

Role of CD4 Epitopes outside the gp120-Binding Site during Entry of Human Immunodeficiency Virus Type 1

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CD4 is the primary receptor for human immunodeficiency virus (HIV). The binding site for the surface glycoprotein of HIV type 1 (HIV-1), gp120, has been mapped to the C'-C'' region of domain 1 of CD4. Previously, we have shown that a mutant of rat CD4, in which this region was exchanged for that of human CD4, is able to mediate infection of human cells by HIV-1, suggesting that essential interactions between HIV and CD4 are confined to this region. Our observations appeared to conflict with mutagenesis and antibody studies which implicate regions of CD4 outside the gp120-binding site in postbinding events during viral entry. In order to resolve this issue, we have utilized a panel of anti-rat CD4 monoclonal antibodies in conjunction with the rat-human chimeric CD4 to distinguish sequence-specific from steric effects. We find that several antibodies to rat CD4 inhibit HIV infection in cells expressing the chimeric CD4 and that this is probably due to steric hinderance. In addition, we demonstrate that replacement of the rat CDR3-like region with its human homolog does not increase the affinity of the rat-human chimeric CD4 for gp120 or affect the exposure of gp41 following binding to CD4, providing further evidence that this region does not play a crucial role during entry of virus.

The primary receptor for human immunodeficiency virus type 1 (HIV-1) is CD4 (13, 27), a differentiation marker expressed on the surface of T lymphocytes, on a certain population of dendritic cells, and, to a lesser extent, on macrophages (44). CD4 is a member of the immunoglobulin (Ig)-like superfamily; its extracellular portion is comprised of four Ig domains (4, 45, 53). The binding site for HIV gp120 has been mapped to the second complementarity-determining region (CDR2)-equivalent region of the amino-terminal domain of CD4 by use of monoclonal antibodies (MAbs) (32, 47) and mutagenesis (1, 2, 6, 10, 33, 39, 49).

Binding of HIV to CD4 induces conformational changes in the envelope glycoproteins that lead to fusion of the virus and cellular membranes (35, 36, 48). Regions of CD4 outside the gp120-binding site have been implicated in these postbinding events. In particular, the F-G turn, or CDR3-equivalent region, of domain 1 (D1) has been implicated by numerous authors (3, 8, 26, 29, 30, 38, 52). However, the weight of evidence now suggests that this region is not involved in postbinding events (5, 34, 43, 51).

MAbs to regions of human CD4 distant from the gp120-binding site have been used in an effort to distinguish epitopes of CD4 that are involved in binding gp120 from epitopes that may be involved in postbinding events. Notably, MAbs against CD4 D1 (12, 52), D2 (7, 37), D3 (24), and D3D4 (23) have all

been shown to inhibit virus infection, apparently with little or no effect on virus binding.

Previously we generated a mutant of rat CD4 which binds gp120 (49) and acts as an efficient receptor for HIV-1 (51), implying that regions of CD4 outside the gp120-binding site are unlikely to be essential for HIV interaction. In this study, we investigated the ability of a panel of anti-rat CD4 MAbs, none of which bind human CD4, to influence HIV infection of cells expressing this chimeric receptor. We show that MAbs which inhibit virus entry in this system bind epitopes of rat CD4 analogous to those of human CD4 bound by inhibitory anti-human CD4 MAbs. Furthermore, we show that although these MAbs have little effect on the binding of monomeric recombinant gp120 to cell surface CD4, the MAbs directed to D1 and D2 are unable to form a ternary complex with a soluble form of the receptor bound to oligomeric envelope protein (Env) on the surface of HIV-infected cells. We conclude that the principal mechanism by which D1 CDR3- and D2-directed MAbs inhibit HIV infection is by the inhibition of appropriate binding of CD4 to Env oligomers in the crowded environment of the virion and virus-infected cells.

MATERIALS AND METHODS

Cell lines. BHK-21 cells (31), HeLa cells (21), HeLa m6 cells (expressing the rat-human chimeric CD4 molecule mutant [m6] [51]), and the HIV-1 Env-expressing HeLa cell line H32 (obtained from Lee Bachelier, DuPont Co., Wilmington, Del.) were cultured in Dulbecco modified Eagle medium (Gibco BRL) containing 10% (vol/vol) fetal bovine serum (FBS) (Gibco BRL), 2 mM L-glutamine (Sigma), and antibiotics (50 U of penicillin per ml, 50 µg of streptomycin per ml, and 100 µg of neomycin per ml). In addition, HeLa m6 and H32 cells were maintained in 0.6 mg of Geneticin G418 (Sigma) per ml. C8166 cells (46) and H9 cells (40) were obtained from the National Institutes of Health AIDS Research and Reference Reagent Program and were maintained in RPMI 1640 medium (Gibco BRL) with 10% FBS, L-glutamine, and antibiotics.

Preparation of soluble and truncated forms of CD4. Soluble forms of CD4 consisting of either D1 and D2 [sCD4(d1+2)], D3 and D4 [sCD4(d3+4)], or the four extracellular domains of rat CD4 (sCD4) were produced from Chinese

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hamster ovary (CHO) cell lines transfected with the modified cDNA for rat CD4 by using the glutamine synthetase selection system (4, 15).

Production of anti-rat CD4 MAbs. BALB/c mice were immunized with 30 mg of sCD4 in complete Freund's adjuvant subcutaneously at an interval of 2 weeks. After 8 weeks, mice were boosted with 60 mg of sCD4 in phosphate-buffered saline (PBS). For the final boost prior to taking the splenocytes for fusion with NSO myeloma cells, a mixture of free sCD4 and sCD4 bound to cells was given intravenously. Anti-CD4 MAb W3/25 (18 mg) was coupled to 0.1 ml of a 5% suspension of sheep erythrocytes by the chromic chloride method. After being washed, the cells were incubated with excess sCD4 (100 mg) and washed once, and a further 10 mg of sCD4 was added prior to intravenous injection. The spleen was taken for fusion with the myeloma NSO cells as described previously (54). The fusion was plated into four 96-well microtiter plates in the presence of irradiated thymocyte feeders, and hypoxanthine-aminopterin-thymidine selection was applied. Supernatants were assayed after 10 days for binding to cells or sCD4 immobilized on polystyrene plates by enzyme-linked immunosorbent assay.

Analysis of anti-CD4 MAb binding to sCD4 and fragments. The anti-CD4 MAbs were analyzed by binding to sCD4 or fragments immobilized by passive adsorption to polystyrene plates and then reacting with ¹²⁵I-labelled rabbit anti-mouse (RAM) immunoglobulin G (IgG) polyclonal antiserum as described previously (16, 54) or with alkaline phosphatase-conjugated RAM IgG followed by color development with the alkaline phosphatase substrate 4-nitrophenylphosphate. In addition to use of direct binding, various preparations of sCD4 were tested for the ability to inhibit these binding assays.

Viruses. HIV-1_{IIIB} (42) was obtained from the National Institutes of Health AIDS Research and Reference Reagent Program and propagated by acute infection of C8166 cells. The culture supernatants were clarified, filtered through 0.45- μ m-pore-size filters, treated with 40 U of DNase I (Sigma) per ml for 30 min at room temperature to remove contaminating proviral DNA, and stored in 1-ml aliquots at -80°C.

Cocal virus (COC) (25) was obtained from Robert Shope (Yale Arbovirus Unit) and propagated in BHK-21 cells. COC(HIV) pseudotype virus was made by superinfecting acutely HIV-1_{IIIB}-infected H9 cells with COC, and pseudotype plaque assays were performed essentially as described previously (51). These pseudotypes have the genome of COC and the spike glycoproteins of HIV, permitting HIV Env-mediated penetration of cells by COC, which leads to formation of COC plaques. The amount of gp120-mediated entry is calculated as the difference between the number of plaques produced when cells are challenged with virus neutralized with anti-COC antiserum and the number of background plaques when cells are challenged with COC(HIV) neutralized with both anti-COC antiserum and anti-gp120 polyclonal antibody.

PCR-based HIV-1 entry assay. Entry of HIV-1 was detected by PCR and was quantified essentially as previously described (11). HeLa m6 cells were challenged with HIV-1_{IIIB} and incubated at 37°C for 2 h. The cells were then washed and incubated in fresh medium. Twenty hours after challenge, the cells were washed and lysed. Proviral DNA was amplified by PCR with the U3⁺-U5⁻ primer pair (with U3⁺ radiolabelled with ³²P), and amplification products were electrophoresed on agarose gels and blotted onto Hybond N⁺ positively charged nylon (Amersham), which was then analyzed with a PhosphorImager. The bands were quantitated by using the ImageQuant program.

Flow cytometric analysis of MAb and gp120 binding to HeLa m6 cells. Binding of anti-rat CD4 MAbs and gp120 to HeLa m6 cells was analyzed by indirect flow cytometry with a FACScan (Becton Dickinson and Co., San Jose, Calif.). Baculovirus-derived gp120 (HIV-1_{IIIB} strain) was obtained from the Medical Research Council AIDS-Directed Programme. Cells were detached by treatment with PBS-0.5 mM EDTA, and 5 \times 10⁶ cells were incubated with 50 μ l of gp120 (at the appropriate concentration) or anti-rat CD4 MAb for 1.5 or 1 h, respectively, on ice. After being washed in ice-cold PBS-0.5% bovine serum albumin (BSA), the cells were incubated for a further 45 min on ice with 50 μ l of biotinylated anti-gp120 MAb (D47, which binds the V3 loop; a gift from Bob Doms), to detect gp120 or with fluorescein isothiocyanate-conjugated RAM Ig (RAM-FITC) (Serotec) to detect anti-rat CD4 MAbs. To detect bound gp120, cells were then washed and stained with streptavidin-FITC (Amersham).

Formation of ternary complexes between sm6, anti-rat CD4 MAbs, and oligomeric Env on the surface of chronically HIV-1-infected H9 cells. Indirect flow cytometry was used to analyze ternary complex formation between anti-rat CD4 MAbs and a soluble form of m6 (sm6) (49) bound to H9 cells chronically infected with the HXB-2D strain of HIV-1. Half a million cells were washed twice in PBS-0.5% BSA by pelleting and resuspended in 50 μ l of PBS-0.5% BSA containing sm6 at the appropriate concentration. The cells were incubated on ice for 2 h, washed, and resuspended with 50 μ l of anti-rat CD4 MAb. After a further 1 h on ice, the cells were washed and stained with RAM-FITC for 30 min at 4°C, fixed with PBS-2% formaldehyde overnight, and analyzed by flow cytometry.

Measurement of sCD4 binding and gp41 exposure on the surface of HIV-1-infected H9 cells. Chronically infected H9 cells were washed in RPMI containing 2% FBS and resuspended at 2 \times 10⁷ cells/ml. Fifty microliters of sCD4 (human, rat, m6, or m11), at the appropriate concentration, was added to an equal volume of cells. Half of the cells were then incubated at 4°C to measure binding of sCD4, and the remaining half were incubated at 37°C to measure exposure of gp41. After a 2-h incubation, cells were pelleted and washed twice in PBS containing 1% FBS and 0.02% sodium azide. Bound sCD4 was detected by staining first

TABLE 1. Binding of anti-CD4 MAbs to domains of rat sCD4

MAb	IgG subclass	Binding to target protein ^a		
		sCD4	sCD4(d1+2)	sCD4(d3+4)
W3/25	1	0.54	1.01	
OX63	1	0.37	0.96	
OX64	1	0.46	0.77	
OX65	1	0.21	0.87	
OX66	1	0.29	0.90	
OX67	1	1.20		0.56
OX68	2a	1.77		0.94
OX69	1	1.03		0.68
OX70	1	0.37		0.15
OX71	2a	0.93		0.65
OX72	1	1.24		0.93
OX73	1	1.14		0.99

^a Mean optical density at 405 nm for MAb binding to duplicate microtiter plate wells. No binding to any sCD4 preparation by an irrelevant IgG1 control MAb (OX21) was observed.

with MAb L120 (D. Buck, Becton Dickinson) for human CD4 or with MRC-OX-71 for rat CD4 and m6 and m11 and then with a phycoerythrin-conjugated anti-mouse Ig (Immunotech SA, Marseille, France). Exposure of gp41 was detected by staining with MAb 50-96 (a gift from S. Zolla-Pazner) followed by phycoerythrin-conjugated anti-human Ig (Immunotech SA). Cells were washed twice, fixed overnight in PBS-2% formaldehyde, and then analyzed by flow cytometry.

RESULTS

Anti-CD4 MAb binding to fragments of sCD4. The MAbs obtained were tested for their reactivities with sCD4(d1+2) and sCD4(d3+4), and a total of 11 were cloned for further use. The reactivities of these MAbs are shown in Table 1. As expected, those antibodies reactive with sCD4(d1+2) gave no reaction with sCD4(d3+4) and vice versa (Table 1). All of the new MAbs were shown to bind to cell surface CD4 by fluorescence-activated cell sorter analysis (data not shown).

Epitope mapping of anti-CD4 MAbs recognizing the amino-terminal domains of CD4. The determinants recognized by MAbs binding to D1 and D2 of rat CD4 generated in this and previous studies were characterized by using a series of mutations that had been produced in D1 and D2 in another project (49). Rat CD4 does not bind gp120 of HIV-1, but residues identified as important in the HIV-1-binding site of human CD4 were substituted in the rat sequence in an attempt to make rat CD4 bind HIV-1, as illustrated in Fig. 1.

Mutants were prepared in the CDM8 vector and transiently expressed in COS cells. MRC-OX-35 and MRC-OX-36 bound to m1 (data not shown) but not to m4 (Fig. 2A) indicating that epitopes in the B-C loop of D2 are required for binding of these MAbs. m11, which contained changes in the F-G loop in addition to changes in the C'-D region, caused the loss of MRC-OX-64, -65, and -66 reactivity (Fig. 2B). As m6 contained only the C'-D region changes, the epitope for these MAbs must involve the F-G turn. In summary, three groups of MAbs were mapped: W3/25 (with MRC-OX-37, -38, and -63) to the C'-C'' region of D1 (data not shown) (14); MRC-OX-64, -65, and -66 to the F-G turn in D1; and MRC-OX-35 and -36 to the B-C region of D2.

Inhibition of viral entry by anti-rat CD4 MAbs. m6 binds gp120 with an affinity of two- to threefold less than that of human CD4 (49) and is an efficient receptor for HIV-1 when expressed on the surface of HeLa cells (51). HeLa m6 cells were preincubated with each of the m6-binding MAbs for 1 h

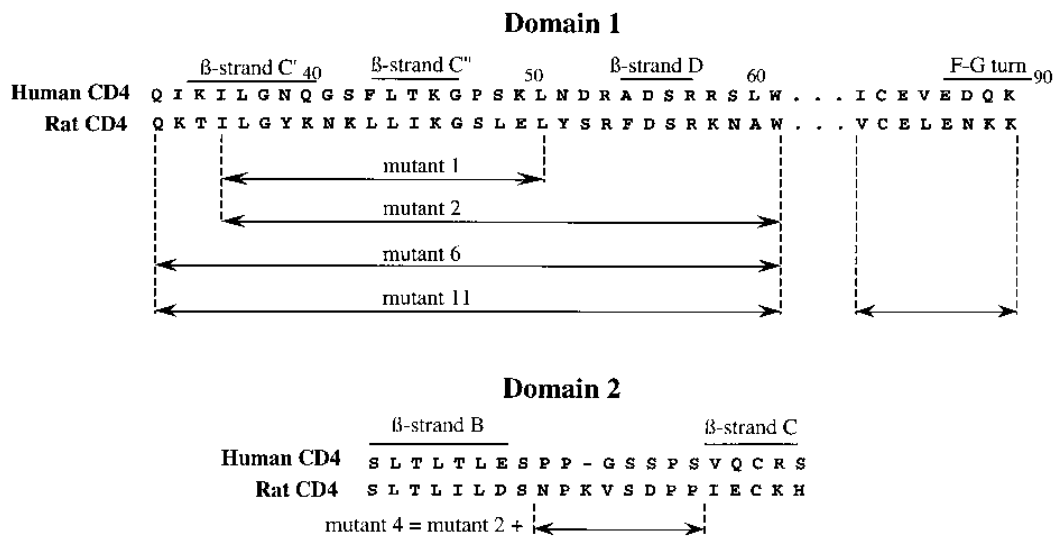


FIG. 1. Sequences of rat CD4 mutants constructed by substitution of human CD4 residues.

at 37°C. MRC-OX-63 was used as a positive control, as it binds the CDR2 region of rat CD4 and, consequently, does not bind m6, in which this region has been replaced with its human homolog. The cells were then challenged with HIV-1_{IIB}, and the level of virus entry was quantitated by using a PCR-based technique as described in Materials and Methods. (CD4 MAbs were present at all times during the course of the experiment, including during challenge with virus and after washing of cells.) The MAbs that bind the CDR3-equivalent region of CD4 D1 (MRC-OX-64, MRC-OX-65, and MRC-OX-66), the D2-binding MAbs (MRC-OX-35 and MRC-OX-36), and two of the D3D4-binding MAbs (MRC-OX-67 and MRC-OX-68) all significantly inhibited viral entry, while the control MAb and those binding other epitopes did not (Fig. 3A).

As a second measure of viral entry, HeLa m6 cells which had been preincubated with the MAbs at 37°C for 1 h were challenged with COC(HIV) pseudotype virus as described in Materials and Methods. The results confirm the PCR data, with the same set of MAbs inhibiting entry of pseudotype virus (Fig. 3B).

Several anti-rat CD4 MAbs inhibit syncytium formation. HeLa m6 cells were preincubated with each of the anti-rat CD4 MAbs and then mixed with an equal number of HIV Env-expressing H32 cells. After overnight incubation in the continual presence of antibody, the cells were fixed and stained, and the number of syncytia was quantitated (Fig. 4). As expected, all of the MAbs that inhibited viral entry also inhibited syncytium formation. However, the D3D4-specific MAb, MRC-OX-71, also interfered with syncytium formation, although it had no effect on virus entry (Fig. 3). Very slight enhancement of syncytium formation was observed in the presence of MRC-OX-69.

Effect of the anti-rat CD4 antibodies on binding of monomeric gp120 to HeLa m6 cells. In order to determine whether binding of any of the anti-rat CD4 MAbs to HeLa m6 cells affected binding of recombinant monomeric gp120, a flow cytometry assay was developed. After a 1-h incubation of HeLa m6 cells with each of the anti-rat CD4 MAbs, gp120 was added to a final concentration of 10 μg/ml. This concentration is sufficient to saturate the gp120-binding sites on the surface of HeLa m6 cells (results not shown). The cells were incubated for a further 1.5 h on ice, and the amount of bound gp120 was

determined by flow cytometry as described in Materials and Methods. The results presented in Fig. 5 demonstrate that binding of soluble, monomeric gp120 was not inhibited by any of the anti-rat CD4 MAbs.

Effect of gp120 on binding of anti-rat CD4 MAbs to HeLa m6 cells. In a reciprocal experiment, HeLa m6 cells were incubated with 50 μl of either PBS-0.5% BSA or gp120 (10 μg/ml) for 1.5 h at 4°C. These cells were then washed and stained with each of the m6-binding anti-rat CD4 MAbs and with MRC-OX-63 (as a negative control), as described in Materials and Methods. Prebinding of gp120 resulted in a reduction of at least 50% in binding of the D1 CDR3-directed MAbs (MRC-OX-64, MRC-OX-65, and MRC-OX-66) and of the D3-binding MAbs (MRC-OX-67 and MRC-OX-68) (Fig. 6). There was no significant reduction in binding of any of the other anti-rat CD4 MAbs.

The loss of binding of certain MAbs induced by monomeric gp120 was unexpected, as these MAbs did not affect binding of monomeric gp120 to CD4. One possible explanation is that gp120 induces a conformational change in CD4 that leads to a loss of the epitopes bound by these MAbs. In the case of the D1 CDR3-directed MAbs, this seems unlikely, as there would have to be a conformational change within an Ig domain, a structural motif known to be rigid. A more plausible explanation is that there is a conformational change in gp120 that leads to a masking of the D1 CDR3 loop. A gp120-induced conformational change in CD4 leading to the loss of the MRC-OX-67/68 epitope is conceivable, as this would require interdomain changes. The possibility of such conformational changes between the D1D2 and D3D4 halves of CD4, around the hinge region, has previously been proposed (24).

Numerous epitopes of m6 are masked after binding to cell surface, oligomeric Env. On the surface of virions, Env is oligomeric, and consequently, binding of CD4 to virions may differ from binding of CD4 to recombinant, monomeric gp120. Binding of a soluble form of m6 (sm6) to oligomeric Env was assessed by flow cytometry of chronically HIV-1_{IIB}-infected H9 cells (H9/HIV-1_{IIB}), as described in Materials and Methods.

The concentration of sm6 necessary to saturate H9/HIV-1_{IIB} cells (10 μg/ml) was determined as described in Materials and Methods (results not shown). This concentration is greater

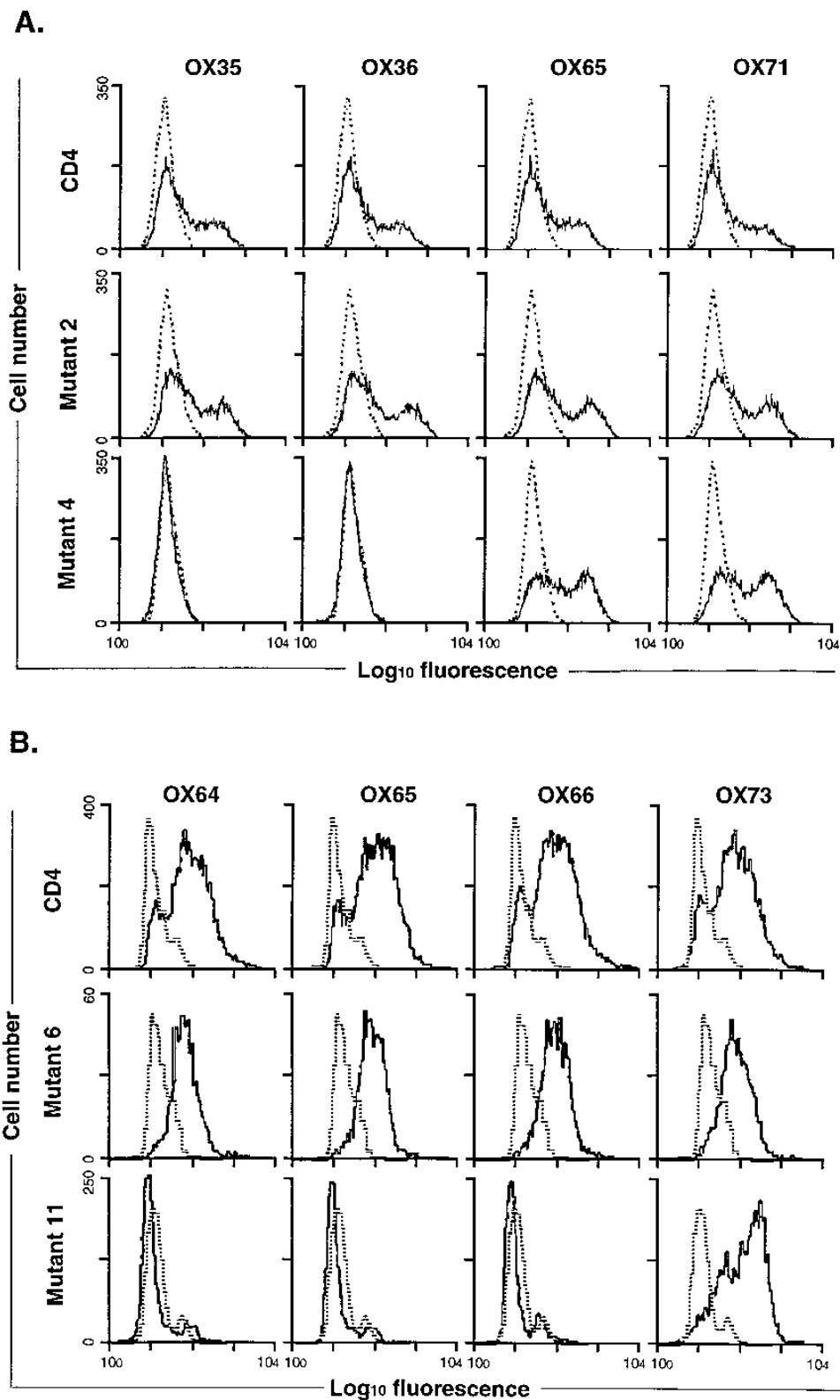


FIG. 2. Epitope mapping of D1- and D2-directed MAbs. Fluorescence-activated cell sorter histograms of MAb tissue culture supernatants binding to COS cells transfected with the mutants of CD4 are shown, with dotted lines showing staining with the negative control MAb MRC-OX-21. (A) Localization of the epitopes for MRC-OX-35 and -36 to D2 of CD4 (m4). (B) Localization of the epitopes for MRC-OX-64, -65, and -66 to the F-G turn of D1 of CD4 (m11).

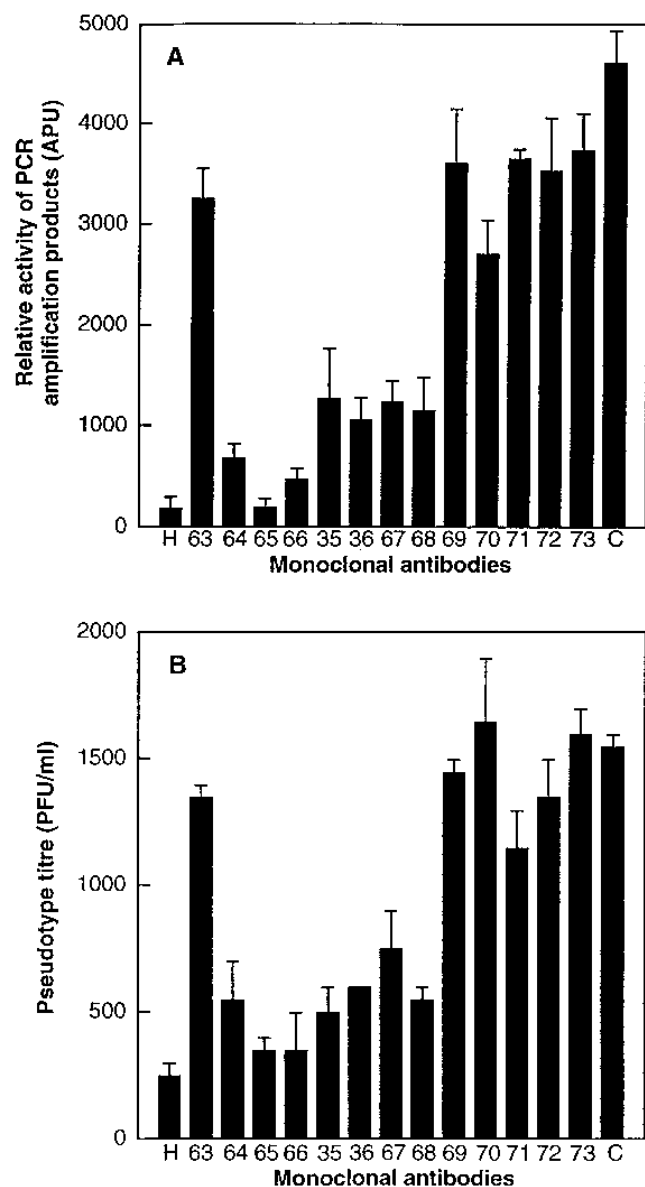


FIG. 3. Inhibition of viral entry into HeLa m6 cells by anti-rat CD4 MABs. (A) HeLa m6 cells were preincubated with each of the m6-binding MABs for 1 h at 37°C and then challenged with HIV. As a negative control, untransfected HeLa cells (H) were used, and as positive controls, HeLa m6 cells preincubated with MRC-OX-63 (63) or growth medium (C) were used. Twenty hours after challenge, the cells were lysed and proviral DNA was amplified by PCR with radiolabelled primers (U3⁺ and U5⁻). The relative levels of proviral DNA were quantitated with a PhosphorImager and are shown as a means with standard errors for triplicate determinations. Arbitrary PhosphorImager units (APUs) are used. Each of the MABs is indicated by its MRC-OX number. (B) As a second measure of viral entry, HeLa m6 cells were challenged with COC(HIV) pseudotypes after preincubation of cells with the anti-rat CD4 MABs. The relative levels of pseudotype entry are shown as the mean number of PFU \pm standard error for duplicate determinations.

than that previously determined for human CD4 (48), and this is likely to reflect the lower affinity for gp120 of m6 compared to human CD4 (49).

Next, H9/HIV-1_{IIB} cells were saturated with sm6 and stained with each of the anti-rat CD4 MABs as described in Materials and Methods. The epitopes for the D1 MABs (MRC-OX-64, MRC-OX-65, and MRC-OX-66), the D2 MABs

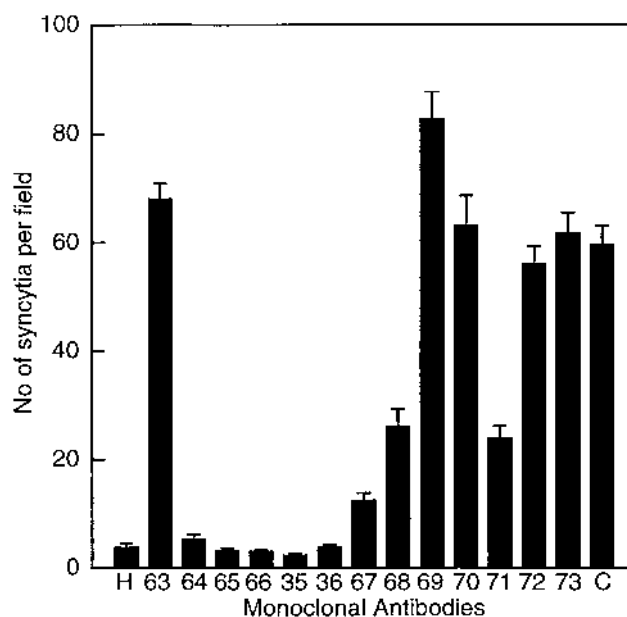


FIG. 4. Inhibition of syncytium formation by anti-rat CD4 MABs. HeLa m6 cells were preincubated with each of the MABs for 1 h at 37°C and then mixed with an equal number of gp160-expressing HeLa H32 cells. After an overnight incubation, the cells were fixed, stained, and counted. The graph shows the mean numbers of syncytia, with standard errors, per field for 10 fields. Each of the MABs is indicated by its MRC-OX number. As a negative control, untransfected HeLa cells (H) were mixed with HeLa H32 cells, and as positive controls, HeLa m6 cells preincubated with MRC-OX-63 (63) or growth medium (C) were mixed with HeLa H32 cells.

(MRC-OX-35 and MRC-OX-36), and some of the D3D4 MABs (MRC-OX-72 and MRC-OX-73) were masked (Fig. 7). There were various fluorescence intensities for all of the other MABs (MRC-OX-67, MRC-OX-68, MRC-OX-69, MRC-OX-70, and MRC-OX-71), which may reflect various degrees of exposure of the epitopes bound by these MABs or a variation in the valency of binding to sm6.

The CDR3-equivalent region of CD4 does not have an effect on affinity of CD4 for oligomeric Env or exposure of gp41 epitopes. Previously, we demonstrated that the CDR3-equivalent region of D1 of CD4 was unlikely to be involved in post-binding events that lead to fusion between viral and cellular membranes, since m6 acts as an efficient receptor for HIV-1 despite having a markedly different sequence in this region (51). However, m6 is not as efficient a receptor as wild-type CD4. We believe that this is because it has a slightly lower affinity for gp120 than does human CD4 (49), but we cannot formally rule out an involvement of the CDR3 region. In addition, the partial occlusion of MAB binding to the CDR3 loop following soluble gp120 binding may indicate the presence of an interaction, albeit of low affinity, between the CDR3 loop and gp120.

In order to address this point more specifically, we constructed a mutant (m11) in which the F-G turn of m6 was replaced with the equivalent residues of human CD4 (Fig. 1). The affinities of sm6 and sm11 for HIV-infected H9 cells and their abilities to induce exposure of gp41 were measured as described in Materials and Methods. The results presented in Fig. 8 demonstrate that the replacement of rat with human sequence in the CDR3 region of m6 has no effect either on its affinity for oligomeric Env or on exposure of gp41 epitopes. Instead, we found that the degree of gp41 exposure could be directly correlated to the affinity of CD4 for gp120 by using a

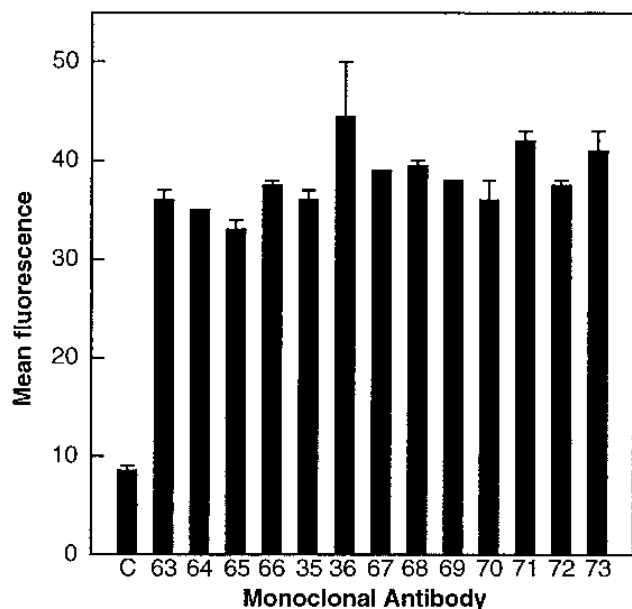


FIG. 5. Effect of anti-rat CD4 MABs on the binding of monomeric gp120 to HeLa m6 cells. HeLa m6 cells were incubated for 1 h at 4°C with each of the MABs, washed, and then incubated for a further 1.5 h at 4°C with 10 µg of gp120 per ml. The cells were then washed and incubated with biotinylated anti-gp120 MAB for 45 min on ice. After further washing, the cells were stained with streptavidin-FITC and analyzed by flow cytometry. The graph shows the mean fluorescence channels with standard errors for duplicate samples. As a negative control (C), untransfected HeLa cells were used, and as a positive control, cells preincubated with MRC-OX63 were used. Each of the MABs is indicated by its MRC-OX number.

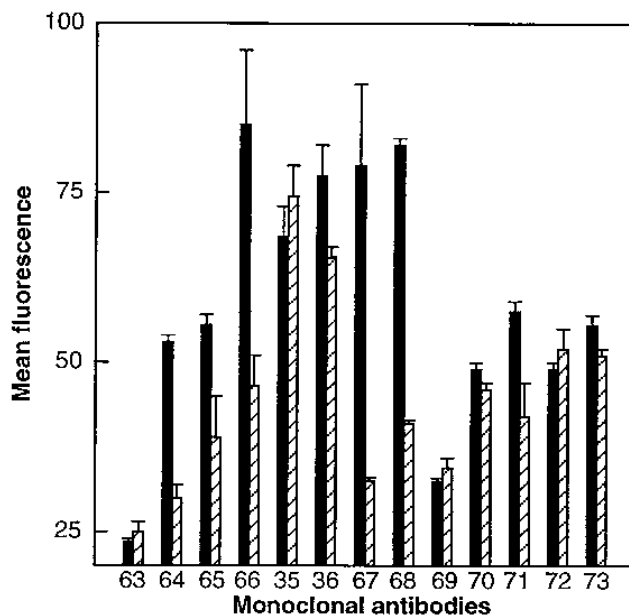


FIG. 6. Effect of monomeric gp120 on the binding of anti-rat CD4 MABs to HeLa m6 cells. HeLa m6 cells were incubated for 1.5 h at 4°C with either PBS-0.1% BSA (filled bars) or 10 µg of monomeric gp120 per ml (hatched bars). The cells were then washed and incubated with each of the anti-rat CD4 MABs (shown by their MRC-OX numbers) for a further 1 h. After further washing, the cells were stained with RAM-FITC and analyzed by flow cytometry. The graph shows the mean fluorescence channels for duplicate determinations with standard errors.

panel of rat-human chimeric CD4 molecules with various gp120 affinities (results not shown).

DISCUSSION

In the work presented here, we have used a chimera of rat and human CD4, which acts as a functional receptor for HIV-1 (49, 51), to examine the role played by regions of CD4 distant from the gp120-binding site during the postbinding events that lead to membrane fusion. A panel of anti-rat CD4 MABs, which bind this chimera but not human CD4, was used in an effort to determine the mechanisms by which anti-CD4 MABs interfere with virus entry and syncytium formation without apparently blocking binding of virus (summarized in Fig. 6).

D1. The binding site for gp120 is contained within the CDR2-equivalent region of CD4 D1 (1, 2, 6, 10, 33, 39, 47, 49). Following binding to CD4, the spike glycoproteins of HIV undergo a series of conformational changes that lead to virus-cell fusion (35, 36, 48). It has become evident that these conformational changes cannot result from specific interactions of gp120 with the D1 CDR3 region of CD4 (5, 34, 43, 51), although subtle strain-specific effects have been reported (50). However, MABs that bind this region of human CD4 inhibit virus replication and syncytium formation (12, 52). One explanation for this is that antibodies to CDR3 do not interfere with the binding of CD4 to a gp120 monomer or to some of the available sites within an Env oligomer but that they sterically hinder the binding of CD4 molecules to all of the gp120 sites within an oligomer, as previously suggested (28, 34, 35). This model would predict, and our results confirm, that the D1 CDR3 epitope is masked when sm6 (a soluble form of the chimeric CD4) occupies all of the available sites in an Env

oligomer. Consequently, the inhibition of virus entry and syncytium formation by these antibodies may be by inhibiting the binding of CD4 to multiple sites in an Env oligomer, which has been shown to be necessary to trigger membrane fusion (35). The demonstration that exposure of gp41 is unaffected by the sequence of the CDR3 loop provides further evidence that this region is not involved in postbinding events.

A previous study (12) reported that an anti-CDR3 loop MAB (13B.8.2) inhibited replication of HIV-1 and that this inhibition occurred at a step after virus entry. In our hands, however, MABs specific for rat CDR3 were efficient inhibitors of HIV-1 entry. The reason for this difference remains unclear.

D2. The anti-rat CD4 MABs MRC-OX-35 and MRC-OX-36 bind a region of rat CD4 equivalent to that bound by the anti-human CD4 MAB 5A8, which was found to inhibit virus replication and syncytium formation (7). The mechanism by which the MABs to this D2 epitope inhibit virus entry and syncytium formation appears to be similar, although not identical, to that of the anti-D1 CDR3 MABs (34, 37, 52). MABs that bind either of these epitopes reduce binding of sCD4 to membrane-bound oligomeric Env but do not affect binding of monomeric gp120 to CD4 (34, 37). In addition, we have shown that both of these epitopes are masked when sm6 has saturated the oligomeric Env sites on the surface of HIV-1_{IIIB}-infected cells. However, the D2 epitopes differ from the D1 CDR3 epitope, as they are not masked following binding to monomeric gp120. A possible explanation for this is that the binding of multiple molecules of CD4 to oligomeric Env orients the epitope toward the interior of the complex, thereby concealing it from antibody.

D3 and D4. A role for the D3D4 moiety of CD4 in post-binding events has previously been implicated from work with anti-human CD4 MABs (23, 24) and mutagenesis studies (22,

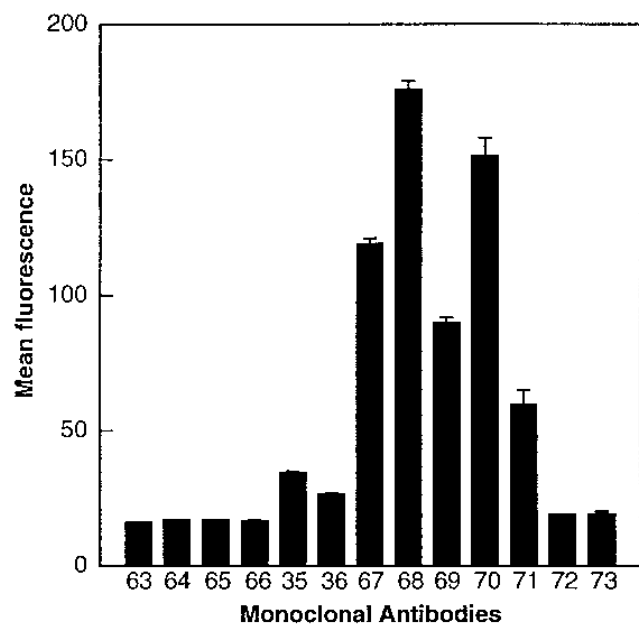


FIG. 7. Formation of ternary complexes between sm6 anti-rat CD4 MAbs and oligomeric Env on the surface of chronically HIV-infected H9 cells. Chronically HIV-infected H9 cells were incubated for 2 h on ice with 10 μ g of sm6 per ml. These cells were then washed, incubated with each of the anti-rat CD4 MAbs (shown by their MRC-OX numbers) for 1 h, stained with RAM-FITC, and analyzed by flow cytometry. The graph shows the mean fluorescence channels for duplicate samples with standard errors.

41). The MAbs against D3D4 of rat CD4 can be placed in distinct functional groups as a result of our experiments. MRC-OX-67 and MRC-OX-68 form the first group. These MAbs partially inhibit cell-free virus entry and syncytium formation, and their epitopes are partially masked by prebinding of monomeric gp120. However, in contrast to the D1 CDR3 and D2 antibodies, they bind normally to sm6 complexed with oligomeric Env. In these respects, they appear to be analogous to the anti-human CD4 MAb Q425, which binds close to the top of D3, near the hinge region (24). Studies with the Q425 MAb have led to the suggestion that flexion about the hinge of CD4 may be involved in the postbinding events that lead to fusion (24).

The second group of D3D4 MAbs comprises MRC-OX-69 and MRC-OX-71, both of which modulate syncytium formation, albeit modestly, but have no effect on entry of cell-free virus. This suggests that there may be differences in the mode of interaction between CD4 and gp120 during syncytium formation and entry of cell-free virus. However, a modest alteration in the kinetics of fusion caused by binding of MRC-OX-69 or MRC-OX-71 could explain this observation, given that syncytium formation requires more interactions between Env and CD4 than does entry of cell-free virus.

A third group of D3D4 MAbs includes MRC-OX-72 and MRC-OX-73, which do not interfere with HIV infection or syncytium formation and yet have epitopes that are masked by interaction with oligomeric but not monomeric gp120. This shows that the results obtained from this system to examine binding of CD4 to oligomeric Env, although more reliable than those obtained from investigating monomeric interactions, must still be treated with caution.

The system utilized for analyzing CD4-gp120 interactions is critical, particularly when assessing the effects of MAbs on this interaction. Although analysis of the binding of recombinant,

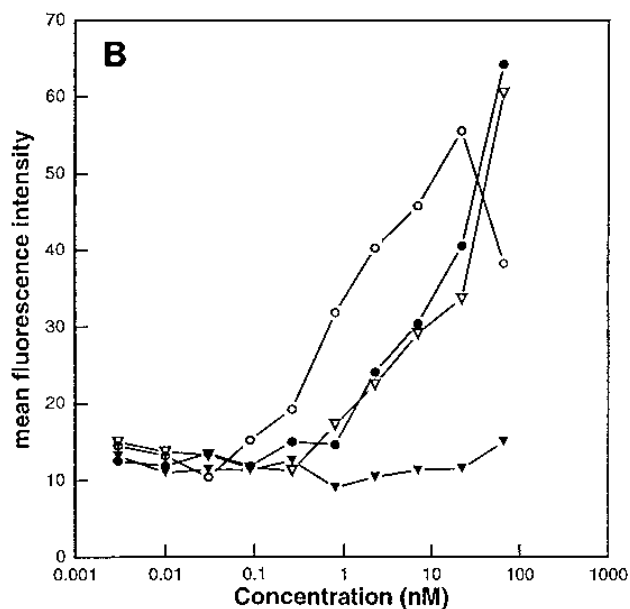
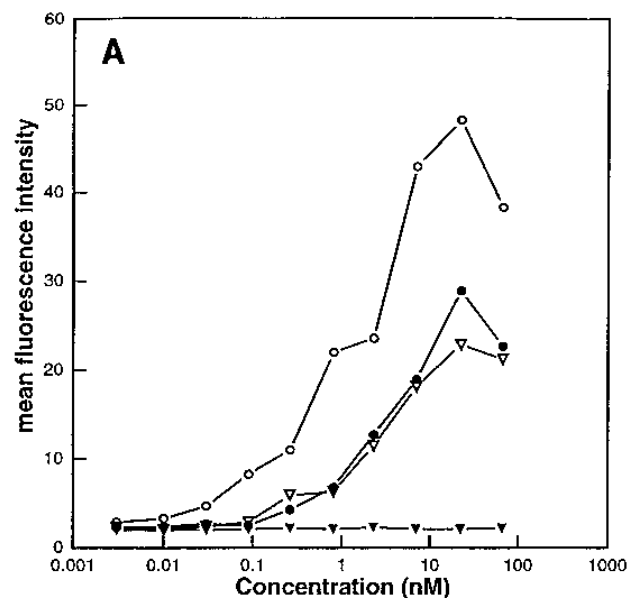


FIG. 8. The sequence of the CDR3 loop of CD4 does not affect affinity for gp120 or exposure of gp41. Chronically HIV-infected H9 cells were incubated for 2 h on ice (A) or at 37°C (B) with the appropriate concentration of soluble m6 (closed circles), m11 (open triangles), or human (open circles) or rat (closed triangles) CD4. The cells were then stained with L120 (human CD4) or MRC-OX-71 (rat CD4, m6, and m11) followed by phycoerythrin-conjugated anti-mouse Ig to detect the levels of bound CD4 (A) or with MAb 50-96 followed by phycoerythrin-conjugated anti-human Ig to determine the level of gp41 exposure (B) and analyzed by flow cytometry. The mean fluorescence intensity as a function of the concentration of sCD4 is shown.

monomeric gp120 to sCD4 or cell surface CD4 reveals important clues, the true physiological picture represents an even greater level of complexity, since both the Env glycoproteins and CD4 will be in an oligomeric, membrane-bound form. The packing of gp120-CD4 complexes is therefore more constrained in a virus-cell interaction than in monomeric gp120-CD4 interactions, and consequently, a MAb that has little or

no effect on formation of this complex when the constituents are monomeric may well interfere with appropriate binding in the oligomeric system.

In summary, anti-rat CD4 MAbs affect virus entry and syncytium formation of m6-expressing cells in a way analogous to that of MAbs against human CD4. For example, the CDR3-directed mAbs, MRC-OX-64, -65, and -66, may be analogous to the anti-human CD4 Mab L71 (52); the D2-directed MAbs, MRC-OX-35 and -36, may be analogous to 5A8 (7); and the D3 MAbs, MRC-OX-68 and -69, may be analogous to Q425 (24). This conservation of function shows that while the primary sequence of CD4 epitopes outside the gp120-binding site does not appear to influence cell-virus fusion, binding of MAbs to some of these regions does. One mechanism by which these inhibitory MAbs may act is by sterically interfering with packing of CD4 molecules to oligomers of Env in the crowded environment on the virion surface. In addition, some MAbs may sterically hinder molecular rearrangements induced by the CD4-gp120 interaction either in gp120-gp41 oligomers or around the hinge of CD4.

Recently, several HIV-1 fusion cofactors have been identified (9, 17–20). The relationship of these cofactors to the Env-CD4 interaction remains to be elucidated at the molecular level. As there is little sequence identity between m6, a functional receptor for HIV-1 (51), and human CD4 outside the gp120-binding site, it is unlikely that regions of CD4 outside this domain could interact directly with any of the fusion cofactors. However, it is possible that some of the MAbs described in this study may act by sterically inhibiting interactions between Env and a fusion cofactor after docking of the virus to CD4.

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