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2	Forecasting water allocations for Bundaberg sugarcane farmers
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11 Abstract

12 Limited water availability in dry canegrowing regions poses a challenge to sugarcane 13 farmers. Water allocations tend to be lower at the beginning of the water season, and 14 are increased during the season when inflows are captured. Probabilistic information 15 reflecting the likelihood of specified increases in water allocation is not available to 16 sugarcane farmers. This paper describes how seasonal climate forecasts were used to 17 provide this information for the 2001/2002 season as part of a case study involving sugarcane farmers in Bundaberg, Australia. Water allocation forecasts were then 18 19 supplied to an irrigation simulation scheduling system to provide guidance about 20 when and how much water could be applied. This research was underpinned by a 21 cross-institutional collaboration that engaged industry, extension officers, engineers 22 from the water authority and agricultural and climatological scientists. The key 23 learning from this investigation was the participatory approach contributed to the 24 development of practical information shaped to address the needs of industry and

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established proof of concept about the potential of climate forecasting models, hydrological models and cropping system simulators to contribute to enhancing knowledge about water availability and application. Additional investigations are required before this technology can be operationalised.

Keywords: adoption, barriers, participatory, irrigation, prediction, climate, climate,
southern oscillation, APSIM

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### 32 **1. Introduction**

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34 Sugarcane grown in Queensland, occupies the narrow coastal strip along the eastern coast of Australia between the latitudes of -17° S to -25° S (see Fig. 1). Rainfall 35 amounts along this coastal strip differ substantially from region to region and can be 36 37 variable from season to season. Owing to this variability, low rainfall and limited on 38 farm water supplies from dams, sugarcane growers in the Bundaberg region, Australia 39 are challenged by limited water. Unlike their counterparts from the northern tropical 40 canegrowing areas, rainfall is much scarcer near Bundaberg (mean = 1092 mm, std 41 dev = 325 mm). For example average annual rainfall in the Bundaberg region is 42 approximately one quarter the average annual rainfall for the Tully sugar mill (mean = 43 4055 mm, std dev = 1037 mm). In fact, the lowest ever annual recorded rainfall for 44 Tully (1837 mm) is nearly double the average annual rainfall for Bundaberg. Limited 45 water availability in drier regions poses a challenge in growing a profitable crop for 46 harvest.

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Bundaberg sugarcane farmers must give careful consideration to the irrigation regime
they implement in any particular growing season. Specifically, growers contemplate

50 how much water to use and when this should be applied. Crop models that describe 51 the biophysical interaction between the plant and the environment can assist with 52 water management decisions. The crop simulators, APSIM (Keating et al. 1999) and 53 CANEGRO (Inman-Bamber, 2000) have been used to produce irrigation strategies for 54 sugarcane systems (Muchow and Keating, 1998; Inman-Bamber et al. 2002; Inman-55 Bamber and McGlinchey, 2003). Irricane (Singels et al. 1998) is another example of a simulation tool that has been applied in the South African Sugar Industry for assisting 56 57 farmers with irrigation scheduling. Successful irrigation strategies produced from 58 cropping systems simulators require knowledge of water availability during the 59 growing season.

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61 The maximum amount of water available in a season is dependent upon water 62 allocated by water resource managers. Water allocations are heavily dependent on the 63 interaction between current water storage levels and future streamflows, both of 64 which are impacted by climate variability. In Australia, especially along the eastern 65 coast, the relationship between the El Niño-Southern Oscillation (ENSO) and climate 66 variability is widely recognised (Pittock 1975, McBride and Nicholls, 1983; Stone et 67 al, 1992). It is therefore reasonable to expect that ENSO would also influence water 68 availability.

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Investigations have been conducted to explore the utility of climate models for streamflow forecasting and water resource management have been conducted. Everingham et al. (2002b) investigated the capability of forecasting streamflows for the Burnett River which is a major source of water to sugarcane farmers on the Bundaberg Water Supply Scheme (BWSS). Everingham et al. (2002b) found that 75 positive and rising southern oscillation index (SOI) phases (Stone and Auliciems, 76 1992; Stone et al. 1996) favour an increased chance of above median total 77 streamflows in the Burnett River for October-December. Conversely, a negative SOI 78 phase relates to a much smaller chance of experiencing above median streamflows for 79 that same period. The ability to forecast streamflows for the Burnett River supported 80 previous streamflow forecasting research. Abawi and Dutta (1998) demonstrated 81 shifts in the distributions of streamflow between SOI phases, and Chiew et al. (1998) 82 demonstrated strong linkages between the ENSO phenomena and streamflows across 83 80 unregulated catchments in eastern Australia. Collectively, these findings show 84 streamflows tend to be higher (lower) when the SOI is positive (negative) and/or sea 85 surface temperatures in the central equatorial Pacific are lower (higher) than average. 86 Chiew et al. (2003) further used the relationship between streamflows and climate 87 prediction systems to provide irrigators with an advanced indication of the likelihood 88 of increases in water resources through an irrigation season. This was achieved by 89 coupling the ENSO/streamflow relationship with water allocation models used by 90 water resource managers. Ritchie et al. (2004) have combined economic, agronomic, 91 hydrological and climatological modeling to assist with plant-area decisions for 92 irrigated cotton farmers in the northern Murray Darling Basin. Ritchie et al. (2004) 93 found that significant gains in gross margin returns can be obtained if farmers manage 94 planting area based on seasonal climate forecasts. However, Ritchie et al. (2004) also 95 note that a farmer's response to seasonal climate forecasting is strongly influenced by 96 attitude to risk. Pagano and Garen (2005) review the evolution of the integration of 97 climate information and forecasts into the western US water supply. The potential 98 utility of climate forecasts to enhance flood planning management in the Pacific 99 northwest has also been investigated (Wernstedt and Hersh, 2002). In the same region,

Hamlet et al. (2002) describe the relationship between the ENSO and Pacific Decadal Oscillation signals with streamflow forecasts for the Colombia River in the US Pacific northwest and outline the economic benefits associated with streamflow forecasting for hydropower. One simulation highlighted that an increase in average annual revenue of \$153 million dollars could be realised from an operational system that incorporates climate forecasts.

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107 The effect of climate variability on sugarcane irrigation scheduling has also been 108 investigated. Inman-Bamber et al. (2001) showed how irrigation strategies can vary 109 between El Niño years and La Niña years and Everingham et al. (2002a) 110 demonstrated how the timing of successive irrigations could be improved by using 111 phases of the Southern Oscillation Index (SOI). An optimization and forecasting 112 procedure based on APSIM-Sugarcane is now available on the internet for certain 113 regions in Australia (Inman-Bamber et al. 2005). However, probabilistic knowledge 114 of future water availability is lacking from this procedure.

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Whilst climate variability and the ability to forecast climate and associated responses 116 117 is well established in the scientific literature, so too is the literature surrounding the impediments to the adoption or wider application of seasonal climate forecasting 118 119 technologies particularly, in the contexts of agricultural and water resource 120 management (Callahan et al. 1999; Pagano et al. 2001; Pulwarty and Mellis, 2001; 121 Hartmann et al. 2002; Pagano and Garen 2005; Ziervogel 2005; Sivakumar (2006); 122 Garbrecht and Schneider 2007; Hayman 2007). The literature presents several factors 123 that must be considered if the challenges associated with the implementation of seasonal climate forecasting innovations are to be lessened. These factors include butare not limited to:

126 1. Accuracy<sup>1</sup>. End users inevitably claim low accuracy levels as the reason why they 127 do not use climate forecasts. In some cases this maybe true, but in other cases this is 128 simply a perception. Thus, there is need to improve accuracy where appropriate or 129 address the preconceived perception that forecasts are 'not accurate enough'.

2. Communicating probabilities. Forecasts are commonly issued in terms of
probabilities. In order for forecasts to be more widely used there is a need to equip
industry practitioners with the skills to correctly interpret and integrate probabilistic
information within a decision making framework.

134 3. Relevance. Forecasts need to align with the practicioners need. For example there is135 no point forecasting rainfall, if yield forecasts are more appropriate.

4. Resolution and frequency. A precursor to relevant forecasts is having forecasts thatare at the appropriate scale and issued with the appropriate frequency.

138 5. Institutional barriers. Institutional barriers can impede the progress of scientific 139 advances and policy. Increased flexibility among institutions can enhance the 140 integration of seasonal climate forecasts into planning activities. Moreover 141 researchers from different institutions will typically have different perspectives about 142 the application of seasonal climate forecasts and it is important to understand each 143 others viewpoint on these matters.

6. Quantitative evidence. Need to provide quantitative evidence about the benefits ofseasonal climate forecasts.

<sup>&</sup>lt;sup>1</sup> The literature tends to use the words 'accuracy' and 'skill' interchangeably, both of which have strict and differing climatological definitions. In this introduction we have reluctantly used the word 'accuracy' to be in line with the references provided, but note that more general terms such as forecast quality and/or forecast performance would be more appropriate in the current context.

146 7. Information transfer. Appropriate pathways for delivering climate forecasting147 information need to be considered and implemented.

148 8. Non-adoption situations. It is important to learn from situations where non-adoption149 has occurred.

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151 The purpose of this paper is to report on a collaborative cross-institutional effort (point 5) that involved local farmers, climate researchers, agricultural researchers, 152 153 extension officers and engineers from water agencies to provide relevant and practical 154 (point 3) forecasts for sugarcane farmers in Bundaberg who claimed they could do a 155 better job with managing water if they knew how much water would be available to 156 them. This collaborative effort facilitated the integration of climate, hydrological and 157 cropping simulation models which lead to the development of an irrigation schedule 158 that incorporated water allocation forecasts for sugarcane farmers from Bundaberg, 159 Australia during the 2001/02 irrigation season. The key lessons learnt from this 160 process and recommendations for future work are discussed. The limitations of this 161 one year case study have also been reported.

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- 163 **2. Data and Methodology**
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165 2.1 Case Study Details

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In any given irrigation system, a significant issue for growers is knowing how much water they will have available for irrigation and when to use available water supplies. In response to this problem, collaborative research was conducted to develop irrigation strategies for the best use of limited water during the season. The research 171 was conducted in real time where interaction with growers occurred through irrigation discussion groups as irrigation strategies were being developed. Over 500 growers 172 participated in these discussions. In addition to these discussion groups was a rural 173 174 water use efficiency committee that comprised of farmers, industry council members, 175 researchers and extension staff. The role of the rural water use efficiency committee 176 was to prioritise issues raised from the discussion groups and to guide the research 177 efforts of the scientific team. In addition, some members of the RWUE committee 178 held the discussion meetings on their family farm so discussions could be extended to 179 field activities to motivate growers' attendance. The extension officers involved in the 180 project liased with both the RWUE and the discussion groups, whilst the research 181 team primarily engaged with the RWUE committee. An action research approach was 182 taken.

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Action research, or participatory action research methods have been discussed in depth by numerous authors and we refer the interested reader to Carberry et al. (2002), Martin and Sherington (1997), McCown (2002), McTaggart (1997a, 1997b), (Oquist 1978), for more details on these topics. Basically, participatory action research involves cycles of acting, observing, reflecting and revising where scientists and system members who may benefit from the technology work towards a goal, learning from each other along the way.

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As part of the action research cycle initially industry members (i.e. those involved in the discussion groups and members of the RWUE) requested the research team to investigate the possibility of forecasting rainfall. Once this was presented back to the RWUE, the group deemed this to be a pointless exercise, since they realised that 196 streamflow forecasts would be more relevant. An assessment of the ability to forecast streamflows was therefore undertaken. Next, the industry consultative groups 197 198 requested researchers to assess if allocations could be forecast. This required 199 researchers, industry and water agencies working together to investigate firstly, if this 200 could be done given the formal rules and regulations surrounding water authorities 201 and secondly, assuming this could be done, developing a procedure that would 202 produce the forecast allocations. Once allocation forecasts were determined and 203 communicated to growers via discussion groups, growers were then interested in how 204 the forecast allocation could be used. A methodology for producing the water 205 allocations and irrigation schedules is now described following some background 206 details about the water supply scheme.

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### 208 2.2 Water Supply Scheme

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210 SunWater is the builder, owner and operator of water infrastructure throughout 211 Queensland, which encompasses the case study region of this paper. Irrigation water supplies in the Bundaberg district include surface water from the Bundaberg Water 212 213 Supply Scheme and ground water from the Bundaberg Subartesian Area. The 214 Bundaberg Water Supply Scheme (BWSS) was designed in 1970. There are two main 215 rivers contributing to the scheme – the Kolan river and the Burnett river. This study 216 focused on irrigators accessing water from the Burnett part of the scheme where a 217 100% allocation allowed growers to apply 4 ML of water for every hectare under 218 cane, somewhat less than the optimal 6 ML/ha as outlined in Baillie (2004).

220 The water year for the management of BWSS is from 1 July to 30 June. From a 221 climate perspective, this coincides with a time period where there is persistence in 222 ENSO. This persistence however will tend to dissipate towards the end of the water 223 year (around Autumn). Each July, SunWater announces an allocation as a percentage 224 of the entitlement volume for the current water year. As an example, a 10% allocation 225 would be equivalent to 0.4 ML/ha (40 mm) for the full cropped area. Announced 226 allocations are based on the SunWater allocation model which incorporates available 227 water in storage, future inflows and transmission and operating losses to determine announced allocations for irrigators. For more details on the operating rules, we refer 228 229 the reader to the Interim Resource Operations License provided by the regulatory 230 authority (Queensland Government, 2000).

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232 Allocations can not be reduced as the year progresses. To ensure this, SunWater takes 233 a conservative approach in determining the water allocation. The available water 234 resource is estimated as the present storage plus nominal inflows of 2,000 ML for the 235 Burnett River less high security requirements (about 24,000 ML/year), a 12 month 236 high security carry-over and other operating and transmission losses. This allocation 237 is revisited as water storage levels increase during the season. Noteworthy is the 238 conservative assumption of 2,000 ML inflow. The minimum annual recorded inflow 239 for the Burnett River is 54,546 ML with a median inflow of 830,520 ML. Since most 240 inflows occur in the Austral Summer (December-February), the final allocation 241 percentage will almost always be higher than the initial allocation percentage. Despite 242 the likely increase, the water authority is bounded by the operating rules and is unable 243 announce future allocations until the flows have been captured to avoid legal 244 penalties.

246 Developing irrigation strategies for a season is complicated because water is allocated 247 to sugarcane farmers at different times of the years. Typically these allocations are 248 lower at the beginning of the water year (July) and increase during the next 12 months 249 as water inflows are captured (Fig. 2). The cycle begins again in July of the following 250 year. Although Fig. 2 suggests the final allocation is approximately double the initial allocation, most growers do not fully understand the probability of increases in 251 252 allocations and remain fearful about the downside risk associated with years when the 253 allocation may not increase. Consequently, many farmers take a conservative view of 254 assuming very little increase in future allocations. For example, in 2000-2001 it was 255 identified that a water volume equivalent to 15% of the nominal allocation for the 256 Bundaberg Water Supply Scheme was left unused at the end of the water year. 257 Clearly, improved understanding of water availability for the coming season would 258 give farmers a better sense of how much water they could use earlier in the season. 259 This would be particularly useful for this case study where water at the end of the year 260 is not directly redistributed to the grower who 'saved' their water.

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262	2.3	Climate	Forecasting	System
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The climate forecasting system applied in this paper is the five phase Southern Oscillation Index (SOI) climate forecasting system (Stone et. al. 1996). The phases of the SOI represent the change in the average SOI over consecutive months. The SOI phases are:

268 1. consistently negative – the SOI stays sufficiently negative from one month to
269 the next;

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2. consistently positive – the SOI stays sufficiently positive from one month to
271 the next;

3. rapidly falling – the SOI falls sufficiently from one month to the next;

4. rapidly rising – the SOI rises sufficiently from one month to the next;

5. near zero – the SOI stays close to zero from one month to the next;

where "sufficiently" is dependent on the defining boundaries from a cluster analysis

and principal component procedure as described in Stone et al. (1992).

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Every month since 1887 can be classified as one of these five phases. The probability of exceeding a specified value of the response is calculated by a historical analysis. The denominator in the probability fraction is the number of years that the particular SOI phase in a particular month has occurred, and the numerator is the number of years the response exceeded the specified value.

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284 2.5 Forecasting Water Allocations

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The water allocation was forecast on two occasions between July 2001 and June 286 287 2002. The first forecast produced at the end of August, predicted the likely allocation 288 at the end of December. The second forecast produced in early January, predicted the 289 likely allocation at the end of March. The August forecast indicated the probability of 290 the allocation increasing mid season. This gave growers an opportunity to plan the 291 use of water earlier. The January forecast gave growers an opportunity to revise their initial irrigation strategies, and where appropriate, modify their strategies to be in a 292 293 better position to use all of their remaining allocation as recommended by Baillie 294 (2004).

296 The August allocation forecast was produced by:

297 1. Inputs to the SunWater allocation model that describe climate and hydrological
298 conditions were set according to the conditions observed at the end of August
299 2001.

300 2. Observed historical Burnett River streamflows, for each year between 1911 and 301 1996, were individually entered into the SunWater allocation model for the months 302 of September, October, November and December. The output from the model was 303 a distribution of allocations for the end of December 2001. This distribution was 304 produced from each annual streamflow sequence inputted to the allocation model 305 as depicted in Fig. 3. We define  $A_{SEP-DEC(i)}$  to be the expected allocation at the end 306 of 2001 if Sep-Dec streamflow sequences identical to the year  $j \in [1911, 1996]$ 307 occurred.

308 3. The outputted allocations were divided into five groups on the basis of the August
309 SOI phase. For example, the allocations derived from streamflows in: 1926, 1927,
310 1929, 1930, 1931, 1932, 1933, 1935, 1939, 1948, 1949, 1952, 1959, 1961, 1963,
311 1968, 1969, 1978, 1980, 1984, 1990, 1992 and 1995 formed one group. These
312 years had a near zero August SOI phase. The other four groups were derived
313 similarly.

4. A graph that displayed the probability of reaching certain allocation levels by theend of December was produced (see Fig. 5).

5. A Kruskal-Wallis test (Triola, 2008) was used to investigate distributional
differences of the forecast allocations by the SOI phases.

The January forecast was performed in a similar way to the August forecast. The SunWater allocation model was initialised to mimic observed climate and hydrological conditions at the end of December 2001. The SunWater allocation model used historical streamflow sequences for January, February and March to obtain water allocations at the end of March. The allocations outputted from the SunWater model were separated into five groups according to the December SOI phase (see Fig. 5).

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## 326 2.6 Linking Forecast Allocations with Simulated Irrigation Schedules

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328 As part of the case study growers became more aware of the probability of increases 329 in water allocation. Given these likely increases growers then questioned how they 330 could plan to use their water. To assist growers contemplating how an increased 331 allocation could be best used, the next stage of the research process involved 332 integrating future probabilistic knowledge of water availability with the APSIM based 333 irrigation optimization process described by Inman-Bamber et al. (2005). In this 334 process APSIM was used to simulate crop growth up to the end of the current climate 335 record when the crop may only be partially developed. Development to the anticipated 336 harvest date is then simulated using 40 years of climate records for the given calendar 337 period between the current and harvest dates. For each year in the simulation, 338 irrigation is 'applied' at 10 levels of crop water stress until the given allocation is 339 exhausted. In the case of no stress, the allocation rapidly depletes unless there is 340 rainfall to help prevent stress. The greater the stress level, the longer it would take to 341 use the given allocation. Allowing too much stress to develop may result in under-342 utilisation of the allocation which can then produce suboptimal economic returns as 343 detailed in Baillie (2004). Inman-Bamber et al. (2005) estimate water stress levels in the simulation by comparing photosynthesis with potential photosynthesis. The former may be limited by lack of water while the latter is not limited by root water supply. A distribution of best irrigation dates was obtained from the best strategies (highest yield<sup>2</sup>) in each of the 40 years in the simulation. The next irrigation was applied on the median date. The median date was chosen because the risk of irrigating too early is equal to the risk of irrigating too late. We refer the reader to Inman-Bamber et al. (2005) for more details about this procedure.

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352 The procedure summarised above was used to develop an irrigation schedule to 353 demonstrate to growers how they could plan to use their water for the remainder of 354 the season. In Bundaberg, sugarcane is harvested over a 6 month period (approx. June 355 to November) after which the crop is ratooned (allowed to regrow). Ratoon crops 356 regenerate any time between June and November. Two irrigation schedules were 357 produced for growers – the first was designed for crops that ration early (July) and 358 the second was specific to late (October) ratoons. Experience with the optimization 359 system showed that soil type did not have a significant effect on the irrigation schedule. This is because the system aims to irrigate during forecasted stress periods 360 361 which occurs regardless of soil type. The degree of stress during these periods is 362 highly dependent on soil type but the timing of the stress periods less so. A Red 363 Kandosol (Isbell, 1996) was selected to represent a range of intermediate soil types 364 common to Australian sugarcane growing regions.

- 366 **3. Results and Discussion**
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<sup>&</sup>lt;sup>2</sup> The strategy that gives the highest yield will also maximise profitability. The strategies have no cost

368 Figure 5 shows the probability of reaching various announced water allocations at the 369 end of December 2001, based on the five August SOI phases individually, and combined ('all'). The "all years" line produced by merging the five SOI groups, 370 showed a probability of  $0.75^3$  that the allocation will exceed 30% at the end of 371 December. If the August SOI phase was consistently negative, then the probability of 372 373 exceeding a 30% allocation would be much lower (0.40 - 0.50). Conversely, the probability of exceeding a 30% allocation is much higher (~ 0.90) following a 374 375 consistently positive August SOI phase. In 2001, the August SOI phase was near zero. 376 The allocation distribution based on the near zero SOI phase is similar to the all years 377 (climatology) line - approximately a 0.75 probability that the allocation would exceed 378 30% (1.2 ML/Ha) by the end of December. The Kruskal Wallis test was significant 379 (p=0.001) supporting evidence for differences in forecast allocations among the SOI 380 phases.

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382 The procedure was repeated in early January, when allocations at the end of March 383 were forecast. Figure 5 shows the allocation forecast for the end of March. The 384 second forecast which was communicated to growers via a media release, highlighted 385 a 0.75 probability the allocation at the end of March would be 55% (2.2 ML/Ha). 386 When this forecast was produced, there was limited airspace in the water storage 387 facilities to capture future inflows and this has contributed to the marked change in 388 the shape of the probability curve and an insignificant Kruskal Wallis test (p=0.272). 389 The variation in allocations between years with different SOI phases was therefore

differentiation because they are based on using the same quantity of water within the constraints of the existing irrigation infrastructure.

<sup>&</sup>lt;sup>3</sup> The 75th percentile was chosen, as it seemed to represent amongst growers a good balance between being too risky and too conservative.

negated due to limited storage capacity. The rapidly falling SOI phase for December2001 did not influence the climatological forecast.

392

393 Once growers were aware that future insight about likely allocation increases could be 394 produced, the next question they asked was how this knowledge could be combined 395 with irrigation management practices. As part of the action research approach, this 396 was investigated. At the end of December 2001, growers had access to 35% of their 397 nominal allocation (i.e. 1.4 ML/Ha). Based on water meter readings, growers had 398 used only 1 ML/ha of this amount. The irrigation simulation required that APSIM was 399 programmed to use 1ML/ha by the end of December since this is what had actually 400 happened. Based on the allocation forecast (Fig. 5) APSIM was programmed to use an 401 additional 1.2 ML/ha (2.2 ML/ha minus 1 ML/ha) by June 30 (the end of the water 402 year). Figure 6 shows how much water should be used (y-axis) and by when (x-axis) 403 for (a) early ratoons/cut blocks and (b) late ratoons/cut blocks. Consider for example, 404 the irrigation schedule which maximises simulated yield presented in Fig. 6a. 405 Approximately 16% of the available water is applied in November and December, and 406 approximately 38% in January with smaller amounts of irrigation applied from 407 February. Thus, the irrigation schedule for early cut blocks provides an irrigation 408 schedule that concentrates water applications from November to January, whilst for 409 late cut blocks (Fig. 6b) the irrigation schedule suggests that it is better to spread out 410 the water applications.

411

412 6. Concluding Remarks

414 This paper has reported a cross-institutional collaborative effort established during the 415 2001/02 water year to fulfill sugarcane farmers' request of improving their knowledge 416 about water availability. In the end, water allocations were forecast using phases of 417 the southern oscillation index for sugarcane farmers on the southern Bundaberg Water 418 Supply Scheme in Australia. Additionally, it was demonstrated how forecast 419 allocations could be linked to an irrigation scheduling system. This entire process was a direct result of growers, extension staff, water authorities and research scientists 420 421 working participatively. Industry were simply unaware of the flexibility of modeling 422 tools to produce relevant information for managing irrigation practices and assessing 423 the riskiness of increases in future allocation announcements. Equivalently, the 424 researchers and water authorities were not aware of the precise needs of industry 425 members. The participatory approach ensured that the researchers programmed their 426 models to output information that was relevant to industry needs. Moreover, the 427 symmetrical learning that was undertaken made growers aware of the outputs that 428 could be generated from the agrological, climatological and hydrological models. 429 Thus, the key lesson learned from this study was that the participatory approach 430 significantly contributed to the production of practically significant information that 431 matched the needs of industry stakeholders.

432

A limitation of this research was that it did not consider the eight points listed in the introduction that contribute to the lack of adoption of climate forecasts. Rather it simply focused on overcoming cross-institutional barriers (point 5) and producing relevant forecasts (point 3). However, this process sufficiently established proof of concept, but further research is needed to determine if the 'accuracy' (point 1) can be improved by for example considering alternative forecasting systems and 439 understanding the relationship between 'accuracy' and lead-times. We believe the 440 participatory research ensured the forecasts were at the appropriate scale/resolution 441 however, further advice from industry about the frequency of the forecasts is needed 442 prior to operationalising (point 4). The challenge of communicating probabilities 443 (point 2) will always be a major obstacle to address. It is also vital that future work 444 formerly assesses the quantitative benefits (point 6) of the forecasting methodology and considers appropriate pathways for information transfer (point 7). Perhaps 445 446 however, the first thing to be done should be reviewing the literature to learn from 447 non-adoption situations (point 8).

448

449 There were also some technologically driven learnings that emerged from this case 450 study. Whilst future work is needed to improve the methodological process, the 451 process as it stands played a significant role in increasing awareness about the need to 452 use more water early, and the high probability of increases in water allocations 453 throughout the season. It is also important to reinforce that the ability of climate 454 forecasts to improve upon climatology is dependant on both the SOI phase and the current hydrological conditions, e.g. storage availability. Interestingly, the forecasting 455 456 system was found to be a trigger for increasing awareness and understanding about 457 fundamental patterns in the water system derived from climatological increases in 458 water allocations. Prior to this case study, this information was unknown and a 459 process for producing this information was undefined.

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461 In response to grower demand, allocations were again forecast in the 2002/03 water 462 year. In early 2003 the Bundaberg Water Supply Scheme received significant inflow 463 filling storages to a point where the announced allocation for the scheme was increased to 100%. For several years that followed the announced allocation
remained at 100% and therefore allocation forecasts have not been required. However,
the sustained drier periods that have been witnessed in recent years has bought this
research to the forefront again.

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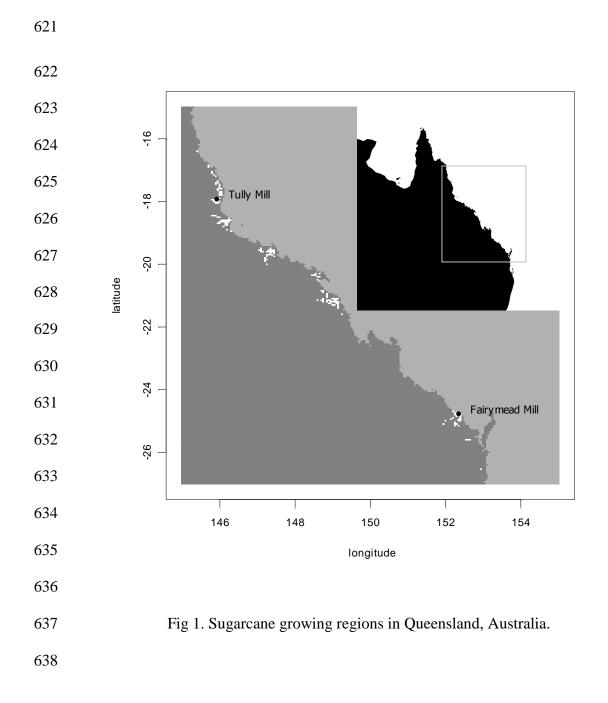
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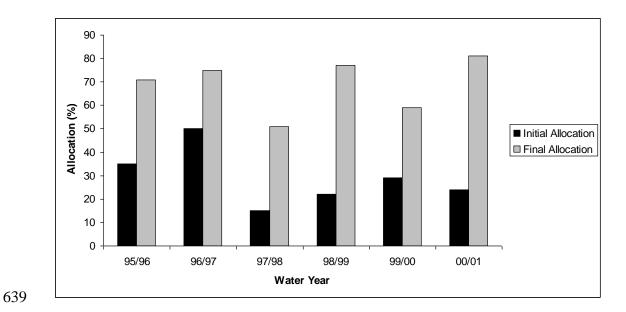
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640 Fig 2. The initial allocation and final allocation as a percentage of total allocation for

641 water years between 1995 and 2000 for sugarcane farmers on the Bundaberg water

642 supply scheme.

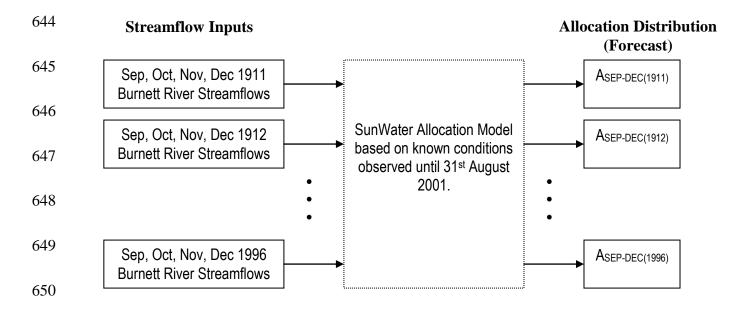
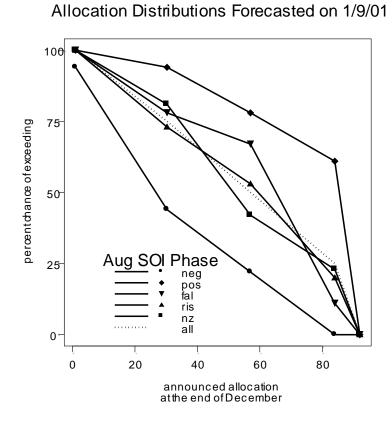
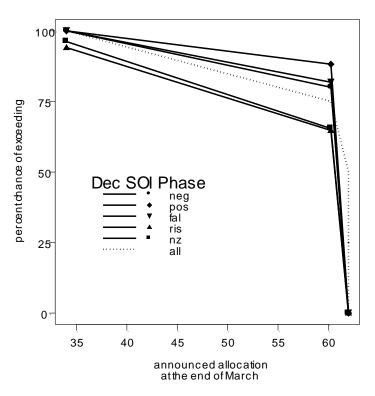


Fig. 3. Computing the forecast allocation distribution for the end of December. This forecast distribution is based on observed conditions until 31<sup>st</sup> August 2001 and historical streamflow sequences between 1911 and 1996, inclusively.



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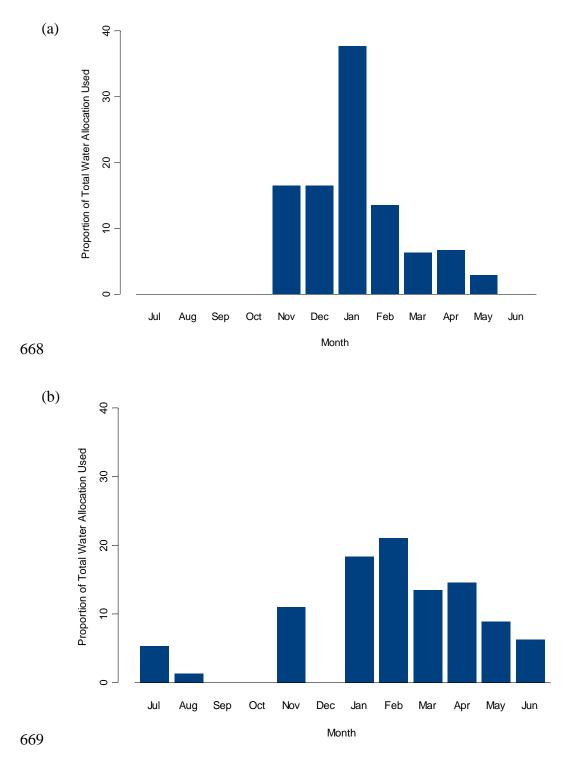
Fig. 4. Probability (y-axis) that allocations at the end of December will exceed a 655 656 certain amount (x-axis). This amount is expressed as a percentage of a farmers 657 nominal allocation (4 ML/ha). These probabilities were calculated using perfect knowledge of the water system at the beginning of September using climatology (all 658 659 years) and for years defined by August SOI phases.



Allocation Distributions Forecasted on 1/1/02

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Fig. 5. Probability (y-axis) that allocations at the end of March will exceed a certain amount (x-axis). This amount is expressed as a percentage of a farmers nominal allocation (4 ML/ha). These probabilities were calculated using perfect knowledge of the water system at the beginning of January using climatology (all years) and for years defined by the December SOI phases in the year immediately before the forecast was produced.



670 Fig. 6. Irrigation strategies for (a) early and (b) late cut blocks.