

Skewed association of polyfunctional antigen-specific CD8 T cell populations with HLA-B genotype

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Communicated by Anthony S. Fauci, National Institutes of Health, Bethesda, MD, August 21, 2007 (received for review March 29, 2007)

We studied CD8 T cell responses against HIV-1, cytomegalovirus, Epstein–Barr virus, and influenza in 128 subjects and demonstrate that polyfunctional CD8 T cell responses, also including IL-2 production and Ag-specific proliferation, are predominantly driven by virus epitopes restricted by HLA-B alleles. Interestingly, these protective CD8 T cells are equipped with low-avidity T cell receptors (TCRs) for the cognate virus epitope. Conversely, HLA-A-restricted epitopes are mostly associated with “only effector” IFN- γ -secreting, with cytotoxicity, and with the lack of IL-2 production and Ag-specific proliferation. These CD8 T cells are equipped with high-avidity TCR and express higher levels of the T cell exhaustion marker PD-1. Thus, the functional profile of the CD8 T cell response is strongly influenced by the extent to which there is stimulation of polyfunctional (predominantly restricted by HLA-B) versus only effector (restricted by HLA-A) T cell responses. These results provide the rationale for the observed protective role of HLA-B in HIV-1-infection and new insights into the relationship between TCR avidity, PD-1 expression, and the functional profile of CD8 T cells.

HLA genotype | PD-1 expression | functional profile | T cell avidity

Certain functions, such as the proliferation capacity and the secretion of IL-2, appear to be associated with effective T cell responses (1–6). On the basis of the analysis of IL-2 and IFN- γ , three functionally distinct populations of Ag-specific CD4 T cells (single IL-2, dual IL-2/IFN- γ , and single IFN- γ) and two functionally distinct populations of CD8 T cells (dual IL-2/IFN- γ and single IFN- γ) have been identified (1, 2, 5–9), and the presence of IL-2-secreting T cells was consistently associated with the Ag-specific proliferation capacity (1, 4–6). Recently, the term “polyfunctional” has been used to define T cell responses that, in addition to typical effector functions such as secretion of IFN- γ , TNF- α , and MIP-1 β and cytotoxic activity, comprise distinct T cell populations also able to secrete IL-2 and that retain Ag-specific proliferation capacity (7). The term “only effector” defines T cell responses/populations able to secrete cytokines such as IFN- γ , TNF- α , and MIP-1 β and endowed with cytotoxic activity but lacking IL-2 and proliferation capacity (7). Of interest, several studies have demonstrated that polyfunctional, and not only effector, T cell responses were associated with protective antiviral immunity (1–3, 5–9).

Several studies have clearly demonstrated the importance of HLA genotype in influencing the evolution of HIV and the progression of HIV-associated disease. In particular, certain HLA-B alleles are most closely associated with nonprogressive disease and low viral load or disease and have a dominant involvement on the clinical course of HIV-associated disease (10, 11).

Because polyfunctional CD8 T cell responses are associated with protective antiviral immunity and nonprogressive HIV disease and because HLA-B influences the outcome of HIV disease, it was of interest to investigate the relationship between HLA-B and polyfunctional responses to determine whether HLA-B influenced the

generation of polyfunctional CD8 T cell responses. To test the hypothesis, we performed a comprehensive four-digit characterization of HLA genotype and of virus-specific CD8 T cell responses against HIV-1, CMV, EBV, and influenza (Flu) in 128 subjects comprising 69 HIV-negative, 50 HIV-1-infected subjects with chronic progressive infection, and 9 HIV-1-infected subjects with nonprogressive disease.

Results

CD8 T cell responses were studied in a cohort of 50 HIV-1-chronically infected subjects with progressive disease 1 year after initiation of antiviral therapy. The 50 subjects had gag-specific CD8 T cell responses. We performed four-digit HLA genotype, and it is important to mention that the proportion of HLA alleles associated with low relative hazards of progression (10) in the study population was not increased over what are the expected natural frequencies in a white Caucasian population (2 of 50 with HLA-B*5701 and 6 of 50 with HLA-B*2705). Furthermore, the long-term nonprogressors (LNTPs) were not a subset of the main population studied.

The initial epitope characterization was performed on the basis of potential epitopes inferred from the HLA genotype (12, 13). HLA restrictions and gag-derived peptides inducing specific responses are shown in [supporting information \(SI\) Table 1](#). On the basis of this analysis, 20 subjects showed polyfunctional CD8 T cell responses, and 30 subjects showed only effector responses. Representative examples of typical polyfunctional CD8 T cell responses, as indicated by the presence of dual IL-2/IFN- γ , single IFN- γ , proliferating, and CD8 T cells with degranulation activity, and of only effector CD8 T cells, as indicated by the presence of single IFN- γ and CD8 T cells with degranulation activity but lacking proliferation capacity and IL-2 secretion, are shown in [Fig. 1](#).

CD8 T cell responses against 82 gag epitopes and 39 peptide–HLA associations were identified. The number of responses induced by individual gag epitopes and their frequency of response are shown in [SI Table 2](#). The HLA restrictions and epitope mapping were experimentally assessed using HLA matched and mismatched BCL in 16 of the 39 gag-specific peptide–HLA associations identified ([SI Fig. 7](#)). The HLA class I genotype of the patients and of the HLA-matched and mismatched BCL used to confirm HLA restrictions are shown in [SI Table 3](#). Epitopes were randomly

Author contributions: A.H. and C.C. contributed equally to this work; A.H., C.C., O.B., S.M., and G.P. designed research; A.H., C.C., F.B.E., J.K., L.C., G.T., E.C., and S.G. performed research; A.H., C.C., F.B.E., O.B., I.J., M.J., R.W., and S.M. analyzed data; and A.H., O.B., and G.P. wrote the paper.

The authors declare no conflict of interest.

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Abbreviations: Flu, influenza virus; LNTNP, long-term nonprogressors; TCR, T cell receptor.

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This article contains supporting information online at www.pnas.org/cgi/content/full/0707570104/DC1.

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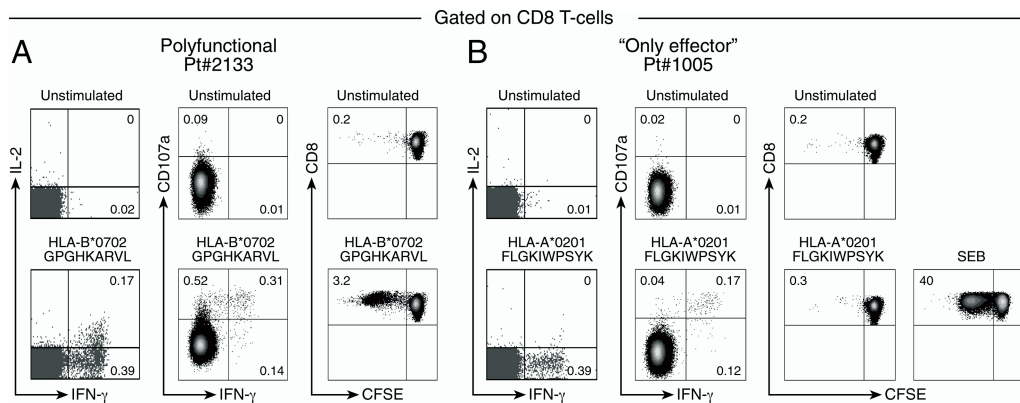


Fig. 1. Functional profile of HIV-1-specific CD8 T cell populations. (A) Representative flow cytometry profiles of a polyfunctional response defined by IL-2 and IFN- γ secretion, degranulation activity (as measured by CD107a mobilization), and proliferation capacity (as measured by CFSE dilution). (B) Representative flow cytometry profiles of an only effector CD8 T cell response defined by IFN- γ secretion and degranulation activity and by the lack of IL-2 secretion and of proliferation capacity. Staphylococcal enterotoxin serotype B (SEB) was used as positive control.

selected. The HLA restrictions inferred from the literature were experimentally confirmed (12, 13).

We then investigated the association between HLA-A/B alleles and both only effector and polyfunctional CD8 T cell responses. The large majority (33 of 42, 79%) of epitopes restricted by HLA-A were associated with only effector CD8 T cell responses compared with only 9 of 42 (21%) epitopes associated with polyfunctional responses (Fig. 2A). Of interest, $\approx 45\%$ of epitopes restricted by HLA-B were associated with polyfunctional CD8 T cell responses, and 55% were associated with only effector CD8 T cell responses (Fig. 2A). The differences in the association between HLA-A and HLA-B and polyfunctional CD8 T cell responses were highly significant ($P = 0.012$).

We then determined whether there was a different distribution of HLA alleles within subjects with polyfunctional and only effector responses and a preferential association between certain HLA alleles and polyfunctional CD8 T cell responses. There were no significant differences in the distribution of HLA-A alleles. With regard to HLA-B alleles, HLA-B27 was significantly enriched in subjects with polyfunctional responses and HLA-B35 was significantly enriched in those with only effector responses (SI Fig. 8).

In-depth analysis of the total 82 gag-specific responses showed that amongst the 42 responses restricted by HLA-A, the polyfunctional gag-specific CD8 T cell responses were restricted by HLA-A*0201 ($n = 6$), HLA-A*0301 ($n = 2$), and HLA-A*3001 ($n = 1$) (Fig. 2B); among the 40 responses restricted by HLA-B, the

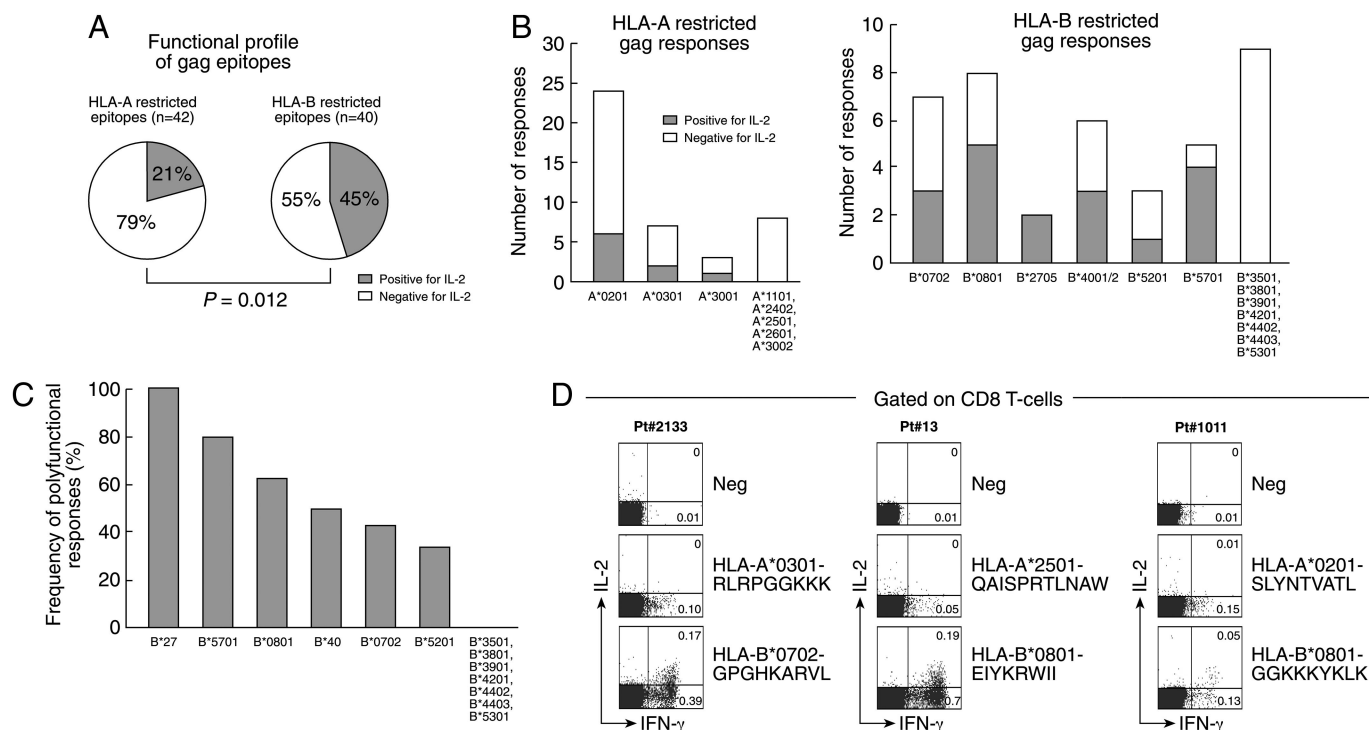


Fig. 2. Relationship between HLA-A and HLA-B and the functional profile of HIV-1-specific CD8 T cell populations. (A) Proportion of HIV-1 gag epitopes restricted by HLA-A and HLA-B inducing polyfunctional (positive for IL-2) and effector (negative for IL-2) CD8 T cell responses. (B) Association between HLA-A and HLA-B genotypes and polyfunctional CD8 T cell responses. (C) Hierarchy within HLA-B alleles in their association with polyfunctional responses. (D) Analysis of HLA-A restricted only effector and HLA-B restricted polyfunctional gag-specific CD8 T cell responses within the same blood samples. IL-2 and IFN- γ secretion was assessed in three patients selected on the basis of the simultaneous presence of polyfunctional and only effector responses restricted by HLA-B and HLA-A alleles, respectively.

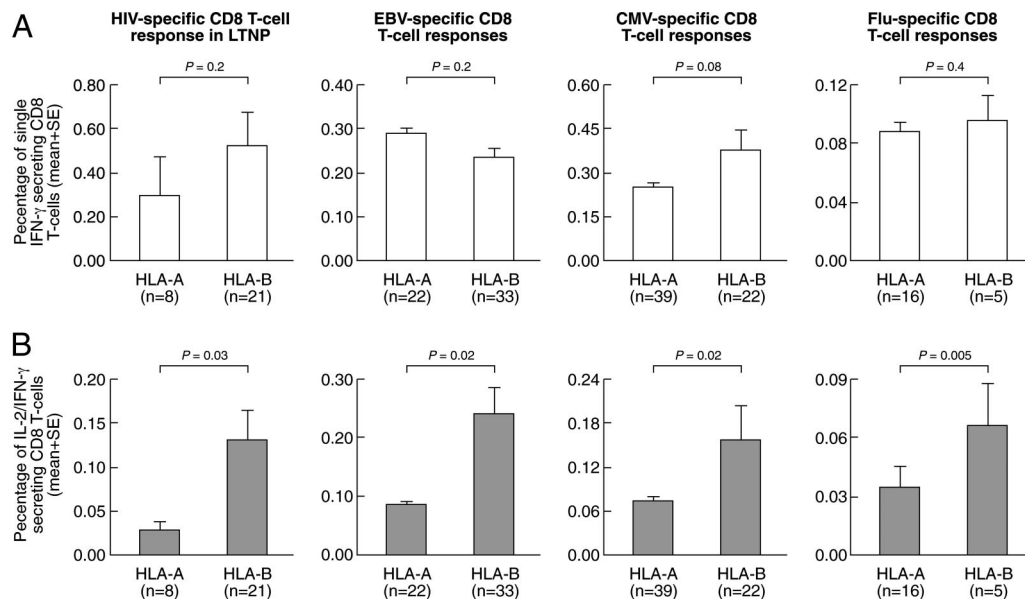


Fig. 3. Influence of HLA-B on CD8 T cell responses against CMV, EBV, Flu, and HIV-1 in LTNP. Cumulative data on the percentage of single IFN- γ and dual IL-2/IFN- γ CD8 T cells after stimulation with HIV-1-, CMV-, EBV-, and Flu-derived peptides restricted by HLA-A and HLA-B are shown. Cumulative percentage data are expressed as the mean \pm SE.

polyfunctional gag-specific CD8 T cells were restricted by a panel of HLA-B genotypes, including HLA-B*0702 ($n = 3$), HLA-B*0801 ($n = 5$), HLA-B*2705 ($n = 2$), HLA-B*4001/2 ($n = 3$), HLA-B*5201 ($n = 1$), and HLA-B*5701 ($n = 4$) (Fig. 2B). Although HLA-B alleles, such as HLA-B57 and -B27, which have been shown to be associated with slow disease progression to AIDS (10), were associated with polyfunctional responses, it is important to underscore that they are not solely responsible for the preferential association between HLA-B and polyfunctional responses. In fact, if HLA-B57 and -B27 were not included in the analysis, then the difference between HLA-A and HLA-B regarding the association with polyfunctional responses was lost ($P = 0.59$). Similarly, the removal of other HLA-B alleles from the analysis led to a loss of association between HLA-B and polyfunctional responses as well ($P = 0.13$). Therefore, the data do not support the effects of specified single HLA-B alleles and rather indicate a broader, more generic effect of HLA-B group alleles and the presence of a hierarchy within HLA-B alleles in their association with polyfunctional responses (Fig. 2C).

In further support of this hypothesis, we analyzed HLA-A- and HLA-B-restricted CD8 T cell responses for polyfunctionality in the same blood sample in three patients. We consistently observed that HLA-B-restricted epitopes induced polyfunctional CD8 T cell responses, whereas HLA-A restricted epitopes only effector CD8 T cell responses (Fig. 2D).

A slight difference in viral load and not in CD4 T cell counts between the 20 subjects with polyfunctional and the 30 subjects with only effector CD8 T cell responses (4.54 ± 0.12 vs. 4.86 ± 0.11 Log RNA copies per milliliter, $P = 0.04$; 588 ± 60 vs. 515 ± 59 CD4 T cells per microliter, $P = 0.2$, respectively) was present before therapy. However, virus replication was effectively suppressed in both groups after antiviral therapy.

Taken together, these results indicate that HLA-B genotype influenced the generation of polyfunctional profile of CD8 T cells. They also confirmed that suppression of viral load was not sufficient for the generation of polyfunctional responses because only HLA-B responses, and not HLA-A-restricted responses, were associated with polyfunctional responses within the same patient.

We then investigated the importance of HLA-B in (i) shaping the CD8 T cell response in HIV-1-infected individuals with nonpro-

gressive disease, i.e., LTNPs, and (ii) in virus-specific CD8 T cell responses other than HIV. A large number of virus-specific (HIV-1-specific in LTNPs, CMV-, EBV- and Flu-specific) CD8 T cell responses restricted by HLA-A ($n = 85$) or HLA-B ($n = 81$) were then investigated (CMV-, EBV- and Flu-specific epitopes are listed in SI Table 4). After Ag-specific stimulation, the percentage of dual IL-2/IFN- γ -secreting cells, but not of single IFN- γ -secreting cells, was significantly higher in the epitopes restricted by HLA-B compared with HLA-A in all four models of virus-specific CD8 T cell response (Fig. 3). These results indicate that HLA-B has a general role in governing the generation and the magnitude of polyfunctional CD8 T cell responses.

We then characterized eventual differences in the responsiveness of CD8 T cell populations restricted by HLA-A and HLA-B. For these purposes, we investigated the T cell receptor (TCR) avidity for the cognate epitope of polyfunctional and only effector CD8 T cell populations. The TCR avidity was determined in CMV- and EBV-specific CD8 T cells obtained from HIV-negative healthy donors and in HIV-1-specific CD8 T cells obtained from subjects with progressive disease.

TCR avidity was initially analyzed in HLA-A vs. HLA-B restricted CD8 T cell responses. Subject nos. 524 and 525 had an EBV-specific CD8 T cell response against the IVTDFSVIK and RAKFKQLL peptides restricted by HLA-A11 and by HLA-B8, respectively. Patients no. 8 and no. 12 had an HIV-1-specific CD8 T cell response against the FLGKIWPYSYK and ISPRTLNAW peptides restricted by HLA-A2 and by HLA-B57, respectively. Following Ag-specific stimulation with decreasing peptide concentration and assessment of total IFN- γ secreting CD8 T cells, there was a rapid loss in the percentage of RAKFKALL- and ISPRTLNAW-specific CD8 T cells restricted by HLA-B8 and HLA-B57 compared with IVTDFSVIK- and FLGKIWPYSYK-specific CD8 T cells restricted by HLA-A11 and HLA-2 (Fig. 4A). The significant difference between CD8 T cell responses restricted by HLA-A and HLA-B in the avidity for the cognate epitopes was confirmed in a larger number of responses (Fig. 4B and C).

We then analyzed TCR avidity on the two functionally distinct CD8 T cell populations, i.e., dual IL-2/IFN- γ and single IFN- γ (representative subject no. 525 is shown). As shown in Fig. 5, after Ag-specific stimulation with decreasing peptide concentration and

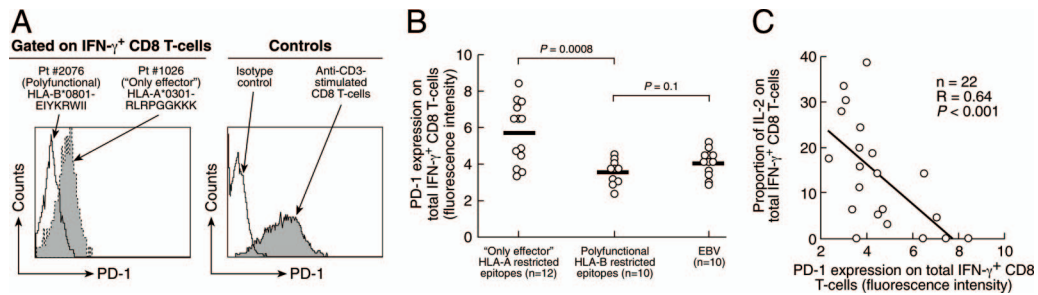


Fig. 6. PD-1 expression. (A) Representative flow cytometry profiles of PD-1 expression on HLA-B restricted polyfunctional and HLA-A restricted only effector HIV-1-specific CD8 T cells. PD-1 expression was assessed on the total IFN- γ secreting CD8 T cells. PD-1 expression on CD8 T cells after anti-CD3 stimulation was used as control. (B) Cumulative data on the expression of PD-1 in the total IFN- γ secreting CD8 T cells in HIV-infected subjects with HIV-1-specific HLA-B and HLA-A restricted responses and in HIV-negative subjects with EBV-specific responses are also shown. (C) Correlation between the proportion of HIV-1-specific IL-2 secreting CD8 T cells and PD-1 expression.

plaining the protective role of HLA-B reported in virus infection such as HIV (10, 11) and more recently HCV (19). In this regard, it is currently debated whether the protective effect is limited to few alleles such as HLA-B57 and HLA-B27 or whether it is a broader effect of HLA-B alleles. Our observations regarding polyfunctional responses to HIV-1, EBV, CMV, and Flu are not explained simply by the effect of specified single HLA-B alleles but by a broader, more generic effect of HLA-B group alleles. Although certain HLA-B alleles, such as HLA-B27 and HLA-B57, associated with low relative hazard to AIDS, were enriched in subjects with polyfunctional gag-specific CD8 T cell responses, these responses were not sufficient to support the significant difference between HLA-A and HLA-B alleles in selecting for polyfunctional CD8 T cell responses. As previously shown for the association between HLA-B alleles and low relative hazard to AIDS (11), the present results indicate a hierarchy within HLA-B alleles in influencing the generation of polyfunctional CD8 T cell responses. HLA-B alleles such as HLA-B57 and -B27 were associated with polyfunctional responses in $\approx 100\%$ of cases, HLA-B7, -B40, and -B8 were associated with polyfunctional responses in $\approx 50\%$, and HLA-B52 was associated with polyfunctional responses in $\approx 30\%$ of cases.

Our TCR avidity results provide new insights into understanding the relationship between TCR avidity of CD8 T cells, their functional profile, and disease control in chronic virus infections. A recent study (18) failed to show a difference in TCR avidity between HLA-A- and HLA-B-restricted epitopes in HIV-1 infection. However, there was no functional characterization of only effector and polyfunctional responses. Our results indicate that polyfunctional CD8 T cell populations are equipped with low-avidity TCR compared with only effector CD8 T cell populations. However, it is worth mentioning that, to measure TCR avidity, we have used reference strain sequences of the epitopes for stimulation. Therefore, we cannot exclude that, in some instances, the peptide sequences used do not perfectly correspond to the sequences of the circulating virus *in vivo*. However, we have shown the same phenomenon also for responses to other virus infections in which sequence changes and partial escape from recognition are highly unlikely and in which it is also unlikely that changes in the epitope sequences of the circulating virus would occur more frequently for HLA-B- than for HLA-A-restricted epitopes.

Because polyfunctional CD8 T cell responses have been clearly shown to be associated with better disease control in chronic virus infections in humans and mice, these results may appear to be somewhat in conflict with the current paradigm of higher avidity corresponding to greater efficacy of the CD8 T cell response (20–22). This paradigm is predominantly based on the observation that higher avidity cytotoxic CD8 T cells have superior antitumor activity and mediate effective antiviral activity during the acute phase of viral infection (20–22).

It is not our intention to challenge the relationship between higher avidity CD8 T cells and superior antitumor and antiviral activity. However, we want to propose that the superiority of higher avidity CD8 T cells may be substantially undermined under conditions of Ag persistence and high levels of viral load. This hypothesis is supported by two observations: (i) higher functional avidity of CD8 T cells is most strongly associated with escape mutations (23–25) in both SIV and HIV infections, and (ii) higher avidity CD8 T cells are more susceptible to activation-induced cell death and exhaustion (26, 27). Our results indicate that only effector CD8 T cell populations were equipped with high-avidity TCR and expressed higher PD-1 levels, further supporting our hypothesis that these cells are at risk of exhaustion and thus that their antiviral activity may be substantially impaired.

Polyfunctional CD8 T cell populations were equipped with low-avidity TCR and expressed low PD-1 levels. Although their intrinsic antiviral activity is likely inferior to the higher avidity CD8 T cell populations, it is possible that, under conditions of Ag persistence and high Ag load, they may be more suitable for mediating at least partial viral control. Because of being equipped with low-avidity TCR, polyfunctional CD8 T cell populations are less susceptible to exhaustion and less efficient in driving escape mutants. In support of the hypothesis that low-avidity TCR polyfunctional CD8 T cells may be more efficient under conditions of Ag persistence, recent studies dealing with antitumor activity during tumor persistence showed that tumor control was associated with cytotoxic CD8 T cells equipped with low-avidity TCR (28) and the accumulation of low-avidity antimelanocortin receptor 1 CD8 T cells in benign skin lesions of melanoma-related depigmentation (29). Similarly, polyfunctional CD8 T cells are invariably found in chronic virus infections with effective virus control, such as HIV-1 infection in LTNP, CMV, and EBV infections. Furthermore, IL-2/IFN- γ -secreting CD8 T cells have been shown to mediate effective antiviral activity comparable with typical effector CD8 T cells in the LCMV model (30).

Therefore, notwithstanding the intrinsic superior antiviral activity of higher avidity TCR CD8 T cells, low-avidity TCR polyfunctional CD8 T cells may be more fit in providing disease control during chronic virus infection.

Finally, these results may provide insights into the development of strategies for the design of T cell vaccines that may select predominantly for the generation of polyfunctional CD8 T cell responses.

Materials and Methods

Study Groups. Fifty subjects with progressive chronic HIV-1 infection were recruited in this study. All patients were enrolled in clinical trials, receiving antiviral therapy with nucleoside and protease inhibitors (31). At baseline (before the initiation of antiviral therapy), the median CD4 T cell count was 411 cells per microliter,

and the median HIV-1 plasma viremia was 4.67 Log RNA copies per milliliter. One year after initiation of antiviral therapy, median CD4 T cell count were 621 cells per microliter, and median plasma viremia was 20 HIV-1 RNA copies per milliliter. A modified PCR assay with a limit of detection of 20 copies (32) was used in patients with levels of viremia <50 copies. Nine HIV-1-infected patients with nonprogressive disease, i.e., LTNP, as defined by documented HIV-1 infection since >14 years, stable CD4 T cell counts >500 cells per microliter (median: 1,302), and plasma viremia <1,000 HIV-1 RNA copies per milliliter (median: 17) were also included. In addition, blood from 69 HIV-negative subjects was obtained either from the local blood bank (Lausanne, Switzerland) or from laboratory coworkers. The studies were approved by the Institutional Review Board of the Centre Hospitalier Universitaire Vaudois and informed consent was obtained from each patient.

Synthetic Peptides. All of the peptides used in this study were HPLC purified (>80% purity). Fine epitope mapping was performed on the predicted HLA genotype according to the Los Alamos database (12, 13) and on the HLA class I genotype of the patients. On the basis of these analyses, 32 HLA class I restricted gag epitopes were identified (SI Table 2). Furthermore, a set of peptides ($n = 28$) most frequently recognized in CMV, EBV, and Flu infection were used to assess the functional profile of virus-specific CD8 T cell responses (33, 34).

Detection of IFN- γ and IL-2 Secretion (ICS). Cryo-preserved blood mononuclear cells ($1-2 \times 10^6$) were stimulated overnight as described in ref. 6. At the end of the stimulation period, cells were washed, permeabilized, and then stained with CD8-PerCP-Cy5.5, CD4-FITC, IFN- γ -APC, and IL-2-PE (BD Pharmingen, San Diego, CA). For PD-1 expression, cells were stained with anti-PD-1-FITC, CD8-PerCP-Cy5.5, and IFN- γ -APC antibodies (BD Pharmingen). Data were acquired on a FACScalibur or on an LSR II and were analyzed using CellQuest and DiVa softwares (BD Pharmingen), respectively. The number of lymphocyte-gated events ranged between 10^5 and 10^6 in the flow cytometry experiments shown. With regard to the criteria of positivity of ICS, the background in the unstimulated controls never exceeded 0.01% to 0.02%. To be considered positive, an ICS had to have a background <20% of the total percentage of cytokine-positive cells in the stimulated samples.

Functional Avidity. The stimulation was performed as described in ref. 6. The functional avidity of responses was assessed by perform-

ing limiting peptide dilutions and determining the peptide concentration required to induce half-maximal responses in *in vitro* assays (18). Peptides were added in serial dilutions ranging from 1 μ g/ml to 1 pg/ml to ICS assays as described above. Both IFN- γ and IL-2-secreting CD8 T cells were measured. EC₅₀ was determined as the peptide concentration needed to achieve a half-maximal response.

Degranulation Activity (CD107a Mobilization). Cryo-preserved blood mononuclear cells ($1-2 \times 10^6$) were stimulated for 6 h in 1 ml of complete media (RPMI + 10% FBS) in the presence of Golgistop (1 μ l/ml; BD Pharmingen), Golgiplug (1 μ l/ml; BD Pharmingen), α CD28 Ab (0.5 μ g/ml; BD Pharmingen), α CD107a-PE (pretitrated volume; BD Pharmingen) and 1 μ g/ml peptide as described in ref. 7. SEB stimulation (200 ng/ml) served as positive control. At the end of the stimulation period, cells were washed, permeabilized, and stained as described in ref. 7. The following Abs were used in combination: CD14-FITC, CD16-FITC, CD19-FITC, CD69-PerCP, IFN- γ -APC, CD3-ECD, and CD8-Pacific blue.

Ex Vivo Proliferation Assay. Ag-specific proliferation was determined using 5,6-carboxyfluorescein succinimidyl ester (CFSE) (Molecular Probes, Eugene, OR) as described in ref. 6. At day 5, cells were harvested and stained with CD4-PerCP-Cy5.5 (BD Pharmingen) and CD8-APC (BD Pharmingen). Cells were fixed with CellFix (BD Pharmingen) and acquired on an LSR II (BD Pharmingen).

HLA Class I Typing. Four-digit HLA class I genotyping was performed by direct sequencing methods as described in ref. 35. The data were analyzed and alleles were assigned using Assign-SBT version 3.5 (Conexio Genomics, Applecross, Australia).

Statistical Analysis. Statistical analysis was done using GraphPad (San Diego, CA) Prism version 3.0 and Excel (Microsoft, Redmond, WA). Statistical significance (P values) of the results was calculated by two-tailed t test. A two-tailed P value of <0.05 was considered significant. The correlations among variables were tested by least-squares regression analysis. The association between HLA-A/B alleles and both only effector and polyfunctional CD8 T cell responses on the 82 gag epitopes was determined by χ^2 test ($\alpha = 0.05$).

This work was supported by Swiss National Science Foundation Research Grant FN 3100-66788.

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