

**GENETIC DIVERSITY AND SEXUAL-DIMORPHISMS ARE  
IMPORTANT CONTRIBUTORS TO THE INFLAMMATORY  
RESPONSE INDUCED BY ENDOTOXIN**

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**2004**



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Dissertation zum Erwerb des Doktorgrades der Medizin an der Medizinischen  
Fakultät der Ludwig-Maximilians-Universität zu München

Vorgelegt von  
Heiko Trentzsch  
aus  
Hannover  
2004

Mit Genehmigung der Medizinischen Fakultät  
der Universität München.

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Tag der mündlichen Prüfung:	15.07.2004

## Meinen Eltern

"Twenty years from now you will be more disappointed by the things that you didn't do than by the ones you did do. So throw off the bowlines. Sail away from the safe harbor. Catch the trade winds in your sails. Explore. Dream. Discover."

Mark Twain



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## **1. INTRODUCTION**

### **1.1. Sepsis**

Injury induced by a stochastic event, such as trauma or after an operative intervention initiates an inflammatory response directed to control the initial insult. Patients, who withstand the initial injury, are still at risk to suffer serious deterioration of their health by secondary responses mounted after the initiating insult. Such secondary responses are commonly sepsis, acute respiratory distress syndrome (ARDS) and multiple organ dysfunction syndrome (MODS) (Baue 1975; Meakins 1990; Baue 1996). Morbidity and mortality associated with these conditions are a major health problem (Rangel-Frausto *et al.* 1995). A national estimate of 751,000 cases of sepsis is predicted per annum in the U.S, with an average hospital stay of 19.8 days and costs of approximately \$22.100 per case (Angus *et al.* 2001).

An intriguing question that arises from clinical observations is the diversity in the outcome after severe injury. Thus, it could be hypothesized that the regulation of the response to injury is different among human beings, thus resulting in a different incidence of sepsis, ARDS and MODS.

### **1.2. Mediators of sepsis**

Although the patho-physiological mechanism that underlines these syndromes is not exactly clear, it seems to proceed from an uncontrolled inflammatory response (Livingston *et al.* 1995). The inflammatory response is composed by the orchestrated expression of several factors directed to repair the initial insult. In addition, the inflammatory process auto-regulates itself through actions that aim at clearing components of the inflammatory cascade.

Lipopolysaccharide (LPS), or endotoxin, is a component of the outer cell-wall



of gram-negative bacteria (Mayeux 1997). In patients with bacteremia, LPS can be detected in about 30% of patients (Cohen 2000). LPS is considered to play a key-role in human gram-negative septic shock (Kelly *et al.* 1997). Injection of bacterial LPS to healthy volunteers results in a hyper-dynamic metabolic state accompanied by an acute inflammatory response, which mimics several aspects of gram-negative sepsis (Bone 1992; Mayeux 1997).

LPS in circulation is recognized by a protein, named LPS-binding protein (LBP). The LPS-LBP-complex interacts with a surface receptor on monocytes and macrophages, coined CD-14 (Wright *et al.* 1990). CD-14 is a glycosyl-phospho-inositol (GPI) anchored glycoprotein, which does not possess any trans-membrane or cytosolic domains. Thus, the signal transduction triggered by LPS requires accessory membrane associated proteins. One of these accessory proteins is Toll-like receptor 4 (TLR-4). Via a complex signal transduction pathway activated by TLR-4, the transcription factor NF- $\kappa$ B is activated, and is translocated into the nucleus to initiate transcription of pro-inflammatory genes that encode for mediators of the inflammatory response, such as *Tumor Necrosis Factor  $\alpha$*  (TNF- $\alpha$ ) (Beutler *et al.* 2001).

TNF- $\alpha$  belongs to the family of cytokines. They can be divided into two major groups: pro-inflammatory cytokines (e.g. TNF- $\alpha$ , IL-1 $\beta$ ) that initiate the inflammatory response, and anti-inflammatory cytokines (e.g. IL-10), which regulate the inflammatory process. The presence of cytokines in circulation is considered a good marker of the inflammatory response (Ertel *et al.* 1993; Volk *et al.* 1999; Hoflich *et al.* 2002). TNF- $\alpha$  is a pro-inflammatory cytokine that is produced by macrophages, monocytes, neutrophil granulocytes, natural killer-cells and keratinocytes. The production of TNF- $\alpha$  can also be induced by a vast number of

stimuli, such as bacteria, fungi, tumor cells, cytokines like IL-1, IL-2, interferon  $\gamma$  (IFN- $\gamma$ ) etc. It is considered a major mediator in the physiological response to shock and sepsis with a broad range of effects on cells of the immune system. In addition, TNF- $\alpha$  stimulates the proliferation of T- and B-cells, the expression of *major histocompatibility complex* antigens (MHC-I and MHC-II) and modulates the expression of adhesion-molecules on endothelial cells. TNF- $\alpha$  also interacts with anticoagulation properties of the endothelium and promotes prostaglandin E2 formation. TNF- $\alpha$  is chemotactic for neutrophils and induces the production of IL-2 receptors and IFN- $\gamma$  from T-cells (Aggarwal *et al.* 1996). TNF- $\alpha$  plasma levels peak 1.5 to 2h after LPS-injection before going back to baseline (Villa *et al.* 1995; De Maio *et al.* 1998; Remick *et al.* 2000). TNF- $\alpha$  has been implicated as major negative factor in the clinical outcome from sepsis in humans (Damas *et al.* 1989; Baud *et al.* 1990; Pinsky *et al.* 1993). However, studies on the effects of anti-TNF- $\alpha$  antibodies in humans remain controversial. Most studies could not demonstrate improved survival in septic patients (Abraham *et al.* 1995; Cohen *et al.* 1996; Reinhart *et al.* 1996; Abraham *et al.* 1998; Abraham *et al.* 2001). Interestingly, LPS-induced TNF- $\alpha$  levels in the supernatant of monocyte-cultures from healthy humans show inter-individual, probably HLA –associated differences (Molvig *et al.* 1988). Differences in LPS-induced TNF- $\alpha$  levels between A/J and B6 mice have also been observed and were referred to genetic variability within the same species (De Maio *et al.* 1998). Polymorphisms in the TNF- $\alpha$  gene of these two strains have been reported (Iraqi *et al.* 1997, 1999). In humans, at least two polymorphism have been evaluated for their association with increased susceptibility to severe sepsis (Stuber *et al.* 1995; Stuber *et al.* 1996; Fang *et al.* 1999; Mira *et al.* 1999; Schroeder *et al.* 1999; Schroeder *et al.* 2000; Riese *et al.* 2003). These findings suggest that genetic diversity may have

stronger impact on inter-individual differences than originally anticipated. Interestingly, a gender component was described in one of these studies (Schroder *et al.* 2000).

IL-10 is also produced by macrophages, T lymphocytes and epithelial cells and is one of the most important anti-inflammatory cytokines (Moore *et al.* 1993; Oberholzer *et al.* 2002). IL-10 has a direct role in the down-regulation of several pro-inflammatory cytokines, particularly TNF- $\alpha$  (Marchant *et al.* 1994; van der Poll *et al.* 1997). Down-regulation of TNF- $\alpha$  by IL-10 occurs at multiple levels including transcriptional and posttranscriptional mechanisms (Gerard *et al.* 1993; Marchant *et al.* 1994; van der Poll *et al.* 1997). The importance of IL-10 has been illustrated in mice lacking the *IL-10* gene, which develop symptoms similar to *Crohn's* disease (Kuhn *et al.* 1993). Moreover, *IL-10* knock out mice injected with bacterial lipopolysaccharide (LPS) displayed elevated levels of TNF- $\alpha$  as compared to wild type animals (Kuhn *et al.* 1993). Administration of exogenous IL-10 to female BALB/c mice resulted in protection from injection of LPS (Howard *et al.* 1993). Similarly, male BALB/c mice treated with IL-10 were protected from *Staphylococcal* enterotoxin-B (Bean *et al.* 1993). No protection was observed in female BALB/c mice after cecal ligation and puncture (CLP), an experimental model of sepsis (Remick *et al.* 1998). Over-expression of *IL-10* improved survival of male B6 mice after challenge with *E. coli* (Takakuwa *et al.* 2000). Early treatment with anti-IL-10 antibodies also increased survival of male A/J mice after CLP (Lyons *et al.* 1999). Interestingly, the response to an inflammatory stimulus varies between strains of inbred mice. We have previously reported that B6 mice showed higher IL-10 plasma levels in comparison with A/J mice after CLP (Stewart *et al.* 2002). Consequently, a

contribution of the genetic background has to be assumed to the inflammatory response in general.

### **1.3. Genetic components in sepsis**

A genetic component in the response to injury has recently been demonstrated. Different frequencies of mortality after injection of LPS were observed in various mouse strains (De Maio *et al.* 1998). Similar observations were made after CLP (Stewart *et al.* 2002), and mechanical, thermal and radiation injury (Radojicic *et al.* 1990). Different components of the inflammatory process, such as circulating cytokine levels, acute phase gene expression, and infiltration of polymorph-nuclear leukocytes in liver and lung have been observed to be different between various mouse strains after injection of LPS (De Maio *et al.* 1998; O'Malley *et al.* 1998) or after CLP (Stewart *et al.* 2002). Loci contributing to the LPS response have been identified in mouse (Matesic *et al.* 2000). Similarly, mutations in TLR-4 of C3H/HeJ and C57BL/10SCr mice have been identified as the responsible factor for their resistance to LPS (Qureshi *et al.* 1996; Poltorak *et al.* 1998). Studies in humans also indicate a genetic component in the response to several inflammatory conditions. The polymorphism in the promoter of the human TNF- $\alpha$  gene, 308(G/A), has been associated with increased risk of sepsis related mortality (Stuber *et al.* 1995; Mira *et al.* 1999). The TNF $^{\beta}$ , LT $^{\alpha}$ -Nco1 polymorphism of the *TNFB* locus (TNFB1/2) has been correlated with increased mortality of septic patients (Stuber *et al.* 1996; Fang *et al.* 1999; Schroeder *et al.* 1999; Schroeder *et al.* 2000), with an increased risk to develop severe posttraumatic sepsis (Majetschak *et al.* 1999) or with higher risk for complications after major surgery (Riese *et al.* 2003). Similarly, *Tnf* polymorphisms are associated with negative outcome from other infectious diseases, such as cerebral

malaria (McGuire *et al.* 1994), leishmaniasis (Cabrera *et al.* 1995), and autoimmune diseases, such as systemic lupus erythematosus (Jacob *et al.* 1991). Consequently, genetic diversity has to be taken into account to explain the variable response observed in patients and in order to identify risk-factors that predict increased susceptibility to develop severe sepsis after a major injury.

#### **1.4. Gender as a risk factor for the outcome from sepsis**

Another confounding factor in the response to injury is gender. Male gender has been associated with a higher risk of infections after injury (Offner *et al.* 1999). After surgery, male patients require therapy in the surgical intensive care unit more frequently than females and have higher incidence of severe sepsis and septic shock as compared to females (Wichmann *et al.* 2000). Females from different species have been demonstrated to be more resistant to bacterial, viral, and parasitic infections (Klein 2000). In general, female rodents have an enhanced immunological response with respect to males, resulting in better survival after injury (Zellweger *et al.* 1997; Angele *et al.* 2000). In particular, cytokine-secretion (IL-1, IL-2, IL-3, and IL-6) from isolated peritoneal and splenic macrophages from C3H/HeN mice after hemorrhagic shock were higher in females than in males (Wichmann *et al.* 1996). Similar studies provide evidence that female C3H/HeN mice are immunologically more competent than male mice after CLP (Zellweger *et al.* 1997). Gender differences have also been demonstrated in the response after burn injury in BALB/c mice (Gregory *et al.* 2000). This lower susceptibility of females to different insults has been explained by the presence of sex steroids.

Castrated C3H/HeN male mice supplemented with 5 $\alpha$ -dihydrotestosterone (DHT) show a decrease in the levels of pro-inflammatory cytokines after hemorrhagic

shock with respect to non-castrated mice. These findings were prevented when castrated male mice were supplemented with EST (Angele *et al.* 1999). A decrease in testosterone levels by either surgical castration or pharmacological blockage has been shown to be beneficial in C3H/HeN male mice after hemorrhagic shock (Remmers *et al.* 1997; Wichmann *et al.* 1997; Remmers *et al.* 1998).

However, controversial findings have been made in studies that found no gender difference (Riche *et al.* 1996; Eachempati *et al.* 1999; Wichmann *et al.* 2000), or an even higher mortality in septic female patients (McLauchlan *et al.* 1995; Napolitano *et al.* 2001; O'Keefe *et al.* 2001). Some authors even described higher incidence of infection in female patients (Dinkel *et al.* 1994; Kollef *et al.* 1997). In summary, it seems as if there is no general consensus whether gender is a positive or negative factor in the outcome from injury and how such controversial findings may be interpreted.

Interestingly, differences in *TNFB* gene distribution of septic patients have been correlated with a better outcome in females as compared to males (Schroder *et al.* 2000). It is possible that genetic variability has major impact on gender differences in the inflammatory response or its modulation by sex-steroids and thus may explain controversial observations on gender as a potential risk-factor that determines the outcome from severe sepsis.

## **2. AIMS OF THE STUDY**

We hypothesized that gender differences are also dependant on genetic traits. Since it is well-anticipated that sex-steroids are most likely responsible for gender differences, the effect of sex-steroids on the inflammatory process was investigated. To test this hypothesis, we designed an experimental model to answer the following question:

- Is gender a contributing factor to the LPS-induced inflammatory response?
- Can sex-steroids or hormone depletion by surgical castration modulate this response?
- Is this modulation dependent of the genetic background of the individual?
- Do sex-chromosomes carry information that is responsible for a variable response?
- Do changes in the response that were induced with sex-steroids or hormone depletion affect the outcome from endotoxic shock?

### **3. MATERIAL AND METHODS**

#### **3.1. Animals**

Male and female A/J, AKR/J, BALB/cJ, C57BL/6J (*herein designated as B6*), and DBA/2J mice at the age of 6 weeks were obtained from Jackson Laboratory (Bar Harbor, Maine). Additional male C3H/HeN mice were purchased from Charles River Laboratory (Portage, Michigan).

B6AF1 mice (*F1-generation; herein designated as B X A*), the offspring from a B6 female and an A/J male were purchased from Jackson Laboratory (Bar Harbor, Maine). F1s bred from an A/J female and a B6 male are not commercially available. Hence, AB6F1, (*herein designated as A X B*) were bred in our laboratory animal facility.

All mice were maintained under identical environmental conditions in a pathogen-free animal facility. All procedures were carried out in accordance with the guidelines for the Care and Use of Laboratory Animals by the National Institutes of Health (NIH). The experimental protocol was approved by the Institutional Animal Care and Use Committee of Johns-Hopkins-University School of Medicine.

#### **3.2. Castration**

Male mice (6 weeks old) were anesthetized with an intraperitoneal injection of Avertin (400 to 500 mg/kg body weight). Avertin, a common rodent anesthetic, was composed of 0.9 mM 2,2,2 tribromoethanol (Aldrich Chemical Company, Milwaukee, Wisconsin) in an aqueous solution of 0.5 % (V/V) tert-amyl alcohol (Aldrich Chemical Company, Milwaukee, Wisconsin). After anesthesia, the abdomen was opened by low midline laparotomy, testicles were exposed from the scrotum, and



were removed after ligation with a single suture (Silk 4-0; Ethicon Inc., Somerville, New Jersey). The abdominal incision was closed in two layers with absorbable suture (Polysorb 4-0; USSC, Norwalk, Connecticut). No mortalities were observed in castrated mice without LPS challenge.

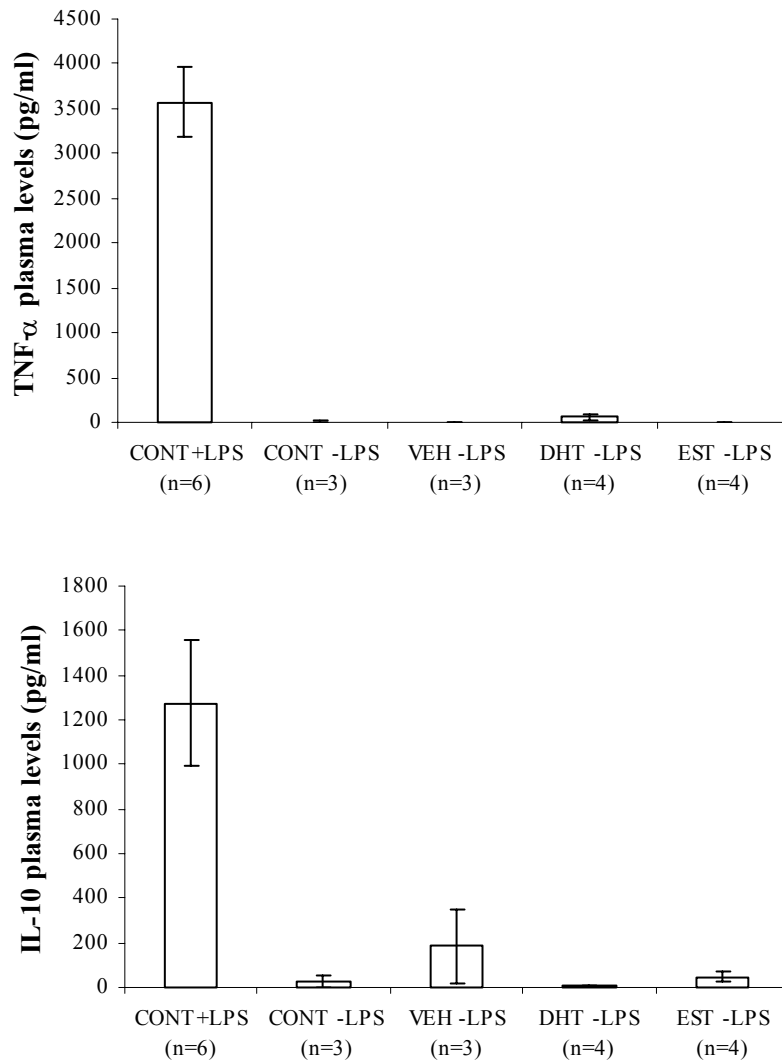
### **3.3. Ovariectomy**

Female mice (6 weeks old) were anesthetized with an intraperitoneal injection of Avertin (400 to 500 mg/kg body weight). Each ovary was accessed via a small paravertebral incision between the lower rim of the rib cage and the upper pelvic ridge. The oviduct and adjacent blood vessels were ligated using a single suture (Silk 4-0; Ethicon Inc., Somerville, New Jersey). The ovaries were then removed and the incisions were closed in two layers with absorbable suture (Polysorb 4-0; USSC, Norwalk, Connecticut). No mortalities were observed in ovariectomized mice without LPS challenge.

### **3.4. Hormone supplementation**

Protocol and dosage used in this experiment were followed as previously described (Angele *et al.* 1999): Following gonadectomy, hormone release pellets (Innovative Research of America, Sarasota, Florida) were implanted through a small dorsal incision into a subcutaneous pocket. These pellets release constant daily doses of hormone for up to 21 days: 0.357 mg/d of 5- $\alpha$ -Dihydrotestosterone (DHT), or 23.8  $\mu$ g/d of 17- $\beta$ -Estradiol (EST). Vehicle pellets that do not contain any active hormone served as placebo (VEH). After surgery, mice were allowed to recover for 2 weeks prior to further manipulations. Injection of saline in gonadectomized and hormone

supplemented mice did not result in any significant TNF- $\alpha$  or IL-10 plasma levels (Figure 1a+b).



**Figure 1 a+b: TNF- $\alpha$  (Fig. 1a, top) and IL-10 (Figure 1b, bottom) plasma levels in male A/J mice 14 days after recovery from surgical castration.** Six week old mice were castrated and received hormonal treatment with 5- $\alpha$ -Dihydrotestosterone (DHT) or 17- $\beta$ -Estrogen (EST) by hormone release pellets implanted during surgery. One group received pellets which do not contain any hormone (VEH). Additional, non-manipulated mice were used as control (CONT). Afterwards, all mice were maintained under identical conditions for two weeks. After fasting for 16h, mice received an intra-peritoneal injection of normal saline (-LPS). Some CONT mice received an injection of LPS (15mg/kg; CONT + LPS). After 1.5h of the injection plasma was obtained. Plasma cytokine levels were measured using an ELISA. The cytokine levels correspond to the average concentration obtained from each group  $\pm$  standard error of the mean. As opposed to the high levels of cytokine induced in non-manipulated male A/J mice injected with LPS, castration and implantation of pellets (DHT, EST, VEH) did not result in any significant cytokine response after injection of normal saline.

### **3.5. Endotoxemia**

At the age of 8 weeks, mice were subjected to endotoxic shock. By now, gonadectomized animals had recovered for 2 weeks. Mice were fasted for 16 h with access to water ad libitum. Then, they were intraperitoneally injected with *E. coli* 026:B6 LPS (15 mg/kg) (Difco Laboratories, Detroit, Michigan) under aseptic conditions. After 1.5 hours of the injection, animals were anesthetized with Avertin and blood was drawn from the right ventricle after cardiac puncture, and collected into potassium-EDTA-coated Microtainer™ tubes (Becton Dickinson; Franklin Lakes, New Jersey). The same batch of LPS was used throughout all described experiments. Plasma levels of TNF- $\alpha$  and IL-10 were measured using a commercial ELISA-kit (BioSource International Inc.; Camarillo, California). In the morality experiments, mice were treated as described, however survival was monitored up to 150h without any further intervention.

### **3.6. Vaginal smears**

Smears were obtained by lavage of the vagina with approximately 18  $\mu$ L of normal saline, injected through a 24G Teflon catheter on top of a 20  $\mu$ L pipette. The sample was put into an Eppendorf™ tube. For better contrast and staining of nuclei, a drop of methylene-blue was added. The sample was mixed well and then spread out on a slide for microscopic evaluation.

We used modified criteria to determine the stages of estrus cycle based on Rugh (Rugh 1990): When the majority of cells in the smear were leukocytes, the sample was classified as diestrus. When clearly defined epithelial cells, some with distinct nuclei or large, squamous epithelial cells without nuclei were observed, the smear was considered estrus. Intermediate stages were not further discriminated (*For*

*further detail on the murine estrus cycle see **APPENDIX 1: On the Estrus Cycle of the Mouse.**)*

### **3.7. Statistical analysis**

The Kruskal-Wallis One Way Analysis of Variance (ANOVA) on ranks with the 1 to k correction by Dunn was performed to determine the effect of different hormonal treatment with respect to untreated controls (CONT). Differences between two independent groups were evaluated by non-parametric comparison with Mann-Whitney-Rank Sum Test as indicated. Survival as categorical variable was analyzed using the Fisher Exact Test.

Data are expressed as mean  $\pm$  standard error of the mean (SEM) unless stated otherwise. Statistical significance was accepted at  $p < 0.05$ .

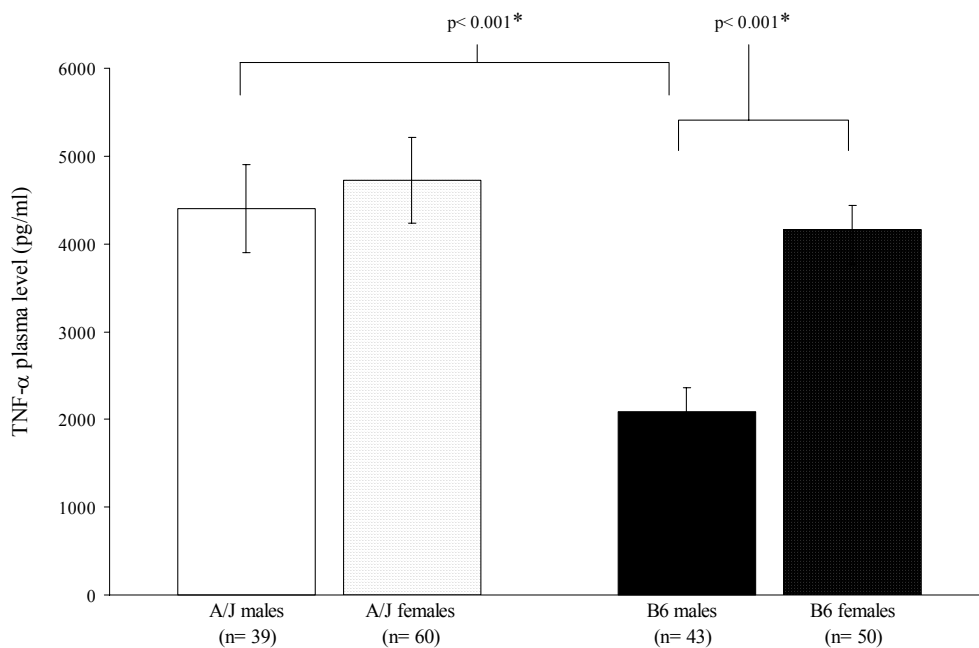
## 4. RESULTS

### 4.1. Gender differences in TNF- $\alpha$ plasma levels and the role of sex steroids

We compared the response to LPS between female and male B6 mice. Mice were injected with LPS (15mg/kg), which results in significant mortality within 48h, as previously reported (De Maio *et al.* 1998). Blood samples were taken 1.5 h after LPS injection for analysis of TNF- $\alpha$  plasma levels. This time point corresponds to the maximum detectable plasma level of this cytokine after this dose of LPS (De Maio *et al.* 1998). Female B6 mice showed TNF- $\alpha$  plasma levels that were two-fold higher than B6 male mice (**Figure 2**). These results reflected several independent determinations performed during 10 months to account for different stages of the estrus cycle in female mice and potential seasonal variability.

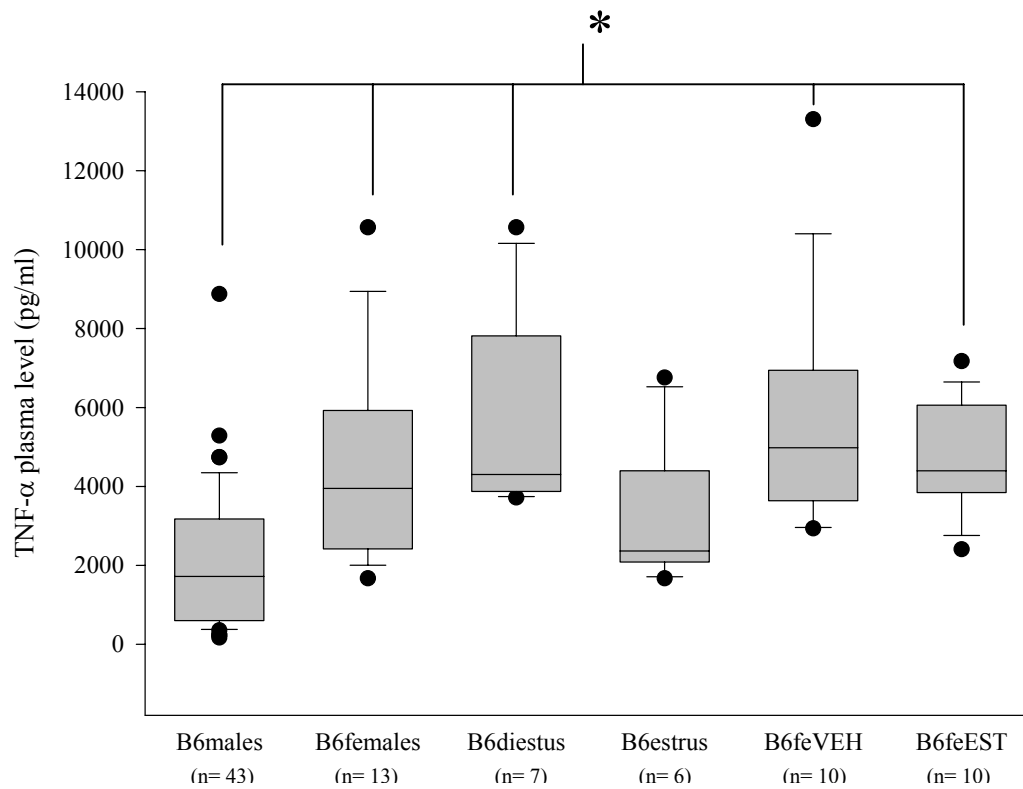
To test for possible influence of the estrus cycle, vaginal smears from an additional set of female mice was taken just before the injection of LPS. When LPS-induced TNF- $\alpha$  plasma levels were stratified by “estrus” and “diestrus” stage of the murine estrus cycle, no significant difference between females in estrus or diestrus could be detected (**Figure 3**). Only a trend towards lower TNF- $\alpha$  plasma levels of estrus (i.e. high estrogen) mice was observed. However, female B6 mice in diestrus (i.e. low estrogen) had significantly higher TNF- $\alpha$  levels than male B6 mice, confirming the observed gender-difference. Comparison of male B6 mice with female B6 mice in estrus did not show this difference.

Thus, the difference in LPS induced-TNF- $\alpha$  levels between females and males could be due to the presence of sex-steroids. To test this possibility, female and male B6 mice were gonadectomized at 6 weeks of age and supplemented with 17- $\beta$ -estradiol (EST), 5- $\alpha$ -dihydrotestosterone (DHT) or the vehicle as placebo (VEH).



**Figure 2: Gender differences in TNF-  $\alpha$  levels 1.5h after injection with LPS.** A/J male, A/J female, B6 male and B6 female mice at the age of 8 weeks were injected with LPS (15 mg/kg). Plasma samples were taken 1.5h after the injection. TNF-  $\alpha$  was measured using an ELISA. The cytokine levels correspond to the average concentration obtained from all animals in the group  $\pm$  standard error of the mean. Statistical significance was accepted at \* $p < 0.05$  obtained by pair wise comparison as indicated by lines, using Mann-Whitney-Rank Sum Test.

Two weeks after the intervention, mice were injected with LPS and plasma TNF- $\alpha$  levels were evaluated in plasma samples obtained 1.5 h after the injection. Castration of male mice without hormone replacement (VEH) resulted in a two-fold increase of LPS- induced TNF- $\alpha$  levels suggesting a suppressive effect caused by testosterone. Addition of EST to the castrated male mice resulted in a further increase of TNF- $\alpha$



**Figure 3: Gender differences and hormonal influence on LPS-induced TNF- $\alpha$  levels in B6 mice after injection with LPS.** At the age of 8 weeks, B6 female and B6 female in diestrus or in estrus were injected with LPS (15mg/kg). Plasma samples were taken 1.5h after the injection. LPS-induced plasma levels of TNF- $\alpha$  were measured using an ELISA and were compared to those of B6 male mice after 1.5h of LPS injection. Additionally, female B6 mice at the age of 6 weeks were ovariectomized and treated with estradiol pellets (feEST) or vehicle (feVEH). After 14 days of recovery, mice were injected with LPS as described and blood sample were taken 1.5h after the injection. Plasma was obtained and TNF- $\alpha$  levels were measured. Cytokine levels in each female group were compared to LPS-induced TNF- $\alpha$  plasma levels of male B6 mice by pair wise comparison. The cytokine levels correspond to the average concentration obtained from all animals in the group  $\pm$  standard error of the mean. Statistical significance was accepted at \* $p < 0.05$  obtained by pair wise comparison with respect to B6 males using Mann-Whitney-Rank Sum Test.

levels (*3-fold*) indicating an enhancing effect of this hormone. Administration of DHT resulted in LPS-induced TNF- $\alpha$  plasma levels similar to non-operated mice (CONT). LPS-induced TNF- $\alpha$  levels in EST supplemented B6 male mice were also higher (*1.5 fold*) than non-operated female mice ( $p < 0.022$ ).

Female B6 mice showed no effect of ovariectomy or hormone replacement in the TNF- $\alpha$  plasma levels induced by injection of LPS (**Table 1**). Examination of vaginal smears obtained from ovariectomized female mice supplemented with EST showed the typical pattern of the estrus stage. In contrast, the pattern of female mice supplemented with DHT or placebo corresponded to a diestrus-like stage.

These results suggest a modifier role for sex-steroids in LPS-induced TNF- $\alpha$  response in male B6 mice. However, hormonal manipulation did not alter the response of female mice, arguing against that modifier role of sex-steroids. It is possible that other sex-related factors, such as genetic differences are involved in the response to LPS of female and male mice.

To determine if gender differences underlie variability based on genetic differences within strains of inbred mice and thus are dependant on the genetic background, we repeated the experiment in A/J mice. This strain has previously been demonstrated to show a distinctive response to LPS in comparison with B6 mice (De Maio *et al.* 1998; O'Malley *et al.* 1998; Matesic *et al.* 1999; Matesic *et al.* 2000; Stewart *et al.* 2002). Male A/J mice were found to have higher LPS-induced TNF- $\alpha$  plasma levels than B6 males (De Maio *et al.* 1998).

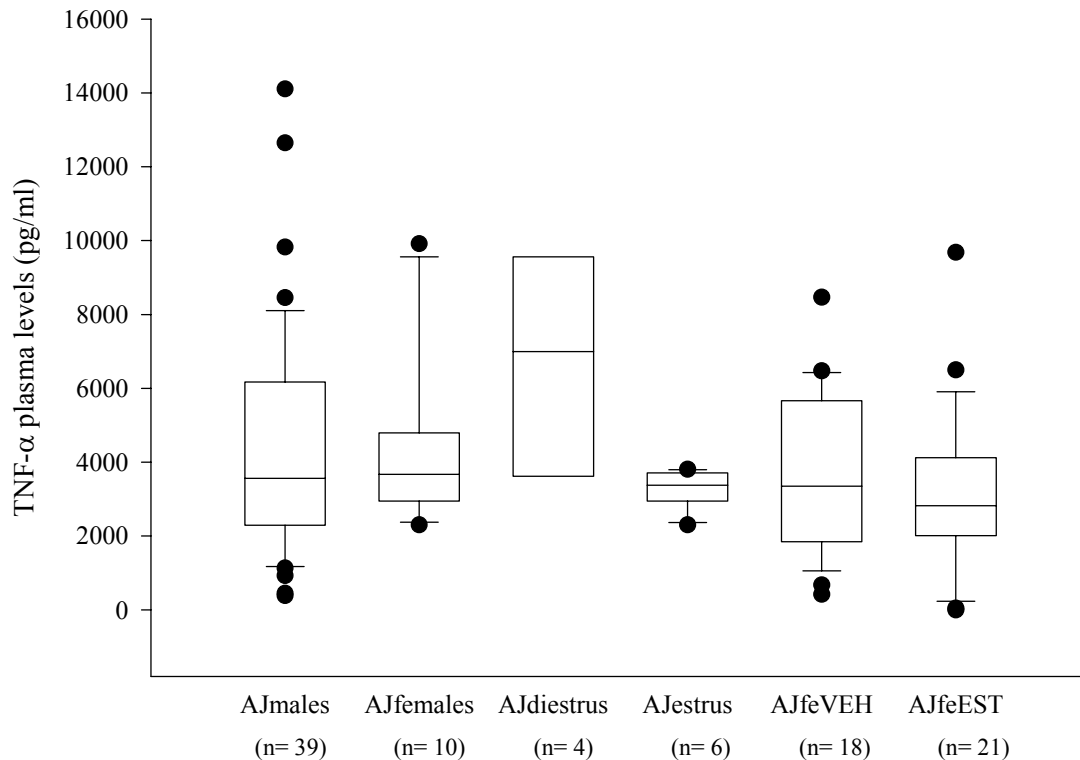
When LPS-induced TNF- $\alpha$  plasma levels of male A/J mice were compared with females, no gender difference was detected (**Figure 2**). Since females were not



	<b>CONT</b>	<b>VEH</b>	<b>EST</b>	<b>DHT</b>
<b>AJ male</b>	4400 ±503 n= 39	2926 ±374 n= 21	1743 ±261 n= 19 ↓ * x2.5	3573 ±452 n= 22
<b>AJ female</b>	4719 ±488 n= 60	3817 ±526 n= 18	3171 ±500 n= 21	3832 ±418 n= 17
<b>B6 male</b>	2086 ±279 n= 43	4158 ±763 n= 15 ↑ * x2	6298 ±844 n= 11 ↑ * x3	3130 ±377 n= 9
<b>B6 female</b>	4158 ±388 n= 50	5655 ±975 n= 10	4649 ±463 n= 10	3051 ±435 n= 9

**Table 1: Comparison of LPS-induced TNF- $\alpha$  plasma levels between male and female B6 mice at the age of 8 weeks.** Gonadectomized mice were operated on at the age of 6 weeks and treated with EST, DHT or VEH released from hormone pellets implanted during surgery and followed by a two-week recovery period. Non-operated mice were used as controls (CONT). All mice were maintained under identical conditions. Mice were injected with LPS (15mg/ml) and TNF- $\alpha$  plasma levels were measured. Data corresponds to the average TNF- $\alpha$  concentration obtained from each group  $\pm$ standard error of the mean. Arrows indicate an increase ( $\uparrow$ ) or decrease ( $\downarrow$ ) of TNF- $\alpha$  plasma levels. Statistical significance was accepted at  $*p<0.05$  obtained by ANOVA on Ranks and Dunn's Method as Post Hoc test with respect to CONT.

stratified by the stage of the estrus cycle, possible gender differences related to changes in hormonal levels throughout the estrus cycle may have been masked. Therefore, vaginal smears were obtained from an additional set of female A/J mice. The findings suggest a similar, albeit statistically not significant trend as observed in B6 females: stages of low estrogen levels (i.e. diestrus) are associated with high levels of LPS-induced TNF- $\alpha$  and stages of high estrogen (i.e. estrus) are associated with low TNF- $\alpha$  levels (**Figure 4**).



**Figure 4: Gender differences and hormonal influence on LPS-induced TNF-  $\alpha$  levels in A/J mice after injection with LPS.** At the age of 8 weeks, A/J female and A/J female in diestrus or in estrus were injected with LPS (15mg/kg). Plasma samples were taken 1.5h after the injection. LPS-induced plasma levels of TNF- $\alpha$  were measured using an ELISA and were compared to those of A/J male mice after 1.5h of LPS injection. Additionally, female A/J mice at the age of 6 weeks were ovariectomized and treated with estradiol pellets (feEST) or vehicle (feVEH). All mice were maintained under identical conditions. After 14 days of recovery, mice were injected with LPS as described and blood sample were taken 1.5h after the injection. Plasma was obtained and TNF- $\alpha$  plasma levels were measured. The cytokine levels correspond to the average concentration obtained from all animals in the group  $\pm$  standard error of the mean. Statistical significance was accepted at \* $p < 0.05$  obtained by pair wise comparison with respect to A/J males using Mann-Whitney-Rank Sum Test

To evaluate the potential of sex-steroids to modulate TNF- $\alpha$  levels in this strain, A/J mice were gonadectomized and treated with hormone pellets releasing DHT or EST. An additional group was supplied with placebo pellets (VEH). In A/J male mice, there was no significant effect of castration without hormonal replacement (VEH) in LPS-induced TNF- $\alpha$  plasma levels. After treatment with EST, a decrease in LPS-induced TNF- $\alpha$  levels was observed. Ovariectomy and implantation of pellets, releasing either DHT, EST or VEH respectively, did not affect TNF- $\alpha$  plasma levels after injection of LPS in any female A/J group (**Table 1**). It appears that sex steroids can only modify the LPS-induced inflammatory response in male mice.

#### **4.2. Effect of 17- $\beta$ -EST on TNF- $\alpha$ plasma levels varies with the genetic background**

We assessed whether the effect of EST on LPS-induced TNF- $\alpha$  plasma levels was particular to B6 mice or if it could be observed in other mouse strains. Male mice of the inbred strains AKR/J, DBA/2J, and BALB/cJ were included into the study. Statistically different levels of plasma TNF- $\alpha$  after LPS injection in non-manipulated mice were observed in these strains resulting in the following hierarchy: DBA/2J > AKR/J = BALB/cJ = A/J > B6 (**Table 2**, *Mann-Whitney Rank-Sum-Test*,  $p < 0.05$ ). There was no significant effect of castration without hormonal replacement (VEH) in LPS-induced TNF- $\alpha$  plasma levels in any of these mouse strains other than B6. All of them showed a decrease in LPS-induced TNF- $\alpha$  levels after treatment with EST, which is contrary to the values observed in B6 mice (**Table2**).

	<b>A/J</b>	<b>AKR</b>	<b>DBA</b>	<b>BALB/cJ</b>	<b>B6</b>
<b>CONT</b>	4400 ±503 n= 39	6070 ±1031 n= 8	12196 ±1624 n= 8	3917 ±442 n= 10	2086 ±279 n= 43
<b>VEH</b>	2926 ±374 n= 21	6503 ± 1148 n= 8	9916 ±781 n= 8	5476±1498 n= 10	4158 ±763 n= 15 ↑ * x2
<b>EST</b>	1743 ±261 n= 19 ↓ * x2.5	4110 ±306 n= 8 ↓ * x1.5	7480 ±1183 n= 8 ↓ * x1.6	2365 ±254 n= 8 ↓ * x1.7	6298 ±844 n= 11 ↑ * x3

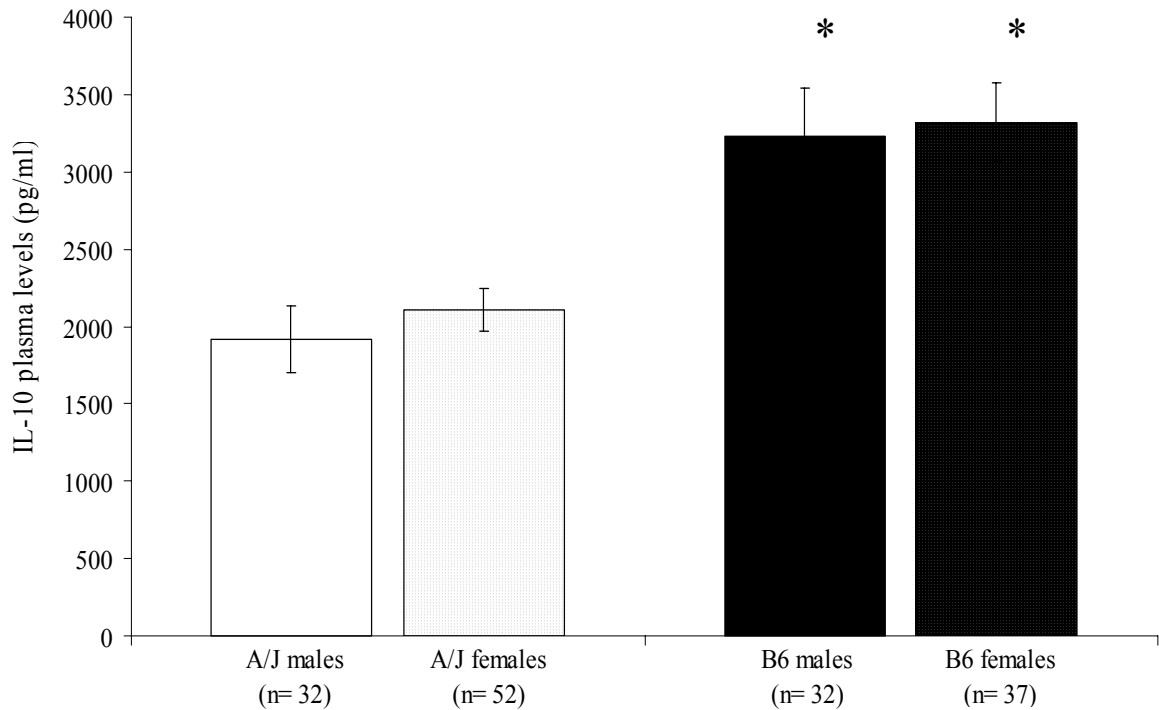
**Table 2: Modulation of LPS-induced TNF- $\alpha$  plasma levels in male mice from different inbred strains after estradiol treatment.** A/J, AKR/J, DBA/2J, BALB/cJ and B6 were castrated and supplemented with EST or VEH pellets. Non-operated mice were used as controls (CONT). Mice were injected with LPS (15mg/ml) and TNF- $\alpha$  plasma levels were measured. Data corresponds to the average TNF- $\alpha$  concentration obtained from each group  $\pm$ standard error of the mean. Arrows indicate increase ( $\uparrow$ ) or decrease ( $\downarrow$ ) of TNF- $\alpha$  plasma levels. Statistical significance was accepted at  $*p < 0.05$  obtained by ANOVA on Ranks & Dunn's Method as Post Hoc test with respect to CONT.

### 4.3. IL-10 plasma levels in male and female B6 and A/J mice and the role of sex steroids

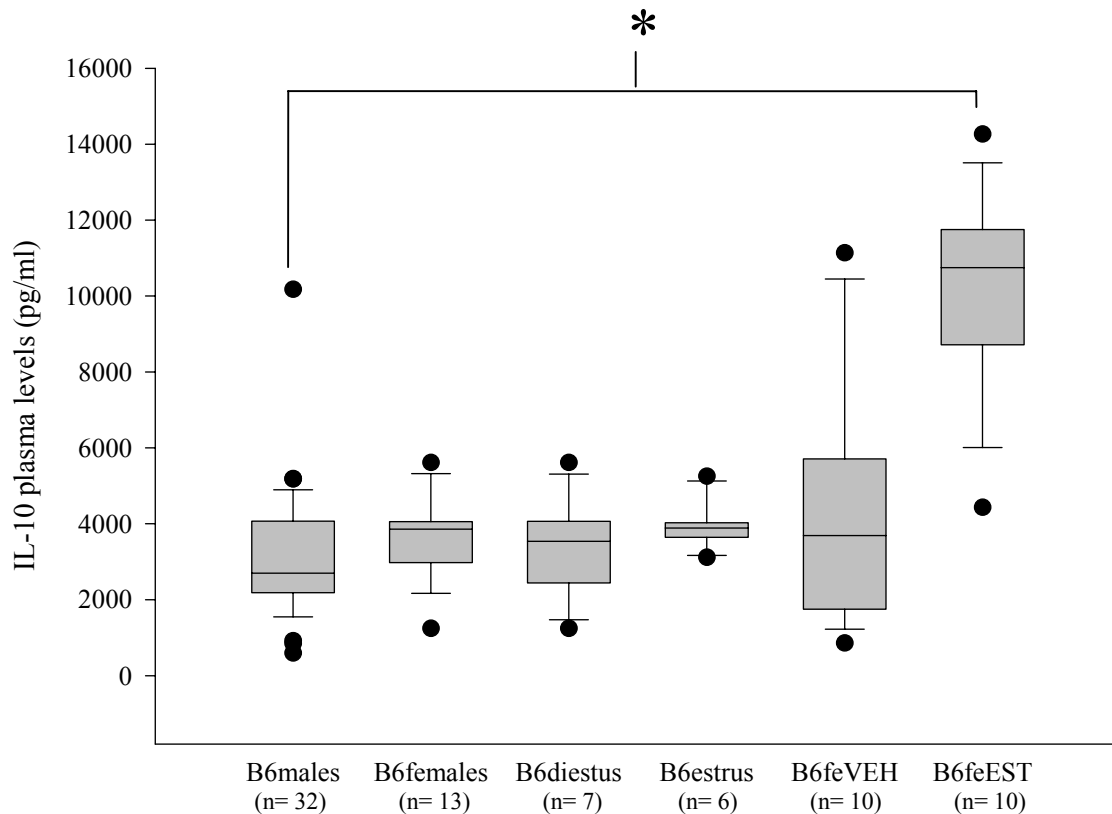
Male and female mice of B6 and A/J strain were injected with LPS (15 mg/kg) and blood samples were collected after 1.5 h for IL-10 detection. For this time-point, plasma IL-10 levels were observed to be maximal and with higher levels in B6 mice. However, there were no differences in LPS-induced IL-10 plasma levels between females and males of each strain (**Figure 5**). The data represents several independent experiments performed during a time period of 10 months to include possible seasonal variability. Moreover, female mice were not differentiated by the stage in the estrus cycle. Thus, this data represents the average of possible differences due to hormonal changes between males and females.

An additional set of A/J and B6 female mice was obtained and stages of the estrus cycle were determined just before the injection of LPS. While no effect of estrus or diestrus was detected in B6 females (**Figure 6**), A/J females in estrus (high estrogen) showed a trend towards increased IL-10 plasma levels (**Figure 7**). Thus a possible influence of sex-steroid on LPS-induced IL-10 plasma levels could not be excluded at least for the A/J strain. This finding implicates that hormonal effects might create differences between males and females although no relevant gender difference in LPS-induced IL-10 plasma levels was observed.

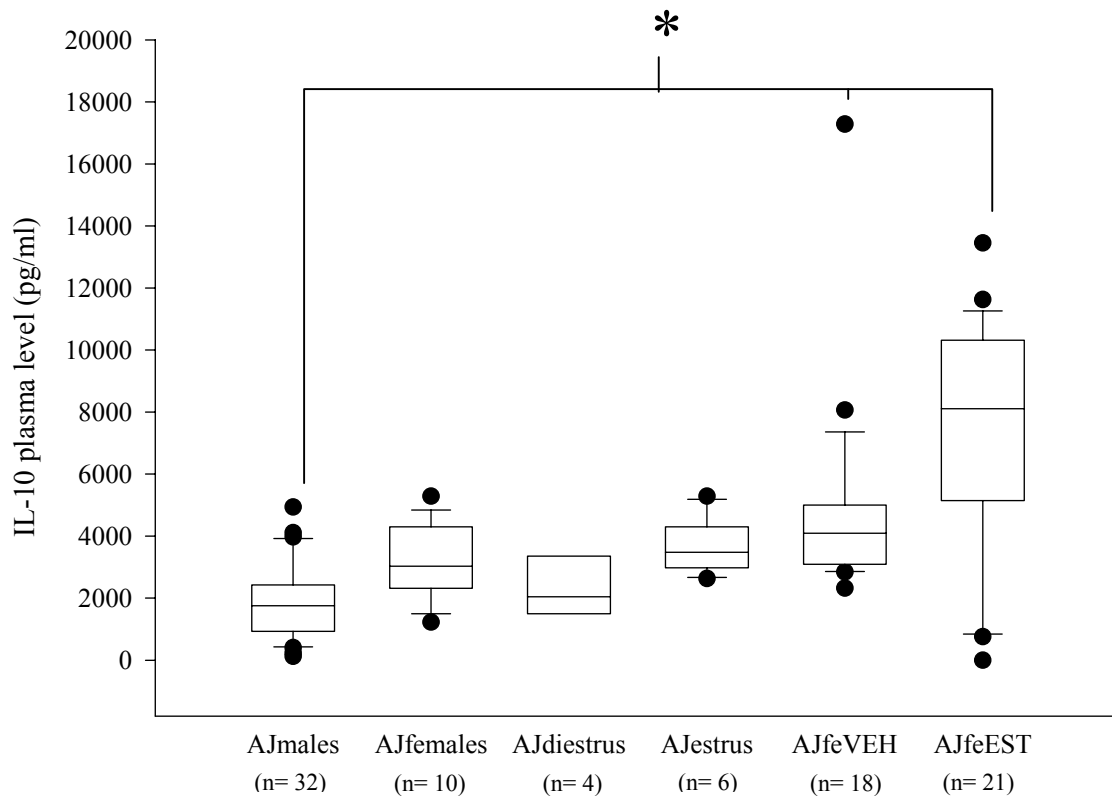
Thus, mice were gonadectomized at the age of 6 weeks and supplemented with pellets that release a daily dose of DHT or EST. These mice were maintained under hormonal replacement conditions for two weeks. As a control, gonadectomized mice were supplemented with pellets that release no hormones (VEH). After two weeks of hormone replacement, mice were injected with LPS and IL-10 plasma levels were detected 1.5 h after the injection. The three groups were compared with mice that were not operated, but maintained under the same environmental conditions as the manipulated rodents (CONT). Gonadectomy and treatment with placebo pellets (VEH) did not affect the LPS-induced IL-10 plasma levels of neither male nor female B6 mice (**Table 3**). However, EST treatment increased LPS-induced IL-10 levels in both sexes. On the contrary, DHT did not affect LPS-induced IL-10 levels in any sex. In A/J mice a comparable response was observed (**Table 3**). While DHT had no effect on IL-10 plasma levels, EST markedly increased IL-10 plasma levels in male and female mice respectively. Interestingly, ovariectomy in A/J females without hormonal replacement (VEH) resulted in an increase of LPS-induced IL-10 levels (*2.3 fold*) whereas castration did not have such an effect on male A/J mice (**Table 3**).



**Figure 5: Gender differences in IL-10 plasma levels after injection with LPS.** A/J male, A/J female, B6 males, B6 females mice at the age of 8 weeks were injected with LPS (15 mg/kg). Blood samples were taken 1.5h after injection. Plasma was obtained and IL-10 was measured by an ELISA. The cytokine levels corresponded to the average concentration obtained from each group  $\pm$  standard error of the mean. The data was collected during the course of ten months to include possible seasonal variability and possible differences in the estrus cycle of female mice. LPS-induced IL-10 plasma levels were increased in both, male and female B6 mice as opposed to the A/J strain. Statistical significance was accepted at  $*p < 0.05$  obtained by pair wise comparison with respect to males using Mann-Whitney-Rank Sum Test.



**Figure 6: Gender differences and hormonal influence on LPS-induced IL-10 levels in A/J mice after injection with LPS.** At the age of 8 weeks, A/J female and A/J female in diestrus or in estrus were injected with LPS (15mg/kg). Plasma samples were taken 1.5h after the injection. LPS-induced plasma levels of IL-10 were measured by the use of ELISA and were compared to those of A/J male mice after 1.5h of LPS injection. Additionally, female B6 mice at the age of 6 weeks were ovariectomized and treated with estradiol pellets (feEST) or vehicle (feVEH). After 14 days of recovery, mice were injected with LPS as described and blood sample were taken 1.5h after the injection. Plasma was obtained and TNF- $\alpha$  plasma levels were measured. Cytokine levels in each female group were compared to LPS-induced TNF- $\alpha$  plasma level of male A/J mice by pair wise comparison. The cytokine levels correspond to the average concentration obtained from all animals in the group  $\pm$  standard error of the mean. Statistical significance was accepted at  $*p < 0.05$  obtained by pair wise comparison with respect to B6 males using Mann-Whitney-Rank Sum Test.



**Figure 7: Gender differences and hormonal influence on LPS-induced IL-10 levels in A/J mice after injection with LPS.** At the age of 8 weeks, A/J female and A/J female in diestrus or in estrus were injected with LPS (15mg/kg). Plasma samples were taken 1.5h after the injection. LPS-induced plasma levels of IL-10 were measured using an ELISA and were compared to those of A/J male mice after 1.5h of LPS injection. Additionally, female A/J mice at the age of 6 weeks were ovariectomized and treated with estradiol pellets (feEST) or vehicle (feVEH). After 14 days of recovery, mice were injected with LPS as described and blood sample were taken 1.5h after the injection. Plasma was obtained and IL-10 plasma levels were measured. This data was included into the comparison. Cytokine levels in each female group were compared to LPS-induced IL-10 plasma level of male A/J mice by pair wise comparison. The cytokine levels correspond to the average concentration obtained from all animals in the group  $\pm$  standard error of the mean. Statistical significance was accepted at  $*p < 0.05$  obtained by pair wise comparison with respect to A/J males using Mann-Whitney-Rank Sum Test.



	<b>CONT</b>	<b>VEH</b>	<b>EST</b>	<b>DHT</b>
<b>A/J male</b>	1920 ±216 n= 32	3157 ±582 n= 21	5293 ±893 n= 19 ↑ * x2.8	2323 ±324 n= 22
<b>A/J female</b>	2110 ±139 n= 52	4846 ±800 n= 18 ↑ * x2.3	7321 ±829 n= 21 ↑ * x3.5	3053 ±446 n= 17
<b>B6 male</b>	3228 ±310 n= 32	3932 ±519 n= 15	6060 ±706 n= 11 ↑ * x1.9	4280 ±706 n= 9
<b>B6 female</b>	3322 ±252 n= 37	4558 ±1130 n= 10	10231 ±886 n= 10 ↑ * x3.1	4113 ±646 n= 9

**Table 3: LPS-induced IL-10 plasma levels in eight week old male and female A/J and B6 mice treated with different sex steroids.** Male mice were castrated and females were ovariectomized at the age of 6 weeks respectively. Mice were supplemented with subcutaneous hormone pellets of 17- $\beta$ -estradiole (EST), 5- $\alpha$ -dihydroxytestosterone (DHT) or placebo (VEH) for 14 days and than injected with LPS (15mg/kg). Cytokine plasma levels were measured using an ELISA. The data correspond to the average of each group  $\pm$  standard error of the mean. Arrows indicate increase ( $\uparrow$ ) of plasma levels. Statistical significance was accepted at  $p < 0.05$  (\*) obtained by ANOVA on Ranks & Dunn's Method as Post Hoc test with respect to CONT.

#### **4.4. 17- $\beta$ -Estradiole enhancement of IL-10 plasma levels during endotoxemia is determined by the genetic background**

The importance of the genetic background on the response to EST was further elucidated by employing other inbred strains. Male AKR/J, DBA/2J, and BALB/cJ were castrated and supplemented with EST or VEH pellets and compared with non-operated mice. LPS-induced IL-10 plasma levels in the non-operated group were very different among the various strains. Statistical differences in IL-10 levels of these strains resulted in the following hierarchy: BALB/cJ > AKR/J = B6 > A/J = DBA/2J (Table 4, Mann-Whitney Rank-Sum-Test,  $p < 0.05$ ). Castration and VEH treatment did

not affect LPS-induced IL-10 levels in any studied strain. Administration of EST resulted in an increase of LPS-induced IL-10 levels in AKR/J similar to A/J and B6 mice. DBA/2J and BALB/cJ did not demonstrate any further increase of LPS-induced IL-10 levels after administration of EST (**Table 4**). This data indicates that the response to EST is genetically modulated.

	<b>A/J</b>	<b>AKR</b>	<b>DBA</b>	<b>BALB/cJ</b>	<b>B6</b>
<b>CONT</b>	1920 ±216 n= 32	2918 ±568 n= 8	1348 ±124 n= 7	5117 ±484 n= 10	3228 ±310 n= 32
<b>VEH</b>	3157 ±582 n= 21 x1.6	4158 ±773 n= 8	1267 ±144 n= 8	6270 ±952 n= 10	3932 ±519 n= 15
<b>EST</b>	5293 ±893 n= 19 ↑ * x2.8	8375 ±1934 n= 8 ↑ * x2.9	1467 ±223 n= 8	4292 ±274 n= 8	6060 ±706 n= 11 ↑ * x1.9

**Table 4: LPS-induced IL-10 plasma levels in male mice from different inbred strains after castration and estrogen treatment.** Male A/J, AKR/J, DBA/2J, BALB/cJ and B6 mice were castrated at the age of 6 weeks, treated with subcutaneous hormone pellets of 17- $\beta$ -estradiol (EST) or placebo (VEH) for 14 days and injected with LPS (15mg/kg). Blood samples were collected 1.5h after LPS injection. Cytokine plasma levels were obtained using an ELISA. The displayed cytokine levels correspond to the average concentration obtained from each group  $\pm$  standard error of the mean. Arrows indicate increase ( $\uparrow$ ) or decrease ( $\downarrow$ ) of TNF- $\alpha$  plasma levels. Statistical significance was accepted at \* $p$ <0.05 obtained by ANOVA on Ranks & Dunn's Method as Post Hoc test with respect to CONT.

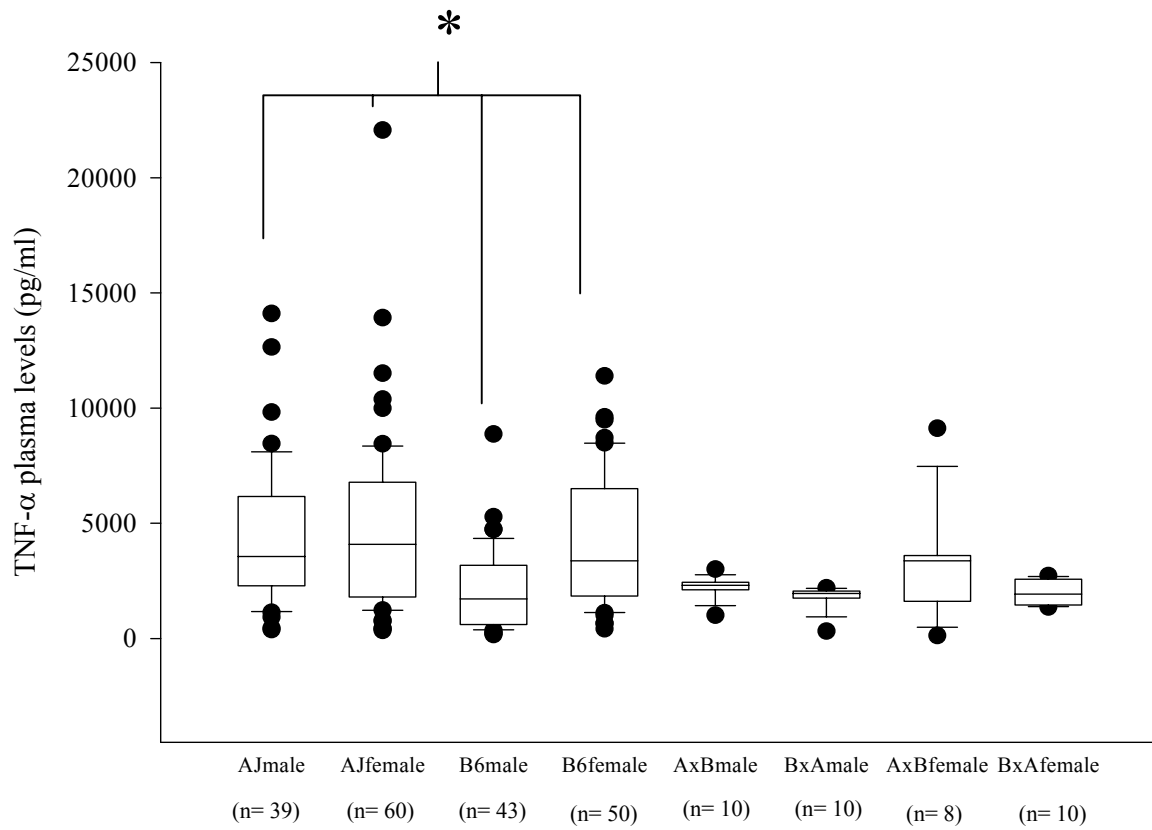
#### 4.5. Investigation of sex-link of the observed phenotypes in the F1 generation

Apart from sex-steroids another possible variable that may be responsible for gender differences are the sex-chromosomes. To evaluate if sex-chromosomes are involved in the observed phenotypes we analyzed LPS-induced inflammatory

response in the F1-generation bred from B6 and A/J mice. The offspring of two homozygous founder strains generates mice that are heterozygous in every single allele except from the sex-chromosomes. Since the Y-chromosome of a male F1 mouse may be contributed by an A/J or B6 father, both possible alternatives ( $A \times B$  or  $B \times A$ ) were included. Moreover, this approach would give a rough idea on the inheritance pattern of the observed phenotypes.

Non-operated male and female F1 mice have a TNF- $\alpha$  phenotype similar to B6 male mice at 1.5h after injection of LPS (**Figure 8**). This suggests that this phenotype is neither sex-linked nor imprinted, and may be a B6 dominant, autosomal allele. Evaluation of the IL-10 phenotype in F1 mice clearly indicates that LPS-induced IL-10 plasma levels in B X A male and female mice follow the same pattern than B6 mice (**Figure 9**). However, IL-10 plasma levels in A X B males and females do not match the pattern in either A/J or B6. The data suggests comparable IL-10 levels between A/J males and A X B males (**Figure 9**). This might suggest involvement of the A/J X-chromosome. However, the levels of A X B females seem to be comparable to B6 mice. Moreover, IL-10 plasma levels of A X B male and female mice do not differ statistically ( $p=0.35$  in a pair wise comparison by Mann-Whitney-Rank Sum Test). If IL-10 levels of A X B males and females were comparable to the B6 founder strain, no sex-link or imprinting could be postulated for this phenotype. Male F1 mice were also castrated and supplemented with sex-steroids. The levels of LPS-induced TNF- $\alpha$  were neither modified by castration and administration of vehicle (VEH) nor castration and hormone treatment (i.e. EST or DHT; **Table 5**). Thus, the effect of EST on LPS-induced TNF- $\alpha$  plasma levels in the parental generation and the effect of castration on male B6 mice disappear with the loss of homozygosity. Another explanation for the loss of these changes might be that

opposing alleles neutralize each other when combined in the same individual. No effects were observed in female F1 mice (**Table 5**).

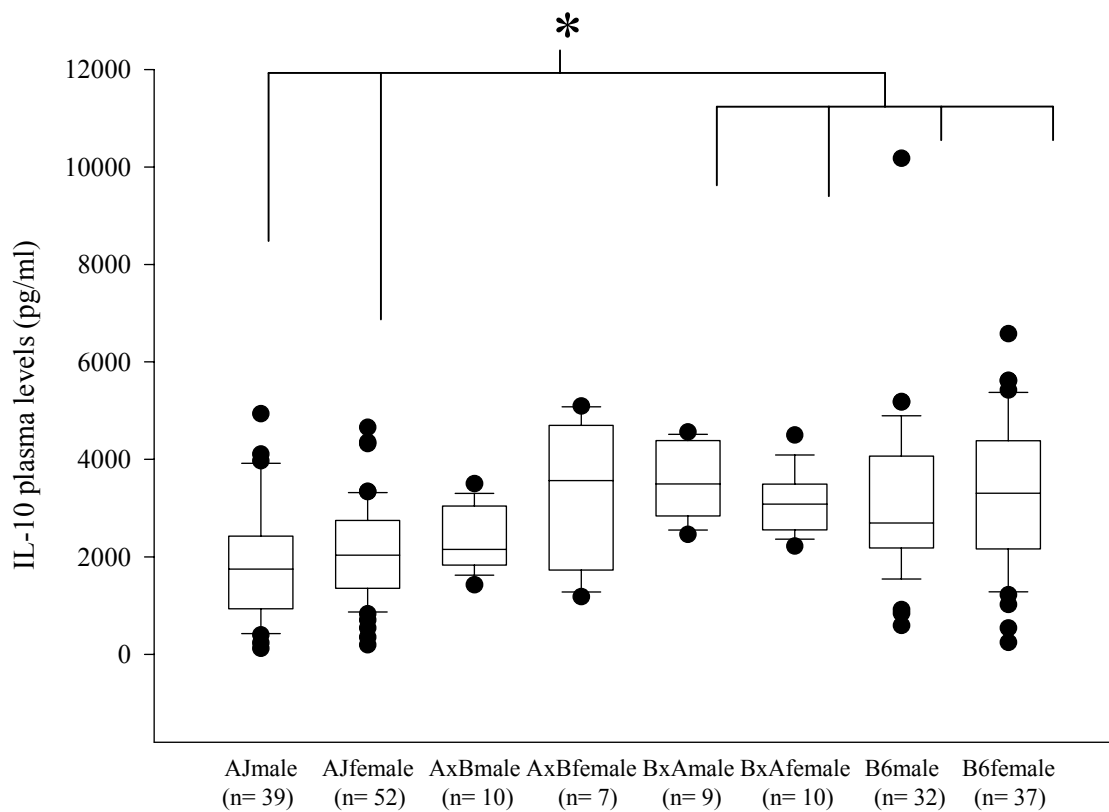


**Figure 8: Comparison of LPS-induced TNF- $\alpha$  plasma levels in A/J, B6 and their F1-generation.** Male A/J, AxB, BxA and B6 mice and female A/J, AxB, BxA and B6 mice at the age of 8 weeks were injected with LPS (15 mg/kg). Blood samples were taken 1.5h after injection. Plasma was obtained and TNF- $\alpha$  was measured using an ELISA. The displayed cytokine levels correspond to the average concentration obtained from each group  $\pm$  standard error of the mean. Statistical significance was accepted at \* $p < 0.05$  obtained by pair wise comparison with respect to B6 males using Mann-Whitney-Rank Sum Test.

	<b>CONT</b>	<b>VEH</b>	<b>EST</b>	<b>DHT</b>
<b>AxB males</b>	2211 ±165 n= 10	2777 ± 339 n= 10	2289 ±314 n= 8	3195 ±531 n= 10
<b>AxB females</b>	3302 ±946 n= 8	5279 ±1605 n= 8	4030 ±1140 n= 7	2826 ±687 n= 7
<b>BxA males</b>	1772 ±173 n= 10	2748 ±292 n= 12	3073 ±499 n= 9	2443 ±188 n= 12
<b>BxA females</b>	1992 ±182 n= 10	2490 ±191 n= 12	2710 ±165 n= 12	2625 ±239 n= 12

**Table 5: LPS-induced TNF- $\alpha$  plasma levels in the F1-Generation of A/J and B6 mice after castration and hormonal treatment.** Male and female F1 mice were bred from A/J and B6 parental generation. Male and female AxB and BxA were gonadectomized at the age of 6 weeks respectively, and treated with subcutaneous hormone pellets of 17- $\beta$ -estradiol (EST) or placebo (VEH) for 14 days. On the day of the experiment they were injected with LPS (15mg/kg). Blood samples were collected 1.5h after LPS injection. Cytokine plasma levels were obtained using an ELISA. The displayed cytokine levels correspond to the average concentration obtained from each group  $\pm$  standard error of the mean. Arrows indicate increase ( $\uparrow$ ) or decrease ( $\downarrow$ ) of TNF- $\alpha$  plasma levels. Statistical significance was accepted at  $*p < 0.05$  obtained by ANOVA on Ranks & Dunn's Method as Post Hoc test with respect to CONT.

The effects of gonadectomy and implantation of hormone or VEH pellets had a similar effect on IL-10 plasma levels in F1 mice as on the parental generation: Gonadectomy with VEH-treatment or DHT-treatment respectively had no effect on LPS-induced IL-10 levels. EST-treatment clearly increased IL-10 levels in male A X B, male B X A and females B X A mice. In female A X B mice however, the increase failed statistical significance (**Table 6**).



**Figure 9: Comparison of LPS-induced IL-10 plasma levels in A/J, B6 and their F1-generation.** Male A/J, AxB, BxA and B6 mice and female A/J, AxB, BxA and B6 mice at the age of 8 weeks were injected with LPS (15 mg/kg). Blood samples were taken 1.5h after injection. Plasma was obtained and IL-10 plasma levels were measured using an ELISA. The displayed cytokine levels correspond to the average concentration obtained from each group  $\pm$  standard error of the mean. Statistical significance was accepted at  $*p < 0.05$  obtained by pair wise comparison with respect to A/J or AxB males females respectively by using Mann-Whitney-Rank Sum Test.

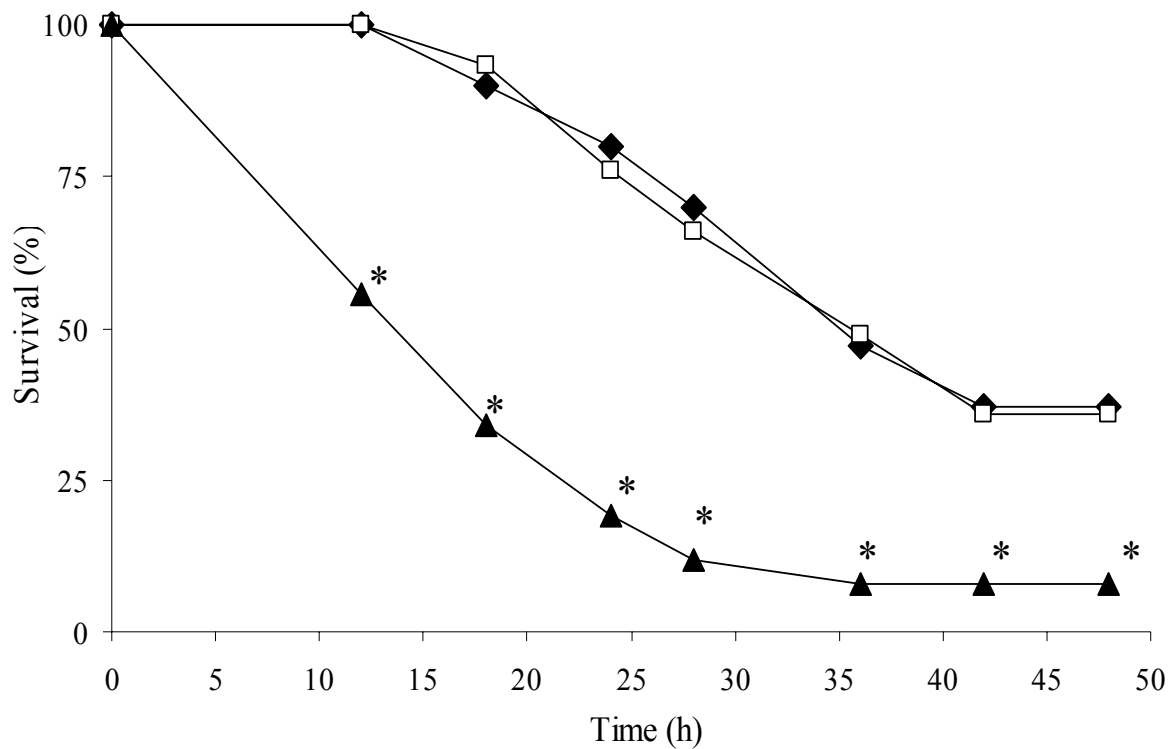
	CONT	VEH	EST	DHT
<b>AxB males</b>	2341 ±214 n= 10	3532 ±554 n= 10	6986 ±904 n= 8 ↑ * x3	3885 ±982 n= 10
<b>AxB females</b>	3169 ±605 n= 7	3186 ±398 n= 8	4795 ±736 n= 7 x1.5	3248 ±587 n= 6
<b>BxA males</b>	3516 ±270 n= 9	5012 ±510 n= 12	8736 ±1916 n= 9 ↑ * x2.5	3526 ±329 n= 12
<b>BxA females</b>	3128 ±216 n= 10	3796 ±305 n= 12	9254 ±665 n= 12 ↑ * x3	3506 ±178 n= 12

**Table 6: LPS-induced IL-10 plasma levels in the F1-Generation of A/J and B6 mice after castration and hormonal treatment.** Male and female F1 mice were bred from A/J and B6 parental generation. Male and female AxB and BxA were gonadectomized at the age of 6 weeks respectively, treated with subcutaneous hormone pellets of 17- $\beta$ -estradiol (EST) or placebo (VEH) for 14 days and were injected with LPS (15mg/kg). Non-operated mice were used as control (CONT). All mice were maintained under identical environmental conditions. Blood samples were collected 1.5h after LPS injection. Cytokine plasma levels were obtained using an ELISA. The displayed cytokine levels correspond to the average concentration obtained from each group  $\pm$  standard error of the mean. Arrows indicate increase ( $\uparrow$ ) or decrease ( $\downarrow$ ) of IL-10 plasma levels. Statistical significance was accepted at \* $p < 0.05$  obtained by ANOVA on Ranks & Dunn's Method as Post Hoc test with respect to CONT.

#### 4.6. Effects of EST-related changes on outcome from lethal endotoxemia

Mortality after lethal endotoxemia has previously been described to have strain specific differences (De Maio *et al.* 1998). A/J mice do not only have higher TNF- $\alpha$  levels and lower IL-10 plasma levels 1.5h after the injection of a lethal dose of LPS (15mg/kg), they also have a better survival rate (63% vs. 30% at 48h after the injection) (De Maio *et al.* 1998). Thus, we had to determine whether the changes in

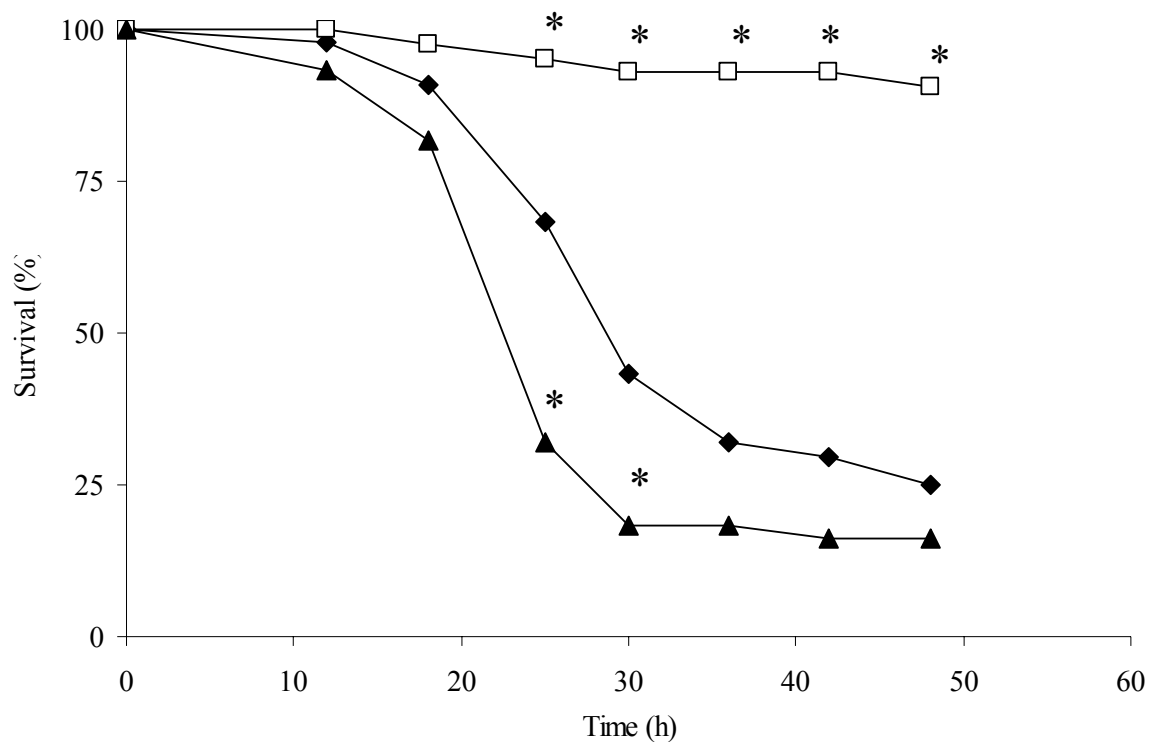
cytokine patterns observed after EST-treatment would alter the outcome. Frequency of mortality was compared between non-operated mice (CONT) and mice that were castrated and supplemented with EST or placebo (VEH). After 48h, no difference in mortality was observed between non-operated and vehicle treated B6 mice (Survival: CONT 37%, VEH 36%). In contrast, castrated mice supplemented with EST showed an increased frequency of mortality (survival EST 8%). The data was obtained from 2 independent experiments to assure reproducibility (**Figure 10**).



**Figure 10: Survival of estrogen-treated B6 male mice after injection of LPS.** Male B6 mice at the age of 6 weeks were castrated and treated with subcutaneous hormone pellets of 17- $\beta$ -estradiol ( $\blacktriangle$ EST,  $n=27$ ) or placebo ( $\square$ VEH,  $n=30$ ). Non-castrated mice were used as control ( $\blacklozenge$ CONT,  $n=30$ ). Two weeks after the procedure, mice were injected with LPS (15mg/ml). All mice were maintained under the same environmental conditions. Survival was monitored over 48h, at this time-point survival was 8% for EST group, 36% for VEH group and 37% for CONT. The data represents the average mortalities from 2 independent experiments to assure reproducibility. Statistical significance was accepted at  $*p<0.05$  obtained with respect to CONT by using Fischer Exact Test.



Interestingly, castrated and VEH treated A/J mice had a markedly improved survival (90%) as compared to non-operated A/J males. Treatment with EST did not increase the frequency of mortality but seemed do enhance the velocity of LPS-induced mortality in this strain. The data was obtained from 3 independent experiments to assure reproducibility (**Figure 11**).



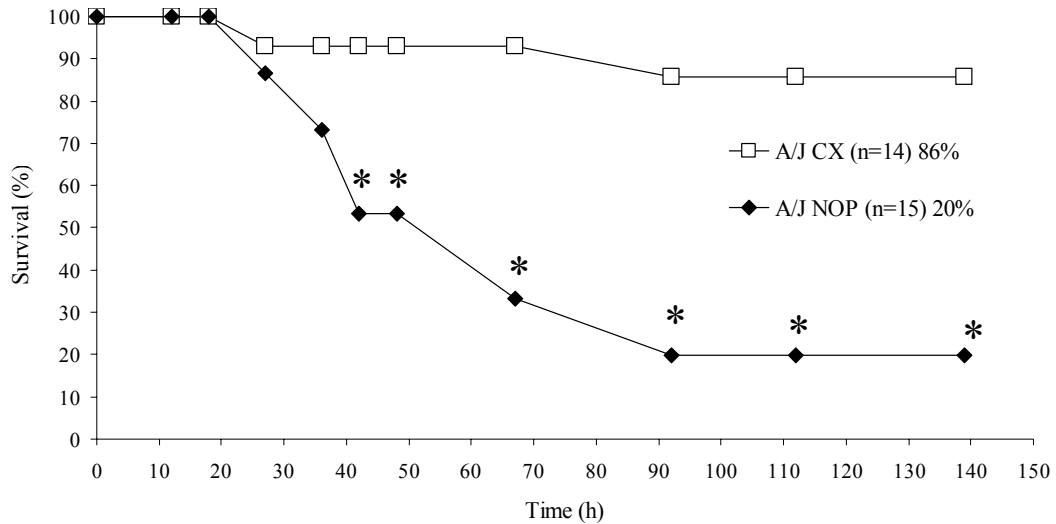
**Figure 11** Survival of estrogen-treated A/J male mice after injection of LPS. Male A/J mice at the age of 6 weeks were castrated and treated with subcutaneous hormone pellets of 17- $\beta$ -estradiol ( $\blacktriangle$ EST,  $n=44$ ) or placebo ( $\square$ VEH,  $n=44$ ). Non-castrated mice were used as control ( $\blacklozenge$ CONT,  $n=44$ ). Two weeks after the procedure, mice were injected with LPS (15mg/ml). All mice were maintained under the same environmental conditions. Survival was monitored over 48h, at this time-point survival was 16% for EST group, 90% for VEH group and 25% for CONT. The data represents the average mortalities from 3 independent experiments to assure reproducibility. Statistical significance was accepted at  $*p<0.05$  obtained with respect to CONT by using Fischer Exact Test.

Based on this data we determined if protection by androgen depletion could be found in other strains. AKR/J, BALB/cJ and DBA/2J were included into the experiment. Mice were castrated but no VEH pellets were implanted. In order to exclude the unlikely possibility that VEH pellets would affect the outcome, additional A/J and B6 mice were enrolled into this series once again. Survival was monitored for up to 150h and compared to non-manipulated mice (CONT), which were maintained in an identical environment. Although provided by a different vendor, and consequently being exposed to different environmental conditions, C3H/HeN mice were also included. This strain has previously been reported to have improved survival after pharmacological castration in a model of trauma-hemorrhage with consequent sepsis (Angele *et al.* 1997). C3H/HeN are not identical with the LPS-resistant strain C3H/HeJ provided by *Jackson Laboratory*. C3H/HeJ are hypo-responsive to LPS because of a point-mutation in the cytoplasmatic domain of TLR-4 and consequently lack signal-transduction (Poltorak *et al.* 1998). Over the time-course of 150h, we found that A/J mice were the only strain that definitely benefited from removal of the testicles (**Figure 12**). This effect turned out to be independent of the application of the placebo pellet (**Figure 11 and Figure 12a**). The overall survival from castrated A/J mice in all experiments was 89% (50 of 56) as opposed to 25% (11 of 56) in the non-operated groups. When additional castrated male A/J mice were supplemented with DHT pellets protection from LPS ceased (**Figure 13**).

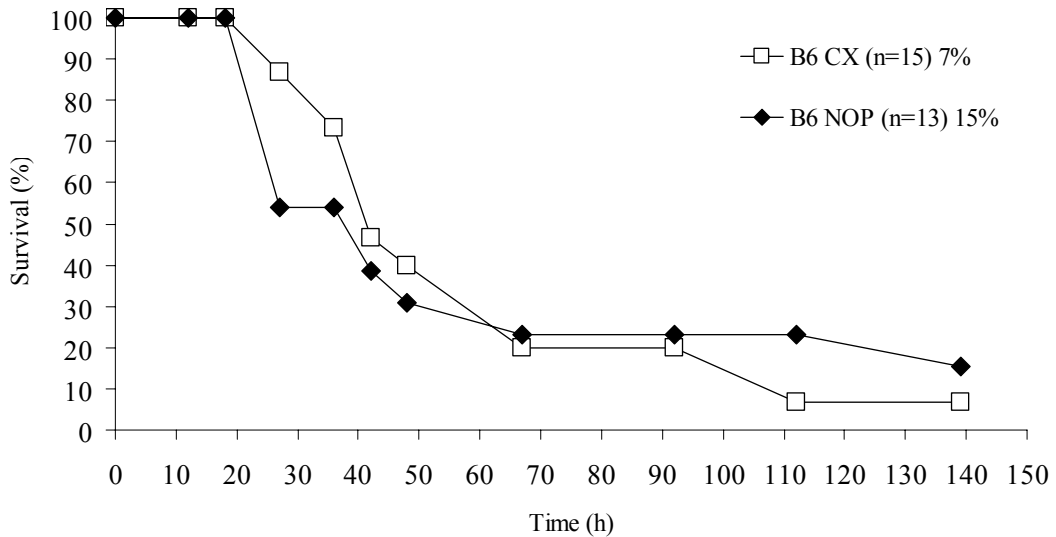
Frequency of mortality in all other strains remained unchanged, even in C3H/HeN (**Figure 12c**). For DBA/2J mice, the data may suggest attenuation of the dynamic of the clinical course, however without changing the outcome (**Figure 12d**).

**Figure 12 a-f: Survival of castrated mice from different inbred strains after injection of LPS.**

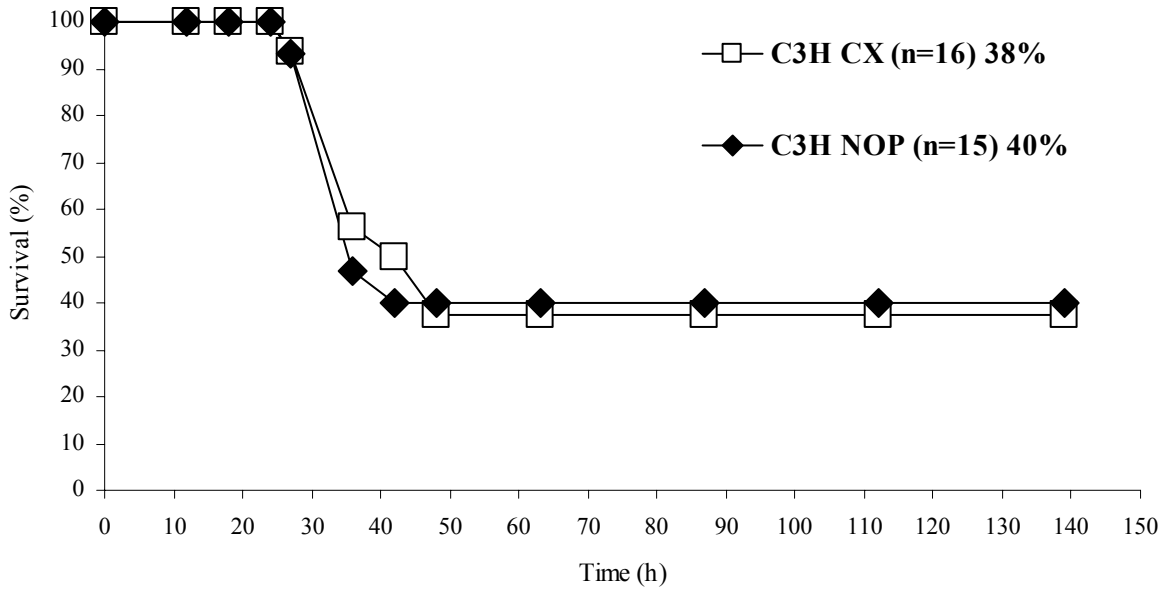
Male A/J (Figure 12a), B6 (Figure 12b), C3H/HeN (Figure 12c), DBA/2J (Figure 12d), BALB/cJ (figure 12e) and AKR/J (Figure 12f) mice were castrated at the age of 6 weeks. Non-castrated mice were used as control and maintained under identical conditions. Two weeks after the procedure, mice were injected with LPS (15mg/ml). Survival was monitored up to 150h. Statistical significance was accepted at  $*p < 0.05$  obtained by comparison with respect to CONT by using Fischer Exact Test.



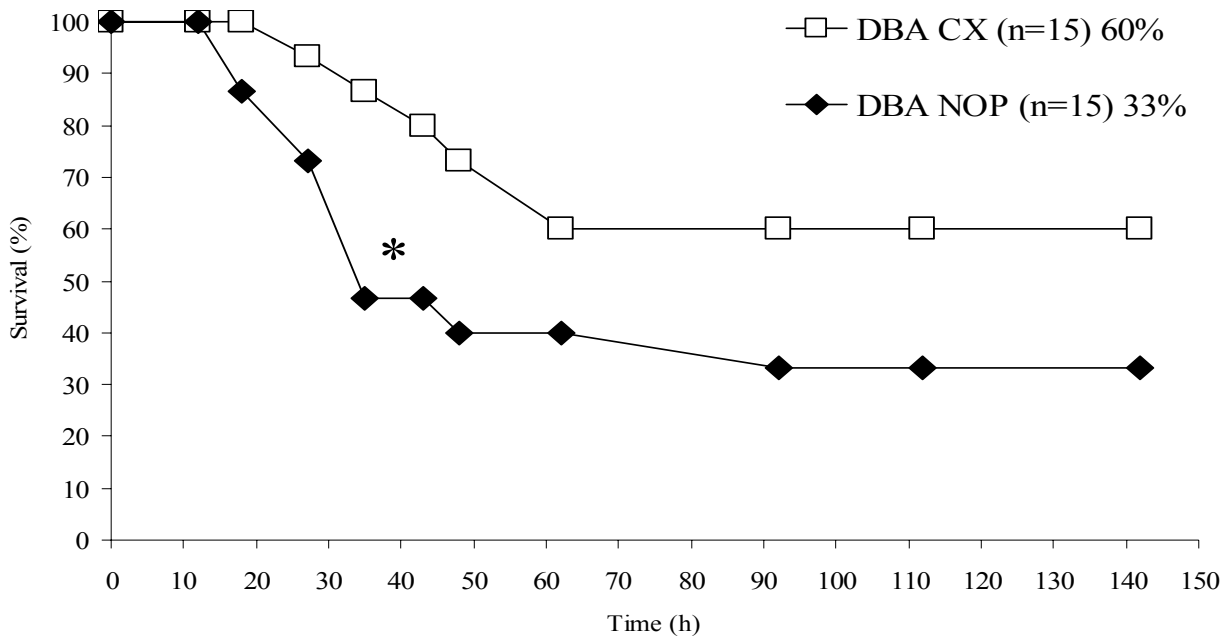
**a: A/J**



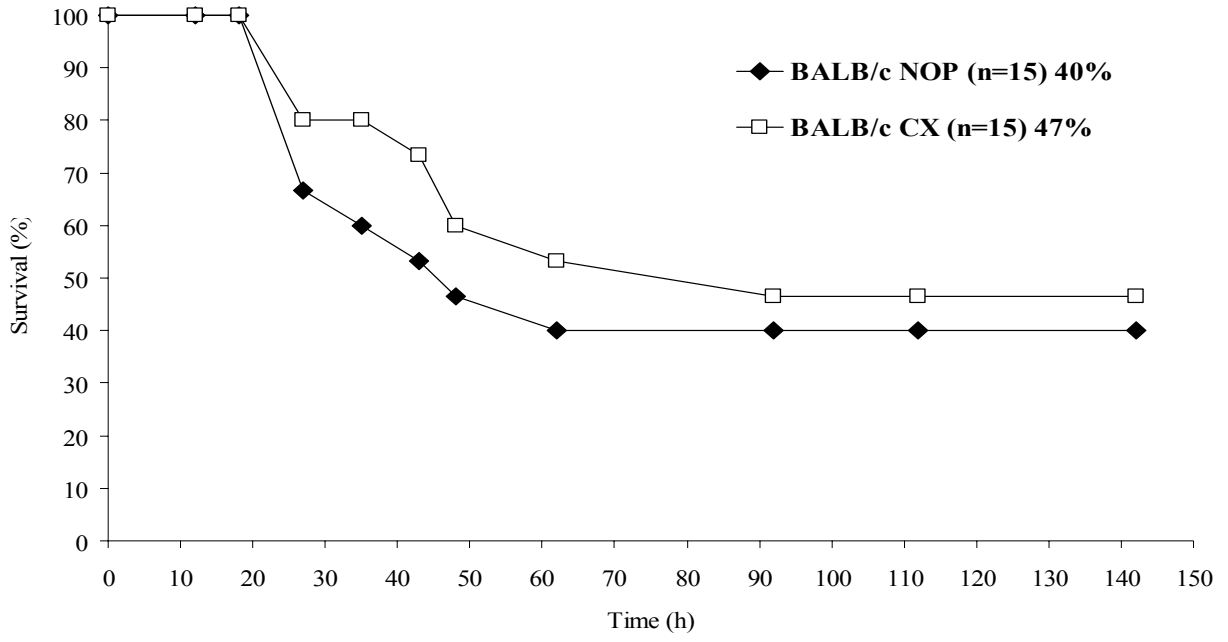
**b: B6**



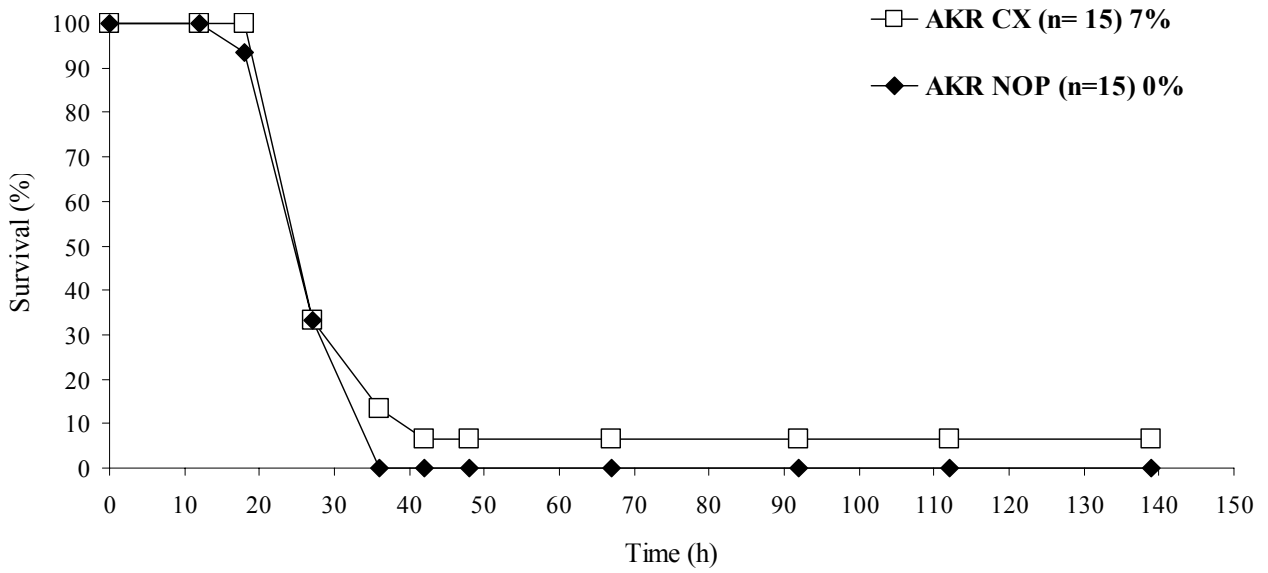
c: C3H/HeN



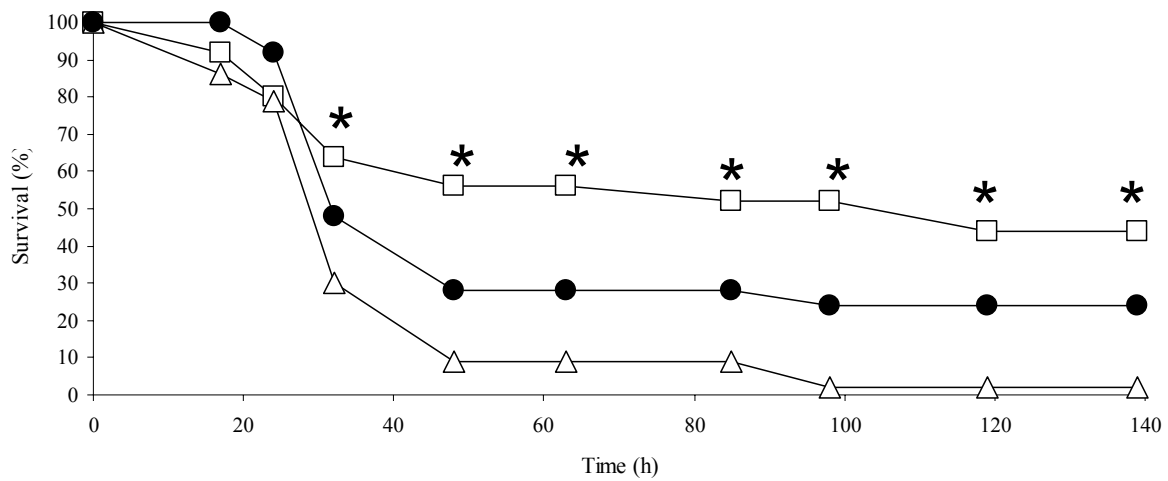
d: DBA/2J



e: BALB/cJ



f: AKR/J

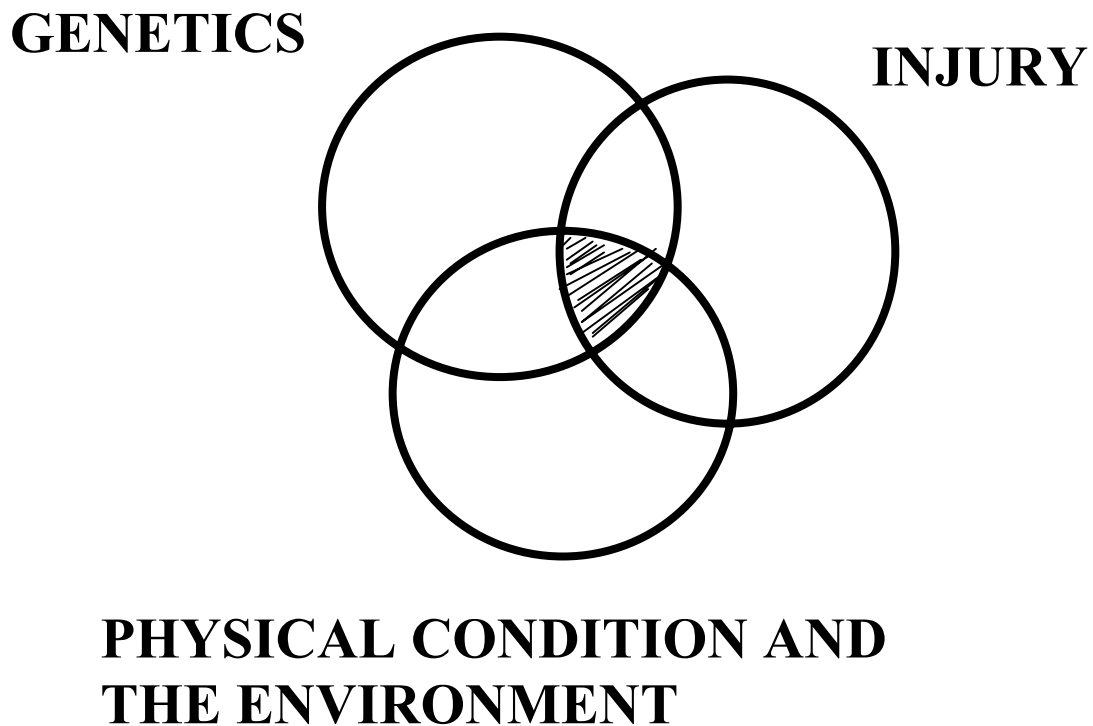


**Figure 13: Survival of dihydrotestosterone-treated A/J male mice after injection of LPS.**

Male A/J mice were castrated (CX) at the age of 6 weeks and randomized into two groups. One group was treated with subcutaneous hormone pellets releasing 5- $\alpha$ -Dihydroxytestosterone ( $\Delta$ CX+DHT,  $n=15$ ), the other group received no further hormonal treatment ( $\square$ CX,  $n=24$ ). Non-castrated mice ( $\bullet$ NOP,  $n=25$ ) were used as control. Two weeks after the procedure, mice were injected with LPS (15mg/ml). Survival was monitored over 140h, at this time-point survival was 42% for CX group, 7% for CX+DHT group and 24% for CONT group. Statistical significance was accepted at  $*p<0.05$  obtained with respect to CONT by using Fischer Exact Test.

## 5. DISCUSSION

We have hypothesized that the response to injury is modified by three major factors: the type of injury, the environment and the genetic background (**Figure 14**). Prior studies in different experimental rodent models support this hypothesis (De Maio *et al.* 1998; Stewart *et al.* 2002; Trentzsch *et al.* 2003). This paradigm could explain the variability observed in the outcome of critically ill patients. In addition to these factors, gender is likely to be another component that influences the response to injury.



**Figure 14:** The “Three-Circle-Theory”. This model considers determination of the inflammatory response as an intersection of three major components: The type of injury, the physical condition of the individual and its environment and the genetic make-up. As depicted here, the inflammatory response that can be measured after a certain injury such as injection of LPS can be understood as the hatched intersection of the three circles.

Clinical studies have shown that male septic patients have a higher risk of mortality with respect to the female counterpart (Schroder *et al.* 1998; Bauerle *et al.* 2000; Schroder *et al.* 2000). However, these observations have been challenged by other studies that found no gender difference (Riche *et al.* 1996; Eachempati *et al.* 1999; Wichmann *et al.* 2000), or an even higher mortality in septic female patients (McLauchlan *et al.* 1995; Napolitano *et al.* 2001; O'Keefe *et al.* 2001). A higher incidence of infection (Offner *et al.* 1999) and sepsis (McGowan *et al.* 1975) has also been associated with male patients. In contrast, two other studies have shown a higher incidence of infection in female patients (Dinkel *et al.* 1994; Kollef *et al.* 1997). In summary, there is no general consensus whether gender is a positive or negative factor in the outcome from injury. Genetic diversity may contribute to such contradicting results. However, genetic diversity has never really been considered in such studies. Only one study has so far suggested a gender-contribution on increased risk for mortality from sepsis associated with TNF- $\alpha$  polymorphisms (Schroder *et al.* 2000). The contribution genetic variability of the inflammatory response in regard to sex-steroids however remains unconsidered so far.

The importance of genetics in the response to injury has recently been demonstrated in animal models of endotoxemia (De Maio *et al.* 1998) and sepsis (Stewart *et al.* 2002). This genetic contribution has been evaluated at the level of mortality as well as at different components of the inflammatory process, such as cytokines (De Maio *et al.* 1998; Stewart *et al.* 2002), end-organ damage (O'Malley *et al.* 1998), and spleenocyte proliferation (Matesic *et al.* 1999). Clinical studies have been initiated to evaluate genetic components in sepsis research (Schroder *et al.* 2000; Tabrizi *et al.* 2001; Riese *et al.* 2003). The potential contribution of genetics to the inflammatory response became more important with completion of the Human



Genome Project. The sequencing data of the human genome revealed 1.42 million single nucleotide polymorphisms (SNP) within the 3 billion base pairs that built the whole human genome. This one tenth percent of differences implies that there are 3 million possible differences between 2 individuals (Sachidanandam *et al.* 2001). Such genetic diversity provides solid bases for differences in the response to many pathological situations including sepsis. To evaluate the linkage between gender differences and their variability based on genetic differences, we have investigated this relationship in a model of endotoxemia in mice with dissimilar genetic background. Since these mice were subjected to the same insult and were maintained in an identical environment (**Figure 14**), the only variable that may account for such differences is the genetic background.

### **5.1. Gender and genetics determine LPS-induced cytokine plasma levels through sex-steroidal modulation**

LPS-induced TNF- $\alpha$  plasma levels were different between male and female B6 mice indicating a gender-significant difference (**Figure 2**). By evaluating different stages of the estrus cycle in female B6 mice, our data suggests a hormonal bases for the observed gender difference. Surprisingly, we found that the estrus stage (high estrogen) was associated with rather depressed LPS-induced TNF- $\alpha$  levels, voiding the difference between male and female B6 mice, while females in di-estrus (low estrogen) displayed high TNF- $\alpha$  plasma levels that characterize this gender difference. With TNF- $\alpha$  playing a key role in induction of the inflammatory response, this observation might object the established dogma of a more active immune system in females. And moreover, estrogen has been described to increase TNF- $\alpha$  plasma levels after LPS challenge in female BALB/c mice (Zuckerman *et al.* 1995;

Zuckerman *et al.* 1996). However, decreased TNF- $\alpha$  expression and plasma levels after estrogen-treatment of female C3H/He mice has been found after inflammatory stimuli with protease peptone (Salem *et al.* 2000). These observations raise concern what effect estrogen actually has on TNF- $\alpha$  production and what differences between exogenous hormone treatment and physiological plasma levels exist. Actually, few data is available on estrogen-related effects on this cytokine throughout the estrus cycle and studies in humans remain controversial (Angstwurm *et al.* 1997; Schwarz *et al.* 2000; Bouman *et al.* 2001).

The data on B6 mice clearly provides evidence for the hormone dependent modulation of the inflammatory response and thus implies gender-specific differences herein. Moreover, comparison with another strain of inbred mice (*i.e.* A/J) revealed that genetic diversity may have important impact on gender-differences in the inflammatory response and their modulation by sex-steroids: Although female A/J mice showed similar trends in the modulation of LPS-induced TNF- $\alpha$  plasma levels depending on the stage of the estrus cycle, there was no gender-difference detectable between male and female A/J mice (**Figure 4**), and androgen-depletion of male A/J mice did not change TNF- $\alpha$  plasma levels in the response to LPS injection (**Table 1**). Differences in hormone secretion, plasma protein binding, receptor affinity and density or hormone clearance and degradation may provide possible explanation for the variable qualitative and quantitative inflammatory response observed between these two strains. A number of such differences including plasma sex-steroid concentrations between various strains of inbred mice have been reported (Crispens 1975). They are likely to be result of differences in the genetic composition of each strain.

To compensate for strain-differences in hormonal levels or cycle-dependant variability, mice were gonadectomized and supplemented with subcutaneous, constant release pellets of DHT or EST to deliver equal amounts of hormone into the mice. This model has previously been reported to result in physiologic hormone plasma levels in mice (Angele *et al.* 1999). Interestingly, no effect on TNF- $\alpha$  levels after hormone depletion by ovariectomy and VEH or ovariectomy with supplementation with EST or DHT was observed in either B6 or A/J female mice (**Table 1**). A similar observation has previously been made in humans, too. LPS-induced TNF- $\alpha$  levels were increased by EST treatment of ex-vivo white blood cells (PBMC) from male volunteers while there was no effect in female PBMC (Asai *et al.* 2001). It remains unclear, why females show this poor response to hormone manipulation. It may be the result of greater tolerance towards changes in sex-hormone plasma levels that comes with cycle dependant fluctuation. Females may have differences in control and regulation of receptor-density as compared to males. It has been demonstrated that the endocrine modulation of the inflammatory response in rats is most likely to be controlled by the adrenal gland and may be dependant on the immune-reactivity of estrogen receptor  $\alpha$  in the medullar cells (Green *et al.* 1999).

Interestingly, administration of estriol (*an estrogen agonist*) to female BALB/c mice resulted in a dramatic increase in LPS-induced TNF- $\alpha$  levels approximately 1 hour after the injection. This increase was suppressed by administration of tamoxifen, an estrogen antagonist, (Zuckerman *et al.* 1996). Thus, females like BALB/c and by implication all other females with a genetic background other than B6 or A/J may be better responders to EST-treatment.

The comparison of castrated and VEH treated or castrated and hormone treated male A/J and B6 mice revealed two important observations that clearly

support our hypothesis, that the genetic background may exert different effects on sex-steroidal modulation of the inflammatory response: First, depressive effects of androgens on LPS-induced TNF- $\alpha$  plasma levels were observed in B6 males only. Second, EST-treatment showed marked effects in males of either strain, however with opposite effects (**Table 1**). When other inbred strains (AKR/J, DBA/2J, and BALB/cJ) were included, it became apparent, that both observations are unique for B6 males (**Table 2**). Depressive effects of androgens on the inflammatory response have previously been described (Angele *et al.* 1997; Angele *et al.* 1998; Angele *et al.* 1999). Androgen depletion by castration prevents this depression and can be reverted by pharmacological blockade of testosterone receptors with Flutamide (Angele *et al.* 1997; Wichmann *et al.* 1997). Our studies showed that DHT-treatment was capable to reverse the effects of androgen depletion in castrated male B6 mice (**Figure 13**).

After treatment with EST reduced TNF- $\alpha$  plasma levels after LPS injection were observed in male mice except from B6 males (**Table 2**). Data from female A/J and B6 mice in the estrus stage (high estrogen) of the cycle suggest similar tendencies in the effect of EST on LPS-induced TNF- $\alpha$  plasma levels (**Figure 3 and Figure 4**). Depression of LPS-induced TNF- $\alpha$  levels under the influence of EST has previously been observed. For example, peritoneal macrophages of 17- $\beta$ -estradiol-treated C3H/He mice infected with *Listeria monocytogenes* show decreased gene expression and production of TNF- $\alpha$  (Salem *et al.* 1999). After treatment with estrogen, LPS-induced TNF- $\alpha$  production of murine macrophages isolated from female BALB/c mice was reduced, possibly by interaction with NF- $\kappa$ B (Deshpande *et al.* 1997). However, data from the F1 generations bred from A/J and B6 mice suggests that the responsible alleles need to be homozygous (**Table 5**).

Our data suggests that the gender difference in TNF-  $\alpha$  plasma levels after injection of LPS between B6 males and B6 females may be a result of differences in estrogen concentrations. EST treatment of males decreased LPS-induced TNF-  $\alpha$  levels and plasma levels in estrus B6 females are comparable to males. Thus times of high estrogen blood levels seem to be responsible for the gender difference. Surprisingly, B6 females supplemented with EST after ovariectomy showed LPS-induced TNF- $\alpha$  plasma levels comparable to those found in di-estrus B6 females and ovariectomized female B6 mice with VEH treatment (*Mann-Whitney Rank Sum Test,  $p=0.807$* ). Moreover, they also have higher TNF-  $\alpha$  levels than male B6 mice (*ANOVA on Ranks with Dunn's correction,  $p<0.05$* ). The amount of EST in the pellets may be very different from physiological 17- $\beta$ -estradiol levels of naïve B6 females in estrus. Sex-steroids are known to function within a broad range of concentrations but may have functional optimum at a specific dosage (Goretzlehner 1991; Asai *et al.* 2001). Consequently, there may be dose-dependant variability in the capacity of sex-steroids to modulate the inflammatory response. By implication this includes strain-specific differences that are based on the genetic background. Thus, variable effects of sex steroids among inbred mice of different strains may also be a result of physiologic hormone plasma levels characteristic for each strain.

Despite the lack of gender-differences in LPS-induced IL-10 plasma levels in B6 or A/J mice (**Figure 5**) hormonal modulation of the LPS-induced IL-10 response was suggested by stratification for different stages of the estrus cycle: In female A/J mice we observed a trend towards higher LPS-induced IL-10 plasma levels during estrus (high estrogen) as compared to diestrus (**Figure 7**). No such effect was observed in B6 females (**Figure 6**). Again, such differences may be explained by inter-strain variability. Further experiments revealed that LPS-induced IL-10 plasma

levels can be enhanced by EST-treatment in both sexes of either A/J or B6 mice (**Table 3**). While the TNF- $\alpha$  phenotype could not be reproduced in the F1-Generations (**Table 5**), the effect of EST on LPS-induced IL-10 plasma levels could be observed in the F1-generations bred from A/J and B6 mice (**Table 6**). Castrated male AKR mice treated with EST also experienced an increase in LPS-induced IL-10 levels (**Table 4**). The presence of steroid responsive elements in the promoter region of the IL-10 gene may provide a possible explanation for this finding (Kim *et al.* 1992; Kube *et al.* 2001). However, the identified elements are not typical and functional assays have not been performed yet. Interestingly, two of the evaluated strains, *i.e.* DBA/2J and BALB/cJ, were identified as non-responders to EST treatment (**Table 4**). Estrogen responsive elements in the IL-10 promoter might be missing, be defective, or require a different dosage of EST for optimal function. Dose-dependency of hormonal effects has been described in humans (Goretzlehner 1991; Asai *et al.* 2001) and may explain our finding in the LPS-induce TNF- $\alpha$  plasma levels of B6 mice.

Regulatory pathways other than interaction of EST with the promoter of the IL-10 gene may be possible. For example, estrogen has also been reported to regulate IL-6 expression (Girasole *et al.* 1992; Deshpande *et al.* 1997), but to date, no estrogen responsive element has been detected in the IL-6 promoter (Ray *et al.* 1994; Deshpande *et al.* 1997). Indeed, the ability to decrease IL-6 levels has been related to direct interaction of estrogen with NF- $\kappa$ B (Ray *et al.* 1994; Stein *et al.* 1995). In conclusion, it is possible that sex-steroids regulated the inflammatory process at different levels.

With respect to the effects of EST on LPS-induced IL-10 plasma levels, an alternative explanation for the TNF- $\alpha$  data come into view: Interleukin 10 down-

regulates TNF- $\alpha$  expression and thus is responsible for a balancing effect on the proinflammatory response (Gerard *et al.* 1993; Marchant *et al.* 1994; van der Poll *et al.* 1997). However, there is evidence in our data that makes this scenario seem less likely: First, IL-10 plasma levels after LPS challenge are increased in castrated B6 mice supplemented with EST. Consequently, a result of an inhibitory effect of IL-10 should cause depression of TNF- $\alpha$  of these mice (**Table 1**). However, this observation may be explained by traits in the genetic background of the B6 strain. Actually, A/J and AKR/J do show decreased TNF- $\alpha$  levels along with increased IL-10 levels after EST treatment.

Second, DBA/2J and BALB/cJ mice are unresponsive to EST-treatment and maintain the level of LPS-induced IL-10 levels (**Table 4**). However, LPS-induced TNF- $\alpha$  plasma levels also decrease with EST treatment in these two strains (**Table 2**). There may be another regulatory mechanism involved in the down-regulation of TNF- $\alpha$ . It is also possible, that the unresponsiveness of DBA/2J and BALB/c mice actually is an artifact from sub-optimal dosage.

Ovariectomized female A/J mice show an increase in LPS-induced IL-10 plasma levels (**Table 3**). Apparently this does not match with the concept of IL-10 modulation thru EST. It is however possible, that this finding is a consequence of regulation at an endocrine location other than the ovaries. Studies in rats suggest medullar cells in the adrenal gland to be responsible for gender differences in the inflammatory response (Green *et al.* 1999).

## **5.2. F1-generation: contribution of sex-chromosomes to TNF- $\alpha$ and IL-10 phenotypes**

There are two possible mechanisms behind gender differences of any kind. One is a difference in hormonal activity, i.e. sex-steroids; the other is availability of alternative genetic information. In a genetic sense, sex-chromosomes are the only thing that make the difference between male and female (Passarge 1994): By looking at meiosis, it becomes clear, that apparently every single autosome is exchangeable between male and female without changing the genetic sex. However, the presence of an intact Y-chromosome will determine male sex of the embryo. Patients with two X chromosomes and an accessory Y-chromosome will clinically present with a male phenotype (*Klinefelter-Syndrome*), and individuals with only one X-chromosome will develop a female phenotype (*Turner-Syndrome*) as long as no Y-chromosome is present.

Interestingly, the sex-determination is not dependant on the complete Y-chromosome. The critical region that determines biologic sex lies on the distal short arm of the Y-chromosome. The physical map of this region shows the following subsets: The most distal part of the Y-chromosome is considered the pseudoautosomal region (PAR). Because of the homology with the distal part of the short arm of the X-chromosome homologue pairing and crossing-over may occur in this region of the Y-chromosome during meiosis - just like on any other autosome - without changing the sex.

The adjacent region to PAR is segment 1. The total to a length of these two segments is 2500kb. The following segments 2 to 7 do not contain any relevant gene for male sex-determination. Located adjacent to PAR is the proximal part of segment 1 (1 A1), where the sex-determining region Y (SRY) lays (Wolf *et al.* 1992). This



rather short sequence of just 35kb is most likely to be identical with the testis-determining factor (TDF). After transfection with the Sry-Region (the murine 14kb equivalent of the human SRY), transgenic mice with female genotype (X/X) will develop as males (Koopman *et al.* 1991). Similar sex-reversal as the result of an SRY-exchange by crossing-over during meiosis (a risk that comes with the close proximity of SRY to PAR) or secondary to a defective SRY through single nucleotide mutations have been described in humans (McElreavy *et al.* 1992; Wolf *et al.* 1992; Affara *et al.* 1993).

Once the sex is determined genetically by sex-chromosomes, the development of each sex (*sex-differentiation*) can evolve. It is a complex, time-dependant process that requires the expression of a multitude of genes in different tissues. This gene-expression is controlled by sex-hormones, like estrogen or testosterone. These hormones are primarily produced in the gonads. The presence of SYR initiates the development of testicles from the so far undifferentiated gonads. This step grants the production of high levels of androgens and thus causes differentiation of a male phenotype determined by a male genotype. In the absence of SRY the undifferentiated gonads will develop as ovaries (Ganong 1993).

During sex-differentiation, sex-steroids are required as mediators to develop gender-specific features. The significance of the hormonal gene-regulation becomes apparent when the normal function is disturbed. For example, a defective testosterone receptor can cause testosterone-resistance. Despite a male genotype (X/Y), a female phenotype will evolve. This disorder is known as testicular feminism. The importance of estrogen in the female sex-differentiation is also illustrated in the adreno-genital syndrome (AGS). This disease is based on an estrogen-deficit caused by a defective 21-hydroxylase. As a result metabolites of the estrogen-biosynthesis are shifted into

pathways of the androgen-production. The surplus in androgen hormones results in male features despite a female genotype (X/X) (Ganong 1993).

Although the amount of DNA that actually makes the difference between male and female is very small, elucidation of the role of sex chromosomes in a study on genetic impact on gender differences of the inflammatory response is mandatory.

Data on the TNF- $\alpha$  phenotype in F1 mice indicate that neither the gender difference in B6 mice nor the response to sex-steroids, in particular the modulation of the TNF- $\alpha$  by EST is linked to the sex-chromosomes or is genetically imprinted and requires homozygosity of the underlying allele. Additionally, the opposite effects of EST on LPS-induced TNF- $\alpha$  levels may be the result of opposing alleles that may neutralize the parental phenotype in the F1-generation (**Table 5**).

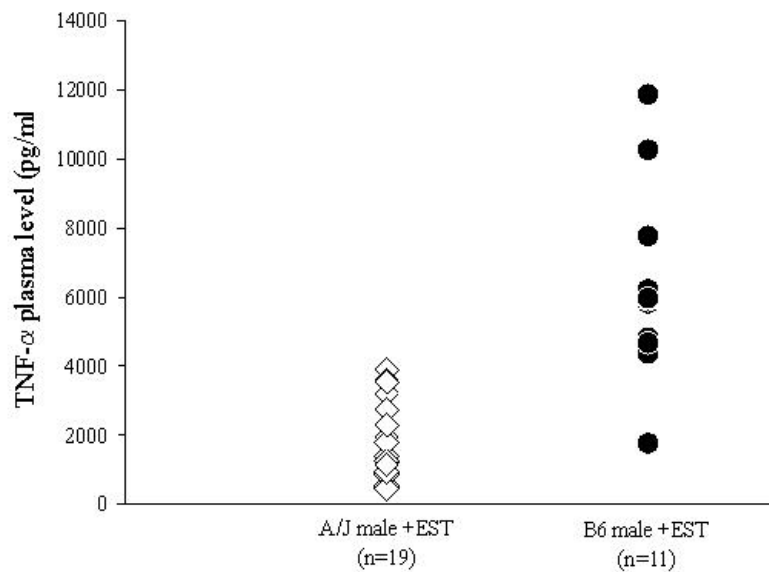
Data obtained from the F1 generation on the IL-10 phenotype may allow exclusion of any linkage to sex-chromosomes or imprinting, too. However, B X A respond like B6 only. Statistically there is no difference between A/J males and A x B or B6 males and A X B, respectively. A X B females follow the pattern of B6 mice, though. This may suggest a possible linkage to the A/J X-chromosome or maternal imprinting (**Figure 9**). The problem with this experiment however is that A X B mice are not commercially available and thus had to be bred in the institutional animal facility. Thus, a larger number of batches with a smaller number of animals per group were used per experiment. Consequently, environmental factors may differ from those for mice that were obtained from commercial sources, which may disturb the accuracy of this experiment. Moreover, female F1 mice were not stratified by stage of the estrus cycle, which may increase the variability in the control groups.

A mapping approach currently under way suggests candidate genes located on chromosome 13 for the IL-10 phenotype in non-manipulated male mice after

injection of LPS and chromosome 9 for the TNF- $\alpha$  phenotype (*unpublished data*). However, the IL-10 gene is located on murine chromosome 1, the TNF- $\alpha$  gene is located on murine chromosome 17. In summary, the observed phenotypes in this study are very likely to follow a multi-factorial pattern of inheritance, involving a number of loci on different autosomes rather than to be linked to sex-chromosomes.

Which loci significantly contribute to the observed effects of sex-steroids and gender-differences will have to be elucidated in future mapping approaches. Distribution of the TNF- $\alpha$  plasma levels after EST treatment in A/J and B6 males suggest that this phenotype may be suitable for comparative mapping strategies (**Figure 15**). Such concepts have previously been described (Matesic *et al.* 1999; Matesic *et al.* 2000).

Besides sex steroids and sex chromosomes, other, yet unknown factors related to gender may be involved. Such factors have been suggested by the fact, that mortality due to infection is higher in newborn boys than in girls (Wells 2000). Additionally, a clinical study in burned children at an average age of 5 years reports a higher mortality rate in boys with respect to girls (Barrow *et al.* 1990). Sex-steroids obviously play a secondary role in these two populations. A comparable study on burns, in sexually mature adults, female sex was found as an independent risk-factor for adverse outcome (O'Keefe *et al.* 2001). Such contradicting results may correlate with gender differences independently of sex-steroids.



**Figure 15: LPS-induced TNF- $\alpha$  plasma levels after estrogen-treatment in castrated A/J and B6 male mice.** Mice underwent surgical castration by removal of their testicles at the age of 6 weeks. Subcutaneous constant release pellets containing 17-Estradiol (EST) were implanted. After 14 days of recovery, mice were injected with LPS (15mg/kg). TNF- $\alpha$  plasma levels (pg/ml) were measured 1.5h after the injection using an ELISA. Each dot represents the TNF- $\alpha$  plasma levels in each individual mouse in the respective group (A/J or B6).

### 5.3. Protection from lethal endotoxic shock by castration depends on genetic background

Androgen-depletion by surgical or pharmacological castration has been proposed to modulate the inflammatory response after hemorrhagic shock and improve outcome from subsequent sepsis (Wichmann *et al.* 1996; Angele *et al.* 1997; Angele *et al.* 1999). In analogy, female sex-steroids may have salutary effects to improved outcome (Zellweger *et al.* 1997; Angele *et al.* 2000; Knoferl *et al.* 2002).

Indeed, castrated A/J male mice were protected against lethal endotoxemic shock (**Figure 12a**). Survival was markedly improved over the evaluated time-course and restoration of the androgen hormonal environment by implantation of DHT pellets reversed this protection (**Figure 13**). In conclusion, androgen depletion results in protection from LPS. The most striking finding of our mortality-studies, however is that the benefit is restricted exclusively to the A/J genetic background. Castration may have decelerated the frequency of mortalities in DBA/2J mice though (**Figure 12d**). All other examined strains had an unchanged outcome after surgical castration. C3H/HeN mice (**Figure 12c**) have been reported to have survival-benefits after androgen depletion in a model of abdominal sepsis following hemorrhagic shock (Angele *et al.* 1997). In our model, they showed no improved outcome. Consequently, protection by androgen depletion may not only depend on the genetic background but moreover may change with the type of injury. Qualitative and quantitative divergence in the response to different types of injury can be observed in animal models: CLP produces a quite different cytokine response as observed during endotoxemia (Villa *et al.* 1995; De Maio *et al.* 1998; Remick *et al.* 2000; Stewart *et al.* 2002). Variable extend of an injury influences the inflammatory response as demonstrated in a model of combined insult using CLP and endotoxic shock (Trentzsch *et al.* 2003).

A mechanistic explanation for protection through androgen depletion has not yet been provided. The evaluated cytokines may suggest reduced TNF- $\alpha$  plasma levels and increased IL-10 plasma levels in A/J male mice after castration as a possible explanation. This observation may suggest a shift in the ratio of pro- vs. anti-inflammatory activity. Although, the cytokine levels lack statistical significance, the analysis of the TNF/IL-10 ratio of these mice indicates a significant drop (**Table 7a**)

suggesting attenuation of the predominantly pro-inflammatory state towards an anti-inflammatory state. EST-treatment of A/J males after castration also results in a decrease of TNF- $\alpha$  and an increase of IL-10 plasma levels. Indeed, the TNF/IL-10 ratio is diminished even further and thus the inflammatory response is changed from a predominantly pro-inflammatory response towards an anti-inflammatory state (**Table 7b**). Interestingly, this was not associated with improved survival and actually accelerated the clinical course, although the outcome after all was unchanged (**Figure 11**).

Improved survival may depend on the ideal equilibrium of pro- and anti-inflammatory components of the inflammatory response. Pharmacological approaches to attenuated sepsis in order to improve survival of septic patients aim at anti-inflammatory strategies. The latest promising candidates for “magic bullets”<sup>1</sup> are Afelimomab, a new F(ab')<sub>2</sub> antibody fragment against TNF- $\alpha$ ; and activated protein C (*Xigirs*<sup>TM</sup>), which actually possesses anti-inflammatory properties by inhibiting the LPS-induced liberation of TNF- $\alpha$  (Bloos *et al.* 2002; Hotchkiss *et al.* 2003). However, these adjuncts are restricted to the early phase of sepsis and thus illustrate the delicacy of the pro-/anti-inflammatory equilibrium that is mandatory for survival from sepsis. Overwhelming anti-inflammatory predominance may cause depression of the cellular immune function and consequently predispose to increased risk of infectious complication, most likely to result in a fatal course (Faist *et al.* 1996; Oberholzer *et al.* 2002).

Testosterone blockage with Flutamide protected male C3H/HeN mice from septic insults after hemorrhagic shock (Angele *et al.* 1997). In a number of clinical

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<sup>1</sup> A fundamental concept of modern pharmacology is that each pathologic condition can be corrected or reversed by a single pharmacological compound. Paul Ehrlich, who first enunciated this concept, called such compounds “*magic bullets*”.

studies, a decrease of testosterone levels has been described in male patients after burns (Lephart *et al.* 1987), trauma (Majetschak *et al.* 2000), and sepsis or septic shock (Christeff *et al.* 1988; Christeff *et al.* 1992; Fourrier *et al.* 1994; Schroder *et al.* 1998). Assuming that low testosterone may offer protection from injury, this response may be interpreted as a possible strategy of the organism to adapt to stressful conditions. Surprisingly, this decrease has been reported to be accompanied by an increase in estrogen levels, (Benassayag *et al.* 1984; Christeff *et al.* 1988; Christeff *et al.* 1992; Fourrier *et al.* 1994; Schroder *et al.* 1998; Majetschak *et al.* 2000) and two clinical studies report increased mortality among male patients with such hormonal changes (Schroder *et al.* 1998; Majetschak *et al.* 2000). However, testosterone concentrations in severely ill male patients inversely correlated with APACHE scores (Luppa *et al.* 1991), implying that severely ill patients with high testosterone levels have low APACHE score and thus have a better likelihood to survive. Taking our mortality studies in castrated male mice into consideration, such contradictive findings may be explained by genetic differences in the studied cohort's gene-pool.

<b>Table 7a</b>	<b>AKR/J</b>	<b>A/J</b>	<b>B6</b>	<b>BALB/cJ</b>	<b>DBA/2J</b>
<b>CONT</b>	2.3 ±0.4 n= 8	3.2 ±0.4 n= 31	0.8 ±0.1 n= 32	0.9 ±0.2 n= 10	9.4 ±1.6 n= 7
<b>CX (+VEH)</b>	1.6 ±0.1 n= 7 p= 0.173	1.7 ±0.4 n= 21 <b>p= 0.004*</b>	1.2 ±0.2 n= 15 p= 0.182	1.0 ±0.3 n= 9 p= 0.967	8.8 ±1.5 n= 8 p= 0.397

<b>Table 7b</b>	<b>CONT</b>	<b>CX +VEH</b>	<b>CX +DHT</b>	<b>CX +EST</b>
<b>A/J</b>	3.2 ±0.4 n= 31	1.7 ±0.4 n= 21 <b>p= 0.004*</b>	4.2 ±1.5 n= 22 p= 0.179	0.5 ±0.1 n= 19 <b>p&lt; 0.001*#</b>

**Table 7a+b :** Effects of castration on the TNF- $\alpha$ /IL-10 ratio in male mice. Male mice were castrated (CX) and supplemented with vehicle pellets (VEH) at the age of 6 weeks. After recovery for 14 days, LPS was injected (15mg/kg). Blood samples were obtained upon sacrifice 1.5h after the injection and TNF- $\alpha$  and IL-10 plasma levels were measured using an ELISA. Non-operated mice of each strain were maintained under identical conditions and used as control (CONT). **Table 7a** shows baseline ratios of the “inflammatory coefficient” (*i.e.* ratio of TNF- $\alpha$ /IL-10) of mice from various inbred strains (AKR/J n=8; A/J n=31; B6 n=32; BALB/cJ n=10; and DBA/2J n=7) in comparison with ratios of mice after surgical castration and implantation of vehicle pellets (CX+VEH: AKR/J n=7; A/J n=21; B6 n=15; BALB/cJ n=9; and DBA/2J n=8). Data corresponds to the average ratio obtained from all animals in the group  $\pm$  standard error of the mean. Statistical significance was accepted at \*p< 0.05 obtained by Mann-Whitney-Rank Sum Test. **Table 7 b** shows changes in TNF- $\alpha$ /IL-10 ratio in male A/J mice after castration and hormonal treatment. Mice were treated as described. Additional males were castrated (CX) and supplemented with hormone pellets (DHT, n=22; EST, n=19). Data corresponds to the average TNF- $\alpha$ /IL-10 ratio obtained from all animals in the group  $\pm$  standard error of the mean. Statistical significance was accepted at \*p< 0.05 obtained by Mann-Whitney Rank Sum Test for pair-wise comparison VEH vs. CONT and #p<0.05 for pair-wise comparison EST vs. VEH.



#### 5.4. Critical effects of EST on LPS-induced mortality

Modulation of the inflammatory response during endotoxemia in EST-treated male B6 mice increased mortality significantly (**Figure 10**). This finding contradicts with the idea, as concluded from many studies, that female rodents have better survival than males after injury as a result of sex-steroids (Zellweger *et al.* 1997; Angele *et al.* 2000; Diodato *et al.* 2001; Knoferl *et al.* 2002). Interestingly, no differences in mortality between female and male B6 mice after injection of LPS have been observed (Laubach *et al.* 1998). Since the genetic background seems responsible for gender differences and sex-steroidal modulation of the inflammatory response, B6 may not have a suitable make-up for showing gender differences in outcome and inflammatory response in a model of endotoxemia. This strain may also be more susceptible to adverse effects of EST. Activated CD4<sup>+</sup> T-cells (*helper T-cells*) secrete cytokines with either one of two distinct and antagonistic profiles. They secrete either cytokines with inflammatory properties (*type 1 helper T-cell*; Th-1) including TNF- $\alpha$ , IFN-  $\gamma$ , and interleukin 2, or cytokines with anti-inflammatory properties (*type 2 helper T-cells* ; Th-2), such as interleukin 4 and IL-10 (Abbas *et al.* 1996; Opal *et al.* 2000). The Th-1 response plays a role in activation and recruitment of other T-cells and macrophages; the Th-2 response regulates antibody secretion from B-cells and exerts anti-inflammatory properties via IL-10. Th-1 and Th-2 clones are reciprocally regulated by their secreted cytokines: INF-  $\gamma$  inhibits the proliferation of Th-2 clones whereas IL-10 inhibits that of Th1 clones (Giron-Gonzalez *et al.* 2000). A shift in the T-cell function towards Th-2 is believed to play a key role in cell-mediated immune dysfunction after trauma and critical illness, which is associated with an increased risk of septic complications (Faist *et al.* 1996; Hotchkiss *et al.* 2003). Such changes in T-cell function have been demonstrated in humans after burns (Zedler *et al.* 1999)

and abdominal sepsis (Heidecke *et al.* 2000). A similar shift from Th-1 to Th-2 type response has also been observed in animal models of trauma hemorrhage (Ayala *et al.* 1996; Schneider *et al.* 2000). Interestingly, estrogen enhances phospholipase A2 activity and consequently increases prostaglandin production (Dey *et al.* 1982). Production of prostaglandin E2 from monocytes promotes the described shift in T-cell function from Th-1 to Th-2 (Faist *et al.* 1996). Based on this concept, estrogen may be rather fatal than beneficial in septic patients. Indeed, an increase in estrogen levels can be observed in such patients (Benassayag *et al.* 1984; Christeff *et al.* 1988; Christeff *et al.* 1992; Fourrier *et al.* 1994; Schroder *et al.* 1998; Majetschak *et al.* 2000). The increase in plasma estrogen levels is accompanied by decreasing testosterone levels and as observed in two clinical studies (Schroder *et al.* 1998; Majetschak *et al.* 2000) this finding correlates with increased mortality associated with male gender. A state of high estrogen and low testosterone reflects conditions similar to those in our mortality study and thus may explain the severe effects of EST-treatment on castrated B6 males (**Figure 10**). High estrogen plasma levels may contribute to the shift in T-cell function that is associated with posttraumatic immune changes. As studies in humans may suggest, the major sex-dimorphic difference in the inflammatory response is a predominantly Th-2 biased response in females as opposed to a predominantly Th-1 response in males (Giron-Gonzalez *et al.* 2000). This situation is likely to be determined by estrogen-action. Estrogen-treatment promotes suppression of the Th-1 type response in the delayed-type hypersensitivity response to purified protein derivatives in mice (Salem *et al.* 2000). We have observed markedly increased IL-10 plasma levels after EST-treatment in several strains of inbred mice (**Table 3 and Table 4**). Interleukin 10 is a defining cytokine of the Th-2 response (Faist *et al.* 1996) and has been shown to down-regulate the

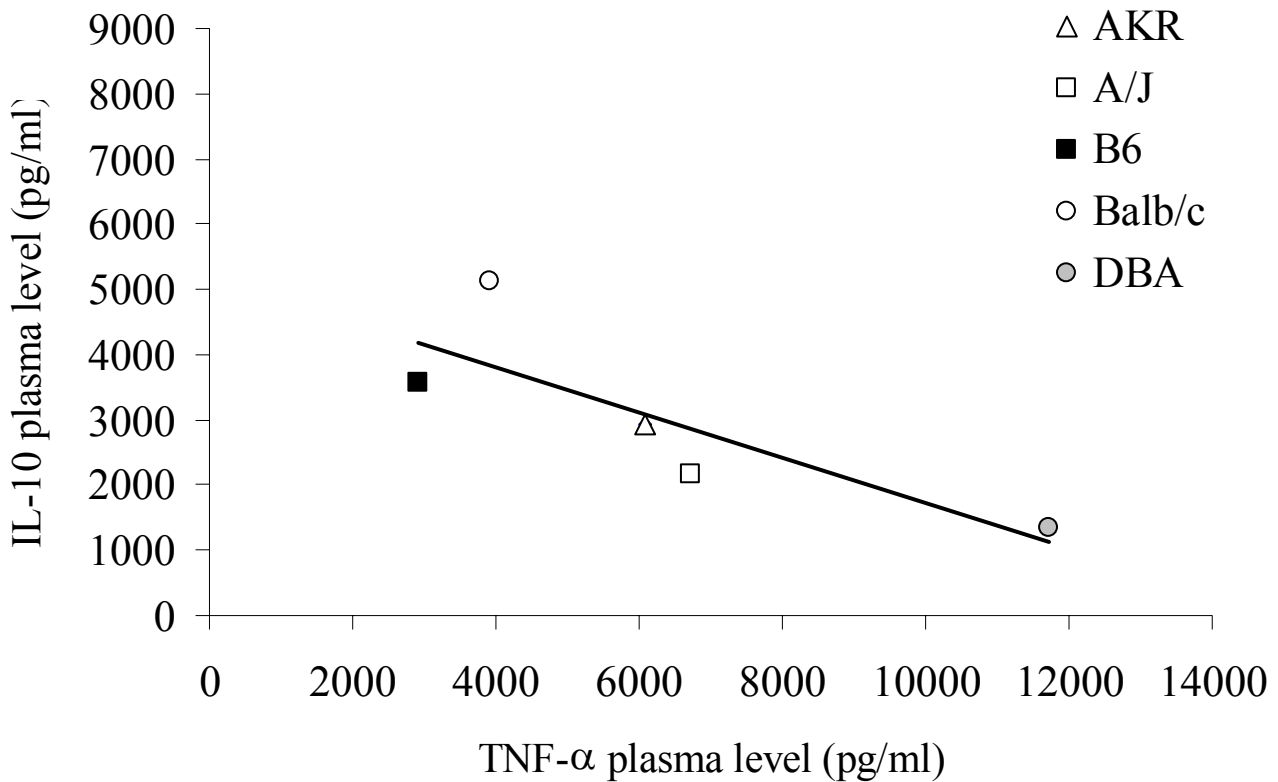
production of several pro-inflammatory cytokines, including TNF- $\alpha$ , IL-1, IL-6, IL-12 and IL-18 (Moore *et al.* 1993). However, the beneficial effect of IL-10 in the response to injury is controversial. Exogenously administration of IL-10 has been shown to reduce several aspects of the inflammatory process and improve survival in experimental models of endotoxemia (Howard *et al.* 1993) and against staphylococcal enterotoxin B (Bean *et al.* 1993). On the other hand, similar experiments in animal models of sepsis and thermal injury have shown no effect on inflammatory mediators, increased T-cell dysfunction, and elevated mortality (Oberholzer *et al.* 2002). Further studies will have to determine whether EST can be helpful to modulate the inflammatory response in order to protect from adverse outcome after injury. Correct dosage might be of critical importance (Goretzlehner 1991) and so may be the right equilibrium between androgen and estrogens (Angele *et al.* 1998). However, the genetic background and type of injury may restrict such approach to an exclusive group of patients. In our model, we were not able to identify such a group within the evaluated gene-pool.

### **5.5. Clinical and scientific relevance of the findings**

Our findings illustrate, that the modulation of the inflammatory response by sex-steroids depends on the genetic make-up of the subject. During sex-differentiation, sex-steroids control the expression of genes that are responsible for the formation of gender-specific features. Studies suggest that genes encoding mediators of the inflammatory response are under sex-steroidal control: Estrogen receptors (ERs) have been identified in the nuclei of various human immune cells, such as monocytes (Wada *et al.* 1992; Ben-Hur *et al.* 1995), macrophages (Gulshan *et al.* 1990), and T cells (Cohen *et al.* 1983; Cutolo *et al.* 1993). There is evidence of

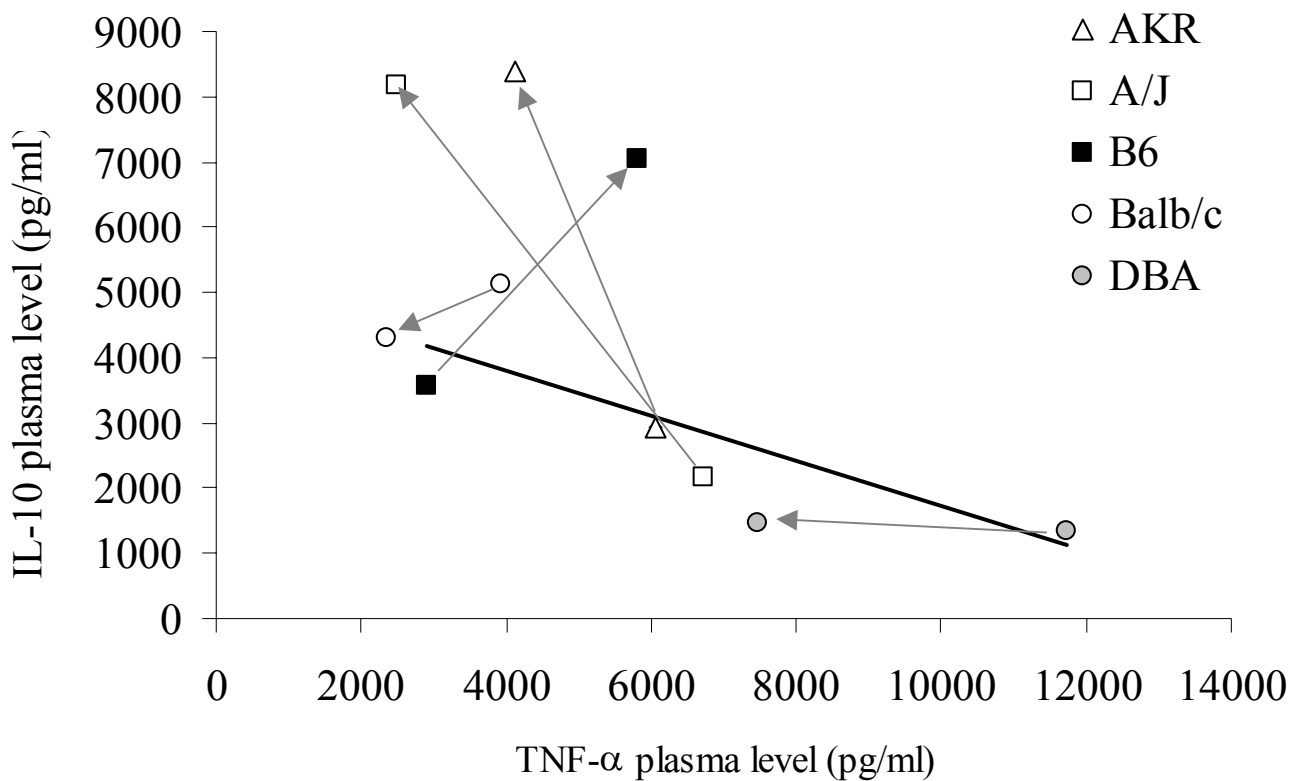
membrane-bound ERs on the surface of monocytes (Stefano *et al.* 1999). Steroid responsive elements are located in the promoter region of the IL-10 gene (Kim *et al.* 1992; Kube *et al.* 2001). Estrogen may possibly control cytokine production by direct interaction with NF- $\kappa$ B (Ray *et al.* 1994; Stein *et al.* 1995). Apparently, sex-steroids regulate the inflammatory process at different levels and all of these mechanisms offer a vast number of possible genetic differences.

However, the effect of sex-steroids on the inflammatory response is not heterogeneous: A decrease in LPS-induced production of TNF- $\alpha$  was observed in murine splenic macrophages from BALB/c mice treated with EST (Deshpande *et al.* 1997). In contrast, an increase in this cytokine was observed in peritoneal macrophages derived from female BALB/c mice (Zuckerman *et al.* 1996), or male rats (Chao *et al.* 1994) both treated with LPS and EST. A reduction in IL-6 levels was found in BALB/c splenic macrophages treated with LPS and EST (Deshpande *et al.* 1997), which is the opposite observation as in peritoneal macrophages from female BALB/c mice under similar conditions (Zuckerman *et al.* 1996). While these findings may indicate different responses in distinct compartments of the inflammatory cascade and thus may contribute to the contradicting results, our data clearly demonstrates that the genetic background determines variable modulation of the inflammatory response by sex-steroids. This genetic component can be easily visualized by analyzing the ratio between IL-10 and TNF- $\alpha$  of each strain (**Figure 16 a + b**). Changes in this ratio by administration of EST are obviously very different and predicting the effect seems impossible.



**Fig. 16 a: Ratio in CONT (no EST)**

**Figure 16 a+b: Strain-specific variability in TNF/IL-10 ratio of male mice 1.5h after injection of LPS.** **Figure 16a** shows the distribution of average TNF/IL-10 ratios of non-manipulated, 8 week old male mice after injection of LPS (15mg/kg). AKR n= 8, A/J n=10, B6 n=6, BALB/c n=10, DBA/2J n=7. **Figure 16b** additionally shows the distribution of TNF/IL-10 ratio in male mice that were castrated at the age of 6 weeks and received EST-treatment for 2 weeks. Arrows indicate the shift in the ratio that resulted from the EST-treatment. AKR (n=8), A/J (n=7), B6 (n=6), BALB/c (n=8), DBA/2J (n=8). Each dot represents the average TNF/IL-10 ratio of each group. Arrows indicate the shift in the ratio when compared with non-manipulated CONT.



**Fig. 16 b: Changes in Ratio with EST**

We are aware, that findings in mice are difficult to be extrapolated to men. However, our observations suggest a critical role of hormones and hormonal treatment in critically ill patients. Sex steroids are routinely employed in the clinical field, for example in prostate cancer therapy and hormone replacement therapy (HRT). Consequently, information on how hormones influence the body's homeostasis is of importance. This became illustrated most recently by the confusion caused by the halt of the estrogen plus progestin (*Prempro*<sup>TM</sup>) component in the Women's Health Initiative (WHI), a randomized, placebo-controlled and blinded, multi-center trial enrolling 27.348 patients for evaluation of HRT. As a matter of fact, 22.3 million prescriptions make *Prempro*<sup>TM</sup> the second most frequently prescribed hormone

replacement medication throughout the US in 2000 (Kreling *et al.* 2001). The data and safety monitoring board (DSMB) of the WHI made their recommendation to halt the Prempro™ treatment-arm, after Prempro™ medication was associated with an increased risk of invasive breast cancer, stroke, coronary heart disease, and pulmonary embolism. An overall measurement suggested that HRT with estrogen plus progestin would do more harm than good (*i.e. reduced risk of colorectal cancer and reduced hip-fractures*) (Fletcher *et al.* 2002; Rossouw *et al.* 2002). As a result of the DSMB-recommendation, physicians worldwide had to wonder if HRT could be considered safe. Epidemiologic data of the WHI indicates that the majority of patients enrolled in this trail were Caucasians. One may speculate if the increased risk would have been reduced, if patients with potential genetic risk-factors would have been excluded.

In another study on men after coronary artery bypass found reduced leukocyte activation after estrogen treatment which may contribute to improved graft survival (Wei *et al.* 2001). However, the sample size was too small to detect improved outcome and there were no significant changes in cytokine profiles. If they successfully prevented sepsis and multiple organ failure was not evaluated. Apparently, EST-treatment was tolerated better than in our model. This may be a result of the type of injury, differences in environmental factors, or genetics (*including genetic differences between species!*).

Our data supports the hypothesis that clinical application of sex-steroids or alteration of sex-hormone profiles in critically ill patients may be difficult to asses without consideration of the genetic background. Quantitative trait loci that are responsible for the phenotypes observed in our mouse model may have homologies in

the human genome and thus one day may allow identification of patients at risk to develop sepsis or to adjust their therapeutic regimens.

Preliminary sequencing data from the human genome project reveals that the human genome consists of possibly 25,000 to 40,000 genes. Only twice as many as in fruit fly, worm, or plant and about the same number of genes that built the murine genome (Waterston *et al.* 2002).

Genomics, the science of determining the functions of individual gene segments, facilitates structural homologies between evolutionarily, closely related genomes. Its success is based on a simple concept: Functionally important sequences are more likely to retain their sequence during evolution than non-functional sequences. So DNA sequences that are conserved between species are likely to have important function. Comparison of genomes of closely related species may also help to identify gene-control regions (Rubin 2001). We may assume that homologies of loci that control the inflammatory response between mice and men exist. An assumption that has been fueled by disclosure of sequencing data of the murine genome (Waterston *et al.* 2002).

## **5.6. Comments on methodology**

We have based our experimental approach to the role genetic contribution to sex-steroidal modulation of the inflammatory response on the premises that the inflammatory response is the intersection of three major components: type of injury, environmental factors and the genetic background (**Figure 14**). To disclose differences that are determined by either one of these three factors, uncompromised experimental control of the other factors is mandatory. In our model, we studied the inflammatory response induced by injection of LPS to inbred mice. The use of small



rodents provides a lot of advantages. They are inexpensive, widely available in large numbers, at the same age and sex, genetically identical, free of specific pathogens, and on the same diet, which minimize biological variability.

LPS-models are well-known for their reliable and reproducible results (Chaudry 1999). TLR4 seems to be the sole gateway to the LPS-induced inflammatory response in mice, by implication in all mammals. LPS itself is not capable to evoke shock, tissue injury, and other effects through non-specific interaction. Instead it can be anticipated that these effects are a product of the pro-inflammatory cascade activated by this single pathway (Beutler *et al.* 2001). Highly reproducible phenotypes are the fundamental bases for comparative mapping-strategies. LPS-injections require only minimal animal manipulation and thus warrant little alteration of the organism's homeostasis after the inflammatory challenge. Our experience with the LPS-model reassures us that observations in this model are highly reproducible (De Maio *et al.* 1998).

Apparently, gram-negative sepsis can not be restricted to LPS alone. Consequently, experimental endotoxemia is probably a better model of inflammation and acute phase response than of authentic sepsis. However, we think that the early events of the acute phase and inflammatory response are key-factors in the pathogenesis of sepsis and the resulting clinical course. Endotoxic shock provides an excellent model to study the impact of the genetic background on the inflammatory response because it reduces the risk of other pathways creating too much background, which actually may mask genetic differences.

Many LPS preparations are contaminated with other bacterial products reacting with different Toll receptors, which may explain some of the discrepancies in the literature with regard to LPS (Hirschfeld *et al.* 2000). Although a non-extracted

mixture might represent real biology, it complicates experimentation. Only when LPS is re-extracted it acts as a pure TLR4 activator. To keep the experimental conditions in this study constant, we utilized LPS from a single batch only.

LPS produces a cytokine-rich inflammatory response. To quantify the inflammatory response and to detect changes in the response after hormone manipulation, we selected two of the possible markers involved, TNF- $\alpha$  and IL-10. These two markers have been considered as major players of the LPS-induced inflammatory response. A large body of evidence on these two markers has been accumulated, providing a broad basis for discussion of the findings. Detection by the use of ELISA-Kits is state of the art (Ertel *et al.* 1993).

Studying hormonal effects is a big experimental challenge. Endocrine activity underlies dynamic changes that lie beyond experimental control or simply exceed a practical approach. Obviously the biggest challenge in studies on sex-hormones is the reproductive cycle of females that is accompanied with a load of hormonal changes over a short period of time. As outlined in **Appendix 1**, the window of a certain condition may close over the time-course of an experiment and thus complicates experimental conditions (*e.g. mortality-studies*). Moreover, misinterpretation of smears may occur (*e.g. anestrus or pseudopregnancy*). For example, cycle-related changes on cytokine, such as TNF- $\alpha$  in humans remains controversial (Angstwurm *et al.* 1997; Schwarz *et al.* 2000; Bouman *et al.* 2001). The evaluation of cycle-determined differences is complicated and difficult to perform even in the controlled environment of an animal model. For this reason and to control for strain-specific differences in hormonal features, we decided to control hormonal activities by applying defined doses of hormone into gonadectomized animals. This model has

previously been reported to result in physiologic hormone plasma levels in mice (Angele *et al.* 1999).

Administration of LPS and estriol (*an estrogen agonist*) to female BALB/c mice did not affect IL-6 serum levels, but did change the kinetics of its appearance in the circulation (Zuckerman *et al.* 1996). We did not check for changes in the kinetics of our model. It is possible that IL-10 plasma levels in DBA/2J and BALB/cJ mice after EST treatment reached peaks either before or after our designated time-point that we may have missed. This may explain why we did not see any response to DHT and why female mice seemed to be unresponsive to hormonal manipulation. However, we feel comfortable with this decision, since changes in the kinetics may be result of strain-specific traits and thus would be referred to as a result of different genetics. However such changes would not be suitable as map-able phenotypes and therefore are of minor interest.

## 6. SUMMARY

We have shown that gender is a contributing factor in the LPS-induced inflammatory response of B6 mice. Gender-dimorphisms of the inflammatory response appear to be associated with hormonal differences. However, this contribution is dependent on the genetic background, as demonstrated in comparison with A/J mice. Additionally, we found that treatment with sex-steroid modulates LPS-induced mediators of the inflammatory response, such as IL-10 and TNF- $\alpha$ . However, male mice seem to be better responders to such manipulation. Moreover, the effects were dependent on genetic differences. Some mouse strains revealed to be non-responders to changes in the hormonal environment, *i.e.* IL-10 levels after EST-treatment in DBA2/J and BALBc/J mice, while others showed opposing response, *i.e.* TNF- $\alpha$  levels of EST-treated A/J and B6 mice. Thus, our data suggests that gender and genetic diversity combine to modulate the response to a particular injury. However, the effects of sex-steroids and the observed gender-differences seem to be independent of sex-chromosomes. We also evaluated effects of hormonal manipulation at the levels of mortality. Androgen depletion is considered a mainstay of gender-related differences and may improve survival. Interestingly, castration protected only A/J mice against LPS. Such protective effects may be secondary to sex-steroid controlled changes in the ratio of pro- vs. anti-inflammatory components of the inflammatory cascade. However, we concluded that protection is dependent on the type of injury and the genetic background. EST-treatment of A/J and B6 males did not improve outcome from endotoxic shock.

If our findings in mice could be extrapolated to humans, they might explain contradictory observations of clinical studies on gender differences. Genetic markers might better delineate the contribution of gender in the response to injury in the

clinical setting. Thus, research on such markers needs to be intensified in the future. Consequently, this information is of importance for planning basic science experiments, clinical trials and for the development of therapies that ameliorate the secondary effects of injury.

## **7. ZUSAMMENFASSUNG**

Die hier vorliegenden Daten zeigen deutliche geschlechtsspezifische Unterschiede in der LPS-induzierten entzündlichen Antwort von B6-Mäusen. Geschlechtsspezifische Dimorphismen der entzündlichen Antwort scheinen mit hormonell bedingten Unterschieden assoziiert zu sein. Der Vergleich mit A/J-Mäusen weist hierbei jedoch eine unterschiedliche Ausprägung in Abhängigkeit von genetischen Faktoren auf. Darüber hinaus wurde festgestellt, dass die hier untersuchten Marker TNF- $\alpha$  und IL-10 durch Behandlung mit Sexual-Steroiden moduliert werden können. Männliche Tiere reagieren dabei allerdings deutlich besser auf diese Beeinflussung von außen. Auch hier wurde eine Abhängigkeit von genetischen Faktoren gezeigt: Einige der untersuchten Mausstämme erwiesen sich von den Veränderungen der hormonellen Bedingungen unbeeinflusst, wie an den IL-10 Plasmaspiegel von DBA2/J und BALBc/J Mäusen nach EST-Behandlung zu sehen ist, während andere Stämme gar gegensätzliche Antworten zeigten, wie an den TNF- $\alpha$  Plasmaspiegeln nach EST-Behandlung von A/J und B6 Mäusen erkennbar wird.

Somit erlauben die vorgelegten Daten die Schlussfolgerung, dass biologisches Geschlecht und individuelle genetische Ausstattung gemeinsam einen messbaren Einfluss auf die entzündliche Antwort nach einer bestimmten Verletzung haben. Die Effekte von Sexualsteroiden und Geschlechtsunterschieden sind dabei unabhängig von den Geschlechtschromosomen. Da Androgene allgemein als hauptsächlich

verantwortlich für die geschlechtsspezifischen Unterschiede der entzündlichen Antwort eingeschätzt werden, haben wir von der Verringerung der systemischen Androgenspiegel einen Überlebensvorteil erwartet. Um so interessanter war die Beobachtung, dass diesbezüglich lediglich A/J Mäuse nach chirurgischer Kastration vor den Auswirkungen der LPS-Gabe geschützt waren. Dieser protektive Effekt könnte die Folge von sexual-steroid-abhängigen Änderungen in der Relation von pro- und antiinflammatorischer Komponente der entzündlichen Antwort sein. Es ist anzunehmen, dass dieser Schutz nur bei entsprechender genetischer Konstellation und wahrscheinlich in Abhängigkeit vom Verletzungsmechanismus zustande kommt. EST-Behandlung von männlichen A/J and B6 Mäusen brachte kein verbessertes Überleben nach Endotoxinschock.

Könnte man diese Ergebnisse auf Menschen übertragen, so ließe sich hieraus eine Erklärung für gegensätzliche Beobachtungen bei geschlechtsspezifischen Unterschieden in klinischen Studien ableiten. Genetische Marker könnten helfen, die Einflüsse des biologischen Geschlechts auf die entzündliche Antwort klinisch besser untersuchen zu können. Die Suche nach solchen Markern sollte in Zukunft intensiviert werden, da ihnen auch eine große Bedeutung für das Design von Laborexperimenten und klinischen Studien zukommt, aus denen sich dann eventuell sogar therapeutische Ansätze zur Milderung der sekundären Effekte von Verletzungen ableiten lassen.

## **APPENDIX 1: On the Estrus Cycle of the Mouse.**

Female mice have a poly-estrus cycle that persists throughout the whole year. Central endocrine regulation involves Gonadotrophine Releasing Hormone (GnRH), Follicle Stimulating Hormone (FSH) and Luteinizing Hormone (LH) that are derived from hypothalamus and pituitary gland and control levels of estradiol and progesterone, which themselves contribute to the regulation of the estrus cycle through positive feed-back mechanism on the central regulation. The ovaries are the major source of estradiol and progesterone. The growing oocyte produces estradiol.

Throughout the cycle, phases of predominant estradiol-levels or predominant progesterone-levels create four stage of the estrus cycle: diestrus, proestrus, estrus and metestrus (also know as postestrus or metaestrus; subdivision into phase I and II may occur). The estradiol/progesterone-ratio changes over these stages (Nelson *et al.* 1981). Consequently, metabolic changes occur along the cycle: Proestrus and estrus are anabolic stages with active growth, whereas metestrus is a catabolic stage of degenerative changes. Diestrus is a quiescent stage with slow growth (Schwacha *et al.* 2001). Morphologic changes in the cells reflect these metabolic changes. There are three types of cells commonly found in smears obtained from the vagina: Polymorphonuclear cells (*i.e.* leucocytes), nucleated epithelium cells and cornified epithelium cells. The morphology and number of these three types reflects the phase of the cycle and has been well described (Barkley *et al.* 1981; Rugh 1990; Schwacha *et al.* 2001):

Peak levels of estrogen characterize **proestrus** (20-25 pg/ml in CD-1 mice (Campbell *et al.* 1976) and CBA/J NIA mice (Kahlke *et al.* 2000); up to 28 pg/ml in B6 (Nelson *et al.* 1981)). Smears show approximately even numbers of leucocytes and primarily nucleated epithelium cells. Only few cornified cells are present. This

phase takes 24 to 36h. While estradiol-levels start to decline with the onset of **estrus**, progesterone starts to increase. The epithelium cells of the vagina show an accelerated turnover. A lot of huge, squamous, cornified cells without nuclei are obtained in the smear. Leukocytes are missing completely. In the early phase of estrus, clearly defined epithelial cells with distinct nuclei can also be found. Estrus, (from Greek *oestrus* for “heat”) is the beginning of the reproductive state in the cycle. Ovulation starts 2 to 3 hours after the onset of estrus (Crispens 1975). However, it is the shortest phase of all and takes only 12 to 14h (Crispens 1975). Extended duration of estrus up to 72h has been described (Schwacha *et al.* 2001). Throughout **metestrus**, estrogen-levels further decline (as low as 5 pg/ml in CD-1 mice (Campbell *et al.* 1976)) and progesterone-levels remain high (9.9 ng/ml have been described on day 0 of pregnancy (Crispens 1975), which is comparable to maximum progesterone levels during metestrus in CD-1 mice (Campbell *et al.* 1976), slightly lower levels of 4 ng/ml were found in B6 (Nelson *et al.* 1981)). Now, the epithelium cells are large, folded and with translucent nuclei. They lie in even numbers with leucocytes. This phase takes 24 to 48h (Crispens 1975) but can extend up to 120h (Schwacha *et al.* 2001). **Diestrus** starts as progesterone-levels go back to baseline (~2 ng/ml progesterone during proestrus (Campbell *et al.* 1976; Nelson *et al.* 1981)). Estradiol remains low. The smear will now produce almost exclusively leukocytes. This phase takes 36 to 72h before rising estradiol levels lead into a new onset of proestrus. Under certain conditions, diestrus is prolonged for several days.

An easy way to determine the stage of the estrus cycle is to obtain smears through lavage with isotonic saline solution as described by Rugh (Rugh 1990). An alternative technique is inspection of the typical changes found in the genital by Champlin (Champlin *et al.* 1973). While this technique is less invasive than a lavage,



which is considered a low-stress procedure anyhow, inspection demands a lot of experience in order to make valid predictions on the stage of the cycle. However, it may be preferable for repeated sampling. Repeated smearing, especially with cotton swabs is likely to result in vaginal cornification or the induction of **pseudopregnancy**.

The duration of the cycle has an average duration of 4 to 5 days, however most authors report variable length of the different phases. The total of days may exceed this period and thus cycle length varies between 3 to 9 days. In fact, regularly recurring cycles are rare in the mouse other than in rats (Barkley *et al.* 1981). Several factors have impact on the estrus cycle: day-night-phases (Campbell *et al.* 1976), age (Nelson *et al.* 1981) and even genetic background (Barkley *et al.* 1981) influence its delicate dynamics. CF-1 female mice subjected to short-term food deprivation (24h or 48h) showed delay of ovulation by a week or more when 48 h of food deprivation was initiated in diestrus. Lesser delays occurred when food deprivation began in estrus (Bronson *et al.* 1985).

The absence of males or housing large groups of females together in the same cage may result in irregular cycling (i.e. **prolonged diestrus**). Two different types can be distinguished: anestrus or pseudopregnancy. **Anestrus** is characterized by prolonged diestrus predominantly when large groups of females are housed together and rapidly changes to estrus upon pairing with males. **Pseudopregnancy** shows formation of deciduomata and occurs preferably in small groups of females without presence of males. For both phenomena, a genetic contribution has been suggested. Olfactory stimuli *i.e.* presence of males or male urine exposure are capable to override prolonged phases of diestrus and synchronize the onset of estrus (McKinney 1972; Barkley *et al.* 1981).

## ABBREVIATIONS

\$	U.S. Dollar
%	percent
µg	microgram ( $1 \times 10^{-9}$ kg)
/d	per day
µL	micro liter ( $1 \times 10^{-9}$ L)
A X B	AB6F1 mice (F1-generation; the offspring from an A/J female and a B6 male)
AGS	adreno-genital syndrome
ANOVA	Analysis of Variance
APACHE	acute physiology and chronic health evaluation (scoring system)
ARDS	Acute Respiratory Distress Syndrome
B X A	B6AF1 mice (F1-generation; the offspring from a B6 female and an A/J male)
B6	inbred mouse strain C57BL/6J
CD-14	Cluster of Differentiation 14
CD-4	Cluster of Differentiation 4
CLP	cecal ligation and puncture
CONT	control
CX	castrated
DHT	5 $\alpha$ -dihydrotestosterone
DNA	deoxyribonucleic acid
DSMB	data and safety monitoring board
E. coli	Escherichia coli
EDTA	ethylene diamine tetraacetic acid
ELISA	enzyme-linked immuno sorbant assay
ERs	estrogen receptors
EST	17- $\beta$ -Estradiol
F1	1st filial generation
FSH	follicle stimulating hormone
G	gauge, unit for outer diameter of a catheter
g	gram ( $1 \times 10^{-3}$ kg)

GnRH	gonadotrophine releasing hormone
h	hours
HLA	human leukocyte antigens
HRT	hormone replacement therapy
IFN- $\gamma$	interferon $\gamma$
IL-10	interleukin 10
IL-1 $\beta$	interleukin 1 $\beta$
IL-2	interleukin 2
IL-3	interleukin 3
IL-6	interleukin 6
kb	kilo base-pairs
kg	kilogram
L	liter
LBP	LPS-binding protein
LH	lutinizing hormone
LPS	lipopolysaccaride
mg	milligram ( $1 \times 10^{-6}$ kg)
MHC-I	major histocompatibility complex class 1
MHC-II	major histocompatibility complex class 2
ml	milliliter ( $1 \times 10^{-6}$ L)
MODS	Multiple Organ Dysfunction Syndrome
n	number of mice in the designated experimental group
NF- $\kappa$ B	nuclear factor $\kappa$ B
ng	nanogram ( $1 \times 10^{-12}$ kg)
NIH	National Institutes of Health
p	p-value, probability of a statistic occurring by chance
PAR	pseudoautosomal region
pg	pictogram ( $1 \times 10^{-15}$ kg)
SRY	sex-determining region Y
TDF	testis-determinating factor

Th1	type 1 helper T-cell
Th2	type 2 helper T-cell
TLR-4	Toll-like receptor 4
TNF- $\alpha$	Tumor Necrosis Factor $\alpha$
U.S.	United States of America
V/V	volume per volume
VEH	vehicle, i.e. placebo-pellet without hormone
WHI	Women's Health Initiative
X/X	female genotype as indicated by two x chromosomes
X/Y	male genotype as indicated by x and y chromosome

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## ACKNOWLEDGEMENTS

I would like to express my sincere gratitude to all those who have supported me during this work, especially to:

**Prof. Dr. med Eugen Faist** for his great knowledge, for introducing me to science and to the fascinating field of inflammation and sepsis, for his support as doctoral advisor and for allowing me to prosper. Where I stand today I owe to his support and advice. Thanks to his enthusiasm I was able to experience outstanding training in Munich and in the United States on more than one occasion.

**Dr. Antonio De Maio, Ph.D.**, (*Associated Professor of Surgery and Director of Research, Division of Pediatric Surgery, Johns-Hopkins-University, Baltimore Maryland, USA*) for being my doctoral advisor and outstanding scientific mentor. He introduced me to the genetic aspects in inflammatory response. Without his enthusiasm for science, his fine lab-facilities, his generous funding and his support this endeavor would not have been possible. I gratefully owe him a lot and deeply thank him for hosting me, feeding me, for giving me “the Tour of Baltimore”, for always providing rapid and constructive criticism, for challenging me and expecting more and better, for his great sense of humor. For teaching me science, the American way, and to always have a back-up. - And for being a great friend.

**Dr. Dylan Stewart, M.D.**, my co-advisor and co-author, for training me as a mouse surgeon, for introducing me to the world of sex differences, for his sense of humor and for giving me a hearty welcome to the U.S.

**Benj Fulton, M.S.** for excellent technical assistance in and outside the lab and for interesting discussions on science, sports and software.

**Dr. Charles “Chuck” Paidas, M.D.**, (*Associate Professor of Pediatric Surgery, Division of Pediatric Surgery, Johns-Hopkins-University, Baltimore Maryland, USA*), for constructive criticism, encouragement and advice on all kinds of things.

**Dr. Roger Reeves, Ph.D.**, (*Professor of Physiology, McKusick-Nathans Institute of Genetic Medicine, Johns-Hopkins-University, Baltimore Maryland, USA*) for his expert advise on genetics and for his patience with “non-experts”.

**Dr. Daniel Saad, M.D.**, my co-author, for excellent collaboration and interesting discussions.

I also would like to thank the people at Hopkins that contributed by their knowledge and advise:

**Odessa** and **James, Towanda** and the people from animal services, **Dr. Randy Brown, DVM** and **Dr. Diana Scoripo, DVM**. from the Dept. of Comparative Medicine for expert mouse secrets, **Mitra Cowen** from JHUSOM Transgenic Facility for interesting insights into cycling, **Ka Donnelly** at Project S.H.A.R.E. for surgical supplies and good conversation, **Michael and his crew** who guaranteed the right basis for Friday lab meetings, **Dave Alexander** for handling things, **Ulrika, Andy, Gaby** and **Michelle**, the **J.H.U.D.Y. alumni** and **all former and present members of the De Maio lab** for great discussions, critical comments on manuscripts and their friendship, especially, **Dr. Joerg Karolat, Ph.D.**, **Dr. Vicky Vega, Ph.D.** and **Dr. Marcella Ferlito, Ph.D.**

And finally, my family, especially **my parents**, my girl-friend **Grietje** and my sister **Annika** for their love and support and loyalty on both sides of the Atlantic.