

Whose book is this (and  
does it matter)?

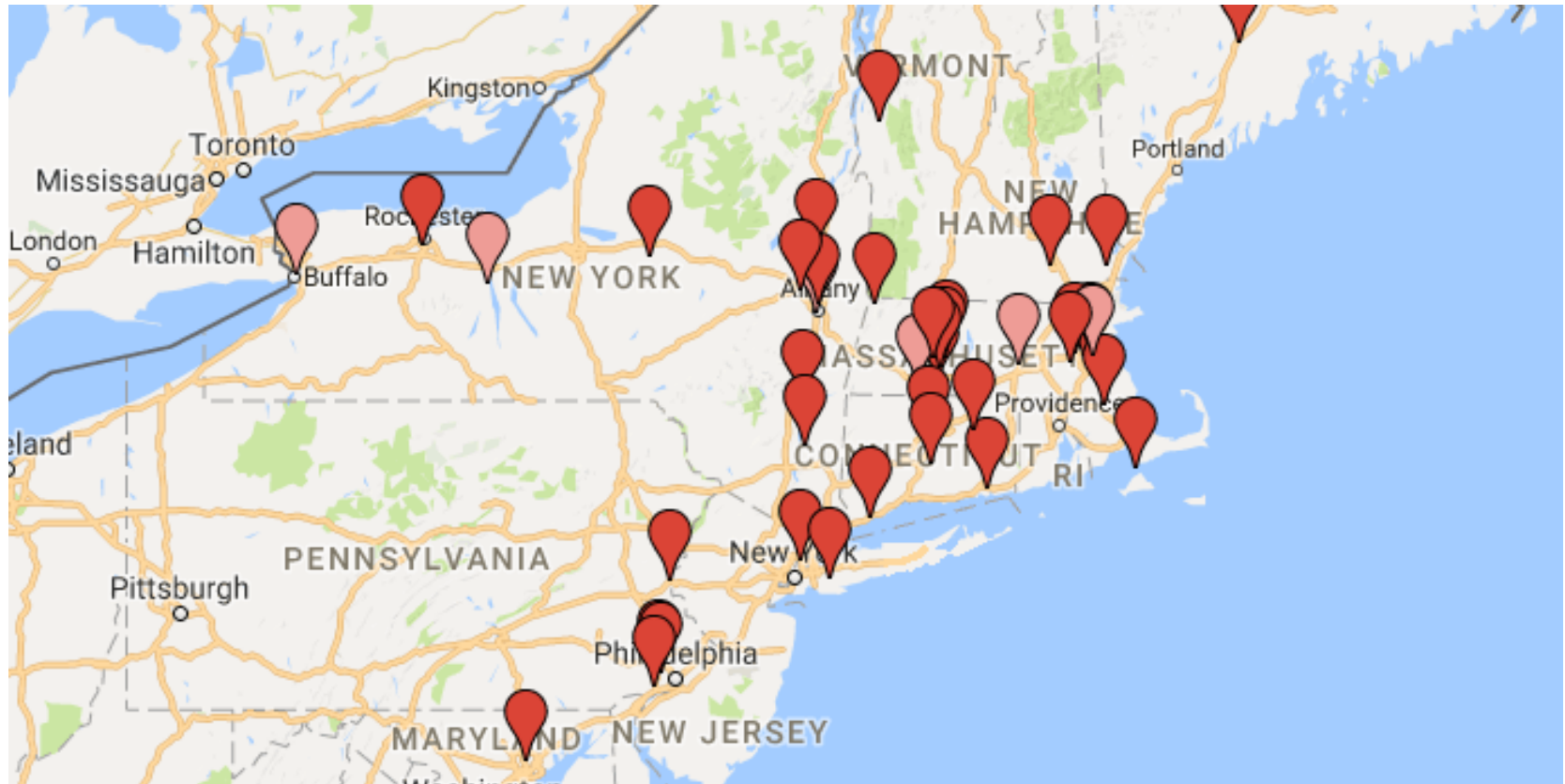
How shared print programs are  
redefining our understanding of  
local collections

ACRL New England Conference  
May 12, 2017  
Burlington, Vermont

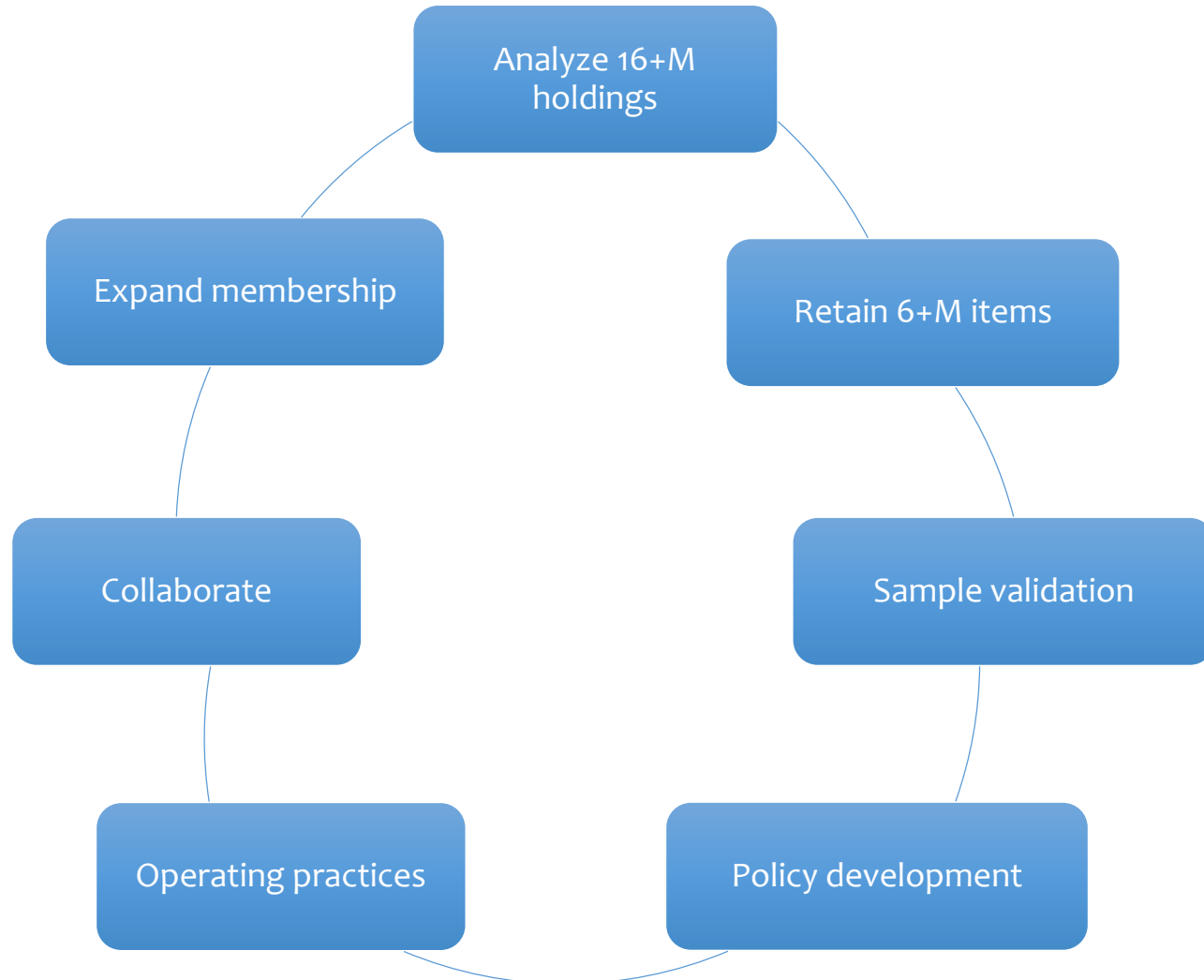
# Our Speakers

- Susan Stearns, Project Director, Eastern Academic Scholars' Trust (EAST) and Executive Director, Boston Library Consortium
- Lizanne Payne, Shared Print Program Officer, Hathi Trust
- Daniel Cherubin, University Librarian, Wesleyan University
- Matthew Sheehy, Interim University Librarian, Brandeis University

# EAST – Our members and our mission



# EAST: Major milestones



# Retention model

Scarcely held

Widely used

One of all



EAST

EASTERN ACADEMIC  
SCHOLARS' TRUST



97% availability



90% average or better condition

# Validation Sample Study

<https://github.com/samato88/EastValidationTool>



**EAST**

EASTERN ACADEMIC  
SCHOLARS' TRUST

# Our challenges

Diversity

Public

Private

Moderately large to quite small

Research, liberal arts, college and university

Collection  
philosophy

Build strong research collection

Focus on meeting teaching/learning needs

E-preferred



EAST

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# Our challenges

Institutional  
commitment

Across the board

Library centered

Faculty resistance

Alignment with  
EAST mission

Retention first

Retention and lending

Retention in order to weed

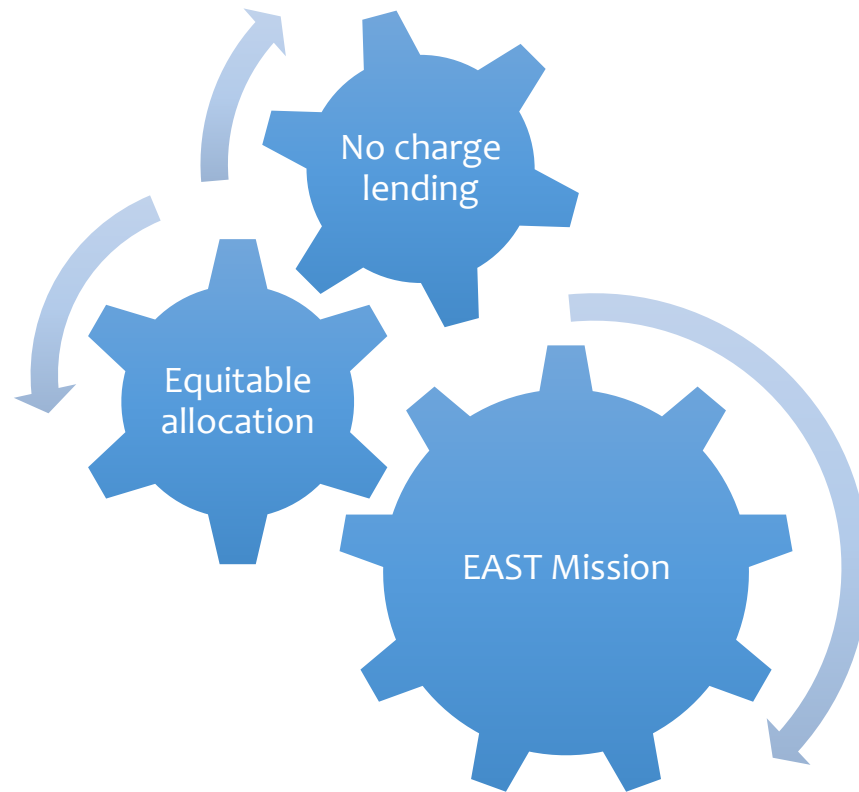


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# Where the rubber met the road



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Thank you.

Susan Stearns

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[www.eastlibraries.org](http://www.eastlibraries.org)





# Present: Whose book is this [and does it matter]?

Matthew Sheehy  
Interim University Librarian  
ACRLNEC May 12, 2017



# Discovery

I  
S  
C  
O



# Discovery Interlibrary Loan

S  
C  
O



# Discovery Interlibrary Loan Systems

C  
O



Discovery  
Interlibrary Loan  
Systems  
Collections





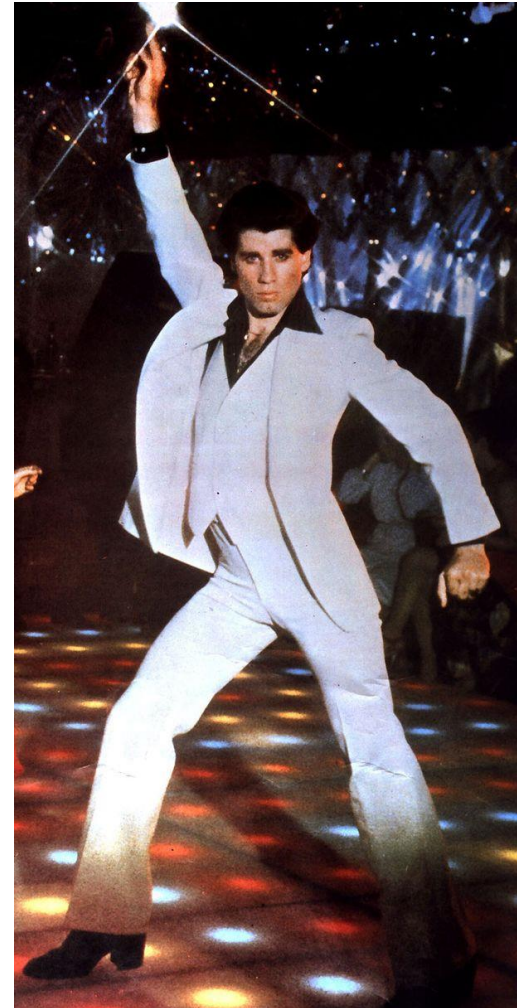
Discovery  
Interlibrary Loan  
Systems  
Collections  
Over dependence on Ivies





Discovery  
Interlibrary Loan  
Systems  
Collections  
Over dependence on Ivies

Brandeis  
Library



Stayin' Alive



Thank you  
Matthew Sheehy  
[sheehy@brandeis.edu](mailto:sheehy@brandeis.edu)



HATHITRUST

DIGITAL LIBRARY

# **The HathiTrust Shared Print Program: Implementation 2016 - 2017**

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Lizanne Payne  
Shared Print Program Officer  
[elpayne@hathitrust.org](mailto:elpayne@hathitrust.org)

# Key Goals of the HathiTrust Shared Print Program

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- Secure retention commitments for print holdings that mirror book titles in the HathiTrust digital collection
- Maintain a lendable print collection distributed among HathiTrust member collections
- Reflect support by and provide benefits to all HathiTrust members (not a subset)
- Build on existing shared print and resource-sharing arrangements
- Reduce overall costs of collection management for HathiTrust members
- Catalyze national/continental collective management of collections



# HathiTrust Shared Print Program Phases

## Phase 1: Quick Launch

### *Build momentum*

Finalize policies &  
MOU  
(from planning  
phase 2014-2015)

Identify initial  
retentions

**2016-2017**

51 libraries  
in Phase 1!

## Phase 2: Infra- structure

### *Build infrastructure*

Adopt tools for  
collection analysis,  
collection  
management,  
resource-sharing

Plan next priorities  
and services (e.g.  
digitization)

**2018--**



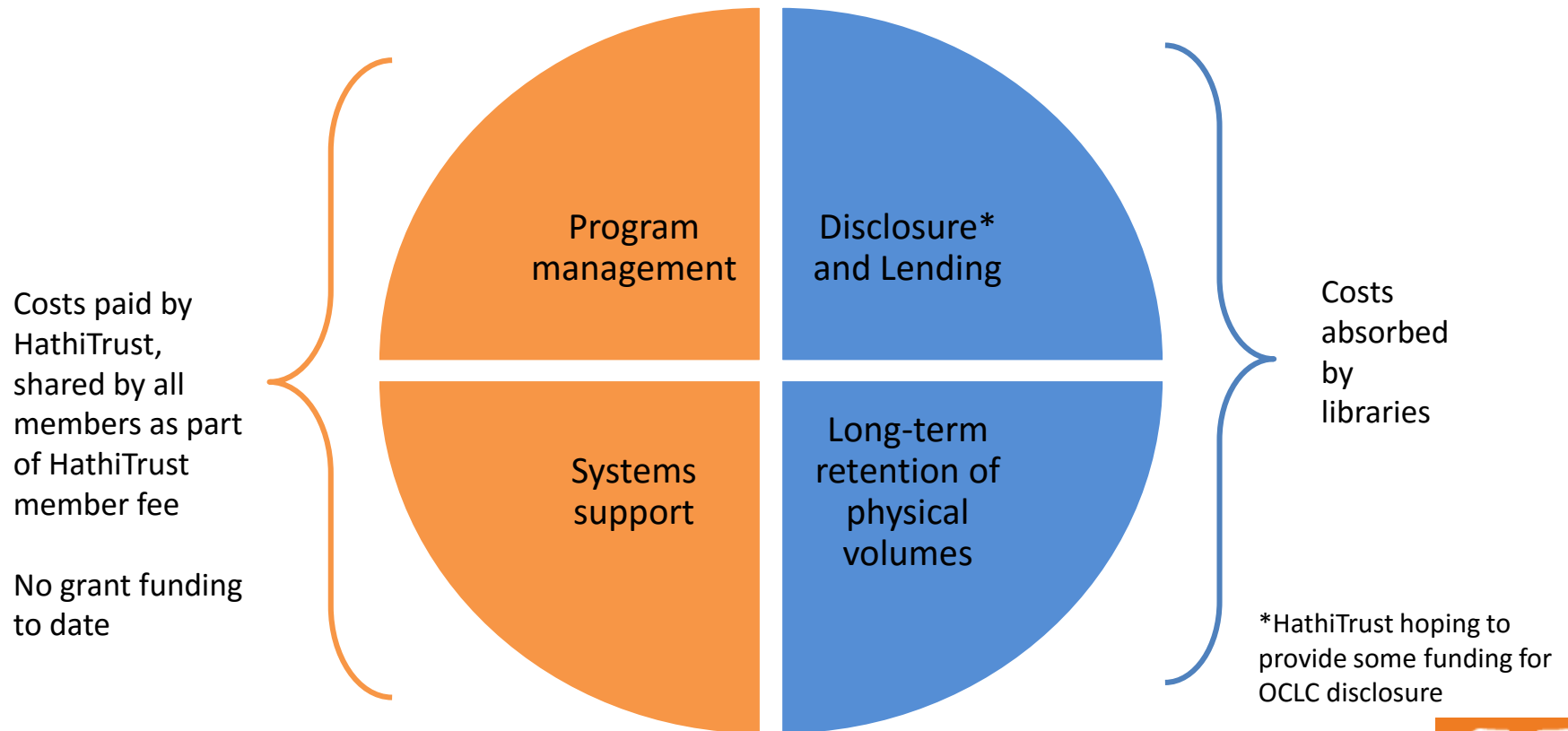
# Major Policy Recommendations

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- Scope: Monographs from circulating general collections that correspond to items in the HathiTrust Digital Library
- Retention Period: 25 years (fixed date 12/31/2042)
- Environment: Storage facility preferred, campus shelving acceptable
- Validation: Verification of holdings and condition are not required
- Disclosure: Libraries are expected to disclose retention commitments in HathiTrust and WorldCat
- Access: Libraries agree to lend retained volumes to other HathiTrust members; may use local ILL policies including fees (if any)



# Business Model Recommendations

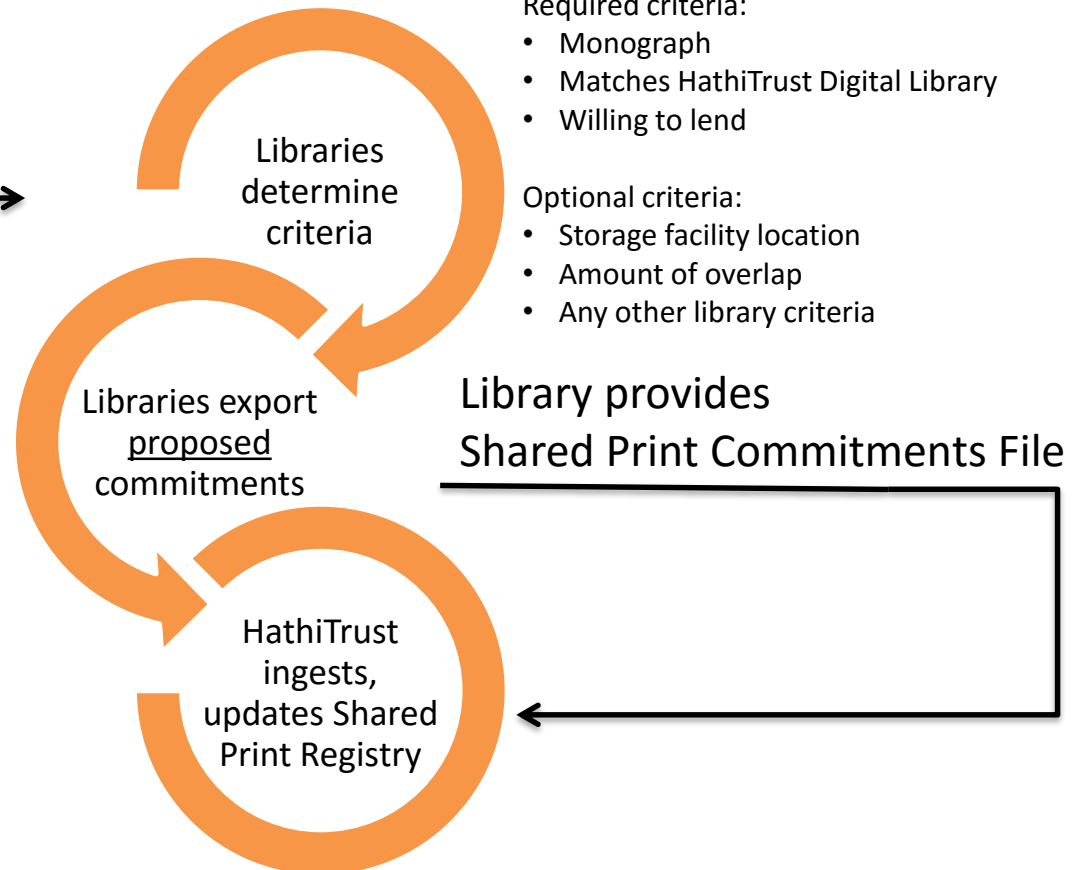


# Phase 1 Retention Commitment Process

**Light-weight analysis and library-volunteered commitments**  
**No rules about desired number of copies or preventing duplicates**

## HathiTrust provided Print Holdings Review File

- Used holdings data provided annually by HT members
- Monographs matching HT
- Overlap count within Shared Print libraries
- Overlap count within HT





# Current Status of Proposed Retention Commitments

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*Summary as of May 10*

- 46 libraries have identified commitments; expecting a few more
- Proposed commitments total more than 15 MILLION volumes
- Individual commitments range from ~1,000 to over 2 million volumes

<b>Consortium</b>	<b>Total retentions</b>
Big Ten Academic Alliance members	6.3 million
Ivy Plus members	4.4 million
ReCAP members	2.3 million
University of California system	1.7 million
ASERL members	700,000
GWLA members	425,000



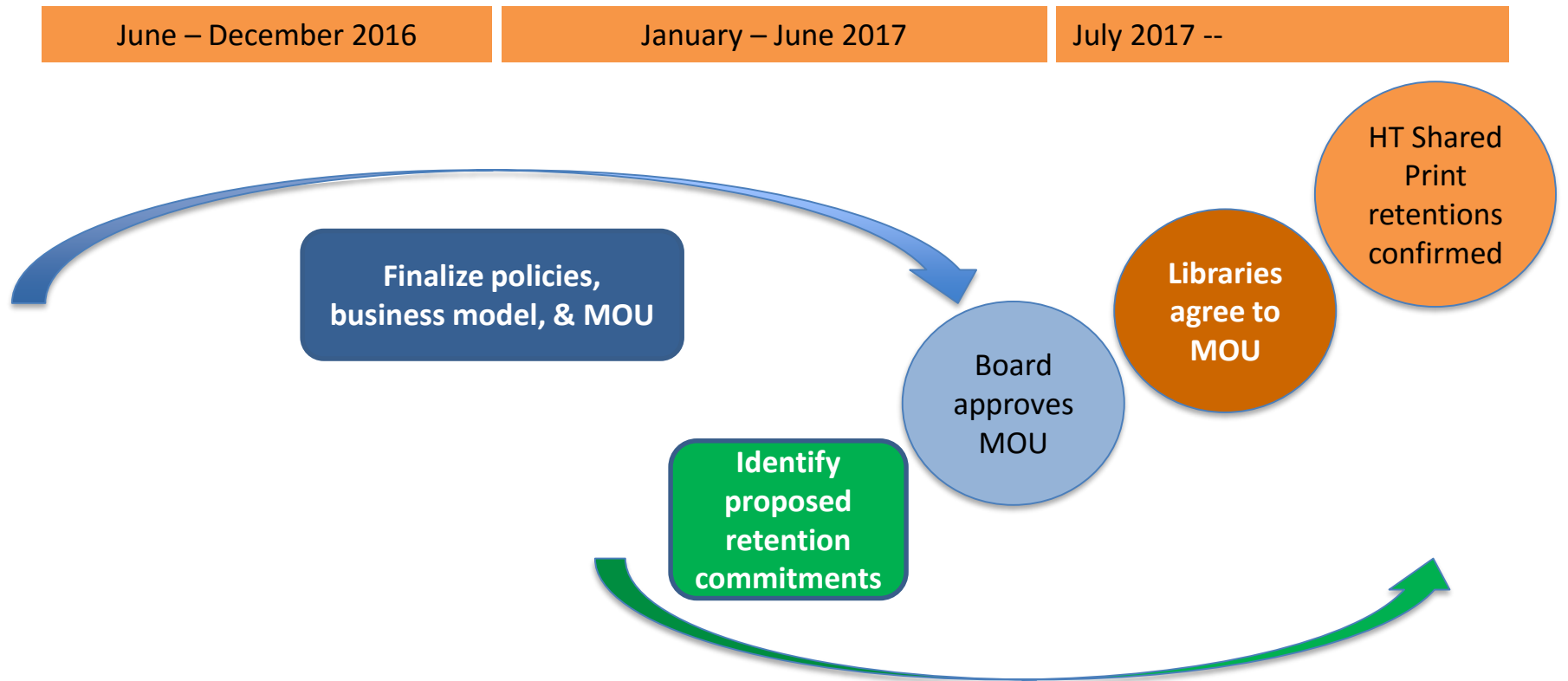
# Coordination with Other Shared Print Programs

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- EAST: Six libraries (Brandeis, Bryn Mawr, Colby, Lafayette, Swarthmore, Tufts) are committing ~300,000 EAST commitments volumes also to HathiTrust
- ReCAP: Three libraries (Columbia, Princeton, New York Public Library) are committing ~2.3 million volumes in ReCAP Shared Collection also to HathiTrust
- University of California: The Regional Library Facilities (RLFs) (plus two campus libraries) are committing ~1.8 million monograph holdings also to HathiTrust
- Ivy Plus: Worked with these libraries to analyze and coordinate shared print commitments, now postponed to Phase 2, libraries making individual HT commitments



# Phase 1 Steps: Final Planning and Launch



The Phase 1 libraries are not expected to make any binding retention commitments until they have had a chance to review and agree to the final policies and MOU (expected spring/summer 2017).



# Next Steps for Phase 2

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Adopt tools for collection analysis, collection management, discovery and resource-sharing:

- Identify and prioritize HathiTrust digital holdings NOT covered by Phase 1 commitments
- Develop comparison reports
- Develop export for OCLC disclosure (depending on OCLC development)
- Provide search/display in HathiTrust Catalog
- Consider options for resource-sharing

Coordinate shared print commitments with other efforts:

- new digital content in HathiTrust
- HathiTrust Federal Documents program
- other shared print programs



# Potential Impact of the HathiTrust Shared Print Program

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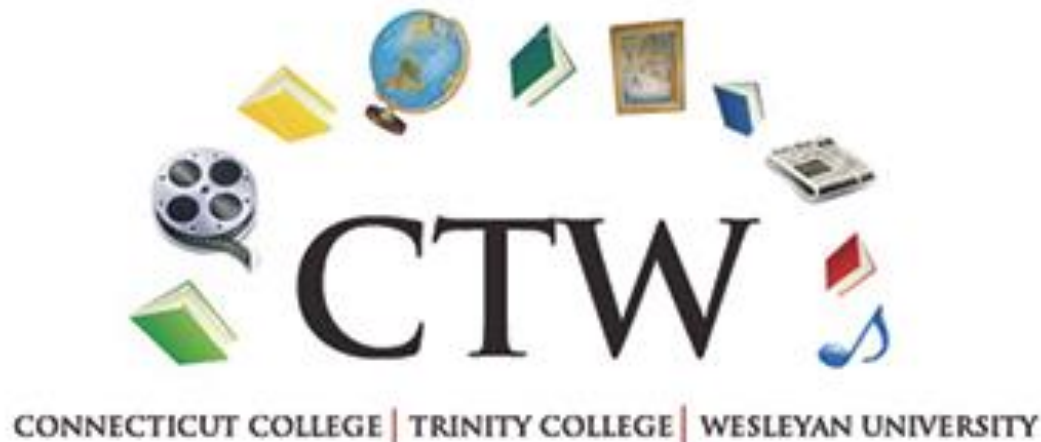
- Stewardship of print holdings that match HathiTrust may affect other programs' retention decisions
- Significant holdings concentrated in storage facilities may support a future national network of archival and/or service copies
- Focused collection analysis of HathiTrust member print collections could enhance development of the HathiTrust digital collection



**Thank You!**



# The CTW Consortium: Decades in Resource Sharing



**Dan Cherubin**  
**Caleb T. Winchester University Librarian**  
**Wesleyan University**

# Formed in 1984

Originally for a shared ILS and reciprocal borrowing.

Since then:

Annual Retreats

DDA Initiatives

Committees & SIGs:

- Collection Development
- Tech Services
- Digital Projects
- Access Services
- Government Information

*“A benchmark for the rest of our institutions”*



## Where we are now:

- Smaller staffs, rising expectations and changing priorities
- Budgets still 40% below pre-2009 levels and relatively flat
- Vying expenditures:
  - Unique content vs. format options vs. preferences
  - Monographs vs. journals vs. eResources

## Shared Print Approval Plan (via GOBI) – started January 2017

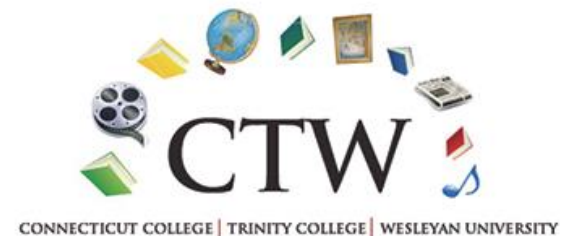
- Focus on University Presses
  - 1 copy of new title to share across CTW (duplicate copies upon request)
  - Rotate shipments on weekly basis
  - Minimum of 3 Years
- 
- Offers broader collection, more unique titles
  - Improves workflows with fewer duplicates
  - Reduces selection workload

# CTW in EAST

- Balance of EAST retention copies vs. CTW needs
- CC and TC moving forward on serials retention

# HATHI Trust

- WU 1<sup>st</sup> CTW partner to join.
- Mellon funded project w/Wesleyan University Press to add OOP publications for Open Access



# Thank You!!

