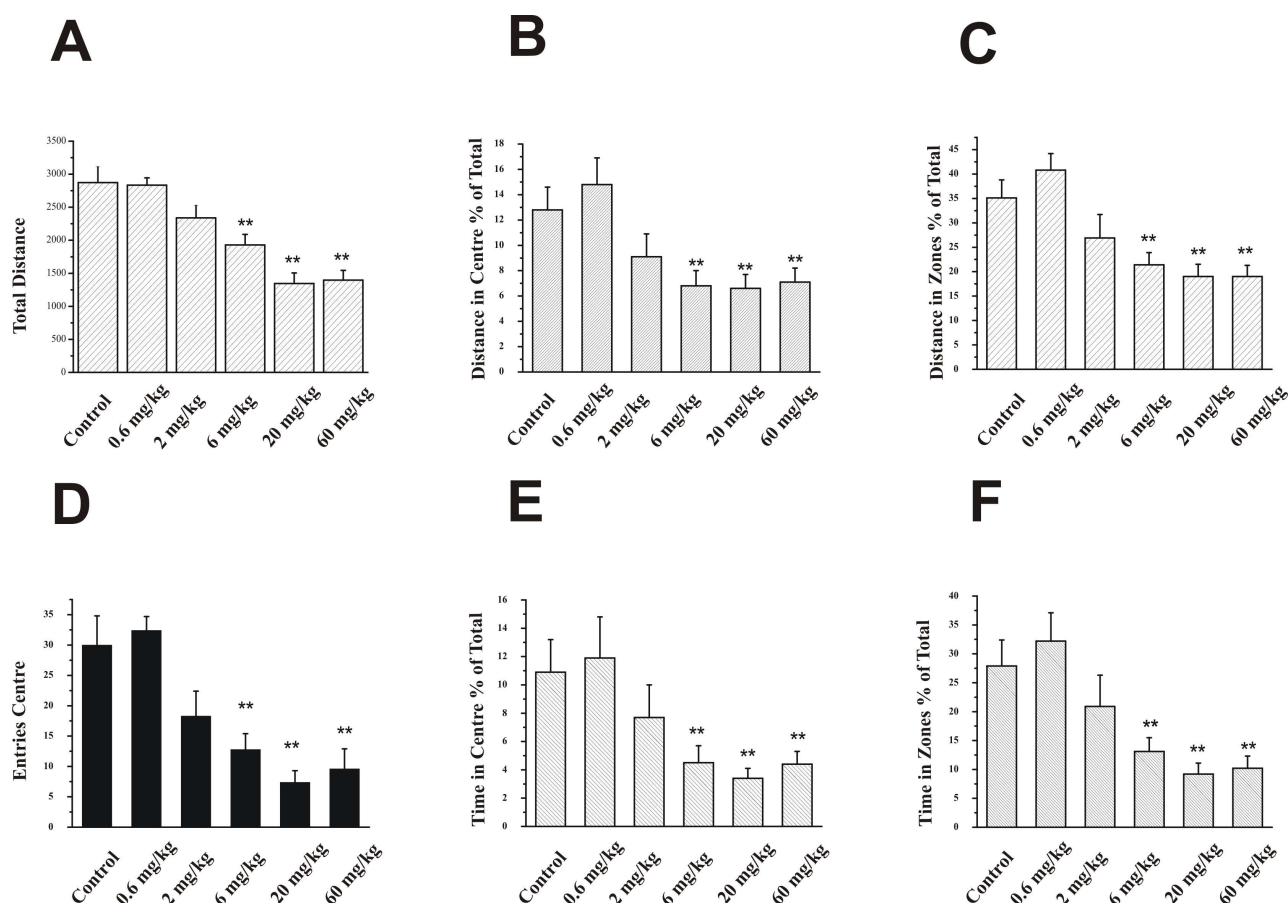


**Figure 30** (A) GABA concentration-effect curve of  $\alpha_1\beta_3$  GABA<sub>A</sub> receptors (control, ■) and in the presence of 300  $\mu\text{M}$  actein (●). Corresponding  $\text{EC}_{50}$ -values and Hill-coefficients were  $3.1 \pm 0.5 \mu\text{M}$  and  $n_H = 1.4 \pm 0.2$  (control) and  $1.5 \mu\text{M} \pm 0.3 \mu\text{M}$  and  $n_H = 1.4 \pm 0.1$  (Actein), respectively.

(B) Representative traces illustrating GABA-induced chloride currents through  $\alpha_1\beta_3$  receptors in the absence (control) and the presence of 300  $\mu\text{M}$  actein.

### 10.2.6 PERFORMANCE IN THE OPEN FIELD TEST (OF) AFTER ACTEIN ADMINISTRATION

To determine explorative behaviour actein-treated (n=8 for all concentrations) and control (n=11) mice were studied by means of the open field test. As shown in Figure 31 A, B and C, actein at concentrations  $\geq 2$  mg/kg per kg bodyweight (KG) significantly decreased ambulation in all areas of the open field as well as the overall ambulation compared to control animals, at concentrations  $\geq 20$  mg/kg mice covered a more than 50% reduced distance ( $2.88 \pm 0.24$  m control vs.  $1.35 \pm 0.16$  m 20 mg/kg KG actein). 0.6 mg/kg KG actein slightly increased the distance travelled in the centre region. This increase was, however, not significant. Actein ( $\geq 6$  mg/kg) significantly ( $p < 0.05$ ) decreased the time spent in all areas including the centre (see Fig. 31 E) as well as entries into the centre (Fig. 31 D).

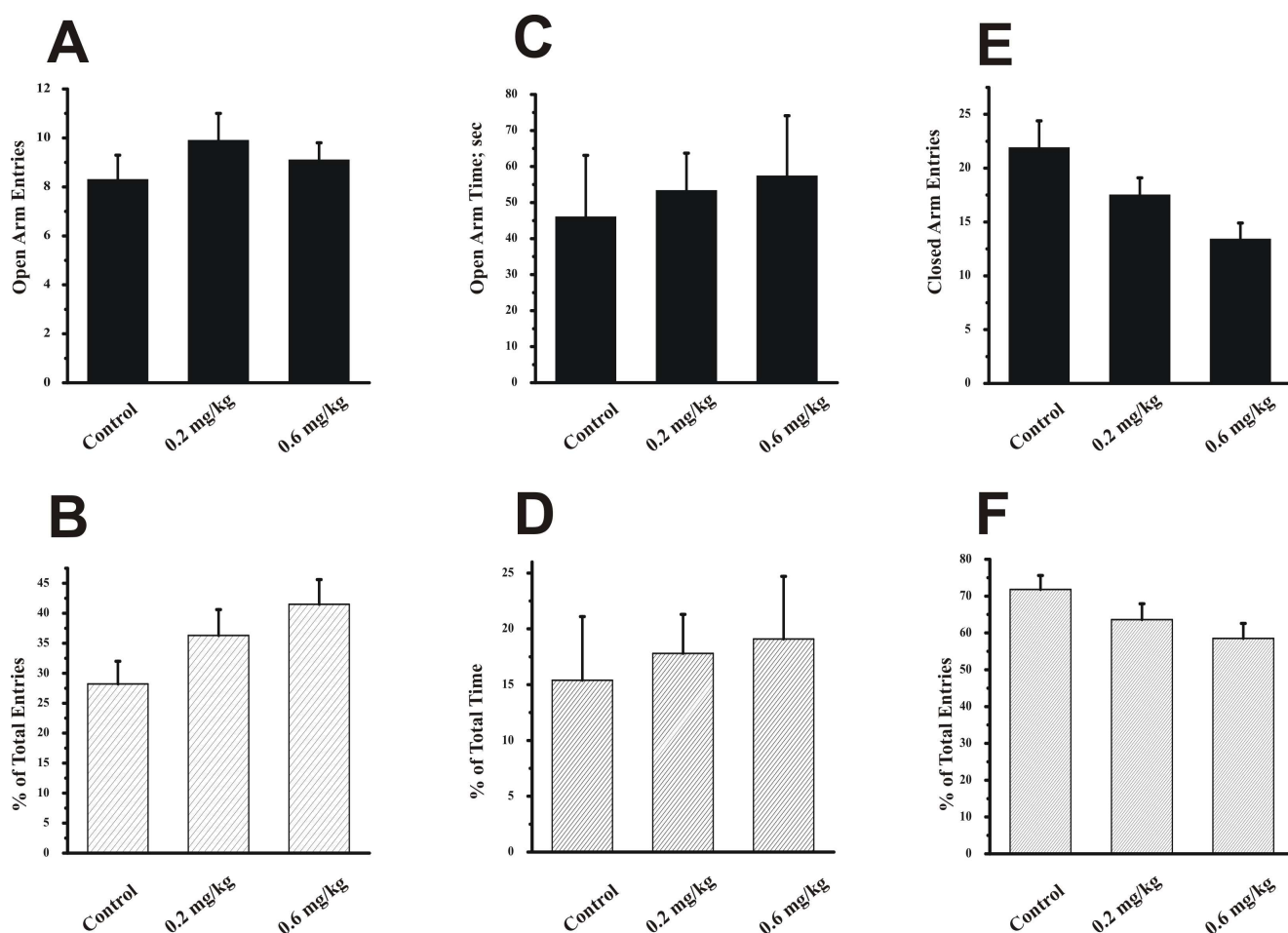


**Figure 31** Ambulation and explorative behaviour in the Open Field Test was assessed over 10 min for control and actein-treated mice at the indicated concentrations (concentrations represent mg/kg KG). Bars indicate in (A) the total distance travelled, in (B) the distance travelled in centre in % of the total distance, in (C) the distance travelled in the centre and the intermediate region, in (D) the entries into the centre, in (E) the time spent in the centre in % of total time and in (F) the time spent in both the

centre and intermediate zone in % of the total time. (\*\*) indicates statistically significant ( $p < 0.05$ ) differences.

### 10.2.7 PERFORMANCE IN THE ELEVATED PLUS MAZE (EPM) AND LIGHT-DARK CHOICE TEST (LDT) AFTER ACTEIN ADMINISTRATION

Actein significantly reduced motor activity at concentrations  $\geq 6$  mg/kg bodyweight in the open field test compared to vehicle (see Fig. 31). Thus, to assess a possible anxiolytic-like activity of actein, control mice ( $n=8$ ) and their littermates treated with 0.2 ( $n=8$ ) and 0.6 mg/kg KG ( $n=8$ ) actein were tested in the EPM. As illustrated in Fig. 32 A and B, actein at 0.2 mg/kg and 0.6 mg/kg increased the number of entries into the open arms and concurrently the time spent in the open arms of the elevated plus maze.

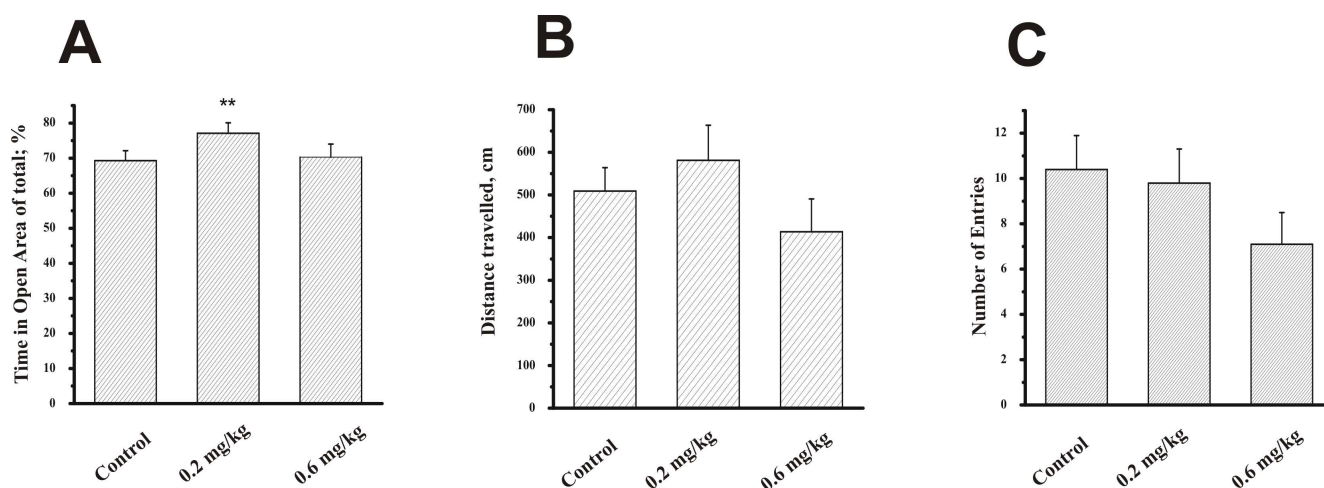


**Figure 32** Behaviour in the Elevated Plus Maze Test was assessed over 5 min for control and actein-treated mice at the indicated concentrations (concentrations represent mg/kg KG). Bars indicate in (A) the number of open arm entries, in (B) the number of open arm entries in % of total entries, in (C) the

time spent on the open arm, in **(D)** the time spent on the open arm in % of the total time, in **(E)** the number of closed arm entries and in **(F)** the number of closed arm entries in % of the total entries.

The number of closed arm entries did not differ significantly between the groups, suggesting unaffected motor activity (see Fig. 32 E and F).

The anxiolytic effect of actein was less pronounced in the LDT. However, 0.2 mg/kg KG actein significantly increased the time spent in the lit area (Control  $70.0 \pm 2.5$  %;  $n=8$  vs. 0.2 mg/kg KG actein  $77.1 \pm 3.0$ %) ( $p < 0.05$ ). Neither significant changes in distance travelled (Fig. 33 B) nor number of entries (Fig. 33 C) were observed for control and actein-treated animals at the indicated concentrations.



**Figure 33** Behaviour in the Light-Dark Choice Test was assessed over 10 min for control and actein-treated mice at the indicated concentrations (concentrations represent mg/kg KG). Bars indicate in **(A)** the time in the lit area in % of the total time, in **(B)** the distance travelled in the lit area and in **(C)** the number entries from the dark compartment into the lit area within the first 5 min.

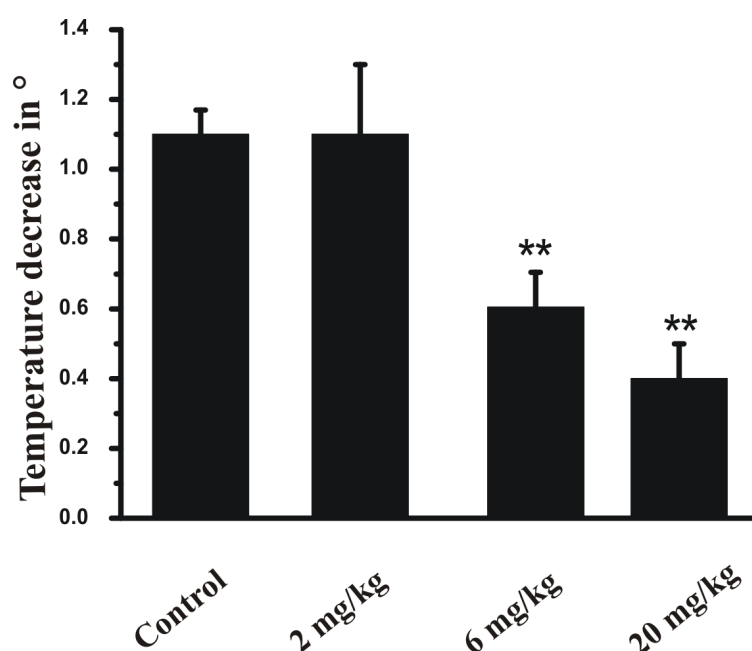
(\*\*) indicates statistically significant ( $p < 0.05$ ) differences.



### 10.2.8 EFFECT OF ACTEIN ON STRESS-INDUCED-HYPERTHERMIA (SIH)

The basal body temperature (T<sub>1</sub>) did not differ between control mice (36.8±0.2°; n=21) and actein-treated mice at the concentrations 2 mg/kg KG (37.0±0.2°; n=9), 6 mg/kg KG (36.8 ±0.1°, n= 10) or 20 mg/kg KG (36.8 ±0.1°; n=9).

6 mg/kg KG ( $\Delta T = 0.6 \pm 0.1^\circ$ ) and 20 mg/kg KG actein ( $\Delta T = 0.4 \pm 0.1^\circ$ ), respectively, caused a significant reduction of  $\Delta T$  ( $p < 0.05$ ) compared to vehicle (Fig. 34).

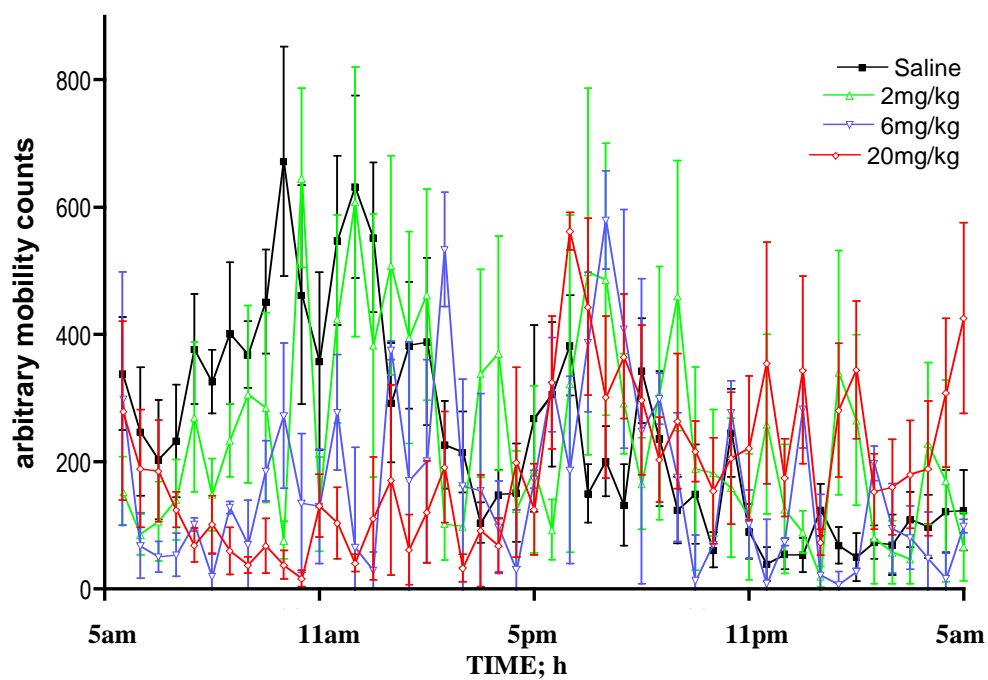


**Figure 34** Effect of actein on stress-induced hyperthermia. Bars indicate reduction of temperature after actein application. (\*\*) indicates statistically significant ( $p < 0.05$ ) differences.

### 10.2.9 HOME CAGE ACTIVITY MEASURED AFTER ACTEIN ADMINISTRATION

Basal activity and circadian rhythm of control and actein-treated mice were monitored in their home cages. Control and 2 mg/kg KG actein did not significantly differ; 6mg/kg KG actein caused a significant reduction of activity (illustrated in arbitrary mobility counts, see Fig. 35). The strongest effect was observed for 20 mg/kg KG nearly abolishing any activity. The effect reached a maximum after approximately 3 hours and lasted overall 12 hours.

After 12 hours, however, the activity of both actein-treated and control mice was indistinguishable.



**Figure 35** Effect of actein on activity in the home cage over a time period of 24 h. Black line represents the saline group=control, green line 2 mg/kg KG actein, blue line 6 mg/kg KG actein and red line 20 mg/kg, respectively; n=4 (all groups).

## 11. DISCUSSION

The modulation of GABA-induced chloride currents through GABA<sub>A</sub> receptors comprising  $\alpha_1$ ,  $\beta_2$  and  $\gamma_{2S}$  subunits by *Valerian* extracts at a concentration of 100  $\mu\text{g/ml}$  was analysed by means the two-microelectrode voltage clamp technique (see Fig. 18; see also Trauner et al., 2008). As shown in Fig. 18, the extracts revealed a significant stimulation of  $I_{\text{GABA}}$ . A major role of VA in  $I_{\text{GABA}}$  modulation was established in experiments, where this compound was applied at different concentrations (see Fig. 19 B, C and D) to GABA<sub>A</sub> receptors expressed in *Xenopus* oocytes.

### 11.1.1 SUBUNIT SPECIFICITY OF $I_{\text{GABA}}$ STIMULATION BY VALERENIC ACID

In order to obtain insight into the molecular basis of the VA action, the modulation of GABA<sub>A</sub> channels composed of different  $\alpha$ ,  $\beta$  and  $\gamma$  subunits was analysed. As illustrated in Fig. 19, coexpression of  $\gamma_{2S}$  reveals a trend towards enhanced  $I_{\text{GABA}}$  stimulation (Figs. 19 B and C). This effect was, however, only significant for  $\alpha_2\beta_2\gamma_{2S}$  receptors (Table 2). Omitting the  $\gamma_1$  subunit did not significantly affect stimulation of either  $\alpha_1\beta_2$  or  $\alpha_2\beta_2$  receptors (Tables 1 and 2). The stimulatory action of VA was not significantly affected by coexpression of  $\alpha_2$ ,  $\alpha_3$  or  $\alpha_5$  instead of  $\alpha_1$ . A lower efficiency of VA was observed for  $\alpha_4$  incorporating receptors ( $\alpha_4\beta_2\gamma_{2S}$ ) (maximal enhancement of  $I_{\text{GABA}}$   $68.9 \pm 14.1\%$ ), significantly different from all of the subunit compositions investigated except  $\alpha_3\beta_2$  and  $\alpha_5\beta_2$ . This finding might help to identify determinants of VA efficiency in future studies (Fig.19 D, Tables 1 and 2).

In contrast, variation of the  $\beta$  subunits strongly influenced the effect on  $I_{\text{GABA}}$  stimulation by VA (Fig. 22). The highest efficiency was observed for  $\alpha_1\beta_3$  followed by  $\alpha_1\beta_2$  channels (Table 1). Replacing  $\beta_2$  by  $\beta_1$  almost completely abolished the stimulatory effect of VA. These data suggest a preferential action of VA on receptors containing  $\beta_2$  or  $\beta_3$  subunits. This finding was confirmed by the lack of VA action on  $\alpha_1\beta_{2N265S}$  channels. Point mutation N265S in  $\beta_2$  abolished the modulatory action of VA (Fig. 22). Replacing the serine in the  $\beta_1$  subunit ( $\beta_{1S290}$ ) by the corresponding asparagine of  $\beta_2$  induced VA sensitivity. This finding suggests that  $I_{\text{GABA}}$  modulation may be mediated by VA interaction with the lorecelzole site, or alternatively, reflects an effect of  $\beta_{2N265S}$  on the transduction pathway of VA action. Similar  $\beta$ -subunit specificity of loreclezole action displaying strong stimulation of receptors containing either a  $\beta_2$  or a  $\beta_3$  subunit support this notion (Wingrove et al. 1994).

An interaction of VA with N289 at the carboxyl terminal end of the pore-forming M2 transmembrane domain could explain enhancement of  $I_{\text{GABA}}$  by lower VA concentrations (e.g. by a destabilizing the closed channel conformation) and an apparent "open channel" inhibition at higher concentrations (Khom et al., 2007).

### 11.1.2 SLOW ACTIVATION AND DEACTIVATION OF $I_{\text{VA}}$

At high concentrations, VA directly activated  $\text{GABA}_\text{A}$  receptors. A direct activation of  $\text{GABA}_\text{A}$  receptors was reported for a number of modulators including pentobarbital, etomidate, propofol or loreclezole (Schulz and Macdonald, 1981; Feng et al. 2004; Lam and Reynolds, 1998; Sanna et al., 1996; Hong and Wang, 2005). This VA induced current was relatively small and comparable for  $\alpha_1\beta_3$  ( $6.2 \pm 2.0\%$ ) and  $\alpha_1\beta_2$  ( $10.7 \pm 1.5\%$ , stimulation of maximal  $I_{\text{GABA}}$ ) receptors.

$I_{\text{VA}}$  developed much slower than  $I_{\text{GABA}}$  and  $I_{\text{VA}}$  was non-desensitizing (Fig. 23). Furthermore, the current deactivation time constants ( $\tau_{\text{deact.}}$ ) were much slower in  $I_{\text{VA}}$  compared to  $I_{\text{GABA}}$  ( $\tau_{\text{deact}}(\text{VA}, 30\text{-}300 \mu\text{M}) = 2083 \pm 232 \text{ ms}$ ,  $n=5$ ). The time of  $I_{\text{VA}}$  deactivation thus significantly exceeds the characteristic time of solution exchange whereas the time required for washout of  $1 \mu\text{M}$  GABA ( $\tau_{\text{deact}} = 200 \pm 10 \text{ ms}$ ,  $n=7$ ) corresponds to the time of solution exchange (Baburin et al., 2006). Such a slow deactivation usually accompanies enhanced desensitization (e.g. Jones and Westbrook, 1995; Haas and Macdonald, 1999). This was obviously not the case for  $I_{\text{VA}}$  (Fig. 23 A). The mechanism underlying slower kinetics of  $I_{\text{VA}}$  is currently not clear and warrants future studies. Direct activation of  $\text{GABA}_\text{A}$  channels by VA may, however, also be explained by an interaction of VA with the GABA binding site as  $I_{\text{VA}}$  were efficiently inhibited by bicuculline ( $1 \mu\text{M}$ ). However, it cannot be excluded that conformational changes induced by bicuculline indirectly affect VA action (see also Ueno et al., 1997).

These data show that VA is an agonist with much lower efficiency than GABA. The maximal current induced by the highest VA concentration of  $300 \mu\text{M}$  (higher concentrations were not testable due to limited solubility) did not exceed 15% of the current induced by saturating ( $1 \text{ mM}$ ) GABA concentrations (Khom et al., 2007).

### 11.1.3 EVIDENCE FOR OPEN CHANNEL BLOCK

At high concentrations ( $\geq 30 \mu\text{M}$ ) a "wash-off" current occurred upon washout of VA. A straightforward interpretation of this finding is that VA rapidly unbinds from its binding site within the open channel (see also Rho et al., 1996; Dalziel et al., 1999; Akk and Steinbach,

2000; Krampfl et al., 2002; Feng et al., 2004). Such a scenario is supported by the concentration-dependent increase of this current suggesting a concentration-dependent open channel block (Fig. 23). Further evidence for an open-channel block mechanism comes from the accelerated  $I_{\text{GABA}}$  decay in the presence of high concentrations of the structurally related acetoxo-VA (Fig. 24 B). In order to further explore this possibility, the bell-shaped concentration-response curve that was typically seen for VA action was simulated. The corresponding  $\text{IC}_{50}$  ( $\sim 190 \mu\text{M}$ ) value that was estimated assuming that the accelerated  $I_{\text{GABA}}$  decay reflects open-channel block (Fig. 24 C) nicely agrees with the simulation of the bell-shaped concentration-response curve assuming a low affinity open-channel block mechanism for VA (simulated  $\text{IC}_{50} = 190 \mu\text{M}$ ).

These findings suggest that VA and acetoxo-VA might act at the same site in the channel pore. The discussed open channel block mechanism remains however, hypothetical, because acetoxo-VA may also interact with a different binding site than VA.

Surprisingly, an enhanced  $I_{\text{GABA}}$  decay was observed only for acetoxo-VA and not for the structurally related hydroxy-VA. This finding suggests that substitution of the hydrogen in position 1 of the hexahydro-indene ring by a hydroxyl- or acetoxo-group defines not only the modulatory action but also the affinity for open  $\text{GABA}_A$  channels.

The complex mechanism of VA action (including modulation of  $I_{\text{GABA}}$ , direct activation of  $\text{GABA}_A$  channels and open channel block) complicates a straightforward estimation of the efficiencies and potencies of VA for the different subunit compositions. This is illustrated in Figs 19 B-D and 22 where the modulatory action is apparently superimposed by inhibitory action.

Taken together a subunit specific modulation of  $\text{GABA}_A$  receptors by VA ( $\beta_3 > \beta_2 \gg \beta_1$ -containing receptors, Fig. 22) was described. Positive allosteric modulation is caused by a VA-induced increase in the GABA sensitivity (Fig. 20). The threshold concentration ( $1 \mu\text{M}$ ) of this modulatory action is in the range of the estimated plasma concentration of VA (Anderson et al., 2005). At high concentrations, VA activates  $\text{GABA}_A$  channels directly (Fig. 23) and also blocks the channel. The clinical evidence for *Valerian* effects is, however, still controversial (see Sampson, 2005 and De Smet, 2002 for review). However, these data open the perspective that the proposed sedative, hypnotic and anxiolytic effects suggested for *Valerian* may be caused by interaction of VA with  $\text{GABA}_A$  channels (Khom et al., 2007).

## 11.2 MODULATION OF GABA<sub>A</sub> RECEPTORS BY *ACTAEA RACEMOSA* EXTRACTS

Preparations made from the rhizome of *Actaea racemosa* are widely marked as herbal remedies for the treatment of menopausal symptoms. US sales figures in 2005 reached \$9.7 million. The clinical efficacy of these extracts is still unclear (for review, see Borrelli and Ernst, 2008) and the precise mechanism of action is currently unknown. It was hypothesized that *Actaea racemosa* ingredients compete with estrogen for binding sites and exerts a positive estrogenic effect. Other data were interpreted that it may act as a selective estrogen receptor modulators, depending on the tissue receptors (Bodinet and Freudenstein, 2004), and that it may also exert an agonistic effect on serotonin receptors (Burdette et al., 2003). In addition, *Actaea racemosa* extracts may decrease luteinizing hormone levels, leading to a decrease in hot flashes (Borrelli and Ernst, 2002; Carroll, 2006). Vermes et al. (2005) reported a significant reduction of insomnia- and anxiety-related disorders in menopause by an isopropanol extract (Remifenin<sup>®</sup>) of *Actaea racemosa* containing the triterpene glycoside actein.

The modulation of I<sub>GABA</sub> by 3 different *Actaea racemosa* extracts (kindly provided by Univ.Prof. DDr. Brigitte Kopp and colleagues Mag. Gabriele Trauner and Mag. Ulrike Jäger) was examined by means of the two-microelectrode voltage clamp technique (see Fig. 27). All the tested extracts exhibited a positive modulatory effect.

### 11.2.1 ACTEIN MODULATION OF GABA<sub>A</sub> RECEPTORS COMPOSED OF DIFFERENT $\alpha$ OR $\beta$ SUBUNIT VARIANTS

Actein was identified as an efficient modulator of GABA<sub>A</sub> receptors (see Figs. 28 and 29). The modulation of heterologously in *Xenopus* oocytes expressed GABA<sub>A</sub> receptors comprising different subunit combinations (see Table 3) by actein was studied, as illustrated in Figs. 28 and 29, half-maximal stimulation of I<sub>GABA</sub> occurred at concentrations  $\geq 30$   $\mu$ M. Maximal I<sub>GABA</sub> enhancement occurred at 300  $\mu$ M of actein (see Figures 28 A and 29 A, see also Table 3). At concentrations  $\geq 30$   $\mu$ M actein directly activated GABA<sub>A</sub> receptors (i.e. in the absence of GABA). In order to obtain insight into the underlying molecular basis, the modulation of GABA<sub>A</sub> channels composed of different  $\alpha$ ,  $\beta$  and  $\gamma$  subunits was investigated. As illustrated in Figs. 28 A and 29 A, actein modulated all tested receptor subtypes, irrespective of the subunit composition. However, different efficiencies were observed for different receptor subtypes (see Figs., 28 A and 29 B, Table 3).

Most pronounced modulation of  $I_{\text{GABA}}$  occurred at  $\alpha_1\beta_1$  receptors, whereas only a weak stimulation was observed in  $\alpha_5\beta_2$ . The order of  $I_{\text{GABA}}$  stimulation was  $\alpha_1\beta_1 > \alpha_1\beta_3 > \alpha_2\beta_2 > \alpha_1\beta_2 > \alpha_3\beta_2 > \alpha_1\beta_2\gamma_{2S} > \alpha_6\beta_2\gamma_{2S} > \alpha_4\beta_2\gamma_{2S} > \alpha_5\beta_2$  (see Figs., 28 A and 29 A, Table 3).

### 11.2.2 ACTEIN MODULATES $I_{\text{GABA}}$ INDEPENDENT ON THE GABA CONCENTRATION

Actein (300  $\mu\text{M}$ ) modulated  $I_{\text{GABA}}$  induced by different GABA concentrations to a comparable extent. The GABA concentration-effect curve in the presence of 300  $\mu\text{M}$  actein was shifted towards higher GABA sensitivity and  $I_{\text{max-GABA}}$  was increased (see Fig. 30 A). At concentrations  $\geq 30$   $\mu\text{M}$  actein directly gated  $\text{GABA}_A$  receptors irrespective of the subunit composition, however the currents induced by actein did not exceed 2% of  $I_{\text{max-GABA}}$ . Similar pharmacological features have been previously reported for other allosteric modulators such as barbiturates (Schulz and Macdonald, 1981; Thompson et al. 1996; Feng et al. 2004), anaesthetics such as etomidate (Hong and Wang, 2005) or neurosteroids such as THDOC (Lambert et al., 2003; Hosie et al., 2006).

### 11.2.3 *IN VIVO* EFFECTS OF ACTEIN

#### *Open field test*

A decrease in locomotor activity in the OF test represents a reliable measure for sedative-like effects in murines (Cheng et al. 2006). Actein significantly decreased the distance travelled by mice in the OF test compared to their vehicle-treated littermates (see Fig. 31). At 6 mg/kg KG and 20 mg/kg KG actein, respectively, animals covered half of the distance compared to the control group suggesting a sedative effect. Interestingly, 0.6 mg/kg KG actein induced a slight increase in the distance travelled in the centre as well as in the time spent in the centre. This increase, which may indicate an anxiolytic action of actein, however, was not significant. Concentrations higher than 60 mg/kg did not further decrease the locomotor activity. However, this effect is presumably not due to reaching saturation, but more likely due to the poor solubility of actein in the vehicle. Previously, it has been suggested that  $\alpha_1$  containing receptors mainly contribute to the sedative effects of ligands of the benzodiazepine recognition site (Rudolph et al., 1999; Low et al., 2000; Crestani et al., 2001). This is in agreement with the results of the electrophysiological experiments in the *Xenopus* assay, showing a strong effect of actein on  $\text{GABA}_A$  receptors containing  $\alpha_1$ -subunits (see Fig. 28 A and 29 A, see also Table 3).

### ***Elevated Plus Maze and Light- Dark Choice Test***

A trend towards an anxiolytic effect of actein was observed in the OF test. In the EPM test, actein caused an increase of the time spent in the open arms including visits of open arms (see Fig. 30). This behaviour is commonly associated with an anxiolytic activity of the tested compound (Crawley, 2008). However, this effect was not significant and at higher concentrations ( $\geq 2$  mg/kg) actein even reduced a significant decrease in the time spent in the open arms of the elevated-plus-maze (data not shown). Nonetheless, this apparent loss of anxiolytic-like activity was presumably due to a developing sedative-like effect. In the LDT test, actein significantly increased the time spent in the brightly lit arena, thus suggesting a retained anxiolytic effect (Fig. 31).

### ***Stress-induced hyperthermia***

Assessment of anxiolytic-like activity in the EPM and the LDT is highly dependent on an intact locomotor activity (Crawley, 2008). Actein revealed in the OF, EPM and LDT a trend towards anxiolytic activity, however the observed increases (see Figs. 30 and 31) were not significant compared to control (except in the LDT). In the SIH, 6 and 20 mg/kg actein caused a significant decrease of  $\Delta T$ , supporting the idea that the anxiolytic effect is concurrently superimposed by the sedative activity. My data indicate that actein efficiently modulates GABA<sub>A</sub> channels composed of  $\alpha_2\beta_2$  and  $\alpha_3\beta_2$  subunits (see Fig. 228, Table 3). This is in line with data of Low et al. (2000), McKernan et al. (2000), Collins et al. (2002), Dias et al. (2005) and Attack et al. (2006) illustrating that GABA<sub>A</sub> receptors comprising either  $\alpha_2$  or  $\alpha_3$  apparently mediate the anxiolytic effects of ligands of the benzodiazepine binding site. Taken together these data support the idea of an anxiolytic potential of actein.

### ***Home Cage Activity***

In order to gain insight into the time of course of action, animals treated with actein were monitored for 24 hours. 3 hours after injection, the actein activity reached a maximum nearly completely abolishing any activity. After 12 hours neither control group nor actein-treated group showed any differences in their behaviour, suggesting that actein in contrast to the frequently prescribed benzodiazepines could induce less hang-over effect or daytime sedation (see for review e.g. Bateson, 2004). Future studies will have to be performed to test this hypothesis.



Taken together, actein was identified as a highly efficient modulator of GABA<sub>A</sub> receptors. *In-vivo*, actein was shown to induce sedation and concurrent anxiolysis. These data suggest that *Actaea racemosa* effects in menopause (Vermes et al., 2005; Cheema et al., 2008) may at least be partially explained by an allosteric modulation of GABA<sub>A</sub> receptors. Other mechanisms of action can, however, not be excluded.

## 12. SUMMARY

*Valerian* and *Actaea racemosa* extracts are commonly used as “herbal medicinal products”, while their mechanisms of action are largely unknown. In this study, I have demonstrated that *Valerian* and *Actaea racemosa* extracts at a concentration of 100 µg/ml enhance chloride currents through GABA<sub>A</sub> receptors ( $I_{\text{GABA}}$ ).

Valerenic acid (VA) selectively enhances  $I_{\text{GABA}}$  through receptors incorporating  $\beta_2$  or  $\beta_3$  subunits and its effect is not  $\gamma$ -subunit dependent. The stimulatory effect of VA on  $\alpha_1\beta_2$  receptors was substantially reduced by the point mutation  $\beta_2\text{N265S}$  (known to inhibit loreclezole action). VA displayed a significantly lower efficiency on channels incorporating  $\alpha_4$  subunits ( $\alpha_4\beta_2\gamma_{2S}$  receptors). At high concentrations ( $\geq 100$  µM) VA and acetoxy-VA inhibit  $I_{\text{GABA}}$  suggesting an open-channel block. In summary, VA was identified as a subunit-specific allosteric modulator of GABA<sub>A</sub> receptors that is likely to interact with the loreclezole binding pocket.

Actein (a compound isolated from *Actaea racemosa*) was identified as an efficient modulator of GABA<sub>A</sub> receptors displaying the strongest effect on  $\alpha_1\beta_1$  receptors ( $2169.4 \pm 374.5\%$ ), whereas on  $\alpha_5\beta_2$  containing receptors a substantially weaker efficiency was observed ( $225.8 \pm 44.8\%$ ). Actein (6 mg/kg) significantly decreased the spontaneous motor activity of mice in the open field test. A significant decrease of  $\Delta T$  (difference of basal temperature before and after actein injection; 6 and 20 mg/kg actein) was observed in the stress-induced hyperthermia test. These *in vivo* data suggest that sedative and anxiolytic properties of actein may contribute to the treatment of postmenopausal disorders with *Actaea racemosa* extracts.

### 13. ZUSAMMENFASSUNG

Extrakte von Baldrian (*Valeriana officinalis*) und Traubensilberkerze (*Actaea racemosa*) finden Anwendung als „Phytopharmaka“. Ihre Wirkmechanismen sind unbekannt. In der vorliegenden Arbeit konnte gezeigt werden, dass sowohl Baldrian- als auch Traubensilberkerzen-Extrakte eine deutliche Stimulation von Chloridströmen ( $I_{\text{GABA}}$ ) durch GABA Rezeptoren induzierten.

Valerensäure (VA), ein wichtiger Inhaltsstoff des Baldrians, wurde als Untereinheiten-spezifischer Modulator von GABA<sub>A</sub> Rezeptoren identifiziert, da ausschließlich  $\beta_{2/3}$  Untereinheiten inkorporierende Rezeptoren positiv moduliert wurden. Das Einführen der Punktmutation  $\beta_2\text{N265S}$  (welche die Modulation von GABA<sub>A</sub> Rezeptoren durch Loreclezol hemmt) reduzierte die Modulation der Rezeptoren durch VA. VA zeigte außerdem eine geringere Effizienz an  $\alpha_4\beta_2\gamma_{2s}$  GABA<sub>A</sub> Kanälen. In höheren Konzentrationen ( $\geq 100 \mu\text{M}$ ) blockierten VA und Acetoxy-VA  $I_{\text{GABA}}$  über einen “open-channel-block” Mechanismus. Zusammengefasst wurde VA als Untereinheiten-spezifischer Modulator von GABA<sub>A</sub> Rezeptoren identifiziert, der möglicherweise mit der Loreclezol-Bindungsstelle interagiert.

Actein, ein Inhaltsstoff der Traubensilberkerze, wurde als effizienter Modulator von GABA<sub>A</sub> Rezeptoren identifiziert, wobei die stärkste Potenzierung von  $I_{\text{GABA}}$  an  $\alpha_1\beta_1$  Rezeptoren beobachtet ( $2169.4 \pm 374.5\%$ ) wurde, während der Effekt an  $\alpha_5\beta_2$  Rezeptoren deutlich schwächer ausgeprägt war ( $225.8 \pm 44.8\%$ ).

Actein (6 mg/kg) reduzierte signifikant die spontane Motoraktivität der Mäuse im Open Field Test. Im Light/Dark Choice Test, verbrachten Actein-behandelte Mäuse im Vergleich mit Kontrolltieren signifikant mehr Zeit im hellbeleuchten Teil der Box. Eine deutliche Reduktion von  $\Delta T$  (Differenz der Körpertemperatur vor und nach Actein-Injektion; 6 and 20 mg/kg Actein) wurde im Stress-induced Hyperthermia Test beobachtet. Diese Daten legen nahe, dass Actein *in vivo* über eine Interaktion mit GABA<sub>A</sub> Rezeptoren sedative und anxiolytische Wirkungen induzieren kann und dass diese Effekte möglicherweise zur beobachteten Linderung postmenopausaler Beschwerden beitragen.

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**Khom S**, Baburin I, Timin EN, Hohaus A, Sieghart W and Hering S (2006) Pharmacological properties of GABAA receptors containing gamma1 subunits. *Mol Pharmacol* **69**:640-9.

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### ***Kurzvorträge***

„Pharmacological properties of GABA<sub>A</sub>-receptors containing  $\gamma_1$ - subunits“ **APHAR- Tagung Wien Nov 2005**

„A novel method for medium-throughput screening of herbal sedatives and benzodiazepine-like ligands on GABA<sub>A</sub>-receptors expressed in *Xenopus* oocytes“ **ÖphG- Tagung Innsbruck April 2005**

„GABA<sub>A</sub>-Receptors: Potential Target for Various Natural Products“ **Universität Basel, Februar 2007**

„Modulation of GABA<sub>A</sub> Receptors by Compounds of Natural Origin“ **Universität Innsbruck, Mai 2007**

“HPLC-based Activity Profiling of Plant and Fungal Extracts for GABA<sub>A</sub> Receptor Ligands using a Functional Assay with *Xenopus* Oocytes” **55<sup>th</sup> Annual Meeting of the Society Medicinal Plant Research, Graz, September 2007.**

“GABA<sub>A</sub> receptors: target for multiple compounds of natural origin” **Universität Wien, Initiativkolleg, Dezember 2007.**

“GABA<sub>A</sub> Rezeptoren: Molekulares Substrat von Substanzen biogenen Ursprungs und Extrakten mit sedativen und anxiolytischen Eigenschaften“ **AKH (Prof. Zeitlhofer), Februar 2008.**

“Drugs for the CNS: Focus on GABA<sub>A</sub> receptors using a functional assay on *Xenopus* Oocytes“ **Universität Wien, März 2008.**



### ***Poster***

**Khom S**, Baburin I, Timin EN, Hohaus A, Sieghart W and Hering S (2006) Pharmacological properties of GABAA receptors containing gamma1 subunits. **Neuroscience 2006, Atlanta, USA.**

**Khom S**, Baburin I, Timin EN, Hohaus A, Trauner G, Kopp B and Hering S (2007) Valerenic acid potentiates and inhibits GABA<sub>A</sub> receptors: Molecular mechanism and subunit specificity **Neuroscience 2007, San Diego CA, USA.**

### ***Auszeichnungen***

Prämierung der Diplomarbeit im Fach Pharmakologie durch die ÖPhG 2007.

### ***Sprachkenntnisse***

Deutsch: Muttersprache

Englisch: fließend

Französisch: fließend

Italienisch: gut