

1 **Key words**

2 interviews, population monitoring, illegal killing, ivory, logging, Central Africa

3

4 **Introduction**

5 African forest elephants (*Loxodonta africana cyclotis*) are in danger. Data analysed by the

6 Convention on International Trade in Endangered Species (CITES) Elephant Trade

7 Information System (ETIS) and the Monitoring the Illegal Killing of Elephants (MIKE)

8 programmes demonstrate that the illegal trade of ivory is escalating (Milliken et al. 2009)

9 and that offtake is higher than calculated sustainable levels (CITES, 2015). 2013 was the

10 worst year on record for ivory seizures, with almost 50 tons of ivory seized (Vera et al.

11 2014). Central Africa remains the sub-region with the highest poaching pressure on the

12 continent (CITES, 2015). While forest elephants are taxonomically and functionally unique,

13 IUCN recognises one species of African elephant *Loxodonta africana*, for which the overall

14 redlist assessment is Vulnerable. Yet, due to the marked geographic variation in threat, a

15 regional assessment lists the central African forest elephant as Endangered (Blanc et al.

16 2007).

17

18 Population status and distribution assessments are required to set goals and measure the

19 effectiveness of management actions (Blanc et al. 2007). Several studies have addressed

20 forest elephant distribution and status (Schuttler et al. 2012; De Boer et al. 2013; Maisels et

21 al. 2013). Yet, due to their cryptic nature, large range within dense forest habitat, and low

22 encounter rates, monitoring their distribution and trends remains a serious challenge

23 (Hedges, 2012). Currently, transect surveys of dung density are the most widely used

1 method. This is arduous and so has been applied to a relatively small part of the species'
2 range, leaving large uncertainties (Barnes, 1997; Blake et al. 2007).

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4 Given these constraints, the scale of forest elephant decline in Central Africa has been
5 difficult to quantify. This lack of information is a key concern for conserving the sub-species
6 (Karanth et al. 2003; Blake & Hedges, 2004; Sutherland et al. 2004; Blake, 2005; Blanc et al.
7 2007). With 51% of the country's potential range unmonitored, it is vital that Cameroon's
8 forests are surveyed to address this knowledge gap, resolve uncertainty and guide
9 conservation action.

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11 There is great potential for local ecological knowledge to assess forest elephant status and
12 distribution by rapidly gathering data over areas and timescales that cannot be tackled using
13 conventional surveys (Danielsen et al. 2005; Jones et al. 2008; Service et al. 2014; Turvey et
14 al., 2013, 2015; Mohd-Azlan et al. 2013). As local people often frequent large areas that are
15 relatively inaccessible (Service et al. 2014), the likelihood of obtaining species encounter
16 records can be substantially increased by questioning locals, which is especially useful for
17 wide-ranging and elusive species (Service et al. 2014; Turvey, 2013, 2015). Local ecological
18 knowledge can also help to better understand species threats (Abram et al. 2015), resulting
19 in faster decision-making (Danielsen et al. 2010) through increased dialogue (Beland et al.
20 2013; Mohd-Azlan 2013).

21

22 Much published work shows that data collected from local knowledge and conventional
23 methods are comparable (Parry & Peres, 2015; Pan et al. 2015; Danielsen et al. 2005,
24 Turvey et al. 2013, Jones et al. 2008; Meijaard et al. 2011). While all methods are

1 susceptible to biases and uncertainties, it is important to understand these biases to control
2 for them (Jones et al. 2008; Danielsen et al. 2000). Observer and biophysical variables are a
3 concern for most conventional population monitoring methods (Buckland et al. 2001; Nuno
4 et al. 2013; Sethi et al. 2005). Characteristics of observers such as age (Turvey et al, 2010) or
5 experience (Cerqueira et al. 2013) can influence their ability to accurately detect a species.
6 Furthermore, respondent biases, for example driven by social norms, can cause deception
7 or unconscious distortion of responses (Moller et al. 2004). For example, Lunn & Dearden
8 (2006) showed that fishermen may deliberately overestimate their catch, while Moller et al.
9 (2004) found that local people who are adept at finding a species may overestimate its
10 population size if it is considered common.

11

12 Heterogeneous habitat type (Tracey et al. 2005), survey time (Cerqueira et al. 2013),
13 seasonality (Blanc et al. 2007), or variations in animal abundance (Royle & Nichols, 2003)
14 can influence the effectiveness of population survey methods by affecting species
15 detectability along gradients that may also influence abundance (Sutherland, 2006).

16 Observer and biophysical variables must therefore be controlled for to reach an unbiased
17 estimate of species distribution and relative abundance.

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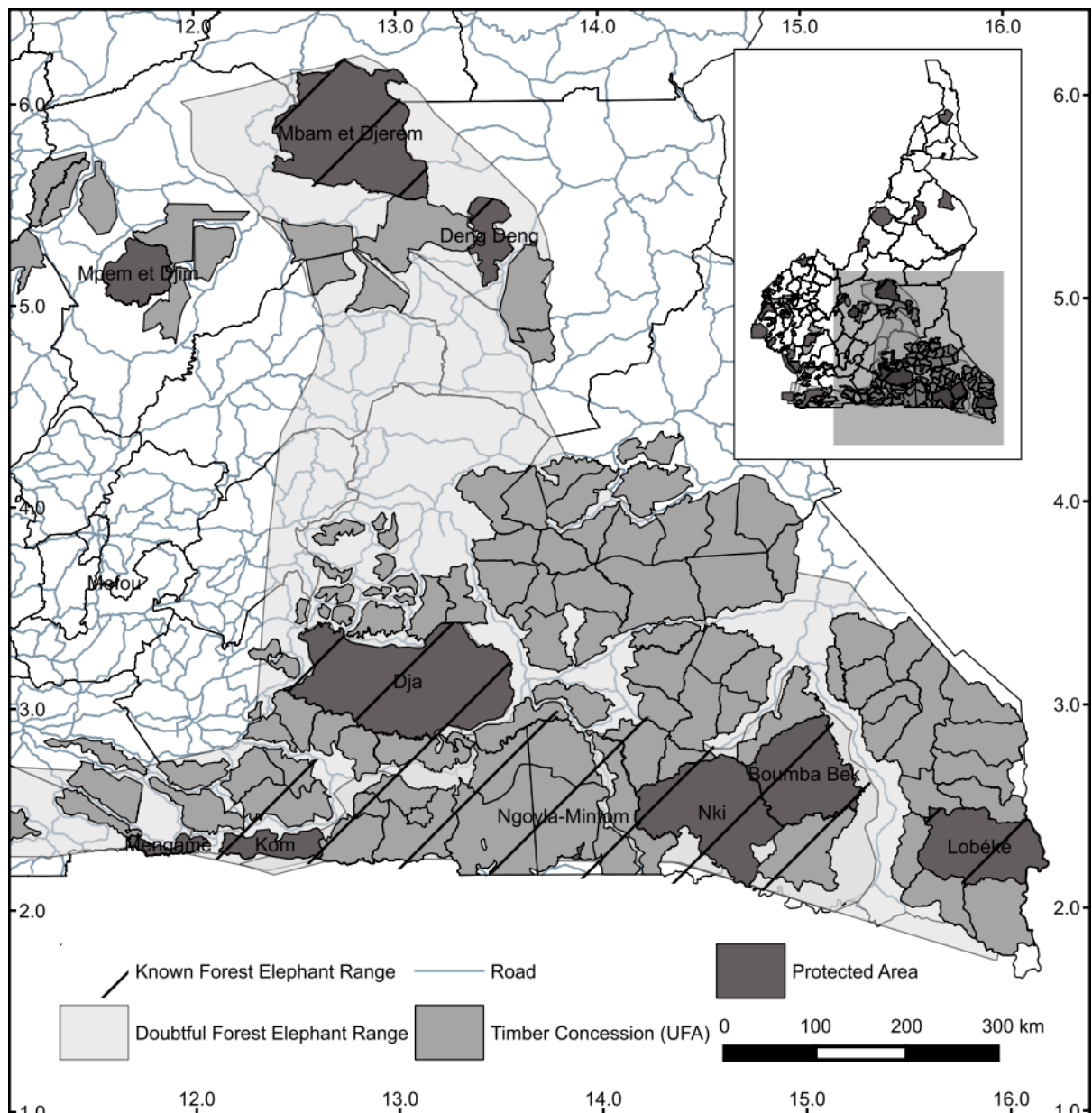
19 Occupancy indices are widely used for large-scale monitoring programmes because they
20 are relatively inexpensive and easy to implement compared to estimates of absolute
21 abundance (Royle & Nichols, 2003; Joseph et al. 2006). Occupancy indices also benefit from
22 being able to control for uncertainties associated with detectability, providing unbiased
23 estimates of the likelihood of species presence in time and space (MacKenzie et al. 2006).

24 Occupancy is an estimate of the probability that the species occupies, or uses, a particular

1 sample unit during a specified period of time during which the occupancy state is assumed
2 to be static (Bailey et al. 2004). The maximum likelihood occupancy model allows for both
3 detectability and occupancy to be estimated in a single-model framework by building a
4 detection history (MacKenzie et al. 2002), that potentially includes covariates of occupancy
5 and detectability within the framework (Wintle et al. 2012).

6

7 Recently, surveys with local people have been combined with occupancy analysis for the
8 rapid status assessments of multiple species over time (Pillay et al. 2011; D'Souza et al.
9 2013) and at large spatial scales (Martinez, 2011; Puri et al. 2015). This study combines
10 semi-structured interviews of timber industry employees across Eastern Cameroon (Figure
11 1) with occupancy analysis to assess large-scale distribution and trends in forest elephant
12 populations over time. We focused on areas classified as 'unknown' by the IUCN African
13 Elephant Database (2012) in order to obtain new information about the range of elephants
14 in these areas (Figure 1).



1

2 *Figure 1. Map of study site: The Eastern Region of Cameroon.*

3

4 Timber concessions are an important, and under-researched habitat for elephants,
 5 comprising 60-80% of the eastern region (Bikie et al. 2000). We aimed to i) assess the
 6 distribution and trends in forest elephant populations over six years across 30,000 km² of
 7 eastern Cameroon using interview-based occupancy analysis, ii) Assess the reliability and
 8 suitability of this method of rapid assessment in the context of forest elephants in Africa
 9 and iii) Make recommendations for conservation action in the study area.

1 **Methods**

2 Timber concessions are split into Forest Management Units (UFAs), which are well-defined
3 and demarcated areas (FAO, 1997). Each forest management unit (UFA) is divided into 30
4 Annual Allowable Cuts (AACs), of which one can be exploited each year over the course of
5 30 years. Sites were defined as AACs as they are familiar to respondents and roughly equal
6 in size (c. 5km²). Maps of the UFAs were obtained prior to interview and the site's year of
7 exploitation was clearly marked on each map. This enabled the respondents to state in
8 which site they had worked, in what year and if they had or had not seen signs of elephant,
9 helping them to recall fine-scale temporal and spatial data relevant to a particular site.

10

11 Interviews were conducted with timber concession workers, villagers and administrative
12 authorities. A targeted, opportunistic sampling strategy was used to select respondents.
13 While the external validity of the data obtained through this strategy is low (Sapsford &
14 Jupp, 1996), the extent of concessions and their potential value as conservation land (Lamb
15 et al. 2005) means that timber concession workers are a valuable source of knowledge.

16

17 In order to triangulate the data collected from timber concession workers and to obtain
18 data on incidents of poaching, qualitative interviews were also held with administrative
19 authorities. MINFOF (The Ministry of Forests and Wildlife) is the governmental department
20 responsible for the protection of forested areas and its biodiversity in Cameroon. Chefs de
21 poste (CDP) are theoretically aware of any reported poaching and can therefore give a
22 different perspective on the research questions. Managers of the Department of Fauna, the
23 managers of the eastern region departments and the CDP from MINFOF were interviewed
24 at the regional and departmental level.

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The research team designed and administered a simple questionnaire for timber concession workers and authorities from 12th May to 30th June 2013, in order to elicit their observations of elephants over the period 2008 to 2013 (Figure S3). The interviews were designed to be easily replicated and administered, whilst retaining standardisation. The combination of closed and open-ended questions enabled quantitative and qualitative analysis, strengthening the results by drawing on the information gathered from each.

A pared down version of the semi-structured interview used for timber concession workers was used to guide interviews with administrative authorities, skipping to the sections on estimated abundance, distribution and threats in order to collect qualitative data. Informal interviews are normal conversations with individuals or groups of people as they go about their lives (Newing et al., 2011). Informal interviews, composed of open-ended questions were conducted on several occasions to gather qualitative information on forest elephant population changes, threats and attitudes towards elephants. The direction of the conversation was led by the interviewee, with some questions asked by the interviewer to either guide conversation or probe an interesting point. Notes of key points were taken immediately after the conversation so as not to forget the detail of the conversation.

Efforts were made both in the design of the survey and the interview process to minimise or control for respondent bias. For each participating timber concession, an initial meeting was held with the site manager who helped us to identify what teams entered the forest on foot and did not operate machinery, and so had the best chance of spotting and correctly identifying signs of elephant presence. In order to select a subset of the most reliable UFA

1 workers from within the identified teams, focus groups comprising of a series of short
2 questions were used to eliminate unsuitable respondents (Figure S2).
3
4 Selected respondents were interviewed individually to prevent audience effect bias.
5 Questions were phrased neutrally to reduce deference effect bias (Newing et al., 2011) and
6 respondents were asked to report on their own experience only. No specific reference to
7 elephants was made at the start of the interview so as to reduce order effect bias and care
8 was taken to use the 'interview funnel' approach (Newing et al., 2011). The reliability of
9 reported detections was validated by asking respondents to repeat both their detection and
10 non-detection responses at the end of the interview and to describe the reported signs to
11 ensure that the species had been correctly identified. If the respondent appeared unsure or
12 gave different responses, the response was removed from analysis.

13
14 Occupancy models were constructed with the response variable being whether the
15 interviewee had observed elephants or their sign in a given AAC at any point in the study
16 period. Due to the rotational nature of exploitation within UFAs, repeat data from the same
17 site over different years were not collected frequently enough to conduct multi-season
18 occupancy analysis (MacKenzie et al. 2003). Therefore, single-season occupancy analysis
19 was carried out, by treating each site-by-year combination as a site in the detection matrix.
20 Year could then be included as a covariate in the occupancy analysis to identify trends in
21 detectability and occupancy over time, with a year considered to be the closure period, over
22 which occupancy was assumed to be constant. The study period of 2008-13 was chosen
23 because the volume of reliable data dropped off sharply prior to 2008 (respondents were
24 unsure when asked to repeat their responses at the end of the interview), and the number

1 of respondents who had been in that job long enough reduced meaning that there was not
2 enough data pre-2008 to conduct analysis. Following Martinez (2011), individual
3 interviewees were treated as effective repeat surveys for occupancy analysis. The number
4 of respondents varied greatly between concessions. Although occupancy analysis accounts
5 for missing data, sites with only 1 respondent were discarded from analysis and sites that
6 did not meet the minimum of 4 replicates were treated with caution during analysis and
7 discussion (Mackenzie et al. 2002).

8

9 UFA group was included as a factor in analysis, allowing for comparisons of occupancy and
10 detectability between groups (Figure S1). The UFA groups are spatially distinct, separated by
11 well used roads and villages. Data on reported elephant tracks, broken branches, dung,
12 carcasses and direct sightings were included in analysis to build a detection history for each
13 site. Respondents who reported having seen a sign were asked to describe what they saw as
14 a means of verification. Only signs or direct sightings seen by the interviewee were included
15 as sightings related by others were considered hearsay and unreliable for this study.

16

17 Owing to the easily identifiable signs of forest elephants and the controls put in place to
18 ensure the reliability of the respondents selected, false positives were not thought to be
19 likely, so were not included in the models. Given the sample unit size relative to elephant
20 home range in this study, occupancy estimates cannot be seen as reflecting probability of
21 long term residence. Rather we interpret occupancy as the proportion of area used
22 (Martinez, 2011; MacKenzie & Royle, 2005).

23

1 Maps of site-level occupancy covariates were prepared using ArcGIS 10.0 (ESRI, 2011), while
2 the respondent detectability covariates were obtained from each interview. Pairwise
3 correlations were conducted to examine the independence of variables and eliminate any
4 covariates that were too closely associated to be modelled together. Spearman's rank and
5 Shapiro-Wilk tests checked for normal distribution of the continuous geographic variables.
6 Spearman's correlation coefficient tested the relationship between the non-normally
7 distributed variables. Pearson's correlation coefficient for parametric data were used for the
8 remaining normally distributed variables. There was a strong positive correlation between
9 the detectability variables respondent age and number of trips made to the forest ($\rho=0.98$)
10 and between the number of years the respondent had worked in the concession and
11 number of trips made to the forest ($\rho=0.91$; Table S1). The variable 'number of trips' was
12 therefore not included in the models. There were no significant correlations between the
13 covariates for the occupancy part of the model (Table S2). Year of observation and UFA
14 group were included as covariates for both occupancy and detectability to control for UFA-
15 level variation in detectability (MacKenzie, 2006). Other covariates were included based on
16 their hypothesised relationship with occupancy or detectability (Table S3).

17

18 Akaike Information Criteria (AIC) (Burnham & Anderson, 2002) was used to identify the best
19 fit-models that account for detectability (ρ), keeping the global model for occupancy (ψ)
20 (Table S4). Then, using the best fit model for ρ , occupancy was modelled to find the best fit
21 model for both ρ and ψ . The MacKenzie & Bailey (2014) goodness-of-fit bootstrap test was
22 run to evaluate the best-fit model. And for inferences to be drawn to best explain the effect
23 of the covariates on ρ and ψ . All occupancy analysis was conducted in R (R Core Team
24 2017), using package 'unmarked' package (Fiske & Chandler, 2011).

1

2 Change in relative abundance over time was calculated based on the relationship between
3 individual animal detection probability, r , site population size, N , and per-visit detection
4 probability, p , proposed by Royle & Nichols (2003):

$$5 \quad p = 1 - (1 - r)^N.$$

6 Given detection probabilities estimated in years $i = 1, 2, \dots, 6$, year specific populations are
7 given by:

$$8 \quad N_i = \log(1-p_i) / \log(1-r).$$

9 Assuming constant r , population size in year i relative to year 1 is therefore given by:

$$10 \quad N_i / N_1 = \log(1-p_i) / \log(1-p_1).$$

11

12 The ethical guidelines offered by the Social Research Association (2003) were followed
13 throughout. The interview team (Stephanie Brittain and Madeleine Ngo Bata) spoke French
14 to ensure accurate communication. Confidentiality and anonymity was guaranteed to all
15 respondents and free, prior, informed consent was obtained. Interviews were recorded if
16 permission was given (>95% of respondents agreed). Where permission to record was not
17 granted, notes were taken and transcribed immediately post interview. Due to the sensitive
18 nature of the topic, no-one was asked if they had taken part in any illegal activities.

19

20 **Pilot study**

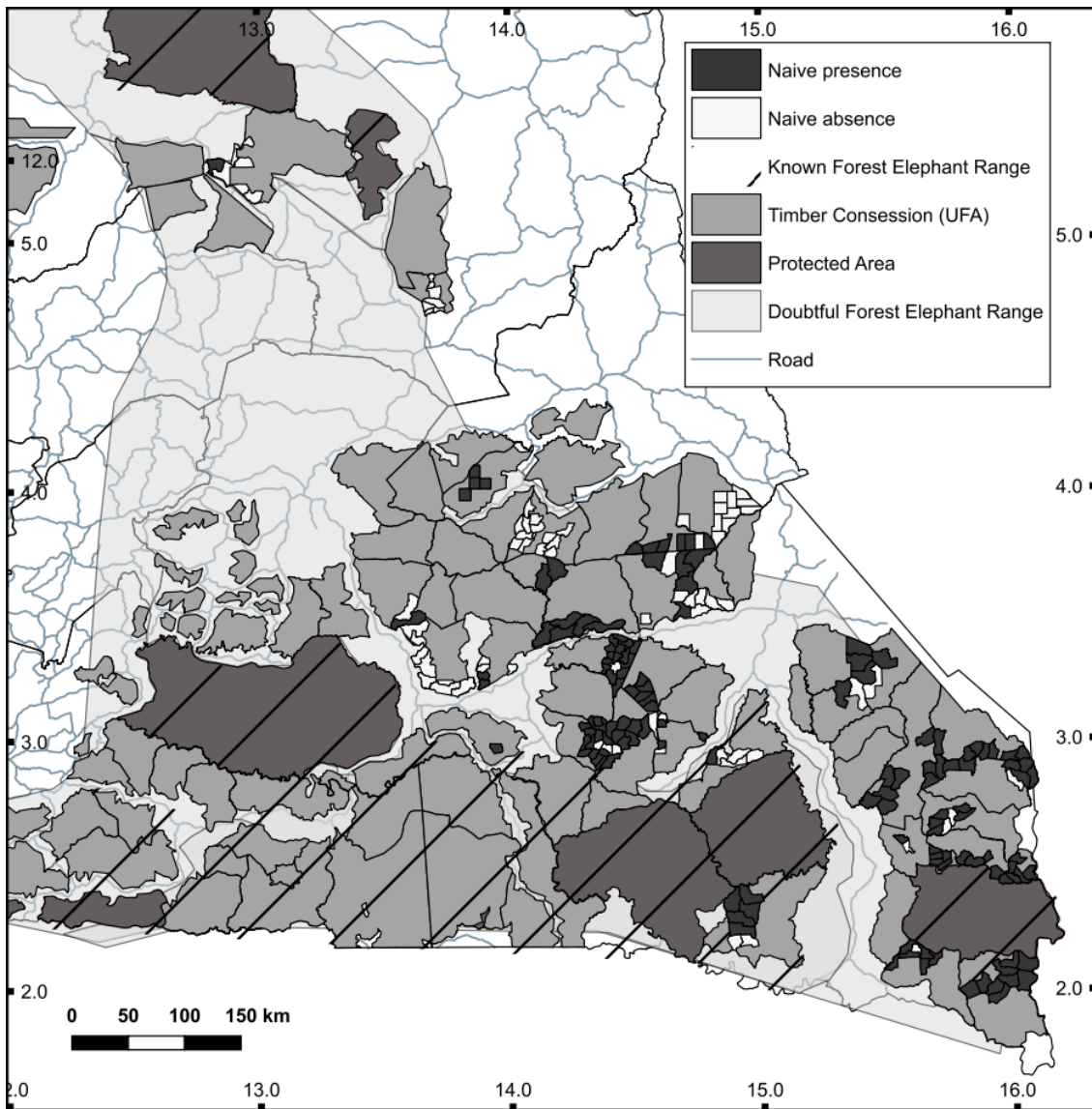
21 A pilot study (4th -11th May 2013) involved trialing the methodologies and sampling
22 strategy, aiming to make any necessary adjustments to the approach and assess the
23 reliability of the responses. The pilot study was conducted in a timber concession where
24 prior robust data on elephant presence was available.

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Results

Of the 182 respondents originally interviewed, 161 were timber concession workers, 16 were administrative authorities and 5 informal interviews were conducted with researchers, poachers and hunting zone owners. Prior to analysis, 7 timber concession workers (4%) were deemed unreliable and were removed, leaving a total of 175 respondents, of which 154 were timber concession workers, 16 were administrative authorities and 5 were informal interviews.

Survey responses suggested that interviewees were likely to be able to distinguish elephant signs in the field; 96% of respondents were raised in rural villages and 76% felt they owed their knowledge of animal signs to their fathers or upbringing. Respondents gave information about elephant observations in 342 sites within 34 UFAs. The number of respondents per site visit ranged from 1 to 25 per site, with a mean of 4.82. Figure 2 shows the naïve distribution of detections and non-detections, suggesting that forest elephant range extends further north and east of the current IUCN known elephant range. There is a higher proportion of sites with reported detections in the South-West and South-East UFA groups than in the Central and North groups.



1
2 *Figure 2. Distribution of sites with reported naïve presence and absence.*

3 The null model, assuming constant occupancy and detectability, estimated occupancy (Ψ ;
4 probability that a given site was used by elephants) as 0.76, and detectability (p ; probability
5 that use of a UFA by elephants would be detected by a respondent) at 0.58 (Table S6).

6
7 The most parsimonious model with covariates that best described occupancy and
8 detectability included, for detectability, the number of nights that the respondent camped
9 in the forest when working, the number of years they had worked in the concession, the
10 UFA group and year (Table 2). The occupancy variables included were the distance of the

1 village from the centre of each AAC, the AAC's distance from the nearest river and road, and
 2 its elevation, as well as the UFA group. A goodness-of-fit test found no significant lack of fit
 3 ($p=0.8$).

4 *Table 2: Summary of best fitting models with an ΔAIC of <4.*

MODEL	AIC	ΔAIC	WI	Ψ SE	p SE
$p(C+YW+G+Y) \Psi(V+Ri+Ro+E+G)$	1349.16	0.00	24%	0.73	0.42
$p(C+YW+G+Y) \Psi(V+Ri+Ro+E+S+G)$	1349.57	0.41	20%	0.7	0.4
$p(C+YW+G+Y) \Psi(V+Ri+Ro+E+S)$	1350.16	1.00	15%	0.29	0.35
$p(C+YW+G+Y) \Psi(V+Ri+Ro+E)$	1350.24	1.08	14%	0.28	0.36
$p(C+YW+G+Y) \Psi(V+Ri+Ro+S)$	1350.29	1.14	14%	0.3	0.35
$p(C+YW+G+Y) \Psi(T+V+Ri+Ro+S)$	1350.34	1.19	13%	0.3	0.35

KEY TO SYMBOLS

AIC: Akaike Information Criterion

ΔAIC : Akaike difference

Ψ : probability of occupancy, p: probability of detection

C: Nights Camped, YW: Years Worked, G: UFA Group, Y: Year T: Distance from Towns, V: Distance from Village, Ri: Distance from River, Ro: Distance from Road, E: Elevation, S: Slope

W_i : Akaike model weight

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 6
 7 Occupancy was not strongly affected by any of the explanatory variables, but as expected
 8 (Table S4), it was higher in areas further from villages and roads, and closer to rivers. It did
 9 not vary significantly between UFA groups. Detectability, however, had a number of strong
 10 associations, including that those who camped for up to a week at a time in the forest were
 11 more likely to detect elephants than those who didn't camp, or who camped for longer; that
 12 the detectability was much higher in the south-west and south-east UFA groups, and that

1 there was a strong and consistent decline in detectability over time (Table 1). In this study,
 2 all sites share the same forest environment; therefore, any spatial variation in the ability to
 3 detect the species cannot be explained by changes in habitat type and visibility. The same
 4 measures are put in place in each concession to assure the reliability and quality of
 5 respondents and the competence of the individual to detect signs is controlled for in the
 6 model. Therefore, we do not expect that spatiotemporal changes in detectability are due to
 7 spatial or temporal variations in the responders' ability to detect elephants. In that case, it is
 8 likely that variation in the detectability of forest elephants is a valid proxy for variation in
 9 abundance, rather than variation in an ability to detect elephant signs. Site-level
 10 detectability, therefore, may be a signal of the relative abundance of elephants in occupied
 11 sites, suggesting that elephants are more abundant in the south-west and south-east UFA
 12 groups than elsewhere (Figure S1), but that abundance may be decreasing over time in the
 13 study site as a whole (Table 1, Fig 3).

14 *Table 1: Beta summary of best fitting model $p(C+YW+G+Y) \psi (V+Ri+Ro+E+G)$ with*
 15 *detectability and occupancy covariates.*
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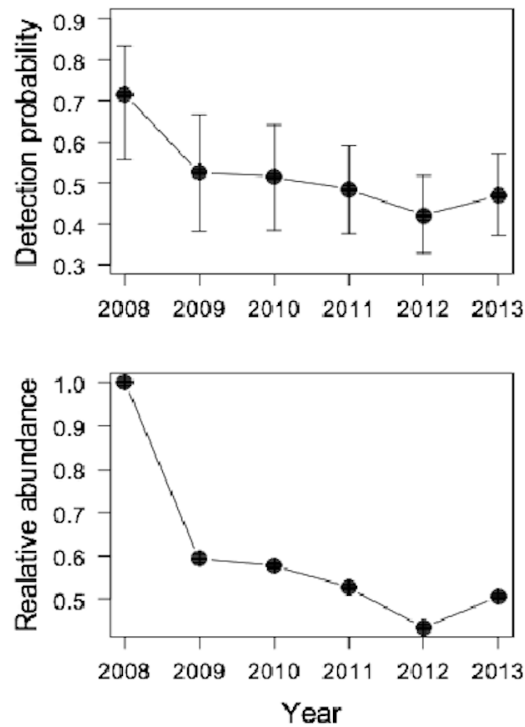
OCCUPANCY ψ	Estimate	SE	Z	P(> z)
Intercept (G = Central)	2.47	0.65	3.82	< 0.001
Distance from village (V)	0.80	0.31	2.47	0.06
Distance from road(Ro)	0.94	0.49	2.37	0.02
Distance from river(Ri)	-0.48	0.22	2.30	0.03
Elevation (E)	-1.06	0.49	1.64	0.02
UFA group (G contrast SW)	0.74	0.72	1.01	0.31
UFA group (G contrast SE)	-1.4	1.05	1.26	0.17
UFA group (G contrast N)	-3.75	2.16	1.91	0.07

DETECTION p				
Intercept (C= >8 nights, YW=>10 years, G=Central, Y=2008)	0.98	0.36	2.70	0.006
Nights camped (C contrast 1-7)	-1.16	0.22	4.97	< 0.001
Years worked (YW contrast <10)	-0.09	0.16	0.60	0.54
UFA group (G contrast SW)	0.745	0.20	4.00	0.00
UFA group (G contrast SE)	2.34	0.18	12.73	0.00
UFA group (G contrast N)	-0.84	1.63	1.20	0.61
Year (Y contrast 2009)	-0.77	0.41	1.93	0.06
Year (Y contrast 2010)	-0.86	0.38	2.37	0.02
Year (Y contrast 2011)	-1.02	0.36	2.86	0.01
Year (Y contrast 2012)	-1.28	0.35	3.73	0.00
Year (Y contrast 2013)	-1.07	0.36	3.06	0.003

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2 Detectability reduced by about 30% between 2008 and 2013, which can be translated into a

3 potential reduction in relative abundance of around 40% (Figure 3).



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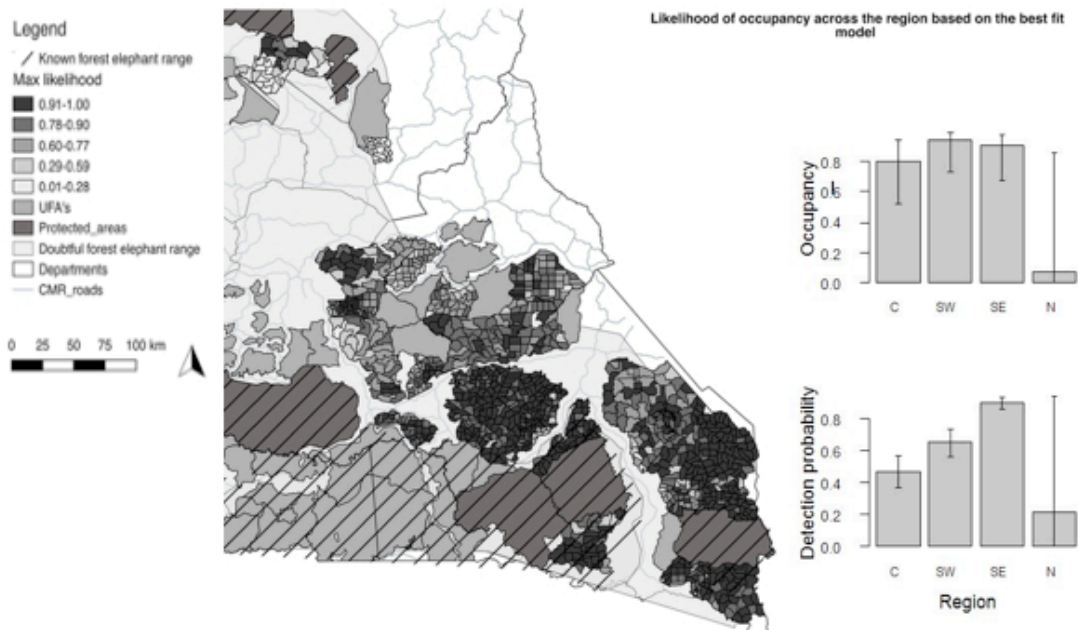
2 *Figure 3: Changes in forest elephant detection probability and relative abundance from 2008*
 3 *to 2013*

4

5 Although the model shows no significant difference in occupancy related to the UFA groups,
 6 there is a significant difference in detectability between the UFA groups. The histograms
 7 shown in Fig. 4 indicate that the CL's are wide for occupancy, which is why the difference in
 8 occupancy is not significant. In contrast, the CL's for detectability are narrow for the Central,
 9 South-West and South-East UFA groups, and there is a significant difference in detectability
 10 between the UFA groups. However, the CL's for detectability in the North UFA group are as
 11 large as those for occupancy. This may be due to the small sample size obtained from these
 12 sites, therefore the interpretation of data from the North UFA group should be treated with
 13 caution.

14

1 Administrative authorities and timber concession workers reported that elephants move
 2 around within the UFAs to distance themselves from the noise of exploitation (also found to
 3 be an issue by Bowles et al. 1994; Richardson et al. 1995) and villages (Buji et al. 2007; De
 4 Boer et al. 2013). However, elephants were said not to move out of the UFA's due to
 5 proximity with villages, and major roads that separate the UFA groups, particularly in the
 6 central and northern areas where sites of high predicted occupancy are much more isolated
 7 than in the more southerly regions (Figure 4).



8
 9 *Figure 4: Likelihood of occupancy across the Eastern Region, based on the more*
 10 *parsimonious model shown in table 1*

11
 12 **Discussion**

13 While most of the detectability covariates relate to the ability of the respondent to notice
 14 and recall signs of elephant, the UFA group and year provide insights into abundance in
 15 occupied sites. The data show a decline in detectability due to a decline in detections over

1 the course of 6 years. All sites share the same environment, activity level and level of
2 visibility and observer variables are controlled for in the model.
3
4 While responder recall must be considered when using data over long periods of time, with
5 the survey design and cross-checking of responses put into place, we do not believe recall to
6 be a significant contributor to temporal changes in detectability. It is perhaps more sensible
7 to conclude that the declining detectability over 6 years suggests a decline in abundance,
8 supported by qualitative reports of a perceived decline in elephant abundance across the
9 whole region, and reports of increased elephant poaching. Our estimates of occupancy and
10 detectability from the null-model ($\Psi = 0.76 \pm 0.03$, $p = 0.59 \pm 0.01$; Table S6) are comparable
11 with those of Martinez (2011) in neighbouring Equatorial Guinea ($\Psi = 0.44 \pm 0.03$,
12 $p = 0.86 \pm 0.01$). The pattern of declining relative abundance is consistent with the findings of
13 Maisels et al. (2013), and also with the latest figures released by the CITES MIKE project,
14 showing the estimated proportion of elephants which were illegally killed in Central Africa
15 has remained consistently above the sustainable level over the study period (CITES, 2014). It
16 is also interesting that the only sites with a likelihood of occupancy of >0.6 in the Northern
17 UFA group are adjacent to the Mbam & Djerem National Park (Figure 4), suggesting that
18 elephant populations living in Mbam & Djerem may be using the north of the timber
19 concession as a corridor for access to Deng Deng National Park (see Figure S1 for National
20 Park locations). The same pattern of elevated likelihood of use in sites adjacent to Boumba
21 Bek National Park can also be seen in the South West group.
22
23 This study has addressed a major knowledge gap concerning elephant distribution across a
24 large region of previously unsurveyed timber production forest. We find that forest

1 elephant range extends further north and east of the 2012 known elephant range (shown in
2 figure 2), extending into areas deemed 'unlikely' by the IUCN. Therefore, we recommend
3 that current IUCN known elephant range be extended and that further surveys are
4 conducted in timber concessions where elephants have been detected. In particular, sites
5 adjacent to protected areas are potentially of high conservation value, therefore it is
6 important to work closely with timber concession companies to develop sustainable logging
7 approaches and anti-poaching activities that will help to protect forest elephants in their
8 sites.

9
10 High levels of occupancy throughout the South East and South West UFA groups, and high
11 likelihood of occupancy in sites adjacent to National Parks (as also suggested by Lamb et al.
12 2005) in the north, supports the suggestion that well-managed timber concessions can
13 provide refuge to forest elephants in an otherwise insecure landscape (Weinbaum et al.
14 2007; Clark et al. 2009; Kolowski, 2010; Stokes et al. 2010). However, the emphasis on 'well-
15 managed' means that additional support should be provided to concessions with a high
16 likelihood of occupancy to improve their sustainability practices and ensure that they can
17 continue to operate in a manner that minimizes the impact on remaining elephant
18 populations.

19
20 Despite the high levels of occupancy in some sites, the detectability (and therefore relative
21 abundance) is low in comparison to that observed elsewhere (Martinez, 2011), possibly
22 indicating relatively low abundance in occupied sites, and further highlighting the
23 importance of conservation action in these sites. For example, our findings suggest that it
24 would be useful to carry out a more detailed survey in key concession units around

1 protected areas in the north, which appear to be acting as de facto corridors. Such surveys
2 could inform actions to develop more formal corridors to ensure the sustainability of these
3 populations, which currently appear to be isolated and potentially unviable in the longer
4 term.

5

6 The informal interviews and open-ended questions carried out highlighted some key issues,
7 in particular the high financial value of ivory, the lack of comparable alternative livelihoods
8 in the face of growing international demand, the lack of law enforcement and high levels of
9 corruption, (see Supplementary Figure S4 for quotes from respondents).

10

11 Sampling in this rapid assessment was limited to sites where timber concession workers had
12 been prospecting or exploiting, meaning that: a) the sites mostly changed each year as each
13 site represented an area of annual exploitation, and b) the impacts of exploitation could not
14 be explored as variation in exploitation category (pre/during/post exploitation) was not
15 available. Applying this approach in situations where respondents' spatial frames of
16 reference are more spatially stable would be desirable in order to support multi-season
17 occupancy modelling (Royle & Kery 2007), potentially allowing a more sophisticated analysis
18 of the dynamics of occupancy over time.

19

20 Occupancy estimates are generally in line with the observed detection histories and with
21 perceived abundance. However, there are areas where the occupancy predictions do not
22 match actual observations. Areas of underrepresentation within the detection/non-
23 detection data may be an influencing factor. Alternatively, heterogeneity caused by
24 incomplete overlap between home range and site may influence the probability of detecting

1 an individual, as does the number of elephants within each plot. As a result, the relationship
2 between the distribution of sampling effort and elephant home ranges may account for
3 some variation between the naïve pattern and the predicted occupancy (Efford & Dawson,
4 2012).

5

6 Despite these potential sources of uncertainty, our study suggests that interview-based
7 occupancy analysis is a reliable method for the rapid assessment of forest elephant
8 occupancy at large spatiotemporal scales and in challenging forest habitat, as a complement
9 to, or first stage in, a monitoring process (Meijaard et al. 2011). This method allowed us to
10 gain new insights into the distribution and trends in forest elephant populations at a large
11 scale, effectively surveying c.30,000km² to be surveyed in just 10 weeks on a budget of only
12 £2000, providing extremely good value for money. It also produced contextual qualitative
13 insights data, providing a socio-demographic context that can inform subsequent
14 conservation planning.

15

16 This approach is best suited to surveying large, remote areas that potential informants visit
17 on a regular basis, for poorly known but easily recognisable species (Meijaard et al. 2011).

18 Several authors (e.g. Pan et al 2015; Nash et al 2016) have used sightings by local people to
19 infer changes in presence or abundance of species with these characteristics. However, the
20 addition of an occupancy modelling framework to structure and analyse observational
21 datasets allows much more robust inferences to be drawn; specifically about detection
22 corrected occupancy, its covariates (such as geographical factors), and, through spatio-
23 temporal changes in detectability, trends in relative abundance. The additional
24 requirements for using an occupancy approach are not onerous, including collecting

1 information about potential observer effects (such as time spent in the forest), and
2 biophysical variables, ensuring at least 4 repeat observations per sampling unit, and
3 choosing sample units that are familiar to the respondents in order to collect spatially
4 accurate data.

5

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8 College London for financial support. The work was supported by a NERC-CASE studentship
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10

11 **Author contributions**

12 SB and MNB collected the data. SB designed the study and conducted analysis with
13 guidance from MR and PD. SB led the publication of the article with support and editing
14 from EJMG, MC and input from whole team.

15

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23

1 **BIOGRAPHICAL SKETCHES**

2 Stephanie Brittain's research interests lie in the use of local knowledge for wildlife
3 population monitoring. Madeleine Ngo Bata monitors threatened species in timber forest
4 concessions in Cameroon. Paul DeOrnellas focusses on the illegal wildlife trade, and
5 improvement of monitoring within reserve across Africa. Professor E.J. Milner-Gulland has a
6 broad range of interests in interdisciplinary conservation science; her website is at
7 www.iccs.org.uk. Dr Marcus Rowcliffe is a conservation scientist interested in improving
8 methods for the monitoring of elusive and threatened species.
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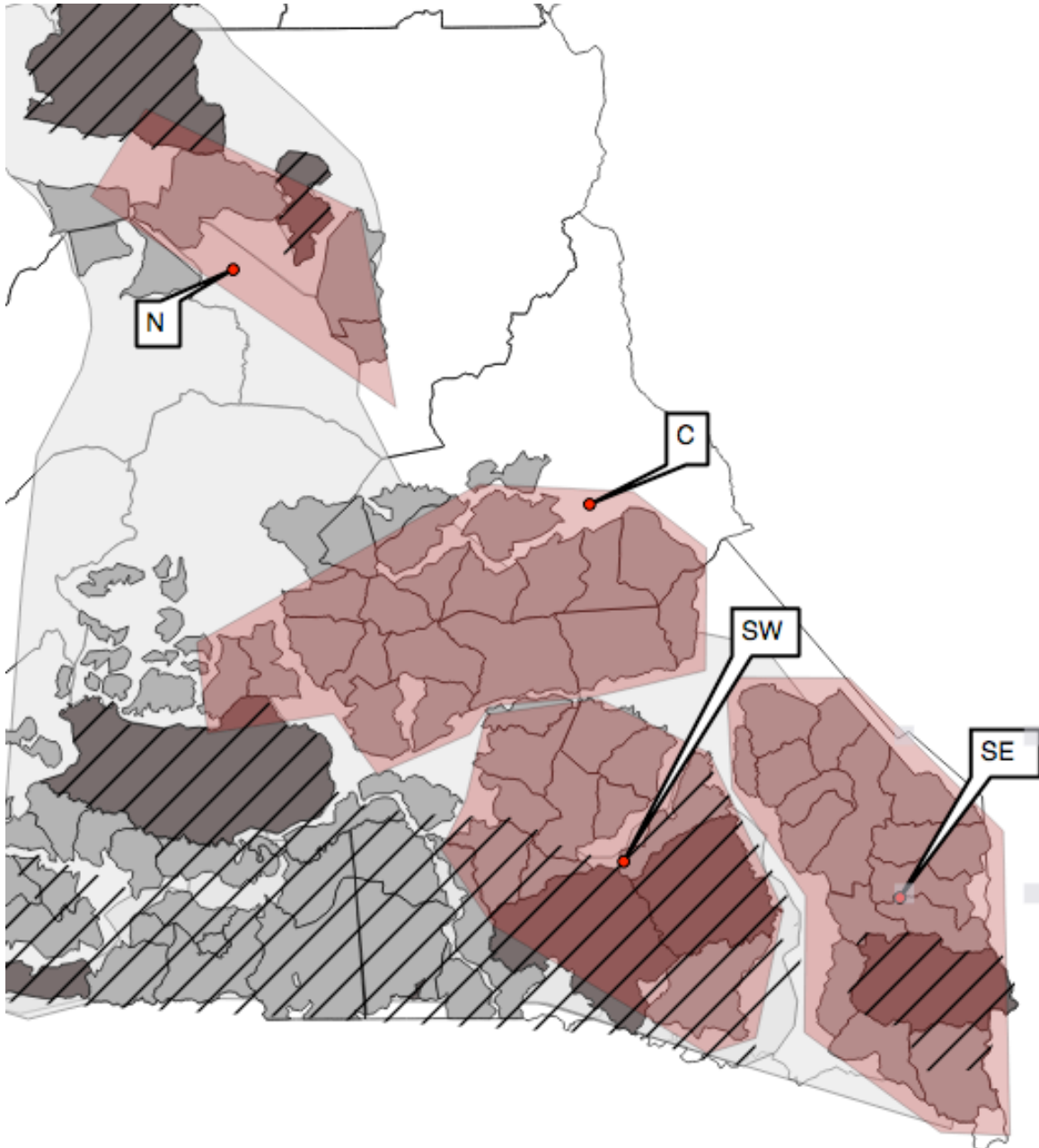
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1 **SUPPLEMENTARY MATERIAL**

2

3 **Supplementary Figure S1** Map displaying UFA's visited and UFA groups (North (N), Central
4 (C), South West (SW), South East (SE))



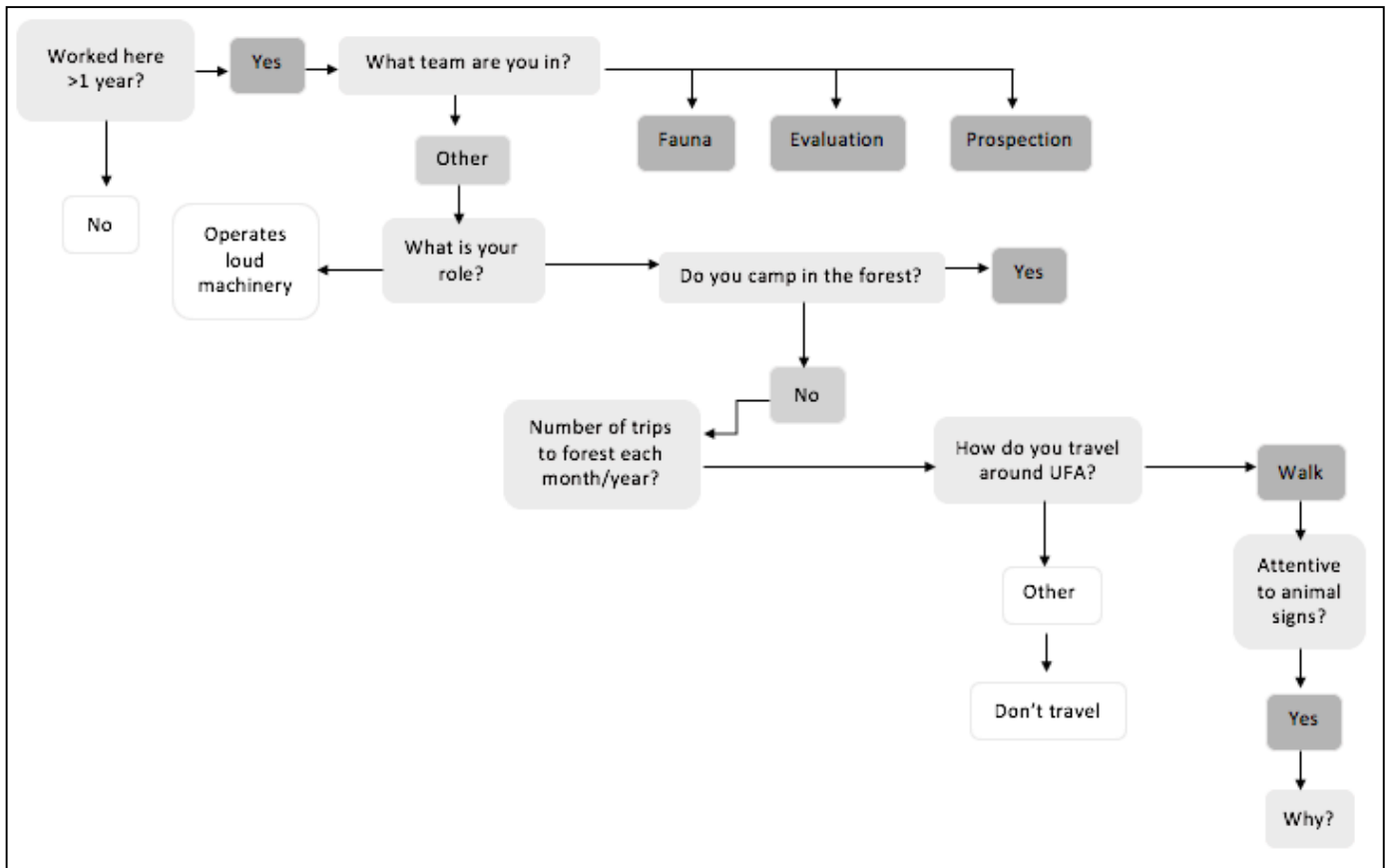
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Supplementary Figure S2: Responder selection process flow chart



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Supplementary Figure S3: semi structured interview

Basic information

Age: 16-26 27-37 38-48 49-59 60+

Gender: Male Female

Where were you born? Urban rural

Team :

Job position:

What tasks does that involve? (Chainsaw operator/truck driver etc.)

Detectability

How long have you worked here? < 1year 1-5 years 6-10 years >11 years

How many trips do you make into the forest?

4 trips/week 2-4 trips a week 1-2 trips a week 1-2 trips a month

1-2 trips a year 0 trips

Do you camp in the forest? Yes No

How many nights do you spend in the forest when working?

0 1-7 8-14 15+

Are you attentive to animal signs when you see them? Always Sometimes Rarely

Occupancy

Have you ever seen an elephant or sign of an elephant? Yes No

What sign(s) did you see? Describe it to me.

Foot prints dung actual sighting heard one

carcass reports from others other (please state)

In what AAC did you see it in?

What year was this in?

What time of year did you see this (Wet/Dry season)

Was the AAC; active being prepared post evaluation

2

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1 **Supplementary Figure S4: Key quotes from informal and semi structured interviews**

2
3 Poaching forest elephants for their ivory can be very financially rewarding, and therefore
4 worth the risk:

5
6 *“Elephant poaching has become harder, but they do it anyway. You have to be secretive or get*
7 *arrested. All of the elephant is worth money, the meat, the skin, and the tusk” (Anon, Timber*
8 *concession worker)*

9
10 *“Alternatives how? People look to get rich quick. Even 30 days of work doesn't match the price of*
11 *ivory...alternatives don't work” (Anon, Authority)*

12
13 There is a perceived lack of alternatives in the face of the high value of ivory:

14
15 *“I like my work... I have 13 kids; this allows them all to go to school. XXX offered me work but for*
16 *how much? I prefer poaching” (Poacher)*

17
18 *“People like elephants because their tusks are worth something. There is no emotional attachment*
19 *to elephants. If there are no more elephants, people will be sad because there is no more ivory”*
20 *(Anon, Authority)*

21
22 *“I like elephants, but we can't kill them anymore. People have stopped killing them only because it is*
23 *illegal...If elephants disappear, people will be a bit sad But, as elephant meat is illegal I don't see*
24 *why people will regret the loss of the species,” (Anon, Timber concession worker)*

1 *"We need to protect elephants for our forests. Elephants are important for other animals too... and*
2 *move seeds around the forest... Without them our forests wouldn't be the same"* (Anon, Timber
3 *concession worker)*

4
5 *"... When we go to the CDP, he says no to culling. People are frustrated... opinions of elephants have*
6 *gone down because of this"* (Anon, Timber concession worker)

7
8 International markets and growing demand is perceived to be having a growing impact on
9 forest elephant poaching in Cameroon:

10

11 *"... opening up of Africa to the Asian market, the price of Ivory has gone up and led to an increase in*
12 *poaching. They say they are doing research for mineral exploitation, or they are here for pangolin*
13 *scales... they hide behind that to illegally trade Ivory"* (Anon, Authority)

14

15 *"...I remember in 2008/2010 a kilo of ivory cost 40,000...But in 2011-2013 it rose to 120-140,000 the*
16 *kilo... At first, it was people within the Cameroonian administration...Since then it's the Asians who*
17 *lead it, who say, 'we are in need of ivory, import as much as you can'... (Anon, Authority)*

18

19 Lack of law enforcement and high levels of corruption mean that much of the poaching is
20 perceived to be driven by government officials, or permitted to happen:

21

22 *"Gendarmes are involved, the CDP is implicated, everyone is implicated "* (Anon, Authority)

23

1 *"It's always the generals, the ministers, the CDP that are behind it and involved. It's them that are*
2 *behind the poaching. There are road blocks and yet no one gets stopped. There is a lot of money to*
3 *be made from it..." (Anon, TCW 10-030)*

4
5 *"...the authorities use local people, Baka's especially, to go and find them elephants and poach*
6 *them... What can I say...people capitalize on their positions of power to their advantage. On top of*
7 *their salary, they can make a lot more by poaching elephants (Anon, Authority)"*

8
9 The price of ivory and the level of poverty in the region mean that alternatives to poaching
10 are perceived to be lacking and a lack of desire to protect elephants further reflects the
11 sentiment. Although some stat that they didn't want to lose the species for future
12 generations, overall the feeling was that elephants are of financial worth, and are a fast
13 source of large sums of money.

14
15 *"People like elephants because their tusks are worth something. There is no emotional attachment*
16 *to elephants. If there are no more elephants, people will be sad because there is no more ivory"*

17
18 The influence of international markets was regularly cited by authorities as a key force
19 behind poaching across the region:

20
21 *"... opening up of Africa to the Asian market, the price of Ivory has gone up and led to an increase in*
22 *poaching. They say they are doing research for mineral exploitation, or they are here for pangolin*
23 *scales... they hide behind that to illegally trade Ivory"*

24

1 “...I remember in 2008/2010 a kilo of ivory costs 40,000 CFA...But in 2011-2013 it rose to 120-140,000
 2 CFA the kilo... At first, it was people within the Cameroonian administration...Since then it's the
 3 Asians who lead it, who say, 'we are in need of ivory, import as much as you can'

5 **Supplementary Table S1: Spearman’s correlation coefficient results**

6
 7 The closer the R value is to +1 or -1, the stronger the likely correlation. The R values of 0.976 and
 8 0.909 suggest a strong positive relationship, denoted by ^{***}. Results show a strong positive
 9 correlation between the detectability variables Age and Number of Trips (P=0.976) and Years and
 10 Number of Trips (P=0.909) and were therefore eliminated from analysis. (Sample size=154)

	Age	Years worked	Nights camped	Number of trips
Age	NA	0.002	0.180	0.976 ^{***}
Years worked	NA	NA	0.356	0.909 ^{***}
Nights camped	NA	NA	NA	0.002
Number of trips	NA	NA	NA	NA

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1 **Supplementary Table S2:** Analysis of occupancy variable relationships using Pearson’s
 2 correlation coefficient (for parametric data).
 3
 4 The absolute value of r: 0.40-0.59 (weak *), 0.60-0.79 (moderate **), 0.80-1.0 (strong ***). No R values
 5 displayed either a moderate or strong correlation, therefore none were removed from analysis
 6 (Sample size= 342)

	Distance from towns (km)	Distance from road (km)	Elevation (m)	Slope (gradient)	Distance from villages (km)	Distance from river (km)
Distance from towns (km)	NA	-0.016	-0.411 *	-0.041	0.347	-0.138
Distance from road (km)	-0.012	NA	0.083	0.161	-0.116	0.103
Elevation (m)	-0.410 *	0.083	NA	0.153	-0.288	0.175
Slope (gradient)	-0.041	0.161	0.153	NA	0.132	0.074
Distance from villages (km)	0.347 *	-0.116	-0.288	0.132	NA	-0.160
Distance from river (km)	-0.138	-0.042	0.175	0.074	-0.160	NA

7
 8
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1 **Supplementary Table S3:** Environmental and observer variables considered for use in the
 2 modelling process

Detectability covariates (measurement unit)	Justification	Expected direction of effort	References
Age (years) 16-37 years/ 38-48 years/ 49+ years	Loss of perspective about past ecological conditions caused by lack of communication between generations may create “shifting baseline syndrome,” in which younger generations are less aware of local species diversity or abundance in the recent past (Turvey et al (2010).	The older the respondent, the better they will be at detecting signs of forest elephant.	Turvey et al. (2010)
Gender (male/female)	While all timber concession workers were male, there were some females from the villages in the pilot.	None. Gender does not have an effect on the reliability or ability of the respondent to detect the species.	NA
Where born (local/urban)	Patterns of awareness and experience may be influenced by variation	People growing up in rural areas or locally will have been more	Turvey et al. (2014)

	both in species status, ecology and distribution and in socio-cultural factors, People from different backgrounds living in the same landscapes may have different levels of awareness and experience	exposed to nature and biodiversity. They will, therefore, have a greater level of Local Ecological Knowledge (LEK)	
Years worked (<10 years / >=10 years)		The longer a respondent has worked in that role, the more forest experience they will have and the more experienced they will be at detecting signs of forest elephants	
Nights camped (nights) (1-7 nights / >8 nights)		The longer the informants spend in the forest at a time, the more likely they are to detect signs of forest elephants	NA
Number of trips >1- 5 trips a week/		Similarly to the nights camped variable, the	NA

>1 a month- 2 trips a year		more time the informants spend in the forest, the more likely they are to detect signs of forest elephants	
Source of/reason for LEK (Job safety/directions learnt from childhood)	To understanding how and why respondents are suitable and reliable and if the purpose or source of their LEK has an influence on this	Those observant of signs due to safety and from their childhood will be more reliable than those using them for their job generally.	Turvey et al. (2010) Turvey et al.(2014) Daniensen
Year (factor levels for each year 2008-2013)	To understanding any changes in occupancy or detectability over time	Elephant occupancy/detectability decreases with year	Maisels et al. (2013)
Slope (gradient)	To see if the degree of slope influences the occupancy of forest elephants	Elephant occupancy decreases with increasing slope	
Elevation (metres)	Areas located at higher elevation differ in soil type, vegetation, plant biomass, rainfall, and temperature, affecting the distribution of elephants	Elephant occupancy decreases with elevation	Ngene et al. (2009) De Boer et al. (2013)

	(Ngene et al., 2009)		
Distance from river (km)	Riverine habitats are preferable for elephants (Walsh et al 2000). However, rivers also provide an access point for humans (Barnes et al, 1991) therefore in areas of high hunting pressure, elephants may actively avoid rivers.	Elephant occupancy decreases with distance from river	Barnes et al. (1991) Walsh et al. (2000)
Distance from road (km)	To see if roads influence the occupancy of forest elephants	Elephant occupancy increases with distance from road to avoid sound and human disturbance	Blake et al. (2008) Stokes et al. (2010)
Distance from town (km)	To see if distance from town influences the occupancy of forest elephants	Elephant occupancy increases with distance from town to avoid sound and human disturbance	Buij et al. (2007) de Boer et al. (2013) Maisels et al. (2013)
Distance from village (km)	To see if distance from villages influences the occupancy of forest elephants	Elephant occupancy increases with distance from village to avoid sound and human	Buij et al. (2007) de Boer et al. (2013) Maisels et al. (2013) Blake (2002)

		disturbance	Douglas-Hamilton et al. (2005) Clark et al. (2009) Yackulic et al. (2011)
UFA group (North/South east/ South West/ Central)	Interesting to see if groups of UFA's are supporting different independent elephant populations across the eastern region and if so, what their statuses are.	UFA groups furthest from major roads and large villages will have the highest forest elephant occupancy	NA

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Supplementary Table S4: Top models showing the best fit models that account for detectability only (Burnham & Anderson, 2002)

Model	Covariates	AIC	Δ AIC
8	$(P(C+YW) \Psi (\cdot))$	1727.91	0.00
7	$P(A+C+YW)\Psi (\cdot)$	1729.46	1.55
3	$p(C) \Psi (\cdot)$	1731.91	4
4	$p(A+C) \Psi (\cdot)$	1734.74	6.83
2	$p(A) \Psi (\cdot)$	1931.06	203.15
6	$P(A+YW) \Psi (\cdot)$	1932.94	205.03
5	$p(YW) \Psi (\cdot)$	1933.62	205.71

1 **Supplementary Table S5:** Top 10 best fit models. The best fit models have a ΔAIC of <4 .

MODEL	AIC	ΔAIC	WI	Ψ SE	P SE
p(C+YW+G+Y) Ψ (V+Ri+Ro+E+G)	1349.16	0.00	24%	0.73	0.42
p(C+YW+G+Y) Ψ (V+Ri+Ro+E+S+G)	1349.57	0.41	20%	0.7	0.4
p(C+YW+G+Y) Ψ (V+Ri+Ro+E+S)	1350.16	1.00	15%	0.29	0.35
p(C+YW+G+Y) Ψ (V+Ri+Ro+E)	1350.24	1.08	14%	0.28	0.36
p(C+YW+G+Y) Ψ (V+Ri+Ro+S)	1350.29	1.14	14%	0.3	0.35
p(C+YW+G+Y) Ψ (T+V+Ri+Ro+S)	1350.34	1.19	13%	0.3	0.35
p(C+YW+G+Y) Ψ (V+Ri+Ro+E+G+Y)	1353.83	4.67			
p(C+YW+G+Y) Ψ (V+Ri+Ro+E+Y)	1354.64	5.48			
p(C+YW+G+Y) Ψ (V+Ri+Ro+E+S+G+Y)	1354.66	5.50			
p(C+YW+G+Y) Ψ (Vi+Ri+Ro+S+Y)	1355.21	6.05			
KEY OF SYMBOLS					
AIC: Akaike Information Criterion					
ΔAIC : Akaike difference					
Ψ : probability of occupancy, p: probability of detection					
C: Nights Camped, YW: Years Worked, G: UFA Group, Y: Year T: Distance from Towns, V: Distance from Village, Ri: Distance from River, Ro: Distance from Road, E: Elevation, S:					

Slope

Wi: Akaike model weight

(Grey boxes indicate subsequent best-fit models with an ΔAIC of >4)

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Supplementary Table S6: Summary of the back transformed (ψ) occupancy and

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detectability estimates from the fixed model

	Estimate	SE	Z	P(> z)	Confidence interval	
P	0.58	0.01	5.64	1.66	0.22	0.46
Ψ	0.76	0.03	7.18	6.78	0.84	1.47

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