#### The neurology of ageing: what is normal?

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#### Abstract

Ageing is associated with changes in the nervous system with consequent alterations in some neurological examination findings: understanding what is "normal" at different ages is essential when evaluating patients. In seminal papers published in 1931, Macdonald Critchley summarised his observations and the prevailing evidence on the effects of ageing on, amongst others, sensation, reflexes, ocular function, olfaction, movement, and cognition. In this review these observations are re-evaluated in light of contemporary evidence. Factors influencing the measurement and interpretation of these clinical findings are then discussed, including reproducibility, the influence of co-morbidities, secular trends, how "normality" should best be defined, the problems of extrapolating group data to individuals, and the influence of pre-symptomatic neurodegenerative disease states. The case is made that context is critical, and that combining life course data with detailed clinical and biomarker phenotyping is required to understand the determinants of normal neurological function associated with ageing.

#### Introduction

As with all aspects of human physiology, the nervous system alters with ageing. Even in the healthiest elderly, there is more neuronal loss, more vascular pathology, and numerous changes at the cellular level compared to healthy younger adults. These changes have consequences, and all neurologists will recognise that when examining a patient, what can reasonably be determined to be "within normal limits" for a healthy 70-year-old differs from that for a healthy 20-year-old. Inherent therefore when evaluating any patient is knowledge of what can reasonably be considered to be within the normal range at any given age group. While there is considerable dogma about what constitutes "normal" neurological ageing – there can be few neurologists who will not recall being told that one can disregard absent ankle jerks or impaired distal vibration sense in somebody in their seventies or eighties – the evidence base for such assertions is rather less clear.

Whilst numerous papers have considered aspects of neurological ageing in isolation, relatively few have attempted an overview. A notable exception are the three seminal papers in the *Lancet* in 1931 by Macdonald Critchley, [1,2,3] based on his Goulstonian Lectures to the Royal College of Physicians that same year. In these papers he covered the pathological, clinical and cognitive changes associated with ageing.

In Part 1 of this paper, Critchley's original observations are reviewed and critiqued in light of contemporary evidence. In Part 2, some factors relevant to the interpretation of these findings are discussed, with particular reference to what might influence what is, or is not, "normal" ageing.

# Part 1: Macdonald Critchley revisited.

Dr Macdonald Critchley, CBE (1900–1997) (Figure 1) was one of the foremost neurologists of his era, President of the World Federation of Neurology, vice-President of the Royal College of Physicians, and author of over two hundred books and papers including seminal works on the parietal lobes, aphasia and headache. [4] His prodigious output was based in large part on his detailed and meticulous observations of patients. What follows are selected quotations from some of his writings on ageing including sensation, reflexes, vision, hearing, taste and smell, gait, hypo- and hyperkinetic movements, and cognition, along with a brief review of contemporary evidence for each domain.

# \* Figure 1 here

#### Sensation

"The outstanding and perhaps the earliest alteration is seen in respect of vibratory sensibility. There is with advancing years, a progressive impairment of this form of sensation, leading eventually to a total loss. This is demonstrable first at the extremities of the limbs. Thus vibratory sense may be impaired at the fingers and toes only; later it is lost at the wrists and ankles, though it is still present proximally".

These observations are largely borne out by contemporary studies. Table 1, adapted from a metaanalysis of fifty studies, shows results from 9,996 presumed healthy individuals who underwent clinical assessment of pain perception, light touch, vibration and joint position sense. [5] Whilst people between 18–39 years showed no consistent abnormalities, there was impaired vibration sense at the big toe in approximately a third of those aged 60 and above. By contrast, fewer than 10% had vibration sense loss at the fingers, with a similar number having impairments of joint position sense, light touch and pain perception. Distal vibration sense loss is therefore confirmed as the commonest sensory deficit associated with ageing, but is not universal, being seen in fact in a minority of individuals.

### \* Table 1 here

# Reflexes

"Thus [with ageing] the tendon jerks tend to be sluggish and are frequently lost. The ankle jerks illustrate this point especially well; with advancing years, this tendon reflex becomes more and more difficult to elicit and finally disappears. So frequently does this occur, in the absence of other neurological manifestations, that one is driven to the conclusion that absence of the ankle jerks in old age is without pathological significance".

Table 2 shows a summary of reflex tendon loss by age, again from the meta-analysis by Vrancken *et al.* [5]. By the age of 80 years, around one third of healthy people have lost their ankle jerks, with only a very small number having lost their knee, triceps and bicep jerks. Again, however, loss of ankle jerks is not universal, being retained in the majority of elderly individuals.

#### \* Table 2 here

#### Vision

"Progressive impairment of visual acuity is, of course, characteristic of advancing age, if we except for a moment those cases of myopia which improve as the years pass. Wharthin, indeed, describes the three cardinal signs of senescence as sexual neurasthenia, chronic fatigue, and presbyopia

"Alterations in the pupillary reactions are important. With advancing years there is a progressive sluggishness in the response of the pupils, both to light and on accommodation, and ultimately a condition of pupillary immobility may occur...

"Still more often one sees an absence or impairment of the conjugate movements of upwards deviations of the eyeballs; lateral and downward movements rarely suffer. Nystagmus does not occur except where focal lesions within the cerebellum happen to be present".

Table 3 provides a summary of the prevalence of ocular pathology in adults aged 40 years and older in the United States. [6] Blindness occurs in <1% until the age of 80 years, increasing to ~7% thereafter; a much greater proportion have impaired vision. As Critchley observed, myopia declines with increasing age, but non-neurological causes of visual impairment including cataract (affecting almost two thirds of individuals over eighty) and glaucoma (nearly 10%) are clearly age related.

#### \* Table 3 here

Figure 2A shows data for age-related changes in pupil diameter in both the dark- and light-adapted eye. [7] Maximum pupil diameter occurs around the age of 20 years, declining thereafter, with changes more clearly apparent in the dark-adapted eye.

Figure 2B shows that saccadic latency to all degrees of amplitude reaches a nadir between ages 30– 50, before progressively increasing; [8] in parallel (Figure 2C), the mean peak saccadic velocity is highest in the teenage years, declining progressively thereafter. [8] There is relatively greater variability, however, as shown by the increased error bars, in the eighth decade and beyond. Whilst the angle of maximal upgaze is lower in the 70s and 80s than earlier, the angle of maximal downgaze begins to diminish earlier, from the 60s. [9]

### \* Figure 2 A,B,C here

# Hearing

"Deafness due to inter-current disease of the middle ear is, of course, not uncommon but even in the absence of gross aural disease, some degree of impaired auditory acuity is present. The latter is characterised by a loss of hearing or whisper, and for high tones together with shortened bone conduction".

Figure 3 shows change in the ability to perceive different frequencies between 18 and 55 years. [10] As noted by Critchley, age-related decline is much more marked at higher frequencies.

# \* Figure 3 here

#### Taste and smell

"The faculties of taste and smell are probably less acute, for most very aged individuals are indifferent to the quality of what they eat, provided that the quantity is adequate. A liking for pungent or piquant substances is suggested by the frequent excessive use of condiments".

Figure 4 provides normative data using the University of Pennsylvania Smell Inventory Test (UPSIT). Sense of smell is relatively stable until 60 years, but declines thereafter. By 65–80 years, ~60% of healthy people have a major olfactory deficit (UPSIT score of <19/40); by the age of 80 years or above, this proportion is around 80%. [11]

# \* Figure 4 here

#### Gait

"The most characteristic type of gait in old age is seen in the marche à petits pas. In the early stages it is betrayed by a mere loss of elasticity, some shortening of the steps, and a slight widening of the base. Such a gait may almost be regarded as characteristic of healthy old age.

"... it must be remembered that an abnormal gait in this age is frequently the result of disease outside the nervous system".

Figure 5 illustrates the normal walking speeds at different ages in men and women from the Baltimore Longitudinal Study of Aging. [12] Whilst there is very wide variability in the normal walking speed between people of the same age and sex, there is a clear and consistent trend for gait speed—relatively stable until aged 60 years—to decline thereafter.

#### \* Figure 5 here

#### Parkinsonian signs

"The forgoing components of extra pyramidal disease – namely flexion attitude, rigidity, poverty of movement, and bradykinesis when present in some intensity – constitute a picture of Parkinsonism. But it is probably that in some degree these manifestations are present in the majority of all senile patients, if we except those that show the more obvious signs of hyperkinesis or motor agitation".

A degree of Parkinsonism is common in elderly people, with an estimated overall presence of some, often mild, features in 30–40%. [13] Table 4 shows the prevalence of different items of the United Parkinson's Disease Rating Scale (UPDRS) where there is a score of 1/4 (mild symptoms), or  $\geq 2$ .

These data, based on 1,339 people aged >75 years (mean 77) and excluding people with Parkinson's disease, show marked differences in the frequency of abnormalities in different domains: 2% had rest tremor, 20% had some axial bradykinesia, and around 30% had some degree of postural instability.

# \* Table 4 here

# Tremor

"Senile tremor constitutes the commonest incidence of hyperkinesis, although by no means often encountered ... Its usual rate is 100 beats per minute, the common sites for its occurrence at the head and lower jaw, the hands and forearms".

Tremor in older people has been the subject of considerable recent interest. Figure 6 (graph reproduced from Deuschl *et al.*) shows tremor is minimal up to 40 years increasing thereafter, to a prevalence of ~10% by 90 plus. [14] These data suggest that the incidence of hereditary and sporadic essential tremor peaks by 50 years, and accounts overall for only 2–3% of tremor in older people. The implication therefore is that another form of tremor that the authors term "aging related tremor" emerges in midlife, increasing to a prevalence of ~8% by 90 yrs. The exact nature of this tremor remains unclear, but it is seems not to be a benign phenomenon, being associated both with increasing cognitive impairment and mortality. [14]

# \* Figure 6 here

# Chorea

"Without doubt the term senile chorea has been applied too readily to cases with characteristic movements associated with old age. In order to make this diagnosis, one must be scrupulous to exclude: 1) cases associated with a family history of chorea or insanity; 2) cases in which the chorea has commenced in middle age and persisted into advanced age; 3) cases of apoplectic onset – usually unilateral in their manifestations. When these criteria rigidly apply, senile chorea is found to be a rarity".

The decades since Critchley's papers have led to huge advances in our understanding of chorea. Table 5 (adapted from Kimber and Thompson) shows the much wider range of causes than were recognised in 1931. [15] It is likely that this means that even fewer cases of chorea will remain undiagnosed and reinforces Critchley's observation that idiopathic "senile chorea" is very rare, if indeed it exists at all.

#### \* Table 5 here

#### Cognition

"Psychic senescence.... (is) the pattern of psychological processes which is to be expected in healthy old age. The outstanding features include the diminished acuity of memory, or better a loss of adherence to recent events; impaired faculty of rapid evocation of events; loss of fluidity; a weakness of creative imagination".

What is, and what is not, normal cognitive performance with age is the subject of huge investigation. Figure 7, reproduced from a review by Hedden and Gabrieli, [16] shows that in broad terms the ageing process affects different cognitive domains variably. Thus, verbal ability is relatively preserved (the basis of the National Adult Reading Test as an estimation of premorbid intelligence [17]), as is numeracy, whilst, Critchley observed, there is a progressive, slow decline in speed, reasoning, spatial skills and episodic memory from aged 25 years onwards.

# \* Figure 7 here

### Part 2: Interpreting ageing changes

It is striking that careful clinical observations reported by a single neurologist some 85 years ago are largely borne out by contemporary clinical research. Whilst Critchley wrote at length about factors that need to be accounted for when interpreting such observations, research in the intervening decades has provided further insights, some of which are reviewed below.

# Reproducibility

Critical to comparing clinical signs between individuals is an understanding of how reproducibly they can be elicited; when comparing findings across the life course, it is also vital to know if there is a systematic reason why the method of assessment might be affected by age per se. In some instances, i.e. with neuropsychological cognitive testing, standard methodologies allow for (albeit not always perfect) between- and within-subject comparisons to be made. In routine clinical practice and for most of the domains discussed previously this is not the case and there is often huge variability in how various tests are elicited and interpreted. For example, O'Keefe et al investigated 12 people of average age 82 years, half of whom were reported to have absent ankle jerks. [18] They compared the "plantar strike" and "tendon strike" methods for obtaining the ankle jerks (Figure 8) between and within physicians of different levels of experience. The median kappa values for intraand inter-observer variability were higher for the plantar strike (0.47 and 0.57) compared to the tendon strike method (0.2 and 0.21 respectively) suggesting that the plantar strike is more reliable – at least in this age group, where for instance musculoskeletal disease may make positioning the foot for the tendon strike more difficult. However, neither method is very reproducible, with the kappa values consistent with weak reproducibility for the plantar strike, and minimal reproducibility for the tendon strike method.

#### \*Figure 8 here

#### **Co-morbidities**

What constitutes a "neurological" sign is not always clear, as Critchley recognised; and in many cases, non-neurological factors may influence signs that fall within the broad remit of the neurologist. Musculoskeletal disease is self-evidently likely to impair gait; sense of smell is influenced by sinus disease and other local pathologies; visual impairment by the presence of glaucoma and cataracts; and cognition by mood, pain, and a wide range of prescribed and illicit drugs. Some degree of white matter change is almost inevitable with advancing age – and anyone attending a neuroradiology case conference will be used to allowance being given for "one or two white dots per decade". Whilst excess white matter disease probably indicates vascular pathology and has clinical consequences, its pathological underpinnings vary. As imaging techniques become ever more sensitive, more changes are detected; accordingly, how much white matter change is really "allowable" for ageing is at least in part arbitrary. [19]

Importantly, co-morbidities in elderly people are the rule rather than the exception. Thus in the MRC National Survey of Health and Development, a representative UK sample recruited at birth in 1946 and followed prospectively, by the ages of 60–64 years people had on average two medical conditions: 54% had hypertension, 31% obesity, 26% hypercholesterolaemia, and 25% either

diabetes mellitus or impaired glucose tolerance. By contrast only 15% were free from any comorbidity [20]. In an unselected sample of individuals over the age of 85, the prevalence of hypertension, osteoarthritis, atherosclerosis, and cataract were each around 50%, and ~90% had three or more conditions [21].

#### Secular trends

What we consider to be "normal" is not fixed but alters over time. If one takes life expectancy, when Critchley wrote his papers the chance of living to the age of 100 from birth was 2.5% for men and 5.1% for women; by 2011 these figures were 26.0 and 33.7% respectively. [22] It is likely therefore that 80-year-olds described by Critchley would fall into a "super ageing" category that we might now reserve for centenarians. Lifestyle changes mean that individuals will have different exposures depending on their year (and country) of birth. Thus the incidence of smoking has radically declined in recent years in high-income countries, and there have been major changes in screening for, and treatment of, hypertension, hypercholesterolaemia, and diabetes mellitus. Conversely, individuals now in their seventies were brought up in an age of rationing, compared now to very readily available high calorie foods with consequent rises in obesity.

# Defining "normality"

How we define normality in the context of ageing is a debatable question. One approach is to assess those who are the best performers in their age group. This approach confirms that there are limits to what can be expected with age. Figure 9 illustrates the world record time for running five kilometres by age and by sex. [12] Whilst the best performing 80-year-olds still run this distance faster than the average runner of *any* age, peak performance is still seen between the ages of 20–40 years, deteriorating slowly to the age of 80 years, and rapidly thereafter.

#### \* Figure 9 here

Age-related normative data are commonly used in neuropsychological testing, as illustrated by the Recognition Memory tests for Faces (Figure 10). [23] A score of 36/50 on this test is "normal" above 50 years, but is abnormal (<5%) score below the age of 40. Such normative scores can be based on relatively small numbers (e.g. 15–40 people in each of the three groups shown); they are grouped into relatively crude age-related groups with everyone over the age of 55 years considered together; and importantly assumptions must be made that the individuals these norms are derived from are "normal".

In other domains, it is not always clear whether, or to what extent to take age into account when defining normality. For instance, in the context of brain volume there is debate in the literature as to whether hippocampal volume loss is part of normal ageing, occurs only in the context of one or more pathological processes, or whether different hippocampal regions are vulnerable to ageing and pathology [24]; this has fundamental implications for whether it is appropriate to index brain volume against younger individuals – in which case many more elderly people will be determined as pathological – or to allow for ageing.

#### \* Figure 10 here

#### Moving from groups to individuals

Whilst group level data help in understanding the processes underpinning ageing, it is not always easy to extrapolate from these to individuals. With ageing, it is important wherever possible to compare an individual's performance against their premorbid abilities – any clinician will be much more concerned about an elderly person presenting with gait difficulties if that person was a regular

marathon runner until recently, than if they had longstanding walking difficulties. Whilst in the case of cognition it is possible at least to some extent to extrapolate premorbid performance by estimating years of education or occupation, this can be much more difficult in other domains. Even within the range of "normality" it is very likely that individuals have different trajectories of decline, likely related to life course exposures, to genetics, and to other co-morbidities. In the case of child development, using growth charts allows people to be tracked along their own personal trajectories; it would be ideal to have longitudinal data on which to assess individuals' change over time, but such measurements are rarely made outside of research studies.

Furthermore, in many clinical settings it is often necessary to define what are inevitably arbitrary cut-offs for normality or abnormality. Thus, to define somebody as having amnestic mild cognitive impairment – an intermediate state between normal ageing and dementia – requires both decline from pre-morbid functioning and "objective memory impairment for age". [25] If the latter is operationalised, as is often done, to define performance below a pre-specified score (e.g. <1.5 SD below age-norms), this will inevitably be a lower bar for individuals with premorbid weak memories than those with superior abilities. An example of this, reported by Archer *et al*, was of a very high functioning man, a keen chess player, who presented to clinic complaining that he could previously think seven moves ahead at chess but could now only manage three or four. [26] Whilst initially performing within normal limits on cognitive testing, he declined sufficiently to fulfil criteria for mild cognitive impairment two years later. He died of other causes at this stage, and at post-mortem was determined to have already advanced Alzheimer's disease; retrospective review of his scans revealed that he had progressive and excess hippocampal atrophy predating the emergence of objective impairments (Figure 11).

# \* Figure 11 here

### "Normal" ageing contains individuals with presymptomatic neurodegeneration

As the previous case demonstrates, and is now clear from numerous post-mortem and biomarker studies, the pathological change, and in some cases allied symptoms, associated with the core neurodegenerative diseases are present and begin to accumulate many years prior to the onset of symptoms. Thus subtle but detectable cognitive decline occurs before the onset of Alzheimer's disease [27]; anosmia precedes the development of both Alzheimer's disease [28] and Parkinson's disease [29]; REM sleep behaviour disturbance and constipation occur before the onset of Parkinson's disease [29]; and the emergence of subtle Parkinsonian signs may not only predict Parkinson's disease, but also subsequent clinically significant cognitive decline [30].

The emergence of biomarkers has allowed the detection of aspects of pathology *in vivo* that in Critchley's day could only be determined at post-mortem. In the case of cognition, PET (and CSF) have shown that over one in three people aged over 70 years have significant  $\beta$ -amyloid brain pathology; [31] MRI confirms that amyloid positive elderly individuals have excess atrophy, [32] and may well develop Alzheimer's disease should they live long enough. This concept has led to changes in diagnostic criteria that, at least on a research basis, now include a pre-symptomatic phase of Alzheimer's disease. [33] Similar findings in people destined to develop neurodegenerative diseases on a genetic basis concur with these conclusions [34]. Studies incorporating multiple imaging biomarkers are revealing that there may also be a group of heathy elderly people with biomarker profiles suggesting non  $\beta$ -amyloid brain pathologies – although whether these individuals all have pre-symptomatic neurodegenerative diseases, and if so which one(s), is the subject of considerable debate [35][36]. These uncertainties aside, the inevitable conclusion from these and numerous other studies is that a significant proportion of apparently healthy people who may be recruited as, or considered to be, "normal", will be in the early stages of developing disease.

### Clinical Relevance?

Although it is important for a neurologist to determine if the clinical signs they elicit are or are not within the normal limits for age, it is also important that the clinical context is taken into account. This relates to many features including whether the patient is symptomatic or not; whether signs occur in isolation or combination; where there are biomarkers available, whether they are positive or not; and what are the consequences of finding pathology. In a recent population study investigating polyneuropathy, the *combinations* of signs – i.e. not just the prevalence of isolated vibration sense loss or decreased tendon reflexes – *and* (in all but a few case) nerve conduction studies abnormalities were required to define the presence of a definite polyneuropathy. [36]. Using these criteria, 13.2% of those over the age of 80 years had a polyneuropathy; whilst this represents a considerably smaller proportion of those with either absent ankle jerks or sensory disturbance alone (Tables 1 and 2), importantly 50% of this group were previously undiagnosed, and a potentially treatable cause was determined in a proportion. [37].

#### Conclusions

As Critchley elegantly delineated some 85 years ago, ageing is associated with changes within the nervous system and some aspects of the nervous system are more vulnerable than others. Ageing is associated with accumulation of many pathologies, notably cerebrovascular disease, but also with the emergence of neurodegeneration, and often the signs typically associated with "normal" ageing and pathology can overlap. In general, 'within-individual' changes are likely to be more powerful than comparisons between individuals, and longitudinal research studies incorporating life course data with detailed clinical phenotyping and biomarkers provide a powerful paradigm for understanding the complexity of the ageing process. As and when disease-modifying therapies for neurodegenerative diseases become available, using biomarkers to determine the presence of specific pathologies will become increasingly important, both to ensure that treatments are given to symptomatic people with the pathology in question, but also to determine which elderly people with pre-symptomatic disease may benefit most from treatment.

#### **Key Points**

- 1. Ageing is associated with changes in the nervous system: understanding what is "normal" at different ages is important when evaluating patients.
- 2. As Macdonald Critchley recognised over 85 years ago, some aspects of the neurological examination are more vulnerable to ageing than others, but in no case is decline inevitable.
- 3. Interpretation of neurological signs in the elderly depends on context: factors that must be taken into account include the influence of co-morbidities, the problems of extrapolating group data to individuals, and the influence of presymptomatic or asymptomatic disease.
- 4. Longitudinal studies incorporating detailed phenotyping, biomarkers and life course data are starting to reveal the complexities of the ageing process.

Commissioned. Externally peer reviewed. This paper was reviewed by David Burn, Newcastleupon-Tyne, UK.

# Funding.

The author acknowledges the support of the NIHR Queen Square Dementia BRU, the NIHR UCL/H Biomedical Research Centre, Wolfson Foundation, EPSRC (EP/J020990/1), MRC (CSUB19166), ARUK (ARUK-Network 2012-6-ICE; ARUK-PG2014-1946; ARUK-PG2017-2016), Brain Research Trust (UCC14191) and European Union's Horizon 2020 research and innovation programme (Grant 666992).

# Competing interests.

None.

# Acknowledgements

This paper was based on a lecture given to the Association of British Neurologists annual meeting in 2016. The author is grateful to Dr Geoffrey Schott, Professor Anette Schrag, Profressor Jason Warren, Professor Diana Kuh and Professor Marcus Richards and for their helpful comments and suggestions, and to Kirsty MacPherson for help in preparing Figure 10.

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