

1 **RUNX transcription factors at the interface of stem cells and cancer**

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6

7 **Abstract**

8 The RUNX1 transcription factor is a critical regulator of normal haematopoiesis
9 and its functional disruption by point mutations, deletions or translocations is a
10 major causative factor leading to leukaemia. In the majority of cases, genetic
11 changes in RUNX1 are linked to loss of function classifying it broadly as a tumour
12 suppressor. Despite this, several recent studies have reported the need for
13 certain level of active RUNX1 for maintenance and propagation of AML and ALL
14 cells, suggesting an onco-supportive role of RUNX1. Furthermore, in solid
15 cancers RUNX1 is overexpressed compared to normal tissue, and RUNX factors
16 have recently been discovered to promote growth of skin, oral, breast and
17 ovarian tumour cells, amongst others. RUNX factors have key roles in stem cell
18 fate regulation during homeostasis and regeneration of many tissues. Cancer
19 cells appear to have corrupted these stem-cell associated functions of RUNX
20 factors to promote oncogenesis. Here, we discuss current knowledge on the role
21 of RUNX genes in stem cells and as onco-supportive factors in haematological
22 malignancies and epithelial cancers.

23

24

25 **1. Introduction**

26 Core binding factors are a heterodimeric group of transcription factors
27 consisting of a RUNX DNA binding subunit and their partner – the core binding
28 factor beta (CBF β) subunit. There are three RUNX genes in mammals, RUNX1-3,
29 each of which encodes a protein with the highly conserved N-terminal Runt DNA
30 binding domain and a C-terminal region containing transactivation and
31 repressor domains that mediate interaction with a variety of regulatory factors
32 (Figure 1). RUNX factors can both activate and repress a multitude of target
33 genes in a context-dependent manner. The three members of the RUNX family
34 display distinct, tissue-specific expression and lineage-restricted roles. RUNX1 is
35 crucial for haematopoietic development, RUNX2 is a master regulator of
36 osteogenesis, while RUNX3 has a central role in neural and T lymphocyte
37 development (1-3).

38

39 Several critical domains are responsible for RUNX function with the N-terminal
40 Runt homology domain (RHD) being responsible and sufficient for DNA binding
41 and for heterodimerization with the CBF β subunit (4, 5). The Runt domain
42 contains a nuclear localization signal (NLS) and binds a consensus DNA motif 5'-
43 PuACCPuCA-3' (6). The transactivation domain (TAD) is rich in proline, serine
44 and threonine and is responsible for target gene transactivation. RUNX1
45 isoforms lacking TAD are found to act as suppressors and to compete with full-
46 length RUNX1 for DNA binding (7). Proteins interacting with the TAD include the
47 p300 acetyltransferase, MAD homologs (SMADs), Yes-associated proteins (YAPs)
48 and C/EBP α among others (8-11). Downstream of the Runt domain a lower
49 degree of homology is observed among the RUNX proteins, suggesting that this

50 may account for their functional differences. RUNX1 is by itself a weak
51 transcriptional regulator and requires interaction with other factors to exert its
52 activity as either a repressor or activator (12, 13). The majority of known RUNX1
53 partners are involved in haematopoiesis, such as the lymphoid-specific ETS1 TF,
54 C/EBP α expressed in myeloid cells and PU.1 expressed in both lineages.

55

56 Numerous post-translational modifications (PTMs) were also found to modulate
57 RUNX1 function and may explain how cells fine-tune RUNX1 activity in a context-
58 dependent manner (reviewed in (14)). Briefly, phosphorylation leads to
59 increased transcriptional activity either by disrupting interaction with co-
60 repressors or by phosphorylating and stimulating the acetyltransferase activity
61 of p300. Cyclin dependent kinases (CDKs) -1, -2 and -6 also induce RUNX1
62 phosphorylation thereby promoting degradation by the anaphase-promoting
63 complex (APC) (15).

64

65 RUNX factors have been implicated as tumour suppressors or oncogenes in a
66 variety of cancers (16). RUNX1 was first identified at the breakpoint of the
67 t(8;21) translocation in acute myeloid leukaemia (AML) that results in fusion of
68 the RUNX1 DNA binding domain to the ETO repressor protein, first highlighting
69 the importance of this class of transcription factors in cancer (17). Subsequently,
70 several mutational mechanisms have been identified to affect RUNX1, including
71 chromosomal breakage, leading to the formation of novel fusion oncogenes,
72 point mutations, found predominantly in AML and myelodysplastic syndromes
73 (MDS), and increased dosage by acquisition of additional RUNX1 copies (18-21).
74 The ETV6-RUNX1 fusion is found in ~25% of B-cell acute lymphoblastic

75 leukaemia (B-ALL) cases, while RUNX1-ETO is present in ~10% of AML patients.
76 RUNX1 fusions commonly retain the Runt domain (Figure 1), and are suggested
77 to act in a dominant repressive manner over the wild-type copy (18). Despite
78 being the initiating event leading to leukaemia, RUNX1 fusions are by themselves
79 insufficient to induce overt disease and require additional genetic changes. Point
80 mutations in RUNX1 affect predominantly the Runt domain and are loss-of-
81 function due to the inability of the TF to bind to DNA and/or to the CBF β subunit
82 (22). Based on the observations that inactivating mutations in RUNX1 are
83 tumourigenic, this TF has largely been regarded as a tumour suppressor.
84 However, both alleles of RUNX1 are rarely mutated in haematological
85 malignancies, and some leukaemias exhibit amplification of RUNX1, suggesting
86 that a certain level of activity is necessary and might be advantageous for disease
87 progression. Recently, studies have revealed an oncogenic function of RUNX1 in
88 a variety of different leukaemia types. Furthermore, RUNX1 is overexpressed in
89 many solid cancers and RUNX factors have recently been implicated in
90 promoting growth and survival of a variety of cancers. However, RUNX factors
91 do not appear to act as dominant oncogenes but rather to support the
92 proliferation, survival and migration of cancer cells. The oncosupportive function
93 of RUNX in many cancers may represent an Achilles heel that may be exploited
94 for novel cancer therapies. The recent development of compounds that disrupt
95 the interaction between RUNX and CBF β has opened up the exciting possibility of
96 directly targeting RUNX factor function in cancer (23) (Figure 2).
97
98 In normal tissue homeostasis, RUNX factors are increasingly associated with the
99 regulation of stem cell fate. RUNX1 was identified initially as a key regulator of

100 haematopoietic stem cell emergence in the embryo, but RUNX factors have now
101 also been found to regulate the regenerative properties of blood, skin, neural,
102 muscle, mammary and mesenchymal stem cells. Interestingly, the requirement
103 for RUNX factors in cancer appears to mirror their involvement in stem cell
104 regulation in those tissues. In this review, we discuss the role of RUNX factors,
105 especially RUNX1, in regulating stem cell fate and how their function has been
106 co-opted in cancer cells to promote carcinogenesis.

107

108 **2. RUNX factors as key regulators of stem cell fate**

109 **2.1. Haematopoietic stem cells**

110 Runx1 is required for the development of definitive haematopoiesis in the
111 embryo and homozygous loss of function results in embryonic lethality (1, 24).
112 By conditionally deleting Runx1 in endothelial cells it was demonstrated that
113 Runx1 is essential for the endothelial to haematopoietic transition that results in
114 the emergence of haematopoietic stem cells (HSCs) from the ventral wall of the
115 dorsal aorta and other arterial sites (25). However, specific excision of Runx1 in
116 haematopoietic cells revealed that once HSCs are formed, Runx1 is then
117 relatively dispensable for HSC self-renewal (25, 26). Functional assessment of
118 long-term HSCs (LT-HSCs) revealed a small reduction in the number of LT-HSCs
119 in these animals but relatively normal long-term self-renewal capacity (26).
120 However, the differentiation of lymphoid and megakaryocytic lineages is
121 impaired by Runx1 deletion and myeloid progenitors exhibit a mild expansion
122 resulting in a myeloproliferative phenotype (27, 28).

123

124 Despite their normal self-renewal, Runx1-deficient HSCs have a slow growth
125 phenotype characterized by an increase in cells in G1 and they are also smaller
126 and metabolically less active (26, 29). Runx1 promotes cell cycle progression at
127 the G1/S transition in haematopoietic cells at least partially through activation of
128 *Cyclin D3* and *Cdk4* transcription and repression of *p21/CDKN1a* (30). In
129 addition, Runx1-deficient HSCs were recently discovered to exhibit reduced
130 ribosomal biogenesis resulting from a reduction in transcription of ribosomal
131 RNA (rRNA) and ribosomal protein genes mediated by direct Runx1 regulation
132 of their promoters, and this is likely to contribute to their slower growth (29).
133 RUNX factors may have a general role in regulating ribosomal biogenesis as
134 RUNX2 was previously found to bind to ribosomal DNA, although in this
135 situation RUNX2 had a repressive effect on rRNA expression consistent with its
136 inhibitory effect on osteoblast growth (31). Whether RUNX genes regulate
137 ribosome biogenesis in other stem cell types, and the relevance to RUNX function
138 in cancer has yet to be determined. However, it has been proposed that reduced
139 ribosome biogenesis caused by RUNX1 loss of function mutations may mediate
140 stress resistance and perdurance of pre-leukaemic stem cells during AML
141 development (29).

142

143 **2.2. Hair follicle stem cells**

144 A wider role for RUNX factors in other tissue stem cells was not appreciated until
145 Runx1 was discovered to promote hair follicle stem cell (HFSC) activation (32).
146 The stem cells of the hair follicle reside in the bulge region and undergo cyclical
147 organ transformation involving growth (anagen), and regression (catagen) with
148 a period of intervening quiescence (telogen). Careful analysis of the hair cycle in

149 Runx1 epithelial conditional KO mice revealed that Runx1 is required for timely
150 activation of hair follicle proliferation and anagen onset (32). Lineage tracing
151 demonstrated that Runx1 is expressed in long-term self-renewing HFSCs and
152 bulge stem cells have a cell-intrinsic requirement for Runx1 to promote
153 proliferation during anagen (33, 34). Runx1 directly regulated exit from
154 quiescence and entry into S phase through repression of cyclin dependent kinase
155 inhibitor expression and p21 deletion rescued proliferation of Runx1 deficient
156 keratinocytes (33, 35). Runx1 is also expressed in oral epithelial stem cells and
157 co-localises with the stem cell marker, Lgr5, in cells in the base of the crypt, as
158 well as transit amplifying cells in the upper crypt, suggesting a conserved role in
159 different types of epithelial stem cells (34).

160

161 Using a Runx1 reporter and genetic manipulation of Runx1 expression, the
162 Tumber group demonstrated that cells in the hair germ either differentiate or
163 revert back to HFSCs from an activated progenitor like state depending on the
164 level of Runx1 expression. This analysis revealed that despite being required for
165 proliferation at anagen onset, Runx1 is not sufficient to drive proliferation in
166 quiescent cells (36). However, forced overexpression enhances proliferation of
167 actively cycling cells, but also drives apoptosis resulting in stem cell exhaustion
168 and senescence, reflecting an endogenous role of Runx1 upregulation in
169 promoting the onset of programmed cell death during catagen (36). This
170 illustrates the extreme dose-dependency of Runx1 action, which may be highly
171 relevant to understanding its role in carcinogenesis, where apparently
172 dichotomous tumour suppressor and oncogenic functions have been observed.

173

174 Runx1 is downregulated concomitant with cell division and differentiation of
175 hair follicle progenitors, reminiscent of the downregulation of the Runx factor
176 RNT-1 coincident with onset of mitosis in *Caenorhabditis elegans* seam cells, a
177 stem like cell forming the skin of the worm (37, 38) (and Nimmo and Woollard,
178 unpublished observations). RNT-1 is required for seam cell division and
179 preventing RNT-1 downregulation after mitosis promotes an extra round of cell
180 division in these cells (37). Strikingly, overexpression of RNT-1 and in
181 conjunction with the CBF β homologue BRO-1 drives more severe hyperplasia,
182 suggesting that the expression of both Core Binding Factor subunits is rate-
183 limiting for proliferation in these cells (38). In a variety of cell types RUNX
184 factors have been shown to be subject to regulation dependent on the phase of
185 the cell cycle (30). For example, Cyclin D directly binds and inhibits RUNX1
186 transactivation and Cdk-dependent phosphorylation of RUNX1 at S303 promotes
187 degradation by the anaphase-promoting complex at G2/M (15, 39). It is likely
188 that these feedback mechanisms have evolved to prevent excessive proliferation
189 of stem/progenitor cells and ensure balanced proliferation and differentiation
190 during homeostasis.

191

192 Runx1 is expressed prior to the onset of proliferation in both worm and mouse
193 skin progenitors and is required for cell division and exit from quiescence.
194 However, forced expression of RUNX1 or RNT1 can promote increased
195 proliferation only in cells that are already primed to cycle and is not sufficient to
196 drive cell division in quiescent cells in either system (36-38). RUNX factors
197 therefore appear to act as competency factors for proliferation in both worm and
198 mammalian skin ensuring that the stem cells are ready and able to respond to

199 mitogenic signals occurring at defined stages. In support of this, the genes
200 associated with RUNX upregulation in HFSCs include many metabolic genes that
201 may promote cellular growth and thus prepare cells for proliferation (36). It will
202 be interesting to investigate whether RUNX factors directly regulate ribosomal
203 biogenesis in mammalian HFSCs and worm seam cells, as Runx1 does in HSCs,
204 and if this mediates its function as a competency factor for cellular proliferation.
205
206 Runx1 upregulation is associated with migration of bulge cells from the niche
207 into the outer root sheath during catagen and analysis of gene expression
208 changes associated with forced Runx1 expression in HFSCs revealed enrichment
209 of cell adhesion molecules in the down-regulated gene set (36). Runx1 may
210 therefore directly regulate cell adhesion, as supported by the reduced migration
211 of Runx1 deficient keratinocytes (40). RUNX factors also regulate migration and
212 invasion of breast and ovarian epithelial cancer cells suggesting that these
213 cancers have co-opted this physiological function of RUNX factors to promote
214 metastasis of transformed epithelial cells (see sections 3.2.2 and 3.2.3).

215

216 **2.3. Mammary epithelial stem cells**

217 Mammary stem cells are multipotent cells that self-renew and give rise to both
218 luminal and basal lineages of mammary epithelial cells. Runx2 was initially
219 studied in breast cancer as it was found to promote the invasive, metastatic and
220 osteolytic capacity of breast cancer cells (41-44). However, it was only recently
221 discovered to have a role in normal mammary stem cells (MaSCs). Most studies
222 of RUNX factor function in the mammary epithelium have used the MMTV-Cre
223 system which predominantly targets the luminal compartment, but Ferrari et al

224 used a K14-Cre to generate Runx2 deletion in the basal mammary epithelial
225 lineage including MaSCs (45). Conditional inactivation of RUNX2 resulted in a
226 failure of excised MaSCs to regenerate new mammary glands in recipients (45).
227 Furthermore, Runx2-deleted cells formed fewer and smaller primary and
228 secondary mammospheres *in vitro* and had reduced colony-forming capacity,
229 both surrogate assays for stem cells in this system (45). Embryonic mammary
230 buds from mice with constitutive Runx2 KO form underdeveloped mammary
231 glands after transplantation and MMTV-Cre deletion of Runx2 leads to reduced
232 alveolar differentiation during pregnancy (46). However, conversely, forced
233 expression of Runx2 from the MMTV promoter delays ductal elongation and
234 inhibits lobular alveolar differentiation during late pregnancy and results in
235 inappropriate cell cycling observed at lactation with over half of aged MMTV-
236 Runx2 over-expressing mice developing hyperplasia (47). It is therefore possible
237 that the apparent defects in alveolar differentiation in Runx2 KO mammary
238 glands may result from reduced expansion of alveolar progenitors rather than a
239 failure in lineage specification. Together, these data suggest that Runx2 may be
240 involved in regulating the balance between proliferation and differentiation in
241 mammary epithelial development.

242

243 Both Runx1 and Runx2 are expressed in mammary epithelial cells and both
244 affect normal mammary gland development and differentiation, raising the
245 possibility of partial redundancy in this tissue. However, there may be some
246 lineage specificity and antagonistic functions as although Runx2 promotes
247 alveolar fates, this is the only mammary epithelial cell type in which Runx1 is not
248 expressed and Runx1 instead promotes luminal fates at least in part through

249 repression of the alveolar transcription factor Elf5 (48). Moreover, Runx1
250 deletion using MMTV-Cre results in a decrease in mature luminal cells.
251 Interestingly this loss can be rescued by loss of Rb or p53, and p53-related gene
252 sets were enriched in Runx1-deficient luminal cells suggesting a role for cell
253 cycle and survival pathways downstream of Runx1 (48).

254

255 Both Runx1 and Runx2 are preferentially expressed in basal cells (containing
256 MaSCs) and so it will be interesting to investigate whether Runx1 has a role in
257 MaSCs in addition to Runx2. If they act redundantly, the compound KO deletion
258 of Runx1 and 2 in MaSCs using the K14-Cre may reveal a more severe stem cell
259 defect in these animals.

260

261 In summary, RUNX factors have a role in both the regenerative potential of
262 MaSCs (Runx2) and in promoting differentiation of mature mammary epithelial
263 cells (Runx1 and Runx2). This is similar to the observation in haematopoietic
264 and hair follicle stem cell lineages where RUNX factors have stem cell supportive
265 functions in primitive cells as well as promoting differentiation of particular cell
266 lineages derived from these stem cells.

267

268 **2.4. Mesenchymal stem cells**

269 Mesenchymal stem cells (MSCs) are multipotent stromal cells capable of self-
270 renewal and differentiation into cartilage, bone and adipose tissues. In prostate
271 cancer myofibroblasts promote tumour formation and are produced from tissue
272 resident MSCs in response to TGF β secreted by the tumour cells. RUNX1 was
273 identified as a key transcription factor induced by TGF β in prostate cancer

274 associated MSCs (49). Although TGF β promotes myofibroblast differentiation,
275 RUNX1 overexpression actually promotes MSC proliferation and delays MSC
276 differentiation. Conversely, knockdown of RUNX1 in human prostate and bone
277 marrow-derived MSCs prevented their proliferation due to cell cycle arrest and
278 promoted myofibroblast differentiation (49). During MSC differentiation,
279 induction of RUNX1 may therefore act to link differentiation signals to onset of
280 proliferation ensuring that MSCs undergo expansion prior to terminal
281 differentiation into myofibroblasts. Since myofibroblasts are part of a tumour-
282 promoting reactive stroma in cancer, this data suggests that therapeutic
283 targeting of RUNX1 could abrogate tumour growth by preventing the cancer
284 from remodeling its niche through secretion of TGF β .

285

286 **2.5. Neural stem cells**

287 RUNX factors are intimately linked with TGF β signaling in a variety of contexts.
288 In neurogenic regions of the adult brain - the hippocampal dentate gyrus (DG)
289 and the forebrain subventricular zone (SVZ) - TGF β signaling is induced by injury
290 along with upregulation of Runx1, both in the microglia and neural
291 stem/progenitor cells, and is associated with increased proliferation of these
292 cells (50). Runx1 is not normally detectably expressed in the neural
293 stem/progenitor cells (NSPCs) in the DG or SVZ, but it is rapidly induced in
294 Nestin+ progenitors after injury (50). In neurosphere cultures of NSPCs
295 inhibition of Runx1 reduced their proliferation but overexpression increased
296 differentiation, predominantly down the neuronal lineage (51). It was also
297 previously shown that Runx1 promotes proliferation in embryonic olfactory bulb
298 progenitors (52). Runx1 therefore has a developmental role in promoting neural

299 progenitor proliferation and may also act in neural stem/progenitors to promote
300 the repair of neural tissue after injury. It will be interesting to investigate
301 whether Runx1 has a role in brain tumours such as glioblastoma, in which neural
302 stem cell self-renewal mechanisms are corrupted to promote malignant growth.

303

304 **2.6. Muscle stem cells**

305 Muscle satellite cells (SCs) are stem cells responsible for muscle regeneration
306 and Runx1 is required to promote stem/progenitor cell expansion in response to
307 injury. SCs regenerate muscle by proliferating, differentiating and fusing to form
308 new myofibres. Runx1 is highly expressed in myopathic muscles, including
309 satellite cells, although it is apparently not expressed homeostatically in
310 embryonic or adult muscle tissue. In a mouse model of Duchenne muscular
311 dystrophy (DMD) muscle-specific deletion of Runx1 revealed a pronounced
312 defect in muscle regeneration leading to reduced life span, weight loss and
313 impaired muscle performance (53). Consistent with a role for Runx1 in satellite
314 cell regeneration, the mice had fewer Pax7-expressing satellite cells and a
315 reduced number of proliferating myoblasts. Culturing the Runx1-deleted
316 primary myoblasts revealed they had lower proliferation and higher rates of
317 spontaneous differentiation, and conversely overexpression of Runx1 delayed
318 differentiation and reduced numbers of multinucleated myofibres (53). Runx1
319 therefore regulates the balance between proliferation and differentiation of
320 satellite cells during muscle regeneration.

321

322 **2.7. Summary: Stem cells**

323 RUNX genes are associated with stem cell function in many tissues and in general
324 it appears that they function to promote the high levels of proliferation needed
325 to regenerate tissues either during homeostasis or repair. However, their
326 proliferative functions are intimately linked with differentiation as RUNX factors
327 act as rheostats for cellular proliferation and are often downregulated in
328 differentiating cells. Forced expression delays but does not completely block
329 differentiation, perhaps explaining why wild type RUNX factors do not act as
330 dominant oncogenes but rather as competency factors for oncogenesis – leading
331 us to define them as “onco-supportive” (see section 3). Furthermore, in many
332 lineages RUNX factors also have a role in promoting cell type-specific
333 differentiation in a lineage-dependent manner. They may therefore ensure
334 balanced tissue regeneration by directly tethering progenitor expansion to exit
335 from the progenitor state into post-mitotic mature effector cells. This may
336 explain why Runx1 also has a tumour suppressive role. Inactivating mutations
337 and translocations in RUNX1 in luminal breast cancer and haematological
338 malignancies may lead to a block in differentiation and formation of an aberrant
339 progenitor that retains a wild-type copy of RUNX1 to support its continued
340 proliferation.

341

342 **3. Onco-supportive effects of RUNX factors in cancer**

343 **3.1. Haematological malignancies**

344 The idea that RUNX proteins can have an oncogenic role was first suggested by
345 the discovery that all three RUNX members are targets for murine leukaemia
346 virus (MLV) insertional mutagenesis (54, 55), and ectopic expression of RUNX1
347 in a E μ -Myc lymphoma model was found to drive lymphomagenesis and promote

348 B-cell survival (56). However, it was not clear from these studies if endogenous
349 RUNX1 was required for lymphomagenesis but it has now been shown that basal
350 expression of normal RUNX1 is critical for the maintenance of primary Myc-
351 driven lymphoma *in vivo*, although this dependence is partially attenuated in
352 p53-deficient cells (57).

353

354 In leukaemia, although translocation and point mutations in core binding factor
355 genes are frequent events, complete loss of RUNX1 in leukaemias bearing RUNX1
356 fusion genes is very rare. Instead, the normal copy of RUNX1 is retained and even
357 amplified, suggesting its possible requirement for leukaemogenesis (58-60)
358 (summarized in Figure 3). In addition, increased dosage of RUNX1, either by
359 acquisition of an additional chromosome copy (trisomy 21) or by
360 intrachromosomal amplification of one copy of chromosome 21 (iAMP21), has
361 been linked to increased risk of leukaemia (21, 61-63). The extent and
362 mechanism behind RUNX1 involvement in these malignancies is not completely
363 understood and requires further investigation.

364

365 **3.1.1. Acute myeloid leukaemia (AML)**

366 RUNX1 was first identified as the gene at the breakpoint of the t(8;21)
367 translocation found in around 10% of AML patients. In this translocation, the
368 Runt DNA binding domain of RUNX1 is fused to the ETO protein, producing a
369 fusion protein that was originally proposed to act as constitutive repressor of
370 Runx1 targets. RUNX1-ETO knockin causes early embryonic lethality and
371 haematopoietic defects similar to those in Runx1 knockout mice suggesting that
372 RUNX1-ETO acts as to dominantly inhibit normal Runx1 function (64). Another

373 chromosomal rearrangement, *inv(16)* fuses the CBF β and MYH11 genes to
374 produce the CBF β -SMMHC oncoprotein which is also thought to act as an
375 inhibitor of normal Runx1 function by sequestration of RUNX1 (65). Further
376 evidence that Runx1 has a tumour suppressive role in myeloid cells comes from
377 the finding that inactivating mutations of Runx1 are frequently found in
378 myelodysplastic syndromes and AML (66, 67). However, these mutations are
379 usually heterozygous, and mutation of the remaining allele of Runx1 is not found
380 in patients with CBF or MLL rearrangements suggesting that wild type Runx1
381 activity is important for leukaemic growth and propagation.

382

383 Several studies in AML have now reported a role of native RUNX1 in supporting
384 leukaemic development. Inhibition of RUNX1 either by shRNA depletion or
385 expression of dominant negative RUNX1 mutants in human cord blood cells
386 expressing AML-ETO or MLL-AF9 had a growth-inhibitory effect due to cell cycle
387 arrest and increased apoptosis. (68). Furthermore, RUNX1 was also essential *in*
388 *vivo* for engraftment of primary MLL-rearranged leukaemia cells suggesting that
389 RUNX1 activity is required for the growth of these leukaemias. BCL2 was
390 identified as an important mediator of the survival effect exerted by RUNX1, but
391 could not on its own rescue RUNX1-depletion phenotype, suggesting that other
392 factors are contributing to this oncosupportive phenotype. The oncosupportive
393 role of RUNX1 was also revealed in a mouse model expressing *Cbfb-MYH11* in
394 which a dominant negative form of RUNX1 rescued differentiation defects and
395 delayed leukaemia development (69).

396

397 It is becoming increasingly evident that a fine balance exists between mutant and
398 wild-type CBF complexes in AML. RUNX1 silencing in leukaemia cells expressing
399 either RUNX1-ETO or CBF β -SMMHC induces caspase-dependent apoptosis and
400 cell cycle arrest, while double knockdown of the fusion protein and wild type
401 RUNX1 rescues this phenotype (70, 71) suggesting that RUNX1 counteracts the
402 inherent proapoptotic activity of the fusion protein (72, 73). A close investigation
403 of direct target genes by global gene expression analysis and ChIP-Seq
404 demonstrated that target genes dysregulated upon knockdown of either the
405 fusion or RUNX1 alone are inversely correlated and the two proteins compete for
406 common target gene binding sites resulting in dynamic interplay between these
407 transcription factors at key targets such as those involved in myeloid
408 differentiation and apoptosis (70, 74).

409

410 Altogether, these findings indicate that RUNX1 dependency is valid across many
411 different leukaemias and suggest that RUNX1 may present an attractive target
412 for therapeutic intervention.

413

414 **3.1.2. Acute lymphoblastic leukaemia (ALL)**

415 The ETV6-RUNX1 (TEL-AML1) fusion protein is the most common chromosomal
416 translocation in B-ALL, found in ~25% of all paediatric cases and ALL (60, 75).

417 The translocation brings together the N-terminal end of ETV6 (1-336aa),
418 including the pointed domain (PD) required for oligomerization and the
419 repression domain to almost all of the RUNX1 protein (22-480aa) (76, 77). The
420 general assumption is that the fusion, as other RUNX1 translocations, acts in a
421 dominant negative manner by hijacking and corrupting the endogenous RUNX1

422 programme (18). However, the remaining allele of RUNX1 is not mutated in
423 these leukaemias and on the contrary is often amplified. Furthermore, increased
424 RUNX1 copy number is observed in other types of ALL without the ETV6-RUNX1
425 translocation, most notably in the iAMP21 group in which a small region
426 including the *RUNX1* locus is amplified but also arising from polyploidy of
427 chromosome 21 in hyperdiploid and Down's syndrome ALL.

428

429 To investigate mechanism by which ETV6-RUNX1 promotes leukaemogenesis, a
430 conditional ETV6-RUNX1 mouse model was generated. ETV6-RUNX1 has weak
431 oncogenic potential and was unable to transform fetal liver B cells and induce
432 overt leukaemia (78). However simultaneous induction of the ETV6-RUNX1
433 fusion and homozygous RUNX1-deletion resulted in a synthetic lethal phenotype
434 with 100% of tested animals dying within 8 days due to severe anaemia
435 following complete loss of HSCs and progenitors. Although not the main focus of
436 the study, this phenotype emphasized an essential requirement of native RUNX1
437 for maintenance and propagation of ETV6-RUNX1-positive cells. Further
438 investigation will be necessary in order to accurately define and segregate effects
439 of the fusion and native RUNX1.

440

441 An onco-supportive role of RUNX1 in B-ALL was further highlighted in a study
442 aiming to characterize the molecular basis underlying MLL-AF4 B-ALLs (79). The
443 t(4;11) translocation fuses Mixed Lineage Leukaemia (MLL) protein with the AF4
444 gene resulting in a novel protein causing an aggressive form of B-ALL with poor
445 prognosis. Wilkinson et al found that MLL-AF4 is highly enriched at the RUNX1
446 promoter and RUNX1 levels were significantly higher in MLL-AF4 leukaemias

447 compared to other B-ALL subtypes including other MLL-rearrangements. RUNX1
448 knockdown in MLL-AF4 cell lines reduced clonogenic ability, suggesting that
449 similarly to the ETV6-RUNX1 mouse model, the MLL-AF4+ cells are dependent
450 on RUNX1 for their growth and proliferation. Considering this and the
451 correlation between higher RUNX1 levels and worse clinical outcomes observed
452 in MLL patients in the COGP9906 clinical trial, it is tempting to suggest that
453 targeting RUNX1 activity would present a novel strategy for targeting aggressive
454 and poor-prognosis B-ALL subtypes. It will be important to define which ALL
455 subtypes may be RUNX1 addicted and to determine the mechanisms underlying
456 RUNX1-dependency in both AML and ALLs.

457

458 **3.2. Epithelial cancers**

459 RUNX1 is overexpressed in many solid tumours compared to normal tissue and
460 many studies have now implicated RUNX factors in promoting and supporting
461 oncogenic properties of epithelial cancer cells (34).

462

463 **3.2.1. Skin and oral cancers**

464 In a chemically induced mouse model of skin cancer, Runx1 deletion severely
465 reduced the numbers of tumours formed (33). Runx1 was expressed at high
466 levels in the papillomas in these mice and was also abnormally expressed in
467 interfollicular epidermis. Lineage tracing revealed that Runx1 expressing HFSCs
468 are the cell of origin for chemically induced skin tumours in mice and BrdU
469 incorporation was reduced in Runx1-deficient bulge cells suggesting that Runx1
470 is required for the proliferation of stem cells in these tumours. Critically, deletion
471 of Runx1 in established papillomas resulted in a shrinkage of the tumour

472 revealing that Runx1 is required for both initiation and maintenance of tumour
473 growth in skin cancer (34). However, Runx1 does not appear to be sufficient for
474 tumorigenesis as it is upregulated by injury in other cell types in the hair follicle
475 and epidermis but these do not give rise to tumours. Strikingly, tumour cells
476 display a more stringent requirement for Runx1 than normal tissue stem cells as
477 Runx1 is essential for tumour formation but normal bulge stem cell proliferation
478 *in vivo* is reduced, but not prevented by Runx1 deletion (33).

479

480 The relevance of these findings for human epithelial cancers was underscored by
481 the finding that RUNX1 is significantly overexpressed in many cancers compared
482 to normal tissue (34). It is particularly highly expressed in skin and oral (head
483 and neck) squamous cell carcinomas and knockdown of RUNX1 revealed it is
484 essential for growth of cell lines derived from these cancers (34). RUNX1 may
485 therefore be a promising therapeutic target for epithelial cancers since it is not
486 required for normal HFSC maintenance but was found to be essential for
487 tumorigenesis in a mouse skin cancer model, and for growth and survival of
488 human epithelial cancer cells.

489

490 **3.2.2. Breast cancers**

491 Mutations and deletions in RUNX1 and CBF β have recently been identified
492 specifically in luminal breast cancers (80-82). It was shown in mice that loss of
493 Runx1 function results in a block in differentiation of luminal progenitors (48)
494 and so RUNX1 is likely to be tumour suppressive in this type of breast cancer
495 due to its normal function in promoting luminal fate. However, in basal-like and

496 triple negative breast cancers a variety of evidence points to an oncogenic role of
497 RUNX factors.

498

499 RUNX2 has long been suggested to have a tumour-promoting role in breast
500 cancer as it is upregulated in breast cancer cell lines and promotes tumour
501 growth, invasion and osteolytic disease (41-44). However, its role in primary
502 breast cancer has only recently been studied using mouse models.

503 Overexpression of Runx2 with the MMTV promoter disrupts normal mammary
504 gland development and causes pre-neoplastic hyperplasia in older animals (47).

505 Furthermore, Runx2 deletion reduced proliferation, delayed tumour formation
506 and prolonged survival in the MMTV-PyMT mouse model (46). Hyperplastic
507 lesions in the MMTV-Runx2 overexpression model were negative for ER, PR and
508 HER2 and high RUNX2 expression was significantly associated with triple
509 negative breast cancers suggesting a link between RUNX2 and this type of poor
510 prognosis breast cancer (47). Furthermore, WNT/B-catenin activation is
511 associated with triple-negative breast cancer and Runx2 was found to be
512 specifically upregulated in WNT driven mouse models of breast cancer (45).

513

514 RUNX1 is also upregulated in breast cancer cells compared to normal tissue (34,
515 83) and high RUNX1 expression is associated with poor prognosis in triple
516 negative breast cancer (84). In the mouse MMTV-PyMT tumour model it was
517 upregulated during tumour development and metastasis, and knockdown of
518 Runx1 reduced invasive and migratory properties of cancer cells (83). To what
519 extent RUNX1 and RUNX2 act redundantly in breast cancer is not yet known and
520 will require compound knockout of these two genes in mouse breast cancer

521 models. Furthermore, it will be of interest to examine the effect of RUNX
522 depletion in different types of breast cancer including basal-like, triple-negative
523 and WNT-driven breast cancers. Triple negative breast cancers currently have a
524 poor prognosis due to a lack of targeted therapies for this type of breast cancer
525 and so it will be important to investigate whether CBF inhibitors may be effective
526 for treating this disease.

527

528 **3.2.3. Ovarian and prostate cancer**

529 RUNX3 is expressed in 30-40% of ovarian cancer cells of serous carcinoma and
530 endometrioid types but not in clear cell carcinomas and knockdown of RUNX3 in
531 ovarian cancer cell lines reduced cell proliferation (85). RUNX1 also was found to
532 be overexpressed in ovarian cancers compared to normal tissue using both gene
533 expression data and tissue microarrays and depletion of RUNX1 reduced growth
534 and colony forming capacity of ovarian cancer cell lines (34, 86, 87).

535 Furthermore, invasion and migration of ovarian cancer cells was reduced by
536 RUNX1 knockdown and genes associated with cell adhesion and cellular
537 movement pathways were enriched in the differentially expressed genes (87).

538 RUNX1 is upregulated in part through reduced expression of mir-302b and acts
539 through activation of Stat3 and downstream effectors including Cyclin D and
540 BCL2 (86).

541

542 It is likely that when co-expressed, RUNX factors have partially redundant
543 functions and cancer cells often co-express multiple RUNX family members but
544 this redundancy can be partially overcome by inhibiting CBF β expression. Using
545 a double transduction strategy, >95% knockdown of CBF β was achieved in

546 serous ovarian cancer cells and this completely blocked growth of these cells
547 (88). Interestingly, there was no obvious defect in cell cycle progression and the
548 growth defect was instead attributed to decreased viability resulting from non-
549 apoptotic cell death mediated by elevated ceramide levels, enhanced autophagy
550 and increased oxidative stress. RUNX1 has been found to promote cell survival
551 through direct regulation of genes involved in sphingolipid metabolism including
552 *Sgpp1* and *Ugcg* (89) and these were downregulated after CBF β knockdown in
553 ovarian cancer cells suggesting that they may be responsible in part for the
554 elevated ceramide levels in these cells (88). A similar effect on cell growth was
555 also observed in prostate cancer cells (88, 90). In prostate cancer, the effect of
556 RUNX1 depletion may be mediated in part through RUNX1-dependent Androgen
557 receptor (AR) signaling as AR induces RUNX1 expression and directly interacts
558 with RUNX1 to regulate many target genes (91). The fact that abrogating CBF β is
559 highly effective at blocking cell growth and killing cancer cells suggests that
560 targeting CBF using novel small molecule inhibitors may be an effective
561 treatment for ovarian and prostate cancers.

562

563 **3.3. Neural cancers**

564 Neurofibromas are benign Schwann cell tumours found in patients with loss of
565 the tumour suppressor gene Neurofibromatosis type I (*NF1*). RUNX1 was
566 recently identified as a gene that was upregulated in neurofibromas and the
567 Runx1/ CBF β interaction inhibitor Ro5-3335 or knockdown of RUNX1 reduced
568 sphere formation by murine neurofibroma Schwann cell progenitors (92).
569 Furthermore, deletion of Runx1 in neurofibroma progenitors delayed tumour
570 formation in mice. Increased numbers of Runx1+ progenitors are present in the

571 dorsal root ganglion of Nf1^{-/-} mice and the number and size of spheres formed
572 by Nf1 deficient progenitors was reduced by deletion of Runx1 suggesting that
573 Runx1 is a key player in neurofibroma stem/progenitor cells (92). RUNX1 is also
574 required for growth and survival of neuroblastoma cells but overexpression of
575 either RUNX1 or RUNX3 also arrests cell cycle and promotes cell death
576 suggesting that RUNX factor expression must be tightly controlled in order to
577 maintain neuroblastoma growth (93).

578

579 **4. Summary: Corruption of RUNX stem cell-associated functions in cancer**

580 RUNX1 has a key role in promoting proliferation of many different types of stem
581 and progenitor cells during homeostasis and regeneration. It appears to act to
582 provide competency to respond to mitogenic signals and promote cell cycle
583 progression, in part through direct regulation of cell cycle regulators and
584 growth-related pathways including ribosomal biogenesis. However, forced
585 expression is insufficient to drive uncontrolled proliferation in stem/progenitor
586 cells and RUNX1 also promotes differentiation of stem cells down particular
587 lineages. It does not therefore have traditional dominant oncogenic properties
588 but in the context of other more powerful oncogenic drivers is required for
589 proliferation and survival of cancer cells. It therefore represents an example of
590 non-oncogene addiction resulting from the cellular context in which the cancer
591 arises, whereby the endogenous stem cell activation machinery is co-opted to
592 drive malignant expansion. The overexpression of RUNX1 (and in some cases
593 RUNX2) observed in cancer, may arise from an increase in the number of RUNX-
594 expressing stem/progenitor cells in the tumour compared to normal tissue, or
595 epigenetic changes resulting in upregulation of RUNX gene expression. Cells

596 overexpressing RUNX factors are likely to be selected during cancer progression
597 as these cells have stem-like properties that enable them to proliferate rapidly.
598 RUNX factors therefore act as oncosupportive, competency factors for
599 oncogenesis presumably as a result of their normal functionality in promoting
600 stem cell proliferation and survival.

601

602 The apparently dichotomous observation of Runx1 mutations/translocations in
603 cancers such as luminal breast cancers, AML and ALL that also show dependency
604 on residual RUNX function, may arise from the dual role of RUNX factors. As part
605 of the mechanism by which stem cells become activated during either
606 homeostatic or injury driven regeneration, RUNX factors mediate cellular
607 proliferation but also have key roles in promoting the differentiation of many
608 different cell lineages. RUNX1 can therefore acts as a haploinsufficient tumour
609 suppressor and loss of function mutations in RUNX1 are likely to promote
610 oncogenesis through disruption of differentiation. However, RUNX1 is very
611 rarely subject to biallelic mutations in cancer and on the contrary has an onco-
612 supportive role in many cancers, presumably due to a requirement for residual
613 RUNX1 to promote proliferation and survival of cells trapped in an oncogenic
614 progenitor-like state. Therefore, loss-of-function mutations or translocations
615 affecting one allele of RUNX or CBF β in breast cancers and leukaemias may set
616 up a pre-cancerous state through blockage of differentiation and perhaps
617 promoting a stress-resistant low metabolic phenotype associated with lower
618 ribosomal biogenesis that establishes a long-lived clone able to then acquire
619 secondary mutations leading to malignant transformation. However, the second
620 allele of RUNX1 is maintained to support growth and survival of the transformed

621 cells. RUNX1 also regulates pathways that may mediate resistance to
622 chemotherapy, migration and metastasis and so high RUNX expression may be
623 selected during tumour progression.

624

625 **5. Prospects for therapy**

626 The fact that RUNX factors are not essential to maintain stem cells in blood, skin,
627 breast, muscle and brain, but are required for the proliferation and survival of
628 many cancers arising in these tissues suggests that RUNX1 may be an excellent
629 target for cancer therapies. RUNX1 inhibition would be expected to specifically
630 eradicate cancer cells without depleting normal stem cells thus allowing re-
631 establishment of normal tissue development post-treatment. Although
632 traditionally classified as “undruggable”, new methods for targeting
633 transcription factor function are under development. Novel compounds that
634 allosterically inhibit the interaction between CBF β and RUNX subunits and thus
635 prevent binding of RUNX1 to DNA have recently been identified. These CBF
636 inhibitors were found to severely inhibit growth and survival of a range of
637 myeloid leukaemia cell lines, and completely ablated colony formation in a basal-
638 like breast cancer cell line at 1 μ M concentration (23). However, to fully harness
639 the therapeutic potential of RUNX-addiction in cancer, and to specifically target
640 its tumour-promoting roles, it will be important to perform systematic analysis
641 of gene networks mediating RUNX-dependency in cancer cells in order to
642 identify further druggable targets.

643

644

645 **Figure Legends**

646 **Figure 1. Structure of the RUNX proteins and the two most common**
647 **translocations of RUNX1.** P1 (distal) and P2 (proximal) promoters regulate
648 expression of RUNX genes and produce multiple isoforms differing in their
649 structure and function. The Runt domain (purple) is highly conserved in the
650 RUNX family and is responsible for DNA binding and heterodimerization with
651 CBF β . It is present in the most common RUNX1 translocations – AML1-ETO (in
652 AML) and ETV6-RUNX1 (in ALL), which are proposed to function as repressors
653 of RUNX1 target genes. All three proteins have the transactivation domain (TAD
654 – red box) and the C-terminal VWRPY found to interact with Groucho family co-
655 repressors. Blue box in RUNX2 depicts the unique QA region, consisting of
656 tandem repeats of glutamine and alanine amino acids. CDK1 and 6 were found
657 to phosphorylate RUNX1 at the N- and C-termini.

658

659 **Figure 2. CBF inhibitors.** A) The CBF complex can act as a repressor or activator
660 of transcription in a context-dependent manner. B) Small molecule inhibitors
661 blocking the interaction between RUNX1 and CBF β have been developed leading
662 to a diminished binding of RUNX1 to DNA and aberrant gene expression (23).

663

664 **Figure 3. Oncosupportive role of RUNX1 in haematological cancers.** RUNX1
665 is a frequent target for loss-of-function point mutations found in T-ALL, FPD and
666 AML. Increased dosage of RUNX1 has been associated with a specific ALL
667 subtype – iAMP21, characterized by an amplification of a 5.1MB region of
668 chromosome 21, encompassing RUNX1. It is diagnosed routinely by FISH and

669 defined by the presence of 3 or more extra copies of RUNX1. Increased dosage of
670 RUNX1 might be a factor predisposing to leukaemia also in Down's Syndrome
671 (trisomy 21). The exact involvement of RUNX1 and the leukaemogenic
672 mechanism in these diseases is not yet clear. In leukaemias with CBF or MLL
673 translocations, a certain level of RUNX1 expression is necessary to support the
674 leukaemogenic phenotype. Suppression of native RUNX1 in AML1-ETO, MLL-AF9
675 and MLL-AF4 leukaemias leads to cell cycle arrest and apoptosis. Decreased
676 RUNX1 activity in a CBF β -MYH11 mouse model delayed leukaemic progression
677 and rescued CBF β -MYH11 induced defects. Simultaneous ETV6-RUNX1
678 induction and RUNX1-disruption in an ETV6-RUNX1 mouse model led to severe
679 anaemia due to complete loss of HSPCs and caused death in 100% of animals
680 tested.

681

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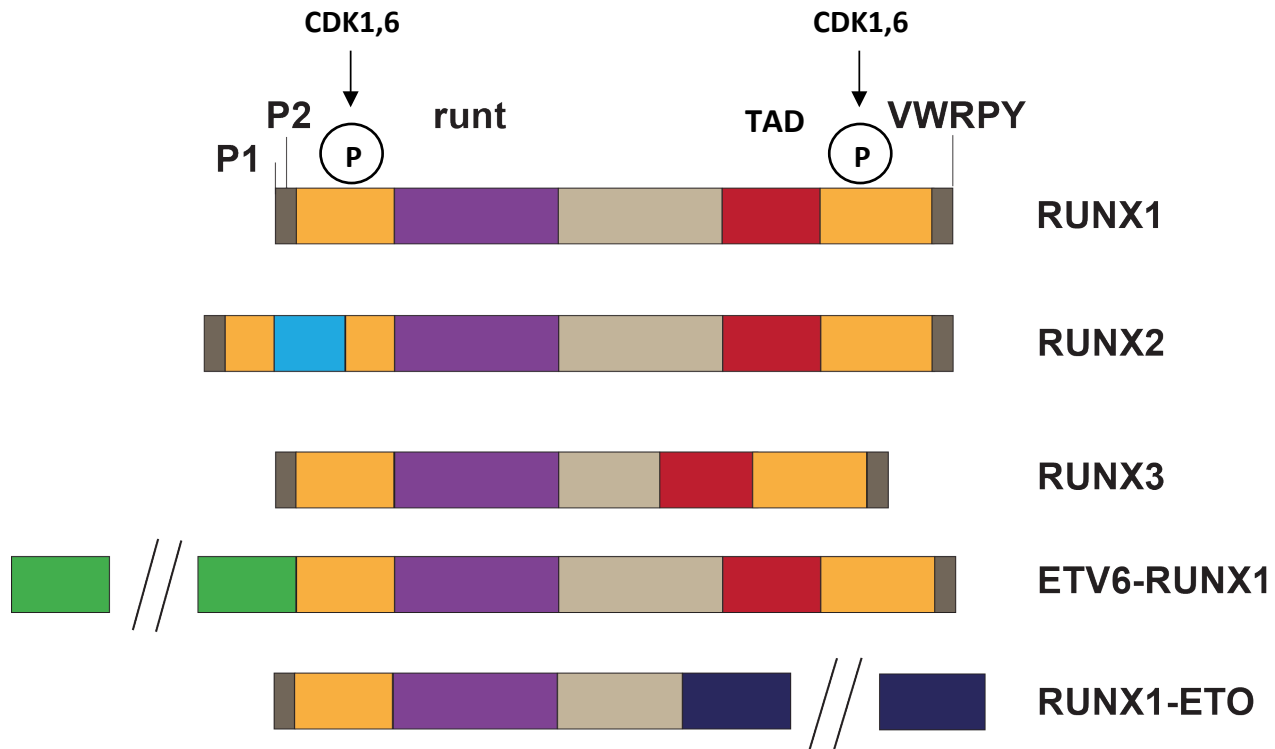


Figure 1

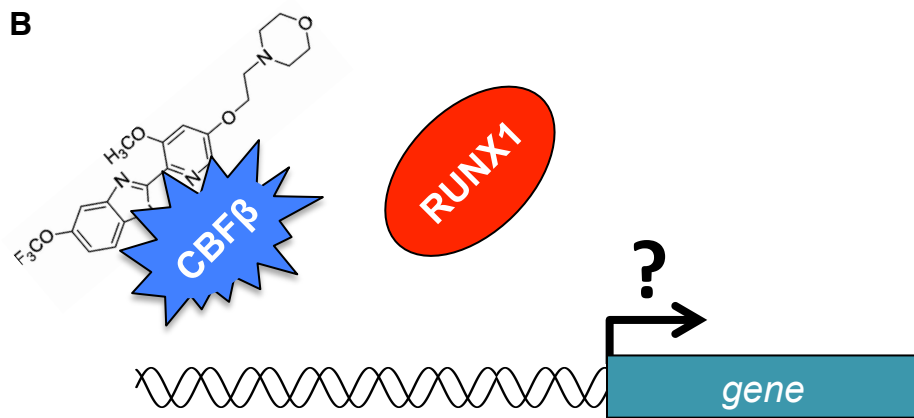
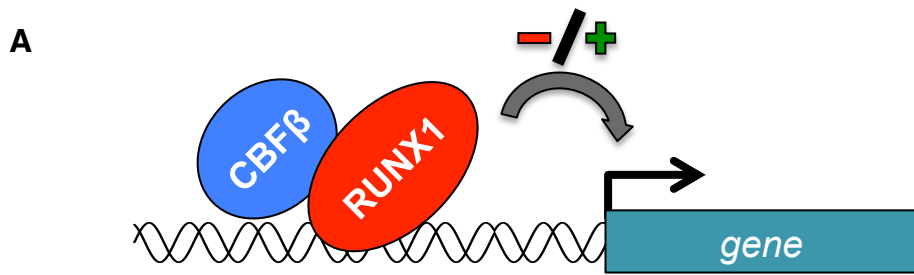


Figure 2

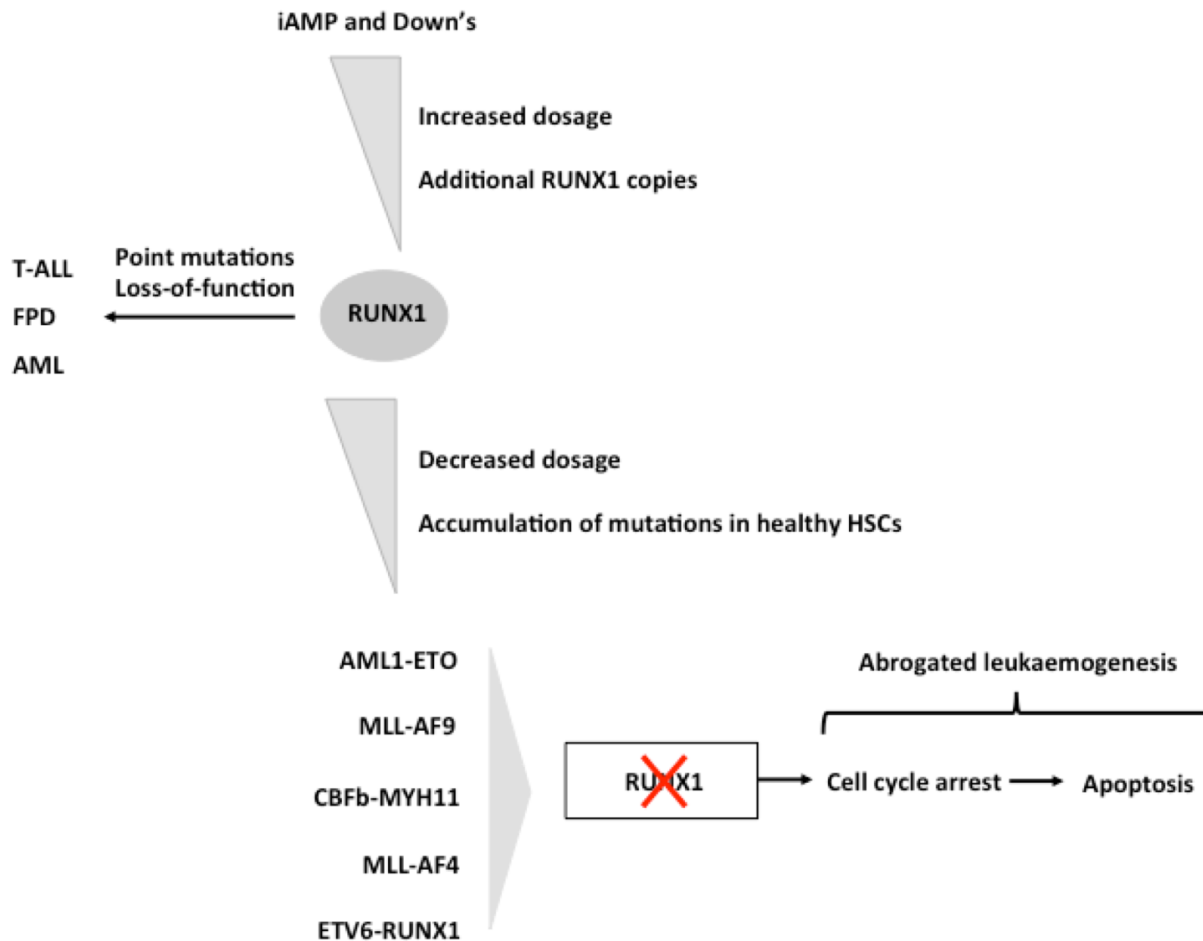


Figure 3.