

1 **The effects of weathering on the physical and mechanical properties of**
2 **igneous and metamorphic saprolites**

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1 **Abstract**

2 The present paper presents three extensive datasets of laboratory testing on weathered
3 geomaterials, which are emblematic of soil types widely found worldwide. The overall dataset
4 includes soils originating from igneous and metamorphic rocks, either coarse or fine grained
5 and having either felsic or mafic minerals. In particular, the data are interpreted to highlight
6 the effects that weathering has on the physical and mechanical properties of these natural
7 geomaterials comparing them with published data with the aim to provide a general framework
8 of interpretation that takes into account this geological process and links soil mechanics to
9 engineering geology. Generally, weathering induces a reduction in the grain size, both due to
10 physical actions (e.g. opening of grain contacts) and to the chemical decomposition of minerals
11 resulting in the formation of clay minerals. As weathering proceeds and the soil becomes finer,
12 the in situ specific volume and the location of the normal compression and critical state lines
13 move upwards in the volumetric plane. On the other hand, the clay minerals cause its angle of
14 shearing resistance to reduce. When analysing the behaviour of the intact soil, in all cases
15 positive effects of structure, albeit small compared to some sedimentary soils, were observed
16 and these reduced as a consequence of weathering.

17 **Key words**

18 Residual soils, saprolite, structure, weathering

19 **Introduction**

20 Although weathering is an inherent process undergone by any material, in the
21 geotechnical community, this geological process tends to be associated particularly with certain
22 climates. This is true to the extent that for long “tropical soil” has been used as a synonym of
23 residual soil and indeed the geomaterials presented here are from tropical areas. However, as
24 explained by Hall et al. (2012), climate merely influences the rate at which weathering occurs,
25 while the specific processes involved are dictated by the parent rock characteristics, such as

1 porosity and permeability, pre-existing joints and bedding planes, mineralogy and mineral
2 properties.

3 Extensive research exists that has investigated changes of physical properties and
4 mineralogy along weathered profiles. However, as pointed out by Moon & Jayawardane (2004)
5 it is often difficult to measure meaningful mechanical parameters across the whole weathering
6 profiles as the material investigated can span from a hard rock to a soft soil. For this reason the
7 present paper focuses on the “soil end” of the weathering spectrum, i.e. saprolites and residual
8 soils, where the fundamental concepts of soil mechanics can be applied.

9 Vaughan et al. (1988) were perhaps the first to investigate the effects of structure on
10 the mechanics of natural residual soils within a critical state framework. A work that was
11 further extended to other natural soils and rocks by Leroueil & Vaughan (1990), who
12 recognised the importance of natural structure irrespective of its geological origin, while
13 previous work had concentrated almost solely on its effects for sedimentary “sensitive” clays
14 (e.g. Skempton, 1970). After these pioneering studies, more recently Futai et al. (2004)
15 investigated in detail the mechanical behaviour of an intact saprolite comparing it to that of the
16 recompacted soil at different depths along a weathered profile. However, a well-established
17 framework of behaviour like that proposed by Cotecchia & Chandler (2000) for natural
18 sedimentary clays that includes the effects of structure is still lacking for geomaterials
19 originated from weathering.

20 The current paper aims at establishing the basis for such a general framework of
21 interpretation and improving the understanding of the weathering effects on the geotechnical
22 behaviour, linking the latter to the geological processes that have occurred. The effects of
23 weathering on the physical and mechanical properties of a granitic saprolite from Hong Kong,
24 a gneissic saprolite from Brazil and a basaltic saprolite from Mauritius are discussed. In
25 particular, profiles of significant depth and having a variety of weathering degrees are

1 considered. These data are compared with published data regarding weathered geomaterials,
2 which were reanalysed applying the critical state and sensitivity frameworks. Finally, the trends
3 of behaviour were contrasted with the influence of weathering on a sedimentary clay.

4 **Materials and testing procedures**

5 Three types of soil were considered in detail, making comparisons and contrasts with
6 examples from the literature that were of broadly similar materials. Table 1 summarises the
7 soil properties, the test data available the and main findings for each case. This information is
8 also presented in Figs. 1-3, plotted against depth. Because both physical and mechanical
9 properties are included to aid a global understanding at a glance, this will require reference to
10 these figures in different sections of this paper. Figure 1 compares a granitic saprolite from
11 Hong Kong to a diabase saprolite from Santa Catarina (Brazil), as both parent rocks have an
12 igneous intrusive origin, but differ in mineralogy and partly in grain size. Figure 2 compares
13 two gneissic saprolites from Brazil (Rio de Janeiro and the State of Minas Gerais, respectively),
14 which share the same geological origin and approximately the same grain size and mineralogy,
15 although it is not clear whether the geological formation considered is indeed the same one.
16 Figure 3 compares a basaltic saprolite from Mauritius to a volcanic ash residual soil from Java
17 (Indonesia), as both parent rocks are extrusive igneous rocks, but they differ in mineralogical
18 composition.

19 As mentioned above, the first soil considered (Fig. 1) is a granitic saprolite from Hong
20 Kong. According to the guidelines of the Geological Society Working Party (1990), the soil
21 has grades IV (highly weathered) and V (completely weathered). The parent rock (Sha Tin
22 Granite) is an intrusive coarse to fine grained felsic igneous rock, having crystal sizes between
23 1 and 4mm with plagioclase, feldspars, quartz, and to a lesser extent biotite as the main mineral
24 components. The soil was sampled from two boreholes (BHA and BHB) located at a close
25 distance, covering depths up to 27m. A variety of different weathering degrees were

1 encountered, which are detailed in Table 2, based on Rocchi & Coop (2015). However, for
2 simplicity in Fig. 1, distinction is made only between the two decomposition grades, i.e. CDG
3 and HDG that stand for Completely Decomposed Granite and Highly Decomposed Granite,
4 respectively. Furthermore, the tests presented will focus on the shallow extremely weak CDG
5 (sh ewCDG) and HDG, which represent the extremes encountered.

6 For the granitic saprolite several one-dimensional compression and triaxial tests were
7 carried out, both on intact and reconstituted samples, using the techniques described in detail
8 by Rocchi & Coop (2015). The soil gradings in Fig. 1a (and similarly in Figs. 2a and 3a) are
9 presented by dividing the particle size distribution curves into their main components, i.e.
10 gravel, sand and fines (silt and clay). As several grading curves were available at similar depths,
11 the values were averaged over 0.5-1m intervals. The soil ranges from sandy gravel to gravelly
12 sand ($D_{max}=6-20\text{mm}$ and $D_{50}=1-11\text{mm}$) so that the soil grains mostly include clusters of
13 different minerals. Generally, the shallower and more weathered the soil, the finer and better
14 graded. However, below 12m a larger data scatter in the relative amounts of gravel and sand
15 can be observed. This rather regular alternation between more and less weathered strata could
16 be an indication of the joint spacing. In addition, at approximately 20m depth a more weathered
17 stratum was encountered, as shown by the increased amount of fines. Rocchi & Coop (2015)
18 described this granitic saprolite mineralogy as consisting mainly of quartz and feldspars in
19 similar amounts, and to a lesser extent of mica, clay minerals (kaolinite and illite) and some
20 amorphous minerals. Compared to the parent rock, amorphous and clay minerals have replaced
21 the biotite and to a lesser extent the feldspars due to weathering. This is reflected in the specific
22 gravity (G_s), which is 2.65 for the HDG and on average 2.63 for the CDG.

23 The gradings of a diabase saprolite from Santa Catarina (Brazil) studied by Maccarini
24 et al. (1989) are included in Fig. 1a for comparison as it differs from the granitic saprolite in
25 mineralogy and partly in grain size. This saprolite was from a shallow intrusive medium

1 grained mafic igneous rock, more commonly known as dolerite. The samples (D1 to D4)
2 belong to a saprolitic layer found at a few metres depth under a highly weathered layer, most
3 likely meaning that they correspond to grade V. In this case, there were no triaxial tests;
4 oedometer tests on intact specimens were carried out at all the depths sampled, while only
5 samples D1 and D4 were tested in a reconstituted state. As seen in Fig. 1a, the doleritic saprolite
6 is finer than the granitic saprolite, partially due to the parent rock grain size, but possibly also
7 because of the shallower depth. This soil ranges from silty sand to sandy silt, with very small
8 amounts of clay and gravel for the deeper samples ($D_{\max}=2-5\text{mm}$), as can be seen in Table 1.
9 However, the soil becomes again finer towards the surface. Although the exact variation with
10 depth of the mineralogical composition of the parent rock and that of the doleritic saprolite are
11 unknown, the main minerals are feldspars (65-70%), pyroxene (25%) and to a lesser extent
12 magnetite (5%). This is responsible for higher G_s than in the granitic saprolite, which range
13 from 2.98 to 3.07.

14 The second soil considered (Fig. 2) is a gneissic saprolite from Rio de Janeiro (Brazil),
15 which was block sampled both along an excavation front (T06 to T01) and inside a well (P01
16 to P05) up to an overall depth of about 14m. The parent rock characteristics prior to
17 metamorphisation are unknown, but gneiss indicates a medium to coarse grain size for the fresh
18 rock. The saprolite is stratified due to metamorphisation, with thicknesses from a few cm to
19 several tens of cm. Except for the uppermost level (T06), which corresponds to grade VI
20 (residual soil), the soil is a grade V saprolite. Several oedometer and triaxial tests were carried
21 out on intact specimens for samples below 5m depth, but not on the reconstituted soil. In
22 particular, four drained triaxial tests were carried out for each of these samples with confining
23 pressures between 50 and 400kPa. Two identical tests were carried out for each sample and as
24 their results were almost identical, average lines are presented.

1 In Fig. 2a the data are presented calculating the depth with respect to the top of the
2 excavated slope and the soil sampled along the face (i.e. the samples with the prefix T) can be
3 considered overall more weathered. The soil is a sand with little fines and as can be seen in
4 Table 1, D_{\max} is approximately 4mm for all samples, while D_{50} is 0.2 to 0.4mm. Between 0m
5 and about 2m the soil consists mostly of clay (66%), but otherwise the clay fraction is rather
6 low (5%). Despite a possible sedimentary origin of the rock before metamorphism, the soil is
7 well graded ($c_u=27-141$). The profile of mineralogical composition with depth is unknown, but
8 around 60-90% of the minerals are feldspars, 10-40% quartz and less than 5% mica. The G_s
9 values range between 2.73 and 2.79, consistently with the acidic composition of the
10 mineralogy.

11 The gneissic saprolite investigated by Futai et al. (2004) covers grade VI from 0 to 2m
12 (Horizon B) and V (Horizon C) below that. Triaxial tests were carried out on samples every
13 1m concentrating at 1 and 5m depth, which are identified as Horizon B Gneiss (HBG) and
14 Horizon C Gneiss (HCG), respectively. In Fig. 2a, the sand fraction is considerably less than
15 for the gneissic saprolite studied, while the clay fraction is similar. Interestingly, the silt
16 component almost disappears close to the surface, which is also observed in sample T06,
17 possibly because of the resistance to chemical weathering of sand sized quartz minerals. The
18 mineralogy consists mainly of quartz (45%), kaolinite (35%) and other minerals (5-10%).
19 However, within grade VI the kaolinite content reduces, while gibbsite and iron oxides
20 increase. For this gneissic saprolite the G_s values are slightly lower, ranging from 2.68 to 2.63
21 towards the surface.

22 The third soil considered (Fig. 3) is a basaltic saprolite from Mauritius, which has
23 already been described to some extent by Vaughan et al. (1988) and originated from an
24 extrusive mafic igneous parent rock. Two units were block sampled at 8 and 30m, which are
25 identified as strong basalt (SB) and weak basalt (WB) and could correspond to grades IV and

1 V, respectively. Five triaxial tests were carried out for the WB soil and seven for the SB, and
2 for each sample one specimen underwent shear at a low stress. At both depths the soil is
3 composed in nearly equal parts of sand and fines (about 40%) with a small gravel component
4 (Fig. 3a), D_{\max} being 5mm. Compared to the previous examples, the change in grading between
5 the two depths is minimal, although it is still possible to observe that the soil becomes slightly
6 finer as weathering increases. The plasticity limits are independent of depth and $PI=5\%$ (Fig.
7 3b). It is interesting to note that the natural water content w_n is within the plastic range for the
8 SB, while it is clearly above for the WB, but the values correspond to 80-90% degree of
9 saturation. The allophane rich volcanic ash tuff from Java (Indonesia) originated from an
10 extrusive felsic igneous parent rock. Wesley (1990) carried out oedometer tests on the intact
11 and reconstituted soil, in the latter case remoulding the sample at its natural water content and
12 around its liquid limit. While the basaltic saprolite has almost no clay, as seen in Table 1, this
13 soil is a much finer silty clay (60% clay) that has reached weathering grade VI. In addition, due
14 to the allophane minerals it has an extremely high plasticity in its natural state. For the basaltic
15 saprolite and the volcanic ash residual soil in Fig. 3c, the in-situ specific volume ($v=1+e$), v_0
16 follows a trend similar to w_n in Fig. 3b, increasing towards the surface.

17 Figure 4 compares all soils using more general classes, such as igneous and
18 metamorphic origin, coarse and fine graded, and felsic and mafic mineralogy. For this
19 “summary figure” (and Figure 12 later on) circles represent igneous parent rocks and triangles
20 metamorphic ones, while solid and empty symbols represent coarse and fine grained parent
21 rocks, respectively. In addition, continuous and dotted lines represent felsic and mafic
22 mineralogy, respectively. For example, empty circles and solid lines would represent data
23 points for a felsic igneous fine parent rock. It should be pointed out that not all the possible
24 combinations are present.

1 The speed at which weathering progresses depends on the climate, topography, joint
2 spacings and orientations and other properties of the parent rocks. It is therefore quite surprising
3 to see that the same weathering grades are achieved at similar depths for different parent rocks
4 and weathering environments. In particular, grade VI is reached at very shallow depths (about
5 2m) both in the saprolites having metamorphic parent rocks and in the igneous doleritic
6 saprolite. In addition, although Wesley (1973) reported the volcanic ash residual soil as
7 consisting of a uniform layer, it is clear in Fig. 4b and c that the first 2m of the profile identify
8 a rather different unit. Coincidentally, this is also a common value for the depth of the
9 desiccation crust encountered in sedimentary clays. At this shallow depth biogenic action is
10 likely to play a major role. In addition, ground water fluctuation and temperature excursions
11 are greatest within the first few metres of soil.

12 With regards to the boundary between grade IV and V, this is at or below 25m for the
13 granitic and the basaltic saprolites. Abad et al. (2016) identified a prevalence of horizontal and
14 vertical joints, respectively, in the completely and highly weathered granite from Malaysia they
15 analysed. It is possible that the horizontal joints caused by stress relief (i.e. unloading) play a
16 role in determining the thickness of this weathered layer as they tend to have limited occurrence
17 at depth, although other sets of joints, such as cooling or tectonic joints, also contribute to
18 increasing water permeability and therefore the rate of weathering.

19 The limited changes in G_s described earlier show that the influence of the initial
20 mineralogical composition is rather strong. Although similar overall trends are followed, the
21 gradings are very different as seen in Fig. 4a where only the division between coarse and fine
22 components (i.e. a 2mm threshold) is taken into account. The gradings of the saprolites having
23 igneous parent rocks do not share the same amount of coarse or fine components and seem not
24 influenced so much by their intrusive or extrusive origin, i.e. the original grain size. The
25 basaltic saprolite and the volcanic ash residual soil, whose parent rock grain sizes would be the

1 closest before weathering, are the case where the difference in current particle size is the
2 greatest. On the other hand, the basaltic and doleritic saprolites, which are both mafic, appear
3 to have a similar coarse to fine ratio. For the metamorphic saprolites the gradings are
4 surprisingly different given their close location and shared geological origin. When looking at
5 each individual set of data separately, generally the coarser grained materials derived either
6 from igneous or metamorphic rocks show a reduction in the grain size towards the surface (i.e.
7 increasing weathering). In these cases, the fines increase considerably only at very shallow
8 depths. On the other hand, fine grained soils do not appear to show significant changes in their
9 grading. As can be seen in Table 1, these soils are generally well graded, but the gneissic
10 saprolites may become gap graded at the final stages of weathering.

11 In Figure 4b, the natural water contents and liquid and plastic limits are presented. The
12 fines resulting from mafic rocks appear to be more plastic than those from felsic rocks.
13 However, the fine and even more so the clay fraction are small for the majority of these soils.
14 The only exception is the volcanic ash residual soil, which has very high plasticity indeed.
15 Wesley (1973) attributed this to the presence of allophane minerals, which are meta-stable, so
16 that if the soil is allowed to dry the clay content falls below 20%.

17 **Compression Behaviour**

18 *One-dimensional Compression*

19 Figure 5a shows the oedometer tests carried out on intact specimens of the granitic
20 saprolite, where results from K_0 stress-path tests were used to calculate p' . The compression
21 tests for reconstituted specimens, which define the one-dimensional Normal Compression Line
22 (1D-NCL*), are not included here for brevity, but can be found in Rocchi & Coop (2015). With
23 regard to the intact samples (grey lines in Fig. 5a), the more weathered unit (sh ewCDG) has
24 an extremely gradual yield, while the less weathered unit (HDG) only begins to yield at the
25 maximum stress applied. The change of the 1D-NCL* location in the volumetric plane with

1 weathering is shown in Fig. 1b and 1c, relating its intercept at 1kPa N_0 and its slope λ to depth,
 2 as was already done for the physical properties. Both parameters generally increase towards
 3 the surface, but are lowest close to the ground surface. Figure 1b also shows the specific volume
 4 in situ (v_0), which is approximately constant and rather low at depth, resulting in very low
 5 compressibility for the HDG. The v_0 values then increase towards the surface following a
 6 consistent trend despite some locally more weathered units. This is responsible for the larger
 7 compressibility of the sh ewCDG.

8 The 1D-NCL* moves upwards in the volumetric plane also for the doleritic saprolite
 9 presented for comparison, as both N_0 and λ increase towards the surface based on the
 10 oedometer tests carried out on reconstituted samples D1 and D4 (thick lines in Fig. 6a).
 11 However, a direct comparison is not easy as the depth ranges covered by the granitic and
 12 doleritic saprolites overlap only slightly. Both N_0 and λ have higher values for the doleritic
 13 saprolite, due to a finer particle size and higher plasticity, although this soil is better graded.
 14 Due to the same reasons, the intact doleritic saprolite also has larger v_0 in Fig. 1b. After an
 15 initial increase in the early stages of weathering, v_0 is rather constant along the profile. Higher
 16 v_0 values cause the doleritic saprolite to yield rather sharply compared to the granitic saprolite
 17 during compression (Fig. 6a).

18 Figure 6b compares the tests for the intact doleritic saprolite samples in the Void Index
 19 plane, as defined by Burland (1990). The void index ($I_v=(e-e^*_{100})/c_c^*$) normalises the
 20 compression curves of intact samples with respect to the 1D-NCL* gradient (c_c^*) and its
 21 intercept at 100kPa (e^*_{100}), when a $\log\sigma'_v$ rather than a $\log p'$ axis is used, and where the *
 22 indicates that it is the NCL for the reconstituted soil. This line, called the ICL by Burland, has
 23 been assumed to be straight over the relatively narrow range of stresses used in the tests, not
 24 curved as assumed by Burland for clays over a wider stress range. The tests for the doleritic
 25 saprolite in Fig. 6b cross the ICL, which indicates positive effects of structure. As oedometer

1 tests were carried out only for samples D1 and D4 on reconstituted specimens, it was assumed
2 that the $1D-NCL^*$ was the same for samples D3 and D4, based on their gradings and Atterberg
3 limits and similarly for samples D1 and D2. Yielding occurs at around the overburden pressure
4 and then, at least to some extent, the curves converge towards the ICL. Sample D2 travels the
5 greatest distance outside the ICL, therefore showing the greatest effects of structure, but after
6 yielding the slope of the compression path is the steepest indicating the greatest structure
7 degradation. This large difference might be to some extent an artefact of using the same ICL
8 for samples D1 and D2, despite some differences in their physical properties.

9 To quantify the effects of structure the stress sensitivity S_σ as defined by Cotecchia &
10 Chandler (2000) was used. This is defined as the ratio between the yield stress (σ'_y) and an
11 equivalent pressure (σ'^*), which is taken as that pressure on the ICL which has the same specific
12 volume as that on the intact compression path at yield σ'_y . As the soil presents a positive effect
13 of structure $S_\sigma > 1$ and high S_σ indicate a highly metastable and possibly cemented state in situ..
14 In Fig. 1e S_σ values have a rather limited range, except sample D2 for the reasons already
15 mentioned. S_σ is overall only slightly larger for the doleritic saprolite than for the granitic
16 saprolite, for which selected normalised results are showed in Fig. 7a that compares the granitic
17 and doleritic saprolites in the Void Index plane. Only samples D1 and D4 are included here to
18 avoid overcrowding and because reconstituted tests were carried out on these samples, making
19 their data interpretation more reliable. The data from Wesley (1990) and Cafaro & Cotecchia
20 (2001) are also presented for comparison, but the original curves in a traditional volumetric
21 plane are not included here for brevity, as they are available elsewhere.

22 Examining Fig. 7a it appears that the granitic saprolite is the most susceptible to the
23 effects of weathering, as the initial I_v values cover the widest range. This difference might be
24 justified upon comparison with the doleritic saprolite due to a wider range of depth being
25 investigated. However, the effect is very large indeed compared to the sedimentary clay tested

1 by Cafaro & Cotecchia (2001), where the samples were also taken several metres apart. This
2 may suggest that the coarser the soil, the greater the effect of weathering. No comment can be
3 made in this regard for the volcanic ash residual soil, as oedometer tests were only available
4 for one weathering degree.

5 The more weathered granitic saprolite (sh ewCDG) and the doleritic saprolite have
6 similar I_v initially and plot close to the ICL. However, yielding is much more gradual and starts
7 earlier for the sh ewCDG. In addition after reaching beyond the ICL, the sh ewCDG curve
8 remains parallel to it. On the other hand, the doleritic saprolite has a clearer yield and converges
9 slightly onto the ICL afterwards, which indicates a micro-structure that is more easily broken
10 down by strain. The volcanic ash residual soil is the only soil with an initial state that plots
11 above the ICL and shows a sharp yield point to then converge quickly onto the ICL. When
12 comparing these results with the behaviour of the sedimentary clay, it can be seen that both the
13 weathered and fresh clay, termed the yellow and grey clay by Cafaro & Cotecchia (2001),
14 typically have a much lower in-situ value of I_v . The amount by which they reach out beyond
15 the ICL is similar to other soils in that region of I_v and similarly the compression paths remain
16 parallel to the ICL after yield. The least weathered granitic saprolite (HDG), whose starting
17 point is the furthest from the ICL, only just starts to yield at the highest stress achieved,
18 therefore showing the greatest effects of structure. Except for the HDG, yielding occurs around
19 the overburden pressure, but there is no full convergence to ICL within the stress level tested.
20 In most cases, the curves actually remain parallel to the ICL and overall, for igneous saprolites
21 the finer the soil the sharper the yield and the greatest the convergence towards the ICL. In
22 addition, for the igneous saprolites I_v is higher for the most weathered samples and the
23 compression curves reach further beyond the ICL, while the opposite is true for the sedimentary
24 clay. Sensitivity values were also compared for all these soils, but will be discussed later
25 together with results from isotropic compression.

1 As in many cases the focus was on triaxial tests, a modified version of the normalising
2 parameter v_n proposed by Coop & Cotecchia (1995) was also used to interpret the data, but
3 using the Critical State Line (CSL) as reference. This parameter is defined as the current
4 distance from the CSL on a $\ln v : \ln p'$ plane, so that $v_{n,CS} = \exp((\ln(v) - \Gamma) / \lambda)$, where Γ is the CSL
5 intercept at 1kPa on a $\ln v : \ln p'$ plane and λ its slope. The CSL was used here as a reference line
6 because the isotropic NCL* was not available for most cases. Furthermore, the CSL was
7 assumed to be straight and parallel to the 1D-NCL* within the pressure ranges tested/analysed.
8 Besides generally providing a good fit, this assumption simplified the normalisation. When
9 using the CSL as a reference, the usual definition of positive and negative effects of structure,
10 according to whether or not the ICL is crossed loses meaning, since the compression path must
11 cross the CSL, provided sufficient stress is applied. However, the magnitude of structure can
12 be measured using the maximum distance from the CSL. This is independent of the spacing
13 between the NCL and CSL, which can change with the soil type. In Fig. 5b the oedometer tests
14 obtained for the granitic saprolite are interpreted in terms of $v_{n,CS}$. The compression paths of
15 the sh ewCDG remain approximately parallel to the CSL after yield, while the HDG again only
16 just starts to yield at the maximum stress achieved, similarly to the I_v plot (Fig. 7a).

17 In Figure 8a and b the one-dimensional compression tests (samples T04, T02, P01, P02,
18 P03, P04 and P05) are shown for the gneissic saprolite with dashed lines in a traditional and in
19 a normalised plane, respectively, where results from K_0 stress-path tests were used to calculate
20 p' . There does not really seem to be any trend linking the initial $v_{n,CS}$ values with weathering
21 degree, but generally the starting location is on the left hand side of CSL and the compression
22 paths reach a clear yield within the pressure range tested. After yield the compression paths
23 usually have a slope greater than the CSL, but converge only slowly with it, indicating a slow
24 rate of destructuration. When considering only the most (T04) and least (P05) weathered
25 samples, the former shows signs of yield earlier and more gradually. After crossing the CSL,
26 the curve remains parallel and very close to it. Sample P05 instead yields after the in situ stress

1 and moves further beyond the CSL. A difference in soil fabric could be the explanation, as v_0
2 in Fig. 2b is lowest at depth, increases until about 10m depth and then remains approximately
3 constant up to the soil surface. Note that the horizontal step in v_0 is due to samples P01 and
4 T02 having the same depth with respect to the original excavation front. The gneissic saprolite
5 studied by Futai et al. (2004), which covers a more limited depth range, also has rather constant
6 v_0 in a similar range, but experiences a larger increase close to the soil surface (Fig. 2b). The
7 compression results for this soil will be discussed later as only isotropic tests were available.

8 The tests on the gneissic and granitic saprolites are compared in Fig. 7b, where only
9 samples T04 and P05, are included for clarity. The distance between the curves of different
10 weathering degrees remains the largest for the granitic saprolite. For both saprolites the more
11 weathered samples have higher $v_{n,CS}$ and yield more gradually, at around the overburden
12 pressure. On the other hand, the less weathered samples reach further beyond the CSL and
13 yield at stresses larger than the overburden pressure. In all cases, the compression paths remain
14 parallel to the CSL after yield. For the sedimentary clay studied by Cafaro & Cotecchia (2001),
15 $v_{n,CS}$ is higher for the least weathered sample and for both weathering degrees yielding occurs
16 at stresses much higher than the overburden pressure.

17 *Isotropic Compression*

18 The isotropic compression tests on the granitic saprolite are shown in the traditional and
19 normalised planes in Fig. 5a and b, respectively. Similarly to the oedometric tests, the curves
20 show a very gradual yield that appears to be still ongoing at the maximum stress reached and
21 therefore S_σ values cannot be calculated in this case. The data for the gneissic saprolites are
22 presented in Fig. 8a and 8b and show a clearer trend compared to the oedometer tests. In
23 particular, the shallowest sample (P01) plots higher and reaches a clear yield within the
24 pressure range tested, but this is not so for the deepest sample (P05). In Fig. 8b all samples
25 yield well after the overburden pressure and only sample P03 shows some convergence towards

1 the CSL. As already mentioned, v_0 is in the same range for the gneissic saprolite tested by Futai
2 et al. (2004) and upon comparison with the results shown in Fig. 9a and b, the behaviour in
3 compression is similar. As larger stresses were reached, both weathering degrees show clear
4 yield, but slightly more gradually for the least weathered sample (HCG) and again the most
5 weathered sample (HBG) plots above. In Fig. 9b it is clear that yielding is well after the
6 overburden pressure and the degree of convergence towards the CSL is very limited. Figure 2e
7 compares S_σ values for the gneissic saprolites. For the gneissic saprolite studied here, S_σ
8 generally reduces towards the surface, while the opposite is true for the other gneissic saprolite.

9 Figure 10a and b show the isotropic compression tests for the basaltic saprolite. The
10 tests on the weak basalt (WB) have similar v_0 , except for the rogue value marked with a
11 question mark, which does not reach the same CSL as identified by the other tests. All these
12 tests show a clear yielding point in compression and thereafter the curves maintain constant
13 slopes, showing a stable form of structure that cannot easily be broken down to give
14 convergence. The strong basalt (SB) has lower and slightly more dispersed v_0 values and only
15 the test that reached the maximum pressure shows signs of yielding. When looking at the
16 normalised data, the WB yields at around the overburden pressure. In contrast, the deeper and
17 less weathered SB does not show any sign of yield within the overburden pressure range. Again
18 the more weathered sample plots above, but yield this time is sharper for the least weathered
19 sample (SB).

20 The isotropic compression tests are compared in a normalised plane using $v_{n,CS}$ in Fig.
21 11, where selected tests have been included for each soil and only samples P01 and P05 from
22 Fig. 8b for the gneissic saprolite. The difference between the most and least weathered samples
23 is greatest for the granitic saprolite and smallest for the gneissic saprolite tested by Futai et al.
24 (2004), the basaltic saprolite being an intermediate case. For both igneous and gneissic
25 saprolites, the more weathered samples initially plot in the same area to the left of the CSL. All

1 of them start to experience yielding at around the overburden pressure and do not converge
2 towards the CSL afterwards. The finer grained basaltic saprolite shows the sharpest yield.

3 The initial range of $v_{n,CS}$ values is very variable for the less weathered samples, but
4 always below that of the more weathered samples. The granitic saprolite has the lowest $v_{n,CS}$
5 initial value and the gneissic saprolite studied by Futai et al. (2004) the highest. The gneissic
6 saprolites just begin to yield within the overburden pressure range, but not the igneous
7 saprolites. Again the basaltic saprolite shows the sharpest yield. In contrast, the least weathered
8 sample plots above but relatively close to the more weathered sample of the sedimentary clay
9 studied by Cafaro & Cotecchia (2001) and both samples yield at stresses much larger than the
10 overburden pressure. These trends can be roughly explained by examining v_0 in Fig. 4c. There
11 v_0 follows an overall trend for the saprolites increasing towards the surface, ignoring local
12 fluctuations. Furthermore, the finer soils tend to have higher overall values. However, v_0 does
13 not change significantly for the sedimentary clay and reduces slightly with weathering.

14 Figure 4d illustrates the effects of structure using again S_σ , where grey symbols
15 distinguish oedometer results from the isotropic compression data. When calculating S_σ on the
16 $v_{n,CS}$ plane, the values obtained are naturally slightly larger than those based on I_v , because the
17 equivalent pressure is taken on the CSL, but the overall trends are unchanged. The S_σ values
18 are also slightly lower for isotropic compression tests as seen from the gneissic saprolite, which
19 however has a rather erratic behaviour. The basaltic saprolites reach the greatest S_σ , as the
20 doleritic sample D2 was excluded from Fig. 4d because it showed suspiciously high values. As
21 mentioned above, the HDG only started to yield at the maximum stress applied and this results
22 in it not being possible to calculate S_σ , as it relies on there being a yield point in the compression
23 path. Gasparre & Coop (2008), who tested sedimentary clays, pointed out that an apparent
24 small effect of structure may be to some extent an artefact of the normalisation used and does
25 not truly reflect a weaker structure. Indeed such approach does not account for the initial

1 distance from the ICL when calculating the effects of structure. In all cases where it was
 2 possible to calculate S_{σ} , this is larger than 1 and therefore the effects of structure are positive.
 3 Compared to the sedimentary stiff clay tested by Cafaro & Cotecchia (2001), the effects of
 4 structure observed are at least similar or in some cases greater. It is not entirely clear whether
 5 S_{σ} actually increases towards the surface for the saprolites, but for the sedimentary clay S_{σ}
 6 reduces from 2.4 to 1.5 as a result of weathering (Cafaro & Cotecchia, 2001).

7 **Shear Behaviour**

8 Figure 5a shows example CSLs proposed for the sh ewCDG and the HDG. While the
 9 HDG reconstituted and intact specimens identify a unique CSL, this is clearly not the case for
 10 the sh ewCDG, for which the intact specimens identify a CSL shifted from that of the
 11 reconstituted specimens, although the two lines appear to be parallel. A stable fabric is typically
 12 linked to this behaviour (e.g. Cuccovillo & Coop, 1999). While it is clear from Fig. 5a that the
 13 CSL moves upwards in the volumetric plane with weathering, the trend is not as clear when
 14 looking separately at Γ , the intercept at 1kPa on the $v: \ln p'$ plot, (Fig. 1b) or the CSL slope λ
 15 (Fig. 1c), once all the weathering degrees investigated are included. This is because the CSLs
 16 both shift and rotate. However, generally both Γ and λ increase towards the surface and with
 17 weathering. As only oedometer tests were carried out on the doleritic saprolite tested by
 18 Maccarini et al. (1989), λ is compared in Fig. 1c assuming that the 1D-NCL* and the CSL are
 19 parallel and, as already mentioned, it is rather larger for the doleritic saprolite. The M values
 20 (q/p' at critical state) shown in Fig. 1d, which were calculated based on the critical state
 21 strengths reported in Fig. 5c, generally reduce towards the surface and with weathering. Some
 22 local departures from this trend, as observed in Fig. 5c, are to be expected as weathering
 23 progresses preferentially along joints.

24 In Figure 5d the state boundary surfaces (SBS), obtained by normalising the stress paths
 25 in Fig. 5c with reference to stresses taken on the CSL ($p_{CS}^* = 1/v_{n,CS}$) are shown for the HDG

1 and sh ewCDG. Since M changes with weathering degree, q is also normalised by M , which
2 brings the CSL to coordinates 1:1. The normalised stress paths of the reconstituted soils used
3 to derive the intrinsic state boundary surface (SBS^{*}) are not shown for clarity. As can be seen
4 in Fig. 5c tests at high confining stress were carried out only for the sh ewCDG, but from the
5 data available the dry (left) side of the SBS^{*} identified by the HDG appeared to be the same as
6 for the sh ewCDG. Due to the very high pressures required, the wet (right) side of neither the
7 intact or intrinsic SBS could be identified. This results from a separation between the isotropic
8 NCL and CSL that is much greater than for sedimentary soils. The sh ewCDG intact and
9 intrinsic SBSs appear to join at the CSL, while at low p'/p_{CS}^{*} values the intact HDG SBS plots
10 higher than that for the sh ewCDG, showing greater effects of structure.

11 The critical states of each gneissic saprolite sample are indicated in Fig. 8c along with
12 the CSLs chosen. Given the data scatter and the scarcity of tests it was decided to combine data
13 from “adjacent” samples when the points reached at the end of shear were reasonably close
14 together (T02 and T04, P01 and P02, P04 and P05). Given the limited axial strain reached in
15 shear, most samples were still contracting at the end of the tests, except for the tests at low
16 stresses on samples P04 and P05, which were dilating and therefore most likely also did not
17 reach the critical state, because strain localisation would have been probable. The graph shows
18 clearly that the CSL moves upwards in the volumetric plane as weathering progresses, with the
19 exception of the CSL identified by samples P01 and P02 that plots the highest. Again, this trend
20 is not shown as clearly when observing the profiles in Figs. 2b and c, where Γ and λ are plotted
21 with respect to depth, as the CSLs both shift and rotate. The stress paths of each test are not
22 presented in Fig. 8c, as they are conventional drained tests, but the CSLs obtained based on the
23 critical state points presented identify an approximately constant M (Fig. 2d). The normalised
24 shear data for the gneissic saprolites are presented in Fig. 8e, where unfortunately, the test
25 results are not sufficient to draw a SBS for all the four weathering degrees identified. Therefore
26 distinction was only made between samples from the excavation front (T) and the well (P). The

1 SBS for samples P in Fig. 8e is slightly larger than that identified by samples T, which are more
2 weathered.

3 Futai et al. (2004) provided a set of values for Γ , λ and M every 1m along the whole
4 7m profile they investigated. In Fig. 9a the critical state points are shown for the HBG and
5 HCG at 1 and 5m depth, where most tests were concentrated. Some scatter can be observed
6 and the tests at very low pressures tail off towards the horizontal asymptote of the CSL. As a
7 straight CSL is necessary for the purposes of normalisation, the CSLs were not the same as
8 those identified by Futai et al. (2004) as data below 100kPa were disregarded, restricting the
9 analysis to the straighter part at higher pressures. This provided λ and Γ values that were
10 somewhat higher and therefore, only values for 1 and 5m depth, where the data provided justify
11 the values chosen, are included in Figs. 2b-d. Similarly to the gneissic saprolite studied, it could
12 be more reasonable to group results for samples taken at different depths, rather than have a set
13 of parameters every 1m, unless the material were extremely heterogeneous. If only the two
14 depths presented in Figure 9 are taken into account, the λ and Γ values increase with weathering
15 similarly to other soils. Based on the data in Fig. 9c, M was chosen to be the same for HBG
16 and HCG given the data scatter, which is consistent with what is observed for the other gneissic
17 saprolite.

18 The normalised shear data for this gneissic saprolite are presented in Fig. 9d, where it
19 is again evident that the least weathered sample (HCG) has a larger state boundary surface.
20 Based on the isotropic compression tests shown in Fig. 9a, the triaxial test on the HCG having
21 the largest confining stress (800kPa) should have reached the NCL, but the SBS does not seem
22 to be well-defined on the wet side, or else it appears to be highly anisotropic, with a SBS that
23 is quite peaked and asymmetrical in shape, unlike that for the HBG.

24 Figure 10a shows the triaxial test results for the intact basaltic saprolite. As already
25 mentioned, in this case the CSL was taken as a reference, but the gradient of a compression

1 curve of a reconstituted sample, which is not shown, was used as a guide for determining its
2 slope. A unique CSL was chosen in the $v: \ln p'$ plane for the two weathering degrees given that
3 the degree of scatter was such as to render possible divisions doubtful. Again, as only
4 oedometer tests were available for the volcanic ash residual soil used for comparison, λ is
5 compared assuming that the 1D-NCL* and the CSL are parallel. As reported in Fig. 3d λ is
6 much greater than the values encountered for the basaltic saprolite or any of the other soils. In
7 contrast, the critical state ratio M is different for the two samples as can be seen in Fig. 10c,
8 and reduces with weathering (Fig. 3e). The graphical results of the oedometer tests presented
9 by Wesley (1990) for the residual soils from Java are not included for brevity, as their
10 interpretation is rather straightforward. In Figure 10d the SBSs for the basaltic saprolite are
11 presented. The deeper SB SBS is larger in size indicating a greater effect of structure. One test
12 for the WB, which was identified as suspect, has been disregarded in choosing the SBS.
13 Similarly to the gneissic saprolite tested by Futai et al. (2004), the SBS shape is rather different
14 between the two weathering degrees and tends to be anisotropic for the least weathered soil,
15 although to a lesser extent.

16 Figure 12 compares the CSL locations in the volumetric plane that for most of the soils
17 move upwards with weathering, being the highest for the basaltic saprolite, which may hint to
18 finer grading being a possible controlling factor. This trend with weathering is in contrast with
19 the findings for the sedimentary clay studied by Cafaro & Cotecchia (2001), where Γ clearly
20 reduces towards the surface. However, it is unclear whether λ and hence compressibility (since
21 the NCL and CSL are assumed to be parallel) increases as a result of weathering. Nor does λ
22 depend very clearly on the overall grain size. For the sedimentary clay the CSL slope λ
23 remained constant, although if structure degradation is caused by breakage of bonds λ can
24 change. With regard to the critical state stress ratio M (Fig. 4d), this does not seem to be strictly
25 dependent on the soil mineralogy, nor the soil grading in broad terms. However, M generally
26 reduces with increasing weathering.

1 All the SBSs are combined in Fig. 13, where the SBSs of the sedimentary clay studied
2 by Cafaro & Cotecchia (2001) are added for comparison. As reconstituted samples were not
3 tested in shear for the majority of these soils, it is not possible to confirm that they have positive
4 effects of structure, as it is for the sedimentary clay. However, this appears to be confirmed for
5 the granitic saprolite (sh ewCDG) as the parts of the SBSs that can be identified lie outside the
6 SBS* of the reconstituted soil. It should be noted that this is true either if the CSL identified
7 from tests on reconstituted or intact samples is used, which are not the same for the sh ewCDG,
8 but for consistency of interpretation, the CSL identified by intact specimens was used as for
9 the other soils. If the granitic saprolite is disregarded, as the whole SBS could not be identified,
10 in all cases the SBS shapes and sizes are fairly similar for the more weathered materials (open
11 symbols), including the sedimentary clay, the only exception being one of the gneissic
12 saprolites. It is however more difficult to draw conclusions regarding the less weathered
13 samples (solid symbols). The data suggest in all cases a larger size and possibly an anisotropic
14 shape, which is progressively lost as a result of weathering.

15 **Conclusions**

16 Three extensive datasets of laboratory testing on saprolites obtained from igneous and
17 metamorphic rocks having different geological origins were analysed, where both intact and
18 reconstituted samples for at least two weathering degrees were tested. Their physical properties
19 spanned from those of gravel to silty clays, generally with low plasticity. These data were also
20 compared with similar cases from the literature, helping to reinforce some general patterns that
21 may be identified. Overall a reduction in the grain size can be observed with increasing
22 weathering and the particle size distribution of the coarser grained soils can vary from well- to
23 gap-graded, while the finer graded soils do not change significantly in particle size or
24 distribution. Mineral decomposition and the resulting increase in the clay content is substantial
25 only at very shallow depths. As weathering proceeds and the soil grading becomes finer, the in
26 situ specific volume and the location of the normal compression and/or critical state lines

1 generally move upwards in the volumetric plane, but the clay minerals cause the critical state
2 stress ratio (or angle of shearing resistance) to reduce. When longer profiles are considered,
3 local departures from this trend can be observed, as weathering typically does not proceed
4 monotonically with depth, especially where jointed structures are present such as in granites.
5 On the other hand, sometimes the variation is not particularly clear if only a short profile is
6 considered.

7 The effects of weathering on the intact soil mechanical properties were studied
8 comparing the intact behaviour in compression and shearing of samples having different
9 weathering degrees. To account for the different soil properties, the tests on the intact soil were
10 first normalised relative to their intrinsic behaviour, i.e. using tests on reconstituted samples or
11 properties at the critical state. In some cases, the intact CSL was used as a reference to assess
12 the effects of structure if tests on reconstituted soils were not available. For all the case studies,
13 positive effects of structure were observed. During compression, yield did not always occur
14 around the overburden pressure, but often at higher stresses and frequently a constant slope
15 was observed afterwards, indicating robust effects of structure that are difficult to break down
16 by straining. This is similar to what is observed for stiff clays (e.g. Hosseini Kamal et al., 2014),
17 where fabric dominates behaviour, but unlike softer clays or weak sandstones (e.g. Smith et
18 al., 1992; Coop & Atkinson, 1993) where bonding dominates, for which there is rapid post-
19 yield convergence. In shear, the SBS size reduced with weathering and in cases of high
20 anisotropy, this was reduced with weathering.

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Table 1 Summary of the soils properties, tests and effects of weathering for the weathered soils studied. Note: U stands for unknown, R for reconstituted, I for intact, Incr for increasing, Decr for decreasing and n.d. for not determined. *Data from Maccarini et al. (1989), +data from Futai et al. (2004) and †data from Wesley (1973, 1990)

Soil description	Weathering grade	Acronym	Depth (m b.g.l.)
extremely weak CDG	V	sh ewCDG	6.5-12 (BHA)
extremely to very weak CDG	V	evwCDG	12-20.5 (BHA)
extremely weak CDG	V	dp ewCDG	20.5-24 (BHA)
HDG	IV	HDG	24-27 (BHA) and 5.8-6.3 (BHB)

Table 2 Depths of the samples of Hong Kong granitic saprolite tested and the acronyms used.

Note: CDG stands for Completely Decomposed Granite, HDG for Highly Decomposed Granite and BH for borehole

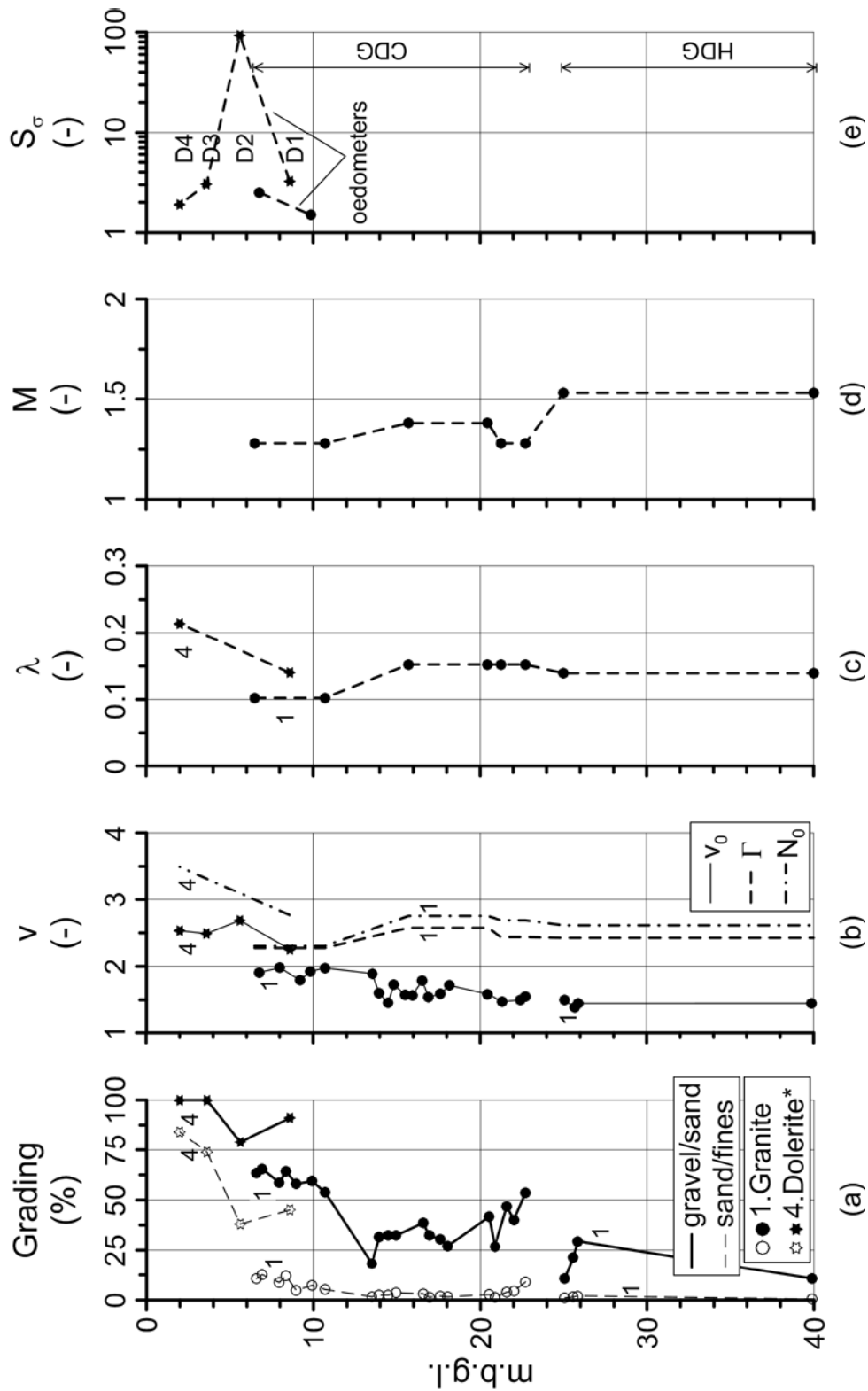


Figure 1: Comparison between the physical and mechanical characteristics of a granitic saprolite from Hong Kong (1) and a doleritic saprolite from Brazil (4). *Data from Maccarini et al. (1989)

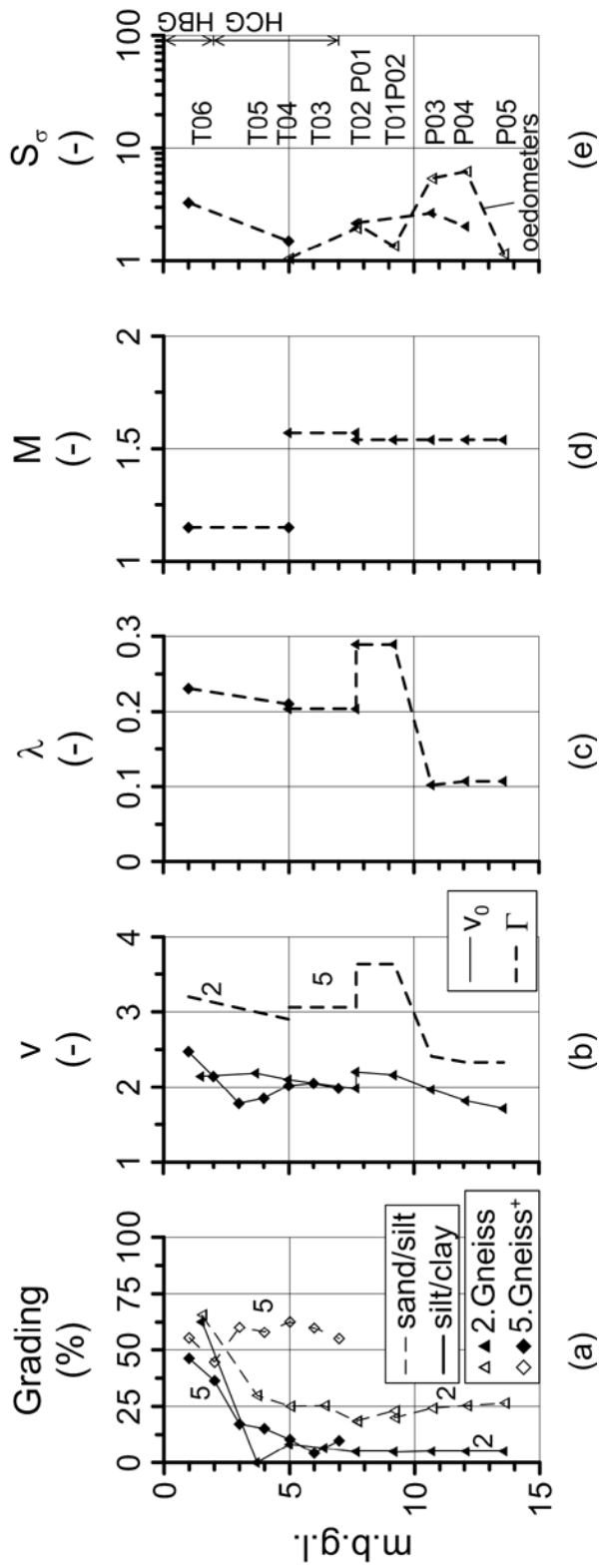


Figure 2: Comparison between the physical and mechanical characteristics of two gneissic saprolites from Brazil. ⁺Data from Futai et al. (2004)

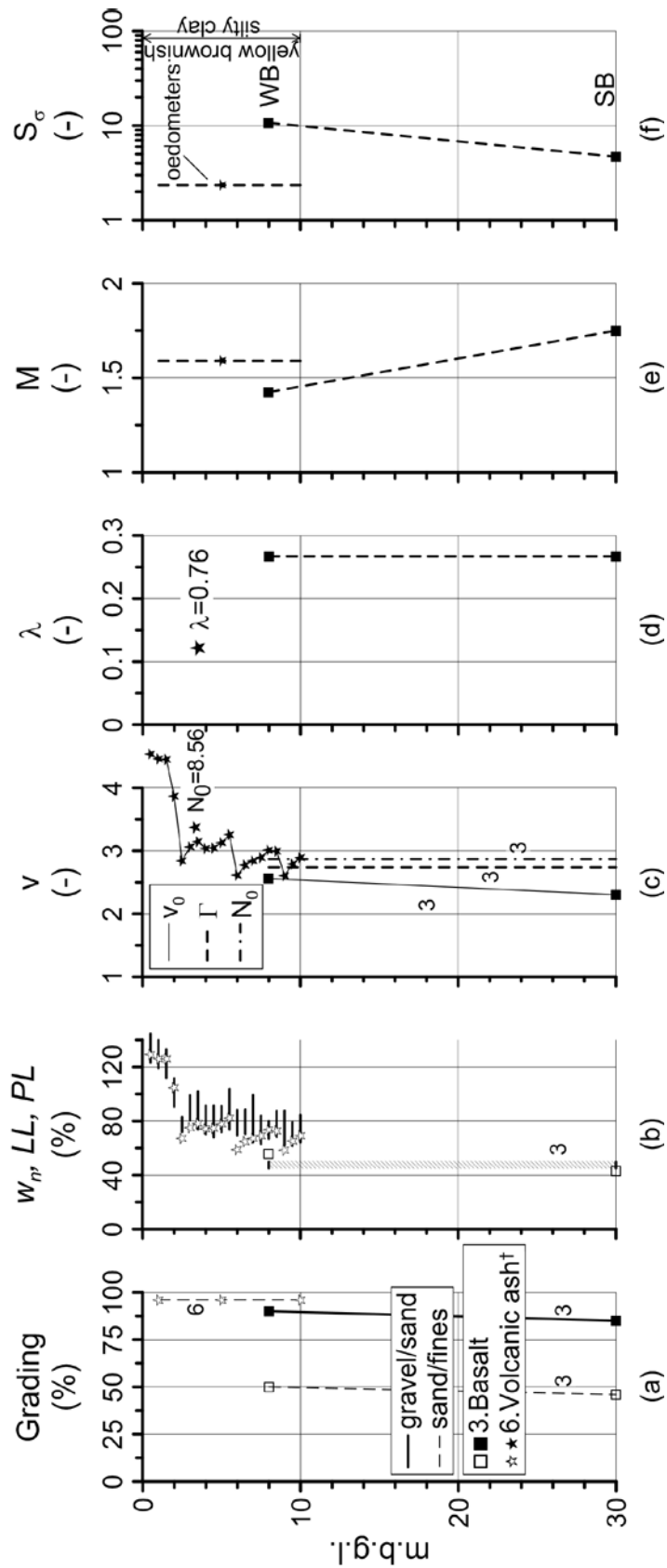


Figure 3: Comparison between the physical and mechanical characteristics of a basalt from Mauritius (3) and a volcanic ash residual soil from Java (6), Indonesia. †Data from Wesley (1973)

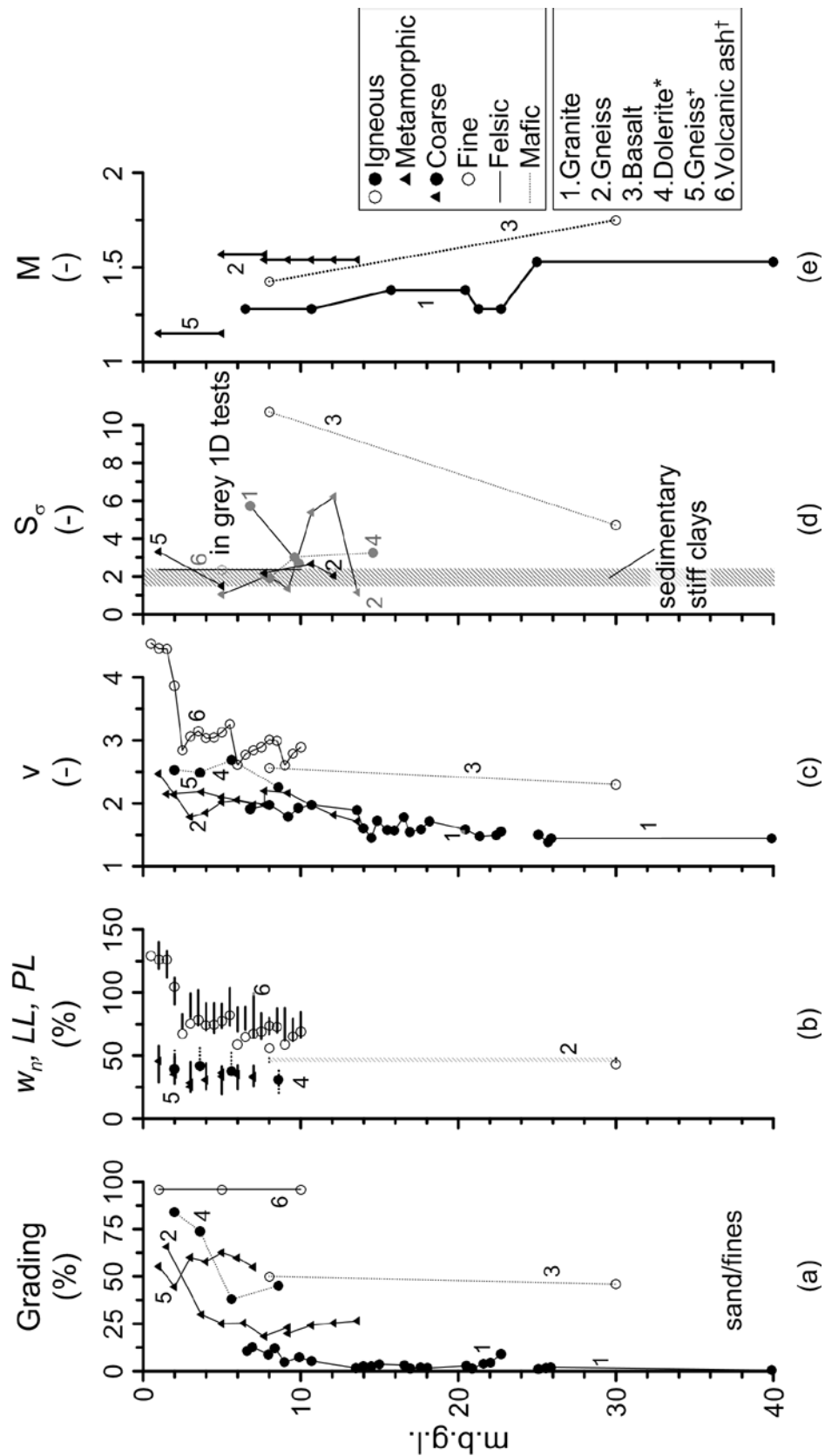


Figure 4: Comparison of physical and mechanical properties for a number of weathered geomaterials. 1.Granite, 2.Gneiss, 3.Basalt, 4.Dolerite (*data from Maccarini et al., 1989), 5.Gneiss (†data from Futai et al., 2004), 6. Volcanic ash residual soil (†data from Wesley, 1973)

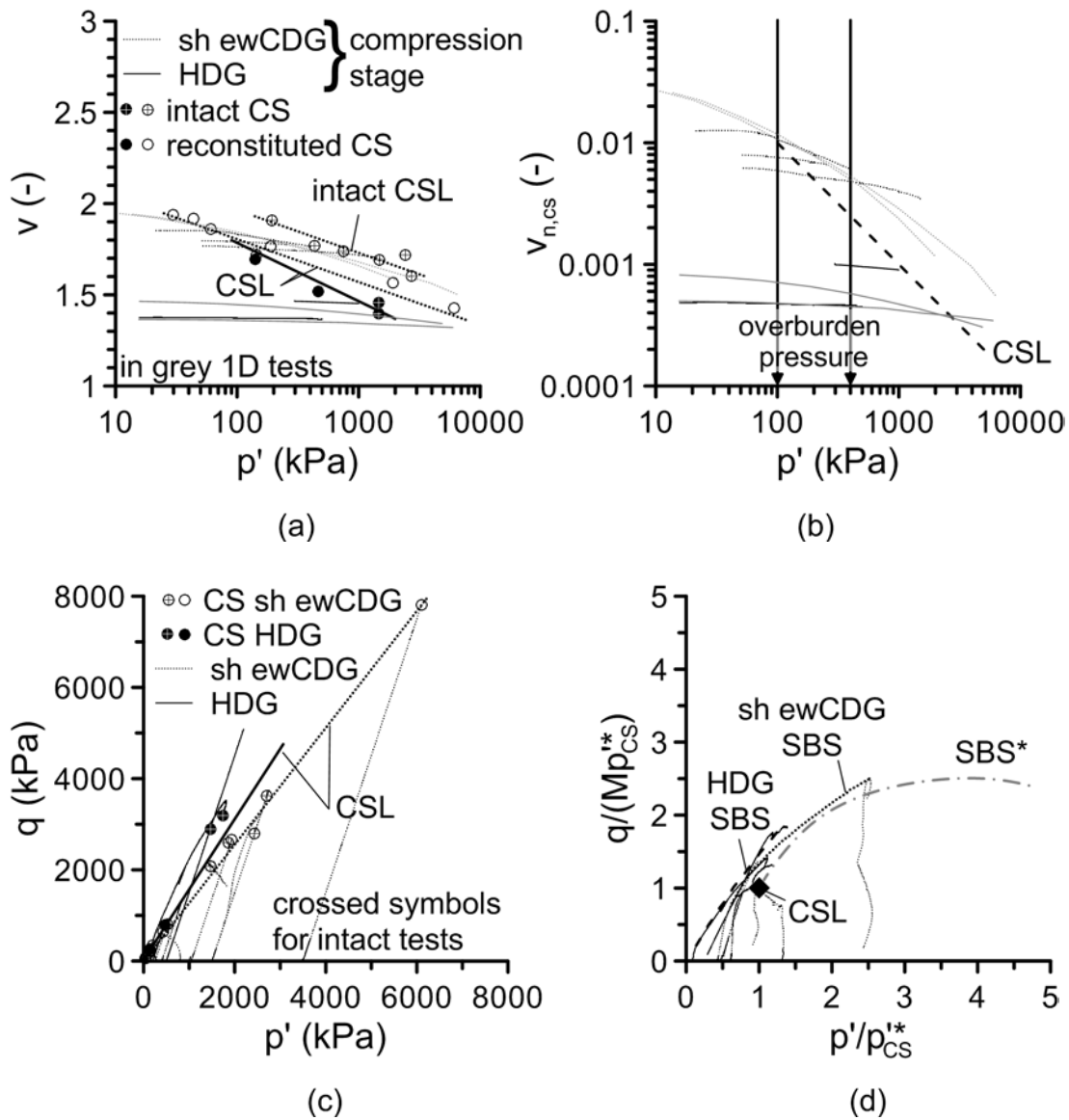


Figure 5: Granitic saprolite: (a) isotropic and 1D- compression tests on intact samples and CSLs, (b) normalised compression tests, (c) triaxial tests and CSLs and (d) SBSs (data as in Rocchi & Coop, 2015)

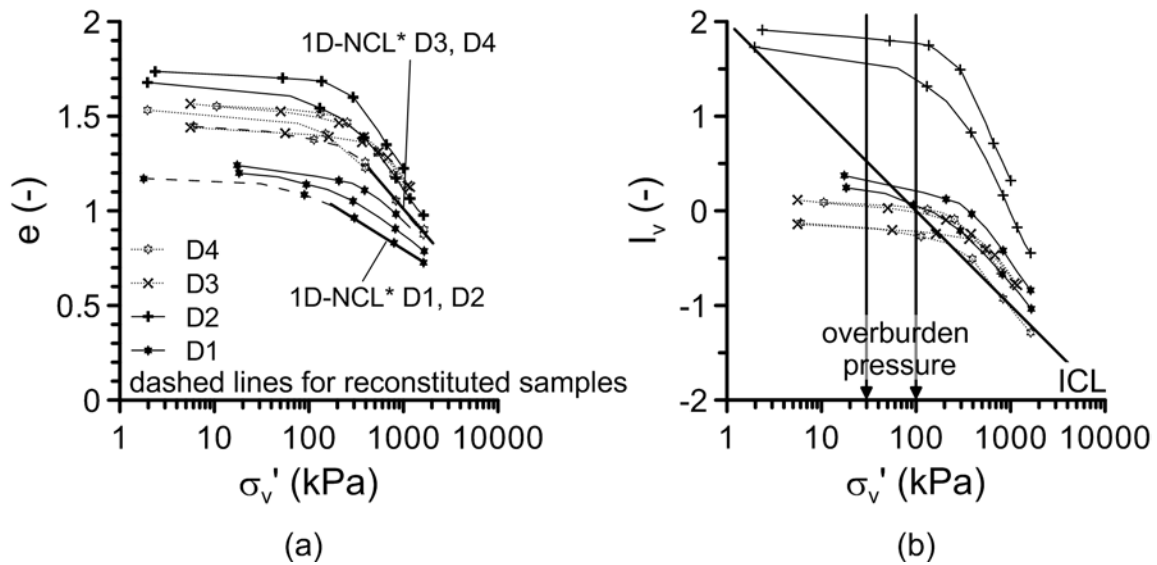


Figure 6: Doleritic saprolite: (a) 1D-compression tests on intact samples and 1D-NCL* and (b) normalised compression tests (data from Maccarini et al., 1989)

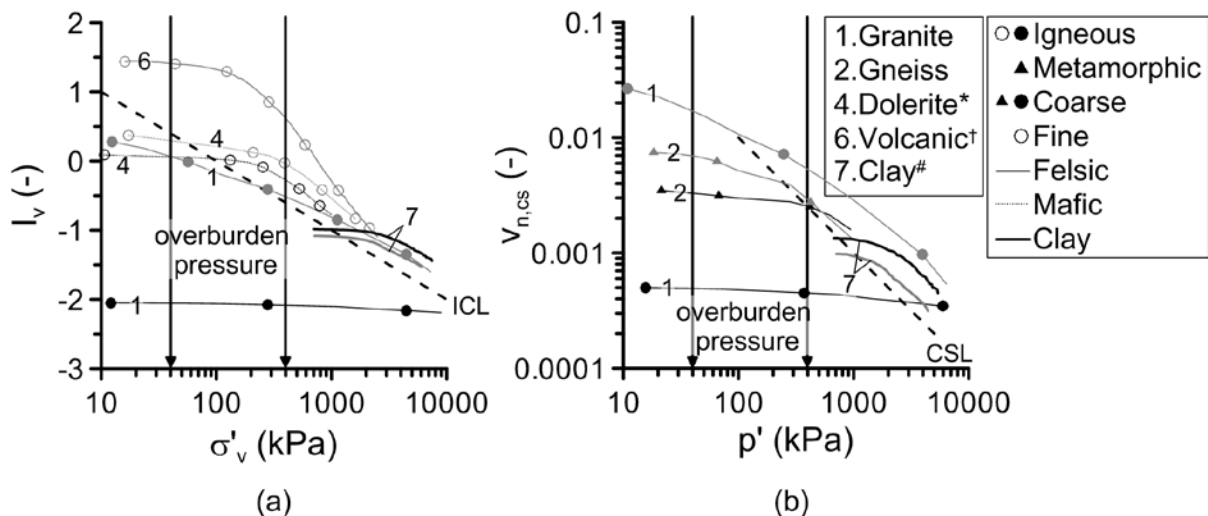


Figure 7: Comparison of the normalised one-dimensional compression tests for a number of weathered geomaterials. 1. Granite, 2. Gneiss, 4. Dolerite (data from Maccarini et al., 1989), 5. Gneiss ([†]data from Futai et al., 2004), 6. Volcanic ash residual soil ([†]data from Wesley, 1990) 7. Clay ([#]data from Cafaro & Cotecchia, 2001). (a) Void Index plane and (b) $v_{n,CS}$ plane.

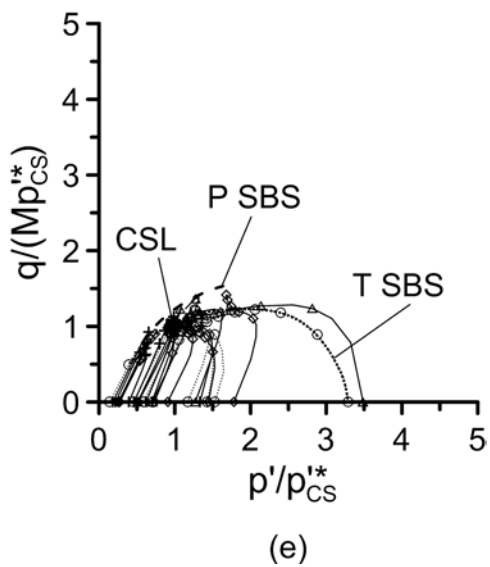
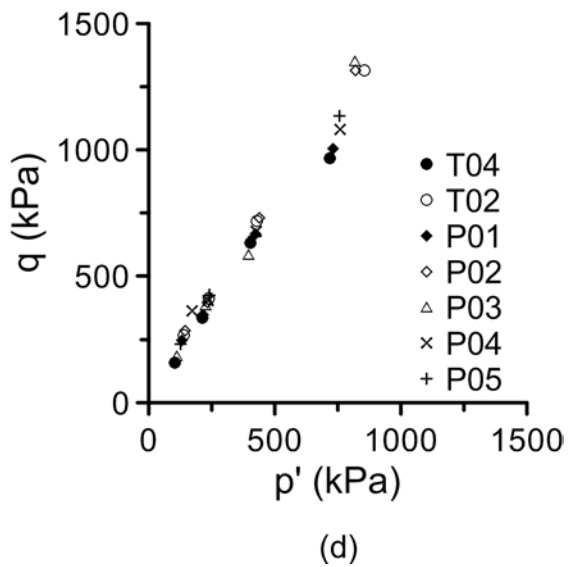
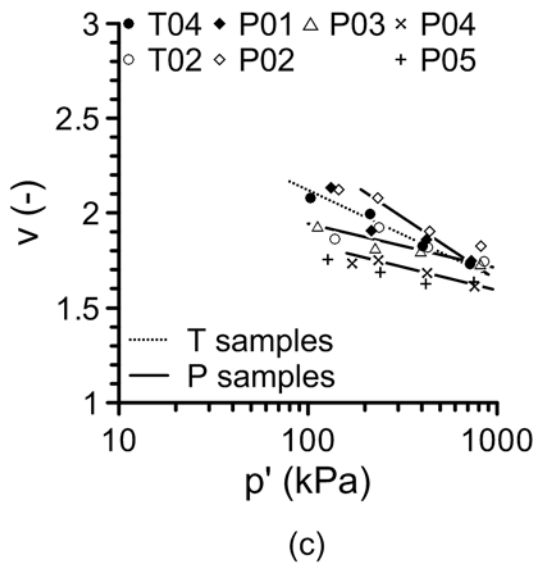
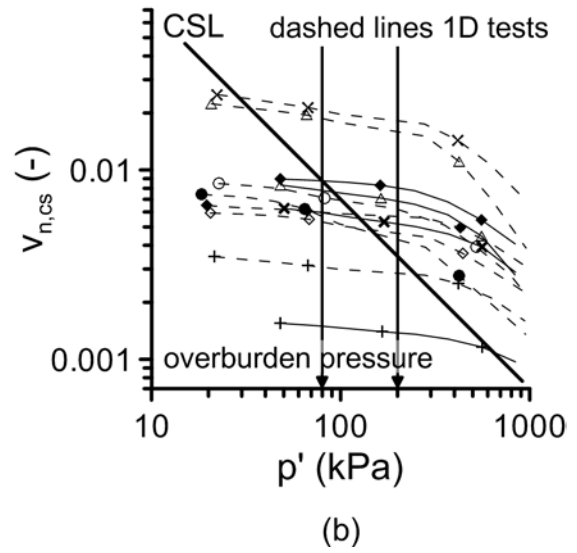
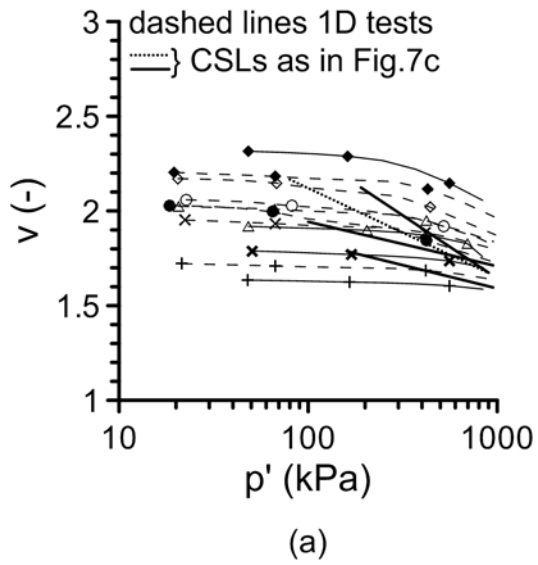


Figure 8: Gneissic saprolite: (a) critical states and CSLs (b) isotropic and 1D- compression tests, (c) critical states in a stress plane, (d) normalised isotropic and 1D- compression tests and (e) SBS (data from Maccarini, 1987)

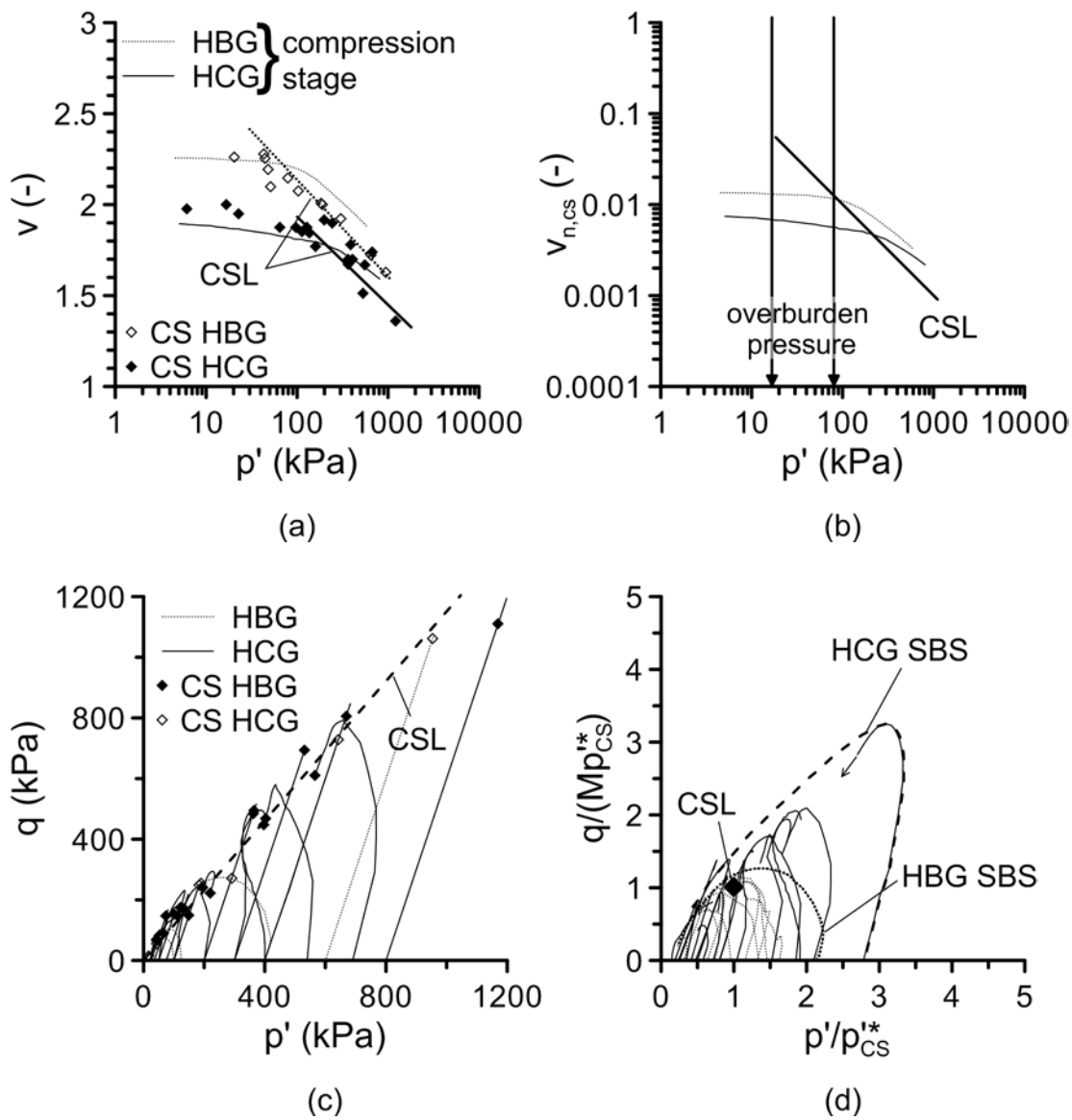


Figure 9: Gneissic saprolite: (a) isotropic compression tests on intact samples and CSLs, (b) normalised isotropic compression tests, (c) triaxial tests and CSLs and (d) SBSs (data from Futai et al., 2004)

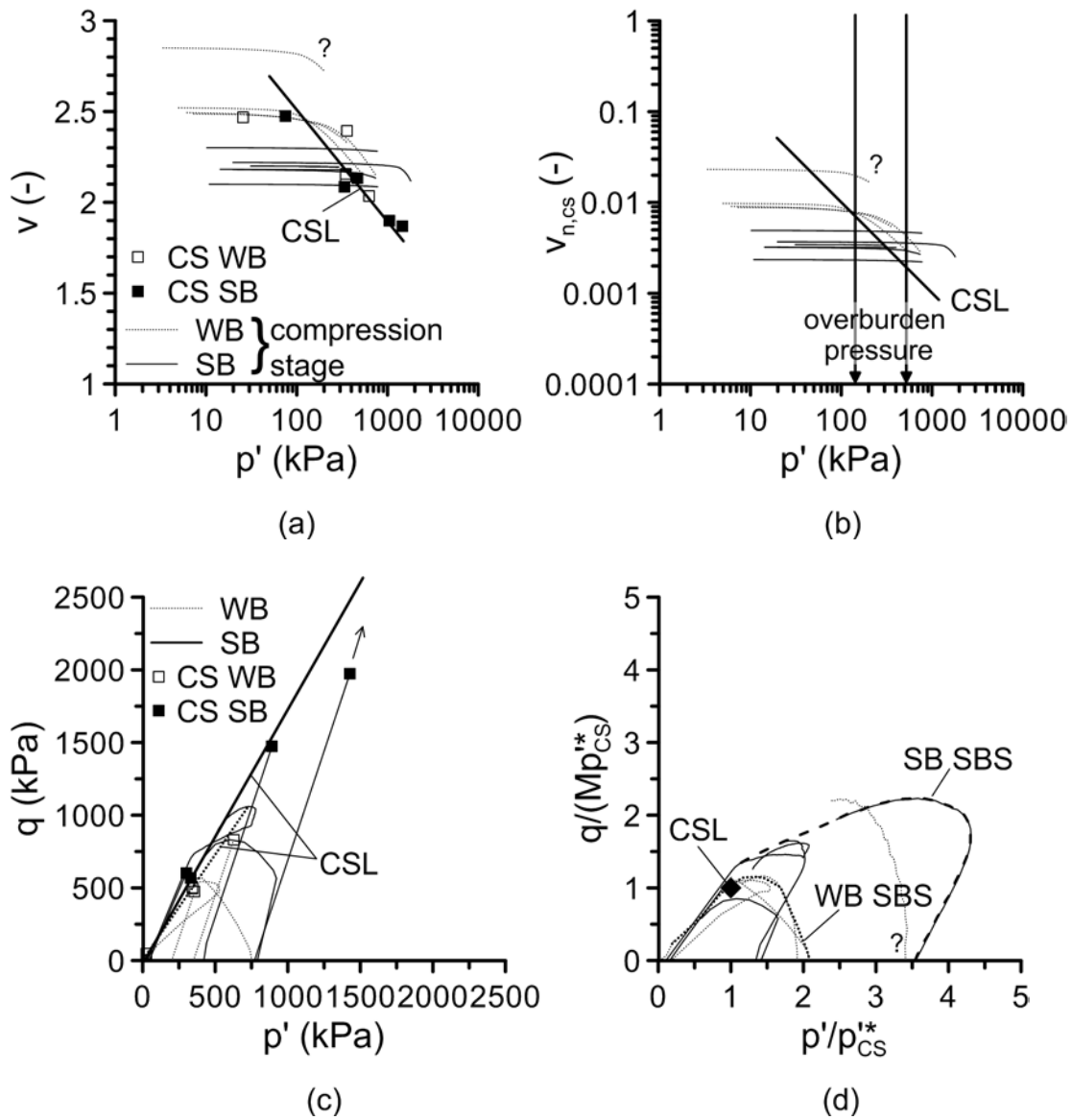


Figure 10: Basaltic saprolite: (a) isotropic compression tests on intact samples and CSLs, (b) normalised compression tests, (c) triaxial tests and CSLs and (d) SBSs (data from Maccarini, 1987)

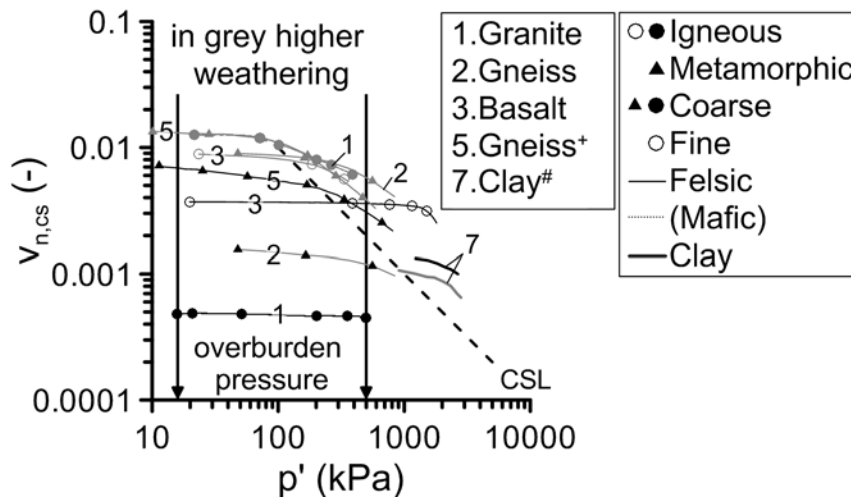


Figure 11: Comparison of the normalised isotropic compression tests for a number of weathered geomaterials. 1.Granite, 2.Gneiss, 3.Basalt, 5.Gneiss (+data from Futai et al., 2004), 7.Clay (#data from Cafaro & Cotecchia, 2001).

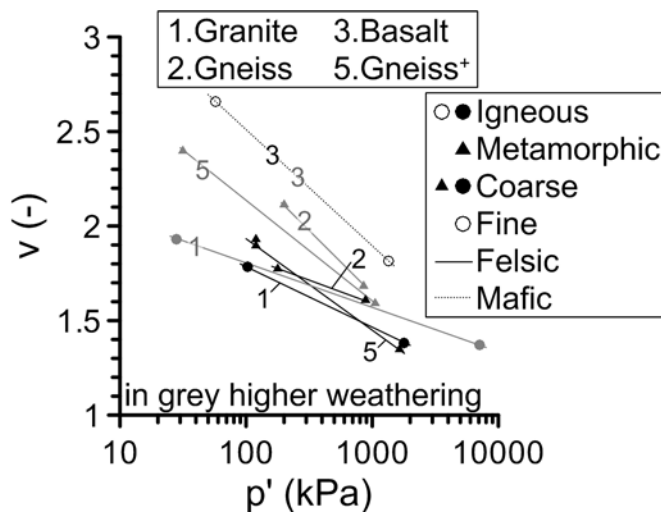


Figure 12: Comparison of the CSLs for a number of weathered geomaterials. 1.Granite, 2.Gneiss, 3.Basalt, 5.Gneiss (+data from Futai et al., 2004).

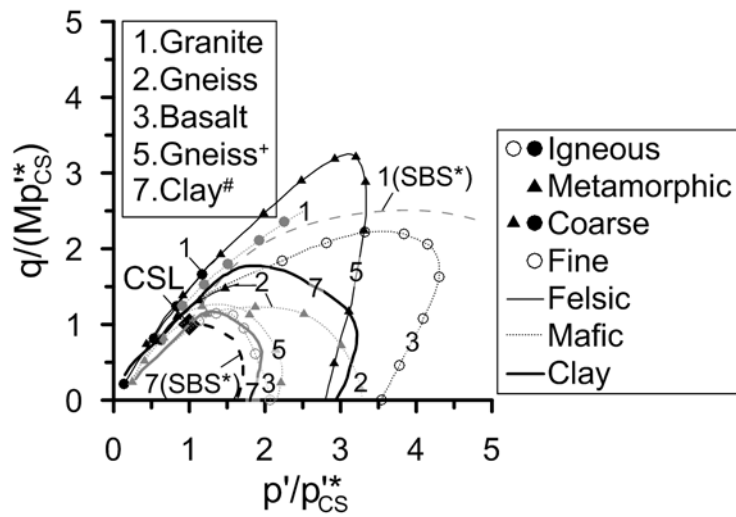


Figure 13: Comparison of the SBSs for a number of weathered geomaterials. 1.Granite, 2.Gneiss, 3.Basalt, 5.Gneiss (+data from Futai et al., 2004), 7.Clay (#data from Cafaro & Cotecchia, 2001).