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# POLYMORPHS SOLVATES AND CO-CRYSTALS OF MOLECULAR MATERIALS

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## PREFACE

It is a fact of Nature that the solid phase of a material may exhibit different structures (carbon, silica, ice, etc. as well as very many molecular crystals). In addition to different crystal structures called *polymorphs*, which are characterized by long range order, a material may appear as an amorphous solid phase characterized by the lack of long range order. Different solid state structures manifest themselves in different properties. The formal recognition of the phenomenon of *crystal polymorphism* is generally credited to E. Mitscherlich,<sup>1</sup>even though the existence of different crystal forms of calcium carbonate (calcite, vaterite and aragonite) was identified much earlier by M. H. Klaproth in 1788.<sup>2</sup>

The phenomenon of *polymorphism* is ubiquitous in the solid state and involves all sort of crystalline solids, from purely covalent, where directional sigma bonds between atoms are the exclusive interactions (i.e. silica at atmospheric pressure can exist as low quartz, high quartz, tridymite and cristobalite), to "purely molecular", where dispersive non-covalent interactions are the only sources of stability for the crystal (i.e. S<sub>8</sub>sulphur exists as  $\alpha$ ,  $\beta$  and  $\gamma$  phases) and in between the plethora of molecular solids formed by all combination of directional and semi-directional non covalent interactions coupled with covalent skeletons and/or with ionic contributions arising from charge transfer. Each of these crystals may show polymorphism, depending on the conditions of investigation, or preparation or treatment.

However, as the scientific and utilitarian interest on the structure-property relationships of crystals, especially molecular crystals, expands and attracts new adepts from the communities of supramolecular, materials, nanomaterials, biomaterials, and theoretical chemists, the term "polymorphism" appears to be too specific to account for the breadth of the field. Take a molecule M: beside potentially forming single entity polymorphs, M may crystallize with various numbers of solvent molecules, M can form co-crystals with a number of partners, and these co-crystals may also be solvates, M may forms salts (e.g. when proton

transfer is possible), and these salts may carry solvent molecules in the crystal structure, etc..Furthermore, <u>any</u> of these additional crystal forms of M may show crystal polymorphism <sup>3</sup>i.e. exhibit alternative crystal structures for the same chemical composition.

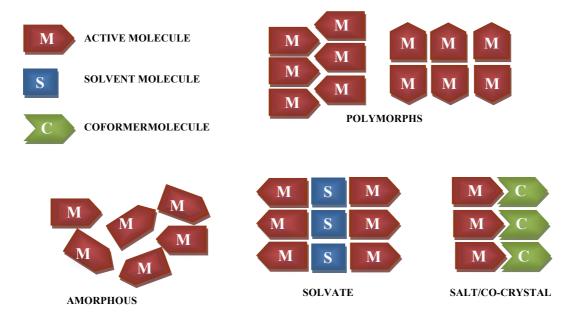


Figure 1. Scheme of various types of solid forms.

Solvates and hydrates are crystal forms that have different properties from those of the corresponding solvent-free species.<sup>4</sup> One can use desolvation/dehydration processes to generate new crystal forms of lower solvates/hydrates or of the solvent-free crystals.<sup>5</sup>

Crystals containing solvent molecules (solvates) are often formed unpredictably. Whether a given molecule will precipitate out of a solvent, say water, with one or more molecules of water in the unit cell is not foreseeable. Nor is it predictable whether the hydrate will be more stable or less stable of the corresponding anhydrous forms, or whether other sub- or super-hydrates, let alone non-stoichiometric hydrates stable over a range of compositions, will be formed. The practical consequences of this "uncertainty principle" are enormous as they impact on stability, processability, and reproducibility, even transport and manufacture conditions, hence on the market potentials, of any chemical species.

Co-crystals represent a means to further expand the variability of crystal forms and to access new solid-state properties. The great potential of co-crystals resides in their different properties (solubility, dissolution rate, melting point, color, etc.) with respect to those of the single entity crystals. At the same time the process of co-crystal formation does not alter the chemical integrity of the component of interest, such as an active pharmaceutical ingredient. In fact, co-crystals are at the forefront of the quest for novel crystal forms, mainly, but not only, because of the interest in the pharmaceutical field and in all areas where the final products are commercialized and utilized in their solid state forms.<sup>6</sup> In the academic world co-crystals are intensively investigated because they provide new insights into the mechanisms of recognition, assembly and packing.<sup>7</sup>The very definition of a co-crystal is still controversial, because the borderline between solvates, molecular salts (e.g. when proton transfer along a hydrogen bond is involved) and molecular complexes is somewhat difficult to define.<sup>8,9</sup>

However, we can define a co-crystal as a multiple-component crystal in which two or more molecules that are solids under ambient conditions coexist through a hydrogen bond.<sup>10</sup>

Complementary supramolecularsynthons<sup>11</sup> that seem to favor formation of cocrystals are exemplified by carboxylic acid-pyridine<sup>12</sup>, carboxylic acid- amide <sup>13</sup> and alcohol-pyridine.<sup>14</sup> Co-crystals involving these supramolecular synthons are usually synthesized by slow evaporation from a solution containing stoichiometric amounts of the components (co-crystal formers); however, sublimation, growth from the melt, grinding of two solid co-crystal formers in a ball mill and solventdrop grinding (addition of a small amount of suitable solvent to the ground mixture to accelerate co-crystallization)<sup>15</sup> are also suitable methodologies.

Co-crystals in the pharmaceutical field offer opportunities to modify the properties (solubility, stability, hygroscopicity and dissolution rate) of an API without the modification of molecular structure. Furthermore a co-crystal might be used to isolate or purify an API during manufacturing and to separate enantiomers.<sup>16</sup>Another application of co-crystals in the pharmaceutical field is due to the possibility to obtain pharmaceutical co-crystals involving two or more

APIs<sup>6b,17</sup>overcoming the problem associated with traditional combination drugs<sup>18</sup> where two or more APIs are produced in a single tablets.<sup>19</sup> Multi-API co-crystals, in fact, represent unique solid forms of APIs and display physical and chemical properties different from their parent APIs. These advantages together with simple and convenient preparation methods favor multi-API co-crystals as potential combination drugs.<sup>20</sup>

The scope of my research project is to produce and characterize new crystalline forms of organic compounds, focusing the attention on co-crystals and then transferring these notions on APIs to produce co-crystals of potential interest in the pharmaceutical field.

In the first part of this work co-crystallization experiments were performed using as building blocks the family of aliphatic dicarboxylic acids  $HOOC-(CH_2)_n$ -COOH, with n= 2-8. This class of compounds has always been an object of study because it is characterized by an interesting phenomenon of alternation of melting points: the acids with an even number of carbon atoms show a melting point higher than those with an odd one.<sup>21</sup>

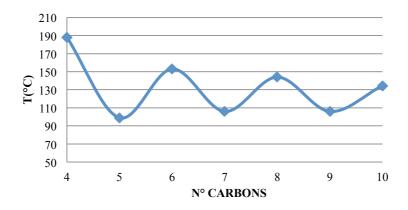
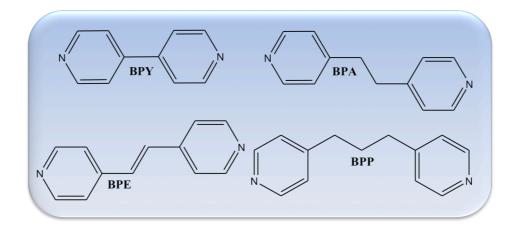


Figure 2. Melting point trend of aliphatic dicarboxylic acids HOOC-(CH2)n-COOH, with n=2-8.

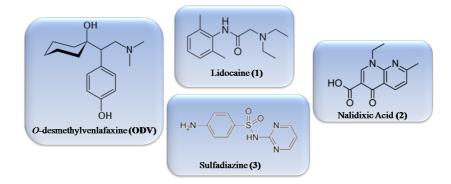
The acids were co-crystallized with four dipyridyl molecules (formed by two pyridine rings with a different number of bridging carbon atoms) through the formation of intermolecular interactions N...(H)O.

The bases used were (Scheme 1): 4,4'-bipyridine (BPY), 1,2-bis(4-pyridyl)ethane (BPA),1,2-(di-4-pyridyl)ethylene (BPE)and1,2-bis(4-pyridyl)propane (BPP). The co-crystals obtained by solution synthesis were characterized by different solid-state techniques to determine the structure and to see how the melting points in co-crystals change.



### Scheme 1.

In the second part of this study we tried to obtain new crystal forms of compounds of pharmaceutical interest. The APIs studied (Scheme 2) are: Odesmethylvenlafaxine (**ODV**), Lidocaine (**1**), Nalidixic Acid (**2**) and Sulfadiazine (**3**).



#### Scheme 2.

Each API was subjected to Polymorph Screening<sup>22</sup> (using the solvents summarized in Table 1) and Salt/Co-crystal Screening<sup>23</sup>experiments to identify new crystal forms characterized by different properties.

SOLVENT
DIETHYL ETHER
DICHLOROMETHANE
ACETONE
CHLOROFORM
METHANOL
n-HEXANE
ETHYL ACETATE
ETHANOL
ACETONITRILE
1,2-DIMETHOXYETHANE
1-PROPANOL
WATER
1,4-DIOXANE
NITROMETHANE
1-BUTANOL
2-METHOXY ETHANOL
p-XYLENE
DMF
DMSO

Table1. Solvents used in experiments of polymorph screening.

In a typical Salt/Co-crystal Screening the sample was made to react with a coformer (solid or liquid) through different methods: crystallization by solution, grinding, kneading and solid-gas reactions.<sup>24</sup>

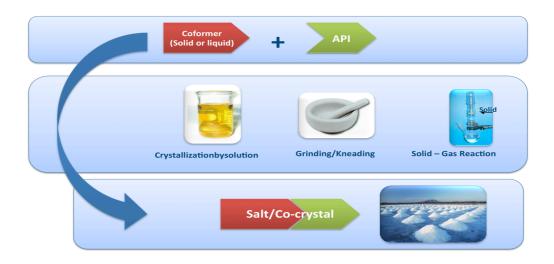


Figure 3. Methods used to prepare pharmaceutical co-crystals.

The co-formers are acids and bases chosen among the ones listed in the pharmaceutical handbook of salts on the basis of their properties (solubility, pKa). The pKa values are generally reliable indicators of salt formation when the  $\Delta$ pKa (pKa of base – pKa of acid) is greater than 3, and they predict co-crystal formation when the  $\Delta$ pKa is negative, while their predictive power is poor in the  $\Delta$ pKa range 0-3. In this latter range, complexes between acids and bases can still form, although they can be salts or co-crystals or can contain shared protons or mixed ionization states that cannot be assigned to either category.<sup>25</sup> The new crystal forms obtained were characterized by different solid state techniques:

- X-ray single crystal diffraction (SC-XRD)
- X-ray powder diffraction (XRPD)
- Differential Scanning Calorimetry (DSC)
- Thermogravimetric Analysis (TGA)
- Evolved gas analysis(EGA)
- ► FT-IR ATR
- Solid State N.M.R.

BASES	ACIDS	ACIDS
L-Arginine	Acetic Acid (d=1.05)	Oxalic Acid
CalciumHydroxide	Adipic Acid	Phosphoric Acid(M=14,65;d=1,689)
N-methyl-Glucamine	L-Aspartic Acid	Salicylic Acid
Imidazole	Citric Acid	Sebacic Acid
L-Lysine	Formic Acid (99%, d=1,22)	Succinic Acid
MagnesiumHydroxide	Fumaric Acid	L-Tartaric Acid
Piperazine	L-Glutamic Acid	Glutaric Acid
PotassiumHydroxide	Glycolic Acid	Cinnamic Acid
SodiumHydroxide (0,1 N)	Hippuric Acid	Folic acid
Triethanolamine (85% d=1,124)	Hydrochloric acid (0.1 N)	Ascorbic Acid
NH <sub>3</sub> (d=0,9)	Maleic Acid	Nicotinic Acid
Diethanolamine(d=1)	L-Malic Acid	
Diethylamine(d=0,704)	Malonic Acid	
Morpholine (d=1)	DL-Mandelic Acid	
Pyrrolidine (d=0,86)	DL-Mandelic Acid	
Adenine	MethanesulfonicAc (d=1,48)	

 Table 2.List of acids and bases used in the Salt/Co-crystal Screening.

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# **1. REMARKABLE REVERSAL OF MELTING POINT ALTERNATION BY CO-CRYSTALLIZATION**

### **1.1. Introduction**

Co-crystal is an interesting route to change the physical properties of single entity crystals, without altering the chemical integrity of the component. Although the main interest is in the pharmaceutical field, we would like to focus the attention of the reader on a more academic problem.<sup>1</sup>

The phenomenon of melting point alternation in n-alkanes and in most endsubstituted n-alkanes has been known for decades.<sup>2</sup> Physical properties such as solubility and sublimation enthalpy, that are related to the solid state, also exhibit an alternating pattern,<sup>3</sup> whereas those related to the liquid state show monotonic behaviours. There is vast literature on this phenomen, various structural models have been put forward to explain the melting point alternation in n-alkane derivates.<sup>4</sup> Organic di-acids of the type HOOC(CH<sub>2</sub>)<sub>n</sub>COOH are also known to show melting point alternation<sup>5</sup> depending on the number of carbon atoms in the chain. The only examples of variations in the melting point alternation induced by co-crystallization have been reported in a paper by A. Bond et al.,<sup>6</sup> in which co-crystals of n-alkyl carboxylic acids with pyrazine show a steady increase in their melting temperatures for  $n(CH_2) \ge 5$ , and in a paper by Callear et al.,<sup>7</sup> in which cocrystals of imidazole derivatives with  $\alpha,\omega$ -alkanedicarboxylic acids  $(HOOC(CH_2)_nCOOH, n = 0-6)$  show a different behaviour in the melting point when  $n(CH_2) = 4$ .

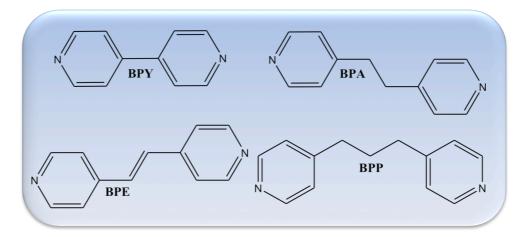
In the course of our crystal engineering efforts to prepare molecular co-crystals and salts by means of mechanical and other non-solution methods, we have synthesised and structurally characterized several families of acid-base adducts.<sup>8</sup>

In particular, in 2003, we reported the first observation of melting point alternation in co-crystals.<sup>8a</sup>In the course of the investigation of mechanochemically prepared hydrogen bonded co-crystals of DABCO  $(N(CH_2CH_2)_3N)$  and dicarboxylic acids HOOC $(CH_2)_nCOOH$  (n= 1-7) we

16

noticed that, irrespective of the salt (proton transfer from the acid to DABCO) or molecular (no proton transfer) nature of the products, the melting points of the even-acid adducts were systematically higher than those of the odd-acid adducts.<sup>1</sup>

In this project, the melting point behaviour of acid-base pairs was investigated for several bases belonging to the family of the dipyridyl molecules, which are constituted of two pyridine rings with a different number of bridging carbon atoms. The bases are labelled as BPA(1,2-bis(4-pyridyl)ethane), BPP (1,2bis(4-pyridyl)propane), BPY (4,4'-bipyridine) and BPE (1,2-(di-4pyridyl)ethylene), see Scheme 1, and we refer to the diacids by the total number of carbon atoms in the molecule (C4 corresponding to succinic acid, C5 to glutaric acid, etc.), hence the adducts are identified as BPA  $\cdot$ C4  $\div$  BPA  $\cdot$ C10, BPP  $\cdot$ C4  $\div$  BPP  $\cdot$ C10, BPY  $\cdot$ C4  $\div$  BPY  $\cdot$ C10 and BPE  $\cdot$ C4  $\div$  BPE.



Scheme 1

#### 1.2. Experimental

All reactants and solvents were purchased from Sigma Aldrich and used without further purification.

### 1.2.1. Solution syntheses of BPA $C_n$ (n = 4-10), BPP $C_n$ (n = 4,5,7,8,9,10), BPY $C_n$ (n = 4,5,6,7,9,10), BPE $C_7$

Crystalline powder and single crystals were obtained by reaction in methanol solution. The reagents (0.5mmol of acid and 0.05mmol of base) were added to ca. 10-15 mL of methanol, the solution was heated until complete dissolution and left to evaporate slowly at ca. 4°C.

#### 1.2.2. Solution synthesis of BPP C<sub>6</sub>

Crystalline powder and single crystals were obtained by reaction in water solution. The reagents (0.5mmol of acid and 0.05mmol of base) were added to ca. 10-15 mL of bidistilled water, the solution was heated until complete dissolution and left to evaporate slowly at ca. 4°C.

### 1.2.3. Solution synthesis of BPY C<sub>8</sub>

Crystalline powder and single crystals were obtained by reaction in ethanol solution. The reagents (0.5mmol of acid and 0.05mmol of base) were added to ca. 10-15 mL of ethanol, the solution was heated until complete dissolution and left to evaporate slowly at ca. 4°C.

#### 1.2.4. Solution syntheses of BPE $C_n$ (n = 4, 5, 6, 8, 9, 10)

Crystalline powder and single crystals were obtained by reaction in acetoneethanol solution. The reagents (0.5mmol of acid and 0.05mmol of base) were added to ca. 10-15 mL of a mixture acetone-ethanol (2-1), the solution was heated until complete dissolution and left to evaporate slowly at ca. 4°C.

#### 1.2.5. Differential Scanning Calorimetry (DSC)

Differential scanning calorimetry was used to measure the melting point of all compounds and to detect the presence of additional crystalline phases. In some cases solid-solid phase transitions to higher temperature polymorphs were also observed before melting (**BPA**·C6, 133 °C (onset), **BPA**·C8, 131 °C (onset), **BPA**·C9, 123 °C (onset).

Calorimetric measurements were performed using a Perkin Elmer Pyris Diamond DSC differential scanning calorimeter equipped with a model ULSP 90 intra-cooler. The instrument was calibrated with high-purity standards (indium and cyclohexane) at 5 K min<sup>-1</sup>. The samples (2–4 mg) were placed in aluminium closed pans, and heating was carried out at 5°C min<sup>-1</sup>.

#### 1.2.6. X-Ray diffraction

Single-crystal data were collected on an Oxford X'Calibur S CCD diffractometer equipped with a graphite monochromator (MoK $\alpha$  radiation,  $\lambda = 0.71073$ ) and operated at room temperature. The structures were solved by direct methods and refined by full-matrix least-squares on F2 with SHELX97<sup>9a</sup> program package.

A calculated XRPD pattern was generated for Cu radiation using Mercury v 1.4 or. PowderCell 2.2<sup>9d</sup> and the atomic coordinates, space group, and unit cell parameter from the single crystal data.

MERCURY and SCHAKAL99<sup>9b</sup> were used for the graphical representation of the results and PLATON<sup>9c</sup> was used for hydrogen bonding analysis.

X-ray powder diffractograms were collected on a Panalytical X'Pert Pro automated Diffractometer equipped with X'Celerator, CuK $\alpha$ , using a glass sample holder. The tube voltage and amperage were set to 40 kV and 40 mA, respectively. The program used for data collection was set to record only the data points within the 2 $\theta$  range 3 – 40 deg.

### 1.2.7. Solid-state Nuclear Magnetic Resonance (SS NMR) spectroscopy

SS NMR experiments were recorded on a Bruker Avance II 400 instrument. The spectra were collected and interpretated by the Research Group of Prof. Roberto Gobettoo at the University of Torino.

### 1.3. Results and discussion

In this project, the melting point behaviour of acid-base pairs was investigated for several bases belonging to the family of the dipyridyl molecules, which are constituted of two pyridine rings with a different number of bridging carbon atoms.

All co-crystals have been investigated by a combination of solid state techniques, namely single crystal [except for: **BPY**·Cn(n=5, 6, 7, 10), **BPE**·Cn(n=4, 6), **BPP**·C4, whose structures were already known<sup>10</sup>, and **BPY**·C9, **BPP**·Cn(n=8, 9), **BPE**·Cn(n=7, 9, 10) for which no good single crystals were obtained] and powder X-ray diffraction, differential scanning calorimetry and solid-state NMR spectroscopy (SS NMR).

This latter in particular has been instrumental not only to the definition of the nature of the crystals, whether molecular or salts, resulting from the positioning of the acid protons in the  $O \cdots H \cdots N$  interactions,<sup>8a,11</sup> but also to the definition of the packing features in the adducts (BPP Cn), for which no single crystal X-ray data could be obtained.

All compounds were crystallized by slow evaporation from different solvents. Correspondence between the structures determined by single crystal X-ray diffraction and those of the bulk materials was checked by comparing calculated and measured powder patterns (See supplementary material). All compounds are characterized by the presence of infinite chains constituted of alternating base and acid units (Figure 1 and Figure 2), joined together via  $N\cdots(H)O$  and  $C(H)\cdots O$  hydrogen bonds in the series with BPA and BPP.Crystal data and details of measurements are summarized in Table 1, Table 2 and Table 3. Relevant hydrogen bonding distances (in Å) for all the compounds structurally characterized in this chapter are summarized in Table 4.

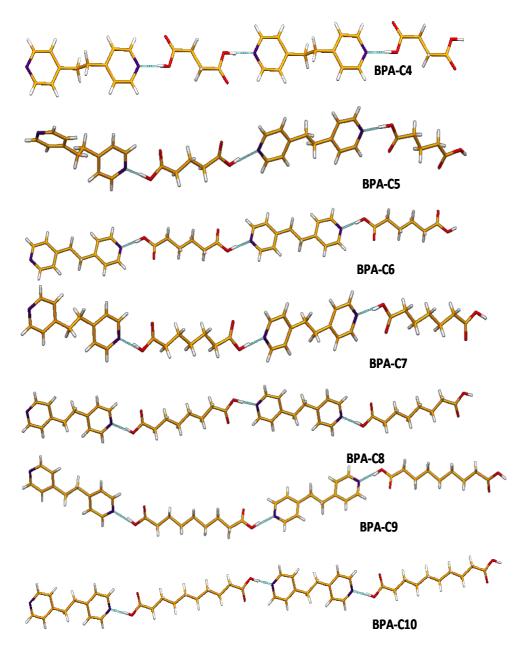


Figure 1. N····(H)O hydrogen bonded chains of base and acid units in (from top to bottom) crystalline BPA·C4, BPA·C5, BPA·C6, BPA C7, BPA C8, BPA C9, BPA C10.

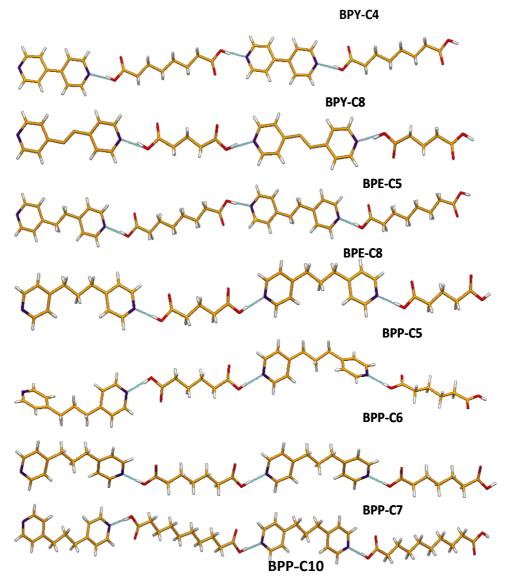


Figure 2. N···(H)O hydrogen bonded chains of base and acid units in (from top to bottom) crystalline BPY·C4, BPY·C8, BPE·C5, BPE C8, BPP C5, BPP C6, BPP C7, BPP C10.

compound	BPY C4	BPY C8	BPE C5	BPE C8
Formula	$C_{14}H_{14}N_2O_4$	$C_{18}H_{22}N_2O_4$	$C_{17}H_{18}N_2O_4$	$C_{20}H_{22}N_2O_4$
M <sub>r</sub>	274.27	330.38	314.34	354.22
system	Triclinic	Triclinic	Monoclinic	Triclinic
space group	P-1	P-1	C2/c	P-1
<i>a</i> [Å]	7.3603(6)	8.9882(5)	15.750(3)	5.8000(3)
<i>b</i> [Å]	9.2459(8)	9.6220(5)	10.3012(1)	8.8127(4)
<i>c</i> [Å]	11.0608(9)	11.2238(4)	9.5812(1)	9.5299(5)
α [°]	98.951(7)	81.035(4)	90.00	76.389(4)
<b>β</b> [°]	106.043(7)	72.146(5)	90.393(1)	89.748(4)
γ[°]	110.453(7)	65.971(5)	90.00	76.804(4)
V [Å <sup>3</sup> ]	650.68(9)	843.33(7)	1554.5(4)	460.30(4)
Ζ	2	2	4	1
d <sub>calc</sub> [g mm <sup>-3</sup> ]	1.4	1.301	1.343	1.278
<i>F</i> (000)	288	352	664	188
$[\mu(Mo_{K}) \ [mm]]$	0.104	0.092	0.097	0.090
θ <sub>max</sub> [°]	25	28.88	24.63	29.09
measuredreflns	4513	7764	2077	10063
uniquereflns	2203	3785	973	2202
refined parameters	189	225	109	118
GOF on F <sup>2</sup>	1.204	1.143	1.223	1.064
$\begin{array}{c cc} R1 & [on & F, \\ I > 2\sigma(I) \end{array}$	0.0860	0.0901	0.0557	0.0540
<i>wR2</i> (on <i>F</i> <sup>2</sup> ,all data)	0.1648	0.1768	0.1652	0.1340

**Table 1.** Crystal Data and Details of Measurements for compounds **BPY**·C4, **BPY**·C8,**BPE**·C5 and **BPE**·C8.

compound	Succinic acid 1C4	Glutaric acid 1C5	Adipic acid 1C6	Pimelic acid 1C7	Suberic acid 1C8	Azelaic acid 1C9	Sebacic acid 1C10
Formula	$C_{16}H_{18}N_2O_4$	$C_{17}H_{20}N_2O_4$	$C_{18}H_{22}N_2O_4$	$C_{19}H_{24}N_2O_4$	$C_{20}H_{26}N_2O_4$	$C_{21}H_{28}N_2O_4$	$C_{22}H_{28}N_2O_4$
M <sub>r</sub>	302.32	316.35	330.38	344.40	358.43	372.45	384.46
system	Monoclinic	Monoclinic	Triclinic	Monoclinic	Monoclinic	Monoclinic	Triclinic
space group	C2/c	$P2_1/c$	P -1	$P2_1/c$	$P2_1/c$	C2/c	P-1
<i>a</i> [Å]	21.121(2)	12.2889(4)	7.2159(6)	11.138(1)	11.6701(5)	10.656(3)	6.9363(4)
<i>b</i> [Å]	4.9224(3)	11.1400(5)	7.3354(7)	47.332(5)	20.9348(7)	8.429(1)	6.9574(4)
<i>c</i> [Å]	16.6376(1)	24.3454(1)	8.8449(7)	14.436(2)	12.9988(5)	22.310(2)	12.0029(8)
α [°]	90.00	90.00	90.210(7)	90.00	90.00	90.00	77.230(5)
<b>β</b> [°]	119.752(6)	96.933(4)	93.428(6)	105.832(1)	114.656(5)	99.851(4)	73.739(6)
γ[°]	90.00	90.00	115.808(9)	90.00	90.00	90.00	71.192(6)
V [Å <sup>3</sup> ]	1501.7(2)	3308.5(2)	420.49(6)	7322(1)	2886.2(2)	1974.3(6)	520.90(5)
Ζ	4	8	1	16	6	4	1
d <sub>calc</sub>	1.337	1.270	1.305	1.250	1.137	1.253	1.226
<i>F</i> (000)	640	1344	176	2944	1152	800	206
$\mu(Mo_{K_{*}}) \ [mm^{-1}]$	0.097	0.091	0.093	0.088	0.086	0.087	0.084
θ <sub>max</sub> [°]	29	28	29	28	28.4	25	29
measuredreflns	3714	18988	4004	60898	13402	1768	4579
uniquereflns	1728	7286	1907	16573	6159	1720	2332
refined parameters	104	427	112	934	365	127	144
GOF on F <sup>2</sup>	0.954	0.900	0.916	0.986	1.035	1.006	0.862
R1 [on F, $I > 2\sigma(I)$ ]	0.0427	0.0827	0.0499	0.0827	0.0793	0.0424	0.0545
wR2(onF <sup>2</sup> ,all data)	0.1136	0.2049	0.1370	0.2987	0.2062	0.1291	0.1505

**Table 2.** Crystal Data and Details of Measurements for compounds BPA·C4 to BPA·C10

compound	BPP C5	BPP C6	BPP C7	BPP C10
Formula	$C_{18}H_{22}N_2O_4$	$C_{19}H_{24}N_2O_4$	$C_{20}H_{26}N_2O_4$	C <sub>23</sub> H <sub>32</sub> N <sub>2</sub> O <sub>4</sub>
M <sub>r</sub>	330.38	344.4	358.43	400.51
system	Monoclinic	Monoclinic	Triclinic	Monoclinic
space group	$P2_1/c$	C2/c	P-1	C2/c
a [Å]	5.43048(2)	13.7629(8)	7.1246(4)	12.661(3)
<i>b</i> [Å]	20.7179(7)	5.3869(4)	11.1403(4)	10.129(1)
<i>c</i> [Å]	15.4813(6)	24.640(2)	12.9265(7)	17.361(2)
α [°]	90.00	90.00	81.099(4)	90
<b>β</b> [°]	92.205(3)	95.597(6)	84.868(5)	93.13(1)
γ[°]	90.00	90.00	71.717(4)	90
V [Å <sup>3</sup> ]	1740.6(1)	1818.1(2)	961.54(8)	2223.1(6)
Ζ	4	4	2	4
d <sub>calc</sub> [g mm <sup>-3</sup> ]	1.261	1.258	1.238	1.197
<i>F</i> (000)	704	736	384	864
$[\mu(Mo_{K*})  [mm]$	0.090	0.089	0.086	0.082
θ <sub>max</sub> [°]	26	25	26	25
measuredreflns	15427	4312	7249	5603
uniquereflns	3411	1586	3687	1935
refined	239	114	243	132
parameters				
GOF on F <sup>2</sup>	1.159	1.099	0.911	1.023
<i>R</i> 1 [on <i>F</i> ,	0.0882	0.0647	0.0580	0.0934
<i>I</i> >2 <i>σ</i> ( <i>I</i> )]				
wR2(onF <sup>2</sup> ,all	0.1685	0.1429	0.1333	0.2667
data)				

**Table 3.** Crystal Data and Details of Measurements for compounds **BPP**·C5, **BPP**·C6,**BPP**·C7 and **BPP**·C10

compound	BPY C4				BPY C8		
N····(H)O <sup>a</sup>	2.629(4)				2.675(4) 2.658(4)		
C(H)····O <sup>b</sup>							
compound	BPA C4	BPA C5	BPA C6	BPA C7	BPA C8	BPA C9	BPA C10
N···(H)O <sup>a</sup>	2.607(2)	2.650(4) 2.631(5) 2.656(5) 2.649(5)	2.613(2)	2.611(4) 2.642(4) 2.629(4) 2.633(4) 2.643(4)	2.645(3) 2.629(3) 2.620(3)	2.653(2)	2.654(2)
C(H)····O <sup>b</sup>	3.345(3)	3.295(6) 3.272(6)	3.330(2)	3.195(6) 3.294(6) 3.190(5) 3.250(5) 3.239(6) 3.245(5) 3.258(5)	3.336(4) 3.238(3) 3.437(4)	3.413(2)	3.355(3)
compound		BPE C5			BPE C8		
$N \cdots (H)O^a$		2.616(4)			2.670(2)		
C(H)····O <sup>b</sup>							
compound		BPP C5	BPP C6	BPP C7			BPP C10
N····(H)O <sup>a</sup>		2.624(3) 2.653(3)	2.676(3)	2.645(3) 2.644(3)			2.660(5)
С(Н)…О <sup>ь</sup>		3.321(4) 3.467(4) 3.334(4)	3.290(3)	3.269(3) 3.436(3) 3.346(3)			

**Table 4.** Relevant hydrogen bonding distances (in Å) for all the compounds structurally characterized in this paper

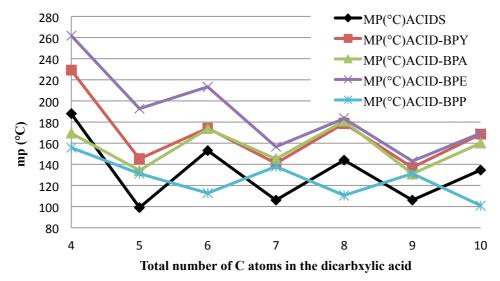
a) N····O < 3.0 Å ; b) C····O < 3.5 Å

Table 5 and Figure 3 collect all information on the melting points as determined by differential scanning calorimetry. The melting points of the dicarboxylic acids<sup>6</sup> are also reported for comparison.

Cn	pure acid	<b>BPY</b> ·Cn	<b>BPA</b> ·Cn	<b>BPE</b> ·Cn	<b>BPP</b> ·Cn	pure base
C4	188	229.18	169.3	261.83	155.8	
C5	99	145.33	134.2	192.75	131.3	
C6	153	174.32	173.7 <sup>a</sup>	213.28	112.6	
C7	106	141.1	145.1	156.68	138.1	
C8	144	178.72	180.9 <sup>a</sup>	183.49	110.8	
С9	106	137.1	131.1 <sup>a</sup>	143.08	131.1	
C10	134.5	168.58	160.1 <sup>a</sup>	169.31	101.1	
						BPY 110-114 <sup>b</sup>
						BPA 110-112 <sup>b</sup>
						BPE 148-152 <sup>d</sup>
						BPP 53-56 <sup>c</sup>

**Table 5.**Melting points (°C) for pure diacids<sup>6</sup> and co-crystals (n is the total number of C atoms in the acid).

a) The DSC trace shows also the presence of an endothermic solid-to-solid phase transition at lower temperature (see ESI); b) Sigma-Aldrich; c) Alfa Aesar, d) Fluka.



**Figure 3.**Melting point alternation for pure acids, **BPY**·Cn, **BPA**·Cn,**BPE**·Cn and **BPP**·Cn series (n in the range 4 to 10 corresponding to succinic, glutaric, adipic, pimelic, suberic, azelaic and sebacic, respectively).

Figure 3 allows the following observations: i) the melting points of the cocrystals with BPY, BPA and BPE, except for BPA·C4, are invariably higher than those of the starting dicarboxylic acids and of the co-crystals with BPP; ii) the co-crystals with BPY, BPA and BPE follow the same alternating trend as the diacids *i.e.* higher melting points are shown by the co-crystals with even carbon atom chains (succinic, adipic, suberic, and sebacic); iii) the co-crystals with BPY and BPA have very similar melting points except for the co-crystals of **BPA**·**C**4and **BPA**·**C**5that show a melting point lower than those with BPY; iv) the co-crystals of BPE with C8, C9 and C10 present a very close melting point to those with BPY and BPA; v) the co-crystals with BPP present an inverse trend, *i.e.* higher melting points are shown by the co-crystals with glutaric, pimelic and azelaic acid thus demonstrating that it is the overall number of carbon atoms in the repeating units along the hydrogen bonded chains that matters, irrespective of the supramolecular nature of the interactions within such repeating unit; vi) an exception to this behaviour is represented by the co-crystal BPP·C4 (succinic acid) which shows a melting point of ca. 156°C, still lower than that of the corresponding succinic acid itself, but higher than that of BPP C5. It is also interesting to note that the difference in the melting points of BPY (110-114°C), BPA (100-110°C), BPE (148-152°C) and BPP (57-60°C) is somehow reflected in the fact that, on average, the melting points for the **BPY**·**Cn**, **BPA**·**Cn** and **BPE**·**Cn** co-crystals are higher than those of the BPP·Cn co-crystals. It can be seen that for cocrystals with BPY, BPA and BPE the trend is comparable to those of pure dicarboxylic acids HOOC( $CH_2$ )<sub>n</sub>COOH (n = 2-8).

SS NMR spectroscopy has been able to detect the similarity of all structures in terms of the main packing feature, i.e. chains of alternating base and acid units; in addition to this, it shows that the melting point alternation is consistent with the length of the chain repeating unit rather than on conformational features or global crystal packing.<sup>12</sup> The co-crystal nature of all the adducts has been ascertained by means of <sup>13</sup>C and in some case (BPA and BPP series)<sup>15</sup>N CPMAS NMR spectra (spectra <sup>15</sup>N and chemical shifts are reported in ESI Figures and Tables). Both sets of data confirm that no proton

transfer took place in any adducts: the <sup>13</sup>C carboxylic chemical shifts (around 175-178 ppm) are typical of COOH rather than COO<sup>-</sup> groups and the <sup>15</sup>N pyridine chemical shifts for BPA and BPP adducts (around 250-260 ppm) are in the region of the pyridine rather than the pyridinium anion.<sup>13</sup> Numbers and relative intensities of COOH and N signals in each spectrum are in agreement with the presence in the unit cell of different carboxylic and pyridine environments (See supplementary material).

The presence and strength of a hydrogen bond can be evaluated with <sup>1</sup>HMAS SS NMR spectra. Indeed, the signals of hydrogen atoms involved in hydrogen bonds are at high values of chemical shift, away from the aliphatic and aromatic regions. Moreover, since its chemical shift depends on the polarization of the XH covalent bond, the stronger the interaction, the greater the polarization of the bond and the higher the value of chemical shift<sup>14</sup>(Figure 4).

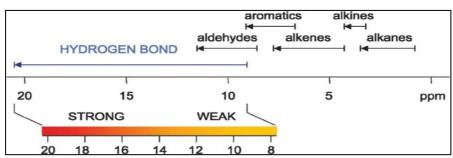


Figure 5:Strengthof thehydrogen bondinan1HNMRspectrum.

The <sup>1</sup>H hydrogen bond signals are summarized in Table 6 and the comparison of all spectra of the four co-crystals series is shown in supplementary material.

<sup>13</sup> C	ACID-BPY	ACID-BPE	ACID-BPA	ACID-BPP
Succinic	15.7	15.8	16.7	16.3
Glutaric	14.9	15.5	16.4-14.7 <sup>a</sup>	15.4
Adipic	14.9	15.4	16.9	14.6
Pimelic	14.4	14.9	16.0	16.2
Suberic	14.6	14.3	16.4	16.1
Azelaic	15.0	14.6	15.2	16.3
Sebacic	15.0	14.4	15.5	15.0

**Table6:** <sup>1</sup>Hchemical shift data (ppm) of co-crystals series with BPY, BPA, BPE and BPP. a) In agreement with the presence of various  $N \cdots O$  environments. For each set of co-crystals, the comparison between the hydrogen bond <sup>1</sup>H chemical shift and the melting points reported in figure 7 shows the following features: i) in the co-crystals with BPY the chemical shift trend follows that of the melting points but for sample C9 BPY; ii) in the co-crystals with BPE the chemical shift trend does not follow that of the melting points but it is observed that an overall decrease in the melting points, increasing the length of acid chain, corresponds to a decrease in the strength of hydrogen bonds and in the<sup>1</sup>H chemical shift values; iii) in the co-crystals with BPA and BPP the chemical shift trend strictly resembles the melting point behaviour, furthermore, a low-frequency shift is observed by increasing the acid chain length in agreement with N…O (See supplementary material) distances and with the previously reported dicarboxylic acid-dabco series.<sup>8a,15</sup>

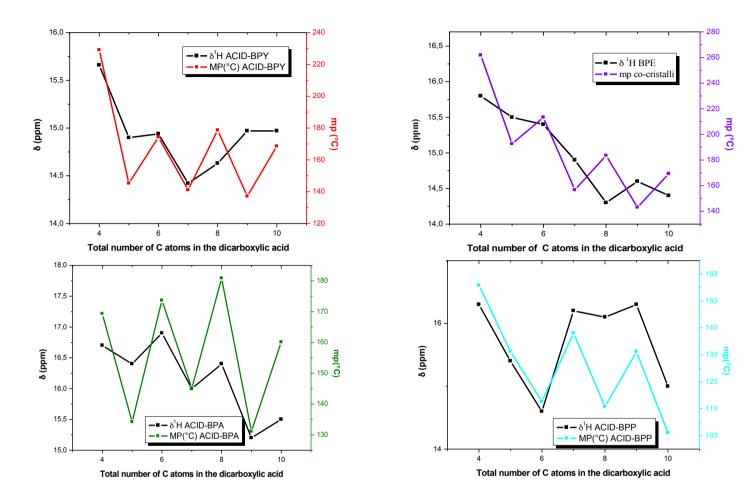


Figure 7: Comparison between melting points trend and chemical shift trend for each set of co-crystals.

### 1.4. Conclusion

In summary, we have shown that the melting point alternation phenomenon *propagates* also via hydrogen bonding interactions. Our experiments with the co-crystals of the dicarboxylic acids with BPA and BPP<sup>16</sup>indicate that it is the sum of the carbon atoms in the repeating unit that correlates with the melting points measured on these two classes of compounds, and the reason for the alternation has to be found in the number and type of hydrogen bonding intermolecular interactions, responsible for packing efficiency and thus for lattice energy; we have also provided further evidence on the molecular nature of crystals in cases where the difference depends solely on the positioning of the proton along an H-bond,<sup>17</sup> and does not affect a bulk physical property of solid materials such as the melting point. The hydrogen bond strength, on the other hand, seems to be one the parameters influencing this physical property since, at least from an NMR point of view, the highest melting points are associated in most cases to strong hydrogen bond interactions and *vice versa*.

#### **1.5. Supplementary Material**

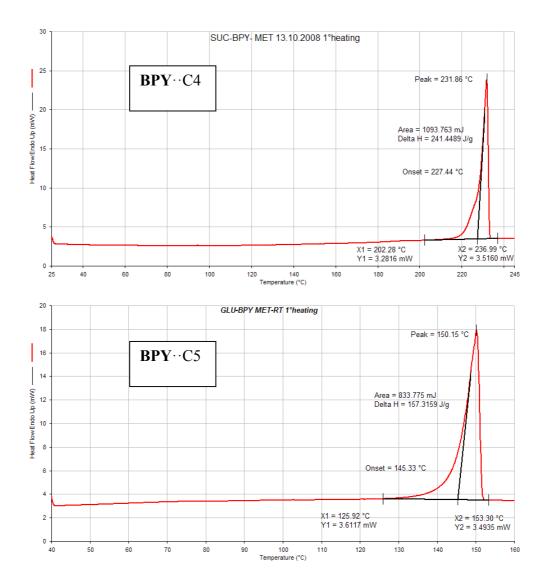
#### Legenda

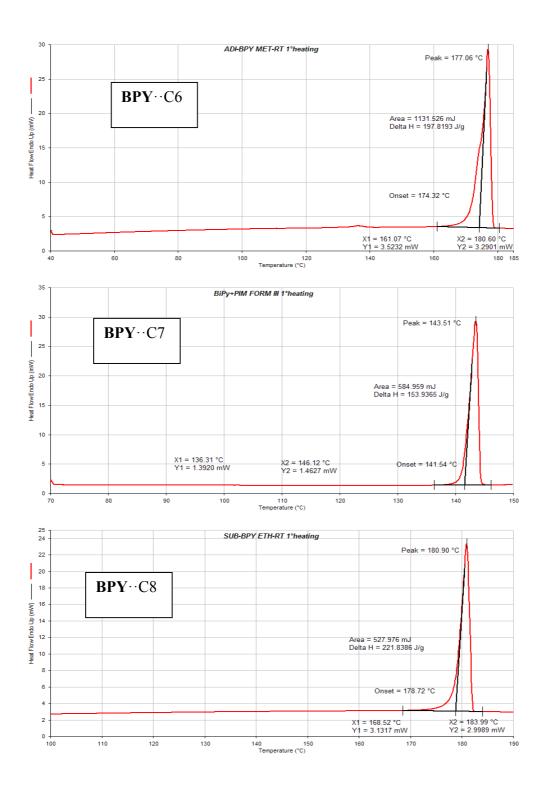
**BPY** C4 = BPY-Succinic Acid **BPY** C5 = BPY-Glutaric Acid **BPY** C6 = BPY-Adipic Acid **BPY** C7 = BPY-Pimelic Acid **BPY** C8 = BPY-Suberic Acid **BPY** C9 = BPY-Azelaic Acid **BPY** C10 = BPY-Sebacic Acid **BPE**C4 = BPY-Succinic Acid **BPE**C5 = BPY-Glutaric Acid **BPE**C6 = BPY-Adipic Acid **BPE**C7 = BPY-Pimelic Acid

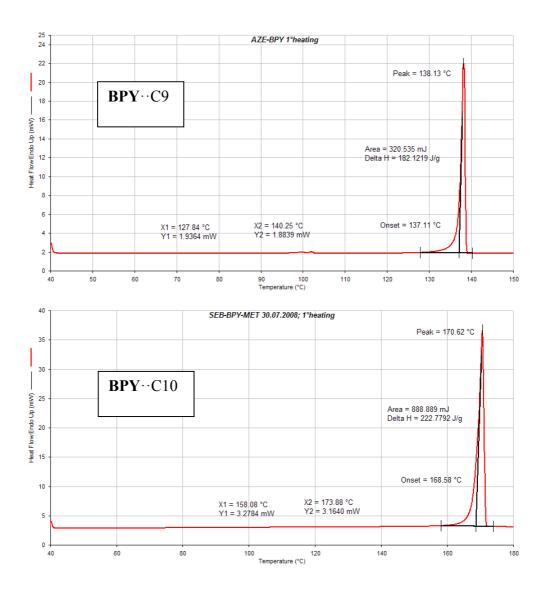
**BPE**C8 = BPY-Suberic Acid **BPE**C9 = BPY-Azelaic Acid **BPE**C10 = BPY-Sebacic Acid **BPA**C4 = BPA-Succinic Acid **BPP**C4 = BPA-Succinic Acid

#### 1.5.1. Thermal behavior – BPY

Differential scanning calorimetry measurements for the **BPY**·C4, **BPY**·C5, **BPY**·C6, **BPY**·C7, **BPY**·C8, **BPY**·C9 and **BPY**·C10 adducts.

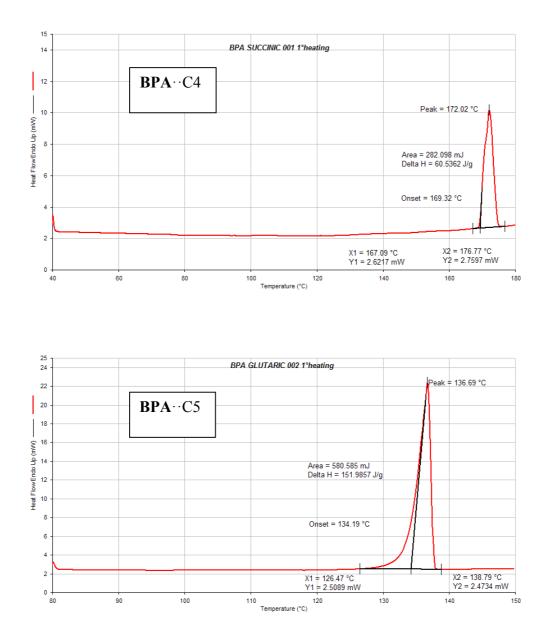


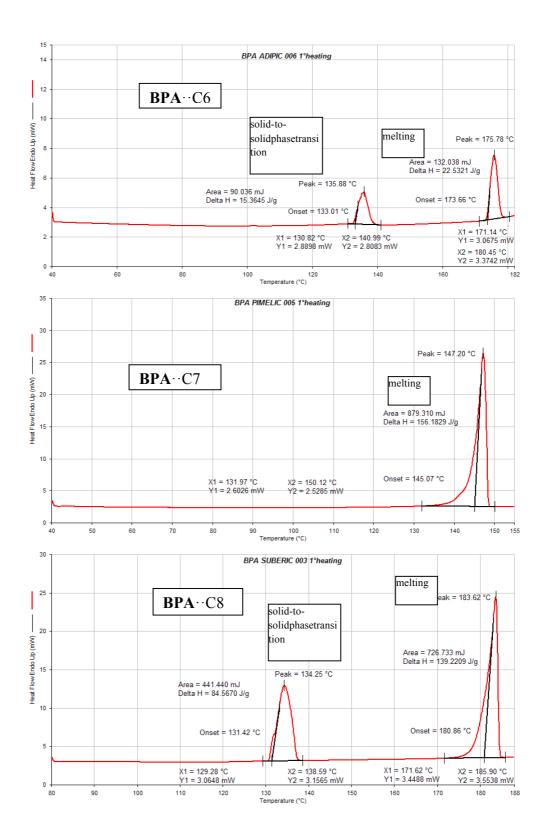


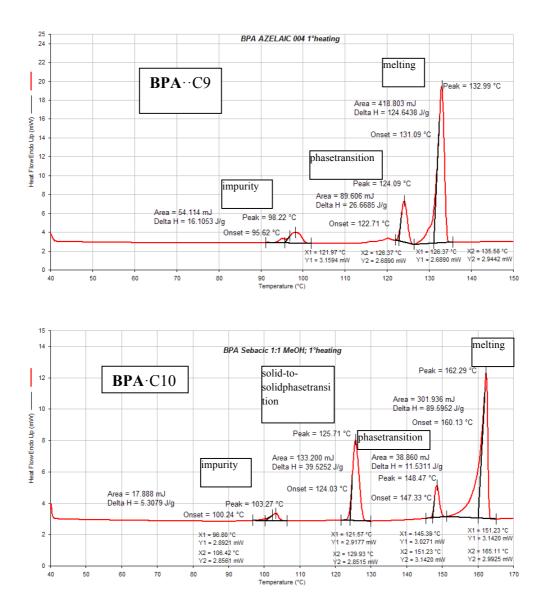


#### 1.5.2. Thermal behavior - BPA

Differential scanning calorimetry measurements for the **BPA**·C4, **BPA**·C5, **BPA**·C6, **BPA**·C7, **BPA**·C8, **BPA**·C9 and **BPA**·C10 adducts.

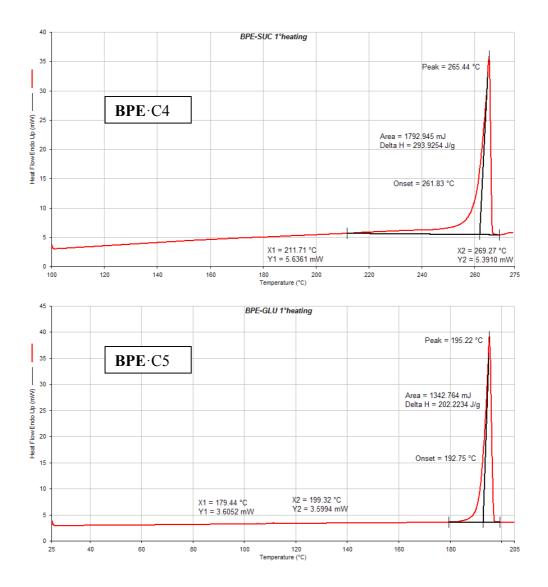


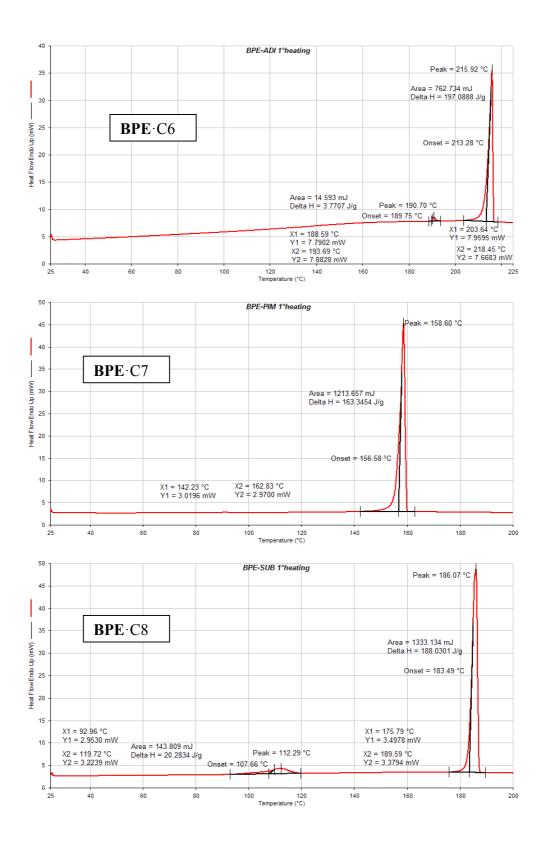


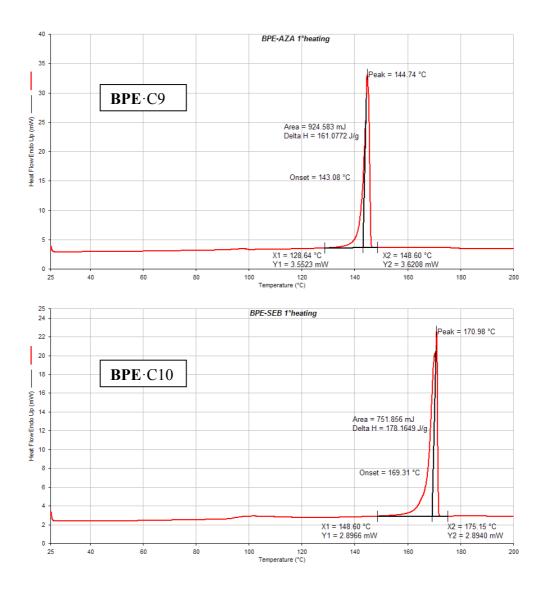


#### 1.5.3. Thermal behavior – BPE

Differential scanning calorimetry measurements for the **BPE** $\cdot$ C4, **BPE** $\cdot$ C5, **BPE** $\cdot$ C6, **BPE** $\cdot$ C7, **BPE** $\cdot$ C8, **BPE** $\cdot$ C9 and **BPE** $\cdot$ C10 adducts.

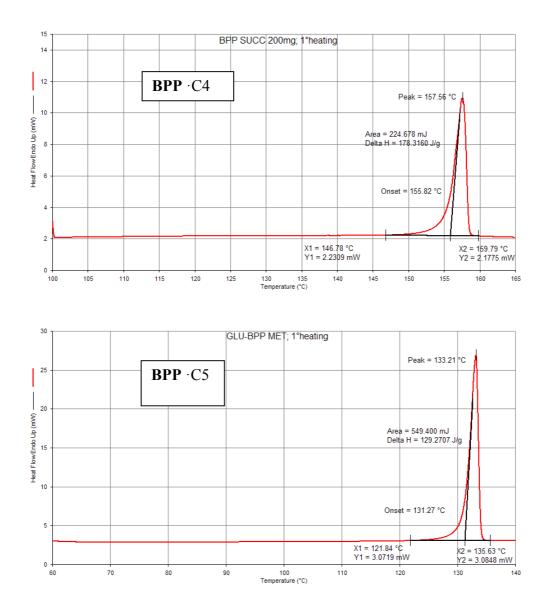


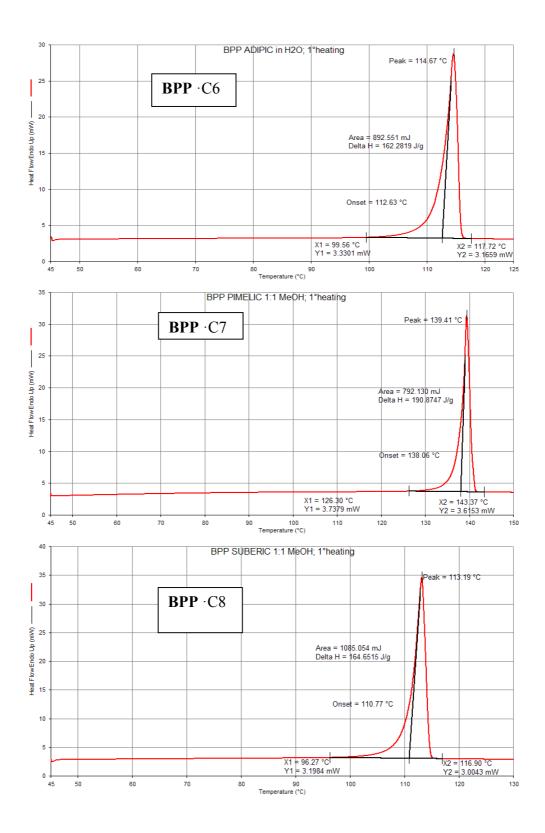


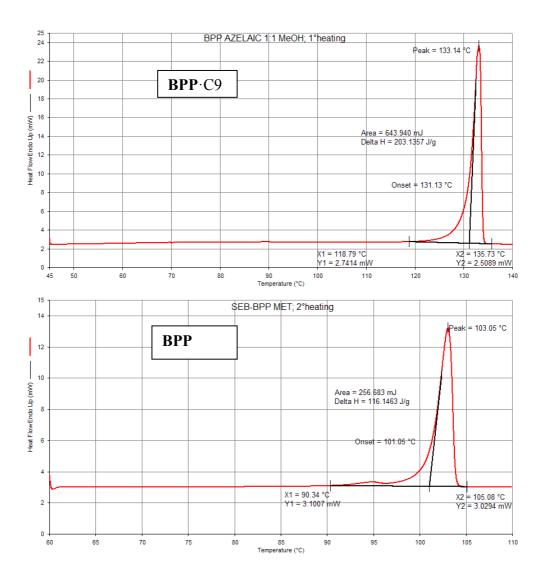


#### 1.5.4. Thermal behavior – BPP

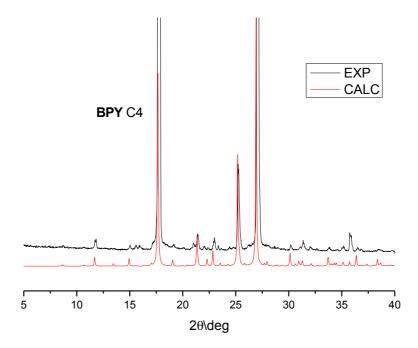
Differential scanning calorimetry measurements for the **BPP**·C4, **BPP**·C5, **BPP**·C6, **BPP**·C7, **BPP**·C8, **BPP**·C9 and **BPP**·C10 adducts.

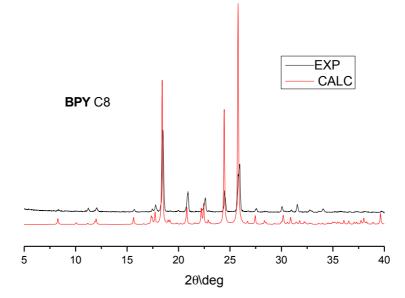


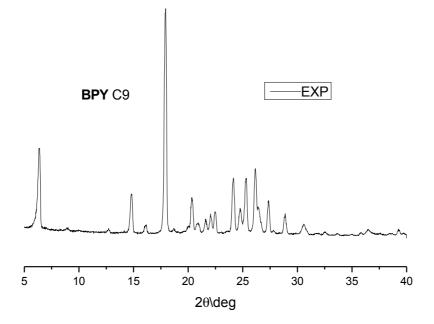


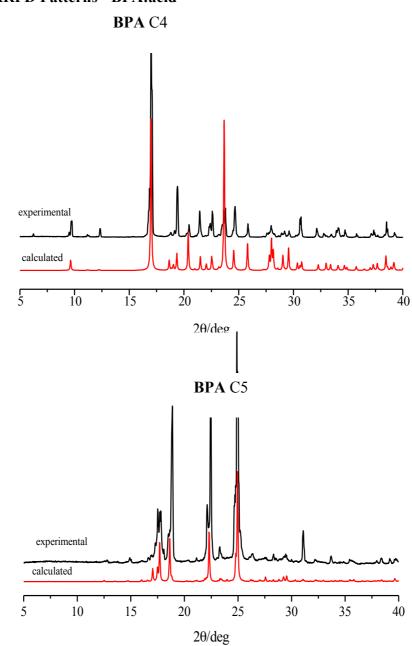


## 1.5.5. XRPD Patterns - BPY:acid

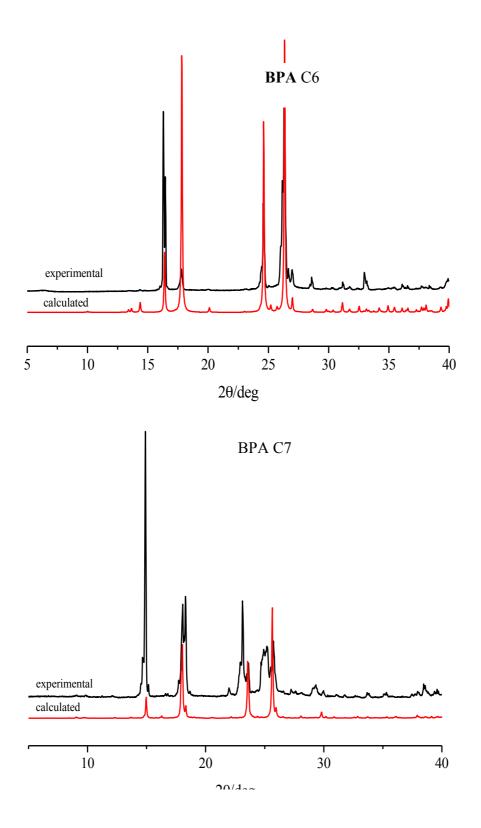


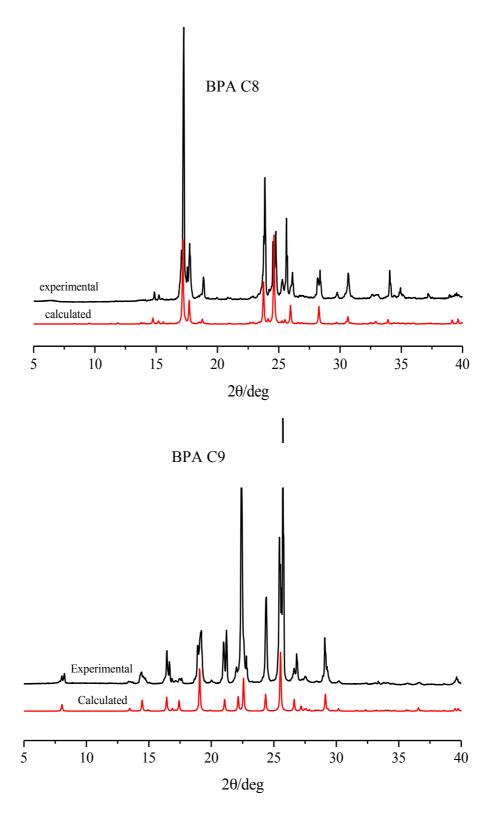


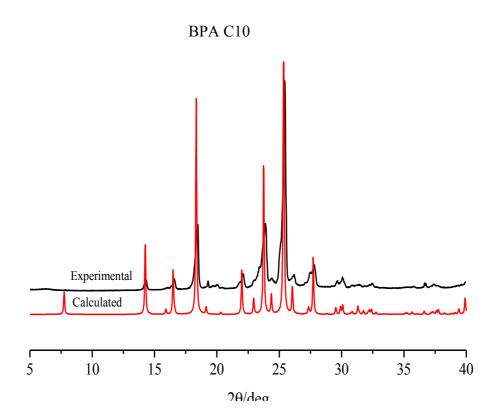




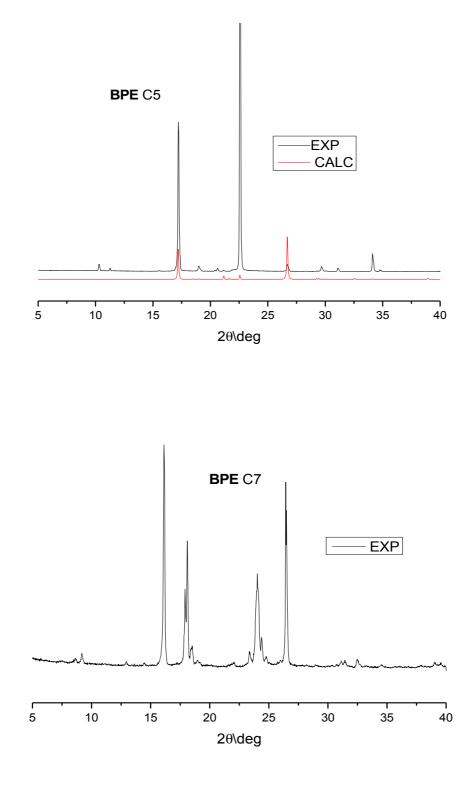
1.5.6. XRPD Patterns - BPA:acid BPA C4



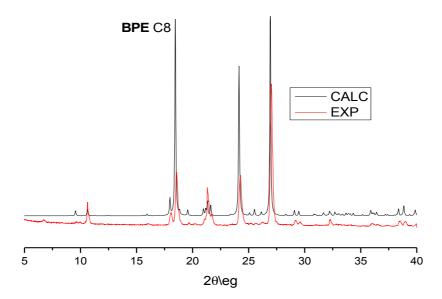


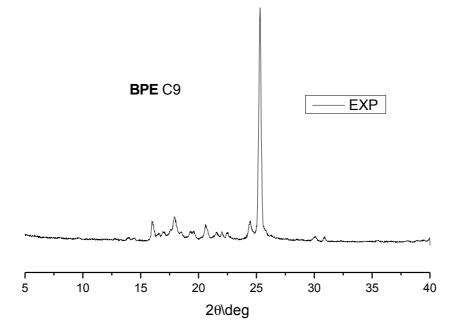


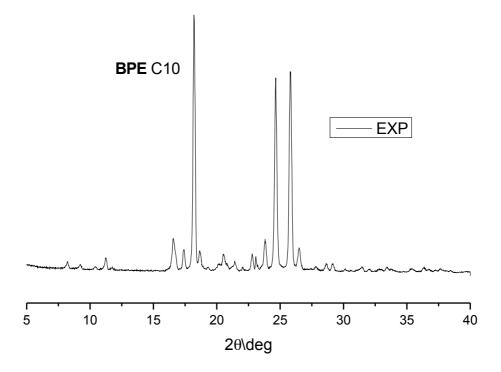
## 1.5.7. XRPD Patterns - BPE:acid

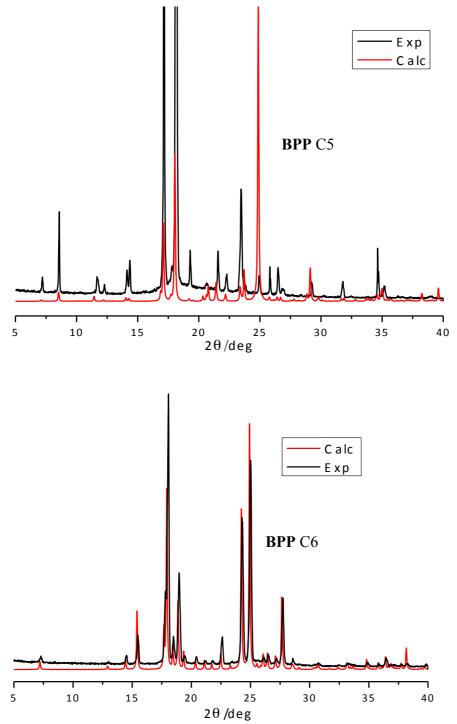


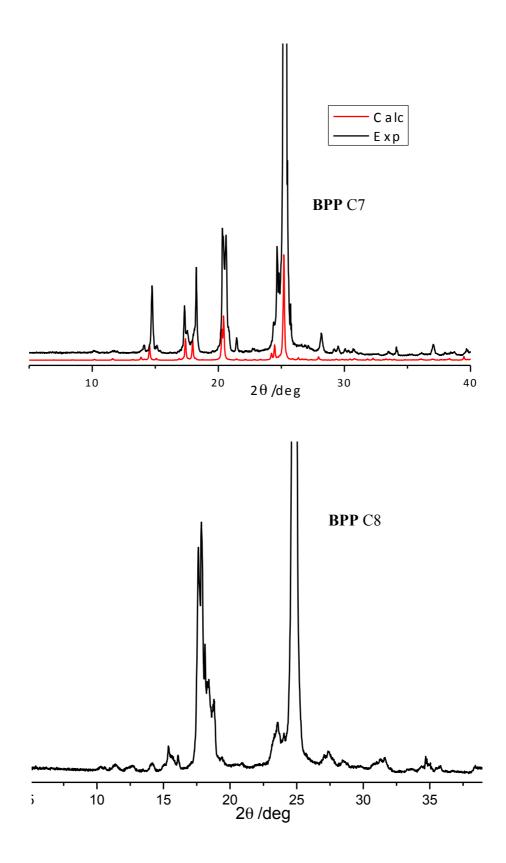
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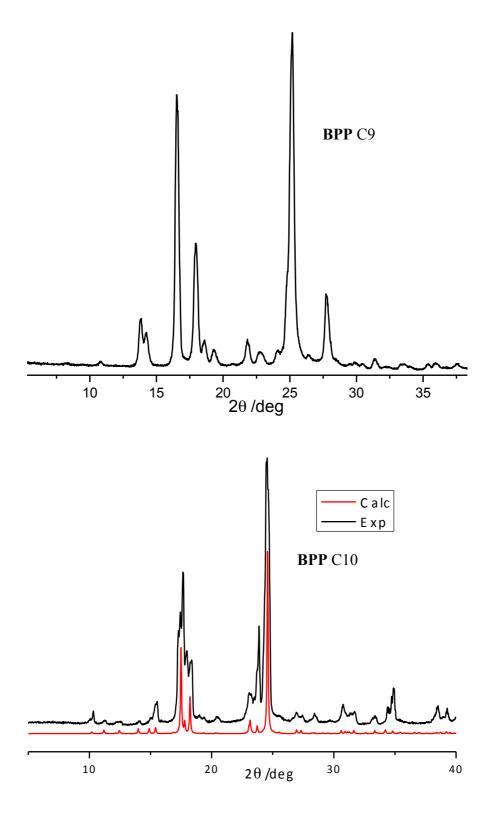








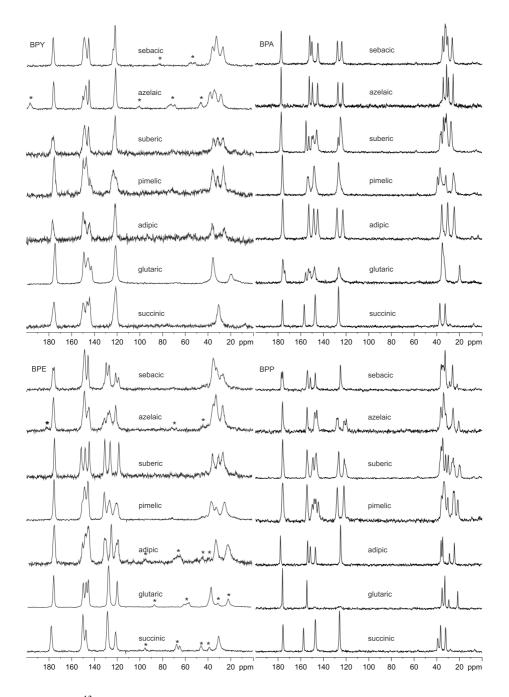




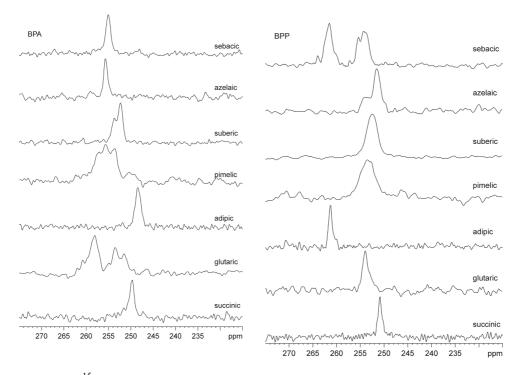
#### 1.5.9. Solid-state NMR

The spectra were collected and interpretated by the Research Group of Prof. Roberto Gobetto of Torino University.

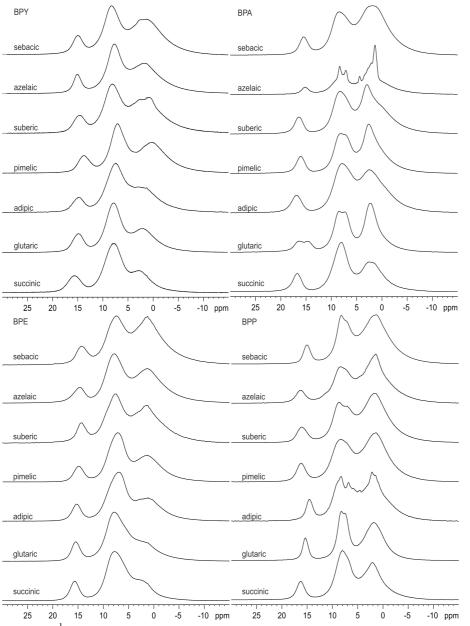
The chemical shift of pure dicarboxylic acids are slightly higher than the average value of a COOH group. This is because they form the typical cyclical centrosymmetric dimerization of carboxyl function which creates a resonance between the bond sleading to an intermediate chemical shift value between carboxylic and carboxylate.



**Figure 1:**<sup>13</sup>C CPMAS NMR spectra of the co-crystals series with BPY, BPA, BPE and BPP recorded with a spinning speed of 12 kHz.



**Figure 2:** <sup>15</sup>N CPMAS NMR spectra of the acid-BPA and acid-BPPseries recorded with a spinning speed of 6 kHz.



**Figure 3:**<sup>1</sup>H MAS NMR spectra of the co-crystals series with BPY, BPA, BPE and BPP recorded with a spinning speed of 32 kHz.

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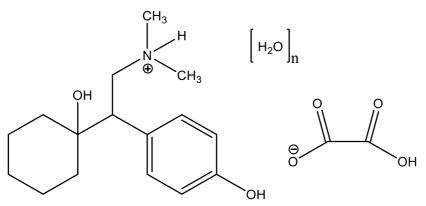
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## 2. CRYSTAL FORMS OF THE NOVEL OXALATE SALT OF O-DESMETHYLVENLAFAXINE

#### 2.1. Introduction

The pharmaceutical industry relies predominantly on solid, possibly crystalline forms for the delivery of active pharmaceutical ingredients (APIs), mainly for reasons of purity, thermal stability, manufacturability, and ease of handling. However, problems associated with the solid form of many drugs exist, including polymorphic conversion, low solubility, and low bioavailability for crystalline solids, and the tendency of amorphous forms to spontaneously crystallize into more stable crystalline forms.<sup>1</sup> For these reasons, but also for the considerable financial interests caused by legal ramifications, a constant screening for new drug solid forms, including salts, solvates and co-crystals, is ongoing.<sup>2</sup> More than 50% of the drugs on the market today are sold as organic salts<sup>3</sup>. The interest in salt formation has grown greatly over the past decades and, in recent years, it has become the most commonly used technique to improve the property of an API<sup>4</sup>. Salification of a drug substance, a crucial step in drug development, can have a huge impact on its properties, including solubility, dissolution rate, hygroscopicity, stability, impurity profile and particle characteristics<sup>5</sup>. The investigation of crystal forms represents nowadays a large portion of the experimental and theoretical work in the domain of crystal engineering, with considerable ramifications in different areas of solid state chemistry<sup>6</sup>.

O-Desmethylvenlafaxine is a selective serotonin and norepinephrine reuptake inhibitor useful for the treatment of depression. The fumarate salt of O-Desmethylvenlafaxine is known and has poor physiochemical and permeability characteristics (See US Patent 4,535,186). US Patent 7,291,347 discloses that the succinate salt of O-Desmethylvenlafaxine has a much more improved solubility as compared to the fumarate salt and thus has improved bioavailability. It is reported to exist in four crystalline forms one of which is a monohydrate form characterized by single crystal X-ray diffraction<sup>7</sup>. In the course of our studies we discovered a new salt of O-Desmethylvenlafaxine, oxalate salt (WO 2009/155488 A2), with similar stability and significantly improved solubility compared with the fumarate and succinate salts of O-Desmethylvenlafaxine (ODV). The new salt is labelled as ODV-OX (Scheme 1).



Scheme 1. Structure of ODV-OX (0 > n < 2.5).

### **2.2. Experimental section**

#### 2.2.1. Synthesis of ODV

The synthesis of ODV is taken from the patent US 2008/0015259 A1.

4 g of Venlafaxine were dissolved in 10 mL of N-methyl-pirrolidone with 2 g of Na<sub>2</sub>S in N<sub>2</sub> atmosphere. The N<sub>2</sub> flux was closed and solution was heated to  $175^{\circ}$ C in 1 hr. The solution was left at  $175^{\circ}$ C for 3 hrs and after was cooled to  $90^{\circ}$ C. 12 mL of water were added to solution that after was cooled at room temperature and left at 4°C for approx. 12 hrs. The suspension was filtered and washed with water.

## 2.2.2. Solution synthesis of ODV-OX Form A

ODV-OX Form A was prepared dissolving 4 mmol of Des-Methyl-Venlafaxine and 4 mmol of Oxalic acid (stoichiometric ratio 1:1) in 4 mL of boiling water. The solution, concentrated and purified by filtration, was left to evaporate at 60°C or room temperature.

## 2.2.3. Solution synthesis of ODV-OX Form B

ODV-OX FORM B was obtained by dissolving 0.200 g of ODV-OX Form A in 2 mL of Acetone. The suspension was left under stirring for three days at room temperature and filtered on a Whatman filter.

## 2.2.4. Solution synthesis of ODV-OX Form C

ODV-OX FORM C was obtained by evaporation of an acetonitrile solution of ODV-OX FORM A. The solution was prepared dissolving 0.05 g of ODV-OX Form A in 4mL acetonitrile. The solution was left under stirring at room temperature, filtered with a Whatman filter and left to evaporate at 60°C.

#### 2.2.5. Solution synthesis of ODV-OX Form D

ODV-OX FORM D was obtained by evaporation of a water solution of ODV-OX FORM A. The solution was prepared dissolving 0.05 g of ODV-OX Form A in 4 mL water. The solution was left under stirring at room temperature, filtered with a Whatman filter and left to evaporate at 4°C. Single crystal of Form D was obtained.

#### 2.2.6. Solution synthesis of ODV-OX Form E

ODV-OX Form E was obtained by dissolving 1 g of ODV-OX in 15 mL of 1-Propanol. The suspension was stirred for seven days at room temperature, and filtered on a Whatman filter. The sample was dried at 90-100 degrees for 1 hrs.

# 2.2.7. Solution synthesis of ODV-OX Form F (Form B-100D in the Patent WO 2009/155488 A2)

ODV-OX FORM F was obtained by dissolving 0.200 g of ODV-OX FORM A in 2 mL of acetone. The suspension was stirred for three days at room temperature, and filtered on a Whatman filter. The sample was dried at 90-100 °C for 1 hrs.

#### 2.2.8. Thermal behaviour

Thermogravimetric analyses were performed using a simultaneus STA 409 PC Luxx® Netzsch equipped with a thermocouple for the direct measurament of DSC signal, and a thermobalance for the measurament of TGA signal. The samples (5-15 mg) were placed in aluminium pierced pans, and the heating was carried out at 10°C min<sup>-1</sup> in N<sub>2</sub> atmosphere (See supplementary material). Calorimetric measurements were performed using a DSC 200 *F3 Maia*® differential scanning calorimeter equipped with an intra-cooler. The samples (2–4 mg) were placed in aluminium pierced pans, and the heating was carried out at 10°C min<sup>-1</sup> in N<sub>2</sub> atmosphere(See supplementary material).

#### 2.2.9. X-Ray diffraction

Single-crystal data were collected on an Oxford X'Calibur S CCD diffractometer equipped with a graphite monochromator (MoK $\alpha$  radiation,  $\lambda$ = 0.71073) and operated at room temperature. Data collection and refinement details are reported in the supplementary material. The structure was solved by direct methods and refined by full-matrix least-squares on  $F^2$  with SHELX97<sup>8a</sup> program package.

A calculated XRPD pattern was generated for Cu radiation using Mercury v 1.4 and the atomic coordinates, space group and unit cell parameter from the single crystal data.

MERCURY and SCHAKAL99<sup>8b</sup> were used for the graphical representation of the results and PLATON<sup>8c</sup> was used for hydrogen bonding analysis.

X-ray powder diffractograms were collectedon a Panalytical X'Pert Pro automated Diffractometer equipped with X'Celerator, CuK $\alpha$ , using glass sample holder. The tube voltage and amperage were set to 40 kV and 40 mA, respectively. The program used for data collection was set to record only the data points within the range 2 $\theta$ = 3° - 40°. VT-XRPD analyses were performed equipping the diffractometer with an Anton Paar TTK 450 low-temperature camera that was a non-ambient attachment for powder X-ray diffraction studies in reflection geometry from -193 °C to +450 °C.

#### 2.2.10. **FT-IR**

All FT-IR measurements were performed using Nicolet FT-IR 6700 Thermo Fischer equipped with ATR device. The analyses were performed on all samples and for each measurament 32 scans were recorded (See supplementary material).

#### 2.2.11. **Dissolution tests**

The calibration curve was constructed by plotting the absorbance against the concentration for four standard solutions of the sample in a sodium chloride solution 0.9% in standard concentrations. The analyses were performed using a dissolution tester and methods as described in the "European Pharmacopeia section 2.9.3 pg. 267". The detector used was a UV-Vis Cary 50 Varian, equipped with an optic fiber. The program used was "Concentration" (Cary 50 WinUV Software V.3) and the measurement for each standard was recorded at a fixed  $\lambda$ . The Kinetic solubilities were performed on a Hanson's Vision Classic 6 dissolution tester coupled with a Varian Cary 50 UV-Vis Spectrophotometer. The program used was "Kinetic" (Cary 50 WinUV Software V.3), which recorded continuously the absorbance at fixed  $\lambda$  of a sodium chloride solution 0.9% (80mL) under stirring (250rpm) at 37°C in which were added approx. 10 mg of sample. The values obtained were converted from Abs/min into mol/min and for each sample two measurements were recorded. The thermodynamic solubilities were measured by leaving a sodium chloride solution 0.9% of the sample under stirring at 500 rpm for 24 h at 37°C. Then the suspensions were filtered, opportunly diluited and analyzed by UV-Vis Cary 50 Varian equipped with an optic fiber. The results were obtained using the calibration curves built in the first part of the experiment. For each sample two measurements were taken. Dissolution tests details are reported in the supplementary material.

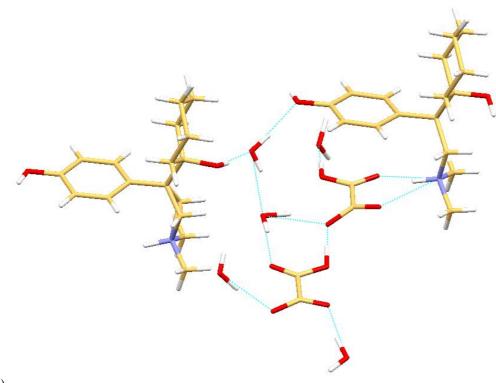
#### 2.3. Results and discussion

The new salt, named ODV-OX Form A, was synthesized dissolving Desmethyl-venlafaxine (synthesis taken from patent US2008,0015259A1) and oxalix acid in stoichiometric amount (1:1) in boiling water. The solution was filtered and left to evaporate at 60°C or at room temperature.

ODV-OX Form A was subjected to a polymorph screening to identify the possible different crystal forms. It was recrystallized from different solvents by evaporation experiments in different conditions: at room temperature, at 4°C, at 60°C and at low pressure. Also slurry experiments were performed in different solvents. In addition to ODV-OX Form A (hydrate) other five different crystal forms (three hydrates and two anhydrous) were found: ODV-OX Form C and Form D were obtained by evaporation experiments and ODV-OX Form B, Form E and Form F were obtained by slurry experiments.

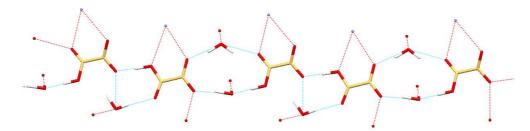
The samples were characterized by different solid-state techniques: X-ray powder diffraction(XRPD), variable temperature XRPD (VT-XRPD), differential scanning calorimetry (DSC), thermogravimetric analysis (TGA), evolved gas analysis (EGA), FT-IR and single crystal X-ray diffraction (SC-XRD) for Form D (See supplementary material for details). The characterization was also implemented with stability tests at 40°C and 75%RH for one week.

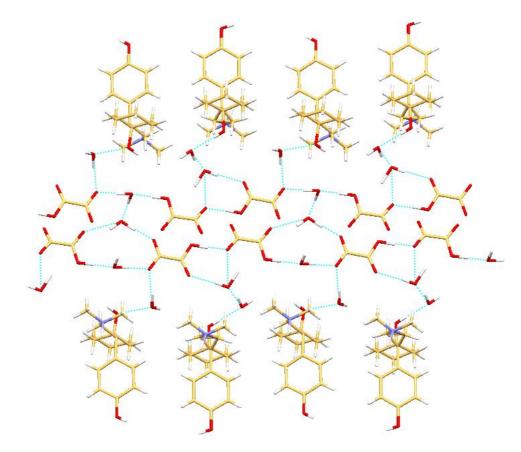
The SC-XRD analysis of ODV-OX Form D evidences the presence of four ODV cations, four oxalate anions and ten water molecules in the P-1 unit cell. As shown in figure 1, the amine group of the ODV cation forms hydrogen bonds with the oxalate anion that forms other three hydrogen bonds two of which with two water molecules and the other with another oxalate anion. Another molecule of water coordinates, through hydrogen bonds, the O-H groups of two ODV cation. The packing - Figure 1 - shows a structure that is characterized by chains of oxalate, molecules of water, and chains of ODV held together by hydrogen bonds. The chains of oxalate anions have a characteristic structure composed by dimers of oxalate anions held together by two molecules of water (Figure 1b)



(b)

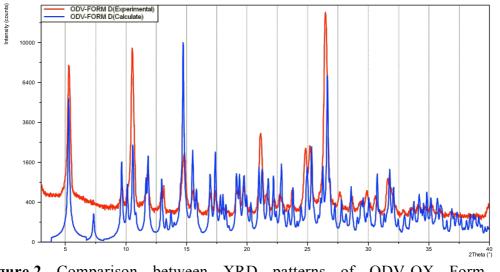
(a)





**Figure.1** (a) Hydrogen bonds of ODV cations and hydrogen oxalate anions in ODV-OX Form D through the coordination of water molecules; (b)Packing of oxalate anion in ODV-OX Form D;(c) Packing of ODV-OX Form D.

In this case the correspondence of the structure, determined by SC-XRD, and that of bulk material was checked by comparing the calculated pattern with the experimental one (figure 2).



**Figure.2** Comparison between XRD patterns of ODV-OX Form D experimental (red line) and calculated (blue line) by SC-XRD data.

Differential scanning calorimetry (DSC) was used to measure the melting point of all compounds and to detect the presence of additional crystalline phases. Thermogravimetric analysis (TGA) was used to measure possible solvent loss. This tecnique was associated with evolved gas analysis (EGA) that measured IR spectra and the kinetic profile of solvent loss during the TGA.

In Table 1 the melting points obtained by DSC analyses, the weight loss obtained by TG analyses and the solvent identification by EG analyses are reported. The TGA results reported in the Table 1 evidence that four of the six different crystal forms found are hydrates and two (Form E and Form F) are anhydrous. The TGA profiles of Form E and Form F show only the degradation of sample after 200°C. The TGA profiles of Form A, Form B, Form C and Form D show weight loss before the degradation. In particular Form A and Form C show a very similar TGA profile with a weight loss of approx. 5% between 70-80°C that corresponds to approx. one molecule of water as evidenced by kinetic profile of water in EGA between 5 and 8 minutes; after 100°C the TGA profile evidences the beginning of the degradation.

The TGA profile of Form B shows a weight loss of 6.9% that in EGA correspondes to water loss. After 100°C the sample is stable and decomposes after 200°C as Form F.

The TGA profile of Form D shows a double loss of 6.02% and 5.5% that in EGA correspondes to water loss. All DSC and TGA profiles are showed in the supplementary material, while the kinetic profiles are showed in Figure 3.

CRYSTAL FORM <sup>a</sup>	DSC Onset (°C)	TGA LOSS (%)	Solvent loss
FORM A	79.5	5.6	water
FORM B	76.4	6.9	water
FORM C	79	4.7	water
FORM D	78.1	6.02-5.5	water
FORM E	128.7	-	-
FORM F	-	-	-
<sup>a</sup> Crystal form of ODV-OX	salt.		

Table 1 Melting point (°C) and weight loss of six crystal form of ODV-OX.

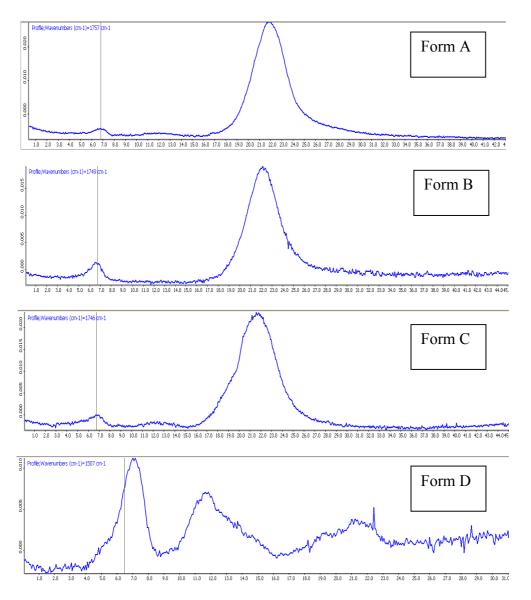
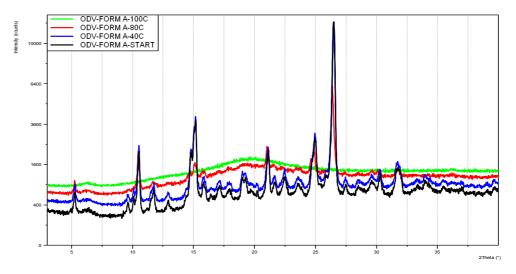
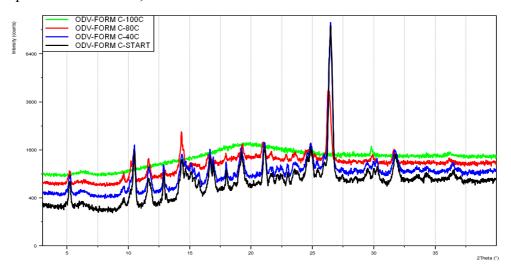


Figure.3 Kinetic profiles of water loss of four hydrate forms of ODV-OX.

ODV-OX Form A, Form B, Form C and Form E were also analyzed by VT-XRPD. Form A and Form C show the same behaviour (Figure 4 and 5): the samples were heated until 100°C and analyzed at 40°C and 80°C, the samples after 80°C begin to melt and at 100°C show the pattern of an amourphous phase.

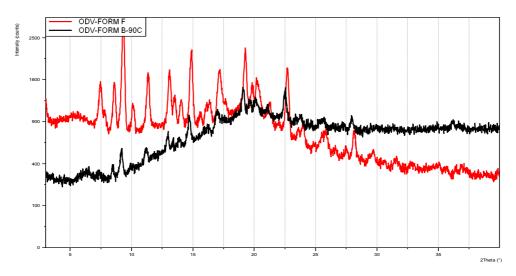


**Figure.4** Comparison between XRPD patterns of ODV-OX Form A at RT and sample heated to 40°C, 80°C and 100°C.

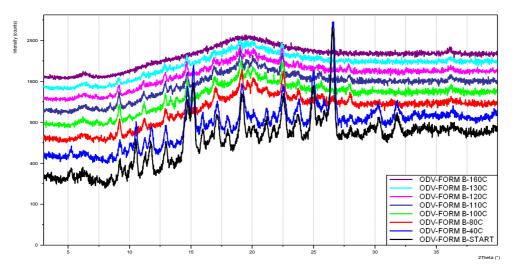


**Figure.5** Comparison between XRPD patterns of ODV-OX Form C at RT and sample heated to 40°C, 80°C and 100°C.

Form B was heated until 160°C and measured from 60°C to 130°C every 10°C: the sample after 90°C converts into Form F but in the pattern there is a high amorphous content (Figure 6). After 120°C total conversion into amorphous phase is observed (Figure 7).

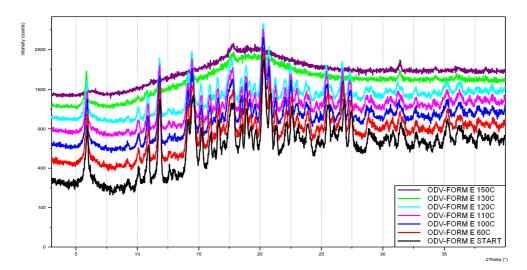


**Figure.6** Comparison between XRPD patterns of ODV-OX Form B at 100°C and ODV-OX Form F.



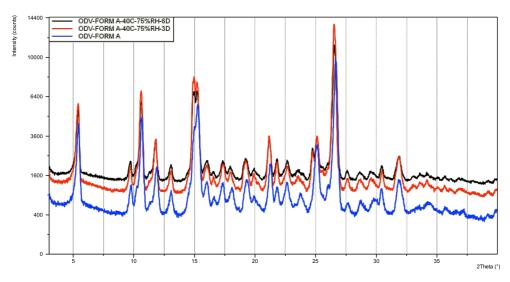
**Figure.7** Comparison between XRPD patterns of ODV-OX Form B at RT and sample heated to 40°C, 80°C, 100°C, 110°C, 120°C, 130°C and 160°C.

ODV-OX Form E was heated until 150°C and analyzed at different temperatures: the sample is stable until approx. 120°C and after this temperature converts into amorphous phase (Figure 8).

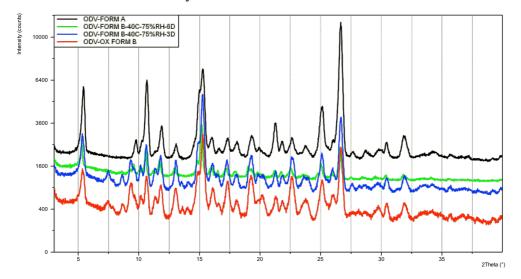


**Figure.8** Comparison between XRPD patterns of ODV-OX Form E at RT and sample heated to 40°C, 60°C, 100°C, 110°C, 120°C, 130°C and 150°C.

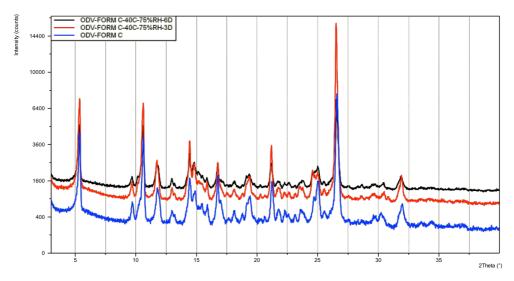
The six crystal forms of ODV-OX were also subjected to stability test: the samples were stored for one week at 40°C and 75%RH and analyzed by XRPD.The Form A is stable (Figure 9); the Form B is stable for 3 days but after six days converts into Form A (Figure 10); the Form C is stable (Figure 11); the Form D is stable (Figure 12); the Form E converts into Form A (Figure 13) and the Form F converts into Form B (Figure 14).



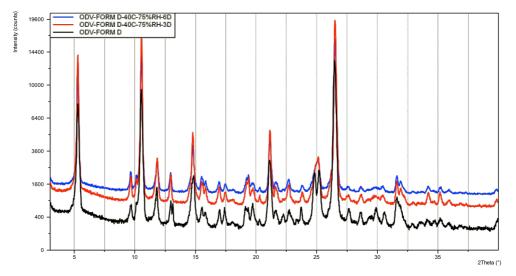
**Figure.9** Comparison between XRPD patterns of ODV-OX Form A and Form A stored for three and six days at 40°C and 75%RH.



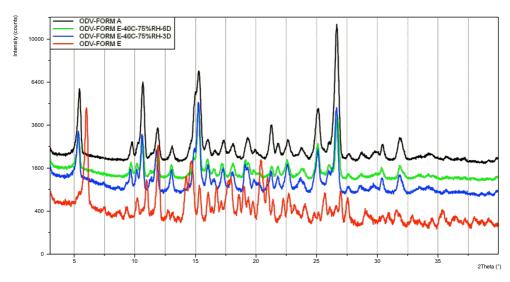
**Figure.10** Comparison between XRPD patterns of ODV-OX Form B, Form B stored for three and six days at 40°C and 75%RH and Form A.



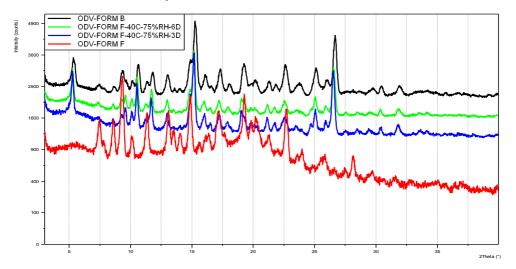
**Figure.11** Comparison between XRPD patterns of ODV-OX Form C and Form C stored for three and six days at 40°C and 75%RH.



**Figure.12** Comparison between XRPD patterns of ODV-OX Form D and Form D stored for three and six days at 40°C and 75%RH.



**Figure.13** Comparison between XRPD patterns of ODV-OX Form E, Form E stored for three and six days at 40°C and 75%RH and Form A.



**Figure.14** Comparison between XRPD patterns of ODV-OX Form F, Form F stored for three and six days at 40°C and 75%RH and Form B.

Dissolution tests were performed on ODV-OX and ODV-SUCC to compare the behavior of the oxalate and succinate salts of ODV.

A particle size homogenization was performed on both salts (using stable forms) to obtaine reproducible results. The particle size analyses were performed using Beckman-Coulter LS100 Q particle size analyzer equipped with micro-volume cell. The samples were ground to obtain the same particles size distribution as shown in figure 15 and table 2.

**Table 2** Particle size results of ODV-OX and ODV-SUCC used for dissolution tests.

Mean <sup>a</sup>	<b>S.D.</b> <sup>b</sup>	Media n°	<b>Mode</b> <sup>d</sup>	<b>D10</b> <sup>e</sup>	<b>D50</b> <sup>f</sup>	<b>D90</b> <sup>g</sup>
78.68	47.48	77.56	116.3	13.34	77.56	144.0
μm	μm	μm	μm	μm	μm	μm

<sup>*a*</sup> Mean: described the central location of the data; <sup>b</sup>S.D.: standard deviation described the spread; <sup>c</sup>Median: numeric value separating the higher half of the distribution from the lower half; <sup>d</sup>Mode: the value that occur most frequently in the distribution; <sup>c</sup>D10: the higher value of 10% of particles; <sup>f</sup> D50: the higher value of 50% of particles; <sup>g</sup>D90: the higher value of 90% of particles.

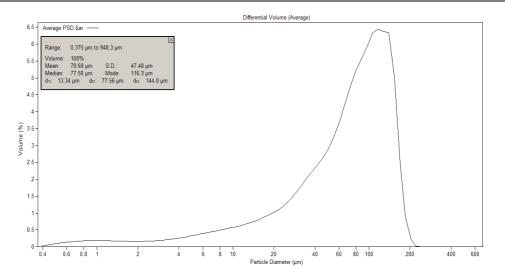


Figure15 Particle size distribution of ODV-OX and ODV-SUCC used for dissolution tests.

The results of thermodynamic and kinetic solubilities, summarized in the table 3, evidence an important increasing in solubility for the oxalate salt compared with the succinate salt.

Salt	Kinetic solubility Abs/min	Thermodynamic solubility (g\L)
PCL01_OX	1,31	142
PCL01_SUCC	1,00	70

**Table 3** Thermodynamic and kinetic solubility results of ODV oxalate and succinate salts.

### 2.4. Conclusion

In conclusion we have reported the preparation of a new salt of o-Desmethylvenlafaxine (ODV): ODV oxalate (Form A) and other five different crystal forms (Form B, Form C, Form D, Form E and Form F). All samples obtained were characterized by a combination of different solid-state technique (XRPD, VT-XRPD, TGA, EGA, DSC, FT-IR). The ODV-OX Form D was also characterized by SC-XRD, this evidences the presence of water molecules in the structure. The TGA analyses evidence that four of the six different crystal forms found are hydrates and two (Form E and Foem F) are anhydrous. VT-XRPD analyses evidence that the monohydrate form A and C, after the water loss at 80-90°C, melt while Form B converts into anhydrous Form F that decomposes after 200°C as Form E. The stability tests performed at 40°C and 75%RH show that Form A, C, and D are stable while B and E convert into Form A; Form F converts into Form B after six days. Comparative dissolution tests, performed after a particle size homogenization of ODV-OX and ODV-SUCC, evidence a significant increasing in solubility for the oxalate salt (142 g/L) compared with the succinate salt (70 g/L).

# 2.5. Supplementary Material

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### Legenda

ODV = o-Desmethylvenlafaxine

ODV-OX = o-Desmethylvenlafaxine oxalate salt

ODV-SUCC = o-Desmethylvenlafaxine succinate salt

#### 2.5.1. Crystal structure determination

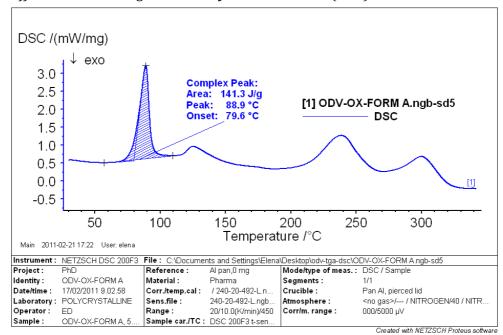
A colourless needle of ODV-OX form D having approximate dimensions of 0.2 x 0.2 x 0.3 mm, was mounted on a glass fibre in random orientation. The sample ODV-OX form D crystallises in a Triclinic system with cell parameter a = 9.4342(6) Å, b = 13.0621(9) Å, c= 18.0686(12) Å,  $\alpha$  = 109.701(6) deg.,  $\beta$  = 96.728(5) deg.,  $\gamma$  = 99.120(5) deg., V = 2034.62 Å<sup>3</sup> with space group P-1.

Crystal data and details of measurements are summarized in Table 1.

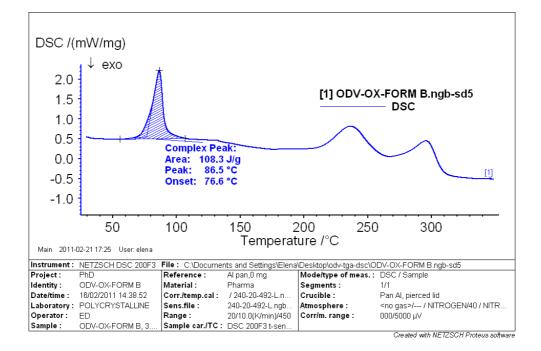
Identification code	odv_ox
Empirical formula	C36 H64 N2 O17
Formula weight	796.89
Temperature	293(2) K
Wavelength	0.71073 A
Crystal system, space group	Triclinic, P-1
Unit cell dimensions	a = 9.4342(6) A alpha = 109.701(6) deg.
	b = 13.0621(9) A beta = 96.728(5) deg.
	c = 18.0686(12) A gamma = 99.120(5) deg.
Volume	2034.6(2) A^3
Z, Calculated density	2, 1.301 Mg/m^3
Absorption coefficient	0.103 mm^-1
F(000)	860
Crystal size	0.3 x 0.1 x 0.1 mm
Theta range for data collection	2.41 to 29.06 deg.
Limiting indices	-12<=h<=11, -16<=k<=12, -24<=l<=23
Reflections collected / unique	18059 / 8206 [R(int) = 0.0847]
Completeness to theta =	25 89.5 %
Absorption correction	Semi-empirical from equivalents
Refinement method	Full-matrix least-squares on F^2
Data / restraints / parameters	8206 / 0 / 561
Goodness-of-fit on F^2	1.023
Final R indices [I>2sigma(I)]	R1 = 0.1125, wR2 = 0.1320
R indices (all data)	R1 = 0.2874, wR2 = 0.1735
Extinction coefficient	0.0006(5)
Largest diff. peak and hole	0.322 and -0.218 e.A^-3

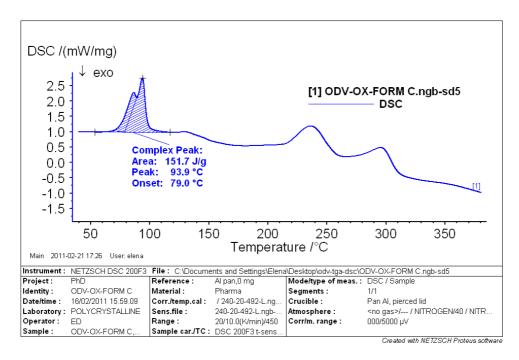
Table 1.Crystal data and details of measurement for ODV-OX FormD

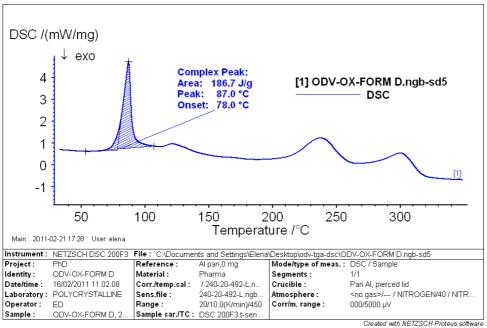
#### 2.5.2. Thermal behaviour

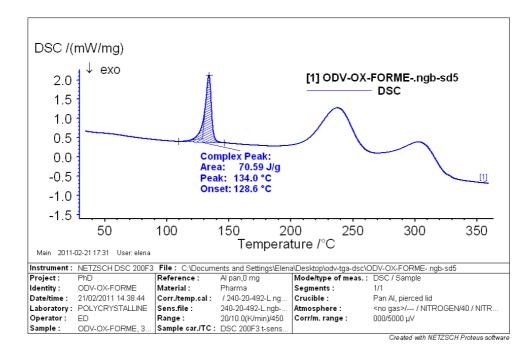


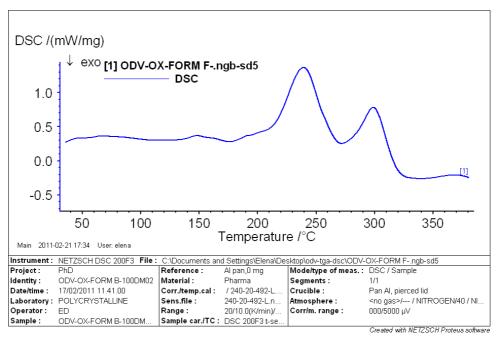
Differential scanning calorimetry measurements (DSC)



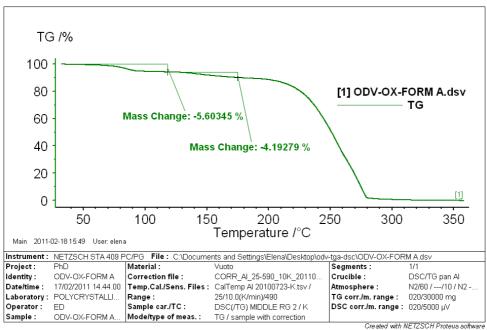


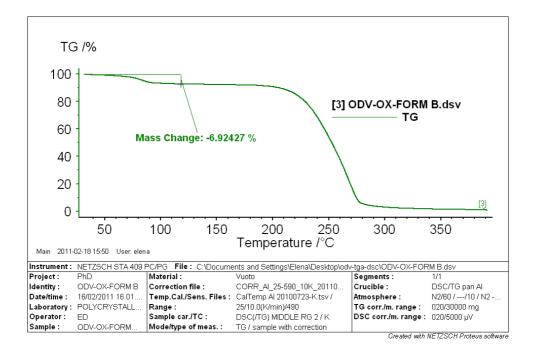


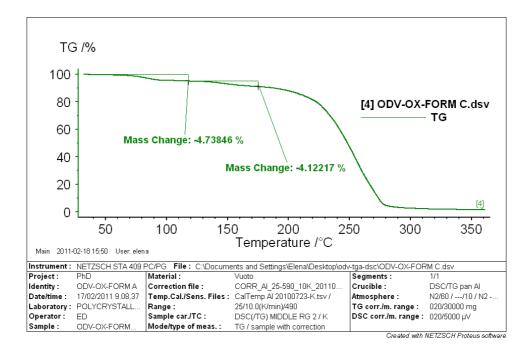


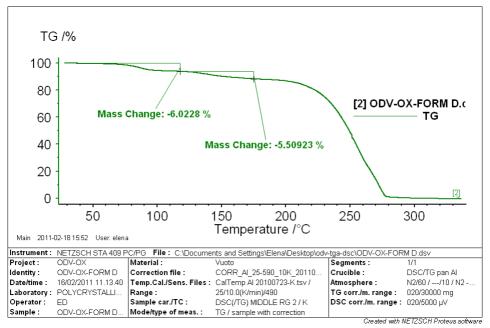


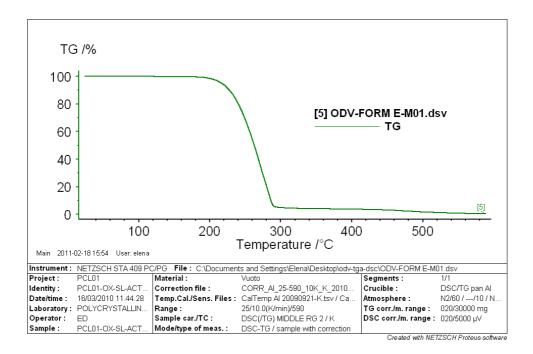


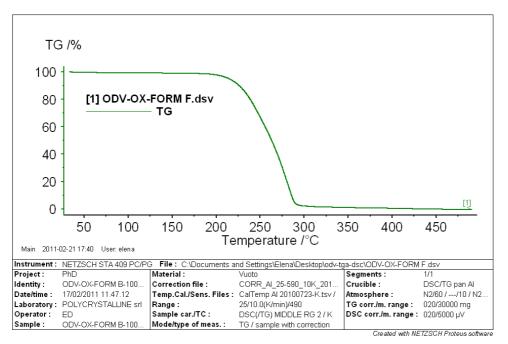




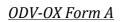


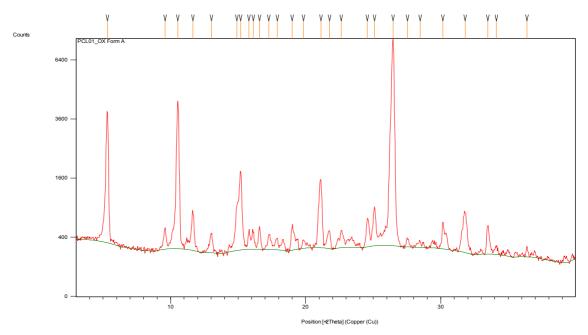






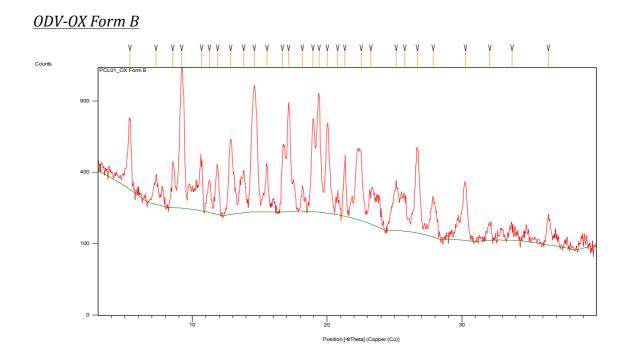
### 2.5.3. XRPD Patterns





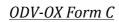
Pos. [°2Th.]	Height [cts]	FWHM [°2Th.]	d-spacing [Å]	Rel. Int. [%]
<mark>5,3111</mark>	<mark>3663,39</mark>	<mark>0,1624</mark>	<mark>16,63945</mark>	<mark>49,54</mark>
<mark>9,5916</mark>	<mark>284,79</mark>	<mark>0,1299</mark>	<mark>9,22123</mark>	<mark>3,85</mark>
10,5410	<mark>4098,59</mark>	<mark>0,1948</mark>	<mark>8,39270</mark>	<mark>55,43</mark>
11,6532	<mark>578,34</mark>	<mark>0,1624</mark>	<mark>7,59410</mark>	<mark>7,82</mark>
<mark>13,0162</mark>	232,42	<mark>0,1299</mark>	<mark>6,80176</mark>	<mark>3,14</mark>
<mark>14,9010</mark>	705,17	<mark>0,1299</mark>	<mark>5,94540</mark>	<mark>9,54</mark>
15,1949	1544,07	0,1299	5,83104	20,88
15,8002	247,99	0,0974	5,60901	3,35
16,1124	208,57	0,0974	5,50101	2,82
16,5757	307,87	0,1299	5,34828	4,16
17,2785	184,54	0,1624	5,13231	2,50
17,8960	140,95	0,1624	4,95658	1,91
18,9905	298,60	0,1299	4,67332	4,04
19,8346	98,90	0,1948	4,47630	1,34

21,1225	1312,00	0,2598	<mark>4,20618</mark>	17,74
21,7703	231,60	0,2273	4,08247	3,13
22,6391	222,85	0,1624	3,92773	3,01
<mark>24,5723</mark>	<mark>407,75</mark>	<mark>0,1948</mark>	<mark>3,62292</mark>	<mark>5,51</mark>
25,1012	624,92	0,1624	3,54777	8,45
26,4739	7394,78	0,1948	3,36685	100,00
27,5450	105,19	0,1948	3,23832	1,42
28,4776	52,74	0,3897	3,13435	0,71
30,1636	378,11	0,0974	2,96289	5,11
31,8029	588,64	0,2598	2,81381	7,96
33,4844	369,84	0,1624	2,67625	5,00
34,1176	91,86	0,1948	2,62802	1,24
36,3796	107,94	0,1299	2,46964	1,46

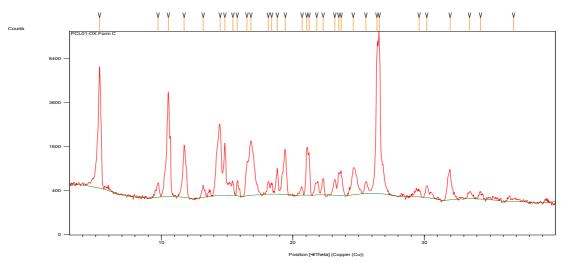


Pos. [°2Th.]	Height [cts]	FWHM [°2Th.]	d-spacing [Å]	Rel. Int. [%]
<mark>5,3641</mark>	<mark>439,54</mark>	<mark>0,1948</mark>	<mark>16,47544</mark>	<mark>46,00</mark>
<mark>7,2969</mark>	135,26	<mark>0,2598</mark>	<mark>12,11504</mark>	<mark>14,16</mark>
<mark>8,5593</mark>	235,38	<mark>0,1624</mark>	10,33087	<mark>24,63</mark>
<mark>9,2017</mark>	<mark>955,53</mark>	<mark>0,3247</mark>	<mark>9,61102</mark>	<mark>100,00</mark>
10,6938	252,12	0,1948	8,27310	26,39
11,2577	154,95	0,1948	7,85994	16,22
11,8703	237,62	0,1948	7,45565	24,87
12,8343	<mark>406,40</mark>	<mark>0,2273</mark>	<mark>6,89774</mark>	<mark>42,53</mark>
13,8200	197,19	0,3897	6,40791	20,64
14,6024	830,27	0,3572	6,06629	86,89
15,5420	230,72	0,1299	5,70159	24,15
16,6848	290,52	0,1948	5,31358	30,40
17,1524	672,62	0,1948	5,16976	70,39
18,1595	103,58	0,2598	4,88525	10,84
18,9482	535,28	0,1624	4,68364	56,02

19,3943	748,29	0,1624	4,57690	78,31
<mark>20,0165</mark>	<mark>515,51</mark>	<mark>0,1948</mark>	<mark>4,43603</mark>	<mark>53,95</mark>
20,7798	79,22	0,1948	4,27478	8,29
21,3128	279,49	0,1299	4,16905	29,25
22,5201	341,19	0,1948	3,94820	35,71
23,2400	131,11	0,3247	3,82751	13,72
25,1180	195,56	0,1948	3,54544	20,47
25,7557	140,77	0,3247	3,45908	14,73
26,7095	401,99	0,2922	3,33769	42,07
<mark>27,8737</mark>	143,24	<mark>0,3897</mark>	<mark>3,20087</mark>	<mark>14,99</mark>
30,2597	232,08	0,2273	2,95370	24,29
32,0673	48,88	0,3247	2,79121	5,12
33,7123	41,46	0,3897	2,65868	4,34
36,4194	90,82	0,2598	2,46703	9,51

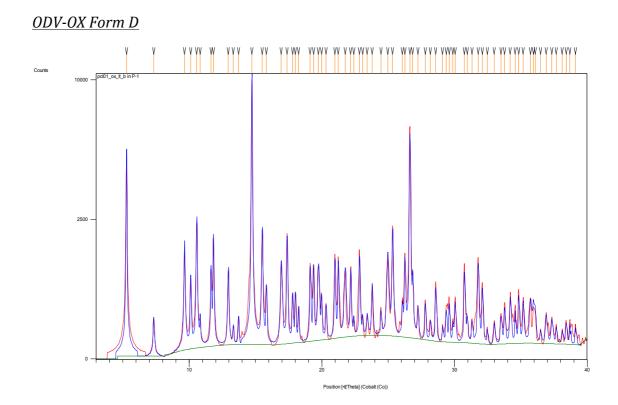


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Pos. [°2Th.]	Height [cts]	FWHM [°2Th.]	d-spacing [Å]	Rel. Int. [%]
<mark>5,2961</mark>	<mark>5395,94</mark>	<mark>0,1624</mark>	<mark>16,68664</mark>	<mark>71,65</mark>
<mark>9,7495</mark>	<mark>257,33</mark>	<mark>0,1299</mark>	<mark>9,07219</mark>	<mark>3,42</mark>
<mark>10,5216</mark>	<mark>3929,64</mark>	<mark>0,0974</mark>	<mark>8,40814</mark>	<mark>52,18</mark>
11,7391	1401,52	<mark>0,1299</mark>	<mark>7,53871</mark>	<mark>18,61</mark>
<mark>13,1835</mark>	<mark>185,62</mark>	<mark>0,2598</mark>	<mark>6,71580</mark>	<mark>2,46</mark>
14,4702	<mark>2021,75</mark>	<mark>0,1624</mark>	<mark>6,12142</mark>	<mark>26,85</mark>
<mark>14,8339</mark>	1413,30	<mark>0,1299</mark>	<mark>5,97214</mark>	18,77
15,4394	271,37	0,0974	5,73925	3,60
15,7808	292,54	0,1299	5,61586	3,88
16,4934	662,49	0,1299	5,37480	8,80
<mark>16,8009</mark>	1497,51	<mark>0,1624</mark>	<mark>5,27710</mark>	19,89
18,1278	241,99	0,1299	4,89371	3,21
18,3851	176,62	0,0974	4,82581	2,35
18,8151	575,49	0,0974	4,71647	7,64
19,4207	1157,52	0,1299	4,57076	15,37
20,6912	131,82	0,1624	4,29287	1,75
<mark>21,0662</mark>	1232,22	<mark>0,1299</mark>	<mark>4,21730</mark>	<mark>16,36</mark>

13,61	4,18315	0,1299	1024,60	21,2402
3,11	4,07393	0,2598	234,32	21,8165
4,39	3,98679	0,1624	330,73	22,2994
4,11	3,83564	0,1299	309,29	23,1901
6,42	3,78809	0,0974	483,46	23,4853
7,06	3,75853	0,1299	531,97	23,6727
7,33	3,62171	0,2273	551,81	24,5807
2,79	3,48537	0,1948	209,83	25,5582
88,86	3,37603	0,1299	6691,82	26,4006
<mark>100,00</mark>	<mark>3,35386</mark>	<mark>0,0974</mark>	7530,57	<mark>26,5784</mark>
1,52	3,01779	0,3897	114,36	29,6022
2,85	2,96039	0,1624	214,77	30,1896
8,08	2,80127	0,2598	608,43	31,9491
1,39	2,68027	0,3247	104,64	33,4328
1,46	2,61608	0,2598	109,57	34,2782
0,46	2,44317	0,7793	34,86	36,7878

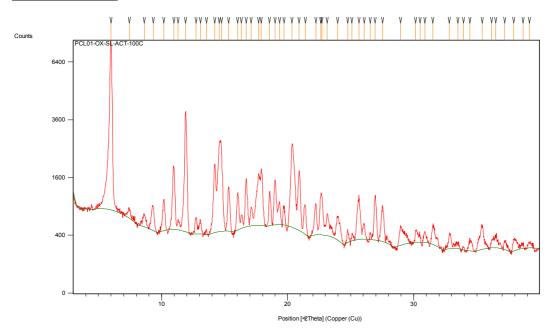


Pos. [°2Th.]	Height [cts]	FWHM [°2Th.]	d-spacing [Å]	Rel. Int. [%]
<mark>5,9933</mark>	<mark>6784,10</mark>	<mark>0,1004</mark>	<mark>14,74705</mark>	100,00
7,4510	169,33	0,1673	11,86492	2,50
8,6396	212,16	0,1673	10,23503	3,13
9,3377	442,55	0,1673	9,47136	6,52
<mark>10,1712</mark>	<mark>591,61</mark>	<mark>0,1171</mark>	<mark>8,69703</mark>	<mark>8,72</mark>
10,9667	1377,86	0,1506	8,06785	20,31
11,3202	<mark>165,51</mark>	<mark>0,1338</mark>	<mark>7,81672</mark>	<mark>2,44</mark>
<mark>11,9003</mark>	<mark>3456,27</mark>	<mark>0,1171</mark>	7,43691	<mark>50,95</mark>
12,7210	262,10	0,1004	6,95893	3,86
13,0787	194,35	0,1673	6,76938	2,86
13,5527	62,73	<mark>0,1004</mark>	<mark>6,53370</mark>	<mark>0,92</mark>
<mark>14,2075</mark>	<mark>1560,88</mark>	<mark>0,1171</mark>	<mark>6,23399</mark>	23,01
<mark>14,5834</mark>	2237,03	<mark>0,1171</mark>	<mark>6,07414</mark>	<mark>32,97</mark>
14,7491	<mark>1971,58</mark>	<mark>0,1338</mark>	<mark>6,00629</mark>	<mark>29,06</mark>

<mark>13,19</mark>	<mark>5,78889</mark>	<mark>0,1673</mark>	<mark>894,50</mark>	<mark>15,3062</mark>
10,50	5,53316	0,1338	712,46	16,0182
3,22	5,42745	0,1506	218,31	16,3323
15,27	5,30714	0,1506	1036,25	16,7051
<mark>4,68</mark>	<mark>5,19065</mark>	0,1338	<mark>317,43</mark>	17,0828
17,00	5,01407	0,0669	1152,99	17,6891
19,35	<mark>4,95439</mark>	<mark>0,1004</mark>	1312,50	17,9040
<mark>9,54</mark>	<mark>4,78343</mark>	0,1338	<mark>647,40</mark>	18,5494
14,32	4,67186	0,1506	971,29	18,9965
6,47	4,58994	0,1506	438,84	19,3387
5,19	4,50234	0,0836	351,89	19,7187
<mark>31,31</mark>	<mark>4,36413</mark>	0,1673	<mark>2124,19</mark>	<mark>20,3497</mark>
19,74	4,24485	0,1506	1339,29	20,9279
<mark>7,26</mark>	<mark>4,14930</mark>	<mark>0,1338</mark>	<mark>492,44</mark>	<mark>21,4155</mark>
8,12	3,99588	0,1338	551,18	22,2480
10,91	3,92668	0,1020	739,99	22,6262
10,24	<mark>3,91234</mark>	<mark>0,0836</mark>	<mark>694,54</mark>	<mark>22,7293</mark>
5,25	3,84278	0,1004	356,05	23,1464
5,50	3,71198	0,1673	373,17	23,9739
2,58	3,59470	0,1171	174,87	24,7683
1,32	3,54661	0,1338	89,64	25,1096
10,23	3,46997	0,0836	693,97	25,6735
3,14	3,41553	0,1171	212,99	26,0899
<mark>1,30</mark>	<mark>3,35624</mark>	<mark>0,1004</mark>	<mark>88,29</mark>	<mark>26,5592</mark>
11,51	3,30860	0,1338	781,15	26,9487
<mark>8,54</mark>	<mark>3,23945</mark>	<mark>0,1004</mark>	<mark>579,29</mark>	<mark>27,5352</mark>
3,64	3,08393	0,1673	246,66	28,9533
1,93	2,96532	0,1004	130,98	30,1383

<mark>30,4877</mark>	<mark>100,47</mark>	<mark>0,1004</mark>	<mark>2,93212</mark>	<mark>1,48</mark>
<u>, 1077</u>	100,47	0,1004	2,75212	1,70
30,8740	142,32	0,1673	2,89631	2,10
31,5166	274,34	0,1673	2,83871	4,04
32,8228	177,42	0,1004	2,72867	2,62
33,4907	56,98	0,3346	2,67576	0,84
33,9368	26,85	0,1338	2,64160	0,40
<mark>34,4310</mark>	102,31	<mark>0,2676</mark>	<mark>2,60481</mark>	<mark>1,51</mark>
35,4317	304,16	0,2007	2,53351	4,48
36,1596	87,17	0,1673	2,48416	1,28
36,5026	122,10	0,1338	2,46160	1,80
37,2102	98,14	0,1673	2,41640	1,45
37,9115	124,71	0,1338	2,37330	1,84
38,6464	46,04	0,1673	2,32984	0,68
39,1466	61,80	0,2007	2,30122	0,91

# <u>ODV-OX Form E</u>

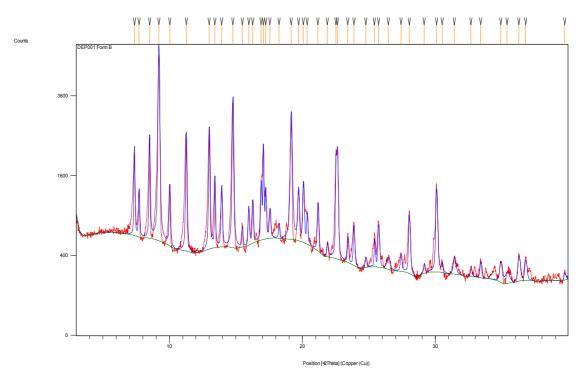


Pos. [°2Th.]	Height [cts]	FWHM [°2Th.]	d-spacing [Å]	Rel. Int. [%]
<mark>5,9933</mark>	<mark>6784,10</mark>	<mark>0,1004</mark>	<mark>14,74705</mark>	100,00
7,4510	169,33	0,1673	11,86492	2,50
8,6396	212,16	0,1673	10,23503	3,13
9,3377	442,55	0,1673	9,47136	6,52
10,1712	<mark>591,61</mark>	0,1171	<mark>8,69703</mark>	<mark>8,72</mark>
10,9667	1377,86	0,1506	8,06785	20,31
11,3202	<mark>165,51</mark>	<mark>0,1338</mark>	<mark>7,81672</mark>	<mark>2,44</mark>
<mark>11,9003</mark>	<mark>3456,27</mark>	<mark>0,1171</mark>	7,43691	<mark>50,95</mark>
12,7210	262,10	0,1004	6,95893	3,86
13,0787	194,35	0,1673	6,76938	2,86
13,5527	62,73	<mark>0,1004</mark>	<mark>6,53370</mark>	<mark>0,92</mark>
14,2075	<mark>1560,88</mark>	<mark>0,1171</mark>	<mark>6,23399</mark>	23,01
14,5834	2237,03	<mark>0,1171</mark>	<mark>6,07414</mark>	32,97
<mark>14,7491</mark>	<mark>1971,58</mark>	<mark>0,1338</mark>	<mark>6,00629</mark>	<mark>29,06</mark>
<mark>15,3062</mark>	<mark>894,50</mark>	<mark>0,1673</mark>	<mark>5,78889</mark>	<mark>13,19</mark>

16,0182	712,46	0,1338	5,53316	10,50
16,3323	218,31	0,1506	5,42745	3,22
16,7051	1036,25	0,1506	5,30714	15,27
<mark>17,0828</mark>	317,43	<mark>0,1338</mark>	<mark>5,19065</mark>	<mark>4,68</mark>
17,6891	1152,99	0,0669	5,01407	17,00
<mark>17,9040</mark>	1312,50	<mark>0,1004</mark>	<mark>4,95439</mark>	<mark>19,35</mark>
18,5494	<mark>647,40</mark>	<mark>0,1338</mark>	<mark>4,78343</mark>	<mark>9,54</mark>
18,9965	971,29	0,1506	4,67186	14,32
19,3387	438,84	0,1506	4,58994	6,47
19,7187	351,89	0,0836	4,50234	5,19
<mark>20,3497</mark>	<mark>2124,19</mark>	<mark>0,1673</mark>	<mark>4,36413</mark>	<mark>31,31</mark>
20,9279	1339,29	0,1506	4,24485	19,74
21,4155	<mark>492,44</mark>	<mark>0,1338</mark>	<mark>4,14930</mark>	7,26
22,2480	551,18	0,1338	3,99588	8,12
22,6262	739,99	0,1020	3,92668	10,91
<mark>22,7293</mark>	<mark>694,54</mark>	<mark>0,0836</mark>	<mark>3,91234</mark>	<mark>10,24</mark>
23,1464	356,05	0,1004	3,84278	5,25
23,9739	373,17	0,1673	3,71198	5,50
24,7683	174,87	0,1171	3,59470	2,58
25,1096	89,64	0,1338	3,54661	1,32
25,6735	693,97	0,0836	3,46997	10,23
26,0899	212,99	0,1171	3,41553	3,14
<mark>26,5592</mark>	<mark>88,29</mark>	<mark>0,1004</mark>	<mark>3,35624</mark>	1,30
26,9487	781,15	0,1338	3,30860	11,51
27,5352	<mark>579,29</mark>	<mark>0,1004</mark>	<mark>3,23945</mark>	<mark>8,54</mark>
28,9533	246,66	0,1673	3,08393	3,64
30,1383	130,98	0,1004	2,96532	1,93
<mark>30,4877</mark>	100,47	<mark>0,1004</mark>	<mark>2,93212</mark>	<mark>1,48</mark>

30,8740	142,32	0,1673	2,89631	2,10
31,5166	274,34	0,1673	2,83871	4,04
32,8228	177,42	0,1004	2,72867	2,62
33,4907	56,98	0,3346	2,67576	0,84
33,9368	26,85	0,1338	2,64160	0,40
<mark>34,4310</mark>	102,31	<mark>0,2676</mark>	<mark>2,60481</mark>	1,51
35,4317	304,16	0,2007	2,53351	4,48
36,1596	87,17	0,1673	2,48416	1,28
36,5026	122,10	0,1338	2,46160	1,80
37,2102	98,14	0,1673	2,41640	1,45
37,9115	124,71	0,1338	2,37330	1,84
38,6464	46,04	0,1673	2,32984	0,68
39,1466	61,80	0,2007	2,30122	0,91

<u>ODV-OX Form F</u>

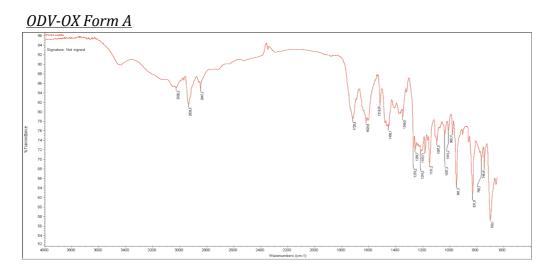


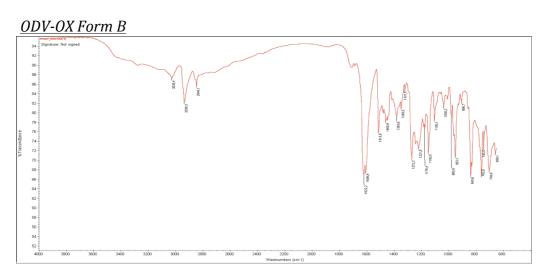
Pos. [°2Th.]	Height [cts]	FWHM [°2Th.]	d-spacing [Å]	Rel. Int. [%]
<mark>7,3730</mark>	<mark>1487,16</mark>	<mark>0,0836</mark>	<mark>11,99026</mark>	<mark>34,31</mark>
<mark>7,7215</mark>	<mark>683,63</mark>	<mark>0,1004</mark>	<mark>11,44988</mark>	15,77
<mark>8,5137</mark>	1788,29	<mark>0,1004</mark>	<mark>10,38606</mark>	<mark>41,25</mark>
<mark>9,2071</mark>	<mark>4334,96</mark>	<mark>0,1506</mark>	<mark>9,60537</mark>	100,00
10,0299	869,76	0,1171	8,81923	20,06
<mark>11,2693</mark>	<mark>2030,73</mark>	<mark>0,1171</mark>	<mark>7,85193</mark>	<mark>46,85</mark>
<mark>13,0015</mark>	<mark>2148,97</mark>	<mark>0,1171</mark>	<mark>6,80945</mark>	<mark>49,57</mark>
13,4206	1077,84	0,0836	6,59770	24,86
13,9285	877,75	0,1171	6,35825	20,25
14,7714	<mark>2934,99</mark>	<mark>0,1338</mark>	<mark>5,99727</mark>	67,71
15,4872	261,62	0,0836	5,72165	6,04
15,9626	530,52	0,1004	5,55232	12,24
16,2689	607,35	0,1004	5,44844	14,01
16,8915	913,00	0,0836	5,24901	21,06

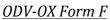
17,0574	1738,08	0,0836	5,19831	40,09
17,2375	778,37	0,0836	5,14443	17,96
17,5584	405,20	0,1171	5,05113	9,35
18,2456	201,61	0,1004	4,86238	4,65
<mark>19,1534</mark>	<mark>2439,25</mark>	<mark>0,1673</mark>	<mark>4,63394</mark>	<mark>56,27</mark>
19,7009	766,51	0,1338	4,50637	17,68
<mark>20,0695</mark>	<mark>941,88</mark>	<mark>0,1171</mark>	<mark>4,42442</mark>	<mark>21,73</mark>
20,3571	421,26	0,1004	4,36258	9,72
21,1667	678,77	0,1171	4,19751	15,66
21,8812	150,84	0,1338	4,06203	3,48
<mark>22,5182</mark>	<mark>1756,74</mark>	<mark>0,0836</mark>	<mark>3,94854</mark>	<mark>40,52</mark>
<mark>22,6324</mark>	<mark>1761,69</mark>	<mark>0,1004</mark>	<mark>3,92887</mark>	<mark>40,64</mark>
23,4101	320,55	0,0836	3,80008	7,39
23,8629	506,82	0,1004	3,72900	11,69
24,7699	95,01	0,1338	3,59447	2,19
25,4173	359,74	0,0669	3,50436	8,30
25,7212	513,99	0,1171	3,46365	11,86
26,4636	103,87	0,2676	3,36814	2,40
27,4076	165,78	0,1338	3,25423	3,82
<mark>28,0269</mark>	742,23	<mark>0,1171</mark>	<mark>3,18372</mark>	<mark>17,12</mark>
29,1487	81,52	0,1338	3,06369	1,88
30,0743	1197,37	0,1338	2,97148	27,62
30,4956	84,06	0,1004	2,93138	1,94
31,4234	155,81	0,2007	2,84691	3,59
32,6729	76,16	0,1004	2,74084	1,76
33,4049	153,79	0,1338	2,68244	3,55
34,9156	168,20	0,2007	2,56976	3,88
35,3807	77,24	0,3346	2,53704	1,78

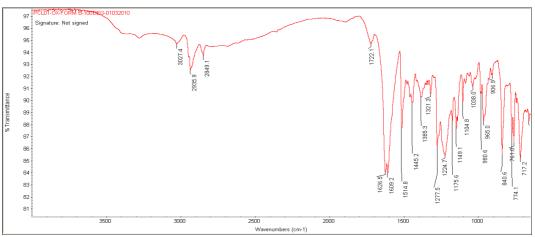
36,2696	236,59	0,1673	2,47688	5,46
36,7741	195,12	0,1338	2,44405	4,50
39,7162	70,96	0,1004	2,26952	1,64

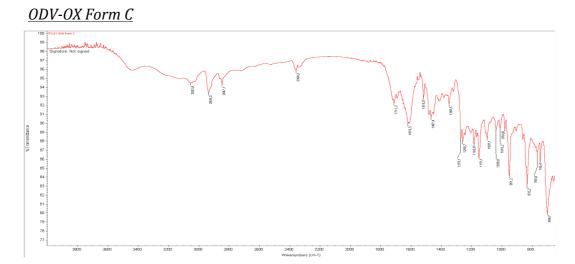
## 2.5.4. **FT-IR**



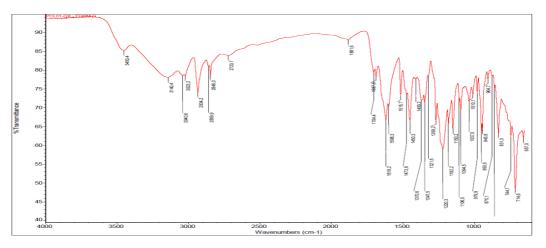




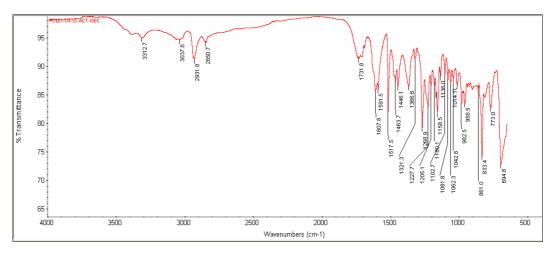




### ODV-OX Form D



## ODV-OX Form E



#### 2.5.5. Dissolution tests

#### Calibration curve

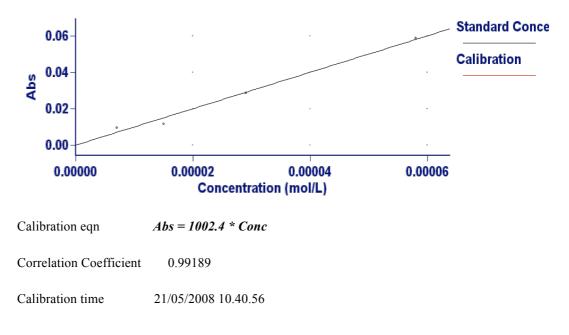
The calibration curves were built using four solutions at different concentration for each salt:

- 1. 1.5\*10<sup>-4</sup> mol/L
- 2. 7.5\*10<sup>-5</sup> mol/L
- 3. 5.0\*10<sup>-5</sup> mol/L
- 4.  $3.5*10^{-5}$  mol/L

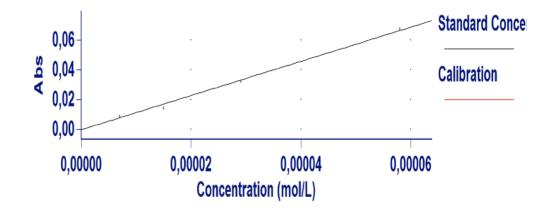
## Instrument Settings

Instrument	Cary 50
Instrument version no.	3.00
Wavelength (nm)	275.0
Ordinate Mode	Abs
Ave Time (sec)	0.1000
Replicates	5
Standard/Sample averaging	OFF
Method Weight	1.0000
Weight units	g
Method Volume	1.000
Volume units	mL
Factor = (Method Wt * Sample	e Vol)/(Sample Wt * Method Vol)
Fit type	Linear
Min R <sup>2</sup>	0.95000
Concentration units	mol/L

Calibration of ODV\_OX (Form A)



## Calibration of ODV\_SUCC (Form B)



Calibration eqn	<i>Abs</i> = 1141.4 * <i>Conc</i>
Correlation Coefficient	0.99638
Calibration time	21/05/2008 10.50.36

#### 2.6. References

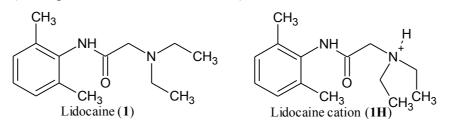
- S.R. Byrn, R.R. Pfeiffer, G. Sthephenson, *Solid State Chemistry of Drugs*, Inc. West Lafayette: SSCI; 1999.
- 2 M.L. Peterson, M.B. Hickey, M.J. Zaworotko, O. Almarsson, Expanding the scope of crystal form evaluation in pharmaceutical science; *J. Pharm. Scie.*, 2006, 9, 317-326.
- 3 C. G. Wermuth, P. H. Stahl Eds, *Handbook of Pharmaceutical Salts: Properties, Selection, and Use*; VHCA and Wiley-VCH: Weinheim, 2008, pp 1-7.
- 4 A.T.M. Serajuddin, Advances Drug Delivery Reviews, 2007, 59, 603-616.
- 5 J. Stoimenovski, D.R. MacFarlane, K. Bica, R.D. Rogers, *Pharmaceutical Research*, 2010, 27, No.4.
- 6 a)G. R. Desiraju, J. Chem. Sci., 2010, 122, 667-675; b)D. Braga, F. Grepioni, L.Maini, Chem. Commun., 2010, 46, 6232-6242; c)C. B. Aakeroy, N. R. Champness, C. Janiak, CrystEngComm, 2010, 12, 22-43.
- a)N. Venu, B. R. Sreekanth, T. Ram, S. Devaraconda, *Acta Cryst.*, 2008, C64, 290-292; b)D.C. Deecher, C. E. Beyer, G. Johnston, J. Bray, S. Shah, M. Abou-Gharbia, T.H. Andree, *J. Pharmacol. Exp. Ther.*, 2006, 318, 657-665.
- 8 a) G. M. Sheldrick, SHELX97, Program for Crystal Structure Determination; University of Göttingen: Göttingen, Germany, 1997; b) E.
  Keller, SCHAKAL99, Graphical Representation of Molecular Models, University of Freiburg, Germany, 1999; c)A. L. Speck, PLATON; ActaCrystallogr., Sect. A, 1990, 46, C34.

# **3.** CHARACTERIZATION OF NEW FOUR ORGANIC SALTS OF LIDOCAINE

#### 3.1. Introduction

Lidocaine (2-diethylamino-N-(2,6-dimethylphenyl)acetamide) is the first amidetype anesthetic synthetized by Nils Löfgren in 1943.<sup>1</sup>

Lidocaine (Scheme 1) is a typical local anesthetic, also known for its treatment in the ventricular tachycardia (a cardiac arhythmia) as an intravenous injection solution (See e.g. U.S. Patent N0. 3,968,205).



Scheme 1.

Lidocaine is also widely used as a vasoconstrictor to reduce regional blood flow in topical applications or aerosols (such as nasal aerosols to reduce nasal congestion). (See U.S. Pat. No. 5,534,242). In addition, lidocaine is known for its therapeutic effects in reducing post-herpetic neuralgia (PHN), nerve injury pain from shingles (herpes zoster and post herpetic neuralgia) and analogous neuropathies. For example, U.S. Pat. No. RE37,727 discloses methods employing lidocaine intradermal administration by transport of lidocaine from the skin surface, using patches and dressings, into the skin<sup>2</sup>.

Lidocaine is present in the European Pharmacopoeia in two forms: the free base, not very stable and characterized by a very low solubility in aqueous solution and the hydrochloride salt, characterized by a very high solubility in aqueous solution and used generally for the preparation of injection solutions.

To improve solubility, stability and therefore efficacy of the free base,

lidocaine is usually made available as salts. In the literature different examples are reported of lidocaine salts with organic acids to improve the properties of the free base and with other active ingredients to combine different therapeutic effects in the same drug.<sup>3</sup>

Lidocaine is labeled as 1 (Scheme 1) in the neutral form and 1H in the cationic form.

In this study, four salts of **1** with oxalic, fumaric, malonic and succinic acid were prepared using conventional solution chemistry and using mechanochemical methods by mechanical mixing of the reactants (grinding or kneading).<sup>4</sup>

The powders obtained were characterized by X-ray powder diffraction (XRPD), differential scanning calorimetry (DSC), FT-IR and solid-state NMR (SS NMR). The lidocaine oxalate, fumarate and malonate were also characterized by single crystal X-ray diffraction (SC-XRD). The structure of lidocaine succinate was determined by X-ray powder diffraction. In addition to the synthesis and solid-state characterization, the salts were also subjected to dissolution tests in aqueous solution and the results obtained were compared with thermodynamic and kinetic solubility of lidocaine freebase and lidocaine hydrochloride salt.

#### **3.2.** Experimental section

All reactants were purchased from Aldrich and used without further purification. Reagent grade solvents and doubly distilled water were used. For dissolution tests a 0.9%. sodium chloride solution was used.

#### 3.2.1. Solution synthesis

All salts were crystallized by room temperature evaporation of a solution obtained dissolving a stoichiometric mixture (1-1) of lidocaine and organic acid in hot methanol 99.8%. The amount of reagent was chosen so that 200-300 mg of solid product could be obtained (see table 1).

#### 3.2.2. Mechanochemistry

All salts were quantitatively obtained as polycrystalline materials by grinding or kneading of lidocaine and organic acid in a 1:1 ratio. The experiments were performed with a Retsch MM 200 grinder for 15 minutes at a frequency of 30Hz.

Salts	Lidocaine/mg	Acid/mg	
1H oxalate	200	76.8	
1H fumarate	200	99.6	
1H succinate	200	100.7	
1H malonate	200	88.8	

Table1.

#### 3.2.3. Thermal measurements

Thermogravimetric analyses were performed using a simultaneus STA 409 PC Luxx® Netzsch equipped with a thermocouple for the direct measurament of DSC signal, and a thermobalance for the measurement of the TGA signal. The samples (5-15 mg) were placed in aluminium pierced pans, and the heating was carried out at  $10^{\circ}$ C min<sup>-1</sup> in N<sub>2</sub> atmosphere.

Calorimetric measurements were performed using a DSC 200 F3 Maia® differential scanning calorimeter equipped with an intra-cooler. The samples (2–4

mg) were placed in aluminium pierced pans, and the heating was carried out at  $10^{\circ}$ C min<sup>-1</sup> in N<sub>2</sub> atmosphere.(See supplementary material)

#### 3.2.4. Single crystal X-Ray diffraction

Single-crystal data were collected on an Oxford X'Calibur S CCD diffractometer equipped with a graphite monochromator (MoK $\alpha$  radiation,  $\lambda = 0.71073$ ) and operated at room temperature. The structure was solved by direct methods and refined by full-matrix least-squares on  $F^2$  with SHELX97<sup>5a</sup> program package.

A calculated XRPD pattern was generated for Cu radiation using Mercury v 1.4 and the atomic coordinates, space group, and unit cell parameters from the single crystal data.

MERCURY and SCHAKAL99<sup>5b</sup> were used for the graphical representation of the results and PLATON<sup>5c</sup> was used for hydrogen bonding analysis.

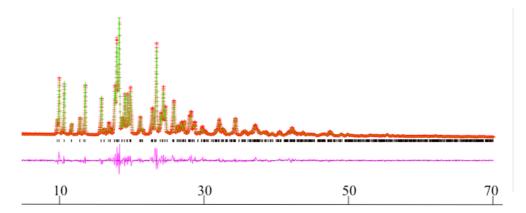
#### 3.2.5. Powder X-Ray diffraction

Diffraction patterns from 3° to 40° in 20 were collected on a PANalytical diffractometer with Bragg–Brentano geometry (Cu K $\alpha$  radiation, detector: X'celerator, step size  $\Delta 2\theta = 0.0167^{\circ}$ , counting time per step = 20 s), using a glass sample holder. The tube voltage and amperage were set to 40 kV and 40 mA, respectively.

For the structure solution from X-ray powder diffraction of **1H** succinate crystal phase, diffraction pattern was collected from 5 to 70° in 20 with a step size of  $0.00835^{\circ}$  and counting time per step = 50 s. The structure was solved by analogy with the structure of **1H** fumarate phase, and refined by Rietveld analysis. Rietveld refinement was performed with software GSAS.<sup>6</sup>

A Cosine Fourier series with 10 coefficients and a Pseudo-Voigt function (type 3) were used to fit respectively background and peak shape. A spherical harmonics model was used to describe preferred orientation. Soft constraints were applied on bond distances and angles of both molecules. An overall thermal parameter for each atom species was adopted. Refinement converged with  $\chi^2 = 11.04$ ,  $R_{wp} =$ 

10.84  $R_{F2}$  = 8.96. Figure 1 shows experimental, calculated and difference curves.



**Figure 1.** Experimental (red crosses), calculated (green) and difference (purple) curves of **1H** succinate phase.

#### 3.2.6. FT-IR

All FT-IR measurements were performed using Nicolet FT-IR 6700 Thermo Fischer equipped with ATR device. The analyses were performed on all samples and for each measurement 32 scans were recorded (See supplemetary material).

#### 3.2.7. Solid-state Nuclear Magnetic Resonance (SS NMR) spectroscopy

SS NMR experiments were recorded on a Bruker Avance II 400 instrument. The spactra were collected and interpreted by the Research Group of Prof. Roberto Gobetto at the University of Torino.

#### 3.2.8. Dissolution tests

The calibration curve was built by plotting the absorbance against concentrations for four standard solutions of the sample in a sodium chloride solution 0.9% in standard concentrations. The analyses were performed using a dissolution tester and methods as described in the "European Pharmacopeia section 2.9.3 pg. 267". The detector used was a UV-Vis Cary 50 Varian, equipped with an optic fiber. The program used was "Concentration" (Cary 50 WinUV Software V.3) and the measurement for each standard was recorded at a fixed  $\lambda$ . The Kinetic solubilities were performed on a Hanson's Vision Classic 6 dissolution tester coupled with a Varian Cary 50 UV-Vis Spectrophotometer. The program used was "Kinetic" (Cary 50 WinUV Software V.3), which recorded continuously the absorbance at fixed  $\lambda$  of a sodium chloride solution 0.9% (80mL) under stirring (250 rpm) at 37°C in which were added approx. 10mg of sample. The values obtained were converted from Abs/min into mol/min and for each sample two measurements were recorded. The thermodynamic solubilities were measured by leaving a sodium chloride solution 0.9% of the sample under stirring at 500 rpm for 24 h at 37°C. Then the suspensions were filtered, opportunly diluited and analyzed by UV-Vis Cary 50 Varian equipped with an optic fiber. The results were obtained using the calibration curves built in the first part of the experiment. For each sample two measurements were taken. Dissolution tests details are reported in the supplementary material.

#### 3.3. Results and discussion

In this work four salts of lidocaine were synthesized with carboxylic acids: lidocaine oxalate (1H·oxalate), lidocaine fumarate (1H·fumarate), lidocaine malonate (1H·malonate) and lidocaine succinate (1H·succinate). All salts, crystallized by evaporation at room temperature from methanol solution and by grinding or kneading, were investigated with solid state techniques such as X-ray powder diffraction - to determine the diffraction pattern, differential scanning calorimetry - to determine the melting point, FT-IR and SS NMR for a characterization of molecular adducts.

The **1H** oxalate, fumarate and malonate were also characterized by SC-XRD. In these three cases the correspondences, between the structures determined by SC-XRD and those of bulk materials, were checked by comparing calculated and measured patterns (See supplementary material).

In the structure of **1H** fumarate (Figure 2) intermolecular hydrogen bonds are observed. The carboxylate group of the hydrogen fumarate anion forms hydrogen bonds with two molecules of **1H**, one of which with the amide group (N1-H1) and the other with the amino group (N2). The carboxylic group (O100) of the hydrogen fumarate anion forms an hydrogen bond with the carboxylate group (O300) of another anion molecule resulting in chains (Figure 3).

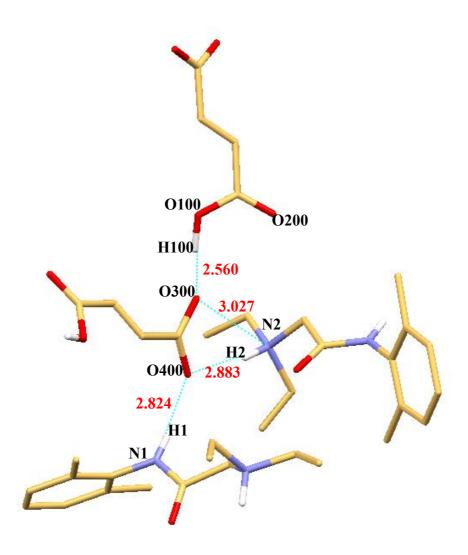


Figure 2. The hydrogen bonds of 1H fumarate salt.

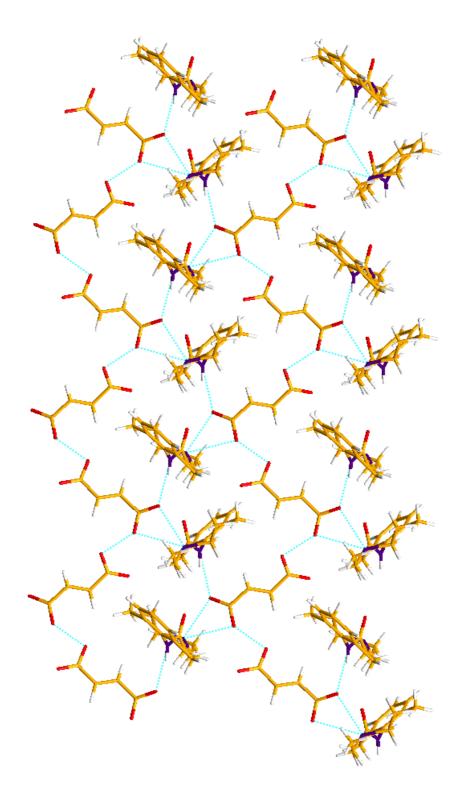


Figure 3. The packing of 1H fumarate salt.

In the structure of **1H** malonate (Figure 4), the malonate forms one intramolecular  $(O2\cdots O3)$  and two intermolecular hydrogen bonds with two molecules of **1H**, one of which with the amide group (N1-H100) and the other with the amino group (N2) (Figure 5).

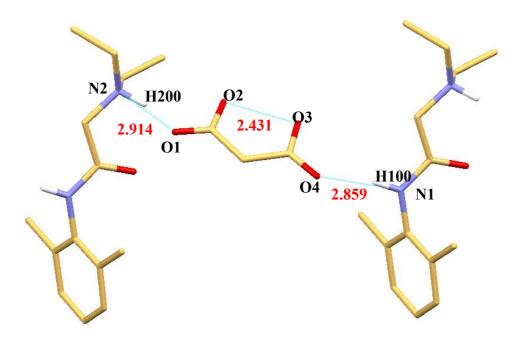


Figure 4. The hydrogen bonds of 1H malonate salt.

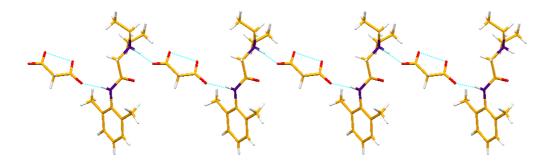


Figure 5. The packing of 1H malonate salt.

The structure of **1H** oxalate (Figure 6) evidences also the presence of intermolecular hydrogen bonds. There are two hydrogen bonds between the oxalate molecules, which form dimers, and two hydrogen bonds of carboxylate group of hydrogen oxalate anion with two molecules of **1H** one of which with the amide group (N1-H) and the other with the amino group (N2). The dimer, formed by two oxalte molecules and involved between molecules of **1H**, is the motif repeated into the chains which characterizes the structure of the crystal (Figure 7).

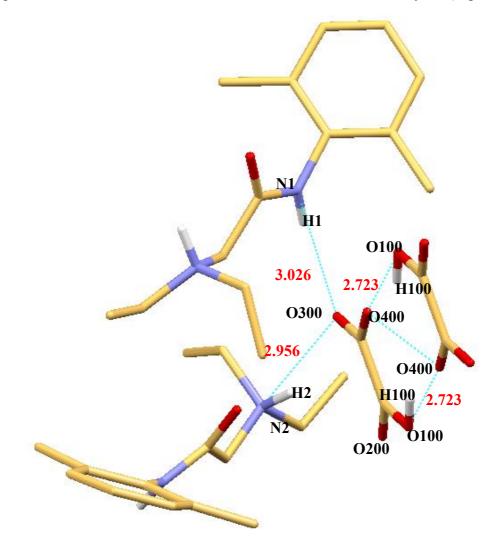


Figure 6. The hydrogen bonds of 1H oxalate salt.

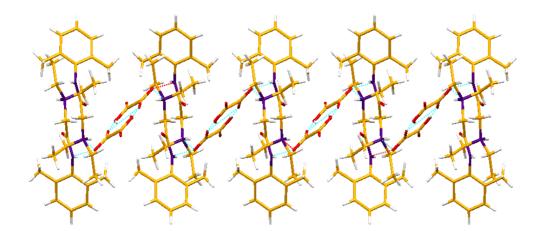


Figure 7. The packing of 1H oxalate salt.

The structure of **1H** succinate was determined by X-ray powder diffraction, using also the analogy with the structure of **1H** fumarate phase, and was refined by Rietveld analysis.

In the structure of **1H** succinate (Figure 8) the carboxylate group forms hydrogen bonds with two molecules of **1H**, one of which with the amide group (N10) of one molecule of **1H** and the other with the amino group (N5). Furthermore, the carboxylic group (O20) of the succinate anion forms an hydrogen bond with the carboxylate group (O2) of another anion molecule (Figure 9).

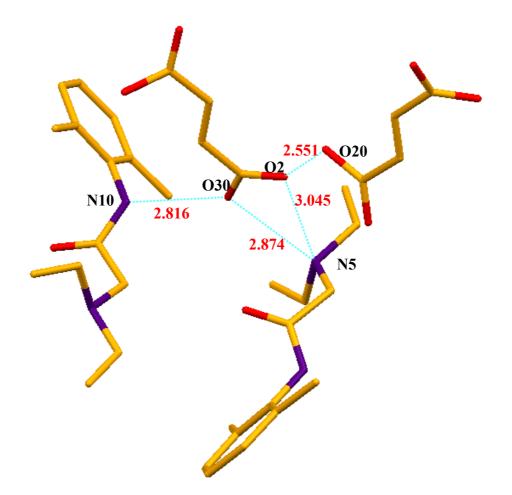
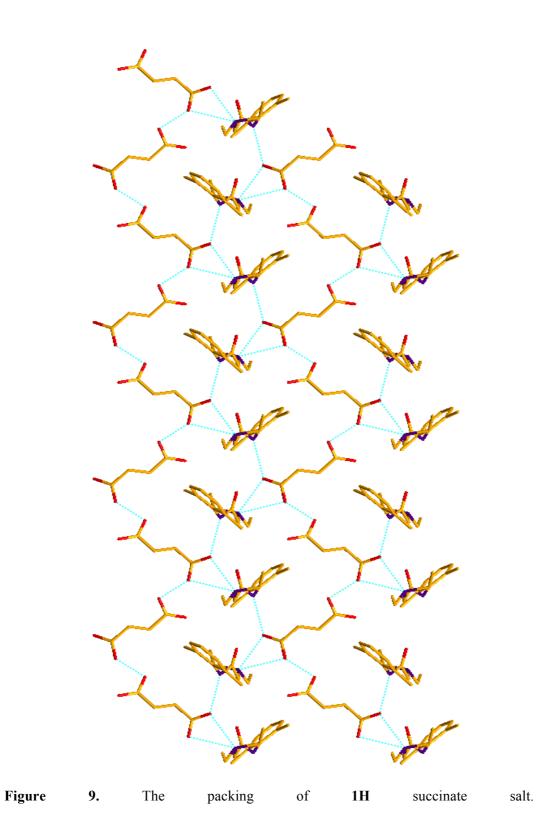


Figure 8. The hydrogen bonds of 1H succinate salt.



compound	1H oxalate	1H fumarate	1H malonate	1H succinate
Formula	$C_{16}H_{24}N_2O_5$	$C_{18}H_{26}N_2O_5$	$C_{17}H_{23}N_2O_5$	$C_{18}N_2O_5$
Mr	324.37	350.41	336.38	324.21
system	Monoclinic	Orthorombic	Monoclinic	Orthorombic
space group	P2 <sub>1</sub> /c	P 2 <sub>1</sub> 2 <sub>1</sub> 2 <sub>1</sub>	P2 <sub>1</sub> /c	P 2 <sub>1</sub> 2 <sub>1</sub> 2 <sub>1</sub>
a [Å]	10.5817(8)	10.442(5)	12.317(4)	10.4949(3)
b [Å]	10.3447(5)	16.273(5)	10.503(3)	10.8924(3)
c [Å]	15.3496(1)	24.3454(1)	15.283(4)	16.581(4)
α [°]	90.00	90.00	90.00	90.00
β [°]	102.719(7)	90.00	109.67	90.00
γ[°]	90.00	90.00	90.00	90.00
V [ų]	1639.01(18)	1862.7(14)	1861.6(9)	1895.4(6)
Z	4	4	4	4
d <sub>calc</sub>	1.315	1.250	1.200	-
F(000)	696	752	720	-
measured refins	7219	5838	8906	-
unique reflns	3744	3974	4228	-
refined parameters	226	234	226	-
GOF on F <sup>2</sup>	1.032	1.029	1.013	-
R1 [on F, I>2σ(I)]	0.0784	0.0899	0.0766	-
wR2(onF <sup>2</sup> ,all data)	0.2002	0.2174	0.1992	-

Crystal data and details of measurements for compounds 1H oxalate, 1H fumarate, 1H succinate and 1H malonate are summarized in table 2.

 Table 2. Crystal data of 1H salts.

The SS NMR technique has been used for the definition of the crystal nature, whether molecular or salts, resulting from the positioning of the acid protons along the O···H···N interactions. Indeed, it is well-known that the <sup>13</sup>C chemical shift of the carboxylic carbon atom represents a good indicator of the protonation state of the COOH group<sup>7a-c</sup>. Furthermore, <sup>15</sup>N NMR studies on hydrogen-bonded systems have shown that nitrogen protonation or formation of hydrogen bonds results in high- or low-frequency shifts of the nitrogen signal, according to the type of nitrogen atom and to the nature of the interaction.<sup>7d-f, 8</sup>

The analysis of the <sup>13</sup>C resonances around 160-179 ppm and of the <sup>15</sup>N signal around 14-29 ppm allowed to ascertain the transfer of a single proton from the acid to the lidocaine with formation of one carboxylate and one ammonium group. Thus all adducts can be described as salts (See supplementary material).

Differential scanning calorimetry was used to measure the melting point of all compounds and to detect the presence of additional crystalline phases.

To improve their characterization, the salts were also subjected to dissolution tests in aqueous solution and the results obtained are compared with thermodynamic and kinetic solubility of lidocaine free base and lidocaine hydrochloride salt.

In Table 3 the melting points and dissolution results of four carboxylic salts, hydrochloride salt and lidocaine free base are reported.

The results show an increasing of the melting point from free base to lidocaine carboxylic salts, in fact, lidocaine free base and hydrochloride salt show a melting point between 60°C and 70°C, while the salts synthesized show a melting point higher than 100°C.

The malonate salt was also reported in a work of 1994 with other salts of lidocaine (maleate, adipate and tosylate). These salts were characterized using <sup>1</sup>HNMR, IR, MS, UV-Vis. Melting point, tests of skin permeability and apparent partition coefficients were also carried out. The melting points of these salts, as those of samples characterized in this work, have higher melting point than lidocaine and lidocaine hydrochloride.<sup>3e</sup>

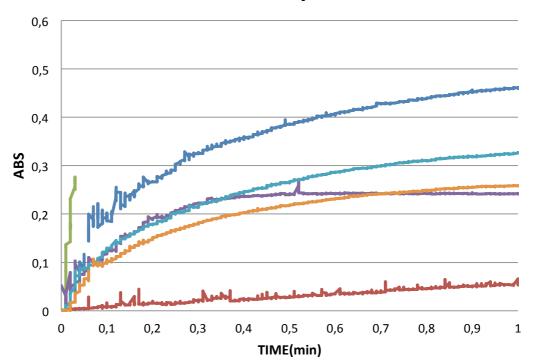
The dissolution rates of lidocaine free base and fumarate salt are lower than succinate, oxalate and malonate salts, on the other hand these last three salts show a good thermodynamic solubility more than 120 g/L.

The best value of dissolution rate is showed by the hydrochloride salt, it also has the higher thermodynamic solubility.

The results of kinetic solubilities are reported in Figure 10, this graphic shows a comparison between the dissolution curves (ABS/min) obtained.

Sample	MP(°C)	Thermodynamic Solubility(g\L)	Kinetic Solubility(mol\min)
1H	67.5	3.13	2.13*10 <sup>-5</sup>
1H chlorhydrate	75.8	>633	<b>1.85*10</b> <sup>-3</sup>
1H succinate	113.5	>321	1.5*10 <sup>-4</sup>
1H malonate	140.2	>279	1.5*10 <sup>-4</sup>
1H oxalate	159.2	128.5	1.05*10 <sup>-4</sup>
1H fumarate	186.9	71	7.31*10 <sup>-5</sup>





# **Kinetic Solubility Curves**

Figure 10: Kinetic profile.

#### **3.4.** Conclusion

In this study four lidocaine salts of dicarboxylic acids (oxalate, fumarate, malonate and succinate) were synthesized and characterized by different solid state techniques. SS NMR characterization allowed the distinction between salts or co-crystals obtained: in all compounds a proton-transfer was identified both in solid-state reaction and syntheses solvent assisted. The oxalate, fumarate and malonate salts were also characterized by single crystal XRD while the structure of succinate salt was obtained by powder resolution. All salts show an improvement of chemical-physic stability, in fact, there is an important increasing of the melting points respect to free base and hydrochloride salt. Furthermore, the dissolution tests of the salts obtained show a higher solubility in water in comparison with free base, while, these are lower than hydrochloride salt solubility. The solubility of an API, in fact, is a very important step for its development because before undergoing pharmacological evaluation and other preclinical studies, synthetic or natural active principles must usually be dissolved. However, one must keep in mind that making a drug molecule more water-soluble can also be a drawback. There is a general tendency that the more water-soluble a compound is, the more diffusible it is. This causes it to be less specific in its activity, and more liable to rapid elimination and, therefore, shorter acting.9

## **3.5. Supplementary Material**

## Legenda

1 = Lidocaine

**1H** oxalate= Lidocaine oxalate

**1H** fumarate= Lidocaine fumarate

**1H** malonate= Lidocaine malonate

**1H** succinate = Lidocaine succinate

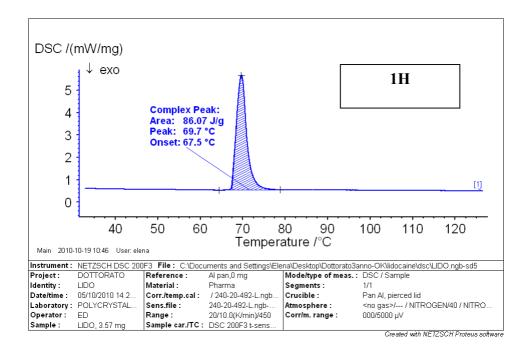
**1H** chlorhydrate = Lidocaine hydrochloride

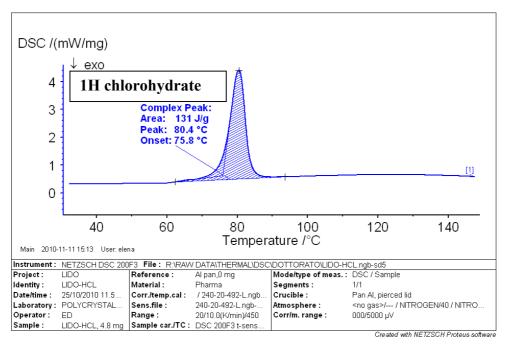
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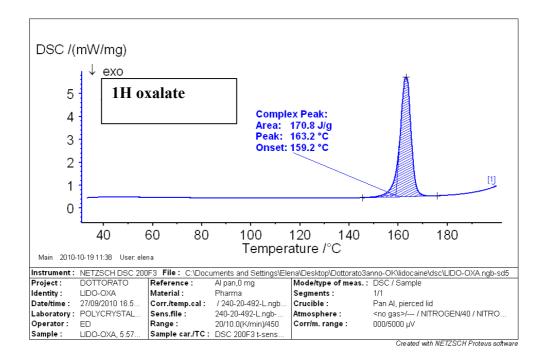
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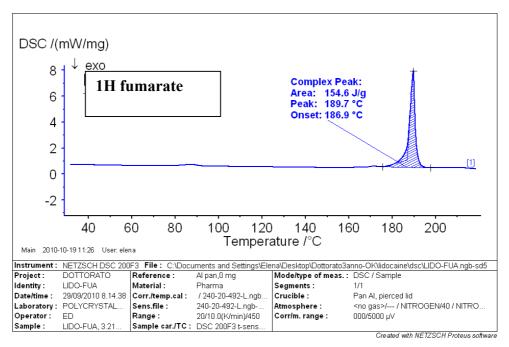
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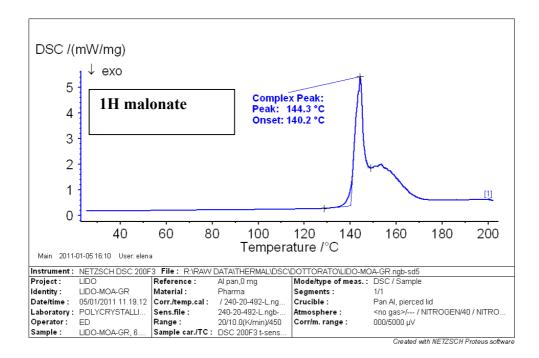
#### 3.5.1. Thermal measuraments

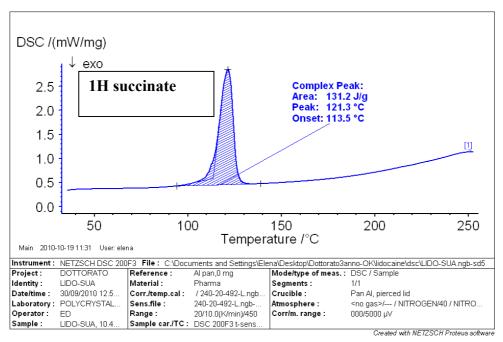






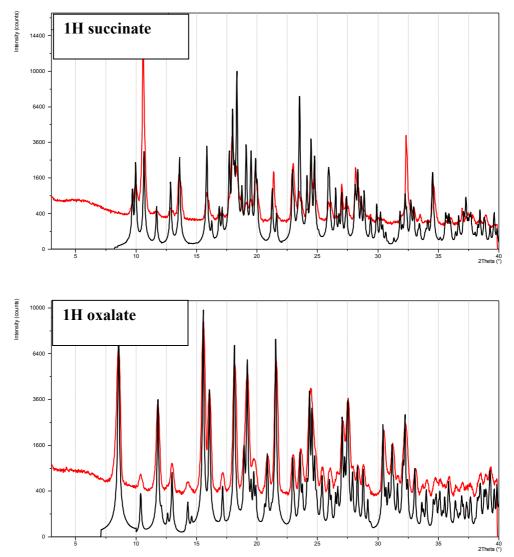


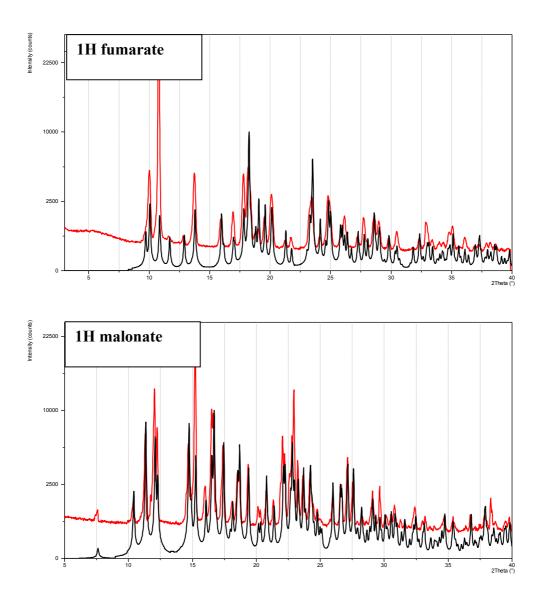




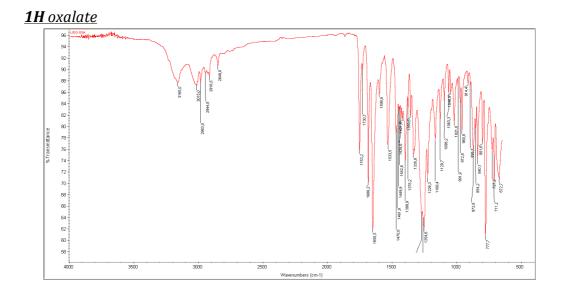
#### 3.5.2. XRPD Patterns

Comparison between diffraction patterns recorded on products obtained crystallization (red line) and diffraction patterns computed on the basis of the structures resolution (black line).

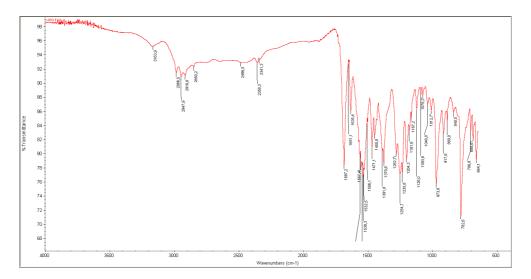




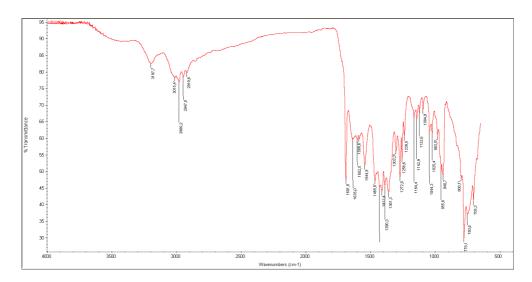
## 3.5.3. **FT-IR**



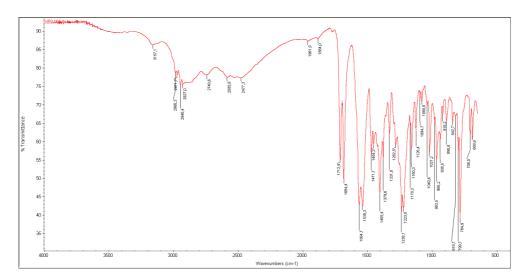
# <u>1H fumarate</u>



## <u>1H malonate</u>



## 1H succinate



## 3.5.4. **Dissolution tests**

## Calibration curve 1 Instrument Settings

Instrument	Cary 50		
Instrument version	on no.	3.00	
Wavelength (nm)	)	265.0	
Ordinate Mode		Abs	
Ave Time (sec)		0.1000	
Replicates		1	
Standard/Sample	Standard/Sample averaging		
Fit type	Linear		
Min R <sup>2</sup>	0.95000		
Concentration units		mol/L	
Calibration			
Standard	Standard Concentration		
	(mol/L)		
Std 1	0.000643	0.2362	

	0,0000	0,0005	0,0010 Concentra	0,0015 ation (mol/L)	0,0020	0,0025
	1,0- 80 0,5- 0,0-					
Std 4		0.002570	1.0789			
Std 3		0.001928	0.8004			
Std 2		0.001285	0.5010			

. . . . . . . . .

Calibration eqn	Abs = 440.15668*Conc -0.05299
Correlation Coefficient	0.99951
Calibration time	27/10/2010 11.49.16

## Calibration curve 1H hydrochloride

Instrument Settings

Instrument		Cary 50			
Instrument version no.		3.00			
Wavelength (nm)		265.0			
Ordinate Mode		Abs			
Ave Time (sec)		0.1000			
Replicates		1			
Standard/Sample	averaging	OFF			
Fit type		Linear			
Min R <sup>2</sup>		0.95000			
Concentration uni	its	mol/L			
Calibration					
Standard (	Concentrati	on F Readings			
	(mol/L)	e			
	(IIIOI/L)				
Std 1	0.000446	0.2132			
Std 1 Std 2	0.000892	0.4362			
Std 3	0.001339	0.6217			
Std 4	0.001785	0.8118			
ଟୁ 0,5− ସ					
0,0					
0,0000	0,000	05 0,0010 0,0015 Concentration (mol/L)			
Calibration eqn		Abs = 443.85272*Conc +0.02560			
Correlation Coefficient		0.99816			
Calibration time		27/10/2010 12.09.08			

## Calibration curve 1H oxalate

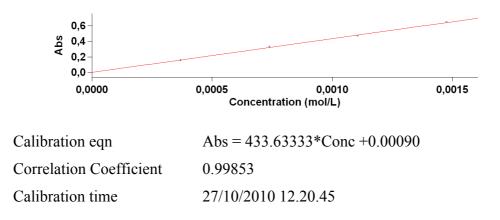
Instrument		Cary 50			
Instrument version no.		3.00			
Wavelength (nm)		265.0			
Ordinate Mode		Abs			
Ave Time (sec)		0.1000			
Replicates		1			
Standard/Sample av	veraging	OFF			
Fit type		Linear			
Min R <sup>2</sup>		0.95000			
Concentration units	5	mol/L			
Calibration					
Standard Con-	centration	n F Readings			
(mol/L)					
Std 1 0	0.000327	0.1187			
Std 2 0	0.000655	0.2830			
Std 3 0	0.000983	0.4609			
Std 4 0	0.001310	0.6054			
0,6 - <u>s</u> 0,4 - <del>v</del> 0,2 - 0,0 -					
0,0000		0,0005 0,0010 Concentration (mol/L)			
Calibration eqn		Abs = 499.89432*Conc -0.04229			
Correlation Coefficient		0.99851			
Calibration time		27/10/2010 11.55.37			

# Calibration curve 1H fumarate

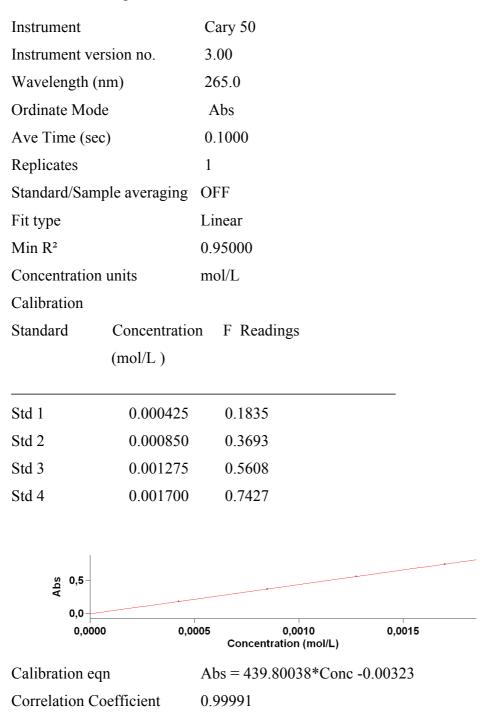
Instrument		Cary 50		
Instrument version no.		3.00		
Wavelength (nm)		265.0		
Ordinate Mod	e	Abs		
Ave Time (see	2)	0.1000		
Replicates		1		
Standard/Sample averaging		OFF		
Fit type		Linear		
Min R <sup>2</sup>		0.95000		
Concentration	units	mol/L		
Calibration				
Standard Concentration		n F Readings		
	(mol/L)			
Std 1	0.000176	0.2246		
Std 2	0.000351	0.4372		
Std 3	0.000527	0.6428		
Std 4	0.000703	0.8829		
<b>1,0</b> ⊣				
Sq 0,5−				
⊲				
0,000	0 0,00	02 0,0004 0,0006 Concentration (mol/L)		
Calibration eqn		Abs = 1241*Conc +0.00174		
Correlation Coefficient		0.99889		
Calibration time		27/10/2010 12.03.12		

### Calibration curve 1H malonate

Instrument		Cary 50		
Instrument version no.		3.00		
Wavelength (nm)		265.0		
Ordinate Mode		Abs		
Ave Time (sec)		0.1000		
Replicates		1		
Standard/Sample averaging		OFF		
Fit type		Linear		
Min R <sup>2</sup>		0.95000		
Concentration units		mol/L		
Calibration				
Standard	Concentration	n F Readings		
	(mol/L)			
Std 1	0.000369	0.1582		
Std 1 Std 2	0.000738	0.3298		
Std 3	0.001106	0.4710		
Std 4	0.001475	0.6439		



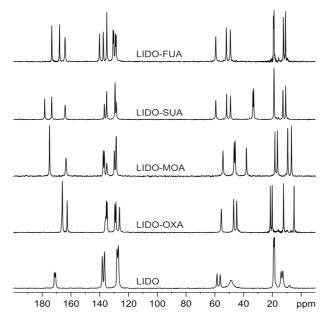
### Calibration curve 1H succinate



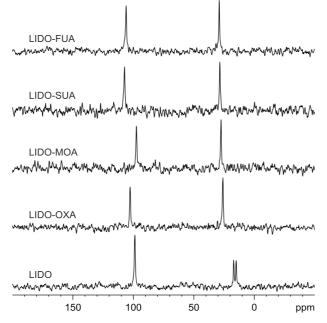
#### 3.5.5. Solid state NMR

The spectra were collected and interpretated by the Research Group of Prof. Roberto Gobetto at the University of Torino.

All <sup>13</sup>C spectra (Figure 1) are characterized by a signal at high frequencies (166-169 ppm) typical of carboxylate carbon atom together with a peak around 162-166 ppm attributed to the COOH group. In the <sup>15</sup>N CPMAS spectra (Figure 2) the amplitude of the N12 signal shift (from 15-17 ppm to about 25-29 ppm) indicates the complete protonation of the nitrogen atom in agreement with salt nature of the adducts observed by single crystal X-ray diffraction and confirmed by <sup>13</sup>C CPMAS spectra.



**Figure 1.** <sup>13</sup>C CPMAS spectra of LIDO, LIDO-OXA, LIDO-MOA, LIDO-SUA and LIDO-FUA co-crystals recorded at 100.64 MHz with a spinning speed of 12 kHz.



**Figure 2.** <sup>15</sup>N CPMAS spectra of LIDO, LIDO-OXA, LIDO-MOA, LIDO-SUA and LIDO-FUA co-crystals recorded at 40.56 MHz with a spinning speed of 12 kHz.

#### 3.6. References

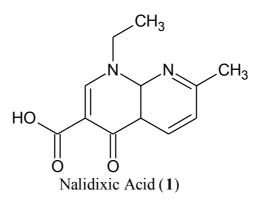
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# 4. POLYMORPHS AND SALTS/CO-CRYSTALS SCREENING OF NALIDIXIC ACID

#### 4.1. Introduction

Nalidixic acid (1) (1-ethyl-1,4-dihydro-7-methyl-4-oxo-1,8-naphthyridine-3carboxylic acid) is the first of the synthetic quinolone antibiotics. 1 is an antibacterial agent effective against both gram-positive and gram-negative bacteria. It is especially used in treating urinary tract infections, caused, for example, by *Escherichia coli*, *Proteus*, *Shigella*, *Enterobacter*, and *Klebsiella*.<sup>1</sup>



#### Scheme 1.

1, present in one crystal form (Form I) in CSD, was studied by polymorph and salt/co-crystal screening to identify new crystal forms. Experiments of evaporation and slurry at room temperature were performed in different solvents, and allowed the discovery of a new crystal form, named Form II, obtained by evaporation experiments at room temperature of a dichloromethane solution. The salt/co-crystal screening, performed using as coformer the bases listed in Table 2 in *Preface*, allowed the discovery of two new salts/co-crystals of 1 with diethanolamine (DIETHAN) and pyrrolidine (PYR). The solid products obtained were characterized by X-ray powder diffraction (XRPD), differential scanning calorimetry (DSC), thermogravimetric analysis (TGA), FT-IR, Solid-State NMR (SS NMR) and single crystal X-ray diffraction (SC-XRD). The salt/co-crystal of 1

with pyrrolidine was also analyzed by evolved gas analysis (EGA) and variable temperature XRPD (VT-XRPD) that led to a new crystal form of 1 named Form III (same form delivered by Sigma Aldrich) characterized by different solid state techniques. The three crystal forms of 1 were also studied by interconversion experiments that allowed identify the only stable crystal form of 1: 1 Form I.

#### 4.2. Experimental section

#### 4.2.1. Synthesis of 1 Form II

1 Form II was obtained by evaporation of a dichloromethane solution of 1 bought from Sigma Aldrich (named 1 Form III). The solution was prepared dissolving 50 mg of 1 as delivered in 4 mL of dichloromethane. The suspension was stirred for 30 min until complete dissolution and filtered with Wathman filter  $0.45\mu$ m. The solution was left to evaporate at room temperature.

#### 4.2.2. Synthesis of 1 – PYR

**1**-PYR was crystallized by room temperature evaporation of a solution obtained dissolving stoichiometric amounts (1-1) of **1** and PYR in hot methanol 99.8%.

#### 4.2.3. Synthesis of 1 – DIETHAN

**1**-DIETHAN was crystallized by room temperature evaporation of a solution obtained dissolving stoichiometric amounts (1-1) of **1** and DIETHAN in hot acetone.

#### 4.2.4. Synthesis of 1 Form III

**1** Form III (purchased by Sigma Aldrich) was obtained by VT-XRPD experiment performed on **1**-PYR; Form III was obtained following loss of water and PYR by the salt/co-crystal **1**-PYR, heated to approx. 120°C.

#### 4.2.5. Thermal measuraments

Thermogravimetric analyses were performed using a simultaneus STA 409 PC Luxx® Netzsch equipped with a thermocouple for the direct measurament of DSC signal, and a thermobalance for the measurament of TGA signal. The samples (5-15 mg) were placed in aluminium pierced pans, and the heating was carried out at  $10^{\circ}$ C min<sup>-1</sup> in N<sub>2</sub> atmosphere.

Calorimetric measurements were performed using a DSC 200 *F3 Maia*® differential scanning calorimeter equipped with an intra-cooler. The samples (2–4 mg) were placed in aluminium pierced pans, and the heating was carried out at  $10^{\circ}$ C min<sup>-1</sup> in N<sub>2</sub> atmosphere.(See Supplementary material)

#### 4.2.6. X-Ray diffraction

Single-crystal data were collected on an Oxford X'Calibur S CCD diffractometer equipped with a graphite monochromator (MoK $\alpha$  radiation,  $\lambda = 0.71073$ ) and operated at room temperature. Data collection and refinement details are reported in ESI. The structure was solved by direct methods and refined by full-matrix least-squares on  $F^2$  with SHELX97<sup>2a</sup> program package.

A calculated XRPD pattern was generated for Cu radiation using Mercury v 1.4 and the atomic coordinates, space group and unit cell parameter from the single crystal data.

MERCURY and SCHAKAL99<sup>2b</sup> were used for the graphical representation of the results and PLATON<sup>2c</sup> was used for hydrogen bonding analysis.

X-ray powder diffractograms were collected on a Panalytical X'Pert Pro automated Diffractometer equipped with X'Celerator, CuK $\alpha$ , using glass sample holder. The tube voltage and amperage were set to 40 kV and 40 mA, respectively. The program used for data collection was set to record only the data points within the range 20= 3° - 40°. VT-XRPD analyses were performed equipping the diffractometer with an Anton Paar TTK 450 low-temperature camera that is a non-ambient attachment for powder X-ray diffraction studies in reflection geometry from -193 °C to +450 °C.

#### 4.2.7. **FT-IR**

All FT-IR measurements were performed using Nicolet FT-IR 6700 Thermo Fischer equipped with ATR device. The analyses were performed on all samples and for each measurament 32 scans were performed (See Supplementary material).

### 4.2.8. Solid-state Nuclear Magnetic Resonance (SS NMR) spectroscopy

SS NMR experiments were recorded on a Bruker Avance II 400 instrument. The spactra were collected and interpreted by the Research Group of Prof. Roberto Gobetto at the University of Torino.

#### 4.2.9. Grinding experiments

Grinding experiments were performed with a Retsch MM 200 grinder for 10 minutes at a frequency of 30Hz, to verify the stability of crystal forms of **1**.

#### 4.3. Results and discussion

In this work nalidixic acid was studied by polymorph and salt/co-crystal screening to identify new crystal forms. Experiments of evaporation and slurry at room temperature, performed in different solvents, led to the discovery of a new crystal form, named Form II, obtained by evaporation at room temperature from dichloromethane.

The SC-XRD analysis of **1** Form II evidences the presence of four molecules of 1 in the  $P2_1/n$  unit cell. As evidenced in the packing - Figure 1 – the structure is characterized by parallel planes while in Form I<sup>3</sup> – Figure 2- the molecules form zig-zag motifs.

The correspondence of the structure determined by SC-XRD and that of bulk material, was checked by comparing the calculated pattern with the experimental one (Figure 3).

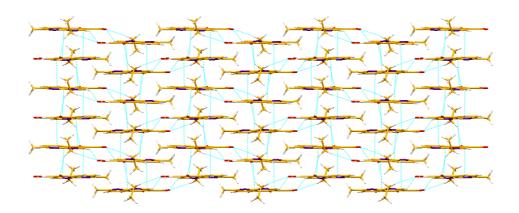


Figure1. Packing of 1 Form II.

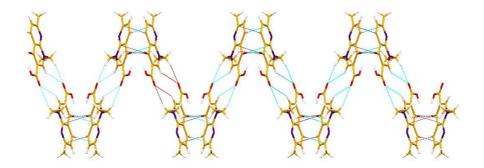


Figure2. Packing of 1 Form I.

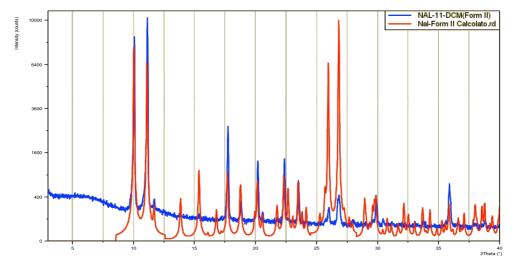


Figure3. Comparison between XRPD patterns of 1 Form II calculated (red line) and measured (blue line)

**1** Form II was also characterized by TGA, DSC, FT-IR and SS NMR. The DSC and SS NMR analyses led to the same results of Form I: the two crystal forms show the same SS NMR spectrum – Figure 4 - and the same DSC profile – Figure 5 - with melting point at approx. 228.5°C

**1** Form II probably is an unstable crystalline form, which converts into Form I during the analyses.

In fact, Form II heated to  $150^{\circ}$ C and measured by XRPD showed the XRPD pattern of Form I – Figure 6 - that at high temperature melt; this conversion is also observed by grinding experiment.

This phenomenon is not observed during the DSC analysis, which shows only the endothermic peak associated to the melting of the compound (Form I).

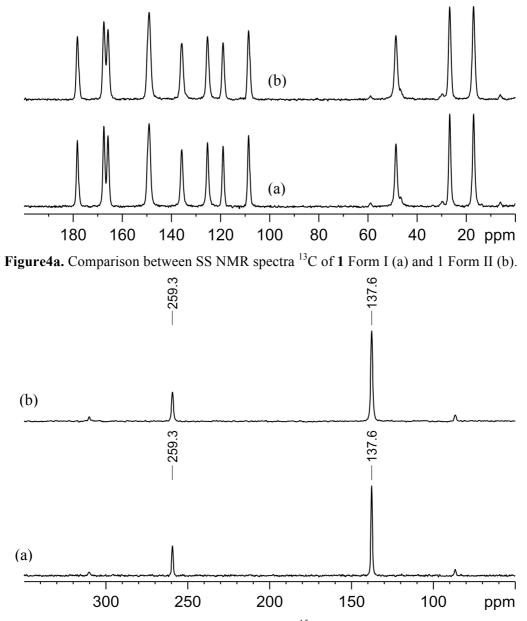


Figure4b. Comparison between SS NMR spectra <sup>15</sup>N of **1** Form I (a) and 1 Form II (b).

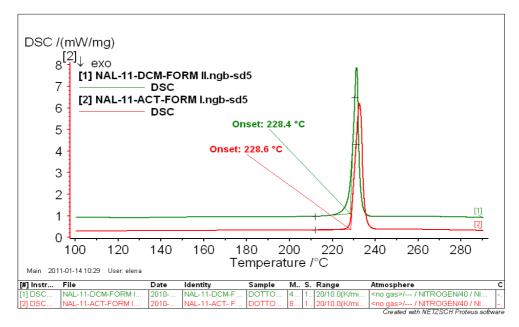
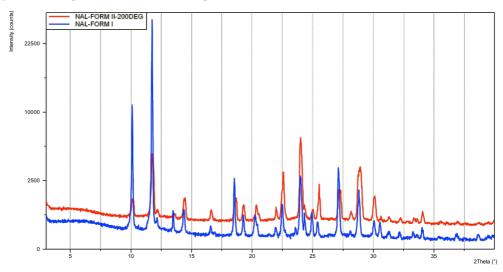
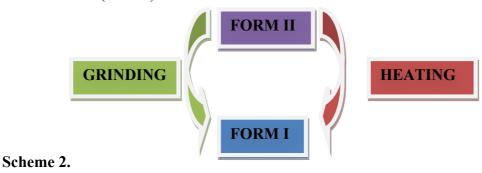


Figure5. Comparison between DSC profile of 1 Form I and 1 Form II.

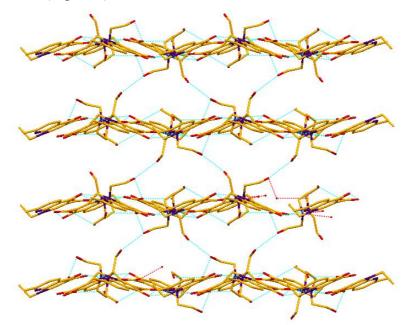


**Figure6.** Comparison between XRPD patterns of **1** Form I(blue line) and 1 Form II heated to 200°C (red line)

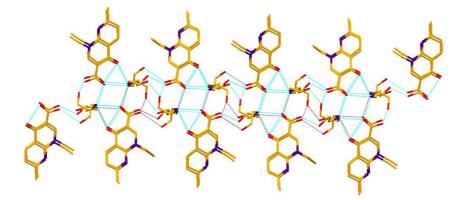


The salt/co-crystal screening, performed using as coformer the bases LISTED IN THE Table 2 in the *Preface*, allowed the discovery two new salts/co-crystals of 1: with diethanolamine (DIETHAN) and pyrrolidine (PYR). The powder obtained were characterized by XRPD and SC-XRD.

The SC-XRD analysis of 1-DIETHAN evidences the presence of eight molecules of 1 and eight molecules of diethanolamine in the P-1 unit cell. As evidenced in the packing - Figure 7 – the structure is characterized by parallel planes held together by hydrogen bonds between diethanolamine molecules. Each plane is characterized by two chains of 1 and DIETAN alternatively held together through hydrogen bonds (Figure 8).

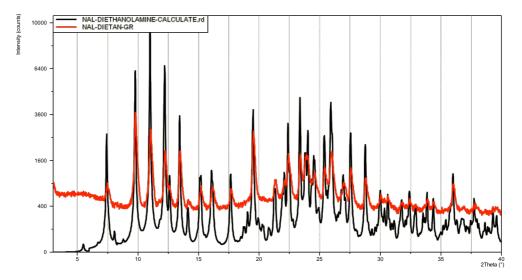


**Figure7.** Packing of **1**-DIETHAN: the structure is characterized by parallel planes held together by hydrogen bonds between diethanolamine molecules



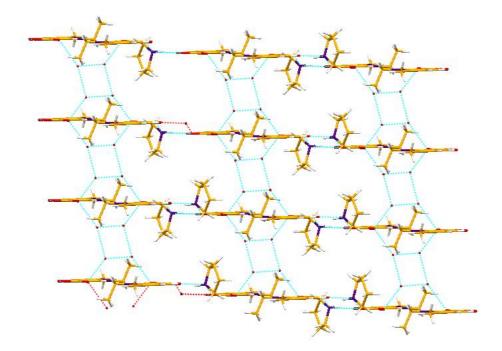
**Figure8.** Packing of 1-DIETHAN: each plane are characterized by two chains of 1 and DIETAN alternatively held together through hydrogen bonds

The correspondence of the structure determinate by SC-XRD and that of bulk material, was checked by comparing the calculated pattern with the experimental one (Figure 9).

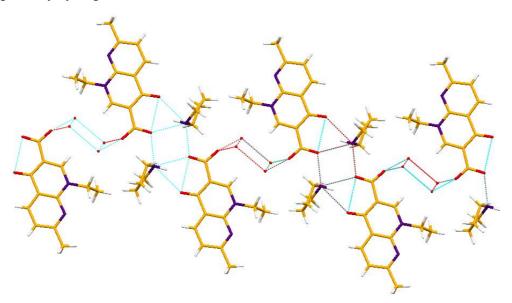


**Figure9.** Comparison between XRPD patterns of **1** DIETHAN calculated (black line) and measured (red line)

The SC-XRD analysis of 1-PYR evidences the presence of two molecules of 1, two molecules of pyrrolidine and four molecules of water in the P-1 unit cell. As evidenced in the packing – Figure 10 - the structure is characterized by parallel planes held together by hydrogen bonds between chains and water molecules. Each plane is characterized by two chains of 1 and PYR alternatively held together through hydrogen bonds (Figure 11).



**Figure10.** Packing of **1**-PYR: the structure is characterized by parallel planes held together by hydrogen bonds between chains and water malecules.



**Figure11.** Packing of **1**-PYR: each plane are characterized by two chains of **1** and PYR alternatively held together through hydrogen bonds.

The correspondence of the structure determinate by SC-XRD and that of bulk material, was checked by comparing the calculated pattern with the experimental one (Figure 12).

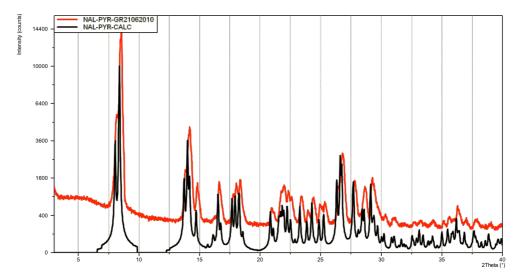


Figure12. Comparison between XRPD patterns of 1 PYR calculated (black line) and measured (red line)

The salt/co-crystal of **1** with pyrrolidine was also analyzed by DSC, TGA, EGA, FT-IR, SS NMR and VT-XRPD.

DSC profile – Figure 13 – evidences three endothermic events before of melt at 228.4°C. The first two peaks are associated in TGA – Figure 14 – with a loss of pyrrolidine and water as showed by EGA analysis (figure 15a, 15b, 15c, 15d).

The third peak is associated to a solid – solid transition of 1 that melts at 228.4 °C (melting point of 1 Form I).

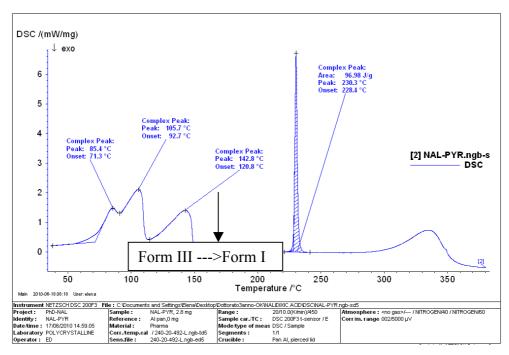


Figure 13. DSC profile of 1-PYR.

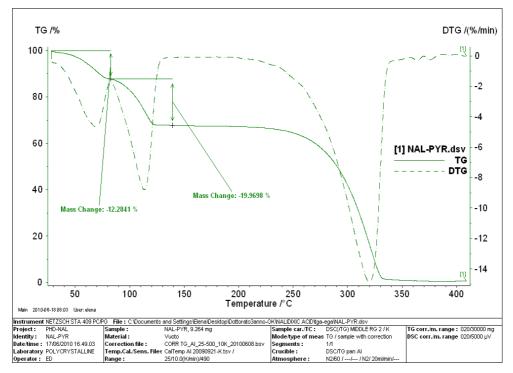


Figure 14. TGA profile of 1-PYR

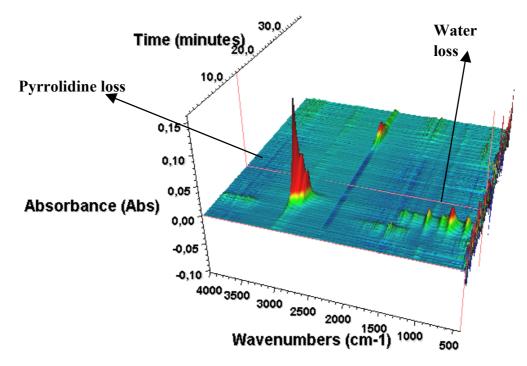
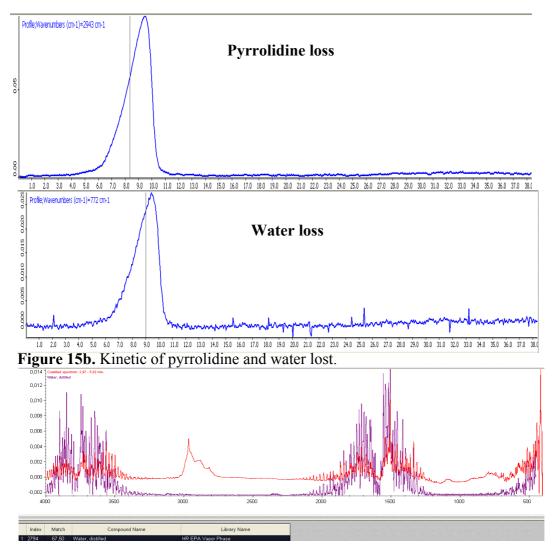
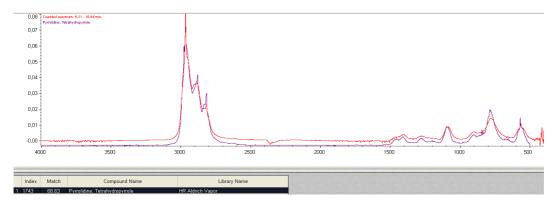


Figure 15a. 3D-EGA profile of 1-PYR



**Figure 15c.** Comparison between FT-IR spectrum obtained coadded region between 3 and 6 minutes and spectrum of water in data base: match 67.5%



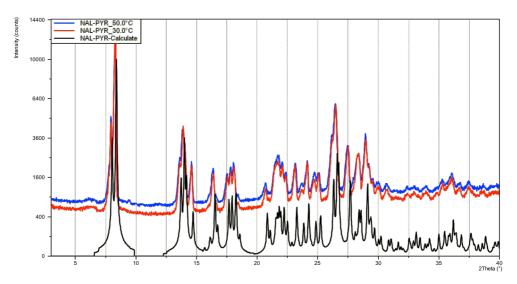
**Figure 15d.** Comparison between FT-IR spectrum obtained coadded region between 6 and 10 minutes and spectrum of pyrrolidine in data base: match 68.3%

**1-**PYR was characterized by VT – XRPD. The sample was heated until melt at approx. 230°C and analyzed at different temperature summarized in the Table 1.

FILE NAME	TEMPERATURE	RESULT
1-PYR_30.0°C	30°C	CO-CRYSTAL
1-PYR_50.0°C	50°C	CO-CRYSTAL
1-PYR_76.6°C	76.6°C	MIX 1
1-PYR_85.5°C	85.5°C	MIX 1
1-PYR_93.0°C	93°C	MIX 1
1-PYR_105.7°C	105.7°C	MIX2
1-PYR_119.8°C	119.8°C	MIX2
1-PYR_143.1°C	143.1°C	MIX2
1-PYR_200°C	200°C	1 FORM I
1-PYR_228°C	228°C	AMORPHOUS+PEAK
1-PYR_250°C	250°C	AMORPHOUS

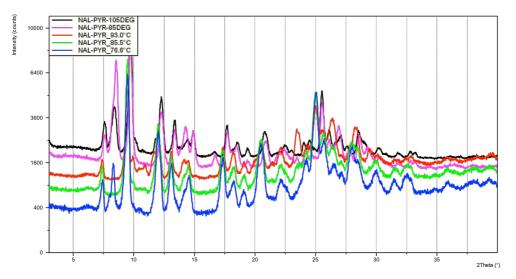
 Table 1. VT-XRPD steps of 1-PYR analysis.

The sample shows the same pattern of the co-crystal until 50°C (Figure 16).



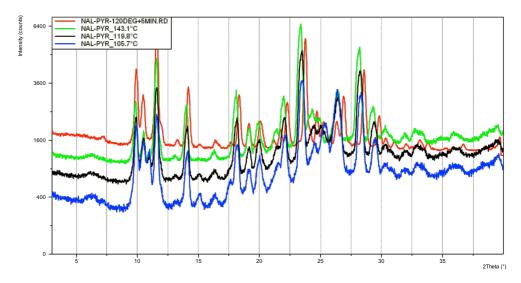
**Figure 16**. Comparison between XRPD patterns of **1**-PYR calculate (black line), sample analyzed at 30°C and at 50°C.

After 50°C the XRPD pattern begins to change: (Figure 17) the DSC at these temperatures evidences a double peak that in TGA-EGA corresponded to water and pyrrolidine losses in two steps. This pattern was also recorded analyzing a sample obtained by heating the salt/co-crystal to 85°C and also to 105°C in DSC with a heating rate of 10°C\min.

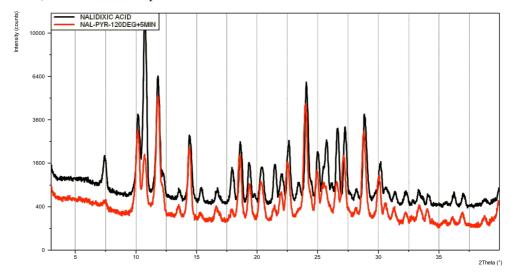


**Figure 17**. Comparison between XRPD patterns of **1**-PYR sample analyzed at 76.6°C, 85.5°C, 93°C and sample heated to 85°C and 105°C by DSC.

After 100°C the diffraction pattern recorded is the same of **1** Form III. This pattern was also recorded analyzing a sample obtained by heating the sample to 120°C with a heating rate of 10°C\min (Figure 18) and left the sample at 120°C for 5 min to obtain the complete conversion of the co-crystal into **1** Form III (Figure 19).

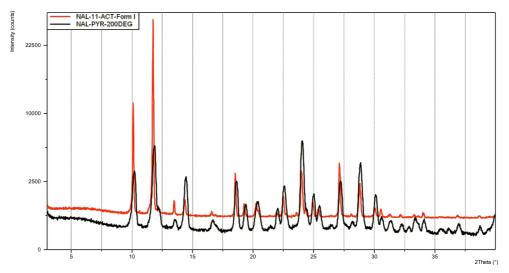


**Figure 18**. Comparison between XRPD patterns of **1**-PYR sample analyzed at 105.7°C, 119.8°C, 143.1°C and sample heated to 120°C for 5 minutes.



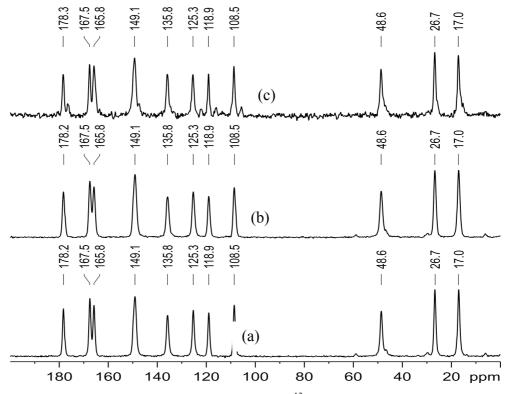
**Figure 19**. Comparison between XRPD patterns of **1**-PYR heated to 120°C for 5 minutes and **1** as delivered by Sigma Aldrich (Form III)

After 120°C a solid - solid transition, associated in DSC with an endothermic peak, is observed: **1** Form III converts into 1 Form I. This pattern is also recorded analyzing a sample obtained by heating the salt/co-crystal to 170°C and 200°C with a heating rate of 10°C\min (Figure 20).



**Figure 20**. Comparison between XRPD patterns of Form I (red line) and **1**-PYR heated to 200°C.

**1** Form III, obtained by VT-XRPD, shows the same XRPD pattern of **1** as delivered by Sigma Aldrich. The sample was also characterized by TGA, DSC, FT-IR and SS NMR. The DSC and SS NMR analyses led to the same results obtained for the Form I: the two crystal forms show the same SS NMR spectrum – Figure 21 - and the same DSC profile – Figure 22 - with melting point at approx. 228.5°C. In the NMR spectrum of **1** Form III there are some peaks, probably of form III, that are too intense to be assigned.



**Figure 21.** Comparison between SS NMR spectra  ${}^{13}$ C of **1** Form I (a), Form II (b) that during the analysis converts into Form I and **1** Form III (c).

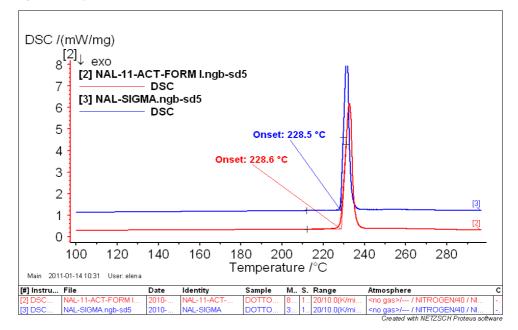
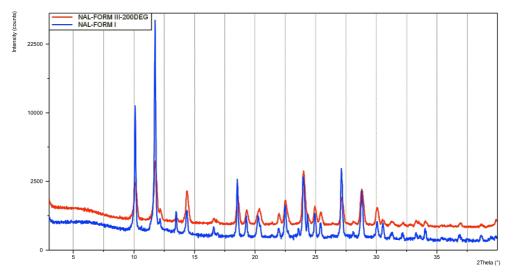


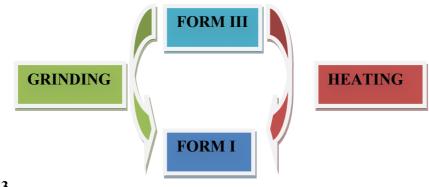
Figure22. Comparison between DSC profiles of 1 Form I and 1 Form III.

Form III is an unstable crystalline form, which converts into Form I upon heating or grinding.

In fact, Form III, heated to 200°C and then measured by XRPD, shows the XRPD pattern of Form I – Figure 23 - that at high temperature melts; this conversion was observed also by a grinding experiment.



**Figure23.** Comparison between XRPD patterns of **1** Form I(blue line) and **1** Form III heated at 200°C (red line).



Scheme 3.

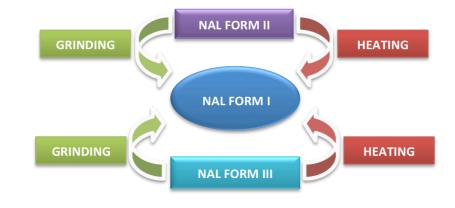
#### 4.4. Conclusion

In conclusion in this work we have subjected nalidixic acid to experiments of evaporation and slurry at room temperature and to salt/co-crystal screening using as coformer the bases listed in the table 2 in *Preface*.

A new crystal form, named Form II, was obtained by evaporation at room temperature from dichloromethane.

Salt/co-crystal screening led to the discovery of two new salts-co-crystals of **1** with diethanolamine and pyrrolidine.

The new form obtained were characterized by XRPD, DSC, TGA, FT-IR, SS NMR and SC-XRD. The salt/co-crystal of **1** with pyrrolidine was also analyzed by EGA and VT-XRPD, this last techinique allows us to identify a new crystal form of **1** named Form III. This new form shows the same XRPD pattern of the sample as delivered by Sigma Aldrich. The three crystal forms of **1** were also studied by interconversion experiments that led to identify the stable crystal form of **1**: **1** Form I. In fact Form II and Form III convert into Form I through grinding and heating experiments.(Sheme 4)



Scheme 4

# 4.5. Supplementary Material

\_\_\_\_\_

## Legenda

1 = Nalidixic Acid

1 - PYR = Nalidixic Acid – Pyrrolidine Salt/Co-crystal

1 - DIETHAN = Nalidixic Acid – Diethanolamine Salt/Co-crystal

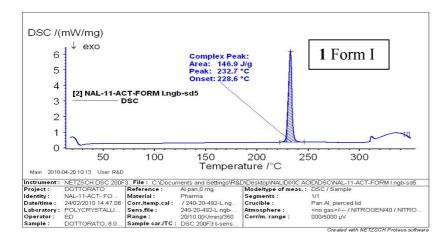
## 4.5.1. Crystal structure determination

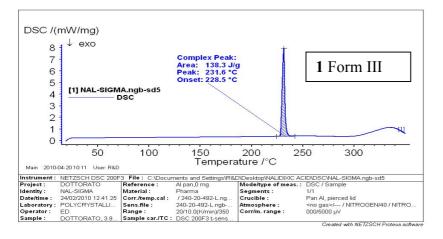
Crystal data and details of measurements are summarized in Table 1.

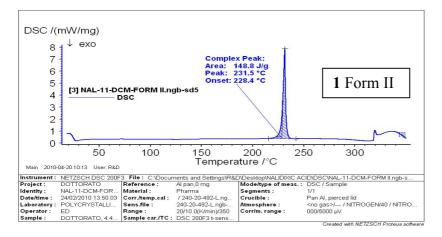
**Table 1.** Crystal Data and Details of Measurements for compounds 1 Form II, 1-<br/>PYR, 1-DIETHAN.

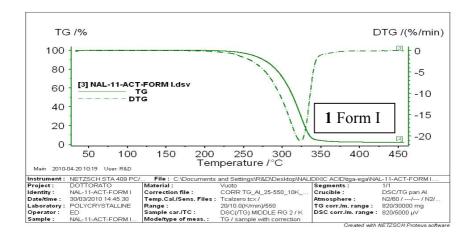
Compound	1 Form II	1-PYR	1-DIETHAN
Formula	C13 H17 N2 O2	C16 H21 N3 O3	C16 H21 N3 O5
<i>M</i> <sub>r</sub>	232.23	303.36	335.36
system	Monoclinic	Triclinic	Triclinic
space group	P2 <sub>1</sub> /n	P-1	P-1
<i>a</i> [Å]	10.072	7(6)	14.184
<i>b</i> [Å]	7.041	12(14)	15.214
<i>c</i> [Å]	15.229	13(15)	17.130
α [°]	90	116(12)	77.34
β[°]	96.30	98(8)	71.63
γ [°]	90	102(9)	74.81
<i>V</i> [ų]	1073.5	903(1680)	3347.7
Z	4	2	4
d <sub>calc</sub> [Mg mm <sup>-3</sup> ]	1.443	0.665	1.116
F(000)	500	712	324
μ(Mo <sub>κ</sub> ₄) [mm <sup>-1</sup> ]	0.098	0.050	0.078
θ <sub>max</sub> [°]	25	25	28.98
measured refins	4638	30.776	7374
unique reflns	2435	15197	4103
refined parameters	69	901	221
GOF on F <sup>2</sup>	0.923	0.688	0.832
<i>R</i> 1 [on <i>F, I</i> >2 <i>σ</i> ( <i>I</i> )]	0.0757	0.0589	0.0734
wR2(onF <sup>2</sup> ,all data)	0.2473	0.1575	0.1968

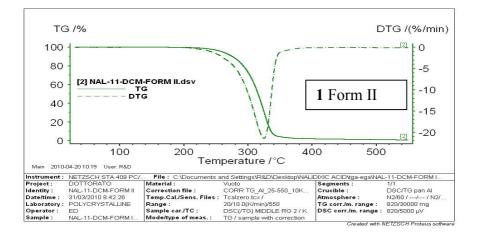
#### 4.5.2. Thermal measuraments

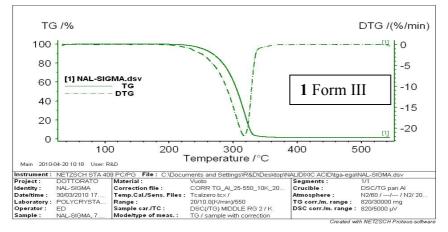




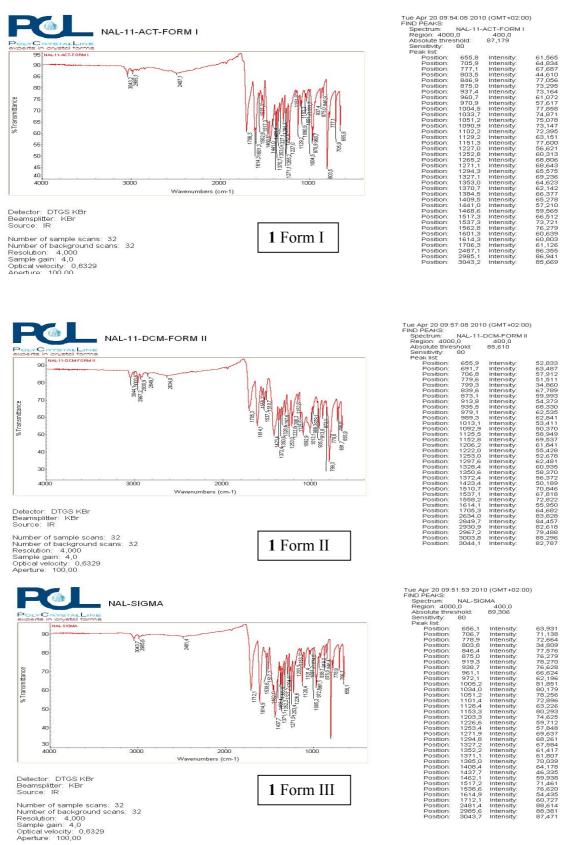












# 4.6. Refereces

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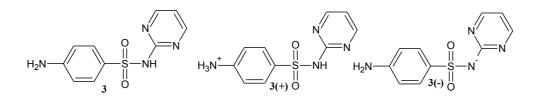
# 5. CHARACTERIZATION OF NEW SALTS AND CO-CRYSTAL OF SULFADIAZINE

#### **5.1. Introduction**

The sulfadiazine is a sulfonamide antibiotic. The sulfonamides are a class of antibacterial drugs used in treatment of urinary tract infection: they eliminate bacteria that cause infections stopping the production of folic acid inside the bacterial cell.<sup>1-4</sup>

In this drug class the molecule contains multiple hydrogen bonds, donor and acceptor functions allowing the use of these molecules as co-crystallization partners with other drugs.<sup>5-6</sup>

Sulfadiazine (Scheme 1), as all sulfonamide drugs, contains two important functional groups: one acidic moiety (NH) and one basic moiety ( $NH_2$ ). The amine nitrogen atom is able to gain a proton, while the amide nitrogen atom is able to release a proton under specific pH conditions.<sup>7</sup>



#### Scheme 1.

Sulfadiazine is labeled as 3 in the neutral form, 3(-) in the anionic form and 3(+) in the cationic form (Scheme 1).

Sulfadiazine, present in one crystal form (Form I) in the CSD<sup>8</sup>, was studied by polymorph and salt/co-crystal screening to identify new crystal forms.

The salt/co-crystal screening was performed using as co-former the bases and acids listed in Table 2 in the *Preface*.

The API was made to react with a co-former (solid or liquid) through different methods: crystallization by solution, grinding, kneading and solid-gas reactions.<sup>9</sup>

The screening allowed the discovery of six new salts and one co-crystal characterized by X-ray powder diffraction (XRPD), differential scanning calorimetry (DSC), thermogravimetric analysis (TGA), evolved gas analysis (EGA), FT-IR. The salts of **3** with pyrrolidine (PYR) and methanesulfonic acid (MSA) were also characterized by single crystal X-ray diffraction (SC-XRD).

## 5.2. Experimental section

All reactants were purchased from Sigma Aldrich and used without further purification. Reagent grade solvents and doubly-distilled water were used.

### 5.2.1. Solution synthesis

All salts/co-crystals were crystallized by room temperature evaporation of a solution obtained dissolving a stoichiometric mixture (1-1) of **3** and acid or base in hot acetone.

### 5.2.2. Mechanochemistry

The salts of **3** with PYR, KOH and  $H_3PO_4$  were quantitatively obtained as polycrystalline materials by grinding or kneading experiments (**3** and acid or base are in a 1:1 ratio). The experiments were performed with a Retsch MM 200 grinder for 15 minutes at frequency of 30Hz.

#### 5.2.3. Solid-gas reaction

The salt/co-crystal of **3** with formic acid was also obtained after 3 days of exposure to the acid vapor.

#### 5.2.4. Thermal measurements

Thermogravimetric analyses were performed using a simultaneus STA 409 PC Luxx® Netzsch equipped with a thermocouple for the direct measurament of DSC signal, and a thermobalance for the measurement of the TGA signal. The samples (5-15 mg) were placed in aluminium pierced pans, and the heating was carried out at  $10^{\circ}$ C min<sup>-1</sup> in N<sub>2</sub> atmosphere (See supplementary material).

Calorimetric measurements were performed using a DSC 200 *F3 Maia*® differential scanning calorimeter equipped with an intra-cooler. The samples (2–4 mg) were placed in aluminium pierced pans, and the heating was carried out at  $10^{\circ}$ C min<sup>-1</sup> in N<sub>2</sub> atmosphere. (See supplementary material).

#### 5.2.5. Single crystal X-Ray diffraction

Single-crystal data were collected on an Oxford X'Calibur S CCD diffractometer equipped with a graphite monochromator (MoK $\alpha$  radiation,  $\lambda = 0.71073$ ) and operated at room temperature. The structure was solved by direct methods and refined by full-matrix least-squares on  $F^2$  with SHELX97<sup>10a</sup> program package.

A calculated XRPD pattern was generated for Cu radiation using Mercury v 1.4 and the atomic coordinates, space group, and unit cell parameters from the single crystal data.

MERCURY and SCHAKAL99<sup>10b</sup> were used for the graphical representation of the results and PLATON<sup>10c</sup> was used for hydrogen bonding analysis.

#### 5.2.6. Powder X-Ray diffraction

Diffraction patterns from 3° to 40° in 20 were collected on a PANalytical diffractometer with Bragg–Brentano geometry (Cu K $\alpha$  radiation, detector: X'celerator, step size  $\Delta 2\theta = 0.0167^{\circ}$ , counting time per step = 20 s), using a glass sample holder. The tube voltage and amperage were set to 40 kV and 40 mA, respectively.

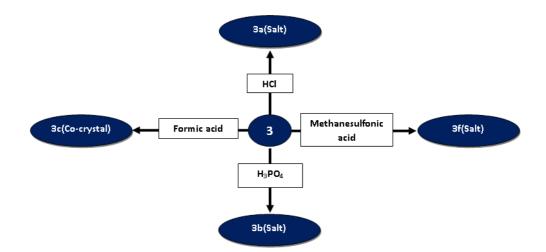
## 5.2.7. **FT-IR**

All FT-IR measurements were performed using Nicolet FT-IR 6700 Thermo Fischer equipped with ATR device. The analyses were performed on all samples and for each measurement 32 scans were recorded (See supplementary material).

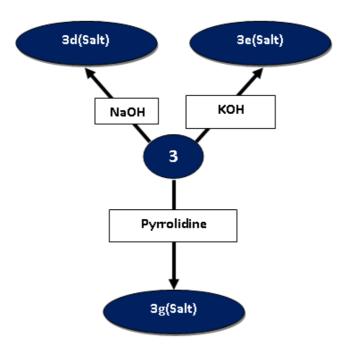
## 5.3. Results and discussion

In this work **3** was studied by polymorph and salt/co-crystal screening to identify new crystal forms.

The screening allowed the discovery of six salts and one co-crystal (with formic acid) – Scheme 2, 3- characterized by X-ray powder diffraction (XRPD), differential scanning calorimetry (DSC), thermogravimetric analysis (TGA), evolved gas analysis (EGA), FT-IR (See supplementary material). The salts of **3** with PYR and MSA were also characterized by single crystal X-ray diffraction (SC-XRD).



Scheme 2. Reaction of 3 with acids.



Scheme 3. Reaction of 3 with bases.

The API was made to react with a co-former (solid or liquid) through different methods: crystallization from solution, grinding, kneading and solid-gas reactions. The results obtained are summarized in Table 1.

Table 1. Methods used to obtained salts or co-crystals (the co-crystal 3c was	s also
obtained by solid-gas reaction.	

SAMPLE	SOLUTION	GRINDING-
	SYNTHESIS	KNEADING
3a	SALT	-
3b	SALT	SALT
3c	COCRYSTAL	-
3d	SALT	-
3e	SALT	SALT
3f	SALT	-
3g	SALT	SALT

In the figure 1 is showed a comparison of diffraction patterns of salts and cocrystal obtained by screening and pattern of **3** for comparison.

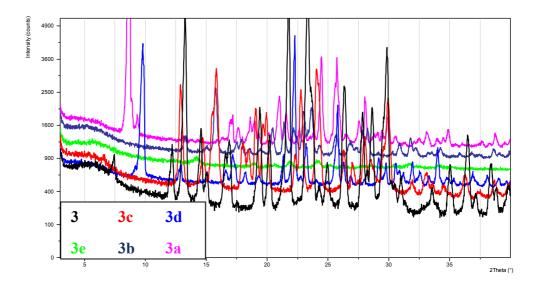


Figure 1 .Comparison between diffraction patterns of different salts and cocrystals obtained by screening.

Differential scanning calorimetry was used to measure the melting point of all compounds and to detect the presence of additional crystalline phases.

Thermogravimetric analysis (TGA) was used to measure possible solvent loss. This tecnique was associated with evolved gas analysis (EGA) that measured IR spectra and the kinetic profile of solvent loss during the TGA.

In Table 2 are summarized the onset and the solvent loss measured with EGA of **3** and of new compounds synthesized.

Sample	Onset(°C)	Solvent Loss
3	261.8	-
3a	63.7	Water- HCl
	159.5	
3b	139.7	-
3c	263.2	Formic acid
3d	27.4	-
	259.7	
3e	52.3	Water
	93.2	
	166.9	
	260	
3g	200.7	Pyrrolidine

# Table 2

The SC-XRD analysis of 3g evidences the presence of four ions of 3(-) and four pyrrolidine cations in the Pna2<sub>1</sub> unit cell. As evidenced in the Figure 2 the compound obtained is a salt, in fact, PYR is in cationic form and the 3 is in anionic form 3(-): there is the proton transfer from the amide (N1) group of 3 to N5 of PYR that forms an hydrogen bond with N1 of one molecule of 3(-) and two hydrogen bonds with N4 and O2 of another molecule of 3(-). The amino group (N2) of 3(-) forms a hydrogen bond with O1 of another molecule of 3(-).

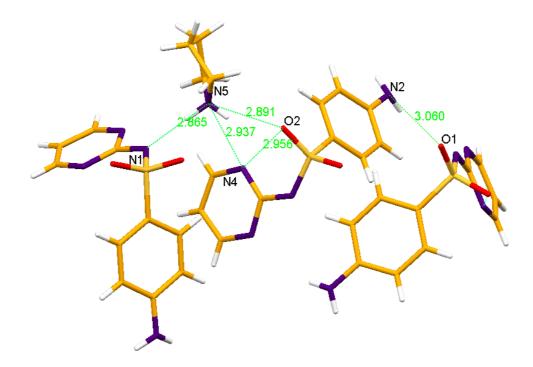
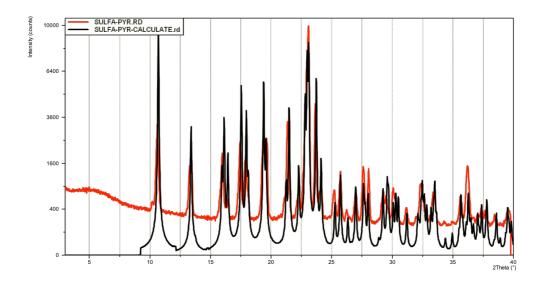


Figure 2. Hydrogen bonds in 3g salt.

The correspondence, of the structure, determined by SC-XRD, and that of bulk material was checked by comparing the calculated pattern with the experimental one (Figure 3).



**Figure 3.** Comparison between XRD patterns of **3g** salt calculate (black line) and experimental (red line).

The SC-XRD analysis of 3f evidences the presence of two ions 3(+), two methanesulfonate anions and two water molecules in P-1 unit cell. As evidenced in figure 4 the compound obtained is a salt, in fact, the MSA is in anionic form and the 3 is in cationic form 3(+): there is the proton transfer from the acid to the amino (N1) group of 3. N1 amino group of 3(+) form three hydrogen bond with O3, O4 and O5 of three molecules of acid and one hydrogen bond with a molecule of water that forms two hydrogen bonds with two molecules of acid. N2 and N4 groups of 3(+) form two hydrogen bonds with another ions of 3(+).

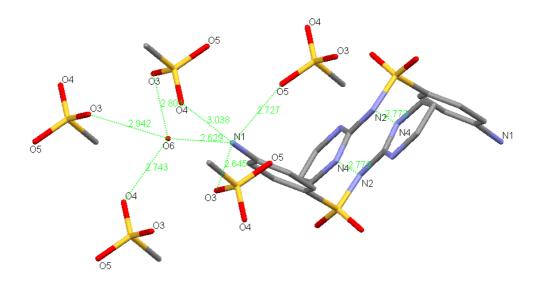
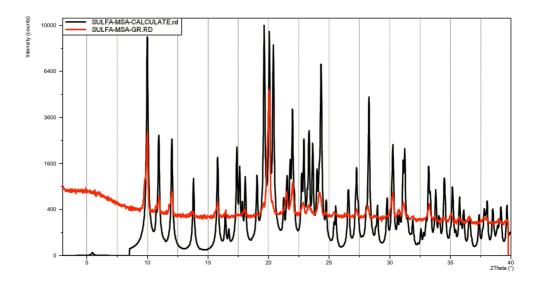


Figure 4. Hydrogen bonds in 3f salt.

The correspondence, of the structure, determined by SC-XRD, and that of bulk material was checked by comparing the calculated pattern with the experimental one (Figure 5).



**Figure 5.** Comparison between XRD patterns of **3f** salt calculate (black line) and experimental (red line).

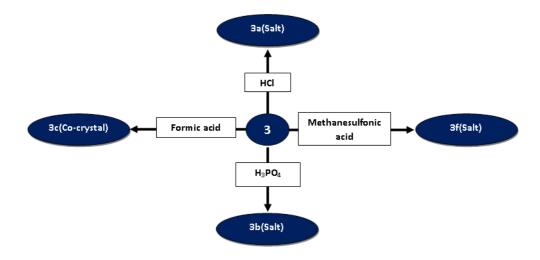
Crystal data and details of measurements for compounds **3g** and **3f** are summarized in table 3.

 Table 3. Crystal data of 3 salts.

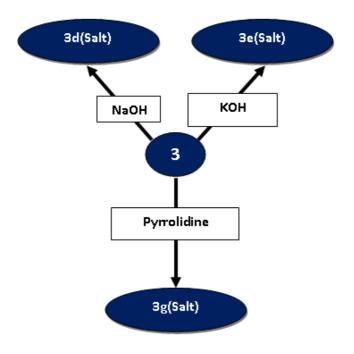
compound	3g	3f
Formula	C14 H19 N5 O2 S	C11 H14 N4 O5 S2
M <sub>r</sub>	321.40	346.38
system	ORTHOROMBIC	TRICLINIC
space group	Pna2 <sub>1</sub>	P-1
a [Å]	10.9847(5)	5(6)
b [Å]	12.8697(5)	9(1)
c [Å]	10.7788(4)	16(2)
α [°]	90	82(1)
β [°]	90	86(1)
γ[°]	90	78(1)
V [Å <sup>3</sup> ]	1523.80(1)	784.148
Ζ	4	2
d <sub>calc</sub>	1.401	1.467
F(000)	680	360
measured reflns	4366	5833
unique reflns	2255	3502
refined parameters	216	268
GOF on F <sup>2</sup>	0.931	0.911
R1 [on F, I>2σ(I)]	0.0334	0.0439
wR2(onF <sup>2</sup> ,all data)	0.0670	0.1047

# 5.4. Conclusion

In this work **3** was studied by polymorph and salt/co-crystal screening to identify new crystal forms. The API was made to react with a co-former (solid or liquid) through different methods: crystallization by solution, grinding, kneading and solid-gas reactions The screening allowed the discovery of six salts and one cocrystal (**3c** with formic acid) – Scheme 4, 5 - characterized by X-ray powder diffraction (XRPD), differential scanning calorimetry (DSC), thermogravimetric analysis (TGA), evolved gas analysis (EGA), FT-IR (See supplementary material). The salts **3f** and **3g** were also characterized by single crystal X-ray diffraction (SC-XRD).



Scheme 4. Reaction of 3 with acids.



Scheme 5. Reaction of 3 with bases.

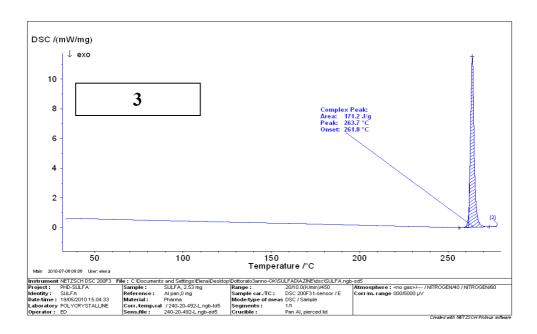
# 5.5. Supplementary Material

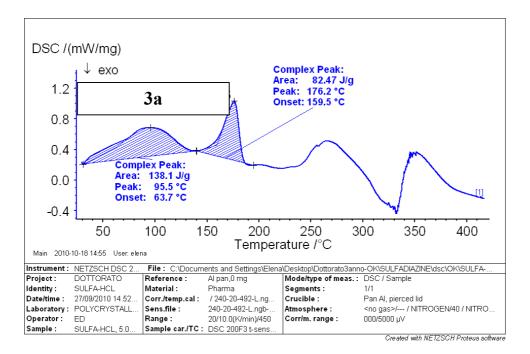
## Legenda

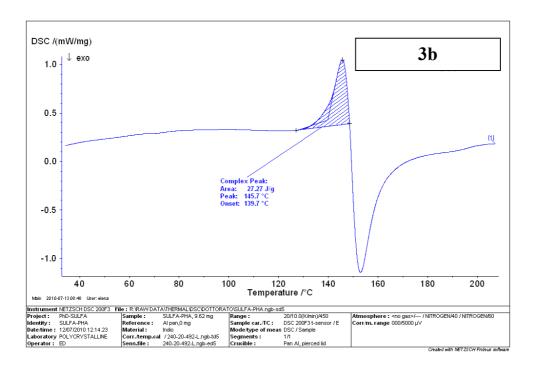
- **3** = SULFADIAZINE
- 3a = Salt obtained by reaction of 3 with HCl
- **3b**= Salt obtained by reaction of **3** with  $H_3PO_4$
- **3c**= Co-crystal obtained by reaction of **3** with formic acid

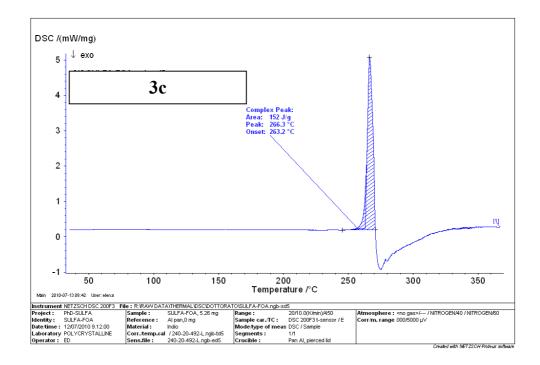
- **3d**= Salt obtained by reaction of **3** with NaOH
- **3e=** Salt obtained by reaction of **3** with KOH
- **3g**= Salt obtained by reaction of **3** with pyrrolidine

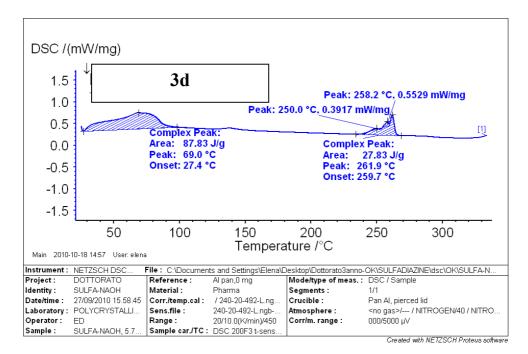
#### 5.5.1. Thermal measuraments

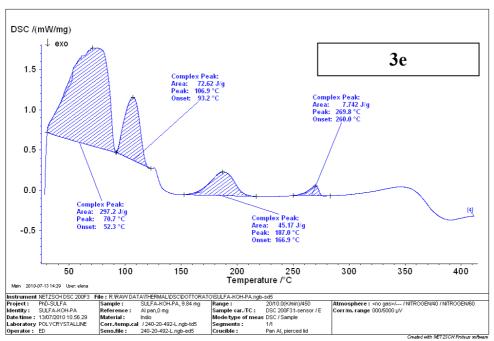


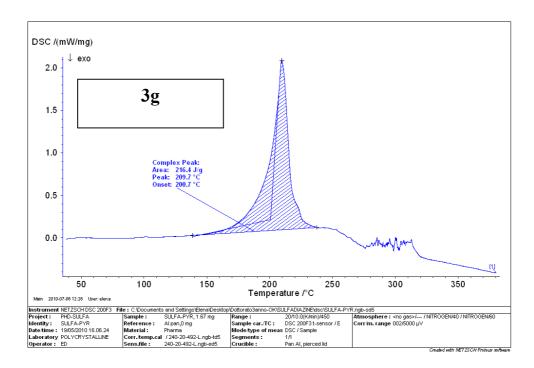


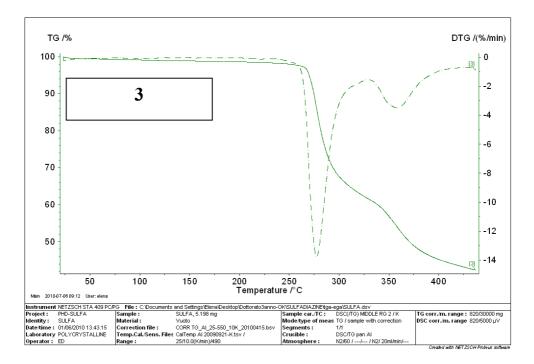


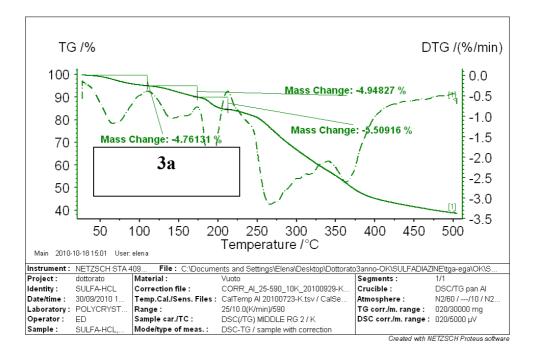


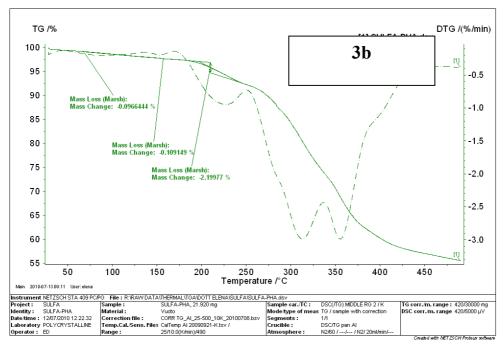


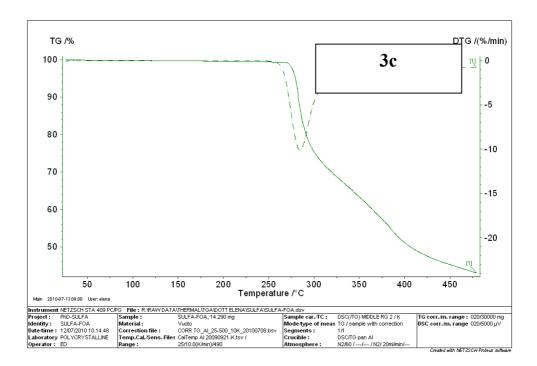


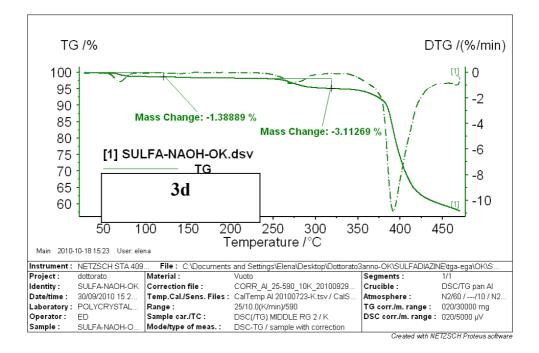


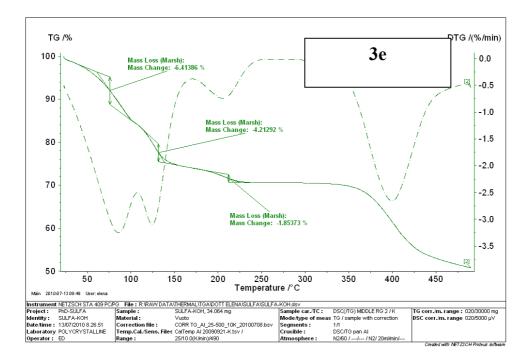


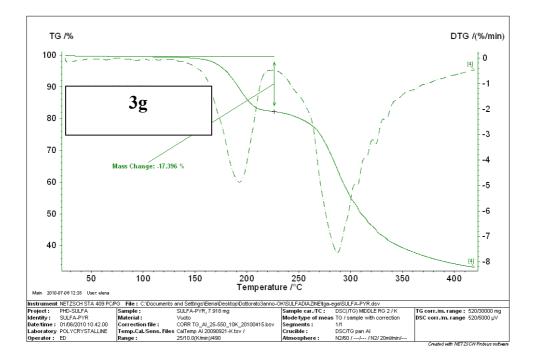




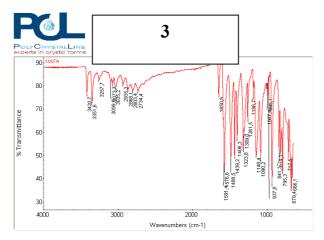








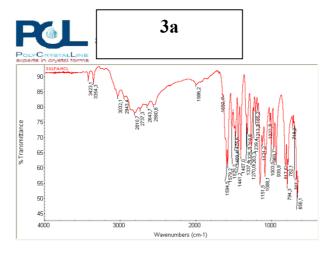
## 5.5.2. **FT-IR**



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Thu Jul 15 16:1-	4:16 2010	(GMT+02:00	))
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Region: 4000		400,0	
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Sensitivity:	80		
Peak list			
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Position:	679,4	Intensity:	35,414
Position:	717,5	Intensity:	49,506
Position:	795,3	Intensity:	42,656
Position:	819,3	Intensity:	51,300
Position:	841,3	Intensity:	52,722
Position:	937,8	Intensity:	37,297
Position:	972,3	Intensity:	83,566
Position:	995,7	Intensity:	75,587
Position:	1007,4	Intensity:	78,368
Position:	1090,2	Intensity:	48,042
Position:	1148,4	Intensity:	49,877
Position: Position:	1186,7	Intensity:	74,662
Position:	1261,5	Intensity:	65,424 60,147
Position:	1309,0	Intensity:	
Position:	1323,8 1406,3	Intensity:	52,026 56,891
Position	1406,3	Intensity: Intensity:	49.059
Position:	1439,2		49,059
Position	1400,0	Intensity: Intensity:	49,307
Position:	1576,6	Intensity:	45,460
Position:	1650.9	Intensity:	76,103
Position:	2734.4	Intensity:	77,657
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Position:	3257,7	Intensity:	82,543
Position:	3351.6	Intensity:	73.005
Position:	3420.7	Intensity:	76,230
	20,1		. 5,200



Detector: DTGS KBr Beamsplitter: KBr Source: IR

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 Wed Jul 14 08:42:24 2010 (GMT+02:00)

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 SULFA-HCL

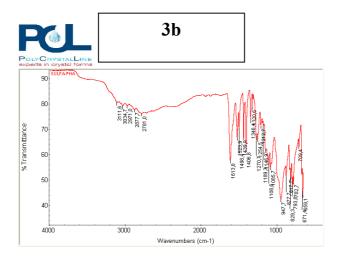
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 Absolute threshold:
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 Sensitivity:
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 Peak list

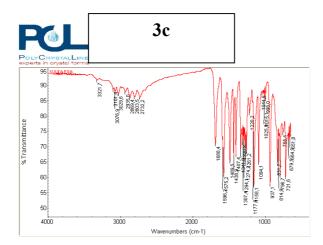
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Peak list			
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Position:	707,2	Intensity:	74,721
Position:	718,2	Intensity:	73,915
Position:	782,1	Intensity:	61,675
Position:	794,3	Intensity:	53,917
Position:	817,5	Intensity:	60,868
Position:	939,9	Intensity:	61,474
Position:	969,7	Intensity:	66,563
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Position:	1121,2	Intensity:	67,798
Position:	1151,5	Intensity:	55,021
Position:	1185,2	Intensity:	79,212
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Position:	3423,1	Intensity:	89,097



Thu Jul 15 15:4 FIND PEAKS: Spectrum: Region: 4000 Absolute thre	SULFA-F		])
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Position:	793.8	Intensity:	47,423
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Position:	877,7	Intensity:	51,279
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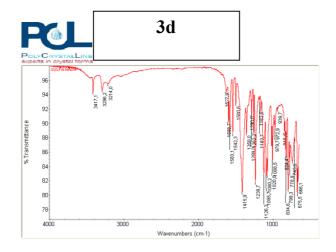
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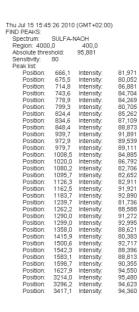


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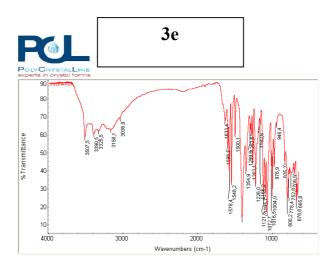
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Sensitivity:	80		
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Position:	814,9	Intensity:	73,074
Position:	831,7	Intensity:	65,021
Position:	937,1	Intensity:	57,665
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	1489,5	Intensity:	65,013
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Position:			
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Position:	3025,6	Intensity:	87,846
Position:	3101,4	Intensity:	88,492
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. 0310011.	0021,7	in iteo rioney.	51,400





Detector: DTGS KBr Beamsplitter: KBr Source: IR

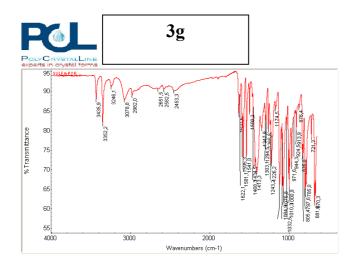
Number of sample scans: 32 Number of background scans: 32 Resolution: 4,000 Sample gain: 4,0 Optical velocity: 0,6329 Aperture: 100,00



Detector: DTGS KBr Beamsplitter: KBr Source: IR

Number of sample scans: 32 Number of background scans: 32 Resolution: 4,000 Sample gain: 8,0 Optical velocity: 0,6329 Aperture: 100,00 Thu Jul 15 15:48:58 2010 (GMT+02:00) FIND PEAKS: Spectrum: SULFA-KOH Region: 4000,0 400,0 Absolute threshold: 74,965 Sensitivity: 80 Deckl with 20

ocholuvity.	00		
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Position:	665,8	Intensity:	27,489
Position:	678,8	Intensity:	23,385
Position:	709,9	Intensity:	38,850
Position:	752,8	Intensity:	38,494
Position:	778,4	Intensity:	37,750
Position:	800,2	Intensity:	24,440
Position:	835,2	Intensity:	44,229
Position:	946,4	Intensity:	65,371
Position:	976,9	Intensity:	42,734
Position:	1004,0	Intensity:	25,809
Position:	1016,5	Intensity:	40,582
Position:	1072,7	Intensity:	16,223
Position:	1095,7	Intensity:	26,322
Position:	1121,8	Intensity:	29,821
Position:	1166,2	Intensity:	65,695
Position:	1182,6	Intensity:	62,323
Position:	1226,0	Intensity:	32,155
Position:	1263,1	Intensity:	44,689
Position:	1282,6	Intensity:	60,461
Position:	1299,8	Intensity:	62,535
Position:	1354,9	Intensity:	39,552
Position:	1407,3	Intensity:	12,656
Position:	1500,1	Intensity:	59,997
Position:	1548,2	Intensity:	33,196
Position:	1578,4	Intensity:	39,254
Position:	1598,5	Intensity:	53,051
Position:	1633,4	Intensity:	67,436
Position:	3036,9	Intensity:	68,688
Position:	3158,1	Intensity:	62,698
Position:	3325,5	Intensity:	61,571
Position:	3390,5	Intensity:	60,214
Position:	3507,5	Intensity:	58,276



Detector: DTGS KBr Beamsplitter: KBr Source: IR

Number of sample scans: 32 Number of background scans: 32 Resolution: 4,000 Sample gain: 4,0 Optical velocity: 0,6329 Aperture: 100,00

	13:16 20	10 (GMT+02:00)	
FIND PEAKS:			
Spectrum:	SULFA-		
Region:	4000,0		
Absolute thre		91,788	
	80		
Peak list:			
Position:	670,3	Intensity:	63,629
Position:	681,6	Intensity:	61,053
Position:	721,3	Intensity:	78,093
Position:	785,0	Intensity:	66,682
Position:	797,6	Intensity:	65,204
Position:	805,4	Intensity:	64,747
Position:	825,5	Intensity:	74,104
Position:	835,0	Intensity:	73,116
Position:	876,4	Intensity:	85,809
Position:	913,9	Intensity:	80,072
Position:	924,5	Intensity:	84,439
Position:	944,3	Intensity:	82,544
Position:	971,6	Intensity:	71,862
Position:	1000,9	Intensity:	65,426
Position:	1010,3	Intensity:	70,190
Position:	1032,5	Intensity:	81,247
Position:	1075,8	Intensity:	64,970
Position:	1084,9	Intensity:	65,085
Position:	1098,0	Intensity:	78,060
Position:	1123,4	Intensity:	66,032
Position:	1174,5	Intensity:	85,396
Position:	1226,2	Intensity:	71,394
Position:	1243,2	Intensity:	81,408
Position:	1265,8	Intensity:	79,604
Position:	1294,5	Intensity:	82,012
Position:	1303,1	Intensity:	82,165
Position:	1347,9	Intensity:	80,352
Position:	1413,3	Intensity:	69,074
Position:	1434,5	Intensity:	71,302
Position:	1468,3	Intensity:	88,458
Position:	1500,2	Intensity:	84,600
Position:	1541,0	Intensity:	73,563
Position:	1581,1	Intensity:	72,868
Position:	1595,3	Intensity:	79,569
Position:	1622,1	Intensity:	88,527
Position:	1637,6	Intensity:	83,920
Position:	2453,3	Intensity:	89,991
Position:	2582,5	Intensity:	90,370
Position:	2651,5	Intensity:	90,449
Position:	2982,0	Intensity:	88,847
Position:	3078,8	Intensity:	87,743
Position:	3246,1	Intensity:	91,015
Position:	3352,2	Intensity:	81,615
Position:	3435,9	Intensity:	87,782

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