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1 **Antimicrobial properties and the influence of temperature on secondary metabolite**  
2 **production in cold environment soil fungi**

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30 Abstract:

31 The Arctic and Antarctic share environmental extremes. To survive in such environments,  
32 microbes such as soil fungi need to compete with or protect themselves effectively from other  
33 soil microbiota and to obtain the often scarce nutrients available, and many use secondary  
34 metabolites to facilitate this. We therefore (i) screened for antimicrobial properties of cold-  
35 environment Arctic and Antarctic soil fungi, and (ii) identified changes in the secreted  
36 secondary metabolite profiles of a subset of these strains in response to temperature variation.  
37 A total of 40 polar soil fungal strains from King George Island, maritime Antarctic and  
38 Hornsund, Svalbard, High Arctic, were obtained from the Malaysian National Antarctic  
39 Research Centre culture collections. The plug assay technique was used to screen for  
40 antimicrobial potential against Gram-positive and Gram-negative human pathogenic bacteria  
41 (*Bacillus subtilis*, *B. cereus*, *Pseudomonas aeruginosa*, *Enterococcus faecalis* and  
42 *Escherichia coli*). About 45% of the tested fungal strains showed antimicrobial activity  
43 against at least one tested microorganism. Three fungal isolates showed good bioactivity and  
44 were subjected to secondary metabolite profiling at different temperatures (4, 10, 15 and  
45 28°C). We observed a range of responses in fungal metabolite production when incubated at  
46 varying temperatures, confirming an influence of environmental conditions such as  
47 temperature on the production of secondary metabolites.

48

49 Keywords: Fungal metabolites, *Penicillium flavigenum*, *Pseudogymnoascus* sp., temperature  
50 influence

## 51 1. Introduction

52 Fungi are remarkable microorganisms known to produce a diverse range of compounds  
53 extracellularly, usually of low molecular weight, known as secondary metabolites (Keller et  
54 al., 2005). Often these secondary metabolites are unique to particular microbial species  
55 (Larsen et al., 2005). There is a general consensus that secondary metabolites are not  
56 essential for growth, development or reproduction, even though they are produced by many  
57 fungi (Madigan et al., 1997). Produced generally near the end of the active growth phase,  
58 these compounds are synthesized from compounds that are themselves derived from primary  
59 metabolic pathways (Davies, 1985). Although their ecological role often remains unclear,  
60 many exhibit antifungal or antimicrobial activity (Peláez, 2006) and are therefore likely to  
61 provide the producing microorganism with a competitive advantage facilitating survival in  
62 their natural environment.

63 Although the systematic study of fungal secondary metabolites began in 1922 (Raistrick,  
64 1985), it was not until the discovery of penicillin by Alexander Fleming in 1928 (Alharbi et  
65 al., 2014; Ligon, 2004a,b) that the exploration of secondary metabolites started to intensify.  
66 Over recent decades considerable efforts have been devoted to the study of these compounds.  
67 This is, in part, driven by the rapidly increasing levels of resistance towards many of the  
68 currently available antibiotics (Cooper and Shales, 2011).

69 It is widely accepted that differences in evolutionary pressures have led to some level of  
70 specificity associated with ecological niches (Schutz, 2001). To date, the search for  
71 pharmaceutically-active fungal strains has largely been focussed in the temperate and tropical  
72 regions, and there have been relatively few studies in the cold and stressful environments of  
73 the Arctic and Antarctic. Cold-adapted fungi may provide a valuable and currently untapped  
74 source of novel metabolites. Their survival in the extreme polar environment requires high

75 adaptability and resistance against many stresses including low nutrient availability, high UV  
76 radiation and prolonged exposure to both chronic cold and freezing temperatures and short  
77 term thermal maxima during summer (Montiel, 2000; Madronich et al., 1998, Nishiyama,  
78 1977; Des Marais, 1995). Tolerance of these stresses leads to the expectation of evolutionary  
79 adaptations being developed in polar microbes enabling them to remain viable and function at  
80 low temperatures.

81 Research on extreme environments started to gain momentum the middle of the 20<sup>th</sup> Century.  
82 Margesin et al. (2007) reported that, by 2007, there were 30,000 studies published on  
83 extremophiles, but also that two-thirds of these studies focused on thermophiles and studies  
84 from cold areas remained rare. Recently, there has been greater emphasis on studies of cold  
85 environment microbes. The production of extracellular cold-tolerant bioactive compounds  
86 and enzymes by polar microbes has been a subject of increasing research interest, particularly  
87 in the context of their potential for biotechnological and pharmaceutical application  
88 (Groudieva et al., 2004; Priscu et al., 1999).

89 A number of secondary metabolites have been described from Arctic and Antarctic fungal  
90 isolates that exhibit antimicrobial and antifungal activities (e.g. O'Brien et al., 2004). Li et al.  
91 (2008) reported five novel bioactive asterric acid derivatives from an Antarctic ascomycete  
92 (*Pseudogymnoascus* sp.). Some of these compounds displayed antifungal activity against  
93 *Aspergillus fumigatus*, and some showed antimicrobial activity against Gram-positive and  
94 Gram-negative bacteria. *Penicillium griseofulvum*, collected in Greenland, produced a range  
95 of secondary metabolites including griseofulvin, fulvic acid, mycelianamide, roquefortine C,  
96 roquefortine D, chanoclavine I and elymoclavine, all of which contributed to antimicrobial  
97 activity (Frisvard et al., 2004). Niu (2014) reported isolation of new natural products with  
98 unique structural features in *Spriromastix* sp. from deep sea sediments of the South Atlantic  
99 Ocean.

100 Abiotic environmental stresses are particularly important in the terrestrial ecosystems of the  
101 polar regions (Hogg et al., 2006; Tufto, 2000; Convey et al., 2014). Recent rapid changes in  
102 global and regional climates have prompted a range of studies on factors that may influence  
103 microbial interactions in these regions. Chwedorzewska (2010) stated that climate change  
104 may affect polar terrestrial biota via three fundamental factors - temperature, water and solar  
105 irradiance - and small shifts in these factors may result in greater biological impacts. The  
106 recent Climate Change 2014 synthesis report by the Intergovernmental Panel on Climate  
107 Change has predicted an increase of global average temperature likely to be in the range of  
108 0.3°C to 0.7°C for the period 2016 to 2035 and projection for the end of the 21<sup>st</sup> Century  
109 (2081-2100) likely to exceed 1.5°C, while the polar regions will continue to warm more  
110 rapidly than the global mean (IPCC, 2014). However, the effects of temperature variation on  
111 Antarctic organisms, as elsewhere, have largely not been studied in detail (Convey et al.,  
112 2014). A number of temperature manipulation studies, particularly using methodologies such  
113 as Open Top Chambers (OTCs), carried out in recent years have shed some light on the  
114 response of native Antarctic soil arthropod, lichens and plant communities towards elements  
115 of climatic change (Bokhorst et al., 2008, 2011, 2013). Although studies on temperature-  
116 driven biodiversity shifts in polar microbial communities are available (Benhua et al., 2014;  
117 Dennis et al., 2012; Newsham et al., 2015), limited data currently exist on the effects of  
118 warming on secondary metabolism in soil microfungal communities.

119 Clearly one of the primary ecological roles of secondary metabolites is to help the producing  
120 organisms function efficiently in their natural environment (Kliebenstein, 2004) and, often,  
121 their production is influenced by environmental factors (Akula and Ravishankar 2011;  
122 Alvarez et al., 2000). Various studies have examined the effects of external factors such as  
123 temperature, UV-B radiation, soil composition, CO<sub>2</sub> and humidity on the production of  
124 secondary metabolites (Abreau and Mazzafera, 2005; Eichholz et al., 2011; Rosa et al., 2001;

125 Szakiel and Henry, 2011). Here, we report an initial screening of the antimicrobial properties  
126 of 40 polar soil fungal strains, and examine in detail the influence of temperature on the  
127 overall secondary metabolite production profiles of three of the most active of these strains.

## 128 **2. Methodology**

### 129 **2.1. Fungal cultivation and maintenance**

130 A total of 40 polar fungal isolates were obtained from the National Antarctic Research Centre  
131 Fungal Collection, held at the University of Malaya, Kuala Lumpur. These fungal strains  
132 were originally isolated from soils collected at different locations in Hornsund, Svalbard  
133 (High Arctic) and King George Island, South Shetland Islands (maritime Antarctic) during  
134 the boreal summer of 2010 and austral summer of 2007/08, respectively. These fungal  
135 isolates had previously been identified using BLAST searches (where sequence data existed)  
136 and/or phylogenetic approaches, including the sequencing of type or voucher specimens. The  
137 available Genbank accession numbers for the identified isolates are provided in  
138 supplementary Table 1.

139 The 40 fungal strains were cultivated by transferring actively growing mycelia onto potato  
140 dextrose agar plates (PDA; Difco Laboratories, Detroit, MI, USA), with incubation at 4°C.  
141 PDA was prepared by suspending 19.5 g of potato dextrose agar powder in 500 ml of  
142 distilled water and heating with frequent agitation for 1 min to dissolve the powder before  
143 autoclaving at 121°C for 15 min. The autoclaved media was then poured into petri dishes and  
144 allowed to cool. The prepared PDA had a pH of  $5.6 \pm 0.2$ . Sub-samples of each strain were  
145 preserved in individual universal bottles containing slants of PDA.

### 146 **2.2. Bioactivity screening**

#### 147 **2.2.2. Preliminary screening for biological activity**

148 The antimicrobial activities of all 40 polar fungal isolates were tested in triplicate using the  
149 plug assay technique (Hoskisson et al., 2001; Ezra et al., 2004) against five Gram-positive  
150 and Gram-negative human pathogenic bacteria. The Gram-positive bacteria used were  
151 *Bacillus subtilis* (Ehrenberg) Cohn. (ATCC 6051), *Enterococcus faecalis* (Andrewes and  
152 Horder) Schleifer and Kilpper-Balz (ATCC 29212) and *B. cereus* Frankland and Frankland  
153 (ATCC 11778). The Gram-negative bacteria were *Pseudomonas aeruginosa* (Schroeter)  
154 Migula (ATCC 27853) and *Escherichia coli* (Migula) Castellani & Chalmers MTCC 443  
155 (ATCC 25922). All the ATCC standard test human pathogenic bacteria were supplied by the  
156 Microbiology Department, University of Malaya and were maintained on Luria Broth (LB)  
157 agar at 4°C.

158 Fungal isolates were cultivated on sterile PDA for 14 d prior to carrying out the bioassay, and  
159 bacterial test microorganisms were prepared in liquid suspension to provide a concentration  
160 equivalent of 0.5 McFarland units. Plugs of fungal mycelium growing tangentially to the  
161 edge of the colony were then cut and transferred using a 5 mm cork borer into bacterial-  
162 pathogen-seeded Muller Hinton Agar (MHA) plates. Muller Hinton Agar was prepared by  
163 suspending 19g of MHA powder (Difco<sup>TM</sup>) in 500 ml of purified water, and the mixture was  
164 then heated with frequent agitation. Next the mixture was autoclaved for 15 min at 122°C.  
165 Once cool enough to handle (45-50°C), it was poured into sterile petri dishes and left to cool  
166 to room temperature. The plates (n = 3 replicates per pathogen) were then incubated at 37°C  
167 for 24 h. After the incubation period inhibition zones were observed and measured. The  
168 degree of toxicity of the fungi on the test microorganisms was determined by the diameter of  
169 the inhibition zone in millimetres (mm). Antimicrobial activities were classified based on the  
170 diameter of the zone of inhibition, with < 7mm considered as no activity, 7-9 mm considered as  
171 weak activity, 9-11 mm as moderate activity and > 11 mm as good activity. Only isolates  
172 with good bioactivity were considered for further analysis.



173 The preparation of test pathogens involved streaking each test culture onto Trypticase Soy  
174 Agar (TSA) and incubation overnight at 37°C. Trypticase Soy Agar was prepared by  
175 suspending 20g of TSA powder (Difco™) in 500 ml of purified water, then heating with  
176 frequent agitation, and autoclaving for 15 min at 122°C. Once the mixture was cool enough  
177 to handle (45-50°C), it was poured into sterile petri dishes and left to cool to room  
178 temperature. The test-pathogen-streaked TSA plates were incubated overnight at 37°C. After  
179 24 h incubation, 4-5 single colonies were inoculated using a sterile loop into 15 ml universal  
180 bottles containing sterile Mueller Hinton Broth (MHB) and shaken thoroughly. MHB was  
181 prepared by suspending 21g of MHB powder (Difco™) in 1L of purified water, and again  
182 heating with frequent agitation, followed by autoclaving for 15 min at 121°C. Once cool  
183 enough to handle (45-50°C), the mixture was poured into 28 ml Universal Bottles and left to  
184 cool to room temperature. The densities of the test pathogens in MHB were matched with 0.5  
185 McFarland standards that were prepared by adding 0.5 ml of 0.048 M BaCl<sub>2</sub>·2H<sub>2</sub>O (1.17 %  
186 wt/vol) into 99.5 ml of 0.18 M H<sub>2</sub>SO<sub>4</sub> (1 % v/v). This standard is considered to equate to 1.5  
187 x 10<sup>8</sup> cfu/ml (Hendrickson and Krenz, 1991).

### 188 2.3. Disk diffusion assay

189 Inhibition of test bacterial growth by the polar fungal strains at different temperatures (4, 10,  
190 15 and 28°C) was measured by the disk diffusion method. All experiments were repeated  
191 three times. Prior to each experiment, two-week-old fungal plugs were transferred into 500  
192 ml conical flasks containing 250 ml PDB and incubated at 4, 10, 15 or 28°C for 21 d. The  
193 cultures were then centrifuged, filtered and extracted with equal volumes of ethyl acetate  
194 (EtOAc). The organic layer was collected and dried using a rotary evaporator and the crude  
195 extract was stored at 4°C until further use.

196 The test pathogens were prepared as described above and swabbed onto the surface of MHA  
197 plates. A sterile 6 mm filter disk was loaded with 10  $\mu$ l of 1mg/mL crude extract and placed  
198 onto the test microorganism seeded plates. As a positive control gentamycin was used and, as  
199 a negative control, the solvent used to dissolve the extracts was included. The plates were  
200 then incubated for 24 h at 37°C. The diameters (mm) of inhibition zones were then measured  
201 and compared across the different incubation temperatures.

#### 202 **2.4. Temperature manipulation studies**

203 Temperature manipulation studies of fungal strains with good bioactivity were carried out  
204 following the in-house protocol MECSUS (Microtiter plate, Elicitors, Combination, Solid  
205 phase extraction, UHPLC, Statistical analysis) (Rasha, 2013) with modifications. MECSUS is  
206 a protocol for microbial metabolite studies that involves miniaturized parallel fermentations  
207 in 96 well plates, parallel extraction and data analysis.

208 Three fungal strains that showed good bioactivity were selected for micro-scale cultivation at  
209 four different temperatures, 4, 10, 15 or 28°C. Prior to Micro Titre Plate inoculation, fungal  
210 strains were grown in 15 ml Falcon tubes containing sterile 70% Potato Dextrose Broth  
211 (PDB). The growth medium for inoculation was prepared by adding 6 ml of 70% PDB into a  
212 15 ml Falcon tube together with five small steel balls. The Falcon tubes were then covered  
213 with cotton plugs and aluminium foil before being autoclaved at 121°C for 15 min.

214 Three 5 mm plugs of fungal mycelium were cut out from two-week-old fungal cultures using  
215 a 5 mm cork borer and transferred into separate 15 ml Falcon tubes containing 70% PDB.  
216 Inoculated tubes were then incubated at 4, 10, 15 or 28°C for 14 d.

217 At the end of 14 d incubation, the tubes containing fungal isolates were transferred into a  
218 biosafety cabinet where the cotton wool packing and aluminium foil cover were replaced

219 with sterile screw caps. The closed tubes were then shaken in a Genogrinder<sup>®</sup> at 1500 rpm for  
220 30 min in order to disrupt the fungal mycelia before cultivation on MT plates.

221 Cultivation in 2 mL square deep 96-well MTPs involved adding 900  $\mu$ l of 70% PDB into  
222 each MTP well. The plates were then each covered with a silicon mat, sealed with a custom-  
223 made clamp board, and autoclaved. Once the materials were cool enough to be handled, 100  
224  $\mu$ l of disrupted mycelial solution of each of three fungal strains was pipetted into the MTP  
225 wells. Each fungal strain was assigned individual rows of 12 wells. The MTPs were then  
226 covered with sandwich covers (System Duetz<sup>®</sup>, Germany) and a clamp board before being  
227 incubated at 4, 10, 15 or 28°C for 21 d.

228 After incubation, the MTP plates were uncovered in a biosafety cabinet, sterile silica SPEX  
229 beads added, and the cultures were then homogenised using a Genogrinder<sup>®</sup> SPEX<sup>®</sup>  
230 (SamplePrep, New Jersey) at 1500 rpm for 20 min. This was followed by centrifuging the  
231 plates at 4000 rpm for 30 min to allow the cell debris to settle at the bottom of the wells.  
232 After this, 500  $\mu$ l of supernatant from the fungal culture from each well of a row  
233 (corresponding to one fungal isolate) was collected in single a sterile glass tube, giving a total  
234 volume of 6 ml (12 wells x 500  $\mu$ l) per fungal strain.

235 The collected supernatants were then subjected to extraction with an equal volume of EtOAc.  
236 After shaking, the upper organic layer was transferred into a new and pre-weighed 30 ml vial.  
237 This step was repeated three times and the organic layers were combined. The EtOAc was  
238 evaporated to dryness using a rotary evaporator. The mass of each extract was recorded and  
239 the extracts were then stored at 4°C prior to HPLC analysis.

## 240 **2.5. HPLC analysis**

241 Extracts were chromatographically analysed to identify changes in the overall secondary  
242 metabolite profiles obtained at the different culture temperatures. Analytical HPLC was  
243 performed on an Agilent 1260 system (Agilent Technologies, United States) equipped with a  
244 column Synergy 4  $\mu\text{m}$  Hydro-RP 80  $\text{\AA}$  150  $\times$  4.6 mm, 4  $\mu\text{m}$  particle size (Phenomenex®,  
245 USA). The HPLC system (Agilent Technologies, United States) consists of the following  
246 components: quaternary pump G1311A, vacuum degasser pump G1322A, auto sampler  
247 G1316A and diode detector (DAD) G1315B. As an internal standard (IS), 1 mL of 1 mg/mL  
248 solution of 4-bromobiphenyl was added to the samples. Samples were prepared by diluting  
249 extracted crude sample of unknown amount into 1 mL of HPLC grade methanol (MeOH).  
250 The IS was then added to the sample. The mobile phase ( $\text{H}_2\text{O}$ /water) was prepared by  
251 filtering acetonitrile (ACN) and deionized water on 0.45  $\mu\text{m}$  nylon membrane filters. The  
252 elution gradient was set as follows (solvent B, ACN): 10% at 0 min, 46% at 10 min, 70% at  
253 15 min, 100% at 20 min, 100% at 30 min, 10% at 31 min, equilibration 10 min. The flow rate  
254 was set to 1 ml/min. The injection volume was set at 10  $\mu\text{L}$ . UV-vis data were recorded from  
255 190 to 600 nm and displayed at 220 nm. A Chemstation® chromatography data system  
256 (Agilent Technologies, United States), was used to control the chromatographic system and  
257 process the chromatograms. Chromatographic peaks with intensity  $>$  50 mAU appearing  
258 between retention times ( $R_t$ ) of 3 and 26 min were considered. The variations in size of major  
259 peaks were computed with reference to the IS.

### 260 **3. Results and Discussion**

#### 261 **3.1. Antimicrobial activity of polar fungi**

262 Forty cold environment soil fungal isolates originating from various habitats were screened  
263 for their antimicrobial properties using the plug assay method against five human pathogenic  
264 bacteria, with their antimicrobial activity being classified according to the extent of the

265 inhibition zone produced. Forty-five percent of tested fungal isolates showed antimicrobial  
266 activity against at least one of the five test pathogens. A majority of the fungi tested showed  
267 high inhibition activity against the Gram-positive bacteria, *B. subtilis* and *B. cereus* (Table 1),  
268 but only two isolates showed positive activity against the Gram-negative *E. coli* and *P.*  
269 *aeruginosa*. None of the isolates showed activity against *E. faecalis*. Two fungal strains,  
270 *Pseudogymnoascus* sp (AK 102 R1-4 sp 5) isolated from King George Island and *Penicillium*  
271 *flavigenum* (HND 11 R8-1) isolated from Hornsund, exhibited broad spectrum activity. Of  
272 the five *Pseudogymnoascus* sp. strains tested, three exhibited good activity. Of four  
273 *Penicillium* sp. strains tested, three exhibited inhibition.

274 Most of the fungi tested showed some inhibition activity against Gram-positive bacteria,  
275 comparable to the findings of Nedialkova and Naidenova (2005), who tested antimicrobial  
276 activities in actinomycetes isolated from Antarctica. Previous studies have also indicated that  
277 antibiotic agents of fungal origin most strongly inhibit Gram-positive rather than Gram-  
278 negative bacteria (Kumar et al., 2005). The reason for the disparity in the inhibitory activity  
279 between Gram-positive and Gram-negative bacteria relates to differences between the two  
280 groups' cell wall structures, as Gram-negative bacteria are equipped with a cell wall barrier  
281 that prevents the passage of large molecules and fat-soluble molecules, thus making them less  
282 susceptible to the action of antibiotics in comparison with Gram-positive bacteria (Tortora et  
283 al., 2001).

284 Two strains in this study displayed activity against both Gram-positive and Gram-negative  
285 bacteria, *Pseudogymnoascus* sp (AK 102 R1-4 sp 5) and *Penicillium flavigenum*. (HND 11  
286 R8-1). *Pseudogymnoascus* sp. showed broad activity against three test pathogens. This fungal  
287 species is commonly encountered in cold environments around the world (e.g. Adams et al.,  
288 2006; Singh, 2011), and various *Pseudogymnoascus* strains have been reported to be endemic  
289 or indigenous to Antarctic and sub-Antarctic regions (Arenz et al., 2006, 2011; Hughes et al.,

290 2007). This genus belongs to the order Helotiales, which includes typically saprophytic fungi  
291 playing an active role in nutrient recycling. Members of the genus *Pseudogymnoascus* have  
292 been reported to produce a range of extracellular enzymes including amylase and cellulase  
293 (Krishnan et al., 2014), keratinases (Mercantini et al., 1989), lipase, chitinase and urease  
294 (Finotti et al., 1993, 1996). Li et al. (2008) reported that an Antarctic  
295 *Pseudogymnoascus* strain exhibited antimicrobial activity against both Gram-positive and  
296 Gram-negative bacteria, consistent with the current study. A study on Antarctic marine fungi  
297 (Henriquez et al., 2014) also confirmed the antimicrobial and antitumoral potential of  
298 *Pseudogymnoascus* sp.

299 A second fungal strain identified in the current study that exhibited broad spectrum  
300 bioactivity was the “classic” antimicrobial compound producer *Penicillium flavigenum*  
301 (HND11 R8-1), isolated from High Arctic Svalbard. Representatives of this genus are  
302 globally distributed and clearly capable of thriving in various ecosystems. Sonjak et al.  
303 (2006) reported that *Penicillium* species sourced from various habitats showed no or very few  
304 differences in their secondary metabolite profiles. In the current study, isolate HND11 R8-1  
305 exhibited good inhibition activity against both Gram-positive and Gram-negative bacteria,  
306 unlike several other *Penicillium* strains tested here whose activity was limited to only one  
307 pathogenic bacterium, *Bacillus subtilis*.

308 The bryophyte-associated fungus *Atracidymella* sp. inhibited the growth of Gram-positive  
309 bacteria. This species is also known to be able to infect mosses, and produces soluble  
310 polyphenolic oxidases and cellulases (Davey et al., 2009), but no previous studies have  
311 reported antimicrobial activity.

312 The three fungal strains mentioned above (*Pseudogymnoascus* sp., *Penicillium flavigenum*  
313 and *Atracidymella* sp. were selected for further temperature manipulation studies to examine

314 (a) their overall secondary metabolite production profiles at different temperatures, and (b)  
315 their antimicrobial activity at different temperatures assessed via a disk diffusion assay.

### 316 **3.2. Temperature influence on secondary metabolite production profile**

317 Inspection of chromatograms and determination of the relative concentrations of major  
318 compounds with reference to an internal standard revealed an overall increase in compound  
319 concentrations for the major peaks at 4°C for *Atracidymella* sp. The other two isolates,  
320 *Pseudogymnoascus* sp. and *Penicillium flavigenum*, differed with higher concentrations  
321 obtained at 15°C. An overall reduction of concentrations produced by all strains was  
322 observed at 10°C (Figure 1 a, b, c). These data confirm that culture temperature influences  
323 the production of secondary metabolites in all three tested fungal strains, although not in a  
324 simple fashion.

325 Environmental stressors such as temperature, ultra-violet radiation, water availability,  
326 salinity, pH, micronutrient and water availability, and CO<sub>2</sub> concentration are important  
327 factors that affect the physiology and metabolic pathways of fungi (Magan, 2007). These  
328 factors play crucial roles in determining microbial community composition in soils (Castro et  
329 al., 2010) and small shifts in these abiotic factors could have significant effects on the growth  
330 and productivity of key microorganisms (Bell, 2013). Although the above-mentioned  
331 environmental factors often do not act independently in polar soil ecosystems (Peck, 2006),  
332 temperature is a critical environmental variable that affects soil ecology in the polar regions  
333 (Convey et al., 2014), and seasonally changing temperatures influence the relative abundance  
334 of microorganisms (Bell, 2013). Temperature is an important environmental factor that  
335 influences physiological function of all organisms. This is particularly the case for  
336 microorganisms as they are poikilotherms whose temperature follows that of their external  
337 environment. Temperature variation in any given habitat is a natural phenomenon, taking

338 place over various timescales from the immediate and dynamic, through diurnal to seasonal  
339 and longer, and these variations can have important impacts on the survival and evolution of  
340 microorganisms (Convey et al., 2014; Davey et al., 1992; Peck et al., 2006). In polar soils,  
341 microbial activities have been demonstrated at temperatures as low as -15°C (Steven, 2007).  
342 Significant effects of microbial competition on biogeochemical flux were observed over the  
343 summer where warmer temperatures led to an increase in overall activity (Bell, 2013). In the  
344 current study, we have demonstrated that culture temperatures clearly affect the production of  
345 secondary metabolites in the three polar soil fungal strains examined in more detail, but clear  
346 and consistent patterns did not emerge and the fungal responses were complex, as also noted  
347 by Shohael (2006).

### 348 **3.3 Effects of temperature on antimicrobial activity**

349 Microorganisms, particularly soil-dwelling fungi, are known to produce a number of  
350 secondary metabolites with antimicrobial properties as a defence mechanism, thought to aid  
351 in competition for resources (Gallo et al., 2004), and temperature has also been reported to  
352 exert a profound effect on the antimicrobial production and activity of fungi (Himabindu and  
353 Jetty, 2006). Castro (2010) demonstrated a substantial increase in antagonistic activity  
354 between many Arctic soil bacterial isolates with increased temperature, proposing two  
355 possible explanations: 1) increased production of antimicrobials or, 2) shifts in relative  
356 growth rates. In the current study the antimicrobial activities of the selected  
357 *Pseudogymnoascus* sp. strain tested varied at different temperatures (Table 2). After crude  
358 extraction for the disk diffusion assay, the extracts obtained from incubations at 4°C or 15°C  
359 inhibited four of the five tested human pathogens (*E. coli*, *B. subtilis*, *S. aureus* and *C.*  
360 *albicans*). The widest diameters of the zones of inhibition, inferred to indicate the greatest  
361 activity, were observed for extracts from cultures incubated at 15°C (Table 2). Only two test  
362 organisms (*B. subtilis* and *C. albicans*) were inhibited at 10°C. All extracts were able to



363 inhibit the yeast *C. albicans*, although the diameter of the zone of inhibition reduced with  
364 increasing temperature.

365 Three of the five test microorganisms were inhibited by *Penicillium flavigenum* crude  
366 extracts obtained at 10 and 15°C. At 4°C two test microorganisms were inhibited and at 28°C  
367 only *C. albicans* was inhibited. *S. aureus* was only inhibited by the crude extracts produced at  
368 10 and 15°C. *P. aeruginosa* and *E. coli* were not inhibited by any of the crude extracts.  
369 Although the ability to produce compounds that inhibited the test organisms appeared to be  
370 retained over a wide range of culture temperatures, when exposed to the highest experimental  
371 temperature the inhibitory ability reduced dramatically.

372 All crude extracts obtained from cultures at 10°C showed moderate biological activity (Table  
373 2). Good inhibitory activity was visible at 4 and 28°C for *Pseudogymnoascus* sp. and  
374 *Atradiidymella* sp. The crude extract of *P. flavigenum* exhibited moderate activity across all  
375 culture temperatures. This suggests that the responses of the fungi tested here to temperature  
376 were species-specific. More detailed studies of the optimal growth conditions and production  
377 of secondary metabolites, along with formal identification of specific metabolites, of each of  
378 these strains are required to further understand the relationship between growth dynamics and  
379 secondary metabolite production at different temperatures.

#### 380 **4. Conclusions**

381 This study investigated the antimicrobial properties of 40 cold-adapted soil fungi from the  
382 Arctic and Antarctic. The data obtained showed that 45% of tested fungal cultures expressed  
383 at least some antimicrobial activity towards at least one of the five human pathogenic bacteria  
384 tested. *Pseudogymnoascus* sp., *P. flavigenum* and *Atradiidymella* sp. showed the strongest  
385 antimicrobial activity. These taxa are found abundantly in polar soils and have also been  
386 reported to exhibit antifungal activities and produce cold-adapted enzymes, consistent with

387 active roles in decomposition and nutrient recycling in the soil ecosystem. Our study provides  
388 further confirmation that these species are rich producers of extracellular substances. Culture  
389 temperature clearly influenced the production of secondary metabolites, including  
390 compounds with antibacterial activity, in *Pseudogymnoascus* sp., *Penicillium flavigenum* and  
391 *Atracidymella* sp. Further genomic and functional analyses of interspecific competition and  
392 synergistic interactions in polar soil microbial communities are required to help identify the  
393 effects of climate variability and warming on soil ecosystems.

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Table 1. Bioactivity of fungal isolates against five test microorganisms.

| Strain code             | Test microorganism       |                         |                        |                               |                             |
|-------------------------|--------------------------|-------------------------|------------------------|-------------------------------|-----------------------------|
|                         | <i>Bacillus subtilis</i> | <i>Escherichia coli</i> | <i>Bacillus cereus</i> | <i>Pseudomonas aeruginosa</i> | <i>Escherichia faecalis</i> |
| AK07KGI102 R2-3         | -                        | -                       | +++                    | -                             | -                           |
| AK07KGI 301 R2-2 ASCO 6 | ++                       | -                       | -                      | -                             | -                           |
| AK07KGI 102 R2-3 SP 1   | -                        | -                       | +++                    | -                             | -                           |
| AK07KGI 105 R3-2 SP 17  | -                        | -                       | -                      | -                             | -                           |
| AK07KGI 1001 R1-1 SP 2  | -                        | -                       | -                      | -                             | -                           |
| HND 10 R2-4             | +                        | -                       | -                      | -                             | -                           |
| HND 9 R1-1 SP 34        | +                        | -                       | -                      | -                             | -                           |
| HND 11 R2-2 SP 36       | +                        | -                       | -                      | -                             | -                           |
| HND 10R1-3              | +                        | -                       | -                      | -                             | -                           |
| HND 5 R5-3              | -                        | -                       | -                      | -                             | -                           |
| HND 2 R8-2              | -                        | -                       | -                      | -                             | -                           |
| HND 1 R7-2              | -                        | -                       | -                      | -                             | -                           |
| HND 4 R7-3              | ++                       | -                       | -                      | -                             | -                           |
| AK07KGI 102 R1-4 SP 5   | +++                      | -                       | ++                     | +++                           | -                           |
| AK07KGI 102 R3-3 SP 30  | -                        | -                       | +++                    | -                             | -                           |
| AK07KGI 102 R3-1 SP 16  | -                        | -                       | -                      | -                             | -                           |
| AK07KGI 1001 R3-2 SP 1  | ++                       | -                       | -                      | -                             | -                           |
| AK07KGI 105 R3-1        | -                        | -                       | -                      | -                             | -                           |
| HND 12 R8-1 SP 5        | -                        | -                       | -                      | -                             | -                           |
| HND 2 R5-3              | -                        | -                       | -                      | -                             | -                           |
| HND 1 R7-3              | -                        | -                       | -                      | -                             | -                           |

|                         |     |     |    |    |   |
|-------------------------|-----|-----|----|----|---|
| AK07KGI 102 R2-1 SP 18  | ++  | -   | -  | -  | - |
| HND 7 R1-1              | -   | -   | -  | -  | - |
| HND 5 R6-2              | -   | -   | -  | -  | - |
| AK07KGI 103 R2-1        | +   | -   | -  | -  | - |
| HND 7 R6-3C             | -   | -   | -  | -  | - |
| AK07KGI 102 R1-3 ASCO 2 | ++  | -   | -  | -  | - |
| AK07KGI 102 R3-2 ASCO 4 | -   | -   | -  | -  | - |
| HND 11 R8-1             | +++ | +++ | ++ | ++ | - |
| HND 2 R5-4              | +++ | -   | -  | -  | - |
| HND 4 R5-1              | -   | -   | -  | -  | - |
| AK07KGI 101 R2-3SP 1    | -   | -   | -  | -  | - |
| AK07KGI 101 R3-1 SP 2   | -   | -   | -  | -  | - |
| AK07KGI 1001 R2-1       | -   | -   | -  | -  | - |
| AK07KGI 102 R3-1 SP 2   | -   | -   | -  | -  | - |
| HND 12 R2-1             | -   | -   | -  | -  | - |
| AK07KGI 1001 R1-1 SP 4  | ++  | -   | -  | -  | - |
| HND 12 R8-2(2)          | -   | -   | -  | -  | - |
| AK07KGI 102 R1-4 SP 16  | -   | -   | -  | -  | - |
| AK07KGI 2001 R2-1 SP 1  | -   | +   | -  | -  | - |

\* Activities are classified as: - = no activity, weak activity = (+), moderate activity = (++)  
high activity = (+++).

Table 2. Antimicrobial activity of *Atradiidymella* sp., *Pseudogymnoascus* sp., and *Penicillium flavigenum* crude extracts measured at different temperatures.

| Isolates                                    | Test organisms       | mean $\pm$ standard deviation diameter of inhibition zone (mm) |                |                |                |
|---|----------------------|--|----------------|----------------|----------------|
|   |                      | at different culture temperatures                              |                |                |                |
|   |                      | 4°C  | 10°C           | 15°C           | 28°C           |
| HND 10<br>( <i>Atradiidymella</i> sp.)      | <i>E. coli</i>       | 0.0  | 7.0 $\pm$ 0.5  | 7.0 $\pm$ 1.0  | 0.0            |
|   | <i>B. subtilis</i>   | 0.0  | 0.0            | 6.0            | 7.0 $\pm$ 0.5  |
|   | <i>S. aureus</i>     | 15.0 $\pm$ 0.5   | 9.0 $\pm$ 0.5  | 7.0 $\pm$ 1.0  | 9.0 $\pm$ 1.73 |
|   | <i>P. aeruginosa</i> | 0.0  | 0.0            | 0.0            | 0.0            |
|   | <i>C. albicans</i>   | 11.0   | 13.0 $\pm$ 0.5 | 15.0           | 15.0           |
| AK 102<br>( <i>Pseudogymnoascus</i> sp.)    | <i>E. coli</i>       | 23.0 $\pm$ 1.7   | 0.0            | 24.0 $\pm$ 1.5 | 0.0            |
|   | <i>B. subtilis</i>   | 16.0 $\pm$ 1.0   | 6.0 $\pm$ 0.5  | 17.0 $\pm$ 1.7 | 7.0 $\pm$ 0.5  |
|   | <i>S. aureus</i>     | 13.0 $\pm$ 1.7   | 0.0            | 18.0 $\pm$ 1.3 | 16.0 $\pm$ 1.7 |
|   | <i>P. aeruginosa</i> | 0.0  | 0.0            | 0.0            | 0.0            |
|   | <i>C. albicans</i>   | 18.0 $\pm$ 0.5   | 10.0 $\pm$ 0.5 | 18.0           | 8.0            |
| HND 11<br>( <i>Penicillium flavigenum</i> ) | <i>E. coli</i>       | 0.0  | 0.0            | 0.0            | 0.0            |
|   | <i>B. subtilis</i>   | 7.0  | 7.0 $\pm$ 1.5  | 7.0            | 0.0            |
|   | <i>S. aureus</i>     | 7.0  | 8.0 $\pm$ 1.0  | 7.0 $\pm$ 1.5  | 0.0            |
|   | <i>P. aeruginosa</i> | 0.0  | 0.0            | 0.0            | 0.0            |
|   | <i>C. albicans</i>   | 8.0  | 7.0 $\pm$ 1.6  | 7.0            | 7.0            |

| Strain ID               | Collection Location                         | Thermal class   | (Genebank Accession no) | Identity                      |
|-------------------------|---|-----------------|-------------------------|-------------------------------|
| AK07KGI 102 R2-1 SP18   | King George Island                          | Psychrophillic  | KY646431                | <i>Pseudorotium</i> .sp       |
| AK07KGI 2001 R2-1 SP1   | King George Island                          | Psychrophillic  | KY646433                | <i>Pseudogymnoascus</i> .sp   |
| AK07KGI 102 R1-4 SP5    | King George Island                          | Psychrotolerant | KY623481                | <i>Pseudogymnoascus</i> .sp   |
| AK07KGI 1001 R3-2 SP 1  | King George Island                          | Psychrophillic  | KY646435                | <i>Pseudogymnoascus</i> .sp   |
| AK07KGI 105 R3-2 SP17   | King George Island                          | Psychrophillic  | n/a                     | n/a                           |
| AK07KGI 103 R2-1        | King George Island                          | Psychrophillic  | n/a                     | n/a                           |
| AK07KGI 102 R2-3 ASCO 2 | King George Island                          | Psychrophillic  | KY646438                | <i>Penicillium commune</i>    |
| AK07KGI 101 R2-3SP 1    | King George Island                          | Psychrophillic  | n/a                     | n/a                           |
| AK07KGI 102 R3-3 SP 30  | King George Island                          | psychrotolerant | n/a                     | n/a                           |
| AK07KGI 301 R3-3 SP2    | King George Island                          | psychrotolerant | KY646432                | <i>Pseudogymnoascus</i> .sp   |
| AK07KGI 102 R1-4 SP16   | King George Island                          | Psychrotolerant | KY646441                | <i>Tausonia pullulans</i>     |
| AK07KGI 301 R2-2 ASCO 6 | King George Island                          | Psychrophillic  | n/a                     | n/a                           |
| AK07KGI 101 R3-1 SP 2   | King George Island                          | Psychrophillic  | KY623479                | <i>Leuconeuospora</i> .sp     |
| AK07KGI 102 R3-1 SP 2   | King George Island                          | Psychrophillic  | n/a                     | n/a                           |
| AK07KGI 102 R3-2 ASCO 4 | King George Island                          | Psychrophillic  | n/a                     | n/a                           |
| AK07KGI 1001 R1-1 SP4   | King George Island                          | Psychrophillic  | n/a                     | n/a                           |
| AK07KGI 102 R2-3 SP1    | King George Island                          | Psychrotolerant | KY623480                | <i>Penicillium commune</i>    |
| AK07KGI 1001 R1-1 SP2   | King George Island                          | Psychrophillic  | KY646437                | <i>Pseudgymnoascus</i> .sp    |
| AK07KGI 1001 R2-1       | King George Island                          | Psychrophillic  | KY646434                | <i>Pseudogymnoascus</i> .sp   |
| AK07KGI 105 R3-1        | King George Island                          | Psychrophillic  | KY646436                | <i>Pseudogymnoascus</i> .sp   |
| AK07KGI 102 R3-1 SP 16  | King George Island                          | Psychrotolerant | KY646440                | <i>Tausonia pullulans</i>     |
| HND11 R8-1              | Hyrneodden peninsula, Mariesletta, Hornsund | Psychrophillic  | KY623482                | <i>Penicillium flavigenum</i> |
| HND10 R2-4              | Hyrneodden peninsula,                       | Psychrotolerant | KY934270                | <i>Atradiymella</i> sp        |

|                  |  |                 |          |                               |
|------------------|--|-----------------|----------|-------------------------------|
|                  | Mariesletta,<br>Hornsund                                     |                 |          |                               |
| HND2 R5-4        | Hyettevika,Hornsund  | Psychrophillic  | n/a      | n/a                           |
| HND5 R6-2        | Ralstranda,<br>Hornsund                                      | Psychrophillic  | KY646429 | <i>Isafaria farinosa</i>      |
| HND5 R5-3        | Ralstranda,<br>Hornsund                                      | Psychrophillic  | KY623484 | <i>Isafaria farinosa</i>      |
| HND2 R8-2        | Hyettevika,Hornsund  | Psychrophillic  | KY646428 | <i>Isafaria farinosa</i>      |
| HND2 R5-3        | Hyettevika,Hornsund  | Psychrophillic  | KY646439 | <i>Penicillium flavigenum</i> |
| HND1 R7-2        | North-western<br>slopes of<br>Kvartsittknattane,<br>Hornsund | Psychrophillic  | KY646430 | <i>Phialocephala</i> sp.      |
| HND12 R8-1 SP 5  | Hyrneodden<br>peninsula,<br>Mariesletta,<br>Hornsund         | Psychrophillic  | KY646427 | <i>Isaria farinosa</i>        |
| HND7 R6-3        | North-western<br>slopes of<br>KvartsittknattaneH<br>ornsund  | Psychrophillic  | n/a      | n/a                           |
| HND4 R5-1        | Revdalen,<br>Hornsund  | Psychrophillic  | KY623483 | <i>Tausonia pullulans</i>     |
| HND4 R7-3        | Revdalen,<br>Hornsund  | Psychrophillic  | n/a      | n/a                           |
| HND11 R2-2 SP 36 | Hyrneodden<br>peninsula,<br>Mariesletta,<br>Hornsund         | Psychrotolerant | n/a      | n/a                           |
| HND9 R1-1 SP 34  | Hyrneodden<br>peninsula,<br>Mariesletta,<br>Hornsund         | Psychrotolerant | n/a      | n/a                           |
| HND1 R7-3        | North-western<br>slopes of<br>KvartsittknattaneH<br>ornsund  | Psychrophillic  | n/a      | n/a                           |
| HND12 R2-1       | Hyrneodden<br>peninsula,<br>Mariesletta,<br>Hornsund         | Psychrotolerant | n/a      | n/a                           |
| HND12 R8-2(2)    | Hyrneodden<br>peninsula,<br>Mariesletta,<br>Hornsund         | Psychrophillic  | n/a      | n/a                           |
| HND10 R1-3       | Hyrneodden<br>peninsula,                                     | Psychrotolerant | n/a      | n/a                           |

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|           |                            |                |     |     |
|-----------|----------------------------|----------------|-----|-----|
|           | Mariesletta,<br>Hornsund   |                |     |     |
| HND7 R1-1 | Rotjesfjellet,<br>Hornsund | Psychrophillic | n/a | n/a |

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ACCEPTED MANUSCRIPT



Figure 1 a. Changes in relative concentrations of major secondary metabolite peaks produced by *Atracidymella* sp. at 4, 10, 15 and 28°C.

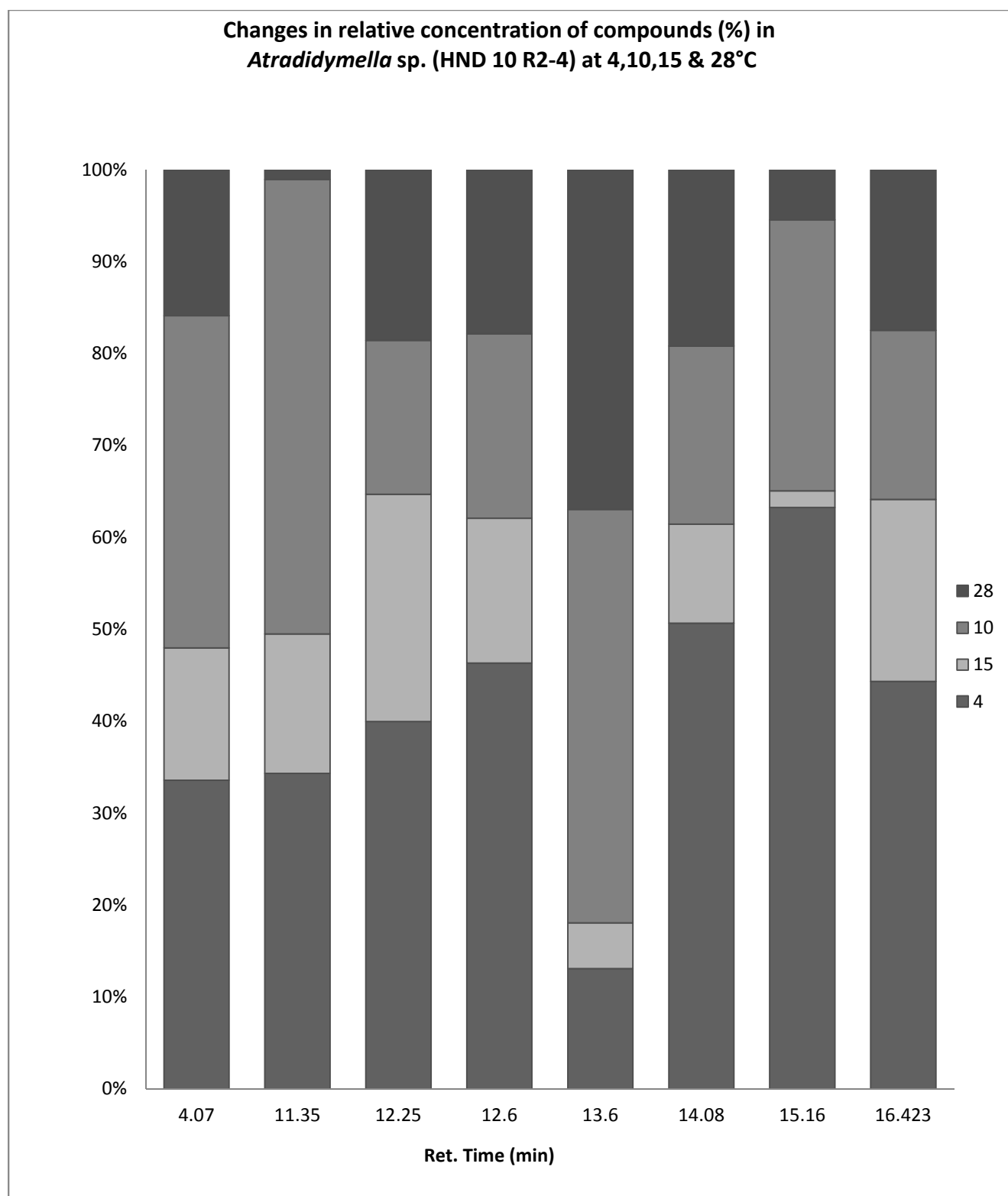


Figure 1 b. Changes in relative concentrations of major secondary metabolite peaks produced by *Pseudogymnoascus* sp. at 4, 10, 15 and 28°C.

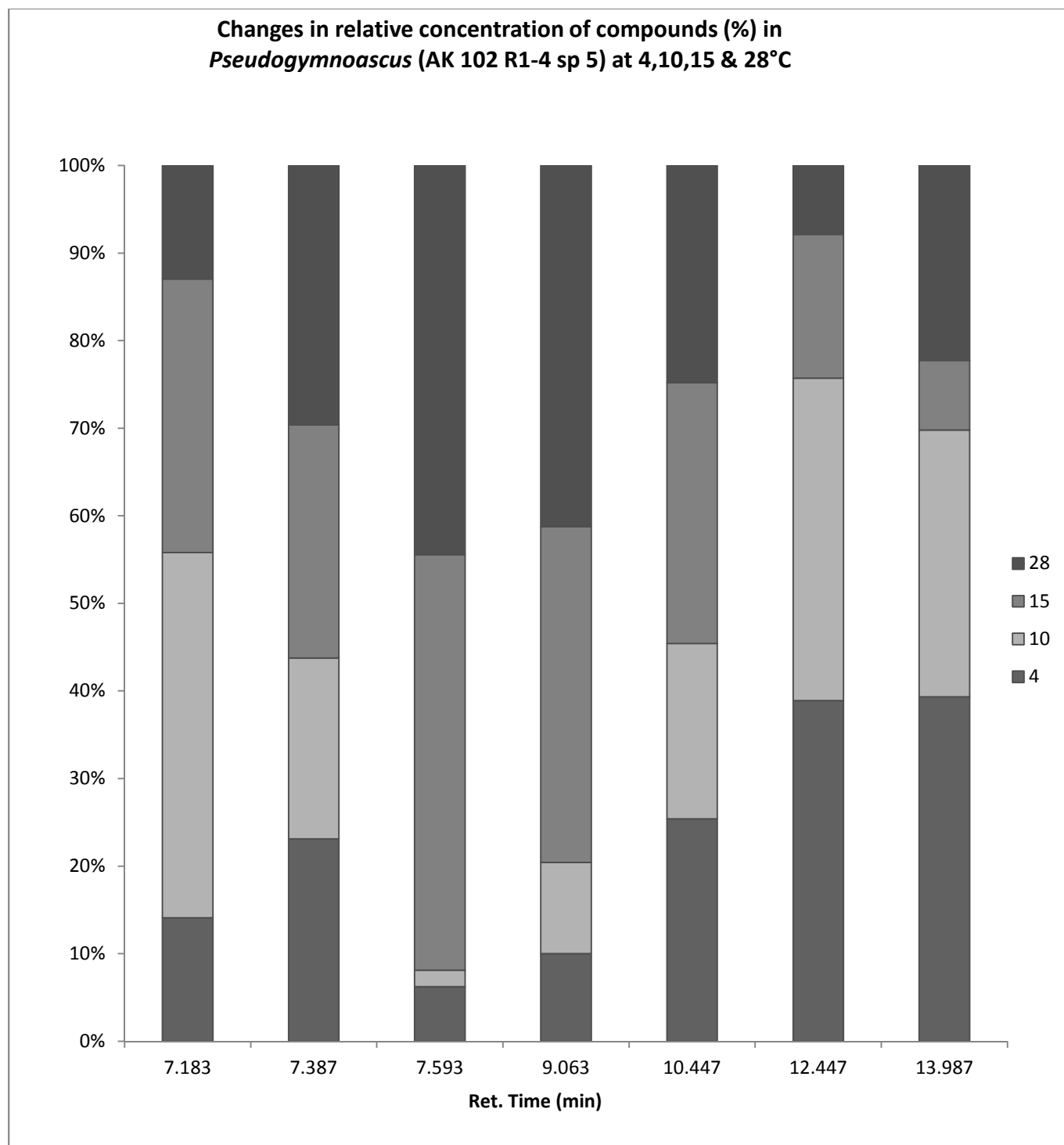


Figure 1 c. Changes in relative concentrations of major secondary metabolite peaks produced by *Penicillium flavigenum* at 4, 10, 15 and 28°C.

