

Efficiencies of recovery and extraction of trace DNA from non-porous surfaces

Ines Wood^{a,b}, Sophie Park^{a,b,c*}, Jordan Tooke^{a,b} Olutolani Smith^{d,e}, Ruth M. Morgan^{a,b}, Georgina E. Meakin^{a,b}

^a UCL Centre for the Forensic Sciences, 35 Tavistock Square, London, WC1H 9EZ, UK

^b UCL Department of Security and Crime Science, 35 Tavistock Square, London, WC1H 9EZ, UK

^c Division of Applied Sciences, London South Bank University, UK

^d MACE Laboratory, UCL Department of Genetics, Evolution, & Environment, Darwin Building, Gower Street, London, WC1E 6BT, UK

^e Tiger Program, Panthera Foundation, 8 West 40th Street, New York, NY 10018, USA

* Corresponding author: E-mail: sophie.park.16@ucl.ac.uk or parks4@lsbu.ac.uk

Abstract

DNA recovery and extraction efficiencies are key considerations for trace DNA interpretation in casework, but prior studies have tended to focus on assessing these for body fluids rather than trace DNA. This study therefore examined the recovery and extraction of trace DNA using different collection methods from a range of non-porous surfaces relevant to crimes including homicides, terror attacks, and wildlife poaching. Direct extraction of DNA from solutions of a known concentration revealed absolute extraction efficiencies of ~82 %. When DNA was extracted from swabs seeded with the DNA solution, a similarly high efficiency of ~85 % was achieved from nylon-flocked swabs, with a lower efficiency of ~55 % from cotton swabs. However, when DNA was recovered from non-porous surfaces with swabs, ~55 % of DNA was still recovered from plastic knife handles, but lower efficiencies were achieved from the other substrates, particularly metal cable. Varied and poor recovery was observed using mini-tapes and requires further investigation. These results demonstrate that >50 % recovery efficiency of trace DNA is achievable with both swab types, although recovery rates may be affected by surface type and/or practitioner experience.

Keywords

Touch DNA; Trace DNA; Extraction efficiency; Recovery efficiency

1 **1. Introduction**

2 With increased sensitivity of forensic DNA profiling, trace levels of DNA left at crime
3 scenes can now be analysed. This is particularly important for the investigation of
4 serious crimes, such as homicides and terror attacks, and could be applied to the
5 investigation of wildlife crime, such as illegal poaching, although this has yet to be
6 explored. For trace DNA analysis, efficient methods are required to maximise the
7 recovery and extraction of DNA from the surfaces examined.

8

9 Whilst a number of previous studies have focused on the effectiveness of different
10 methods to recover body fluid DNA (e.g. [1, 2]), limited published data are available
11 for trace DNA [3], and incorporation of recovery and extraction efficiencies are crucial
12 steps in the interpretation of trace DNA in casework [4]. This study therefore not only
13 investigates the efficiency of collection methods at recovering trace DNA from a range
14 of non-porous surfaces, but also considers the efficiency of DNA extraction using
15 QIAGEN's QIAamp® DNA Investigator Kit.

16

17 **2. Materials and Methods**

18 Background DNA was removed from substrates using 20 % bleach and UV-irradiation.
19 Substrates represented items commonly encountered in casework: plastic-handled
20 knives, plastic piping (e.g. used in pipe bombs), metal cable (e.g. used in poaching
21 snares), firearm metal, and glass slides. In triplicate, aliquots of ~10 ng acellular
22 human DNA were applied to Buffer ATL in the extraction kit to examine absolute
23 extraction efficiency, directly to cotton and nylon-flocked swabs to examine efficiency
24 of DNA release and extraction from swabs, and to the substrates to examine efficiency
25 of the entire recovery and extraction process, apart from the metal cables to which
26 ~50 ng DNA was added.

27

28 Substrates were left to dry for 24 hr before the DNA was recovered using cotton swabs
29 (SceneSafe™), nylon-flocked swabs (COPAN's FLOQSwabs™) or mini-tapes (WA
30 Products). A wet and dry swab protocol was employed, with 100 µl and 25 µl DNA-

1 free water added to cotton and nylon-flocked swabs, respectively. Substrates were
2 sampled for 30 s: 15 s per swab or repeated applications of a single tape for 30 s.

3

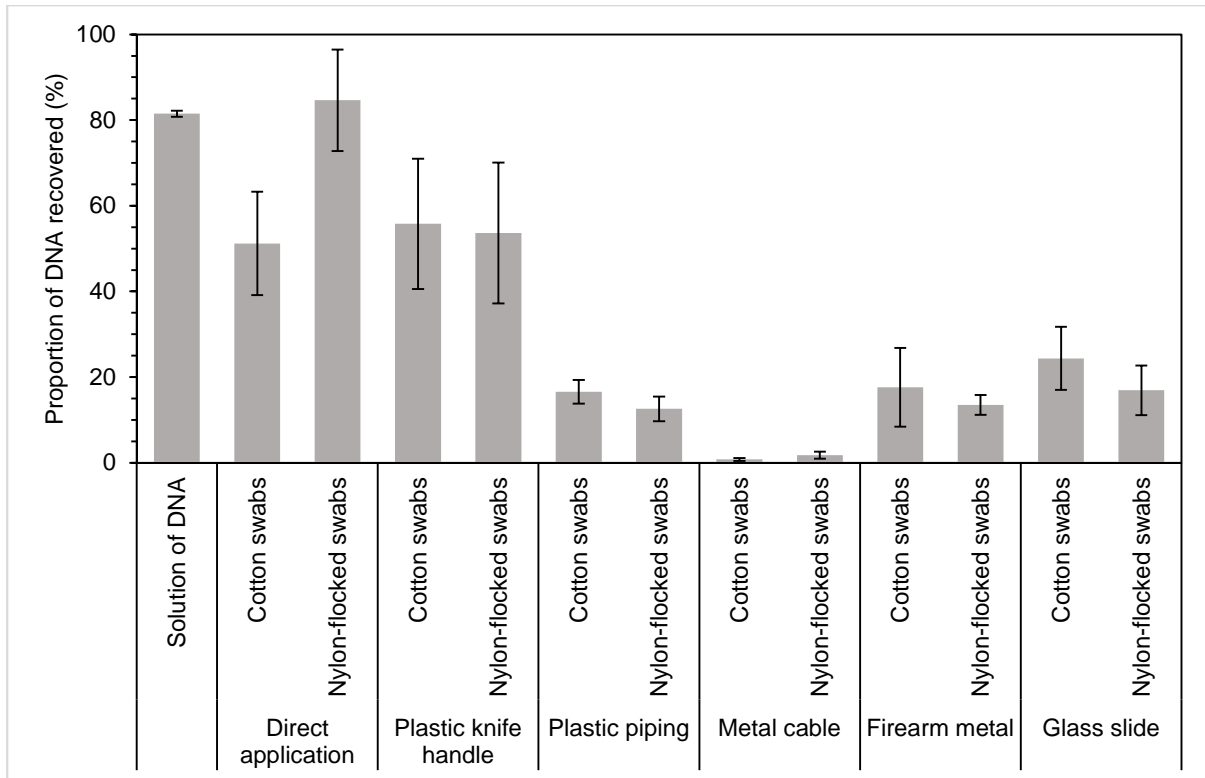
4 The QIAamp® DNA Investigator Kit (QIAGEN) was used to extract DNA from the
5 directly applied solution, swabs, and mini-tapes. DNA extracts were then quantified
6 using the Quantifiler® Human DNA Quantification Kit (Applied Biosystems™). The
7 initial DNA solutions were also quantified using this kit, such that the exact quantities
8 of DNA added (46.6 ng on the metal cables, and 9.4, 10.8 or 11.9 ng on the other
9 samples) were used in determining recovery percentages.

10

11 **3. Results**

12 The efficiency of the QIAamp DNA Investigator kit for extracting DNA from a directly
13 applied solution of ~10ng DNA was found to be $81.5 \pm 0.7 \%$ (Fig. 1). When the same
14 quantity of DNA was applied to a single swab, this efficiency stayed similarly high at
15 $84.6 \pm 11.8 \%$ from a nylon-flocked swab, but dropped to $55.8 \pm 15.2 \%$ from a cotton
16 swab (Fig. 1). However, when known quantities of DNA were applied to a range of
17 substrates, similar levels of recovery were seen with both swab types (Fig.1).
18 Approximately 55 % of the DNA applied to the plastic knife handles was recovered by
19 both types of swabs (Fig. 1), but lower recovery efficiencies were observed from the
20 other substrates, particularly metal cables (Fig. 1). Mini-tapes were also used to
21 recover DNA from the glass slides, firearm metal, and plastic piping, but recovery was
22 inefficient (<17%) and widely varied.

23



1

2

3 **Fig. 1.** Percentages of DNA recovered from a solution of known DNA quantity, from swabs that had
 4 been directly seeded with this solution, and from a range of substrates using cotton or nylon-flocked
 5 swabs.

6

7

8

9 **4. Discussion**

10 Absolute extraction efficiency, determined by extracting DNA directly from a solution
 11 of known concentration, was surprisingly high at ~80% compared to the ~15-30%
 12 reported in the literature (see [5] and references therein). This high efficiency was
 13 maintained when DNA was extracted from seeded nylon-flocked swabs, presumably
 14 due to their effective DNA-releasing property [6], given that a lower percentage of DNA
 15 was extracted from seeded cotton swabs. However, this difference between swab
 16 types was not seen when the swabs were used to recover DNA from a range of
 17 substrates. Using the QIAamp DNA Investigator Kit, a previous study showed that
 18 FLOQSwabs™ recovered significantly more DNA from saliva stains on petri dishes
 19 than cotton swabs [3]. The difference between that study and the results herein could

1 be due to differences in the DNA source used (saliva versus acellular DNA), and/or
2 the swabbing protocol employed, since they used single wet swabs, whereas the wet
3 and dry swab method was used here. The manufacturer of FLOQSwabs™ claims that
4 using a single wet swab is sufficient [3], whereas it has been shown that using a wet
5 then dry swab improves DNA recovery with cotton swabs [7].

6
7 Approximately 55 % of the DNA applied to the plastic knife handles was recovered by
8 both types of swabs, consistent with Brownlow *et al* [3], although lower recovery
9 efficiencies were observed with the other substrates. An experienced forensic
10 scientist recovered the DNA from the knife handles, whereas DNA was recovered from
11 the other substrates by newly trained individuals. This could suggest that practitioner
12 experience may impact the efficiency of DNA recovery and is thus being investigated
13 further. Whilst mini-tapes can successfully recover trace DNA from plastic knife
14 handles [8], use of mini-tapes here was problematic. Significant adhesion caused
15 occasional breakage of the glass slides and inconsistency in the number of tape
16 applications possible during 30 s.

17
18 Although DNA can be recovered from the sheaths of metal cables left behind at scenes
19 of metal theft [9], there are no published studies addressing the recovery of human
20 DNA from metal cables themselves, particularly those used in wildlife crime. Here,
21 human DNA was successfully recovered from metal cables, although the recovery was
22 poor at <2 %. This could be due to the construction of the steel cable with a central
23 cotton core that visibly absorbed the applied DNA solution, likely making recovery
24 difficult. As such, DNA recovery from handled metal cables is being investigated
25 further.

26
27 In summary, cotton swabs can be as efficient at recovering trace DNA as nylon-flocked
28 swabs, but the rate of recovery appears to depend on practitioner experience and/or
29 the substrate type. This, along with the variable recovery efficiency of mini-tapes, is
30 being investigated further.

1 **Acknowledgements**

2 Ethical approval was granted by UCL Research Ethics Committee (ref. no. 5107/001)
3 and we thank Emily C. Head of the Bureau of Alcohol, Tobacco, Firearms and
4 Explosives for kindly providing experimental design advice and supplying metal
5 sections from a firearm. No financial support was received.

6

7 **Conflict of interest statement**

8 None.

9

10 **References**

11 [1] Plaza DT, Mealy JL, Lane JN, et al. Nondestructive Biological Evidence Collection with
12 Alternative Swabs and Adhesive Lifters. *J Forensic Sci.* 2016;61:485-8.
13 [2] Verdon TJ, Mitchell RJ, van Oorschot RAH. Swabs as DNA collection devices for sampling
14 different biological materials from different substrates. *J Forensic Sci.* 2014;59:1080-9.
15 [3] Brownlow RJ, Dagnall KE, Ames CE. A comparison of DNA collection and retrieval from
16 two swab types (cotton and nylon flocked swab) when processed using three QIAGEN
17 extraction methods. *J Forensic Sci.* 2012;57:713-7.
18 [4] Taylor D, Biedermann A, Samie L, et al. Helping to distinguish primary from secondary
19 transfer events for trace DNA. *Forensic Sci Int Genet.* 2017;28:155-77.
20 [5] Butts E. Exploring DNA Extraction Efficiency. Forensics@NIST 2012 Meeting, Gattersburg.
21 2012;https://www.nist.gov/sites/default/files/documents/oles/3_Butts-DNA-extraction-2.pdf.
22 [6] Daley P, Castriciano S, Chernesky M, et al. Comparison of flocked and rayon swabs for
23 collection of respiratory epithelial cells from uninfected volunteers and symptomatic patients.
24 *J Clin Microbiol.* 2006;44:2265-7.
25 [7] Pang BCM, Cheung BKK. Double swab technique for collecting touched evidence. *Leg*
26 *Med.* 2007;9:181-4.
27 [8] Meakin GE, Butcher EV, van Oorschot RAH, et al. Trace DNA evidence dynamics: An
28 investigation into the deposition and persistence of directly- and indirectly-transferred DNA on
29 regularly-used knives. *Forensic Sci Int Genet.* 2017;29:38-47.
30 [9] Lim S, Subhani Z, Daniel B, et al. Touch DNA - The prospect of DNA profiles from cables.
31 *Sci Justice.* 2016;56:210-5.

32