#### Continuous Hydrothermal Synthesis of Inorganic Nanoparticles; Applications and Future Directions

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### ABSTRACT

Nanomaterials are at the leading edge of the emerging field of Nanotechnology. Their unique and tunable size-dependent properties (in the range 1 to 100 nm), make these materials indispensable in many modern technological applications. In this review, we summarize the state-of-art in the manufacture and applications of inorganic nanoparticles made using continuous hydrothermal flow synthesis (CHFS) processes. Firstly, we introduce ideal requirements of any flow process for nanoceramics production, outline different approaches to CHFS and introduce the pertinent properties of supercritical water and issues around mixing in flow to generate nanoparticles. The review then gives comprehensive coverage of the current application space for CHFS-made nanomaterials including optical, healthcare, electronics (including sensors, information and communication technologies), catalysis, devices (including energy harvesting/conversion/fuels) and energy storage applications. Thereafter, topics of precursor chemistry and products, as well as materials or structures are discussed (surface functionalized hybrids, nanocomposites, nanograined coatings and monoliths, metal-organic frameworks). Latterly, the review focusses on some of the key apparatus innovations in the field, such as in situ flow / rapid heating systems (to investigate kinetics and mechanisms), approaches to high throughput flow syntheses (for nanomaterials discovery), as well as recent developments in scale-up of hydrothermal flow processes. Finally, the review covers environmental considerations, future directions and capabilities, along with the conclusions and outlook.

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### INTRODUCTION

Nanomaterials are at the leading edge of the emerging field of Nanotechnology. Their unique and tuneable sizedependent properties<sup>1</sup> (in the range 1-100 nm), make these materials superior and indispensable in many modern technological applications. The significant reduction in size of bulk materials, often improves their properties due to quantum confinement effects, giving rise to tuneable electronic or other properties.<sup>2</sup> Nanomaterials can also have greatly increased surface area to volume ratios, which can determine the rate of surface area dependant (or defect site controlled) reaction rates.

Nanotechnology is being developed in several forms; materials (e.g. powders/dispersions), coatings, (nanograined) monoliths, polymer–ceramic composites, devices and systems.<sup>3</sup> Nanotechnology is already playing an increasing impact on our everyday lives, particularly in an age where energy and chemical or other resource efficiency has become vital in sustaining the world's ever increasing population.<sup>3</sup> At the present time, advanced nano-materials are beginning to enter the commercial marketplace as consumers demand for ever increasing performance and miniaturisation from products; from self-cleaning coatings<sup>4-5</sup> to more efficient catalysis,<sup>6-9</sup> particles for oil recovery,<sup>10</sup> photocatalysis,<sup>4, 11-16</sup> healthcare products including sunscreens,<sup>17-18</sup> battery materials,<sup>19-20</sup> electronic storage media and solid state sensors.<sup>21-23</sup>

With increased recent worldwide interest in both green and nano-technologies,<sup>24</sup> more sustainable manufacturing routes to crystalline nanometric inorganic materials are of interest.<sup>25-27</sup> The vast majority of published literature on the syntheses of nanoparticles (NPs), relate to materials prepared under static or batch processes<sup>25-27</sup> or indeed multi-step processes. More recently, an increasing number of publications have demonstrated the potential of rapid continuous methods for controlled manufacture of inorganic nanomaterials.<sup>28-32</sup> Amongst these reactions, the greenest and most promising can be considered those which are primarily water based, i.e. continuous hydrothermal synthesis processes. Many acronyms are used in the literature for such processes and the authors prefer the use of CHFS (continuous hydrothermal flow synthesis) for such processes, i.e. those that use predominately water not organic solvents (this will be used periodically hereafter). Its first important to how continuous processes for NP production tend to operate; in many continuous hydrothermal processes, a pre-heated water supply under pressure is mixed with an ambient temperature aqueous (aq) metal salt solution under flow conditions in an engineered mixer, whereupon a NP metal oxide (typically) is the product, depending on the process conditions and reagents used. The metal salt solution is added to the process at close to ambient temperature, otherwise it can undergo significant pre-heating before it meets a superheated water feed, which may result in undesirable accumulation in pipes or give poorly defined growth conditions. In typical reactions of superheated water and metal salts, an engineered mixer is used (sometimes denoted as a "reactor"), and a high temperature, typically in the range 200 to 400 °C is obtained (after mixing) with a short residence time typically in the range a few seconds to minutes (rather than hours) for nanoparticles for form.

Such hydrothermal flow processes at high temperatures, are in stark contrast to geological high pressure processes, which can happen over millions of years. This means that in continuous hydrothermal processes, metastable or kinetic compositions can be formed and that the NPs formed, can display unique structures or combinations of particle properties that cannot be readily achieved using more conventional batch hydrothermal or other synthesis methods. This might include enhanced solid solution solubility over that reported using more conventional synthesis methods.<sup>33-34</sup> Many examples of different engineered mixers (reactors) are known and different research groups around the world that are very active in this area. A detailed review of mixers is not given herein, as it would command a review in its own right; therefore, only limited discussion of this topic will be herein.

In the last 25 years or so, there has been a steady increase in the number of process designs and different applications for NPs made via continuous hydrothermal processes. With such hydrothermal flow processes, the number of process variables that can be independently varied are considerable (T, P, flow rate, composition, turbulence of mixing, etc.), thus, CHFS offers an almost unlimited toolkit for materials scientists to be able to design or tailor NPs for specific requirements (see Table 1). For example, a single continuous hydrothermal process could be used to carry out more than one function; e.g. to

1) Control nucleation to make narrow size particles of a specific crystal structure (in a first mixer),

2) Add a surfactant coat that can then be applied in flow (via a second mixer) so nanoparticles can be dispersible in organic solvents or water (depending on the surfactant).

More information about different options for coated NPs will be contained later in this review (section 10.2).

Given the potential for CHFS reactors to scalably manufacture nanomaterials, an exciting era of engineered NPs for commercial applications is upon us; not only for ceramics or metals, but also in advanced (hybrid) composites

and metal organic frameworks and other materials with particle properties (composition, size, shape, aspect ratio, porosity, phase solubility, etc.). These combinations of particle attributes cannot be readily achieved using other direct synthesis processes. Alongside, these new opportunities to develop nanomaterials commercially, will arise questions about their safety during manufacture, use and also their fate at the end of their life (e.g. landfill).<sup>35</sup> In order to address this, safeguards for manufacture, use, handling, processing, and processes / regulations for end of life will be required to ensure that the environmental impacts of such new nanomaterials are considered.

This comprehensive review seeks to bring together many of the recent peer reviewed papers in the field whilst demonstrating some key applications and give a balanced argument about the pros and cons of applying continuous hydrothermal synthesis methods for nanoceramics syntheses. This review will cover some recent exciting developments as well offer signposts where the technology has industrial. This review will provide a good starting point for non-specialists and industrialists wishing to understand and explore the potential of CHFS technologies towards tailored inorganic materials applications. These applications include using NPs directly (e.g. dispersed<sup>36</sup> in a formulation) or towards building nano-grained devices, composites, coatings<sup>37</sup> or for bulk materials as a "bottom up" solid state synthesis approach.<sup>38</sup>

### 1.1 An Ideal Process for Inorganic Nanoparticle Synthesis

Despite the availability of a number of methods which are potentially capable of producing NPs at various stages of academic or commercial development<sup>39</sup> (e.g. plasma or flame based syntheses<sup>40-43</sup>) the quality and consistency of NPs can differ from process to process. Any commercially viable and safe process for the synthesis of high quality nanomaterials should preferably display the following characteristics many of which are in keeping with the principles of green chemistry.<sup>44</sup> The process should;

- (i) Allow controllable manufacture of homogenous nanomaterials (< 100 nm diameter particle) with desired composition and characteristics,
- (ii) Be energy and resource efficient (i.e. avoids the use of wasteful organic solvents or large amounts of energy and ideally use green solvents such as water)
- (iii) Be fast and flexible and use relatively few steps (preferably a one-step or rapid process),
- (iv) It should possess consistent quality with ideally no batch-to-batch variations (e.g. as in a continuous process)

- (v) If possible, it should allow constant "in process" or "in line" monitoring for quality control measures,
- vi) Allow flexibility to affect or control particle attributes by changing process conditions, e.g. pressure, flow rate etc. (see table 1)
- vii) Give access to unique nanomaterials that are difficult to make directly using other methods (unique phase compositions, unique combination of particle properties)
- (viii) Should minimize hazards to workers or end users e.g. being of minimal internal volume or readily shutdown in an emergency, or produce NPs that cannot be readily inhaled by operator.

The inability of many processes to manufacture high quality nanomaterials in a consistent and safe way is in part due to inherent limitations of processes or lack of knowledge on particle-parameter behaviors. For example, processes which involve very high temperatures such as flame, combustion or plasma processes, result in phase separation, or extensive agglomeration with low surface areas.<sup>45</sup> Some of these methods also produce highly charged NPs in the gas phase which could potentially be hazardous to workers as they are airborne and present a potential inhalation hazard.<sup>46</sup>

More conventional NP synthesis methods such as sol-gel and co-precipitation techniques, are slow, require multiple steps and need very precise control of several reaction parameters (pH, temperature, etc.), otherwise yielding inconsistent products.<sup>47-48</sup> Emulsion syntheses of NPs can be very wasteful,<sup>49</sup> often requiring large amounts of organic solvents, whilst ball milling<sup>50</sup> (top down) is energy intensive and slow and makes materials in a dry powder form, which could pose an inhalation hazard. Increasing the control, speed and homogeneity of NP manufacturing methods, will significantly enhance product quality and consistency of de novo engineered nanomaterials.

As explained above, many current synthesis processes for nanomaterials, fail to meet all the requirements for safe synthesis of high quality nanomaterials. Indeed, concerns over the safe working with nanomaterials were high-lighted in a joint Royal Society of Chemistry / Royal Academy of Engineering report on Nanotechnology, and need to be addressed for any manufacturing process to reach long term acceptance.<sup>51</sup> Since this report, the sheer volume of databases and guides as well as regulatory accumulation of data on nanomaterials has increased at pace. Note-worthy documents at this time, which are recommended include a number of assessments to provide a more solid foundation for discussion of the regulation of nanomaterials in Europe. The authors particularly recommend the document "Dialogforum Nano of BASF 2014/2015 on fostering transparency and responsible innovation with nano-

materials",<sup>35</sup> which is an assessment of various European tools and registers in terms of how they address the transparency needs of three target groups: public, authorities, consumers and workplaces.<sup>35</sup> Within this document are many useful links such as Information about nanomaterials and their safety assessments, as well as "general Information on nanomaterials", "how are nanomaterials released?", "How can nanomaterials enter the body or the environment?", "How do nanomaterials behave once inside the body or the environment?". Many of these questions need to be better understood as we begin to develop processes, which are capable of reproducible larger scale production of NPs.

In the manufacture of high quality homometallic or heterometallic oxide nanomaterials, hydrothermal processes offer significant benefits because they can operate at relatively low synthesis temperatures (compared to flame and plasma processes) and follow cleaner processing routes, not involving organic solvents.<sup>52-56</sup>

In a typical CHFS process, water is heated above its boiling point under pressure to cause precipitation or crystallisation of inorganic materials, akin to processes in which minerals are formed under the earth's crust over long periods or indeed in hydrothermal vents, which are deep under the sea. These natural vents occur in geologically active regions of the ocean floor where seawater penetrates fissures in the rock and is then heated by magma. Over time, the water dissolves minerals from the rock and as the water rises, some of the minerals precipitate out and harden on the rim of the vent. Eventually, a tall, chimney-like structure is formed; these are nicknamed "black smokers". The temperature of the water coming out of these deep-sea hydrothermal vents can exceed 360 °C, therefore, there are obvious parallels to the conditions in a laboratory based continuous hydrothermal reactor.

Superheated or supercritical water (sc-water) is of interest in a range of applications, e.g. oxidation of fine chemicals or sludge treatment.<sup>57</sup> Using water in processes is clearly also more sustainable than using organic solvents (such as in sol-gel<sup>47</sup> or emulsion<sup>49</sup> methods). However, to date the vast majority of hydrothermal nanomaterials synthesis processes are batch-wise operations, which have inherent drawbacks of being slow (one a day), difficult to scale-up (due to large volumes under high pressure), and difficult to control nucleation and growth precisely, leading to batch to batch variations, i.e. inconsistent products.<sup>53-54</sup>

There are a number of particularly excellent research articles and reviews and articles on supercritical (sc) fluids and inorganic materials<sup>28, 58-62</sup> as well as reviews and articles on the production of micro- and nanoparticles using supercritical water,<sup>61</sup> sol-gel chemistry in Supercritical Fluids (including carbon dioxide mixtures,<sup>63</sup> organic solvents and water),<sup>60</sup> nanomaterials syntheses in supercritical flow from coordination complexes,<sup>59</sup> and nanomaterials from batch hydrothermal and hydrothermal flow<sup>58</sup> methods. The authors recommend a review by Byrappa and Adschiri, which discusses liquid phase syntheses and compares several different synthetic routes.<sup>25</sup> Another review by Adschiri on "Supercritical Hydrothermal Synthesis of Organic–Inorganic Hybrid NPs" is also recommended to better understand the potential routes to make surface coated NPs (many of which are made in batch reactions, therefore, we will focus later mainly on flow processes in this regard).<sup>64</sup> The review by distinguished Professor Yoshimura (a world authority in hydrothermal science), is also highly recommended.<sup>26</sup> The authors refer readers to the series of conferences hosted around the world under the International Solvothermal and Hydrothermal Association (ISHA), which is mainly concerned with exotic reaction chemistry associated with organic solvents (solvothermal) and superheated water, respectively. These meetings can generally be located by a cursory search on the World Wide Web.

## 1.2. Continuous Hydrothermal Synthesis

In contrast to batch hydrothermal processes, continuous hydrothermal processes have been used for over 25 years or so for the efficient manufacture of NPs. Basic continuous hydrothermal processes can vary and designs for processes are emerging all the time. A typical process uses a high pressure pump to deliver room temperature water through an in-line heater in order to raise its temperature to typically >400 °C or more (well above its critical point). In a continuous reactor, a flow of superheated water is then brought to meet a flow of metal salt solution (aq) (which can be premixed in flow with a pH modifier or other reagent). Thereafter, the sc-water and metal feeds (the latter of which may have been premixed with base) meet in an engineered mixer, resulting in hydrolysis and dehydration or other reactions to form a metal oxide (or hydroxide, or oxyhydroxide, sulphide, phosphate, etc.). After initial nucleation, some growth can occur before the NPs are cooled in flow and then recovered as an aqueous slurry at atmospheric pressure (after exiting the process via a back-pressure regulator valve). A number of hydro-thermal flow systems designs are known to make metals and metal oxides, for example with supercritical alcohols,<sup>65-70</sup> however, we consider them largely out of scope, as we are mainly concerned with sc-water and its mixtures.

Since the early research into continuous hydrothermal systems,<sup>71-74</sup> the number of researchers, engineering designs and materials applications has increased.<sup>30, 32, 75-90</sup> The advantages of using continuous hydrothermal over batch hydrothermal methods are apparent and numerous and will be discussed later. At this time, CHFS technology is rapidly expanding into a number of new application areas and approaches to materials development; from nano-biomaterials and printed electronics to solid oxide fuel cell cathode materials<sup>38, 91</sup> and photocatalysts for hydrogen production / pollution remediation.<sup>92</sup> This review paper seeks to take an overview of some of the differing synthetic approaches for CHFS and to look forward to how NPs made this way might find industrial applications.

It is envisaged that inorganic nanomaterials and their composites or derivatives, will become ever more important due to socioeconomic factors, e.g. pollution prevention / remediation, microelectronics and devices, for enhanced security, in biological / healthcare applications, more efficient devices for energy storage and energy reduction / transfer. This review will also cover some of the chemistry aspects related to continuous flow reactors including novel approaches to understanding growth processes, new approaches to nanomaterials discovery as well as process scale-up.<sup>32, 93</sup> Before we discuss applications, it's worth considering the key properties of superheated water.

### 2 PROPERTIES AND REACTIONS OF SC-WATER

In order to understand why continuous hydrothermal processes can produce small NP ceramics in a matter of a few seconds, we must first understand the properties of supercritical water, which is one of the feeds / reagents for a continuous hydrothermal flow process.

# 2.1. Properties of sc-Water

Supercritical (sc) fluids are defined as the state of matter which exists above a substance's critical temperature and pressure.<sup>28</sup> This state is neither a liquid nor a gas, but it can simultaneously display the properties of both. In relation to the chemistry of inorganic species, a range of Supercritical Fluids have been explored, e.g. sc-alchohols, etc.<sup>66-67, 94-95</sup> In each case, the fluids have been used as clean solvents (e.g. for impregnating drugs into polymers,<sup>96-<sup>100</sup> or as an oil phase for generating templated monoliths),<sup>101-103</sup> reagents or even as "inert" anti-solvents and plasticisers,<sup>104</sup> to name a few different roles.<sup>28</sup> A comprehensive review by one of the authors is highly recommended as a general introduction to the Supercritical Fluids and inorganic materials and compounds field.<sup>28</sup> A paper by Adschiri</sup> et al. also describes the properties and some specific features of supercritical water.<sup>105</sup> Publications on supercritical water are numerous, since water is abundant and environmentally friendly, making it the ideal green solvent / reagent for chemical processes. For water, the critical temperature and pressure are ca. 647 K (374 °C; 705 °F) and 22.1 MPa (3200 psi or 218 atm), respectively.<sup>106</sup> Approaching these critical values, the properties of water begin to change radically (see figure 2a, 2b and 2c) in a manner, which makes it a potentially useful medium for conducting rapid chemical reactions.

Near the critical point, the properties of water are very different to that when it is under ambient conditions. The density of water decreases with increasing temperature from 1000 kg.m<sup>-3</sup> under ambient conditions to 712 kg.m<sup>-3</sup> at a temperature of 573 K on its "saturation curve" (the curve whereby the pressure is just high enough to keep the water as a liquid). Above 573 K, the density drops rapidly until it is just 322 kg.m<sup>-3</sup> at the critical point<sup>107</sup> (see Figure 2a, 2b). Similarly, the dielectric constant of water decreases from 80 to 33 (the same as methanol under ambient conditions) at 483 K, to ca. 5 (similar to ethyl acetate) at the critical point, and below 2 (akin to that of hexane; figure 2b) at 773 K.<sup>107</sup> This is because of the breakdown of extensive hydrogen bonding under these extreme conditions. The implication of the variations in these two properties is that polar inorganic salts are far less soluble in water under high temperature and pressure than at room temperature and pressure.

## Figure 2

The key to production of NPs in continuous hydrothermal processes is the maximization of supersaturation, as this increases the rate of nucleation of the particles, leading to smaller particles.<sup>108</sup> Since supersaturation is the ratio of the concentration of a species in a solution to the saturation concentration, it follows that the lower the saturation concentration, the higher the supersaturation will be.<sup>109</sup> This means that a rapid change in conditions for metal salts from ambient to near- or supercritical conditions, should provide a strong hydrolyzing environment for the precipitation of inorganic NPs.

There are a number of other important changes in the behavior of ions in near-critical or sc-H<sub>2</sub>O, which will now be discussed. Under ambient conditions, a cation in solution will typically be mainly surrounded by water molecules, with little presence of the anion in the first solvation shell. For example, Zn<sup>2+</sup> in ZnBr<sub>2</sub> has, on average, five water molecules and one Br<sup>-</sup> anion in its first solvation shell at ambient conditions.<sup>110</sup> In contrast, Ni<sup>2+</sup> in NiBr<sub>2</sub> under ambi-

ent conditions has six water molecules and no anions in the first solvation shell.<sup>111</sup> Under supercritical conditions, these cations change to tetrahedral geometry, with the first solvation shell now containing almost four anions for Ni<sup>2+</sup> and two for Zn<sup>2+</sup>.<sup>110-112</sup> The situation is similar for Ag<sup>+</sup> in solutions of AgNO<sub>3</sub>.<sup>113</sup> In addition, the M-O(water) bond length is shorter in the cases described above, while the H-O bond of the water molecules is longer, suggesting that reactions between the metal and the water may be favored. As for anions, their solvation shells are largely disrupted and their solvation energies become near-zero. This means that, for example, acids become much less acidic in hydrothermal conditions; for example, the pK<sub>a</sub> of HCl is half of its ambient value at the critical point.<sup>114</sup> In the case of both cations and anions, the major changes in their solution structure have taken place by the critical point.

In CHFS reactors, when metal salts under ambient conditions are suddenly mixed with sc-water, the conditions favorable for NP formation; rapid hydrolysis of the metal salts (taking metal nitrate salts as an example) and dehydration to hydroxides or oxides (or phosphates, etc.), can occur, often with narrow particle size distributions. The rapid hydrolysis of metal salts is supported by considering the values of K<sub>w</sub> (equilibrium constant for water) under extreme conditions. K<sub>w</sub> can be defined by the following equation;

$$Kw = [H^+][OH^-]$$
 (1)

Where each term in square brackets at room temperature is ca.  $1 \times 10^{-7}$  mol.dm<sup>-3</sup> (giving a value of K<sub>w</sub> = a value =  $1 \times 10^{-14}$  mol<sup>2</sup>.dm<sup>-6</sup>). Notably, the value of K<sub>w</sub> varies with temperature as we will see below. The pK<sub>w</sub> is also defined by equation (2) below and so for a value of K<sub>w</sub> at room temperature of  $1 \times 10^{-14}$  mol<sup>2</sup>.dm<sup>-6</sup>, this gives a pK<sub>w</sub> value of 14 at room temperature for example.

$$pK_w = -\log_{10}Kw$$
 (2)

Figure 2c shows that the pK<sub>w</sub> value versus temperature for various different pressures. It can be seen that water in the range at ca. 250 °C up to near the critical temperature and at 69 MPa (i.e. where the value of pK<sub>w</sub> is ca. 11. In terms of the changes in the [H<sup>+</sup>] and [OH<sup>-</sup>] for water, this means that under the high temperature and pressure range, K<sub>w</sub> is approximately equal to ca.  $[3.2 \times 10^{-6}] \times [3.2 \times 10^{-6}] = 1 \times 10^{-11} \text{ mol}^2.\text{dm}^{-6}$ . Thus, we can surmise that the individual values of [H<sup>+</sup>] and [OH<sup>-</sup>] ions in the water go from ca.  $1 \times 10^{-7}$  to  $3.2 \times 10^{-6}$  mol.dm<sup>-3</sup>, which is a ca. 30 fold

increase in the concentration. These changes provide a very hydrolysing environment, which favours the initial hydrolysis of the metal salt when it is mixed in flow with a supercritical water feed.

### 2.2. Chemistry and Process Variables

The reactions taking place in a continuous hydrothermal engineered mixer where sc-water and metal salts meet, can be summarized as a combination of rapid hydrolysis (often several steps) and dehydration, for which a typical and simplified set of equations are shown, for example in the reaction of a metal nitrate salt.

Hydrolysis:

 $M(NO_3)_{x(aq)} + xH_2O \rightarrow M(OH)_{x(s)} + xHNO_3$ (3)

Dehydration: 
$$M(OH)_{x(s)} \rightarrow M(O)_{x/2(s)} + x/2 H_2O$$
 (4)

However, this is an over simplification as the anions can often themselves decomposition smaller molecules and so the actual reactions that occur and number of steps or metal containing intermediates is often complex. For example, citrate or acetate precursors can often decompose in part to H<sub>2</sub> or CO or other molecules, which may actually play a role in the reaction (such as acting as in situ reducing agents). Furthermore, indium salts may decompose to the oxide, via the hydroxide and then the oxyhydroxide, and depending on the exact conditions used, one of these intermediates may be retained in the collected products<sup>93</sup> (i.e. the transformation via dehydration, may not always proceed all the way to the oxide).

A full discussions of growth models are not given here as they are already available elsewhere<sup>115</sup> and because the focus of this review is on applications. Some salient points are below though for those who are new to the field. Key to the production of well-defined NPs is the mixing of sc-water and a metal salt solution (at ambient temperature) in an engineered mixer. When this happens, the level of supersaturation surpasses the critical nucleation threshold, nucleation occurs, which in turn reduces the degree of supersaturation. In a dynamic system such as a flow reactor, the precursor concentration will then become lower than the nucleation threshold. If there is no more material in solution, then the particles obtained, may primarily be those from the initial nuclei, which will result in a narrow size

distribution and small particles.<sup>116</sup> However, it is possible for NP growth to follow particle nucleation in a number of ways,

1) Precursor metal ions from solution can feed to grow the preformed nuclei, or

2) Ostwald ripening can occur (small nuclei that are able to dissolve and then redeposit onto more thermodynami-

cally favorable larger nuclei, and

3) NPs can coalesce to form larger ones.

From the above scenarios, we can visualize a simplistic model which accounts for the varying size and shapes and size distributions often observed in continuous hydrothermal systems.<sup>115</sup> Hydrothermal batch reactions, do not usually rapidly create this supersaturation due to slower heating times and they typically create micron-sized particles.<sup>25-27, 117</sup> In cases where small particles are created in batch processes, organic surfactant molecules are often used to bind to the growing particles and thereby, retard their growth and agglomeration.<sup>25, 64, 118</sup>

Table 1 suggests that there are relationships between process variables, impact and product outcomes for a typical continuous hydrothermal process (adapted from Lester et al.).<sup>119</sup> The table clearly shows how one or more inputs can have a direct or indirect effect on the product's attributes. Just about every input variable in a continuous reactor can be independently altered, including process pressure, temperature of incoming water, concentration / composition of metals, relative flow rates, etc. This gives some control over the final products, which is a major strength of continuous hydrothermal processes.

The role of additives and the solubility of the metal salts, can both have an effect on crystallite size (see later section 10.1). For example, the authors have published the effects of adding peroxide in the CHFS synthesis of ZnO (from the metal nitrate salt); it appears that peroxide increases solubility of the Zn species in solution and hence, extends the growth phase on certain facets, which results in needles / rods or higher aspect ratio particles.<sup>120</sup> Other additives are important in providing reducing or oxidizing environments for controlling phase behavior of the product, partially through affecting oxidation states of metals. Such additives can be introduced at various points in a flow process, e.g. a reducing agent can be added to the supercritical water feed prior to mixing with the metal salts. This has been used in a number of publications such as in transparent conducting oxides (see later in section 6.2).<sup>121</sup> This approach can effectively enhance the potency of the chemical agent before its meets the metal salt in flow. Finally, it will be seen that more than just metal oxides can be made via continuous hydrothermal processes; indeed sulfides<sup>115, 122</sup> (see section on Q dots/phosphors), tellurides,<sup>123</sup> silicates<sup>124-126</sup> and phosphates<sup>76</sup> (e.g. bioceramics<sup>76, 127-130</sup>) and metals<sup>131</sup> (Cu for example)<sup>131</sup> can also be made to name a few. These reactions require the addition of suitable reagents, as will be seen herein.

# Table 1

### 3 OVERVIEW OF CONTINUOUS HYDROTHERMAL REACTORS

# 3.1. Early Approaches / General Observations

Historically, there were two approaches to early continuous hydrothermal processes, which were developed independently in USA and Japan, respectively. The simplest approach was developed at Pacific Northwest Laboratories, USA, in which a stream of metal ions in solution was pumped through a furnace (Figure 3a).<sup>132</sup> Narrow pipe diameters were used to allow the solution to rapidly reach the furnace temperature (high surface area to volume ratio), and particles were able to rapidly nucleate and grow. The growth of particles was rapidly terminated by a RESS (Rapid Expansion of Supercritical Solution) set-up at the end of the process, whereby the suspension passed out through an expansion nozzle into a condenser, enabling particles to be collected.<sup>133</sup> This method was used to produce a number of materials, including simple oxides such as Fe<sub>2</sub>O<sub>3</sub>, Fe<sub>3</sub>O<sub>4</sub>, CuO, NiO, ZrO<sub>2</sub>, TiO<sub>2</sub> and ZnO, heterometallic oxides such as NiFe<sub>2</sub>O<sub>4</sub><sup>133</sup> and other materials such as iron oxyhydrides.<sup>134</sup> This process design benefited from its simplicity in only having one feed, and no consideration of mixing dynamics required. However, this approach was limited by the necessity to rapidly quench the reactants, and it was inflexible.

A different (and now more widely used) approach was developed by Japanese researchers in the early 1990's (shown in Figure 3b). This system worked by mixing a stream of near-critical or supercritical water with a stream of inorganic salts (in solution at ambient temperature) in a continuous manner.<sup>72</sup> The early continuous hydrothermal flow reactor apparatus as reported by researchers in Japan, typically consisted of two HPLC pumps, one of which pumped a metal salt solution (or mixture of metal salts), while the other delivered sc-water through an inline heater. In the early reactor designs, this preheater was simply coiled tubing in a heated furnace, but more recently, some research groups have used custom built electrical heaters, in which a coil is encased in or around a heated block.<sup>75-76,</sup>

135-136

Historically in continuous hydrothermal processes for NP synthesis, the two feeds were mixed (i.e. hot water and metal salts) in simple tee-piece arrangements (although more recently, other mixing designs have been investigated, as will be briefly mentioned later). In some cases, the processes also included a heating-stage comprising of an external furnace (post the mixer stage) where the newly formed particles were effectively heated further (and for longer) in order to improve crystal quality or increase crystal growth or help to increase yields or convert the intermediates to the desired end product.<sup>71-72</sup> Thereafter, flow processes tended to have a part of the process for cooling to ambient temperatures (e.g. a pipe-in-pipe heat exchanger). In many of these hydrothermal flow systems, it was often reported that particles were collected in in-line filters, or the filters were used to filter out any large particles or agglomerates to protect the back pressure regulator, BPR (a valve that lowers the process slurry pressure back down to atmospheric pressure).<sup>77</sup>

This mixing of a superheated water and a cold metal salt solution has been suitable to produce a number of different nanomaterials, for example, simple oxides such as  $Fe_2O_{3r}^{72, 137} CoO_r^{135} Co_3O_{4r}^{72} NiO_r^{72, 138} ZrO_{2r}^{72} CeO_{2r}^{139}$  as well as mixed oxides such as  $Ce_xZr_{(1-x)}O_2^{62}$  and  $CoFe_2O_4^{135}$  and other compounds such as  $La(OH)_3^{140}$  and  $AlO(OH).^{71}$  In many cases, the early CHFS designs gave low yields (i.e. for CoO or  $ZrO_2$ ) or it was not possible to achieve phase pure materials at reasonable temperatures (i.e. as shown for  $Co_3O_4$  and NiO under certain conditions).<sup>136</sup> Furthermore, some materials were not accessible at all; for example, ZnO would not precipitate under neutral conditions.<sup>135</sup>

# Figure 3

**Note**: The reader is drawn to the fact that, although one of the feeds is supercritical water, after mixing with an ambient temperature metal salt solution (aq.), the resulting mixture may be at considerably lower temperature than the critical temperature of pure water (depending on parameters, as described later). In certain cases (in early publications), one or more precursors underwent premature reactions when their solutions were mixed under ambient conditions, which made it difficult to mix and pump the precursors via standard HPLC pumps. For example, in the synthesis of hydroxyapatite, certain precursor materials (Ca<sup>2+</sup> and PO<sub>4</sub><sup>3-</sup> ions) underwent pre-reaction when mixed in the same precursor solution.<sup>76</sup> The solution to this problem is to add a third pump, which allows two reagents to now be mixed in flow via pumps 2 and 3 (Figure 4a).<sup>141</sup>

Researchers sometimes used the third pump (figure 4a) to pump a base solution (KOH) in order to precipitate the metal salt in situ. The base solution and metal salt feed can be configured, so that they mix either before or after one of the two feeds meets the supercritical water feed. For example, Co<sub>3</sub>O<sub>4</sub> and Fe<sub>2</sub>O<sub>3</sub> were found to have a more uniform particle size distribution if the KOH solution was mixed with the supercritical water feed, before the respective metal salts were added to the mixture in-flow.<sup>142</sup> In contrast, ZnO was found to form rounded particles if the KOH solution was mixed first, or rods if the Zn(NO<sub>3</sub>)<sub>2</sub> salt was mixed with the supercritical water feed first.<sup>89</sup> This arrangement is shown in Figure 4b<sup>89</sup>.

More recently, the third pump was used for pumping reagents other than just KOH base. For example, LiOH has been used in this feed in order to produce  $LiCoO_2^{20}$  and  $[(NH_4)_2HPO_4]$  has been used as the phosphate source to precipitate hydroxyapatite,  $[Ca_{10}(PO_4)_6(OH)_2]$ , and other doped and undoped calcium phosphates.<sup>76</sup>

In theory, extra HPLC pumps can be added in CHFS systems, but in practice, most inorganic salts will either dissolve in acidic or basic conditions, so this is often unnecessary. However, in the CHFS synthesis of phase pure barium hexaferrite, a four-pump setup was used; the fourth pump was used to provide a flow of heated water (200 °C) that pre-heated the metal salt and base solution mixture before meeting a further flow of superheated water was added (Figure 4c).<sup>77</sup>

### 3.2. Mixing and Modelling

In this section, we will briefly review some of the different and novel approaches to mixing, modelling and measurement / imaging that have been used in continuous hydrothermal processes. This is an area, which has given tremendous insights or allowed a better comparison of different mixers and how process conditions, affect the products obtained. Several mixers were developed including off the shelf or customized mixers. A full review of mixers is beyond the scope of this review, therefore, limited space has been devoted to briefly discussing some of the new or interesting designs as a way of introduction to the topic. Poliakoff et al. have also surveyed many mixing designs.<sup>143</sup>

As continuous hydrothermal processes are trying to mix ambient temperature metal salts with supercritical water, it is important to understand that the key aim is to allow rapid mixing of the two feeds (which are at very different temperatures and densities<sup>144</sup>), whilst avoiding pre-heating of the metal salts or blockage of the pipe/reactor with products due to fouling. Furthermore, different mixers affect the thermal "history" (time/temperature) that the metal salt (and subsequently the nanoparticles) experience during nucleation and growth.<sup>145</sup> Thus a full consideration of reactor parameters (flow rates, temperatures, reactions in flow), heat exchange events in the mixer, buoyancy effects versus momentum effects of flows meeting (of the hot water / slurry), changes in properties of the fluids, etc. should be taken into account when choosing mixer designs. Often, a mixer will only work well or as needed within a range of flow rate conditions before it is better to scale-up or scale out a process (i.e. at higher flow rates) or indeed use a different mixer!.

Computational or image analysis<sup>144, 146</sup> techniques can be used to better understand the different mixers in continuous hydrothermal reactors for NP synthesis. Computational fluid dynamics modelling has been used in this regard; in many cases,<sup>147</sup> it has been carried out retrospectively to explain problems or indeed good mixing behaviors.<sup>147-156</sup> Other computational studies include the report by Wang et al. who investigated population balance (PB) modelling as a tool to study the effects of solution concentration and reactor residence time, on the particle size distribution.<sup>157</sup> This is an area which is already giving insights into how process conditions, affect the products obtained.

Historically, many CHFS-systems have favored simple tee-piece mixers (to mix superheated water and metal salts) as the mixers can be assembled from standard off-the-shelf fittings and can be replaced easily and may be available in exotic corrosion resistant materials if needed (albeit at considerable cost).<sup>71</sup> Tee piece mixers are still very much in use and can operate under a range of flow rates and orientations.<sup>30, 145, 158-160</sup> Some recent comprehensive reports on the effects of continuous hydrothermal synthesis operating parameters (Reynolds numbers, flow rates etc.) on ceria NP properties, were reported by Adschiri and co-workers in the temperature range 200 to 380°C.<sup>159</sup> In this case, rapid mixing and higher temperatures, favored the formation of smaller NPs.<sup>159</sup> Furthermore, in order to visualize the mixing behavior of the two streams, Adschiri et al. performed neutron tomography meas-urements on various mixers<sup>161-162</sup> for a continuous hydrothermal system at ca. 400 °C and 25 MPa. A three dimensional distribution of neutron attenuation was obtained by rotating the angle of the mixer and collecting images (see Figure 5a) This technique showed itself to be very powerful and could assist researchers in the future in developing not only better mixers, but also finding the optimum conditions for rapid or controlled mixing.

In comparison to tee mixers, other researchers have reported the use of swirling micro mixers with improved mixing (see Figure 5d). A continuous hydrothermal process incorporating a micro mixer led to a reduction in particle size of bohemite [Al(O)OH] in the range 100 to 200 nm (for tee mixer) to 60 nm (by TEM and DLS data).<sup>163</sup> The same mixer design was also used for NiO synthesis, with smaller particles again being made compared to a tee mixer.<sup>164</sup> Other researchers studied tee-piece mixers with non-standard geometries.<sup>165-166</sup> In a report by Kim et al., continuous hydrothermal synthesis of olivine (LiFePO<sub>4</sub>) NPs was investigated using three different mixing tee geometries; a 90° tee (a conventional Swagelok® T-union), a 50° tee, and a swirling tee.<sup>165</sup> The use of a swirling tee led to smaller-sized LiFePO<sub>4</sub> particles with fewer impurities and better performance.<sup>165</sup> The disadvantage of these non-standard designs is that they require custom-made parts, whereas co-current, countercurrent or tee-piece or other mixers can be made quickly and affordably from off-the-shelf, e.g. Swagelok<sup>TM</sup> parts.

Lester et al. developed counter-current mixers (called the "nozzle reactor") for CHFS-type processes,<sup>167</sup> which are able to take advantage of the relative densities of the fluids in the system and mix them without significant preheating of the metal salt feed under certain conditions.<sup>152</sup> This is a coaxial pipe in pipe counter-current design, arranged vertically such that the hot water enters from the top, the metal salt enters from the bottom and the products are removed upwards (see Figure 5c). Recently, the authors at UCL (whilst investigating new improved mixing designs) revisited the counter-current mixer and measured the actual temperatures inside the reactor at certain points by placing thermocouples inside various points of the reactor for a wide range of mixing conditions, and particularly the mixing point. The study used pure water for the nominal ambient temperature 'metal' feed (rather than actual solutions with metal salts as materials might build up on the thermocouples placed internally).<sup>168</sup> This work was extremely revealing and showed that for balanced flowrates (when the flowrates of the superheated water and 'precursors' were equal on a mass basis), the superheated water issuing from the inner pipe, did not penetrate far into the 'precursor' (ambient temperature water) feed.<sup>168</sup> However, large, rapid fluctuations in temperature with time were observed below the outlet of the inner pipe when the flows were unbalanced (an excess of superheated water) and under such conditions, jetting occurred that was considered to be undesirable for the controlled synthesis of NPs. An increase in temperature of the products as they flowed up through the annulus between the inner and outer pipes, was measured by the authors. Importantly, the data suggested internal transfer of heat between the incoming superheated water (inner pipe) and the cooler products (outer pipe). This meant that the theoretical temperature of mixing for the products (i.e. the part mixed water feeds in this case) was approached only at the outlet of the mixer.<sup>168</sup>

More recently, the UCL authors developed the highly scalable coaxial or confined jet mixer (see Figure 5b), which offered several advantages including that the mixing between the ambient temperature and sc-water feed occurs almost instantly. Furthermore, the sc-water feed can run at higher flow rates to achieve very high mixing temperatures of 370 °C or higher (known as unbalanced mixing).<sup>148, 159-170</sup> This mixer has been shown to make very similar materials when scaling up from lab to pilot plant CHFS process (>2 kg.h<sup>-1</sup> by dry mass), as will be discussed later.<sup>170</sup> Furthermore, two mixers can be placed in series to allow nucleation in the first mixer (at a higher temperature) and then the second mixer can be used to add capping agents or quenching water into the process<sup>174</sup> (this approach with two mixers in series has also been studied with other mixer designs such as tee-piece). For example, lversen and Aymonier have also favored the use of co-flow mixers for the synthesis of well-defined nanoparticles.<sup>1772</sup> The same researchers have performed a wealth of in situ hydrothermal / solvothermal semi-batch (rapid heat) as well as continuous process studies using in situ X-rays to better understand nucleation and growth kinetics and changes in particle crystallinity and phase evolution in the first few seconds under supercritical conditions.<sup>172-180</sup> This work has been tremendously useful in trying to evaluate the effects of residence times and conditions on particle evolution under extreme conditions.

Figure 5

### 4 OPTICAL APPLICATIONS (NON-BIO USE)

Optical applications for nanomaterials are very much of interest given the rise of nanomaterials in electro-optical printed devices and the ability to tune colour with the size of certain nanomaterials.<sup>1, 181</sup> Other materials include high-density laser lens materials where the use of nanomaterials may allow the densification process to be performed under relatively milder conditions compared to more traditional solid state reactions. Another emerging area for nanomaterials with useful optical properties is in biolabels for cell imaging or therapies; these applications will be covered later in the biomedical applications.<sup>182</sup>

### 4.1. Nanophosphors (Non-Bio Uses)

Inorganic phosphors are typically transition metal compounds (often with rare earth elements) that phosphores resce. The most common uses of phosphors are in cathode ray tube (CRT) displays and fluorescent lights. Phosphors are used in field emission displays (FED) and plasma displays (PDP). FEDs and PDPs have lower energy requirements and are generally more compact than a CRT screen. FEDs use a high current density, which can cause deterioration of the phosphor powder. In PDPs high energy photons impinge on the phosphor. The phosphor requires high thermal stability and a long lifetime.<sup>141, 183</sup> One of the potential advantages of using CHFS type processes for the fabrication of phosphors, include that it may be possible to make some materials directly in a single step or that such materials may sinter at lower temperatures (e.g. for laser lenses) than are more conventionally used.

**Yttrium Aluminium Garnet Based Materials.** Yttrium Aluminium Garnet (YAG or Y<sub>3</sub>Al<sub>5</sub>O<sub>12</sub>) is an important phosphor material. It possesses a high efficiency of energy transfer and is resistant to radiation damage, which makes it useful in laser lenses; because it is usable in the UV to mid IR range of the electromagnetic spectrum, it is useful for IR and laser windows. YAG also has a cubic crystal structure, which gives it optical isotropy (unlike sapphire). It is used as a host material for full colour phosphors by doping with lanthanide ions.<sup>184-185</sup> Furthermore, YAG based phosphors are used for cathode ray tube applications because of their thermal stability and resistance to saturation at high current excitations <sup>183</sup>

Conventionally YAG is prepared via a solid-state reaction of yttrium oxide and alumina in the range 1600 to 1800 °C. The process is slow and reaction intermediates such as YAIO<sub>3</sub> (YAP) and Y<sub>4</sub>Al<sub>2</sub>O<sub>7</sub> (YAM) form and only transform into YAG at high temperatures.<sup>186</sup> Wet chemical routes such as sol-gel,<sup>187</sup> co-precipitation,<sup>184, 188</sup> combustion,<sup>189</sup>

and spray pyrolysis,<sup>190-191</sup> have been used to synthesise YAG phosphors. Additional heat-treatments steps are also often required (e.g. sol-gel and co-precipitation) and aggregation of products during post heat-treatment, is common.<sup>192</sup> Other methods, e.g. spray pyrolysis and combustion, can also lead to large particles and a high degree of aggregation.<sup>190</sup>Batch hydrothermal methods have also been used to manufacture YAG NPs (including surface functionalised ones<sup>193</sup>) and in some cases, this required an initial precipitation followed by a hydrothermal autoclave reaction step.<sup>194</sup>

Continuous hydrothermal methods have been used to make phosphors<sup>52, 195-197</sup> which include YAG,<sup>94, 198-199</sup> europium doped YAG (YAG:Eu<sup>3+</sup>) and terbium doped YAG (YAG:Tb)<sup>94, 141, 183, 196</sup> and co-doped Ce<sup>3+</sup> / Eu<sup>3+</sup> YAG.<sup>200</sup>

Figure 6.

Hakuta et al. synthesized YAG:Tb at 400 °C in a flow reactor using an aqueous solution of metal salt solution containing Al<sup>3+</sup>, Y<sup>3+</sup> and Tb<sup>3+</sup> ions [from Al(NO<sub>3</sub>)<sub>3</sub>·9H<sub>2</sub>O, Y(NO<sub>3</sub>)<sub>3</sub>·6H<sub>2</sub>O and TbCl<sub>3</sub>·nH<sub>2</sub>O], and aqueous KOH that were pumped separately.<sup>141</sup> The reactor temperature was maintained at ca. 400 °C with flow rates of 2 mL.min<sup>-1</sup> for the metal ion and KOH feeds, respectively, and 8 mL.min<sup>-1</sup> for the water, the pressure in the reactor was maintained at 30 MPa. Single phase YAG was produced at 400 °C (and 30 MPa) with a stoichiometric (Y + Tb) / Al ratio [(Y + Tb) / Al = 0.6]. The particles appeared to be single crystals and showed the characteristic emission of a YAG:Tb phosphor, with a comparable maximum intensity to that of a material produced through a solid-state reaction. Excess Al<sup>3+</sup> ions produced additional AlOOH phases, and excess Y<sup>3+</sup> ions produced YOOH phases under these conditions. At higher alkali molar ratios, YOOH formed with the YAG (and because of a low conversion of Al). At low alkali molar ratios, AlOOH formed due to a low conversion of Y and Tb.<sup>141</sup>

Temperature also had an effect on the continuous hydrothermal synthesis of single phase YAG.<sup>141</sup> Temperatures higher than the critical point of water, led to higher conversions and in the range 350 to 420 °C (because the selectivity of YAG increased with increasing temperature). Below the critical point, yttria ions were too soluble and thus, conversion rates were low (ca. 16 %) and, therefore, Al(O)OH was formed. In a later paper by Hakuta et al.,<sup>196</sup> it was found that YAG was produced only when the pH of the starting solution was ca. 9. At neutral pH, AlOOH was also produced and at pH > 9, YAG and YOOH were both produced. In the formation of YAG:Eu<sup>3+</sup>, residence time was

found to play an important role in the formation of the YAG and for long residence times (i.e. 20 s), crystalline YAG could be formed at any pH.<sup>183</sup>

A number of phosphors have also been made using water and alcohol or organic mixtures as the solvents.<sup>94</sup> Clearly, though the use of alcohols in part for water is generally less desirable/sustainable than using water alone, but as will be seen later, the use of organic co-solvents appears to favour enhanced reaction rates or alters particle size or improves crystallinity for some NPs made in flow.<sup>94</sup> For example, Crystalline YAG particles were produced in a continuous hydrothermal process using precursor solutions containing Y<sup>3+</sup> and Al<sup>3+</sup> salts in water-ethanol mixtures.<sup>94</sup> The YAG was produced in a single step and higher pH tended to favour nucleation as opposed to growth, which led to smaller particles; this can be explained by reaction equilibrium theory.<sup>201</sup> The reaction conditions used to make YAG in water/ethanol mixtures were in the temperature range 260 to 385 °C and 24 MPa. The flow rate for the scH<sub>2</sub>O feeds was in the range 10 to 20 mL.min<sup>-1</sup> and the precursor solution feed was half that of the scH<sub>2</sub>O. The ratio of ethanol:water in the feed solution affected the particle size; particles produced from a 60 % ethanol precursor solution, were smaller (ca. 50 nm) and less crystalline than those produced from 90 % ethanol precursor solutions. It is interesting to note that the authors used water / alcohol mixtures to affect the crystallinity of the final products, which potentially offers the ability to tune the reaction chemistry. Indeed Hoffmann and colleagues<sup>202</sup> suggested that the degree of H-bonding in sc-alcohols can be tuned as a function of density. Furthermore, as alcohols have lower critical temperatures that water (e.g., for scMeOH,  $T_c = 240 \text{ °C} / P_c = 81 \text{ bar}^{28}$ ), which means that hydrogen bonding largely breaks down at much lower temperatures than in sc-water. Therefore, when such alcohols are combined with water, they may be inclined to display different effects on nucleation, growth and crystallisation for nanoparticles in flow compared to supercritical water alone.<sup>203</sup> Indeed, in the aforementioned example for YAG<sup>94</sup>, when more alcohol was present, particles tended to be more crystalline.

In comparison, Chudoba *et al.* found that favorable conditions for single step YAG production were achieved using acetates (and acetylacetonates) in aqueous-alcoholic solutions as starting materials. Aqueous and alcoholic solutions of nitrates and aqueous solutions of acetates were found not appropriate as precursors for YAG production; after annealing the as-prepared powders at 600 °C, comparable density was obtained to a material made via a more conventional precipitation and heat-treatment route.<sup>199</sup>

In a report by Lee et al., YAG co-doped Ce<sup>3+</sup>/ Eu<sup>3+</sup> was made in continuous hydrothermal process via the reaction of a mixture of base and metal salts with supercritical water.<sup>200</sup> For a reaction time of 20 s and at a pH of 9.1, the

average size of NPs was in the range 60 to 150 nm (mixture of cubic or hexagonal particles co-existing with spherical particles). The as-made nanophosphors, had a broad emission (green-yellow region) due to Ce<sup>3+</sup> and a sharp emission centred in the red (at 610 nm due to Eu<sup>3+</sup>).<sup>200</sup> This report revealed no secondary phases present under certain conditions using KOH, which is in contrast to many other reports on the attempted synthesis of YAG.

More recently, Y<sub>3</sub>Al<sub>5</sub>O<sub>12</sub> (YAG) and Yb<sub>3</sub>Al<sub>5</sub>O<sub>12</sub> (YbAG) were synthesized from "gels" in a flow system.<sup>198</sup> In the report, a white gelatinous precipitate (from the corresponding metal nitrates) was first produced, which was suspended in deionized water, before the slurry was fed into a custom-made continuous flow reactor with a heated chamber.<sup>198</sup> Structural changes of the garnet structures were then followed using variable temperature measurements on the dried products using powder X-ray diffraction at a synchrotron source in the temperature range 100 to 1000 K.<sup>198</sup>

**Zinc Based Phosphors.** ZnO is also a promising phosphor material. It has a significant green emission at 510 nm (excitation 350 nm) due to singly ionized oxygen vacancies<sup>204-205</sup>. ZnO has a large exciton binding energy (60 MeV) <sup>206</sup> and has UV emission at 380 nm due to exciton emission <sup>207</sup>. ZnO has the same crystal structure as GaN-based compounds, but it is more stable in comparison. It is therefore, thought that the degradation of emission properties due to defect propagation, may not be such a problem for ZnO materials.<sup>208</sup> Hence, ZnO can be used as a high efficiency, low voltage phosphor.<sup>209</sup>

Nano-ZnO can be made reliably and efficiently in a CHFS with a large degree of size and some shape control. ZnO has been reported to show red emission (620 nm)<sup>208</sup> which may have been due to trace elements or doubly ionised oxygen vacancies.<sup>210</sup> High crystallinity is evidently important for photoluminescence and emission efficiency. In this case, the sharp XRD peaks suggest that despite the small size, the CHFS-process produced ZnO had high crystallinity (suggesting high efficiency and low emission threshold due to quantum effects associated with nano-size).

ZnO can also be used for UV lasing applications as the high exciton binding energy gives it a low UV lasing threshold (nano-ZnO has an even lower lasing threshold due to quantum effects).<sup>206</sup> Literature reported photoluminescence (PL) spectra of ZnO have previously shown strong near band-gap edge emission (380 nm) and thus, optimization of CHFS-process conditions can give high UV emission efficiency.<sup>208</sup> The authors reported the formation of a green ZnO phosphor when they attempted to prepare sulfur-doped ZnO, which instead produced a partially reduced ZnO with the typical wurtzite structure.

Han et al. examined the optical properties of small (size range 10 to 15 nm) ZnO NPs synthesized in scMeOH and scH<sub>2</sub>O, respectively.<sup>211</sup> They also obtained surface functionalization with oleic acid and suggested that the photoluminescence (PL) spectra and photophysics, were strongly affected by the presence of the coordinating ligands. In the absence of oleic acid, ZnO NPs with a mean diameter of ~15 nm (that formed secondary aggregates of ~150 nm in size), were shown to possess interstitial zinc and oxygen vacancies as the major defects. In contrast, the oleic acid capped particles appeared to have significantly reduced zinc interstitials.<sup>211</sup>

Aymonier et al. demonstrated the synthesis of well-defined excitonic luminescent ZnO nanoparticles (from Zn(acac)<sub>2</sub>·H<sub>2</sub>O and trioctylphosphine in the presence of H<sub>2</sub>O<sub>2</sub> in supercritical alcohol at 250 °C / 25 MPa) using the carefully controlled microfluidic flow system, using a horizontal co-flow mixer (co-current, pipe-in-pipe type).<sup>212</sup> Due to the limited production rates of the microscale approach, the same team investigated the influence of scale-up (up to 100 mg.h<sup>-1</sup>) from micro to milli-fluidic systems on the optical properties of the ZnO nanocrystals. They demonstrated a number of different shapes for ZnO depending on the process set-up. Furthermore, all of the nanocrystals revealed a strong excitonic-only emission in the UV region in the room temperature photoluminescence (PL).<sup>212</sup> This report clearly highlights the potential benefits of going to smaller scale flow processes for supercritical hydro-thermal processes, which may offer some advantages despite the relatively low production rates. The future directions for such materials will no doubt lie in their use for novel optical advanced materials for displays, lasers, lenses, optochemical sensors, novel security inks or for biological imaging (see later section 5.5), particularly their use in non-oxides based chemistries (e.g. sulfides, nitrides, oxy-nitrides) where tuneable emission and excitation may be possible. Indeed, Tang et al. reported the synthesis of ZnS uncoated particles in, which surface agents were seen to alter its photoluminescent properties (see more information on this material in section 5.5).

**Other Phosphor Host Materials and Considerations.** As well as YAG based materials, there have been a number of reports on the CHFS synthesis of phosphors based on yttrium oxide (or the hydroxide or oxyhydroxide), zirconia<sup>214</sup> or silicates<sup>215</sup> or other hosts lattices doped with rare earths such as Eu or Tb, or Pr doped Perovskites.<sup>216</sup> Lester et al. reported the synthesis and properties of Eu doped ZrO<sub>2</sub>,<sup>214</sup> as well as Eu doped yttria<sup>217</sup> (evaluated for biological applications as will be seen later in section 5.5).

Pr-doped CaTiO<sub>3</sub> nanoparticle phosphors (strong red emission peak at 613 nm) were made in flow at 400 °C and 30 MPa from Pr and Ca nitrates and a TiO<sub>2</sub> sol (5 nm), and aqueous solutions of KOH.<sup>216</sup> A T-type micromixer was

used for rapid heating of the aqueous solutions (22 nm particles were made at a residence time of 5.0 s). In particular, the mechanisms of product formation were better understood by looking at the effects of residence time and KOH molality on the Ca:Ti ratio, particle, diameter, crystallite diameter, and crystal structure.<sup>216</sup> With increasing residence time and also [KOH] the Ca:Ti ratio in the solid increased up to a value of 1:1, resulting in CaTiO<sub>3</sub> (orthorhombic) as the major phase. A formation mechanism is proposed in which dissolution of the TiO<sub>2</sub> sol, led to formation of a Ca:Ti hydroxide precursor though hydrolysis, then nucleation–growth of CaTiO<sub>3</sub>, via dehydration / condensation.<sup>216</sup>

Defects in crystals can arise during the synthesis of ceramic phosphors from component chemicals. These defects can reduce luminescence intensities, however, if lower temperature synthesis and crystallisation methods to manufacture phosphors can be developed, it may be possible to avoid having to heat phosphor materials as high as 1000 °C. Indeed, some of the authors manufactured nanophosphor libraries (using a robotic CHFS system) in order to study the effects of heat-treatment temperatures as well as the dopant concentration on luminescence of the as as-made NPs.<sup>218</sup> Nanocrystals were synthesized in CHFS from precursor solutions and eight unique compositions comprising pure as-prepared nano-Y(OH)<sub>3</sub> and several Eu<sup>3+</sup> doped-yttrium hydroxide nanocrystal samples. The Y(OH)<sub>3</sub>:Eu<sup>3+</sup> samples were split up and then manually placed in a furnace and heat-treated in air for 4 h in the temperature range 200 to 1200 °C [at 100 °C intervals, giving a total of 84 samples plus one as-prepared<sup>28</sup> pure Y(OH)<sub>3</sub> sample].<sup>218</sup> The as-prepared and heat-treated ceramic samples were affixed in an aluminium well plate holder and analysed using a fluorescence spectrometer (illuminated with a 254 nm light). The 3 mol% Eu<sup>3+</sup> sample heat-treated at 1200 °C formed Y2O3:EU3+ and gave the most intense fluorescence overall (major red peak at 612 nm). However, an identical nanocrystal sample heat-treated at only 500 °C (also identified as Y2O3:EU3+ after this heat-treatment) was the brightest phosphor under illumination of the samples heat-treated at or below 1000 °C.<sup>218</sup> Evidently, these conditions were ideal for the conversion to the oxide with relatively few defects, which promoted the locally high luminescence.

# Figure 7.

Hayashi and co-workers reported that Eu<sup>3+</sup> doped yttria NPs could be synthesized by continuous hydrothermal process using the respective metal nitrate reagents and KOH solution, heated at in the temperature range 350 to

450 °C (pressure 30 MPa), and followed by a further heat-treatment. Powder XRD data for the as-prepared products, confirmed that (doped) YOOH was made; this could be converted into cubic-phase  $Y_2O_3$  after an additional heat-treatment step at > 550 °C. Notably, the particle size was also < 50 nm after heat-treatment (at as high as 800 °C). Effects of CHFS process reaction time, post synthesis annealing temperature and amount of Eu<sup>3+</sup> dopant on photoluminescence intensity, were examined. The as-prepared particles exhibited red emission without annealing at high synthesis temperatures, whereas photoluminescence intensity (at 612 nm) generally increased with higher annealing temperature (post synthesis). Notably, other researchers have tried to make  $Y_2O_3$  (as well as La<sub>2</sub>O<sub>3</sub>) directly in a CHFS-type process, but were largely unsuccessful, instead often obtaining Y(OH)<sub>3</sub> [or La(OH)<sub>3</sub>], which then needed to heat-treat for 2h to form the oxide at 773 K [for Y(OH)<sub>3</sub>] or 1073 K [for La(OH)<sub>3</sub>] for 2h.<sup>219</sup>

There are relatively few reports of silicate type phosphor materials in the CHFS literature, therefore, one of the more unusual reports relating to phosphors<sup>126</sup> was on the synthesis of sub-micron  $Zn_2SiO_4:Mn^{2+}$  particles, where colloidal silica (or sodium silicate) was used as the Si source.<sup>126, 220</sup> The reactions required high temperatures and pressures (temperature range 400 to 500 °C, at pressures ranging from 30 to 35 MPa) using NaOH as a base. It was found that when sodium silicate was used,  $\alpha$ - and  $\beta$ - doped zinc silicate phases were formed regardless of the Zn and Mn sources.<sup>126</sup> However, when colloidal silica was used, the products were more sensitive to the Zn and Mn precursors used or the use of base. As the reaction temperature increased, the crystallinity of  $\alpha$ -phase increased and substitution of the Mn<sup>2+</sup> into the Zn sites was shown to decrease. The  $\alpha$ -Zn<sub>2</sub>SiO<sub>4</sub>:Mn<sup>2+</sup> particles were round in shape, having an average diameter of ca. 268 nm, with a green-emission (wavelength of 524 nm).<sup>126</sup>

### 4.2. UV Attenuating Nanoparticles

Even though a minimal level of solar radiation is considered good for living organisms,<sup>221</sup> such as encouraging vitamin D production in humans,<sup>222</sup> UV light is more energetic than visible light and it can cause damage to human and animal skin,<sup>223-227</sup> degradation of polymers,<sup>228-230</sup> bleaching and fading of dyes and paints<sup>231-233</sup> and reduce the shelf life of foods such as fresh meat and vegetables.<sup>234-236</sup>

The effect of UV on humans was discovered as far back as 1798 when Willan described sensitivity to light under the term 'eczema solare'.<sup>237</sup> Since then, UV radiation has been found to cause acute and chronic problems to humans after prolonged exposure such as sunburn and premature aging. It can also cause breakage in DNA strands and mutagenesis of p53 cells and damage to chromosomes, leading to tumors<sup>226-227</sup> and skin cancer. In food, UV light also acts as a catalyst for oxidation leading to spoilage and discolouration.<sup>234, 238</sup> UV free lighting in food displays has significantly extend shelf life of pre-packaged meats.<sup>236, 239-240</sup> UV radiation can cause photodegredation in polymers and it can be a contributing factor for premature failure in plastic components.<sup>228</sup> UV radiation generates free radicals, which propagate within a polymer and lead to chemical modification and a reduction of chain length. This can lead to reduction in the useful mechanical properties of the polymer<sup>241-242</sup> including a loss of transparency, and yellowing. The degradation is mostly at the surface of a polymer, leading to a brittle surface layer and a fragile product. <sup>241</sup>

Inorganic filters are determined by their absorption and scattering properties<sup>17</sup> and in cosmetic formulations, by their cosmetic acceptability.<sup>243</sup> ZnO and TiO<sub>2</sub> are widely used as UV absorbers and are the only inorganic UV absorbers allowed for use in human cosmetic applications by the Scientific Committee on Cosmetic and Non-Food Products Intended for Consumers (Europe)<sup>244</sup> and the food and drug administration (FDA). ZnO and titania are also used as polymer additives and coatings for packaging materials, fabrics and paints.<sup>242, 245-257</sup>

# Figure 8

Pigmentary TiO<sub>2</sub> and ZnO scatter visible and UV light and appear brilliant white, which makes them aesthetically unsuitable for sunscreens.<sup>17</sup> Mie scattering predicts that the optimum practical size of titania for light scattering is in the range 180 to 220 nm<sup>258</sup> but at this particle size, TiO<sub>2</sub> is visible on the skin and is therefore, not transparent or cosmetically desirable<sup>259</sup>. Reducing the particle size of TiO<sub>2</sub> or ZnO, reduces the amount of scattering in the visible range and below ca. 50 nm, particles of zinc oxide and titania become transparent on the skin.<sup>17, 258</sup> Nanosized titania and ZnO absorb UV radiation as below 385 nm (anatase) or 400 nm (rutile, ZnO), but do not scatter visible light. These micro or ultrafine ceramics are more widely used for cosmetic, coating and packaging applications as they are transparent and therefore, aesthetically more suitable.<sup>17</sup>

ZnO and titania can also be made in a continuous hydrothermal system for photocatalyst applications (or conversely UV attenuating applications for poor photocatalysts).<sup>72, 87, 89, 170, 197, 260-264, 270</sup> The properties of ZnO can be modified by changing the concentration and flow rates of the metal ion feed, <sup>89, 265</sup> changing the reaction conditions for example by adding base.<sup>87</sup> The effect of changing the mixing conditions and solubility of ZnO at the reaction point, can be seen.<sup>89</sup> The particle size of ZnO made in CHFS methods, typically ranges from 10 to 300 nm depending

on the precursor and conditions. It is known from batch hydrothermal syntheses of ZnO that additives can be used to control shape and aspect ratio as well as hierarchical structures.<sup>120, 266</sup> Similarly in hydrothermal flow, morphology can easily be changed depending on the mixing methods and additives such as  $H_2O_2$ .<sup>120, 208, 263, 267</sup>

In 2011, Iversen et al. reported a fundamental study into the size control of ZnO under a range of fast flow conditions and found that anisotropic particles up to 1 µm were produced at 122 °C in flow, whilst 25 nm rhombic particles we obtained at temperatures >200 °C. It was observed that variation of the pH gave different shapes; (i) acidic conditions produced large rods, (ii) neutral conditions gave isotropic rhombic particles, and (iii) alkaline conditions resulted in plates. Finally, particle size increased with the precursor concentration. The size and morphology tunability of ZnO made in flow, makes it suitable for UV attenuation applications such as coatings, films and cosmetic applications.<sup>268</sup> In contrast to ZnO, nano-titania made via CHFS, typically affords particles in the size range 5 to 20 nm,<sup>72, 219, 269</sup> which is also potentially suitable for (optically transparent) UV attenuation applications.<sup>270</sup>

Recently, a pilot plant scale continuous Hydrothermal synthesis process was used to control the crystallite size (and surface area) for nano-TiO<sub>2</sub> in the range ca. 5 to 18 nm.<sup>271</sup> In the report, titanium oxysulfate (and boric acid) were mixed in flow with a feed of potassium hydroxide solution (at 24.1 MPa), and then this combined stream was mixed with a flow of superheated water (at 400 °C and 24.1 MPa) in a confined jet mixer. Nano-TiO<sub>2</sub> particles were formed instantly and then cooled inline before being collected as a slurry from the exit of the process. It was observed that the boric acid concentration in the precursor feed, affected reaction pH, which in turn, determined the average crystallite size of the nano-TiO<sub>2</sub>; as the pH increased, larger crystallites were obtained. The photoactivity of the nanomaterials were evaluated in a sacrificial photocatalytic water splitting system (hydrogen evolution), and it was found that smaller TiO<sub>2</sub> prepared under mildly acidic conditions, yielded the highest photoactivity.<sup>32</sup>

In 2016, some of the authors significantly improved the photocatalytic properties of NP-titania using a multistep process.<sup>272</sup> First, ultrafine citrate coated TiO<sub>2</sub> nano-crystallites (at ca. 5 nm) were made in hydrothermal flow and then heat treated at ca. 300 °C for 2 h under a N<sub>2</sub> atmosphere to form titania-carbon interfaces (due to the anoxic conditions). The heat-treated sample possessed a highly distorted crystal lattice (with surface oxygen vacancies and Ti<sup>3+</sup> species in the bulk). UV/Vis, photoluminesence (PL) and transient photocurrent (TP) measurements, revealed that oxygen vacancies and formation of C heterojunctions, enhanced visible light absorption and promoted electron–hole pair separation, which led to enhanced photocatalytic hydrogen production (water splitting) being ca. 40 to 50-fold higher activity than the commercial standard mixture of anatase and rutile titania, Degussa P25.<sup>272</sup>

The photoreactivity of pure TiO<sub>2</sub> and ZnO, respectively, can limit their usefulness as UV protective agents, despite the fact that they remain largely unaffected by UV radiation like most organic UV filters<sup>273</sup>. The photoelectrons and holes generated on the absorption of UV radiation, can migrate to the surface and react with surface adsorbed species such as water to produce reactive species such as hydroxyl radicals, which can cause degradation in polymers and damage to skin and DNA<sup>243, 274-275</sup>. The photoactivity of TiO<sub>2</sub> and ZnO therefore, needs to be diminished for UV protection applications. This can be achieved by coating<sup>276-277</sup> NPs or doping them.<sup>273</sup> Doping can easily be accomplished in a CHFS system by adding the appropriate metal salt to the precursor feed in the desired ratio.<sup>267, 278</sup> Doping with ions such as Fe<sup>3+</sup> and V<sup>4+</sup> has been found to reduce the photocatalytic activity of titania significantly when it is doped in this manner.

CHFS was used by the authors to make mixed Zn-Ti oxides (i.e. a range of anatase titanias doped with zinc oxide and zinc oxides (wurtzite) doped with titania as well as mixtures.<sup>279</sup> The UV/Vis absorption profiles for the Ti-Zn-O binary mixtures, revealed two main shapes. Firstly, a ZnO type profile (with a characteristic steep absorption edge and a flat absorption above and below the bandgap (shown as sample 13 in Figure 9, which is pure ZnO). Secondly, a TiO<sub>2</sub> type profile was observed, where the bandgap transition was less steep and varied over a wider wavelength range (sample 1, which was pure anatase TiO<sub>2</sub>). For the doped samples and phase mixtures, as prepared samples with high Ti content (samples 2 to 6), were similar to the TiO<sub>2</sub> UV/Vis absorption profile, whereas, the samples with higher Zn ratios (samples 8 to 12), tended to have ZnO like UV/Vis absorption profiles.

## Figure 9:

On the other hand, when the photoactivity of a series of doped ZnO samples was evaluated, Ce doping suppressed the activity of ZnO. Therefore, this material may be a useful UV-attenuator material.<sup>267</sup> Therefore, similar to the case for the development of photoactive materials, the area of UV attenuating NPs is set to continue and researchers should look at rapidly making and testing doped materials at sub 50 nm in the future. This will afford a combination of both good UV protection (particularly in the higher energy UVC end too) and optical transparency, as well as good dispersability in appropriate solvents / oils where possible.

The Refractive Index (RI) of a material is a measure of how light propagates through it relative to a vacuum.<sup>280</sup> The RI of a polymer is based on several factors, which include structure, chain flexibility, molecular geometry, polarizability, and the polymer backbone orientation. The RI of a polymer is a key property for advanced optical devices that include functional materials for lenses, anti-reflective coatings, coatings for organic LEDs and ophthalmic applications. All these devices need high RIs because it allows the devices to be made thinner and more transparent.<sup>280</sup> High values for RI (> 1.50) can be achieved by the inclusion of transparent additives.<sup>280</sup>

A number of materials are known to act as optical (refractive index) modifiers, e.g. TiO<sub>2</sub>, ZrO<sub>2</sub> and SiO<sub>2</sub> to name a few.<sup>281</sup> For example, zirconia possesses a unique combination of chemical and physical properties, including high refractive index, high transparency (range UV to infrared),<sup>281</sup> high dielectric constant, high hardness, and high chemical inertness, allowing for uses in a broad array of applications in polymers or paints.<sup>231-232, 282</sup> These nanomaterials can also affect the mechanical properties of the final materials such as improving abrasion or scratch resistance as well as increasing modulus.<sup>231-232, 282</sup>

Whilst there are a number of reports on the synthesis of titania (or titanates),<sup>32, 118, 279, 283-287</sup> zirconia,<sup>75, 214, 285, 288-<sup>289</sup> there are no published reports to our knowledge of continuous hydrothermal synthesis made materials being evaluated as a dispersion to alter refractive indices, as such work is most likely highly commercial in nature. However, the authors would suggest that continuous hydrothermal processes are ideal for the manufacture of such materials because they can be surface functionalized. This allows them to be dispersed in polymers / solvents and particles can be made sufficiently small (at least < 50 nm) so that they appear transparent / translucent rather than opaque in dispersions / polymers, whilst altering the refractive index of the host. Indeed there are reports in short term batch hydrothermal experiments<sup>290</sup> that suggest surface functionalized ZrO<sub>2</sub> or titania should be readily accessible in flow. Furthermore, Adschiri et al. reported the batch synthesis of SFN TiO<sub>2</sub> (anatase) with a phosphonic acid surface modifier that was very strong bound, resulting in extraordinarily high chemical and thermal stability.<sup>54</sup></sup>

### 4.4 Non-linear optics

Nonlinear optics (NLO) is the branch of optics that describes the behavior of light in nonlinear media, that is, media in which the dielectric polarization (P) responds non-linearly to the electric field E of the light. Hayashi and colleagues reported the batch hydrothermal synthesis of potassium niobate (KNbO<sub>3</sub>) fine powders (synthesis time in the range 2 to 24 h) using relatively low potassium hydroxide (KOH) concentrations (in the range 0.1 to 0.5 M that is much lower than the > 6 M typically required for the CHFS of this material). Powder X-ray diffraction data of the products suggested that the phases obtained largely depended on the base concentration; at low base concentrations (0.1 M), rhombohedral phase KNbO<sub>3</sub> poly-crystalline powders formed (similar to JCPDS reference pattern 71-0947) with a Nb<sub>2</sub>O<sub>5</sub> impurity. At moderate base concentrations (ca. 0.25 M) the same major phase was formed with a K<sub>4</sub>Nb<sub>6</sub>O<sub>17</sub> impurity phase. At 0.25 M concentration of base, the orthorhombic phase KNbO<sub>3</sub> was formed (similar to JCPDS reference pattern 32-0822) instead of the rhombohedral phase. Importantly, when the nonlinear optical properties of the KNbO<sub>3</sub> powders were measured and they exhibited strong intensities for second harmonic generation (SHG). To date, we are not aware of any similar reports of materials made in continuous hydrothermal flow being tested for their non-linear optical properties, which is surprising given the number of reported synthesis is flow of similar types of materials.<sup>30, 291</sup>

## 4.5. Nano Pigments and Thermochromics

**Nano Pigments.** Inorganic pigments are used as colourants for various applications such as paints, inks and cosmetics.<sup>292</sup> In particular, red and orange inorganic pigments are important for high value cosmetics, particularly alternatives to commercial red pigments, such as cadmium reds and oranges. More recently, perovskite-based inorganic red pigment (Ca,La)Ta(O,N)<sub>3</sub> have been proposed as Cd-free alternatives to the commercial red pigments.<sup>293</sup> To obtain the nitride type pigments, however, requires a high temperature, which can lead to larger particles. Other alternatives to red pigment include rubidium vanadates such as Rb<sub>3</sub>V<sub>5</sub>O<sub>14</sub>,<sup>294</sup> which is considered an environmentally friendly red pigment. Other phases that might be of interest for flow reactors include the high temperature phase of bismuth vanadate, a well-known yellow pigment (that also has photocatalytic properties).<sup>295</sup> If such pigments can made sufficiently small and with surface coatings, they will undoubtedly have applications in cosmetics or paints or inks if they can be made well dispersed to go into oil or water based formulations.

The color properties of NPs are highly complex indeed, and many NPs tend not to be ideal for high colour strength if not supported onto larger particles (which reflect the white portion of light). Also, the phenomenon of colour change with size is well known especially in systems such as gold and silver (surface plasmon resonance related).<sup>181</sup> However, coloured NPs also have properties that make them useful in many applications. For example, inorganic particles such as titania or iron oxides in the range 50 to 300 nm, can be used as coatings on mica to make pearl lustre pigments.<sup>296</sup> The colour varies with coating thickness and the applications for lustre pigments include

drinks bottles and automotive paints. CHFS synthesis can be used to reliably make titania and iron oxide in the range 5 to 50 nm,<sup>71-72, 297</sup> which could be ideal in such applications.

Ceramic inks from conventional pigments are usually made by firing and grinding, which requires large amount of energy and time and often materials then need to be dispersed in a further step. Batch processes have been used to make surface functionalized coloured NPs in a single step.<sup>298</sup> Furthermore, Adschiri and co-workers have shown that continuous synthesis processes can also be used to coat larger (premade) micron size particles with dispersants, which allows them to be readily dispersed into polymer composites (or inks).<sup>298-299</sup> Recent work by the authors has shown that complete surface coverage of citric acid on black magnetite NPs, can be achieved.<sup>36</sup>

Nano-sized gold and silver NPs have many applications including as pigments or in biological sensors<sup>300</sup> as well as for colouring glass.<sup>301</sup> As seen for the section on catalysts, CHFS can be used to make nano-sized Au and Ag particles (amongst other metals),<sup>302</sup> which could be ideal for colour applications (although lower temperature synthesis methods are also very easy to perform for these metals).<sup>303</sup>

Pure titania is brilliant white (> 200 nm) and the addition of contaminant ions to titania, can significantly change the appearance of titania.<sup>17</sup> Titania can be doped with a wide range of ions by adding them into the respective precursor feed.<sup>304</sup> By adding the appropriate dopants and elements into the precursor feed, mixed metal oxides can be precipitated in a fast, controllable continuous way. Titanates including potassium hexatitanate, barium titanite and barium strontium titanate, have been successfully manufactured via CHFS, as well as zirconates such as barium zirconate and ceria zirconate.<sup>75, 30579, 306</sup>

Doping of other known ceramic pigments via CHFS, could lead to new colours or new methods of making known pigments faster. Research in the field of ceramic pigments is particularly orientated towards enlargement of the chromatic set of colours.<sup>307</sup> Coloured oxides, which are known for pigment properties include iron oxides, for which several examples are known, e.g. iron oxide NPs were made by Sue and Co-workers who made them using collision type micromixers using iron nitrate precursors.<sup>308</sup>  $\alpha$ -Fe<sub>2</sub>O<sub>3</sub> (hematite) can also be made using relatively simple reagents in CHFS using ferric nitrate.<sup>309</sup> In the future, CHFS syntheses of pigments will have several possible interesting possibilities; a flow system offers good consistency in the preparation and production of colours, with little or no batch to batch variations. This could also allow industry to "dial in" the exact colours it needs upon demand, rather than relying on the mixing of colour palates of different powders (a high skilled and complex job). Many of these pigments can be made exceedingly finely or with organic coatings to compatibilise them with creams or formula-

tions for cosmetics. Alternatively, NPs can be formulated into with inkjet printable inks or mixed with polymers for composites. Indeed, the use of multifunctional pigments as "all in one" pigments and UV attenuators, may be achievable in the future.

**Thermochromic Nanoparticles.** Chromeogenic solid state materials exhibit changes in their optical properties due to some external stimulus; most common of these are photochromic and thermochromic materials, where the stimuli are light irradiation (photons) and change in temperature, respectively.<sup>310</sup> Thus, thermochromic materials change colour at a characteristic 'transition temperature'. The thermochromic effect occurs over a range of temperatures, which makes them invaluable in a wide range of solar control applications such as energy saving windows.<sup>310</sup>

Due to its thermochromic properties, monoclinic (doped or undoped) vanadium dioxide,  $VO_2$ , is a material for energy-efficient, intelligent window applications and building materials.<sup>310</sup> This involves a key thermal phase transition from a monoclinic structure into a rutile phase ( $VO_2$ –R), with each pair of vanadium ions forming a V–V bond; when electrons in the V–V bond are released; this results in a change in band structure.<sup>310</sup> The transition causes a change from semiconducting to semi-metallic behavior, which results in a change in electrical conductivity and in the near-IR transmittance. Consequently, the  $VO_2$  coating is highly transmissive of long-wave thermal radiation at ambient conditions, but it becomes reflective above the metal-to-semiconductor transition (MST) temperature, hence, its application for thermochromic materials (see Figure 10).<sup>310</sup>

### Figure 10.

To date, CHFS processes have been used to develop monoclinic VO<sub>2</sub> thermochromics, for example a two-step synthesis route used CHFS to make VO<sub>2</sub> followed by a short post heat-treatment step.<sup>312</sup> This is a significant achievement because the monoclinic VO<sub>2</sub> phase is normally made over several hours in batch a temperature in excess of 200 °C. The authors are very confident that the high temperature phase will one day be accessible if a longer residence time on the order of a minute or less (in excess of 370 °C or so for the reaction temperature), can be used in flow to only give the monoclinic phase. When monoclinic VO<sub>2</sub> thermochromics were made via the CHFS route (plus a short heat-treatment), a range of particle sizes were obtained in the first step that were dependent on CHFS synthesis conditions (size range 50 to 200 nm by varying reaction temperatures and the residence times in the

process).<sup>311</sup> The NPs were crystalline with rod and sphere-like morphologies present, with the size of both the rod and spherical particles being dependent on reaction temperature and residence time. SEM micrographs showed the surface of the CHFS powders was uniform. After a short post-synthesis heat-treatment, the materials were completely phase-pure monoclinic VO<sub>2</sub> and this led to them exhibiting a large and reversible switch in optical properties (at near-IR wavelengths). Thus, if such materials can be incorporated into coatings or in composites, they could be used for fenestration in architectural applications.<sup>311</sup>

Unfortunately, the MST temperature of pure VO<sub>2</sub> (*ca*. 68 °C) is too high for practical applications and different approaches have been followed to decrease this temperature to 25 °C. In one CHFS synthesis study, Nb-doping was used to lower the MST phase transition temperature, possibly due to inducement of strain in the VO<sub>2</sub> structure, favoring the transition into a tetragonal (rutile) arrangement.<sup>90</sup> The doped materials showed a decrease in the MST temperature and a slight improvement in the reflection of long-wavelength radiation at high temperature (for optimum Nb-loading). The materials showed a decrease in the MST transition temperature of *ca*. 20 °C for samples containing relatively high Nb levels (> 5 at%).<sup>90</sup> However, these samples revealed a comparable change in near-IR transmittance compared to undoped VO<sub>2</sub> made under similar conditions. The incorporation of low Nb concentrations (< 1 at%) gave improved transmittance modulation, which was likely to be due to the formation of plate-like NPs, which have been widely observed in effective Nb-containing VO<sub>2</sub> materials.<sup>90</sup>

In the future, there are many other materials that have not yet been made via CHFS methods and tested, which are known as solid state thermochromics. These materials include  $V_2O_3$ ,  $V_2O_5$ ,  $V_6O_{13}$  and  $Ti_nO_{2n+1}$  magnéli phases.<sup>310</sup> Other thermochromics include lanthanide based compounds such as a RNiO<sub>3</sub>, where R is a rare earth element; all of these exhibit a metal–insulator transition temperature of 130 K (–140 °C), 200 K (–70 °C), 400 K (130 °C) and 560 K (290 °C) for R = Pr, Nd, Sm and Gd, respectively.<sup>310</sup>

### 5 NANOCERAMICS FOR HEALTHCARE

Whilst there are still fears about human exposure to nanoparticles in the environment,<sup>35</sup> conversely, smart nanoparticles are increasingly being considered for healthcare.<sup>182</sup> This is because NPs can be functionalized<sup>312</sup> for a host of uses and as they are far smaller than cells (typically tens of microns) they can be often be delivered where required (such as via injection). Therapeutic and diagnostic nanoparticles generally come into two categories that are (i) inorganic nanoparticles (e.g., metals such as Au, Ag, Pt, Pd, and semiconductors such as CdSe, CdS, TiO<sub>2</sub>, PbS, Si and magnetic compounds such as  $Fe_3O_4$ , Co, Co $Fe_2O_4$ , FePt, CoPt, etc.) and (ii) organic nano or microparticles (e.g., micelles, liposomes, etc.)<sup>182</sup>

Nanoceramics for healthcare can cover a wide range of categories such as antimicrobials,<sup>313</sup> bioceramics<sup>314</sup> (to replace hard tissue or in biomedical composites) as well as advanced NPs for therapies or to assist in diagnosis or information gathering (drug delivery,<sup>315</sup> imaging, sensing and therapeutic intervention<sup>117, 182, 316</sup> and for the understanding of basic biological processes). For the latter, NPs can be engineered with distinctive size, shape, composition, and surface functionalisation, to facilitate a wide range of therapeutic or biological applications.<sup>182, 315</sup> For example, the unique properties of NPs made via CHFS processes, may enable better study of fundamental biological processes.

We will now discuss areas where continuous hydrothermal synthesis of nanomaterials has been used in healthcare as well as a few areas, which could benefit from being made via this technology in the future. The review called "Nanoparticles in the clinic" by Aaron C. Anselmo and Samir Mitragotri, is recommended as a general introduction to those seeking more information on the general applications of nanoparticles in therapeutics and diagnostics.<sup>18</sup><sup>2</sup> Furthermore, the excellent review By Adschiri and Byrappa et al. that covers the use of Supercritical Fluids for making NPs that have biomedical applications.<sup>117</sup>

**Surface Biomedical Coatings and Safety of Therapeutic NPs.** Surface functionalisation of NPs for healthcare might be carried out for a number of reasons. Functionalisation may be required to reduce agglomeration, to make them more biocompatible, to bind a drug (which may become released), or to bind a fluorescent tag or to adding receptor groups to the particle surface that might be then able to bind to target antibodies or specific cells or other things in the body (for targeted delivery).<sup>117, 217, 312, 316</sup> Achieving certain types of surface functionality is often difficult for some biological NPs.<sup>312</sup> Thus, EDC coupling (EDC = 1-ethyl-3-(dimethylaminopropyl) carbodiimide hydrochloride) can be used to covalently link carboxylic acids on the particle surface to amines via formation of a "zero length" amide bond (assuming the carboxylic acid can be pendant from the particle surface, e.g. from a citrate molecule).<sup>312</sup> The key advantage of this procedure is that it involves no lengthy prep.<sup>312</sup>

It is notable that when NPs are considered for use in therapeutics, they are generally done so after ensuring that they are safe to use.<sup>317</sup> Despite the numbers of relevant nanoparticles that have been made via continuous hydro-thermal flow methods, the cost and effort in fulfilling necessary medical regulations, represents a significant barrier

to such materials actually being used in therapeutic medical applications in humans. It is noted that biomedical and other materials often have very high standards in terms of trace heavy or other metal contents,<sup>318</sup> which may represent a challenge for hydrothermal flow systems to reach. Hereafter, we will discuss a range of relevant materials and applications in healthcare.

### 5.1. Magnetic Particles for Healthcare

Globally, 14 million new cases of cancer are diagnosed annually with 8.2 million cancer-related deaths.<sup>319-320</sup> Cancer diagnoses are projected to increase ca. 70% worldwide in the next two decades, according to the WHO (World Cancer Report 2014). Cancer is the second leading cause of death in non-communicable diseases and this has a disproportionate effect on the less well off.<sup>319-320</sup> The use of NPs in healthcare may be able to offer support in the battle to treat cancer or for supporting clinicians to reduce side effects or minimise discomfort to cancer patients. Some of the most promising work in this area has been in the use of magnetic NPs.

**Magnetic Hyperthermia**. Magnetic hyperthermia using surface functionalised NPs, is an therapy that attempts to controllably heat and cause the selective death of cancer cells from the inside (see figure 11).<sup>321</sup> Early clinical studies have already been conducted with positive results.<sup>322</sup> In this therapy, magnetic NPs are injected into a tumour site so that they can be absorbed by cancer cells (which tend to be more porous) and an AC magnetic field is applied for a fixed time that causes the particles to heat up. The heating occurs because below a critical size, Fe<sub>3</sub>O<sub>4</sub> behaves as a superparamagnetic single domain crystal, i.e. a single giant magnetic moment, sum of all the individual magnetic moments carried by the atoms in the NP. The magnetic moments of the particles are initially randomly oriented (due to thermal fluctuations with no applied field). However, when the AC magnetic field is applied, the magnetic moments of the particles can flip rapidly, which causes heating via primary and secondary mechanisms;

(i) Primary heating mechanism: The dissipated magnetic energy is converted to thermal energy (heat) known as Neel relaxation (from rotation of the magnetic moment from within the particle).

(ii) Secondary heating mechanism: particles can physically rotate as they try to align themselves to the applied field (due to changes in the magnetic moments), which induces frictional heating.

Due to their greater porosity, cancer cells tend to take up larger amounts of MNPs than normal cells, which is helpful during magnetic hyperthermia treatments of cancer. Different mechanisms of cell damage can be initiated depending on clinical needs. These are "hyperthermia" and "thermoablation"; in the former, the treatment is controlled to limit temperatures to the range 42 to 45 °C for up to few hours. This may be in combination with other therapies (such as chemotherapy or indeed irradiation). In thermoablation, temperatures > 50 °C are used in the tumour area (exposure times of a few minutes to kill tumour cells.<sup>321</sup> Care must be taken to avoid overheating and shock syndrome due to sudden release of large amounts of necrotic tumour material and triggering a major inflammatory response.

Specifically, magnetic nanomaterials in the body require special surface coatings, e.g. using dextran or other biocompatible materials<sup>312</sup> and also regulatory approval before being administered into humans, which inevitably increases costs. Although there is no reported use of CHFS processes to produce such materials for testing in the body yet, magnetite synthesis has been made with surface coatings via a single step CHFS process, thus, this synthesis route could offer tremendous advantages as it is able to reproducibly make magnetic NPs with well-defined properties.<sup>36, 323-327</sup>

A recent approach to make more tuneable magnetic hyperthermia systems (to better control heating rates) involved the use multiphase composite materials, e.g. SrFe<sub>12</sub>O<sub>19</sub>/MgFe<sub>2</sub>O<sub>4</sub>/ZrO<sub>2</sub> composites, where the net contributions of different magnetic phases, made it possible to modify the magnetization and anisotropy of the composite material.<sup>328</sup> To date, such materials have been made using more conventional synthesis approaches such as citratebased chemistry.<sup>328</sup> Clearly, such composites could be made efficiently in CHFS reactors; if this was also coupled to biocompatible coatings, it would be an exciting approach to the development of completely new materials for hyperthermia. In the opinion of the authors, this is clearly an area for future development.

There have been several reports on the CHFS synthesis of (water) dispersible magnetites.<sup>323-326</sup> This includes the use a "pulsed" flow system that provided excellent heating and cooling rates, with the ability of using the same reactor both for NP production and *in situ* synchrotron studies.<sup>329</sup> The researchers observed that by using iron citrate as a precursor, they could obtain particles of ca 20 nm or so.<sup>329</sup> Some of the UCL authors also demonstrated the CHFS synthesis of citric acid coated ferro-fluids; surface functionalization was achieved through introducing a supplementary flow of capping agent (citric acid) to the stream of nascent (newly formed) NPs.<sup>36</sup> It was found that certain process conditions were critical to effective functionalization of the nascent NP stream, and that high grafting densities

of the capping agent were obtained in a relatively narrow process window. A method for scaling-up the reactor was also described using a pilot plant at ca. 1 kg.h<sup>-1</sup> (see later in section 10.7).<sup>36</sup>

In 2012 Adschiri and co-workers, reported the synthesis of water dispersible magnetite (Fe<sub>3</sub>O<sub>4</sub>) NPs coated with 3,4-dihydroxyhydrocinnamic acid (DHCA) using a tubular flow reactor.<sup>327</sup> As in the case for citric acid coated magnetite,<sup>36</sup> the Fe<sub>3</sub>O<sub>4</sub> NPs were well dispersed in water because the surfaces of the NPs were fully covered by DHCA molecules and the -COOH groups in the DHCA molecules were exposed to the surrounding water.<sup>327</sup> In cell studies involving the magnetic NPs, cytokines such as IL-12 and TNF-alpha, were not produced from the dendritic cells of mice, which indicated no substantial immune response was observed for these cells.<sup>327</sup> Therefore, it was suggested that the Fe<sub>3</sub>O<sub>4</sub> NPs might be suitable for biological applications such as magnetic resonance imaging contrast agents and carriers for drug and gene delivery, and potentially for hyperthermia therapy.<sup>327</sup>

Other superparamagnetic NPs made via continuous hydrothermal processes include the ca. 20 nm size superparamagnetic MgFe<sub>2</sub>O<sub>4</sub> NPs that were also made in a flow-type apparatus at 460 °C (30 MPa) using the Mg:Fe ratios of 1:1 and 1.5:1.<sup>330</sup> High reaction temperature and non-stoichiometric reaction conditions were deemed to be key to getting the phase pure product. This non-stoichiometry was similar to that needed in a report for synthesis of barium hexaferrite using a flow-type apparatus used by Hakuta et al.<sup>77</sup>

## Figure 11

**Magnetic Resonance Imaging.** Other noteworthy biomedical materials that are of interest for magnetic resonance imaging, have been made via CHFS in the work of Tang<sup>213</sup> for the synthesis of  $Fe_2O_3$ ,  $Fe_3O_4$ ,  $Gd(OH)_3$  and  $Co_3O_4$ ; their capacity as contrast agents for magnetic resonance imaging was studied. Relaxivity data for the  $Fe_3O_4$  based materials, showed values comparable to some commercial contrast agents.<sup>213</sup>

**Magnetic Susceptometry (Locating Lymph Nodes).** Magnetic nanoparticles can be used to find the location of lymph nodes for biopsies in screening for breast cancer. A spinout of University College London called Endomagnetics<sup>319</sup> has developed a portable instrument called Sentimag<sup>®</sup> that uses the principle of magnetic susceptometry to help locate lymph nodes under the arms. If a breast cancer patient requires a biopsy to search for any spreading of the primary cancer, biopsies of the lymph nodes, can be taken from under the arms. However, without guidance, this can be a rather difficult process.

Firstly, to reveal the precise location of the lymph nodes, the breast of the patient is injected with medically approved magnetic NPs that are then aided to accumulate into the lymph nodes under the arms. When the surgeon then makes an incision under the arms, the Sentimag<sup>®</sup> device generates an alternating magnetic field, which transiently magnetises the medical grade iron oxide particles in the lymph nodes. The tiny magnetic signature generated by the particles is then detected by a Sentimag<sup>®</sup> probe (that looks like a small wand). As the wand gets closer to the NPs in the lymph nodes, a change in the pitch of the machine, informs the clinician of the best and precise locations of lymph nodes, meaning less unnecessary tissue is cut or removed (or more information see the Sentimag<sup>™</sup> prod-uct).<sup>319</sup>

In closing, there is much scope in the future to use surface functionalised magnetic NPs in therapeutic treatments as well as for aiding surgeons to locate soft tissues such as for biopsies or for MRI or other imaging techniques. The advantage of making such particles in flow processes is that such particles can easily be doped or tuned for size (which affects final properties). The flow process also allows surface coating to be applied, which will aid biocompatibility of the NPs.

#### 5.2. Antimicrobials

The presence of dangerous hospital-acquired infections (HAIs) including surgical site infections is ca. 300,000 NHS patients hospital in England every year.<sup>331</sup> Rigorous hospital cleaning regimes have helped to reduce hospital contamination on surfaces, however, it is difficult to completely eliminate surface contamination or keep low bacterial loads. One strategy to reduce the cycle of bacteria transmission in hospitals is to utilize self-sterilizing surfaces.<sup>331</sup> This includes the use of microbiocide-releasing surfaces including those based on copper or silver or photobactericidal approaches. In the latter, light-activated mechanisms in which a host of reactive oxygen species (ros) are generated, are of particular interest, since the non-site specific mode of bacterial attack would be less likely to be countered by bacterial resistance.

Continuous hydrothermal processes have been used in the manufacture of fine particle silver and copper based compounds, although they have not been measured for antibacterial properties, these materials should be effective in such applications. Metallic particles are readily accessible via hydrothermal processes. For example, supercritical

water<sup>152</sup> or sc-methanol<sup>70</sup> flow systems were used to reduce the precursors to the base metals. Hayashi and coworkers also reported the synthesis of PVP-coated copper NPs, which are stable to oxidation in alcoholic dispersions (> 1 year stability in ethanol as evaluated by shifts in the surface plasmon resonance band of product solutions). The precursors copper formate and polyvinylpyrrolidone (PVP) in a aqueous solution were mixed with a heated feed of formic acid (ag.) before passing into a heating zone (reaction was at 400 °C, 30 MPa, 1.1 s mean residence time).<sup>131,</sup> <sup>332</sup> The reaction pathway was suggested to be the step-wise hydrolysis of copper formate, followed by dehydration to oxide products and subsequent reduction by in situ made hydrogen (derived from precursor and formic acid decomposition). The Cu NPs were ca. 18 nm in size and spherical in shape and contained no oxide contaminants. Conductive films (0.33 microns in thickness) were prepared from the Cu NP conductive inks. Lu Zhou and co-workers reported that the particle size of Cu NPs could be controlled and that the size was very sensitive to the pH and concentration of precursor (copper sulfate).<sup>333</sup> When the concentration of copper salt increased from 0.05 to 0.5 mol.L<sup>-1</sup>, the average particle size increased from 14 to 50 nm. Furthermore, when the molar ratio of the NaOH (base) to copper salt increased from 0:1 to 2:1, the average particle size went from 85 to 14 nm. The concentration of complexing agent ethylenediamine tetra-acetic acid (EDTA) was shown to improve the homogeneity and dispersity of the Cu particles.<sup>333</sup> Supercritical methanol has also been used to make Cu NPs in flow [as well as Aq particles and Ni (metal) / Ni hydroxide mixtures].70

The authors have also developed small nano-CuO particles (which are converted to reduced copper(I) oxide species or copper metal particles under use) for the reduction of CO<sub>2</sub> via heterogeneous catalysis<sup>334</sup> or electrocatalytic reduction<sup>335</sup> (in a Nafion ink), the details of which can be found elsewhere in section 7.2.1. (non-precious metal catalysts / supports).

Continuous hydrothermal synthesis was used on the pilot plant scale for the preparation of TiO<sub>2</sub> particles, which were embedded into a matrix to give UV-activated photobactericidal polymers.<sup>313</sup> Au / TiO<sub>2</sub> NPs were also used by coating the nano-titania with gold particle using a simple reflux process. Both sets of particles were also surface-functionalized (heated the powders in excess oleic acid with a catalytic amount of triethylamine to encourage ester formation between the titanol groups on the particle surfaces and the oleic acid) before being added into the polymer. The powders were mixed into commercially available medical grade silicone, using a simple dipping technique and the antimicrobial activity of the NP incorporated polymers, was tested against both Gram-negative and Gram-positive bacteria, commonly found in healthcare environments.<sup>313</sup> A UVA source (365 nm) was used to stimulate the

photobactericidal properties of these composite polymers (Figure 12). The antibacterial composites were tested against S. aureus and it was found that unprecedented kills were achieved with bacterial numbers reduced to below detection limits (< 15 minutes), without the need for any UV treatment (dark conditions). Interestingly, a small 'dark kill' effect was noted when tested against E.coli, after exposure to UV illumination (365 nm) for 95 minutes, whereby bacterial numbers were reduced to below the detection limit.<sup>313</sup> These surfaces induced the lethal photosensitisation of E. coli within 95 minutes, with bacterial numbers reduced to below the detection limit.<sup>313</sup> They also exhibited efficacious antimicrobial activity against S. aureus via a non-light-activated mechanism, reducing bacterial numbers to below the detection limit within 15 minutes. The incorporation of NPs did not affect polymer colouration, a highly desirable property from a commercial viewpoint.

### Figure 12

Recently, Kellici et al.<sup>336</sup> reported the preparation of reduced graphene oxides (rGOs) with antibacterial properties. The materials were prepared from an aqueous solution of GO that was pumped to mix with a flow of KOH solution at room temperature at a T-junction. This mixture was then brought into contact with supercritical water in counter-current (mixer) reactor, whereupon reduction of graphene oxide (to rGO) occurred. The antibacterial properties of GO and rGO were tested against gram negative bacterium Escherichia coli (E. coli) to determine the minimum inhibitory concentration (MIC). The MIC for (rGO) was the same as that for reduced graphene oxide produced via a more conventional reduction method.<sup>336</sup> In related report, Kellici and colleagues, made Ag graphene nanocomposites [with p-hexasulfonic acid calix[6]arene (SCX6) as a particle stabilizer], with good antibacterial properties and low cytotoxicity.<sup>337</sup> The nanocomposites showed excellent activity against E. coli (Gram-negative bacteria) and S. aureus (Gram-positive) bacteria.<sup>337</sup>

## 5.3. Bioceramics (Calcium Phosphates)

Biomaterials are a class of synthetic materials, which are designed to replace or fix natural hard and soft tissues in the body that have been lost due to disease or accident or other causes. Such materials include prosthetics, implants, bone grafts, dental cements,<sup>338-342</sup> bone cements,<sup>338-343</sup> dentures, plates, screws, organs, skin grafts, etc.

Bone is a specialized tissue comprised of mineral substances, organic tissues and water.<sup>344-345</sup> The inorganic nano-apatite rods in bone (which are < 100 nm) are arranged in lamellae and bound to collagen (Figure 13a) in a hierarchical structure. The mineral or inorganic component is also known as biological apatite and comprises calcium, phosphate and hydroxyl ions with small amounts fluoride, carbonate, magnesium, and potassium as well as other ions. These ions can play an important role in the chemical and biological behavior of biological apatite.<sup>344-345</sup>

Synthetic bone substitutes (such as bioceramics bone grafts) have been developed to restore bone that has been lost since there is often insufficient availability of autografts (bone harvested from the patient).<sup>346</sup> Bioceramics are considered to be in one of several classes; bioinert (e.g. alumina, zirconia), resorbable (e.g. tri-calcium phosphate) and bioactive (e.g. bioactive glasses<sup>345</sup> and hydroxyapatite), which can also be porous for tissue ingrowth.<sup>345</sup>

The lack of availability high quality biomedical ceramics at an affordable cost in developing countries, is often overlooked and in cases where such implants are not available in emergencies (e.g. earthquakes in remote areas), it often requires amputation of broken limbs (to save life), rather than rehabilitation of the defect site with an implant. For this reason, the lead author and others have supported the development of low cost biomedical ceramics in the developing nation of Pakistan.<sup>347</sup> Many of the ideas of continuous or batch or automated synthesis in plastic vessels rather than metal pipes or reactors (albeit at relatively mild conditions compared to CHFS), are being evaluated and attempted for scale-up in the future<sup>347-350</sup>

# Figure 13

Perhaps the most well know bioactive bioceramic is synthetic hydroxyapatite [HA, Ca<sub>10</sub>(PO<sub>4</sub>)<sub>6</sub>(OH)<sub>2</sub>], which is chemically similar to biological apatite.<sup>346</sup> Thus, synthetic HA is of interest as a biocompatible phase / reinforcement in biomedical composites,<sup>351</sup> for potentially filling bulk bone defects and for coatings on metal implants. HA and other calcium phosphates (e.g. calcium deficient hydroxyapatite, CDHA) are also of interest as components in injectable bone cements.

HA powders can be routinely synthesized<sup>314</sup> via techniques such as co-precipitation, mechanochemical methods, sol-gel processing, emulsion methods, batch hydrothermal processes and chemical vapour deposition.<sup>352</sup> The disadvantages of these methods include, they are time consuming, often require very precise control over reaction conditions, require expensive starting materials or large amounts of toxic organic solvents and little or no control

over HA particle characteristics can be achieved (i.e. particle size, agglomeration surface area, shape, etc.).<sup>314</sup> For example, when conducting wet chemical batch synthesis of HA at room temperature, a long maturation step (often days) followed by a heat-treatment > 600 °C, is often required.<sup>353</sup> If sufficient maturation time is not allowed, a non-stoichiometric (Ca:P ratio not 1.67) phase separated product is obtained upon heat-treatment (which can affect biological properties in vivo). Batch reactions can include organic templating agents,<sup>354</sup> which are surface bound and therefore potentially unsuitable for biomedical applications.

The synthesis of nano-size calcium phosphate bioceramics via CHFS was first reported in a communication entitled "instant hydroxyapatite" by one of the authors.<sup>76</sup> In the report, it was shown that not only was the crystallinity of HA controllable via the process temperature, but also that the Ca:P ratio could be controlled by changing the temperature or pH of the reaction. In the report, basic solutions of calcium nitrate and ammonium phosphate, respectively, were pumped to meet at a T-piece mixer, with supercritical water feed at up to 400 °C and at 24 MPa (Figure 13b). This initial mixture was then brought to meet a superheated water feed in a reactive mixer, whereupon, a rapid reaction occurred.<sup>76</sup> The dried product was obtained as a highly crystalline and phase pure material, without the need for an ageing step or subsequent heat-treatment (this can be compared to the several days or hours maturation step mentioned earlier for standard co-precipitation/water based sol-gel reactions<sup>353</sup>).

Transmission electron microscope (TEM) images of the HA powders synthesized with superheated water in flow at 200 °C [denoted as sample HA(200), where the number in brackets is equal to the incoming superheated water feed temperature], revealed small agglomerates. TEM images for HA(300), showed well defined rods of ca. 15 x 65 nm (aspect ratio ca. 4.3). The TEM image for HA(400), (figure 13b) revealed well defined crystalline rods of ca. 140 x 40 nm (average aspect ratio ca. 3.5). Thus, the size of rods obtained was thus, similar in size to the biological nanoapatites found in bone itself (Figure 13a).<sup>76</sup>

BET surface area measurements for CHFS made HAs and calcium deficient apatite (CDHA), were measured for samples that were made using a superheated water feed in the temperature range 300 to 400 °C; powders were found to possess BET surface areas in the range 39 to 100 m<sup>2</sup>g<sup>-1</sup>. The crystallinity of the nano-powders was assessed by both X-ray powder diffraction (XRD) and Fourier transform infrared (FTIR) spectroscopy. The XRD patterns for samples HA(300), CDHA(400) and HA(400), respectively, showed a good match to phase pure HA [similar to similar to JCPDS reference pattern 09-0432 (I)–Hydroxyapatite, syn–Ca<sub>5</sub>(PO<sub>4</sub>)<sub>3</sub>(OH)]. The XRD peaks became increasingly sharper and well resolved, with increasing synthesis temperature, the CDHA(400) sample XRD peaks being noticeably less sharp than the HA(400) sample peaks.

Since the early reports of calcium phosphates being made via CHFS, Tang was able to use continuous hydrothermal processes to make different morphologies of hydroxyapatite. Attempts to introduce a drug and cations to the structure / surface of hydroxyapatite was also conducted and cell proliferation and bone nodule assays were used to evaluate the hydroxyapatite synthesized via this route. This work revealed that no significant cytotoxic effect on mouse embryonic stem cells occurred.<sup>213</sup>

As well as simple calcium phosphates being used as bioceramics, there is also a need to more closely mimic the chemical and structural component in mineral bone (i.e. in biological apatite). Human bone mineral typically contains carbonate ions (-8 wt%) that occupy the normal phosphate and hydroxide sites. It has been shown that carbonate presence in synthetic HA, leads to structural disorder and a higher solubility. Therefore, carbonated hydroxyapatite (CHA), is considered as an optimized biomaterial, which leads to faster bonding between an inserted body and human bone. Substitution of carbonate ions in the HA lattice can be of two types, A-type, where carbonate ion substitutes the hydroxyl ion and B-type, where the carbonate ion substitutes the phosphate ion.<sup>225</sup> Atype carbonated hydroxyapatite (CHA), is normally formed when carbon dioxide gas is flow over HA at a high temperature. B-type CHA is formed by precipitation. In a modification to the synthesis of "instant HA",<sup>76</sup> carbonate substituted hydroxyapatite (CHA) was prepared using CHFS.<sup>76, 124</sup> The carbonate content obtained was  $\approx$  5 wt%, which was comparable or more than some of the previously reported values in the literature.<sup>125</sup> Urea was used as a carbonate source in some reactions and it was found that increasing the urea concentration in solution above a threshold, resulted in biphasic mixtures of carbonate-substituted hydroxyapatite and calcium carbonate.

The rapid continuous hydrothermal synthesis of carbonated hydroxyapatites (CHAs) was also investigated by Yu et al. in a tubular reactor.<sup>130</sup> The effects of reaction time and the ratio of  $PO_4^{3^2}/CO_3^{2^2}$  on the apatite structure, were investigated. The main results seem to suggest that 30 s residence time was enough to produce fully crystalline CHA nano-rods, ca. 20 × 70 nm in size (at 24 MPa).<sup>130</sup> However, from the authors own experience,<sup>76, 124</sup> we would suggest it should actually take considerably less time to be formed under these conditions, in fact a few seconds should suffice.

Following pioneering research of the highly distinguished scientist and children's book writer Professor Larry Hench<sup>355</sup> in the use of silicon as a biogenic element in bioglass formulations,<sup>345</sup> it is known that such biomaterials can release silicon into surrounding tissues after implantation, which can accelerate bone growth.<sup>345</sup> Thus, silicon substituted HA is of interest in this regard as a bioactive ceramic.<sup>125</sup> The CHFS synthesis of silicate-substituted hydroxyapatite (SiO<sub>4</sub>-HA) was conducted using silicon acetate as a silicate ion source.<sup>124</sup> It was observed that a substitution threshold of ~1.1 wt% existed for synthesis of SiO<sub>4</sub>-HA in the CHFS system, which could be due to the decreasing yields with progressive increase in silicon acetate concentration.<sup>124</sup>

As well as carbonate and silicate ions, metal ions can be substituted into synthetic apatites and play an important role in their chemical and biological behaviors. In Mg<sup>2+</sup> containing biological apatites such as those found in pathological calcifications, human dental calculus or carious lesions, a magnesium stabilized  $\beta$ -TCP (Mg- $\beta$ TCP) or Whitlockite [Ca<sub>18</sub>Mg<sub>2</sub>H<sub>2</sub>(PO<sub>4</sub>)<sub>14</sub>] is often formed. Dentine, enamel and bone contain up to 1.1, o.4 and 1.0 wt% Mg<sup>2+</sup> ions, respectively. In calcified tissues, the Mg<sup>2+</sup> content is higher at the beginning of the calcification process and decreases with increasing calcification in newborn babies. Mg depletion in aged people, results in decreased osteoblastic and osteoclastic activities and bone fragility. Mg<sup>2+</sup> substitution for Ca<sup>2+</sup> in HA, reduces crystallinity, increases solubility, and lowers the temperature for conversion of HA into  $\beta$ -TCP. However, an excess of Mg<sup>2+</sup> is known to reduce bioactivity in such materials.

In a report by the authors, CHFS was used to directly produce a range of magnesium doped calcium phosphates.<sup>356</sup> Initially, magnesium substituted hydroxyapatite, Mg-HA [Ca<sub>10-x</sub>Mg<sub>x</sub>(PO<sub>4</sub>)<sub>6</sub>(OH)<sub>2</sub>] was prepared for x = 0.2. Biphasic mixtures of Mg-HA and Whitlockite were obtained for the range x = 0.4 to 0.8. In the report, the first CHFS synthesis of crystalline Whitlockite [(Ca<sub>3-y</sub>Mg<sub>y</sub>(HPO<sub>4</sub>)<sub>2</sub>(PO<sub>4</sub>)<sub>2-22/3</sub>], was reported using reagents in the range x = 1.6 to 5.3.<sup>356</sup> With increasing Mg<sup>2+</sup> substitution (for Ca<sup>2+</sup>), the material became ever more amorphous and surface area was observed to increase. Transmission electron microscopy revealed that in the case of x = 3.9 where, Mg-substituted TCP material was made in the CHFS process, the particles were hollow spheres of ca. 28 nm in diameter.<sup>356</sup>

In order to develop high strength bioceramics and other ceramics, there has been interest in consolidation methods, particularly ones in which the nano-grained structure can be retained and high density can be achieved. For example, the synthesis of high-strength, completely dense nanograined hydroxyapatite (bioceramic) monoliths are considered a challenge as high temperatures or long sintering times are often required. Some of the UCL authors investigated the use of spark plasma sintering of CHFS made nano-rods of hydroxyapatite (HA) and calciumdeficient HA, to full theoretical density in  $\sim$ 5 min at temperatures up to 1000 °C.<sup>127</sup> After significant optimization, fully dense HA discs were obtained, which were translucent (almost transparent!), suggesting they were fully dense (see Figure 14). Significantly high three-point flexural strength values for such materials (up to 158 MPa) were measured, which are amongst the highest values reported in the literature.<sup>127</sup> Freeze-fracturing of disks followed by scanning electron microscopy investigations, revealed selected samples possessed sub-200 nm sized grains and no visible pores, confirming they were fully densified.<sup>127</sup>

Figure 14.

In the follow-up report, the authors prepared nano-sized hydroxyapatite co-precipitated with calcium-doped zirconia NPs using CHFS. Rapid consolidation of the co-precipitates was again investigated using spark plasma sintering to achieve > 96 % sintered densities at 1000 °C (with hydroxyapatite and calcium-doped zirconia as the only two phases).<sup>128</sup> Mechanical tests of spark plasma sintered samples containing 10 wt% calcium-doped zirconia, revealed a three-pt flexural strength of 107.7 MPa and Weibull modulus of 9.9. In other related work on spark plasma sintering of CHFS made NPs of yttria stabilized zirconia (YSZ), densities of ca. 98 % were achieved for YSZ and ca. 96 % for Ni /YSZ cermets (all NiO was converted into Ni due to the reducing atmosphere of the SPS).<sup>357</sup> See section on 8.1 on SOFC materials for more information. The use of SPS for the consolidation of NPs is thus, very promising and is discussed in the latter part of this review as being an area for future development.

Other recent reports on surface functionalized nano-hydroxyapatite using a capping agent of DDSA, led to sufficient hydrophobic functionality to the HA surface so that it could be extracted from the water into an organic solvent. Furthermore, adding the capping agent either before or after the formation of HA (in process), did not affect the final particle morphology. If the DDSA was introduced during particle formation in the process, significantly reduced levels of free dispersant and greater thermally stability was observed, compared to samples in which DDSA was added post-nucleation in the process.<sup>129</sup>

Recently, Lester and co-workers use CHFS to fabricate three different morphologies of HA at relatively low water feed temperatures of 200 °C or more. The addition of a Zn salt in the reagents also afforded a mixture of hydroxyapatite and  $CaZn_2(PO_4)_{2\cdot}2H_2O$  (zinc phosphate, parascholzite). A cell study suggested that, cell proliferation was unaffected by exposure to varying concentrations of nanoparticles over the first few days.<sup>358</sup> in a further study by the same researchers, the method was further extended to allow HA plates to be surface modified via hydrocarbon capping in the same process.<sup>129</sup>

In much of the above work, it was suggested that the calcium phosphate materials could have applications in a biomedical setting such as implantation in the body. However, it must be remembered that due to the nature of the CHFS method, the levels of trace metals that become incorporated into the bioceramics using such methods (due to minute amount of leaching from the stainless steel heated in the CHFS system) may exceed the allowed standards for such materials to gain approval for use in implants in humans. However, with use of lower temperatures or corrosion resistant flow reactors, this may be less of an issue. Therefore, more work is yet to be done in this area. Furthermore, as many of the reactions for HA can actually be made at much lower temperatures (as long as sufficient time is allowed), there also exists the possibility of using plastic flow reactors at temperatures below 100 °C.

In order to reduce contaminants to the levels of international standards for the production of selected bioceramics, the UCL authors developed relatively low temperature plastic flow systems, which overcome some of these limitations.<sup>349-350, 359</sup> In batch syntheses of phase pure calcium hydroxyapatite, the pH must not drop below 10 or else secondary phases can arise when the material is heat-treated (suggesting it's not stoichiometric Ca:P ratio of 1.67 to start with).<sup>349</sup> The advantage of plastic flow reactors for the synthesis of calcium phosphates such as HA is that they give good pH control and avoid the need for continuous pH adjustment and control (as required in batch syntheses of calcium apatites). In the most recent report by the authors, it was shown that surface functionalized calcium phosphates could be made using a continuous plastic flow synthesis (CPFS) system at a reaction temperature of 70 °C for only 5 minutes.<sup>349</sup>

In conclusion, making bioceramics in the CHFS is very fast and allows more control over particle properties such as size, shape, crystallinity and surface area. Nano-bioceramics made via this method can be sintered into highly dense ceramic disks which excellent strength and reduced defects. In the forthcoming years, it is envisaged more studies on the potential toxicity of biological effects of such small particles in the body should be assessed before such materials gain acceptance for use. By use of careful control of nucleation and growth, it may also be possible to make desirable shapes of particles or properties of calcium phosphates which have the right balance of chemical, structural and biological properties.

### 5.4 ZrO<sub>2</sub> Biomedical Applications

With regards to non-bioactive bioceramics, YSZ (yttria stabilized zirconia) has been widely used as a high strength sintered inert ceramic material for load bearing implants (as well extensively in dental applications because of its biological (inertness in vivo), mechanical (e.g. low wear rate) and optical properties.<sup>344, 360</sup> In one report on the batch hydrothermal synthesis of  $ZrO_2$  (from zirconium oxynitrate), when a reaction temperature of 250 °C (for 24 h), researchers obtained pure monoclinic-structured  $ZrO_2$ . It was found that at least 12 h were needed at this temperature to obtain phase pure monoclinic  $ZrO_2$ .<sup>361</sup> In contrast, reactions conducted at the lower temperature of 200°C for 24 h were found to be mixtures of the tetragonal and monoclinic  $ZrO_2$ . This suggests at these temperatures, that time is an important factor in determining the evolution of phases.<sup>361</sup> In comparison to batch hydrothermal, there have been several reports on the use of continuous (or rapid) hydrothermal technologies for making zirconia containing ceramics,<sup>80, 128, 357, 362-368</sup> some of which may have potential use in biomedical applications.

In a typical continuous hydrothermal synthesis such as that reported by Hakuta et al.,<sup>80</sup> zirconia nanocrystals were prepared by hydrothermal reaction of zirconyl nitrate (and zirconyl acetate) solutions at 400 °C and 30 MPa for a relatively short residence time of 1.8 s. Zirconia powders had high crystallinity and a tetragonal crystal structure with a mean diameter of ca. 6.8 nm (using 0.05 M zirconyl acetate solution / 0.1 M KOH) under supercritical conditions.<sup>80</sup> In a later report, CHFS was used to make YSZ NPs in the temperature range 300 to 400 °C (30 MPa pressure) and in the pH range 1 to 11. It was found that Y conversion could be increased with increasing temperature and reaction pH. Primary particle sizes of YSZ estimated from BET surface area were in the range 4 to 6 nm, irrespective of solution pH and temperature. However, secondary particle sizes measured by dynamic light scattering technique, suggested agglomeration occurred which was pH dependent, i.e. the surface charge was unsurprisingly greatly affected by process pH.

One of the more interesting recent studies to manufacture small size ZrO<sub>2</sub> and study its nucleation and growth via in situ X-ray methods was by Becker et al.<sup>289</sup> who reported particles < 10 nm, with narrow size distributions. The

choice of synthesis parameters, yielded either monoclinic or tetragonal zirconia phases with a critical particle size of ca. 6 nm for nano-crystalline monoclinic  $ZrO_2$  under certain conditions, which was smaller than estimates (for favouring the monoclinic phase) reported in the literature. In general, a mixture of tetragonal (*t*) and monoclinic (*m*) phases have been obtained with higher reaction temperatures. In the report, almost phase pure *t*- $ZrO_2$  was obtained at low temperature and pressure, whereas high temperature and pressure led to almost phase pure *m*- $ZrO_2$  (an important catalyst).<sup>289</sup> Others have reported particles also to generally tend to be below 10 nm when made in hydrothermal flow processes.<sup>219</sup> If such particles can be dispersed and nano-sized with yttria doping in the tetragonal phase, they would be of interest as sinterable YSZ powders for implants. In one report by Galkin et al.<sup>369</sup> on zirconia based catalysts, it was found that doping 10 mol% Y, could also stabilize the tetragonal phase of zirconia. However, doping 10 mol% indium, yielded mainly a monoclinic  $ZrO_2$  phase. The specific surface area of these systems was in the range 140 to 170 m<sup>2</sup>q<sup>-1</sup>.<sup>369</sup>

In a report by Aimiable et al.<sup>156</sup> (in which several other materials were reported), the researchers found that the phase of ZrO<sub>2</sub> made in flow from zirconyl nitrate (450 °C, 30 MPa) was strongly affected depending on the reaction medium; *t*-ZrO<sub>2</sub> phase was made under normal conditions, however, under basic conditions (NaOH) an amorphous phase was formed. This converted to *orthorhombic* ZrO<sub>2</sub> after heat-treatment at 550 °C during 6 h.<sup>156</sup> Of course, as reported elsewhere in this review, ZrO<sub>2</sub> NPs are of interest in applications such as refractive index modifiers<sup>118</sup> (see earlier section 4.3) and as an electrolyte in SOFCs (see section 8 on solid oxide fuel cells).<sup>357</sup> None of the publications to investigate CHFS-made pure YSZ, have looked at sintering or consolidation methods (to the best of our knowledge). Thus, given that YSZ is effectively a bioinert metal oxide, there exists significant scope to develop and exploit the high surface areas of such materials to reduce sintering temperatures (or use it as a sintering aid) in high strength biomedical implants such as high load bearing femoral heads or dental implants (subject to medical regulations).<sup>344</sup>

## 5.5. Nanophosphors/Q Dots (Bio Use)

Continuous hydrothermal processes can deliver designer NPs with controlled size and shape and in some cases with surface functionality, which are very much of interest in biomedical labelling applications for cell imaging or tagging.<sup>312</sup> In the earlier sections, it was reported that nanophosphors are of interest in electroluminescent displays, however, such materials also have potential in biological imaging if appropriately made or functionalised to not elicit a cytotoxic response when in use.<sup>214, 217</sup> In the case of the authors own endeavours in nanophosphors of europium doped yttria (red phosphor), only the corresponding yttrium hydroxide or oxyhydroxide was formed, which suggested that the reaction time and temperature used were not sufficient to fully dehydrate the product to the full oxide product.<sup>217</sup> However, the authors were eventually able to make crystalline and highly luminescent NP red phosphors with average particle size of 35 nm (nominal 4 mol% Eu in  $Y_2O_3$ ) using flash heat-treatment of a NP precursor (crystals of the corresponding doped oxyhydroxide). Photoluminescence (PL) and time-resolved PL measurements, were performed on selected heat-treated nanomaterials and revealed a significantly extended lifetime of > 2.25 ms (bulk material typically ca. 1.7 ms).<sup>217</sup> These particles were also surface-functionalized and further evaluated as probes for biological imaging (using COS7 cells that are a fibroblast-like cell line). Both the initial (unheated) phosphor and the highly luminescent oxide variant, were clearly resolved in cell imaging studies (see figure 15) under an excitation of 470 nm (using a wide pass band filter centred at 640 nm).<sup>217</sup>

There have been a handful of investigations on the toxicity of CHFS-made nanophosphors with mammalian cells, e.g. Eu-doped ZrO<sub>2</sub> made at 350 °C or higher (> 99 % conversion) was investigated for its potential toxicity towards cells.<sup>214</sup> The structure of the NPs synthesized at 400 °C was cubic (X-ray diffraction) and the emission spectra demonstrated characteristic f $\rightarrow$ f transitions of Eu<sup>3+</sup> ions (confirming an effective dispersion of these ions within the ZrO<sub>2</sub> host). Furthermore, at a constant residence time in the continuous hydrothermal process, the temperature had an effect on the diameter of as made NPs in the range ca. 2 to 23 nm (by DLS which measures the hydrodynamic radius, so particles were actually smaller as confirmed via TEM). However, increasing the residence for a constant reaction temperature had little or no effect on size. Thus, size was largely temperature dependant in the residence time range ca. 3.7 to 7.0 s. An initial test for the toxicity of the Eu-doped ZrO<sub>2</sub> NPs involved short (2 h) or long (18 h) incubation of them with Chinese hamster ovary (CHO) cells that are considered representative of a typical cell line used for live cell imaging applications.<sup>214</sup> The cells were incubated with trypan blue dye to assess any resulting cell death due to toxicity arising from exposure to the particles (as live cells exclude trypan blue, whilst dead ones will remain stained); it was concluded from the resulting cell images that the cells were still functioning after exposure. More recently, Tang used a continuous hydrothermal process to make both coated and uncoated ZnS uncoated particles of which the 3-mercaptopropionic acid capping agent was shown to not only decrease cluster size, but also shift the isoelectric point of the particles and alter their photoluminescent properties.<sup>213</sup> Other sulfides that have been relevant to quantum dots (Q-dots) have been made in continuous hydrothermal systems by Lester and coworkers (ZnS, CdS, PbS, etc.)<sup>370</sup> and thus, it may be possible that continuous hydrothermal systems can have applications for biolabelling in the future (with suitable size control and particle capping). However, to be able to compete with the performance of Q-dots made in organic solvents, may the difficult to achieve as such particles need to be very small, i.e. ca. a few nm.

In summary, the small size of NP phosphors with the added ability to functionalize surfaces in a single process, make CHFS an attractive process for biolabelling of non-toxic alternatives to potentially toxic or Cd based biolabels.

### 6 ELECTRONICS, INFORMATION AND COMMUNICATION TECHNOLOGY

## 6.1 Metals and Transparent Conducting Oxides

**Metallic Nanoparticles**. Metallic nanoparticles are of interest for applications in printed electronics (for conductive tracks) or possibly for catalytic applications. NPs of copper, silver and gold (metal) are also of interest as active catalyst metals as well as for antibacterial materials (for Cu and Ag)<sup>337</sup> to size dependent colourants for gold NPs and colloids. Copper and silver are also of interest for printed electronics, however, the poor stability to oxidation of some copper NPs has led to interest in developing coated copper particles and inks and solvent systems, which are more stable to oxidation. Copper (and copper oxide) particles have also been made from recovered waste acrylonitrile and copper plating water in which there is a synergy from the copper catalyzing the oxidation of the organics and the exothermal process contributing to improving the recovery of the copper.<sup>77</sup>

In general, the authors suggest that many precious metals such as Au and Ag can be made using much milder, low temperature synthesis conditions<sup>303</sup> and often with very narrow size distribution control, therefore, the authors do not recommend continuous hydrothermal methods as being the best routes for the direct synthesis of unsupported Au or Ag small particles. Nevertheless, both Au and Ag NPs have also been produced via the CHFS method;<sup>371-372</sup> in the case of Au, NPs were produced from precursors [Au(HSC<sub>10</sub>H<sub>20</sub>COOH)<sub>n</sub>], with no additional reductant needed (although at temperatures above 523 K some  $Au_2O_3$  was produced). The optimum CHFS approach was to mix the Au solution with superheated water at 623 K, which produced rounded particles up to 20 nm in diameter. Lester *et al.*<sup>152</sup> reported the production of Ag NPs of 9 nm diameter, but as yet, details of the synthesis remain unreported in the chemical literature. The authors would suggest that such chemistry is likely to require adequate quenching of the silver nanomaterials via appropriate capping agents to avoid excessive growth of agglomeration, which would reduce their effectiveness as inks. There have also been a number of reports in which metals are reduced in process in the presence of a suitable capping agent. For example, colloidal dispersions of rhodium metal (Rh) NPs have also been made using a flow process via the reduction of Rh(IIII) ions in water, ethanol, or waterethanol mixtures in the presence of poly(N-vinyl-2-pyrrolidone). At 473 K (25 MPa) Rh particles of 2.5 nm were synthesized from a solution of ethanol in which a [Rh] = 15 mM. A very high dilution in water ([Rh] = 1.5 mM) particles with a diameter of 2.0 ± 0.4 nm were possible.

In 2014, a continuous hydrothermal process was used to make surface-functionalized copper NPs (NPs) which were stable in ethanol. Copper formate, was fed into the process as an aqueous solution with polyvinylpyrrolidone (PVP) [surface modifier] and mixed with an aqueous formic acid stream, which was a source of hydrogen reducing gas in situ (reaction at 400 °C / 30 MPa). The formed surface-modified zero-valent Cu NPs were ca. 18 nm in size and spherical and displayed long-term (>1 year) stability in ethanol (surface plasmon resonance was used to confirm no change in size) but not in water. The stable copper NPs were used to make conductive films (resistivity of 16  $\mu$ Ω.cm). After the initial report, a further study was conducted to make Cu NPs (ca. 27 nm) capped with PVP in flow at 400 °C and 30 MPa. These PVP-capped particles could be dispersed in distilled water, methanol, ethanol, 1- propanol, 2-propanol, butanol (Figure 16).<sup>133</sup> Ethanol, the propanols and butanol provided Cu with good oxidative protection as the PVP polymer chains formed large radii of gyration and coil-like conformations in the solvents so that they were arranged uniformly and orderly on the surface of the particles to limit oxidation in these solvents. Alternatively, when the Cu NPs coated with PVP were placed in solvents that provided poor oxidative protection (water, alcohol–water mixed solvents with 30% water), the PVP polymer chains had globular conformations (due to more hydrogen-bonding interactions and reduced interactions with the particle surface).<sup>133</sup> The same report also investigated the antioxidative properties of PVP-capped Cu particles.

EDTA (Ethylenediaminetetraacetic acid) has also been used to cap Cu NPs. Size-controlled Cu NPs were recently prepared by a simple hydrothermal flow process.<sup>333</sup> The average particle size of the products increased from 14 to 50 nm when the copper sulfate concentration went from 0.05 to 0.5 mol.L<sup>-1</sup>. Particle size distribution was broader with the increase of copper sulfate concentration. The pH was found to greatly affect particle size; when the molar ratio of NaOH:Cu increased from 0:1 to 2:1, average particle size significantly decreased from ca. 85 to 14 nm. At high concentrations of EDTA in the simple flow process, particles were monodispersed due to capping of the particles.<sup>333</sup>

An interesting paper by Gadhe and Gupta, described the *in situ* production of Cu NPs for catalyzing the steam reformation of methanol.<sup>210</sup> They achieved a maximum yield of around 1.2 mol  $H_2$  per mol  $H_3$ COH (the theoretical maximum being 3), which is a highly respectable result from particles of *ca*. 140 nm. This method has the disadvantage that the Cu NPs are effectively part of the waste stream and a method to recycle them would be needed.

Similar to the work on Cu metal particles, the synthesis of Ni, NPs under 20 nm was possible in flow via in situ reduction using a super rapid heating process with micromixer using of Ni(HCOO)<sub>2</sub> solution in the presence of hydrogen at 673 K (30 MPa).<sup>373</sup> Other than this report, the authors are not aware of too many successful efforts to make Ni directly in process, however, Sue et al. were able to co-precipitate Ni with  $Fe_3O_4$  in which the majority product was Ni metal.<sup>86</sup> In the process,  $Fe_3O_4$  was precipitated first, followed by Ni being deposited onto these particles in the presence of a reductant (H<sub>2</sub> from decomposition of formic acid) and 1,10-phenanthroline as an "antihydrolysis agent". In the same report, there was no detailed metal analysis data (such as energy dispersive X-ray spectroscopy using a TEM or SEM), so the exact location of the Ni was assumed to be coating the  $Fe_3O_4$  by the fact that the  $Fe_3O_4$  conversion and crystallite size (from XRD) are smaller than identical conditions without the Ni. This indicated that the Ni was coating on the iron oxide and thereby, terminating the growth of the latter particles. Due to their large particle size, these Ni-coated materials were of interest for ceramic capacitor electrodes rather than as fine chemical catalysts.

In the future, the authors suggest that researchers should ask whether there are easier and milder processes that can do the job better in each case. In some cases as we have seen for copper-coated particles, the process can make very useful copper particles that are stable to oxidation to air (in the right solvent system). Future work in this area thus may focus on more aggressive approaches to in situ reduction, the use of capping agents or carbon or other coatings to prevent re-oxidation and finally, to a two-step approach that involves the synthesis of carbon-coated metal oxide NPs followed by carbothermal or other reduction<sup>374</sup>. In the latter approach, if flash heating methods are used to reduce the oxides, it may be possible to retain a metal particle size after the additional thermal processing.

**Transparent Conducting Oxides (TCOs) based on Indium Tin Oxide**. Transparent Conducting Oxides (TCOs) possess a combination of low resistivity and high transparency, which makes them ideal in smart windows, solar cells and in light emitting diodes (LEDs). In particular, tin-doped indium oxide (ITO) is the industry standard TCO with a typical resistivity of ca.  $10^{-4} \Omega$ .cm and optical transparency for thin films, typically > 80 %.

Given the interest in ITO as a TCO, significant research over the last two decades has focused on the development of these materials as inks and thin films. Dense thin films of ITO can be deposited using a range of techniques including chemical vapor deposition (CVD)<sup>375</sup> sputtering and pulsed laser deposition (PLD). Some of these processes occur at high temperatures and can also involve processing steps in excess of 700 °C, which can restrict the type of substrates that can be used (e.g. is difficult to print onto plastics for flexible devices). In order to achieve high performance, TCO NPs can be used for printed coatings or tracks with control over crystallite size, morphology and structural defects (such as oxygen vacancies). Much of this depends on the NP synthesis method and conditions used.

The synthesis of ITO nanomaterials typically employs batch solvothermal / hydrothermal or co-precipitation methods.<sup>376</sup> This includes the use of microwave-assisted solvothermal synthesis in, which some highly promising resistivities were measured.<sup>377</sup> However, industrial scale-up of some of these processes is limited because of the use of unsuitable organic solvents (due to high Volatile Organic Compound, VOC, content) and batch-to-batch variations in processes. In one important batch hydrothermal paper for the synthesis of ITO for relatively short periods, micro-crystals were successfully synthesized using N<sub>2</sub>H<sub>4</sub> as a reagent.<sup>376</sup> In the same report, the effects of various parameters and reducing agents (pH, pressure, and temperature) on the development of ITO particles were studied. A mechanism for the evolution of the ITO via In(Sn)OOH was proposed and it was suggested that the N<sub>2</sub>H<sub>4</sub> could effectively induce the depletion of oxygen in the In(Sn)OOH structure (possibly via the formation of H<sub>2</sub> and NH<sub>3</sub>), which accelerated the formation of cubic ITO particles with a blue colour.<sup>376</sup> The lessons from this batch work, clearly has some use for those seeking to make phase pure ITO (free of the oxyhydroxide) in continuous hydrothermal flow reactors as will be seen later.

Continuous Hydrothermal synthesis processes are ideal for manufacture of more sustainable TCO nanomaterials inks. Continuous hydrothermal-made NP dispersions could be suitable for screen-printing and inkjet processes, which when coupled with fast, low-temperature processing, offer a low-cost, environmentally friendly approach for the large-scale manufacture of printed optoelectronics. This includes flexible solar cell applications, where deposition on heat-sensitive, flexible or other specialized substrates is possible *via* low temperature sintering. In the future, as inkjet inks are becoming more water based (and less reliant on hydrocarbons or organic solvents), the continuous hydrothermal process could be envisaged as offering advantages if the materials need to be dispersed in aqueous systems.

Continuous hydrothermal methods offer the potential for controlled and consistent syntheses of TCO nanomaterials at scale.<sup>93</sup> A number of reports on the flow synthesis of indium oxide and doped analogues are known. For example, the synthesis of ITO by continuous processes has also been reported by Fang<sup>378</sup> and Lu,<sup>379</sup> however, in both these reports, InOOH was observed under certain conditions and reaction temperatures > 400 °C were necessary to achieve phase-pure ITO. In order to obtain sufficient conductivity in ITO nanomaterials, the extent of oxygen vacancies within the structure is known to be key to the conductivity. To achieve sufficiently reducing conditions (to make oxygen vacancies) in hydrothermal process, one approach is to use formic acid, which decomposes to form CO<sub>2</sub> and reducing H<sub>2</sub> gas under process conditions. This was successfully employed by Lu et al. for the CHFS production of ITO NPs (with a hexanoic acid surface coating).<sup>379</sup> The conductivity of the as-synthesized material was 0.12 S.cm<sup>-1</sup> after pressing (9.6 MPa) into compacts of density ca. 3.6 g.cm<sup>-3</sup>. This ability of CHFS to facilitate in-process surface functionalization of ITO NPs, may be a useful general approach to making high quality and organic solvent (or water) stable inks in the future.

Whilst the doping of indium oxide with Sn is needed to form a working TCO, CHFS has been used for the direct synthesis of undoped cubic  $In_2O_3$  (bixbyite) NPs,<sup>93</sup> including for gas sensing applications<sup>380</sup>. More recently, the UCL authors reported the synthesis and characterization of ITO made by CHFS (followed by a heat-treatment), using  $[In(NO_3)_3 \cdot H_2O]$  and  $[K_2SnO_3 \cdot 3H_2O]$  precursors.<sup>93</sup> The influence of [KOH] and formic acid concentration, on the phase and performance of the materials was studied, as well as electrical resistivity vs tin concentration (using pressed and fired monoliths). Tin-doped indium oxide (known as ITO) NPs with up to 12 at% Sn, were synthesized at a process rate of *ca*. 15 g.hr<sup>-1</sup>, followed by heat-treatment (inert atmosphere). The use of formic acid in the CHFS process was found to enhance the conductivity of powders that were pressed and heat-treated into pellets. Resistivity values as

low as 6.0 x 10<sup>-3</sup>  $\Omega$ .cm were obtained for a sample with a In:Sn ratio of 9:1, which is superior to the best ITO pressed powders reported in the literature to date.<sup>93</sup> However, as much of the conductivity was effectively limited by grain boundary resistance effects of the NPs, the authors expect that higher conductivities could be achieved if the powders could be printed in thin film form using the disks as sputter targets or other printable inks. Following optimization of the dopant concentration within the ITO system, scale-up production of the materials was carried out on a volumetric basis by means of an increase in flow rate on a pilot-plant continuous hydrothermal reactor. Materials produced at a higher rate of production (*ca.* 100 g.h<sup>-1</sup>), showed similar primary particle sizes and morphologies to those produced of the lab-scale, with a comparable resistivity value (for a heat-treated disk) of 9.6 x 10<sup>-3</sup>  $\Omega$ .cm.<sup>93</sup> see figure 17.

Figure 17.

Recently, Adschiri and co-workers reported the synthesis of tin and zinc co-doped indium oxide (IZTO) with a mean diameter of ca. 28 nm (450 °C, 30 MPa). When the molar ratio of In:Sn:Zn was 9:1:0, the product was found to be the single phase of cubic  $In_2O_3$  and no other peaks were found.<sup>381</sup> When the molar ratio of In:Sn:Zn was 8:1:1, the phase pure  $In_2O_3$  crystal structure was also formed. Conversely, in the case where the molar ratio of In:Sn:Zn was set to 6:2:2, a cubic  $In_2O_3$  structure was obtained with traces of  $SnO_2$ . The same researchers added hexanoic acid into the precursors to yield surface-modified IZTO that was obtained as < 20 nm particles, which could be dispersed in organic solvents. <sup>381</sup> The conductivity and transmittance of these IZTO nanocrystals were also measured and the conductivity in was found to be in the range ca. 0.2 to 9.0 x 10<sup>-3</sup> S.cm<sup>-1</sup> depending on composition and pressure for the disks that were measured. <sup>381</sup>

Recently, some of the UCL authors reported that ITO thin films of ITO could be prepared on glass substrates by dip and spin coating methods.<sup>382</sup> Aqueous dispersions of "ITO nanopowder" (made via continuous hydrothermal synthesis) were prepared and assessed for stability in a range of surface agents, e.g. PEG 400, Tween 80 and  $\beta$ -alanine. Both PEG 400 and  $\beta$ -alanine were found to produce stable dispersions that could be used to form good quality films after heat-treating, using both conventional and microwave heat-treatment.<sup>382</sup> All the films exhibited an average transmittance of > 80 % over the visible spectrum. Furthermore, ITO films prepared with no dispersant showed very high resistivity values for both heating methods, however, addition of 2 wt% PEG400 to the dispersion,

yielded a reduction in the resistivity values to  $1.4 \times 10^{-1} \Omega$ .cm and  $3.8 \times 10^{-2} \Omega$ .cm for conventionally and microwave treated films, respectively. The surface morphological studies confirmed that addition of dispersants improved the film uniformity and inter-particle connections of the ITO films considerably.<sup>382</sup>

**More Sustainable TCOs.** Given the increasing cost and scarcity of indium, there is a need to find alternative, more sustainable alternative elements for TCOs, e.g. based on ZnO<sup>375</sup> or TiO<sub>2</sub> hosts. Both these host materials have been synthesized extensively in their undoped form using CHFS.<sup>36, 120</sup> The synthesis of ZnO NPs doped with Al (AZO), Ga (GZO), as well as co-doped with both Al and Ga (AGZO), has been investigated by analogous methods to that used for ITO (note: gallium oxide is not a TCO on its own, its synthesis in a flow type tubular reactor was reported by Anikeev<sup>38</sup>)

The optimal composition of aluminum in AZO was found to be 2.5 nominal at% AI (with respect to 97.5 at% Zn) in the precursor solution; the synthesized material was found to have a resistivity of 7.0 x  $10^{-3}$   $\Omega$ .cm, comparable to the observed for ITO synthesized and processed by the same methods. A further increase in dopant composition led to an increase in the resistivity values obtained. Gallium-doping yielded a slightly higher resistivity value of  $9.1 \times 10^{-1}$ <sup>3</sup> Ω.cm at an optimum dopant concentration of 3.5 at% Ga. Further increase in dopant concentration, had little effect on the electrical properties of the material.<sup>384</sup> Co-doping of Al and Ga up to a total dopant concentration of 5 at% dopant (at increments of 0.5 at% for the individual dopants), was also investigated by the authors.<sup>385</sup> This yielded a ternary contour plot of compositional space with respect to the resistivity values. 'Islands' of optimum conductivity were identified within the compositional space, in particular those observed at Zn:Al:Ga ratios of 96:2:2 and 97:1:2, yielded resistivities of 1.0 x 10<sup>-2</sup> and 9.1 x 10<sup>-3</sup>  $\Omega$ .cm, respectively. The rate of production for each AZO, GZO and AGZO was 60 g.hr<sup>-1</sup>. Upscaling of GZO synthesis to a rate of 300 g.hr<sup>-1</sup> on a pilot-scale continuous hydrothermal process, resulted in reduced uptake of Ga into the ZnO structure due to a reduced residence time, however with 4.5 at% Ga in the precursor solution, resistivity of the product was comparable to the laboratory scale process used above. The use of formic acid in synthesis of AGZO was also investigated; this material demonstrated resistivities of 4.6 x 10<sup>-2</sup> Ω.cm, indicating that though providential in the synthesis of ITO, formic acid did not have a similarly positive effect in the synthesis of ZnO-based nanomaterials in terms of the electrical properties.

Further scale-up of ZnO-based TCO nanomaterials has also been demonstrated by the authors. Synthesis of AZO (2.5 at% AI) at total metal concentrations in the range 0.2 – 1.0 M on a pilot-scale CHFS reactor, showed a small

increase in mean particle length and aspect ratio with increasing concentration, in agreement with observations made for a similar mass-based scale up of undoped ZnO.<sup>170</sup> The resistivities of the materials showed little variation across concentration scales, with values measured in the range  $5.1 \times 10^{-2}$  to  $9.2 \times 10^{-2} \Omega$ .cm. The lower resistivities of materials produced on the pilot-scale compared to those produced by lab-scale CHFS, was attributed to their smaller particle size, resulting in greater grain boundary resistance and higher resistivity of the bulk material.

For the formulation of TCO NP inks for thin film deposition, in-process surface functionalization of AZO NPs by CHFS has been by the UCL authors where it was possible to introduce citric acid (CA) capping agents. Such functionalized nanomaterials can be formulated into inkjet printable inks.

Thus, there appears to be considerable opportunities to improve on current TCO inks for device fabrication and also to rapidly discover new materials and dopants to eventually replace ITO as a TCO. In the future, in order to maximise success, experimentalists and modellers<sup>386-392</sup> should work in closer collaboration in order to not only discover but also to better understand newly proposed TCOs based on low cost, more sustianable and less toxic materials.

### 6.2 Semiconductors (Electronics and Gas Sensors)

Semiconductors are substances that are neither good conductors (metals) nor insulators (glass) and can take a wide variety of forms, however in the context of CHFS processes this will largely concern doped or undoped metal oxides or other metal compounds. Semiconductors are important for use in electronics, solar cells and gas sensors or other applications. In many applications when semiconductors are incorporated into a device, usually some external influence (light, the presence of a redox gas, etc.) can cause changes in resistance or electronic properties (in a certain temperature range of operation), which can be measured or exploited for a purpose, e.g. sensing, energy harvesting, switching , etc.

**Semiconductor Electronics.** Many semiconductors are the basic building blocks of modern electronic circuits, including digital and analog integrated circuits, solar cells, light-emitting diodes (LEDs) and transistors. NiO made via CHFS was investigated by Takami et al. as a channel material in a field-effect transistor.<sup>393</sup> The hydrothermal flow system yielded plates of thickness of ca. 10 nm and a lateral particle size in the range 100 to 500 nm. It was suggested that the plate-like structure was retained from the intermediate Ni(OH)<sub>2</sub> that would have first formed. The plates were purified and drop-cast on a bottom-gate substrate and used as the channel material in a field-effect transistor (after annealing at 300 °C).<sup>333</sup> The current-voltage profile was measured and showed that the nanoplates worked as a the p-type semiconductor.<sup>393</sup> In comparison, the UCL authors precipitated NiO directly via a CHFS process. NiO was prepared using superheated water inlet temperature of 450 °C and pressure of 24.1 MPa, with an additional band heater set at 450 °C on a counter-current mixing point.<sup>136</sup> The band heater was critical to maintain a high reaction temperature so that pure NiO was formed (rather than a co-mixture with the hydroxide). TEM images of this sample showed that extremely small ca. 3 nm (average) particles were formed (range 2 to 8 nm) with a BET surface area of 167 m<sup>2</sup>g<sup>-1</sup>. It will be shown later on the average temperature upon mixing of the hot water and the metal salt feeds is indeed crucial to not only obtaining high yields, but also obtaining small nuclei with narrow particle size distributions (although this also depends on solubilities to some extent also). To some extent, the actual reaction temperature (where nucleation occurs) is a product of the type of mixer used as well as of course the reaction parameters of relative flow rates and inlet superheated water inlet temperature in particular.

Other materials that might be of interest in printed electronics from nanoparticles are tungsten oxides that are wide band gap semiconductors that are used in electrochromic devices and have switchable optical properties.<sup>394-396</sup> Although such materials made via CHFS methods have not been tested in device applications, it is possible to manufacture them in flow reactors, however, this has required the use of an alkoxide precursor tungsten (VI) ethoxide.<sup>397</sup> It was found that when a solvothermal (ethanol) flow synthesis route was used an amorphous product was formed. However, using high temperature water feed at 450 °C (corresponding to an actual temperature after mixing of near to 330 °C), WO<sub>3</sub> nanoplates (93 % hexagonal phase and 7 % triclinic phase) were generated.<sup>397</sup> The reduction temperature of WO<sub>3</sub> nanoparticles to tunsten metal was measured and the onset of reduction was found to be much lower (< 200 °C) than that for the corresponding bulk tungsten trioxides, suggesting that such materials may be interesting for the industrial production of metallic tungsten.

**Gas Sensor Nanomaterials.** A limited number of semiconducting nanomaterials made via CHFS have been investigated for gas sensors. The principles of such sensors effectively lie in the exposure of heated electrodes to various gases, which interact to either remove or add electrons, resulting in changes in resistivity. Some of the authors reported the fabrication of gas sensors by screen printing semiconductor ceramic inks onto an alumina substrate with interdigitated gold contacts (Figure 18a). Each layer was dried under an infrared lamp, burning off the organic vehicle in a furnace. Subsequently, the sensors were spot-welded with platinum wire onto a housing cap that allowed it to fit into a gas sensing rig chamber. The first reported testing of a gas sensing nanomaterial made via CHFS was for indium oxide.<sup>380</sup>

Bulk indium oxide  $(\ln_2O_3)$  is a wide bandgap semiconductor  $(E_g = 3.7 \text{ eV})$ , well-known for its useful optoelectronic properties and as a chemical gas sensor in the doped and undoped forms (particularly sensitive to reducing gases such as ethanol and ammonia and oxidizing gases such as ozone.<sup>398</sup> The most widely accepted theory of metal oxide semiconductor gas sensing states that "when a metal oxide semiconductor gas sensor is exposed to air, oxygen species are adsorbed on the surface of the sensor material and go on to be ionized by electrons from the materials conduction band to form species such as  $O_2^{-.399}$  As such in air, the measured resistance of *n*-type semiconductors will increase due to the lower concentration of free electrons in the conduction band of the material. Surface reactions between this oxygen species and an analyte gas, may also occur on exposure to a reducing gas (such as ethanol or  $NO_2$ , see figure 18b<sup>120</sup>). This may lead to the release of electrons trapped in the previously ionized oxygen species, back into the material's conduction band, thus, lowering the measured resistance.

Semiconductors such as  $\ln_2 O_3$  crystallites with reduced dimensions, can significantly increase sensor performance, for example, Korotecenkov et al. reported > 103 fold increase in response to ozone at 300 °C, with a concomitant drop in response time, when the average  $\ln_2 O_3$  primary particle size was reduced from 80 to 15 nm.<sup>400</sup> Most syntheses of  $\ln_2 O_3$  NPs have involved batch solvothermal or hydrothermal methods, which are lengthy, often requiring between 12 and 24 h to complete. These reactions sometimes lead to formation of  $\ln(OH)_{3r}$ , which then requires heat-treatment at >400 °C in order to convert it to  $\ln_2 O_3$ , resulting in particle growth, which can reduce responsiveness and sensitivity of the final gas sensor. The  $\ln_2 O_3$  particles made via CHFS were well-defined (phase pure cubic bixbyite), with the majority appearing as rounded cubes in the size range 5 to 20 nm (see Figure 18c and 18d at different magnifications). <sup>380</sup> The CHFS-made  $\ln_2 O_3$  gas sensors had an almost square response shape observed for ethanol, which suggested that the sensors rapidly responded to ethanol. When the ethanol flow was stopped, the response dropped to near baseline levels (indicative of a lack of surface sites suitable for reaction, i.e., that the resistance of the sensor was surprisingly dominated by the bulk contribution).<sup>380</sup> It was found that the devices exhibited selectivity towards ethanol and were significantly more responsive to this gas other literature sensors using  $\ln_2 O_{3r}$ giving a response (known as  $R_0 / R$  where  $R_0$  is resistance at the point immediately prior to exposure to ethanol and Ris the resistance when exposed to ethanol).<sup>380</sup> This shows a valuable application for continuous hydrothermal synthesis in which the NPs can be made directly with a high surface area in flow due to the high synthesis temperature, giving a literature-leading gas sensor response for ethanol. In order to test cross-sensitivity, the In<sub>2</sub>O<sub>3</sub> gas sensors were also tested against NO<sub>2</sub>, CO, and butane at a variety of different sensor operating temperatures.<sup>380</sup> Analysis by XRD and Raman spectroscopy indicated that the nano-size was retained after sensing. Interesting to note is that upon the addition of dopants (such as for transparent conducting oxides), the oxy-hydroxide phase was formed under similar conditions (see transparent conducting oxides section).<sup>380</sup>

### Figure 18

Semiconductor ZnO nanostructures have also received attention for gas sensing in the literature.<sup>401-402</sup> A single step synthesis method was used for the synthesis of crystalline ZnO nano-rods and nano-prisms via a pilot plant scale-CHFS.<sup>120</sup> The size and shape of the as-prepared ZnO nanostructures were affected by the amount of peroxide and zinc precursor used in this CHFS (peroxide is known to give bigger particles by increasing solubility of the Zn salt). The synthesis of both ZnO rods and prismatic crystallites as a function of zinc precursor concentration was observed and the depletion of Zn<sup>2+</sup> ions in solution resulted in sharpening of the rods.<sup>120</sup>

The NO<sub>2</sub> gas sensing properties of as-prepared ZnO nanostructures was carried out; as the ability of the sensor material to absorb and ionise oxygen species was key to the sensor performance, good performance was likely to be due to the reduced crystallite size, making surfaces more reactive and likely to absorb oxygen (and form ionized oxygen species).<sup>120</sup> The ZnO NP sensors were tested against NO<sub>2</sub> gas at a variety of concentrations and operating temperatures.<sup>120</sup> The highest gas response of 128 was observed at an operating temperature of 350 °C towards 10 ppm NO<sub>2</sub> gas. This compared favorably to other reports of nanoscale ZnO gas sensors in the literature. The sensors made by the authors gave a gas response of over 80 when exposed to 4 ppm NO<sub>2</sub>. This compared with a gas response of 3 for literature ZnO nanotubes exposed to 5 ppm NO<sub>2</sub> gas, a gas response of 50 or 60 towards 5 ppm NO<sub>2</sub> gas for ZnO nanowires<sup>403404</sup> or a gas response of 4 towards 8.5 ppm NO<sub>2</sub> gas for a ZnO based nano-belt sensor. Note: the optimal operating temperature for these sensors was 350 °C and is somewhat higher than other reports where it is typically 225 °C or so.

Continuous Hydrothermal synthesis reactors have made a number of potential dielectrics, piezoelectrics, ferroelectrics and multiferroics. In many cases, nanomaterials were made, but only tested for one or none of these applications. A good deal of the literature in this area concerns the manufacture of heterometallic oxides including certain perovskites for example, and therefore, it is a challenge to make such materials directly in hydrothermal flow. Before a discussion on specific materials, we will consider what properties and applications such materials possess.

Delectric and piezoelectric ceramics represent advanced commercial materials that as a result of their relatively poor electrical conductivity, have found applications in electrical charge storage or energy scavenging / conversion devices.<sup>405,406</sup> Capacitors are essential components in electronic circuits that store energy in the form of an electric field generated between two separated, oppositely charged plates. Their capacity can be increased by using a solid dielectric material between these plates. In comparison, piezoelectrics are materials that generate a voltage when mechanical pressure is applied.<sup>406</sup> Furthermore, they can show a change in dimensions when subjected to an electromagnetic field. Some piezoelectric materials are made of the same ceramic material as capacitor dielectrics.

In order to better understand ferroelectricity and its origins,<sup>407</sup> we can consider one of the most well-known ferroelectric materials, BaTiO<sub>3</sub>, which has a face centred cubic (fcc) structure. Above a certain temperature BaTiO<sub>3</sub> undergoes a phase transition to tetragonal, resulting in a permanent dipole. This spontaneous polarization is known as ferroelectricity; the temperature below which the polarity is exhibited is called the Curie temperature or point. As polycrystalline materials consist of randomly oriented domains, there is overall cancellation of the polarization. However, if an electric field is applied (such as in the case for a working capacitor), some adjacent domains begin to align with the field, producing large net polarizations. The susceptibility of these materials to electric polarization is directly related to their capacitance, i.e. their ability to store electric charge. Many of the ferroelectric materials (including some perovskites) are also piezoelectrics.<sup>407</sup>

Amongst the most important applications of thin-film ferroelectrics is in random-access memories (RAMs) for computers, including non-volatile ones in which memory is retained even when power is switched off (known as fer-roelectric random-access memories, FERAMs).<sup>408</sup> In FERAMS, the opposing directions of polarization are effectively, two states of binary logic, i.e. 1. or o. Because of their larger dielectric constants, titania-based ferroelectrics can achieve higher bit densities than more conventional silica-based semiconductors when used as thin-film capacitors in dynamic random-access memory (DRAM) applications.<sup>408</sup>

Multiferroics is the term used to describe a class of advanced multi-functional materials, which display simultaneous magnetic spin, electric dipole, and ferroelastic ordering and are of interest for a variety of device applications due to their multi-functionality.<sup>409</sup> If the magnetic and ferroelectric orders occur independently, the multiferroic material may be denoted as type I. If the ferroelectric and magnetic transitions emerge jointly, the multiferroic is of type II. As such single-phase multiferroic materials exist rarely in nature, there are considerable attempts to discover new ones or to design new engineered materials with strong magneto-electric (ME) coupling. A more detailed review on multiferroics by Fiebig et al. is recommended for further reading.<sup>409</sup>

**Dielectrics:** Materials with high dielectric constant (high-*k* materials) play an increasingly important role in electronic devices. New dielectric materials with higher dielectric constants are required to develop ever more miniaturised devices for the next generation of high-performance transistor devices, capacitors as well as processors. Recently, progress in making high quality dielectric ceramics, particularly developing structure-property-composition relationships for new materials with high permittivity, better temperature stability and considerably better sintering ability, to develop low temperature co-fired multilayer devices possible.<sup>410-413</sup> Due to these demands, finer particles are needed to make a progressive multilayer condenser with high densification and high capacity.

Industrially, dielectric ceramics have been produced by batch processes, such as co-precipitation,<sup>414-416</sup> sol-gel processes,<sup>417-419</sup> solvothermal syntheses,<sup>420-421</sup> plasma chemical vapour deposition (CVD)<sup>40, 422</sup> or other approaches. Since hydrothermal flow synthesis has been shown to be one of the best methods for producing nanoceramics<sup>197</sup>, a worldwide research interest has been stimulated in this a clean and fast way to these materials.

In one of the early studies for the flow synthesis of BaTiO<sub>3</sub> by Pommier et al. in 1999,<sup>423</sup> a semi-continuous twostep system was used that combined liquid phase hydrolysis of a mixed Ba-Ti alkoxide in an alcohol water mixture (T range of 150 to 230 °C and pressure ca. 10 MPa), followed by thermal treatment under supercritical conditions (T = ca. 300 °C). This process was successful in obtaining particles that were generally < 50 nm in size and when sintered at high temperatures of 1250 °C, the grains were ca. 1 micron in size, which is relatively small and usually ideal for obtaining materials with a high dielectric constant.<sup>423</sup>

A different alkoxide based approach for the synthesis of barium titanate NPs was used with various Supercritical Fluids (water in ethanol, or water) using a continuous reactor<sup>203</sup>. Liquid titanium iso-propoxide and powdered barium iso-propoxide, were used as starting materials. The researchers found that water to precursor ratio, reaction temperature and the Ba:Ti molar ratio, played a major role in the crystallization of the NPs. Meanwhile, wellcrystallized Ba<sub>x</sub>Sr<sub>1-x</sub>TiO<sub>3</sub> was synthesized over the entire range of compositions, under supercritical conditions.<sup>424</sup> The mean particle size of monocrystalline NPs was ca. 20 nm in the case of Sr-rich powders and 40 nm in the case of Ba-rich powders. The composition of Ba<sub>0.7</sub>Sr<sub>0.3</sub>TiO<sub>3</sub> appeared to display promising dielectric characteristics.<sup>424</sup> As will be mentioned elsewhere, the early work by Pommier in supercritical alcohol/water mixtures, has been extended by Aymonier and co-workers, who have reported similar reactions in flow reactors (or in very fast processes), e.g. for in situ studies in barium titanate zirconates,<sup>425</sup> sub-20 nm barium titanate zirconates over the whole solid solution range.<sup>426</sup> Many of these papers are in more detail elsewhere in this review.

Hakuta et al.<sup>81</sup> investigated the continuous hydrothermal synthesis of barium titanate under sub and supercritical conditions, from mixture of barium hydroxide (0.1 M) and titanium dioxide (0.12 M) as starting reagents at high pH condition (pH > 13). Experiments were performed within the temperature range of 300 to 420 °C and pressure of 20 to 40 MPa, corresponding to significantly different water densities. The researchers found that cubic phase BaTiO<sub>3</sub> could only be made under higher water density (subcritical condition), whereas the tetragonal phase, could be easily obtained under supercritical conditions. Furthermore, the effect of reaction temperature and time on particle size and size distribution at constant pressure, was reported;<sup>82</sup> an increase in temperature in the range 300 to 380 °C, led to a decreased in size from 46 to 36 nm (with narrower size distribution). However, at 400 and 420 °C, the reaction was complete within only few seconds and highly tetragonal crystalline BaTiO<sub>3</sub> particles of ca. 50 nm (average) were obtained, with a wide size distribution. By measuring the TiO<sub>2</sub> precursor conversion into BaTiO<sub>3</sub>, they concluded that higher TiO<sub>2</sub> conversion occurred at lower flow rates and higher temperatures.

In a further report on the synthesis of BaTiO<sub>3</sub>, the tetragonality of BaTiO<sub>3</sub> NPs increased with increasing the temperature and pressure, because at higher temperatures, dehydration rates increased and at higher pressure, the products were more crystalline. Figure 19 summarizes the relationship between synthesis temperature and pressure (water density) and the crystal phase.<sup>83</sup> The same researchers suggested that when fine BaTiO<sub>3</sub> NPs were prepared by CHFS (400 °C and 30 MPa) from barium hydroxide and titanium dioxide as starting precursors, a reasonably high pH was needed to dissolve the titania, which then reacted via a dissolution–recrystallization process for the synthesis of BaTiO<sub>3</sub> NPs.<sup>53, 427</sup>

Hayashi and coworkers used a continuous hydrothermal process at 400 °C (30 MPa) for the synthesis of cubic phase  $BaTiO_3^{428}$  NPs using a TiO<sub>2</sub> sol (or TiCl<sub>4</sub>) and barium nitrate (aq.) in a single mixed solution. This was added to KOH solution in flow before being mixed with the sc-water flow.<sup>428</sup> In a modified system, Hayashi and co-workers, made cubic barium titanate (BaTiO<sub>3</sub>: BT) NPs coated with surface agents using a TiO<sub>2</sub> sol, albeit with barium nitrate as the Ba source and KOH to increase the pH in flow<sup>429</sup> (for more details, see section 10.2).

More recently, barium strontium titanate, Ba<sub>x</sub>Sr<sub>1-x</sub>TiO<sub>3</sub>, nanopowders were made at lab and pilot-scale from mixed solutions of the corresponding Group 2 metal nitrates with TiBALD [titanium bis (ammonium lactato) dihydroxide], using a large excess of base (NaOH).<sup>430</sup> The products were sub-stoichiometric, which was due to the side formation of the metal carbonates (can be removed with acid wash). It was found that increasing strontium content, led to a reduction in particle size and lattice parameters (as would be expected by Vegards Law).<sup>430</sup> The impure products were made at the pilot-scale of 80 g.h<sup>-1</sup> without using a TiO<sub>2</sub> particle source feed (as opposed to a soluble feed of TiBALD). It was suggested that increasing the residence time and or degassing the precursors might have been sufficient to promote a useable product. Therefore, evidently this work will require further investigation in future to be of use to industry.

Other structures, which and may be of interest as dielectrics (or potentially as cathodes in solid oxide fuel cells) include partially substituted perovskite oxides similar to  $Ca_{0.8}Sr_{0.2}Ti_{0.9}Fe_{0.1}O_{3\cdotx}$ .<sup>431-433</sup> The samples were formed using a residence time of less than 10 s to give an average particle size of 20 nm and BET specific surface area of ca. 71 m<sup>2</sup>g<sup>-1</sup>.<sup>431</sup> This is a very high surface area for such a perovskite material and certainly puts CHFS-technology at the fore-front of processes to make high surface area versions of such materials directly in process.

TiO<sub>2</sub> based materials (e.g. TiO<sub>2</sub>-Bi<sub>2</sub>O<sub>3</sub>) ceramics, exhibit a comparatively high dielectric constant in the lowerfrequency band.<sup>434</sup> For example, the composition of 0.92TiO<sub>2</sub>-0.081Bi<sub>2</sub>O<sub>3</sub> has a high dielectric constant ( $\epsilon_r = 80$ ), high Q (1800 at 5 GHz) and low temperature coefficient of resonant frequency ( $\tau_f = +21$  ppm.°C<sup>-1</sup>).<sup>434</sup> The phase mixture was identified as TiO<sub>2</sub> and Bi<sub>2</sub>Ti<sub>4</sub>O<sub>11</sub>; these two phases possess a high  $\epsilon_r$  with a negative temperature coefficient and a high  $\epsilon_r$  with a positive temperature coefficient, respectively.<sup>434</sup>

NP TiO<sub>2</sub> may also be suitable for use in dielectric Low Temperature Co-fired Ceramic (LTCC) materials. A LTCC material is one in which the ceramic layers are co-sintered along with metal contact layers to form a component in a single step.<sup>435-436</sup> As the most commonly used contact metal in this application is silver, the ceramic must be fired

and have useful microwave properties < 961 °C, which is the melting point of silver. No doubt this will be an area, which is in need of development in the future for CHFS-made nanoceramics.

**Ferroelectrics and Multiferroics.** Ferroelectrics and multiferroics are a class of materials that exhibit switching of physical properties under an external influence of some kind.<sup>409, 437</sup> As explained earlier, ferroelectrics demonstrate a switchable electric polarization when an electric field is applied. This compares to multiferroics that exhibit a similar 'ferroic' behaviour in two or more of their properties (usually electric, magnetic or elastic).

The development of superior nano-grained dense ferroelectrics materials is now an active area of interest, one in which Aymonier and colleagues have shown many examples in which manufacture of such materials in continuous Supercritical Fluids processes, can be useful as a feed powder for sintering.<sup>426, 438-439</sup> They have also reviewed the pros and cons of synthesis methods for ferroelectric materials based on BaTiO<sub>3</sub> (an important material that is used in the electronic industry due to its outstanding dielectric, piezoelectric and ferroelectric properties) and compared them to materials made under supercritical conditions.<sup>438</sup> Notably, the ferroelectric properties of BaTiO<sub>3</sub> materials depend on defect concentrations such as the density of oxygen vacancies (this can be probed via reduction experiments by changing the oxygen partial pressure). Related factors, which also need to be considered, include the possible cation charge compensation mechanisms such as cation vacancies or changes in charge, e.g. Ti<sup>4+</sup> or Ba<sup>2+</sup> vacancies or Ti<sup>3+</sup> species towards charge balance of the O<sup>2-</sup> vacancies.<sup>440</sup>

Ferroelectric materials are widely used in energy harvesting systems and sensors and include the family of piezoelectric materials such as lead zirconate titanates (PZT).<sup>407, 440</sup> Replacements for the leading material PZT, are required as environmental restrictions on the use of lead are coming into force. One of the possible lead free PZT replacements is KNbO<sub>3</sub> in which the substitutions of Na for K and Ta for Nb can give favourable ferroelectric properties. The development of nanopowders of such materials are of interest because they are more conventionally made using solid state methods in excess of 1000 °C for between 2 to 4 h or so.<sup>30</sup> Nanopowders should also be sinterable at lower temperatures, which will be important for production of electromechanical applications (e.g. sensors or energy harvesting). Accurate control of residual defect density is also required to allow use of reproducible ferroelectric materials (in order to better understand their properties). The use of solid state routes, as well as defect chemistry for improving current processing routes to ferroelectrics, including breakthrough approaches, have been reviewed recently by Aymonier and colleagues.<sup>440</sup> Reports of continuous syntheses of ferroelectrics, include the work of Hayashi and co-workers who made alkali niobate NPs such as  $K_{1-x}Na_xNbO_3$ , (size range ca. 50 to 60 nm); these particles were made in the temperature range 400 to 480 °C, (pressure range 25 to 30 MPa) with residence times in the range 0.1 to 8.3 s. Single phase KNbO<sub>3</sub> was obtained at 480 °C and 25 MPa at an initial K / Nb molar ratio of 15.<sup>30</sup> The  $K_{1-x}Na_xNbO_3$  nanoparticles were synthesized at 480 °C and 25 MPa for a range of Na / K concentrations. It was found that in order to make the perovskite structure, the rearrangement of the NbO<sub>6</sub> units in the presence of the alkaline ions was needed and this rearrangement, proceeded more rapidly with Na<sup>+</sup> ions. Therefore, formation of  $K_{0.5}Na_{0.5}NbO_3$  was achieved with an excess amount of K<sup>+</sup> ions.<sup>30</sup> Potential ferroelectric niobate materials were also made by the same authors (and evaluated for photocatalysts).<sup>441</sup> Hayashi and colleagues also separately reported the synthesis of KNbO<sub>3</sub> using a supercritical water flow system and investigated the materials for non-linear optics<sup>30, 291</sup> (see section 4.4).

In 2010, Aymonier and co-workers used spark plasma sintering for the densification of 15 nm (Ba,Sr)TiO<sub>3</sub> particles obtained a sc-water/alcohol flow type process.<sup>439</sup> This afforded reproducible and dense nanostructured ceramics that were made under low-temperature / high-pressure conditions that possessed novel properties. Because strain and ferroelectric polarization are intimately linked, it was observed therein that the final ferroelectric properties in the sintered disk could be significantly influenced by SPS process conditions.<sup>439</sup> Thereafter in 2016, Aymonier et al. made sub-20 nm barium titanate zirconate, BTZ (BaTi<sub>1-y</sub>Zr<sub>y</sub>O<sub>3</sub> with  $o \le y \le 1$ ) nanocrystals in flow (in a mixture of water and ethanol) over the whole solid solution range with a narrow size distribution<sup>426</sup> The same researchers stated that ferroelectric materials were highly sensitive to changes in grain size when sintered (because strain and ferroelectric polarization have a direct connection).<sup>439</sup> Therefore, in order to retain the desired ferroelectric properties in a dense monolith, they again used spark plasma sintering to prevent significant grain growth during sintering. In comparison to the conventional sintering process, SPS enabled very high heating rates and short dwell times of few minutes to be used, leading to enhanced sintering kinetics. It was possible to obtain dense materials, largely keeping the initial grain size of the nanoparticles. Dielectric measurements were made on selected nanostructured ceramics (range 1 kHz to 1 MHz, temperature range 70 to 450 K). At ambient conditions, the permittivity values for these nano-scale ceramics were close to a value of 700 (instead of 3000 for micro-scale ones. Such a decrease in permittivity is fully consistent with a nanostructured ceramic (Figure 20). The researchers further commented on the quality of the disks by noting that superposition of the observed dielectric responses (1 kHz to 1 MHz) in the paraelectric region, reflected the limited amount of extrinsic defects at the grain boundaries and highlighted the quality of their nano-structured ceramics, despite the high density of grain boundaries.<sup>426</sup> Notably, both the BaTi<sub>1</sub>- $_{y}Zr_{y}O_{3}$  and the (Ba,Sr)TiO<sub>3</sub> systems, were also the subject of in situ X-ray studies by the same researchers.<sup>425, 442</sup>

### Figure 20

Multiferroic materials can possess magnetic and electric ordering parameters coupling in the same crystal structure. In particular, BiFeO<sub>3</sub> is of interest as multiferroic material because both its electrical and magnetic ordering occur above room temperature; it shows antiferromagnetic ordering with a high Neel temperature of  $\sim 643$  K and ferroelectric ordering with a high Curie temperature of  $\sim 1098$  k.<sup>437</sup> For the Continuous hydrothermal synthesis of BiFeO<sub>3</sub>, lversen et al. used stoichiometric amounts of iron(III) nitrate and bismuth nitrate in 0.4 M HNO<sub>3</sub> (aq.) solution.<sup>443</sup> A solution of KOH with concentration of 2 M was used as the supercritical reagent and the synthesis was carried out at 400 °C (pressure 250 bar). In the case of the heterometallic oxide formation, it was suggested that it may have proceeded at high temperatures via a reaction between in situ formed crystalline bismuth oxide and amorphous ferric hydroxide.<sup>443</sup> In the case for the hydrothermal flow system, a small impurity of a Bi<sub>25</sub>FeO<sub>40</sub> was detected in the sample, possibly due to the shortness of the reaction time in the continuous process.<sup>443</sup>

### 6.4 Magnetic Particles (Non Bio)

Magnetic NPs (MNPs) have been proposed for a number of future technologies such as in high frequency miniature circuits super dense storage media, where the smaller size of the magnetic domains will allow the overall size of the devices to be reduced. Multiferroic materials (see earlier), with magnetic and electric ordering parameters coupling in the same structure, have attracted interest in data storage applications, spintronics, sensors, (quantum) electromagnets, and electronic devices.<sup>409</sup>

Magnetic NPs (MNPs) have emerged as one of the important diagnostic and therapeutic materials for a variety of healthcare applications. When the dimension of these MNPs falls below a critical value (typically below 30 nm), they exhibit superparamagnetism.<sup>36, 327, 330, 444</sup> Due to their comparable size with cells, these superparamagnetic particles find several biological applications (discussed elsewhere in this review). MNPs have been produced by CHFS since the technique was first developed.<sup>67, 135, 323-327</sup> In 1992, Adschiri and co-workers published a paper which described the flow synthesis of, amongst other things, Fe<sub>2</sub>O<sub>3</sub>, Fe<sub>3</sub>O<sub>4</sub>, Co<sub>3</sub>O<sub>4</sub> and NiO.<sup>72</sup> This paper demonstrated that magnetic

NPs could be produced using this technique, although not necessarily at a size likely to be useful (ranging from 50 nm for Fe<sub>2</sub>O<sub>3</sub> to 200 nm for NiO). Work on these homometallic oxides has continued, with other groups producing them, often as amongst their first papers on this subject, due to the simplicity of the materials.<sup>88, 135, 142, 445-446</sup> In particular, sub-10 nm particles of Fe<sub>2</sub>O<sub>3</sub> and NiO and sub-20 nm particles of Co<sub>3</sub>O<sub>4</sub><sup>136</sup> have now been produced. Since there has been a lot of work on these materials, the effect of various conditions on their particle size is well known.

For very small NiO nanoparticles (< 10 nm) that were made using a continuous hydrothermal flow pilot plant, the authors were able to unambiguously show the existence of a magnetically disordered outer layer (suggesting a "core / shell" model) in single crystal magnetic NiO using a combination of neutron powder diffraction and magnetometry measurements.<sup>138</sup> Using neutron diffraction, allowed the magnetism of the NiO nanoparticles to be probed simultaneously with the structural information (the latter can also be obtained by conventional X-ray diffraction). By using uncoated antiferromagnetic NiO nanoparticles, the authors were able to completely separate the magnetic from the structural diffraction peaks; it was determined the nanoparticles, thus, consisted of ca. 5.2 nm diameter ordered antiferromagnetic core surrounded by a ca. 0.7 nm thick disordered shell.<sup>138</sup> Further magnetic measurements showed that this disordered shell possessed significant polarisable magnetisation, (ca. 1/5<sup>th</sup> that of pure Ni).<sup>238</sup>

Hao and Teja found that the particle size of  $Fe_2O_3$  made in hydrothermal flow could be reduced from ca. 30 to 13 nm by reducing the initial  $Fe(NO_3)_3$  concentration from 0.5 M to 0.01 M. Other factors were not so important for  $Fe_3O_4$  particle size control; neither temperature<sup>142</sup> nor pressure<sup>88</sup> had any big effect. Cote et al. experimented with reactor design, mixing streams of iron nitrate, sodium hydroxide and superheated water in different ways.<sup>142</sup> They found that mixing the superheated water with the sodium hydroxide, then with the iron nitrate, gave more uniform (but not smaller) particles. This was also the case for  $Co_3O_4$ ; the UCL authors have found that the use of KOH and  $H_2O_2$  at 723 K, can reduce the particle size of  $Co_3O_4$  and NiO to 16 and 3 nm, respectively.<sup>136</sup>

In more recent work, surface functionalised magnetite of ca. 4 nm has been reported in a single step process.<sup>323</sup> At high citrate to iron values, some hematite was formed, however, upon reducing the citrate levels, only phase pure magnetite was obtained.<sup>323</sup> The aqueous aggregates of dispersions were stable for more than 6 months at physio-logical pH.<sup>323</sup> The NPs exhibited a superparamagnetic blocking temperature of 50 K at 500 Oe. Moreover, the peak in *M*(*T*) was broad, indicating a gradual transition to the superparamagnetic state. The superparamagnetic state at ambient temperature was confirmed by hysteresis loops. The saturation magnetization was 37 emu.g<sup>-1</sup> at 10 K,

which was lower than the theoretical value of the bulk magnetite (92 emu.g<sup>-1</sup>) and attributed to the surface spin canting, surface disorder and adsorbed species.

Using synchrotron source X-rays, in situ experiments were conducted for the one-step continuous hydrothermal synthesis of phase pure crystalline magnetite NPs. The fast heating of the apparatus, allowed very short reaction times under controlled and reproducible conditions, thus, chemical stabilizers were not required. Time-resolved in situ SAXS / WAXS experiments were carried out under supercritical conditions to study the growth of 6 nm super-paramagnetic magnetite. The apparatus allowed rapid heating rates and pulsing of materials to study the evolution of the particles in situ; this allowed the team to better understand any transformations to more crystalline products in flow.<sup>447</sup> (see later in section 10.5 for overview of in situ research in flow)

More recently, there has been interest in the incorporation of well-ordered magnetic nanocrystals into magnetooptical (MO) devices for the spatial measurement of magnetic fields.<sup>448</sup> With the aim to achieving films displaying the so called magneto-optical Kerr effect (MOKE) [in which the light reflected from magnetized surfaces undergoes a change in both polarization and intensity on the basis of the strength and direction of magnetization], Adschiri and colleagues developed surface functionalised nano-magnetites via batch reactions (rather than flow).<sup>448</sup> They further developed routes to semi-continuous magnetic films, which hold promise for the development of high quality MOKE devices in the future. This could therefore, be an area in which flow reactors could make commercial surface functionalised nano-magnetites in future.

Another popular area of research for magnetic materials has been spinel structures containing Fe, known as ferrites. They have a formula of MFe<sub>2</sub>O<sub>4</sub>. Cabañas et al. made the Co, Ni, Zn and Co<sub>x</sub>Ni<sub>(1:x)</sub> oxide versions.<sup>135</sup> They found that under the conditions used, the stoichiometry was rather variable and appeared to correlate with the extent to which the material would precipitate on its own in hydrothermal conditions. For example, Zn would not precipitate on its own at all and gave the lowest stoichiometry of ca.  $Zn_{0:7}Fe_2O_4$ , whereas Ni had the highest yield on its own and in the ferrite, gave approximately the correct formula of NiFe<sub>2</sub>O<sub>4</sub>. The formula for CoFe<sub>2</sub>O<sub>4</sub> was also close to stoichiometric. A later paper by some of the same authors studied the same material family using EXAFS and XANES<sup>449</sup>. In contrast to these reports, Cote et al. struggled to obtain the correct ratio of Co:Fe, and obtained a molar ratio varying from 1.74:1 to 1.87:1, with low levels of Fe<sub>2</sub>O<sub>3</sub> as an impurity.<sup>450</sup> They used metal nitrates as precursors (rather than acetates), and also NaOH as an additive, which may explain the differences in outcomes from others. The magnetic susceptibilities of the particles was reported as being similar to comparably sized CoFe<sub>2</sub>O<sub>4</sub> particles reported previously. Another group produced  $CoFe_2O_4$  in a similar manner (using nitrates as precursors and NaOH as a pH modifier).<sup>297</sup> They found that they had a slight excess of Fe in products, with Fe:Co ratios of ca. 2.04:1.00. The coercive field at 77 K was measured, and it was found to be 3730 Oe in the as-prepared powder, increasing to 7350 Oe after heat-treatment (at 873 K for 4 h), which was a higher than the value for a spinel prepared by co-precipitation other work.<sup>451</sup> Another spinel material NiCo<sub>2</sub>O<sub>4</sub>, was produced in flow at 723 K in flow with KOH and H<sub>2</sub>O<sub>2</sub>.<sup>136</sup> Aimable et al. also synthesised Fe<sub>3</sub>O<sub>4</sub>, Fe<sub>2</sub>CoO<sub>4</sub>, Fe<sub>2</sub>NiO<sub>4</sub>, and Fe<sub>2</sub>ZnO<sub>4</sub>;<sup>156</sup> of note in this report was a ca. 3 nm defective Fe<sub>2</sub>CoO<sub>4</sub> spinel that was annealed at 600 °C to improve the structure, with a resultant particle size increasing to 34 nm. However, the heat-treated material was still measured to possess a high coercive field of 7350 Oe at – 196 °C.<sup>156</sup>

Other reports on ferrites include the work of Hayashi and co-workers who used  $Fe(NO_3)_3$  and  $M(NO_3)_2$  [M = Ni, Cu, Zn] aqueous solutions in a continuous hydrothermal reactor at 673 K (30 MPa) to make the corresponding particles (< 10 nm) of MFe<sub>2</sub>O<sub>4</sub> and  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub>. The mixed phase, suggested the reactions did not go to completion in the time available.<sup>452</sup> It was shown that conversion of Fe<sup>3+</sup> was more than 0.97 and was extremely fast, whereas that for Ni<sup>2+</sup>, Cu<sup>2+</sup>, and Zn<sup>2+</sup>, it showed some variation.<sup>452</sup> Addition of KOH increased the conversion of the divalent cations to 0.87, but there was always some phase separation using the conditions employed in that report. The particle size was observed to increase with increasing residence time.<sup>452</sup> In a later report in 2011, Sue et al. reported the synthesis of nickel ferrite from the metal nitrate salts, using a collision type micromixer (developed for rapid heating of a starting solution).<sup>453</sup> Temperature, residence time, and nitrate concentration were varied in the ranges 573 to 673 K, o.02 to 2.00 s, and 0.05 to 0.50 mol.kg<sup>-1</sup>, respectively. Performing the reactions with no base, gave a Ni conversion <2 % (at temperatures up to 623 K); this value reached 50 % conversion of Ni (at 673 K). It was suggested that suggest that in the flow process, under certain conditions, amorphous or low-crystallinity NPs nucleated as Ni-deficient NiFe<sub>2</sub>O<sub>4</sub> at an early stage of the reaction and this is further crystallized through Ni<sup>2+</sup> uptake. Furthermore, it was suggested that the aggregation growth process of nucleated nanoparticles was promoted under high-molality conditions and that these aggregates subsequently crystallized in flow (see Figure 21).<sup>453</sup>

Figure 21

Amongst one of the most remarkable early magnetic nanomaterials produced via CHFS was barium hexaferrite, BaO·6Fe<sub>2</sub>O<sub>3</sub>. This material was first synthesized via CHFS in 1998 by Arai's group<sup>77</sup> who found that a rather complicated flow synthesis was required to produce the phase pure material. In the flow process, firstly the solutions of barium and iron nitrate were mixed with KOH; this combined mixture was then mixed with superheated water at 473 K. Finally, the stream was mixed with even hotter water in the temperature range 723 to 773 K.<sup>77</sup> In addition to this, a Ba:Fe ratio of > 0.5 (i.e. more than a 6x stoichiometric excess of barium) and an excess of KOH, was used. The reason for mixing with superheated water twice was to produce an homogeneous reaction environment for the second mixing point, since it was calculated that  $Ba(OH)_2$  and  $Fe(OH)_3$  would be soluble in water at 473 K. The BaO·6Fe<sub>2</sub>O<sub>3</sub> particles were found to be in the size range ca. 100 to 200 nm and had a saturation magnetisation of 50 emu.g<sup>-1</sup> and a coercively of 2550 Oe, which was similar to commercially available barium hexaferrite.<sup>77</sup> A further publication featuring barium hexaferrite, managed to produce phase pure products at subcritical conditions (523 K).<sup>197</sup> However, these particles had poorer magnetic properties than the report mentioned above (1622 Oe and 35.1 emu.q<sup>-1</sup>). This was rationalised by a lesser degree of dehydration at the lower synthesis temperature (and therefore higher TGA weight loss in the range 473 to 673 K). Subsequently, Kim et al. (from South Korea) conducted similar experiments to make barium hexaferrite and obtained similar results.<sup>454-455</sup> More recently, Adschiri et al reported the synthesis of surface functionalised barium hexaferrite directly in a flow process.<sup>456</sup>

## 7 CATALYSIS APPLICATIONS

Heterogeneous catalysts are important in the chemical industry and transport for a wide range of processes from the improvement of oil, gas and coal to polymerisation, in green chemistry, fine chemistry, environmental remediation, efficient conversion of biomass, or indeed to treatments of waste exhaust or industrial waste gases.<sup>7-9, 457</sup> Advancing knowledge in the optimization or development of new catalysts is of enormous importance in order to increase efficiency and reduce energy costs.<sup>7-9, 457</sup>

Nanostructured catalysts have high specific surface area to volume ratios and high surface energies, which can lead to higher catalytic activity and potentially less side reactions (by allowing reactions at a lower temperature).<sup>9</sup> There are a number of obvious areas where well-defined NPs made via CHFS or other methods, could be of benefit for catalysis applications. The CHFS method is particularly suited to preparing relatively unagglomerated catalyst particles with very high surface areas (e.g. typically nano titania with a diameter of 5 nm, has a very high specific surface area of ca. 280 m<sup>2</sup>g<sup>-1</sup>) and narrow particle size distributions, which would be ideal particle properties for some catalytic materials. The small size and narrow size distribution is possible to achieve in a flow system due to the rapid changes in supersaturation that occur and the relatively mild synthesis temperatures used (well under 1000 °C). This could be of advantage if one considers the improvements in efficiency that have been made for wellknown energy intensive heterogeneous catalyst reactions such as for the Haber process, which uses a nano-sized iron catalyst for converting N<sub>2</sub> and H<sub>2</sub> into NH<sub>3</sub>.<sup>7</sup> By varying the continuous reactor parameters or through the use of chemical additives, it is possible to affect particle shapes and sizes, e.g. obtain longer needles, <sup>120</sup> etc., consequently, the CHFS method offers the potential to prepare catalysts with preferred surface orientations or particular edge / corner sites, which are catalytically relevant to the reaction in question. Such a capability, would help to make heterogeneous catalysts more active and selective (e.g. to minimise sites where unwanted side reactions may occur). Potential catalyst materials that can be prepared in CHFS systems, will be discussed below.

# 7.1. Photocatalysts

Photocatalysis using inorganic (semiconductor) photocatalysts is an emerging process for water and air purification and pollution remediation or for self-cleaning surfaces.<sup>4, 458-459</sup> Fundamental and applied research on this subject has been performed all over the world during the last 40+ years.<sup>460</sup>

**Titania and its Cation Doped Photocatalysts.** The anatase form of titania is one of the best known photocatalysts as it is photostable, non-toxic, relatively inexpensive, low cost and usually active on its own or as a mixture.<sup>4, 459, 461-469</sup> Anatase titania possesses a large band gap of 3.2 eV, consequently, by absorbing light with a wavelength < 400 nm (in the UV region), titania can generate electron hole pairs to drive a photocatalytic reaction. The anatase form of titania can generate electron-hole pairs to drive a photocatalytic reaction. In the report by Malinger et al. on anatase synthesis, the conditions of flow reactor were much slower than that used by the vast majority of researchers; they used a mixture of TiCl<sub>4</sub> with NaOH in a tubular reactor, with residence times in the range 10 to 30 min and reaction temperatures in the range 120 to 220 °C.<sup>287</sup> This did yield small particles in the size range 7 to 13 nm, but should be seen more like a "continuous crystallizer" from an initial precipitate that is steadily heated in flow rather than a continuous hydrothermal process, where the water is heated first to create supersaturation and rapid reactions. The authors at UCL and others<sup>156, 287, 470</sup> have investigated many CHFS reactions of titania (anatase) and its doped materials, which can be made with a particle size of ca. 5 nm and surface area of almost 280 m<sup>3</sup> g<sup>-1</sup>. In the CHFS work of the authors on TiO<sub>2</sub>,<sup>260</sup> it was found that the post-synthesis treatment conditions could greatly affect photocatalytic performance. The 'as prepared' powders were heat-treated in air in the temperature range 100 to 1000 °C (at intervals of 100 °C).<sup>260</sup> It was found that the anatase to rutile transition largely took place in the range 700 to 900 °C (although onset of rutile formation actually started at 500 °C). TiO<sub>2</sub> (anatase) heat-treated at 300 °C in air, showed the best photoactivity for decolourisation of a simulated pollutant (methylene blue dye) under light irradiation for 4 h. This was assumed to give the best balance of high surface area and good crystallinity. The photocatalytic activity of the sample heat-treated at 800 °C, was also better than expected, which was attributed to formation of a mixture of anatase and rutile phases, which facilitated efficient electron transfer (to minimize electronhole recombination compared to anatase alone). Raman spectroscopy was used to monitor the peak changes with crystallite size in selected anatase materials; from the 'as prepared' TiO<sub>2</sub> (peak at ~ 150 cm<sup>-1</sup>, 4.5 nm crystallite size as estimated by XRD) to heat-treated titania at 400 °C (peak at ~ 147.7 cm<sup>-1</sup>; 17 nm crystallite size as estimated by XRD). For samples heat-treated at 600 °C and above, the E<sub>3</sub> Raman band wavenumbers resembled those of bulk anantase (see figure 22, peak at ~ 144.5 cm<sup>-1</sup>).<sup>260</sup>

## Figure 22

Iversen et al. made phase pure anatase TiO<sub>2</sub> in the size range ca. 5 to 8 nm in supercritical isopropanol/water using a continuous hydrothermal reactor.<sup>470</sup> They investigated the catalysed photodegradation of rhodamine B (RhB) under visible light irradiation and showed that the as-prepared TiO<sub>2</sub> had a much higher efficiencies for photodegradation than a commercial photocatalyst (Degussa P25, which is in fact an optimised mixture of anatase and rutile).<sup>470</sup> Anatase cannot normally absorb in the visible spectrum due to its large bandgap, however, in this case, as there was overlap between the energy distribution function of the excited RhB and the conduction band of TiO<sub>2</sub>, it was possible for the TiO<sub>2</sub> to be photosensitized (by RhB dye).<sup>470</sup> The authors were also able to show a difference in the degradation process of RhB for the commercial photocatalyst and nano-TiO<sub>2</sub>; it was suggested that the less crystalline nano-TiO<sub>2</sub>, facilitated quicker RhB N-deethylation and slower cleavage of conjugated chromophore structure. In contrast, the more crystalline commercial photocatalyst, led to slower N-deethylation and quicker cleavage of conjugated chromophore structure.

CHFS made nano-titania was also recently reported as a feedstock for suspension plasma sprayed (SuPS) coatings; photocatalytic nanograined titania coatings with 90 to 100 % anatase phase content, were obtained (with the remainder being rutile).<sup>37</sup> This process of using a CHFS made suspension as a feedstock for a high temperature thermal spray process, can allow a small grain size to be retained in the coatings. This also removes the need for dry powder feeding in the spray apparatus.

Since ca. 97 % of solar spectrum has wavelengths > 400 nm, much of it cannot readily be accessed by pure undoped titania due to its large bandgap of 3.2 eV. Hence, there has been much interest in doping titania with other metals or ions in order to alter the bandgap and allow more of the solar spectrum to be absorbed and thus, potentially improve its photoactivity (see later). Conversely, introducing certain intra-band states due to doping, can also facilitate recombination or de-excitation pathways; therefore some materials can also have sunscreen applications (good absorbers but poor photocatalysts).

The authors and others have investigated libraries of doped CHFS made titanias as photocatalysts; for example, 54 crystalline doped nano-titanias (anatase), each with crystallite size of < 10 nm and possessing a large BET surface area (typically ca. 285 m<sup>2</sup>g<sup>-1</sup>), were made using eighteen dopant elements at three different levels (0.5, 2.5 and 5 mol%).<sup>304</sup> It was found the Ag<sup>+</sup>, Er<sup>3+</sup>, Gd<sup>3+</sup>, Pr<sup>3+</sup>, Sr<sup>2+</sup>, Zn<sup>2+</sup> and Y<sup>3+</sup> materials were particularly good photocatalysts for dye decolourisation. In the case of nano-titania doped with either Ce<sup>3+</sup>, Co<sup>2+</sup>, Fe<sup>3+</sup>, La<sup>3+</sup>, Mn<sup>2+</sup>, Nd<sup>3+</sup>, Ni<sup>2+</sup> or V<sup>4+</sup>, the photocatalytic activities were substantially reduced compared with the undoped material.<sup>304, 471</sup> Lester et al. also investigated Co and Ni doped titania photocatalysts; the nickel doped material showed a four-fold increase in photoactivity relative to the undoped material when tested using methylene blue photodegredation tests.<sup>472</sup>

Photocatalytic tests are sometimes problematic because, they do not always give the same result when evaluating different model pollutants; therefore, it is often important to evaluate several different reagents to find catalysts that perform well. Therefore, some of the UCL authors evaluated several doped nano-titanias via a large-scale screening test including decolourisation of methylene blue (in the presence of oxygen), partial oxidation of a simple alcohol (propan-2-ol) and the degradation of aqueous solutions of dichloroacetic acid.<sup>262</sup> A set of five dopants were used ( $Zn^{2+}$ ,  $Sr^{2+}$ ,  $La^{3+}$ ,  $Ag^+$  or  $Pr^{3+}$ ) at three different dopant levels (o.5, 2.5 or 5.0 mol% of dopant) to give 15 unique doped titanias made using the CHFS system. The test results were cross-correlated and it was found that two of the tests, (DCA degradation and propan-2-ol), gave similar rank ordering for the nanopowders. Despite the popularity of methylene blue, the test results for it did not suggest a strong correlation with any other test studied.

Composites incorporating titania nanoparticles are also of interest for superior photocatalysts.<sup>466, 469</sup> For example, films of titania containing hydroxyapatite (HA) nanoparticles coatings were prepared by taking HA made in an aqueous reaction at low temperatures in a plastic flow reactor and then using this as in a suspension with titanium isopropoxide for growing a HA/titania film via Aerosol Assisted Chemical Vapour Deposition (AACVD).<sup>359</sup> It was shown that the presence of the HA nanoparticles, affected the morphology and crystallinity of the films. Selected materials containing HA showed superior photocatalytic activity and good photostability.<sup>359</sup>

Anion Doped in Titania Photocatalysts. Anion doping in titania based photocatalysts can lead to improvements in the ability to absorb in the visible region. Visible-light activated titanium dioxide photocatalysts have been obtained by doping *nitrogen*, sulfur, or fluorine into the host lattice using a range of conventional synthesis methods.<sup>462, 464, 467</sup> These anionic dopant species were better than transition-metal dopants with respect to stability of the catalyst. For example, for N-doped titania<sup>462, 464, 467</sup> a red shifting of the band-gap into the visible-range (owing to the mid-gap level of N 2p orbitals located between valence band and conduction band of titania), makes it possible to carry out a wide range of photocatalytic investigations under visible light. The range of doping concentrations reported recently for photocatalysts has been very narrow, generally lower than ca. 1 at%. Some of the authors extended the aforementioned synthesis of 'as prepared' nano-titania powder made in CHFS by heat-treating the powder for 5 h in an NH<sub>3</sub> / Ar gas flow of 200 mL.min<sup>-1,473</sup> Nitrogen-doped TiO<sub>2</sub> (and titanium oxynitride) photocatalysts with only the anatase phase and a relatively small crystallite size (range 11.4 to 51.5 nm; Figure 23a) were obtained under the nitridation temperatures in the range 400 to 700 °C. Powders prepared at 600 °C, had the best photocatalytic activity towards decolourisation of methylene blue under visible-light irradiation (Figure 23b), even better than the 'as precipitated' starting TiO<sub>2</sub> nanopowder.

The higher activity of the N-doped samples, could be correlated with the modification in their absorption spectra, since the radiation used for the determination of the photocatalytic activity was in the edge of UV-Vis radiation. Under more severe conditions, it was possible to make polygonal shaped (and weakly agglomerated) TiN nanopow-ders using nitridation temperatures of 1000 °C with 5 h heating, under a gas flow at 200 mL.min<sup>-1</sup>.<sup>473</sup> Figure 23a

shows some of the changes in properties of the titania after heat-treatment in ammonia atmosphere) size, BET surface area and N level), whilst Figure 23b shows the photodegradation rates for methylene blue dye.<sup>473</sup>

Figure 23.

Following the above report on N-doping of titania, in 2010, Ihm and co-workers reported the continuous one step hydrothermal synthesis of N-doped titania and its photocataytic activity.<sup>474</sup> The material was made directly in process from a precursor solution of titanium(IV)tetraisopropoxide (0.1 M) and nitric acid solution (0.8 M), which was left to stir for up to 3 h and then used at reaction temperatures of either 200, 300 or 400 °C.<sup>474</sup> This yielded particle sizes of ca. 5, 10 and 16 nm, respectively (by XRD analysis). Thus, the N-doped titania was able to absorb not only UV but also visible light, which resulted in superior photocatalytic degradation of methyl orange (MO), when compared to standard photocatalyst Degussa p25.

**Titanate Photocatalysts.** Potassium hexatitanate is of interest as a photocatalyst and can be made via batch reactions under subcritical and supercritical water conditions; this work yielded fiber shaped photoactive particles that had a ruthenium oxide active catalytic phase on the surface. Such materials were shown to possess photocatalytic activity in water decomposition reactions.<sup>229</sup> Despite being made from a batch hydrothermal synthesis, activity improvement of more than 13-fold were obtained for the photocatalysts synthesized under (batch) subcritical water conditions and in the range 27 to 59-fold for those synthesized under (batch) supercritical water conditions. Such materials would certainly be of interest in solar water splitting if made in flow reactors, where presumably the particle size should be smaller than batch.

Photocatalytically active potassium hexatitanate ( $K_2O\cdot 6TiO_2$ , KTO) can also be made in a continuous hydrothermal reactor.<sup>79</sup> Starting materials used in this report were a titanium hydroxide [Ti(OH)<sub>4</sub>] sol and potassium hydroxide (KOH). It was observed that more KTO phase was formed when the KOH concentration was increased from 0.02 to 0.4 M, with a fixed concentration of Ti(OH)<sub>4</sub> solution (0.2 M). The products from these reactions ranged from being a mixture of spherical and fibrous particles (T = 350 °C), to all fibrous (T = 420 °C), depending on reaction temperatures used at the mixing point.<sup>79</sup> The single-phase KTO particles obtained at a mixing point temperature of 400 °C, were ca. 10 nm in width with lengths in the range ca. 500 to 1000 nm. In contrast, particle sizes obtained at 420 °C were ca. five times larger than that obtained at 400 °C. In particular, the researchers also evaluated the photocatalytic activity of KTO for photodecomposition of methanol. They observed that KTO obtained via CHFS, displayed ca. ten times greater activity than micron sized KTO particles produced in a conventional hydrothermal batch reactor (Figure 24).

# Figure 24

The UCL authors used TiBALD,<sup>346</sup> as a precursor for the synthesis of sodium titanate nano-sheets via CHFS<sup>475</sup> This solid was made using a solution of TiBALD that was pumped to meet a large excess of sodium hydroxide in flow, and thereafter, a superheated water feed at 450 °C and 24.1 MPa. The UCL authors conducted photocatalytic testing on the freeze-dried nano-sheets (see Figure 25 for XRD data and TEM images), which showed outstanding performance in photo-decolourisation of methylene blue solution with visible-light irradiation, two times better than the commercial catalyst P25.<sup>346</sup> More recently, Hayashi and colleagues reported the direct synthesis of sodium titanate (NTO) nano-sheets by a hydrothermal flow system from a Ti-sol and NaOH solution. Under supercritical conditions (>400°C) at the initial Na/Ti molar ratio >5, the product was microns in size and a few nm thick. It was also shown that the Na<sup>+</sup> ions could be removed from the solid to give a hydrated protonated titanate and that tetrapropylammonium (TPA) ions could also be used to intercalate into this Na free titanate.<sup>476</sup>

## Figure 25

**Zinc Oxide Photocatalysts.** Zinc oxide (ZnO), a semiconductor that has a similar band gap to  $TiO_2$  and has been investigated for photocatalysis applications (as well as UV attenuating properties). The greatest advantage of ZnO is that it absorbs a large fraction of the solar spectrum and overall, more light quanta than undoped  $TiO_2^{477}$ . Illumination of semiconductors such as ZnO and  $TiO_2$  with photons of energies greater than their band gap energies (hv < 400 nm), promotes electron transitions from the valence band to the conduction band, leaving behind positive holes.<sup>477</sup>. The valance band potential is positive enough to generate hydroxyl radicals at the semiconductor surfaces and the conduction band is negative enough to reduce  $O_2$ . The hydroxyl radical or hole (h<sup>+</sup>) is a powerful oxidizing

agent and attacks organic pollutants at or near the surface of semiconductor photocatalysts, resulting usually in their complete oxidation to  $CO_2^{4, 4^{60}}$ 

It has been well known that the structural and morphological characteristics of ZnO determined by the different fabrication methods will influence its photocatalytic properties as well as degradation efficiencies towards organic molecules<sup>12</sup>. In the earlier section, the UV attenuating properties of ZnO were discussed as well as its use in phosphors, <sup>172, 204-205, 207, 209, 478</sup> therefore, we will discuss its use in photocatalysis and methods for altering size, composition and shape in some detail.

The particle size and crystallinity of photocatalysts are amongst the most important factors in determining their photocatalytic properties <sup>11</sup>. Various methods adopted for the preparation of ZnO particles include the thermal deposition or co-precipitation<sup>479</sup>. Compared to such methods, CHFS syntheses have allowed the preparation of highly crystalline NPs with narrow size distribution and highly purity without further heat-treatment at higher temperatures <sup>197</sup>.

ZnO particles with high crystallinity were obtained by Ohara *et al.* using a CHFS process [see figure 26].<sup>208</sup> Zn(NO<sub>3</sub>)<sub>2</sub> was pressurized to 30 MPa at room temperature and heated to 390 °C by mixing with supercritical water. Whiskers were produced when the concentration of metal salt solution was < 0.02 M. In the same work, room temperature photoluminescence spectra of ZnO whiskers was measured and showed a strong near-band-gap edge emission with broad red light emission, which indicated a possible use in UV-light emitting and laser devices.

#### Figure 26.

In order to reduce the particle size further, Sue and co-workers<sup>265</sup> synthesized nano-sized ZnO fine particles (particle size range in this work was 15 to 25 nm) in a CHFS system via rapid heating of an aqueous solution in a basic solution, using  $Zn(NO_3)_2$  and potassium hydroxide solutions. The CHFS system pressure was maintained at 30 MPa, and temperatures used ranged from 573 to 673 K. Particle size of ZnO from the CHFS system was about 10 times smaller than that of the reported analogous batch system reaction. They found that particle size of the product was increased with higher initial  $Zn(NO_3)_2$  concentration. Also, by adding KOH into the system, smaller particles with higher product yields were obtained, due to the lower ZnO solubility in the scH<sub>2</sub>O mixture with base.<sup>265</sup> The same group further examined the effect of KOH and  $Zn(NO_3)_2$  (aq.) concentrations of starting solutions, flow rate, and other mixing factors on the particle size and morphology.<sup>89</sup> Two methods of mixing were involved in this study. In the first method (M1) supercritical water and KOH were first premixed and then mixed with  $Zn(NO_3)_2$  in line, whilst in the second method (M2), the supercritical water was premixed with  $Zn(NO_3)_2$  first and then met with the KOH flow. The system temperature and pressure were maintained at 673 K and 30 MPa, respectively, and the products obtained were single phase ZnO (rhomboid shaped particles or rods under different conditions). It was reported in a previous publication on the flow synthesis of  $ZnO^{265}$ , that increasing KOH concentration from  $1 \times 10^{-3}$  to  $2 \times 10^{-3}$ mol.kg<sup>-1</sup>, resulted in a decrease in particle size, however, no significant difference in particle size was observed when this was attempted for ZnO in this work. By changing the method of mixing from M1 to M2, a partial reduction in mean particle size, was observed (from 23 to 15 nm) and the number of rods contained in the products, also increased. The authors proposed that the formation of rod-like ZnO was due to preferential precipitation and growth of crystals along the (0001) surface, resulting from the high supersaturation obtained via method M2. This work nicely demonstrated that the CHFS system could vary crystal morphology through control of nucleation and crystal growth in the heat-up, reaction, and cool-down cycle.

For the production of very small ZnO, Sue et al.<sup>263</sup> explored the effect of using mixing tee reactors with different inner diameters under fixed reaction conditions for a CHFS reaction involving ZnSO<sub>4</sub> and KOH as precursors. In contrast to earlier work,<sup>89, 265</sup> they introduced cooling water at the exit of the mixing reactor to rapidly quench the products. Their results indicated that the introduction of cooling water minimized the product's particle size. Presumably, introduction of cold water to growing particles inhibited further crystal growth reactions of nuclei. Furthermore, in the same report, particle size was observed to decrease with decreasing inner diameter of the mixing tee reactor; this can be explained by the reduced heat-up time and enhanced mixing efficiency (of the salt and base solutions) for a small inner diameter mixer.

Viswanathan and Gupta reported the synthesis of ZnO NPs (as a potential photocatalyst material) via the oxidation of zinc acetate in supercritical water in a continuous tubular reactor.<sup>480</sup> In their process, a preheated water and peroxide solution was pumped to meet a cold metal salt solution feed. Pressure and temperature of the system was kept at 400 °C and 245 atm. The authors also stated that particles synthesized via this method were spheres in the range ca. 120 to 320 nm, and that surprisingly, particle size did not appear to be greatly affected by flow rate or feed concentration. The wide particle size distribution and large particle size in flow, strongly suggests that the main mechanism for particle synthesis is via crystallization and non-uniform growth of smaller crystallites to form particles with a wide particle size distribution.

Sue and co-workers investigated both batch reactions and CHFS of ZnO using various zinc salts of  $Zn(CH_3COO)_{2}$ ,  $ZnSO_4$ ,  $Zn(NO_3)_2$ , and base (LiOH, KOH) solutions.<sup>87</sup> In one batch experiment, the diameter of particles synthesized in LiOH aqueous solution was smaller than that in KOH with otherwise identical conditions. It was suggested that particles from the LiOH reaction are in fact Li-doped ZnO, due to the high Li-concentration found in the particles and closeness in the ionic radii allowing Li<sup>+</sup> (ionic radius = 1.28 Å) to partly substitute for Zn<sup>2+</sup> (ionic radius = 1.22 Å) in lattice or even interstitial positions. In contrast, for the CHFS reaction product, phase pure and relatively Li-free ZnO was obtained with  $Zn(NO_3)_2$  and LiOH as precursors.<sup>87</sup> Particularly small particles (*ca.* 16 ± 8 nm), were obtained using a mixing nozzle with an inner diameter of 150 µm. This ZnO was ca. 35 times smaller than that synthesized in the corresponding batch apparatus. The particle diameter was also smaller than that obtained using  $Zn(NO_3)_2$  / KOH in a hydrothermal flow reaction under the same reaction parameters, which was reported in earlier work by the same group.<sup>265</sup>

**Doped ZnO or Composite Photocatalysts.** Viswanathan and co-workers<sup>481</sup> further developed the concept of a modified two-reactor CHFS system to allow the synthesis of ZnO-TiO<sub>2</sub> composite fine particles (as either broad UV blocker materials or possibly as photocatalysts); two reactors were used in series and had two inlets each. Aqueous zinc acetate and hydrogen peroxide solution was fed through two inlets of the first reactor, and TiCl<sub>4</sub> solution was fed through one of the feed lines in the second reactor, with the other feed line being the exit stream from the first reactor. The composite particles obtained were in the sandwich form, with TiO<sub>2</sub> particles sandwiched between ZnO particles. Size range of TiO<sub>2</sub> and ZnO were in the ranges 200 to 300 nm and 20 to 50 nm, respectively. They also concluded that the formation of composite particles with TiO<sub>2</sub> between ZnO, could effectively minimize the ag-

UCL authors also used CHFS to synthesize crystalline NP catalysts in the Ti-Zn-O binary system.<sup>230</sup> The as prepared nanopowders were heat-treated at 850 °C for five hours in air to give photoactive semiconductor mixtures of rutile and zinc oxide and/or zinc titanates. These product powders were initially of interest for UV attenuators with low photoactivity (for their ability to scatter the sun's harmful UVA and UVB radiation). However, zinc titanate heterometallic phases or their phase mixtures, are also of interest as photocatalysts. Photocatalytic activity of the samples towards the decolourisation of methylene blue dye was also assessed over 6 h. The reaction rate was calculated assuming first order kinetics (equation 5 below), which has been shown to fit well for photo-decolourisation of certain dyes including MB (in air). In all cases, a linear relationship was obtained for  $\ln(C/C_o)$  against time

$$\ln \left( \frac{C}{C_0} \right) = -k't \tag{5}$$

(C = concentration at time t,  $C_0$  = initial concentration, t = time, k' is the composite rate constant). Using XRD data for the as-prepared mixtures, it could be seen that the samples displaying low rates of decolourisation had broad indistinct XRD peaks, suggesting the samples were less crystalline. In contrast, the samples with more Zn, e.g. sample 7 (Ti: Zn nominal ratio 50:50) to sample 13 (Ti: Zn nominal ratio 0:100), the photocatalytic activity was amongst the highest of the as-prepared nanopowder mixtures. All the Zn-rich samples showed a sharp transition at the bandgap with a higher UVA absorption (see figure 9 earlier) for all the UV-Vis profiles of the as-prepared samples).<sup>230</sup> It was suggested that the additional absorption could contribute to the higher activity, whilst surface oxygen deficiencies (seen in the XPS spectra of samples 8 to 13 that were high in Zn content) could be acting as capture centres for photoexcited electrons (to reduce recombination), enabling surface absorbed OH to form hydroxyl radicals that can take part in photocatalytic reactions. For the heat treated Ti-Zn-O binary samples, in most cases, a decrease in photocatalytic activity was observed in comparison to the corresponding as-prepared materials. The photoactivity also varied depending on the crystallinity and the absorbance as well as the structure and surface area. For the heat-treated powders, the ZnO and Zn<sub>2</sub>TiO<sub>4</sub> mixtures displayed high activity, which may be of interest for UV photocatalysis applications.<sup>230</sup> The highest activity was observed when the as-prepared sample containing a Ti:Zn ratio of ca. 10:90 that was heat-treated to form a phase mixture of ZnO and  $Zn_2TiO_4$ . The heat-treated sample (Ti: Zn nominal ratio 40:60) showed only one phase of pure zinc orthotitanate, which exhibited the lowest activity of all the samples made.<sup>230</sup>

More recently, a high throughput continuous hydrothermal (HiTCH) flow synthesis approach was used to produce a library of 54-doped ZnO samples (18 different dopant ions at three concentration levels plus two undoped samples). Each sample was made from the reaction of an aqueous solution of basic zinc nitrate (and dopant ion or modifier) solution with a flow of superheated water at 450 °C (pressure 24.1 MPa). The photocatalytic activity of the freeze-dried powders was evaluated (decolourization of methylene blue dye). It was observed that transition metal dopants tended to reduce photocatalytic activity because they formed levels deep in the band gap that acted as recombination centres. Fe, Ag, Mn, V, Ni, and Cr-doped samples all had weak colours and suppressed photocatalytic activity.

In general, increasing the doping concentration caused a lowering in the photocatalytic activity (silver and vanadium dopants were the exceptions to this, possibly due to a change in oxidation state of vanadium and possibly by a change in location of the silver ions. The authors suggest that Fe, Ag, Mn, Ni, and Cr-doped ZnO may be suitable candidates for UV attenuation, because of their small (< 100 nm) size, low photocatalytic activity and good UV absorption.<sup>270</sup> Ideally, UV attenuators would have no visible absorption, but these samples had some limited colour, which may be acceptable in this application or in cosmetics. Co and Ti-doped zinc oxide had reasonable photocatalytic activity, comparable to that of the commercial P25 photocatalyst. Furthermore, doping of rare earth ions generally increased photocatalytic activity. The order of activity for the decolourization of MB was 1.0Nd > 1.0Pr > 0.5Y > 0.5Gd > 0.5La > 1.0Er > ZnO  $\gg$  1.0Ce (where the number represents the dopant atomic percentage and with the most active dopant level being given per metal). Thus, for the rare earth dopants, it is likely that at higher concentrations, they acted as defect sites, which promoted recombination. Finally, it was found that the photodecolourisation activities of the rare-earth-doped ZnO were higher than that of undoped zinc oxide by up to a factor of two times and far exceeded that of the commercial standard photocatalyst P25. Therefore, these materials may be promising candidates for photocatalytic applications. On the other hand, Ce-doping suppressed the activity of ZnO, therefore, it may be a useful UV-attenuator material.

What was particualrly exciting in this work on doped ZnO samples was that the dopants of choice, gave failrly consistent changes in particle properties across the three loading levels used; these changes were see in particle size and BET surface areas (see figure 27), which not only shows the CHFS process to be highly reproducible, it also shows that the low level of dopants have a measurable effect on particle properties for ZnO.

Figure 27

Despite some interesting developments towards more active and more stable photocatalysts,<sup>4, 16, 482</sup> real progress in this area has been slow, as comparison of results is difficult and it takes effort to build comprehensive structure-property-compositional relationships for these materials. To some extent there are now move towards standardization of photocatalytic reactions in order to be able to better compare different materials.<sup>483</sup> One possible approach to discovering better photocatalysts and improving understanding of the science has been to make and screen many materials via high throughput synthesis and screening methods in order to cover large regions of compositional space in relatively short time.<sup>484</sup> Such approaches have been recently applied by rapid synthesis in a high throughput CHFS system (HT-CHFS) and rapid screening methods.<sup>227</sup> Furthermore, the ability to photocatalytically decolourize methylene blue or resazurin and other dyes in a simple parallel testing apparatus, was reported with either UV or a mixture of UV-visible light illumination.<sup>304</sup> This work first suggested the potential of CHFS systems for the rapid manufacture of very large numbers of doped nanomaterials in relatively short times.

Bismuth-substituted ceria nanoparticles ( $Bi_xCe_{1-x}O_{2-x/2}$ ) made in flow, have also been evaluated as photocatalysts for the photodecomposition of a model dye compound (rhodamine B).<sup>485</sup> The compound was made in flow from a precursor made by dissolving  $Bi(NO_3)_3 \cdot 5H_2O$  in a small amount of water by addition of nitric acid, then neutralizing with NaOH. Then  $(NH_4)_2Ce(NO_3)_6$  was added followed by more neutralization, to give a 0.1 M final solution concentration for the combined metal ions. <sup>485</sup> The reactor conditions were set at 350 and 375 °C, respectively, at 250 bar and a 15 s residence time was used. It was noted that as-prepared samples had some surface adsorbed  $NO_x$ , water, and hydroxide groups; heat-treating the samples rendered them active for photocatalytic decomposition of rhodamine B.<sup>485</sup>

## 7.2. Heterogeneous Catalysts

### 7.2.1. Non-Precious Metal Catalysts / Supports

This section will cover catalysts and catalyst supports containing mainly non-precious metals. As discussed earlier, where free NPs are used directly in a catalytic reaction, it is usually somewhat of an exception in heterogeneous catalysis. In the vast majority of cases, the metal particles (or other active species) are immobilized on a support, usually a metal oxide such as ceria, zirconia, alumina and silica, etc.<sup>486</sup>

The support material of course possesses active oxygen species that are critically important in the reaction itself, e.g. for oxidation. The use of cation doping can have an effect of making some of this oxygen mobile under relative-

ly mild conditions, which makes the catalyst more active (and may reduce the need for precious metals). Incorporation of a divalent cation onto a trivalent cation lattice (or a trivalent for a tetravalent) site, results in a charge imbalance that needs to be compensated by another lattice defect. This can usually happen in one of three ways,(i) creation of a host lattice oxygen vacancy, (ii) formation of a dopant (2+) interstitial ion or creation of (iii) a lattice selfinterstitial cation (i.e. the higher charge cation into the interstitial position). Because the CHFS process offers the ability to fine-tune dopant levels as well as synthesis conditions in a systematic way, it should be invaluable in understanding the interplay between such defects and their effects on performance.

In recent years, it has become apparent that the influence of the support on both the activity and the reusability of the catalyst is important. Therefore, much effort has gone into designing new catalyst supports. By manufacturing such supports as high surface area NPs (which may or may not be agglomerated), offers the possibility to then coat them with highly active metals in a subsequent sequence of impregnation and drying / firing steps.

Alumina Based Materials.  $Al_2O_3$  is an industrially important catalyst support.<sup>487</sup> There are a number of reports on the continuous hydrothermal synthesis of the oxides of Al and its oxyhydroxide / hydroxides. An early paper attempting to make alumina via CHFS, was published by Arai's group, which described the production of AlO(OH).<sup>71-72,</sup> <sup>488</sup> The same researchers and others, continued to work on this material and indeed eventually successfully prepared  $Al_2O_3$  directly in a number of papers.<sup>489-490</sup> For example,  $Al_2O_3$  was produced directly at a high temperature of 773 K;<sup>490</sup> because researchers have accumulated so much data on the production of these materials, it has also become a fruitful system for theoretical/modelling studies<sup>488, 491</sup>

The Arai group papers from 1992, reported production of bohemite particles in the size range 0.1 to 1.5  $\mu$ m,<sup>71-72</sup> with considerable variations in particle size with temperature and pressure, and variation in particle shape with temperature and concentration of Al(NO<sub>3</sub>)<sub>3</sub>. As an indication of how the field of CHFS has progressed, by 2005, particle sizes in the range ca. 60 – 70 nm were made with smaller size distribution, and in this case, particle size and shape were found to be related to reaction temperature, pressure, reaction time, pH and concentration of reactants.<sup>81</sup> More recently, highly anisotropic nano-crystalline boehmite was made in a flow reactor using near-critical and supercritical water and inexpensive Al(NO<sub>3</sub>)<sub>3</sub>·9H<sub>2</sub>O as the precursor with a reaction time of < 2 minutes.<sup>492</sup> The morphology of particles was found to be pressure dependent and at 400 bar pressure, bar-shaped nano-crystalline boehmite particles were obtained (Figure 28). At lower pressures, e.g. 200 bar, an inhomogeneous product result-

ed.<sup>492</sup> The size and shape of the average NP size was extracted from PXRD data and showed good agreement with TEM images. The dry boehmite particles under heat-treatment, maintained their overall morphology upon thermal transformation to  $\gamma$ -Al<sub>2</sub>O<sub>3</sub>, making this method an excellent route to developing alumina with small size.<sup>492</sup>

#### Figure 28

#### Ceria Based Catalysts and Supports.

Catalytic converters form part of a car's exhaust system and can remove harmful emissions, instead of release into the environment.<sup>493</sup> The catalytic converter is named so because it can covert CO and hydrocarbons into CO<sub>2</sub> and water and NO<sub>x</sub> into N<sub>2</sub> and O<sub>2</sub> (three way catalysts). A number of requirements are desirable in next generation autocatalysts including "fast light-off catalysts" that allow the catalytic converter to work at lower exhaust temperatures (better for short journeys),<sup>494</sup> as well as more thermally durable catalysts<sup>495</sup> that can increase the life of the catalyst and allow the catalytic converter to be mounted closer to the engine. Furthermore, catalysts with reduced amounts (or more effective use of) precious metals that also display improved oxygen storage properties, are desirable. Cerium dioxide (CeO<sub>2</sub>, ceria) and ceria based mixtures are becoming key constituents in catalytic systems as 2016 saw the 4oth anniversary since ceria was first used by Ford Motors (as an oxygen storage component in automotive catalytic converters).<sup>496</sup>

Poliakoff and co-workers first reported the CHFS synthesis of very homogenous Ce<sub>x</sub>Zr<sub>1-x</sub>O<sub>2</sub> materials with highly defective pseudo-cubic phases, including some phases that could be stabilized beyond previously reported phase boundary limits.<sup>97</sup> The nanomaterials were obtained with the surface areas as high as 180 m<sup>2</sup>g<sup>-1</sup>. Korean researchers in collaboration with Hanwa Corporation of Korea,<sup>404</sup> measured the oxygen storage capacity (OSC) of similar ceria-zirconia nanomaterials and found that they had excellent OSC with very labile oxygen at low temperatures.

The importance of thermal durability in three-way catalyst (TWCs) has been highlighted earlier;<sup>495</sup> some of the authors at UCL reported the CHFS syntheses of Ce-Zr-La-Y oxide solid solutions; the effects on OSC were studied for both the as-dried materials as well as those subjected to accelerated ageing.<sup>363</sup> The samples were each dried using either freeze or hotplate drying and then either analyzed as dried powders or after an further 10 h heat-treatment (at either 1000, 1100 or 1200 °C). The TPR profiles were very different depending on the thermal history of the samples and can be summarized as follows; (1) despite the 10 h heat-treatments (BET surface areas typically <

15 m<sup>2</sup>g<sup>-1</sup>), the samples generally possessed high oxygen storage capacities and (2) the freeze-dried materials displayed highly mobile oxygen at low temperatures.<sup>363</sup>

CHFS has tremendous potential in the synthesis of support or active three way catalysts for cars in systems such as Ce-Zr-Y oxide based supported catalysts. One of the major questions around the use of CHFS is if the materials adversely sinter with aging and what is the nature of the interaction when precious metals are added? As has been mentioned earlier, low temperature co-precipitation methods can suffer from the oxides precipitating out at very different rates leading to inhomogeneities in binary solid solutions. The CHFS method can allow rapid precipitation under precise conditions and hence, perhaps a different view of solid solution solubility in phase diagrams, may emerge.

In an extension of the work of some of the UCL authors and others in Ce-Zr oxide systems<sup>75, 497</sup> as well as YSZ (yttia stabilized Zirconia) solid solutions or mixtures,<sup>357, 498</sup> a new high throughput (HT) synthesis capability was developed to make an entire phase diagram (66 samples) of Ce-Zr-Y oxide in 12 h.<sup>33-34</sup> Consequently, the following questions were posed;

- What is the phase behavior and solid solution solubility after heat-treatment at 1000 °C (typical temperature at which such materials are aged for testing) and how does it differ from conventional methods?
- 2) Does the process of synthesis allow the materials to be made with gradual changes in properties (such as unit cell volume changes) across the compositional map?
- 3) Are the phases metastable? and
- 4) Which materials compositions sinter the most?

From the 66 samples, the UCL authors obtained Raman data, metal analyses via EDS and high resolution XRD data during the commissioning experiments for a new high throughput automated beamline XRD (Station I11 at Diamond Synchrotron in Oxford) which allowed very high quality data sets in less than 10 mins per set.<sup>33</sup> The Raman assignments were then used to guide the batch Reitveld refinements in order to suggest trends in lattice parameters with changes in composition. This work allowed the team to reveal unusually large phase fields of solid solution solubility, that were not known previously (Figure 29). In a follow-up publication, the pre-heat treated samples were also characterized.<sup>33</sup>

Figure 29.

In Figure 29a, the compositional space for Ce<sub>x</sub>Zr<sub>y</sub>Y<sub>2</sub>O<sub>2-6</sub> (heat-treated) is shown in which each hexagon represents a single sample, and the nominal molar content of Ce, Zr, and Y in each sample is proportional to the amount of red, green, and blue colour, respectively. Samples were synthesized in sequential order starting at number 1 and ending at 66 (see Figure 29a) thus, reduced the possibility of cross contamination for the binary compositions. In Figure 29b, a simplified phase diagram for Ce<sub>x</sub>Zr<sub>y</sub>Y<sub>2</sub>O<sub>2-6</sub> is shown that was made on the basis of synchrotron PXRD data. The figure shows the following phase types; fluorite (shown in gray), monoclinic ZrO<sub>2</sub> (shown in yellow), tetragonal ZrO<sub>2</sub> (shown in red), bixbyite (shown in blue), and a 2×2×2 oxygen-deficient fluorite superstructure (pseudo-Y<sub>2</sub>O<sub>3</sub>) with oxygen-vacancy ordering (shown in green). Hexagons in a single colour indicated single-phase material, while the hexagons with three dots indicated two-phase behavior, and those with striped colours indicated a single-phase region, where there is a smooth transition between fluorite and the 2×2×2 oxygen deficient fluorite superstructure. In Figure 29c, the lattice parameter information from Rietveld-fitted PXRD data was converted to mean volume per metal oxide (MO<sub>2-6</sub>) unit and shows a smooth pseudo-linear variation with mole fraction. Figure 29d shows the relative crystallite size (as indicated by the circle diameters) obtained by application of the Scherer equation to the strongest PXRD peak (at  $2\theta \approx 15^{\circ}$ ) for each of the 66 samples (taking instrumental peak broadening into account). The largest crystallite size was for pure CeO<sub>2</sub> (shown in the diagram as a filled hexagon).

More recently, the authors at Zhejiang published an article describing the preparation of what are sometimes known third generation TWCs, which introduced alumina doping and / or  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> particles to prevent sintering of the Ce<sub>x</sub>Zr<sub>1-x</sub>O<sub>2</sub> grains<sup>92</sup> (see later in section on precious metals supported catalysts). In a further report, some of the authors investigated the possible correlations between measured properties and spectroscopic or other data.<sup>471</sup> This is because one of the wholly grails for the development of TWCs (and other catalysts) is to discover compositions or conditions of active catalysts, without the need to actually measure each and every sample (OSC and TPR profiles can take an order of magnitude longer than the time required to make materials). Ideally, if inferential measurements can be used, such as correlation of spectral peaks to desired properties, this would certainly aid such optimizations. Chemometrics were applied to the spectroscopic and other data to investigate any significant correlations

between the measured surface areas, OSC and between peaks in the spectroscopic data (e.g. Raman data).<sup>471</sup> No doubt, a much larger dataset will benefit such investigations in the future.

Highly active ceria–zirconia oxide / graphene nanocomposites made via CHFS, were used as a catalyst for the direct synthesis of DMC (dimethyl carbonate) from CO<sub>2</sub> and methanol. DMC has low toxicity and high biodegradability, which makes it a green reagent for the production of polycarbonates. The effect of various catalyst test parameters such as the reaction time, temperature, CO<sub>2</sub> pressure, catalyst loading and the use of a dehydrating agent, was evaluated. The ceria-zirconia/graphene catalyst was easily recycled and reused several times without any significant deterioration in its catalytic performance.<sup>362</sup>

 $ZrO_2$  based catalyst supports (some of which contain ceria) have also been made via CHFS and their catalytic properties evaluated. Galkin *et al.* produced a range of doped or pure zirconias<sup>369</sup> including Ce<sub>0.5</sub>Zr<sub>0.5</sub>O<sub>2</sub>, Ce<sub>0.1</sub>Y<sub>x</sub>Zr<sub>0.9</sub>O<sub>2</sub>, Zr<sub>1-x</sub>Y<sub>x</sub>O<sub>2</sub>, Zr<sub>1-x</sub>In<sub>x</sub>O<sub>2</sub>, and ZrO<sub>2</sub> (as well as Pd/Rh/ZrO<sub>2</sub>).

Other applications for nano-sized ceria based catalysts are for oxidation reactions; Kim et al. impregnated various transition metals (e.g. Mn, Fe, Co, Ni, and Cu) onto a CHFS-made CeO<sub>2</sub> support for the catalytic wet oxidation (CWO) of phenol. The catalysts showed excellent activity and CO<sub>2</sub> selectivity.<sup>499</sup> In other oxidation catalysis research using ceria containing materials, some of the authors attempted to produce a series of transition metal codoped (Mn, Cr or Cu) Ce<sub>x</sub>TiO<sub>2</sub> samples and revealed that the Cu and Ce co-doped samples showed the highest activity in the catalytic oxidation of toluene, which was comparable to some noble metal catalysts.<sup>278</sup> The authors further reported that a Strong-Metal Support Interaction (SMSI) feature was also obtained in CHFS made a Ce<sub>x</sub>TiO<sub>2</sub> sample which showed superior activity in Selective Catalytic Reduction (SCR) of NO with NH<sub>3</sub>.<sup>500</sup> The special contact between CeO<sub>2</sub> and TiO<sub>2</sub> had induced enriched surface oxygen vacancies in the sample, which effectively facilitated the oxidation of NO to NO<sub>2</sub> in SCR reactions. These contacts also lowered the NH<sub>3</sub> oxidation over CeO<sub>2</sub>, effectively retaining the NH<sub>3</sub> for SCR reactions.<sup>500</sup>

Ceria-zirconia based solid solutions incorporating other elements will be important materials in future automotive catalysts and would benefit from applying a materials discovery approach if new and rapid testing methods<sup>501</sup> or diagnostic analytical methods can be developed.

**Perovskites or Related Structures**. Often, in order to obtain the desired balance of properties in an inorganic support, heterometallic supports are used rather than homometallic ones. In general, materials with exceptional

framework structures (e.g. perovskites) are accompanied with extraordinary properties that are of interest for many catalyst applications. These materials, however, tend to be difficult to make, requiring multi-step and prolonged processes to obtain pure phases.<sup>502</sup> The authors believe that the sc-H<sub>2</sub>O crystallizing environment could be an ideal reaction medium to solve this problem, as it will be able to facilitate either direct synthesis (due to extreme conditions) or provide mixtures that can readily react to form complex structures. The former could be a new focus for CHFS. For example,  $Ca_{0.8}Sr_{0.2}Ti_{0.9}Fe_{0.1}O_{3.6}$  was synthesized directly via CHFS; the material was of interest as a support for nickel catalysts in the partial oxidation of methane.<sup>431</sup> These type of doped perovskite materials usually require a high temperature synthesis in order to be made phase pure (in excess of 850 °c<sup>362</sup>), which usually results in low surface areas (typically ca. 5 m<sup>2</sup>g<sup>-1</sup> or less). A continuous hydrothermal process was used to produce this phase pure material at a temperature of only 673 K, resulting in a nanopowder with a BET surface area of 70.6 m<sup>2</sup>g<sup>-1</sup>. Other notable flow synthesis of perovskites structures include Pr-doped CaTiO<sub>3</sub>, which is discussed in the section on nanophoshors (section 4.1).<sup>216</sup>

Researchers working at the University of Nottingham (UK) reported the synthesis of phase pure catalytically active and relatively high surface area (19 m<sup>2</sup>g<sup>-1</sup>) perovskite La<sub>2</sub>CuO<sub>4</sub> using CHFS synthesis followed by a further heattreatment.<sup>140</sup> TPR of the material was used to assess the behavior of the material compared to "traditionally prepared" low surface area (0.4 m<sup>2</sup>g<sup>-1</sup>) La<sub>2</sub>CuO<sub>4</sub>.<sup>140</sup> The TPR trace showed that the CHFS (plus heat-treatment) material displayed a low temperature first reduction peak assigned to the loss of weakly bound oxygen (this was ca. 100 °C lower than the first reduction peak for "traditionally prepared" material). This indicated profoundly increased bulk oxygen mobility for the CHFS-made sample (even though the total hydrogen uptake was virtually identical for both types of samples). The oxidation of CO (using CO pulsing experiments) was also compared and the CHFS sample had ca. 2.5 times the oxidation activity compared to the "traditionally prepared" La<sub>2</sub>CuO<sub>4</sub>.<sup>140</sup>

The authors at Zhejiang University recently studied the potential catalytic applications of  $La_{n+1}Ni_nO_{3n+1}$  compounds (n-layered perovskite block separated by a rocksalt LaO layer) that were prepared by using CHFS followed by high temperature heat-treatments.<sup>503</sup> These  $La_{n+1}Ni_nO_{3n+1}$  materials were evaluated for the catalytic oxidation of toluene and methane with the composition and lability of active oxygen species being investigated by analytical techniques including, XPS  $O_2$ -TPD and  $H_2$ -TPR. They found that the LaNiO<sub>3</sub> (n =  $\infty$ ) material had the greatest amount of chemisorbed oxygen and the lowest activation energy ( $E_a$ , ca. 70.1 kJ mol<sup>-1</sup>) for toluene oxidation. The  $La_4Ni_3O_{10}$  (n = 3) compound showed a superior lattice oxygen mobility and the lowest  $E_a$  (ca. 111.0 kJ mol<sup>-1</sup>) in me-

thane oxidation (see Figure 30). The interstitial oxygen ions in the LaO layers were not active in both oxidation processes.<sup>503</sup>

## Figure 30

Ultrafine perovskites (at ca. 5 nm) were also prepared by using CHFS route. Islam et al.<sup>504</sup> recently reported that with careful control of the NP residence time in CHFS reactor; ultrafine  $La_{1-x}Sr_xMnO_3$  (x in the range 0.1 to 0.3) nanocrystallites could be directly produced with no need for an additional heat-treatment.<sup>504</sup> They indicated that the direct production of perovskites should ideally be conducted under supercritical water conditions (T > 374 °C and pressure higher than 22.1 MPa). Only the residence time at ca. 0.17 s was required to yield the phase pure  $La_{1-x}Sr_xMnO_3$ (x in the range 0.1 to 0.3) product.<sup>504</sup> Some of the authors recently revisited this direct synthetic approach using  $H_2O_2$ .<sup>505</sup> Ultrafine LaMnO\_3 nano-crystallites could be produced as long as the residence time was > ca. 0.7 s. After being subjected to  $H_2$ -TPR and  $O_2$ -TPD, the material yielded distinct  $H_2$  consumption and oxygen desorption peaks. Compared to "traditionally prepared" LaMnO<sub>3</sub> material, the CHFS made sample had a higher surface area and greater oxygen mobility (due to the creation of anion vacancies from the doping of Sr<sup>2+</sup> for the  $La^{3+}$  cation), which was comparable to some noble metal containing catalysts (low  $E_a$  for toluene oxidation ca. 54.3 kJ mol<sup>-3</sup>).<sup>505</sup>

In a further report using CHFS, some of the authors co-precipitated a high surface area LaCoO<sub>3</sub> / MgO composite mixture from a solution of the metal salts.<sup>506-507</sup> The as-prepared product was then heat-treated in air at 700 °C for 2 h. The aim was to obtain a high dispersion of active LaCoO<sub>3</sub> with the MgO support (the latter acting as a sintering retardant) as well partial doping of Mg<sup>2+</sup> into the perovskite to increase oxygen vacancies.<sup>506-507</sup> The latter promoted the formation of active oxygen species, resulting in remarkable toluene or methane oxidation performances (such Mg-doped LaCoO<sub>3</sub> materials are also potential of interest as visible light photocatalysts).<sup>508</sup> Further modification onto the A site in LaCoO<sub>3</sub> perovskite was also undertaken, where Ca<sup>2+</sup> was introduced to partially replace La<sup>3+</sup> ions.<sup>507</sup> Dual-site substitution of Ca<sup>2+</sup> and Mg<sup>2+</sup> was found to be beneficial for toluene oxidation (with an apparent lowering of activation energy to only 34 kJ mol<sup>-1</sup> that was on par to some catalyst incorporating precious metals). Clearly, the introduction of 2+ cations into the structure, had created anion vacancies (charge compensation) and consequently, highly active oxygen anions. However, this substitution had somehow deactivated the methane oxi-

dation as the substituted Ca<sup>2+</sup> could inhibit the generation of surficial lattice oxygen and decreased the reducibility of LaCoO<sub>3</sub>.

**Petrochemical/Coal Related Catalysts.** The Fischer–Tropsch (FT) process involves chemical reactions that convert a mixture of carbon monoxide and H<sub>2</sub> (syngas) into liquid hydrocarbons.<sup>509</sup> A number of transition metal catalysts based on Fe or Co etc. can be used for such processes. Cobalt catalysts are more active for Fischer–Tropsch (FT) synthesis when the feedstock is natural gas and therefore, there has been interest in making oxides of cobalt that can be reduced in situ to cobalt metal on a silica support.<sup>509</sup> As such catalysts are used for FT-reactions, eventually the catalyst has to be regenerated (via oxidation) to remove / burn off any carbonaceous deposits. It has been found that solid Co metal particles > 10 nm can form hollow particles on oxidation that fracture after regeneration (i.e. when reducing back to the active Co metal); this is cycle is often referred to as Reduction–Oxidation–Reduction (ROR) treatment.<sup>510</sup> Particles of cobalt metal < 11 nm appear to be relatively stable to multiple oxidation and reduction cycles so the catalysts can often be regenerated without loss of the active particle integrity.<sup>510</sup> Thus, the authors investigated routes to control the size of cobalt oxide particles to below 10 nm (ideally) and study size control under different growth conditions.<sup>371</sup>

The authors used an arrangement of two confined jet mixers in series with each other in, which the first mixer formed the spinel nanoparticles and the second one, acted as a cooling quench (with only ambient temperature water). The concept was to study the nucleation and growth behaviors in the first mixer under laminar and turbulent conditions at various pH regimes and try to use this to explain the yields and size distributions obtained at different mass production rates. Four different tandem mixer configurations in either laminar or turbulent flow regimes, were studied in the pipe downstream of the mixer.<sup>171</sup> The distribution of sizes of the NPs was influenced by the flow regime, the concentration of the precursor, and the presence of the quench. Mechanisms of complete nucleation followed by growth via coalescence and incomplete nucleation followed by growth from solution, were observed. The nature of the nucleation and growth were strongly dependent on the mixing conditions as well as the initial concentration of cobalt acetate and resulting product pH.<sup>271</sup>

Under certain conditions, the quench feed allowed a reduction of the average crystallite size without significantly influencing the yield of the reaction. An increase of the crystallite size with increasing concentration, was observed under turbulent mixing conditions, whereas a decrease in size with increasing concentration, was observed for lami-

nar mixing conditions. Overall, the main benefits of the CHFS synthesis method in this case was the ability to make NPs with a D50 size of 6.5 nm at a rate of 20 g h<sup>-1</sup> using a laboratory scale continuous reactor.<sup>171</sup> No doubt, further exploration of these NPs for suitability for FT-catalysis, will be investigated in the future to understand the effects of activity and selectivity with particle size and faceting.

Whist FT reactions make hydrocarbons from small molecules, certain high surface area catalysts can also be used to break C-C bonds. Matson et al. produced a number of iron-based materials to test for activity in C-C bond scission reactions in napthylbibenzylmethane (as a model reaction for the liquefaction of coal).<sup>134, 513</sup> They compared the reactions of these materials to similar materials produced by a modified reverse micelle reaction, and to conventionally produced iron compounds. In all, the researchers identified five different phases produced by CHFS, although they suggested that some of these phases were not phase pure. They found that lower temperature CHFS reactions (T-mixing point at ~ 200 °C) tended to favor the formation of ferrihydrites (Fe<sub>5</sub>HO<sub>8</sub>·4H<sub>2</sub>O), whereas at higher temperatures (T mixing point at ~ 300 °C), crystalline hematite was formed.<sup>134</sup> At low temperatures, the shortest residence times produced the most disordered ferrihydrite phase 2-line ferrihydrite (meaning it has only two broad lines in its powder XRD pattern). This tended towards formation of the more ordered 6-line ferrihydrite (six lines present in the powder XRD pattern) with longer residence times. Use of acetate buffer or urea appeared to encourage the formation of ferrihydrites over hematite.<sup>134</sup> Fe(II) was rapidly oxidized to Fe(III) under hydrothermal conditions to form hematite, except when urea was used (resulting in magnetite being formed). Finally, iron oxyhy-droxysulphate formed by adding Na<sub>2</sub>SO<sub>4</sub> to the reagent feed.

On testing these iron-based catalysts, they found that the 6-line ferrihydrite, magnetite and iron oxyhydroxysulphate had amongst the highest performances of the CHFS-produced materials, each with over 90% yield and selectivity.<sup>134</sup> The CHFS-made catalysts were better than those made via reverse micelle or more conventional synthesis materials, although the authors were not able to conclude whether this was specifically due to particle attributes or the constituent phases. This was because of the difficulty in directly comparing the products from each synthesis method (different phases, particle sizes, surface areas etc.)

Bismuth-doped ceria catalysts are used as "oxygen reservoirs" in the oxidative dehydrogenation of propane. In such reactions, the lattice oxygen is used to selectively combust hydrogen from the dehydrogenation mixture at ca. 550 °C. Similar materials are also of interest as photocatalysts. More information on the flow synthesis of bismuth-substituted ceria nanoparticles can be found in the section on photocatalysis (Section 7.1).<sup>485</sup>

Despite, their extensive use in the petrochemical industry as catalysts, to date, there has only been one report of the production of zeolites via a continuous hydrothermal process. Zeolites are microporous aluminosilicate materials onto which, molecules may be adsorbed to catalyze chemical reactions or for environmental decontamination (in the latter via cation exchange reactions). Furthermore, proton-exchanged (H) zeolites are extensively employed in for cracking crude oil fractions into fuels and chemical feedstocks for other processes.<sup>512</sup> One of the most well know zeolites is ZSM-5 that as patented by Mobil Oil Company in 1975 [Zeolite Socony Mobil–5, an aluminosilicate zeolite with chemical formula is Na<sub>n</sub>Al<sub>n</sub>Si<sub>96-n</sub>O<sub>392</sub>·16H2O (o<n<27)]. It is used in the petroleum industry as a heterogeneous catalyst for hydrocarbon isomerization reactions. Typically, in the synthesis of zeolites, organic templates are often used and the framework is also slowly aged prior to its crystallization in a batch hydrothermal type process.<sup>512-514</sup> Wakihara and co-workers reported the synthesis of ZSM-5 on the order of seconds in a continuous flow reactor<sup>515</sup> via direct mixing of a precursor (at 90 °C) with superheated water at (370 °C), which gave a mixture in the range 240 to 300 °C). Other types of framework structures that can be made in flow reactors and that may have applications in catalysis (or gas storage) are for Metal–Organic Frameworks (MOFs),<sup>516</sup> which are covered in more detail in section 10.4)

In the petrochemical industry, there is often a need to remove impurities that are naturally present in fuels. Due to increasingly stringent environmental regulations to limit sulfur in fuels, catalysts for removal of sulfur from crude oil and other derivatives, have been termed as deep-hydrodesulfurization (deep-HDS) catalysts. In order to achieve removal in a cost effective way, efficient and inexpensive deep-HDS catalysts are required. In one report by Ay-monier and colleagues, a continuous flow process in supercritical water/alcohol mixtures (with a long residence time) was used to make NiMoO<sub>4</sub>, a preferred catalyst for deep-HDS (a Co analogue was also reported).<sup>31</sup> By changing the type of alcohol in the solvent mixture, it was possible to tune BET surface area of the material; the as-made material contained a highly active rod like hydrate NiMoO<sub>4</sub>-o.75H<sub>2</sub>O phase with a BET surface area up to 180 m<sup>2</sup>g<sup>-1</sup>.<sup>31</sup> Catalytic tests on a model desulfurization chemical 4,6-dimethyldibenzothiophene (4,6-DMDBT), showed better conversion of the reagent compared to commercial catalysts for the deep-HDS reaction. Furthermore, it was shown that there was an optimal Ni value and that the reaction could be performed at lower temperatures when using the nano-structured unsupported catalysts.<sup>31</sup>

Catalysis of Carbon Dioxide. Anthropogenic activities have led to a rise in greenhouse gases (including CO<sub>2</sub>) from ca. 280 ppm before the industrial revolution (to ca. 390 ppm in 2010), and which is predicted to be ca. 570 ppm by the year 2100.<sup>517</sup> In tandem with CO<sub>2</sub> storage and sequestration, conversion of CO<sub>2</sub> to useful products such as formic acid, methane, methanol, is an appealing way to utilize CO<sub>2</sub> as carbon source to fossil fuels.<sup>517</sup> Recently, monodispersed 11 nm CuO nanoparticles (NPs) were synthesized via continuous hydrothermal synthesis and evaluated as heterogeneous catalysts for CO<sub>2</sub> hydrogenation.<sup>334</sup> The nanoparticles were evaluated using a wide range of tests, e.g. they were characterized by diffuse reflectance infrared Fourier transform spectroscopy (DRIFTS), extended Xray absorption fine structure (EXAFS), X-ray diffraction (XRD), and catalytic testing, under H<sub>2</sub> / CO<sub>2</sub> mixtures (temperature range 25 to 500 °C. In situ DRIFTS data suggested surface formate species were present at temperatures as low as 70 °C, whilst DFT calculations suggested that reduction to Cu<sub>2</sub>O would facilitate formate formation. Indeed, In situ EXAFS supported a strong correlation between the formate peak intensity and Cu<sub>2</sub>O phase fraction.<sup>334</sup> The concurrent phase and crystallite size evolution were monitored by in situ XRD, which suggested the CuO NPs were stable in size before the onset of reduction, with Cu<sub>2</sub>O crystallites being formed from 130 °C. The formation of Cu metal from 190 °C, was followed by a rapid decrease of surface formate (and the detection of adsorbed CO from 250 °C); these results were in concordance with parallel heterogeneous catalytic tests (surface CO was observed over the same temperature range). Furthermore, CH₄ was detected when the Cu metal phase was present, with a maximum conversion rate of 2.8 % measured at 470 °C, suggesting an independent reaction pathway for the conversion of  $CO_2$  to  $CH_4$  and  $CO_2$  to CO.334

As well as heterogeneous catalyzed reactions of CO<sub>2</sub>, some of the authors also recently reported to use of ultrafine 17 nm nanoparticles copper(II) oxide nanoparticles made via continuous hydrothermal synthesis for the electrocatalytic reduction of CO<sub>2</sub> to formic acid was studied by evaluating nano-CuO / Nafion based inks on glassy carbon electrodes.<sup>335</sup> In particular, the authors studied the influence of Nafion fraction on the Faradaic efficiencies and overpotential (for formic acid production), with the highest Faradaic efficiency being 61 % (for ink with 25 wt% Nafion fraction), at a potential of 1.4 V vs. Ag/AgCl.<sup>335</sup> This value for efficiency was significantly higher than the majority of comparable reports for copper catalysts in the literature and makes these materials potentially attractive to larger PEMFC-like designs for CO<sub>2</sub> reduction, especially considering the scale up capabilities, green nature and ease of formulation of the continuous hydrothermal synthesis method.<sup>335</sup> CHFS was used for the synthesis of graphene-oxide (GO) inorganic catalyst composites, denoted as Ce-La-Zr-GO.<sup>518</sup> The nanocomposite was synthesized from pre-mixed aqueous solution of cerium, lanthanum, zirconium nitrate and GO under alkaline conditions in flow. The resulting nanocomposite catalyst was heat-treated at either 773, 973 or 1173 K and then tested for the direct synthesis of propylene carbonate (PC) from cycloaddition of CO<sub>2</sub> and propylene oxide (PO).<sup>518</sup> The optimum reaction condition for the synthesis of PC was found at 443 K, 70 bar and 10 % (w/w) catalyst loading and the catalyst could be reused several times without losing catalytic activity.<sup>518</sup>

A number of other potential catalytic systems are of interest for the reduction of  $CO_2$  include the development of bio-inspired iron sulfide catalysts in the appropriate conditions.<sup>519</sup> For example, greigite (Fe<sub>3</sub>S<sub>4</sub>) presents similar surface structures to the active sites found in many modern-day enzymes. It has recently been shown that particles of greigite can reduce  $CO_2$  under ambient conditions into chemicals such as methanol, formic, acetic and pyruvic acid.<sup>519</sup> These results also lend support to the origin of life theory on alkaline hydrothermal vents (i.e. the formation of the building blocks of life from  $CO_2$  and other chemicals under conditions found on earth). Given the large number of reports now on the synthesis of sulfides in the continuous hydrothermal synthesis literature,<sup>115, 122, 370</sup> there is potentially scope to develop new sulfide based low temperature  $CO_2$  reduction catalysts in the future.

## Other Oxidation / Reduction Catalysts.

Several multi-component mixed metal oxide systems, including three phases of bismuth molybdate, namely  $Bi_2MoO_6$ ,  $Bi_2Mo_2O_9$  and  $Bi_2Mo_3O_{12}$ , have attracted considerable attention as oxidation catalysts.<sup>520-521</sup> Their synthesis typically involve post-synthesis treatment at elevated temperatures, which can make it difficult to control particle morphology and size in the products. Batch hydrothermal syntheses of bismuth molybdates generate crystalline products with high purity and narrow particle size distribution with synthesis temperatures in the range 140 to 280 °C and reaction times in the range 3 to 12 h. In addition, judicious choice of reaction temperature, time, stoichiometry and pH are important for the controlled synthesis of specific phases. Although batch methods are widely used, the authors attempted to make finer bismuth molybdate particles (with higher surface areas) and perhaps greater control over particle size and shape. Depending on conditions, the authors successfully obtained  $\alpha$ -Bi<sub>2</sub>Mo<sub>3</sub>O<sub>12</sub> and  $\gamma$ -Bi<sub>2</sub>MoO<sub>6</sub> and found that simple variation in synthetic conditions and appropriate solution stoichiometry was sufficient to select the phase of the products.<sup>522</sup> The CHFS reactions were as follows; bismuth nitrate and a basic solution of molybdic acid were each pumped at 10 mL min<sup>-3</sup> in the reactor and had been diluted to achieve the desired

metal stoichiometries (i.e. either a 2:1 or 2:3 atomic ratio of Bi:Mo) when they were mixed together at a low volume 1/8" tee-piece.<sup>522</sup> At this point, an initial precipitate formed *in situ* was at pH 4. This precipitate was then brought into contact with a supercritical water feed being pumped at 20 mL.min<sup>-1</sup> at  $\leq$  450 °C and 24.1 MPa in an counter-current mixer, resulting in rapid crystallisation of the respective products.<sup>522</sup> Depending on conditions, the materials were obtained as yellow powders in yields of 82 and 86 % for the syntheses of  $\alpha$ -Bi<sub>2</sub>Mo<sub>3</sub>O<sub>12</sub> and  $\gamma$ -Bi<sub>2</sub>MoO<sub>6</sub>, respectively.

Copper-manganese oxides are of considerable industrial interest as sustainable catalysts for the oxidation of CO at ambient temperature as well as for combustion of hydrocarbons or unwanted halide and nitrogen containing compounds.<sup>523</sup> Adschiri et al. first reported the hydrothermal flow synthesis of CuMn<sub>2</sub>O<sub>4</sub> nanocrystals at only 400 °C in a single step.<sup>524</sup> A feature of the research (as seen for some other heterometallic oxides) was that an initial mixed metal "hydroxide" precipitate or sol was first made, cleaned and then used as the precursor to be subsequently mixed with a sc-water feed in flow.<sup>524</sup> In the report, it was found from XRD data that CuMn<sub>2</sub>O<sub>4</sub> was a major phase with traces of CuO, which may in part be due to the use of an initial inhomogeneous hydroxide precipitate in the mixture that was fed into the reactor or due to an excess of Cu in the initial precipitate that later evolved into CuO. Nevertheless, this general approach holds much promise to develop high surface area and more active oxidation catalysts.

NPs made via CHFS are ideally suited for use in a range of catalytic applications, particularly where a high surface area may benefit reaction rates. Due to the relatively higher surface energies of such small particles and extended defect surfaces, it is often observed that NPs are much more active catalysts at lower than expected temperatures, e.g. for the absorption of small molecules or mobility of lattice oxygen, etc. One of the most interesting recent reports to emerge on oxygen evolution catalysts made via continuous hydrothermal processes was on the synthesis of NiCo layered double hydroxide (LDH) nanoplates.<sup>525</sup> LDHs are a family of 2D layered synthetic materials with stacked brucite-like M(OH)<sub>2</sub> layers, where some of the M<sup>2+</sup> ions are substituted by M<sup>3+</sup> ions, resulting in positively charged host layers with charge-balancing anions between them. Several classes of LDHs are seen as inexpensive and versatile (allow doping) alternatives to more conventional precious metal-containing oxygen evolution reaction (OER) catalysts such iridium and ruthenium oxides. In the report by Jin et al. as-prepared NiCo LDH nanoplates showed high OER catalytic activity, particularly after increasing their surface area after chemical exfoliation (best samples gave a current density of 10 mA cm<sup>-2</sup> at an overpotential of 367 mV and a Tafel slope of 40 mV.dec<sup>-1</sup>.<sup>525</sup>

In closing, the topic of catalyst supports and non-precious metal supported catalysis is ever expanding, and there have been a number of developments. These new systems offer tunable reactivity, good abundance of starting materials (transition metals) and structural stability. Indeed, recent theoretical predictions indicate that complex perovskites (free of precious metals) can catalyze both ORR and OER.<sup>526</sup> Such catalysts would also be of interest for ORR and OERs in not only heterogeneous catalysis but also fuel cell, electrolysis and energy storage applications. Thus, the development and use of nano-size and more active, defective/doped and high surface area catalysts that reduce or eliminate precious and scarce metals, is an area in which continuous hydrothermal processes should be explored in the future.

## 7.2.2. Precious Metal Containing Particles for Catalysts

In heterogeneous catalysis, precious metals such as Platinum Group Metals (PGMs) are often dispersed into a support matrix that can be either inert or indeed active within the catalytic process. By supporting the PGMs, it is also more likely to prevent sintering and lowering of the active surface. It is also possible for the PGM to be incorporated into the host matrix or for the precious metal to be near the surface, where dramatic changes oxygen mobility / lability can occur (and significantly lowering of the onset reaction temperature), due to the so called metal-support interaction.<sup>92</sup> For example, formation of a mixed phase of  $Pd_xCe_{1-x}O_{2-x-\delta}$  solid solution can form oxidized  $PdO_x(s)/Pd-O-Ce(s)$  clusters, which generated highly reactive oxygen for the low temperature oxidation of CO.<sup>486</sup>

Three Way Autocatalysts and Active Oxygen Applications. Amongst the most well-known catalysts are PGMs on ceria-zirconia based solid solutions for three way catalysts to convert CO, hydrocarbons and NO<sub>x</sub> into CO<sub>2</sub>, H<sub>2</sub>O and N<sub>2</sub>.<sup>493, 495, 501</sup> Since the reaction rate is related to the surface area of the catalyst, the PGM particles must be as small as possible. Traditionally these catalysts are made either by impregnating a preformed support with a metal salt, or by co-precipitating the metal salt and support material. In both cases, the PGM NPs are formed on the surface of the support by heating the material under reducing atmosphere. It is also possible to make unsupported nanoparticles with PGMs under mildly reducing conditions in hydrothermal flow. Kimura and co-workers synthesized Pt from H<sub>2</sub>PtCl<sub>6</sub> and Na<sub>2</sub>PtCl<sub>6</sub>, respectively, varying the metal salt concentration from o.6 to 15 mM, with the mixing temperature in the range 373 to 563 K and the pressure ranging from o.1 to 25 MPa.<sup>527</sup> This paper, apart from being the first synthesis of nano-sized Pt by CHFS, was notable for the use of mixtures of ethanol and water as the solvent and

for the use of PVP as a capping agent. The authors found that the size of the Pt particles was typically 2 to 3 nm, where colloidal solutions were obtained, with the smallest particle size being 1.9 nm in pure water, however, 1:1 mixtures of water and ethanol afforded narrower particle size distributions. Using similar equipment and conditions, they produced Rh NPs in the range 2 to 5 nm.<sup>528</sup> In these two papers, it was stated that the ethanol acted as a reducing agent, however, the ions were still reduced to the metal even in aqueous solution. This could be explained by the reducing effect of some decomposed PVP at the low temperatures used in these papers.

In the literature, a series of supported reduction catalysts were made via CHFS processes consisting of Pt, Pd and Rh supported onto CeO<sub>2</sub>, ZrO<sub>2</sub> and TiO<sub>2</sub>, respectively.<sup>529</sup> The supports were all made at 450 °C and 24.1 MPa, both with and without base for comparison. Selected samples were heat-treated in the temperature range 100 to 500 °C for 1 hour after drying. In addition, NPs of CeO<sub>2</sub>, ZrO<sub>2</sub> and TiO<sub>2</sub>, respectively, were produced via CHFS and used as the supports for new catalysts via a further incipient wetness (IW) and heat-treatment step. In total, 53 individual catalysts were produced, tested for their activity for the hydrogenation of nitrobenzene to aniline and compared to other catalysts, which were used for commercial applications. It was found that the activities generally varied in the order Pt > Pd > Rh and TiO<sub>2</sub> > ZrO<sub>2</sub> > CeO<sub>2</sub> for both the co-crystallized and incipient wetness samples.<sup>529</sup> All the Rh catalysts were inactive for the hydrogenation of nitrobenzene to aniline, but interestingly, Rh metal NPs produced without a support, did have some activity.<sup>529</sup> This may indicate that Rh was deactivated by the support. Heat-treatment up to 400 °C did not make any difference to the activity of any of the above catalysts, indicating that any surface groups present on the active metal, did not significantly affect the activity of the catalysts. Heattreatment at 500 °C, tended to reduce catalytic activity, suggesting the onset of sintering and loss of surface area. TEM analysis showed that in catalysts where the noble metal cation was larger than the support metal cation, the catalysts were phase separated, i.e. there were noble metal particles clearly visible on the TEM image of the support.<sup>529</sup> Rh dispersed over Ce<sub>x</sub>Zr<sub>1-x</sub>O<sub>2</sub> (made via CHFS) was also prepared by Ihm and co-workers.<sup>530</sup> The material was used for catalytic reduction of NO by CO, which showed enhanced reducibility for Rh and superior catalytic performance.

There are examples of CHFS reactions directly producing supported catalysts, by co-precipitating an active PGM with a support phase simultaneously in sc-H<sub>2</sub>O. Galkin *et al.* produced a number of potential catalysts including Rh and Pd on  $ZrO_2$  and  $TiO_2$ , respectively.<sup>369</sup> They found from TPR studies that pure  $ZrO_2$  made via CHFS, displayed three reduction peaks below 1273 K (bulk  $ZrO_2$  cannot normally be reduced at temperatures below 1000 °C). Three

steps of reduction for  $ZrO_2$  made via CHFS were observed below 1000 °C, and the first reduction peak was observed at 232 °C.<sup>369</sup>

When rhodium was added into the in the  $ZrO_2$  catalyst made via CHFS, the consumption of hydrogen increased. Interestingly, when palladium rather than rhodium was used, hydrogen uptake began at room temperature, and desorption of hydrogen was at ca. 70 °C. It was suggested that a possible advantage of CHFS was the higher (by at least an order of magnitude) concentration of  $Zr^{3+}$  ions (compared to the material prepared by more conventional processes), which are regarded as the active sites in the Fischer-Tropsch processes.<sup>509</sup>

The authors thus, believe that there is potential to utilise the CHFS method for the development of next generation TWC's, particularly in areas where the surface area and microstructure of the ceria-zirconia based solid solution can be controlled to reduce sintering and to enhance the presence of defect of highly mobile oxygen. In many catalytic supports, the effect of ageing or a heat-treatment can result in a loss of surface area or pore volume, which can reduce activity. In a report by Kim and co-workers, it was shown that supercritical flow synthesis of Ce<sub>0.65</sub>Zr<sub>0.35</sub>O<sub>2</sub> supported catalysts showed reduced loss of activity after redox ageing (treatment of the solids with  $H_2$  and  $O_2$  in turn at 1000 °C for 6 h), as a result of less sintering. This was in contrast to a more conventional room temperature co-precipitated material.<sup>367</sup> In the report, the ceria-zirconia materials were made and then heat-treated for 12 h. For the conventionally co-precipitated materials, the ceria-zirconia mixed oxide was obtained by heat-treating the initially formed hydroxide in an air flow at 700 °C for 5 h. After redox ageing of the supercritical and conventionally made ceria-zirconia catalysts, it was shown that the OSC for the latter decreased from 700 µmol-O2.g<sup>-1</sup> to 330 µmol-O<sub>2</sub>.g<sup>-1</sup>, while the OSC was largely retained for former material, due to less sintering on aging (original value 680 µmol-O<sub>2</sub>.q<sup>-1</sup>). Rh-loaded ceria–zirconia mixed oxides were also prepared using incipient wetness method, followed by drying and heat-treatment in air at 600 °C for 3 h. The Rh loaded aged materials, showed excellent OSC retention on ageing, which was in contrast to the ceria-zirconia samples made conventionally.<sup>367</sup> Such considerations about the effects of sintering and ageing are critical in designing future automotive catalysts.

To some extent the catalytic activities of supported catalysts are highly dependent on the nature of the dispersion of active species and the interaction between the active species and supports (or materials that reduce sintering), where the former can also profoundly affect the latter. The authors described the preparation of  $Ce_{0.6}Zr_{0.3}La_{0.05}Y_{0.05}O_{2-\delta}/\gamma$ -Al<sub>2</sub>O<sub>3</sub>(CZA) and Pd /  $Ce_{0.6}Zr_{0.3}La_{0.05}Y_{0.05}O_{2-\delta}/\gamma$ -Al<sub>2</sub>O<sub>3</sub>(PdCZA) composite oxides that were prepared in one step as nanopowders using CHFS.<sup>92</sup> The team investigated the homogeneities, thermal stabilities

and catalytic activities of the samples after a 10 h heat-treatment at 1000 °C in air (accelerated ageing). It was observed that this accelerated ageing changed the BET surface areas for the CZA sample from ca. 110 to ca. 36 m<sup>2</sup>g<sup>-1</sup> (which was about three-fold higher than that of aged ceria-zirconia solid solution sample at ca. 13 m<sup>2</sup>g<sup>-1</sup>). Interestingly, accelerated ageing did not significantly affect the T<sub>90</sub> (time taken to decompose 90 % of the gas) for NO and  $C_xH_y$  during three-way catalytic activity measurements (from ca. 265 to ca. 310 °C after ageing).<sup>92</sup> This implied a remarkably high thermal stability of the PdCZA sample made via the CHFS route due to the co-precipitation with zirconia (see figure 31). Such an approach to get intimate mixtures of two or more phases, which can then be exploited (for solid state chemistry or prevent sintering) is an attractive benefit for CHFS as will be seen later for example in highly conducting composite Ni / YSZ cermets<sup>357</sup> and for solid state chemistry of difficult to make heterometallic oxides.<sup>38, 498, 531</sup>

### Figure 31

The interaction of PGM atoms or particles and a support can result in Strong-Metal Support Interaction (SMSI) that can confer extraordinary properties for a large set of technologically important applications, e.g. they could increase oxygen mobility and reducibility for materials, which profoundly enhances their activities for catalytic reactions. SMSI features have been studied for CHFS made samples when the PGM was in intimate contact with the support metal oxide.<sup>532</sup> The authors used a spherical aberration corrected scanning transmission electron-microscope (Cs-corrected STEM) to study a SMSI feature between Pt and CeO<sub>2</sub> hybrid oxides obtained from CHFS.<sup>532</sup> From the Cs-corrected STEM image, Pt atoms were observed to be interlocked with the Ce atoms (see Figure 32) after they were co-precipitated in flow using sc-H<sub>2</sub>O. The SMSI Pt / CeO<sub>2</sub> hybrid oxides showed remarkable reducibility that yielded a much higher activity for toluene oxidation compared to "traditionally prepared" Pt / CeO<sub>2</sub>. In the report, the authors also showed that addition of formic acid in a CHFS reactor could effectively adjust the valence of Pt, which led to further enhanced SMSI and catalytic activity.<sup>532</sup>

Figure 32

ultimately solar-driven) splitting of seawater into hydrogen and an oxidised chloride (Cl<sub>2</sub> / NaOCl). The oxidised chloride can be stored either as Cl<sub>2</sub>, or hypochlorite (depending on reaction pH), thereby providing an inexpensive and portable route to chlorinated water or disinfectant production, whilst the useful by-product of hydrogen gas, could be used to provide heat / electricity at a later date (by burning or via a fuel cell, respectively). Mixtures of ruthenium and titanium oxides (similar to those used in the industrial production of chlorine through the chlor-alkali process<sup>533</sup>), were produced by the authors using continuous Hydrothermal synthesis and tested as catalysts for both oxygen gas and chlorine gas evolution. A rapid colourimetric screening test was used, where Ce(IV) [yellow] was used as a sacrificial oxidant and was reduced to Ce(III) [colourless] in a brine solution.534-535 CHFS nanopowders were then compared against a high performing RuO<sub>2</sub> (Ru-Adams) material, synthesized using a modified Adams type molten salt approach. Improved catalytic activity resulted; this was due to the higher surface area of the materials. Improved selectivity towards chlorine (versus oxygen) gas evolution (up to ca. 92 % Cl<sub>2</sub> yield) was seen for samples with low ruthenium content.<sup>534</sup> Figure 33a shows a typical UV/Vis absorbance decay profile showing the reduction of Ce(IV) to Ce(III) at 430 nm. In this case, three serial injections of 90 mL of 0.1 M Ce(IV) (aq.) were added to a stirred dispersion of catalyst that was ultrasonicated. The most promising Chloride Oxidation Catalyst (CIOC) sample made in CHFS (containing 50 at% Ru), was used to produce an anode on transparent conducting glass and then used in a demonstrator device for solar brine splitting that incorporated a solar cell.534-535

The CIOC electrode was shown to produce hydrogen and chlorine when placed in the cell with acidified brine (under illumination). Figure 33b shows the results for the photo-cleavage of brine using a 50 at% Ru anode and platinum mesh cathode, coupled to a Si solar cell operating at 1.89 V, in 0.5 M H<sub>2</sub>SO<sub>4</sub> and 2 M NaCl. The figure shows (i) the predicted temporal H<sub>2</sub> yield, the measured temporal H<sub>2</sub> yield (based on GC measurements) the final Cl<sub>2</sub> yield (measured using a KI trap). The corresponding Cl<sub>2</sub> yield was also shown to improve when a potentiostat set at 1.44 V was used instead of the solar cell (80 % Cl<sub>2</sub> yield obtained due to the less competition with oxygen production from water splitting at this potential). This work has effectively shown that highly selective brine splitting catalysts can be developed at reduced Ru content, which can be run at low current densities (compared to the commercial chlor-alkali process<sup>533</sup>), which offers the potential for personal or smaller scale domestic production of hydrogen or chlorine or perchlorate. The authors are currently trying to better understand the location of the Ru and Ti atoms within the structures of the CLOC catalysts and believe that there is still further potential to utilise the (high throughput) CHFS method for the development of other more sustainable CIOC catalysts in the future (i.e. with little or no Ru). Notably, these Ru-Ti oxide oxidation catalysts should also be of interest as supercapacitor materials.<sup>535</sup> The results of these endeavours will be reported in due course.

# 8.0 DEVICES (ENERGY HARVESTING / CONVERSION / FUELS)

As we have already seen, the continuous hydrothermal process can make catalytic and active nanomaterials. Some of these catalysts and related materials can also be used in energy conversion, harvesting or related devices (e.g. as electrode catalysts or components in fuel cells or solar cells) or for devices that create fuels or separate oxygen from the air. Some of these devices are critical to improving energy efficiencies in the future or for reducing reliance on fossil fuels for the benefit of mankind.

## 8.1 Solid Oxide Fuel Cell Materials (Including Mixed Ion Conductors) and Related Technologies

Fuel cells are one of the major commercial applications of mixed ion conductors and PGMs, attracting tremendous interest because of their as yet unfulfilled potential in transport and domestic and large scale power generation.<sup>536</sup> They can offer a combination of high efficiency for direct conversion of chemical energy into electrical energy, producing much lower emissions of S and N oxides, and reducing CO<sub>2</sub> and hydrocarbon pollutants. Altogether, they offer significant environmental advantages over conventional power generation methods. With relevance to the applications of different types of fuel cells, the authors will initially focus on Solid Oxide Fuel Cells (SOFCs).<sup>38, 91, 357, 498, 537-538</sup> There have been some initial reports on the CHFS of nanomaterials that might be suitable as components in SOFCs. The authors envisage that other fuel cells such as polymer electrolyte membrane fuel cells (PEMFCs), might also be able to benefit from the use of metal oxide or metal NPs made via CHFS for improving performance (for oxygen reduction or oxygen evolution reactions, respectively).

SOFCs are particularly of interest for combined heat and power applications for domestic and large-scale power generations. A SOFC generally consists of an anode where the fuel (e.g. CO and hydrogen) can react, an oxygen ion electrolyte (oxide ion conductors, such as yttria stabilized zirconia, YSZ, that is electronically insulating) and a cathode where the oxygen is reduced to oxygen anion (O<sup>2-</sup>). The oxygen anions in a SOFC pass from the cathode, through electrolyte under electrical load and react with the fuel at the anode. A basic SOFC process produces CO<sup>2</sup> and water, electricity and heat. An excellent review by Ormerod is highly recommended for those wishing to learn more about this subject.<sup>536</sup> There are many opportunities to use NPs made via continuous hydrothermal (or of course other methods) in the development of SOFC materials application, particularly in the development of anode, cathode, interconnect and electrolyte materials.

### Electrolyte Materials for SOFCs.

By far the most popular material for this component is yttria-stabilized zirconia  $(ZrO_2)_{1-x}(Y_2O_3)_x$ . The doping of a 3+ cation of Y into the 4+  $ZrO_2$  oxide structure, leads to oxygen ion vacancies (including single vacancies and vacancy pairs), which will depend on the yttria concentration and in turn affect the ionic conductivity of the material under operating conditions.

For electrolyte materials (e.g. YSZ), the fabrication of these materials for SOFC device stacks has its difficulties. The YSZ ceramic ink is often screen printed as one of several layers and then the whole device has to be fired at high temperatures >1200 °C to give a gas tight YSZ barrier between the electrode layers. As such, there is interest in reducing the sintering temperature of the YSZ itself and producing gas tight, fully dense YSZ layers after sintering. NPs could provide a route to resolve exactly these issues, particularly using continuous hydrothermal technology. Investigations by the authors on CHFS-made YSZ NPs (at ca. 5 nm), revealed that the onset of sintering could be reduced from ca. 1300 °C (for bulk YSZ) to ca. 1070 °C, which is in keeping with the literature reports of the significant lowering of the onset of sintering temperature for nano-sized YSZ made via other methods.<sup>539</sup> Such a lowering of sintering temperature was attributed to the high surface energy of NPs.

Reports on the syntheses of YSZ materials made using the continuous hydrothermal technique, include reports by Hayashi et al.<sup>288</sup> and Teja et al.<sup>368</sup> where the latter suggested that CHFS manufacture of YSZ NPs should avoid KOH (as it can damage zirconia electrolytes). In the former report, it was found that particles made in the temperature range 300 to 400 °C (pH range 1 to 11), it was found that crystallite sizes were in the size range 4 to 6 nm (estimated from BET) irrespective of solution pH and temperature. Furthermore, it was observed that Y conversion increased with increase in solution pH and temperature and the correct stoichiometric Y:Zr solid solution was obtained at a pH >  $8.^{288}$ 

Key to the performance of YSZ in a SOFC is the composition and method of materials manufacture and how this affects lifetime and operation. Degradation can happen over time and this is generally due to, two mechanisms; (i) short-range ordering of oxygen vacancies and (ii) precipitation of a tetragonal ZrO<sub>2</sub> phase from the cubic ZrO<sub>2</sub> matrix. Thus, in the future, it could be useful to correlate long-term stability to the methods of synthesis.

Anode Materials for SOFCs. SOFC anode materials are required to electrically conduct and withstand the reducing conditions of the fuel and the transport of oxygen ions across the electrolyte. In order to achieve these dual properties, Ni-YSZ cermet (a composite of metal and ceramic) is of interest as it can achieve suitable properties at >30 vol.% Ni content. The high level of Ni coupled to thermal mismatch with the ceramic part, can lead to stress buildup and fracture of the anode during the fabrication process and in operating during temperature cycling. The UCL authors postulated that it may be possible to reduce the amount of Ni metal required to achieve reasonable conductivity and improved thermal characteristics if the Ni and YSZ can be made as a sintered intimate co-precipitate. In collaboration with Imperial College fuel cell group of Nigel Brandon,<sup>100</sup> the UCL authors investigated nano-coprecipitates of Ni and YSZ that were made by continuous hydrothermal. A rapid consolidation technique known as spark plasma sintering (SPS) was used to rapidly densify the material in a very short timeframe and to in situ reduce the NiO to Ni during sintering. The continuous hydrothermal method successfully produced NPs in which the Ni was evenly distributed in the YSZ (see Figure 34), which effectively improved the thermal stability and conductivity. Electrical conductivity tests showed that the CHFS made material after SPS produced a Ni/YSZ cermet with higher than expected electrical conductivity. The 24 vol% Ni-containing material had an electrical conductivity of ~200 S.cm<sup>-1</sup> at the fuel cell operating temperature, which corresponded to an effective conductivity of  $\sim 117$  S.cm<sup>-1</sup> if a porosity of 30 vol% was to be introduced. This significantly improved electrical conductivity at low Ni content (< 30 vol%) means that the layer is likely to be more redox cycle tolerant and have better thermal expansion compatibility with the ceramic electrolyte.

# Figure 34

In the fabrication of printed solid oxide fuel cell devices, nanoparticles can be formulated with larger micron sized particles because the former will sinter at relatively lower temperatures, whilst the latter will undergo less shrinkage upon densification. SOFC anodes based in Ni containing ceria–gadolinia (CGO) were made using impregnation of nickel nitrate solution on CGO scaffolds.<sup>537</sup> The scaffolds were produced from inks containing a mixture of CHFS-made CGO, larger size commercial CGO and polymeric pore formers. Mechanically robust scaffolds were made by heat-treatment at either 1000 or 1300 °C (onset of sintering for the NPs was in the range 900 to 1000 °C).<sup>537</sup> Impregnated scaffolds were used to produce symmetrical fuel cells, with the lower temperature heat-treated scaffolds showing improved gas diffusion, but poorer charge transfer. Using these scaffolds, lower temperature heat-treated fuel cells of Ni–CGO / 200 µm YSZ / CGO-LSCF, performed better at 700 °C (and below) in hydrogen, and performed better at all temperatures using syngas, with power densities of up to 0.15 W.cm<sup>-2</sup> at 800 °C.<sup>537</sup> The authors suggest that in this "bricks and mortar" approach, the nanoceramics were the binding component for bringing together larger micron sized particles for the manufacture of robust SOFC anodes.

**Cathode Materials for SOFCs.** For solid oxide fuel cell cathode materials, there is a requirement for mixed electrical and oxygen ion conductivity.<sup>536</sup> Such materials are effective catalytically active materials that could in theory have appeared in other sections of the review (e.g. as oxidation catalysts). There are of course specific points that arise for such materials and these will be discussed herein for the development of fuel cells. For example, it important that we understand the role of defects in affecting the ionic and electronic properties; for example, under oxygen rich conditions, the defect chemistry may favour p-type conductivity, with cation vacancies playing a crucial role. In contrast, in oxygen poor conditions, it could be that O<sup>2–</sup> vacancies dominate, leading to n-type conductivity.

Many mixed ion conductors are heterometallic layered oxides and are inherently difficult to make. An example of such materials includes the (La-Ni-O) binary oxide system that forms a series of compounds with the general formula La<sub>n+1</sub>Ni<sub>n</sub>O<sub>3n+1</sub>. As a consequence of their layered framework, these phases can accommodate a range of nonstoichiometry. Changes in the La:Ni ratio for the series, can lead to different ionic and electronic behaviours. For example, the slightly oxygen deficient n = 2 and n = 3 compounds (La<sub>3</sub>Ni<sub>2</sub>O<sub>7- $\delta$ </sub> and La<sub>4</sub>Ni<sub>3</sub>O<sub>10- $\delta$ </sub>, respectively) can possess a good balance of both ionic and electronic conductivity, due to the increasing number of perovskite layers (between each set of LaO rocksalt layers). Such materials are considered possible candidates for oxide-ion and electronic conducting cathode materials in SOFC devices; however, their syntheses are laborious and energy intensive. Typically, the literature shows that La<sub>4</sub>Ni<sub>3</sub>O<sub>10- $\delta$ </sub> (or La<sub>3</sub>Ni<sub>2</sub>O<sub>7- $\delta$ </sub>) can be made by heating the corresponding metal oxide powders at 1100 °C over 4 to 5 days with intermittent regrinding (homogenization) steps.<sup>540-541</sup>

The UCL authors investigated how "difficult to make" La<sub>n+2</sub>Ni<sub>n</sub>O<sub>3</sub>m<sub>2</sub>. materials could be made more efficiently using the NP co-precipitates; co-precipitates of lanthanum and nickel hydroxides were prepared with different La:Ni ratios in a continuous hydrothermal system. These co-precipitates were subsequently heat-treated (only using 6 h) in air in the corresponding La:Ni ratios, for direct syntheses of phase pure La<sub>4</sub>Ni<sub>3</sub>O<sub>10-δ</sub> and La<sub>3</sub>Ni<sub>2</sub>O<sub>7-δ</sub>, respectively. This result was the fastest and first reported direct syntheses of La<sub>4</sub>Ni<sub>3</sub>O<sub>10-δ</sub> and La<sub>3</sub>Ni<sub>2</sub>O<sub>7-δ</sub> from any precursor. It was speculated that such successful direct syntheses of La<sub>4</sub>Ni<sub>3</sub>O<sub>10-δ</sub> and La<sub>3</sub>Ni<sub>2</sub>O<sub>7-δ</sub> from any regrinding steps, was due to the high intimacy of mixing achieved between the respective metal hydroxides for the initial coprecipitates (made in CHFS). This facilitated efficent mass transfer during the subsequent heat-treatments, to give phase pure products after only 6 h. Compared to more conventional room temperature batch co-precipitation syntheses (where several phases can be formed at different times during the reaction), the CHFS method generally ensures both initial phases are rapidly formed and in the worst case, they are intimately mixed (if not doped). This allows the limitations of insufficient mass transfer to be overcome (upon heating) that would normally exist for less homogenous or larger particle mixtures.

In order to better understand the solid state transformations that were occurring between the lanthanum and nickel hydroxide NPs, the authors investigated an *in situ* VT-XRD study.<sup>538</sup> Due to the use of a platinum strip resistive heater, the results were not consistent with previous results obtained *via* muffle furnace heating of the precursors, (likely to be due to differences in oxygen partial pressures). However, notably the synthesis of  $La_2NiO_{4-\delta}$ , was achieved directly from the hydroxide nano-precipitates at a heat-treatment temperature of only 700 °C (both in air and argon), which was the lowest synthesis temperature for this phase reported to date.

An automated robotic CHFS system RAMSI, was used to make  $La_4Ni_{3-x}Fe_xO_{10}$  phases (x = 0.0 to 3.0) directly from CHFS from nano-precipitates without comminution. RAMSI incorporated an automated (rather than manual) High Throughput Continuous Hydrothermal (HiTCH) flow synthesis reactor, as shown in figure 35a. The CHFS samples were split into two identical cloned libraries, which were heat-treated (at 1348 or 1573 K for 12 h) to find the right conditions to bring about solid-state transformations. The heat-treated samples from each library were embedded into a wellplate and analysed by powder X-ray diffraction (to study trends in phase behavior).<sup>542</sup> Several new compositions for phase-pure Ruddlesden Popper type La<sub>4</sub>Ni<sub>3-x</sub>Fe<sub>x</sub>O<sub>10</sub> structures were identified and their DC electrical conductivities, measured.<sup>542</sup>

A similar but manual HiTCH flow synthesis approach was used for other doped lanthanum nickelates.<sup>543</sup> In this HiTCH flow synthesis approach; each metal salt composition was introduced manually into the apparatus using P1, whereupon it mixed with 1 M KOH solution (P2) in a T-piece mixer. The reaction mixture of KOH and metal salt was then mixed with a stream of supercritical water in a <sup>1</sup>/<sub>4</sub>" outer diameter co-current mixer (or other mixer as appropriate), whereupon nano-sized metal oxide co-precipitates were made. After precipitation, the NPs were cooled, recovered and then cleaned up. Initial libraries were made sequentially using HiTCH flow synthesis to co-precipitate a mixture of intimately mixed La and Ni oxides in the presence of additional metal ion dopants (M = V, Cr, Mn, Fe, Co, Ni, and Al).<sup>543</sup> A total of eight precursors were made to achieve a nominal formula after heat-treatments of La<sub>4</sub>Ni<sub>2.7</sub>M<sub>0.3</sub>O<sub>10-6</sub> (where M = V, Cr, Mn, Fe, Co, Ni, and Al).

In order to elucidate the correct thermodynamic temperature to obtain phase pure materials, each set of precursors was divided into three cloned libraries. The libraries were heat-treated for 12 hours at either 1348, 1448 or 1548 K, respectively, to bring about solid-state transformations (see Figure 35b).<sup>543</sup> This gave an 8 x 3 set of samples = 24 samples of which 12 samples were phase pure according to PXRD (four compositions previously unknown were identified). The DC conductivity of seven of the phase pure samples was tested, which revealed that undoped La<sub>4</sub>Ni<sub>3</sub>O<sub>10-δ</sub> had the highest conductivity of 241 S.cm<sup>-1</sup> at 523 K (disk density = 69 %). All doped samples were less conductive, most likely due to hole doping of the valence band as a result of too high M(III) content. The greatest conductivity of the doped samples was for the Fe doped sample La<sub>4</sub>Ni<sub>2.7</sub>Fe<sub>0.3</sub>O<sub>10-δ</sub> (with a conductivity of 100 S.cm<sup>-1</sup> at 573 K) for a sample of 61 % density (see Figure 35c).<sup>543</sup> The least conductive was the Mn doped one, La<sub>4</sub>Ni<sub>2.7</sub>Mn<sub>0.3</sub>O<sub>10-δ</sub>, which had the conductivity of 51 S.cm<sup>-1</sup> at 573 K (for a sample of 61 % density).

Other materials that might be of interest as mixed ion conductors in SOFCs include the  $La_2CuO_4$  perovskite. This was obtained as an intimate mixture of  $La(OH)_3$  and CuO to give single phase  $La_2CuO_{4-\delta}$  after a single 5 h heat-treatment at 600 °C.<sup>140</sup> Such a material is in fact of interest as an oxidation catalyst so other discussion of the properties of this compound is given in the section on catalysts.

SOFC cathodes are therefore, still very much an area, which warrants future research. Presently, attention is focused on perovskite structures (which are based in the ABO<sub>3</sub> structure such as LaInO<sub>3</sub> or LaCoO<sub>3</sub> that can accommodate large amounts of anion vacancies (that can enhance oxygen conductivities. In order to increase the population of mobile oxygen vacancies, researchers can substitute some of the trivalent cations for divalent ones (so charge compensation occurs in the anions). Future, research will no doubt focus on optimising the ionic conductivity with the dopant for perovskite systems. CHFS might be a valuable tool for making such doped materials quickly and reliably in order to develop more active materials that can work in lower temperatures (intermediate) SOFCs that operate near to 600 °C rather than 1000 °C.

8.2 High Temperature Membrane Technologies. Mixed ion conductors have a range of applications in oxygen separation membranes<sup>544</sup> for making pure oxygen or for converting gases into hydrocarbon fuels.

In the aforementioned La-Ni-oxides,<sup>38, 91, 538</sup> the structures were based on doped / undoped alternating perovskite and rock-salt layers, which alter the balance of electronic and ionic conductivity. However, the perovskite structure itself, can be doped to make mixed ion conductors, which contain large amounts of anion vacancies (that can enhance oxygen conductivities (see above for SOFC cathodes). Such materials are of interest in solid oxide fuel cell cathodes as well as oxygen separation membranes<sup>544</sup> for making oxygen gas or for conversion of methane to syngas, which also needs oxygen (which is itself then used to make liquid fuels downstream).

In ABO<sub>3</sub> perovskite-type oxides, the A-site tends to be occupied by large alkaline earth metals and the B-site by lanthanides and smaller first row transition elements. Doping heterovalent cations of a similar size into the ABO<sub>3</sub> structure, results in oxygen vacancies being created, consequently, this alters the ionic and electronic conductivity of the end materials. In 2008, Hayashi et al. reported the continuous hydrothermal synthesis of perovskite Ca<sub>0.8</sub>Sr<sub>0.2</sub>Ti<sub>1</sub>- $_x$ Fe<sub>x</sub>O<sub>3- $\delta}$ </sub> (CTO) NPs, in which Ca<sup>2+</sup> and Ti<sup>4+</sup> sites were partially doped by Sr<sup>2+</sup> and Fe-ions, respectively.<sup>433</sup> They found that a single-phase CTO materials were obtained at 673 K (30 MPa) and under high pH only, with particles typically ca 20 nm and BET surface areas in the range 70 to 90 m<sup>2</sup>g<sup>-1</sup>. At lower Fe contents, it was possible to manufacture

phase pure CTO under subcritical conditions, otherwise supercritical conditions were required in the flow system. In 2012, Hasyahi et al. then used similar perovskite materials to make asymmetric membranes to produce synthesis gas from methane.<sup>431</sup> The 20 micron thick membranes were made using  $Ca_{0.8}Sr_{0.2}Ti_{1-x}Fe_xO_{3-\delta}$  (CTO) perovskite (with Ni being supported on it) powders. The performance of the membrane was for the partial oxidation of methane, gave conversions of methane and selectivity to CO of 82.3 % and 99.8 % at 1173 K, respectively. This was significant-ly improved compared with the unsupported solid disk type membrane with ca. 500 micron thickness.<sup>431</sup>

Composite cermets using CHFS-made NPs have also been developed for more sustainable low-metal-content oxygen separation membranes.<sup>544</sup> A dense composite of silver and Ce<sub>0.8</sub>Sm<sub>0.2</sub>O<sub>2.6</sub> (Ag-CSO) was manufactured from ceramic NPs coated by electroless deposition of silver. At 700 °C, a 1 mm-thick membrane of the composite, delivered an excellent oxygen permeation rate from air with a value of 0.04 µmol.cm<sup>-2</sup>.s<sup>-1</sup>, using argon as the sweep gas and 0.17 µmol.cm<sup>-2</sup>.s<sup>-1</sup> using hydrogen.<sup>544</sup> The low sintering temperature of the CSO NPs, allows the use of Ag rather than Pt or Pd and reduced the amount of metal needed for electronic conductivity to just 5.6 vol%, which was much lower than any value reported in the literature (see Figure 36). Oxygen diffusivity measurements confirmed that the oxygen migration remained high in the composite, with an increase in surface exchange coefficient of three orders of magnitude over Gd-doped ceria. The ease of membrane fabrication, combined with encouraging oxygen permeation rates, demonstrate the promise of the material for high-purity oxygen separation below 700 °C.<sup>544</sup>

# Figure 36

Although there has been limited wholesale use of nanomaterials for full working SOFCs<sup>426</sup> and gas membrane reactions to date (particularly using continuous hydrothermal synthesis), the authors believe that this subject will be increasingly of interest. Future work might focus on formulating the nanomaterials (made via CHFS) by perhaps using the SPS technique to construct a whole-cell fabrication and to assess the redox tolerance and general electrochemical performance for SOFC operation. By taking the advantages of continuous hydrothermal synthesis in producing evenly distributed (or intimately mixed) hybrid oxides and SPS (in rapidly sintering and *in situ* reducing the metals), it could lead to advanced nano-structured SOFC layers or entire stacks with improved electrochemical performance. The conversion of thermal energy to electricity is known as thermoelectric (TE) conversion. The TE effect can be used for both electronic refrigeration and power generation. When a temperature gradient ( $\Delta T$ ) is applied to a TE couple consisting of n-type (electron-transporting) and p-type (hole-transporting) elements, any mobile charge carriers at the hotter end can diffuse to the cold end, producing a potential ( $\Delta V$ ). This characteristic is known as the Seebeck effect (where  $\alpha = \Delta V / \Delta T$ , the Seebeck coefficient).

The efficiency of TE devices is strongly associated with the dimensionless figure of merit *ZT*, which is equal to  $(\alpha^2 \sigma/\kappa)T$ , where  $\sigma$ ,  $\kappa$  and T are the electrical resistivity, thermal conductivity and temperature (Kelvin), respectively. *ZT* values of near to 3, are required to allow TE energy converters to compete on efficiency with mechanical power generation and active refrigeration. The challenge is to tailor the interconnected physical parameters  $\alpha$ ,  $\sigma$  and  $\kappa$  for a crystalline system. Nanocomposites provide a chance to disconnect the linkage between thermal and electrical transport by introducing scattering mechanisms for ZT enhancement.

There are no reports of thermoelectrics being made via continuous hydrothermal syntheses and evaluated in devices to the best of our knowledge, however, there is at least one report of continuous hydrothermal synthesis of Bi<sub>2</sub>Te<sub>3</sub> nanoparticles using glucose as reductant (when alloyed with Sb or Se this material has been known to form an efficient thermoelectric material).<sup>123</sup> This work showed that the manufacture of such materials is highly challenging because of the requirement for simultaneous conversion from precursors as well as the reduction of the metals. Thus, this report on bismuth telluride and the handful of metal sulfides<sup>115, 122, 370</sup> that have been reported, suggests that the synthesis of such chalcogenides for thermoelectrics should be viable if suitable precursors can be used and suitable devices can be made and tested. Therefore, the authors believe that there is sufficient scope in this area to use continuous Hydrothermal synthesis methods on the manufacture of polycrystalline thermoelectric devices (because the presence of grain boundaries is considered beneficial for phonon scattering), e.g. from metal chalcogenides of S, Se and Te using metals such as Sn, Cu, Bi, etc.

Other energy scavenging applications of nanoparticels include the use of piezoelectric nanoparticles for energy scavenging devices in which energy is obtained from movement.<sup>406</sup> The pioneering research of the Wang group is highlighted in this area as they have pioneered the use of nanomaterials in devices for such energy scavenging.<sup>406</sup> A number of piezoelectric candidate materials that could be envisaged for such applications have been made by CHFS methods but not tested to date including lead free piezoelectric ceramics<sup>30, 291, 545</sup> or indeed ZnO based nanowires

amongst others. Some of these materials are mentioned in other sections elsewhere in this review, for example, ZnO has been made and tested for UV attenuating properties.

### 8.4 Dye Sensitized or Other Solar Cells

NPs such as those obtainable via continuous hydrothermal methods, could play a role in solar energy harvesting applications such as dye-sensitized solar cells and more recently, perovskite solar cells (the latter is certainly an area, which is leading to rapid improvement in efficiencies). The most obvious application of continuous hydrothermal nanomaterials is to act as a high surface are mesoporous support for whatever solar absorbers are used. Typically in solar cells, batch hydrothermal titania is used and has a surface area of less than 100 m<sup>2</sup>g<sup>-1</sup>, which compares to continuous hydrothermal-made titania (which is often doped) that is typically closer to 280 m<sup>2</sup>g<sup>-1</sup>.<sup>260, 262</sup> The key point for such applications is also the tight size control that continuous hydrothermal processes can offer (with scalabil-ity).

Dye sensitized solar cells (DSSCs) have attracted extensive interest for solar energy harvesting due to their low cost and simple design and ability to be manufactured using scalable roll to roll processes.<sup>546</sup> A typical DSSC is composed of a working electrode usually made from a mesoporous TiO<sub>2</sub> (coated with dye molecules on a conductive transparent oxide (which is usually fluorine doped Sn oxide, FTO), a counter electrode (usually a Pt on a conductive oxide) and a redox electrolyte such as iodine. The photoexcited electrons which are generated in the dye molecules, are injected into the conduction band of TiO<sub>2</sub> (upon illumination), and then are transferred into the conductive oxides, whereupon the electron travels through a circuit and back to the counter electrode, to be returned to the dye via the electrolyte.<sup>546</sup> The interfaces in DSSCs play a significant role for unidirectional charge flow; charge recombination at the interface of the conductive coating (which supports the porous titania) and the electrolyte cannot be neglected because the porous structure of TiO<sub>2</sub> cannot completely inhibit recombination from percolation of charge between the electrolyte and FTO (which is supporting the mesoporous titania). Hence, compact and thin blocking layers between FTO and the photo-anode are used to reduce leakage and increase overall efficiency. In this regard, Li et al.<sup>547</sup> used electrophoretic deposition to coat a CHFS-made  $TiO_2$  blocking layer on a substrate that was then used in dye-sensitized solar cells (DSSCs). There was an improvement in efficiency from 13.1 to 15.0%, when the layer was added. Notably, the titania was made on a scaled up continuous Hydrothermal synthesis pilot plant at a rate of 380 g.h<sup>-1</sup>.547

There are also opportunities to use nanomaterials in DSSCs or other types of solar cells, e.g. such as more sustainable transparent conducting oxide (TCO) for printed current collectors made from CHFS NPs (see Section 6.1).<sup>121, 379, 384, 385</sup> Instead of using dyes to absorb solar energy, some designs of solar cells use inorganic materials such as Cd based compounds or quantum dots.<sup>548</sup> Whilst metal sulfides have been made via continuous hydrothermal routes,<sup>115, 122, 370</sup> the authors are not aware if CHFS-made chalcogenides have been developed for solar cells to date.

### 9 ENERGY STORAGE APPLICATIONS

## 9.1. Nanomaterials for Energy Storage

This section will largely focus on the merits of using very small NPs from continuous hydrothermal flow reactors in the field of secondary (rechargeable) batteries and supercapacitors for energy storage. As we can see from Figure 37, supercapacitors are good for high power applications, whilst batteries offer high energy. It is also possible now to manufacture hybrid devices, which use nanoceramics to offer both high power and high energy, sometimes simultaneously.

### Figure 37

The current methods of synthesizing Li and Na-ion battery materials, are dominated by spray pyrolysis and batch solid-state heat and grinding methods, and even some batch hydrothermal synthesis.<sup>549</sup> However, such methods may offer poor reproducibility or lack of control over particle attributes, e.g. being able to make nano-sized particles with specific properties, which may be useful in battery applications. As we will see herein, CHFS methods offer potential benefits for the manufacture of both anode and cathodes in Li-ion batteries and indeed in the case of the Hanwha Corporation in Korea, the process was developed to a larger scale, reportedly with the potential to make hundreds of tonnes pa for olivine cathode materials.<sup>550</sup>

Continued interest in high performance secondary Li-ion batteries, has driven the development of new electrode materials and their synthesis techniques, often targeting the scalable production of high quality nanoceramics (< 100 nm in diameter) without loss of particle attributes and in cell performance (see later in this section and also in section 10.7 on scale-up).

Firstly, we shall address the question of *what is a Li-ion battery and what are its requirements?* A general lithiumion battery consists of an anode and cathode, separated with a separator soaked with a lithium-ion salt containing electrolyte. Upon discharging of the secondary Li-ion battery, Li-ions from the anode are deintercalated and then pass across the separator to the cathode, accompanied by an electron in an external circuit. Upon charging, the reverse happens, i.e. the Li-ions are deintercalated from the cathode and travel via the electrolyte to be intercalated into the anode material (with an electron being accepted by the anode via the charger). This requires the anode and cathode active materials to be in ohmic contact with the current collectors and so active ceramic anodes and cathodes for academic research, are normally printed with for example, a weight ratio of 80:10:10 of active : conductive agent (such as carbon black, Super P):binder (such as in polyvinylidene fluoride, PVDF). Electrolytes for Li-ion batteries typically include LiPF<sub>6</sub> in 3:7 wt% ethylene carbonate / ethyl methyl carbonate. For those wishing to know more on this topic, the UCL authors have provided two relevant videos showing (i) the process of making a printed electrode from a nanopowder<sup>551</sup> and (ii) assembly of a Li-ion coin cell using the printed electrode.<sup>552</sup>

Before discussing each type of battery electrode or material choice, it is useful to understand that much of the requirements for battery materials can be understood in terms of the power and energy densities (either gravimetric or volumetric possibly). High power applications tend to mean that a large amount of energy is delivered in a short space of time, whilst high energy is concerned with the total capacity to store energy, which in the case of a laptop will be delivered over a longer time (see Ragone plot later). Nano-sizing battery electrode materials can provide benefits for high power and sometimes for high energy.

Many of the candidate cathode and anode materials actually possess low ionic and electronic conductivities. This can be partly overcome by doping them and / or nano-sizing such materials and covering them with a thin layer or conducting carbons or formulating them with various conducting phases in a printed electrode. The effect of this can be dramatic, because of the significant increase in electronic conductivity (including the possibility for enhanced electron tunneling) and the large surface to volume ratio increase for sub 50 nm particles, for which the latter, allows more Li ions to be stored nearer to the particle surface. Having Li ions near the surface can benefit one-dimensional Li-ion intercalation materials, which are limited as they only have intercalation and deintercalation channels along one crystallographic direction.

The authors and others have also shown that nano-sized electrode materials also show very different cyclic voltammograms (see current versus potential plots in figure 38). This data suggests that well defined intercalation charge storage events in bulk materials, can switch to less well defined pseudocapacitive surface charge storage mechanisms in NPs (similar mechanisms that are often seen in supercapacitors). Thus, NPs are ideal for supercapacitor applications as well as high power batteries (as shall be seen later). In a similar way, for a bulk materials, whilst the intercalation / deintercalation of Li-ions occurs at a well-defined potentials, nanomaterials surfaces can support defect sites, which are known to increase Li charge storage. As shown in figure 38, the potential vs capacity plots show that in a bulk electrode the potential is at a well-defined plateau, which is different to a nanomaterial displaying pseudocapacitive charge storage.

# Figure 38

# 9.2. Li -ion Battery Cathodes

Cathode materials are generally required as one of the electrodes in Li-ion batteries for mobile phones,<sup>553</sup> laptops<sup>554</sup> and many other electronic types of equipment<sup>555-557</sup>. These tend to operate at higher voltage ranges that the corresponding anodes and due to generally lower capacities compared to anodes, there is a great deal of interest in extending the specific capacities of cathode materials.<sup>20, 283, 558-562</sup>

The vast majority of research in continuous hydrothermal cathodes has been on metal oxides and phosphates. Iron sulfides have been made via continuous hydrothermal,<sup>370</sup> but not really tested to any extent for potential applications in Li-ion battery cathodes to date. In order to increase the energy densities, higher voltage cathodes are required. However, this has demanded the development of new electrolytes, which are stable at higher voltages, so this brings additional research effort and potentially more cost. Overall, the specific capacity of a cell is related to its operating voltage window and therefore, in the future, researchers seek to increase the capacity of cells via improvements in materials or indeed new types of cells such as Li air cells (see figure 39). The latter types of cells may well require NP catalysts, which is an area in which continuous hydrothermal may prove useful in the next few years.

Figure 39

Since good electronic conductivity and stable lithium ion diffusion, are important factors in achieving highperformance in rechargeable lithium batteries, highly crystalline materials with high surface area are thus, of interVari

est.<sup>563</sup> Many researchers have tried various methods to achieve this goal, however, most of them use solid-state routes that involve high temperatures<sup>564</sup>, or routes that need complex steps <sup>565-566</sup>, resulting in large particles and long processing time. However, some alternative methods, such as sol-gel, hydrothermal synthesis<sup>549</sup> and ion-exchange techniques, which are carried out at relatively milder temperatures are generally associated with relatively low crystallinity<sup>19, 567</sup>.

Li-Co Oxide (LCO) Based Cathodes. Supercritical water synthesis, has been shown to be one of the best methods in producing crystalline and uniform NPs, which are of interest as cathodes for rechargeable batteries.<sup>197, 549</sup> Indeed, Adschiri and co-workers<sup>20</sup> manufactured LiCoO<sub>2</sub> crystals continuously in a hydrothermal flow system. o.o2 M Co(NO<sub>3</sub>)<sub>2</sub> and o.4 M LiOH aqueous solutions were used as starting materials, and o.07 M H<sub>2</sub>O<sub>2</sub> was also introduced into reactor as an oxygen source (for oxidizing Co<sup>2+</sup> to Co<sup>3+</sup>). In their report, phase pure rock salt LiCoO<sub>2</sub> could be synthesized at supercritical conditions, whereas at 300 or 350 °C, Co<sub>3</sub>O<sub>4</sub> spinel was produced. More effective oxidation of Co<sup>2+</sup> happened at 400 °C, which was probably due to the formation of the reaction atmosphere of the solution and oxygen gas. Particle size of crystals was in the range of ca. o.5 to 1.0  $\mu$ m, and the electrochemical properties of the LiCoO<sub>2</sub> crystals evaluated by a constant current discharge and charge tests, suggested high stability of the material prepared by this method.

Kanamura et al.<sup>568</sup> produced LiCoO<sub>2</sub> by both supercritical water synthesis and solid state reaction methods, and found out material obtained in continuous hydrothermal flow system, had much smaller particle size than one made by a solid-state reaction. Intercalation and deintercalation of Li-ions into and from LiCoO<sub>2</sub> particles, were conducted with good reversibility. More recently, Shin and co-workers reported the continuous hydrothermal synthesis of LiCoO<sub>2</sub> (nanoplates) via the reaction of cobalt nitrate, LiOH, and  $H_2O_2$ .<sup>569</sup> The flow experiments were carried out in both subcritical and supercritical water, at temperatures in the range 300 to 411 °C, with residence times typically < 1 min. Under supercritical conditions, the variations in temperature and residence time did not have a significant bearing on particle size distribution, the average crystallite size or on the morphology.<sup>569</sup> Importantly, an excess of hydroxide and peroxide were required to enable the materials to be made phase pure.

In a report by Anikeev,<sup>383</sup> a number of LiMO<sub>n</sub> compounds such as LiCoO<sub>2</sub> and LiNiO<sub>2</sub> (as well as LiZnO<sub>2</sub>, and LiC- $uO_2$ ) were made in a tubular flow reactor. Unfortunately, as in the case of many of the reports where these materials were made in flow, they were not however actually tested as cathodes for Li-ion battery materials.

LiMn<sub>2</sub>O<sub>4</sub> (LMO) Type Cathodes. Similarly, another Li-ion battery cathode material is the spinel LiMn<sub>2</sub>O<sub>4</sub>. LiMn<sub>2</sub>O<sub>4</sub> was prepared using a hydrothermal flow process in supercritical water at 400 °C and 30 MPa.<sup>561</sup> LiOH (0.05 M) and Mn(NO<sub>3</sub>)<sub>2</sub> (0.05 M) salt solutions were used as starting precursors and  $H_2O_2$  was added with various molar ratios in order to control an oxidation state of Mn ions in as-prepared samples. The report indicated that the addition of  $H_2O_2$  into supercritical water was effective in preparing spinel LiMn<sub>2</sub>O<sub>4</sub> in a single phase. The prepared LiMn<sub>2</sub>O<sub>4</sub> had a relatively small crystallite size (< 0.5 µm), but relatively poor performance as a cathode material (only 85 mAh.g<sup>-1</sup> under cycling at 0.1C), which was lower than the theoretical capacity ~148 mAh.g<sup>-1</sup>. Note: a 1C represents a charge or discharge in 60 mins; to calculate other C rates, the time is divided by 60 mins, i.e. a fast charge of 30C = 60/30 = 2 minutes. Additional heat-treatment was performed on as-precipitated LiMn<sub>2</sub>O<sub>4</sub> and the sample heated to 800 °C had improved discharge capacity and cycle stability, with a capacity of 125 mAh.g<sup>-1</sup> (at 0.1C after 60 cycles).<sup>561</sup> The researchers concluded that LiMn<sub>2</sub>O<sub>4</sub> obtained by hydrothermal synthesis had a much better electrochemical performance than that made via the conventional solid-state method, generally because the former contained an excess Li and the average oxidation state of Mn was higher.<sup>561</sup> LiMn<sub>2</sub>O<sub>4</sub> particles were also made via a continuous hydrothermal process by Lee and Ham., but were not evaluated as cathodes.<sup>570</sup> Different phases such as LiMn<sub>2</sub>O<sub>4</sub>, Mn<sub>2</sub>O<sub>3</sub> and MnO<sub>2</sub> were obtained depending on process conditions and reactant compositions. Selective synthesis of phase pure LiMn₂O₄ was dependent of the amount of OH<sup>-</sup> ions, but not necessarily the Li/Mn ratio or lithium nitrate amount. Particle size of LiMn<sub>2</sub>O<sub>4</sub> was ca. 48 nm (Figure 40). The authors concluded that the amount of OH- ions, had the most significant effect on the reaction pathways and the size and structure of particles obtained.<sup>570</sup>

#### Figure 40

In order to understand how lithiated-phases can form under hydrothermal conditions, in situ XRD batch hydrothermal studies conducted over very short timescales (seconds), can often give useful insights. A study by Iversen and colleagues<sup>178</sup> on the hydrothermal formation of LiMn<sub>2</sub>O<sub>4</sub> (LMO) nano-crystallites, revealed that the reaction progresses in steps, that can be sumarised as KMnO<sub>4</sub> to disordered  $\delta$ -MnO<sub>2</sub>, to disordered  $\delta$ -MnO<sub>2</sub> to LiMn<sub>2</sub>O<sub>4</sub> to  $\gamma$ -Mn<sub>2</sub>O<sub>3</sub> to Mn<sub>3</sub>O<sub>4</sub>. Thus, LMO is formed as an intermediate. The phase purity of LMO was therefore dependent on reaction time / temperature, giving phase pure LMO after 150 to 210 seconds at 220 °C (45 to 140 seconds at 260 °C). Furthermore, in situ measurements suggested that LMO first appeared to form as thin platelets (size range 3 to 13 nm) which progressed by growing faster along the [111] direction to give rods.<sup>178</sup>

Lithium Iron Phosphate (Olivine) Based Cathodes. Perhaps the most promising new commercial candidates for Liion battery cathodes are based on lithium iron phosphate, LFP (LiFePO<sub>4</sub>), which were discovered by Goodenough et al.<sup>571</sup> Such cathodes are considered more sustainable and safer alternatives to commercial Li-ion battery cathodes based on Co and Mn.<sup>572</sup> The literature clearly shows that the synthesis route requires slightly reducing synthesis conditions and synthetic approach, is a key factor that determines cathode composition/properties and performance.<sup>549</sup> For example, solid-state synthesis can give rise thermodynamic products, which may be inhomogeneous (due to mass transfer limitations of the processing) i.e. resulting in mixed phases. However, sometimes, a secondary impurity phase alongside the olivine structure can benefit the cathode performance. For example, vanadium phosphate impurity phases can sometimes be co-synthesized along with V-doped LFP and enhance conductivity.<sup>573</sup> Thus, whilst solid-state routes are scalable to some extent and offer moderate performance at this scale, they are not suited to make nano-sized particles (which are better for high power materials).

A number of high performing battery nanomaterials in the literature, often make samples at very small scales or using significant amounts of surfactants and chemicals. However, it is often very unlikely that this performance can be readily replicated at scale with reproducibility and reasonable cost. As shall be seen below, many high performance cathode materials have been synthesized via simple continuous hydrothermal processes, <sup>549, 562, 574-577</sup> and also then evaluated as cathodes at large-scale (capacity potentially up to 1000 tpa if fully operational) by the Hanwha Corporation of Korea (see Figure 53 later on in section in scale-up).<sup>550</sup>

A number of cathode Li ion battery materials have been made via CHFS to date, and in some cases the nanomaterials have been tested in half-cells versus lithium chip. This often shows excellent properties when tested (due to the large excess of Li in the counter electrode), however, in order to go towards commercial applications, such materials need to be tested in full cells versus a relevant anode. To our knowledge, there has only been one report in the academic literature in which a full cell has been made using at least a nanomaterial made via CHFS.<sup>560</sup> In that report, nano-sized vanadium-doped LFP (V-LFP with 5 at% dopant) made via CHFS was cycled against an inexpensive micron-sized metallurgical grade Si-containing anode.<sup>560</sup> At the time of writing, there is still no report in the academic Li-ion battery literature in which both active components have been made via CHFS. In the discussion below, we will now explain why LFP based cathodes benefit from being made using continuous hydrothermal synthesis methods and how metastable forms can be manufactured. Its first important to understand the structure and properties of LFP and this will explain why it can benefit from nano-sizing and doping. LFP has a *Pmnb* space group and the volume of the unlithiated unit cell (272.4 Å<sup>3</sup>) is less than the lithiated LFP (291.4 Å<sup>3</sup>). The structure of LFP (olivine structure) is made from corner-shared FeO<sub>6</sub> octahedral units and phosphate tetrahedra, which are linked creating channels between them for the Li ions to sit in. The FeO<sub>6</sub> octahedra share O atoms with the corners of the PO<sub>4</sub> tetrahedra, resulting in a three-dimensional zigzag framework containing intercalated Li<sup>+</sup> ions (LiO<sub>6</sub> groups) forming a linear chain of edge-shared octahedra which are parallel to the b axis. Despite the fact that undoped bulk LFP, can be made scalably via solid state and other methods, it is potentially unsuitable as a cathode material because it does not possess a sufficient balance of high electronic conductivity and high ionic diffusivity, (reported to be in the range ca. 10<sup>-9</sup> to 10<sup>-8</sup> S.cm<sup>-1</sup> and 10<sup>-17</sup> to 10<sup>-12</sup> cm<sup>2</sup>s<sup>-1</sup>, respectively).<sup>578-580</sup>

Batch hydrothermal reactions for the synthesis of LFP micro and nanoparticles, have been reported such as by Teja et al.,<sup>581-582</sup> who upon testing the heat-treated products, achieved specific capacities in half cells of ca. 140 mAh.g<sup>-1</sup> at only 0.1C (and closer to 100 mAh.g<sup>-1</sup> at 1C).<sup>582</sup> Clearly, flow reactors offer much more promise to be able to develop very much smaller particles that should display high capacities at higher power situations as will be seen later in this section. It should be noted that even if such materials are made via CHFS, they generally still require a post synthesis heat-treatment (typically 600 °C in a reducing atmosphere) to overcome the anti-site defects, otherwise the capacity is often reduced considerably. This is in contrast to many of the anode materials for Li-ion batteries, which used directly from continuous hydrothermal processes after drying.<sup>29, 283, 583-584</sup>

Continuous hydrothermal synthesis of LiFePO<sub>4</sub> was reported by Xu et al., where a precursor ratio of 1:1:3 FeSO<sub>4</sub>:H<sub>3</sub>PO<sub>4</sub>:LiOH produced phase-pure LiFePO<sub>4</sub> for both subcritical and supercritical water feeds.<sup>577</sup> The use of supercritical water resulted in an increase in particle size compared to the subcritical case (made at a scale of *ca*. o.3 g.h<sup>-1</sup> assuming 100 % yield). In contrast, Aimable *et al.* were able to synthesize LiFePO<sub>4</sub> with a residence time of 12 s by using a Fe:P:Li ratio of 1:1:3.75.<sup>562</sup> However, of the materials that were tested, the best high-rate performing LiFePO<sub>4</sub> had a modest capacity (105 mAh.g<sup>-1</sup> at 1C). Kim and co-workers reported a CHFS study to make nano-sized lithium iron phosphate in the pressure range 25 to 30 MPa and at 400 °C. The discharge capacity of the LiFePO<sub>4</sub> (which was not phase pure as made due to oxide impurities) delivered only 90 mAh.g<sup>-1</sup> for up to ten cycles, however, with a carbon coating, the discharge capacity of C-LiFePO<sub>4</sub> was as high as 141 mAh.g<sup>-1</sup>, which corresponds to 84 % of the theoretical capacity.<sup>585</sup> It should be remembered that these materials were cycled at only a 0.1 C rate, which was relatively slow and this would be therefore be expected to get near to the theoretical value. In a second report, Kim and co-workers reported the continuous hydrothermal synthesis particles of LFP in the size range 200 to 800 nm, with BET surface areas in the range 6.3 to 15.9 m<sup>2</sup>q<sup>-1</sup>. LiFePO<sub>4</sub> synthesized in supercritical water exhibited a discharge capacity in the range 70 to 80 mAh.g<sup>-1</sup> at 0.1C after 30 cycles. A smaller capacity fade from 135 to 125 mAh.g<sup>-1</sup> was then observed during the 30 cycles in carbon-coated LiFePO<sub>4</sub> synthesized using supercritical water.<sup>575</sup> In a third report by the Kim and co-workers,<sup>574</sup> carbon content, carbon structure, morphology, electronic conductivity, and electrochemical performance of the carbon-coated LiFePO4 (C-LiFePO4), were studied as a function of the process conditions, i.e. sucrose concentration, post synthesis heat-treatment temperature and time. The particles produced using supercritical water had sizes in the range 400 - 1000 nm, with a BET surface area of 7.3 m<sup>2</sup>g<sup>-1</sup> and lower degree of particle aggregation compared with those produced via solid-state synthesis. The authors suggested that the differences in particle attributes and performance were due to the differences in the uniformity of the carbon coating, carbon structure, and electronic conductivity.<sup>574</sup> A less uniform carbon layer coating was suggested for the hydrothermal flow process, which gave a capacity of 135 mAh.g<sup>-1</sup> at 0.1C. At a relatively high current of 1.7 A.g<sup>-1</sup> (10C), the carbon coated LFP particles produced via the solid state and continuous hydrothermal method were 55 and 52 %, respectively, of the theoretical values (6 wt% carbon).574

A further way to improve the performance of LFP in Li-ion batteries is using doping of metal ions to enhance conductivity of the active phase, which also has the effect of subtly distorting the olivine lattice in such a way as to enhance Li-ion transport. If the dopant is electrochemically active in a wider potential range vs Li/Li<sup>+</sup> that that normally used for LFP, it can also lead to increase energy storage. For example, Mn-doping in the LFP can give a higher theoretical energy, but not necessarily higher power capability.<sup>586</sup> The authors recently reported the synthesis of V-doped LiFePO<sub>4</sub>/C (and the undoped analogue) on a pilot-plant scale CHFS process.<sup>596</sup> Samples containing vanadium at 2.5, 5, 10, and 20 at% (with respect to Fe) were carbon-coated in process and the dried powders were all heat-treated to graphitize the carbon coatings and remove anti-site defects (Figure 41). The residence time of only 6.5 s in the continuous reactor was sufficient to obtain phase pure materials. From this report the sample with composition  $Fe_{0.95}V_{0.05}PO_{4}$ , achieved a reversible specific discharge capacity of 119 mAh.g<sup>-1</sup> at a high discharge rate of 1.5 A.g<sup>-1</sup> (this rate is ca. 9C, i.e. 60/9 = 6.67 minutes charge and discharge).<sup>586</sup> This was comparable to the best performances achieved in the academic literature for similar materials. Moreover, when it is considered that the samples were

made on a pilot-plant production scale (6 kg per day), the result is even more remarkable. In the same report, it was found that experimental and computational techniques,<sup>586</sup> were consistent with the assertion that vanadium doping can occur on both Fe and P sites within the structure at a low level. However, the topic of V substitution in olivines is contentious and, therefore, more definitive characterization will be required in future to ascertain if the V can indeed replace some of the P in the LFP structure.

## Figure 41

High power nano-sized phase pure Nb-doped LiFePO<sub>4</sub> (LFP) NP cathodes (carbon-coated), were recently developed using the using a pilot-scale CHFS process (rate of 0.25 kg.h<sup>-1</sup>).<sup>558</sup> Six Nb-dopant levels in the range 0.01 and 2 at%, were doped into the olivine, which were then heat-treated. Electrochemical testing showed the performance of the doped materials improved with increasing dopant concentration up to a maximum at 1.0 at%, which gave a specific capacity of 110 mAh.g<sup>-1</sup> at 10C.<sup>558</sup> It was suggested that the Nb in the sample not only distorted the lattice (it would be expected to replace the Fe in octahedral sites), which aided Li-ion transport, but it also possibly enhanced conductivity of the samples.<sup>558</sup>

Mn doping into LFP (samples known as LMFP) has also been achieved using continuous Hydrothermal synthesis at a production rate of ¼ kg.h<sup>-1,559</sup> For the complete replacement of Mn for Fe in LFP (known as LMP), the LMP has an increased theoretical energy density in comparison to LFP (697 vs. 586 Wh.kg<sup>-1</sup>)<sup>587</sup> however, LMP displays lower electronic conductivity than LFP (10<sup>-10</sup> S.cm<sup>-1</sup> compared to the range ca. 10<sup>-7</sup> to 10<sup>-10</sup> S.cm<sup>-1</sup>).<sup>588</sup> Therefore, these materials are more important for low power applications where energy density is more important. In the report from some of the UCL authors, phase pure, nano-sized Mn-doped LiFePO<sub>4</sub> NPs (carbon-coated), were made via the pilot scale<sup>271</sup> continuous Hydrothermal synthesis process.<sup>559</sup> Four dopant levels of Mn in LFP were made at 11, 21, 57, and 81 at%. Raman studies confirmed that the particles were substantially coated with amorphous carbon, which became more graphitic and flatter after a further heat-treatment. Electrochemical testing suggested that LiFe<sub>0.43</sub>Mn<sub>0.57</sub>PO<sub>4</sub> was an ideal material for low current applications, with a specific capacity 161 mAh.g<sup>-1</sup> (energy density 591 Wh.kg<sup>-1</sup>) at o.1C charge / discharge rate. For higher power applications, the material LiFe<sub>0.89</sub>Mn<sub>0.11</sub>PO<sub>4</sub> was found to be the best with a specific capacity of 108 mAh.g<sup>-1</sup> (calculated energy density of 301 Wh.kg<sup>-1</sup>) at an impressive 5C rate.<sup>559</sup> Figure 42a shows the cycling performance of the Fe-doped LMP family of cathode materials at

different C rates. Whilst, Figure 42b shows the  $LiMn_{1-x-y}Fe_xV_yPO_4$ , area under investigation highlighted with a green triangle, which apply to the heat-maps at C-rates of C/2 (Figure 42c) and 5C (Figure 42d).

## Figure 42

Other Potential Li Ion Cathode Materials. Continuous hydrothermal processes will no doubt have a number of future opportunities for Li-ion cathodes. Indeed in many instances, the materials have possessed high specific capacities or high energies in a Li-ion coin cell.<sup>549</sup> There are of course a number of other cathode materials, which would still warrant some attention due to their potential high capacities if the chemistries were amenable to continuous hydrothermal flow methods. The benefits of nano-sizing via continuous hydrothermal are explained above in broader terms. These materials include lithium-excess layered oxides with stable reversible capacities of 220 mAh.g<sup>-1</sup> with composite electrodes containing sub-micrometer particles of 0.5[Li<sub>2</sub>MnO<sub>3</sub>]·0.5[LiMn<sub>0.3</sub>Ni<sub>0.3</sub>Co<sub>0.3</sub>]O<sub>2</sub> (2.0 to 4.8 V working voltage range),<sup>589</sup> or the high capacity cathodes based on Li<sub>2</sub>MSiO<sub>4</sub> (Where M = Mn, Fe, Co etc.),<sup>549</sup> amongst others. For the latter material, it is highly likely that forcing conditions would be required as well as a suitable silicate source in order to deliver such materials in a phase pure form (as can be achieved in batch hydrothermal supercritical reactions). However, the very large capacity of the ultrathin Li<sub>2</sub>MnSiO<sub>4</sub> nano-sheets and Li<sub>2</sub>FeSiO<sub>4</sub> materials made via batch supercritical processing at 400 °C, has a large capacity of over 340 mAh.g<sup>-1</sup> (albeit at an elevated temperature of 45 ± 5 °C). However, this high capacity was only achieved after many processing steps, as the process included heating in an autoclave at 400 °C for 10 min and then ball-milling with poly(3,4ethylenedioxythiophene) conductive polymer and multiwall carbon nanotubes, followed by mild heat-treatment at 300 °C for 3 h under Ar atmosphere. Using a flow process, it may be possible to co-precipitate various conductive with the active materials themselves, thereby reducing the extra number of processing steps. In the case of lithiumexcess layered oxide particles of  $0.5[Li_2MnO_3] \cdot 0.5[LiMn_{0.3}Ni_{0.3}Co_{0.3}]O_2$ , it is unlikely that CHFS reactors can directly make the higher capacity materials of this type. However an initial precipitate of the oxides/hydroxides followed by a high temperature heat-treatment / lithiation to yield the thermodynamic product mixtures of phases, should make these high temperature mixtures possible (at around 900 °C in the presence of excess Li source no doubt).<sup>589</sup> Other less well-known cathodes that might be interesting to make via CHFS include lithium Nickel Cobalt Aluminum oxide (NCA), which, potentially offers high specific energy and reasonably good specific power and a long life span.590 Other potential cathode materials, which might be accessible via CHFS, include those based on metal sulfides. For example, lithium sulfur batteries have the potential to reversibly store considerable electrical energy at low cost.<sup>591</sup> Whether or not Li–S batteries will be able to fulfil this potential depends on simultaneously solving many aspects of its underlying conversion chemistry which is complex and the use of additives to improve it.<sup>592</sup>

Li-air cell is a challenging type of energy storage and conversion device. Its successful commercialization highly depends on the timely development of key components (including nano-catalysts, which will be a key part of the air electrode).<sup>593</sup> Within the next 30 years or longer, cathodes co-catalyst components for Li air batteries may also be required to be nano-sized and CHFS will be an ideal way to make such materials. Looking nearer to the future, there are exciting possibilities of multivalent cathodes (e.g. Al or Mg–ion batteries) such as those based around spinel structures<sup>594</sup> possessing large pores. If magnesium-ion (or Al or other ion) batteries can be realized in the next dec-ade or so, they have a high theoretical volumetric capacity due to doubly charged Mg<sup>2+</sup> (3832 mAh.cm<sup>-3</sup> for Mg vs. 2062 mAh.cm<sup>-3</sup> for Li and 1136 mAh.cm<sup>-3</sup> for Na). Other benefits include high safety (dendrite-free Mg deposition), low cost and good sustainability (earth abundant Mg).<sup>595-596</sup>

As continuous hydrothermal processes continue to develop for energy storage, the integration or protection of the active materials in electrodes with carbons of various kinds in process (or indeed inert coatings to protect the actives), should be developed. This could offer more complex, resilient and / or conductive composite NPs, which will perform better under demanding high power conditions. Other areas for development will be to make NP inks for inkjet printing which would facilitate printable or flexible batteries in future. In terms of testing these novel materials, most of the electrochemical cell tests are vs Li chip as the counter electrodes. Thus, in order to verify that these materials indeed have promise, they need to be evaluated against suitable anode materials, some of which are covered below.

Finally, in order for battery materials to reach the marketplace, the scale-up of battery materials brings its own challenges. In order for these materials to be of interest to industry, it will be important to go from the lab scale and small coin cells and test them on yet larger scale cells and evaluating the cathode materials on Kg.h<sup>-1</sup> or higher scales in order to move up the technology readiness levels and prove the technology has potential for industry. Other than the efforts from the Hanhwa Corporation<sup>550</sup> and the abovementioned work by the authors,<sup>32, 170, 261, 586</sup> this has not been carried out routinely at scales of more than a few g.h<sup>-1</sup> despite the tremendous performance benefits that may accrue if higher surface area battery electrodes can be made direct in process. As we move from petrol engines to-

wards hybrid engines (and eventually full electric in the future), the market for nano-sized high power cathode (and anode) materials will increase. Therefore, large-scale continuous hydrothermal processes will become increasingly likely.

#### 9.3. Lithium Ion Battery Anodes

Different from cathode materials, there are more classes of anode materials including insertion/intercalation, conversion and alloying materials, which are all based on (transition) metal oxides offering a huge number of possible candidates synthesized via CHFS routes. Insertion materials such as (lithium) titanium oxides (e.g.  $TiO_2 = 168$  mAh.g<sup>-1</sup> and LTO = 175 mAh.g<sup>-1</sup>) offer good sustainability, low cost, low risk to the environment, high cell safety, low capacity loss, high power capability and a very high cycle life due to minimal volume and structural change during cycling. However, they often have a narrower operational potential window, which dramatically decreases specific energy.<sup>597</sup>

In 2000, Poizot et al. investigated various metal oxides such as CoO and FeO as negative electrode materials and measured very high capacities of 700 mAh.g<sup>-1</sup>.<sup>598</sup> It was shown that during charge, some metal oxides were (fully) converted to the metallic state, which motivated them to be called conversion materials. There are a huge number of possible conversion materials including transition metal oxides (Co, Fe, Cu, Ni, Mn oxides and many more)<sup>598</sup> and sulfides, which all show high capacities (e.g.  $Fe_2O_3 = 1007 \text{ mA.h g}^{-1}$ ) but a huge voltage hysteresis, high irreversible capacity loss and low cycle life due to drastic structural changes within the electrode network. The voltage hysteresis drastically decreases the energy density of the cell (high delithiation voltage) which is a limitation for their commercial development.

Alternative Li-ion anode materials such as alloying materials (Si, Sn, Sb, Zn, Ag, Al and more) show high theoretical capacities (e.g. Si = 3579 mAh.g<sup>-1</sup>, Sn = 993 mAh.g<sup>-1</sup> and also a lower operating voltages<sup>599</sup> compared to insertion materials.<sup>590</sup> The high capacity and the wider operating potential window result in higher energy densities, however, they show large irreversible capacity loss during the initial cycles and high capacity fading (poor cycle life) due to tremendous volume and structural changes in the anodes during each lithiation and delithiation cycle (e.g. > 200+ vol% change for Sn alloys).<sup>600</sup>

A number of simple transition metal oxides made using continuous hydrothermal process including CuO, NiO, and  $MnO_2$ ,  $Co_3O_4$ ,  $Fe_2O_3$  particles, were evaluated as anodes for Li-ion batteries.<sup>585</sup> However, the particles

were rather large (as shown by their low surface areas and from TEM images) and the electrodes generally showed poor cycle stability.<sup>585</sup> The initial capacities were (in brackets) CuO (791 mAh.g<sup>-1</sup>), NiO (878 mAh.g<sup>-1</sup>), MnO<sub>2</sub> (1,110 mAh.g<sup>-1</sup>), Fe<sub>2</sub>O<sub>3</sub> (951 mAh.g<sup>-1</sup>), and Co<sub>3</sub>O<sub>4</sub> (1,132 mAh.g<sup>-1</sup>) and after ten charge and discharge cycles, the capacity decreased in the range 82 to 62 % of the initial values.<sup>585</sup> It should remembered that these are storing charge from the conversion reaction of the metal oxide with Li-ions and therefore, in the absence of smaller particles or a more sophisticated conductive network in the electrode, the fading on cycling was not surprising.

Titania or Titanate Based Anodes. Lithium titanate, Li<sub>4</sub>Ti<sub>5</sub>O<sub>12</sub> (LTO) spinel phase has attracted interest as a highly stable, high power anode material as it undergoes very little structural changes during the discharge/charge process and possesses high Li-ion mobility. As an anode, it has a stable voltage plateau at 1.5 V vs Li/Li<sup>+</sup>. In the academic published literature, the direct CHFS synthesis of Li<sub>4</sub>Ti<sub>5</sub>O<sub>12</sub> (LTO) was reported using lithium ethoxide and titanium isopropoxide as reactants at near- and supercritical conditions.<sup>601</sup> The LTO NPs had an average crystallite size of ca. 4.5 nm with a BET specific surface area of 230 m<sup>2</sup>g<sup>-1</sup>. The same authors also reported the use of in-situ synchrotron powder X-ray diffraction measurements on annealed LTO nano-crystallites to investigate the structural and microstructural changes in the range from room temperature to 727 °C. The as-prepared NPs showed significant strain, which was reduced by annealing. Electrochemical tests of two samples were carried out for the as-made LTO and a sample annealed at 600 °C, which showed that specific capacity and rate capability was significantly improved for the annealed sample. For the as-prepared material, the first charging cycle at a rate of 0.1C showed a high irreversible capacity of 220 mAh.g<sup>-1</sup>. In subsequent cycles, this was reduced to below the theoretical capacity of 175 mAh.g<sup>-1</sup>. By charging and discharging the as-prepared material at higher rates (up to 20C for both charge rate and discharge rate), the specific capacity was ca. 80 and 100 mAh.g<sup>-1</sup> for charging and discharging, respectively.<sup>601</sup> For 1C charge / discharge rates, the specific capacity dropped down to ca. 140 mAh.g<sup>-1</sup>, and then down to 100 mAh.g<sup>-1</sup> after a further 85 cycles.

Heat-treated LTO showed superior electrochemical performance compared to the as-prepared LTO and the characteristic plateau at  $\sim$  1.55 V vs Li/Li<sup>+</sup> of the former, was flatter and longer. Cycling tests for the heat-treated LTO showed an initial specific capacity of  $\sim$  160 mAh.g<sup>-1</sup> with 95 % capacity retention after 50 cycles.<sup>601</sup> This report was certainly excellent in terms of performance, however, the authors note that the precursors were relatively ex-

pensive compared to less chemically intensive alternatives and thus, in terms of scale-up potential, this would significantly add to the cost of production.

The sensitivity to reactions conditions in the continuous hydrothermal processes to the successful synthesis of phase pure LTO was underlined by the recent report by Hayashi and co-workers.<sup>602</sup> They observed that single phase LTO NPs could be synthesized using an initial Li/Ti molar ratios >2 for various titania sols (and Li OH), whereas they were unable to make it from  $Ti(SO_4)_2$  (aq.) solutions, even with initial Li/Ti molar ratios of up to 10. The continuous hydrothermal reactor used in this report,<sup>602</sup> involved feeding of the Ti-sol and LiOH solutions in separate feeds to be premixed in flow before being fed into a reactor at flow rate of 5 mL.min<sup>-1</sup>. This mixed feed was then introduced to a feed of superheated water (40 mL.min<sup>-1</sup>) to give reaction temperatures in the range 350 – 410 °C. The combined flows then passed through a 13.5 m long 1/8" heated reactor zone (set at temperatures in the range 350 to 410 °C) in order to provide a sufficient residence time for the reaction to occur. The NPs which formed were then cooled in flow and recovered.<sup>602</sup> BET surface area data revealed specific surface areas in the range of 98 to 116 m<sup>2</sup>g<sup>-1</sup> for phase pure LTO. After heat-treatment at 400 and 600 °C, the BET surface areas were ca. 83 and 38 nm, respectively. Although this report showed some nice results for LTO synthesis control, no electrochemical property data was reported.

Other titanate anodes for Li-ion batteries can also be made by manufacturing the corresponding sodium-ion electrode material and then replacing the sodium with lithium via an electrochemical or other methods (e.g. in the cell). This can often yield structures for Li-ion materials that are inaccessible under normal synthesis conditions. For example,  $Na_2Ti_3O_7$  is an interesting sheet like anode material for Li-ion batteries because the analogous Li-ion material  $Li_2Ti_3O_7$  (orthorhombic space group *Pbnm*, Ramsdellite-type) does not form the same crystal structure. It may be expected that lithiation of the sodium form would potentially give access to the 2D structure for intercalation. The authors previously reported the synthesis of photoactive  $Na_2Ti_3O_7$  (see section 7.1).<sup>475</sup> An interesting feature of making this in CHFS is that the material is does not form a rolled up parchment structure as it does under batch hydrothermal processing. This rolling up has been linked to a need to reduce strain in the sheets as they are formed and evidently, the speed of synthesis and recovering the products made in CHFS, are largely in an unrolled form with the edges exposed. In the most recent report by the authors, a material with the nominal formula "Na<sub>2</sub>Ti<sub>3</sub>O<sub>7</sub>" was made via CHFS. As it was found to be sodium deficient and assigned the formula  $H_{2.3}Na_{0.9}Ti_3O_7$  in which both Na<sup>+</sup> and H<sup>+</sup> ions reside between the anionic layers, provide overall charge balance. The flow synthesis was carried out using o.3

M TiBALD, 12 M NaOH and a supercritical water flow at *ca.* 450 °C. Samples were used as the electrode active materials without any further post-synthesis heat-treatment of any kind. Cyclic voltammetry of the material, which was evaluated in a half–cell in the potential range of 0.05 to 3.0 V vs Li/Li<sup>+</sup> suggested that the material showed significant pseudocapacitance behavior. For cycling tests, at a current rate of 50 mA.g<sup>-1</sup>, the specific capacity was ca. 220 mAh.g<sup>-1</sup>, but showed very poor coulombic efficiency (59 %), which was be attributed to electrolyte destruction at very low potentials beyond 0.5 V. At higher applied currents of 500 mA.g<sup>-1</sup>, the cycle life remained excellent and the columbic efficiency was always >99.6 % for the first 1200 cycles measured (at which point the specific capacity was > 110 mAh.g<sup>-1</sup>). At current rates of up to 10 Ag<sup>-1</sup> it was even possible to obtain a specific capacity of as much as 38 mAh.g<sup>-1</sup> (Figure 43)

# Figure 43

The above-mentioned titanates containing Li or Na ions, often require relatively forcing conditions to manufacture them in continuous hydrothermal flow processes, however, it is still possible to manufacture materials such as titanias and doped titanias (or other host anode lattices) in high yield, which can also function as high power anodes for Li-ion batteries. Pure anatase  $TiO_2$  anode made using continuous hydrothermal synthesis have been evaluated as anodes. The half cells were cycled galvanostatically at applied currents ranging from 0.1 A.g<sup>-1</sup> (*ca*. 0.5C charge or discharge rate which is in ca. 30 minutes) to 10 A.g<sup>-1</sup> which was *ca*. 6oC (i.e. 1 minute charge or discharge rate), in the potential range 1 to 3.0 V vs Li/Li<sup>+</sup>.<sup>283</sup> This CHFS-made nano-TiO<sub>2</sub> showed excellent rate performance with a capacity of 88 mAh.g<sup>-1</sup> at 5 A.g<sup>-1</sup> and 70 mAh.g<sup>-1</sup> at 10 A.g<sup>-1</sup>, which is comparable to or better than other powdered anatase titania anodes for Li-ion batteries in the literature.

More recently, the authors used a CHFS reactor for the fabrication of high Power Nb-doped TiO<sub>2</sub> (25 at% dopant) NP anodes for lithium-ion batteries.<sup>583</sup> Again it is worth mentioning that the high level of dopant achieved in the titania lattice, is far in excess of that which would normally be expected, which allows very unique (metastable) compositions and properties to be obtained. The as-prepared nanopowders with Nb dopant (< 6 nm) were used to make printed anodes without any further heat-treatment or processing at all after the synthesis process other than freeze drying. The nanomaterials were made into electrodes in half cells versus lithium chip and were initially investigated via cyclic voltammetry and galvanostatic charge/discharge cycling tests in the range 1.2 to 3.0 V vs Li/Li<sup>+</sup>.

Interestingly, the Nb-doped TiO<sub>2</sub> samples showed superior capacity retention at high current rates compared to the corresponding undoped nano-TiO<sub>2</sub>. For example, cycling the cells at current rates of 5 and 15 A.g<sup>-1</sup>, the doped mate-rial (bracketed undoped TiO<sub>2</sub> values) showed a specific capacity of 105 mAh.g<sup>-1</sup> (88 mAh.g<sup>-1</sup> undoped) and 48 mAh.g<sup>-1</sup> (27 mAh.g<sup>-1</sup> undoped), respectively.<sup>583</sup>

The superior performance at high currents for the doped titania sample was believed to be due to a combination of the higher electronic conductivity and a greater charge storage contribution from pseudocapacitive charge storage (i.e. Faradaic surface chemical processes and possibly some Helmholtz double layer charge storage).<sup>583</sup> Interestingly, the results from the same report, suggested that the BET surface area was not the most critical parameter for high power performances. Therefore, the combined effect of higher electronic conductivity, improved lithiumion kinetics and higher capacity for charge storage via surface effects, benefitted the high power performance compared to the undoped material.<sup>583</sup>

A further benefit of using a simple host material such as nano-titania as an anode material for Li-ion batteries, is that the lattice can be doped over a wide range of concentrations to improve electrochemical performance in a number of ways; the dopant can improve the conductivity of the titania, which can improve the rate performance. Secondly, the dopant can stay in the lattice and it could alter the mechanism of charge storage under certain rate conditions, such as increasing the pseudocapacative contributions due to forming stronger interactions between the dopant and with Li-ions near the surface (see before for Nb doped titania).<sup>58</sup> Thirdly, the dopant can act to widen the electrochemical potential range of the anode material, generally via becoming a separate phase which undergoes its own reactions after the first cycle (as will be seen from Sn doped titania below<sup>28</sup>).

The authors recently reported the laboratory scale continuous hydrothermal production of titania and Sn doped titania derivatives, which are potential anodes for Li-ion batteries.<sup>283</sup> The metal salt precursor solution containing acidified titanium oxysulphate hydrate and tin sulphate (aq.) in the appropriate ratio (pump flow rate = 40 mL.min<sup>-1</sup>) was premixed with a KOH (aq.) feed (40 mL.min<sup>-1</sup>) at room temperature in a dead volume tee piece. This combined feed was then brought into contact with a flow of supercritical water at *ca.* 400 °C and 24.1 MPa (80 mL.min<sup>-1</sup>) to form the products in a confined jet reactor, CJM under a highly turbulent mixing regime (Reynolds number was *ca.* 6500, residence time *ca.* 5 sec). The base concentration was kept constant throughout at 0.0703 M and the total metal salt concentration of 0.0625 M, and the titanium salt was used with a concentration of 0.0625, 0.06, 0.575 and 0.055 M (with the Sn precursor making up any shortfall). The actual amounts of Sn measured in the samples were

close to this in the precursor feeds and were given as follows (with the Sn precursor nominal levels given in brackets; TiO<sub>2</sub> (from o at% Sn in precursor), Ti<sub>0.94</sub>Sn<sub>0.06</sub>O<sub>2</sub>, (from 4 at% Sn in precursor), Ti<sub>0.89</sub>Sn<sub>0.11</sub>O<sub>2</sub>, (8 at% Sn in precursor) and Ti<sub>0.85</sub>Sn<sub>0.15</sub>O<sub>2</sub> (12 at% Sn in precursor).<sup>29</sup> After synthesis, the samples were freeze-dried and then used directly for anode inks as-prepared with no further processing. This later point is in stark contrast to many other anode and cathode materials in this review, which often require a further post synthesis high temperature heat-treatment step in order to realize high electrochemical performance.

XRD data for all Sn doped titania samples suggested the presence of phase pure anatase TiO<sub>2</sub> type structure [similar to similar to JCPDS reference pattern 21-12729] with no additional peaks in the patterns (e.g. such as those for SnO<sub>2</sub> or other impurities), suggesting that a metastable phase had been stabilized.<sup>29</sup> Doping appeared to reduce the peak intensity significantly, suggesting highly strained or defective doped crystallites (a small shift towards lower angles was observed which was due to the dopant). The BET surface area decreased with higher Sn doping content (TiO<sub>2</sub> 245 m<sup>2</sup>g<sup>-1</sup>, Ti<sub>0.94</sub>Sn<sub>0.06</sub>O<sub>2</sub> 230 m<sup>2</sup>g<sup>-1</sup>, Ti<sub>0.89</sub>Sn<sub>0.11</sub>O<sub>2</sub> 208 m<sup>2</sup>g<sup>-1</sup>, Ti<sub>0.85</sub>Sn<sub>0.15</sub>O<sub>2</sub> 178 m<sup>2</sup>g<sup>-1</sup>) corresponding to the increase of the average crystallite size.<sup>283</sup> The influence of Sn doping in nano-sized TiO<sub>2</sub> on the rate performance and specific capacity at different current rates at two different potential ranges was explored (these were 1.0 to 3.0 V vs Li/Li<sup>+</sup> and 0.05 to 3.0 V vs Li/Li<sup>+</sup>, respectively). In the absence of in situ X-ray measurements in the cell to determine the fate of the Sn in the doped samples, the authors interpreted the cyclic voltammetry as pointing to the likely processes going on in the Sn doped titanias when cycled in the wider voltage window towards 0.05 V vs Li/Li<sup>+</sup>.

The authors suggested that during the first reduction cycle, the Sn<sup>4+</sup> in the anatase structure was reduced at low potentials to form a separate phase of elemental tin (Sn<sup>o</sup>) with Li<sub>2</sub>O being irreversibly formed (this was indeed accompanied by a high irreversible initial capacity loss). It was then suggested that the elemental tin behaved thereafter like a separate alloying material, i.e. the nanomaterial effectively became a Sn and TiO<sub>2</sub> nanocomposite anode in which the TiO<sub>2</sub> appeared to effectively buffer the high volume expansion of the dispersed Sn formed in situ. Thus, the Sn phase could be lithiated in the range 1.0 to 0.05 V vs Li/Li<sup>+</sup> for form Li<sub>x</sub>Sn alloy (where  $o < x \le 4.4$ ).

Delithiation of the samples in the potential range 0.05 to 3.0 V vs Li/Li<sup>+</sup> (forward sweep in the CV) showed a broad oxidation peak at 0.5 V vs Li/Li<sup>+</sup> which was suggested due to the delithiation of the Li<sub>x</sub>Sn (de-alloying reaction). Thus, the Sn was largely active below 1.0 V vs Li/Li<sup>+</sup> with the TiO<sub>2</sub> phase being active in the range ca. 1.0 to 3.0 V vs Li/Li<sup>+</sup>.<sup>29</sup> The sample with anode active phase composition Ti<sub>0.85</sub>Sn<sub>0.15</sub>O<sub>2</sub> had a capacity of ca. 350 mAh.g<sup>-1</sup> at applied constant currents of 100 mA.g<sup>-1</sup> and a capacity of 192.3 mAh.g<sup>-1</sup> at a current rate of 1500 mA.g<sup>-1</sup> (Figure 44). After 500 charge / discharge cycles (at a higher constant current rate of 382 mA.g<sup>-1</sup>), the same nanomaterial anode retained a relatively high specific capacity of 240 mAh.g<sup>-1</sup>.<sup>29</sup> Given the long-term retention of a decent specific capacity for some of these compositions, the Sn doped titanias offer an interesting balance of moderate power and capacity anodes.

Figure 44

**Other Metal Oxide/Sulfide Anodes (Nb, V, Sn, Co, Nb, Fe, Sb, Mo, etc.).** Nano-sized, semi-crystalline niobium pentoxide (Nb<sub>2</sub>O<sub>5</sub>) was synthesized in a single step via a continuous hydrothermal process with o.1 M ammonium niobate (V) oxalate hydrate used as only precursor.<sup>603</sup> The as-prepared material displayed 98.6 % capacity retention after 800 cycles) and showed promising high rate performance, with a specific capacity of 43 mAh.g<sup>-1</sup> at an applied current of 10 A.g<sup>-1</sup> (in the wide potential range of 0.05 to 3.0 V vs Li/Li<sup>+</sup>). Scan rate tests were used to investigate the proportion of stored charge from diffusion-limited processes and that from surface effects (pseudocapacitance); these experiments clearly showed that at higher currents, charge storage from the latter was dominant.<sup>603</sup>

In a further report by the UCL authors, the performance of undoped VO<sub>2</sub> nano-sheets made via continuous hydrothermal route was improved further.<sup>604</sup> The VO<sub>2</sub> nano-sheets showed excellent capacity retention, with a specific capacity of 350 mAh.g<sup>-1</sup> at an applied current of 0.1 A.g<sup>-1</sup> and 95 mAh.g<sup>-1</sup> at 10 A.g<sup>-1</sup> in the potential range 0.05 to 3.0 V vs. Li/Li<sup>+</sup>. Additional electrochemical testing suggested that a significant proportion of the charge storage in the cells was due to pseudocapacitive processes.<sup>604</sup> Therefore, many of the synthesized insertion/intercalation anode materials synthesized via continuous hydrothermal routes showed far improved high power performances just due to the facilitated particle morphology, crystallinity and size.

Based on the aforementioned promising results for  $Nb_2O_5$  and also Sn doped titania, the authors used continuous hydrothermal processes to make Sn doped niobium oxide in the compound  $Nb_{1.63}Sn_{0.34}O_5$  (production rate ca. 130 g.h<sup>-1</sup>).<sup>605</sup> Pure  $Nb_2O_5$  made under similar conditions had a specific capacity of 191 mAh.g<sup>-1</sup>, which was lower in comparison to  $Nb_{1.66}Sn_{0.34}O_2$  (272 mAh.g<sup>-1</sup> at 100 mA.g<sup>-1</sup>). Again, the high capacity had to be due to the combination of the charge storage reactions for the Nb oxide as well as the Sn oxide. The specific capacities of Nb<sub>1.66</sub>Sn<sub>0.34</sub>O<sub>5</sub> at different current rates were 181 mAh.g<sup>-1</sup> at 500 mA.g<sup>-1</sup>, 146 mAh.g<sup>-1</sup> at 1.0 A.g<sup>-1</sup> and 110 mAh.g<sup>-1</sup> at 2.0 A.g<sup>-1</sup>.<sup>605</sup> The Coulombic efficiency was < 95 % at 100 mA.g<sup>-1</sup>, > 98.5 % at 500 mA.g<sup>-1</sup> and > 99.4 % at higher currents. The capacity remained quite unstable during a further 100 cycles at 500 mA.g<sup>-1</sup> with capacity retention of 71 %.<sup>605</sup>

Similar to the work on Nb oxide, recent work from some of the authors has shown that vanadium oxide doped with Sn, namely  $V_{0.8}Sn_{0.2}O_2$ , (production rate of 65 g.h<sup>-1</sup>) could display increased charge storage due to the electrochemical activation of the Sn-dopant. The compound had a large specific capacity<sup>605</sup> of 674 mAh.g<sup>-1</sup> (at 100 mA.g<sup>-1</sup>) and in the variable C-rate test (5 cycles per C-rate) the sample had capacities of 673 mAh.g<sup>-1</sup> at 100 A.g<sup>-1</sup>, 607 mAh.g<sup>-1</sup> at 500 mA.g<sup>-1</sup>, 560 mAh.g<sup>-1</sup> at 1,000 mA.g<sup>-1</sup> and 515 mAh.g<sup>-1</sup> at 2,000 mA.g<sup>-1</sup>. These capacities were far higher compared to the pure VO<sub>2</sub> (255 mAh.g<sup>-1</sup> at 100 A.g<sup>-1</sup>).<sup>605</sup> The coulombic efficiency was < 97 % (after 4 cycles) at 100 mA.g<sup>-1</sup> and > 99.5 % at higher currents. The higher reversible capacities were due to possible particle cracking during cycling (enabling more accessible Li-ion storage sites) and higher delithiation activities at higher potentials. Thus, the authors could show that for three different classes of insertion / intercalation anode nano-materials (namely TiO<sub>2</sub>, Nb<sub>2</sub>O<sub>5</sub> and VO<sub>2</sub>), the capacity can be increased via doping of Sn in the crystal lattice within the same synthesis method / step.

There have also been reports of nano-crystalline SnO<sub>2</sub> containing materials, which might be of interest in a Li-ion or Na-ion battery. The material was made in the range 385 to 415 °C (30 MPa) using a residence time range of 38 to 106 s in a tubular flow reactor.<sup>606</sup> 0.1 to 0.4 M SnCl<sub>4</sub> was used as a reagent with a particle size of ca. 3 nm and yields in the range 53 to 81 %, (or 98 % when 0.1 M NaOH was added). After heat-treatment at 450 °C for 2h, the particles were only ca. 4 nm, whilst a heat-treatment at 600 °C for 10 h, improved crystallinity with a particle size of ca. 9 nm.<sup>606</sup> In another report, a SnO<sub>2</sub> shell on a titania core<sup>158</sup> was were developed via a new dual stage reactor by lversen and co-workers. Due to the very small size of the SnO<sub>2</sub> obtained, such materials may be ideal as anodes for Li or Na-ion batteries. If the nano-SnO<sub>2</sub> can be reduced ex-synthesis, then the Sn on the titania, may prove to be a useful material in this regard.

Another interesting anode material  $CoSb_2O_4$  that has been made rapidly under hydrothermal conditions (in a batch system that essentially mimics flow) was reported in an in situ high pressure PXRD study by Iversen and colleagues, which combines the idea of conversion and alloying electrode materials. The material was scaled up via a more conventional reaction and then evaluated as an anode in a Li-ion half-cell showing an initial discharge capacity

of 1131 mAh.g<sup>-1</sup>, corresponding to ~15 Li atoms reacting with each  $CoSb_2O_4$  (some of the Li-ions will alloy with the Sb and some will form a lithium oxide / hydroxide type species).<sup>607</sup> The first charge capacity of the sample was 417 mAh.g<sup>-1</sup>, going down to ~20 mAh.g<sup>-1</sup> after the tenth cycle. Thus this material still requires some development if it is to compete with the alternative anodes which have been reported earlier. This was an impressive publication because the authors were able to use in situ synchrotron PXRD to follow the formation and growth of  $CoSb_2O_4$  NPs using different precursor stoichiometries.<sup>607</sup>

Continuous hydrothermal processes have also been used to make materials which could have applications as anodes (but were not tested for such yet), e.g. MoS<sub>2</sub>.<sup>122</sup> In the first reported continuous hydrothermal synthesis of MoS<sub>2</sub>, the reaction of ammonium heptamolybdate with preheated thiourea (with in flow addition of acid) required an additional heating unit in process between the mixer (reactor) outlet and the cooling system. This gave an additional residence time of 30 s at a set temperature of 250 °C in order to produce relatively phase pure MoS<sub>2</sub> directly in process (rather than amorphous MoS<sub>3</sub>, which is initially produced at lower temperatures). Larger size MoS<sub>2</sub> is of interest as an inorganic lubricant due to its extensive 2D or sheet like structures, which allow exfoliation and can consequently significantly reduce friction. The sheet-like structures are also idea for intercalation of Li ions. Overall, the huge number of possible anode materials based on (alkali-) (transition) metal oxides (sulfides) shows already endless opportunities when using a continuous hydrothermal synthesis route.

# 9.4. Beyond Li-ion Batteries

Due to the expense and potential future fears on the scarcity of lithium, a number of global industries and researchers are also conducting research into alternatives such as sodium, magnesium, metal–air, metal–sulfur, and metal–organic batteries. Some advantages of Na-ion batteries are as follows;

- (i) Na-ion materials have lower material costs than Li-ion materials
- (ii) Cathode and electrolyte costs can be reduced (these can be as much as ca. 50 % of cell costs),
- (iii) Na is far more abundant in the earth's crust than Li (Na ~ 2.6 % vs. Li ~ 0.005 %).
- (iv) Na-ion materials can be processed just like Li-ion materials, from the synthesis of the active materials to the electrodes that will allow utilizing of existing cell fabrication lines and
- (v) More inexpensive current collectors such as Al based ones can be used in sodium-ion cells.

The advantage of cost of raw material and sustainability (Na vs Li salt) has important implications for continuous hydrothermal synthesis because many battery materials are indeed made with an excess of Li or Na in the process and if not all of it is incorporated into the particles, it must then be recovered from the waste water streams.

Li-ions have the smallest ionic radius (0.76 Å). During charge and discharge, Li-ions can readily intercalate into a wide range of hosts. Considering that solid state diffusion is the major rate-determining step of electrochemical reactions, the small ionic size permits fast kinetics Furthermore, the small mass number for Li (6.941 amu) and low redox potential (-3.04 V vs. standard hydrogen electrode, SHE), enable high theoretical specific capacity and energy density of rechargeable energy storage systems. Na-ions (1.02 Å) are only slightly larger that Li-ions in Group 1, therefore it has broadly similar intercalation / alloying / conversion chemistry as well as a higher redox potential (-2.71 V vs. SHE) of Na/Na<sup>+</sup>. Thus, Li and Na-ions have different interactions host structures, which can influence the kinetics as well as thermodynamics.

To the knowledge of the authors, there no published examples on the use of CHFS made Na-ion batteries. The authors are actively working in this area and will report their findings in due course. It is expected that high power capabilities will be achievable for Na-ion nanostructured anodes that would show a shift from battery-like (intercalation) behavior at low C-rates to oxide supercapacitor-like behavior at higher C-rates. Thus, Na-ion (and Al or Mg-ion) batteries, offer the potential to develop metal-ion chemistries in the future which do not rely on Li-ions, which may become expensive or in short supply. The authors are convinced that making suitable Na-ion or multivalent-ion nano-sized electrodes via methods such as continuous hydrothermal flow will be a critical breakthrough technology to allow such materials to actually work and compete or exceed Li-ion materials in terms of power and energy density.

### 9.5. New Supercapacitor Nanomaterials

Next generation electrochemical capacitors (ECs) are now emerging as a promising energy storage technology for high power applications.<sup>608</sup> The weakness of EC systems is the limited energy density, which restricts applications to delivery of high power over timescales of a few seconds. Thus, much of the current research has investigated methods to improve both the power and the energy capacity of such devices. An excellent review by Dunn et al.<sup>609</sup> is recommended as it highlights the many opportunities for investigating nano-sized supercapacitor materials, most of which can be readily made via CHFS.

Since the energy density is a product of capacitance and the voltage (squared), many strategies are focused in how to increase these values. So called traditional electrochemical double-layer capacitors (EDLCs) store charge in the Helmholtz double layer (electrical double layer) which is situated at the interface between the electrode and electrolyte. Such devices are known for their very long cycle lives and high stability. Most commonly, various types of activated carbons, which have very high surface areas or large number of defects of dangling bonds or with porosity to allow ionic diffusion, are used in EDLCs in order to increase the size of the interface, which increases capacitance. However, more recently, other materials are being increasingly used such as electroactive polymers and NP metal oxides, the latter of which have the potential for very high capacitances in excess of 1000 Farads per gram (F.g<sup>-1</sup>), which is far higher than the values that carbons can produce (see figure 45)

# Figure 45

In particular, it appears that carbon incorporated nano-sized metal oxides such as RuO<sub>2</sub>, MnO<sub>2</sub>, Ir-Mn oxides, NiO, Co oxides are attracting a great deal of interest in this regard. Already, several groups around the world have reported the continuous hydrothermal synthesis of similar materials but not tested them in such applications to date.<sup>19, 119, 136, 142, 166, 446, 534-535, 610-614</sup>

The literature contains examples of various amorphous or nanomaterials, which are suitable as supercapacitor electrodes; in the case of hydrous RuO<sub>2</sub> prepared by sol-gel methods, the high capacitance was attributed to the hydrated surface layers that promoted facile electron and proton transport (such high capacitance was not stable during high rate cycling). Such materials (with differing levels of hydration) could probably be made via continuous hydrothermal methods with careful control of reaction conditions such as temperature. Furthermore, CLOC oxidation catalysts made by CHFS and based on Ru-Ti oxides<sup>535</sup> might well be worth investigating as supercaps as well due to the fine dispersion of Ru that is obtained and accessible.

A recent trend in supercapacitor materials is the use of nano-sized metal oxides that are integrated with carbons, which seems promising for high stability as well as high power. This could present an ideal opportunity for researchers working in continuous hydrothermal synthesis because such processes have the potential to generate carbon (normally from fructose or sucrose) in situ, which may facilitate the manufacture of carbon-inorganic core/shell or nanocomposites such as has been reported to Li-ion cathode materials recently.<sup>586</sup>

Recently, there has been a move towards asymmetric hybrid devices that combine an electric double layer capacitor electrode (mainly double layer charge storage) combined with a battery type anode (pseudocapacitive), which can give a better balance of high power and high energy density.<sup>608</sup> Based on promising results mentioned earlier on high power anode materials made from continuous hydrothermal,<sup>283, 583, 603</sup> which showed that charge storage mechanisms of these anodes were largely pseudocapacitive, the authors have begun to explore CHFS made NPs as high surface area electrodes for supercapacitors. Hybrid supercapacitors can be made from nano-sized anatase and doped titanias and used directly in hybrid Li-Ion asymmetric supercapacitors. In principle, doped TiO<sub>2</sub> could be used as the negative electrode material and activated carbon (AC) as positive electrode. It would be expected that such hybrid supercapacitors will possess good energy densities at moderate power densities (see Ragone plot in figure 38 for the expected performance curves for CHFS nanomaterials hybrid supercapacitors versus other types of energy storage devices). However, at the time of writing this review, not a single report on hybrid supercapacitors using any CHFS NPs, has been published (although research is underway in UCL).

It may be even able to get better performance when metal oxide nanoparticles are electronically in contact with well-structured porous graphene's. Kaner and co-workers have investigated the design of high-energy–high-power hybrid supercapacitor electrodes. A number of typical designs are shown in Figure 46A-D. They suggested that improving the ionic current (IC) and electronic current (EC) within the electrode is a key. They have compared different approaches including compact thick films (Figure 46A), nanostructured metal oxide films (Figure 46B), addition of conductive materials with nano metal oxide (Figure 46C) and growing or depositing a nanostructured metal oxide on 3D interconnected graphene network with high surface area and high electronic conductivity (Figure 4D). They suggest that only the latter design offers the best potential for high-energy and high-power hybrid supercapacitor electrode performance (in their case for hybrid microcapacitors). Therefore, structuring the active and any conductive carbons or networks within an electrode, will be important. CHFS methods will be able to offer the possibility of integrated carbons and actives in this regard in the future no doubt.

### Figure 46

In closing, there are many opportunities in the development of new nanostructures and high surface area CHFS materials and carbons for supercapacitors. Significant gains may be possible in terms of "getting more charge stor-

age with less material", i.e. by nano-sizing precious metal oxides such as RuO<sub>2</sub>, or by also doping materials, which are less expensive, it may be possible to increase capacity to cost ratio significantly.

### 10 MISCELLANEOUS NANOMATERIALS CHEMISTRY AND PROCESS DEVELOPMENTS

For purposes of completeness, this section will cover some of the miscellaneous applications and uses and development of continuous hydrothermal that were not discussed in any detail in earlier sections.

### 10.1 Misc Precursors, Co-solvents, Additives for Novel Chemistry or Phase Targeting

In the past several groups have discussed the effect of additives in the reagent feeds in order to control redox properties or to control particle nucleation and growth kinetics inside the continuous hydrothermal. Such innovations are set to continue as researchers push the boundaries of what might be possible inside continuous hydrothermal reactors. Great care should be taken to understand the effects of reagents and additives; in particular, the use of stronger reducing agents in situ, may enable an expansion of the current capabilities of what metallic materials can be made in situ. Use of alcohols and molecules such as ammonia are also set to allow the change in critical temperatures and perhaps even the composition of the final oxide or oxynitride materials as they are obtained from the systems themselves.

Obtaining a particular NP product from continuous hydrothermal is not simply a case of heating metal salt and base / modifier solutions to supercritical conditions; indeed, a number of synthetic strategies have been developed to allow greater control of materials properties. As mentioned earlier, a third HPLC pump can be used in process in order to add a flow of base solution or other additives prior to nucleation, during nucleation, or during growth. For example, iron nitrate hydrolyses to hematite at 573 K, but adding urea (which decomposes to NH<sub>3</sub> under hydrothermal conditions) via a third pump, produces ferrihydrites.<sup>234</sup> The quantity of base added can also affect the product; for example, in the production of yttrium aluminum garnet doped with Tb (YAG:Tb), the concentration of base (KOH) is critical to achieving a phase pure product.<sup>241</sup> The R ratio (ratio of the concentration of hydroxide ions to the total concentration of all other anions), has to be 1.0. At R = 0.7, AlO(OH) is produced as an impurity phase, whereas at R = 1.2, YO(OH) appears as an impurity. The results by Hakuta et al. demonstrate that varying the quantity of base in a continuous hydrothermal reaction, can be used as a strategy to access different phases. For example, in a continuous hydrothermal system with superheated water at 623 K (flow rate 20 mL.min<sup>-3</sup>), a 0.1 M Co(NO<sub>3</sub>)<sub>2</sub> solution

mixed with 0.2 M KOH (both precursor feeds at 10 mL.min<sup>-1</sup>) hydrolyses to form  $Co(OH)_2$ , whereas under the same conditions but with a base concentration of 1.0 M, CoO(OH) is formed. Likewise, with either 0.1 or 1.0 M base (but at 723 K for the superheated water inlet temperature),  $Co_3O_4$  and CoO, respectively, are formed.<sup>136</sup>

The type of the counter ion (anion) is also important for continuous hydrothermal chemistry, as quite often under such severe conditions, anions can be broken down to form reducing or oxidizing gases in situ. These molecules can affect the particular oxide or other phases that are obtained. For example, when iron(III) sulphate or nitrate salts are hydrolyzed at 673 K, hematite ( $Fe_2O_3$ ) is formed, whereas ammonium iron(III) citrate decomposes to form a partially reduced oxide form, magnetite ( $Fe_3O_4$ ).<sup>72</sup> This is because the breakdown products of the citrate ion ( $C_6H_5O_7^{3^2}$ ) are reducing in nature. Likewise, acetate salts tend to favor reduction. Nitrate ions can form oxidizing gases such as NO<sub>x</sub> and OH radicals;<sup>615</sup> when Co(NO<sub>3</sub>)<sub>2</sub> reacts with superheated water in a continuous hydrothermal system, Co<sub>3</sub>O<sub>4</sub> tends to be the major product,<sup>72</sup> whereas if Co(Ac)<sub>2</sub> is used, CoO results (where all the Co is in 2+ oxidation state).<sup>135</sup>

There have been several examples of additives being used to create a reducing or oxidizing atmosphere within the mixing point of the continuous hydrothermal system. For example,  $H_2O_2$  breaks down under hydrothermal conditions to form a number of oxidizing species such as  $O_2$  and hydroxyl radicals (OH•). The first use of  $H_2O_2$  in continuous hydrothermal reactions was in the synthesis of LiCoO<sub>2</sub>, where  $H_2O_2$  was added to the superheated water feed in order to fully oxidize  $Co^{2+}$  to  $Co^{3+}.5^{68}$   $H_2O_2$  does not solely act as an oxidant; in the hydrolysis of  $Co(NO_3)_2$ , using a superheated water feed of  $6_{23}$  K with 1 M KOH (without  $H_2O_2$ ), CoO(OH) was formed. In contrast, when  $H_2O_2$  was added to the metal salt feed,  $Co_3O_4$  was formed as the exclusive product.<sup>136</sup> In the case of CoO(OH), Co is in a 3+ oxidation state, whereas for  $Co_3O_4$ , the average oxidation state is  $+2^2/_3$ . This indicates that peroxide, or its breakdown products, are not solely acting as oxidants, but may be facilitating dehydration under such conditions.

In contrast to oxidizing molecules, a mildly reducing atmosphere can also be created in situ by adding other reagents, such as formic acid ( $H_2CO_2$ ), which breaks down into  $CO_2$  and  $H_2$ . Formic acid was used in a continuous hydrothermal reaction by Sue et al. to produce metallic Ni directly from Ni(Ac)<sub>2</sub>.<sup>86</sup> Interestingly, the Ni metal NPs did not appear to precipitate homogeneously from solution; instead, the NPs were precipitated onto a Fe<sub>3</sub>O<sub>4</sub> core nanomaterial that was produced in the flow process at an earlier mixing point.

As well as using water alone, researchers have also made use of other solvents such as alcohols (or water/alcohol mixtures) as the process liquid phase.<sup>203</sup> Alcohols are often used because they can aid the solubility of the precursors

initially and / or because they may have a beneficial crystallising effect on the products,<sup>94</sup> due to their lower critical temperatures compared to water (e.g., for scMeOH,  $T_c = 240$  °C /  $P_c = 81$  bar<sup>28</sup>).

### 10.2 Surface Functionalised Hybrid NPs and Nanocomposites

In natural composites, we are familiar with the bonded interactions between hard (inorganic) and soft (organic or polymer) biomaterials being mixed on the nano or atomic levels. In nature this give rise to natural composites (e.g. bone, teeth, etc.) with novel and impressive combinations of mechanical and biological properties. In some materials such as calcium phosphates, binding of surface agents (such as phosphonates for example), can occur under relatively mild conditions.<sup>616</sup> Surface functionalisation can also be achieved in flow reactors under relatively mild conditions in minutes (< 100 °c),<sup>350</sup> however, for the vast majority of papers herein, we will discuss surface functionalisation of large oxide NPs under supercritical flow conditions. metal-organic frameworks (MOFs)<sup>516, 617-620</sup> which are usually a 3D network of inorganic and organic ensembles to form a true atomic level hybrid, will be dealt with in a separate section in this review (part 10.4). The area of carbons and their treatment in continuous hydrothermal processes, is largely beyond this review as there is a large diverse literature on biomass or other chemicals in such processes (batch supercritical processes for developing high quality graphenes from coal are known though<sup>623</sup>)

**Surface-Functionalized Nanoparticles.** Surface-functionalized nanoparticles, SFNs (also described as hybridorganic-inorganic nanomaterials by Adschiri and others.<sup>25, 36, 54, 65-66, 137, 217, 290, 298, 429, 444, 524, 622-624 These will be called SFNs hereafter and can be thought of as inorganic NPs (e.g. a metal or metal oxide) which have a surface coating of some organic species, preferably one with appropriate ligands that are added in the process in the ideal case . The process of surface functionalization of agents into the surface of a NP can be done in either batch of flow processes or indeed or indeed it can be done post process (via refluxing or chemical coupling reactions).<sup>312</sup> An excellent review by Aymonier and colleagues on "creation of interfaces in composite/hybrid nanostructured materials using Supercritical Fluids" is recommended for an overview of this area in terms of how surface engineering can be used to develop inorganic-inorganic and inorganic-organic nanostructured interfaces for advanced composites.<sup>622</sup></sup>

Adschiri and colleagues have undertaken many short batch reactions in the presence of organic capping agents in order to given suggestions about what may be possible in flow systems with extended residence times (several minutes). For example, organic-functionalized nanoscale yttrium aluminium garnet (YAG) nanoparticles were synthesized in ca. 10 minutes at 420 °C (followed by rapid quenching).<sup>193</sup> Reactions were carried out in the presence of oleylamine and oleic acid as organic surface modifiers (6:1 molar ratio of organic agents to total Yb + Al). The presence of the organic molecules resulted in YAG particles with various morphologies including cubic, spherical and chainlike. Because of their functional groups, the organic reagents allowed the particles to disperse in certain organics solvents. In related batch experiments, the synthesis of surface functionalised  $ZrO_2$  was achieved from the reaction of  $Zr(OH)_4$  with various surface modifiers (oleic, sebacic, dodecanedioic, and 12 aminododecanoic acids) at 400 °C for 10 min. As expected, a reduction in particle size versus non-modified reactions, was observed (due to inhibition of growth due to surface binding in the former).<sup>290</sup>

More relevant to this review, surface functionalization has also been carried out in continuous hydrothermal processes (i.e. during the nucleation or growth of particles in flow). There are a number of proposed routes to in process direct production of SFNs which have been developed and articulated primarily by Professor Adschiri's pioneering research and more recently by others and these generally fall under the following categories;

Type 1; pumping a slurry of the preformed material to be coated into a stream superheated water feed and adding a surface agent at some point,

Type 2; making NPs from the reaction of a metal salt, which is also in the presence of a surfactant or adding this surfactant in a second mixer that is downstream of the first reaction (Figure 47 shows a schematic flowchart for making SFN particles from solution and then add a capping agent in a second mixer)

Type 3; gradually increasing the temperature of a NP/surfactant feed in a long residence time reactor set-up.

Reduction agents can also be added the sc-water feed before it reacts with the metal salt to get a metallic SFN. Using a type 2 SFN approach, Teja et al. prepared surface coated iron oxide ( $\alpha$ -Fe<sub>2</sub>O<sub>3</sub>) from precursor solutions of PVA and iron(III) nitrate, which were fed to meet a flow of sc-water and then into a crystallizer zone. The presence of PVA during particle formation was an effective way to obtain narrow particle size distribution as it limited particle growth and coalescence under certain condtions.<sup>137</sup> The results showed that the average particle size decreased with increasing PVA concentration when the residence time was ca.  $_{2}$  s. This became nearly independent of PVA concentration when the residence time was much longer at > 10 s.<sup>137</sup>

Figure 47

Using a type 2 approach for SFN synthesis, Adschiri et al. reported attempts to surface coated cerium oxide with either L-glutamic acid, L-aspartic acid, or L-arginine.<sup>625</sup> This was conducted by pumping an aqueous solution of the metal nitrate in the presence of the surface modifiers at a relatively modest temperature of 275 °C in a flow reactor (presumably, at higher temperatures there may have been significant degradation of the surface agents).<sup>625</sup> The products synthesized with L-glutamic acid of primary CeO<sub>2</sub> NPs bound together by the surface agent to give larger cubic-like nano-assemblies.<sup>625</sup> In a related report, Adschiri et al. the formation of hierarchical cubic nanoassemblies of octahedral CeO<sub>2</sub> nanocrystals was described; in the report, an aqueous solution of cerium(III) nitrate with hexanedioic acid was heated in a lab-scale plug-flow reactor.<sup>624</sup> The main aim behind such ideas is to create intelligent hierarchical systems for nano-devices, however, there may ultimately be other interesting uses for such assemblies such as in the reduction or control of sintering of catalyst upon ageing for example (e.g. in TWCs<sup>493, 495</sup>) There are of course variants of this approach. However, the authors suggest that the consensus is that the temperature for capping should not be too high and ideal conditions for capping will depend on residence time and mixer turbulence for an effective surfactant-coating window in the approximate range 180 to 250 °C.

Figure 48 shows a batch process example where the expected phase behavior for water and organic solvents containing a surface agent and water containing metal salts at a) room temperature, b) supercritical conditions when the two phases can become homogenous and c) back to room temperature. The metal oxide nanoparticles are formed under supercritical conditions via hydrolysis and dehydration in the presence of the surfactant for form surface functionalized nanoparticles which can be separated in the organic phase (if sufficiently hydrophobic).<sup>117</sup> In Figure 48d, a dispersion of oleic acid capped cobalt blue NPs from the Adschiri laboratory that are dispersed in hexane.<sup>298</sup> Thus, if we assume that under continuous (rather than batch) hydrothermal conditions, it would also be expected that any organic phase (or hydrophobic surfactant) and the superheated aqueous phase may become more homogenous; this would also favor the formation of SFNs in flow (although this may take a few minutes in some cases). As in the above batch example shown in Figure 48, if hydrophobic surface agents are added during the synthesis of NPs in flow, then, at the end of the process (after cooling), the SFNs would be expected to separate from the aqueous layer.

### Figure 48

As an alternative to in-process capping, post-synthesis surface modification can also be conducted, e.g. silanecoupling agents are known to stick organic groups onto the surface of particles. This relies on the reaction of pendant silane groups with surface OH groups on the particles, giving Si-O groups on the NP surface, which could affect properties. Thus, there has been interest in synthesizing SFNs with organic agents that are able to use either charge or steric stabilization so particles can be formulated into inks or dispersed into polymers.<sup>36, 117, 290, 350, 623, 626</sup>

By far the most developments in SFNs for flow (and batch) syntheses, has been carried out by Adschiri and coworkers. They have shown tremendous innovation and versatility in this area and pushed towards commercial applications.<sup>68, 299, 625, 627-628</sup> One of the most interesting observations made by Adschiri et al., is that highly coated SFNs behave very differently to uncoated (or partially coated) particles.<sup>299</sup> It appears that by coating the entire surface of the NP with an organic "sheath" effectively makes the particle present a more oleophillic exterior to the surrounding solvent or polymer it is dispersed in. In a ceramic ink such as that used for inkjet printing for example, Prof Adschiri has suggested that this can result is inks that do not appreciably shear thicken as expected over a wide range of shear rates.<sup>299</sup> Examples of SFNs in the literature include alumina oxyhydroxide, TiO<sub>2</sub>,<sup>54</sup> copper manganates,<sup>524</sup> boron nitrides, ceria, Co oxide spinels, nickel oxide, CoAl<sub>2</sub>O<sub>4</sub><sup>298</sup> pigments amongst others; in all these cases, the surface functionalization also reduce the particle size and reduced agglomeration significantly.<sup>299</sup>

In 2010, Adschiri and co-workers reported the synthesis of surface functionalized (oleic acid) Ba-hexaferrite nanocrystals with controlled size and morphology.<sup>456</sup> In the process, a solution of iron nitrate and barium hydroxide (Ba/Fe molar ratio of 0.5) was mixed with KOH in distilled water to give an initial hydroxide that was made up to 0.05 M.<sup>456</sup> In a separate feed the oleic acid was made in the range 0.25 to 0.5 M in ethanol. The metal salt first met with sc-water flow to give a reaction temperature in the range 450 to 500 °C (30 MPa) and this mixture was heated in flow (residence time 20s) before meeting the oleic acid feed to give a reaction temperature in the range 350 to 380 °C.<sup>456</sup> This was then cooled to give the capped products. It was shown that the oleic acid reagent played a key role in con-

trolling shape of Ba-hexaferrite as well as giving a drastic reduction in particle size from 30 to 9 nm. The surface functionalized nanocrystals exhibit a high coercivity of ca. 2800 Oe at 5 and 280 K.<sup>456</sup>

In Japan, a company called ITEC Co. Ltd has been set up which offers capabilities for lab and larger scale facilities called Momicho-mini, Mega and Giga from smallest to largest) examples given on the company website.<sup>627, 629</sup> The industrial SFNs (and functionalized microparticles) are made via continuous hydrothermal processes include surface functionalized CeO<sub>2</sub>, LiFePO<sub>4</sub>, LiMn<sub>2</sub>O<sub>4</sub>, BaTiO<sub>3</sub>, AlN, barium ferrite, various metal nanoparticles. Furthermore, it was suggested that organic modification can also be made on ready-made particles of aluminum oxide (Al<sub>2</sub>O<sub>3</sub>) and boron nitride (BN).<sup>118, 299, 627-628</sup> Other than that, a few reports that have emerged on SFNs, much of the research may indeed be commercially sensitive (and therefore may remain unpublished to date) as this group has been working with numerous companies to develop a wide range of NPs for composites.

In 2010, Hayashi and co-workers reported the synthesis of cubic hybrid barium titanate (BaTiO<sub>3</sub>: BT) NPs coated with polyacrylic acid (PAA) or Tween 80 [polyoxyethylene(20) sorbitan mono-oleate]. Such coated particles might be ideal for inkjet printable inks for dielectric device applications or supercapacitors.<sup>429</sup> For the synthesis of hybrid BaTiO<sub>3</sub>, a TiO<sub>2</sub> sol and Ba(NO<sub>3</sub>)<sub>2</sub> aqueous mixture (2.5:3.0 molar ratio, i.e. excess Ba) was mixed in flow with KOH (aq), before the combined flow met with a supercritical water feed in a tee mixer. This mixture was then heated in flow in a furnace and then introduced to the ambient temperature aqueous modifier solution (containing the surface agent) before in process cooling. As in many other reports, due to the excess of Ba used, the as-made material was washed with diluted acetic acid solution and pure water to remove surface BaCO<sub>3</sub>.<sup>429</sup> Thermogravimetric analysis (in the temperature range 200 to 600 °C) of the samples coated with PAA and Tween 80, exhibited weight losses due to the ligands of ca. 11.2 % and 19.5 %, respectively, (exothermic peak centered at ca. 350 °C), indicating that surface modification on the particles was significant.

More recently, the UCL authors and others have begun to develop the science and different methods of adding surface agents in flow, i.e. in the same process.<sup>36, 129, 324,623, 630-631</sup> Generally, it appears that the addition of a surfactant using a second mixer (upstream of NP formation), is a noteworthy approach as it gives a lot of process flexibility for scoping out changes in dispersion with a range of factors.<sup>36</sup> The UCL authors have also found that when some surface coverage is achieved for ITO and doped ZnO particles in ink bases, it is possible to keep modest viscosities and reduce caking of the inks (long term stability) which makes them better for inkjet printing. Work is currently underway to assess their conductivities after processing via low temperature routes. In Figure 49 non-citrate coated

(transparent) conducting oxide nanoparticles can be seen, which can be made into inks that don't form homogenous mixtures that are suitable for inkjet printing. On the lower part of Figure 49, high loading ceramics inks can be made for inkjet printing in excess of 40 wt% or more when surface functionalisation is imparted on the particles.

### Figure 49

Surface coatings of inorganic coatings on inorganic cores (i.e. core-shell particles) have also begun to emerge and it has been noted that such inorganic coatings can confer improved dispersion or other properties on the core materials.<sup>632</sup> For example, gamma-Fe<sub>2</sub>O<sub>3</sub>@SiO<sub>2</sub>, TiO<sub>2</sub>@SiO<sub>2</sub>, and alpha-Fe<sub>2</sub>O<sub>3</sub>@SiO<sub>2</sub> core–shell NPs were made by lversen et al. using a continuous flow dual-stage reactor. In the products, uniform silica shells of 2 nm thickness were obtained (on gamma-Fe<sub>2</sub>O<sub>3</sub> and TiO<sub>2</sub> NPs) while more irregular shells were deposited on alpha-Fe<sub>2</sub>O<sub>3</sub> due to the low pH. The silica coating was added using TEOS (in isopropanol) which was added after nucleation of the core and cooling. After the TEOS was added, the mixture was then heated in a secondary reactor.<sup>632</sup>

**SFNs for Nanocomposites.** Nanocomposites are hybrid organic inorganic materials, in which mixing of the NP filler phase is achieved, ideally at the sub 100 nm level. Nanocomposites have generated much research interest owing to remarkable enhancements in the properties at very low volume fractions (typically < 10 vol%. This morphology of nanoscale dispersion can lead to tremendous interfacial contact between the NPs with the polymer matrix. This effectively confines the polymer chains, giving synergistic improvements in the composite properties (increased modulus, strength, etc.), where the overall properties are superior to those of the individual components.

The properties of nanocomposites are directly affected by values such as the filler volume fraction, particle aspect ratio, surface coating, agglomeration of particles, alignment in the composite and other considerations. If such composites can in fact be bonded to the polymer after mixing, then of course the resulting composites will being to resemble natural nanocomposites to some degree (although this would also require some form of hierarchical structure of the composite as well to be truly comparable).

It appears that some of the high loading composites are being driven by the need to make improved composites. Conventionally in composites, when the filler content is increased above 50 wt%, the viscosity can increase which makes it difficult to process into a final form. Adschiri has suggested that surface modification of BN particles by a continuous hydrothermal approach improves the affinity for polymers, resulting in >90 wt% BN content composite being processable (without any void formation) that possesses a thermal conductivity of 40 Wm<sup>-1</sup>K<sup>-1</sup> (order of magnitude higher than the currently used materials). Despite such high loadings, the devices that were made from this SFN BN were flexible and insulating with good ease of fabrication. SFN Al<sub>2</sub>O<sub>3</sub> particles have also been developed for packaging materials and transparent dispersion of high refractive index nanocomposites (with SFN TiO<sub>2</sub> and ZrO<sub>2</sub>) have been developed, in polymers with good transparency using new monomer, (with a pendant chelating OH groups) which affords a high loading ratio up to 70 wt% of ZrO<sub>2</sub> in a composite. Despite some information being available, it is likely therefore that due to commercial sensitivities, some of the research will not reach the chemical literature fully.<sup>299</sup>

One of the most recent areas where we are aware of the using of continuous hydrothermal process for particle coating of NPs, has been in the field of composite fire retardants. Egyptian researchers investigated the use of surface coated fire retardants, which were made using continuous hydrothermal processes.<sup>630-631, 633</sup> A number of materials were investigated for similar applications including surface functionalized titanias, hydroxyapatites and AlOOH particles which were readily dispersible in epoxy resins giving better dispersions that uncoated particles.<sup>633</sup>

Magnesium di-hydroxide (MDH) was mixed in polymer composites and shown to have a significant impact on flammability performance. MDH rods of ca. 120 x 20 nm were made and incorporated into composites and from the loss of water upon exposure to heat from the metal hydroxide, MDH was used as a endothermic heat sink. Hydrox-yapatite (surface modified) was also investigated as a fire retardant in a recent report by the same researchers.<sup>630</sup> CHFS-made aluminum-oxide-hydroxide (AlOOH), was also recently published as a clean and non-toxic flame retardant. The phase transition of AlOOH to Al<sub>2</sub>O<sub>3</sub> was demonstrated by X-ray and enhanced flammability properties were achieved in polymer composites at low solids loading.<sup>633</sup> Finally, for the calcium phosphates with an intumes-cent agent known as Exolit AP750 in a multi-component epoxy nanocomposite, was able to resist a flame at 1700 °C and self-extinguish after the flame had been removed, which was significantly better than virgin epoxy.

Aymonier and co-workers developed a continuous process for the manufacture of Talc, a clay mineral  $[Mg_3Si_4O_{10}(OH)_2]$ .<sup>634</sup> Talc is not only used in automotive plastics and household appliance plastics, as well as in window profiles, food packaging, polypropylene pipes, laptop housings.<sup>635</sup> In these applications, talc can increase rigidity, creep strength and impact resistance. In the report for talc synthesis, aqueous feeds of sodium metasilicate and a feed of magnesium acetate (in acetic acid) were mixed in flow in a tee mixer that caused an initial precipitation. This

mixture then entered a heated coil zone (volume of 8 cm<sup>3</sup>) at a temperature up to up to 500 °C that was followed by cooling in flow before being collected in a filter downstream.<sup>634</sup>

## 10.3. Nanograined Coatings and Monoliths

Surface functionalized or unagglomerated NPs can be used as a feed material for nano-grained coatings or for the fabrication of nanograined ceramic monoliths.<sup>127, 128, 451</sup> Surface functionalized nanoparticles can readily dispersed in an appropriate liquid medium that can act as a delivery vehicle (via an aerosol or a spray) for transport towards heated substrates or some kind of flame or plasma torch that facilitates thermal and kinetic energy to the particles.<sup>37</sup>

**Thermal Spraying.** Thermal spraying is one of a number of techniques including chemical vapor deposition and physical vapor deposition, which have been used to produce NP coatings (Figure 50)<sup>37</sup>. These processes utilize high temperature heating / flame / plasmas to deposit particles on substrates. A reducing atmosphere in such processes is often caused by incomplete combustion of fuel gases (in flame processes<sup>42-43</sup>), or via the use of hydrogen (in plasmas). One of the drawbacks of plasma dry powder coating processes is that the feed of powders require careful size selection to only use large micro-sized particles otherwise, compaction can occur in the feed hopper and the feed becomes blocked. This means that pre-sieving of powders is needed that adds cost and makes powder particle size rather inflexible. This has led to interest in methods that allow any size of particles to be introduced from microns to NPs, such as suspension spray techniques.<sup>37, 636</sup> In such techniques, the powder has to be first dispersed into a liquid phase such as water for example. This suspension is then sprayed onto a surface via a flame or plasma to remove the liquid by evaporation and provide the energy to make particles agglomerate, neck, sinter or melt and then stick onto a substrate such as stainless steel.<sup>37</sup>

The continuous hydrothermal process, preferably with the presence of surface coated additives is an ideal feedstock for thermal suspension spraying, because as mentioned above, it is possible to manufacture coated particles which can be stable in dispersions.<sup>37</sup> The UCL authors recently reported the use of Suspension Plasma Spraying (SuPS) for the deposition of conformal titanium dioxide coatings. The process utilizes a CHFS-made NP slurry of TiO<sub>2</sub> (ca. 6 and 12 nm in size, respectively) in water, which was fed into a high temperature plasma jet (ca. 7000 to 20 000 K) in a spray system,<sup>37</sup> which allowed deposition of nanostructured titanium dioxide coatings. The coatings were produced by varying the feedstock crystallite size, spray distance and plasma conditions. The coatings produced exhibited anatase phase contents in the range ca. 90 to 100 %, with the remainder being rutile. The coatings had a granular surface consisting of densely packed agglomerates interspersed with some melted material. All of the coatings were shown to be photoactive by means of a sacrificial hydrogen evolution test under UV radiation and compared favorably with reported values for CVD coatings and compressed discs of commercial photocatalyst P25.<sup>37</sup>

Figure 50.

**NP Consolidation / Sintering Methods.** In the manufacture of nanograined ceramics, methods of sintering NPs without grain growth, are important. Perhaps the single most useful method here is spark plasma sintering that can be used to densify nanoparticles made via continuous hydrothermal methods.<sup>127, 128, 357, 426, 439, 451</sup> Importantly, the rapid heating rates and short heating times, allow for fast densification without significant or extensive grain growth to occur, which offers the promise of stronger or novel nanograined all-ceramic monoliths or nanocomposites. Alternative to conventional heat-treatments for sintering, include the use of microwave heat treatments in order to improve the inter-particle connections.<sup>382</sup> Such methods can afford high heating rates and often lower temperatures for sintering. This is still a relatively underdeveloped area, which holds much promise for the future, particularly for printing onto thermally sensitive substrates.

### 10.4. Hybrid Metal-Organic Frameworks (MOFs)

Much of this section will focus on nano-sized Metal–Organic Frameworks (MOFs) made via continuous hydrothermal processes, which have the capacity to store gases or indeed chemicals, some of which have energy, environmental or indeed healthcare applications. Key applications for MOFs are in CO<sub>2</sub> capture / storage, H<sub>2</sub> storage, catalysis and pharmaceutical drug delivery. MOFs consist of an inorganic unit and an organic linker, which are bonded to create a 3D lattice, which usually has very large pore volumes and low densities. Normally, MOFs are synthesized using batch methods (hydrothermal and solvothermal) and many of the reports in flow systems are not purely or wholly water based, however, they are mentioned here as they offer a new development in flow reactor solvothermal processes. Some notable reports for semi-continuous synthesis of MOFs have recently been reported by Schoenecker and co-workers *for the* synthesis of UiO-66 (using a batch process which allowed removal of products and introduction of reagents).<sup>516</sup> Subsequently, MOFs, of HKUST-1 and CPO-27(Ni) were reported using the Continuous hydrothermal synthesis method (using the counter-current mixer) of Lester and co-workers. <sup>516</sup>

Figure 51

The group of Lester, have made significant efforts in the synthesis of MOFs and found that under a wide range of conditions up to 400 °C, (from ambient pressure to 240 bar) the choice of reagents and the resulting pH levels are critical in obtaining the desired product to yield particles on the micro- and nanoscales. MOFs in many cases require long residence times for syntheses and temperatures do not always need to be so high, which is good for process intensification purposes. Notably, for the copper(II) carboxylate compound, HKUST-1 (commercial name Basolites C300), the material could be made highly crystalline with a residence time of only 1 s or so (see Figure 51c for the profile fit to a powder X-ray pattern of HKUST-1 sample prepared at 300 °C with 0.03 M Cu<sup>2+</sup>). The analogous batch process for HKUST-1 is typically performed at <150 °C. In the flow process, materials made at 300 °C, showed some variation in size and shape of crystals as the concentration and flow rate of the reagents was changed, with larger crystals seen at lower dilution. In the same report for the synthesis of CPO-27(Ni), nitrogen sorption analysis showed a type I isotherm with a BET surface area of ca. 1030 m<sup>2</sup>.g<sup>-3</sup>. Furthermore, the CPO-27(Ni) MOF was shown to uptake  $CO_2$  gas at 19.57 wt% in tests, which is similar to data for samples from conventional syntheses (despite the fact that the material was made in a fraction of the time). <sup>516</sup> Figure 51a shows a TEM image of CPO-27(Ni) prepared at 300 °C, prepared at 300 °C and high concentration, whilst Figure 51b shows a SEM image of the same material made at 200 °C, prepared at lower concentration)

Other notable reports for the continuous synthesis of MOFs has included the following; Rubio-Martinez and coworkers, who reported the continuous synthesis of HKUST-1, UiO-66 and NOTT-400 using a flow reactor with Tpiece mixing point (followed by a long residence time heating stage under 7 bar pressure).<sup>618</sup> For a typical reaction in the flow process, solutions of 0.1 M Cu(NO<sub>3</sub>)<sub>2</sub>·3H<sub>2</sub>O and 0.24 M benzene-1,3,5-tricarboxylic acid (BTC) in ethanol, were mixed under flow conditions and heated in a tubular reactor. The synthesis was conducted at 140 °C using a total flow rate of 90 mL·min<sup>-1</sup>, which gave a residence time of 1.2 min (yield 100 %). A similar tee-piece reactor with post-heating was used to continuously make a MOF based on cerium–terephthalate<sup>619</sup> (100 Bar pressure) and MIL-53(Al) made in the temperature range 250 – 300 °C and a pressure of 230 bar.<sup>617</sup> In the work on cerium– terephthalate ca. 500 nm highly crystalline mesoparticles based in  $Ce_5(OOC-C_6H_4-COO)_{7.5}(DMF)_4$  were made in 30 s rather than the 12 h required for the analogous batch solvothermal process at 150 °C (batch process gives large ~10  $\mu$ m particles. This is evidently an area, which is set to continue to develop, however, it will be important to conduct reactions, which use water rather than organic solvents if MOF type materials are to be commercialized and able to compete with batch processes.

### 10.5 In Situ Flow / Rapid Heating Studies

In the literature, in situ X-ray studies have been widely used in both solid-state chemistry and wet-chemistry to study a variety of reactions and processes, but until recently, studies under supercritical conditions were few and mainly limited to static experiments. A number of groups have now begun to develop special experiments or analytical techniques in which they can follow the nucleation, growth and evolution of NPs under flowing, rather than more conventional batch hydrothermal conditions. In some cases, rapid batch system heating is applied, which simulates what can occur in a flow situation, whilst in other situations, flow reactors are employed and the data can be collected at various locations to gain spatial and temporal information.

The use of in situ X-ray methods with customized reactors (batch injection type with rapid heating using hot air or a heated block design are typical) and in some cases flow processes) to follow nucleation and crystal development, has been particularly impressively developed by Iversen and Aymonier and co-workers. In 2014, Iversen and colleagues reviewed the use of powder diffraction and total scattering methods for in situ studies of solvothermal and hydro-thermal reactions in, which experimental setups used for in situ X-ray and neutron studies, were presented along with and methods of data analysis. In specific reports, Iversen et al. used specially built apparatus setups to carry out X-ray scattering (and related in situ) experiments to reveal information on process kinetics for batch and flow experiments.<sup>161</sup>, <sup>173</sup>, <sup>175</sup>, <sup>177-180</sup>, <sup>289</sup>, <sup>326</sup>, <sup>365</sup>, <sup>425</sup>, <sup>442</sup>, <sup>447</sup>, <sup>485</sup>, <sup>607</sup>, <sup>637-638</sup> In 2008, this team also reported a reactor design for in situ X-ray scattering studies of nanoparticle formation in supercritical water.<sup>447, 638</sup> of relevance to this review, in the report, synchrotron X-rays were used to investigate a reaction occurring inside either a sapphire or a stainless steel 316 tubing (partially surrounded by a heating block with access for allowing X-rays in and out). The apparatus used as well as typical data generated, is shown in figure 52

Figure 52

A number of different designs and requirements for in situ XRD have been proposed including designs in which the metal salt solution is not pre-heated, but instead is rapidly heated in a block in flow in seconds.<sup>447</sup> For example, the group demonstrated the growth of  $Fe_3O_4$  nanoparticles (synthesized using 0.50 M ammonium iron(III) citrate at 350 °C and 300 bar) that were monitored using a set up for both Small Angle X-ray Scattering (SAXS) and Wide Angle X-ray Scattering (WAXS) data on the same detector. By using peak broadening data, they were able to estimate crystallite size along the tube and effectively reveal that after ca. 10 s, a sudden doubling in particle size was observed. This apparatus clearly shows the benefit of being able to perform in situ analysis experiments in understanding nucleation and growth processes. The same authors have also suggested that other complimentary methods (to WAXS and SAXS) such as refined or modelled PDF (Pair Distribution Function) and XAS (X-ray Absorption Spectroscopy) may also be of use to provide information about the local structure interatomic distances of crystalline or indeed amorphous nanoparticles formed during nucleation/growth.<sup>447</sup>

Studies comparing both batch and flow in situ experiments have been reported by Aymonier and colleagues, including the production of  $BaTi_{1-y}Zr_yO_3$  ( $o \le y \le 1$ , BTZ)<sup>425</sup> nanocrystals that is a challenging system due the low reactivity of zirconium reagents. As previously, the team used in situ synchrotron wide angle X-ray scattering (WAXS) analyses in batch (at 150 °C) and flow (at 400 °C) to follow, the development of BTZ nanomaterials in real time; the work highlighted the complexity of the nucleation and growth mechanisms in this system)<sup>425</sup>

In other designs in which in situ X-ray diffraction was conducted, a flow reactor was employed in which preheated water feed was mixed with an ambient temperature metal salt feed in the conventional way.<sup>442</sup> The researchers found that coupling of in situ synchrotron PXRD with ex situ spectroscopy and other analyses , e.g. FTIR (Fourier Transform Infrared Spectroscopy), Raman Spectroscopy, XPS (X-ray photoelectron spectroscopy), ex-situ X-ray diffraction and high resolution transmission electron microscopy, led to a better understanding of particle growth behaviors, in particular, highlighting temperature and composition effects. <sup>442</sup> Other notable work involving in situ PXRD methods by Iversen and colleagues include the (rapidly heated in a batch sapphire tube) hydrothermal synthesis of CoSb<sub>2</sub>O<sub>4</sub>, which is of interest as a conversion Li-ion anode material.<sup>607</sup>

Other materials that have been investigated for rapid heating (to simulate conditions similar to what may be seen in flow); these include an in situ total X-ray scattering study of the formation of WO<sub>3</sub> nanoparticles,<sup>177</sup> the syn-

thesis of Pt and Pt<sub>3</sub>Gd nanoparticles under solvothermal conditions, <sup>180</sup> and the evolution of TiO<sub>2</sub> nanoparticles (from an alkoxide precursor) under hydrothermal conditions. Prior to these reports, in 2014, the same team of researchers also investigated in situ total scattering studies of zinc oxide<sup>176</sup> and iron oxide (maghemite,  $\gamma$ -Fe<sub>2</sub>O<sub>3</sub> from ammonium iron(III) citrate) also.<sup>175</sup> Other notable reports by the same team include the first comprehensive structural description of the solvothermal formation of yttria-stabilized zirconia (YSZ), from its precursor in solution to the final crystal (using an array of in situ methods).<sup>174</sup>

Yoko et al. investigated the use of situ high-energy X-ray diffraction measurements to look at reactions occurring in millisecond timescales for barium zirconate (BaZrO<sub>3</sub>) at 400 °C, 30 MPa. First-principles calculations were used to support the proposed formation mechanism for BaZrO<sub>3</sub>, which involved nuclei consisting of mainly zirconium oxide to absorb the barium ions during crystallization.<sup>639</sup>

Tomographic and imaging methods under extreme flow reactor conditions are also of interest to better understand flows and how they mix. Some of the UCL authors in collaboration with the UCL Industrial Materials group at UCL, undertook a collaboration to try and take a closer look inside a counter-current reactor mixing zone (where supercritical water and the metal salts meet).<sup>640-641</sup> The authors built a specially commissioned portable continuous hydrothermal reactor system that was small enough to be housed on a large X-Y stage at the high-energy beamline ID15A of the European Synchrotron Radiation Facility in Grenoble, France.<sup>641</sup> Tomographic Energy Dispersive Diffraction Imaging (*TEDDI*) was applied through the ¼ inch stainless steel counter current mixing zone in which tomographic images were obtained while the material was actually nucleating in flow over a 12 h period and images were recorded (assuming steady state) for ceria NPs made from cerium ammonium nitrate, CAN, solution. In effect, each data point was like a unique XRD pattern; by application of the Scherer equation, a crystallite size map was built-up.

An imaging capability: "TÆDDI" was also used in which a combination of tomographic angle and energy dispersive diffraction imaging, was realized and used to uncover the nature of crystallization growth processes occurring within the counter-current mixing zone. The technique revealed the buildup of CeO<sub>2</sub> during synthesis and, importantly, it was able to precisely locate the main crystallization zone for a counter-current mixer. In contrast to these "point by point" methods, which create a time averaged "image" of what is going on, researchers have used neutron imaging (of a Tee piece mixer) to gain insights into the behavior of mixing under supercritical conditions due to the different densities of the hot and ambient temperature feeds<sup>161-162</sup> (see Figure 5a). Such methods can clearly show any effects of buoyancy or other forces and also be used as a good tool for finding ideal conditions. 10.6 High Throughput Flow Syntheses for Materials Discovery

The use of high throughput (HT) synthesis and screening techniques to speed up the discovery process is well established, with pharmaceutical companies being the first to invest heavily into the concept.<sup>642</sup> The key is to test as many samples as possible for indicative properties rather than conduct comprehensive characterisation on each sample. This type of approach can be either driven by or explained by use of appropriate computational methods to develop structure-property-compositional relationships.<sup>643-646</sup>

The authors were inspired to develop High Throughput Continuous Hydrothermal (HiTCH) flow reactors to be able to meet the need to rapidly make libraries of nanoceramic samples. Much of the inspiration to develop HiTCH flow reactors came from a research project led By Professor Julian Evans (then at Queen Mary University London) developed an automated discovery robot called LUSI (London University Search instrument) in which ceramic inks were mixed and homogenized prior to printing and firing (to bring about a solid state reaction) to make combinatorial libraries.<sup>647</sup>

The LUSI concept which worked well for some ceramic materials such as mixed titanates, however, in selected cases, incomplete mass transfer occurred in mixtures upon firing so that certain phase pure heterometallic materials or solid solutions were not accessible (as further heat and grind steps were needed to homogenize the compositions). This can in fact be a perennial problem in solid-state materials science where two precursor oxides are mixed and fired to obtain a product. Several other groups have also tried to conduct combinatorial ceramic materials research using liquid or homogenous sources of metal ions in order to make compositional grids.<sup>643-646</sup> However, such processes are invariably limited due to lack of homogenous metal distribution unless very careful crosslinking or gelation approaches are used in the pre-firing stage.

Thus, the UCL lead author sought to exploit the speed and homogeneity of continuous hydrothermal processes for devising modified flow reactors in which combinatorial ceramic libraries could be created in series. There were several important criteria for the design of any such flow reactor systems, which can generate libraries in series in this way.

(i) A continuous hydrothermal reactor needs to be modified to allow multiple compositions to be introduced,

(ii) Strategies for minimizing cross-contamination are required,

(iii)Metal salt mixtures need to be accurately mixed manually or via an automated system

- (iv)metal ion distribution in precursors needs to be homogenous (i.e. ideally from solution not a heterogeneous suspension), and
- (v) ideally the materials could either be made directly from the process or via a single heat-treatment step post drying (akin to a solid state reaction from nanoparticles<sup>91</sup>).

To date, a number of HiTCH flow synthesis (materials discovery) processes have been developed by the UCL authors in the past and are described in numerous publications.<sup>33-34, 93, 218, 267, 471, 559, 648</sup> The first incarnation of the HiTCH flow synthesis type reactor used a manual injection loop in which metal salts solution of 2 mL in volume could be sequentially introduced into the flow reactor. In the first report using a HiTCH flow reactor, over 66 samples were made in a short time (a complete phase diagram of a three-component system) with each sample being separated in steps where samples differed in composition by ca. 10 at%.

The samples were made in quantities of < 1 g per sample within a day or so, which would normally take many weeks of synthesis in batch or similar processes. Such small amounts of material adequate as long as the nanomaterials can then be tested for pertinent properties. The same approach can of course be used to generate fewer samples, with each sample being made in larger amounts (if so needed by the testing process). Thus, much of the HiTCH flow synthesis productivity depends on the reactors design, and the time needed to clean out the reactor between serial samples.

The later designs of the HiTCH flow reactors, the authors added an isco pump to the inlet of the injector (as well as a few minor changes) to allow larger volumes of metal salt precursors to be added, and the third design was a fully automated robot called RAMSI (Rapid Automated Materials Synthesis Instrument).<sup>218, 471, 542</sup> The main aspects of the RAMSI system were automated metal mixing, pumping of the mixtures into the reactor and collection and automated clean-up and printing of the ceramic slurries. The process of building automation led to several valuable learning outcomes and approaches, which the authors feel are important for the future. These include the outcome that some parts of high throughput research such as metal salt mixing should be automated, whilst other parts are best done manually!

In 2011 the RAMSI process was decommissioned to make way for a higher throughput manual HiTCH processes that allows more flexible sample sizes.<sup>93, 121, 559</sup> Metal salt mixing in the UCL authors laboratory is now conducted by a liquid handler which offers significant time savings and better accuracy than a person doing such tasks. For example, a Hitch type approach was used to make libraries of Li ion cathode materials based on LiMn<sub>1-x-y</sub>Fe<sub>x</sub>V<sub>y</sub>PO<sub>4</sub>.<sup>559</sup> Figure 43a shows the cycling performance of the Fe-doped LMP family of cathode materials at different C rates. Figure 43b shows the overall phase diagram of LiMn<sub>1-x-y</sub>Fe<sub>x</sub>V<sub>y</sub>PO<sub>4</sub>, with the area for investigation highlighted with a green triangle, which apply to figure 43c and 43d heat-maps. Heat-maps in the Mn-rich part of the phase diagram show low specific capacity and as expected, the material with the most Fe was amongst the best performing (due to improved electronic conductivity).<sup>559</sup> A gradual change in properties was observed that suggests that the flow synthesis process allowed access to consistent nanomaterials that were subtly different.

In the current incarnation of HiTCH flow reactors in the laboratory of the UCL authors, typically it is possible to make 66 samples (of ca. 1 g each) per day, or less samples in larger amounts (e.g. 25 samples in the 10 g scale per sample in a few hours). High throughput continuous hydrothermal mode has also been carried out using a pilot scale reactor the authors in a similar way, albeit at much higher flow rates (this can give 20 samples at ca. 50 g a sample in a few hours typically).

When samples are made using high throughput methods, invariably more rapid methods of gathering useful analytical data required such as automated XRD auto samplers to save time in changing samples. Furthermore, the authors learned that affixing samples into well-plates for automated analysis, was a faster approach to materials screening for nanoceramics, which can be useful to get a basic idea of phase behaviors without having to carry out one at a time slow individual XRD, Raman, UV-Vis etc. or other measurements.

In summary, the nanoparticle discovery approach using continuous hydrothermal flow reactors followed by rapid data collection and analysis, has enabled materials with useful properties to be more quickly identified so that they can then be scaled up using a pilot plant (or larger synthesis process), which will ultimately aid translation up the technology readiness levels,<sup>649</sup> towards commercial development.

## 10.7 Scale-up of Hydrothermal Flow

Scaling up hydrothermal reactions can pose several new problems and has to be compared to product quality and cost versus other existing technologies which have been commercialized or are based in chemical companies.<sup>650</sup> Any scaled up hydrothermal process would have to compete with other NP processes such as flame synthesis and plasma synthesis methods.<sup>41-43</sup> Hydrothermal reactors have been long since scaled up for batch processes and to some extend this is an area where flow reactors can have a further advantage as they can go to high pressures without compromising safety. In contrast, as batch reactors are scaled up, the require ever thicker walls to achieve high pressures. Hence, scaled up batch reactors might be expected to operate at lower temperatures and pressures compared to an analogous flow system. Having very large batch volumes of superheated fluids also causes a significant hazard to operator health in the case of catastrophic failure due to the large volumes of fluids, which will exist at any one time. Furthermore, getting a homogenous reactor environment inside a scale-up batch reactor becomes very difficult. In contrast, for a scaled up flow process (e.g. x20 from a lab-scale), the actual in pipe mixing "reactors" for hot and cold feeds will only be expected to be slightly larger than the laboratory size.<sup>170</sup> This is because scaled up continuous hydrothermal reactors can run at a very high flow rates and concentrations as long as the resulting slurry properties are adequate for the process.

Larger scale supercritical water flow systems have been known for some years, particularly for oxidation of chemicals or undesirable wastes.<sup>651-652</sup> These include oxidation of pharmaceutical wastes, pulp, and paper mill wastes, bulk VX nerve agent hydrolysates, chemical agent, explosives, shipboard wastes (U.S. Navy), rocket, propellant (U.S. Army Force), dyes; chemical agent and explosives (U.S. Army), oxygenated and nitrogen-containing hydrocarbon (e.g., alcohols, glycols, amines). Other oxidation reactions include recovery of spent catalyst (recover platinum group metals<sup>653</sup>), oxidation of municipal sludges, PCBs, chlorinated wastes and industrial wastes (e.g. wastewater from a DNT/MNT plant and melamine plants.<sup>651</sup> The authors are aware that corrosion is certainly a major issue in some cases. For example, in the recovery of precious metals<sup>650</sup> where halide may be present in the feeds, that might give significant corrosion problems at certain temperatures / conditions.

A number of other processes in under high-pressure conditions may also have potential for future scale up in flow if they can me made viable. These include the conversion, treatment or breakdown of "biomass" or natural materials to high value chemicals, e.g. direct liquefaction of biomass (biopolymers cellulose, hemicellulose and lignin)<sup>654</sup> An excellent review by Loppinet-Serani and colleagues was also published in 2009,<sup>655</sup> which covers state-ofthe-art processes using sc-H<sub>2</sub>O (and in some cases alcohols) in the field of environment remediation.

The same authors highlighted the properties of sc-water in sc-Water Oxidation (SCWO), Supercritical water Biomass Gasification (SCBG); and Hydrolysis of Polymers in Supercritical Water (HPSCW) for composites/plastics recycling.<sup>655</sup> In these applications, sc-water can play the role of a solvent or reagent for organics, polymers, and plastics to give products including gases, high-value monomers, aqueous and/or organic liquids and energy. For further reading on conversion of biomass in sc-water/subcritical fluids, the author's recommend a review by Toor et al. on "hydrothermal liquefaction of biomass (sub-critical water)",<sup>656</sup> and a book chapter review by Aymonier et al. on "hydrothermal liquefaction of biomass (sub-critical water)",<sup>656</sup> and a book chapter review by Aymonier et al. on "hydrothermal liquefaction of biomass (sub-critical water)",<sup>656</sup> and a book chapter review by Aymonier et al. on "hydrothermal liquefaction of biomass (sub-critical water)",<sup>656</sup> and a book chapter review by Aymonier et al. on "hydrothermal liquefaction of biomass (sub-critical water)",<sup>656</sup> and a book chapter review by Aymonier et al. on "hydrothermal liquefaction of biomass (sub-critical water)",<sup>656</sup> and a book chapter review by Aymonier et al. on "hydrothermal liquefaction of biomass (sub-critical water)",<sup>656</sup> and a book chapter review by Aymonier et al. on "hydrothermal liquefaction of biomass (sub-critical water)",<sup>657</sup> Knez et al. have also reviewed a number of high pressure technologies involving sub and Supercritical Fluids that offer the possibility to obtain new sustainable products.<sup>658</sup> Thus, the role of Supercritical Fluids in forming high value carbon based chemiccals is being realized, for example, see a review of the prospects of Supercritical Fluids in realizing graphene-based functional materials<sup>621</sup>) as well as the first batch supercritical fluid synthesis of aspect ratio controlled graphenic materials from coal.<sup>621</sup>

To the best of the author's knowledge, other than the Hanwha Corporation (Figure 53), there are relatively few proven large-scale industrial continuous hydrothermal processes for controlled inorganic NP production. The process was first developed to pilot scale before the industrial process was built at an initial capacity of 300 tpa capacity and more recently now this process has been quoted to have the potential to make 1000 tpa).<sup>77</sup> The existence of lab and pilot plants are known and the UK has two groups, namely at Nottingham and UCL (the authors) London. The Nottingham group has built a prototype pilot plant (using their patented counter-current mixer) which is estimated to have a capacity of less than 10 kg per day typically, with a larger facility now completed in 2016.<sup>372</sup> The authors have also mentioned commercial scale reactors in ITEC Itd Japan.<sup>629</sup> It is likely that other large scale processes >2kg.h<sup>-1</sup>, will be developed in the future. Now that various groups around the world have delivered scale-up facilities, results from such processes are beginning to emerge.<sup>32, 36, 93, 120, 326, 384, 430</sup>

## Figure 53

A major issue with using any such reactors for many different potential products on any one week is the problem of maintenance of the reactor and systems and also ensuring there is no contamination or corrosion between the productions of samples when the chemistries and pH regimes can vary considerably. This is important for any high value nanomaterials products where sometimes, minute contamination levels can be crucial. The authors developed a large pilot plant (designed for >3 tpa) as part of an initial UK Engineering and Physical Sciences Research Council (EPSRC) funded academic research project.<sup>271</sup> This project was designed with the aim of scaling up existing laboratory scale continuous hydrothermal reactors with a significant increase in capacity<sup>32, 120, 170, 261, 586, 659</sup> and to address industry need for the commercial production of nanoparticulate products, i.e. consistency, repeatability, predictability and quality assurance. The UCL authors were particularly keen to gather useful knowledge about how mixing occurs on new and existing laboratory and mini-pilot plant scale mixers. It was our belief that by understanding how well mixing occurs between the hot water and a second cold feed (aqueous metal salt solution), it would be possible to guarantee high rates of nucleation and smaller particles. Questions in this work included the following;

- (i) What is the quality of products on the lab and pilot plant scales?
- (ii) What mixing designs will achieve good and consistent product quality on a large scale?
- (iii) What are the environmental concerns with this technology and how can they be solved on the industrial scale?
- (iv) How can large volumes of slurries be processed in an acceptable manner via knowledge of colloids science?
- (v) What are the maintenance issues for a mini-pilot plant? and
- (vi) How can mixing in situ be used to develop a new, improved and patentable mixer which does not infringe on existing IP?

In the latter question, ideally such a mixer should achieve the theoretical mixing of the superheated water and col feed instantaneously or very close to the point of mixing and the products should be carried away from the reaction zone as quickly as possible to avoid buildup of materials.

For the pilot scale CHFS developed by the authors, a confined jet (co-current) mixer was developed that shows excellent scalability. The pilot plant process has now been demonstrated in a number of applications, showing properties or high-performance that is on a par with the lab scale analogous process.<sup>32, 120, 261, 558, 586, 659</sup> This suggests that for a range of nanomaterials syntheses, the differing scales of mixers can still retain high Reynolds numbers and therefore a nucleation dominated reaction environment inside the mixer.

The UCL pilot plant was commissioned using a model system of ZnO NPs. ZnO is ideal for evaluating scale-up processes because the chemistry is very sensitive to changes in nucleation and growth conditions. In addition, due to the relatively high process flow rates used (unlike the comparable laboratory scale processes), the authors were able to study the effects of increasing Zn precursor concentration (and using a single high H<sub>2</sub>O<sub>2</sub> concentration) on the growth of the as-prepared ZnO nanomaterials. The results, showed unexpected and large variation in shape

and size, could be achieved.<sup>120</sup> A simplified schematic representation of the continuous hcontinuous hydrothermal synthesisydrothermal synthesis pilot plant is shown in figure 54 and essentially is a 5 times scale up (min) of the current analogous lab CHFS process.

#### Figure 54

## 10.8 Environmental Considerations

As environmental and related matters receive ever increasing importance, the authours feel that it is only a matter of time before continuous hydrothermal methods will become feasible for nanomaterials manufacture (subject to full Life Cycle Assessments, LCAs to consider energy, consumables and waste usage). For many years, researchers have suggested that continuous hydrothermal flow reactors may offer some benefits in terms of sustainability (as well as the benefits of being able to make high quality nanomaterials). In the first reported study of its kind, Sonnemann et al. reported the potential environmental impacts leading from the lab-scale supercritical synthesis of 1 kg of Ba<sub>0.6</sub>Sr<sub>0.4</sub>TiO<sub>3</sub> nanoparticles (in alcohol-water mixtures) by reporting an anticipatory life-cycle assessment.<sup>660</sup> The researchers, conducted a "cradle-to-gate" assessment, estimating the impacts across the various steps involved, from resource extraction, material processing, and finally the continuous synthesis of the nanoparticles (end-of-life considerations were not investigated in this case). The study was useful because it suggest a number of ways by which the environmental profile/footprint of the supercritical synthesis process could be improved.<sup>660</sup> Importantly, being able to synthesize nanoparticles with precursor concentrations of 0.1 and 1.0 molar was shown to reduce average life-cycle impacts by ca. 81 and 95%, respectively. Furthermore, the high rates of recovery / reuse of solvents, could further reduce average life-cycle impacts (by 56 %). Further savings were possible by replacing the alkoxides for acetates or hydroxides (latter for barium and strontium).<sup>660</sup> Further research in the future should be extended to cover the full cradle-to-grave LCA, including the use and end-of-life stages in order to have an even more accurate holistic view of the process benefits.

#### 11 FUTURE DIRECTIONS AND CAPABILITIES

Summary: The design of continuous hydrothermal reactor systems and types of experiments that can be carried out, are evolving year upon year and it appears that several themes are emerging area that are hopefully covered in this review. The authors note that this review has been broken down by applications of materials, but actual evaluation in that specific application was not always demonstrated. For example in subjects such as optical applications for UV attenuating nanoparticles (made via CHFS), the properties have been well explored and whilst there are still regulations for such materials such as in suncreams, such materials could be readily commercialized if the business case and performance metrics can be met. Such materials would be at the higher cost end of the market. In optical applications such as refractive index modifiers made via CHFS methods, there is still much work to be done in order to make these materials useful in the market; first they need to be well dispersed in solvents and secondly, they need to be fully evaluated. If this can be done in the future at a reasonable cost, then there are a number of markets, e.g. automotive or protective coatings / paints markets, where such materials can add value. Nano-pigments made via CHFS will most likely have use in the future in formulations where they can be printed such as inkjet or when added to polymers to afford colour and rigidity. Thermochromics possess switching properties at various temperatures so if they are to find applications, it may be that their deposition or incorporation into glass coatings or devices for solar heat control will need further development, e.g. it may be possible to coat NPs via aerosol methods onto glass during glass manufacture. In nanoparticles made via CHFS for healthcare (nanophosphors, bioceramics, antimicrobials magnetic), the authors suggest these materials will not rapidly reach the market due to the regulatory barriers (often requiring ppm level control of impurities), established products and general high costs to developing therapeutic nanoparticles in general.

Amongst the areas where much research and testing has been carried out, is in the area of nanoparticles for electronics, sensors, Information and communication technologies (metals & transparent conducting oxides, semiconductors for electronics or gas sensors, dielectrics, piezoelectrics, ferroelectrics, multiferroics, magnetic particles). In these areas, whilst the number of nanoparticles have been made, testing and evaluation of such materials is usually carried out for one particular application, however it is known that some of these materials have multiple applications. Therefore, in the future, it may be vital that nanomaterials are screened for multiple uses as they may not be optimum for one application and much better for another. In particular, the development of printable methods (e.g. screen print or inkjet, 3D printing followed by suitable post deposition treatments, which can exploit lower sintering temperatures) to allow the assembly of complex patterns for devices, may hold the key to implementation of nanomaterials for electronic device applications. To date a number of nanomaterials made via CHFS methods, have been evaluated in the areas of photocatalysis as well as gas phase heterogeneous catalysis. In the area of photocatalysis; whilst nanomaterials offer significant higher BET surface areas, they are often so small that electron hole recombination may dominate and lead to poor photocatalytic activity. Therefore, in this case it may be often preferable to sacrifice some surface area and grow particles slightly larger in order to reduce recombination and enhance overall photoactivity. In heterogeneous catalysis a significant number of catalytic test studies have been carried out on particles made in continuous hydrothermal reactors). The authors suggest that the development of new heterogeneous catalysts for cleaning emissions from automotive vehicles or polluting industries is still underdeveloped area with tremendous benefit to mankind. Furthermore, there is a need to develop more complex heterogeneous catalysts mixtures or agglomerates that display reduced sintering upon ageing. Another area where nanomaterials made in flow reactors have not really been tested are in very important processes such as Fischer-Tropsch reactions of forming hydrocarbons or highly energy intensive processes such as the formation of ammonia, where energy savings might be possible as a result of reduc-tions in energy. In Fischer–Tropsch catalysts, the smaller size can offer greater stability to oxidative cycling to regenerate catalysts, however to date, there has still not been a single report of a CHFS made nanomaterial being tested for such applications yet.

The area of energy harvesting and energy conversion or oxygen/fuel generation devices, from nanomaterials made in flow reactors, is now developing apace. Prototype devices and membranes in the fields of solid oxide fuel cells and oxygen separation membranes and now being tested, and in the latter case is being published.<sup>544</sup> At the time of writing this review, there has only been one report on testing of solid oxide fuel cells that incorporated CHFS-made nanoparticles (symmetrical cells).<sup>537</sup> In oxygen separation membranes whilst nanocomposite materials incorporating CHFS made nanoparticles with low precious metal contents, some work is still required to make the membranes thinner and more robust, before they can reach commercial applications

In the field of thermoelectrics and energy scavenging devices (such as from piezoelectric's) nanoparticles made in CHFS reactors have never been evaluated to the authors knowledge, so in device testing and evaluation is still required; in the case of thermoelectrics, use of rapid sintering techniques to give nanograined composites, may also be beneficial to made devices. Furthermore, it is known that many of the materials that perform poorly as transparent conducting oxides, often display interesting thermoelectric properties. Therefore in the future the authors suggest that the features of be tested various applications where possible. Perhaps one of the most exciting areas for nanomaterials made via CHFS type processes has been in the field of energy storage, specifically lithium ion rechargeable batteries. To date, a number of papers have been published in witch half-cells of anode and cathode materials have been evaluated and shown from excellent to performance, particularly at high power. Only recently has the first full Li-ion cell been reported for the case where at least one of the materials was made via CHFS. In contrast with Li-ion batteries, there are still no reports to the author's knowledge on CHFS materials being used to make supercapacitors, lithium air or zinc air, or sodium ion batteries or indeed multivalent batteries. Given the importance of such devices in energy storage applications, it is inevitable that before too long researchers in CHFS will explore these key application areas for energy storage.

The authors feel that there are a number of opportunities for continuous hydrothermal processes and nanomaterials made from continuous hydrothermal which will be developed in the future, which include the following;

- Exploring materials synthesis at lower temperature and for longer times (i.e. operating at lower temperatures, e.g. in the range 200 to 250 °C for longer, i.e. multi-minutes not a few seconds residence times)
- 2. Use of more atom efficient processes and heat recovery methods for more sustainable nano-manufacturing (particularly for scale-up)
- 3. The development of NPs with improved catalytic performance / lifetime of catalysts after accelerated ageing.
- 4. Use of novel engineering solutions to complex multilayered (e.g. core-shell) or other particles, particularly in the area of organic coatings.<sup>158</sup>
- 5. "Higher throughput" continuous hydrothermal synthesis (i.e. number of samples) to exploit the speed of the method and develop new materials which are more sustainable and rely less on rare and expensive metals (for example via a materials discovery approach).
- 6. Design of novel continuous hydrothermal apparatus, which can allow in situ investigations into nucleation and growth processes.
- 7. Development of microreactors,<sup>172, 661</sup> which allow parallel synthesis or extreme conditions or reagents, which would not be safer at higher scales.
- 8. In situ measurements (X-ray based such as methods developed by Iversen and coworkers) and imaging (e.g. neutron imaging<sup>161-162, 662</sup>) to better understand mixing dynamics in different mixers.

- 9. Better use of lifecycle analyses<sup>660</sup> in assessing routes to commercial development; includes directly formulating the products from the reactor with minimal downstream processing. Ideally such studies would include the full cradle-to-grave LCA to have a more accurate holistic view (i.e. include the stages in use and for end of life)
- 10. Rapid test methods or automated probes for testing nanoceramics in order to build structure, propertycomposition relationships.
- 11. Safe methods that allow NPs to be coated onto substrates with good adhesion whilst maintaining small particle size.
- 12. Develop methods to consolidate nanoceramics for the manufacture of dense disks or devices or nanostructured (or hybrid) composites.
- 13. A greater role for continuous hydrothermal syntheses in the development of nanoceramics incorporating carbons such as graphenes for a range of applications such as energy storage or catalysis (note: the role of SCFs in processing of graphene-based materials has been reviewed by Aymonier et al.<sup>621</sup>).
- 14. Develop better and scalable NP recovery strategies especially at large scale (in flow membrane technologies).
- 15. Develop cleaner particles for biomedical use that can meet regulatory hurdles. 522
- 16. Developments in catalysis to explore the effects of NP size (e.g. rounded particles rather than faceted<sup>663</sup>), or shape (such as faceted Cr-doped ceria)<sup>664</sup> or doped activating metals<sup>665</sup> can improve stability and performance of catalysts.
- 17. Gaining a better understanding of how temperature and other process conditions are linked<sup>666</sup> and affect the products obtained.
- 18. New precursors or additives, for access to novel materials or precise control of particle properties such as change redox states (e.g. making hematite rather than magnetite<sup>667</sup>) or size / shape control.
- 19. The extension of modeling (e.g. CFD) and design of mixing arrangements (e.g. confined jet mixer followed by a counter-flow mixer in the second stage<sup>36,668</sup>) to improve product outcomes, get more consistent product quality, higher temperatures, longer residence times (e.g. > 10 minutes as for the synthesis of tetragonal SnO<sub>2</sub><sup>669</sup>) and reduce blockages.
- 20. Develop functionalized NPs (so NP can be dispersed into hydrophobic or hydrophilic solvents<sup>670</sup>) for inks to allow large-scale devices to be printed at lower costs.

- 21. Investigation of the benefits of post-synthesis annealing or flash heating to preserve particle attributes whilst improving crystal quality.
- 22. looking at the opportunities for low temperature metastable phases
- 23. Develop materials with structural hierarchy or biomimetics. 625, 523
- 24. Use flow reactors for removing toxic or radioactive metals from liquids<sup>74</sup> or for reclaiming valuable inorganic elements from waste streams or creating value from waste (including synergistic effects of organics in inorganic waste for optimized effect, e.g. decomposing metal complexes from electroplating waste).<sup>77,521, 667</sup>
- 25. Developing reactions that can give direct access to complex phases (e.g. heterometallic oxides<sup>671</sup>), preferably with high surface areas that are unobtainable by low temperature or batch processes.
- 26.Scale-up of laboratory processes to get consistent product quality as that achieved on a laboratory scale (see publication from the authors on Ce-Zn oxides<sup>524</sup> for which pilot plant was run at but 17.5 times total flow rate of lab scale process with indiscernible particle properties) or at least good enough to be able to exploit in a commercial application.

Clearly, some of the above emerging themes will be important in the continued development of continuous hydrothermal processes as they reach more widespread adoption amongst not only the materials science community, but also industry.

## 12 CONCLUSIONS AND OUTLOOK

The use of continuous hydrothermal flow synthesis systems as first pioneered by Japanese and USA researchers, has come a long way from the initial studies of particle crystallization with changing reactor parameters). The many advantages of making NPs via continuous hydrothermal technology are apparent. Materials can be made with extremely high surface areas, high sinterabilities and crystallinities, which will drive a future revolution in low temperature sintering for new devices and nanograined materials / coatings,<sup>672</sup> particularly in the energy sector (energy storage, transmission and absorption). The number of applications for such nanoceramics is unlimited and through materials discovery approaches and rapid screening, we will be able to develop materials faster for scale up and market readiness. Indeed, the fact that NPs are made in an aqueous environment is definitely very favorable for both indus-

try (e.g. limiting worker exposure to potentially harmful particles) and for potential applications were particle agglomeration is to be avoided (e.g. such as formulation of printable ceramic inks).

In recent years, researchers have begun to look at not only a variety of different mixing and reactive approaches to control particle properties, but also into the role of additive and surfactants in order to control the redox chemistry and particle growth and agglomeration including in hierarchical nanomaterials. New additives are still in need to be evaluated for NPs in order to allow them to be recovered more readily for example from the water or to be eventually dispersed in a polymer or organic solvent base. The surfactants must be robust enough to survive the CHFS process and also possess suitable properties, e.g. to not bridge particles together (otherwise gelation will result); such surfactants will be developed by a process of trial and error initially as many of the known properties towards larger colloids will no longer apply, and therefore, contributions to this field are required.

The next decade or so will see the exploitation by researchers of the favorable properties of nanomaterials made using continuous methods such as the continuous hydrothermal technique. In the development of more elaborate reactor designs as possible to build up multifunctional (or smart) particles in a single system or via particle modification. For example at the writing of this review, new dual stage reactors reports were published to develop "coreshell" or coated NPs of SnO<sub>2</sub> on titania.<sup>158</sup> Such is the flexibility of continuous hydrothermal systems will increase reproducibility despite the added complexity of the NPs.

Scale-up (or scale out) of the continuous hydrothermal flow reactors is being undertaken in order to produce meaningful quantities of NPs that will allow industrial and academic end users to evaluate the advantages of the technology. The important challenges in scale-up will be to ensure that the quality of the products produced on the larger scales, will be comparable or better to those produced on the laboratory scale and that the system can be safely operated. This route not only affords huge reductions of energy costs and number of steps, which is becoming ever more attractive due to the escalating costs of energy for industry. Researchers will also need more ingenious tools with which to be able to look inside mixers to better understand how to improve mixing. Synchrotron techniques such as neutron imaging.<sup>162, 662</sup> are one example of how such insights could be gained as well as tomographic methods.

The continuous hydrothermal method is ideally of use for high throughput nanomaterials synthesis and discovery. Advantageously, this not only exploits the speed of syntheses but also uses the fact that the nano-sized materials from continuous hydrothermal processes, can be highly reactive and in some cases have unprecedented high intimacy of mixing between two phases, enabling mass transfer problems to be overcome in "difficult to make" solid-state syntheses.<sup>38, 91</sup> In this way, complex functional ceramics can be generated with less waste and energy input, in a fraction of the time, which will be of interest to both industry and academia.

One cannot simply assume that the current phase diagrams as we know them should be applied to results obtained from continuous hydrothermal routes. There are examples where heterometallic materials are obtained via CHFS with different characteristics to their conventionally made counterparts. This is due to a combination of fast conversion from reagent to product and relatively low temperature of synthesis, that creates an unusual environment, leading to kinetic products. The authors have also observed that as well as kinetic phases, it's also possible to sometimes obtain slightly differing thermodynamic products (e.g. products formed after heat-treating the kinetic products)<sup>33-34</sup> The observation of the latter appears to be linked to the more homogenous distribution of elements of the initial kinetic phases made in flow, which when heat-treated, can have stability or phase purity that is unlike materials made via conventional solid-state methods for example)<sup>33-34</sup>

The use of computational research and methods will also be key in the development of continuous hydrothermal syntheses. For example, the integration of data mining with synthesis, characterization and testing data, may have a role to play in understanding structure-property-compositional relationships in the future. Through computational fluid dynamics, researchers will be able to develop better mixing approaches on the laboratory and larger scales. Such work is already underway and will be set to expand as the requirements for particle size control become ever more demanding. In line process monitoring will be able useful for quality assurance purposes. Again, there are many studies underway at the moment that will hopefully lead to novel capabilities in the forthcoming years. The application of continuous hydrothermal synthesis technology for producing tailored nanomaterials, composites and bulk or consolidated nanograined ceramics, has a bright future.

In closing, the challenge is for researchers to work in multidisciplinary teams with chemists, materials scientists, electrochemists, device engineers, biologists or medical engineers, coatings specialists, crystallographers, beamline scientists, applications specialists, modelers, physicists and chemical engineers, in order to exploit the useful properties of hydrothermal flow reactors towards real applications and needs of industry. By doing so, there is the opportunity to produce ever more efficient nanomaterials and devices for the benefit of mankind on a scale that has not yet been seen but has long been anticipated. The authors hope to be at the vanguard of that nano-

technological revolution and we hope that this review will inform those in industry and academia who yet to appreciate the potential that nanomaterials by design can me made via continuous hydrothermal flow processes.

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# ABBREVIATIONS

- AC = alternating current
- AGZO = aluminium and gallium doped zinc oxide
- AZO = aluminium doped zinc oxide
- BET = Brunauer-Emmett-Teller
- BPR = back pressure regulator
- BTC = benzene-1,3,5-tricarboxylic acid
- β-TCP = beta tri-calcium phosphate
- C = cooler
- CAN = cerium ammonium nitrate

CCR = co-current reactor

- CDHA = calcium deficient hydroxyapatite
- CGO = ceria-gadolinia
- CHA = carbonated hydroxyapatite
- CHFS = continuous hydrothermal flow synthesis
- CHO = Chinese hamster ovary
- COS7 = Fibrobrast-like cell line of CV-1 (simian) origin, carrying the SV40 genetic material
- CRT = cathode ray tube
- CSO = ceria-samaria
- CTO = calcia-titania
- CVD = chemical vapour deposition
- CWO = catalytic wet oxidation
- CZ = ceria-zirconia
- CZA = alumina-supported ceria-zirconia
- D50 = cumulative 50% point of diameter
- DC = direct current
- DHCA = 3,4-dihydroxyhydrocinnamic acid
- DLS = dynamic light scattering
- DNA = deoxyribonucleic acid
- DSSC = dye sensitized solar cell
- E = potential
- E. coli = escherichia coli
- $\epsilon'$  = dielectric constant
- $\epsilon_r$  = relative permittivity
- E<sub>a</sub> = activation energy
- EC = electrochemical capacitors
- EDC = 1-ethyl-3-(dimethylaminopropyl) carbodiimide hydrochloride
- EDLC = electrochemical double-layer capacitor

- EDS = energy dispersive X-ray spectroscopy
- EDTA = Ethylene diamine tetra-acetic acid
- EXAFS = extended X-ray absorption fine structure
- FDA = food and drug administration
- FED = field emission displays
- FFT = fast Fourier transform
- FT = Fischer–Tropsch
- FTIR = Fourier transform infrared
- FTO = fluorine-doped tin oxide
- GC = gas chromatography
- GZO = gallium doped zinc oxide
- $h^+ = hole$
- HA = hydroxyapatite
- HAADF = high-angle annular dark-field
- HAIs = hospital-acquired infections
- HiTCH = high throughput continuous hydrothermal
- HPLC = high pressure liquid chromatography
- HT-continuous hydrothermal = high throughput-continuous hydrothermal
- l = current
- IL-12 = Interleukin 12
- IR = infrared
- ISHA = International solvothermal and hydrothermal association
- ITO = tin-doped indium oxide
- IW = incipient wetness
- JCPDS = joint committee on powder diffraction standards
- k = thermal conductivity
- k' = composite rate constant
- KTO = potassium hexatitanate (K2O.6TiO2)

- K<sub>w</sub> = equilibrium constant for water
- LCO = lithium cobalt oxide
- LEDs = light emitting diodes
- LFP = lithium iron phosphate
- LMFP= lithium manganese iron phosphate
- LMO = lithium manganese oxide
- LMP = lithium manganese phosphate
- LSCF = lanthanum strontium cobalt ferrite
- LTO = lithium titanate
- LUSI = London university search instrument
- M(T) = magnetization-temperature curve
- MB = methylene blue
- MDH = magnesium di-hydroxide
- MI = magnetoimpedance
- MIECs = mixed ionic-electronic conductors
- MNPs = magnetic nanoparticles
- MOF = metal-organic framework
- MP = mixing Point
- MRI = magnetic resonance imaging
- MST = metal-to-semiconductor transition
- nc- = near-critical
- NCA = nickel cobalt aluminum oxide
- NHS = National Health Service
- NIB = sodium ion battery
- NMR = nuclear magnetic resonance
- OER = oxygen evolution reaction
- ORR = oxygen reduction reaction
- OSC = oxygen storage capacity

P = pump

- P25 = commercial mixture of anatase and rutile structured TiO2
- P53 = tumour protein 53
- PDP = plasma displays
- PDF = pair distribution function
- PEMFC = polymer electrolyte membrane fuel cell
- PG = pressure gauge
- pK<sub>a</sub> = acid dissociation constant
- pKw = negative log of equilibrium constant for water
- PL = photoluminescence
- PLD = pulsed laser deposition
- PVA = polyvinyl alcohol
- PVP = polyvinylpyrrolidone
- PXRD = powder X-ray diffraction
- Q = quality factor (where  $Q \approx 1/ \tan d$ )
- Q dots = quantum dots
- RAMSI = rapid automated materials synthesis instrument
- RESS = rapid expansion of supercritical solution
- RI = refractive index
- ROR= reduction-oxidation-reduction
- ros = reactive oxygen species
- SAXS = small-angle X-ray scattering
- sc- = supercritical
- SCR = selective catalytic reduction
- SEI = solid electrolyte interphase
- SEM = scanning electron microscope
- SFN = surface-functionalized NP
- SHE = standard hydrogen electrode

- SHP = supercritical hydrothermal process
- SMSI = strong-metal support interaction
- SOFC = solid oxide fuel cell
- SPR = surface plasmon resonance
- SPS = spark plasma sintering
- SuPS = suspension plasma spraying
- STEM = scanning transmission electron microscopy
- SV= switch valve
- Syngas = synthesis gas
- TÆDDI = tomographic angle and energy dispersive diffraction imaging
- $\tan\delta = \text{dielectric loss}$
- TC = thermocouple
- TCOs = transparent Conducting Oxides
- TE = thermoelectric
- TEDDI = tomographic energy dispersive diffraction imaging
- TEM = transmission electron microscope
- TiBALD = Titanium(IV) bis(ammonium lactato)dihydroxide
- TNF-alpha = tumor necrosis factor alpha
- TPD = temperature programmed desorption
- TPR = temperature programmed reduction
- TWC = three-way catalyst
- UV = ultraviolet
- VO<sub>2</sub>-R = rutile structured vanadium(IV) dioxide
- VOC = volatile organic compound
- WAXS = wide-angle X-ray scattering
- XANES = X-ray absorption near edge structure
- XPS = X-ray photoelectron spectroscopy
- XRD = X-ray diffraction

- YAG = yttrium aluminum garnet
- YAM = ytrrium aluminum monoclinic
- YAP = ytrrium aluminum perovskite
- YSZ = yttira stabilized zirconia

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