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Portrait and documentary photography in post-apartheid  
South Africa: (hi)stories of past and present

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Thesis submitted for the degree of PhD in Cultural Studies at the  
University of London

Centre for Cultural Studies, Goldsmiths College

Supervision: Dr. Jennifer Bajorek

2011

## Declaration

This thesis is the result of work carried out by me, and has been written by me.  
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## Abstract

This thesis will explore how South African portrait and documentary photography produced between 1994 and 2004 has contributed to a wider understanding of the country's painful past and, for some, hopeful, for others, bleak present. In particular, it will examine two South African photographic works which are paradigmatic of the political and social changes that marked the first decade after the fall of apartheid, focusing on the empowerment of both photographers and subjects. The first, Jillian Edelstein's (2001) *Truth & Lies: Stories from the Truth and Reconciliation Commission in South Africa*, captures the faces and records the stories of perpetrators and victims who gave their testimonies to the Truth and Reconciliation Commission in South Africa from 1996 to 2000. The second, Adam Broomberg and Oliver Chanarin's (2004a) *Mr. Mkhize's Portrait & Other Stories from the New South Africa*, documents the changed/ unchanged realities of a democratic country ten years after apartheid.

The work of these photographers is showcased for its specificity, historicity and uniqueness. In both works the images are charged with emotion. Viewed on their own – uncaptioned – the photographs have the capacity to unsettle the viewer, but in both cases a compelling intermeshing of image and text heightens their resonance and enables further possibilities for interpretation. In their contributions to the critical theory of photography Roland Barthes, Victor Burgin and Max Kozloff underscore the centrality of the interplay between image and text in the meaning-making process anchoring a critical engagement with photography. Burgin (1982) states that “Even the uncaptioned photograph, framed and isolated on a gallery, is invaded by language when it is looked at”, and Kozloff (1987) claims that “However they are perceived, images have to be mediated by words”.

This thesis singles out emotionally charged and forceful photographs in Edelstein, Broomberg and Chanarin's repertoire to consider both the complex process of the construction and interpretation of photographic meaning and question if/when photographs do, in fact, depend on language. Central to the architecture of photography is the layering of the representations, firstly through the specific photographic language and form of address which characterises the portrait genre, and secondly through the verbal text accompanying the images. I argue that the viewer's experience of the photograph unfolds at two distinct moments of viewing. The first moment is defined by the “raw” encounter with the photograph – mediated by an affective response to its emotional or symbolic content – and the second

moment encompasses the response to the photograph's compositional elements, or signifying units, in articulation with the text/narrative accompanying it.

This analysis brings to the fore the relation and exchange between photographer and subject and, ultimately, between photographer, subject and viewer. Emmanuel Levinas and Hannah Arendt's theoretical insights provide a platform for exploring the lived, concrete experience of ethical choice and action at the core of the photographer-subject-viewer humanistic triangulated relationship. Germane to this discussion, Ariella Azoulay's (2008) conception of "the civil contract of photography" extends the possibility of questioning and/or examining, firstly, the complex intertwining roles of the several participants in the photographic act/encounter and, secondly, the photographic image as an intercultural nexus wherein photographer, subject and viewer meet.

The triangulation of photographer-subject-viewer, which constitutes the guiding thread of this study, is further explored and illuminated from the perspective of Mikhail Bakhtin's conceptualisation of the "utterance", enabling me to engage with the dialogical dimension of photographic practice. The affinities between Levinas and Bakhtin – two philosophers of alterity – revealed through a common language of responsibility in the relation with the other, inform my reading and discussion of the ethical project of photography in post-apartheid South Africa.

Phenomenology, narrative theory and social semiotic visual analysis guide the methodology adopted in this study, creating a synergy between a reflective/dialogical, a discursive/sociological and a more semiological/aesthetic approach. From this perspective, my concern will be in establishing the interdisciplinarity between Visual Culture and Cultural Studies and, in so doing, I will explore the relationship between the photograph, documentary practice, social processes, modes of representation and/or visual testimony, confirming Irit Rogoff's (1998) claim that "[I]mages do not stay within discrete disciplinary fields (...), since neither the eye nor the psyche operates along or recognizes such divisions. Instead they provide the opportunity for a mode of new cultural writing existing at the intersections of both objectivities and subjectivities".

## Acknowledgements

This thesis is the result of many years of research during which there were moments of great discouragement. At these times, Nelson Mandela's words, "The greatest glory in living lies not in never falling, but in rising every time we fall", were a motivating force when my conviction in my abilities to finish faltered. Many people provided much needed support and encouragement during this process. My first words of gratitude go to my supervisor, Dr. Jennifer Bajorek, for her interest in my project and for giving me the confidence I needed in my work. I thank her warmly for her very stimulating supervision and availability at all times. I am especially grateful to Adam Broomberg, Jillian Edelstein, Camilla Brown and Lauren Segal for their time and generosity in providing me with valuable information and material. Thanks to Gadi Magagane for providing me with photographs of the Hector Pieterse Memorial and Museum (HPMM). I wish to thank the HPMM curator, Ali Khangela Hlongwane, for authorising Gadi Magagane to take photos on my behalf.

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The greatest glory in living lies not in never falling, but in rising every time we fall.

Nelson Mandela

## Introduction

This dissertation aims to contribute to an emerging body of critical studies about South Africa's visual culture by critically reflecting on the formal nature and uses of documentary and portrait photography in post-apartheid South Africa. Two motivating engines propel this study: one intends to analyse the documentary role of the camera during the apartheid era, especially with regards to recording not only the atrocities of apartheid but also the relations between people on different sides of the colour bar; the other aims to examine the inter-relationship of the democratisation<sup>1</sup> of photography in South Africa with the dawning and maturing of democracy after the fall of apartheid. A dialogue will be established between the past and the present, and between history, memory and photography.

During the first decade of democracy in South Africa, scholarly literature produced in and about South Africa reflected on key events, concerns and processes of a society undergoing profound social and political transformations. The early phase of the transition to democracy was characterised by the work of the Truth and Reconciliation Commission hearings where concepts of “forgiveness” and “reconciliation” became crucial stakes for its success. Official rhetoric emphasised ideas of “inclusiveness”, “non-racial democracy”, “national unity” and a “national identity”. Monument building, new official commemorations and collective representations secured the foundations of a (re)invented collective memory and a new social consciousness. The Apartheid Museum, the Hector Pieterse Memorial and Museum and the Constitution Hill project emerged as important mnemonic landscapes that, while promoting a constructive future, use forms of representation of the past that guard against the amnesia of future generations.

The roles of memory, of truth and reconciliation anchored most of the critical analyses produced during the first phase of the political and social transition from apartheid to democracy. A worthy example is provided by the essays collected by Nuttall and Coetzee's (1998) in *Negotiating the Past: The Making of Memory in South Africa*. Centred on the work of the Truth and Reconciliation Commission (henceforth

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<sup>1</sup> The word is used in three ways: the first refers to a form of resistance adopted by the struggle photographers of the 1980s and early 1990s for whom “the ‘camera’ [was] a voice for those denied a vote and basic human rights, and was instrumental in bringing the South African struggle to the international arena” (Hill and Harris, 1989:7); the second refers to the “liberation” of photography in South Africa following the ban, in 1985, of press-coverage of anti-apartheid violence; the third comprehends the new ways in which photographic representations of apartheid are now used within a society that is undergoing significant socio-cultural and political change.

TRC), the anthology engages and enmeshes questions of representation with those of testimony, evidence and historical memory. Njabulo Ndebele's (1998:20) contribution, in particular, foregrounds the role of personal experience and of narrative in the shaping of a new social consciousness. The author builds a pivotal argument – on which many studies, including this dissertation, draw – around the idea that the TRC “has given legitimacy and authority to previously silenced voices [and] lifted the veil of secrecy and state-induced blindness”. Ndebele's argument emphasises that “the stories of the TRC ... are an additional confirmation of the movement of our society from repression to expression”.

Coombes's (2003) examination of the role of public art and memorialisation in the South African post-apartheid cultural landscape is fertile ground for reflection. The Robben Island and District Six sites, together with the TRC hearings, provide focal points for her discussion about both the politics of representation in the museum and the institutionalisation of memory underpinning the new (post-apartheid) policy on heritage development. Conceptually, history, heritage, “truth”, representation and narrative form the backbone of Coombes's analysis. The complex relation and tension between the present and the past, remembrance and forgetting, healing and trauma is explored against the backdrop of the new government's “nation-building” and “national unity” project. Figuring strongly in Coombes's study, and equally important to this dissertation, is the contention that “all memory is unavoidably both borne out of individual subjective experience and shaped by collective consciousness and shared social processes so that any understanding of the representation of remembrances and of the past more generally must necessarily take into account both contexts” (Coombes, 2003:8).

The waning of the euphoria of the first democratic elections characterises a second – or another – phase in post-apartheid South Africa. Much as the tenth anniversary of the first democratic elections was seen by some as the consolidation of a non-racial democracy, the shortcomings of the new political dispensation have spawned a wave of scholarly inquiry into the continued social problems of housing, education and health care, alongside the alarming growth of poverty and inequality, crime and HIV/AIDS (Beall et al., 2005; Cuthbertson, 2008). Ten years on from the TRC hearings, a conference titled “TRC: Ten Years On” was held from 20-21 April 2006 to review the work of the Commission and assess “TRC *unfinished business*”<sup>2</sup>. In his opening

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<sup>2</sup> The conference was organised by the Institute for Justice and Reconciliation. Its participants included academics, specialists from a wide range of fields and institutions and victims of

statement, Tim Modise, the chairman of the symposium, voiced the questions many had often asked during the two years of the TRC hearings: “Did the TRC forge a concept of nation building at the expense of thousands of apartheid victims? Where did we fall short?” He ventured an answer to the latter: “Victims/survivors are still struggling to exact the whole truth about the fate of their loved ones ... The TRC recommendations on reparations have not been fulfilled and financial reparation to victims has been pitiful” (Villa-Vicencio and du Toit, 2006:15).

Following the publication of the five volumes of the *Truth and Reconciliation Commission of South Africa Report* in 1998, a number of studies have addressed the limitations and flaws of the TRC process and emphasised that imperatives of national unity and reconciliation were pursued at the expense of economic, social and psychological reparation to the victims of apartheid violence (Stanley, 2001; Wilson, 2001; Posel and Simpson, 2002). However, despite recognising the fault lines of the TRC programme, other studies foreground the importance of its having given victims of human rights abuses a chance to speak publicly about the abuses they suffered in the past. Godobo-Madikizela<sup>3</sup> (2002a:11) argues,

Unlike in a court of law, where victims are brought into the picture only in relation to the perpetrator’s deed, the TRC put victims in the center of the process, allowing them to tell their stories in the way that they chose before a listening audience, validating experiences that were denied by the apartheid state for many years.

Parallel to this discussion, different positions have emerged in scholarly literature on the role – and benefits – of forgiveness, instantiated during the TRC process, in changing interpersonal and social relationships, thereby leading to social reconstruction following a prolonged period of systematic abuse and social injustices. In the emerging field of study of psychology of forgiveness, Wade et al. (2005:634) define forgiveness as:

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gross human rights violations. The working group’s mission was to focus, in particular, on four areas of the TRC’s unfinished business: “government decisions regarding the prosecution of those who were either denied amnesty or refused to apply for it; reparations for those found by the TRC to be victims of gross violations of human rights; access to the TRC archives; and national reconciliation” (Villa-Vicencio and du Toit, 2006: i).

<sup>3</sup> Pumla Godobo-Madikizela is a clinical psychologist who served on the South African Truth and Reconciliation Commission’s Human Rights Violations Committee from 1996 to 1998. Among other awards, in 1998 she was distinguished with the Peace Fellowship by the Bunting Fellowship Program of the Radcliffe Institute for Advanced Studies at Harvard University. She is the author of *A Human Being Died That Night: A South African Woman Confronts the Legacy of Apartheid* (2003) and co-editor, with Chris van der Merwe, of *Memory, Narrative and Forgiveness: Perspectives on the Unfinished Journeys of the Past* (2009).

an intra-personal process, in which those who have been hurt release negative thoughts and feelings for the offending person and gain some measure of acceptance for the events ... However ... forgiveness does not necessarily have to include reconciliation ... forgiveness is not condoning a hurtful action, forgetting the wrong, or ignoring the natural consequences of the offence. Finally, forgiveness is not simply reducing the negative thoughts or emotions associated with unforgiveness.

Wade et al. (2005:634) stress that true forgiveness “requires the ability to see others in realistic terms (both the good and the bad) and to hold them accountable to natural consequences, yet still to feel compassion, empathy, or some degree of positive feelings for them”. Godobo-Madikizela considers the factors and circumstances leading to forgiveness and claims that key among them is the expression of remorse. In this respect, Godobo-Madikizela (2002a:8) highlights the opportunity provided by the TRC hearings for perpetrators to express remorse for their deeds<sup>4</sup>, enabling “what is termed the *paradox of remorse*”. The author claims that “It is argued that genuine remorse humanizes perpetrators and transforms their evil from the unforgivable into something that can be forgiven”.

For Jacques Derrida (2001) there are several problems at the root of the TRC’s model of forgiveness and reconciliation. To begin with, he argues that “pure forgiveness” is impossible, since, as he writes, “forgiveness forgives only the unforgivable ... there is only forgiveness, if there is any, where there is the unforgivable” (32). In other words, if one forgives what is easily forgiven, one does not really forgive. In Derrida’s view “pure forgiveness” is unconditional and precludes the need for an apology or repentance by the wrongdoer. Secondly, when forgiveness is elicited within the context of amnesty and reconciliation, one cannot speak of forgiveness in the strict sense of the word; one can speak of a gesture towards “[the] reconstitution of a health or a ‘normality’” inherent in a process of reconciliation (50). Furthermore, he concludes that forgiveness can never be finalised, stressing that “[a] ‘finalised’ forgiveness is not forgiveness; it is only a political strategy or a psycho-therapeutic economy” (50).

Derrida’s objections to the TRC’s model of forgiveness and reconciliation reflect some of the tensions at the core of the debate on the (im)possibilities of forgiveness taken up by scholarship during and after the TRC. It is not the purpose of this thesis to intervene in this debate, which pits proponents against sceptics, and fluctuates

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<sup>4</sup> Only some of the perpetrators did, in fact, offer apologies and show remorse for their deeds.

between the effects and benefits of forgiveness at either the personal/collective level or the socio-political level in the post-apartheid landscape. It is, however, important to bear in mind that forgiveness and reconciliation were two of the main constituents of the prevalent “structure of feeling”, to use Raymond Williams’ (1961) conceptualisation of what binds together the feelings of people in specific social groups at a particular socio-historic juncture.

Significantly, this “structure of feeling” enabled (for the first time in the history of South Africa) the creation of a confluence of conditions that favoured forgiveness and reconciliation, fostering the development of humanistic values and effective interpersonal relations. A key point of focus for Godobo-Madikizela (2002a:11) is that the TRC created the conditions for victims to testify “in the presence of an attentive, sympathetic audience”. Godobo-Madikizela contends that the TRC hearings gave “victims control over their narratives of trauma [which] significantly contribute[d] to the victims’ recovery process”. In essence, she stresses, “It is about making peace with the past – not *forgetting* the past” (emphasis in the original). The TRC promoted the individual’s experience and personal accounts of the past, confirming, as Said (2003:182-3) notes, that

Memory is a powerful collective instrument for preserving identity. And it’s something that can be carried not only through official narratives and books, but also through informal memory. It is one of the main bulwarks against historical erasure. It is a means of resistance.

Important in the context of South Africa’s legacy of human rights abuses is Said’s (2003) formulation of culture as “a way of fighting against extinction and obliteration” to illustrate the centrality of human agency in cultural processes. From this perspective, this thesis analyses memory, storytelling and visual representation and testimony as instruments of culture used both by the individual and by social groups to exercise political agency. My approach will draw support from Hannah Arendt’s (1998:viii) “account of the human capacity for action” as well as from her response to “the damage of the past” (xviii). Arendt’s answer to the, at times, unbreakable chain of past wrongs and revenge is the human capacity to forgive. As she puts it,

Without being forgiven, released from the consequences of what we have done, our capacity to act would, as it were, be confined to one single deed from which we would never recover; we would remain the victims of its consequences forever, not

unlike the sorcerer's apprentice who lacked the magic formula to break the spell.  
(237)

Pivotal in the process of forgiveness – and resonating with the example of South Africa's TRC hearings – is, as Arendt (1998:50) proposes, the willingness to talk about things that had previously been experienced only in private, in as much as these “will assume a kind of reality which, their intensity notwithstanding, they never could have had before”. This said, Arendt establishes what is essential for the individual to take this step: “The presence of others who see what we see and hear what we hear assures us of the reality of the world and ourselves” (50).

Drawing on Arendt's philosophical thought, Michael Jackson (2002) explores the conditions in which stories are told. He looks at the relationship between authorship, authority and authorisation and analyses the interplay between personal life stories and collectively-shared narratives. Expanding on Arendt's thesis on agency, Jackson (2002:62) argues that the “focus of agency is on each person's relationship to others rather than on his relationship with himself”. When the victims at the TRC hearings reconstituted events in a story told publicly, they no longer lived those events in passivity, but, rather, “actively rework[ed] them, both in dialogue with others and within [their] own imagination” (15). In the opinion of analysts who followed the TRC hearings, what was significant in this process was that personal stories were recast in ways that made them “emblematic” of all who suffered. In Jackson's words, “In helping stories and lives ‘carry meanings beyond the personal’ the TRC worked to reconcile different people to one another as members of a single commonwealth of humanity” (62). This viewpoint acts as the guiding thread to my own arguments and will be taken up for more detailed examination in Chapter 2.

The analyses of photographic representations at the centre of this thesis engage, then, with key cultural and social practices that shape the trajectory from apartheid to post-apartheid South Africa. The baseline from which I will start is the discussion, in Chapter 1, of photography during the apartheid era as a means of resistance against oppression through the building of social consciousness. Central to the first chapter is the study of ways in which one image in particular, Sam Nzima's photo of the dying Hector Pieterse, has been used. Two key ideas are explored. During the apartheid years the photograph sparked media attention and gained cult value, enabling it to function as a catalyst of political agency. Alongside it, struggle

photography<sup>5</sup> performed the testimonial function of delivering an account of the tumultuous events – identified as “the struggle against apartheid” or “the liberation struggle” – of the 1980s and the early 1990s.

With the advent of democracy new institutions and cultural practices have promoted the (re)imagining and (re)signification of photographic representations that introduce the possibility of new debates around the use of images. Notably, in choosing Sam Nzima’s photograph of Hector Pieterse as its centrepiece, the Hector Pieterse Memorial and Museum (henceforth the HPMM) has cast the photographic image as a mnemonic device, a privileged site for (re)collecting and (re)constructing the past. Importantly, it enacts this cultural process through the interaction of photography with words, thereby framing the museum as a story-telling performance arena. Hence, memory, individual experience and narrative occupy centre stage at the HPMM, illustrating that, as Hodgkin and Radstone (2006:4) write, “oral history offers a validation of memory as *more* true and more reliable than other records: these people know what it was like because they were there”.

The role and significance of the stories presented in the form of captions or text accompanying the photographs discussed in this thesis is one of the major concerns of my work. I consider a photograph’s narrative potential when viewed on its own or in a sequence with other photographs, and examine how the stories that relate to each photograph add new layers of understanding to the interpretation of either a single image or a set of images, which cumulatively articulate a more complex meaning of the photographic work. I argue that precisely how the photographic work is perceived by the spectator, and what s/he discovers in it, depends largely on the affect produced by the interaction between photographs and stories (some of which reveal excruciating forms of human suffering). Both the method and substance of theoretical insight of Cathy Caruth (1995; 1996), Shoshana Felman and Dori Laub’s (1992) work in trauma studies and, among others, Andrea Liss (1998), Barbara Zelizer (1998) and Carol Zemel’s (2003) examination of memory and representations of suffering provide a framework for my discussion.

The final part of Chapter 1 focuses on the juxtaposition between struggle photography and another type of register exemplified by David Goldblatt’s (1986; 2007) work, drawing attention to different genealogies in the South African

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<sup>5</sup> The concept was developed by the photographers working for Afrapix, the collective photo agency founded in 1982 and dissolved in 1991. Common motifs in the black and white photos taken by Afrapix photographers included youth marches, political meetings with labour unions, funerals and police violence.

photographic archive. I seek to demonstrate that part of Goldblatt’s project of documenting the deeper fabric of the South African society during apartheid is a move to go beyond the reductive binary of white versus black, oppressor versus oppressed, evil versus good, and wrong versus right. Critical engagement with Goldblatt’s photography brings into view the complexity of human relations and the evidence of human bonds between blacks and whites, compelling us to question the simplistic opposites of struggle and liberation, justice and injustice, humanity and inhumanity that often characterise the apartheid construct.

I draw on Nelson Mandela and Desmond Tutu’s ethical insights to work through and extend the conception of a post-apartheid society orientated towards a mode of human togetherness in which individuals are able to establish relations of reciprocity and solidarity. Forming the central matrix of this humanistic project (which I consider to be the most significant and ethical contribution to the reconstruction of South Africa’s fractured society) is the philosophy of *ubuntu* endorsed by both Nelson Mandela and Desmond Tutu. Particular attention is therefore paid, throughout this dissertation, to Desmond Tutu’s definition of *ubuntu* (quoted in Habib, 2004:248):

We belong together. We say in Africa: ‘a person is a person through other persons’. We are bound together in a delicate network of interdependence. We believe in *ubuntu* – my humanity is caught up in your humanity. *Ubuntu* speaks of generosity, of compassion, of hospitality, of sharing. I am because you are. If I dehumanise you, then whether I like it or not I am dehumanised.

Germane to the idea, underpinning *ubuntu*, that each person – rather than an abstract being – is a living force in a constellation of relationships which contribute to a group identity is, I propose, Clifford Geertz’s understanding of culture and its analysis (adopted here as a guiding principle of this thesis). In Geertz’s (1973:5) words,

Believing, with Max Weber, that man is an animal suspended in webs of significance he himself has spun, I take culture to be those webs, and the analysis of it to be therefore not an experimental science in search of law but an interpretive one in search of meaning.

I am suggesting, in Chapter 2, that the pervasiveness of the concept of *ubuntu* in public discourse at a historical moment when forgotten/silenced sufferings and traumas of a significant part of the population were being redeemed (within the historical and physical context of the TRC) had far reaching consequences on the personal and social levels, since it generated a process of identification with victims

of trauma (but also with perpetrators) that afforded individuals a greater sense of the relational constitution of society and culture. Significant from the point of view of this study is an emerging political discourse centred on a politics of visibility and audibility as the key dynamic of transparent governance. Within the context of the TRC hearings, leveraged on the conceptual framework of truth, the methodological approach of storytelling enabled the public relay and mediation of victims and perpetrators' testimonies. Essentially, the TRC called upon the public to participate in historically remembering, in (re)negotiating the past and (re)constructing a historical narrative.

This process opened up an imaginative space, as well as a site of negotiation and contestation where different accounts and multiple versions of the past superimposed on a hitherto accepted official narrative, allowing individuals to develop a sense of themselves as subjects and to perceive their stories as, to use Ricoeur's (1991:22) words, "something that endures and remains across that which passes and flows away". The idea put forth by Ricoeur and Antohi (2005:12) that "true testimony is oral", it is "a living voice", forms the basis of the testimony as oral history methodology used at the TRC hearings, and constitutes the ground on which a shared social consciousness could be played out. I want to take Ricoeur's thesis further and argue that true testimony is also visual. Accordingly, Jillian Edelstein's (2001) photo essay titled *Truth & Lies: Stories from the Truth and Reconciliation Commission in South Africa*<sup>6</sup> forms the basis of this chapter, acting as the guiding thread to my argument. Edelstein's innovative use of portraiture and narrative structure to juxtapose representations of victims and perpetrators qualify *Truth & Lies* as a key document for unravelling complex issues of representation, visual testimony and the many potential processes of vision.

The point I would like to raise – and which has so far been neglected in scholarly literature – is that during the TRC process oral testimony was important in giving 'voice to the voiceless', but that, parallel to this, photography had an equally important role in both empowering and humanising individuals, since it gave 'visibility' to hitherto politically invisible and socially disrespected members of society. By attributing human faces to the stories of suffering, photographs lend credibility to those stories and enhance the range and depth of emotion of the stories to which they refer. Importantly, bolstered by the politics of visibility and enunciability at the root of the TRC's discourse and procedures, individuals produced

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<sup>6</sup> Henceforth *Truth & Lies*.

themselves as subjects in the emerging political arena. A central contention of this study is that the photograph articulates the agency of individuals who had been considered noncitizens within the socio-political landscape of apartheid.

Approached within the framework of Ariella Azoulay's (2008) conceptualisation of "the civil contract of photography", the photographs brought into relief in this chapter prompt a discussion about the role of photography in rehabilitating the citizenship of those who had been stripped of it and opening up possibilities of political action, from the perspective of Hannah Arendt's political thought. Within the context of the citizenry of photography each participant in the photographic encounter – photographer, photographed subject and viewer – is held accountable; each negotiates his/her position within what Azoulay calls "this civil political space". The civil contract of photography is what binds each participant in a civil relationship of rights and responsibilities, but I also argue that beyond – or perhaps prior to – this civil relationship, there is an ethical relationship between photographer, photographed subject and viewer that needs to be examined.

Therefore, I consider Edelstein's photographs as an ethical-political locus established through a contract or mutual agreement. In this view, the photograph is a space where the individual gets a sense of self-respect; a space where he/she defines a sense of his/her own value and makes a claim on others, demanding entry – as an active citizen – into the world. Where I part with Azoulay is in the notion of the photographic act as invasive, coercive and even violent. She explores the civil space of photography in terms of a constant tension between photographer and photographed person. While I do not contest the coercive and violent nature of certain *photographies* I propose to oppose Azoulay's examples with another type of photographic practice (illustrated by Edelstein's photographs) that privileges the photograph as a site of ethical engagement with the other.

My emphasis is on an ethical relation between the participants in the encounter as a central value of photographic practice. I seek to contemplate the civil space of photography not in terms of dominance relations between a photographer and a photographed person, but in terms of an ethical relation sustained by a language of interdependence and shared responsibilities between photographer, photographed subject and viewer. My principal contention is that photography that ensues from this ethical relation seeks the nonviolent representation of the other. Nonviolence is an ethical, political and civic decision, one that strikes me as urgent in the context of

the contemporary use of violence in the name of self-preservation (and, in the case of photography, for the sake of conveying reality).

There are numerous definitions of violence proceeding from different theoretical frameworks, but none that captures its many dimensions. Although I am not as concerned with finding an all-encompassing definition as I am with the interpretation and representation of violence, I find Staudigl's (2007:235) working definition of violence useful:

At its most obvious level, violence can be analyzed as a destruction of our physical and bodily existence, as well as of its symbolic representations in language and other institutions. Violence, however, can also be analyzed at a more fundamental level. Phenomenologically viewed, it ... also affects our *being-in-the-world*.

In this vein, the destructiveness of violence stems not only from its manifestations but also from its representation, since both aspects efface the victim's human qualities. I argue that a commitment to the nonviolent representation of victims constitutes the most effective ethical response to violence in that it brings about respect for human rights and restores the violated person's dignity. Photographic practice that flows from ethical concerns provides us with a constructive means of addressing political violence. By reflecting about Edelstein's, as well as Broomberg and Chanarin's photography in these terms, this thesis proposes to make an important contribution to the theory of photographic ethics, since it considers an alternative way of responding to violence with violence, one that involves representing violence without doubling its presence. This choice opens an equally important space for an ethics of looking, which evokes a deeper sense of connectedness. An ethics of looking enlarges the horizon of response, demanding accountability and commitment, and correlatively discouraging civic apathy or passivity. This thesis also locates ways in which an ethical photographic practice enables particular forms of agency in relation to both traumatic historical events and contemporary socio-political circumstances.

My arguments stem from a reflection on recent discussions about imaging violence and the ethics of photography in photography theory. War, torture, violence and aggression have been the subject matter of photojournalism and documentary photography during most of the twentieth century and the beginning of the twenty-first century. Photographic representations of the atrocities of the Holocaust, in particular, have constituted the object of study of an extensive body of literature on trauma studies, holocaust studies and visual memory. Analyses of these

representations have kindled sadness, indignation or disgust at the atrocities that took place, and prompted meditation on the violent and destructive nature of human behaviour. While fully cognisant of the meaning and effect of atrocity photographs, Barbie Zelizer (1998) places these questions aside to examine the usefulness of such photos as both historical records and “building blocks to remembering”.

Susan Sontag’s (1977:20) well-known response to the first images she encountered of the Holocaust, on the other hand, reveal none of Zelizer’s pragmatism. Sontag’s reaction is quite visceral. Her words, “When I looked at those photographs, something broke. Some limit had been reached, and not only that of horror”, denote resentment over the way the images produced an unexpected reaction or unwanted emotion. Sontag goes on to argue that rather than strengthen one’s conscience and generate compassion, repeated exposure to images of suffering anesthetize us to their reality. In her last book, *Regarding the Pain of Others* (2003), she worries about “the normality of a culture in which shock has become a leading stimulus of consumption and source of value”(20). Sontag’s scepticism regarding the effectiveness (or usefulness) of images of violence has often been cited in literature as an increasing number of representations of atrocities in contemporary history (in locations like Bosnia, Chechnya, Rwanda, Uganda, Liberia, the Congo, Somalia, and Sierra Leone) have pervaded our newspapers, television and computer screens.

In her book *The Cruel Radiance: Photography and Political Violence*, Susie Linfield (2010) considers the numbing effect of images of violence (although she clearly opposes Sontag’s criticism of photography, just as she contests other postmodern and poststructuralist theorists’ disdain of photographic practice), acknowledging that these images often have a perverse effect. She claims that rather than evoke empathy or sympathy, images of victimhood, suffering and loss often repel us, or evoke impatience and anger. These reactions fill us with feelings of guilt at our detachment, conformism and incapacity to respond (as we feel we should) to the realities depicted in the photographs. Linfield’s perceptive analysis of our inability to engage with visual atrocity propels the core argument of her book, most notably that we need to look at photographs of suffering, degradation, and defeat so as to engage with the complicated histories they document. She writes,

I believe that we need to respond to and learn from photographs rather than simply disassemble them ... I believe that we need to look at, and look *into*, what James Agee called ‘the cruel radiance of what is’ ... [I]t is photographs, I believe, that bring us close to those experiences of suffering in ways that no other form of art or

journalism can. Yet in bringing us close, photographs also illuminate the unbridgeable chasm that separates ordinary life from extraordinary experiences of political trauma (xv).

Linfield builds a compelling argument. However, she overlooks an important thought: the anger, indignation and disgust evoked by photographs of mutilated bodies do not always stem from our own moral inadequacies. They are quite often directed at the photographer's callousness and disrespect for the suffering of others. It is not that we do not want to see or engage with the realities depicted in the photographs. What most of us do not want to see is visual spectacle. We need only remember the Abu Ghraib images of human rights abuse to feel that victims have been wronged three times: once by the perpetrator, another time by the photographer, and finally by the viewer.

In her reflection about the production, dissemination and consumption of images of sexual intimidation, brutality and humiliation at Abu Ghraib prison outside of Baghdad, Judith Butler (2009) (to whom I will return in Chapter 2) develops her argument around the conception of the other (the Muslim other) as a disposable and ungrievable life. Butler claims that this understanding of the other is what compels the photographer(s) to capture the event, with the intention not of documenting or producing photographic evidence but essentially of further degrading the victim(s) and perpetuating the event. From this viewpoint, the photographer is not a witness of violence; he/she is a perpetrator who both incites the orchestration of acts of violence (by virtue of holding a camera in his/her hand) and derives pleasure from recording human degradation. The resulting "frames" of war mock human suffering, turning it into a public spectacle and annihilating the value and dignity of human life. War is depicted as systematic cruelty enforced at the level of sadistic criminal abuse.

Scholars are divided in their assessment of the ethics of depicting violence in a brutal and explicit way. Again one need only remember photographs of starving children, of executions and decaying corpses by professional documentary photographers and photojournalists, some of whom have won Pulitzer and World Press Photo prizes. Critical writing by Charles Baudelaire, Roland Barthes, Susan Sontag, Allan Sekula and John Berger claims this type of photography is voyeuristic, exploitative, and pornographic. Linfield (2010:45), on the other hand, questions whether "there [is] an inoffensive way to document unforgiveable violence".

I seek to demonstrate that there is a way – that permeates far more deeply and for longer – of heightening our conscience and eliciting a response without resorting to the dehumanising effect of visual atrocity. The photography ethics I am proposing encourages nonviolence and respect for the other<sup>7</sup>. Rather than dwell on human capacity for cruelty, the photography explored in Chapters 2 and 3 of this thesis promotes an ethics of responsibility for the other. I develop my analysis and interpretation in close dialogue with Butler’s (2004; 2009) understanding of the dimensions of interdependence and vulnerability framing the human condition. Butler (2009:44) does not name it *ubuntu*, but her argument captures the essence of the philosophy of *ubuntu* (mentioned earlier) when she writes, “If I seek to preserve your life, it is not only because I seek to preserve my own, but because who ‘I’ am is nothing without your life, and life itself has to be rethought as this complex, passionate, antagonistic, and necessary set of relations to others”.

To return to the argument of my thesis, I focus on a radically different type of photographic practice (from the atrocity photography mentioned earlier), one that seeks to counteract violence by drawing the viewer’s attention to the humanity and dignity of victims of violence. The photographs examined here are about form and composition – the “physical rhythm”, as Henri Cartier-Bresson calls it – but they are mainly about a respectful encounter between artist, subject and viewer. Implicit in the act of photographing and being photographed is a relationship of trust, a (un)spoken complicity resulting in a collaboration or a compromise between photographer and photographed subject. This ethical space I talk about is not limited to photographer and subject, but indeed extends to the viewer, whose role is not simply that of a passive onlooker, exercising a removed intellectual observance of the scene captured within the frame. The ethical address in the work of the photographers discussed in this thesis acts as a catalyst for reflection about our contributions to social and political change.

Three main questions motivate my discussion of photographic ethics: What sort of ethics can grow out of the photographer-photographed subject-viewer triangulated

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<sup>7</sup> Resonating with Jillian Edelstein’s ethical treatment of the suffering of victims of human rights abuse during apartheid is Chris Bartlett’s portraits of Abu Ghraib detainees, a project that was developed within the Open Society Institute’s Documentary Photography Project and “Moving Walls” exhibition. These initiatives aimed to document human rights abuses, thereby gaining public support and bringing about demands for social justice. In 2006 and 2007 Bartlett photographed victims of torture in the Abu Ghraib prison and recorded the human stories behind these abuses. The resulting project consists of a sequence of aesthetically compelling and introspective portraits juxtaposed with biographical information of the photographed subjects and descriptions of the inhuman and degrading treatment of detainees at Abu Ghraib, which can be accessed at [www.detaineeproject.org](http://www.detaineeproject.org).

relationship? What type of ethical response is engendered by an affective connection to photographs? What type of conflicting, contradictory or ambiguous readings emerge out of an affective engagement with photographs? Emmanuel Levinas and Mikhail Bakhtin's ethical philosophies help to provide answers to these questions in Chapter 2 and Chapter 3 respectively.

The portrait – the photographic genre brought into relief here – projects the complex architecture of human character, revealing beauty and power and vulnerability or even, at times, an indefinable emotion, which could perhaps be called the subject's inner self, his/her 'absolute being', or "the inner silence" referred to by Agnes Sire (2006) when characterising Cartier-Bresson's portraits. Another expression for this indefinable quality is the *animula* (meaning 'little soul' in Latin), which Barthes (2000:109) alludes to, the attitude, the *air* of the face, "that exorbitant thing which induces from body to soul" and which is unanalysable. In this context, the act of photographing intimates facing the other, perceiving him/her, answering to him/her and allowing "the face [*le visage*]" – as understood by Emmanuel Levinas (1969) – to look back, to talk back, to transform the gaze<sup>8</sup>. At that moment, the face, *le visage* (deriving from the Latin *visum*), not only serves its etymological function of beckoning to "a thing seen", it expresses, signifies and speaks, addressing me (the photographer/the viewer), and awakening in me a sense of responsibility for the other which Levinas foregrounds.

To take this reflection further, drawing on Levinas' (1969) conceptualisation of the "face of the Other" [*le visage d'Autrui*], Chapter 2 seeks to explore the power of Edelstein's portraiture to, in Gombrich's (1998:1) words, record and arrest "the movements of the face – [to freeze] them as it were" during a moment of introspection, a moment when external silence reflects an inner stillness or quietude, when "the face speaks" (Levinas 1969:66). Taken as an interface between self and the other, the portrait affords an encounter with the other as a face, giving rise to what Levinas (1969:33) calls the work of identification, that is, my ability – while allowing for the other to present himself – to absorb otherness "into my identity as thinker or possessor". It is in the encounter with the face of the other that the gaze undergoes transformation, turning from a relationship of appropriation of the other to one of generosity.

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<sup>8</sup> It is important to note that "the face" in Levinasian terms is not necessarily the anatomical face, as Hand (2009) underscores. Levinas's reflections extend far beyond the phenomena of the human face as the unique locus of expressivity. This insight offers us resources for re-thinking the way we look at a portrait, as I will explore in this thesis.

Applied to the interpretation of portraiture, Levinas's thought proposes a radical change in the way we engage with a photograph. To take cognizance of the photographed other's face is to be receptive to the other; it is to enter into dialogue with the other; it implies an experience that transcends that first assessment of the component features of the face – the shape, the texture and colour of the skin – and branches out into an understanding of something that cannot be seen, that goes beyond visual perception. As Seán Hand (2009:36) observes,

[Levinas] presents the face not simply as a physical detail, but as a moment of infinity that goes beyond any *idea* which I can produce of the other. The very existence of this face challenges all our philosophical attempts to systematize and therefore to reduce the other.

This requires an ethics of looking, which compels me to engage with what is immediately perceptible in the face of the Other and, most importantly, with what I cannot immediately apprehend. It entails, as Derek Attridge (2004:27) observes, “registering ... that which resists my usual modes of understanding”. In letting myself be discomforted or unsettled, stimulated or moved, in short, transformed by the visual experience, I am welcoming the possibility of responding “adequately to the otherness and singularity of the other, it is the other *in its relating to me* ... to which I am responding, in creatively changing myself and perhaps a little of the world as well” (33).

Reading images through a Levinasian optic resonates with Barthes' (2000) approach to photography in *Camera Lucida* (first published in English in 1981), where the author lays out a theory of photographic reception. Central to Barthes' photographic analyses is his categorisation of the effect photographs can have on a viewer. Strident criticism has been levelled at Barthes' last study on photography for being personal and subjective, superficial and inattentive, unoriginal and uncritical. But I argue that it is subjectivity, the individual experience, precisely, which affords each viewer the freedom to choose his/her place in relation to the photographic image, giving rise to a phenomenology of viewing.

Each viewer brings with him/her a repertoire of personal experience and values. The photograph proposes, never imposes upon the viewer; it articulates a lived experience, stimulating the viewer's memory and imagination, as well as provoking a recognition of some past experience which triggers the establishment of correspondences. Therefore, subjectivity, the personal or individual response to the photograph enables the viewer to be drawn to a detail in the photographic

representation that “pricks” or “wounds” him/her, as Barthes (2000:55) reflects. It is this affective relationship of viewing – stemming from the viewer’s emotionally evaluative position – together with the ethical question of responsibility that is explored in relation to Edelstein’s work.

Chapter 3 expands the theoretical analysis of photographic ethics. The substance of this chapter is formed by the understanding that at the root of an ethical photographic practice is a dialogical relationship between ‘self’ and the ‘other’. In this regard, the concept of the “utterance” that dominates Mikhail Bakhtin’s (1987) thought on dialogue provides the impetus for the exploration of the dialogical constitution of the photographic act. Framing Bakhtin’s argument is the notion of constant interaction or continual flux at the core of every human encounter. Grounded on the assertion that, in Holquist’s (1990:36) words, “the ‘self’ [is not] a unitary thing; rather it consists in a relation, the relation between self and other”, Bakhtin establishes “dialogue” as the unifying element between self and the other.

Bakhtin’s thesis comprises two important aspects: the first is the historical and socially specific context in which dialogic engagement takes place, and the second is the idea that “any utterance ... is preceded by the utterances of others (or, although it may be silent, others’ active responsive understanding, or, finally, a responsive action based on this understanding)” (Bakhtin 1986:71). Bakhtin (1986:91) contends that the continuous and constant interaction between utterances establishes speech communication as a chain made up of mutually dependent links, since “every utterance is filled with echoes and reverberations of other utterances”, refuting, affirming, supplementing and relying on others, presupposing them to be known and somehow taking them into account.

Bakhtin’s exposition on the dialogic engagement that characterises the utterance resonates with the central contention of the current study, which situates photographic practice in a sociologically significant relationship between people. A distinguishing feature of the photographic representations examined in this chapter is the dignity with which subjects present themselves, composed and addressing the camera face on, demanding to be looked at face on, with deference. The idea that the subject has been given the possibility to address the viewer (or, to put it in Bakhtinian terms, to author his/her text) by striking a pose, and giving the most dignified image of him/herself, reflects the dialogical relationship between photographer and sitter. The viewer, in turn, is summoned to this ethical relationship and called upon to contribute a response.

In essence, I draw on Mikhail Bakhtin's approach to language and action – with its axiomatic emphasis on dialogue – to critically engage with Adam Broomberg and Oliver Chanarin's (2004a) *Mr. Mkhize's Portrait & Other Stories from the New South Africa* from a number of perspectives. As discussed previously, the triangulated relationship between photographer, subject and viewer is seen as feeding on a continuing process of utterance and response. The production and presentation of a body of photographs (in different material forms) can be understood as emulating the same process. From this viewpoint, when photographs are put together as a body of work each individual photograph acts as an utterance that responds to other utterances that precede it.

As has been established in critical theory, the reception of photographs takes place in and through language and narrative. Viewers use language and narrative to both describe their experience of interacting with particular images and construct meaning of the photographs and stories that accompany them. In other words, following David Herman's (2007:3) definition of narrative, viewers (re)construct "what happened to particular people – and what it was like for them to experience what happened – in particular circumstances and with specific consequences", since, as he argues, "Narrative ... is a basic human strategy for coming to terms with time, process and change" (3).

From this perspective, I discuss in detail the exhibition "Mr. Mkhize portrait & other stories from the new South Africa" held at the Photographers' Gallery in London from June to August 2004 to examine how photographs that are placed in a sequence (in a book or in an exhibition) gain relations between them. By establishing connections between the images and considering how representations relate to the world outside their frames, the viewer enters into a dialogue with the photographic work. Narrative provides a tool for both building causal-chronological connections between images and embedding each image in wider structural conditions.

In summary, this dissertation intends to reflect on the ethical and political status of documentary and portrait photography in post-apartheid South Africa, with particular emphasis on two distinct historical moments in South Africa's recent past: the Truth and Reconciliation Commission and the tenth anniversary of democracy. Jillian Edelstein's (2001), and Adam Broomberg and Oliver Chanarin's (2004a) projects crystallise, with great insight and clarity, the complexities and specific conditions of South African society at these two moments. Therefore, in my analysis of individual photographs in each chapter I relate the content of the photograph to the broader

political and social context in which the photographed subject is enmeshed. The conclusion of my thesis seeks to draw together the essential traits of the two bodies of work examined here and the key themes of my work. It also aims to be a meditation on the major political, social and economic issues that reflect the changed/ unchanged realities of democratic South Africa ten years after the demise of apartheid.

## Chapter 1

### From apartheid to post-apartheid: the status of documentary photography in South Africa

We enter into a covenant that we shall build the society in which all South Africans, both black and white, will be able to walk tall, without any fear in their hearts, assured of their inalienable rights to human dignity – a rainbow nation at peace with itself and the world.

Nelson Mandela

#### 1.1 The (re)production and (re)contextualisation of symbolically-invested photographs

The words opening this chapter were voiced by Nelson Mandela's (2004:69) at his inauguration as president of the democratic republic of South Africa in Pretoria on 10 May 1994. Evocative of Martin Luther King's acclaimed address (quoted in Gilbert 1999:302)<sup>9</sup> on the steps of the Lincoln Memorial in 1963, Nelson Mandela's inaugural address celebrated the "common humanity that bonds both black and white into one human race" (Mandela 2004:509) and heralded the transition from apartheid South Africa to a democratic society. Much had gone before, and much more was to come after the first non-racial elections on 27 April 1994. Multiple processes and many state and social actors were involved in the social and political changes leading up to the elections. However the inauguration of the man who had become a symbol of the black liberation cause as the head of the first freely elected non-white South African government was more than a symbolic and unparalleled event in the history of the country. It represented the collapse of the hegemonic project of apartheid and, most importantly, it conveyed the promise of a new beginning for a "rainbow nation" – erected upon principles of democracy and equality – that prized the protection of *all* its citizens' political, civic and human rights.

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<sup>9</sup> 'I have a dream that one day this nation will rise up and live out the true meaning of its creed: "We hold these truths to be self-evident, that all men are created equal."'

Both supporters and sceptics of the project of the Government of National Unity (GNU) were moved by national and international media coverage of an unprecedented national fervour building up to the country's first one-person, one-vote election as images of people of all races standing together in long queues snaking their way to the polling booths made their way to the front pages of newspapers worldwide. Election day, 27 April 1994, was the day when ordinary South Africans stood patiently in lines waiting to cast their vote. It was in those long hours that, in the Reverend Desmond Tutu's words (1999:4), "South Africans [found] one another. People shared newspapers, sandwiches, umbrellas, and the scales began to fall from their eyes [and] they realised ... that they shared a common humanity". While this climate of national pride astounded observers and raised worldwide admiration, there was much interest in the factors that contributed to a relatively peaceful negotiated settlement, laying the groundwork for the complex process of South Africa's transition from a race-based apartheid system to a full participative democracy.

Many people realised that although the first democratic elections – followed by Nelson Mandela's inauguration – represented the dawn of a new era, it was difficult to untangle this historic moment from a legacy of colonialism and apartheid that had imposed a system of racial domination amounting to decades of racial and ideological conflict. Many weapons had been used to contest and resist apartheid, but of interest to this study is the role played by photography at different junctures during the struggle against apartheid. Photography of different genres – photojournalism, social and political documentary – provided the language which best gave form to and represented the experience of apartheid. These *photographies* played a crucial role in denouncing the cruelties, injustices and brutal violence of a system that trampled on fundamental human rights, thereby raising individual and collective consciousnesses, and compelling spectators to vehemently oppose the South African government and demand socio-political change<sup>10</sup>. The struggle against apartheid, or liberation movement, took on many forms, but two organised mass protests – notably the 1960 mass civil disobedience against the compulsory use of the passbook, and the 1976 student protest against the imposition of Afrikaans as a medium of instruction for black students – are often invoked as key moments of struggle in South African political history.

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<sup>10</sup> For a retrospective of the important contributions of photographers working for *Drum* magazine in the 1950s, alongside that of Ernest Cole and, later, the struggle photographers, see Darren Newbury's (2009) *Defiant Images: Photography and Apartheid South Africa*.

This chapter explores the photographic mediation of these two events, the role played by both photographers and the white liberal and black press in recording and disseminating images of unrest, alongside those of victims of the confrontation between police and protesters. Conflicting narratives will constitute an analytical strand in this chapter, which will focus on contested narratives and representations characterising these two crucial moments in the struggle against the apartheid regime, and argue that the confrontation of the different accounts or representations of the same event leads to a more complex understanding of the power and control exercised by the apartheid government.

Central to the visual construction of apartheid's oppressive regime is a deontological concern with, on the one hand, the production of visual testimony of the state's repressive violence and, on the other, the reiteration of visual statements of determination, resilience and courage. Representations of pain, suffering or death garnered – largely due to a combination of affective and aesthetic appeal, and narrative embeddedness – iconic status, the most significant example being Sam Nzima's photograph of the dying Hector Pieterse being carried by a young student.

Although this image will be taken up for analysis again later in the chapter, it is relevant to highlight the iconic power of a single photograph – derived, in part, from the symbolic value invested in it, from its multiple appearance over the years, in varied contexts and forms and in articulation with certain discursive frames<sup>11</sup>. A young boy killed by a shot fired by the police, being valiantly carried by another young boy and mourned by his sister running alongside them, came to epitomise the events of the Soweto uprising. The story of Hector Pieterse's death was told and re-told over the years until the image was lodged in the collective memory, becoming a symbol of the struggle against apartheid.

Its effectiveness and impact stem from a highly emotional register through which a primary affective response is triggered. The meaning of trauma<sup>12</sup> – broadly understood, in the theoretical context of trauma studies, as a wound inflicted upon both the body and the mind – is encapsulated in the three youngsters, two of them running in terror as they try to escape from death while attempting to save the life of the third. Much like Huynh Cong (Nick) Ut's widely recognised emotionally resonant image of children escaping from a napalm attack during the Vietnam War,

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<sup>11</sup> For a comprehensive discussion of this topic see Ruth Kerham Simbao's (2007) essay titled "The Thirtieth Anniversary of the Soweto Uprisings: Reading the Shadow in Sam Nzima's Iconic Photograph of Hector Pieterse".

<sup>12</sup> I will return to this line of inquiry in Chapter 2 of this thesis.

one of them a naked girl running down the road, screaming in agony from napalm burns, Sam Nzima's photograph produces a visual record of traumatic suffering that leaves an imprint on the observer, preventing him/her from ignoring the direct address or appeal transmitted by the expressions and manifestations of intense pain on the subjects' faces and bodies.

I want to suggest that these photographs (but I am particularly interested in Sam Nzima's photograph) achieve their haunting power because of the way they have been engaged with, reproduced and (re)contextualised. Trauma theory provides one lens through which the recirculation, reproduction and recontextualisation of Nzima's photograph can be discussed. Scholars contributing to trauma theory – whether from the perspective of psychoanalysis, neurobiology, sociology or literature – have produced significant insights about the repetitive and belated nature of trauma. In her reading of Freud's text *Beyond the Pleasure Principle*, Cathy Caruth (1996:4) reflects that

the wound of the mind ... is not, like the wound of the body, a simple and healable event, but rather an event that ... is experienced too soon, too unexpectedly, to be fully known and is therefore not available to consciousness until it imposes itself again ... trauma is not locatable in the simple violent or original event in an individual's past, but rather in the way that its very unassimilated nature ... returns to haunt the survivor later on.

Thus the impact of the traumatic event is recognised only when the suffering it produced is re-lived, re-called, re-presented. During the turbulent years of mass mobilisation and resistance acts following the Soweto uprising, liberation movements invoked the Hector Pieterse image, first to trigger a sense of loss and ultimately to renew the meaning and import of the anti-apartheid struggle. This insistent return to the event – enabled by the image's metonymic capacity to represent youth resistance – imprinted trauma in black social consciousness during apartheid, since as Caruth (1995:4) claims, the recurrent memory of traumatic experience leads to the “*possession* of the one who experiences it” (emphasis in the original). She stresses, “To be traumatized is precisely to be possessed by an image or event” (4). Once again drawing on Freud's insight, Caruth (1995:9) notes that “[T]he impact of the traumatic event lies precisely in its belatedness, in its refusal to be simply located, in its insistent appearance outside the boundaries of any single place or time”.

I argue that this investment grants the photograph of Hector Pieterse an incomparable import within the South African photography archive. The image is the centrepiece of the Hector Pieterse Memorial and Museum, one of the two leading photography museums that display part of the extensive visual record of the struggle against apartheid. The other museum is the Apartheid Museum<sup>13</sup>. The years leading up to the first democratic election in South Africa, and particularly those following it were of great change politically, socially and structurally. Accentuating the dominant discourse of reconstruction was a grammar of democracy centred on the concepts of social justice, national unity and stability. The development of a “new” nation – one seeking to radically break free from the ideological structures of apartheid – became a crucial stake in the new political dispensation’s project of an inclusive democracy.

The question – and, indeed, challenge – of how best to catapult the nation into a new political, cultural and social order which would radically change the face of society without effacing the memory of the past took centre-stage in a political agenda focused on the urgency of the process of redefining a national identity. Cultural institutions – in particular, museums – emerging in the decade since the dismantling of apartheid, hovered between the political and social tensions of the past and the post-apartheid impetus of social transformation and renewal, pivoting around the engagement with memory as a process of (re)imagining and (re)negotiating identity within the discursive frame of a politics of reconciliation and reconstruction.

Museums provide the locus for the conflation of the (re)interpretation of history, the production of historical narratives and the institutionalisation of a social memory<sup>14</sup> considered crucial to the practice of remembrance – or of a “pedagogy of memory” as Ricoeur (2006:67) terms it – of reinventing and retelling the legacy of apartheid. In this regard, the Apartheid Museum just outside Johannesburg and the Hector

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<sup>13</sup> Parallel to these two museums, the University of Western Cape-Robben Island Museum Mayibuye Archives comprise approximately 30,000 negatives, 80,000 prints and 4,000 transparencies which document life in South Africa under apartheid, from the late 1940s to 1990. The archive was compiled by the London-based International Defence and Aid Fund for Southern Africa (IDAF), the nerve centre of the international anti-apartheid information campaign since the 1960s. After its closure in 1991, IDAF relocated its collection to the Mayibuye Centre for History and Culture in South Africa, based at the University of the Western Cape.

<sup>14</sup> See Annie E. Coombes’s (2003) important critical reflection on post-apartheid cultural policymakers’ strategy for (re)fashioning South Africa’s visual and material culture as a means of (re) shaping collective memory and introducing new practices of public commemoration. See also Sabine Marschall’s (2006) and Angel David Nieves and Ali Khangela Hlongwane’s (2007) insightful articles on public memorialisation, focusing, in particular, on the Hector Pieterse Memorial and Museum.

Peterson Memorial and Museum in Soweto, which opened in 2001 and 2002 respectively, have become instrumental in giving expression to testimony, experience and memory of apartheid as a means of importing retrospective witnessing and remembrance into contemporary South African social consciousness<sup>15</sup>. The exercise of remembrance is rendered meaningful only if, as Ricoeur (2006:86) underlines, memory is turned into a project which extracts “from traumatic memories the exemplary value”, in other words, “If the trauma refers to the past, the exemplary value is directed toward the future”.

The intersection of trauma with the dialogue between present and past is, in fact, central to the design and construction of the Hector Pieterse Memorial and Museum. On arriving at the Hector Pieterse Memorial and Museum, the visitor becomes instantly aware of the many details that were carefully taken into account during the design phase of the project, most notably the choice of location and site layout, as well as the choice of construction materials and iconography. The memorial site (Fig.1) was erected 600m away from where the original shooting of Hector Pieterse took place on 16 June 1972 in Soweto’s Orlando West township. A “flame-line” of grass draws the eye from the museum entrance to the spot where Hector Pieterse fell to the ground.

A spacious public square dominated by dark stone and pools of water invites silent contemplation and mourning. To one side of the square a dry stacked black slate wall – symbolising the thousands of students who marched in protest against Bantu education – acts as a canvas for the almost life-size reproduction of Sam Nzima’s photograph screen-printed on aluminium (Fig.2). “Weeping” water slides over an inscription on red granite that reads: “To honour the youth who gave their lives in the struggle for freedom and democracy”. Placed directly in front of the water feature, the red granite cenotaph erected by the ANC Youth League in 1992 to commemorate the 16 June uprising also bears an inscription honouring all the nameless “heroes and heroines of [the] struggle who laid down their lives for freedom, peace and democracy” (Fig.3). Each year on the anniversary

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<sup>15</sup> While the Hector Pieterse Museum is centred on the representations of the June 1976 Soweto uprising, the Apartheid Museum, parallel to drawing a timeline of the rise and decline of apartheid, maps out the democratisation of documentary photography in South Africa. Both museums answer to the mandate of providing space for previously silenced voices to narrate history, disrupting, as Nieves and Hlongwane (2007:354) maintain, “the possibility of amnesia”. For an analysis of the architectural and curatorial strategies characterising both museums see Darren Newbury’s (2009) chapter “‘Lest We Forget’: Photography and the Presentation of History in the Post-apartheid Museum” in his book titled *Defiant Images: Photography and Apartheid South Africa*.

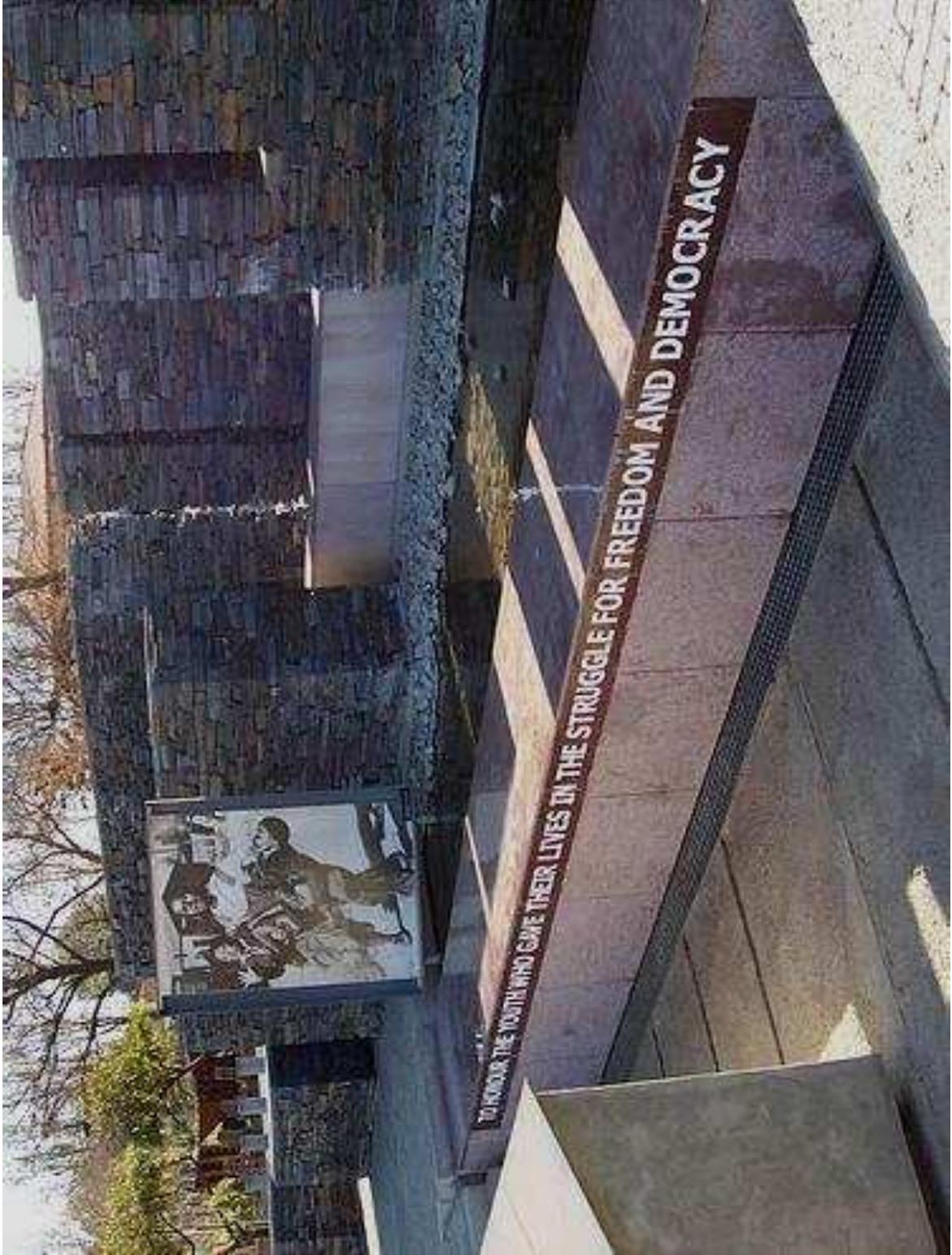
commemoration wreaths are laid at the cenotaph. Thus, the highly emotional material used for the memorial provides visual and emotional cues for a ritualistic enactment of the memory of trauma.

Inside the museum the affective dynamic explored in the exterior of the building is once again used to maximum effect. Recorded testimonies of witnesses of the events engage with large size photographs mounted directly onto the walls. The narrative construction of the museum display is accomplished by interspersed panels of text, images and video screens. Multiple strands of personal memory intermesh with researched narratives, prompting the viewer to reflect on the immense expanse of lives that were affected by the uprising. A tribute is paid not only to those who died but also to those who survived. The strength of the display derives from the size – which overwhelms us – of well-known photographs by the now legendary South African photographers Peter Magubane, Alf Kumalo, Bongani Mnguni and Sam Nzima, illustrating Sontag's (2003:76) view that "Photographs that everyone recognizes are now a constituent part of what a society chooses to think about, or declares that it has chosen to think about".

By the time the viewer comes to the photograph of Hector Pieterse lying motionless in the arms of Mbuyisa Makhubu who, alongside Antoinette Pieterse, is running towards us, as if to ask us for help (Fig.4), there is – due to the cumulative effect of the display – a sense of immense loss and grief. On the wall to the left of the large-size image several text panels provide eyewitness accounts (Fig.5), locking the story of what happened in our minds. A portrait of Hastings Ndlovu reminds the viewer that, contrary to what is normally stated, Hector Pieterse was not the first victim to be shot on that day. Hastings Ndlovu was shot on the head and died in hospital a few hours later. Two guns aimed at the portraits of Hastings Ndlovu and Mbuyisa Makhubu are fixed to a metal support, mimicking the police guns that were fired in June 1976, many at youngsters such as these two. The narrative provided by the articulation of the eyewitness accounts, the photographs and the guns brings the spectator to a halt, confronting him/her with the violence perpetrated against unarmed schoolchildren, eliciting both emotions and thought about the consequences of political violence and the impact of trauma on the social consciousness and the moral texture of society.



Fig.1 Hector Pieterse Memorial. Soweto, 2009. Photograph by Gadi Magagane.



*Fig.2 The large-scale reproduction of Sam Nzima's photograph of Hector Pieterse, Mbuyisa Makhubu and Antoinette Pieterse Sithole at the Hector Pieterse Memorial. Soweto, 2008. Photograph by Paula Horta.*



Fig.3 Cenotaph at the Hector Pieterse Memorial. Soweto, 2008. Photograph by Paula Horta.

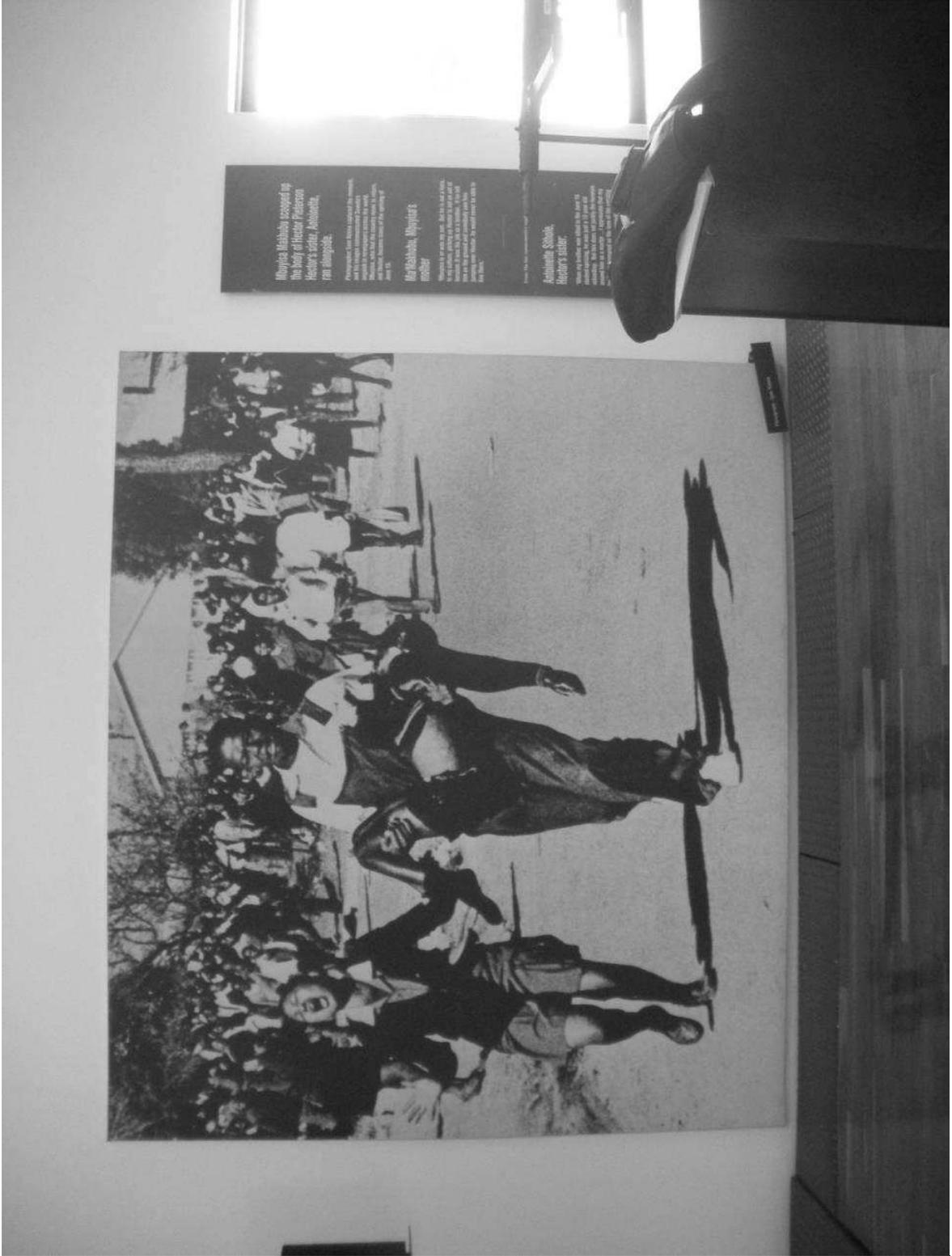


Fig.4 The large-scale reproduction of Sam Nzima's photograph inside the Hector Pieterse Museum. Soweto, 2009. Photograph by Gadi Magagane, taken with permission of the Hector Pieterse Museum.



Fig.5 Sam Nzima's photograph inside the Hector Pieterse Museum. Soweto, 2009. Photograph by Gadi Magagane, taken with permission of the Hector Pieterse Museum.

The repressive violence of 16 June was, in fact, replicated throughout much of the next decade in townships all around the country. I am interested in showing, in this chapter, how the “struggle photography”, a political documentary photographic movement that took root in 1982 under the aegis of *Afrapix* photographers’ collective, took its cue from the photographers of the Soweto uprising to invest in the potential of photography as a cultural weapon of struggle, mirroring Edward Said’s (2003) definition of culture as “a way of fighting against extinction and obliteration” and bringing about social change. Interestingly, the photographic space that emerged in the midst of repressive violence and censorship laws throughout the decade of 1980 not only produced a vast archive of important visual testimony but was pivotal in promoting the democratisation of political and social documentary photography in South Africa.

I seek to draw attention to how photographers in South Africa responded in different ways to the events and social landscape of the country. The struggle photographers relied on documentary photography’s claim to providing evidence and rendering a truthful account of events to expose the injustices, inhumanity and repression of apartheid. Funerals, marches, political meetings and confrontation between the police and protesters were the subject matter of the struggle photography, which very quickly developed into the discourse of the disempowered, playing a major role in shaping social knowledge and interpolating the type of political action that led to the downfall of apartheid.

It is important, I feel, to engage with another type of visual rhetoric, represented by the social documentary work of David Goldblatt. Demarcating himself from the political and propagandist discourses of the struggle photography, Goldblatt took a subtler – but not less critical – approach to documenting the social structures and race, class and gender relations at the base of a segregated society. Goldblatt is concerned with values, with notions of place and identity. His exploration of the socio-political texture of apartheid sidesteps the dramatic visual rhetoric of political unrest that did much to underscore the violence in a racially divided society but did little to reveal the personal dimension, the human consciousness of both victims and beneficiaries of apartheid. Therefore, this chapter examines how life under apartheid, the object of study of Goldblatt’s work, is documented not at sites of struggle and resistance, of brutality and violence, but rather at everyday social settings where social interaction and relationships intermesh.

I am arguing that documentary photographs cannot be dissociated from their social, historical and political contexts. In order to fully engage with the photographs of the Sharpeville massacre, the Soweto uprising, the protest events of the 1980s or the daily life settings captured by David Goldblatt, it is useful to explore the historical sociology of apartheid. Parallel to the discussion of the contribution of particular photographs – and *photographies* – to anti-apartheid thought and politics, this chapter will take up the trajectory of the discourse of nationalism underpinning the hegemonic project of apartheid, which developed a tight set of racial policies aimed at securing political, territorial, socio-economic, cultural and educational segregation on the grounds of race in South Africa during four decades. It will consider the radical distinction between the two political projects of the National Party (NP) and the African National Congress (ANC), with the first prioritising the entrenchment of white political power and the second – largely due to Nelson Mandela’s vision of a united country – advocating a new set of values, most notably freedom, democracy, equality, respect, diversity, responsibility and reconciliation<sup>16</sup>. As Nelson Mandela’s words, inscribed on a wall at the entrance of the Apartheid Museum, remind all South Africans, “To be free is not merely to cast off one’s chains, but to live in a way that respects and enhances the freedom of others”.

## **1.2 From apartheid to democracy: from Afrikaner nationalism to national unity?**

The first democratic elections in South Africa have often been defined by a combination of elation and calm despite the radical changes that were required at the political, social and structural level of a country transitioning from apartheid to democracy. The tortuous (and, at times, apparently never-ending) road of negotiation had been initiated by F.W. de Klerk on 2 February 1990 after the unbanning in Parliament of the African National Congress (ANC), the Pan Africanist Congress (PAC) and the South African Communist Party (SACP), the freeing of political prisoners and the subsequent release of Nelson Mandela from prison on 11 February 1990. The pre-negotiation initiatives begun by Mandela with members of the South African government in late 1985, while still in prison – and, parallel and equally important, talks between members of the *Broederbond* and the exiled

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<sup>16</sup> These are the core values of the Constitution inscribed on seven pillars at the entrance of the Apartheid Museum.

African National Congress (ANC) – gave leverage to the settlement politics that took place after Mandela’s release. An aiding factor was the basic political predisposition for change that had gradually been generated by the shift in the politico-socio-economic climate of the country since the late 1970s. Giliomee and Schlemmer (1989:115) include in what they call the “forces propelling the change process”, “a white demographic decline, growing black militancy, foreign pressure, changes in the Afrikaner class composition, and the fiscal crisis of the South African state.”

In 1976 the Soweto uprising provoked an outcry against the brutality of apartheid in the increasingly indignant international community, sharpening international sanctions while condemning the South African government’s denial of basic human rights. There was no other alternative for the government but to introduce piecemeal reforms to the Verwoerdian apartheid system over the next ten years – ranging from reform in labour and the defence force to desegregation in higher education and a gradual desegregation in public facilities – in an attempt to win international favour, reverse disinvestment, curb foreign debt and begin to salvage the country’s stagnant economy. Another move was to breach the political colour bar and win the support of Indians and coloureds with the 1983 Constitution, which made provision for a Tricameral Parliament with separate chambers for white, coloured and Indian legislators.

Implicit in Giliomee and Schlemmer’s analysis of the apartheid reform is the criticism that despite initial tentative measures, P.W. Botha’s government was not really interested in effectively dismantling the social and political structures of apartheid. President Botha’s two-pronged approach stalled wide-ranging reform while agreeing to do away with state-backed privileges for whites, bridge the racial salary gap and, in time, introduce equal opportunities and human rights for all (although this always remained a rather vague intention). Sketched in broad brushstrokes, the reform process included the abolition in 1985 of the Immorality Amendment Act and the Prohibition of Mixed Marriages Act. Abolition of the pass laws came in 1986 and in the same year blacks were granted freehold home ownership. Mixed-residential areas were finally legitimated in 1988. However, the government was not prepared to abolish race classification, group areas and segregated education in state schools. Any future political dispensation would allow blacks to participate in decision-making as long as Afrikaner leadership and hegemony remained unchanged. The result was an onslaught of turbulence and violence in black townships.

In January 1985, amidst a prolonged two year period of political violence and draconian security measures of two states of emergency, P.W. Botha attempted to strike a deal with Nelson Mandela: his release from prison for Mandela's unconditional rejection of violence as a political instrument. Mandela's rejection of the offer was read by his daughter Zindzi at a rally at Soweto's Jabulani stadium on 10 February 1985 and applauded by the black community. Mandela's (2004:47) terms were outlined in the words, "Only free men can negotiate. Prisoners cannot enter into contracts", cornering Botha into a political checkmate. Botha's only viable political ethic would be to renounce violence, dismantle apartheid, unban the ANC, free political prisoners, and guarantee both free political activity and the enfranchisement of the black majority. The outcome of this confrontation was Botha's irascible resolution not to make concessions and Nelson Mandela's refusal to be coerced into accepting any agreement, edging negotiations to a stalemate.

When F.W. de Klerk came into office in 1989, he contended with the international community's sustained economic pressures and sanctions in the form of disinvestment, trade restrictions and bans on long-term credit (Giliomee 1995). Internally, division had fractured the National Party. The hardliners were reluctant to accept a major reform (particularly because they believed that would equate with major upheaval) and endorsed continued domination, but even within the Broederbond it was impossible to stop the wheels of change as many Afrikaner intellectuals defended the need for the negotiation of a new political dispensation. Giliomee (1994) notes that the 1976 Soweto uprising and the black political protest in the 1980s had estranged all the best Afrikaans writers, poets and academics. At this juncture scholars, political analysts and observers considered the possibilities for government organisation at the start of the process of democratisation in South Africa. For Horowitz (1991) the racial and ethnic division in the country was not the only hurdle that needed to be cleared; ideological differences within and across racial groups bred conflict and thwarted a democratic compromise. The tension between Afrikaner and African nationalism was central to contentious views on the future of a country striving for a socio-cultural politics of non-racialism and inclusiveness.

### 1.3 Nationalism: a theoretical framework

An inquiry into Afrikaner nationalism and its offspring, the ideology of apartheid, warrants an allusion to prevalent theoretical approaches to the mutually constituting concepts of ‘ideology’, ‘nation’ and ‘nationalism’, enabling us to both place the South African nation-building blueprint into perspective and ascertain to what extent the Afrikaner nationalism project conforms with the theoretical perspectives followed and developed by Gellner, Hastings and Smith. Although these theorists’ analyses focus on the European socio-political landscape, they inspire critical thinking about the problems raised by Afrikaner nationalism and the environment in which it flourished. Reference to the plethora of existing literature on the subject is at this point unjustifiable, but a juxtaposition of contrasting theoretical critiques aims to offer a comparative outline of distinct (but not inimical) argumentative devices for deconstructing the South African nationalist imaginary.

In their conceptual clarification of ‘ideology’, critical literature emphasises different but related and indissociable aspects. Grossberg (1996:162) considers that intrinsic to the production of ideology is the enmeshing of particular structures of meaning in particular social and cultural practices, involving “the [hegemonic] mobilization of popular support, by a particular social bloc, for the broad range of its social projects.” In support of this view, Geertz (1973:220) contends that

It is the attempt of ideologies to render otherwise incomprehensible social situations meaningful, to so construe them as to make it possible to act purposefully within them, that accounts both for the ideologies’ highly figurative nature and for the intensity with which, once accepted, they are held.

Competing – and often conflicting – propositions constitute the debate about the ideology of nationalism. On one side of the spectrum, scholars like Ernest Gellner, Benedict Anderson and Eric Hobsbawm argue that nationalism is a component of modernity and – for Gellner – the consort of industrialisation. They attribute the development of nationalism to the mass production of texts and to widespread literacy. On the opposite side, Liah Greenfeld and Adrian Hastings challenge the modernists’ view with regard to the roots of nationalism, with the latter arguing that nationalism can be traced back to the Middle Ages when ethnic groups became distinct cultural and political entities. Whatever the point of departure used for

crafting an argument, the baseline from which scholars expound their theories is the definition of both “nation” and “nationalism”.

Gellner (1983) examines the nation as a social construction rather than a national entity. Pivotal to his thinking is the understanding that a nation is a collective of people with a common culture (understood as a shared language and shared education). In a complementary vein, Smith’s (2001:13) definition of nation underscores the importance of “common myths ... a shared history [and] a common public culture”. For Hastings (1997:3) it is important to establish the difference between *ethnie* or ethnic communities and nation. He observes that unarguable as it may be that “an ethnicity is a group of people with a shared cultural identity and spoken language”, this does not make of an ethnicity a nation. Nodia’s (1994) emphasis on territorial self-determination is subscribed by Hastings (1997:3) for whom a nation is distinguished from other social categories by being “formed from one or more ethnicities, and normally identified by a literature of its own, [possessing or claiming] the right to political identity and autonomy as a people, together with the control of specific territory”.

Hastings seeks to establish that the construction of nationhood coalesces with the development of an ethnicity’s vernacular to a literary language. Its first practical result is the translation of the Bible; secondly (or in tandem to that) is the cultivation of its literature, contributing to the maturation of a self-conscious cultural, social and political entity. The central tenet of Hastings’ thesis – and of his critique of the modernist strand of thought, including Benedict Anderson, Eric Hobsbawm, Ernest Gellner and John Breuilly – is that the construction of nationhood (a cognate of nation) cannot be dissociated from the interrelatedness of religion, politics and culture.

Smith (2001:9) considers that nationalism is “an ideological movement for attaining and maintaining autonomy, unity and identity for a population which some of its members deem to constitute an actual potential ‘nation’ ”. In this view, membership of the nation depends on the answer to the question of who is entitled to citizenship, depending on ethnic or civic conceptions of the nation. Hastings (1997:4) goes so far as to suggest that nationalism “arises chiefly where and when a particular ethnicity or nation feels itself threatened in regard to its own proper character ... either by external attack or by the state system of which it has hitherto formed part”.

In his articulation of the genesis and development of nationalism, Gellner (1983; 1996; 1997) identifies nationalism as distinctive to modernity and establishes a relationship between industrialisation and the onset of nationalism (as opposed to Anderson (1991), for whom capitalism's print technology acts as a springboard for nationalism, enabling people to "imagine" themselves being linked to a community of other people they will never know). Gellner's theory is anchored in the argument that the economically privileged intelligentsia take centre-stage in the modern society, benefiting educational, economic and political systems. In Gellner's (1994:vii) conceptual clarification of nationalism, high culture ("one whose members have been trained by an educational system to formulate and understand context-free messages in a shared idiom") engenders nationalist homogenisation and establishes a stable and political order.

From this viewpoint, when the state becomes the guardian of high culture and the elite its advocate, the result is a homogeneous nation-state, enhanced by cultural and economic development and modernisation. In this conjuncture, Gellner (1983:55) concludes, "genuine cultural pluralism ceases to be viable". Opposing Gellner's (1983:46) view that nations are "necessary", that there is "an objective need for homogeneity", Hastings (1997:34) argues that the worst failing of nationalism is "the imposition of uniformity, a deep intolerance of all particularities except one's own." This line of reasoning was given force by Ernest Renan in a lecture delivered at the Sorbonne in 1882 when he cautioned that "[an] exclusive concern with language, like an excessive preoccupation with race ... enclose one within a specific culture, considered as national; one limits oneself, one hems oneself in."

The weakness in Gellner's (1983) functionalist theory is that while it accedes that nationalism is a manifestation and necessary component of modernity, it ignores that cultural pluralism is a feature of modern industrial societies and modern industrial economy where several "high cultures" and educational systems coexist. In defending that political nation and cultural nation must be one, Gellner does not provide scope for multi-ethnic and multi-national states with a common sense of political (not cultural) nationhood, and fails to acknowledge the central role of constitutional engineering in modernity, neglecting to consider both the state and the citizens' moral universe of rights and obligations.

In this regard, Ignatieff (1994) and Habermas (1995) argue that rather than rely on a shared language, shared associations, shared history and a common culture, modern political and social architecture rely essentially on a doctrine of citizenship. These

theorists contend that a community's sense of belonging, loyalty and pride should be founded on the state's attachment to principles of democracy and protection of its citizens' political, civic and human rights. A corollary of this view is that, as Beiner (1995:8) puts it, "there is a requirement that all citizens conform to a larger culture, but this culture is national-civic ... it refers to political, not social, allegiance". The point to be made here – and discussed at greater length with regard to South Africa – is that a solid edifice of a (post-apartheid) nation can only be constructed on the pillars of, as Nodia (1994:6) puts it, "Democracy ... a system of rules legitimated by the will of the people". This argument foregrounds the conviction that

'We the People' (i.e., the nation) will decide our own fate; we will observe only those rules that we ourselves set up; and we will allow nobody – whether absolute monarch, usurper, or foreign power – to rule us without our consent (9).

#### **1.4 The political and intellectual stranglehold of Afrikaner nationalism**

The different stages of Afrikaner nationalist awakening reflect, to a great extent, the rationale of European nationalist theory of the eighteenth and nineteenth centuries, but with a distinct tonality and political nuance. The extensive and widely cited academic literature on Afrikaner nationalism follows diverse strands of discussion on the rise and fall of Afrikanerdom. The sociologist Dunbar Moodie (1975) in his seminal work *The Rise of Afrikanerdom*, equates the emergence of an Afrikaner national consciousness with the rooting of the Afrikaans language and the fixation of a 'civil religion' (a Christian-National civil faith), dissecting the role of Dutch Calvinism in the formulation of the ideology of Afrikaner-Christian nationalism. O'Meara (1977) – who will be dealt with in this study at greater length – foregrounds the construction of a rhetoric of ethnic exclusivity/power focused mainly on economic and political gain. Adam and Giliomee (1979) identify survival politics as the tripod upon which Afrikaner oligarchy rests, focusing on the ideological, economic and political mobilisation strategies spearheading ethnic politics. Giliomee and Schlemmer's (1989) prescriptive study suggests a transitional period of ten years as a possible approach to the dismantling of the Afrikaner power structure, ushering in the end of residential segregation, increased economic growth and an authority-sharing coalition.

Regardless of the angle from which we survey the political culture of Afrikanerdom in the first half of the twentieth century, two aspects present themselves most saliently. The first is that the imagining of the Afrikaner nation unfolded against a backdrop of watershed events in the South African political landscape understood against several socio-economic variables of a rapid industrialisation. The Transvaal War of Independence against the British in 1881, the Anglo-Boer War (1899-1902), the Unification of South Africa in 1910, and the 1948 electoral victory of the *Herenigde* (United) National Party (HNP) were major determinants of political behaviour. Rivalries often bolstered economic inequalities, and social tensions between English and Afrikaans-speaking South Africans, and between blacks and whites were most exacerbated during the expansion of the industrial economy. The second point is that Afrikanerdom was, during this period, far from cohesive; rather, ideological division within Afrikaner leadership cyclically endangered ethnic mobilisation.

Setting aside the cleavages that divided the Afrikaner elite, Afrikaner ideology in the twentieth century survived on Hendrik Verwoerd's claim that "Every nation has the right to self-protection and self-preservation" (quoted in Giliomee 1994:535). The man who was Minister of Native Affairs from 1950 to 1958 and Prime Minister from 1958 to 1966 – and said to be the main architect of apartheid – made it his mission to guarantee the survival of all white South Africans, but particularly of Afrikaners. But Verwoerd merely cemented a political will made concrete by the Afrikaners' political motivations throughout the nineteenth century – beginning with the Great Trek (1838), followed by the opposition to the annexation of the Transvaal in 1877 and the Anglo-Boer war (1899-1902) – to seek independence from the British, reject English cultural and social values, and concurrently resist swamping, miscegenation and degeneration.

A distinctive spoken language, a single religious faith and a common historical heritage were the components of a national consciousness which claimed the right to the development of a separate ethnic group. Another component of the developing Afrikaner nationalism was, as Giliomee (1979:99) observes, "a sense of belonging to a superior social class, elevated above the blacks whose ancestors had been slaves". A racial consciousness and ethnic identity became the building blocks of Afrikanerdom whose survival – championed the nationalists – depended on white Afrikaner political control.

Nurtured by religious and philosophical arguments of theologians in Afrikaans churches, notably the *Gereformeerde Kerk* or ‘dopper’ (the Reformed Church) and the N.G./ *Nederduits Gereformeerde Kerk* (the Dutch Reformed Church), Afrikaners found the moral basis for the definition of a nationalist doctrine rooted in the separate development of racial groups or nations (*volke*). This would, however, only be possible if the state made provision for separate political, economic, cultural, religious and educational institutions, enabling the subsistence of the *volk*, “a collectivity”, according to Giliomee and Schlemmer (1989:45), “whose members were of similar descent and racial stock, and who shared a common history, culture and sense of destiny.”

Imperialism was seen as an obstacle to the consolidation of the social, political and cultural cohesion of the Afrikaner nation. As O’Meara (1977:160) points out, imperialism was “understood to be the economic and political domination of South Africa by Britain through the Empire”. In addition to these threats, Le May (1995) underscores the danger to Afrikaner language and culture presented by Milner’s<sup>17</sup> aggressive policy of Anglicisation aimed at crushing Afrikaner national identity and promoting cultural assimilation. Following the Anglo-Boer war and the unification of South Africa, Louis Botha and Jan Smuts’<sup>18</sup> policy of conciliation between Afrikaners and the South African English, endorsing an environment of mutual respect and common purpose in the search for a new white South African identity, raised discord and angered Afrikaner nationalists, among whom J.B. M. Hertzog was a harsh critic.

Hertzog’s growing mistrust of Botha and Smuts’ integrationist policy – and their neglect of what he considered to be the *volk*’s national interests due largely to the alliance between the newly united South African state and the British Empire – distanced him from Botha’s South African Party (SAP) and encouraged him to form the National Party in 1914. For Hertzog, coexistence would only be possible if a “two-stream” policy were to be implemented, allowing the English and Afrikaners to

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<sup>17</sup> After being appointed governor of the Cape Colony in 1897, Alfred Milner sought to introduce reform measures in the Transvaal. One of the tenets of his administration was educational reform based on the mandatory use of English as a medium of instruction in all except the elementary classes, awarding him acrid opposition from the Afrikaners. Disagreement with the leaders of the two Boer republics, the Transvaal and the Orange Free State led to the Anglo-Boer war.

<sup>18</sup> Following the Anglo-Boer war a commission of five generals was appointed to negotiate the terms of the Treaty of Vereeniging in 1902. They were Botha, de la Rey and Smuts from the Transvaal; de Wet and Hertzog from the Orange Free State. Botha became the first prime minister of the Union of South Africa in 1910. Smuts went on to become prime minister of the Union of South Africa from 1919-1924 and from 1939-1948. Hertzog was prime minister of the Union of South Africa between 1924 and 1939.

pursue their culture and language separately. In his endorsement of the central role of the Afrikaans language in the conception of Afrikaner identity, Hertzog made a commitment to defend the growth of Afrikaans as a language by enforcing bilingualism in the civil service and integrating it into the mainstream of society.

According to A. du Toit's (1985), "the first stirrings of [Afrikaner historical consciousness and] of Afrikaner nationalism" were signalled by several events: the first Afrikaans Language Movement spurred by the Reverend S.J. du Toit from 1875 onwards, the foundation of the first Afrikaans newspaper *Die Patriot* in 1876, the publication of the first version on South African history in Afrikaans titled *Die Geskiedenis van Ons Land in die Taal van Ons Volk* (The History of our Land in the Language of our Nation) in 1877, and the foundation of the Afrikaner Bond (the first major Afrikaner political organisation) in 1880. In the twentieth century, *Die Taal* (The Language) developed as a language of scholarship and general education with a growing corpus of literature and literary criticism due to the patronage of the Dutch Reformed Church and the approval of the translation of the Bible into Afrikaans. It replaced Dutch in schools in 1914, and was declared as an official Language in Parliament in 1925.

In the 1920s the Afrikaans magazine *Die Huisgenoot* became a popular vehicle of Afrikaner values, propagating a conservative view of the role of women, marriage and the family. The Afrikaans newspaper *Die Burger*, the National Party's mouthpiece, was instrumental in promoting the Afrikaans language and disseminating Afrikaner nationalism. The editor – D.F. Malan, a former *predikant* (minister) of the Dutch Reformed Church who was to become the first prime minister of apartheid South Africa in 1948 – turned it into "the most eloquent, and the best informed, of all Nationalist publications" (Le May's 1995:161). With Hendrik Verwoerd (who would later become Prime Minister in 1958) as its editor in 1937, *Die Transvaler* newspaper followed suit, becoming an important forum for the dissemination of the party's ideas by using party rhetoric to engage Afrikaners and win their loyalty.

Ideology as a unifying force and organising principle promoted the creation, in 1918, of a secret and exclusive organisation whose aims were to promote a united Afrikaner nation, to stimulate Afrikaner national consciousness, to infuse the love of language, religion and tradition, and to safeguard the interests of the nation (O' Meara 1977). Calling themselves at first *Jong Suid Afrika* (young South Africa), and immediately after that the *Afrikaner Broederbond* (the Afrikaner Brotherhood), the group of nationalists who first came together to defend their common identity

started to exert an increasingly powerful influence on the economic, political and cultural institutions of South Africa. Founded against a background of party political disunity and economic instability in the northern provinces, the Broederbond, at first a small organisation, grew steadily in membership, ideological framework and activities during the next three decades.

In his critical study of the Broederbond, O'Meara (1977:186) argues that "the Afrikaner Broederbond was a united, disciplined body of petty bourgeois militants, the vanguard which prepared the ground for a new class alliance to capture state power". Resentful of the dominant English-speaking capitalist class (holder of the mining and finance capital), the Broederbond's remit was, O'Meara underscores, the development of an ideological and political matrix which could respond to the pressures of capitalist development. The answer to this struggle was synthesised in the 1930s by the definition of a system of *Volkskapitalisme* (National/people's capitalism). It was believed that this system would nip the problem in the bud by targeting, not capitalism itself, but rather the structure of South African capitalism by taking control of finance and credit capital. The solution, it seemed, was the development of an economic consciousness on a par with political consciousness. This strand of thought advocated that political power was most effective if it prescribed economic participation in the urban industrial economy.

Membership in the Broederbond – of which teachers, academics (in large number from Potchefstroom University), clergymen and civil servants accounted for the biggest fraction – was by invitation only and exclusive to financially sound, white, Afrikaans-speaking Protestant males. While in the 1930s academics led the ideological debates within the organisation, by the late 1970s party politics had become part of the organisation. In fact, all South African prime ministers after 1948 and most of their cabinets belonged to the Bond (O'Meara 1977). Education and the problem of the impoverished urban Afrikaners of rural origins (particularly the fear that Afrikaners might be absorbed by a capitalist system that bred class division) were central to the Broederbond's concerns in the first two decades of its inception (Welsh 1969).

The Anglo-Boer War and agricultural depressions in the three subsequent decades provoked mass migration of unskilled Afrikaners to the cities where they had to compete with skilled English-speaking industrial workers, as well as with low-salaried black workers. O'Meara's (1978:51) states that "Afrikaners found themselves either in the large army of unemployed poor whites and/or as part of an army of operatives

in the least skilled, lowest paid roles assigned to white labour.” In the Carnegie Commission’s 1932 report on the poor white problem in South Africa, the bulk of the population classified as being ‘very poor’ were Afrikaans-speakers with the number of unemployed adult males reaching 188,000 by 1933. The industrial economy was dominated by imperialist interests that had no intention of calling to their ranks the petty bourgeois Afrikaans-speaker.

The Broers’ (brothers’) reply to this conundrum was, on the one hand, to transform Afrikaners’ economic consciousness by mobilising Afrikaner workers’ savings and harnessing the capital of the petty bourgeoisie and, on the other hand, to secure political power. As O’Meara (1978:60) states, drawing on the several economic journals at the time, “Political power is the sine qua non of success in the economic struggle. The two are indivisible”. This strategy required direct participation or influence in a network of social, economic and political institutions, namely in *Die Nasionale Pers* (National Press), trade unions, and the banking, finance and insurance sectors. The economic motivation underpinning the Broederbond’s activities spurred the creation of the future insurance giants Santam and Sanlam, coupled with the foundation of a building society and Volkskas bank, and with *Die Nasionale Raad van Trusteés* (NRT). This National Council of Trustees aimed to provide financial support to Afrikaner Christian-National trade unions whose two-fold remit was to attack the ideologies of class prevailing within the trade union movement and to inculcate aversion to foreigners/imperialism, blacks and communism (O’Meara 1977; 1978). The *Reddingsdaadbond* (League for the Act of Rescue) concerned itself with Afrikaner workers, offering cheap life assurance schemes and finding placements for the unemployed within Afrikaner-owned undertakings. Construed in this way, Afrikaner nationalism was, as Chipkin (2007:18) argues, “an ideological effect of national capital.”

In the 1930s the Broederbond’s ideological debates focused on the nexus between *kultuur* (culture) and nationalism, supporting the formation of the *Federasie van Afrikaanse Kultuurverenigings*, FAK (Federation of Afrikaans Cultural Associations), an institution whose primary aim was to establish Afrikaans as a national language and – through its influential role in the church, youth and student associations, scientific and educational groups – promote a wide spectrum of cultural activities. The proclaimed need of a redefinition of Afrikaner nationalism in an attempt to do away with class division and achieve *volkseenheid* (unity) was the umbrella under which the Broederbond politicised *kultuur* (culture). According to Dunbar Moodie’s

(1975:115) analysis, this politicisation complicated “Afrikaner politics after 1930 ... by the vagaries of the relationship between *partypolitiek* and *kultuurpolitiek*”.

The celebration of the centenary of the Great Trek in 1938 mobilised the *volk* in an orchestration of the cultural symbols of Afrikanerdom – articulating a heroic mythology of major events in Afrikaner history, notably the Great Trek, the Battle of Blood River and the Wars of Independence in which the Afrikaners took their place as God’s ‘chosen people’ – in an attempt to bridge Afrikaner class divisions and cement cultural and ethnic ideologies by invoking national destiny and a divinely ordained mission. In his deconstruction of “the chosen people ideology” in what he termed “the Calvinist paradigm of Afrikaner History”, André du Toit (1985:218) remarked that the rediscovery of the historical past was the attempt of modern nationalist intellectuals to imbue national consciousness with a sense of “discovery of common adversaries and interests, of common ties of blood and of collective grievances”, thereby linking the present to the mythological past and validating projections of the future. In sum, for the political ideologues, the metaphors of blood, kinship and homeland enacted as cultural symbols during the centenary of the Great Trek could not have been timelier, as they endorsed ethnic boundaries at a time when class formations threatened the political *status quo*.

Exclusion of English-speakers from the cultural, political and economic spheres was the backbone of the Broederbond’s ideological production, which, as noted by Dubow (1992:215), opposed any form of *samesmelting* (amalgamation) between English and Afrikaners. The exclusionary discourse was underpinned by the distinction between “true” white South Africans and those whose first allegiance was to the Empire. Any ideological stream differing from this prescription was likely to meet with the Broers’ antagonism. Although association with the National Party is usually taken as given, statesmen did conflict with the Broederbond’s interests. The most salient example is Hertzog, whose move in 1933 (in the wake of the Gold Standard Crisis) to coalesce with Smuts’ South African Party to form the United Party (UP) in 1933 raised discordance among the Cape nationalists, resulting in the creation of the *Herenigde* (reunited) National Party (HNP) led by D.F. Malan.

Hertzog attempted to redefine Afrikanerdom in terms of, as Giliomee (1979:111) puts it, a “cross-ethnic middle-class base ... consisting of Afrikaans and English-speaking whites – ‘equal Afrikaners’ – who subscribed to the principles of [sovereignty, language equality, and the economic nationalism] of South Africa First”. This redefinition not only widened membership to the *volk*, but, implicitly, placed it –

economically and culturally – at the mercy of the Empire. His strategy met with resistance from Malan who appealed to Afrikaner unity, advocating republican independence in the name of the preservation of the Afrikaners' political, economic and cultural independence. The schism within the Afrikaner ruling group widened with Smuts' resolve to have South Africa take part in World War II on the side of Britain, giving rise to a revival of anti-imperialist sentiment and alienating even further the majority of Afrikaners for whom Hertzog's vision of a united Afrikaans and English-speaking *volk* was inconceivable (Giliomee, 1979).

In his analysis of the ideological elaboration of the concept of race underpinning the framework of apartheid, Dubow (1992: 211) stresses the contribution in the 1930s of Afrikaner intellectuals from Potchefstroom – among whom he highlights L.J. du Plessis, a politics lecturer – to the construction of an intellectually coherent rationalisation of apartheid in *Koers* (Direction), “the influential theoretical mouthpiece of the Federation of Calvinist Student Associations”. However, he notes that the concept of apartheid started gaining wider acceptance in the mainstream of contemporary Afrikaner politics from the moment it was articulated by D.F. Malan in his political speeches in 1943. The next step in the entrenchment of this ideology was taken at the Broederbond's *volkskongres* on racial policy in 1944 – with a convincing address by the respected Afrikaner poet and theologian J.D. du Toit (Totius), son of the Reverend S.J. du Toit. Here the rationale of apartheid was outlined, as Dubow (1992:216) enumerates, in six strokes:

- (i) that a policy of apartheid should be adopted in the mutual interests of the white and non-white population of South Africa, so that non-white *volks*-groups could each have the opportunity to develop in their own areas and ultimately to administer themselves;
- (ii) that it was the Christian duty of whites to act as guardians over the non-white race until such time as they reached the level necessary to decide their own concerns;
- (iii) that in the interests of all races no further blood-mixture should take place;
- (iv) that the calling and duty of the white race in South Africa were to ensure that full control over all aspects of government in white areas should be retained in white hands;
- (v) that any policy which would result in the detribalization or denationalization of the individual, or his development in such a way that he would be cut off from his own group, tribe or *volk*, should be rejected; and
- (vi) that the true welfare of non-white population groups should be sought in the development in the individual, in a Christian manner, of a feeling of worth and pride in his own group, tribe or *volk*.

A. du Toit (1985:234) argues that Kuyperian neo-Calvinist principles had no particular influence on modern Afrikaner nationalism and that “the ideological and material roots of apartheid must be sought elsewhere”. In contrast, Dubow (1992) claims that Afrikaner political thinking and religious belief that spawned the ideological justification/legitimation for white supremacy were rooted precisely in the ideas of the Dutch theologian and patron of the Dutch neo-Calvinist movement, Abraham Kuyper. According to Dubow (1992), Kuyper’s political philosophy impacted on, among other Afrikaner theologians, the influential S.J. du Toit, who subscribed to the intellectual, moral and religious resources of the neo-Calvinist school of thought rooted in the doctrine of the sovereignty of God and of a covenant between God and a chosen people. This ideology, along with Kuyper’s crucial tropes of ‘diversity’ or pluriformity, national destiny, and the nation as an ‘organism’ found support among Potchefstroom University intellectuals who wielded a strong influence on the fashioning of apartheid ideology.

Influential nationalist ideologues in the South African political arena of the 1930s like Nico Diederichs, Piet Meyer and Geoff Cronjé found another source of inspiration in the writings on National-Socialism of J.G. Herder, F.E.D. Schleiermacher and J.G.Fichte, whose idealised view of nationhood postulated, in Dubow’s (1992:220) words, that “the nation or *volk* [is] a collective organism with its own distinctive ‘genius’ or soul”, and that “the creativity of the individual is best expressed through the collectivity of the group”, placing the nation above everything else. Although this view raised discord because it clashed with the Kuyperian defence of the ultimate sovereignty of God, the biggest divide among Christian-nationalists was caused by conflicting viewpoints with regard to race.

Whereas Nico Diederichs<sup>19</sup> denied “that the nation can be defined in terms of outward characteristics such as race, land, colour and physiognomy” (quoted in Dubow 1992:221), hard-line defenders of apartheid Koot Vorster and A.B. du Preez alluded both to passages from the scriptures and to biologically based theories of race to substantiate the logic of the separation of races founded on the superiority of the white race<sup>20</sup>. In a later study, Dubow (1994:357) underscores the focus within

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<sup>19</sup> Nico Diederichs became State President from 1973 to 1978.

<sup>20</sup> The scriptural basis of apartheid was systematised and compiled into what has been termed “the apartheid bible” by J.A. Loubster (1987), and presented to the Dutch Reformed Church General Synod in 1974 under the title *Human Relations and the South African Scene in the Light of Scripture*.

academic discourse in the late 1930s and 1940s on “the validity of race as a scientific concept”. He alludes to the attack of liberal academics on the conflation of the concepts of race, culture and nation, and cites theorists’ articulation in the late 1930s of the concept of ethnicity – rather than race – as an attempt to “downplay the importance of heredity as a constitutive element of human behaviour and to stress instead the agency of culture and the environment” (358). This argument provided the necessary component for the fashioning of a segregationist discourse predicated on the salience of “difference” – without clearly defining “difference” in terms of biological determinism – and advocating the need for the preservation of the *volk*’s cultural identity. Ultimately, a formulation such as this one ushers in Hall’s (1996:4) claim that,

Precisely because identities are constructed within, not outside, discourse, we need to understand them as produced in specific historical and institutional sites within specific discursive formations and practices, by specific enunciative strategies. Moreover, they emerge within the play of specific modalities of power, and thus are more the product of the marking of difference and exclusion, than they are the sign of an identical, naturally-constituted unity...

Dubow (1992) concludes his theory on the conception of an ideology of apartheid and the engineering of the racialised structure of the apartheid project with the argument that far from being cohesive or monolithic, the discourse on race changed according to, and was refined by, different intellectual and theological strands. The biblical foundations of apartheid were proposed by some scholars of theology and rejected by others; racial science advocating race superiority and the preservation of white civilisation and ‘race purity’ was boldly assumed by some and half-heartedly disclaimed by others; but opposing views agreed on the need for the practice of racial segregation on the grounds of (for some) practical and historical reasons and (for others) cultural reasons.

The categories of race, *volk* and culture are said to have intersected and interchanged in an almost fluid continuum. Given that the impetus for the elaboration of a legitimising ideology of race derived from concern with the survival of the Afrikaner *volk*, what this amounted to was an intellectual framework whereby, notwithstanding the defence of white supremacy, English-speaking South Africans had to be distinguished from Afrikaners on the basis that the two groups belonged to the same racial group but to distinct *volke*, each with its own identity. Politically, though, at this juncture there was no denying that the Afrikaners’ socio-political

project was impracticable without the support of English-speakers. The insistence on white racial solidarity would conveniently obscure any past intra-white struggles as well as any intention of future ethnic hegemony and secure the necessary English support. This strategy reflects Geertz's (1973:202) argument that "Ideas are weapons and ... an excellent way to institutionalize a particular view of reality – that of one's group, class, or party – is to capture political power and enforce it."

### 1.5 Apartheid and the dynamics of racial oligarchy

D.F. Malan anchored his programme on the idea of *Nasionalisme as Lewensbeskouing* (a total outlook on life), advocating the primacy of the Afrikaner *volk* (Beinart, 2001) and emphasising its national distinctiveness. The guiding force that brought Malan's HNP to power, however, was the project of rigid segregation and separate development aimed at the protection of whites and, above all, at the entrenchment of Afrikaner political power. Yet, opposition from the United and Labour parties' liberal supporters conferred the HNP a slim victory in the 1948 elections. Backing Malan were the white Afrikaner working-class whose discontent was proportionate to growing white unemployment as blacks started to occupy skilled positions in the labour market during the war. The farming community, too, voted for the HNP whom they hoped would have an answer to the problem of labour shortage caused by the migration of black workers looking for higher wages in the urban centres.

The period following the 1948 electoral victory until 1966 (and, particularly, the Verwoerdian era between 1958 and 1966) has been equated, in contemporary times, with full-blown apartheid ideology. In fact, in 1948, far from being a cohesive blueprint for the future, apartheid was still very much an inchoate set of intentions and slogans. The values of *Volkseenheid* (volk unity) and *volksverbondenheid* (identification with and service of the volk) adorned the winning party's rhetoric. This value-laden political discourse aimed to foster a sense of ethnic identity centred on the preservation of culture, race and the fatherland, as well as gain support for the consolidation of the framework of apartheid (Giliomee, 1979).

Although this rhetoric appealed to nationalist sentiment, practical matters put a damper on nationalist idealism. The success of the political unit relied not on nationalist idealism but on the guarantee that the needs of the industrial society

were met and ethnic capitalism secured, giving Afrikaners a stronghold on the country's economic development. The economic interdependence of races revealed the fragility of the political framework of apartheid. The most salient question was: How could white economic dependence on non-white labour in farming, mining manufacturing sectors be compatible with a policy of total segregation?

Open division surfaced within the highest echelons of the party. For Afrikaner capitalists the process of capital accumulation was incompatible with total economic segregation of races, whereas for the South African Bureau of Racial Affairs (SABRA), created in 1947, white supremacy entailed not only political, territorial, social, cultural and educational segregation but economic segregation as well. Within this mindset, SABRA advocated a slow and controlled withdrawal of black labour from the white economy, coupled with its replacement by white labour and an accelerated mechanisation. They argued that such a withdrawal would not only ease the polity into complete segregation but also reverse the worrying growth of an urbanised African population. In consonance with this viewpoint was the *Instituut Vir Volkswelstand*, a member of the FAK, that submitted a proposal to the Fagan Commission in 1946 endorsing a division of the country into labour districts subject to fixed labour quotas (Posel, 1987).

In opposition to the supporters of total segregation was the *Afrikaanse Handelsinstituut* (AHI) – also launched by the Broederbond in 1942 – which defended Afrikaner businessmen's main interest in profitability, refusing to accept any restriction in the supply of cheap non-white labour. The AHI was against migratory labour and in favour of an urbanised black workforce. It argued that a system of allocation of black labour, together with the principle of influx control regulating black urban growth, would secure optimal use of black labour without compromising the economic and social structure of apartheid. These positions (total segregation vs. economic integration) represented opposite poles of a shared discourse: white supremacy was inefficient without economic supremacy. The goal was the same for both factions, but interest varied according to class. For Posel's (1987:113) it was not by chance that “the exponents of ‘total segregation’ should have been drawn primarily ... from the ranks of the Afrikaans petty-bourgeoisie and working class” while “Afrikaner industrialists, financiers and farmers were profoundly threatened by the sorts of proposals germane to the ‘total segregation’ position.”

Afrikaner political and social behaviour had long been underpinned by the ideologies of race and ethnicity. However, the systematic implementation of a tight set of

racial policies – from the moment of the conception of the hegemonic project of apartheid – secured white political power and distributed wealth and privileges unequally for the next four decades. In this context, positions of power and subordination were acted out in every dimension of political, social and cultural life, representing – for non-whites – zero participation in the political arena, and in the social domain restricted access to labour, housing, education and cultural representation. Built upon previous leaders’ segregationist legacy (handed down since the end of the nineteenth century) apartheid emerged as an effective instrument of Afrikaner nationalism (Giliomee and Schlemmer, 1989).

According to Giliomee and Schlemmer (1989), four main tenets underwrote the conceptual apparatus of apartheid. The first brought the *volk* (nation) to the fore, arguing that a common history, culture and sense of destiny gave precedence to the collectivity rather than the individual and justified separate development as a means of survival of each *volk*. This proposal conflated with the understanding that the policy of *gelykstelling* (equalisation) was impracticable, and *rasservermenging/verbastering* (racial mixing/miscegenation) a dangerous enabler of racial decline. An equally important point was the role of education in ensuring that all ethnic groups nurture a “love of ‘their own’ and, in particular, a love of their country, language, history and culture” (quoted in Giliomee and Schlemmer 1989:52). This key aspect was sustained by Verwoerd’s warning that African education should prepare children for incorporation into their own community and not into a white society that would be unable to accommodate them. Another essential premise stated that the political survival of whites depended on the social, political and economic separation from other races, namely black, coloured and Asian. On the basis of these central principles Afrikaner ideologues argued that in recognising that the country was made up of different national states and national communities, apartheid also recognised each nation’s right to self-determination within their respective Bantustans or communities.

These guiding principles materialised into repressive apartheid legislation, regulating the position and behaviour of the different races in society and nurturing the doctrine of *baaskap* or white supremacy. Although a framework of racial segregation developed piecemeal during the eighteenth and nineteenth centuries, a coherent and complex ideological system was structured only after the 1948 elections. Several foundational laws of racial segregation were precursors to the oppressive laws of apartheid, but two – out of which others radiate – stand out as the bastions of laws

limiting land occupation and movement. The Native Land Act of 1913 had laid the foundations for the territorial separation of races. Under this legislation, ownership of land by blacks was limited to designated “native reserves”, later officially known as Bantustans. The 1923 Native Urban Areas Act introduced urban residential segregation. Successive amendments to this legislation enforced increasingly stringent urban segregation rules of which influx control was a central concern.

Sustaining the influx policies was the pass law, “a vital mechanism of control in the white political armoury”, as Frankel (1979: 200) terms them. Dating back to 1780 when the mobility of slaves in the Cape was monitored through a document which authorised their circulation between town and country, the pass law was taken up by the apartheid government for two reasons, according to Frankel (1979:200). Firstly, to prevent over-urbanisation, and secondly “to channel black labour from rural to urban areas”, allowing blacks to stay in towns temporarily and only for as long as they satisfied labour requirements in the mining, manufacturing and commercial sectors without compromising white supremacy in the economy.

The pass law neatly summarises apartheid praxis. The 1952 Bantu Laws Amendment Act stipulated that each black South African over the age of 16 was required to carry, and to produce on inspection, a permit authorising its holder to stay in a prescribed area for no longer than 72 hours, a period considered adequate for seeking work. Individuals who fell under Section 10 of the 1945 Bantu Urban Areas Consolidation Act were exempted from this prohibition, i.e. those who had either lived since birth continuously in an urban area or had worked in a prescribed area continuously for one employer for ten years. Alternatively, they could have worked for more than one employer but must have lawfully resided continuously in the area for fifteen years. Permission was also given to the wife, unmarried daughter or son under 18 of a person qualifying under Section 10 to live in an urban area.

Migrants and commuters from Bantustans working on a contract work basis were required to carry a renewable permit issued by a government labour bureau (Frankel, 1979; Giliomee and Schlemmer, 1989). Individuals with Section 10 status qualified for housing and social welfare facilities, but were not entitled to own land. Those attempting to remain in towns illegally were subject to harassment, arrest and deportation to the Bantustans. This system of political and social control eroded race relations and motivated disturbances in townships. Large-scale police raids, daily street interrogations and the ruthless use of force became signifiers of apartheid







among the black urban population gave rise to the biggest mass protest (until that date) on 21 March 1960.

The recently formed Pan-Africanist Congress (PAC), a break-away organisation of the ANC, planned an anti-pass campaign for 21 March, based on decades of arrests, prosecutions, convictions and physical removal of blacks since the enforcement of the pass law. On that day, thousands of black South Africans marched to their local police stations in townships around the country without their passbooks and demanded to be arrested. A confrontation between protesters and the police followed, ending in bloodshed, and attracting the attention of the press internationally. The ruthlessness of the South African Police, involving the manhandling and shooting of protesters was not unprecedented (the 1946 miners' strike is one example), but the brutality revealed by both images and reports published in South Africa and abroad raised public outrage and prompted the international community to isolate South Africa diplomatically and economically.

### **1.6 Weapons of struggle: representations of state control and the public face of violence**

I now wish to explore the role played by South African photojournalism and documentary photography in denouncing apartheid, shaping social knowledge and interpolating political action. Coexisting, colliding and interlinking with the production of meaning are both the interplay of images and text and the social and political contexts in which the photographs were produced and circulated. The representation, mediation and interaction with images of apartheid raises questions about the power relations enacted in and through the social construction of meaning. A fundamental aspect to be considered is how documentary photography developed into the discourse of the disempowered, casting human beings as subjects, to quote Foucault (1980:136), "generating forces, making them grow, and ordering them".

The 22 March front-page headline of one of South Africa's leading English-language liberal newspapers, the *Rand Daily Mail*<sup>21</sup> read "56 Killed, 245 Injured in Two Riots".

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<sup>21</sup> Founded in 1902, the *Rand Daily Mail* became known in the late 1950s, through to its closure in 1985, for its critical view of the apartheid state. In an editorial titled "Mr Vorster versus 'Rand Daily Mail'" on 21 September 1973, *The Times* described the *Rand Daily Mail* as a "courageous" newspaper that "[printed] ... critical leading articles" by virtue of "what remains of the freedom of the South African press", and exposed the government's powers

The report of the previous day (the day of the protest), titled “Thousands Riot: Police Open Fire” (*Rand Daily Mail*, 21 March 1960), identified the locations of Sharpeville and Evaton (near Vereeniging) and Bophelong (near Vanderbijlpark, south-west of Johannesburg) as the trouble nodal points, but adumbrated the day’s events, stressing that the police had been compelled to open fire after protesters hurled stones at policemen and police vehicles alike. With regard to the incidents at Evaton, the report stated that “the 10,000 Africans who had trekked to the police-station were in such a vicious mood that they took exception to Africans even driving vehicles and not joining their campaign.”

A lengthier report on the day following the demonstration (*Rand Daily Mail*, 22 March 1960) – illustrated by a photograph of armed policemen standing among dead and wounded protesters, bicycles and clothes strewn all over the street outside the Sharpeville Police Station – provides a more detailed account of the confrontation between the police and demonstrators at locations near Vereeniging, as well as in Langa location in Cape Town. Other photographs on the same page were of the crowd of demonstrators gathered at Evaton, outside Vereeniging; of the *Rand Daily Mail* car after it had been stoned; and of a military aircraft flying over Bophelong (which means the Place of Rest). The reporter’s narration of the riot included information about seven buildings set on fire (among which were two schools), and also about the stoning of cars and buses, among them a newspaper’s car and a car with eight white nurses.

In a report titled “Bodies strewn in location streets” (*Rand Daily Mail*, 22 March 1960), Sharpeville was identified as “the scene of the bloodiest outbreak”. The notion that the police had not taken any action when “thousands of Africans gathering outside Sharpeville police station [had] demanded to be arrested for not having passes” remained a moot point. Emphasis was placed on the idea that “[as] the crisis built up, more people joined the demonstration. Among them were

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over the press, condemning the Prime Minister’s power to “ban papers or editions, stop publications of organizations he dislikes, forbid journalists to write even a line for ‘publication’...”. In 1983 an article titled “Promise to keep the Rand Daily Mail open” reported on the newspaper’s financial difficulties, coupled with “stiff competition from *The Citizen*, the newspaper launched [on 8 September 1976] with secret funds by the now defunct Department of Information to counter the *Mail*’s vigorous anti-apartheid line”. In March 1985 the “flagship of South Africa’s liberal English-language press” announced its closure, as Michael Hornsby (1985) reported for *The Times*. In June the same year *The Weekly Mail* was launched by journalists from the recently closed *Rand Daily Mail* and *Sunday Express*, nurturing an ethos of critical journalism prior to the advent of democracy in South Africa. In 1995 the newspaper was renamed the *Mail & Guardian* after *The Guardian* became its majority shareholder.

hundreds of curious spectators – including women and children.” According to the reporter, what led to bloodshed were the “shots [which] were fired at the police”, causing a response of “volley after volley of 303 bullets and sten gun bursts [tearing] into between 15,000 and 20,000 people who had surrounded the police station.”

The main headline on the front-page of *The Star*, the highly-circulated daily newspaper (founded in the Eastern Cape and relocated in 1887 to Johannesburg) catering for English-speaking readers, read “Riot Township Natives Stay At Home” (*The Star*, 22 March 1960). The article focused on the after-effects of the previous day’s riot, stating that “Natives did not go to work [on that day, bringing industry to a near standstill]. They gathered in groups in open squares and on street corners” while “buses ran two or three trips but then crowds stopped the buses and forced the passengers out. No attempts were made to prevent Natives from walking to work.” The mood in the townships near Vereeniging was described as controlled but tense, with police “patrolling the area in Saracen armoured vehicles and heavily loaded troop carriers”. In Langa township in Cape Town, police were on call to troubleshoot any further threats. The “driver of a car owned by the *Cape Times* who was burnt to death after dropping a reporter and photographer in the township [the day before]”, the burning down of municipal buildings, the stoning of buses, police vehicles and private cars spearheaded the outbreaks of violence. By way of conclusion, the last section of the article adumbrates – rather weakly – both the Liberal Party’s accusation of apartheid policies as the root cause of the riots and the ANC’s protest at police action.

Surprisingly, a three-column long article on the same page, titled “Overseas Press horror at riot tragedy” (*The Star*, 22 March), fleshes out British and American newspapers’ reactions to the riot. The opening paragraphs highlight the headlines of the *Daily Express*, the *Daily Sketch*, *The Times*, the *Daily Telegraph*, the *Daily Mail* and the *Daily Herald* and reproduce the scathing criticism underpinning the headlines. *The Times*’s reference to the Nationalist government as well as to the ANC and to the PAC rather blandly – if not superficially – states “The Nationalist Government has kept the moderate African National Congress at arm’s length and so has played into the hands of the far less reasonable Pan-Africanist movement.” By contrast, trenchant criticism of the apartheid government’s policies by the *Daily Mirror*, the *Daily Herald* and *The Guardian* is endorsed by the *Scotsman*, which writes, “When people are denied legal means of achieving political and social advancement, they are apt to adopt other means.”



The implication of these ideas is that repertoires of meaning are produced by the intersection/transaction between the interpretation and representation, in this case by a photographer, of “reality” – in itself conditioned by an institutional, political and personal ideological framework – and the subjective deconstruction and extraction of the “reality” by each observer, involving negotiated/ (re)produced interpretations and reconstructions of the intended message. The mediation of events is ultimately couched in a set of processes nurtured by, as Hall (1997:3) puts it, “the words we use about them, the stories we tell about them, the images of them we produce, the emotions we associate with them, the ways we classify and conceptualize them, the values we place on them.”

This line of reasoning provides an understanding of the representation/meaning-making nexus underpinning the mediation of the Sharpeville massacre if the relation of influence and control is brought into the equation. What this implies is that the generation of meaning both in South Africa and abroad was contingent on the ideological and power structures pervading social life and superimposing upon the agency of individuals. Crucially, readers were led to draw on a set of ideas experienced through what Williams (1977:112) terms a “lived hegemony”, in other words, “a realized complex of experiences, relationships, and activities, with specific and changing pressures and limits”, to interpret and make sense of carefully assembled textual and visual representations. However, as Williams propounds, drawing inspiration from Gramsci, “[hegemony] is also continually resisted, limited, altered, challenged by pressures not at all its own ... [giving rise] to the concepts of counter-hegemony and alternative-hegemony” (112). Foucault’s (2002:340) prescient thinking about power relations reinforces this notion:

A power relationship ... can only be articulated on the basis of two elements that are indispensable if it is really to be a power relationship: that the ‘other’ (the one over whom power is exercised) is recognized and maintained to the very end as a subject who acts; and that faced with a relationship of power, a whole field of responses, reactions, results, and possible inventions may open up.

In the context of the Sharpeville massacre we must bear in mind – taking into consideration two interconnected theoretical concepts of ‘hegemony’ and ‘power’ – the leading actors in the South African socio-political arena preceding and following the event. The South African police’s coercive action supported and ensured the preservation of the Nationalist government’s authority and legitimacy. Opposing the government’s racial discriminatory policies and resisting white supremacy were the

two largest non-white political organisations, the African National Congress – founded in 1912 as the voice of black South Africans in response to the exclusion of the African majority from political representation – and the fledgling Pan-Africanist Congress, founded in 1959 when it broke away from the ANC. Nelson Mandela, Anton Lembede, Oliver Tambo, and Walter Sisulu<sup>22</sup> were some of the activists who, having formed the African National Congress Youth League (ANCYL) in 1944, gave fresh impetus to the ANC and catapulted it into political action (Mandela, 2002).

Central to ANC values underpinning the democratic national liberation movement were the principles of racial equality, justice and unity signed and sealed in the Freedom Charter on 26 June 1955 by the Congress of the People at Kliptown. Accordingly, the ANC’s ideological discourse (reiterated by Nelson Mandela to the present day) centred on the ideal of a common democratic non-racial society encompassing economic justice and political democracy. The ANC advocated that the centrepiece of the Freedom Charter stating, notably that “South Africa belongs to all who live in it, black and white” (quoted in Mandela, 2002:51), should be edified and fully experienced by all South Africans, “equal in their value as citizens”. As Mandela (1995:24) professes, this is the essence of “democracy in its purest form”.

The ANC leadership perceived the national liberation struggle as a non-violent enterprise, deeming its success to be dependent on, in Mandela’s (2002:4) words, “getting the masses to function politically” by “arousing [the] people from a conquered and servile community of ‘yes-men’ to a militant and uncompromising band of comrades-in-arms”. In this regard, the ANC’s think-tank aimed to strike at the economy by mobilising the black working-class into unions. As blacks constituted the major force of the South African labour, economic strikes and boycotts would weaken the state’s political and economic power and overthrow the apartheid political system. Low wages, bus fares and the pass law became the prime targets of the ANC’s struggle in the late 1950s and early 1960s, translating into organised protest demonstrations, economic boycotts and strikes.

Of great relevance was the Defiance Campaign of Unjust Laws (the pass law, curfew and the railway apartheid regulations) launched in June 1952, after which Mandela and Walter Sisulu were banned by government decree from leaving Johannesburg,

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<sup>22</sup> Lembede, a fervent advocate of African nationalism grounded on racial exclusivity, was elected president of the ANCYL, Oliver Tambo secretary and Walter Sisulu treasurer. Mandela was elected to the Executive Committee (Mandela 1995). After the banning of the ANC, Oliver Tambo became instrumental in furthering the ANC’s cause in exile and in mobilising international opinion against the apartheid regime.

from belonging to a political organisation, from attending gatherings or making any public declarations. Also significant were the peaceful anti-pass march by over 20,000 women in 1956 and the anti-Republican demonstrations and strikes of 1961, which cost Mandela imprisonment and subsequent sentencing at the Treason Trial in 1962, followed by the Rivonia Trial held from October 1963 to April 1964 (Mandela, 2002). Following the Defiance Campaign, the government played its trump card: together with the banning of political speeches, demonstrations and protests, it launched a campaign to depoliticise African teachers by forbidding them to voice their political views, thereby, as Diseko (1992:47) posits, “[purging] the profession of teachers known to have a political background”. The government’s vision of Bantu Education was reinforced in 1959 with the extension of racially separate education to universities where, as Mandela (2002: 33) argued with great acuity,

[there will be] no resemblance to modern universities. Not free inquiry but indoctrination is their purpose, and the education they will give will not be directed towards the unleashing of the creative potentialities of the people but towards preparing them for perpetual mental and spiritual servitude to the Whites.

The ANC’s adoption of the Freedom Charter – which, as Quayson (2002:xiii) notes, “was the first policy document to set out the objectives for a non-racial democratic South Africa” – was met with strong opposition from the African nationalists whose claim for “Africa for Africans” gained support for the foundation of the Pan-Africanist Congress in 1959. Under the leadership of Robert Sobukwe, the PAC’s first action plan was to target the pass laws which, as Feit (1972:198) states, “[provided] the opportunity for petty and not so petty tyranny towards South African blacks ... [while restricting] their mobility and their access to the towns, where the best labor markets [were] found.” According to Feit (1972:199), what characterised the PAC’s anti-pass campaign was “the attitudes of the PAC leaders [who] unlike their predecessors ... took an active part in the protest, and were among the first to be imprisoned.” Feit concludes that the campaign failed because of, on the one hand, inexperienced leadership in the townships in the Western Cape and near Vereeniging and, on the other, police uneasiness.

At the end of the 1960 protest demonstrations, the government resolved to intensify repressive measures as the only means of re-establishing the status quo. Just as both





official source, the Minister of Justice, Mr Jimmy Kruger, justifying police force with the reconstruction of the event. In his words,

Rioting began at about 8.15 am when about 10 000 pupils started marching from school to school, stoning and overturning vehicles. The police attempted to isolate them from each other and from the shops and houses. This was very difficult... The police tried tear gas ... but [it] was not very effective. The police then fired warning shots and this stopped the crowds for a while.

A structured representation of the Soweto riot dominates the first six pages of the *Rand Daily Mail* on 17 June 1976. Carefully anchored images of the protest on each page establish the mood and attest to the tense and threatening atmosphere consistently described in the breaking news story, constructing and reconstructing reality, thereby helping to shape the perspective through which readers made sense of the narratives of violence replicated in the township. On the front page below the photograph of a burning police van observed by a crowd at a distance, another photograph makes it difficult to perceive who or what is being examined by a group of uniformed policemen. One of the men is bending down over what could be a corpse while holding a placard bearing the slogan, “Beware – Afrikaans The most dangerous drug for our Future”. Behind the military police, two white photographers witness the scene with their cameras ready to shoot. The caption explains, “Police of the special anti-riot squad with the charred and mutilated body of a White man in Soweto yesterday”, linguistically dramatising what is visually imperceptible. It is the frame of meaning created by the words “charred”, “mutilated”, and the capitalised “White man” that presents a hermeneutic of the image, placing the reader within the conflict frame of the riot and eliciting multiple emotions and responses ranging from indignation and rage at the perpetrator(s) to compassion for the victim and, possibly, empathy with the police.

Two photographs on page 3 offer further descriptive testimony of the headlines appearing immediately below on the same page: “I saw death at the hands of child power”, “Oh God, help us, Dean Tutu pleads” and “Only the tip of the iceberg, say Black leaders”. The emotive language in the headlines, accentuated by the use of large bold font, prompts an emotional response to the images. One of the photographs is a wide shot of an advancing crowd of youths brandishing sticks and raising clenched fists. The tension is accentuated by the framing and cropping of the image, obfuscating the background and giving the impression that we are being engulfed by the compact mass of angry protesters. The caption adds the information:

“A Rand Daily Mail photographer, Peter Magubane, escaped this charge by Soweto pupils. But his trousers and shirt were torn in the incident”, suggesting a reading of the image favouring the menacing mood which permeates the shot.

The same mood is reproduced in the adjacent closely framed photograph of a van besieged by a crowd. The caption, “Vehicles driven by Whites were stoned in Soweto yesterday. Only cars driven by African civilians, many of whom gave the clenched fist salute, were allowed to pass unhindered” once again anchors the meaning. Half of page 4 is taken up by a bird’s eye-view of a single burning truck on a curved road emphasised by the number of statue-like figures surrounding it. The shadows cast by the silhouettes of the immovable figures provide a strong compositional device, sustaining the impression that the crowd overpowered any element they deemed hostile. Commentary is provided in the headline: “Students watch as a truck burns” and in the caption: “Pupils surround a disabled and burning truck near Orlando West Junior Secondary School in Phefeni, Soweto. They watched other vehicles burning and many of them moved through the streets damaging and setting fire to more cars”.

Taken at close range from a frontal angle, one of the photographs on page 6 of the *Rand Daily Mail* on 17 June derives its strength from the emotion on the faces of the protesters as they charge in the direction of the camera. The exhilaration captured in the subjects’ faces, as well as the movement of the multitude racing headlong, composes the emotional language which feeds the visual rhetoric of the photographic space. The headline “The demo that boiled over” frames the image, channelling the readers’ interpretation of the scene while the caption “It was happy go-lucky as the demo got under way – but soon after this picture was taken violence flared and people were killed” filters the event and anchors the image in a ‘before and after’ narrative of the riot.

A second photograph on the same page shows the advancing crowd apparently being led by four boys, completing the sequence of images which encapsulates the event and unfolds the narrative from the viewpoint of white observers. The caption, reading “Fists go up in Black Power salute as the school student demonstrators are marshalled by older boys, some brandishing makeshift clubs”, encourages a contextualisation of the events which may lead to an understanding of police action during the uprising as one of self-defence rather than of brutal force. In support of this view, Scott (1999:62) argues, “In the photograph everything is already there, but in no particular order and without intentionality. The title [on the caption] asks the





as Ernest Cole, Bob Gosani and Alf Kumalo. It was while still working for *Drum* magazine that Magubane became known internationally for his photographs of the Sharpeville Massacre. Between then and the coverage of the Soweto uprising, Magubane was banned from public life, prohibited from photographing for five years, and forced to resign from the *Rand Daily Mail* in 1970. He was detained and kept in solitary confinement for 586 days. When the ban was lifted in 1975 he resumed work for the *Rand Daily Mail* in time to document the students' protests in 1976 only to be forced to pull out and expose his film, have his nose fractured by the police and be hospitalised for five days (Light, 2000). A few months later he was detained, along with other black newsmen, and his house was burnt down.

An opposite viewpoint to that presented in the *Rand Daily Mail* was offered by *The World* newspaper<sup>27</sup>, catering mainly for black readers, which put concrete human faces and names on the coverage of the Soweto uprising. After Percy Qoboza – the 1975 Nieman Fellow at Harvard University and the first black editor of an Argus Group newspaper – took over the newspaper, black journalists were given scope to launch attacks on the apartheid government and its policies (Sanders, 2000). During the Soweto uprising *The World* became a much sought-after publication and a reliable source for the foreign press. Sam Nzima's emotionally charged close-up, capturing the anguished expressions of seventeen-year-old Mbuyisa Makhubu and Antoinette Sithole as the first carries the mortally wounded thirteen-year old Hector Pieterson in his arms and the second – Hector Pieterson's sister – runs alongside, wailing in grief and raising her outstretched hands as if fending off the horror of the moment, was published on the front page of the extra-late edition of *The World* on Wednesday, June 16, 1976 (and again in the *Weekend World* of June 17-19, 1976).

This image could have eclipsed the page lead were it not for the banner headline reading "4 Dead, 11 Hurt As Kids Riot" (reproduced in Hlongwane et al., 2006:7). The human impact frame used to report and/or transcribe the shock of the event serves as a counterpoint to the news stories in the *Rand Daily Mail*. But perhaps readers' emotional response to the death of Sowetan schoolchildren on 16 June 1976 derives from the visual experience of Sam Nzima's frontal and confrontational visual register, which demonstrates that the immense emotional power of certain photographic images can outweigh the interpretative suggestions of captions and

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<sup>27</sup> *The World* was founded in 1932 and initially titled the *Bantu World*. It was shut down by the government in 1977, but was succeeded by *Post Transvaal* in 1978 and then by the *Sowetan* in 1981. All three newspapers were owned by the Argus Group, one of the two companies (the other was Times Media Limited – TML) that controlled the English language press prior to 1994.



read mainly by whites to a newspaper whose readers were mainly black. An image of black youths clenching their fists in the 'Black Power' salute, holding sticks and placards which read "Away with Afrikaans", denoted danger and violence for the white readers of the *Rand Daily Mail*, enabling them to match the faces of those youths to the burning of administration buildings, the stoning of vehicles and the killing of two white officials. A similar image in *The World* represented hope illuminated by black students' agency as they mobilised themselves against the injustices sanctioned by the apartheid government. For many it signified the preview of liberation and the repossession of dignity after many years of oppression and degradation. At this point it is worth considering that neither image nor text is innocent. They depend on and interact with each other to produce contrived photographic messages, precluding readers from making unbiased decisions. A headline or caption encapsulates a diversity of viewpoints, which may not display objective interpretations of the news coverage. As Barthes (1977:26) argues,

Formerly, the image illustrated the text (made it clearer); today, the text loads the image, burdening it with a culture, a moral, an imagination. Formerly, there was reduction from text to image; today, there is amplification from the one to the other.

As previously noted, Nick Ut's photograph of Phan Thi Kim Puc (the naked nine-year old Vietnamese girl who, screaming in agony, flees her village after a napalm attack) etched an indelible impression in people's minds in 1972 when it was taken, becoming a photographic icon that evoked the Vietnam War. In the same way, Sam Nzima's photograph of the three Sowetan youths is viewed as a symbol larger than the actuality of the image. These are images that, as Hariman and Lucaites (2007:1) put it, "have more than documentary value, they bear witness to something that exceeds words". To borrow Sontag's (2003:76) ever-quotable words, they are "Photographs that everyone recognises ... a constituent part of what a society chooses to think about, or declares that it has chosen to think about".

Thirty years on from the Soweto uprising the three subjects in the photograph are recognised as symbols of "the national liberation struggle", as Mutloase (2006:10) notes in a book titled *Soweto '76: Reflections on the Liberation Struggles*, published to commemorate the 30<sup>th</sup> anniversary of June 16, 1976. Hector Pieterse, along with seventeen-year-old Hastings Ndlovu and eight-year-old Lili Mithi, who were the first casualties, are remembered as "martyrs of [the] national quest to be not only seen to be free, but most importantly, are also freedom personified" (Mutloase, 2006:11),





distance between what the photographer witnessed and tried to convey – in an attempt to mobilise people to do something to change the *status quo* – and how the audience receives and is enabled to process the visual/ textual information. It follows that the determinacy intended by the photographer can be (and is often) lost, either in the inadequacy of the text/caption accompanying it, in the contextual incompleteness, or in the angle explored in the coverage.

In his analysis of the foreign press's (in particular, *The Times*'s) initial treatment of the story of the Soweto uprising, Sanders (2000:164) argues that despite the indexicality of the photographs, which testifies to the reality of the traumatic event the photographer had captured by pressing the shutter at that exact moment, readers were positioned to make sense of the event from the viewpoint of the South African Police “ before ... a degree of balance [was provided] through the citation of Sophie Tema's [a reporter from *The World*] account”. Indeed, Ashford's article titled “Six die after South African police open fire on rioters” begins by focusing not on the black child(ren) who was (were) killed – represented in the accompanying photograph of Hector Pieterse – but on two white men, both officials, one of whom was brutally murdered. The journalist goes on to relay, “He was dragged from his car and then clubbed and stabbed to death. Later a banner was placed over his mutilated body saying: ‘Beware – Afrikaans is the most dangerous drug for our future’.”

Sanders (2000) establishes that a web of interconnected factors was axiomatic to the representation of the uprising in the Western media – particularly in the British and American press. Correspondents were mostly kept away from the area and were, therefore, prevented from witnessing the event first-hand; their reliance on such disparate sources as the South African police, government officials, and black journalists resulted in refracted representations of the event. This theory is corroborated by the fact that immediately after the description of the murder of the white official, the journalist's focus shifts to the children whose lives were claimed in the riot, but in contrast to the detail about white casualties, the black schoolchildren who died are unidentified, and their deaths are scantily and matter-of-factly summarised in two lines: “Two of the blacks killed were schoolchildren. Both died of multiple injuries and gunshot wounds.” Only in the last column is a counter-view brought into the equation. The journalist cites Sophie Tema, whose testimony underscores that “At no stage ... did the police warn the students to











South Africans, not only for blacks but for whites as well, many of whom joined the UDF in the hope of helping to construct a unified South African nation.

The introduction of a new constitution in 1984, which made provision for a tricameral Parliament, would have presented the opportunity to start building “a more inclusive community, forged by experiences of physical domination and resistance” (Marx, 1991:319), in line with the ANC and UDF’s vision of a newly constituted and unified South African nation, had it not been for the continued exclusion of the black population from the decision-making process of the country. This political structure, coupled with discontent over rent and transport increases, gave a new impetus to the mass-based popular movement and motivated demonstrations, boycotts of high rents, worker stayaways and consumer boycotts. In response to the increase of violence embedded in the general state of unrest, the government – under the leadership of P.W. Botha – amplified the police’s powers of arrest and detention, increased militarisation and renewed repressive measures. State of emergency regulations took effect in 1985 and included the ban (published in the *Government Gazette of the Republic of South Africa*, Vol 276, No. 11342) on press-coverage of anti-apartheid violence, which stated:

4. (1) No person shall without the prior consent of the Commissioner or of a member of a security force serving as a commissioned officer in that force take any photographs or make or produce any television recording, film recording, drawing or other depiction – (a) of any unrest or security action or any incident occurring in the course thereof, including the damaging or destruction of property or the injuring or killing of persons, or (b) of any damaged or destroyed property or injured or dead persons or other visible signs of violence at the scene where unrest or security action is taking place or has taken place or of any injuries sustained by any person in or during unrest or security action (quoted in Hill and Harris, 1989).

The 1980s have been characterised as “the longest and bloodiest period of political resistance to apartheid, a time of mass mobilization and brutal repression” (Hill and Harris, 1989:7). It was also the time when South African documentary photography informed the international community about the injustice and inhumanity of apartheid, prompting scorn for apartheid and holding the international community morally responsible if pressure was not applied against the Nationalist government. Throughout these years many South African photographers documented popular resistance and state brutality, often risking their lives and careers to “record everything that happened [truthfully]”, as Magubane reflects (Light, 2000:56). Magubane’s testimony bears witness, on the one hand, to the power of both the

photographer and the photograph and, on the other hand, to the seriousness of the work of photographers like himself (Light, 2000: 56):

I have to liberate myself through the medium of the camera. I have to liberate the oppressor through the medium of the camera ... even if I found a white person being molested by black people [,] I would not turn around and face the other direction and say it has nothing to do with black people. I photograph that as well.

Shortly before the release of Nelson Mandela in 1990 and the subsequent demise of apartheid, a compilation of black-and-white documentary photography titled *Beyond the Barricades: Popular Resistance in South Africa* (Hill and Harris, 1989) assembled photographic testimonies of twenty photographers. As they express in their mission statement, “the ‘camera’ became a voice for those denied a vote and basic human rights” and “photography provided irrefutable documentation” of the South African political and social landscape at a juncture when “both activists and ordinary people began to look beyond the barricades of apartheid toward a new South Africa” (Hill and Harris, 1989:7). The book was published in 1989 to accompany the exhibition “South Africa under Apartheid” which opened at the United Nations in March 1990, reaching large international audiences. The Preface, which is signed “The Photographers”, ends with a powerful statement:

All the photographers represented in this book have experienced state repression. Some have been beaten up by the security forces, and others detained without trial. All have had their film confiscated and been denied the possibility of photographing in conflict situations. The camera has played a special role in these times. It has been there to record inhumanity, injustice, and exploitation... It is beckoned by history to take sides. The photographers in this book have.

The front cover photograph, credited to Gideon Mendel, is a close-up of three uniformed white policemen chasing a group of fleeing black youths. Although the speed of his movement has blurred the object in his hand, we can tell that the policeman in the front is heaving his *sjambok* (a whip, traditionally made of rhinoceros-hide, used by the apartheid police) and preparing to strike. Racing in from the right side of the frame are the three policemen, while the youths are racing out on the left side of the bifurcated frame. The focus of attention is on the expressions of the two subjects in the foreground – positioned on opposite edges of the frame – as they turn towards the camera. The hefty policeman, appearing to be in his 40s (a sergeant, judging by the three stripe chevron on his sleeve) is holding his cap in his right hand. We are unable to tell what he is holding in his other hand because of the

cropping of the image, but tight facial muscles and the force with which he is projecting himself forward towards the youth denote the concentration of someone who is about to lash his target. The young man has brought his left arm up in front of his chest; someone else's hand appears diagonally in front of his stomach. He is looking back at his assailant with a mixture of bewilderment and fear as if anticipating the blow that is about to be dealt. The image derives its strength from the bifurcation of the frame along race lines, clearly placing white against black. The movement of the subjects, which "is sometimes implied to continue beyond the limits of the picture format" (Godby, 2004:37), the direction in which they are moving and the distance between persecutors and persecuted further heighten the tension conveyed by the framing and the composition.

The back cover photograph by Paul Weinberg, in contrast, draws its impact from the immobility of the subjects: a very young white policeman and a black woman. In this case, the mood is depicted by the sheer helplessness and despair on the woman's face as she turns, pleading and gesturing with her right hand to someone outside the frame while clutching a shopping bag with her other hand. She has been forced into the corner of a building and has the barrel of the young riot policeman's rifle at close distance from her face. Apart from the rifle in his hand, the young man is fully equipped with a helmet and a teargas mask, factors which highlight both the disproportion between the subjects and/or the inequality in circumstances, adding to the image's effect. The young man, placed at the edge of the frame, seems almost as frightened as the black woman he is intimidating.

The two photographs on the cover of *Beyond the Barricades: Popular Resistance in South Africa* set the mood for the whole book. The use of black and white for the photos prevents distraction and keeps the focus on the subjects while dramatising the scene's atmosphere and emotional content. The medium's reduction to tonal contrasts heightens the tension, sorrow, anger or fear on the subjects' faces, creating immediacy and prompting a sympathetic or emotional response from the viewer. An image capturing the elation of a crowd as they welcome home a member of the banned ANC's military wing MK on her release from prison in 1984; images of protesters burning vehicles in street barricades in 1985; images of youths fleeing from the police in Duduza township in 1985; an image of a woman screaming from the pain caused by tear gas; another of a woman holding up a bloodstained t-shirt; another of a naked youth whose body is covered in whip scars; and yet another of a man wearing a t-shirt with the slogan "BULLETS WON'T STOP US", who is helping to

lower a coffin into the ground and many more images in a similar vein fill the book's one hundred and thirty-eight pages.

The texts accompanying the photographs repeat the words "police", "death squads", "killed", "violence", "resistance", "bloody conflicts", "victim", "arrested", duplicating/amplifying the effect of the images and, in some cases, projecting new significations into the visual representations. But the photographic messages in this book are so poignant and self-explanatory that the captions are easily dispensed with when the images are first perceived. Victims like the Reverend Frank Chikane testify, "I personally am a living witness to this chronicle of resistance ... I was part of the leadership of the ... UDF in 1984 and 1985 who were detained and charged with high treason and later acquitted" (Hill and Harris, 1989:9). Poems and personal accounts of South Africans who were beaten up, arrested or detained reinforce the arrangement of visual information, producing a narrative of mass struggle, but also of individual suffering. The semantics of human rights underpinning the Freedom Charter on pages 28 and 29 contrast sharply with the stifling discourse of the Security Emergency Regulations (referred to earlier), of which a sample has been reproduced on pages 121 to 123. Throughout the book runs a constant tension between image and text, unsettling, disturbing and overwhelming the reader, making it unbearable to leaf through the book from cover to cover, let alone absorb all the information in one sitting.

*Beyond the Barricades: Popular Resistance in South Africa* provides a point of reference for documentary photography in South Africa, illustrating Scott's (1999: 83) theory that, "despite [the documentary photographer's] compassion, [he/she] is uncompromising, and this ability to look reality squarely in the face is what makes the good documentary photograph both intense and authoritative". Scott (1999:97) further hypothesises that "The images of documentary photography are images that seek to haunt us, barely suppressed memories of... our own capacity for cruelty, misanthropy, prejudice, condescension, violation". The work of these twenty South African photographers – classified as "struggle photographers" by Godby (2004:37), and as "activist documentary photographers" by Dubow (1998:24) – is identified by "styles that were fully legible and highly expressive in their representation of oppression and resistance", as Godby (2004:37) describes. The resulting images have been termed "the Guernica of photography" (Gordimer 2001:343). These are graphic records of overt violence – of confrontation at its most brutal – that constitute invaluable documentation of the resistance to and struggle against apartheid. But



become involved in opposition politics, remaining passive (even if unwilling) bystanders and observers of a political, economic, social and cultural configuration that confronted most people daily with the immorality, injustice and perversity of apartheid.

Activists and opponents of apartheid found it particularly difficult to stomach Goldblatt's portrayal of the Afrikaners, which did not demonise his subjects, nor did it present them as being inhuman. Instead it showed that many Afrikaners seemed to be "trapped in the trappings of middle-class white South Africa", as Goldblatt remarked in an interview with Francine Stock from "Front Row", BBC Radio 4's Arts and Drama programme (2003). This approach seemed at odds with what would be expected of someone who opposed apartheid and openly supported the ANC and the Black Sash. It comes as no surprise that Goldblatt's stance on his role as a photographer, as well as his vehement refusal to allow his photographs to be used in propaganda and his need to expose his work to the public on his own terms, "won him frequent hostility and total incomprehension" among both his peers and the ANC. It explains, as Ardenne (2007:78) argues, "the tardy reception of his work, especially in the U.S.". At a 1981 conference on liberation and the arts organised by the ANC in Botswana, where photographers were reflecting on how photography could be used as a weapon in the liberation struggle, Goldblatt stated that "the camera was not a machine-gun and that photographers shouldn't confuse their response to the politics of the country with their role as photographers" (quoted in Enwezor, 1998:29). He claimed that "The latter demanded a degree of dispassion", which did not equate with a disengaged and apolitical approach to photography, but rather the opposite.

Accordingly, Goldblatt documented life under apartheid in South Africa not at sites of struggle and resistance, brutality and violence, but rather in everyday settings where social interaction and relationships meet and intermesh, illustrating the texture of daily life and encouraging complex and resonant readings of the rural and urban, social and cultural structures of apartheid. Goldblatt seeks out "the quiet and commonplace where nothing 'happened' and yet all was contained and immanent", as Dubow (1998:24) accentuates. Steering clear of shocking events and of stereotypes found in the country's social constellations, Goldblatt's focus of interest is the often dispassionate interaction of blacks and whites during the uneventful flow of their daily experiences. Adding to the impact of his images is the meaning created by the

sense of difference established either between subjects or between what is inside the frame and what we perceive to be in a context outside the photograph.

His seminal work *On the Mines*, published in 1973 together with an essay by Nadine Gordimer, surveys critically the routines, as well as the working and living conditions of miners, shift bosses, mine captains and managers. These are neatly encapsulated in a photograph of a black “team leader” pedalling a mine captain on a pedal car, making a political statement on the values on which the apartheid society was founded. The photo-essay titled *Some Afrikaners Photographed* (1975) – published as an expanded re-issue titled *Some Afrikaners Revisited* (2007) – which earned Goldblatt a vituperative attack from the Afrikaner community when it was published in 1975, focuses on class divisions, on the fragilities of rural poor whites and on the dynamics of relations across the race divide.

The routine and familiar aspects of everyday life in a small-town, value-laden middle-class white community are brought out in a series of photographs taken in 1979 and 1980 titled *In Boksburg* (1982). What resonates in this photographic essay is the orderliness holding the community’s placid lives together, as a group of primly dressed elderly ladies of the *Vroue-Federasie/ Women’s League* hold their monthly meeting, or as a slender teenage girl in a ballet tutu pirouettes in her front porch, or as four teenage contestants in the Hypermarket’s Miss Lovely Legs Competition line up on a catwalk to pose in their bathing-suites while behind them black and white spectators – children, women and men – display a mixture of expressions as they stand side by side transfixed. In this small white urban community, alike so many others in South Africa, Goldblatt found what he so keenly wanted to reflect in his photography: “the values by which we South Africans lived and on which our ethos was based” (quoted in Enwezor, 1998:22). As Geertz (1973:127) conceptualises it,

A people’s ethos is the tone, character, and quality of their life, its moral and aesthetic style and mood; it is the underlying attitude toward themselves and the world that life reflects.

The photographic essay *The Transported of KwaNdebele, a South African Odyssey* (1989), commissioned in 1983 by the Second Carnegie Inquiry into Poverty and Development in Southern Africa, documents the interminable journeys of black commuters who travelled almost eight hours a day to get to and from work, from the homeland of KwaNdebele to Pretoria. The meticulous observation of bodies slumped in crowded uncomfortable bus seats is enhanced by the use of light, shadow, contrast and composition. The grainy, slightly blurred images produce a strange combination

of magnetism and discomfort in the viewer whose inability to see the expressions or faces of the passengers – so many of whom have buried their faces in their arms as they try to find a position to sleep – is left with an impression of the incommensurability and indeterminacy of these instances. This sense of unease/shock is intensified by the accompanying text, which anchors the immorality of an ideological system that forced people to move to remote homelands where they would be unemployed, leaving them little alternative but to accept the precarious living and labour conditions known to them. The bus rides were just one of the difficulties most people faced daily – the first passengers got on at 2:50 a.m. and many only arrived home at 10:00 p.m., having to start off at 2:00 a.m. again the next day.

*South Africa: the Structure of Things Then* (1998) looks at details of buildings and structures – be they brick, mud, stone, corrugated iron, wood or plastic – in the South African landscape, bringing out often unnoticed but distinctive traces of white colonialist intervention in the landscape since 1652. These traces were preserved and perpetuated until 1990 by a politics of *baaskap*/ white domination underpinning the apartheid-tainted existence of a divided and dividing society. Government buildings, churches, monuments and houses – all, strangely/surprisingly, empty of people, but not devoid of human presence – are some of the settings used by Goldblatt to highlight the visibility of the sources of power while those over whom power is exercised remain largely invisible and economically, socially and politically disempowered.

Goldblatt's photographs are quite distinct from the dramatic press and television accounts of the political violence engendered by apartheid that people outside South Africa had been accustomed to. As Susan Kismaric (1998), Curator of the Museum of Modern Art (MoMA) in New York, remarks, "These accounts tended to focus on the journalistic and dramatic, revealing little about the system's origins, complexities or nuances". By contrast, Goldblatt's work reflects on how the ideology of apartheid was imprinted in every aspect of life, including the built environment. This capacity to "provide a sense of texture of daily life, and an important piece of missing information regarding life under apartheid in South Africa" (Kismaric, 1998) motivated the Museum of Modern Art in New York (MoMA) to display Goldblatt's work in an exhibition titled "David Goldblatt: Photographs from South Africa" from July to October 1998, enabling him to become the first South African photographer to have a solo exhibition at the museum.

From August to December 2001 AXA Gallery in New York hosted a retrospective exhibition – produced and organised by the Museu d'Art Contemporani de Barcelona (MACBA) and co-curated by Corinne Diserens and Okwui Enwezor – spanning Goldblatt's photographic career from 1948 to 1999. From the MoMa in New York, the show toured to the MACBA in Barcelona, the Witte de With Museum in Rotterdam (2002), Centro Cultural de Belém in Lisbon (2002), the Oxford Museum of Modern Art in Oxford (2003), the Palais des Beaux Arts in Brussels (2003) and to Lenbachhaus in Munich (2003) before opening in the Johannesburg Art Gallery in August 2005. The catalogue that accompanied the exhibition, titled *David Goldblatt: Fifty-one Years* (2001) follows a biographical and chronological direction, comprising photographs from the photographer's early work up until the series entitled *Structures*. It is a testimony of half a century of commitment to recording the often grim living conditions of ordinary people while constantly probing and questioning the values of an ideological system that in Goldblatt's (2001:251) words, "locked [people] into a deep and portentous fixity of self-elected, legislated whiteness".

The anthology provides an overview of Goldblatt's body of work during the course of apartheid – from its inception to its demise – and is illustrative of the author's visceral involvement with the country he grew up in, with its conditions and states, with its textures and its objects. An ongoing dialogue between form and content is at the axis of his work, explaining a shift in his choice of subject matter in the course of fifty years: from the cityscape to portraiture to landscape and architecture; from public spaces in his early work to the intimacy of someone's living-room, to the subject's direct – or, sometimes, averted – gaze. Hence, a hint of an internal and private self is displayed in the *Some Afrikaners Photographed* and *In Boksburg* series. In the *Particulars* series Goldblatt focuses on details of bodies – a naked breast half concealed by a blanket, hands resting on a lap, or crossed legs on a park bench. Shortly before the demise of apartheid, Goldblatt turned his attention to materials, buildings and monuments, which are an extension of the subjects in his photographs, and expressions of an ideological system and the values it embraced.

This shift accompanies Goldblatt's transition from making explicit political statements on a politics of discrimination that bred injustices and iniquities, granting or refusing people human, civic and political rights on the basis of their skin colour, to a more subtle engagement with the layered substructure of apartheid society. The complementarity between photographs and precise explanatory captions, which help to contextualise the subject matter, provides insight into the socio-cultural fabric of

apartheid South Africa and affords Goldblatt's corpus of work inestimable documentary value by virtue of "[the] concreteness, ... solidity and constancy to [his] investigation ... [which] produced an extraordinary political analysis" (Enwezor, 1998:17).

Following the success of his retrospective exhibition, which toured Europe for two years, Goldblatt was invited in 2002 to exhibit excerpts from both his photographic essay on Boksburg and his more recent series titled "Intersections" at Documenta 11 in Kassel, Germany, where every five years works of art by artists from around the world are presented over a period of a hundred days. Apart from a succession of solo shows in South Africa, Europe and the United States, Goldblatt has participated in group shows which include "History, Memory, Society" with Henri Cartier Bresson and Lee Friedlander at the Tate Modern in London in 2004. This was followed by "Africa Remix", a touring show which assembled the artistic production of eighty-eight African artists and started at the museum kunst palast in Düsseldorf in 2004 and then travelled to the Hayward Gallery in London and to the Centre Georges Pompidou in Paris in 2005, the Mori Art Museum in Tokyo in 2006, to Moderna Museet in Stockholm, and finally to the Johannesburg Art Gallery in 2007.

The National Museum of Photography in the Royal Library in Copenhagen, the Kristanstads Konsthall in Sweden, the Reykjavik Museum of Photography in Iceland and the Durban Art Gallery in South Africa hosted, in 2005 and 2006, an exhibition titled "Unsettled: 8 South African Photographers", in which Goldblatt also participated. In 2007, Goldblatt, along with another one hundred and eight artists from forty-three countries, was invited to participate in Documenta 12 in Kassel, contributing with images from "The Transported of KwaNdebele" series. The 2011 Venice Biennale (June-November 2011) featured works from Goldblatt's "Ex-offenders" series, as well as other recent black-and-white and colour prints.

In 2006 Goldblatt became the twenty-sixth winner, and the first South African photographer, to be distinguished with the prestigious Hasselblad Foundation International Award in Photography. In 2009 he won the Henri Cartier-Bresson (HCB) Award for his project *TJ*<sup>31</sup> that focuses on the development of walled housing estates in the suburbs of Johannesburg as a response to crime. Adding to the success of his career is the fact that many of his images form part of collections, notably at the South African National Gallery in Cape Town; the Bibliothèque Nationale in Paris; the

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<sup>31</sup> "TJ" refers to the letters used in the former South African vehicle registration indicating the province and city: Transvaal, Johannesburg.

Victoria and Albert Museum in London; the Museum of Modern Art in New York and the Museum of Contemporary Art in Barcelona, as well as in the French National Art Collection (that acquired fifty-four of his prints in 2004). Direct in his words as he is rigorous and demanding in his work, Golblatt expresses his feelings about the welcome but belated attention given to his work in an interview with Diane Smyth (2006:13):

It's kind of ironic. The kind of recognition that we South African photographers are getting now would have been far more meaningful and encouraging during the years of Apartheid. The Photographers' Gallery and the Side Gallery were very supportive, but in general there was very little interest.

The end of apartheid prompted a change of narrative style and mode of expression in the photographer's work since 1999, but his interest continues to focus on the values of a society now inscribed in the so-called "new South Africa". A photographic essay titled *Intersections* (2005) was exhibited at Michael Stevenson in Cape Town at the beginning of 2005 and curated for a touring exhibition which opened at the museum kunst palast in Düsseldorf, Germany, in June 2005 and travelled to the Goodman Gallery in Johannesburg the same year; to Camera Austria in 2006 and to Huis Marseille Museum of Photography in Amsterdam, followed by the Berkeley Art Museum at the University of California in 2007. This series explores the intersection of the political with the physical and human geography of South Africa through four tropes, namely "Landscapes", "In the time of Aids", "Memorials" and "Municipal People". Combining and contrasting images of the at times rural and barren South African landscape – at intersections of roads and paths where fences, monuments and remains of settlements elicit the country's history and, by implication, apartheid's political and social structures – the work is a shrewd observation of cityscapes where hawkers' wares have appropriated the pavements of Johannesburg and fortress-like houses in the suburbs barricade wealthy residents.

The most distinctive feature of these images is the economy of the human factor, setting off the photographer's eye for detail and careful framing. Indeed, "[the] remarkable economy of means" in which so much is told "in apparently telling so little about what [is] ordinary" – practised by the American photographer Walker Evans and the South African writer Herman Charles Bosmann, two of Goldblatt's sources of inspiration – is what Goldblatt has always aspired to in his own work, and once again achieved in this series (Enwezor, 1998:26).

In a subsequent body of work, titled *Intersections Intersected*, Goldblatt (2008)<sup>32</sup>, pursues the inquiry into the relationship (and intersection) between people and the land. He explores the potential of large scale and great depth of field to single out monuments, structures and signage on the South African physical landscape. Pairs of photographs, comprised of an older black-and-white and a more recent colour print, establish a dialogue between images, and invite the viewer to look for converging and diverging points between the past and the present. The images stimulate reflection about land ownership, control, (dis)placement and (dis)possession, and provide a new insight into the continuities and changes in the moral and value systems framing a post-apartheid socio-political landscape plagued by poverty, inequality and exclusion. Implicit, rather than present in most colour images, the human subject has been left out of the frame as if to suggest that the atmosphere of melancholy, desolation, neglect and abandonment mirrors inactivity as much as social and political disinvestment. Rather than ploughed and showing signs of possibility, the land – except, at times, for a fence, a path or a track – shows evidence of either little or frustrated intervention and habitation.

The juxtaposition (and tension) between black-and-white and colour images in this body of work suggests that the beginning of a new social and political era in South Africa signalled a conceptual shift in Goldblatt's photographic practice. The concern that colour film "seemed too sweet a medium [in the years of apartheid]... too pleasing" (quoted in Byles, 2007:96), has now been replaced by the need to "render the colour as [he has] it in [his] mind's eye" (Smyth, 2006:14). This change in register also reflects the trajectory of a country in transition from the oppression of apartheid to democracy, compelling South Africans (David Goldblatt included) to confront their feelings about the past. In an interview with curator and art historian Tamar Garb<sup>33</sup>, Goldblatt reflected on a shift in his mindset, which stems from a waning of the anger he felt during the apartheid years, making it emotionally and spiritually possible for him to adopt another kind of photography. In an earlier book Goldblatt (2007:17) underscores, "I no longer feel the anger, fear and disgust that I had then felt at what was being done to South Africa".

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<sup>32</sup> This series was exhibited at the Museu Serralves in Porto, Portugal, from July to October 2008, before travelling to Galerie Paul Andriese in Amsterdam (October to December 2008) and later to the New Museum, New York (July to October 2009). The show was adapted from an earlier exhibition on view at Michael Stevenson Gallery in Cape Town (January to February 2008).

<sup>33</sup> The interview, which took place on 29 May 2008, is available at the Tate Modern website ([www.rare.org.uk/onlineevents/webcasts/david\\_goldblatt/default.jsp](http://www.rare.org.uk/onlineevents/webcasts/david_goldblatt/default.jsp)).

## 1.8 South Africa after apartheid: changes in the socio-political landscape

By way of conclusion to this chapter – which has sought to draw out the relationship between the form and content of photography and the political, social and cultural texture of the country, first in apartheid South Africa and now in post-apartheid South Africa – the above statement by Goldblatt motivates reflection about what enables South Africans to “no longer feel the anger fear and disgust that [they] had then felt at what was being done to South Africa”. Several questions come to mind. What social and political conjunctions have distanced the contemporary socio-political project from the apartheid past? Conversely, are there continuities between past and present that still corrode social relationships, thus preventing the legacy of apartheid from being effaced?

We have seen that the concepts of nationalism and national consciousness – as the organising principles of a race-based politics of separate development between blacks and whites – featured prominently in the apartheid project. Interestingly, a little over a decade after the end of apartheid, the same concepts of nationhood, national culture, national consciousness and national identity have become constituent ingredients of the nation-building rhetoric. This discourse still draws its inspiration from Nelson Mandela’s project of a rainbow nation evolving from confrontation to reconciliation, from resistance to reconstruction, from a racially divided society to a multiracial and multicultural society. Much has been written and discussed about the possibilities of diversity within unity, but also about power structures underpinning the socio-political landscape of South Africa after apartheid; about continuities, changes and challenges; and about the negotiation of past and present. Academia, artists and cultural institutions alike have been instrumental in promoting debate and prompting the formulation of viewpoints within the civil society.

The nation-building process in South Africa is “far from an unproblematic, unilinear, irreversible process”, as Simpson (1994:470) argues. In his analysis of the South African polity’s prospects for a democratic order, and of obstacles and solutions for a political reconstruction underpinning the transition to democracy, Horowitz (1991) considers that the country’s historical legacy plays a determinant role in the democratisation process and is neither to be wished away nor dealt with lightly. From his viewpoint, the nationalist aspiration and racial ideology motivating

Afrikaner political behaviour over the decades drove a wedge between white Afrikaans and English speakers, leading to a polarisation along ideological lines which cannot be assumed “will be transformed during the shorter period in which the adoption and implementation of fundamental constitutional change takes place” (Horowitz,1991:31). But more difficult to deal with, and more deeply entrenched in the fabric of society is the racial polarisation brought about by apartheid’s racial legislation. This set of laws, while enforcing the separation of races spatially and socially, gave rise to a divided and deeply resentful unequal society. Drawing lessons from African countries after decolonisation, Horowitz (1991:85) stresses that once white domination is eliminated, “intra-African differences will be particularly important”.

The lesson to be derived from the National Party’s apartheid project – which, as Simpson (1994:473) argues, constructed a sense of nationhood around an “ethnic core” whose myths and values were imposed on a “macro-white *ethnie*” while the black majority was “ethnicised, denationalised and fragmented” (Moodley and Adam, 2000:51) – was that “nation-building as a policy of assimilating other ethnic groups to a dominant one will fail in South Africa” (Simpson, 1994:473). There is a school of thought that argues that in the new South Africa’s socio-political climate loyalty to the state is more important than loyalty to one’s ethnic group. This argument, if “transformed into the focus and source of national unity [will gain legitimacy] to implement policies as well as to pre-empt any ethnically-based challenges to its position” (Simpson, 1994:472). Another school of thought argues that what is crucial in post-apartheid South Africa is the commitment to constitutionalism that introduced, concomitantly, a new human rights culture and the establishment of new democratic institutions which are the pillars of a new democratic order, guaranteeing the rule of law as well as a balance in state power (Klug, 2003).

As Chapter 3 will explore, for the majority of the South African population whose human and civil rights had been systematically trampled upon since 1948, the formal adoption on 8 May 1996 of the Constitution of the Republic of South Africa – of which the Bill of Rights is the backbone – represented a move from what Mureinik (1994:32) termed “a culture of authority” to a “a culture of justification”,

a culture in which every exercise of power is expected to be justified; in which the leadership given by government rests on the cogency of the case offered in defence of its decisions, not the fear inspired by the force of its command.

For the country's political agenda, the adoption of the 1996 Constitution signalled the close of a process involving multiparty political negotiations centred first on reconciling conflicting positions with regard to how state power was to be organised and applied, and second on pacing the transition to majority rule. A compromise was reached on a two-stage transition, by virtue of a commitment that a new dispensation would safeguard the fears and interests of minority groups. During the first stage the Negotiating Council approved an interim Constitution in November 1993. Among other measures, this enabled the election of a Constitutional Assembly which would draft the final Constitution and serve as an interim government for five years. The second stage of the transition began on 27 April 1994 with elections for South Africa's first fully representative Parliament, followed by the implementation of a reform process spearheaded by the government of national unity (GNU) – a political compromise (and power-sharing arrangement) formulated by the ANC and the NP for a five-year transitional period<sup>34</sup>.

The four-year negotiation process that culminated in the first national multiracial one-man-one-vote election in South Africa transfixed analysts and observers. Throughout the process the negotiating parties had oscillated. At times they had proved ambiguous about South Africa's future political dispensation. Ideological cleavages – along with escalating political violence<sup>35</sup> – created a climate of distrust, bringing talks to an impasse more than once, but when it seemed that the war of attrition between negotiators could go on for quite some time, the two main parties (the ANC and the NP) started showing greater flexibility and a settlement was reached sooner than observers had expected<sup>36</sup>.

Although South Africa's transition to democracy has been pointed out as an example to other polarised societies, many scholars and analysts share Guelke's (1999:19) sceptical view that the relatively 'peaceful' transition (in that it avoided the much-feared racial bloodbath predicted by most) was only possible because there had been

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<sup>34</sup> This power-sharing arrangement collapsed in 1996, leaving the ANC to rule alone.

<sup>35</sup> Guelke (1999:45) draws on figures released by the South African Institute of Race Relations (SAIRR) to underscore that from the beginning of February 1990 to the end of April 1994, "the months encompassing the transition itself, there were a total of 14,807 fatalities".

<sup>36</sup> In 1991 the Convention for a Democratic South Africa (CODESA) was set up as a working platform between the NP government and other political parties, but in early 1992 negotiations derailed in CODESA I, only to restart and reach an impasse again in CODESA II with the NP wanting to ensure both a minority veto over constitutional proposals and a system based on group representation. The Record of Understanding, signed by President F.W. de Klerk and Nelson Mandela on 26 September 1992, paved the ground for the negotiations that finally settled on the election of the Constituent Assembly, as well as on the framework of the five-year transitional government.

“a pact between political elites”. This agreement safeguarded the white elite’s interests, securing its economic and social privileges during the first five years following the elections. Conversely, for another strand of scholarship what is relevant about the end of apartheid is that the language of compromise – particularly, the ideas of constitutionalism – adopted by the two main parties formed the most powerful and convincing argument in leading South Africans to the polling booths in the country’s first procedurally free elections.

It is argued that a significant challenge and dilemma for proponents of the nation-building project surfaced after the elections. According to Moodley and Adam (2000), one of the most pressing questions was how memories of the country’s divisive past should be steered so as to contribute to the nation-building process, rather than deepen old cleavages within a society in transition from oppressive rule to democracy. Moodley and Adam (2000:53) stress that “some respected academics counsel amnesia about past divisions”. By contrast, Bhabha (1996:59) advocates evoking the past as a way of re-imagining the nation, “in its ability to reinscribe the past, reactivate it, relocate it, *resignify* it ... [committing] our understanding of the past, and our reinterpretation of the future, to an ethics of ‘survival’ that allows us to *work through the present*” (emphasis in the original).

In this context, much hope and optimism was placed (as Chapter 2 will explore at length) on the Truth and Reconciliation Commission (1995-2001) chaired by Archbishop Desmond Tutu<sup>37</sup> to conduct a process of forgiveness, healing, reparation and reconciliation during and after the TRC hearings, resulting in the publication of the five-volume *Truth and Reconciliation Commission of South Africa Report* in 1998. Since then the TRC process has been the object of both gushing praise and harsh criticism in a growing body of literature centred on the assessment of the TRC’s contribution to political reconciliation and nation-building.

Scholarly literature has recurrently questioned whether victims of heinous crimes committed during the years 1960-1994 were, indeed, able to forgive perpetrators, some of whom showed no remorse for their acts. Focus has fallen on the notion that application for amnesty exempted perpetrators from punishment, releasing them

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<sup>37</sup> Desmond Tutu is distinguished for the 1984 Nobel Peace Prize, but prior to that he was the first black African to serve as dean of St. Mary’s Cathedral and in 1978 he became the first black General Secretary of the South African Council of Churches. In 1986 he was appointed Archbishop and Metropolitan of the Anglican (Episcopalian) Church of southern Africa. His public struggle against apartheid centred on the call for equal civil rights for all, for the abolition of the pass laws, for a common system of education and the end of forced relocation of blacks to homeland resettlement camps.

from the consequences of their actions and depriving victims of their right to justice. There have been a range of critical and interpretive approaches on this, as well as on other issues. Derrida's (2001:33) critical thinking on forgiveness is crafted on the paradox that forgiveness is intrinsically impossible since "there is only forgiveness, if there is any, where there is the unforgivable"; if justice is a necessary condition for an individual to forgive another, then forgiveness becomes redundant. This line of reasoning has been developed by other critical thinkers who propound that "the gift-like nature of forgiveness" (Schaap, 2005:71) presupposes that forgiveness is unconditional and, indeed, only that which seems impossible to forgive is truly worth forgiving.

This said, the TRC process was riddled with tension and ambiguity. Its complexity derived mostly from questions posed at the moral level, urging citizens to question the legitimacy of the formation of the state and its justice system, as well as the fairness of the amnesty process. According to Russell Ally (2004:192), one of the members of the TRC's Committee of Human Rights Violations<sup>38</sup>, one of the questions that most troubled those involved in the process was how an emerging democratic society should "deal with the perpetrators of [the violation of human rights]..., especially if they are still in positions of importance, remain part of the government after the transition and may even come from the liberation movement now in power".

A strand of Arendtian political thought considers that the only viable way to overcome the complexities of transitional societies – where it is not uncommon for former enemies to form political associations – is to make political forgiveness and transitional justice central to the reconstruction process, introducing conciliation/reparation in the web of human relationships in a post-conflict society (Schaap, 2005). Only then can ordinary citizens find common ground on which to base social interaction. Forgiveness becomes a political action, a prerogative of "the socially conditioned and located individual who is the focus of politics [rather than the state] and the essential political agent" (Williams and Lang, 2005:5). The Interim Constitution of South Africa (1993) – seconded by the 1995 National Unity and Reconciliation Act which established the Truth and Reconciliation Commission –

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<sup>38</sup> The TRC was composed of the Human Rights Violations Committee mandated to investigate gross human rights violations and hold public hearings; the Reparation and Rehabilitation Committee mandated to help restore the dignity of victims and make recommendations on reparation and rehabilitation; and the Amnesty Committee empowered to grant amnesty to applicants who, having proved both party affiliation and that their crimes had resulted from political orders, made full disclosure of their acts (Ally, 2004).

appeals to the political agency of individuals, stating, “there is a need for understanding, but not for vengeance, a need for reparation but not for retaliation, a need for *ubuntu* but not for victimization” (quoted in Wilson, 2001:9-10).

The concept of *ubuntu* – derived from “the Xhosa expression *Umuntu ngumuntu ngabanye bantu* (People are people through other people)” (quoted in Gibson, 2002: 543) – gained new salience in the context of the TRC process. Championed by the TRC’s Chairperson, Archbishop Desmond Tutu, the values nurtured by the ancestral communitarian model of *ubuntu* advocate that each person – rather than an abstract being – is a living force in a constellation of relationships which contribute to a group identity. Accordingly, *ubuntu* promotes exercise of the responsibility of the self for the other as both the precept of social existence and the recognition of a shared humanity. Central to the concept is the understanding that, in Desmond Tutu’s words (quoted in Habib, 2004:248),

We belong together. We say in Africa: ‘a person is a person through other persons’. We are bound together in a delicate network of interdependence. We believe in *ubuntu* – my humanity is caught up in your humanity. *Ubuntu* speaks of generosity, of compassion, of hospitality, of sharing. I am because you are. If I dehumanise you, then whether I like it or not I am dehumanised.

The revival of *ubuntu* – “a central feature of the African *Weltanschauung* (or world-view) ... [that] speaks of the very essence of being human” (Tutu, 1999:34) – became central to the TRC’s endorsement of a politics of transition focused on the construction of a common identity nurtured by people’s sense of belonging in a common nation. This is best achieved if each person feels involved in the community building process through the pursuit of forgiveness and reconciliation and – by implication – the willingness to restore wrongdoers to the community rather than punishing them. More importantly, *ubuntu* provided the moral and ethical arguments needed to support the granting of amnesty to human rights offenders, which, as Wilson (2001) underscores, was none other than a political deal between the NP and the ANC.

Many critical voices have articulated the misgiving that ‘truth’ (and, essentially, retributive justice<sup>39</sup>), one of the TRC’s core assumptions, was sacrificed to the idea

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<sup>39</sup> According to Gibson (2002) the TRC process was underpinned by four theories of justice, notably, distributive justice which provides compensation to victims, thereby counterbalancing amnesty; restorative justice which emphasises restoring dignity to victims by means of symbolic reparation (an apology) ; procedural justice which ensures victims and their families are given a ‘voice’ as they get to tell their stories publicly and, hence, receive

of restorative justice. For Schaap (2005:86), what seemed perverse from the start was that “amnesty was not conditional on a perpetrator showing remorse but, rather, on his making full disclosure of the truth and demonstrating that his wrong was associated with a political objective”. For those twice wronged – first as victims of gross violations of human rights, and then during the TRC process when the model of justice adopted did not meet their expectations – dignity was to be reclaimed through the public disclosure of their painful stories, a form of procedural justice (Gibson, 2002). The question to be asked in this context is: Where would victims find solace, knowing that offenders took no steps to repair the harm they had caused and yet were restored to society?

This generated a skein of criticism, levelled mostly at the new political elite for attempting to turn the TRC hearings into a ritual of remembrance and catharsis, thereby generating a new official history of apartheid which could hold South Africans together as a nation and act as the seedbed of a new national identity (Wilson 2001). Wilson argues that in its resolve to close the chapter on the past the TRC was more successful in protecting perpetrators than in securing reparation for victims. These qualms are addressed in Tutu’s (2003) Foreword to the *Truth and Reconciliation Commission of South Africa Report* released after the conclusion of the amnesty process in 2001. Reaffirming the purposes of the TRC and vouching for its methods and proceedings, the report’s subtext establishes that what the TRC sought to disclose was not *the* truth of the event, but rather a perspective on the truth about a past that is extensive and complex, reinforcing the view that what was accomplished was not vengeance (which was not the TRC’s mandate) but rather a reassessment of the past which could help to bridge the chasm between seemingly irreconcilable social and political agents.

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recognition that they were wronged, and retributive justice which is premised on the punishment of offenders.

## Chapter 2

### Towards an ethics in photography in post-apartheid South Africa: Jillian Edelstein's *Truth & Lies*

There was a long table, starched purple vestment  
and after a few hours of testimony,  
the Archbishop, chair of the commission,  
laid down his head, and wept.

That's how it began.

Ingrid de Kok, *Terrestrial Things*

#### 2.1 Reading the TRC through portraiture

The epigraph, taken from the poem “The Archbishop chairs the first session” in the collection titled *Terrestrial Things* by South African poet Ingrid de Kok (2002), resonates with the emotion captured in Jillian Edelstein's photograph of Archbishop Desmond Tutu (Fig.6). Framed at close range, the subject's head rests on folded hands. For the viewer who is familiar with the South African Truth and Reconciliation Commission (TRC) process, the skull cap and the grey curly hair summon up Archbishop Tutu, but we are left disoriented because the landscape of the face is hidden from us. The black and white medium increases the level of intimacy; it simplifies the image, stripping it down to its essential elements – light, shade and form. The frame, set tightly around the subject, excludes any signifying context, obliging the gaze to focus on the elements of signification in this composition that make it a revealing and beautifully executed image, notably the head resting in abandonment on the hands, the long slender fingers of the left hand placed gently over those of the right hand.

What the photographic medium does is push against the boundaries of language and its ability to fully account for emotions even as it describes them. The image attains affective depth precisely from what cannot be effectively described. The hands make an expressive focus for the viewer, offering what Barthes (2000:27) has termed a

*punctum*<sup>40</sup> of significance, “that accident which pricks me (but also bruises me, is poignant to me)”, that disturbing detail that makes one linger over the photograph, that induces the viewer (me) to explore the photograph “as a wound”, inciting me to see, to observe, to notice, to feel, and finally to respond.

The emotion in the composition stems precisely from this gesture which betrays not only defencelessness and fragility, but also an absolute trust in the ethical stance of the photographer. The viewer, in turn, is compelled to respond with reverence and compassion to the pain of the Other<sup>41</sup>. What humbles us, from a Levinasian perspective, is what is uncontainable in the image, what we cannot see, but can intuit, in the face of the Other. The self, that private space of the being which we have been enabled to access, prompts us into what Emmanuel Levinas has conceptualised as an ethical relation, “a severe responsibility which bears all the weight of the world’s seriousness in a non-indifference ... for the other” (Cohen, 1985:13).

Viewed from this perspective, the photograph of Archbishop Tutu reveals the emotional resonance of the still photograph: essentially, it demonstrates its capacity to represent an historical moment and, hence, to operate as a signpost of collective memory. The photograph’s elements of signification, while producing a moment of visual eloquence, throw into sharp relief key features of the experience of the South African TRC process that call for reflective examination. Grasped within the context of Jillian Edelstein’s photographic project under analysis in this chapter, the photograph has more than documentary value. It is a site of contemplation that bears witness to something that exceeds words: the possibility of relations of trust emerging out of the ethical project of the TRC. It further conveys a plea for the nurturing of an inter-dependent humanity that resonates accurately with the TRC’s proposal and dissemination of a new set of values framing social relations in the post-apartheid landscape.

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<sup>40</sup> *Punctum* derives from the Latin word *pungo*, meaning “to prick”.

<sup>41</sup> I will follow the convention used in Levinas’s texts with regards to the “Other” (with a capital “o”) which refers to the personal other or the other person, and the “other” (with a small “o”) which refers to otherness in general, or alterity.



Fig.6 Jillian Edelstein, Archbishop Desmond Tutu, 2001

The impressive bulk of scholarly literature that has surfaced since the closure of the South African TRC's work, barely more than a decade ago, reflects the significance of the TRC process within the context of South Africa's social, cultural and political (re)construction after apartheid (as discussed at greater length in Chapter 1). Approaches to the South African TRC model have emanated from fields of study as varied as history, sociology, anthropology, political science and law. On their own, or in articulation with each other, the roles of trauma, memory, justice, forgiveness and reconciliation have been the focal point of debates engaging with the nation-building post-apartheid socio-political landscape. Departing from an evaluative perspective, a number of studies have provided a critical lens through which to view the central mandate of the TRC, focusing on the tensions between truth, justice and reconciliation, and between factual truth and personal or narrative truth. As discussed previously, critical voices have viewed the TRC with scepticism on the grounds that it originated from a political compromise between the displaced National Party (NP) and the incoming African National Congress (ANC) which, among other questionable policy options, sacrificed the pursuit of retributive justice for political and social purposes<sup>42</sup>. However, most have also agreed that the TRC achieved important milestones from which other truth commissions can, and have, drawn valuable lessons.

Chief among these were the promotion of a culture of respect for human difference and human rights; the pursuit of peace and stability; the investigation into crimes committed in the past; the disclosure of truth and the public acknowledgement of the gross violation of human rights during apartheid; the validation of the stories of victims and the respect for their suffering; and, finally, the recommendation on reparations to the survivors of past political violence. The South African TRC was not the first in the world. In fact, since the establishment of the Commission of Inquiry into the Disappearances of People in Uganda in 1974, thirty truth commissions worldwide (including Argentina, Chile, East Timor, El Salvador and Guatemala) have been deployed as post-conflict instruments for establishing the truth of past crimes and promoting peace and reconciliation. However, whereas the truth commissions of

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<sup>42</sup> Trenchant criticism has been levelled at the granting of amnesty to perpetrators whose heinous acts were pardoned on the basis of their political motivation. If accountability was a pre-requisite for a human rights culture in the new democracy, as the TRC claimed, then, some have argued, criminal trials – similar to those of Nazi war criminals after World War II – should have been conducted and perpetrators prosecuted. In particular, strong indignation has been expressed with regards to the exemption of political protagonists such as the former president PW Botha, the IFP president Mangosuthu Buthelezi and Winnie Madikizela Mandela, to name but a few prominent leaders, who were found to have sanctioned gross human rights violations.

Chile and Argentina, for example, were set up through presidential decree and not through national legislation, and therefore did not have the power to subpoena, the South African model enabled a wider disclosure of the truth. In the case of Chile and Argentina evidence was primarily taken from families of the victims and not from perpetrators; in South Africa perpetrators<sup>43</sup> also gave testimony, leading to a better understanding of the causes, nature and extent of political violence.

Of unquestionable import are the records generated by the TRC. The TRC Report, which was presented to President Nelson Mandela on 29 October 1998, consists of five volumes, each with a particular focus. Volume One lists key concepts, introduces the rationale for the work of the Commission and details its methodology. Volume Two discusses the perpetration of gross violations of human rights on all sides of the conflict. Volume Three explores the nature of gross violations of human rights, detailing the cases brought to the Commission. Volume Four analyses the political, economic and social environment that gave rise to and allowed for gross violations of human rights. The final volume of the report systematises the conclusion and recommendations of the Commission. A two-volume codicil, reporting on the work and findings of the Amnesty Committee, the Reparation and Rehabilitation Committee and the Human Rights Violations Committee, was submitted to President Thabo Mbeki in 2003.

The TRC's work accounts for more than twenty one thousand statements that were gathered from victims or survivors of politically motivated violence. Of these, about two thousand were invited to tell their stories at public hearings of the commission, which took place all over South Africa between 1996 and 1998. Unlike other truth commissions, South Africa's hearings, apart from being public, were filmed and broadcast on a daily basis. Symbolically, this represented the demise of a system of governance that thrived on the concealment of information and the denial and silencing of the voices of black people. Those who testified during the TRC public hearings were mostly black, and mostly women. These were the pained who often broke down in tears as they told their stories of violence, torture and severe mistreatment. Those most uncomfortable with the display of emotions at the hearings were mostly white. These were participants or witnesses who failed to acknowledge any sense of guilt, choosing to ignore or deny the institutional and psychological violence of which the apartheid masterminds were the founders and

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<sup>43</sup> The perpetrators were not only those who committed acts sanctioned by the government but also those who in the fight against apartheid committed human rights abuses.

the white minority the consenting partner. But for some, the full impact – and repercussions – of the injuries and violations experienced in a context of lifelong oppression finally dawned. For these, more important than an awakened consciousness of the legacy of apartheid was the awakening of the idea of a common humanity. The Other, namely the black man, woman and child who had been ostracised during centuries of colonialism and decades of apartheid, was finally perceived and treated as a human being, an equal whose human and civil dignity must be restored.

The experience of loss and trauma and the expression of grief, bitterness and anger brought to the fore during the TRC process has been treated with great insight, sensitivity and feeling in the work of South African playwrights, writers of fiction, poetry and memoir like Jane Taylor, Antjie Krog, Ingrid de Kok and Pumla Godobo-Madikizela. By contrast, critical theory tends to shy away from engaging with the realm of emotions, often treating the manifestation of trauma and the exteriorisation of emotions with extreme caution and some discomfort. Seen through the lens of the camera, facial and bodily responses – either to victims' testimonies or to the act of remembering, or even to the appeal to represent oneself in the context of public testimony – elicits critical inquiry into the coalescence of photographic representation, affect and ethics at the core of this chapter.

I am seeking to explore, firstly, the specificity of Jillian Edelstein's (2001) photographic project, titled *Truth & Lies*, within the historical, political and social context of the South African Truth and Reconciliation process. I argue that Edelstein's project allows us to think about and engage with the complex and multifaceted nature of the TRC's work, which aimed to infuse a new set of values, at a very deep level, into the social and political arteries of a society transitioning from apartheid to democracy. Secondly, I draw attention to the complexity of Edelstein's project, expressed in its multilayered ethos and form of address. My purpose is to consider how Edelstein's photo-essay contributes to the documentation and interpretation of the dichotomy between human frailty and strength at the core of the TRC process, creating a new register of meaning quite distinct from that of photography during apartheid. More pertinent to my discussion of her work is Edelstein's singular use of the portrait genre to contest hitherto accepted/expected structures of power, and to articulate individuals' new sense of citizenship within both the wider post-apartheid political arena and what Ariella Azoulay (2008) terms "the civil space of photography" (taken up for discussion later in the chapter).

A significant body of literary texts produced during and after the TRC has contributed considerably to an appreciation of the experience of suffering brought to the fore during the TRC process. What interests me in Edelstein's work is that it is the only conceptually unified photographic study on this theme published to date<sup>44</sup>. The manifestation of trauma in her project thus constitutes an object of inquiry in this chapter, raising several key questions. How does the photographer approach her subject matter so as to lead the viewer through a mosaic of insights into the human landscape of suffering at the centre of the TRC process? What kind of involvement or emotional response is triggered in the viewer by both the visual intensity and the aesthetic and narrative quality of the images? How are photographer and viewer entwined in an ethical call to respond reflectively and responsibly to the suffering and loss of fellow human beings?

I argue that the originality and value of Edelstein's engagement with the testimonial enterprise of the TRC resides in the layering of the images. This is achieved through the juxtaposition of image and text, most notably of the visual representation – mediated by the specificity of the visual language of photographic portraiture – of the leading actors in this process and the textual representation of their stories and experiences (including that of the photographer). In essence, the photographs are forceful summations of the empowerment of hitherto “invisible” members of society. Central to this chapter is the idea that the *Truth & Lies* photo essay articulates the agency of people who had been treated as noncitizens within the socio-political landscape of apartheid. The photographs brought into relief here prompt a discussion about the role of this project in rehabilitating the citizenship of men and women who had been stripped of it, by opening up possibilities of political action from the perspective of Hannah Arendt's political thought.

The work is simultaneously motivated and enabled by an affective dynamic which evolves out of the encounter between the arresting and intentional stance of *each* subject (giving the impression that each individual is performing his/her unique story, a story that demands a unique response); the photographer's ethical and compassionate treatment of her subject-matter; and, finally, the viewer's own compassionate – or, on the contrary, hostile or angry – response to the story evoked in each frame (and expanded in the accompanying caption). The photographs are

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<sup>44</sup> George Hallett was commissioned as the TRC's official photographer but his photographs have not been published in book form. A selection of his images featured in an exhibition titled “Bearing Witness” at the Herbert Art Gallery and Museum in Coventry, UK, in September 2004.

simple in composition but complex in their meaning. They draw the viewer in and elicit, at times, conflicting interpretations, confronting us with the complexity of our emotions and the unpredictability of our responses.

In this sense, Edelstein's photographs are powerfully able to invoke huge stories, and equally able – from our responses – to tell us much about ourselves, most notably about our convictions, vulnerabilities, barriers and contradictions, extending our ethical awareness and prompting diverse and perhaps unsettling questions. What moves or fails to move me? What type of value judgements do I make? What impels me to feel, or keeps me from feeling, compassion for the Other? How does my own experience (my subjectivity) impact on how I perceive and respond to stories of the experience of others?

These different strands of inquiry give rise to the theoretical construct for this chapter, which takes up the photographic discourse of portraiture and the ethics in the production and reception of photographs in articulation with Emmanuel Levinas's phenomenological ethics. I begin by examining Emmanuel Levinas ethical theory, and applying his conceptualisation of "the face" to a reading of Edelstein's portraiture. Secondly, I consider how the encounter with the face of the Other enlists ethical responsibility from the photographer and viewer. I discuss how affect is produced within and through Edelstein's photography, and specifically how the affective quality of her photographs contributes to a different understanding of the experience of suffering within the context of the TRC. Drawing on Martha Nussbaum's theoretical insights, this chapter discusses how viewers are moved to feel and think through an emotional connection with the Other, the object of the photograph. This we call compassion, an emotion "suffused with intelligence and discernment, and thus a source of deep awareness and understanding", as Nussbaum (2001) argues.

The compassion one is moved to when studying Edelstein's photograph of Archbishop Desmond Tutu is a catalyst for critical inquiry and deep thought. To dismiss an affective reading of images such as this would mean dismissing the value of the kind of inquiry which an affective response might instantiate. For this reason engagement with this particular photograph introduces this chapter's discussion on the ethics of photography. The photograph is the centrepiece in Jillian Edelstein's exhibition *Truth & Lies* hosted at the Robben Island Museum from December 2009 to March 2010<sup>45</sup>, and at the Nelson Mandela Gateway on the V&A Waterfront in Cape Town

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<sup>45</sup> The show was composed of a selection of a further thirty-two black and white photographs from the book.

until June 2010. It is also, I suggest, *the* pivotal image that was sadly left out in Edelstein's book of the same title, published in 2001.

The decisions made, both when the photograph was produced and at the selection stage of the photographs for publication, raise a number of questions about the tension between ethics and the poetics and politics of genre classification (which, although meaningful, is not central to this study). Several key questions motivate my analysis of the photograph: Why would Archbishop Desmond Tutu – a historic figure in South Africa's freedom struggle, the Chairman of the Truth and Reconciliation Commission, the "face" of what became known as the "forgiveness and healing process" – consent to being photographed in this manner? What decisions were made, and what type of contract is sealed, prior to and after the photographic encounter? How do photographer and viewers position themselves ethically in relation to the (hi)story of suffering they are called to witness? What responsibilities or ethical engagements follow from this interaction? How does photography extend beyond documentation and consciousness-raising to encourage individuals to refigure the social practices through which relations are constituted, and to promote more participatory forms of social transformation?

One of the privileges afforded to research work is the insight gained from the engagement with the author(s) of one's object of study. In this regard, the most significant source of inspiration and thought about the triangulation of ethics, affect and photography came out of a personal interview<sup>46</sup> during which Jillian Edelstein articulated her reservations in relation to the photograph of Archbishop Tutu (Fig.7) that was selected for publication in *Truth & Lies*. It is a detailed confrontational close-up of the subject which pins down the authority, charisma and cheerful disposition of the man identified by many with the struggle against oppression during apartheid and the struggle for peace after apartheid. Two visual elements provide a clear focus of interest: the clerical collar and the large crucifix pendant, symbols of the Christian beliefs that have guided his life and actions and ultimately framed his philosophy of the TRC. There is a strong energy in the subject's face. The shadow of a smile on the lips brightens up his whole countenance. As if intent on establishing a dialogue with the photographer and the viewer, the expressive eyes set behind large glasses gaze straight at the camera, conveying attentiveness, determination and optimism (which contrast strongly with the pose of the unpublished photograph).

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<sup>46</sup> The interview took place in London on 18 September 2009.



Fig.7 Jillian Edelstein, *Archbishop Desmond Tutu, Chairperson of the Truth Commission, Cape Town, May 1997*

Edelstein recalled that she had photographed Archbishop Tutu in the street, in the hearings and in a session with him, but she was still not satisfied and in 2001 begged him for another session. She had asked people about what they felt was the quintessential moment of the Truth Commission, and had been told that it was the moment when Archbishop Tutu started crying on the second day of the Truth and Reconciliation hearings while listening to Singqokwana Ernest Malgas describe how he was tortured by apartheid security police. Malgas described how he was suffocated with a mask during torturing and began to sob. Desmond Tutu dropped his head onto his hands and wept openly too.

When Edelstein went back to photograph Desmond Tutu, she mentioned the footage she remembered of that day. And Desmond Tutu said, "Do you mean like this?" and put his head in his hands. He continued, "I'm so tired". Edelstein asked, "Do you mind if I photograph you like that?" He replied, "I'm so happy to lie here just a bit and meditate. That's fine". So she picked up her 4x5 and did the shot. Edelstein's editor felt the shot was contrived, and, on that basis, chose the close-up shot instead. By contrast, I argue that this portrait stems from an ethical relation of responsibility (discussed at length throughout this chapter), which gives rise to a truthful depiction – without artifice – of emotions as they are encountered.

It is this ethical relation which enables the photographer to capture what Henri Cartier-Bresson (2006) called the "inner silence" of an individual, those innermost recesses that we cannot see, but can intuit. What emerged is an intimate photograph that has a clear trace of the relationship or connection established between the photographer and her subject. There is a rare simplicity and humility, and also a sense of quietness and calm about this photograph that touches one both visually and emotionally, drawing the viewer in and summoning him/her to a moment of stillness and awareness. Such photographs dispense with any mediation by words. Any accompanying words would only be a distraction; they would disturb one's own *inner silence*, that zero degree of self-consciousness that blocks out any external noise, enabling us to tune into the *being* of another. This defining trait – the need for an unmediated experience – is particular to only a few photographs in this project. In fact, the work is characterised by images and accompanying text that are tightly interwoven to produce another layer of meaning and suggest different possibilities of interpretation, as illustrated by the analysis of other photographs in this chapter.

The portrait that was published certainly encapsulates the character and disposition that most viewers will identify with Archbishop Tutu, therefore conforming to the

conventions traditionally ascribed to portraiture in critical theory<sup>47</sup>. The image that was not published, by its affective dimension, is much more effective in its capacity to pull the viewer in, to provoke deep thought, and to leave an imprint in his/her mind. As art critic Rachel Campbell-Johnson (2008), writing about the Taylor Wessing Photographic Portrait Prize (the reputable annual photographic portrait prize and exhibition held at the National Portrait Gallery, London), reflects about the attributes of a good portrait:

That frail old man has a concentration camp number tattooed on his forearm. We search his face for the scars of a never-forgotten suffering. For a portrait to work, such eye-catching tactics must be far more than mere tricks. Once we have been made to look with the same intensity as the photographer looked, we must discover more: something more personal, more profound, more provocative; some political outlook or emotional reality or universal truth.

In the case of the Desmond Tutu portrait, our emotion is aroused by the aesthetic praise of the subject's psychological vulnerability. Essentially, the image evokes what many have considered a pivotal moment in the witnessing of suffering at the TRC. This representation embodies the psychological breaking point when, as Desmond Tutu (1999:110) bears witness in his published memoir on his work as Chairman of the TRC, "I could not hold back the tears, I just broke down and sobbed like a child".

## 2.2 The contemplation of the face: moving towards an ethics of viewing the Other

Our experience of portrait photography is that of the face – the face that addresses us, the eyes that engage with our own, acting as a conduit that enables our imaginary entry into the picture. But, as this study seeks to argue, the face is not merely a physiognomic attribute. It is the locus of the encounter with another human being, inducing us to an ethical responsibility, to an infinite respect for someone who confronts us. In the face-to-face encounter, as Emmanuel Levinas (1969:150) reflects, "The Other precisely *reveals* himself in his alterity not in a shock negating

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<sup>47</sup> In this regard, West (2004:21) emphasises that "the etymology of the term 'portraiture' indicates the genre's association with likeness and mimesis", but adds that "Portraits are not just likenesses but works of art that engage with ideas of identity ... 'Identity' can encompass the character, personality, social standing, relationships, profession, age, and gender of the portrait subject".

the I, but as the primordial phenomenon of gentleness” (emphasis in the original). In my response to the face of the Other, I in turn *reveal* myself in a gesture of reciprocity. That is precisely why the photograph of Archbishop Desmond Tutu by Jillian Edelstein is so disconcerting – because the face is hidden from us, inviting a contemplative stance in relation to that which is not immediately captured or grasped.

The human face – the forehead, the eyes, the nose, the mouth, the jaw, drawn together into a constellation of features and expressions – has been the object of interest and study since antiquity. In *Physiognomy*, a treatise on reading character from people’s physical appearance, Polemon, a second century Roman politician, who, alongside his contemporaries, devoted much attention to the study of rhetoric, scrutinises the face, and in particular the eyes for indication of the orator’s involuntary display of feelings, arguing that a person’s character or state of mind will tend to manifest itself through physical expression and posture (Swain, 2007). Boys-Stones (2007:33) argues that the interest of ancient philosophers in facial physiognomy stems from their central concern with the nature of the soul (the locus of moral character) and its relationship with the body, leading some philosophers to “claim that one can tell from appearance the *innate* character of a person or of his or her irrational soul”.

In art, early forms of representation denote a commitment by sculptors, engravers and painters to capture distinctive physical traits as a means of establishing the character of an individual. Portrait painting, in particular, anchors its imagery in details painstakingly delineated to reveal the physical and psychological makeup of the subject. Axiomatic in this genre of representation – and contributing to its success, particularly in the fifteenth and sixteenth centuries – are, as critical theory highlights, the ideas of likeness and identity, which encompass not only generic qualities such as gender, age and social role, but mainly specific aspects which enhance the character and uniqueness of the individual (West, 2004). In part, the uniqueness in an individual, that which sets him/her apart from the rest of humanity, is brought out by the “idiosyncracies and imperfections” of the face (Woodall, 1997:1). Throughout the centuries, as portraiture has gained a central position in western art history, the face has continued to engage artists and viewers. As Brilliant (1991:10) observes, “For us, the human face is not only the most important key to identification based on appearance, it is also the primary field of expressive action, replete with a variety of ‘looks’ whose meaning is subject to interpretation”.

The photographic portrait, a new genre of representation which started to proliferate towards the end of the nineteenth century, borrowed from painting's artistic styles and conventions. "A formal stillness, a heightened degree of self-composure that responds to the formality of the portrait-making situation", resulting in "images of serious men and women, worthy of respect, persons who should be taken equally seriously by the viewing audience", to borrow Brilliant's (1991:10) words, characterise the work of photographers such as Julia Margaret Cameron and Nadar. Whether in profile, three-quarter or frontal pose, the face constituted for these photographers, as well as for others succeeding them, the focal point in their work. For some photographers, a particular face – crafted in relation to specific parts of the body: hands, arms, breasts and torso – is what intrigues and arrests them.

Each with a distinct aesthetic and mode of approach to his/her subject matter, photographers like August Sander, Alfred Stieglitz, Lewis Hine, Paul Strand, Walker Evans, Dorothea Lange and Edward Steichen contributed significantly to the portrait archive of the twentieth century. I am not so much interested in pictorial conventions or agendas endorsed by individual artists in the history of photography as in an ethical stance and particular treatment of the subject – shared by different photographers – that can be brought to bear on the ideas and arguments relative to "the face" structuring this chapter. In this regard, the imaging of Georgia O'Keeffe, the subject of a vast archive of portraiture, is significant because of the similarity in approach by so many photographers whose styles were, nonetheless, different. Over a period of more than twenty years Alfred Stieglitz, who was O'Keeffe's lover and later became her husband, amassed an impressive archive of portraits of O'Keeffe. But she also inspired, among other artists, Eliott Porter, Ansel Adams, Yousuf Karsch, Irving Penn, Arnold Newman, Todd Webb and John Loengard.

Georgia O'Keeffe's face was her signature – her slender nose and sharp cheekbones set off by dark hair, usually pulled away from her face, lend her a stark and almost sculptural beauty; but it is her frontal gaze, her unsmiling expression, at times described as inscrutable, aloof or detached, and at other times as self-assured and defiant, that transmits a magnetic strength. Indeed, her defiant gaze is often interpreted as resentment at the photographer's attempted intrusion and appropriation of her being, as an expression of resistance against the power relations enacted during the photographic act (Clarke, 1997). The antagonistic struggle between photographer and photographed is suggested in O'Keeffe's composed

stance, signalling a refusal to reveal any external expression, or hint of emotion, that might evoke an interior private self. As Clarke (1997:115) writes, “The number of images Stieglitz amassed [many taken in the 1920s] ... do not, ultimately, define O’Keeffe. They represent a series of images, facets, aspects of her being which denies the camera access to *its* private spaces” (emphasis in the original).

It is precisely this – the private spaces of Georgia O’Keeffe’s being, an inner emotional map of feeling, or “inner silence” – that different photographers sought to capture. Following the tradition of interpretative portraiture, as Anne Tucker (quoted in Maddow, 1977:469) defines it, “[The] intention is not to document, not to glamorize. [The] most important thing ‘is that people reveal themselves to the camera and express something about themselves which definitely exists, though it may be hidden – perhaps even from themselves’”. Ultimately, the kind of insight sought by photographers who subscribe to this line of thinking may be identified with what Levinas (1969; 1985) calls “the face” – in the sense that “the face” is more than a physiognomic attribute. It is, as Levinas (1985:86) describes it, “what cannot become a content, which your thought would embrace; it is uncontainable, it leads you beyond [into an ethical relation]”. This strand of thought will be developed throughout the chapter.

The less anthologised portraits of O’Keeffe – those taken towards the end of her life by photographers other than Stieglitz – capture, apart from the dignity of age, *this something* that is “uncontainable [and] leads you beyond”. Two such examples are the photos – commissioned by and published in *Life Magazine* – taken by John Loengard (2007) in 1966 and 1967 respectively. In the first, an aged and frail O’Keeffe, dressed demurely in a simple long black dress with a white collar, sits up straight at the bottom of a white lined bed facing the camera and holding a magazine in her hands. Placed at the centre of the frame, the artist appears calm and collected, her shoulders resting loosely, her head slightly bent forward, her chin lowered and eyes gently closed. Unlike other well-known photographs by Yousuf Karsh and Philippe Halsman, who sought to blend the artwork with the persona by weaving into the composition background elements such as the artist’s paintings or her collection of stones and bleached animal bones, the focus in this frame is on the subject alone. There is an intimacy about the composition and in both O’Keeffe’s posture and furrowed face an absolute exterior stillness and simplicity which invites a finer quality of attention from the viewer than would usually be given to the study of a photograph.

In the second photograph, a profile shot taken on the roof at Ghost Ranch, O’Keeffe appears once again shrouded in black, her hair scraped back in a bun. The photographer has penetrated beyond surface appearance – with her head bent gently down, O’Keeffe seems introspective, drawn into herself, her eyes cast down in a state of complete concentration. In the background, the barren landscape and expansive skies of the New Mexico desert – where she lived a reclusive life on her Ghost Ranch after 1946 when her husband Alfred Stieglitz passed away – allude to the recurrent themes in her paintings, but also mirror the awareness and consciousness, and the stillness, in her appearance. In profile, the slender nose and lines on her face convey dignity and strength, and at the same time a sense of authenticity that yields insight into the subject’s interior rootedness. Only when we fully attend to the peace and serenity in her countenance do we understand the limitations of language to fully account for the image’s captivating power.

These two portraits of Georgia O’Keeffe have been explored in detail because of their specificity, sense of immediacy and individual appeal beyond schools, movements or styles of portraiture, leading me to formulate a general theory of photographic reception which will inform the reading of the photographs brought into relief in this chapter. When one attunes to this kind of portrait (as is the case with the unpublished portrait of Archbishop Desmond Tutu) a sort of quiet excitement is generated as one learns to examine it slowly and attentively, without recourse to words, images or concepts. There is a feeling that it is meant not so much for quick consumption as for slow chewing. To borrow Walter Benjamin’s (1999:510) words, “there remains something that goes beyond testimony to the photographer’s art, something that cannot be silenced” and that beckons us to immerse ourselves in the image. This is the quality that, as literature on the history of photography underscores, portrait photographers have sought to make the cornerstone of the genre. As Clarke (1992:3) writes,

Nadar stressed a sense of the individual when he spoke about attempting to achieve a ‘moral grasp of the subject – that instant understanding which puts you in touch with the model, helps you to sum him up, guides you to his habits, his ideas and his character, and enables you to produce ... an *intimate portrait*’” (emphasis in the original).

Virtually from its inception, at the centre of portrait photography has been the quest to find “a sense of the individual”, as Nadar described it, or the “essence” of the subject’s identity and the “truth of the face” Roland Barthes (2000:67) referred to in

*Camera Lucida*, or “an inner silence” alluded to by Henri Cartier-Bresson (2006). First portrait painters, and later portrait photographers, have taken their cue from Johann Kaspar Lavater, the Swiss poet and physiognomist who, in his work titled *Essays on Physiognomy* (1789), proposed that painters develop the “talent of discovering the interior of Man by his exterior – of perceiving by certain natural signs, what does not immediately attract the senses” (quoted in Rosenblum, 2007:39). This idea has resonated with portrait photographers since the establishment of the genre. Eager to develop an individual style and “deliver with every portrait his visiting card”, for a photographer like Helmar Lerski the challenge was to see “inside the man ... [and] make visible the invisible” (quoted in *Image*, 1961:5). Different in style and aesthetic vocabulary but similar in approach, Cartier-Bresson sought to understand the “inner silence” of his subjects in an attempt “to translate the personality and not an expression” of the subject (quoted in Sire, 2006:8).

In this respect, the face has represented for portrait photographers the most rewarding, yet most challenging, part of the human body to photograph. If one is to translate “the personality and not an expression”, as Cartier-Bresson (2006) proposed, one has to look beyond the index of emotion – the forehead creased into a frown, eyebrows raised, eyes cast down or narrowed into slits, lips shaped into a smile or pressed into a grimace – staged in what Max Kozloff (2007) calls “the theatre of the face”. Whether skilfully modelled by the dreamlike soft-focus explored by Julia Margaret Cameron, who subscribed to the painterly, romantic imagery of pictorialism, or rendered with great precision and description by the sharp focus of Alfred Stieglitz or by Edward Weston’s more aggressive and highly detailed aesthetic characterising the modernist approach, the human face has lent itself to the study not only of line, texture and tonal range but of expression – and ultimately personal identity – as well. As Kozloff (2007:7) writes,

Among its many functions, the human face acts as an ambassador, on the job whenever out in the world. We are face reading, socially inquisitive animals, accustomed, most likely programmed, to respond to physiognomic expressions as signs that help us decide our own behaviour ... Jonathan Miller sums up the face’s repertoire very well when he writes that the face is: ‘Where we are ... It’s where we think of ourselves as being finally and conclusively on show.’

While the face in particular, and portrait photography in general, may constitute the object of study in academic work, the photograph has to be understood, within the theoretical framework of visual culture studies, as a signifying as well as a physical

object open to interpretation within the historical, social and political context in which it was produced. Also at stake are the formal, representational and aesthetic choices underpinning the individual approach and style of the photographer. Invariably, in making considered decisions about the use of light, angle, perspective and composition, the photographer is using the camera to express his/her particular vision. The critical analysis of a visual image must necessarily take into account its material properties and complex construct of signs; but alongside the viewer's response to an image's compositionality, of crucial consideration is the agency of the image, most notably its documental value. Significantly, as Tina Modotti claimed,

Photography, precisely because it can only be produced in the present, and because it is based on what exists objectively before the camera, takes its place as the most satisfactory medium for registering objective life in all its aspects, and from this comes its documental value (quoted in Mulligan and Wooters, 2005:500).

### **2.3 The contribution of phenomenology and Levinas's central ethical vision to the discussion of ethics and photography**

From the perspective of cultural studies, as a text and, importantly, as a cultural practice, the photograph places me, the viewer, in the active social role of enquiring into the meaning of its compositional elements and responding to its underlying commentary. The place given to the experience and voice of the individual, the radical and important proposition made by cultural studies, enables a self-reflexive approach to intellectual work grounded on "an individual history of reflection ... [which is] the trace of that person's perceiving, absorbing, interacting, reflecting, retelling, reflecting again, and so on" (Couldry, 2000:51). Adjacently, the democratic vision of culture attributed to cultural studies encompasses diverse but complementary elements of theoretical frameworks and methodologies which provide tools for pursuing research enquiries. In this vein, in discussing the future of cultural studies and highlighting what distinguishes its practice, Couldry (2000:14) argues that "Cultural studies should engage with broader theory (not just in sociology and anthropology, but also in linguistics, psychoanalysis and philosophy) not for its own sake, but only if it can open up perspectives for possible empirical work in culture".

In essence, this optic envisions a mode of studying culture that both draws and focuses on the complex of, as Couldry (2000:4) puts it, “multiple voices and forces” which provide crucial insights into what culture is. Central to this approach is a commitment to account for our thinking about self and others, “an ethic of reciprocity, a mutual practice of both speaking and listening” (5). There is, however, an emphasis on the relation between self and the other(s) in the ethical analysis and concern with values proposed by Couldry that has not been sufficiently thematised and developed within the tradition of cultural studies. I feel this relation would benefit from engaging with the ethical discussion underpinning the philosophical project of phenomenology.

The importance of phenomenology as “a *radical* way of doing philosophy, a *practice* rather than a system”, as Dermot Moran (2007:4) argues, stems, on the one hand, from the rejection of all dogmatism and traditional representationalist accounts of knowledge and, on the other hand, from the commitment, advocated by Husserl and Heidegger, to *concrete*, lived and meaningful human experience; in other words, to an emphasis on the description of *phenomena*, “as whatever manifests itself to consciousness, to the experiencer”. Phenomenologists view philosophy as an ongoing search for ways of exploring the complexities of human experience. Across the enormous range of scholarly output in phenomenology, Emmanuel Levinas’s mode of approach, in particular, provides a foundation for the present study.

I draw on Levinas’s philosophy of ethics, most notably his analysis of the “face-to-face” relation with the other and the conceptualisation of the ethical relation of responsibility set forth primarily in *Totality and Infinity* (1969 [1961]) and *Otherwise Than Being Or Beyond Essence* (1998a [1981]), his two mature philosophical works. Among contemporary phenomenologists, Emmanuel Levinas’s thought provides an avenue for casting our encounter with and experience of the Other in an ethical framework inasmuch as “since the Other looks at me, I am responsible for him” (Levinas, 1985:96). This formulation of responsibility to and for the other person entrenches, according to Cohen (1985:12), the idea of “the infinite responsibility of being-for-the-other *before* oneself – the ethical relation” (emphasis in the original).

The crux of ethical relations in Levinas’s philosophy lies precisely in the responsibility to the other person whom we encounter, with whom we establish a relationship. As Moran (2007:321) observes, “For [Levinas] ethics is never an egocentric mode of behaving, nor the construction of theories, but involves the effort to constrain one’s freedom and spontaneity in order to be open to the other person”. Hence, the

acceptance of responsibility in which the self is no longer for itself but for the other “jolts us out of our self-complacency and self-contentment”, as Purcell (2007:9) puts it, and brings us face-to-face with the alterity or otherness of the Other, his/her individuality and uniqueness. In Levinas’s (1969:66) optic, an ethical relation develops when in the encounter between Self and the Other the “face speaks”. More than a nose, eyes, a forehead or a chin, the face lays bare – yet conceals – what is and is not immediately perceivable. What ultimately draws us to the Other is not the colour of the eyes or the proportions of the face but that which Levinas (1985:86) describes as the “essential poverty in the face”, that which is not dominated by perception. Levinas’s understanding of the “face of the other” is not to be taken in the literal sense, as the physical countenance or expression of the other, but rather that which escapes our gaze.

This insight into the experience of the other harks back to the motivation of portrait photographers (discussed previously) to capture the subject’s inner self, his/her ‘absolute being’, or “the inner silence”, or the *animula* (meaning ‘little soul’ in Latin), which Barthes (2000:109) alludes to, the attitude, the *air* of the face, “that exorbitant thing which induces from body to soul” and which is unanalysable. In an approach to photography anchored in the principle concerns of Levinas’s ethical theory, the act of photographing summons first the photographer, and later the viewer, to an ethical relation with the person photographed. In the presence of the Other, in the face-to-face encounter with the Other, I allow myself to be addressed, to be touched. Reciprocally, I feel compelled to respond with generosity, respecting what is infinitely other. As Levinas (1969:50) writes in *Totality and Infinity*, “For the presence before a face, my orientation toward the Other, can lose the avidity proper to the gaze only by turning into generosity, incapable of approaching the other with empty hands”.

The encounter with the Other in a photograph, experienced from a Levinasian perspective, compels a moral response to the Other’s humanity. Essentially, when faced with the suffering of the Other – the Other’s lived pain – one becomes vulnerable to the Other’s vulnerabilities, as Levinas (2006) frames it in *Humanism of the Other*, and is moved to take on the Other’s suffering upon oneself. As Levinas (2006:64) writes, “To suffer by the other is to take care of him, bear him, be in his place, consume oneself by him”. This compassionate response to the suffering of the Other is what Levinas (1998b:94) terms, in an essay titled “Useless Suffering”, “the very nexus of human subjectivity, to the point of being raised to the level of supreme

ethical principle”. The fundamental thesis of Levinas’s philosophy of ethics is rooted in the belief that all suffering is malignant and unjustifiable and, therefore, that the suffering of the Other is meaningless. Ethics, then, is selflessness; it is the unconditional compassion for the Other, the absolute responsibility to have the Other’s dignity restored to him/her. As Edelglass (2006:51) observes, “The sufferer’s cry opens the world of being to the ethical, for it calls me to respond, it commands me. The tears and cries of the sufferer are more compelling than any argument could be”.

This claim certainly resonates with the unpublished portrait of Archbishop Desmond Tutu by Jillian Edelstein<sup>48</sup>, flagged as the centrepiece of this chapter and the point of departure for my discussion on ethics in photography. This photograph has been singled out because it contrasts so strongly with a dominant mode of address of public figures. The photographic mode adopted by Edelstein prompts a line of inquiry related to photographic discourse in portraiture and the decisions made during the photographic encounter, alongside the relation between photographer and photographed subject and, ultimately, between photographer, photographed subject and viewer. Allied to this central concern, I propose to explore the triangulation of affect, ethics and photography. Importantly, this representation of Archbishop Desmond Tutu opens up a whole range of possibilities for thinking about the space of public address.

Photographic portraiture – specifically of public figures – has, since the development of the genre, taken advantage of the publicity value of photographs being made available to the public to portray the subject in an often flattering manner, reflecting the way the subject seeks to show him/herself publicly. Reminiscent of painterly conventions used by Renaissance and Impressionist painters, familiar photographic representations of celebrated artists, writers and political figures that make up the history of portrait photography highlight the singularity or complexity of the subject, often exuding authority and wisdom, an “aura”, as Benjamin (1999:515) calls it, “a medium that lent fullness and security to [the] gaze”. Pose, demeanour and lighting are carefully controlled to produce the type of representation that the photographer – and, mostly, the photographed subject – would like the viewer to identify with the public persona. One need only recall the portraits of Sir John Herschel by Julia Margaret Cameron, J. P. Morgan by Edward Steichen, Charles Baudelaire by Étienne Carjat, Winston Churchill by Yousuf Karsh

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<sup>48</sup> See Fig. 6.

and – more recently displaying a different set of visual tools – Ezra Pound and Jean-Paul Sartre by Henri Cartier-Bresson.

The thread that runs through these portraits is the negotiation of power relations so manifestly central to the photographic encounter, thereby confirming Homberger's (1992:115) claim that "The portrait photograph is never accidental. It is arranged, agreed upon. At the heart of the occasion is a contract between the subject and the photographer". In effect, there results from the act of posing a tension – that Barthes (2000:13) remarked about – between "the one I think I am, the one I want others to think I am, the one the photographer thinks I am, and the one he makes use of to exhibit his art", in other words, a tension between the private and the public self, the hidden and the exposed.

Signification is then produced at two levels. On a first level, the conventionality and formulaic arrangement of the photographic setting imprints the photograph with a set of social codes and cultural semiotics; on another level there is an additional register of meaning that suggests an element of self-awareness and control. What the viewer then looks for in the photographic space is a gesture, or the expressiveness of the sitter's gaze, a hint of emotion or feeling, the detail that captures our attention and imagination – "that tiny spark of contingency", as Benjamin (1999:510) defined it, which makes that person ineffably human. The particular appeal of Edelstein's image of Archbishop Desmond Tutu (Fig.6) emerges from its negation of the conventionality and mode of public address embodied in the portraiture of public figures (most notably that of early portrait photography and the commercial studio portrait of the early twentieth century), suggesting new avenues for photographic interpretation and narrative exploration.

#### **2.4 (Re)presentations of public grievance and human suffering at the TRC**

The intense emotional plea of the photograph is amplified by the viewer's knowledge of the historical and political context of its production. The image's composition and narrative possibilities lead back to the Truth and Reconciliation Commission (TRC) hearings in South Africa and, in particular, to 16 April 1996, the second day of the hearings when former Robben Island prisoner Singqokwana Ernest Malgas testified. Malgas, a victim of torture, harassment and imprisonment, appeared before the TRC

in a wheelchair to tell his story. Bennett (n.d.) reports on the hearings' proceedings in an article titled "The day the truth hit home" published in the *Sunday Times* online.

Malgas was arrested by the East London security police and accused of being a terrorist on his return from Rhodesia, where he had been in military training. He was sentenced to 22 years in jail, but his defence lawyer, Nelson Mandela, managed to have the sentence reduced to 14 years. During thirty years he suffered arrest, detention, house arrest, assault, torture and harassment. As Bennett writes, "In 1985, his house was burned down and acid poured over his son Simphiwe, who died as a result. Malgas reported the attack on his son to the authorities, but no action was ever taken". When asked to detail the tortures of which he was a victim, Malgas described, "During the torturing, I was always suffocated with a mask and there was this helicopter training. A stick was put inside your knees and you had to stretch your knees. During this period you were suffocated" (quoted in Bennett). Bennett writes, "At this point, Malgas began to sob. Tutu, in his purple robes, dropped his head onto his hands and wept openly, too".

Suffering and tears became the central feature of the TRC hearings during the following two years. As Robert Block (1996), the foreign correspondent for *The Independent*, expresses in an article titled "When the truth is too hard to bear",

Sometimes the tears seemed to be contagious. A witness would start to sob and then a member of the audience would begin to cry. Soon the tears would spread like a bush fire, until it seemed like almost everyone in the room was weeping, wiping their eyes or trying to push a lump back down their throat. One foreign observer was overheard to remark: 'This country is so traumatised. If one person is hurt, then so is everybody'.

For many people who engaged with the TRC process, memory of the hearings is mediated by the extensive press coverage and broadcasting carried out by the South African Broadcasting Corporation (SABC), alongside the prolific and sustained international media attention. During the first week of the hearings, SABC broadcast seven hours of coverage daily. A huge force of emotion was unleashed publicly as the widows of the Pebco Three and the Craddock Four, community leaders who had been abducted by the South African security police and brutally killed, provided vivid descriptions of torture and murder. Nomhle Mohapi, the wife of Mapeta, a friend of Steve Biko, also testified about her husband's murder while in police custody. Following the first week of the TRC proceedings, one-hour long reviews were aired on

Sunday nights in a television programme titled “Truth Commission Special Report” hosted by the journalist Max du Preez<sup>49</sup>. Editions ran between 21 April 1996 and 29 March 1998. These episodes, eighty-seven in total, represented a mere ten percent of the testimonies heard at the public TRC hearings<sup>50</sup>. In the first three months the focus of the programme was on the hearings of the TRC Committee on Human Rights Violations, and in particular on the victims of gross human rights violations<sup>51</sup> (Verdoolaege, 2005).

The emotionally charged and complex atmosphere of the hearings captured by the cameras confronted the public with the physical and psychological suffering of wives, mothers, daughters, fathers and sons who came forward to tell their stories. As Verdoolaege (2005:192) notes, “Victims often talked about torture experiences in great detail ... Quite regularly as well, they started to cry or they broke down when telling about the loss of a loved one”. These stories were rendered all the more compelling when contrasted with those of perpetrators who expressed no remorse for their deeds. Joe Thloloe (1998), former Editor in Chief of SABC Television News, recalls that

what finally wrenched emotions during that remarkable [first] week was the special report broadcast on Sunday night in which a former security policeman, Joe Mamasela, who had defected from the ANC, confessed on camera to killing more than 30 people. Many a stomach turned as he told, sometimes with a smile playing on his face, how he and his colleagues had butchered a well-known lawyer on a soccer field and how they had kidnapped and killed the Craddock Four.

Along with the television coverage of the TRC hearings, radio was judged “the most effective communication medium for its proceedings to the widest number of people

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<sup>49</sup> Max du Preez was the founder and editor of *Vrye Weekblad*, the only anti-apartheid Afrikaans newspaper, which ran until 1994. After exposing, among other investigations, the apartheid death squads, the newspaper faced expensive law suits and was eventually forced to close.

<sup>50</sup> According to the *Truth and Reconciliation Commission of South Africa Report* (Volume 6, Section 4, Chapter 3, pp.570-588) during its two year operational period the Human Rights Violations Committee (HRVC) collected a total of 21 519 victim statements, containing more than 30 384 gross violations of human rights. Approximately ten percent of the victims were heard in public hearings.

<sup>51</sup> Defined in the Promotion of National Unity and Reconciliation Act No 34 of 1995 (the Act) as either “the killing, abduction, torture, or severe ill-treatment of any person [or] any attempt, conspiracy, incitement, instigation, command or procurement to commit” any of the aforementioned acts “during the period 1 March 1960 to 10 May 1994 ... and the commission of which was advised, planned, directed, commanded or ordered, by any person acting with a political motive” (quoted in *Truth and Reconciliation Commission of South Africa Report* 1998:60).

... [since] radio broadcasts penetrate all corners of the country in the home languages of the majority of South Africans”, as Krog (2009) writes. Accordingly, poet and journalist Antjie Krog was appointed by the SABC to lead a small team to report on the TRC hearings. Morning bulletins contextualised the day’s proceedings and in the evening there was a review. On Friday mornings there was a wrap of the week and on Sunday evenings a longer slot made way for longer stories as well as for live interviews.

Since the end of the TRC process, scholarly debate and literature has levelled harsh criticism at the South African media both for promoting sensationalism and for turning the TRC into a “trauma spectacle and the TRC process ... a theatrical representation of pain suffered during the apartheid era” (Verdoolaege, 2005:188). Deborah Posel and Graeme Simpson (2002:7) accuse the media of presenting the TRC to the world as a “compelling drama of confession, suffering and sometimes repentance”. However, from the point of view of Hugh Lewin (1998), a journalist and a member of the TRC Human Rights Violations Committee in Gauteng, who experienced the victims’ testimonies firsthand, there is no way of sugar-coating unimaginable suffering, pain and personal loss, “the intense intimacy of torture and pain”. In effect, the hearings were psychologically overwhelming for all those who sat in at the proceedings, requiring, as van Zyl (1997) argues, a shift in the mindset of journalists from reporting “criminal activities” to giving an accurate account of the harrowing stories of the victims. van Zyl takes his argument a step further, contending that in these circumstances, “ ‘Objectivity’ has become neither possible nor desirable since attempts at objectivity stifle debate and lead to silence”. On this point, Lewin (1998) echoes van Zyl when he writes,

When you listen, for instance, as happened at the Alexandra township hearings, to a mother telling how she returned home one day and saw her child shot, then saw the people who shot him batter his head against a rock to make sure that he was dead, then you can have no predetermined formula for reporting, no easy intro, no trite pyramids ... You can only record, very precisely what you have heard and how you have heard it. It makes nonsense of our rules and guidelines and so called ‘objectivity’.

For van Zyl, the responsibility – and, I add, the ethics – of journalism resides in reporting “a victim’s story of humiliation and degradation” in such a way that it reaffirms the victim’s humanity rather than perpetuates his/her victimhood. Equally important is the ability to reveal the brutality of the perpetrators’ actions without

turning them into victims in their own turn. A parallel can be found in Antjie Krog's (1999) approach to the representation of human suffering in *Country of My Skull*, a semi-fictionalised memoir of the experience of covering the TRC hearings as a radio reporter for the SABC<sup>52</sup>. Extensive passages of transcribed testimony provided by victims and perpetrators are interlaced with reportorial accounts of the TRC proceedings and with personal reflections, political and social analysis. Weaving her own conflict and guilt about her Afrikaner background into the narrative, Krog creates a mosaic of pain and suffering, guilt, denial, shame and truth – but also misconception and distortion – as she discursively layers past and present and gives depth to the many voices that emerged during the TRC process. Encapsulated in the publisher's note is the notion that the TRC's work allowed the 'voices of the voiceless' to be heard while Krog's work explores and draws into the public sphere subjectivities which had until then been confined to the realms of the private, bringing into sharp focus the politics of visibility and enunciability at the centre of the TRC project. Restating a sense of the legitimacy of the expression of individual experiences, the publisher (1999: x) writes,

Many voices of this country were long silent, unheard, often unheeded before they spoke, in their own tongues, at the microphones of South Africa's Truth Commission. The voices of ordinary people have entered the public discourse and shaped the passage of history. They speak here to all who care to listen.

As stated previously, in the decade following the closure of the TRC's work (signalled by the publication of a five-volume interim report in 1998 along with a summary final report in 2003) its accomplishments – and most notably its shortcomings – have been the subject of an expanding body of literature which reveals the complexity of a process grounded in ideological, political and teleological premises (Stanley, 2001; Graybill, 2002; Posel and Simpson, 2002; du Pisani and Kim, 2004; Chapman and van der Merwe, 2008). Most recently, the main question driving scholars' analyses is whether the TRC succeeded in delivering its ambitious goals of establishing truth and promoting forgiveness, reconciliation and national unity which can serve as a model for other countries transitioning from political and civil violence to democracy. Analyses are mostly critical; however, scholars acknowledge that, notwithstanding its fault lines, the TRC has positively contributed to South Africa's transition from

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<sup>52</sup> Antjie Krog and her radio team were awarded the Pringle Award for excellence in journalism for their coverage on the TRC proceedings. Krog also won the Foreign Correspondents' Award for outstanding journalism for her articles on the Truth Commission.

apartheid to “a more democratic, inclusive and responsible government” (Chapman and van der Merwe, 2008:278).

Most notably, the TRC should be credited with having created what Posel (2006:91) termed “a platform for the narration of personal stories”, thereby entrenching “new modes of speaking – a politics of speaking out predicated on newfound democratic freedoms” (93). Particularly important, in light of South Africa’s history of repression, concealment and silencing of the majority of the population, is the place and significance attributed to experience and testimony<sup>53</sup>. The shift from what Ndebele (1998:20) has called the “state-induced blindness” of the past to a political agenda seeking to make public visibility and audibility the key dynamic of an inclusive and free society inscribes the TRC with a complex and politically charged mandate.

Two crucial processes took place. “Tell your story”, “The truth hurts: silence kills” and “Revealing is healing” were the slogans printed on posters disseminated throughout the country before the hearings. These constituted the ethical discourse of the TRC, marking a radical break with the politics of silence and anonymity imposed by apartheid, and introducing the Human Rights Violation Committee’s (HRVC) mission to ‘give voice to the voiceless’. As Ross (2003:329) defines it, “By ‘story’ was meant a personal account of events of violence and suffering during Apartheid, and their effects on individual lives and relationships”. The TRC, then, operated as a platform of agency. In the Arendtian sense, agency – individuals’ socioculturally mediated capacity to act, to begin something new – is enacted and represented in (and through) speech. Hence, the spoken word became not only the means through which individuals represented themselves and exercised agency, but also a catalyst of change. As Hannah Arendt (1998:178-179) stresses, “Speechless action would no longer be action because there would no longer be an actor, and the actor, the doer of deeds, is possible only if he is at the same time the speaker of words. The action he begins ... becomes relevant only through the spoken word”.

Victims’ awareness of their political agency, and of their right to speak, parallel to the public’s growing consciousness of a moral obligation to listen, supplied the contextual anchor for – and lent urgency to – the creation of a public space where victims (or the families of the disappeared) could remember and articulate their experiences of suffering. Testimony became the privileged site of narration

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<sup>53</sup> Testimony is understood here as the act of bearing witness to traumatic events (Felman and Laub, 1992).

potentiated through memory, or as D'Entrèves (1994:28) describes it, “a selective reappropriation of the past, of a remembrance of past actions and events (what [Hannah Arendt] called ‘forgotten treasures’) for the purpose of redeeming and illuminating the present”. The experience of narration offered a means through which people could reinterpret and reconstruct their lives in the sense that the narrative “does not simply record events; it constitutes and interprets them as meaningful parts of meaningful wholes” (Prince, 2000:129). During what became a process of rewriting history, more than provide a record of the events of the past, victims described what it *felt* like to be there.

Narrative rooted in individual subjective experiences became the matrix upon which both a sequence of events could be placed in time and space and a plurality of stories could intermesh to facilitate another understanding of the past. This contributed to an uncharted democratisation of history, since different accounts and perspectives of the past now questioned and superimposed on the hitherto accepted official narrative (Nuttall and Coetzee, 1998; Wieder, 2004). From the perspective of historians like Du Pisani and Kim (2004:80), however, the focus on individual experiences introduced a “tension between the pursuit of objective factual truth and the acknowledgement of various subjective truths”. This tension overrides the idea that the TRC records would culminate in “The Truth” about the apartheid past.

Given South Africa’s historical legacy of repression, the TRC approach was significant not only because a new archive of previously repressed histories<sup>54</sup> was produced through the valorisation of marginal voices, victims of violence and violation, but also because its methodology reached beyond the task of narrating and analysing the past to one of acknowledging the physical and psychological wounds inflicted on so many people by the indignities of apartheid. Key to the role of acknowledgement is, as the *Truth and Reconciliation Commission of South Africa Report* (Volume 1, Chapter 5) states, the public “affirmation that a person’s pain is real and worthy of attention. It is thus central to the restoration of the dignity of victims” (114).

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<sup>54</sup> Aside from the evidence compiled in the *Truth and Reconciliation Commission of South Africa Report* (1998), the TRC archive spans across a selection of sources. The most significant, the TRC Archives Project, is a joint initiative between the South African History Archive (SAHA) and Historical Papers (The Library at the University of the Witwatersrand) which is composed of a wealth of quantitative and qualitative data, comprising records generated by the TRC during its two-year operational period along with testimonies, interviews and other material gathered during and after the TRC. During this period, almost 22,000 written testimonies were collected of which approximately 2,000 were video-taped and publicly broadcast on television and radio. One of the components of the TRC archive available to the public is the Traces of Truth website, featuring digitised copies of key archival materials related to the human rights violations, amnesty and reparations processes.

From the outset, the metaphor of the ‘wound’ or the ‘scar’ epitomised the pain of individuals who had suffered – or seen relatives suffer – gross violations of human rights, while ‘healing’ was valorised as the necessary condition for the rehabilitation of an ailing social character afflicted by the apartheid legacy of strife and conflict. Many believed that this trauma was creating a gulf in the body politic, preventing it from becoming “a rainbow nation at peace with itself and the world”, as envisioned by Nelson Mandela in 1994. The TRC worked to promote closure on these conflicts, a goal that became dominant in the years following apartheid, and one especially championed by Desmond Tutu, the chairperson of the TRC. As Tutu (1998:7) wrote in the Foreword to the *Truth and Reconciliation Commission of South Africa Report*, “However painful the experience, the wounds of the past must not be allowed to fester. They must be opened. They must be cleansed. And balm must be poured on them so they can heal”.

Considering the performance of the TRC against this background, I argue that the TRC was an ambitious ethical project. The metaphors of “wound” and “healing” (conjoined with the imperatives of “forgiveness” and “reconciliation”) framing the ethical discourse of the TRC opened a horizon of affective responses stemming from the capacity for compassion not only for those enduring suffering, but also for those responsible for the suffering. In this regard, one of the TRC’s most important contributions was that it framed intersubjective relations in a new semantics, proposing a course of action capable of transfiguring social exchange and providing new grounds of human community. The willingness to listen to another person is an expression of respect and a gesture that restores his/her dignity.

Although the TRC did much to strengthen the fabric of intersubjective relations, for Alex Boraine, the vice chairperson of the TRC, the most significant civic challenge in post-apartheid South Africa lay in extending the ethic of responsibility beyond the proceedings of the TRC. As Boraine has put it, “the process will not be completed until all South Africans who benefited from apartheid confront the reality of the past, accept the uncomfortable truth of complicity, give practical expression of remorse and commit themselves to a way of life which accepts and offers the dignity of humaneness” (quoted in du Pisani and Kim, 2004:85).

This outlook is reflected in the guiding principles shaping the TRC framework, which may be traced back to the several intersecting constitutional and ethical imperatives underpinning the founding provisions of the Interim Constitution (Act 200 of 1993), and subsequently the Promotion of National Unity and Reconciliation Act, no. 34 of

1995 that gave rise to the TRC. Both documents articulate the idea that the goals of democracy, peaceful co-existence, national unity and the reconstruction of a deeply divided society can only be secured through reconciliation, which, in turn, feeds on the capacity, as the Act stresses, “for understanding but not for vengeance, ... for reparation but not for retaliation, ... for *ubuntu* but not for victimization”.

Chapter 1 of this thesis discusses the concept of *ubuntu* at length. At this point it is worth stressing the centrality of *ubuntu* to the discourse framing the TRC’s mission statement: *ubuntu* is “generally translated as ‘humaneness’, [which] expresses itself metaphorically in *umuntu ngumuntu ngabantu* – people are people through other people” (*Truth and Reconciliation Commission of South Africa Report*, Volume 1, Chapter 5:127). As Desmond Tutu (1999:35) explains in *No Future Without Forgiveness*, the intention and reach of the African communalist philosophy of *ubuntu* is better understood if instead of saying, “I think therefore I am”, we say, “I am human because I belong”. The ethic in *ubuntu* resides in the formulation that,

A person with *ubuntu* ... has a proper self-assurance that comes from knowing that he or she belongs in a greater whole and is diminished when others are humiliated or diminished, when others are tortured or oppressed, or treated as if they were less than who they are.

Mutually supporting and inspiring one another are the ideas of *ubuntu* and of a common humanity. The significance of this interconnection is better apprehended if we consider, as Tutu (1999:35) does, that in the context of apartheid,

The humanity of the perpetrator of apartheid’s atrocities was caught up and bound up in that of his victim whether he liked it or not. In the process of dehumanising another, in inflicting untold harm and suffering, the perpetrator was inexorably being dehumanised as well.

During the various stages of the TRC’s work, when faced with cases of torture, rape, murder and other traumatising experiences, as details of atrocities committed during apartheid were disclosed and perpetrators offered gruesome accounts of crimes and criminal behaviour without (in most cases) acknowledging guilt or showing remorse, commissioners and TRC committee members admitted that they were poorly equipped to deal with (hi)stories of violence and trauma<sup>55</sup>. For many observers and

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<sup>55</sup> I take trauma to mean, as Caruth (1996:3) defines it, “a wound inflicted not upon the body but upon the mind”. This understanding of trauma is traced to medical and psychiatric literature, to which Freud’s texts are pivotal. Informing Freud’s theory of trauma is the idea that, as Caruth notes, “the wound of the mind ... is not like the wound of the body, a simple

critics, what held this fragile process together, thus preventing it from being derailed by hatred, anger, vengeance and violence was the repeated appeal to the main coordinates of the TRC, namely tolerance, compassion, forgiveness and reconciliation.

The capacity to forgo hatred, anger and revenge and respond non-violently to violence, embodied in the philosophy of *ubuntu*, was displayed by the parents of Amy Biehl, a white American Fulbright exchange student who was killed in the township of Guguletu near Cape Town in 1993 by a mob shouting anti-white slogans. After spending three years in jail, her convicted killers – four members of the Pan-Africanist Students Organisation (PASO) – applied for amnesty to the TRC for stoning, stabbing and beating Amy Biehl to death. Amy's parents did not oppose amnesty and flew to South Africa to attend the hearing.

The documentary film *Long Night's Journey Into Day*, directed by Frances Reid (2000)<sup>56</sup>, intersects archival footage with the amnesty hearing of Mongezi Manqina, one of Amy's murderers, external interviews with Amy's parents, and interviews with members of Mongezi's family, culminating with a meeting between Amy's mother and Mongezi's mother. This juxtaposition of stories, voices and viewpoints effectively reveals the complex emotions inherent in the deep racial fault lines at the core of apartheid. This emotional complexity challenges a facile conciliatory narrative of forgiveness, healing, reconciliation and nation-building. The grief-stricken story of Peter Biehl, Amy's father, stands in stark contrast to Mongezi's detached and emotionless testimony, coupled with the indifference toward the death of a white woman displayed by some of Mongezi's family members at the beginning of the film. This contrast raises questions about the ability of the TRC to dispel the anger, fears, suspicion and resentment that had formed the basis of interracial relations for so long, while promoting a new vocabulary of solidarity, generosity and interconnectedness.

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and healable event, but rather an event that ... is experienced too soon, too unexpectedly, to be fully known and is therefore not available to consciousness until it imposes itself again" (4).

<sup>56</sup> Shot over a period of two and a half years, the film chronicles the stories of victims and perpetrators in four cases brought to the TRC: the murders of Amy Biehl and the Craddock Four, the Magoo Bar Bombing and the murders of the Guguletu Seven. Other documentary films have focused on the TRC, most notably Mark Kaplan's *If Truth Be Told* (1996), *Where Truth Lies* (1998) and *Between Joyce and Remembrance* (2004); Gail Pellett's *Facing the Truth with Bill Moyers* (1999); Antjie Krog and Ronelle Loots's *The Unfolding of Sky: Landscape of Memory* (1999) and Lindy Wilson's *Guguletu Seven* (2000).

Reid's documentary highlights the importance and meaning of individual actions in contributing to a renewed landscape of post-apartheid social relations. These actions are expressed in both victims' and perpetrators' willingness to understand the Other's pain and humanity, a decisive step away from anger and vengeance toward compassion. Insight into this possibility can be gained by looking at length into a sequence in the film that evinces a shift in attitude and behaviour, particularly towards the 'white Other'. The sequence opens at the TRC hearing with a reading of Mongezi Manqina's affidavit:

The car stopped and the driver, Amy Biehl, stumbled out of the car and started running towards the Caltex petrol station. We chased after her and I tripped her and she fell down. I asked one of the persons in the crowd for a knife. I got the knife and moved towards Amy Biehl ... I took the knife and stabbed her once in front on her left side. I heard the evidence that this blow was fatal. I accept that it must have been the wound that I inflicted.

A dialectical structure is used to intersperse the reading with Peter Biehl's impassioned story of how he heard about and reacted to his daughter's death, with the opinions of Mongezi's relatives in ancillary interviews conducted in private settings. In one such interview Neliswa Solatshy, Mongezi's cousin, admits,

To be honest, I didn't care much because she is a white lady. She's white; she's white. How many blacks have been died. At first because I didn't know that my cousin was also involved there. If he was, I would also remain feel the same. She's a white woman. What the hell must I care about her.

Following the matter-of-fact statements by two of Mongezi's other cousins, the viewer is brought back into the setting of the hearing with the words read by Mongezi's lawyer: "I deeply regret what I did. I apologise sincerely to Amy Biehl's parents, family and friends and I ask their forgiveness". The camera zooms in on Mongezi's imperturbable expression. In an interview conducted at his house, Mongezi calmly states,

Before it all happened I was a person who loved sport. I was in Standard 6 at Guguletu Comprehensive and after school I knew that come five o'clock I would be at the gym. In the week that this thing happened, a student died at Nyanga Junction. His name was Shawbury. Before my eyes, he was shot by a Boer (policeman) while he was singing freedom songs. I felt terrible because he died in my arms.

Back in the amnesty hearing Robin Brink, the TRC lawyer, asks Mongezi: "How did you possibly think that the killing of a single unarmed white young woman would

bring about your objective?” Mongezi’s reply is, “The government would get very angry during the times of apartheid if only one white person is killed. By killing Amy Biehl that was going to make us proud and force the government to attend to the demands of the black people”. This prompts an accusation from the TRC lawyer: “You had no mercy in your heart that day”. The reply is, “No”.

A rapid cut in the film introduces the viewer to Linda Biehl, Amy’s mother, who describes how she became aware of the remorse of Evelyn Manqina, Mongezi’s mother, in a message the latter had asked to be filmed. Evelyn is then seen saying: “It’s going for Christmas time. Each and every house is sitting with his family around the table enjoying themselves. She’s going to sit at the table ... but when she’s sitting and eating thinking that there’s somebody short here”. Tearfully she continues, “She passed away without any sickness. You haven’t even been to the doctor. Just like that; without no reason. It’s too much”.

News footage shows Peter and Linda Biehl’s visit to Evelyn Manqina’s house in Guguletu. The two women embrace each other and Linda comforts Evelyn, repeating the words, “Don’t cry. Don’t cry”. At the hearing Linda reads a brief biography of Amy, and Peter explains how he and Linda would like to honour their daughter,

Just two months before she died, Amy wrote in a letter to the *Cape Times* editor: ‘Racism in South Africa has been a painful experience for blacks and whites, and reconciliation may be equally painful. However, the most important vehicle to reconciliation is open dialogue’. Amy would have embraced your Truth and Reconciliation process. We are present this morning to honour it and offer our sincere friendship. We are all here in a sense to consider a committed human life which was taken without opportunity for dialogue. When this process is concluded, we must link arms and move forward together.

Linda and Peter Biehl’s statements are intercut with Mongezi’s reflection, “It made my heart sore to hear how they described her. I didn’t know who she was. I had seen her simply as another oppressor. I realized ... I hit the wrong person”. The closest we get to an expression of remorse from Mongezi occurs after being granted amnesty, when he admits,

It shocked me that Amy Biehl’s parents didn’t oppose amnesty for us because every mother has suffered the pain of childbirth, and to lose the child you love is very painful. It’s a wound that does not heal. And it still comes as a shock to me that they were able to reconcile within themselves.

I cannot engage here with all the aspects of the complex archaeology of emotions underpinning the TRC process, but I advance an hypothesis that addresses the wide social support of what scholars have called “the *ubuntu* theology of Desmond Tutu”, an ethic that established the baseline for the moral reconstruction and humanisation of a society fractured by the dehumanising practices of apartheid. Much has been written about the unquestionable influence of Nelson Mandela and Desmond Tutu’s leadership on the structure and implementation of the TRC, and most notably on the centrality of forgiveness in the radical proposal for reconciliation that framed not only the TRC, but in particular Nelson Mandela’s political discourse (Graybill, 2002). I argue that the discussion should move past teleological, political and individual influences on the project and focus on the significance of the cultivation of a new set of values generated by the vocabularies and ethical imperatives framing the TRC guidelines.

On this score, much attention has been paid in scholarly literature to forgiveness as the essence of the TRC enterprise. However, not enough has been theorised about the role of compassion in enlarging the scope of moral and social reform, the fundamental transformation craved in post-apartheid social and political life. I argue that compassion precedes forgiveness; it is through compassion that the Other’s humanity is recognised and his/her dignity is restored. In essence, it is compassion – before forgiveness – that should be considered the backbone of a model of society centred on human togetherness nurtured by the capacity and willingness of individuals to reach beyond a state of self-satisfied ease and build relations of mutual respect, reciprocity and solidarity. Compassion engages with and makes the project of *ubuntu* viable since, as Nussbaum (2001:327) puts it, “it is to be for *another*, and not for oneself, that one feels compassion” (emphasis in the original). In the same vein, as Tutu (1989:71) advocates, “*Ubuntu* ... speaks about ... putting yourself out on behalf of others, being vulnerable. It embraces compassion and toughness”.

I will draw on Martha Nussbaum’s (2001) acute perspective on compassion in *Upheavals of Thought* to consider the social role of compassion in crafting a more humane post-apartheid society. Nussbaum’s thought evolves out of her analyses of the Stoics, Adam Smith, Rousseau, Kant, Schopenhauer, and Nietzsche. Aristotle defined compassion as pain caused by the perception of another person’s misfortune or suffering. Drawing on Aristotle, Nussbaum (2001:326) explains “pain” as “something more organic to the thoughts ... the sort that is ‘about’ or ‘at’ the





















































































































































there's a different relationship and the mechanics of the camera contributes a lot to the way that image is formed and the way that it's read".

Another important part of the process, they claim, is the fact that they interview and engage with the people they photograph, which, I suggest, not only humanises the process through this gesture of respect and attentiveness to the Other's circumstances and personal story, but also enables them to provide, along with the printed photographs, very personal accounts or thoughts of the subjects they seek to portray. In Broomberg and Chanarin's work the nameless, anonymous person – quite often, the individual whom civil society treats with hostility or indifference because s/he is poor, mentally ill or a criminal – (re)claims his/her place within “the civil space of photography” (Azoulay 2008).

This mode of address both invests the subjects with dignity and stimulates the type of affective and responsive spectatorial experience this thesis seeks to underscore. I am reminded of the celebrated Polish foreign correspondent and writer Ryszard Kapuściński, whose thoughts on the work or “mission” of journalists can easily apply to that of photographers. When considering the formative significance of empathy for a journalist's work Kapuściński argues, “Empathy is perhaps the most important quality for a foreign correspondent. If you have it, other deficiencies are forgivable; if you don't, nothing much can help” (quoted in Atkins and Nezma, 2002:219).

We can relate to this idea when we examine Broomberg and Chanarin's (2003:98) *Ghetto* series. To the photographers' question “What are you scared of?” a psychiatric patient replies, “I'm scared of the outside because Rafael is there and I don't want to see him”. When the photographers state, “But you are Rafael”, the patient replies, “Now you understand what I'm scared of”. This exchange stands out in the flow of highly moving portraits and text documenting the life and experiences of patients at the René Vallejo Psychiatric Hospital in Cuba. Most of the photographs, we are told in the introduction to the photo essay, are self-portraits produced by virtue of a long release cable that enabled the subjects to take their photographs when and how they chose.

The result of the photographers' decision to surrender control of the photographic encounter – their way of dealing with the ethical dilemma of photographing psychiatric patients – is as surprising as it is compelling. In one of the frames, Mario, a skinny sixty-year-old grey-haired man with hunched shoulders, has turned his back to the camera. The only indication of his status are the baggy institutional pyjamas.



initial foci of the “We the People” road trip, the photographic project branched out into an acute commentary of South Africa’s plaguing social problems ten years after apartheid. Among other issues, carefully framed colour portraits and landscapes address unemployment, homosexuality, displacement, circumcision rituals, poverty and homelessness, crime, prostitution, illegal immigration, housing and AIDS.

A concern with key aspects of the social condition of marginalised individuals or communities and previously disadvantaged racial groups provides a unifying thread for this contentious body of work. It relentlessly questions South Africa’s post-apartheid reality at an historical juncture in the country’s democratic process (ten years after apartheid), encouraging the viewer to ask whether democracy is, in fact, fulfilling its essential role in South Africa or whether change is unfolding at a fast enough pace. Mr. Mkhize’s story, the photographers claim, sums up the optimism and the frustration that they encountered during their road trip. Ten years after the end of apartheid, Mr. Mkhize is still a migrant worker; he still lives in the same hostel in Alexandra Township. The main difference in his everyday existence is that he no longer shares his room with eight other men. He now lives there with his wife for the first time in their married life. For the so called “born-free generation” (youngsters born after the demise of apartheid), in contrast, life in South Africa is exciting and empowering – as Mathaba Mayla (Fig. 13) reveals.

As a contestant in a beauty pageant, Mathaba (whose aspirations are far removed from those of her parents who were born into the struggle against apartheid) dreams about becoming Miss Teen South Africa, being a logistics manager for BMW and driving a sports car. A full-length portrait (Fig. 13) shows a confident, tall and thin young woman facing the camera in a pink bikini and black stilettos. By placing the subject against a white backdrop, the photographer draws attention to her face, but, mainly to her body and posture. Self-conscious about her semi-nakedness, the subject adopts a modelling pose, keeping her back straight and shoulders up, one leg locked straight and the other casually stretched at a slight angle. In the accompanying extract of her conversation with the photographers, Mathaba stresses, “They say the sky is the limit. But not for me”. She also considers that “Apartheid wasn’t all bad”, since without it, “Nelson Mandela would never have become who he is” (Broomberg and Chanarin, 2004a).

Expressing far less certainty and optimism about the future is the text that accompanies a landscape photograph of Khayelitsha township in Cape Town <sup>96</sup>. The frame is divided diagonally by a railway track. On the left side of the track a dense agglomeration of shacks made of a collage of materials (including metal sheets, corrugated tin and wooden planks) takes up all visible space right up to the railway track, evincing the lack of living conditions affecting the residents of this informal settlement. Horizontal rows of electricity cables decorate the skyline above the shacks. Contrasting with the densely packed shack settlement on the left of the track, a long winding dirt track separates the railway line from the rubbish-filled bushes on the right. The image was taken at sunset; the distribution of tones and colours and the soft light diffuse the grimness of what we know to be inhuman living conditions. The text accompanying the image, on the other hand, is brutal in its graphic description. It reads,

Nandipha Stemelo, Vuyo Maombothi and Bulelani Xama live in Khayalitsha ... More precisely, they live almost on train tracks, because Khayalitsha's newer shacks are built against the high-speed commuter line. Trains pass every five minutes, and everyone here can tell a story of seeing a young child, an elderly person or someone simply distracted getting dismembered by a passing train ... If a person here needs the toilet then they step over the tracks and go here in the bushes. Two weeks ago there was a lady who was hit by the train just down there by the bridge. It happens every day. We don't even get frightened anymore (Broomberg and Chanarin 2004a).

Methodology carries significant weight in Broomberg and Chanarin's practice, constituting a complementary dimension of their ethical work. The choice of subjects stems, in part, from research and attention to both demographics and the diverse social landscape shaping the character of contemporary South Africa. Although they set out with an interest in specific themes and institutions (including variously, crime, security and AIDS; hospitals, prisons and the police), the photographers do not confine themselves to an agenda. Part of the nature of photography, they claim, is having an eye for the unexpected and the ability to recognise a moment worth seizing.

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<sup>96</sup> Khayelitsha, which means "our new home" in Xhosa, grew as a dormitory settlement for rural African men who migrated to Cape Town in search of jobs, and is today the second-largest township in South Africa after Soweto with over a million residents. The township has constituted one of the greatest challenges for the post-apartheid government, which has attempted to combat the alarming unemployment, crime and AIDS rates, and improve the quality of life of the township residents, with the development of an urban renewal programme. Critical voices have expressed the view that the urban renewal programme preserves ghettos from the apartheid era and consigns current residents and future generations to economic isolation (Goldberg, 2003).



Fig.13 Adam Broomberg and Oliver Chanarin, *Mathaba Mayla*, Johannesburg, South Africa, 2004













Fig. 14 Adam Broomberg and Oliver Chanarin, *Tessa Davis*, Eldorado Park Boxing Club, South Africa, 2004























In an article titled “The Discourse of the Museum”, Mieke Bal (1996:205) argues that

Discursivity, most notably rhetoric imbricated with narrative, is in effect a crucial aspect of the institution. And I do not mean by this that museums inevitably produce discourse in their information flyers, brochures, and catalogues. I mean more central, at the core of the idea of exhibiting.

Indeed, the exhibition design of “Mr. Mkhize’s portrait & other stories from the new South Africa” takes two key aspects into account: one, that the portrait subjects’ stories feature as an essential component of Broomberg and Chanarin’s work, in that they occupy, alongside the image, a central place in the presentation and interpretation of their project; two, that the exhibition plays a pivotal role in providing a narrative experience to the viewers through the interweaving of the photographs and accompanying individual life stories. As Bal (1996: 208) points out, “a visit to the museum is an event that takes place in space and in time, and it therefore produces a narrative”, one that provokes an affective – and at the same time critical – response to the work. In essence, the critical and affective quality of Broomberg and Chanarin’s photographic work and the narrative component of the exhibition design mutually condition and inspire one another, engendering what Jill Bennett (2005) has intelligently called (and used as a book title) *Empathic Vision*.

At the core of Bennett’s (2005:11) thesis is the argument that an affective response to a work of art should not be construed “in narrow cause-and-effect terms, as if the image functioned simply as a mechanistic trigger or stimulus”, as is often the case with media forms such as horror films. Bennett traces “the conjunction of affect and cognition”, claiming that when art “shocks us”, it does so to jolt us into a mode of critical inquiry. Examined from this perspective, “Mr. Mkhize’s portrait & other stories from the new South Africa” not only moves and disturbs us, but also provides – by virtue of the stories accompanying each photograph – insight into the lived experience of people whose lives are so far removed from our own. It compels us to question the political and socio-economic realities framing each of the stories told both visually and in written form. An illustration of this is the portrait of eleven-year-old Naema Erasmus (Fig.21) included in the first set of portraits in the exhibition.

Different in composition from the other photographs, the stripped-down and minimal essentialism of the image has excluded any visual element in the background that might distract us from the landscape of the face. The subject has been posed with

her shoulders at a forty-five degree angle to the camera and framed against the corner of two neutral coloured walls with only two rows of tiles bearing a pale blue pattern at the bottom of the frame. The girl's feminine beauty is accentuated by her flawless light brown complexion a few shades darker than her frilly beige t-shirt. Her hair has been pulled back to reveal an oval face with beautiful almond-shaped hazel eyes and full lips. She has composed herself, looking straight at the camera. What catches us off guard is the intense expression in her eyes that gives us the impression that she is really not looking at us but looking inward. Her calm, dignified bearing is disconcerting for a girl her age. But her expression and poise can only be gauged against the text accompanying the image, which affords a sense of what her life is like. It reads,

I live in Mitchell's Plain with my mother. It's not a bad place. The only downside to it is that there are a lot of gangs living there. They aren't good because they tend to shoot and stab people for no apparent reason. Most of the times I don't feel safe walking around alone. At times I get angry at my mother for sending me to the shops alone. I get terrified and scared of being stabbed.

Considered from a Bakhtinian perspective, the sequence of images I have been discussing may be seen as a set of utterances, in a chain of signification. In this regard, we can conclude that, as Sekula (1982:85) argues, "the photograph [a single photograph] is an 'incomplete' utterance", and it is only when examined in dialogical relationship with other photographs – and in conjunction with the respective interpretive captions – that it gains fuller readability and capacity for narrative. The complex web of dialogic interrelations that emerges from the conceptualisation of the exhibition as a set of utterances engenders a tension in the work that ties in with David Levi Strauss's (2003:10) observation: "To be compelling, there must be tension in the work; if everything has been decided beforehand, there will be no tension and no compulsion to the work". This tension is what compels the viewer to engage with and respond to the photographs individually, to the groupings of photographs, and to the narrative as a whole, during the visual encounter with Broomberg and Chanarin's photographic work.



Fig. 21 Adam Broomberg and Oliver Chanarin, *Naema Erasmus*, Mannenburg, Cape Flats, Western Cape, South Africa, 2004

According to the Photographers' Gallery's Marketing and Press Review (MPR)<sup>102</sup>, 39,269 spectators, averaging 9,576 spectators per week, attended the show. Of these, 80.2% were British and the remainder 19.8% were mostly from European countries<sup>103</sup>. The audience's response to the exhibition was extremely positive, as Camilla Brown observed in a personal interview:

We didn't get any negative responses about the show. We got a lot of people who found it incredibly moving ... It had a very human direct response, and it's partially because of the size of the work and the fact that in a lot of the portraits people are looking directly out at the viewers, and engage their eyes with your eyes. I think it pulls you in and makes you identify with them ... People that you wouldn't expect to engage with, you suddenly were confronted directly with in the space, and read their stories, or part of their stories. A lot of people told me they were moved by it.

Parallel to the exhibition audience's direct response, the show attracted considerable attention from the British media, including television, radio, national newspapers, consumer magazines, photography publications, exhibition listings and websites. The exhibition catalogue, which was published to coincide with the opening of the exhibition, ensured a wider degree of exposure. In this respect, in an online symposium titled *Museums of Tomorrow: A Virtual Discussion* – later edited for publication by Maurice Berger (2004) – Mary Kelly stresses,

The exhibition is also a system of meaning that includes not only the display of works and their reproduction along with commentary in the catalogue, but reviews in art magazines and, most prominently, the daily press, which plays a large part in determining how many people will even try to get to the guided tour.

In effect, Broomberg and Chanarin's photographic project evoked media interest that generated public awareness about contemporary post-apartheid South Africa and drew the appropriate interested audience into the Photographers' Gallery. In an extensive article titled "The Winds of Change", David Beresford (2004), writing for *The Observer Magazine*, hones in on the successes and shortcomings of the first decade of democracy in South Africa, questioning just how much the country has changed. His most trenchant criticism is reserved for the then president Thabo Mbeki, whom he accuses of vanity, of gross inefficiency in his handling of the HIV/Aids scourge in South Africa, and of committing innumerable gaffes. The article is richly illustrated with ten photographs by Broomberg and Chanarin, accompanied

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<sup>102</sup> The Marketing and Press Review was made accessible to me by Camilla Brown.

<sup>103</sup> Aside from these figures, the audience profile breakdown in the MPR reveals that 1.5% of the spectators were white South Africans and 0.5% were black Africans.

by unusually detailed captions (for a newspaper article); more detailed, in fact, than some of the text accompanying the photographs in the book. While the author expresses his own particular view of post-apartheid South Africa, the photographs, together with the stories at their side, rather than simply lend credence to his statements, draw the reader's attention to the content of the images that depicts the stark reality of specific lives. The reader is then given access to the photographed subjects' thoughts about South Africa – which, in some cases, are more optimistic than those of the author – or his/her personal aspirations and dreams, in the form of a first-person narrative.

Other journalists wrote about Broomberg and Chanarin's photography series in national newspapers, but none were quite as blunt in their analysis of the social fractures – some new, others that have been maintained or deepened since the demise of apartheid – threatening South Africa's non-racial democracy. Favourable previews and reviews were published in *Metro Life* (which gave the exhibition a rating of three out of five stars), in *The Times* (four out of five stars), in *The Independent*, in *The Guardian* and in *Tribune*<sup>104</sup>. Emmanuel Cooper (2004), writing for *Tribune*, concluded that “By turns exhilarating and salutary, this fascinating exhibition adds a further dimension to our knowledge and understanding of this absorbing country”.

The project also received significant attention from consumer magazines, photography publications and internet sites, including *Dazed & Confused*, *The Big Issue*, *Time Out London*, *BBC Focus on Africa*, *British Journal of Photography*, *Digital Photographer*, londonart.co.uk, news.bbc.co.uk and 24hourmuseum.org.uk<sup>105</sup>. While David Beresford (2004) focuses on some of the socio-economic issues that continue to engender poverty and gross inequality among the population in South Africa ten years after apartheid, Beth White (2004), writing for *Dazed & Confused*, explores the resilience of the traditional African cultural practice of male circumcision among the Xhosa tribe that is still very much embedded in contemporary society, highlighting the dangers of “bush” circumcision practices for public health. In particular, she claims, there is a growing fear from health officials “about HIV/Aids being

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<sup>104</sup> See Fisun Güner's (2004) review titled “Ever since Apartheid” in *Metro Life*; Peter Chapman's (2004) review in *The Independent* and Joanna Pitman's (2004) review titled “A Land of Hope” for *The Times*.

<sup>105</sup> See Chrisi Franks's (2004) review titled “South African Portraits” for *Dazed & Confused*; Charles Howgego's (2004) piece in *The Big Issue*; the review titled “Reality bites” in *Time Out London*; Marieke Hoogendijk's (2004) piece titled “South Africa: A Changing Nation” in *The Big Issue in Scotland*, and Mary Harper's (2004) article titled “Lives in Focus” in *BBC Focus on Africa*.

transmitted to entire schools”, resulting from the use of the same non-sterile blade on a whole group of initiates. Worsening the already serious situation are the aftercare medical complications that often occur. Many of the boys develop infections and gangrenous wounds. Although some initiates die, and many have to undergo penile amputation and plastic surgery, “Families are increasingly spending thousands of rand on gifts for the initiation schools, and the first ‘super-school’ is being built in the urbanised Cape Flats region, with the expectation that over 600 youths will ‘graduate’ per year”, writes White (2004:121).

A full-page landscape shows an isolated makeshift tent made of plastic sheets in the middle of the bush. The second photograph in this four-page feature story is a close-up portrait that draws our attention to a young man wrapped in a blanket, revealing only his painted face. The subject’s direct cold stare, seemingly fixed on the viewer, and firmly-set thick red lips discourage any type of proximity. Next to the image a quote reads, “Previously men won glory by fighting against the colonists. Now the only way to hold your head high is to be circumcised”. The third image is the full-length portrait, discussed earlier, of the same young man, Mandlenkosi Noghayi.

The extensive media coverage on the “Mr. Mkhize and other stories from the new South Africa” exhibition enabled readers to get a sense of the overall themes explored in Broomberg and Chanarin’s photographic project, and gain a deeper understanding of both the trajectory covered in South Africa during the first decade of democracy as well as the social problems with which the country is grappling. The interest of a British audience in this exhibition brings to mind Ferguson’s (1996) theoretical discussion about the circumstances and factors contributing to audience receptivity. Ferguson (1996:184) argues: “As a system of critical representations, exhibitions must be seen in terms of their differentiating forms, media, content and expressive force within the environment and historical conditions in which each of their solicitations are proposed and received”.

Fundamentally, the United Kingdom has a long history of relations and economic interest in South Africa, from colonialism to the present day. The apartheid era, and particularly the implementation of draconian apartheid legislation in the 1950s, followed by the Sharpeville massacre in 1960, unleashed a storm of protest from the international community. Strong condemnation of the apartheid government’s racist policies and oppressive regime was followed by the application of economic sanctions and an arms embargo instituted by the United Nations (UN). Although South Africa

was excluded from the Commonwealth of Nations in 1961, the British government was reluctant to sever all ties with the South African government.

The banning in 1960 of the ANC and the PAC in South Africa led activists in exile to seek support abroad for the liberation movement. A Boycott Movement had begun to take shape in the UK in 1959 and in 1960 it formally became known as the Anti-Apartheid Movement (AAM). According to a special edition of the *Anti-Apartheid News* (Summer 2009) celebrating the fiftieth anniversary of the founding of the AAP, “The AAM was founded in response to an appeal from the South African Congress movement. It involved individual supporters, political parties, trade unions, and religious and secular organisations in the common cause of overthrowing apartheid”. Over the years the AAM grew into a powerful international solidarity movement.

The AAM called for sanctions and the total isolation of apartheid South Africa. It also campaigned to end the supply of arms and all military collaboration with South Africa, since Britain was South Africa’s major arms supplier in the early 1960s. The 1964-70 Labour government imposed a ban, but it was lifted by the Conservative government in 1970. Following the murder of Steve Biko by South African security forces in 1977, the UN imposed a mandatory arms embargo, but the 1979-92 Conservative government led by Margaret Thatcher exploited its loopholes and continued to exchange military expertise with South Africa. The campaign eventually grew to include the boycott of South African sports, arts, academic and all cultural interactions, forcing the cancellation of the 1970 Springbok cricket tour. After this, South Africa was expelled from nearly every international sporting federation.

Alongside the UK, Scandinavian countries – together with the Netherlands – proved to be amongst the most supportive of the liberation movement, despite expressing strong disapproval of the ANC’s recourse to the armed struggle and its links to the South African Communist Party (SACP) (Callinicos 2002). Lindiwe Mabuza, former ANC activist, and the High Commissioner of South Africa to the UK from 2001, recalls that support from Scandinavian countries came in the form of funds (in 1985, \$3.5 million were raised to support the ANC youth). In her words,

When I had first arrived in Sweden the isolated anti-apartheid South African community had roughly a dozen organisations, but by 1986 the Swedish People’s Parliament against Apartheid had grown to the extent that only a handful of organisations were not part of this broad mass movement (*Anti-Apartheid News* 2009:12).

The wide public support of the AAM, which translated into popular mobilisation against apartheid in the UK (as well as in Scandinavian countries and the Netherlands) for decades, explains the general public's continued interest in South Africa's journey into democracy. In this respect, South African artists have attracted attention from the international art circuit, whose interest is directed at forms of expression that encompass the diversity and contradictions that continue to define the country well after its transition to a non-racial democracy. Consequently, numerous solo and group shows of South African photographers have been curated in contemporary art museums and photography galleries abroad.

In late 2004 Broomberg and Chanarin were invited to present a selection of images from the *Mr. Mkhize's portrait & other stories from the new South Africa* series, alongside the work of seven South African photographers – Santu Mofokeng, David Goldblatt, Jodi Bieber, Guy Tillim, Lolo Veleko and Jo Ractliffe – in a touring exhibition titled “UNSETTLED: 8 South African Photographers”. The exhibition, together with the catalogue of the same title, was produced by The National Museum of Photography at The Royal Library in Copenhagen, Denmark, to mark the tenth anniversary of the fall of apartheid. After Copenhagen, the show was featured at Kristanstads Konsthall in Sweden and in the Reykjavik Museum of Photography in Iceland. According to Mads Damsbo (2004:85), the exhibition's curator,

The word ‘unsettled’ refers to the effect of the unknown on the subject. The word is frequently used in existentialism, where it designated the ethical encounter between the subject and its other. Although such encounters are an everyday phenomenon for most South Africans, facing one's mutually ‘other’ fellow citizens is still a challenge of existential proportions. Racism still prevails, and one of the major tasks of the new regime has been to institute the breaking down of former myths, prejudices and categories of perception. The eight photographers presented in UNSETTLED all engage actively in this process of redefinition.

For Sean O'Toole (2004:95), a South African art critic, the word “unsettled” evokes “the turbulent character of life in contemporary South Africa ... the godless aftermath in which [South Africans] are optimistically reconstituting [themselves] as a nation, ever cognisant of the immensity of the passage [they] have just made”. “Unsettled” too, he observes, is the “artistic terrain [he] inhabits, this country that will not easily be fixed: not by words, not by images” (97). This leads me to think about the difficult task of selecting photographers for a group show that aims to reflect (as the exhibition's organiser, Ingrid Fischer Jonge (2004:6), writes) on South

Africa's "process of transformation – a process where no one knows the outcome, and a process that applies to everyone".

The choice fell upon photographers whose work navigates the difficult terrain of post-apartheid South Africa, revealing the multiple tensions and conflicts that continue to leave their imprint in the country's social and political landscape. However, any selection of artists raises complex issues about who does the selecting, who is selected and who is left out. These issues, although pertinent, fall outside of the scope of my study. Ingrid Fischer Jonge (2004:6) stresses that "The eight photographers represent different generations, and this gives the exhibition an extra dimension by virtue of their different experiences".

In the essay he wrote for the exhibition catalogue, O'Toole prefers to point out what distinguishes each photographer in character, style and subject matter. Goldblatt's work, for example, bears evidence of his "sociological engagement with the land" and "concern with values" (90). Mofokeng's recent work, on the other hand, is concerned with "the exegesis of struggle", "with the struggle of memory and forgetting" (92). By contrast, Bieber, a much younger photographer, focuses on "the flotsam and jetsam of South Africa's postcolonial, capitalist society", whereas Tillim is dedicated to "the fraught enterprise of documenting human misery" (93). In Ractliffe's images there is a constant oscillation "between the immensity implied and the banality depicted". Veleko "is profoundly concerned with issues of race". Broomberg and Chanarin are concerned with "letting people represent themselves instead of pretending to catch the defining moment that speaks the unwitting truth" (96).

This reflection suggests a shift away from a shared social and political commitment to the anti-apartheid struggle characterising South African photographers' work – particularly among the *Afrapix* collective during the 1980s – to an investment of a more personal and self-reflexive nature after the demise of apartheid. A broader spectrum of themes, photographic languages, perspectives and conceptual approaches exemplifies the recent work of both older and younger photographers. This has led to the renewed interest of the international art world in South African photographers, resulting in the increased presence of their work in exhibition venues both at home and abroad.

In the case of Broomberg and Chanarin, the fact that they have been based in London, and have acquired recognition in the international art market through their

work as editors and photographers of *Colors* magazine and subsequent publication of *Ghetto*, has served as a platform for wider international exposure and discussion of their work. In 2006 they were invited to present a selection of photographs from *Mr. Mkhize and other stories from the new South Africa*, together with a then-recent photography series titled *Chicago (2006)*<sup>106</sup>, in a major exhibition titled “Facts, Fictions and Stories” at the Stedelijk Museum in Amsterdam<sup>107</sup>.

In a personal interview<sup>108</sup> Hripsime Visser, the curator of the exhibition discussed both her interest in Broomberg and Chanarin’s work and the conceptualisation of the exhibition “Facts, Fictions and Stories”:

It was the book, the book *Ghetto*, and the fact that they give people their voices because of course there’s been a lot of aestheticising – also in documentary – and documentary has become fashionable also for the art world, and what I like is that they try to create a bridge between the two worlds: the documentary world, the magazine world and also the art world. On the one hand, you have a large format camera, so you have the precision and the presence of a work of art and; on the other hand, they try to give people their voices, which led also to very interesting discussions when installing the exhibition because we installed it very much in a museum-like way. They said, ‘This is completely different from what we did at the Photographers’ Gallery, where it was much more a magazine and now it’s really an Art Museum’. But then we were adding the text, and they said, ‘Oh, do we really need this text? This is so beautiful’. So for them also, they started to hesitate and I said, ‘Well, it’s your project, and I think you should do it because the quotes are important. You want to give people their own presence, their own voices in the exhibition’. So, it’s interesting how they also developed.

The four distinct exhibitions discussed here illustrate that the production of photographic meaning is, as has been widely theorised in literature, contingent on the historical, political, social, cultural and institutional context(s) in which a body of work is produced, circulated and consumed. As Kristine Roome (2002:73) argues, “[M]eaning is made and constantly remade depending on audience and venue”, and, in conjunction with this, depending also, I add, on institutional mandates and

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<sup>106</sup> This series of photographs, which explores aspects of war and propaganda in Israel, consists of a set of images taken in Chicago, a mock Palestinian village in the middle of the Negev desert where the Israeli army hold military drills. Another set of images draw attention to precision bombs camouflaged as everyday objects, and a third set to landscapes of Mini Israel, a gigantic scale model of the most important places in Israel, created as a tourist attraction.

<sup>107</sup> The exhibition ran from 10 November 2006 to 18 February 2007.

<sup>108</sup> The interview was conducted at the Stedelijk Museum on 9 November 2006.

curatorial practices or purposes underpinning the presentation of a photographic work.

At Constitution Hill, Broomberg and Chanarin's project was presented within the celebratory context of the ten-year anniversary of the democracy and Constitution of South Africa, privileging the historical meaning of the photographs. At the Photographers' Gallery the focus was on the photographic narration of the political and social successes and failures of this fledgling democracy, which provided an opportunity for interpretative commentary. In the Scandinavian countries emphasis was placed on the articulation between the works of a disparate group of photographers, whose varying approaches and styles not only engendered multiple interpretations about the "realities" of post-apartheid South Africa, but also provided a composite view of contemporary South African photography. The Stedelijk Museum exhibition, in contrast, sought to draw attention firstly to the aesthetic dimension of the work and only then to the political and social meaning with which it is invested. In this last case, the exhibition design had an effect on the way viewers looked at photographs, placing strong emphasis on their status as art objects.

In essence, the restaging of Broomberg and Chanarin's photography series in different institutional and cultural sites of exhibition resonates strongly with John Walker's (1997:56) critical reflection on the context of viewing as a determinant of photographic meaning. Walker argues,

In the majority of cases, the result of a context shift is a change of emphasis in the photograph's depicted content: different parts or characteristics of the image appear important in different display contexts. Alternatively, its whole meaning is given a new significance, is enhanced or modified.

Following this line of reasoning, photographer Jo Ractliffe (n.d) stresses, "[T]he meaning [of photographs] is not fixed, nor is it located inherently within them. They reflect different things over time and as their contexts shift and other interests are brought to bear upon them, they mean new things". The same thought, put in another way, has been cogently argued by Stuart Hall (1997: 228):

[T]he same photo can carry several, quite different, sometimes diametrically opposite meanings. It can be a picture of disgrace or of triumph, or both. Many meanings, we might say, are potential within the photo. But there is no one, true meaning. Meaning 'floats'. It cannot be finally fixed. However, attempting to 'fix' it is the work of a representational practice, which intervenes in the many potential meanings of an image in an attempt to privilege one.

### 3.5 “We the People”: in quest of democratic values, social justice and fundamental human rights

As has been variously established in photography theory, context is a fundamental determinant of photographic meaning, since photographs are produced at the intersection of specific historical, political and social circumstances and are therefore carriers of social meaning. As Miles Orvell (2003:15) argues, “[O]ne must begin to understand a work by developing a historical sense of its original purpose”. I propose, then, to focus more closely on the historical and social context in which Broomberg and Chanarin’s project was produced, starting with the significance and purpose of the Constitution within South Africa’s historical and social matrix.

Essentially, in a country previously modelled on discriminatory and repressive laws, the Constitution represented a paradigm change in the judiciary. According to Currie and de Waal (2005:7), the principles of constitutionalism; the rule of law, democracy and accountability; separation of powers and checks and balances; co-operative government and devolution of power “tie the provisions of the Constitution together and shape them into a framework that defines the new constitutional order”. The jurisprudence of constitutionalism ensures that government derives its powers from a written constitution, and that structural and procedural limitations are imposed on state power, thereby preventing abusive and oppressive use of power.

The doctrine of the rule of law mandates that state institutions act in accordance with the law, thereby precluding the arbitrary exercise of public power. The principles of democracy and accountability entail the encouragement of direct and participatory forms of democracy. As Currie and de Waal (2005:15) observe, “Participatory democracy means that individuals or institutions must be given the opportunity to take part in the making of decisions that affect them”. Guaranteeing the exercise of this fundamental right is the implementation of effective checks and balances in relation to the exercise of state power, as a means of ensuring accountability, responsiveness and openness of government. Hence, the Constitution provides for a separation of power between the legislative, the executive and the judiciary. As Currie and de Waal (2005:21) emphasise,

The purpose of checks and balances is to ensure that the different branches of government control each other internally (‘checks’) and serve as counterweights to the power possessed by the other branches (‘balances’). Simply put, whereas the

purpose of separating functions and personnel is to limit power, the purpose of checks and balances is to make the branches of government accountable to each other.

Considering the historical circumstances that preceded the development and adoption of the Constitution, the jurisprudence of constitutionalism provided the most viable form of political organisation for a society in transition from authoritarian rule to democracy. The key political and legal notions encapsulated in the political ethic of constitutionalism – rule of law, human rights and civil rights – displaced the hegemonic discourse on ‘power’ and introduced a discourse on ‘rights’, laying the basis for democratic political practice. A culture and morality of constitutionalism placed the individual at the centre of social justice, reinforcing the need for the implementation of a democratic system of government committed to the consolidation of socio-political cohesion. Fundamental to this project was the development of citizens’ political consciousness and the nurturing of a shared sense of the civic dimension of existence. The drafting of the text drew on the contributions of members of the public in the largest public participation programme ever devised in the history of the country, thereby ensuring its legitimacy and relevance in the eyes of all South Africans (Devenish 1998).

The fourteen chapters of *The Constitution of the Republic of South Africa* (2009) – of which the Bill of Rights is a keystone – chart the values of a sovereign democratic state, specifying the rights, privileges and benefits of all South African citizens while underscoring their duties and responsibilities. The Preamble to the Constitution reflects the objectives and foundational values underpinning the Constitution, orientating our understanding and interpretation of the document. It reads as a covenant between the people and the law, in which the injustices of the past are invoked as a means of establishing a society predicated on democratic values, social justice and fundamental human rights. It further lays the groundwork for a new democratic political order steered by the rule of law and the entrenchment of social and economic justice. Importantly, harking back to the social contract theory<sup>109</sup>, “We, the people of South Africa”, pledge to contribute to the social and political stability of the country by firstly respecting the differences and liberties of others, and, secondly delegating the exercise of power to a body of freely elected

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<sup>109</sup> Among the historical figures representing the social contract theory are Hobbes, Locke, Rousseau, Hume and Kant. Notwithstanding the differences in both the approach and the arguments sustaining their distinct theses, a common thread running through the development of the contractarian tradition is, as Sayre-McCord (2000:247) defends, “the conviction that moral norms or political institutions find legitimacy ... in their ability to secure (under the appropriate conditions) the agreement of those to whom they apply”.

representatives of the people. In this context, political legitimacy arises out of the contractarian precepts binding individuals and the state to a set of moral and political obligations. Thus the Preamble to the Constitution reads,

We, the people of South Africa,  
Recognise the injustices of our past;  
Honour those who suffered for justice and freedom in our land;  
Respect those who have worked to build and develop our country;  
and  
Believe that South Africa belongs to all who live in it, united in our diversity.  
We therefore, through our freely elected representatives, adopt this  
Constitution as the supreme law of the republic so as to –  
Heal the divisions of the past and establish a society based on democratic  
values, social justice and fundamental human rights;  
Lay the foundations for a democratic and open society in which government  
is based on the will of the people and every citizen is equally  
protected by law;  
Improve the quality of life of all citizens and free the potential of each person;  
Build a united and democratic South Africa able to take its rightful  
place as a sovereign state in the family of nations.  
May God protect our people  
Nkosi Sikelel' iAfrika ...

Since its adoption in 1996, great efforts have been made to ensure that the fundamental rights and freedom of all citizens enshrined in the Constitution are protected in the post-apartheid socio-political landscape. At the institutional level, the Constitution makes provision for the creation of state institutions tasked with supporting constitutional democracy by monitoring the observance of political and socio-economic rights. These include the South African Human Rights Commission (SAHRC); the Public Protector; the Commission for the Promotion and Protection of the Rights of Cultural, Religious and Linguistic Communities (CRL Commission); the Commission for Gender Equality (CGE); the Auditor-General (AG); the Independent Electoral Commission (IEC); and the South African Broadcasting Corporation (SABC).

Central among the public human rights institutions is the South African Human Rights Commission (SAHRC), which was founded in 1995 (under the Human Rights Commission Act 54 of 1994) to promote a culture of human rights by carrying out research and running education and awareness-raising programmes. Ultimately, the SAHRC has been mandated to take steps where citizens' human rights have been

violated. Key to the accomplishment of this mission is the dissemination of the principles governing the “Bill of Rights” (Chapter 2 of *The Constitution of the Republic of South Africa*). Section 7 of the “Bill of Rights” declares that “This Bill of Rights is a cornerstone of democracy in South Africa. It enshrines the rights of all people in our country and affirms the democratic values of human dignity, equality and freedom” (6).

Crucially, the “Bill of Rights” comprises the following fundamental rights provisions: the right to equality and freedom from discrimination; the right to human dignity; the right to life; the right to freedom and security; slavery, servitude and forced labour; the right to privacy; the right to religion, belief and opinion; the right to freedom of expression; the right to freedom of assembly, demonstration and petition; the right to freedom of association; political rights; citizenship; the right to freedom of movement and residence; the right to freedom of trade, occupation and profession; labour relations; environment; the right to property; the right to housing; the right to health care, food, water and social security; children’s rights; the right to education; the right to language and culture; the rights of cultural, religious and linguistic communities; the right of access to information; the right to just administrative action; the right of access to courts; and the rights of arrested, detained and accused persons.

The provisions of the “Bill of Rights” reflect the fundamental values on which the Constitution is premised. These values are set out in Chapter 1 of the Constitution, which deals with the founding provisions. In essence, four fundamental intertwining political values are said to constitute the baseline of the democratic political system. The first concerns protection of human dignity, promotion of equality and advancement of human rights and freedoms. The second condemns the practice of racism and sexism. The third advocates the supremacy of the Constitution and the rule of law. The fourth encapsulates universal adult suffrage, a national common voters roll, regular elections and a multi-party system of democratic government which abides by the principles of accountability, responsiveness and openness. However, just as the founding provisions stipulate the political obligations binding the body politic, they also clearly define the entitlement of citizenship. Hence, there is a common South African citizenship that affords all citizens the same rights, privileges and benefits, and equally subjects them to the duties and responsibilities of citizenship.

The principles underpinning the constitution of a democratic state consensually achieved by the nation – “another name for ‘We the People’”, as Nodia (1994:7) defends – are based upon the declaration of the freedom and equality of citizens (Habermas, 1995). As a member of a polity any citizen is entitled to equal opportunities and, in Habermas’ (1995:260) words, “equal protection and respect in his or her inviolable integrity as a unique individual, as a member of an ethnic or cultural group and as a citizen”. Accordingly, the South African Constitution cultivates what Habermas terms “constitutional patriotism”, which translates into the understanding and acceptance of ethnic, linguistic and culturally diverse forms of life.

This is especially important in the context of South Africa’s long history of oppression preceding the adoption of what Ronald Louw (2006:27) terms the “first democratic Constitution”. Forming the basis of the right to equality – one of the central rights in the Constitution – is the understanding that, according to section 9 of the “Bill of Right”, “Everyone is equal before the law and has the right to equal protection and benefit of the law”. Substantiated by this fundamental principle, the equality provision articulates the following:

The state may not unfairly discriminate directly or indirectly against anyone on one or more grounds, including race, gender, sex, pregnancy, marital status, ethnic or social origin, colour, sexual orientation, age, disability, religion, conscience, belief, culture, language and birth.

A growing body of literature has emerged in response to the many transformations in the post-apartheid socio-political landscape, which draws attention to the role of the Constitution in providing a legal framework upon which the new democratic dispensation was able to shape a new social and political order. The respect for human rights and freedoms advocated by the Constitution figures prominently among the values that the post-apartheid government sought to entrench in civil society. Human dignity, equality and freedom are often referred to as inalienable constitutional rights which motivated the policy directives underpinning the post-apartheid political agenda. While some critical literature (Louw, 2006) highlights the right to equality, other views (Liebenberg, 2005) focus on the role that human dignity plays in socio-economic rights jurisprudence, but both approaches stress the interrelation of these central values in the new constitutional jurisprudence. As Louw (2006:34) notes, “neither the concept nor the realisation of equality can take place in isolation”, substantiating this view with a Court ruling that states:

Our Constitution entrenches both civil and political rights. All the rights in our Bill of Rights are inter-related and mutually supporting. There can be no doubt that human dignity, freedom and equality, the foundational values of our society, are denied those who have no food, clothing or shelter. Affording socio-economic rights to all people therefore enables them to enjoy the other rights enshrined in Chapter 2. The realisation of these rights is also key to the advancement of race and gender equality and the evolution of a society in which men and women are equally able to achieve their full potential.

Liebenberg (2005:142) argues that failure to provide both subsistence needs and ensure living conditions worthy of the dignity of people not only results in threats to individuals' life and health, but importantly "impedes the development of a whole range of human capabilities, including the ability to fulfil life plans and participate effectively in political, economic and social life". Loss at individual level will impact on society as a whole, since, as Sachs (quoted in Liebenberg, 2005:142) argues, "While recognising the unique worth of each person, the Constitution does not presuppose that a holder of rights is an isolated, lonely and abstract figure possessing a disembodied and socially disconnected self."

Following this line of argument, Liebenberg draws on Martha Nussbaum's thesis on the associational dimension of human life to stress the notion "of the human being as a dignified free being who shapes his or her own life in cooperation and reciprocity with others, rather than being passively shaped or pushed around by the world in the manner of a 'flock' or 'herd' animal" (quoted in Liebenberg, 2005:146). While subscribing to this approach to human dignity, which articulates the individual's exercise of agency, Liebenberg goes a step further in exploring the interdependence between human potential and agency and an environment of basic liberties and material support. She cogently argues,

If we are to constitute ourselves as a society that respects human dignity (as we have through the founding values of our Constitution), we are committed to redressing the social and economic conditions of those whose capacity for development and agency is stunted by poverty. By failing to do so, we undermine the very foundations of our new constitutional democracy (151).

In the introduction to this chapter I reflect on the importance of both the legal framework provided by the *Constitution of the Republic of South Africa* and the values therein for the entrenchment of a new constitutional and democratic order in

post-apartheid South Africa. The Constitution inherited from the interim *Constitution of South Africa* (Act 200 of 1993)<sup>110</sup> the mandate to provide:

a historic bridge between a deeply divided society characterised by strife, conflict, untold suffering and injustice, and a future society founded on the recognition of human rights, democracy and peaceful co-existence and development of opportunities for all South Africans, irrespective of colour, race, class, belief or sex.

In response to the legacy of apartheid, which denied the humanity and the basic human dignity of the majority of the country's inhabitants for four decades, the Constitution aimed to inculcate in society human and social values based on the respect for human rights and dignity, thereby promoting a change in social conduct and inter-human relations. In its most fundamental sense, the Constitution aspired to cultivate respect for the intrinsic worth of all human beings, and advanced the idea that the individual's whole existence is relative to that of the group. Human dignity should be conceived of as a relational value, as Liebenberg (2005) suggests, since we are interconnected beings and our senses of self-worth and personal development are inextricably bound up with those of others. The meaning of human dignity gains salience when its social value is highlighted and one understands the responsibility placed on the individual for ensuring the well-being of his fellow man<sup>111</sup>. This change in paradigm is, in my view, one of the greatest achievements of the South African Constitution, and the ideal to which any civil society should aspire.

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<sup>110</sup> Provision on "National Unity and Reconciliation" termed the postscript or postamble of the *Constitution of South Africa* Act 200 of 1993.

<sup>111</sup> This notion is intimately tied to the social values of group solidarity, conformity, compassion, respect, human dignity, humanistic orientation and collective unity underpinning the concept of *ubuntu*, which is invoked in the postscript of the interim *Constitution* (Mokgoro, 1998).

### 3.6 Ten years of democracy: a photographic mode of engagement with the “new” South Africa

The prescription of democracy and freedom articulated by the new constitutional dispensation provides the backdrop against which I propose to continue discussing the photographic project by Adam Broomberg and Oliver Chanarin (2004a) titled *Mr. Mkhize's portrait & other stories from the new South Africa*. This approach contrasts the constitutional vision of a society modelled on human rights and social justice (outlined in the previous section) with the photographic representation of members of that society ten years into democracy. Viewed with the constitutional values in mind, this photographic material interrogates the advancement of a human rights culture in general, and in particular the respect for human dignity in post-apartheid South Africa. It also encourages engagement with developments at the social and political level in South Africa prior to 2004, enabling a more complex understanding of the socio-political conditions that frame the visual representations dealt with in this chapter.

I have discussed the close-up portrait of Mr. Mkhize that was selected both to open the exhibitions at the Photographers' Gallery in London and the Stedelijk Museum in Amsterdam and to introduce the photography series published in book form. I now wish to consider this photograph in relation to others in the book, and to the text accompanying the photographs, since as John Walker (1997:56) argues,

[W]hen a photograph – considered as a single unit of meaning – enters into a montage relationship with either a caption, text, another picture, or a particularly potent display context, then a third-effect meaning can be generated from that juxtaposition which was not inherent in either of the terms seen in isolation.

In effect, the close-up portrait eschews any contextualising detail within the picture's frame that might anchor the image to a particular historical moment or set of social circumstances. It is only after reading the accompanying explanatory text that the viewer gains access to precise social and political co-ordinates that place the image within an interpretative framework. By contrast, Mr. Mkhize's close-up shot is integrated with two other images which are overtly symbolic and very skilfully composed to reinforce contextual meanings. What is stressed in these photographs is not the subjects themselves but their relation to their socio-economic environment.

Let us take as an example the photograph where Mr. Mkhize appears seated on a narrow bed across from his younger-looking wife, who is sitting on another bed (Fig.22). The room is sparsely furnished with two single beds against opposite walls. Bare dirty walls intersect with a dark, cold concrete floor. The only decoration in the room is some graffiti and magazine clippings of soccer players, cover girls and smiling children and youngsters papered on part of the wall facing us. These allude, perhaps, to the couple's interests and dreams – to go to a soccer match, to have children, or to have their children living with them.

Sunlight streams into the room through bare windows on the same wall. What immediately strikes us about these living conditions is the discomfort of the room, which is further intensified by the distance between the beds, creating the impression of a forced physical distance between the couple. The only amenities in the room are two tape-recorders displayed on a box and another on the floor in between the two beds – a luxury, no doubt, if we consider the bare essentials with which the couple live. The visually expansive horizontal format used for the portrait connects the subjects closely to their surroundings, but sets them apart physically by virtue of the layout of the bare room. This further accentuates the cold environment characterised by an absence of personal belongings and intimacy. We are made aware that this couple's living reality distances them from the Western conception of "home" and of a "family life" to which most viewers relate.

The subjects occupy marginal and isolated positions in the frame, implying isolation and solitariness. Yet each subject assumes a concentrated pose, half turning to look straight at the camera. Mrs. Mkhize's pose is calm and dignified. Her expression is guarded, giving little indication of her thoughts. The only visual relief from the otherwise dismal setting is provided by the colourful prints of Mrs Mkhize's headscarf, blouse and skirt, which contrast both with the bleak setting and with Mr. Mkhize's faded denim overalls. With his head tilted slightly to one side, his right arm outstretched and the wrist resting casually on his knee, Mr. Mkhize leans slightly forward in a serious and questioning attitude. Although the subjects have been posed in their room, the formality – or strangeness – of the event produces a guarded pose and a sense of discomfort, giving the impression that they found the photographic encounter unsettling.

The visual elements in the frame encapsulate the dire reality of the subjects' living circumstances and the trappings of their social condition. Another layer of meaning is produced when we examine, to use Alvarado's (2001:159) formulation, "how the

photograph contains and reworks wider political, social and economic questions”. This is best achieved if we relate the image’s implied narrative to the larger history of urban development and the emergence of townships and, in particular, the construction of migrant single-sex hostels during the apartheid era. The explicitness of this connection is stressed in the text accompanying the image, which reads,

Mr. Mkhize lives in Madala hostel in Alexandra, a township in the heart of Johannesburg’s affluent northern suburbs. The apartheid government built single-sex hostels like Madala to accommodate rural migrants looking for work in the city. Mr. Mkhize has lived there for fifteen years ... Ten years after South Africa’s democratic elections, Mr. Mkhize is still a migrant worker. He still lives in Madala hostel ... He used to share his room with three men. Now he lives there with his wife, for the first time in their married life.

This text, together with the text supplementing the close-up shot of Mr. Mkhize discussed earlier, brings into view the trajectory of citizenship for the black majority of South Africa’s population from apartheid to the post-apartheid era. As has already been discussed in Chapter 1, under apartheid the pass book became a key instrument used by the authorities to control the movement of disenfranchised black city dwellers. This mechanism of influx control, together with a sweep of bantu labour regulations, came about largely as a result of the rapid urban demographic growth provoked by a steady movement of population from rural to urban areas in the 1920s and 1930s. The mining town of Johannesburg, most notably, saw a sharp increase in its migrant mining population during this period of expansion of mining and industry.

Founded in the gold-mining area of the Witwatersrand – commonly known as the Rand – Johannesburg owed its expansion to the discovery of gold in 1886 and subsequent large capital investments and industrialisation in the first decades of the twentieth century. It became the core of the largest commercial centre in the subcontinent, also known as the PWV – an acronym for Pretoria-Witwatersrand-Vereeniging complex. Economically, within the first decade of the twentieth century, Johannesburg thrived from the Rand’s position as the producer of 40 per cent of the world’s gold (Beinart, 2001). Politically, structurally and physically it grew in the 1950s and 60s in tandem with the exclusivist thinking of apartheid’s social engineering<sup>112</sup>.

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<sup>112</sup> Currently, as Beavon (2004:11) notes, “The PWV, which comprises only 2,5 per cent of the area of South Africa, is the powerhouse of the whole country [and the financial capital of Southern Africa]; Johannesburg, at the centre of the region is the single most important metropolis, with 12 per cent of the national employment”.



Fig. 22 Adam Broomberg and Oliver Chanarin, *Mr. Mkhize and his wife*, Madala Hostel, Alexandra Township, Gauteng, 2004



Fig. 23 Adam Broomberg and Oliver Chanarin, Madala Hostel, Alexandra Township, Gauteng, 2004

With the development of economic activity a new social and spatial configuration of towns and cities began to emerge. Despite the attempt at socio-spatial control imposed by the Native Urban Areas Act of 1923, slum yards, rent racketeering and backyard shacks housing domestic servants proliferated in towns and cities throughout the country. Townships or locations within short travel distances to places of employment, like Alexandra and Sophiatown in Johannesburg and Cato Manor in Durban, housed the largest part of the black urban population. Further from places of employment, fringe townships, including Orlando (around which Soweto grew) in south-west of Johannesburg, Umlazi in Durban, and Khayelitsha in Cape Town exemplify what became mass-settlements under apartheid.

Following the NP's 1948 election victory, apartheid legislation became a canon of governance which, among other objectives, sought to streamline the spatial division of urban residential areas. In the 1940s the increase – particularly in Durban – of Indian house and business property ownership gave rise to a set of interrelated measures. The Pegging Act of 1943 restricted Indian purchase; the Asiatic Land Tenure Act of 1946, the Group Areas Act of 1950 and the Prevention of Illegal Squatting Act of 1951 implemented racial zoning, resulting in the forced removal of Indians and coloureds from suburbs integrated in 'white residential areas'. According to Beinart (2001:153) around 60,000 people were removed from the centrally located District Six in Cape Town. Entire communities of both District Six and Kalk Bay were relocated to the Cape Flats or further afield to Mitchell's Plain in Cape Town east, or further still to Atlantis, forty kilometres to the north (Giliomee and Schlemmer, 1989). District Six was reconverted into a suburb for whites.

As previously noted, in the 1920s and 1930s the South African urban landscape started taking a disorderly shape<sup>113</sup>. Settlements grew haphazardly with no drainage or sewerage systems, electricity or water supply. In the face of a growing threat to public health and the urban social order, the 1934 Slums Act was created with the intention of enforcing slum clearances. Two decades later, the Natives Resettlement Act of 1954 made way for the demolition of Sophiatown, the multi-racial suburb of Johannesburg, and legitimised the relocation of thousands of blacks. In essence, though, the reshaping of the spatial layout of cities under which racial zoning was a

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<sup>113</sup> Intrinsic to rapid urbanisation in the first half of the twentieth century was the demographic growth in urban areas, due largely to a steady movement of population from rural to urban areas. According to Beinart (2001:126) "the percentage of the white population living in the urban areas increased more sharply from 50 to 75 per cent, trebling from about 600,000 to 1.8 million people by 1946". Between 1904 and 1946 the African population grew "from about 350,000 to 1.8 million", with an unequal growth rate of men and women.

core objective served, as Maylam (1995:26) notes, more than one capitalist interest: “first, [it facilitated] labour control and, second, [released] land for industrial purposes”.

Evocative of Michel Foucault’s carceral city, townships – notably, Soweto situated in the south-west of Johannesburg and Alexandra in the north-east – were essentially dormitory areas, which consisted of, as Manning (2004:529) describes, “row upon row of barracks-like matchbox houses ... a limited number of access points and a geometric street layout ... designed with the intention of restricting unregulated movement thereby curbing potential resistance”. The tight equation between spatial organisation and socio-racial control, embedded in the psyche of the white ruling class, materialised into urban design grounded on the division of space which excluded black people both from the city’s public space and from citizenship. Segregationist urban planning reserved the city centre and residential suburbs for whites while the black population was relegated to peri-urban townships. Under the apartheid schema of exclusion and control, motorways, greenbelts and industrial zones circumscribing the city made it difficult for township residents, who often had to travel long distances to get to work, to access the city centre.

Notwithstanding these segregationist measures, at the height of the slum clearance programme, white property owners whose houses were located in middle-income suburbs adjacent to the overcrowded Alexandra township campaigned for the abolition of Alexandra (or Alex, as it is commonly known). Although a full-scale clearance of Alexandra proved unfeasible, since the township was a strategic labour pool for the northern suburbs of Johannesburg, according to the urban specialist Pauline Morris (2000:7), “Between 1958 and 1973 nearly 56 000 people were forcibly relocated to Soweto in the new Resettlement Board townships of Meadowlands and Diepkloof, and some 15 000 to Tembisa in the north-east Rand”. The state then proceeded to purchase properties sold to black families before the 1913 Land Act, demolish houses and renovate others for renting.

In 1963, in the aftermath of the Sharpeville massacre and as part of the control measures of the State of Emergency, the apartheid government proposed to demolish all property in Alexandra and develop a hostel city. As Morris (2000) writes, “Family accommodation was to be eliminated and 25 hostels, each housing some 2500 ‘single’ people were to be built”. This scheme was never fully implemented, but despite resistance to forced relocation and wide protest about the social consequences of the destruction of family life, three hostels were completed. The first two, Madala

hostel and Nobuhie hostel, built in 1971 and 1972 respectively, were allocated to men; the third, a women's hostel, went up in 1981.

A Master Plan produced for Alexandra envisaged the construction of houses and the provision of services including water supply, sanitation and streets during the first decade of the 1980s, but was soon suspended due to a lack of finance. However, the first phase of the redevelopment plan – which relied on a programme of expropriation, forced removals and demolition of buildings – was carried out, resulting in widespread protest and conflict. Literature reveals that the mounting tension in the township was compounded by the unstable political environment of the 1980s. Concomitantly, the abolition of influx control in 1986 led to a rapid social and urban change. In practice, people from rural areas flocked to the cities – and to Johannesburg in particular – in search of employment, giving rise to a burgeoning of squatter settlements which sprang up alongside the hostels across the Rand. According to Segal (1991:209), the hostels “became shelters for the unemployed, and were often the first port of call for people entering urban environments”. Hostel dwellers took in relatives and friends who ended up staying for indefinite periods of time. Soon single-sex hostels started housing hostel dwellers' wives and girlfriends. The resulting rapid increase (and change in demographics) in hostel population bred impoverishment and instability in the living environment. Segal draws on an earlier study to argue:

The issue of space has long been a contested feature of hostel life. In hostels, ‘the concept is of a “bed-holder” as opposed to a “house holder”’: this immediately introduces the politics of space, where people are limited to a bed as the only space over which they have some measure of control (209).

After the unbanning of the ANC, during the negotiation process between 1990 and 1992, political violence escalated in townships across the Witwatersrand. Literature advances different theories for the extent of violence during this period. In her study of the role of hostel dwellers in urban violence, Segal (1991) draws attention to structural elements which may have caused unrest, but does not discount the importance of the polarisation in the political landscape and the struggle for power between the ANC and the Inkatha during the negotiation period. Militancy grew in hostels, intensifying rivalry between those hostels controlled by ANC and those controlled by Inkatha members. Correlated to this trend, political ideology intersected with ethnic cultural values, entrenching political affiliation precepts and aggravating deep-rooted historical cleavages between Zulus and Xhosas. Clashes

between Zulu speaking Inkatha (IFP) hostel dwellers and ANC supporters – who were mostly Xhosa – spiralled out of control between 1991 and 1992. Hostels became seedbeds of crime, often masking criminal with political agendas (Stavrou, 1993).

As previously mentioned, Segal (1991:191) emphasises that “Structural elements such as the migrant labour system, single accommodation, and insalubrious living conditions, all contribute to and actively promote violence”. Simpson and Rauch (1993), however, develop a different line of argument. They begin by pinpointing two main causes of the increase in political violence. Firstly, they claim that deconstruction of formal apartheid and deregulation of repressive forms of social control in the post-1990 period rendered the state’s security forces ineffective and incapable of maintaining their authority. Secondly, they stress that an inbred (and historically-traced) culture of violence “as a means of both maintaining political power (on the part of the NP government) and as a means of resistance (on the part of the liberation movements) served to embroider the entire political culture with violence as a means of resolving conflict” (2). Simpson and Rauch conclude, however, that the political violence in South Africa is far too complex to have a mono-causal explanation. They state:

The violence has been variously labelled as ethnic conflict, conflict between hostel dwellers and squatters or township residents, conflict between ANC and IFP supporters, conflict between the police and the residents, conflict between the poor and the very poor, conflict generated by government or a ‘third force’, etc. None of these descriptions is completely inaccurate. Yet none, on its own, will properly explain this complex situation (3).

Whatever the causes, the onset of violence had deep implications at the communal and social level. Simpson and Rauch (1993) are sceptical about the findings made public at the time, arguing that they vary according to the source, interpretation of the statistics and political agenda of the institution releasing the data. In my view, irrespective of the ideological discourses framing these institutions, statistics published by the Human Rights Committee (HRC), the South African Police (SAP), the South African Institute for Race Relations (SAIRR) or the Community Agency for Social Enquiry (Case)<sup>114</sup> are a powerful indictment of the socio-political makeup of townships across South Africa during the transition to democracy.

These studies discuss the trends in violence that characterise a period of intense political contest, offering a broad view of the proportion and politicisation of crime,

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<sup>114</sup> See Simpson and Rauch (1993).

but fail to reflect on the impact of violent crime on specific communities at the micro level. Of interest to the photographic analysis begun earlier in this section, in a report for the Centre for the Study of Violence and Reconciliation (CSV), Stavrou (1993) provides a valuable indication of the forms and types of violence unleashed between January 1991 and May 1992, focusing on Alexandra township as a specific locus of violence. She observes that “the criminal element in Alex had taken advantage of the ‘political unrest’ in various ways” (5). In incidents involving Madala hostel dwellers, she suggests that given its arbitrariness, the violence perpetrated by the hostel dwellers was criminal rather than political. She writes,

On 2 November 1991 ... two men were killed after gunmen, said to have emerged from Madala hostel, indiscriminately opened fire on residents. In the time period January 1991 to May 1992, 49 people were killed and 230 injured in 26 incidents of violence related to this hostel (4).

These accounts prompted an inquiry into the impact of violent crime on interpersonal relationships and everyday human social interaction. Stavrou (1993) claims that although access to basic health, education and social services, clean water and sanitation, was grossly deficient in Alexandra at the time of publication of her survey, representing greater cause for concern in the community was the dramatic increase of violent crime<sup>115</sup> and the corresponding criminal behaviour of hostel dwellers. As she writes, “Despite the dreadful living conditions, respondents perceived crime to be the main problem in their area; followed by a fear of the hostels and hostel dwellers” (4). The respondents in Stavrou’s study express fear of both specific physical environments (hostels) and a specific group of members (hostel dwellers) within the community, but they also identify other perpetrators in broad terms. Alongside the youth, the unemployed, squatters, ‘com-tsotsis’ and gangs, a significant group of outsiders (most notably squatters and the police) are perceived as equally dangerous.

Different forms of fear have shaped South Africa’s politics and culture throughout its history, but fear of crime has taken on major proportions since the dawn of democracy in 1994. Alongside social and economic problems, fear of crime (more than crime itself, some have claimed) has waged an increasing threat on the emotional landscapes of everyday life (as will be explored at greater depth later in

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<sup>115</sup> According to Stavrou’s (1993:5) survey, “The crimes the respondents felt most threatened by were ... (1) fights and disturbances in the streets, including political as well as criminal violence; (2) housebreaking and entry; (3) assault; (4) sexual crimes, and (5) theft of motor vehicles”.

the chapter). Thomas Hobbes, a pioneer of Western political thought, laid the theoretical ground for subsequent explorations of the role and nature of fear in social life. In *Leviathan*, Hobbes (1974:64) examines the interface between power and fear, arguing that human nature tends to a constant state of war where “every man is enemy to every man”. Hobbes contends that the continual fear and danger of violent death dominates every aspect of life; a life that, in the state of nature, is “solitary, poor, nasty, brutish, and short” (65).

The notion that “every man is enemy to every man” underpins much of the contemporary scholarly analysis on fear. In South Africa the association of danger with race was used in government discourse to justify apartheid’s policies of social and spatial segregation as a means of maintaining social order. *Fear* of the “dangerous black other” and *crime* were key mechanisms by which the state implemented repressive measures of control and appropriated space. More recently (in the period up to and following the demise of apartheid), fear has extended far beyond the scope of racial stereotypes. While white fear of black crime is still deeply embedded in the social fabric, at the neighbourhood level danger has become associated with other social identifiers, most notably the “ethnic other”, the social outcast, and the “illegal alien”.

Recent theoretical work on fear of violent crime has been developed within a framework of social and spatial exclusion. As Pain (2000) points out, fear of crime is increasingly seen as inextricably intertwined with crime, but also with a range of other social and economic problems encompassing housing, employment, environmental planning and social exclusion (relating to poverty, gender, race and so on). Correspondingly, specific neighbourhoods and physical environments are equated with higher indices of crime. This generates anxieties over crime, which, as Roberts (2008:2) stresses, motivates behavioural patterns and “may also diminish the sense of trust and cohesion within communities”. Using data from the South African Social Attitudes Survey (SASAS) and other public opinion surveys conducted by the Human Sciences Research Council (HSRC)<sup>116</sup>, Roberts provides an overview on the fear of crime in South Africa, charting its evolution since the early 1990s. Countering some public perceptions of victimisation and fear of crime as being endemic to specific social groups, Roberts reveals that “Indian and black African respondents exhibited greater fear of crime than coloured and white respondents in 2005 and

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<sup>116</sup> The Human Sciences Research Council (HSRC) is a statutory agency based in Pretoria that conducts research on all aspects of human and social development, with particular relevance to public policy.

2006” (4). He concludes that “the scope of fear extends beyond a specific minority of the population. Consequently, the popular notion of fear of crime in the country as predominantly ‘white fear’ is misleading and neglects the needs of a majority who are less able to voice their concerns” (5).

These dimensions of the social environment in post-apartheid South Africa provide a backdrop for the photographic representations under discussion in this chapter. They enable the conceptualisation of the photographic images of Mr. Mkhize and his wife, and of the hostel where Mr. Mkhize lives, as sites of intersection of the specific circumstances captured in the photographs and a spectrum of social and historical factors compounding those circumstances. Meaning is offset by the interconnection between the photographic discourse used, the photographer’s viewpoint and the viewer’s interpretation of the socio-historical conditions framing the representations. Seen as a sequence, the three photographs that introduce us first to Mr. Mkhize and then to his living circumstances, together with the accompanying text, produce a narrative inflected with the tensions in the social and political environment before and at the time the photographs were taken. As Derrick Price and Liz Wells (2004:36) stress in their development of a model of analysis of photography,

it is not the objective presence of the image which is at stake, but rather the force-field within which it generates meaning ... In effect we are invited to consider not only the text, its production and its reading, but also to take account of the social relations within which meaning is produced and operates.

Something that is absent from the first two photographs discussed so far (the close-up shot of Mr. Mkhize and the photograph with his wife), and that only becomes visible in the third photograph in the sequence, is the physical structure (the material conditions) – a blatant testimony of the political and social values underpinning apartheid’s urbanisation policy and housing schemes for black migrants in urban townships. Evocative of David Goldblatt’s (1998) photograph of the south-east wing of the hostel for African men in Alexandra township<sup>117</sup> in the photography

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<sup>117</sup> Goldblatt’s (1998:252) detailed caption to the image crystallises the structural violence underlying state policy on accommodation and housing for black migrants. He writes,

Then in 1963 a new plan was announced. All family accommodation and freehold rights were abolished. Alex was to become a township of single-sex hostels, six for men, six for women, each housing 2500 people. No provision was to be made for Alex families wishing to stay together; somehow they would have to find accommodation in the area in which the head of the household was employed, which, given the influx control regulations and the restrictions on housing for Africans would be almost

series titled *South Africa: The Structure of Things Then*, Broomberg and Chanarin's landscape (Fig.23) displays a large red brick building in a dry barren landscape.

The choice of a full frame wide-angle and the use of depth of field emphasise the size of the building while isolating it in the distance. A vast expanse of red earth occupies the foreground, extending horizontally across the frame and stretching towards its edges and corners, giving the viewer the sense that this wasteland, where nothing seems to grow, extends far beyond the picture plane to the left and right. The harshness and hostility of the landscape is accentuated by the texture and hue of the visual elements dominating the lower half of the frame, most notably red dry earth and red brick, which contrast strongly with the expanse of blue sky taking up the upper half of the frame. There is in sight no small bush or tree that might provide shelter from the blazing sun, only red dead land. The only sign of life and movement are the few human figures crossing the frame in the distance, some walking from left to right and others from right to left, suggesting that this open piece of land is used merely as an access route for the hostel residents. But if we look closely enough, we can just discern two goal boxes on one side of the frame that tell us that we are, in fact, looking at a soccer field.

The accompanying text offers Mr. Mkhize's reflection about his experience of being a rural migrant worker, providing insight into a possible cause of the inter-ethnic/political conflicts and violence that turned hostels into sites of open warfare between inmates and the township residents during the turbulent period preceding the 1994 elections. He states,

I came here when I was just a boy. That was what you did. You left home and came to the city to look for work. I lived alone in this hostel. Alone with 400 other men. It was dangerous in those days. The football field outside was often covered in blood. There was a war between many of the locals and many of us that came from far away. We were kept apart, we spoke differently and wore different clothes. Many of the locals mocked us for being country boys. It started like that and then got more lethal. Finally it turned into a war.

Until the moment we read Mr. Mkhize's testimony, the photograph's meaning is open, incomplete and ambiguous. The text channels the viewer to a more nuanced interpretation of the photograph's composition. The red dry earth in the foreground symbolises more than the aridness of the soil and, by extension, of the lives of the

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impossible. No children were to be allowed to stay in the hostels and, obviously, no one of the opposite sex.

people living in the red brick building in the centre of the frame. It also symbolises the blood spilled during the violent and lethal confrontations that took place in front of the hostel. Viewed from this perspective, the image stimulates reflection about the broad political and social realities that were (and are) woven into the fabric of South African society before (and following) the production of the photograph.

In an essay published in 1998, Neville Dubow, the respected South African academic and art critic, made the following shocking but lucid statement:

The barricades have been dismantled. What lies beyond? While every sane South African hopes that violence will begin to recede in the light of a new democratic dispensation, *we remain a violent society*. The structures of institutionalised violence still have their aftermath. We still have to come to terms with the all-pervasive legacy of a system marked by forced removals, displaced communities, exploitative labour practices ... These are the ugly realities, the inheritance of the apartheid system that still has to be resolved (25-26) (my emphasis).

“We remain a violent society”, Dubow claimed four years after the first multi-racial democratic election. On 11 May 2008, ten years after Dubow’s incisive remark, “a gang of young men in Johannesburg’s Alexandra township forced their way into a hostel on London Road and initiated a merciless attack on residents they deemed to be ‘foreigners’” (Worby et al., 2008:1). In a few days the violence – which included murder, rape and looting – had spread from Alexandra to other informal settlements and townships in the province of Gauteng, and across the country to the provinces of KwaZulu-Natal and the Eastern and Western Cape. What was first labelled by the media as “xenophobic attacks on black foreign nationals” soon gained hazier contours as reports began to surface of South African citizens – Shangaans and Pedis – also being targeted.

Public and institutional response to the wave of violence that killed sixty-two people and displaced over a hundred thousand before subsiding in early June 2008 was almost immediately expressed in the media. Among the first to issue a statement was Frans Cronje (2008), the deputy CEO of The South African Institute of Race Relations (SAIRR), who found that numerous policy failures on the part of President Thabo Mbeki’s government had caused the wave of violence that gripped Johannesburg and surrounding areas. He goes a step further in his scathing indictment of Mbeki’s last ten years of rule, arguing that “poor and ineffective governance had created a tinder box of unmet expectations ... similar to many of the causal factors that contributed to apartheid era unrest”. In more specific terms, the statement lists failures in nine

key policy areas, most notably the rule of law, border control, corruption, employment, education, economic growth, foreign policy, service delivery and race relations.

In effect, the violence of May fuelled the long-felt discontent and disappointment of South Africans about the political, institutional and social structures sustaining the new political dispensation. Frustration had frequently been levelled at what was proving to be a failed project: a non-racial democracy of which the Constitution is the cornerstone. For many South Africans the constitutional values rang hollow, in particular (in light of the xenophobic violence) the promise that “South Africa belongs to all who live in it” championed by the values of inclusion, tolerance and respect for difference. More than ever, society was characterised by deep cleavages and inequalities, all of which resulted in strains, conflict and intolerance. In an article titled “South Africa’s hard truths”, Sean Jacobs (2008) writes,

As polling firm Markinor (using very optimistic measures) reported earlier this month, in an increasingly youthful population (78% black), only 42 of every 100 South Africans have a job, 49% are poor (with monthly household income below R2,400 or £170), 13% are HIV positive, 24% homes have no electricity, 32% no tap water, 69% no hot water supply, and R21 (£1.40) of every R100 (£6.80) they earn, they spend on food.

The South African academic community saw the disruptive events as an opportunity for debate and reflection about the types of social and political malaise that afflicted South African democracy. On 28 May 2008 the Faculty of Humanities in the University of the Witwatersrand in Johannesburg convened an urgent colloquium that was attended by approximately two hundred and fifty people from Wits and the wider Johannesburg community. “The colloquium aimed to draw upon ... scholarship and expertise to engage in the search for short and long term solutions that would promote an ethos of peace, inclusiveness, humanity and security” (Worby et al., 2008:24). For Bishop Paul Verryn (2008), who wrote the Foreword to the volume proceeding from the colloquium:

There is no doubt that the way in which we treat the stranger reflects our humanity; whether that stranger be from another country or whether those strangers be strange because they are poor is beside the point. If we are going to survive as a human race we are going to have to reassess our fundamental value system.

Verryn’s words find echo in Cathi Albertyn’s (2008) reflection. Invoking the philosophy of *ubuntu* as the bedrock of a post-apartheid “rainbow nation” advocated by both Archbishop Desmond Tutu and Nelson Mandela, Albertyn writes, “For many

South Africans the recent xenophobic violence betrayed fundamental values of community, inclusion, participation and *ubuntu* and confirmed just how far we are from the democratic society imagined by those who wrote the new Constitution in the early 1990s” (175). This analysis prompts a few concluding remarks about Broomberg and Chanarin’s photographs of Mr. Mkhize, in conjunction with the written story supplementing the photographic narrative.

Evocative of the representativeness of Dorothea Lange’s well-known photograph of the “Migrant Mother”, which has often been cited as a symbol of the resilience and perseverance of a generation of Americans who suffered the hardships of the Depression of the 1930s and 1940s (Levine, 1988; Hariman and Lucaites, 2007), Mr. Mkhize may be viewed as representing a generation of men and women in South Africa for whom the Constitutional provision regarding the basic right of citizenship (in other words, the right to vote for a government of one’s choice) symbolised individual and political freedom after decades of oppression. The seriousness and dignity – but also wariness and restraint – in the subject’s gaze and posture carries the sense of endurance and individual strength associated with apartheid’s victims. For them, the first democratic elections represented hope for “a new South Africa” and the long-awaited end of the liberation struggle. Paradoxically, the second photograph of Mr. Mkhize and his wife communicates very little hope. The fact that their socio-economic circumstances have remained unchanged ten years after the first democratic election reveals the contradictions of democracy and the incapacity of the Constitution to guarantee social justice and “[i]mprove the quality of life of all citizens” (“Preamble” of the *Constitution of the Republic of South Africa*).

## Conclusion

Nelson Mandela (1995:750-751) concludes his extensive autobiography *Long Walk to Freedom* with the vision that sums up the work of a lifetime. First as an activist and co-founder of the ANC Youth League in the 1940s; then as a young lawyer in Johannesburg; and later (after being convicted and sentenced to life imprisonment) as the symbol of the anti-apartheid struggle; and finally as the first black president of the Republic of South Africa in 1994, Nelson Mandela fought for one cause. As he writes:

It was this desire for the freedom of my people to live their lives with dignity and self-respect that animated my life, that transformed a frightened young man into a bold one ... Freedom is indivisible; the chains on any one of my people were the chains on all of them, the chains on all of my people were the chains on me.

The concept of freedom is pervasive in post-apartheid political discourse, but its many ramifications are rarely considered. This is what I propose to do now, since this concept is intimately tied both to the themes I have developed and the photographic projects I have explored in my work.

Two other presidents (Thabo Mbeki and Jacob Zuma) have succeeded Nelson Mandela in democratic South Africa since he stepped down from office in 1999, but neither has manifested the same concern for people's social and political freedom, dignity and self-respect, or the belief in a common humanity and a civil and humane society, which Nelson Mandela defends with so much conviction. As noted throughout this thesis, these beliefs underpinned much of the political discourse, centred on nation-building and reconciliation, that framed the transition from apartheid to democracy and later steered the work of the Truth and Reconciliation Commission. The wish that all South Africans should "live their lives with dignity and self-respect" propelled the social and economic reforms implemented by the first democratic government, which sought to improve the lives of the majority of the population by creating jobs; building houses, schools and hospitals; and by providing essential services such as electricity and clean water, especially in the rural areas.

Nelson Mandela's noble and ambitious project for a new democratic society has been both applauded for its successes and criticised for its failures. In a similar way, Thabo Mbeki's (and, more recently, Jacob Zuma's) social and economic agendas have been critiqued for the inadequate development of policies and the slow or inefficient implementation of reforms, resulting in a largely asymmetrical and divided society

(May, 2006). A decade after the demise of apartheid, critical reflections on the development of democracy in South Africa have established that the country's socio-economic landscape remains as convoluted as in the decades preceding the implementation of democracy, despite the fact that the Constitution has provided the state with the necessary framework for the realisation of political and socio-economic rights. Importantly, according to Landsberg and Mackay (2006:6), democratic South Africa is rooted in founding values that advocate "human dignity, the achievement of equality, the advancement of human rights and freedoms (including non-sexism and non-racism) and respect for fundamental principles of democracy". In the same vein, Albie Sachs (2010) – one of South Africa's most respected judges who formed part of the Constitutional Committee charged with drafting the Constitution – argues,

Clearly, the constitution by itself does not provide jobs, build homes and enable people to walk freely everywhere in the land. Nor does it eliminate inequality and unemployment. But it does create a coherent, functional and value-based framework in which all these problems can be dealt with.

My aim in this thesis has been to examine portrait and documentary photography in post-apartheid South Africa as it engages with political and social processes at key historical moments and articulates the values of a society still scarred by a racially skewed history of racial oppression and exploitation. I have sought to demonstrate that the photographs comprising the projects of both Jillian Edelstein and Adam Broomberg and Oliver Chanarin cannot be analysed outside of the historical context or the socio-political landscape within which they were produced. My inquiry has focused on how both photographic projects give meaning to individual experience and modes of human agency. Parallel to this central organising perspective, I have explored the aesthetics and ethics that characterise the photographic practices of these three photographers. I have drawn attention to the viewer's role and responsibility in responding to the works' affective quality and – having moved past the emotional terrain within which photographic representations operate – reflecting about their symbolic and/or political content. This act of perception, which involves a dialectical relationship between feeling and understanding, contributes to the works' "consecration and, simultaneously, its completion" (Dufrenne, 1973:47).

Although distinctly different in subject matter from most of the photographic work produced during apartheid, the two projects at the core of this thesis share a

common feature, notably the way the artists position themselves in relation to the realities of the country and to the lived experience of their subjects, illustrating a personal and interpretive approach. Both projects subscribe to the visual language and conventions of portraiture but maintain the political edge of the social documentary work performed in South Africa during the apartheid years. Jillian Edelstein's (2001) *Truth & Lies* uses the context of the TRC process to explore post-apartheid trauma. Broomberg and Chanarin's (2004a) *Mr. Mkhize's portrait and other stories from the new South Africa* delves into the fabric of society in more detail and nuance, commenting and reflecting on the political and social structures that keep large swathes of the population poor and marginalised a decade after the demise of apartheid.

In keeping with the methodology of interweaving the analysis of visual representations in these bodies of work with an examination of the socio-political environment out of which they grew, I wish to conclude this thesis with two interconnected reflections. The first concerns key socio-political issues that motivate debate in contemporary democratic South Africa. The second delves into the role of photography as a conduit of ideology and purveyor of evidence during apartheid. I reflect on the development, after the demise of apartheid, of an ethical photographic practice (exemplified by the works of Edelstein, Broomberg and Chanarin) that seeks to go beyond the traditional documentary mode, articulating the urgency of ethical responsibility in post-apartheid South Africa. The photographic projects I have engaged with echo contemporary socially engaged art practices' concern with catalysing reflexivity capable of engendering transformative social processes.

The first question I would like to turn to is the TRC – more specifically the debate regarding the (dis)continuity and complexity of the processes of political reconciliation and nation-building which engaged the TRC, and which bear on the conflicting social realities of contemporary democratic South Africa. Ten years after the hearings, the TRC, its activities and recommendations continued to play an important role in contemporary debate about the truth and reconciliation process and about the national healing it purported to initiate.

It has been widely recognised in literature that Nelson Mandela and Archbishop Tutu's leadership played a crucial role in the work of the TRC. In his inauguration as president of the Republic of South Africa in 1994, Nelson Mandela made an appeal for social transformation and the reconstruction of a national identity. He did not seek

to dismiss the country's past nor eradicate the memory of apartheid; rather he focused on the potential of a common future, constructed from the imaginings of all its citizens. People of different races, classes, linguistic and cultural backgrounds had come together on 27 and 28 April 1994 to vote for a democratic South Africa. Their elation rendered Mandela's address not only celebratory of a newfound status quo but plausible in the light of a revived national consciousness.

During the first years of South Africa's transition to democratic rule, and particularly in the context of the TRC proceedings, 'forgiveness', 'healing' and 'reconciliation' were some of the words most frequently used by leaders dedicated to the pursuit of national unity in which the "rainbow nation" metaphor found cogent expression. Nelson Mandela and Desmond Tutu often drew on the philosophy of *ubuntu* during the TRC process to advocate a new set of values to which most South Africans could relate. But as the emotional setting of the TRC receded into the past, the idea of a collective journey into a future of non-racialism became more unrealistic and distant.

In April 2006 a conference titled *TRC: Ten Years On* (the proceedings of which were published in a book with the same title) was organised by the Institute for Justice and Reconciliation. It brought together scholars, analysts, journalists, writers and government officials to discuss possibilities for deepening citizens' commitment to socio-political transformation after the TRC, thereby contributing to the ongoing reconciliation and nation-building process. Charles Villa-Vicencio (2006:7), the then executive director of the Institute for Justice and Reconciliation, considers that there are limitations to the TRC model. He argues that while the TRC is an instrument of transitional justice which "can contribute to tolerance, reconciliation and nation-building it can also polarise, embitter and do little more than suspend the confrontation it seeks to avoid".

In this regard, Wilson (2001) identifies the 'healing the nation' idiom as one of the flaws in the discourse of nation-builders. As he argues, the articulation of a 'collective memory' consisting of the traumas of apartheid gave rise to a collectivist view of the nation as a sick body in need of collective cleansing, ritually performed in the TRC hearings. The issue, as Wilson (2001:15) sees it, is that "individual psychological processes cannot be reduced to national processes dedicated to 'healing', since the 'nation' is not like an individual at all". He lends strength to his argument by drawing on Ernest Gellner's view of the nation as:

a political fiction invented by nationalists, who conjure up tenuous concepts such as a 'collective memory' or a 'collective psyche'. Nations do not have collective psyches which can be healed and to assert otherwise is to psychologize an abstract entity which exists primarily in the minds of nation-building politicians.

Scholarship pursuing this line of argument asserts that a nation-building project centred on welding citizens together through, as Beiner (1995:7) puts it, "a shared language, shared associations, shared history and a common culture" is unrealistic in South Africa's case. According to this line of reasoning, constitutionalism is the most viable political framework for a society in transition from authoritarian rule to democracy, since the key political and legal notions encapsulated in the political ethic of constitutionalism – rule of law, human rights and civil rights – displace the hegemonic discourse on 'power' and introduce a discourse on 'rights', laying the basis for democratic political practice and consolidation of socio-political cohesion. This is reinforced through citizens' heightened political consciousness and a shared sense of the civic dimension of existence.

In effect, *The Constitution of the Republic of South Africa* (characterised for its non-racial, non-ethnic ethos) is considered to be one of the most progressive constitutions in the world, enabling an effective transition from apartheid to a stable functioning inclusive democracy. Despite the comprehensive nature of the new constitutional order, many critics have stressed that social change has not been big enough or fast enough since the first democratic election in 1994 and that the language of political transformation advocating socio-economic welfare rights has failed to materialise into a just and equal society.

The new dispensation has been challenged to deliver on the promise made in the Preamble to the *Constitution* (2009:2) that "South Africa belongs to all who live in it", irrespective of race, class, belief or gender. Reconciliation, reconstruction and development were deemed crucial to social transformation and the building of a new society "based on democratic values, social justice and fundamental human rights". But a little more than a decade after these values and goals were set out in the *Constitution*, social and economic justice is far from being achieved, and the promise of quality of life for all citizens seems like a flawed contract. The chronic, and apparently irremediable, social strain caused by poverty, inequality, mass unemployment, HIV/AIDS (and, by implication, violence) has obscured Mandela's vision of dialogue and reconciliation, as well as of a united, non-racial, democratic and politically stable nation.

According to Moodley and Adam (2000), the most salient problems facing contemporary South Africa are social racism, cultural racism and economic racial inequality. Moodley and Adam contend that despite acknowledgement of the importance of a united socio-political community, “the non-racial democratic constitution [has not been able to] alter overnight the conditioned consciousness of black and white”. The inherited stigma of racial classification still impairs social relationships in business, schools and in everyday life. There are eleven official languages in South Africa, but English and Afrikaans (the languages of imperialism and oppression respectively) are still the dominant languages in politics, business and academia. This is largely due to what Giliomee (1995:100) calls “a powerful group consciousness based partly on race and partly on maintaining European standards and a European identity”.

At the socio-economic level, save for a burgeoning black bourgeoisie that has succeeded in breaking free from the township – thereby integrating formerly white residential areas and schools – the majority of the black and coloured population continues to grapple with inefficient/insufficient housing, water, food security, health care, education and employment opportunities. Chief among the social factors impeding socio-economic development and stability are high illiteracy, crime, disease and poverty. The concept of democracy equates with a government of, by and for the people, and espouses freedom of choice. Yet, few South Africans have been given the freedom to choose a more dignified life, bringing to mind Gramsci’s (1971:276) words: “The crisis consists precisely in the fact that the old is dying and the new cannot yet be born. In the interregnum, a variety of morbid symptoms appear.”

Whilst ten years of political freedom began to dispel the oppression of roughly four decades of apartheid, many South Africans also began to question precisely what democracy entails. In Goodin’s (2005:2) view, “Voting inevitably remains the ultimate act of political legitimation in a democracy”, and should be grounded as much on internal-reflective deliberations as on external-collective ones. Ideally, the core of democratic citizenship is the responsive and responsible act of voting for a set of political, social and economic policy proposals geared to developing social conditions and boosting economy. Political parties, in turn, are made accountable for promises made and hopes raised during election campaigns.

What happens, though, when the electorate constitutes mainly people whose everyday lives are affected by poverty and social exclusion? A significant part of the

population that participated in the first democratic elections claimed the basic rights of every citizen, namely housing, water, food security, health care, education, and employment opportunities. The democratic state and the society share the responsibility of promoting and sustaining economic, social and cultural change crucial both to development and to the enhancement of individual freedom of choice. Amartya Sen (1999:295) emphasises that achievements such as more and better education and health care (to name but two factors) go a long way in expanding human freedom and enabling people “to live the kind of lives [they] have reason to value”.

For many disadvantaged (mainly black and coloured) South Africans who saw democracy as a passport to a better life, the anniversary of the country’s first decade of freedom brought very little to celebrate. In many respects poverty and underdevelopment had deepened during the transition from apartheid to democracy. The development agendas of Nelson Mandela’s (1994-1999) and Thabo Mbeki’s presidencies (1999-2004) aimed to redress poverty and inequality. However, a decade into liberal democracy, social and economic rights continued to lag behind<sup>118</sup>. Policy-wise, the Reconstruction and Development Programme (RDP) failed to guarantee the much-expected social development. At a macro-economic level the Growth, Employment and Redistribution policy (GEAR) aimed at securing investment and augmenting employment fell short of the promised economic growth and stability: the unskilled remain unskilled, unemployed and excluded from the economy (Sparks, 2003).

Habib and Padayachee (2000) examine how the transition to neo-liberal economic policies has favoured a burgeoning black middle class that has seized opportunities granted by privatisation, liberalisation, tax concessions, low inflation and the opening up of export markets, widening the gulf between wealthy and disadvantaged members of the population. Desai (2002) deplores the privatisation of municipal services whose reliance on financial cost recovery has resulted in water and electricity cut-offs and evictions for those millions of poor people (categorised as “the poors”) who are unable to pay for basic services. Drawing on studies including

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<sup>118</sup> According to Jacobs (2003:36) “unemployment is at 40% and the economy has lost half a million jobs since 1995. Approximately 45% of South Africans live in poor households that earn an average of R 352,53 (equivalent to US\$ 32 at time of writing) per month per adult by 2002. [...] About 3 million people still need housing, 7,5 million lack access to running water and 21 million go without sanitation services. An estimated 3,6 million of the country’s 44 million have HIV/Aids.”

the 2000 UNDP report and the Taylor Committee's findings, van Donk and Pieterse (2004:38) argue,

a large proportion of the population continues to live in the appalling conditions that characterised the period of apartheid and colonialism. In addition there is evidence that the situation is worsening for a significant number of South Africans – poverty, unemployment and inequality have been on the increase, and the HIV/AIDS pandemic continues apace.

This suggests that the transition to a free society driven by respect for human rights relies as much on policies as on the mental and attitudinal change in individuals who are called upon to be agents of change through individual initiative and responsibility. Yet, even though change may foster renewal in political and cultural practices, freedom inevitably implies, as Bauman (1988) argues, asymmetry in society, the existence of social difference, and consequent social division. He contends that the choices and action of some result in the *restrained* freedom of others.

In Sen's (1999:17) view, freedom "involves both the *processes* that allow freedom of actions and decisions, and the actual *opportunities* that people have, given their personal and social circumstances." This logic suggests that the recognised interconnection between democracy and freedom is grounded on the premise that democracy creates opportunities. But as Sen (1999:155) further argues, "Democracy does not serve as an automatic remedy of ailments as quinine works to remedy malaria. The opportunity it opens up has to be positively grabbed in order to achieve the desired effect."

Echoes of this argument can be found in the varied responses to a succession of race-related incidents that took place at the beginning of 2008 in South Africa, sparking debate about the fragile balance in race relations and rekindling animosity about the residual practices of racism and discrimination which continue to fester in the contemporary South African social landscape. National newspaper headlines focused on the "killing spree" on 14 January at the Skierlik informal settlement in the North West where four black people were murdered and six more were wounded by Johan Nel, a white teenager, in what was believed to be a racially motivated crime.

On 22 February 2008, white journalists were barred from a meeting for the re-launch of the Forum of Black Journalists (FBJ) where the then ANC president Jacob Zuma was a guest speaker. This raised an outcry among journalists, particularly because

“speaking to reporters – black and white – after the event, Jacob Zuma said he saw ‘nothing wrong’ with the enforced colour bar that prevented whites [but not journalists of Indian or coloured origin] from hearing him speak” (Forde, 2008a). On 27 February, following numerous reports of racially motivated violence in schools, footage of a video made by four white hostel residents at the University of the Free State (UFS), in which black cleaning staff members were made to carry out demeaning mock-initiation activities, prompted an emotionally charged response across the country.

Among the voices heard in the wake of these events – that *The Star* journalist Thabiso Thakali (2008) termed “Eight Weeks of Racism” – was that of Raenette Taljiaard (2008), director of the Helen Suzman Foundation. Taljiaard alludes to the Preamble of the *Constitution* to emphasise society’s commitment “to heal the divisions of the past and establish a society based on democratic values, social justice and fundamental human rights”, holding parents responsible for the values transmitted to their children and for the space “[created] for young minds to understand diversity and the core of humanity that is exactly the same, irrespective of skin colour”.

In an article titled “Let’s talk about race”, Justine Gerardy (2008) dismisses the vision of a democratic and free society in which all people live together in harmony as “the euphoric fantasy of post-1994 racial harmony” and writes, “The Rainbow Nation has often been a bit hard to swallow. This year, it has been throwing up all over itself”. Gerardy quotes Jody Kollapen, chairperson of the South African Human Rights Commission (SAHRC), to illustrate scepticism about both the rapid transition to democracy and the Truth and Reconciliation Commission’s emphasis on hastening racial reconciliation. Kollapen argues that “whiteness and blackness” continues to define race relations in South Africa, stating, “the challenge is whether we allow this to place us within a racial ghetto or whether we recognise that we seek to transcend that ... You can never eradicate racism, but you can relegate it”.

The academic Achille Mbembe (2008) took the events in this period as an opportunity for reflecting about the country’s “fragile, confusing and uncertain present”. Mbembe stresses that the factors that are threatening South Africa are: “the dramatic moral failure of [the country’s] political leadership..., the relative apathy of civil society, the unfinished nature of [the] democratic transition and the fact that [South Africans] live under a de facto one-party system.” For him, a very real challenge to leadership is “the economic upliftment of the poor in general and the

black poor in particular” through the implementation of a radical programme. As he sees it, part of the answer to the problem of poverty lies in the provision of adequate education and skills. He adds that the success of such a programme depends on cultivating a sense of personal responsibility, and on discouraging both black victimhood and the “generalisation of social grants for the poor, however useful they are, and hefty handouts in the form of black economic empowerment deals for a tiny and greedy elite only”. Mbembe concludes that the real catalysts for social change are the (re)commitment to the project of nonracialism (and, by extension, the support of equal justice for all, blacks and whites), moral leadership, and the re-engagement with civil society organisations.

Taking the same line of reasoning, Achmat Dangor, CEO of the Nelson Mandela Foundation, emphasises that the process of “reconciliation and transformation are two parts of the same imperative”, which relies on the rejection of a “culture of expectation ... a certain complacency, a belief that we don’t have to do anything for ourselves” and on the acceptance of individual responsibility for oneself and one’s actions (quoted in Forde 2008b). This argument resonates with Nelson Mandela’s understanding that if the belief system of the individual is changed, that of the nation can be significantly altered.

Both Mandela and Dangor reject the sense of defeatism that paralyses efforts to resolve deep-seated problems in society, and insist on agency and individual responsibility as key practices informing the exercise of democratic citizenship. These practices were central to the anti-apartheid struggle; they were equally important to the political and social transformations underpinning the transition from oppression to democracy. They remain vital in the context of contemporary South Africa’s socio-political landscape, where much needs to be done to advance the socio-economic rights of all citizens.

As illustrated in Chapter 2, during the TRC process the concept of agency was closely connected to the notion of voice. Within the sphere of politics, the fact that black South Africans – who had previously been excluded from the polity – had been given “a voice” meant that they could speak (and be heard) on what they expected from their political representatives. Within the context of the TRC’s truth seeking process, “voice” equated with the narration of life experiences under apartheid and, importantly, with the notion that each story mattered. In this regard, “voice”, as Nick Couldry (2010:8) defines it, “necessarily involves us in an ongoing process of reflection, exchanging narratives back and forth between our past and present

selves, and between us and others”. This insight is reflected in the TRC’s ethical framework within which there was an emphasis on each individual’s worth, and a sense that a more humane society could be generated by a meaningful exchange between individuals whose relations had for decades been steered by feelings of hatred and revenge. To borrow from Couldry once again, “Voice as a social process involves, from the start, both speaking and *listening*, that is, an act of attention that registers the uniqueness of the other’s narrative” (emphasis in the original)(9).

But, as I have argued, the use of one’s voice entails not only being *heard*, but being *seen* as well. Perpetrators and victims who came before the TRC were, perhaps for the first time, heard and seen publicly. Under the specific circumstances of the TRC hearings – which received wide television and radio coverage – South Africans could no longer deny the knowledge of perpetrators’ actions nor ignore victims’ trauma. From the perspective of the implementation of democracy in post-apartheid society, the fact that so many people who had been kept at the margins of society received acknowledgement – precisely because they were both *seen* and *heard* – was evidence that this model of citizenship guaranteed the empowerment of the black subject.

In his book *Why Voice Matters*, Nick Couldry (2010) builds a compelling argument about the value of voice, “the effective opportunity for people to speak and be heard on what affects their lives”. I wish to add to this equation the value of *becoming visible*, not only in the sense that a black citizen is regarded as a political subject, but also in the sense that he or she is given the opportunity in the public arena to (re)present him/herself with dignity, as someone with a specific life experience, and to be regarded as such. This is especially significant in a country with a long history of oppression and censorship, and more so if we consider the history of photography in South Africa.

During apartheid the main purpose of South African photographers was to expose the oppression of the black population. Ernest Cole’s (1967) photographic indictment of the realities of apartheid was published in *House of Bondage*, and twenty photographers contributed to the publication in 1986 of *South Africa: The Cordoned Heart* (edited by Omar Badsha), choosing the black subject as their main focus. All these photographers capture the black subject in his/her work and living environment: in Cole’s work, performing duties in the white man’s domestic or work settings; in *South Africa: The Cordoned Heart*, eking out a miserable existence in

impoverished Bantustan resettlement camps or barely surviving in demeaning living conditions.

The captions beneath the photographs in these publications draw attention to a place and date; to the subjects' profession (most notably labourer, herd boy, cleaner or domestic worker); or to a social condition, for example unemployed men or pensioner; but rarely to a name or a life story. Some captions transmit an action, including "applying for work", "women returning from a day's journey to the trading store", "waiting for pension payments", "waiting to board the government truck", and "dismantling house". The subjects in the photographs are social types that represent many other people living in the same conditions. Unlike the captions, the extensive text accompanying the photographs provides details about the social and political circumstances within which the images were produced.

Both *House of Bondage* and *South Africa: The Cordoned Heart* tell a story of apartheid without exploring individual life stories or enabling the photographed subject's "voice" to come across. In these bodies of work the photographers are perceived to be in full control during the photographic encounter, choosing how best to (re)present the subjects so that each frame could expose the violence of apartheid and the gross violation of human rights. South African photographers took on the political role of representing people who had no citizen status, and were persecuted by the apartheid government for attempting to document and raise awareness of apartheid's perverse practice of social organisation. Ernest Cole left South Africa and published his work in 1967 while exiled in New York. Other photographers who remained in South Africa were harassed, detained or prevented from doing their work.

During the turbulent 1980s, resistance or struggle photography (as it became known) sought to document the violent confrontation between the security forces and protesters in anti-apartheid demonstrations across the country, resulting in the publication and touring exhibition in 1989 of *Beyond the Barricades: Popular Resistance in South Africa* (edited by Iris Tillman Hill and Alex Harris). This body of work emphasised the determination and agency of the subjects. An iconic photograph of this era shows a lone woman standing at the side of a road, raising her arms and fists in protest at a convoy of armoured military vehicles rolling into her township. This photograph by Paul Weinberg (reminiscent of the well-known image of a lone Chinese demonstrator stopping a column of advancing tanks in Beijing) illustrates that in the context of the struggle against oppression what is important is not the

individual, but what the individual feels compelled to do in the name of the collective.

The transition to democracy generated different photographic registers and modes of engagement with aspects of life in contemporary South Africa. Photographers (like David Goldblatt, Paul Weinberg, Eric Miller and Guy Tillim) began to explore not only different subject matter but also alternative stylistic and aesthetic approaches to their work. A greater diversity of expressive idioms and explorations has characterised South African photography since the end of apartheid, reflecting both the development of new consciousnesses about the transformative processes in South African society and a greater sense of freedom with regards to artistic expression. Photographers felt a moral obligation during apartheid (whether self-imposed or agreed among, for example, like the members of Afrapix) to focus mostly on the values, oppression and inhumanity of that regime. The demise of apartheid meant that, as Jo Ractliffe (n.d.) states, “[The photographers’] world opened up – and not only politically”.

The photographic projects of Jillian Edelstein, Adam Broomberg and Oliver Chanarin belong to a body of work produced, published and exhibited in South Africa and abroad in the past decade or more. What distinguishes these two projects is that they engage with two distinctive events/periods in South African history, most notably the TRC process and the commemoration of ten years of democracy, and hence constitute historical documents for the South African photography archive. Conceptually, both projects explore the conventions of portraiture to draw attention to the individuality of the photographed subjects. In this respect, the detailed text accompanying the photographs is crucial to the viewer’s experience of the photograph, since it contextualises and particularises each photographic representation through the written representation of the subject’s “voice” (the account that each individual gives of his/her life).

Documentary and portrait photography of apartheid South Africa have characteristically been a source of emotional appeal. In the Foreword to *South Africa: The Cordoned Heart*, Desmond Tutu (1986: xiv) writes,

We must thank these photographers for putting a face to all these facets of poverty. We are not dealing with sets of statistics. We are talking about people of flesh and blood, who laugh and cry, who love and hate, who enjoy being cuddled. We are talking about men who want to be with their families, husbands who just want to

work to be able to feed their children. The Carnegie Inquiry is about such ordinary people, and the pictures bring them to life.

*Truth & Lies* (2002) and *Mr. Mkhize's portrait & other stories from the new South Africa* (2004a) are also about people who suffered and continue to suffer hardship, and about *humanity* and *inhumanity*. But their emotional appeal and interpretive quality derives as much from the composition and aesthetics of individual images as from the construction of narratives resulting from the sequencing of images, and the interconnection between image and written text. Several layers of reality and existence are conveyed through the interweaving of the photographs' content (what we see in the frame) and the external information provided in the adjacent written text. This combination of image and word conjures up other mental images of the subjects' lives and experiences, generating a response that is not confined to the content of the photographs alone.

An important feature of these two photo essays is the ethical imperative that drives the photographers' approach to their subjects. They place the photographed subject at the centre of the photographic encounter, enabling him/her to have some control of how he/she is (re)presented. This approach places a very clear emphasis on the subjects' dignity and self-respect, and is especially significant given the context in which both projects were produced. In the case of Edelstein's *Truth & Lies* series, most of the photographed subjects were victims of gross violations of human rights. They had been treated with disrespect and subjected to extreme forms of physical and psychological violence. The opportunity to relate their own accounts of the violations of which they were victims created a platform for public recognition and respect for individuals' trauma. Crucial to this transformative process was the restoration of the human and civil dignity of such victims. Edelstein's photo-essay captures this dimension of the TRC's work by drawing attention to both the photographed subject's dignity and his/her individual story. Neither has priority over the other; both (visual and written representation) are equally important in the presentation of this material, which gains strength from the meaning generated from the intersection of image and text.

Broomberg and Chanarin display the same sense of ethical responsibility to their subjects. The access given by the photographed subjects is treated with great respect. The viewer is made to feel that poverty does not equate with indignity or victimhood. The photographers' attention to the composition and framing of each image reflects the care the subjects bring to their living environment (or the care

they take with their physical appearance). We can see that Mr. Mkhize and his wife live in impoverished circumstances, but the space is immaculate: the beds are perfectly made and nothing is out of place. The subjects are composed and dignified. Broomborg and Chanarin's use of colour (as opposed to Edelstein's stark black-and-white images) highlights each photographed subject's individuality, drawing attention to the skin tone, the colour of the eyes or of the shirt, as well as the colour and texture of the subject's surroundings. The use of colour references the diversity and complexity of the social landscape, alongside the distinct circumstances of each individual. As is the case with Edelstein's photo-essay, the combination of photographs and text is an important determinant of the work's meaning, since it broadens the possibilities of narrative and socio-political commentary.

The philosophies of Emmanuel Levinas and Mikhail Bakhtin, when applied to the interpretation of these bodies of work, direct attention to two complementary forms of engagement with the photographic works. The first compels an ethics of responsibility, whereby we are called to respond to the humanity of the Other depicted in the photographs. This sense of responsibility springs from an ethics of looking that rejects the objectification of the photographed subject, and seeks to recognise his/her individuality and singularity. The experience of the photograph, considered from a Levinasian perspective, is centred on the encounter with the *face* of the Other, which makes an ethical demand on the viewer to respond to the Other's appeal (his/her suffering or particular circumstances). The second form of engagement draws on Bakhtin's insights to explore the dialogical constitution of the photographic work, which refers not only to the work's architectonics (the relations established within the work) but also to the dialogical relationship established between photographer, photographed subject and viewer. This dialogical relationship is formed on the basis of the interdependence between the three actors involved in the photographic encounter. In this triadic relationship each actor has a responsibility to the other and inevitably makes a vital contribution to photographic meaning.

The relationship between ethics, responsibility and viewing that I have sought to establish throughout this thesis foregrounds much more than the process of reading or making sense of an image. It demands and supports a reflexive activity centred on responsive and responsible engagement with the social and political conditions depicted within each pictorial frame. Thus, I end this thesis with a reflection on Michel Foucault's insight into the transformability of experience. Foucault (2002:239)

writes, “An experience is something that one comes out of transformed”. Indeed, engaging reflexively with humanist and socially-committed photography concerned with human experience and human dignity such as Edelstein’s (2001) *Truth & Lies* and Broomberg and Chanarin’s (2004a) *Mr. Mkhize & other stories from the new South Africa* has the capacity to change the way we see and think about South Africa in the past and in the present. The experience of doing research work on these two projects has transformed me. During this process I have unequivocally seen myself reflected in Foucault’s (2002:240) words: “I write in order to change myself and in order not to think the same as before”.

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