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# **Cementation of Sand Soil by Microbially Induced Calcite Precipitation at Various Degrees of Saturation**

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# 1 **Cementation of Sand Soil by Microbially Induced Calcite** 2 **Precipitation at Various Saturation Degrees**

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## 4 **Abstract:**

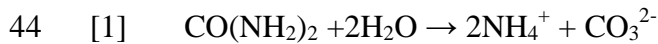
5 A newly emerging microbiological soil stabilization method, known as microbially  
6 induced calcite precipitation (MICP), is tested for geotechnical engineering  
7 applications. MICP is a promising technique that utilizes the metabolic pathways of  
8 bacteria to form calcite precipitation throughout the soil matrix, leading to an increase  
9 in soil strength and stiffness. This paper investigates the geotechnical properties of a  
10 sand bio-cemented under different degrees of saturation. A series of laboratory  
11 experiments was conducted, including sieve analysis, permeability, unconfined  
12 compressive strength, consolidated undrained triaxial, and durability tests. The results  
13 indicate that higher soil strength can be obtained at similar  $\text{CaCO}_3$  content when the  
14 treatment is performed under low degree of saturation. Fine sand samples exhibited  
15 higher cohesion but lower friction angle than coarse sand samples with similar  $\text{CaCO}_3$   
16 content. The results also confirm the potential of MICP as a viable alternative technique  
17 for soil improvement in many geotechnical engineering applications, including  
18 liquefiable sand deposits, slope stabilization and subgrade reinforcement. The freeze  
19 thaw and acid rain resistance of MICP treated sand has also been tested.

20 CE Database keywords: Soil stabilization; Cementation; Microorganisms; Calcium  
21 carbonate; Durability.

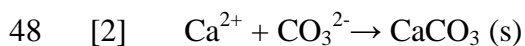
## 22 1 Introduction

23 Current soil improvement applications include soil replacement, preloading for  
24 achieving consolidation, chemical admixture and grouting stabilization. These  
25 techniques are time consuming, expensive and in the case of grouting and admixture  
26 stabilization are environmentally detrimental (DeJong et al. 2010). In 1974 in Japan a  
27 case study documented by Karol (2003) illustrated the environmental impact when  
28 acrylamide grout leached into waterways causing five substantiated cases of water  
29 poisoning. As a result a ban was placed on nearly all chemical grouts, further  
30 reverberating to other countries to apply similar prohibition (Karol 2003). Therefore,  
31 continuing studies into finding alternative soil improvement methods are vital to  
32 achieve optimum performance, economic viability and environmental sustainability.

33 Calcite *in-situ* precipitation system (CIPS) and microbially induced calcite precipitation  
34 (MICP) have been the subjects of research for several industrial applications.  
35 Improvement of soil mechanical properties by MICP is currently of particular interest to  
36 engineers and microbiologists, and has been demonstrated by several researchers at  
37 varying scales (DeJong et al. 2006; Whiffin et al. 2007; van Paassen et al. 2010). The  
38 technique can alter the soil characteristics to increase the shear strength and stiffness,  
39 while maintaining adequate permeability (Burbank et al. 2011). The technique involves  
40 introducing aerobically cultivated bacteria with highly active urease enzyme into soil,  
41 harnessing the urease enzyme to catalyze the hydrolysis of urea to produce ammonium  
42 and carbonate ions. The chemical reaction involved in this process is shown as follows  
43 (Eq. 1):



45 In the presence of an introduced calcium source, often calcium chloride ( $\text{CaCl}_2$ ), the  
46 calcium carbonate ( $\text{CaCO}_3$ , calcite) forms throughout the soil matrix based on the  
47 following chemical reaction (Eq. 2):



49 The produced microbially induced  $\text{CaCO}_3$  precipitates bridge adjacent soil particles by  
50 cementing the soil grains together to form cemented sand illustrative of calcareous rock  
51 (DeJong et al. 2006).

52 Controlling the MICP process and predicting the resulting material properties are  
53 essential in improving the engineering properties of porous solid materials (e.g. soil).  
54 Many researchers have investigated the empirical correlations between the amount of  
55 precipitated  $\text{CaCO}_3$  crystals and soil engineering parameters such as the soil porosity,  
56 strength, stiffness and permeability (Ismail et al. 2002a, 2002b; Whiffin et al. 2007).  
57 The initial properties of soils and the precipitated  $\text{CaCO}_3$  crystals can vary in mineral  
58 type, density, shape, size distribution and texture (Mitchell and Ferris 2006; Ismail et al.  
59 2002a; Warren et al. 2001), which might give an explanation for the observed  
60 differences in the resulting engineering properties of MICP treated soils.

61 In a previous study carried out by Cheng and Cord-Ruwisch (2012), more effective  
62 crystals precipitating at the sand particles contact points were achieved under a low  
63 degree of saturation. This suggested that by controlling the *in-situ* saturation conditions  
64 during the MICP process, the distribution of crystals can be predominantly controlled

65 and restricted to the inter-particle contact points. In the current paper, the feasibility of  
66 MICP as a promising ground improvement technique is evaluated via a series of  
67 laboratory tests using sand columns under various saturation conditions. The laboratory  
68 results demonstrate the potential of this technique for geotechnical engineering  
69 applications such as preventing liquefaction and improving the stability of  
70 embankments.

## 71 **2 Materials and Testing Methods**

### 72 **2.1 Sand Soil Tested**

73 Two different types of pure silica sand (Cook Industrial, Minerals Pty. Ltd. Western  
74 Australia) were selected for the current study. Sieve analysis was performed for both  
75 fine and coarse grained sands to determine the particle size distribution, which is one of  
76 the primary components that govern the mechanical behavior of soils. The particle size  
77 distribution curves of the fine and coarse sands used are shown in Figure 1. Both sands  
78 are classified as poorly graded sand according to the Unified Soil Classification System  
79 (USCS). Poorly graded sands were selected as they exhibit undesirable engineering  
80 behavior for most geotechnical engineering applications. Both sands have a specific  
81 gravity of 2.62.

### 82 **2.2 Bacterial Suspension and Cementation Solution for MICP System**

83 The urease active strain of *Bacillus sphaericus* (MCP-11) (DSM 23526, available now  
84 from DSMZ, Germany), which was isolated from the previous study (AI-Thawadi and  
85 Cord-Ruwisch 2012), was used in the current experiments. The isolated strain (MCP11)  
86 was cultivated under sterile aerobic batch condition in yeast extract based medium (20

87 g/L yeast extract, 0.17 M ammonium sulphate, 0.1 mM NiCl<sub>2</sub>•6H<sub>2</sub>O, pH 9.25). After 24  
88 hours incubation at 28°C, the culture was harvested and stored at 4°C prior to use. The  
89 optical density (OD<sub>600</sub>) of the harvested bacterial suspension varied between 1.5 to 2.0,  
90 and the urease activity was approximately 10 U/ml (1 U = 1 μmol urea hydrolyzed per  
91 min). The CaCO<sub>3</sub> precipitation rate, depending on the amount of urease activity  
92 introduced, can affect the size of the crystals and in turn the bonding force of the CaCO<sub>3</sub>  
93 crystal bridges and corresponding strength of the treated soil (Ismail et al. 2002a). In  
94 this study, the average CaCO<sub>3</sub> precipitation rate was about 10 g/L (solution)/h. The  
95 cementation solution consisted of 1 M urea and 1 M CaCl<sub>2</sub>.

### 96 2.3 Sample Preparation

97 The sample preparation started with packing the dry sand (fine and coarse) into a PVC  
98 column of 160 mm in height and 55 mm inner diameter. The final dry density and  
99 porosity of the sand samples were about 1.62-1.63 g/cm<sup>3</sup> and 39%, respectively.  
100 Various amounts of water were then flushed from top to bottom to provide the desired  
101 degree of saturation within the sand matrix. The degree of saturation is the volume of  
102 water in the voids, expressed as percentage of the total volume of voids, according the  
103 following equation (Eq. 3):

104 [3] Saturation Degree,  $S(\%) = \frac{V_{water}}{V_{voids}} \times 100$

105 where;  $V_{water}$  is the volume of water in the soil matrix and  $V_{voids}$  is the volume of voids.

106 Unless otherwise stated, the sample preparation consisted of the following three steps:

107 1) Alternating injection of equal volumes of bacterial suspension and cementation  
108 solution with an inflow rate of about 1 L/hour. The total volume of the introduced  
109 solutions was the same as the aforementioned water volume so as to keep a  
110 constant degree of saturation. A vacuum pump was connected to the bottom of the  
111 PVC column to remove the excess solution.

112 2) Curing for 12 hours at  $25\pm 1^\circ\text{C}$  to allow the bacterial fixation process to complete.

113 3) Percolation of cementation solution with the same flow rate followed by another  
114 curing period of 12 hours at  $25\pm 1^\circ\text{C}$ . This step was carried out twice.

115 It should be noted that, to obtain different mechanical properties of the soil samples, the  
116 above-mentioned three steps might be conducted more than once.

117 The key issue of the above process is to keep a constant degree of saturation throughout  
118 the tests by managing the volume of extracted solution to be equal to that of the injected  
119 solution. Meanwhile, to avoid solution accumulation at the bottom of the sand column  
120 by gravity, the PVC columns were horizontally placed during the curing period. The  
121 saturation degrees over the entire 15 cm long sand columns were determined. The local  
122 saturation of the columns between 2.5 to 12.5 cm depth was relatively homogeneously  
123 distributed with a deviation not greater than  $\pm 6\%$  saturation. Therefore, the specimens  
124 prepared for the mechanical property analyses were taken from about 2 to 13 cm depth  
125 of the sand columns.



#### 126 2.4 Microscopy Investigation

127 In order to characterize the shapes and locations of the precipitated  $\text{CaCO}_3$  and to  
128 investigate the bonding behaviour between the grain hosts and cement agent,  
129 microscopy analysis was conducted on the cemented soil samples, which were taken  
130 from the centre of the cemented sand columns. Before conducting the microscopy  
131 investigation, all samples were flushed with tap water and dried at 60 °C for 24 hours.  
132 The microscopy investigation was carried out using scanning electron microscopy  
133 (SEM) PHILIPS XL20 Scanning Electron Microscope, Eindhoven, the Netherlands.

#### 134 2.5 Unconfined Compressive Strength (UCS) Tests

135 To quantify the strength imparted into the MICP treated silica sand under different  
136 saturation conditions, the unconfined compressive strength (UCS) tests were conducted  
137 on cemented specimens of 55 mm in diameter with a selected diameter to height ratio of  
138 1:1.5 to 1:2. The axial load was applied at a constant rate of 1.0 mm/min. Before  
139 carrying out the tests, the sand samples were treated with different amounts of MICP  
140 under 20%, 40%, 80% and 100% degrees of saturation.

#### 141 2.6 Triaxial Compression Tests

142 The triaxial compression test was employed to provide verification for the MICP as a  
143 soil stabilization technique. This test is considered to be the most reliable test to  
144 measure the shear strength parameters of soils. In this study, a series of single-stage  
145 consolidated undrained triaxial tests with pore water pressure measurement were carried  
146 out to establish the effective shear strength parameters (i.e., cohesion,  $c'$ , and friction  
147 angle,  $\phi'$ ) of the bio-cemented sand. Specimens were set under one confining pressure

148 and sheared till failure. The effective cohesion and friction angle were determined using  
149 the Mohr-Coulomb failure envelopes established from three individual samples. All  
150 tests were conducted in accordance with the procedures set out by Head (1998). Before  
151 carrying out the triaxial tests, the bio-cemented specimens were treated at different  
152 degrees of saturation of 30%, 65% and 100%. Each triaxial test started with saturating  
153 the sand specimens with tap water so as to achieve a Skempton's  $B$  value of at least  
154 95%. The specimens were then subjected to confining pressures of 50, 100 and 200 kPa,  
155 respectively, and an axial stress was then applied to failure at a strain rate of 1 mm/min.  
156 All triaxial tests were performed on specimens of 55 mm in diameter with a selected  
157 diameter to height ratio of approximately 1:2. A baseline sample of untreated sand was  
158 also tested to allow comparison of the soil improvement properties.

## 159 **2.7 Permeability Tests**

160 Permeability is a primary factor that controls the behavior of porous materials under  
161 saturated conditions and thus dictates the suitability of a specific material for certain  
162 applications (Shahin et al. 2011). Porous materials with high permeability can prevent  
163 the development of excess pore water pressure during loading. To identify the  
164 permeability of cemented sand treated with different amounts of  $\text{CaCO}_3$  precipitates,  
165 more samples were prepared at degrees of saturation of 30%, 65% and 100%, and  
166 permeability tests were conducted. The permeability test was also conducted on the  
167 untreated samples for the purpose of comparison with the treated samples. The  
168 untreated fine sand has a hydraulic conductivity of  $9.2 \times 10^{-5}$  m/s, whereas it is  $44.7 \times$   
169  $10^{-5}$  m/s for the untreated coarse sand.

170 Laboratory determination of the permeability of the untreated and bio-cemented sand  
171 was conducted using constant head permeability test with a rigid side wall device in  
172 accordance with the Australian Standards AS 1289 (2007). All specimens were  
173 saturated prior to the permeability test by flushing through 2 L tap water under 15 kPa  
174 back pressure (hydraulic head of about 150 cm) to remove most of the remained pore  
175 air.

176 In order to compare the permeability of the MICP improved soil with conventional soil  
177 improvement using chemical additives, a series of mixtures of fine sand with various  
178 proportions of Portland cement were prepared and tested for their strength and  
179 permeability. The details of the Portland cement samples are listed in Table 1. The  
180 mixtures were poured into PVC columns with the same dimension of that used for bio-  
181 cementation, and a strong vibration was applied to avoid any air bubbles that might  
182 remain in the mixture. The prepared mixtures were then cured at the room temperature  
183 ( $20\pm 1$  °C) for 7 days prior to the UCS and permeability measurements.

## 184 **2.8 Durability Tests**

### 185 **2.8.1 Freeze-Thaw Durability**

186 To test the resistance of MICP cemented samples to freeze-thaw (FT) cycling, a series  
187 of fine sand samples (110 mm in height and 55 mm in diameter) treated by MICP and  
188 Portland cement, as described previously, was subjected to 10 cycles of FT actions.  
189 Each cycle test involves subjecting the samples to a 12-hour freeze at  $-14$  °C followed  
190 by a 12-hour thaw under ambient conditions ( $20\pm 1$  °C). All samples were immersed in  
191 water throughout the cycling FT testing.

## 192 2.8.2 Acid Rain Durability

193 Artificial acid rain was made according to Haneef et al. (1992) and the final pH of acid  
194 rain was adjusted to 3.5 by adding additional H<sub>2</sub>SO<sub>4</sub>. The artificial acid rain was  
195 injected from the top of the cemented fine sand columns (180 mm in height and 55 mm  
196 in diameter) with a flow rate of approximately 3 mL/min. The weight of the sand  
197 column was measured periodically, after it was washed by DI water and dried at 105 °C  
198 for 12 hours. All samples were cut in half prior to the shear strength test and the  
199 strength of the top and bottom parts of the sand samples (eroded and un-eroded) was  
200 recorded.

## 201 3 Presentation of Results

### 202 3.1 Effect of Degree of Saturation on UCS Results of MICP Cemented Coarse 203 Sand

204 Figures 2 and 3 show the results of the UCS tests carried out on the coarse sand treated  
205 with different amounts of MICP under various saturation degrees of 20%, 40%, 80%  
206 and 100%. It can be seen that both unconfined compressive strength ( $q_{ucs}$ ) and stiffness  
207 (or elastic modulus,  $E$ ) increase with the increase of CaCO<sub>3</sub> content for all treated  
208 samples. Both  $q_{ucs}$  and  $E$  follow exponential relationships with the content of CaCO<sub>3</sub>,  
209 which are in line with previous results reported by van Paassen et al. (2010). It can also  
210 be seen that for the same amount of CaCO<sub>3</sub> precipitation, both  $q_{ucs}$  and  $E$  increase with  
211 the reduction in the degree of saturation. Saturation degree higher than 80% was found  
212 to have little impact on  $q_{ucs}$  and  $E$  of MICP treated coarse sands.

213 It is worthwhile mentioning that the failure mechanism of the cemented sand was  
214 different from the strong to the weak samples. In the weak samples, the broken cores  
215 completely lost strength at the grain scale around the failure plane, or through the entire  
216 sample when the failure planes were not clear. This was consistent with previous  
217 observation by van Paassen et al. (2009). In the strong samples, however, tensile cracks  
218 appeared vertically from top to bottom along the sample and the failure planes can be  
219 distinguished clearly, which was also similar to the previous observation by van  
220 Paassen et al. (2009).

221 It is of interest to examine the location of MICP treated coarse silica sand in the  
222 spectrum of other geomaterials in terms of the relationship between  $E$  and  $q_{ucs}$ , as shown  
223 in Figure 4. The change in the rigidity of the MICP treated silica sand is also shown in  
224 Figure 5 (rigidity =  $E/q_{ucs} = 1/\varepsilon_f$ , where  $\varepsilon_f$  is the axial strain at failure). It can be seen that  
225 the rigidity increases (in an exponential law fashion) with increase of  $\text{CaCO}_3$  content,  
226 but was independent of the degree of saturation. It can also be seen that at similar  
227 amount of  $\text{CaCO}_3$ , the rigidity of the samples cemented at lower degree of saturation  
228 was higher than that of the samples treated with higher degree of saturation. Similar to  
229 the effect of saturation on  $q_{ucs}$ , a degree of saturation higher than 80% had marginal  
230 impact on the rigidity of MICP cemented sand for certain amount of  $\text{CaCO}_3$  content.

### 231 **3.2 Microscopy Images of MICP Cemented Sand at 20% and 100% Saturation**

232 In this part, an attempt is made to investigate the reason for increasing strength and  
233 stiffness of the MICP treated sand at lower degree of saturation. It is believed that the  
234 micro-features of precipitated crystals around the sand grains and the creation of hinges  
235 can be responsible for the different mechanical responses of MICP treated porous

236 materials obtained at different saturation conditions (Paraskeva et al. 2000). In order to  
237 investigate this matter, the micro-structure of the treated sands was investigated through  
238 the microscopy images shown in Figures 6 and 7 for soil treated at degrees of saturation  
239 of 100% and 20%, respectively.

240 It can be seen from the images shown in Figure 6 that the  $\text{CaCO}_3$  crystals produced at  
241 100% saturation take rhombohedron form in which the agglomerated rhombohedral  
242 crystals precipitate not only in the inter-particle contact points but also on the grain  
243 surface, or suspend in the pore spaces, leading to insufficient connections between the  
244 sand grains. For the sand treated at 20% saturation (Figure 7), a strong coating effect of  
245 the MICP process is predominant. This coating effect is likely attributed to the  
246 homogeneously adsorbed solution on the sand grains surface due to the surface tension  
247 force, which allows the MICP solution to access the full surface of the grains. One  
248 important feature that can be derived from Figure 7 is that the gaps between the host  
249 grains are almost completely filled with crystals, which is likely due to the fact that the  
250 retained MICP solution located between the grains takes a menisci form, where the  
251 crystals are produced and precipitated out of the aqueous solution to fill the gaps. This  
252 feature may affect the adhesion mechanism amongst the host grains and, consequently,  
253 the mechanical behavior of the entire soil matrix.

254 It should be noted that both samples treated at 100% saturation (Figure 6) and 20%  
255 saturation (Figure 7) demonstrate similar  $q_{ucs}$  of 1 MPa and 1.14 MPa, respectively,  
256 but they differ in the  $\text{CaCO}_3$  content. It is apparent that the development of the  $\text{CaCO}_3$   
257 at the contact boundary is vastly different in both cases, and in comparison it can be  
258 identified that an excess precipitation of the  $\text{CaCO}_3$  at the sand grain boundary exists for

259 the case of 100% saturation condition. As a result, the sample treated at 20% saturation  
260 contained fewer  $\text{CaCO}_3$  crystals less than half of that precipitated at 100% saturation  
261 (i.e. 0.143 g/g sand). This indicates that the mechanical strength of the MICP treated  
262 samples is due to the effectiveness of  $\text{CaCO}_3$  formation that precipitated in the inter-  
263 particles contact points, rather than the total amount of the  $\text{CaCO}_3$  crystals formed.

264 The schematic diagram shown in Figure 8 can provide further explanation of the  
265 previous observation. For partially saturated condition, the air occupies the center of the  
266 pores and the total surface of the grains is covered with adsorbed solution, which is  
267 predominantly concentrated at the inter-particles connection points (corner) forming  
268 menisci shape (Tuller et al. 1999). Therefore, the crystal precipitation has mainly  
269 occurred at the contact points of the grains (Figure 8), which contributes to the strength  
270 improvement. In the case of full saturation, as the MICP solution occupies the entire  
271 pore space, the crystals are free to precipitate without being restricted to the size and  
272 location, resulting in the agglomerated crystals to be formed on both the host grain  
273 surface and grain gaps. From the above discussion, it can be stated that the crystals  
274 formation varies in size and location according to the distribution of pore solution,  
275 which is influenced by the saturation conditions.

### 276 **3.3 Mathematical Model of Total Volume of Effective Hinges**

277 In Sections 3.2 and 3.3, it was experimentally shown (through microscopy images and  
278 results of UCS tests) that the degree of saturation at which a sand soil is treated by  
279 MICP has a significant impact on the resulting strength and stiffness. Also the particle  
280 size of the constituent soil affects the cementation process, because it has a significant  
281 impact on the retained pore water in terms of the content, shapes and distribution under

282 various saturation conditions, consecutively on the cementation process. In this section,  
283 a mathematical model is developed in order to measure the impact of the saturation  
284 degree and particle size on the effective “hinge” formation within a soil matrix treated  
285 with MICP.

286 In order to develop the mathematical model, a soil matrix with uniform spherical  
287 particles is assumed. All spherical particles are packed in a tetrahedral packing form  
288 having the closest packing order with a void ratio of 0.34. The total volume of the sand  
289 matrix ( $V$ ) and void volume ( $V_{void}$ ) can be approximately calculated as follows:

290 [4] 
$$V = N \times (4/3) \times \pi \times R^3 / (1 - 0.34)$$

291 [5] 
$$V_{void} = 0.34 \times V$$

292 where;  $N$  is the number of particle spheres and  $R$  is the radius of the sphere (see Figure  
293 9).

294 In the assumed tetrahedral packing, each particle has 12 contact points with the  
295 surrounding particles and there are 6 full water lenses in each unit volume of  $5.66R^3$  (Lu  
296 and Likos 2004). The total number of water lenses ( $N_{lens}$ ) in the sand matrix therefore  
297 can be calculated as follows:

298 [6] 
$$N_{lens} = 6 \times V / (5.66 \times R^3)$$

299 The crystals are assumed to be homogeneously precipitated on the surface of spheres,  
300 where the water lenses are attached, and the crystal “hinges” formed in point-to-point



301 contacts contribute to the bonding force. In general, it is reasonable to make the  
 302 hypothesis that the bigger volume of “hinges” causes stronger bonding force. From  
 303 Figure 9b, the total volume of effective hinges ( $V_{T-hinges}$ ) in the soil matrix can be  
 304 calculated as follows:

$$305 \quad [7] \quad V_{T-hinges} = N_{lens} \times V_{hinge} = N_{lens} \times (2\pi \times r^2 h' - 2\pi / 3 \times h'^2 (3r - h'))$$

306 where;  $V_{hinge}$  presents the volume of each hinge, and  $h'$  &  $r$  are as illustrated in Figure  
 307 9b, which can be obtained based on the following geometric calculations:

$$308 \quad [8] \quad h' = R - \sqrt{R^2 - r^2}$$

$$309 \quad [9] \quad r = \sqrt{(R + h)^2 - R^2}$$

310 In Eqns. 8 and 9,  $h$  is the thickness of crystals on each sphere and can be estimated as  
 311 follows:

$$312 \quad [10] \quad h = V_{crystals} / (2 \times S_{surface})$$

313 where;  $V_{crystals}$  is the volume of  $\text{CaCO}_3$  crystals precipitated on each sphere and  $S_{surface}$  is  
 314 the contact surface between the water lens and the sphere (see Figure 9a and b). Both  
 315  $V_{crystals}$  and  $S_{surface}$  can be calculated according to the following expressions:

$$316 \quad [11] \quad V_{crystals} = C_{crystals} \cdot V / r_{crystal} / N_{lens}$$

$$317 \quad [12] \quad S_{surface} = 2\pi \times (R + h)^2 \times (R - (R + h) \times \cos(\theta))$$

318 where;  $C_{crystals}$  is the  $\text{CaCO}_3$  crystals content ( $\text{g/cm}^3$ ) and  $\rho_{crystals}$  is density of  $\text{CaCO}_3$   
319 crystals (i.e.  $2.71 \text{ g/cm}^3$ ).

320 The degree of saturation of the soil matrix can also be obtained as follows:

321 [13] 
$$S_{saturation}\% = V_{water} / V_{void} = N_{lens} \times V_{lens} / V_{void}$$

322 where;  $V_{lens}$  is the volume of each water lens, which can be calculated in accordance  
323 with Dallavalle (1943), as follows:

324 [14] 
$$V_{lens} = 2\pi \times R^3 \times (1/\cos(\theta) - 1)^2 \times [1 - (\pi/2 - \theta) \times \tan(\theta)]$$

325 The developed mathematical model (i.e. Eqns. 7 and 14) was used to illustrate the  
326 dependency of the total volume of effective “hinges” formed in the same volume of  
327 sand matrixes on the degree of saturation and particle size (see Figure 10). The number  
328 of spherical particles,  $N$ , is inversely proportional to the particle size ( $R$ ), providing the  
329 same total matrix volume. This means that if the coarse sand particle has a radius  $R$   
330 while the fine sand particle has a radius  $R/2$ , the number of particles of the fine sand  
331 will be eight times that of the coarse sand. Consequently, the total number of water  
332 lenses ( $N_{lens}$ ) in the fine sand matrix will be eight times that of the coarse sand.

333 The model predictions shown in Figure 10 indicate that a greater volume of effective  
334 hinges is formed in the fine sand compared to the coarse sand having similar amount of  
335  $\text{CaCO}_3$  precipitation, indicating that the strength is improved with the decrease in  
336 particle size. This model also derives that a lower degree of saturation leads to a greater  
337 number of effective hinges at the same  $\text{CaCO}_3$  content and consequently an improved

338 mechanical behavior (i.e. UCS). The model predictions are supported by the previous  
339 experimental UCS tests and microscopy images of the coarse sand.

340 To further investigate the real effect of particle size and degree of saturation on the  
341 shear strength parameters of treated sand (i.e., cohesion,  $c'$ , and friction angle,  $\phi'$ ),  
342 which are more relevant to most geotechnical engineering applications, the results of the  
343 undrained triaxial tests are presented below.

### 344 **3.4 Mechanical Behavior of Stabilized Sand in Triaxial Tests**

345 The effective shear strength parameters (i.e. cohesion,  $c'$ , and friction angle,  $\phi'$ ) of the  
346 silica sand treated with different amounts of  $\text{CaCO}_3$  were determined from the Mohr-  
347 Coulomb envelopes. These were developed from the peak shear stress values obtained  
348 from the triaxial tests. Results are shown in Figures 11 and 12, for coarse and fine  
349 sands, respectively.

#### 350 **Coarse Sand**

351 Figure 11 shows that both the cohesion,  $c'$ , and friction angle,  $\phi'$ , increase with the  
352 increase of the  $\text{CaCO}_3$  content at all degrees of saturation. At a fixed amount of  $\text{CaCO}_3$   
353 a lower saturation degree increased the  $c'$  and  $\phi'$  values compared to those at higher  
354 saturation degrees. Under lower saturation degree condition, the precipitated crystals  
355 contributed more to improving cohesion than to improving friction angle. At higher  
356 saturation degrees of 65% and 100%, the impact on improving the friction angle was  
357 even less. As mentioned earlier, the effect of degree of saturation on improving the  
358 shear strength behavior of soil and thus the shear strength parameters is attributed to

359 restricting the crystal formation mainly to the connection points. The well-placed  
360 crystals are efficient in increasing the inter-particle connection, thereby, enhancing the  
361 soil cohesion and friction angle. The increase in both cohesion and friction angle at  
362 higher  $\text{CaCO}_3$  content that has occurred regardless of the saturation degree is likely due  
363 to the fact that precipitated crystals start filling the pore spaces. One important feature  
364 that can be derived from Figure 11 is that at low  $\text{CaCO}_3$  content the friction angle had  
365 only marginally increased under all saturation conditions, which was probably due to  
366 the slight increase in the dry density. The optimum condition for  $c'$  and  $\phi'$  has occurred  
367 at the saturation condition of 30%.

#### 368 **Fine Sand**

369 Figure 12 shows that the overall correlation between the shear strength parameters and  
370 the  $\text{CaCO}_3$  content at different saturation degrees is similar to that of the coarse sand.  
371 By comparing the results of the two sands used, it can be concluded that under the same  
372 saturation condition, the coarse sand demonstrates higher friction angle than the fine  
373 sand at similar  $\text{CaCO}_3$  content. The fine sand with similar  $\text{CaCO}_3$  content showed  
374 significantly higher values of cohesion compared to the coarse sand. This can be  
375 explained as follows. Smaller particles have two effects including: (a) providing more  
376 inter-particle contact points for microbially induced  $\text{CaCO}_3$  to precipitate; and (b)  
377 reducing the stress acting per particle contact. MICP acts most efficiently at a particle  
378 contact just as cementation begins, and continued expansion of cementation around a  
379 particle contact has decreased effect. Therefore, reallocating the  $\text{CaCO}_3$  crystals to two  
380 contact locations instead of one would be more effective. At the same time, the contact  
381 stress decreases as a function of the particle radius squared. Therefore, smaller particles

382 provide two compounding benefits: (1) more efficient MICP; and (2) lower particle  
383 contact stresses.

### 384 **3.5 Effect of MICP Treated Sand on Permeability**

385 Figure 13 shows the results of permeability tests conducted in the current study. It can  
386 be seen that a reduction in permeability was encountered for all bio-cemented sand  
387 samples. In contrast to the phenomenon reported by Whiffin et al. (2007), the  
388 permeability decreased with an increase in  $\text{CaCO}_3$  content for both fine and coarse  
389 sands, irrespective of the saturation degree. Results suggest that it is preferable to  
390 conduct the MICP process under lower saturation conditions, as it enabled improved  
391 mechanical behavior at the same time as maintaining relatively high residual  
392 permeability.

393 Figure 14 shows the results of comparison between sand samples treated with Portland  
394 cement and bio-cement. It can be seen that the bio-cement samples have higher strength  
395 in the range of lower cement agents content ( $< 0.1$  g/g sand) compared to the Portland  
396 cement samples after 7 days of curing. However, this comparison would differ  
397 depending on the applied curing time of the Portland cement samples. The permeability  
398 of the biocementation samples is significantly higher than that of the Portland cement  
399 samples. As an example, a mixture with 7% (0.07 g/g sand) Portland cement  
400 dramatically decreased permeability by 98%. Cement content higher than 9.6% (0.096  
401 g/g sand) produced a poor drainage material with permeability less than  $1 \times 10^{-6}$  m/s.  
402 The significant loss of permeability in the Portland cement samples is due to the  
403 occupation of the pore space by the water insoluble hydrates formed from the cement  
404 hydration reaction with the pore water. In contrast, the loss of permeability in bio-

405 cement samples is caused by the pore spaces becoming occupied by the calcite crystals,  
406 which only causes a smaller volume change compared to the hydrates.

407 From the previous results, it can be concluded that apart from the significant increase in  
408 soil strength and stiffness, one advantage of biocementation is attributed to the relative  
409 ability to retain soil permeability after treatment, compared to the traditional chemical  
410 treatment by Portland cement.

### 411 **3.6 Effect of MICP Treatment on Sand Freeze-Thaw Durability**

412 Destruction of porous materials caused by freezing and thawing has been of great  
413 concern to engineers for more than 200 years (Johnson 1952). The phase change of  
414 water adsorbed in the soil pores is the most significant cause of deterioration of exposed  
415 porous materials. Porous solids with high porosity or permeability usually have a good  
416 service record after free-thaw (FT) action (Litvan 1980). Indicated by the previous  
417 permeability results, the sand samples treated with MICP have a high residual  
418 permeability, which may favor the samples to endure the cycled FT action.

419 By comparing the UCS of MICP tested samples before and after FT cycling, less than  
420 10% decrease in strength occurred irrespective of the treatment conditions (Figure 15).  
421 The severity of the mechanical damage is proportional to the water content of the  
422 porous solid (Litvan 1980); however, the high porosity and permeability allow more  
423 rapid water mass transfer in the sand matrix, which can increase the FT resistance. For  
424 MICP samples, the crystals formed at the contact points can maintain the connection of  
425 pores without restricting the pore water mobility, which is also proved by the previous

426 permeability tests. For the Portland cement samples, the FT cycles caused serious  
427 damage, as expected, with about 40% decrease in strength.

### 428 **3.6.1 Acid Rain Erosion Durability**

429 Acid rain is detrimental to many construction materials, particularly those made from  
430 limestone or sand stone with high  $\text{CaCO}_3$  content. The chemical reaction between the  
431 calcium carbonate and sulfuric acid (the primary acid component of acid rain) causes  
432 the dissolution of  $\text{CaCO}_3$ , resulting in destruction of such materials. In the MICP treated  
433 sand, the strength of sand matrix is the result of the sand particles bonded by the  
434 bridging  $\text{CaCO}_3$  crystals. Therefore, the  $\text{CaCO}_3$  crystals eroded by the acid rain will  
435 result in destruction of the connections between the sand particles, leading to severe  
436 damaging in mechanical properties.

437 In order to test erosion and residual strength of the MICP treated sand samples after  
438 exposure to the acid rain, in time mass detection of the sand matrix and UCS tests were  
439 carried out and the results are presented in Figure 16. It can be seen that, as expected,  
440 the artificial acid rain (pH=3.5) continuously eroded the biocement samples, resulting in  
441 a loss of weight. The pH of the effluent stayed around 7.5, which indicated that the  
442 protons ( $\text{H}^+$ ) in the acid rain were consumed by reacting with  $\text{CaCO}_3$ , similar to the acid  
443 rain erosion of limestone and marble. After flushing 12 L of acid rain through the sand  
444 column, corresponding to 5 years of rainfall (1000 mm/year), the UCS results of the  
445 eroded samples reflected that no obvious damage occurred at the bottom part of the  
446 sand column (9-18 cm). However, the strength of the top part of the sand column was  
447 decreased by about 40%, as shown in Figure 16. As the effect of the acid rain is chronic

448 and long-term acidification results from years of acidic rainfall, a long-term simulation  
449 experiment (decades) is worthwhile to carry out in the future.

#### 450 **4 Discussion**

451 This study verified that the bio-cementation technology applied to partially saturated  
452 soils lead to improved mechanical behavior of MICP treated soil matrix in terms of  
453 cohesion, friction angle and UCS, with fewer calcite crystals compared to MICP at fully  
454 saturated condition. In other words, to produce similar soil strength, partially saturated  
455 soils require fewer crystals, enabling bio-cemented soils to be produced more  
456 economically due to lower requirement for the urease enzyme, urea and  $\text{CaCl}_2$ . To this  
457 end, the technique can be applied to many geotechnical-engineering applications in both  
458 fully and partially saturated conditions. In wet fully saturated condition, MICP solution  
459 is introduced into the soil by saturated flow (van Paassen et al. 2010; Whiffin et al.  
460 2007). In dry or partially saturated condition, MICP solution can be introduced by  
461 surface percolation and the excess of MICP solution moves deeper into the soil pores,  
462 which allows the retained MICP solution to accumulate at the connection points as a  
463 meniscus shape (Cheng and Cord-Ruwisch 2012). The restricted distribution of MICP  
464 solution enables the crystals formed at the particular position, which contributes the  
465 most to strength development. However, an obvious main challenge for MICP treatment  
466 under unsaturated conditions is achieving homogenous distribution of  $\text{CaCO}_3$  and  
467 strength, which will be investigated in subsequent phase of this work.

468 A principal engineering problem produced by current available soil improvement  
469 methods is the tendency of significantly decreasing permeability of treated soils. For



470 example, the reduction in permeability due to grouting ranges between 2 and 3 orders of  
471 magnitude (Karol 2003). Consequently, the reduction in permeability disturbs natural  
472 groundwater flow paths, permits the increase of pore water pressure in the soil, thus  
473 increasing the risk of failure in both earth and foundation structures. The ability of  
474 MICP to retain high permeability conditions is a clear advantage compared to the  
475 alternative of using Portland cement. A reduction in the cost of construction and  
476 installation of drainage systems would be apparent, as fewer systems would need to be  
477 integrated than those typically utilizing traditional cementing agents. Another advantage  
478 of MICP and its retention of *in-situ* permeability during bio-cementation application is  
479 that it will permit additional applications of treatment allowing engineers to control the  
480 final strength.

481 Engineering examples of the utilization of MICP and the associated benefits of  
482 permeability retention would be in the reinforcement of transport subgrades and  
483 embankments. During subgrade construction it is important to provide adequate  
484 drainage at all times to prevent water from standing on the subgrade. Therefore, soil  
485 stabilization by MICP technique with the capability of high permeability retention  
486 would eliminate the need for additional drainage systems. Due to the minimal  
487 interference with soil material hydrology, embankments strengthened with MICP will  
488 have the potential to allow immediate dissipation of excess pore water pressures caused  
489 by operational surcharge loads.

490 Geotechnical engineering structures exposed to dynamic loads associated with  
491 earthquakes under saturated conditions can be subject to significant structural damage.  
492 In this case, the soil loses most of its static strength and significant deformations occur.

493 When such deformations are large, soils liquefy (Cornforth 2005). The soil types most  
494 susceptible to liquefaction are loose granular sands that have no cementation between  
495 the soil grains. Given the improvements in the undrained shear strength of sands trialed  
496 in this study, MICP can be used as a viable solution to improve the properties of un-  
497 cemented granular soils by creating cemented zones that will be no longer liquefiable.

## 498 **5 Conclusions**

499 This paper has investigated the influence of degree of saturation and soil particle size on  
500 the mechanical response of calcite bio-cemented silica sand. Samples examined under  
501 SEM indicated different patterns of calcite precipitation for each degree of saturation,  
502 with fully saturated condition forming agglomerated rhombohedral crystals scattered on  
503 the sand grain surface. The lower saturated conditions formed strong calcite coating on  
504 the host grains and bridging between sand grains. A mathematical model has been also  
505 developed, which measures the impact of the degree of saturation and particle size on  
506 the effectiveness of  $\text{CaCO}_3$  precipitates in MICP treated soils.

507 Findings of this study confirmed that higher strengths were obtained at lower saturation  
508 degrees, challenging most studies on MICP so far, in which biocementation was  
509 performed under fully saturated condition. This important finding indicates that  
510 optimum performance of this stabilization process can be achieved with lower costs,  
511 making it economically viable while reducing the need for water and chemicals, hence,  
512 becoming more environmentally sustainable than formerly believed.

513 The results from the durability tests have shown that MICP produced cemented samples  
514 with highly durable resistance to freeze-thaw erosion, and resistless to the acid rain

515 erosion. Both the permeability and shear strength of bio-cemented soils displayed  
516 results that would support the MICP as a promising soil improvement technique. MICP  
517 has been approved to be a viable alternative for engineering soil improvement  
518 applications such as soil embankments, liquefiable sand deposits and subgrade  
519 reinforcement.

520 The results obtained from the UCS and triaxial tests have shown that, despite having the  
521 same amount of calcite crystals, the engineering response of treated sand varies  
522 significantly, mainly because of the different location of the calcite deposited. The  
523 calcite crystals formed under lower degree of saturation showed that more crystals are  
524 formed in the contact points, which contributed to the strength of the cemented samples.

## 525 **6 Acknowledgement**

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598

**Table 1.** Mix proportions of Portland cement samples.

<b>Mix ID</b>	<b>Cement (g)</b>	<b>Sand (g)</b>	<b>Water (mL)</b>	<b>Density (g/cm<sup>3</sup>)</b>
<b>1</b>	40			
<b>2</b>	56			
<b>3</b>	72	580	124	1.93±0.01
<b>4</b>	84			

599

600 **Figure Captions:**

601

602 **Figure 1.** Grain size distribution curves for the sand used.

603 **Figure 2.** Variation of UCS with  $\text{CaCO}_3$  content and different saturation conditions for  
604 coarse sand.

605 **Figure 3.** Variation of stiffness with  $\text{CaCO}_3$  content and different saturation conditions  
606 for coarse sand.

607 **Figure 4.** Relationship between elastic modulus ( $E$ ) and  $q_{ucs}$  of the MICP treated silica  
608 sand compared with other geomaterials.

609 **Figure 5.** Relationship between rigidity and  $\text{CaCO}_3$  content for silica sand treated with  
610 MICP under different water saturation degree conditions.

611 **Figure 6.** Formation of  $\text{CaCO}_3$  crystals for samples treated at 100% saturation (note:  
612  $\text{CaCO}_3$  content = 0.143 g/g sand, UCS = 1 MPa).

613 **Figure 7.** Formation of  $\text{CaCO}_3$  crystals for samples treated at 20% saturation (note:  
614  $\text{CaCO}_3$  content = 0.057 g/g sand, UCS = 1.14 MPa).

615 **Figure 8.** Conceptual illustration of pore cementation solution distributed in the sand  
616 matrix under different saturation conditions.

617 **Figure 9.** Schematic diagram of two-dimensional meniscus between spherical particles:  
618 (a) water lens between two particles; and (b) simple two-dimensional geometrical  
619 illustration of hinge formation between two particles.

620 **Figure 10.** Results of mathematical model showing the correlation of the  $\text{CaCO}_3$   
621 content and volume of effective hinges within the soil matrix for coarse and fine sands  
622 ( $R_{CS}$  and  $R_{FS}$  represent the radii of the coarse and fine particles,  $N$  represents the  
623 number of particle spheres.).

624 **Figure 11.** Effect of saturation conditions on shear strength parameters of coarse silica  
625 sand having different amount of  $\text{CaCO}_3$ .

626 **Figure 12.** Effect of saturation conditions on shear strength parameters of fine silica  
627 sand having different amount of  $\text{CaCO}_3$ .

628 **Figure 13.** Permeability of cemented sand columns treated at different saturation  
629 conditions for: (a) coarse sand; and (b) fine sand.

630 **Figure 14.** UCS and permeability of sand samples cemented with bio-cement  $\text{CaCO}_3$   
631 (100% saturation) and Portland cement.

632 **Figure 15.** UCS of cemented fine sand samples before and after 10 cycles of Freeze-  
633 Thaw (FT) action (one cycle per day). (Note:  $\text{CaCO}_3$  content was about 0.06-0.065 g/g  
634 sand and Portland cement content was 0.096 g/g sand).



635 **Figure 16.** UCS and loss of weight of MICP cemented fine sand samples during the  
636 acid rain erosion experiments (Note: sand columns were treated under fully saturated  
637 condition with CaCO<sub>3</sub> content of about 0.1-0.105 g/g sand).

Fig. 1

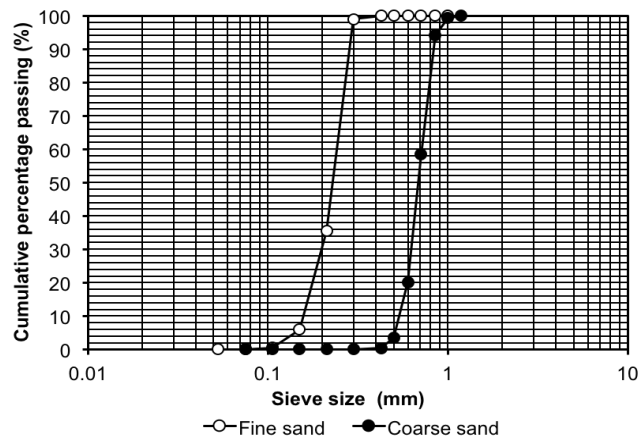


Fig. 2

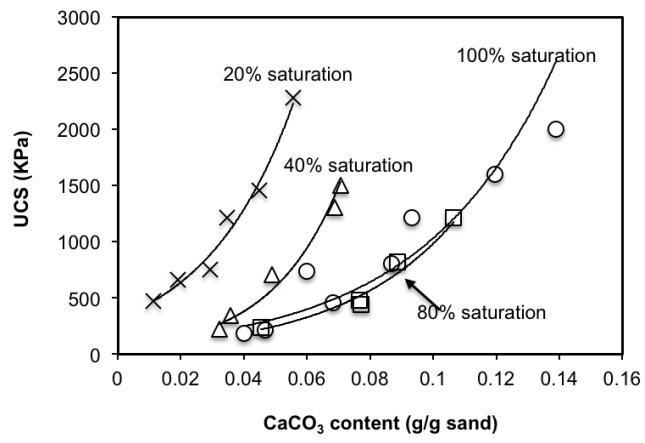


Fig. 3

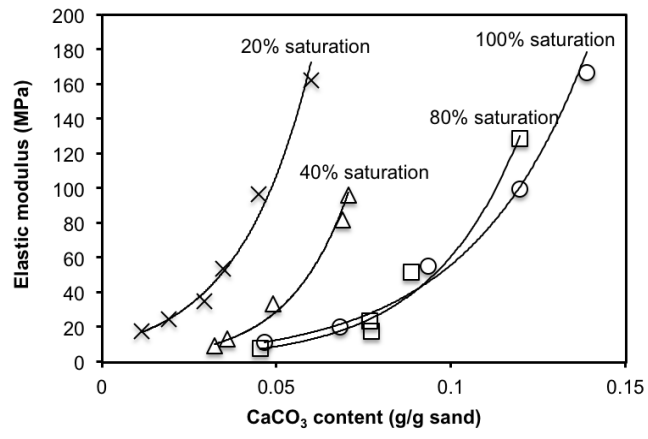




Fig. 5

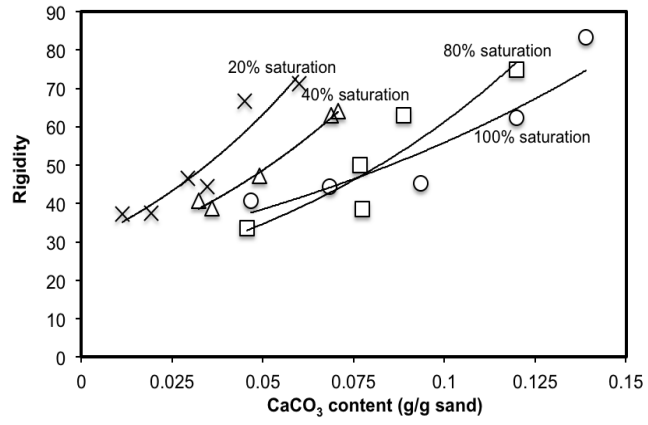


Fig. 6

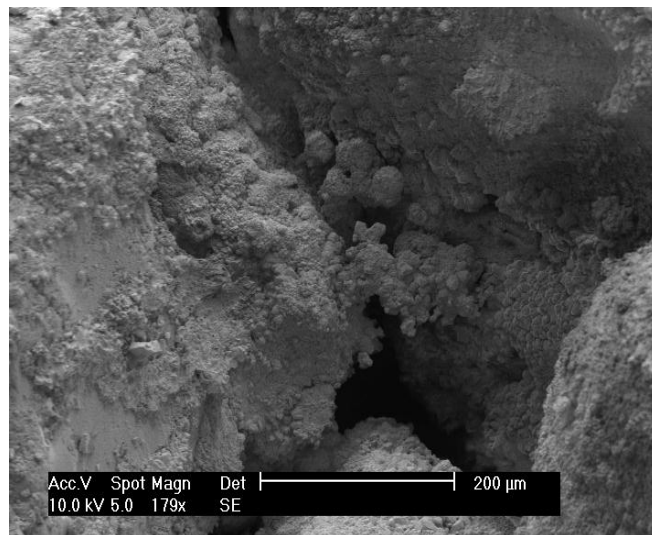
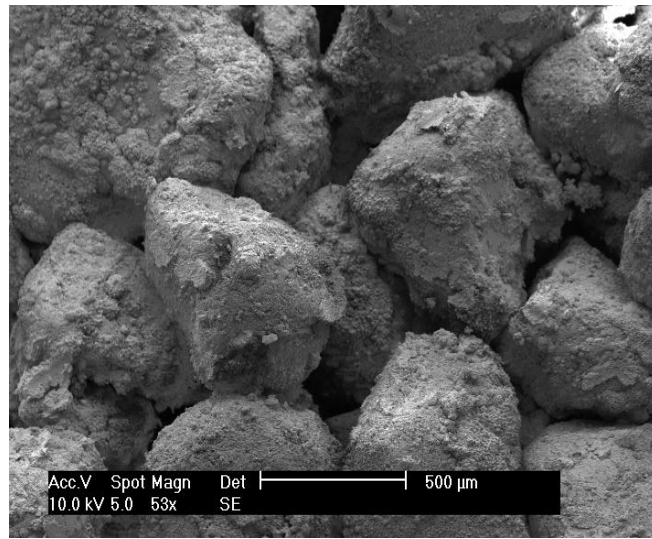


Fig. 7

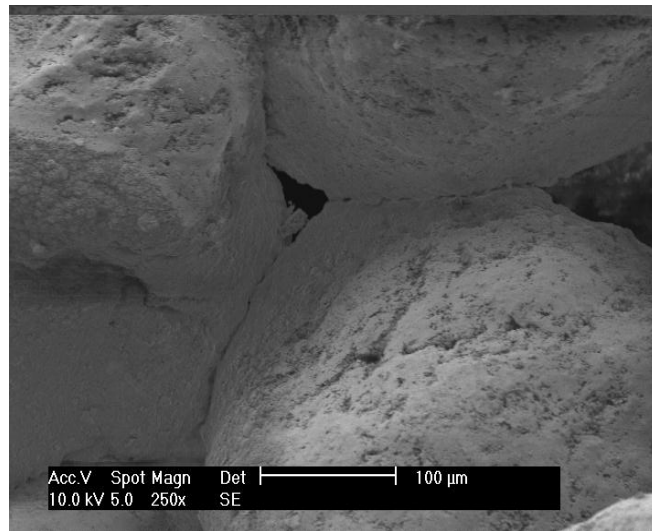
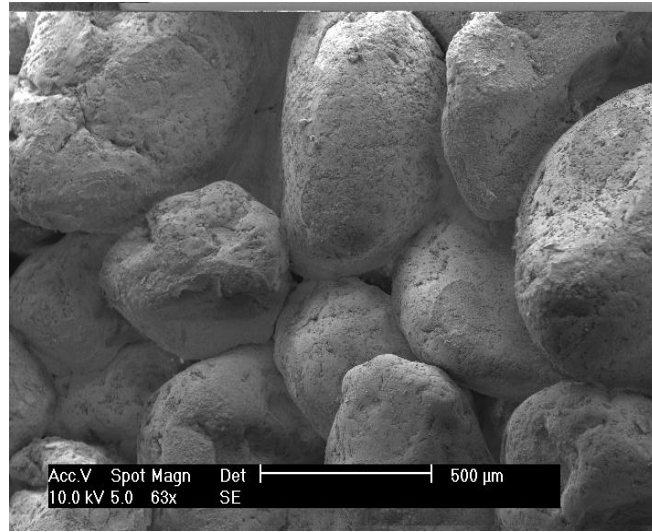




Fig. 8

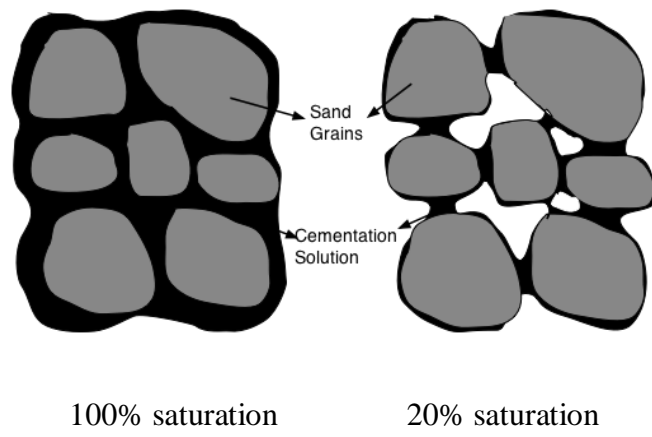


Fig. 9

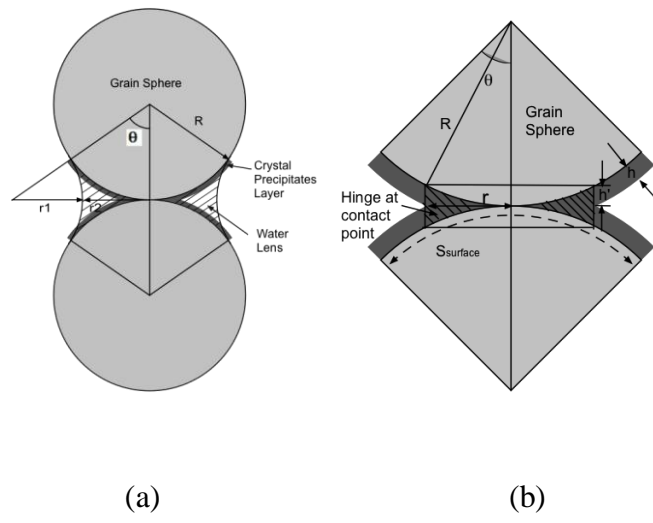


Fig. 10

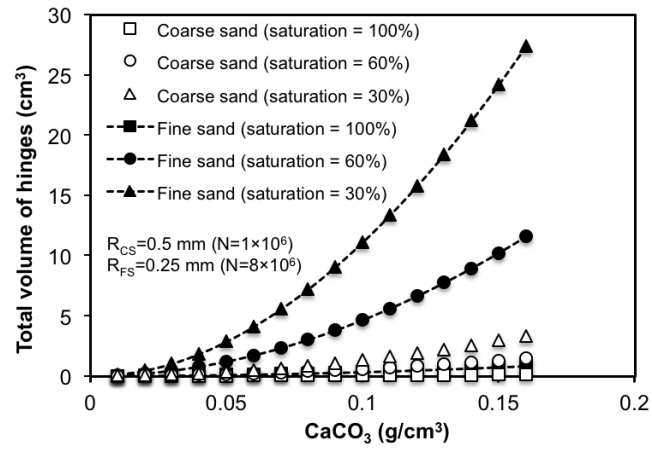


Fig. 11

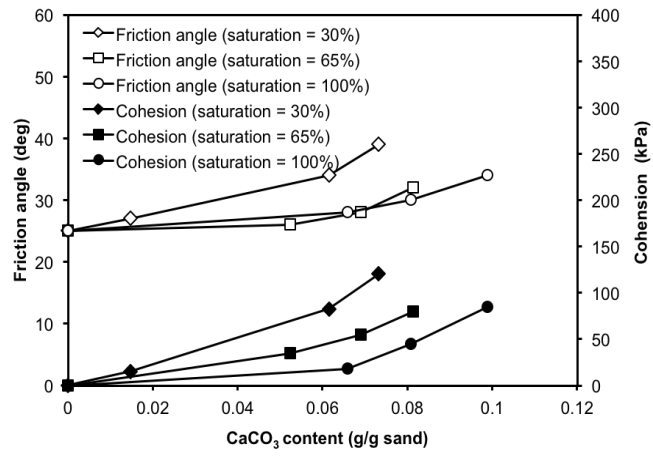


Fig. 12

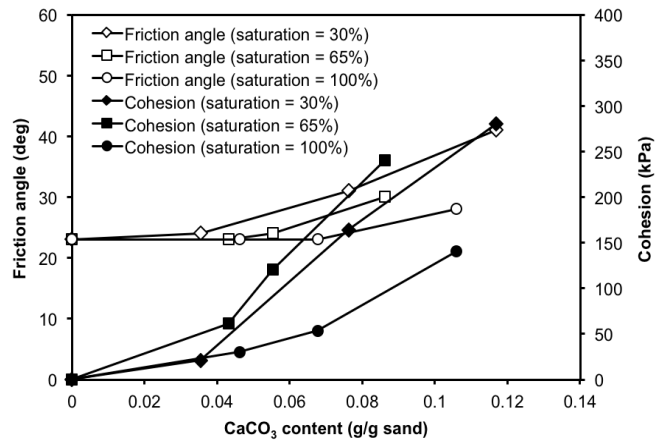


Fig. 13

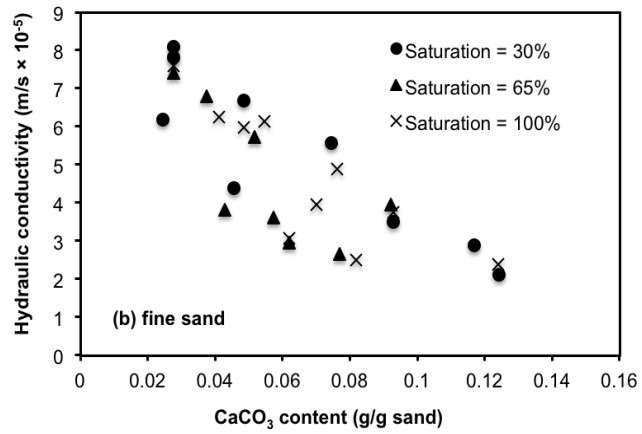
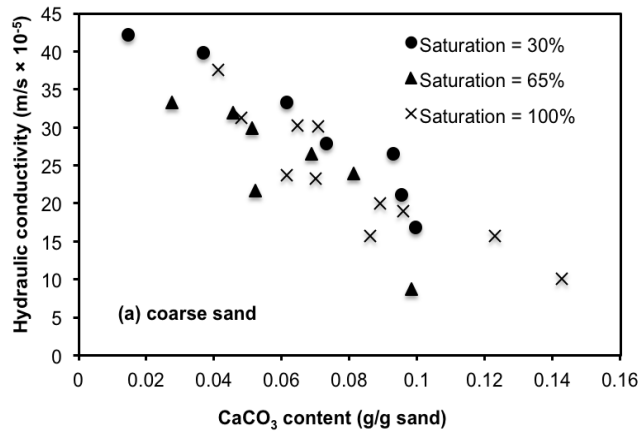


Fig. 14

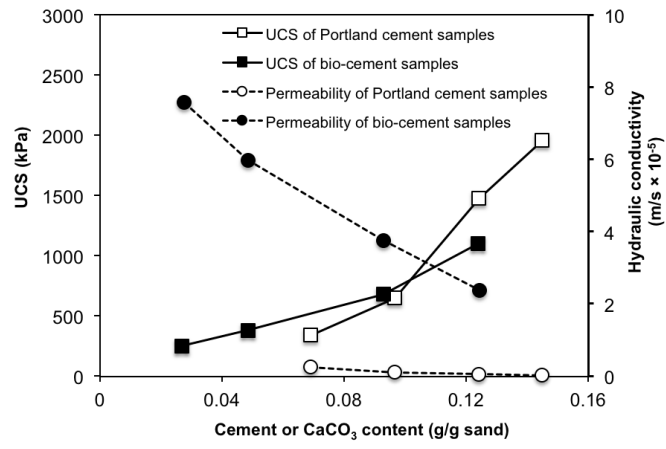


Fig. 15

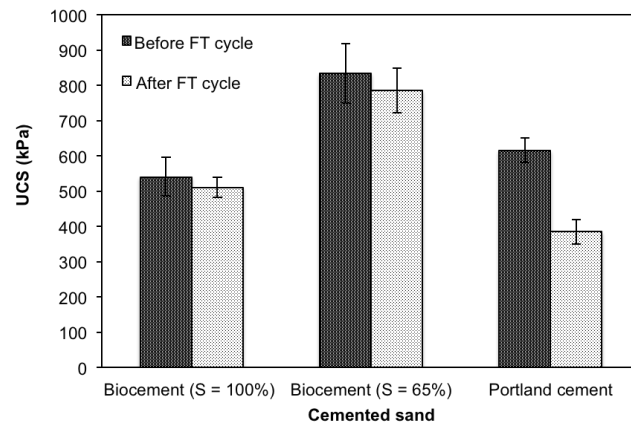




Fig. 16

