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Low Temperature Response of Nanostructured Tungsten Oxide Thin Films towards Hydrogen and Ethanol

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Abstract

Semiconducting metal oxide based gas sensors usually operate in the temperature range 200-500°C. In this paper, we present a new WO₃ thin film based gas sensor for H₂ and C₂H₅OH, operating at 150°C. Nanostructured WO₃ thin films were synthesized by thermal evaporation method. The properties of the as-deposited films were modified by annealing in air at 300°C and 400°C. Various analytical techniques such as AFM, TEM, XPS, XRD and Raman spectroscopy have been employed to characterize their properties. A clear indication from TEM and XRD analysis is that the as-deposited WO₃ films are highly amorphous and no improvement is observed in the crystallinity of the films after annealing at 300°C. Annealing at 400°C significantly improved the crystalline properties of the films with the formation of about 5 nm grains. The films annealed at 300°C show no response to C₂H₅OH (ethanol) and a little response to H₂, with maximum response obtained at 280°C. The films annealed at 400°C show a very good response to H₂ and a moderate response to C₂H₅OH (ethanol) at 150°C. XPS analysis revealed that annealing of the WO₃ thin films at 400°C produces a significant change in stoichiometry, increasing the number of oxygen vacancies in the film, which is highly beneficial for gas sensing. Our results demonstrate that gas sensors with significant performance at low operating temperatures can be obtained by annealing the WO₃ films at 400°C and optimizing the crystalline and nanostructure of the as-deposited films.

Keywords: Tungsten oxide, thin films, gas sensing, thermal evaporation, nanostructured.

1. Introduction

Tungsten oxide (WO_3) is a well-known n-type semiconductor with a band gap of 2.6 -3.6 eV [1, 2], used not only in catalytic/photocatalytic [3] and electrochromic [4] applications but also as solid state gas sensors [4, 5]. Conductometric gas sensors based on the variation of resistivity in thin films are very appealing technologically due to their small size, low cost and low power consumption [5]. The gas sensing performance depends mainly on the method of preparation and resulting microstructure. A number of techniques such as sputtering [6, 7], thermal oxidation [8], thermal evaporation [9], advanced gas deposition [10] and solgel [11] have been used to deposit WO_3 thin films. Each technique has its own advantages and limitations. The sensing properties of the material depend on its microstructure and reactivity of the film surface, as sensors are strongly influenced by presence of oxidizing or reducing gases on the surface. The reactivity is enhanced by presence of defects and adsorbates such as O_2^- , O^{2-} or O^- [12]. The gas sensing properties can be enhanced by reducing the grain size [13], adding impurities [14-17] and modifying the surface morphology and porosity of the films [18]. Inclusion of noble metal impurities such as Au, Ag, Pd or metal oxides such as TiO_2 in WO_3 thin films have shown an improved sensitivity towards various gases [15, 18]. Nanostructures such as In_2O_3 nanowires/nanoneedles and ZnO nanorods have been successfully used to improve sensing performance towards hydrogen [19, 20]. Nanostructured tungsten oxide based conductometric gas sensors have been extensively investigated for H_2S [21], NH_3 [8, 18], NO_x [15, 22-25], O_3 [26], H_2 [27, 28] and ethanol [29-33] detection. WO_3 thin films doped with Pt and Pd have shown a good response to H_2 at 200°C [34, 35]. Iron addition lower than 10 at.% to WO_3 films prepared by reactive RF sputtering produced an enhancement in sensor response when exposed to NO_2 [36]. Improvement in sensing response of WO_3 films to ethanol has also been achieved by reactive rf sputtering process with interruptions [37]. The sensitivity of WO_3 films towards ethanol has been largely attributed to desorption of oxygen at the surface of grains [29-33]. However, as for any other metal oxide based gas sensors, the WO_3 based gas sensors operate efficiently only in the temperature range 200°C - 500°C [38]. Recently, there have been lots of attempts to lower the optimum operating temperature of WO_3 based sensors by different fabrication routes [39-41]. For example, deposition techniques such as solgel/calcination and reactive dc magnetron sputtering/annealing have shown a good sensitivity of WO_3 films towards NO_2 at 35°C [42] and 150°C [43] respectively. However, low temperature response of WO_3 thin films prepared by thermal evaporation technique has not been investigated.

The aim of this paper is to investigate the gas sensing performance of thermally evaporated WO_3 thin films at lower operating temperatures towards hydrogen and ethanol. The effect of heat treatment

on the physical, chemical, electronic and gas sensing properties of WO₃ thin films will be analyzed. The film thickness, grain size and purity can be controlled by varying the deposition parameters. Atomic force microscopy (AFM) was used in conjunction with Transmission Electron Microscopy (TEM) to study the surface morphology and grain size. The crystalline properties and phases were determined by XRD and Raman analysis. XPS was used to determine the chemical composition of the films. The gas sensing properties were characterized by using a conductometric gas measurement.

2. Experimental methods

2.1 Sample preparation

Thermal evaporation was used to deposit WO₃ thin films on silica substrate with interdigitated Pt electrodes (Electronics Design Center, Case Western Reserve University, Cleveland, USA). The area of the substrate was 8 mm x 8 mm. The electrode fingers, spaced 100 μm, have a line thickness of 100 μm and a height of 300 nm, respectively. Tungsten oxide (99.9% purity, grain size 20 μm) obtained from Sigma Aldrich Pty Ltd, was used as evaporation source. Before deposition, the powder was placed in desiccator to avoid any moisture and contamination. A bell jar type PVD unit (Varian Coater with AVT Control System, Australia) was used to deposit the WO₃ thin films at a typical pressure of 4×10^{-5} mbar. The substrates were mounted on a substrate holder placed at a distance of 38 cm in line of sight from the evaporation source. The WO₃ thin film deposition was carried out at a rate of 35 nm s⁻¹. A quartz crystal microbalance was used to limit the film thickness to 300 nm. The effect of grain size, porosity, crystallinity and heat treatment for various films with thickness of 300 nm has been measured. The as-deposited films were annealed at 300°C and 400°C for 2 hours in air to improve the microstructural, crystalline and chemical (stoichiometric) properties of the films.

2.2 Sample characterization

A JEOL 1200 TEM at 120 kV was used to investigate the size and shape of WO₃ nanoparticles and crystalline structure of the film. The surface morphology of the films was studied by AFM in semi-contact mode with an NT-MDT P47 Solver Scanning Probe Microscope. The WO₃ film surface was scanned with a silicon tip (radius of curvature 10 nm) over an area ranging from (500 x 500) nm² to (2000 x 2000) nm². The mean grain size, the grain distribution and the surface roughness were determined by using the Nova NT-MDT Image Analysis Software. XPS analysis was carried out using Kratos AXIS Ultra XPS incorporating a 165 mm hemispherical electron energy analyzer, and using a monochromatic Al Kα source (1486.6 eV) operated at 150 W (15 kV, 15 mA) incident at 45° with respect to the sample surface, and a line width of 0.2 eV. Photoelectron data were collected at 90°. Survey scans were taken at 160 eV pass energy and multiple high resolution scans at 20 eV. Survey

scans were carried out over 1200-0 eV binding energy with 1.0 eV steps and a dwell time of 100 ms. Narrow high-resolution scans were run with 0.05 eV steps and 250 ms dwell time. Base pressure in the analysis chamber was 1.3×10^{-9} mbar and during sample analysis 1.3×10^{-8} mbar. Grazing Incidence X-ray Diffraction (GIXRD) analysis was performed on PANalytical XPert Pro Multi Purpose Diffractometer (MPD). A Cu K_{α} radiation of wavelength 1.540 Å was used. The incident angle was kept at 2° and the 2θ range was kept between 10° to 85° with a step size of 0.05° . Raman measurements were performed using an Oceanoptics QE 6500 spectrometer. A 532 nm line from an argon ion laser was used as the excitation source. To avoid local heating of the samples, a low power of about 5 mW was used. A Raman shift between wavenumbers 200 cm^{-1} and 1200 cm^{-1} has been measured.

2.3 Gas Sensing Measurements

The WO_3 thin film based gas sensors were exposed to H_2 (10-10,000 ppm) and $\text{C}_2\text{H}_5\text{OH}$ (12-185 ppm) in the temperature range 100°C - 280°C . The gases were diluted in synthetic air to achieve the desired concentrations. For all the experiments, the total flow was adjusted to 200 sccm. The response curve was recorded under a continuous flow of known amount of target gas. A sequence control computer was utilized to computerize the pulse sequence of the target gas concentrations. Initially, synthetic air was passed through the chamber at testing temperature until a stable baseline resistance was observed. Then a sequence of target gas pulses was generated for 10 minutes followed by synthetic air pulse. This procedure was continued until a stable baseline was observed after alternate pulses. This was followed by the experimental sequence of pulses with data recording. Each sensor was tested at different temperatures ranging from 100°C to 280°C at 50°C intervals under various concentrations of H_2 and ethanol, determining the optimum operating temperature by comparing the response amplitude achieved. Finally two full range tests for each sensor were performed at the optimum operating temperature for each gas. The response amplitude (S) of the films is defined as the ratio:

$$S = \frac{R_{air} - R_{gas}}{R_{gas}} \quad (1)$$

where R_{air} is the resistance in air under stationary conditions and R_{gas} represents the resistance after the sensor is exposed to the target gas during a definite time. Equation (1) can be applied for n-type material such as WO_3 and reducing gases such as H_2 and $\text{C}_2\text{H}_5\text{OH}$.

3. Results and Discussions

3.1 Thin Film Analysis

The GIXRD patterns of WO_3 films before and after annealing (at 300°C and 400°C) are shown in Figure 1. The as-deposited and 300°C annealed films do not show any diffraction pattern which indicates that the as-deposited WO_3 film is highly amorphous and annealing the WO_3 film at 300°C for 2 hours in air did not induce significant crystallinity in the film. However, after annealing at 400°C , significant crystallinity is observed in the film, indicated by appearance of diffraction peaks in GIXRD pattern. The peaks obtained at $2\theta = 24.112^\circ$, 28.538° , 34.361° , 41.615° , 49.843° , 55.684° , 61.941° are closely related to monoclinic WO_3 phase [44]. It should be noted that the lattice parameters of orthorhombic WO_3 phase are very similar to monoclinic phase, and thus these two phases cannot be distinguished within the accuracy of GIXRD data. It is reported that the two intense peaks observed at $2\theta=24.278^\circ$ and 34.117° , belong to (2 0 0) and (2 2 0) monoclinic planes of WO_3 corresponding to $d=3.663^\circ$ and 2.626 \AA , respectively [45]. The lattice parameters were found to be $a = 7.375 \text{ \AA}$, $b = 7.375 \text{ \AA}$ and $c = 3.903 \text{ \AA}$ and its unit cell volume is about 212.38 \AA^3 .

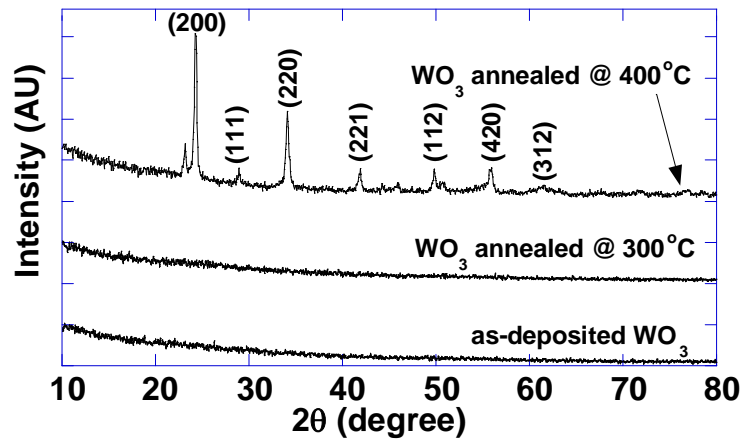


Figure 1. GIXRD spectra of as-deposited and annealed nanostructured WO_3 thin films.

Figure 2 (a,b) shows the surface topography of the as-deposited and 300°C annealed WO_3 films obtained using AFM semicontact mode. The topography of the as-deposited film (Figure 2a) reveals a nanostructured surface made up of particle clusters with 0.5 nm roughness and a mean size of 13 nm . It appears that the high evaporation rate during film deposition resulted in highly amorphous films made up of clusters (particles). Annealing of the as-deposited WO_3 film at 300°C for 2 hours in air slightly reduced the particle size from 13 nm to 10 nm without significant change in roughness (Figure 2b). Annealing of the WO_3 films at 400°C in air for 2 hours resulted in very fine grains of size 5 nm as shown from the TEM image in Figure 2c. The nucleation, successive grain growth and coalescence during annealing at 400°C transformed the clusters into smaller grains.

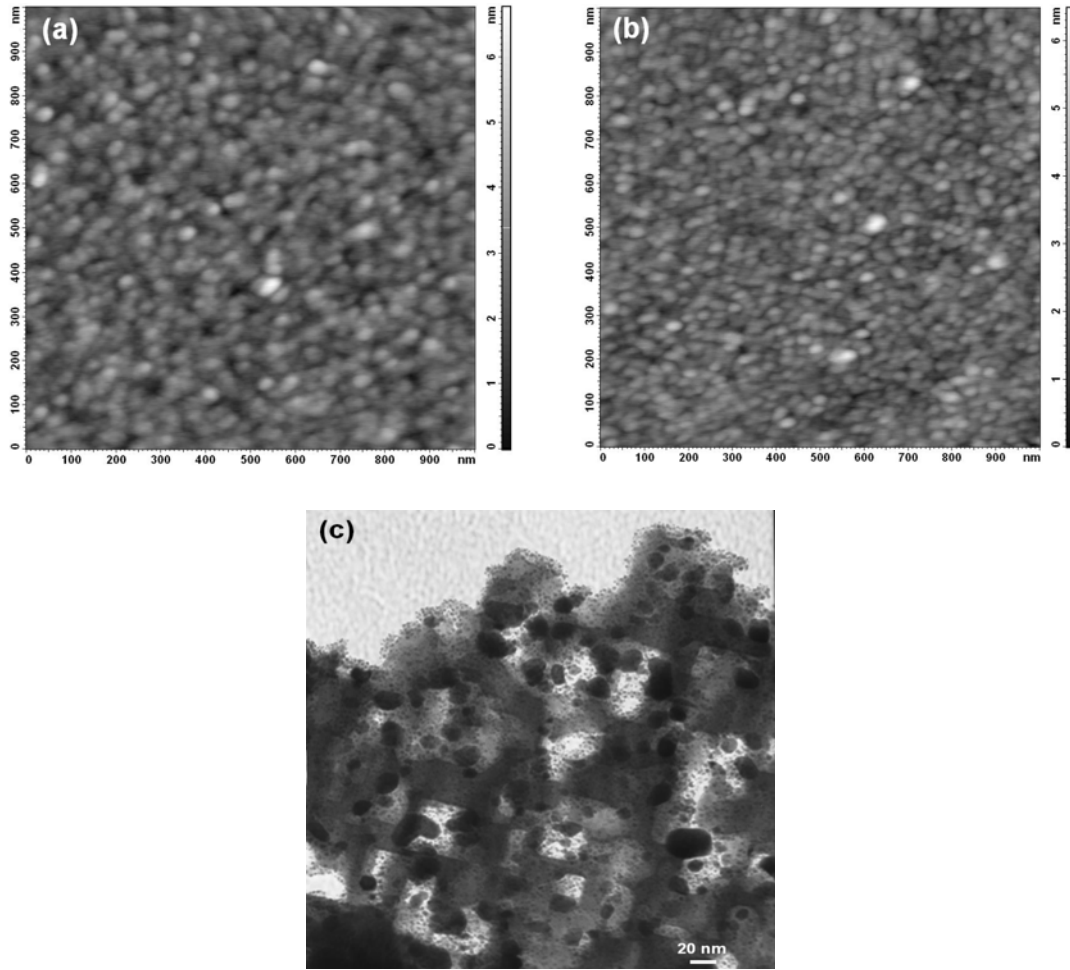


Figure 2. AFM semicontact mode images of (a) as-deposited, (b) 300°C annealed WO_3 and (c) TEM image of 400°C annealed nanostructured WO_3 films.

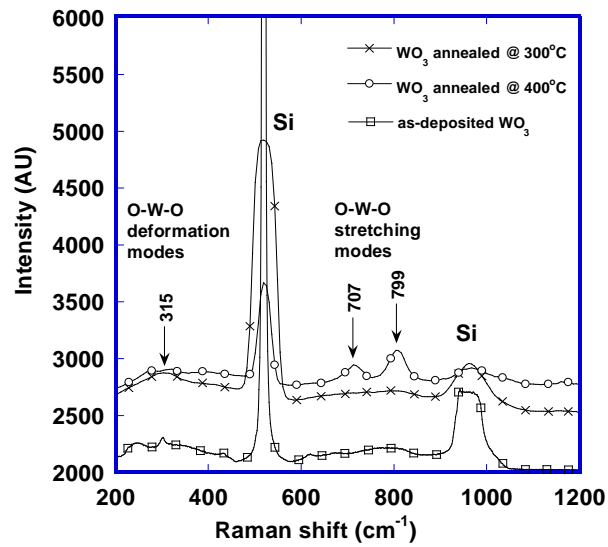


Figure 3: Raman spectra of as-deposited and annealed nanostructured WO_3 films.

The Raman spectra of as-deposited and annealed WO₃ films are shown in Figure 3. Two characteristic Raman bands are associated with WO₃. The first band, associated with O-W-O bending vibration modes, lies between 200 and 500 cm⁻¹. The second band lies in the range 600-1000 cm⁻¹ and is associated with O-W-O stretching vibration modes. The as-deposited WO₃ film exhibited two weak and broad Raman bands centered at 315 cm⁻¹ and 799 cm⁻¹, respectively. These features are characteristic of amorphous materials and are usually assigned bending and stretching vibration modes of the monoclinic WO₃ phase [46]. The amorphous nature of as-deposited WO₃ films is also confirmed by GIXRD analysis. The film annealed at 300°C also appears to be amorphous with a slight broadening of the peak at 315 cm⁻¹. However, the appearance of two O-W-O stretching modes peaks at 707 cm⁻¹ and 799 cm⁻¹ [47] confirm the increase of crystallinity of the WO₃ film after annealing at 400°C, confirming the results from GIXRD analysis. Raman analysis shows that films annealed at 300°C are still amorphous. Annealing at a higher temperature of 400°C induced significant crystallinity in the film.

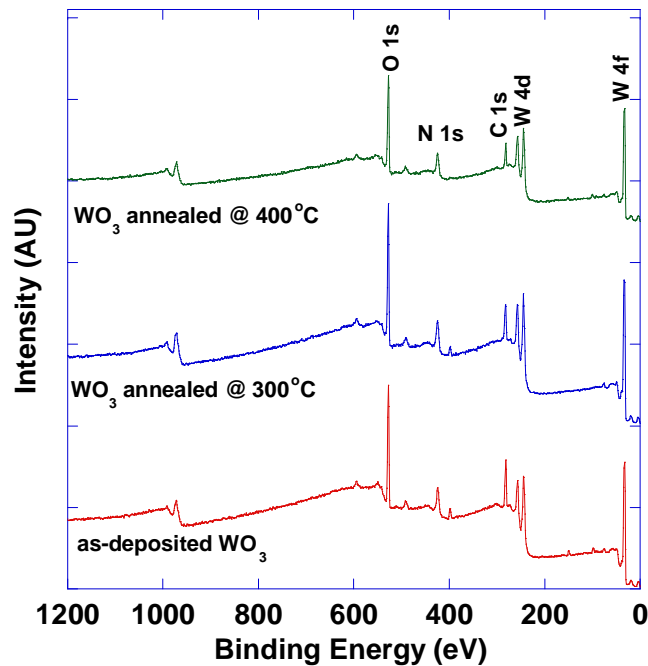


Figure 4: XPS wide survey scans of as-deposited and annealed nanostructured WO₃ films.

Figure 4 shows the XPS spectra obtained from wide survey scans on the surface of as-deposited and annealed WO₃ films between binding energies 0 and 1200 eV. Peaks of O, N, C and W are observed in all the films. Presence of carbon and nitrogen on the surface is attributed to atmospheric contamination. The C peak measured at binding energy of 284.80 eV coincides with literature reported [48] C 1s binding energy and is used as energy reference for the XPS measurements. It can be observed from Figure 4 that with increasing annealing temperature, the intensity of O and C peaks drops slightly, indicating desorption of surface contamination upon annealing.

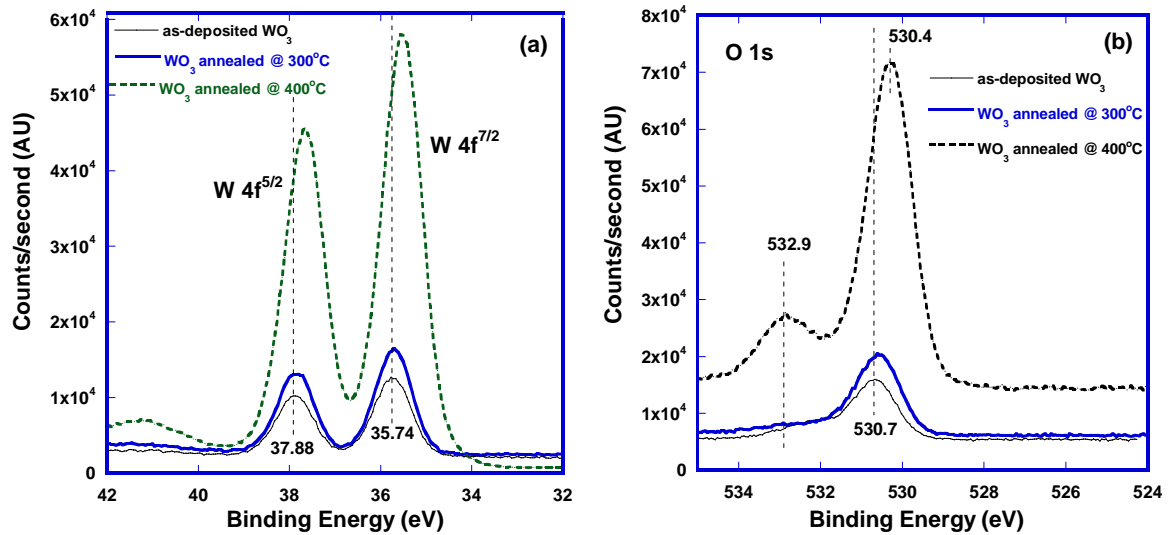


Figure 5: High resolution XPS core level W 4f (a) and O 1s (b) spectra of as-deposited and annealed nanostructured WO₃ films.

Figure 5 shows the high resolution core level W 4f and O 1s spectra of WO₃ films. For the as-deposited WO₃ film, the core level spectra of W 4f are observed at binding energy E_b of 35.74 eV and 37.88 eV corresponding to W 4f^{7/2} and W 4f^{5/2}, respectively (Figure 5a). The literature reported E_b value for W 4f^{7/2} is 35.8 eV [49]. The measured value of 35.74 eV is in good agreement with those of WO₃ powder, electron beam evaporated and electrodeposited WO₃ films [50]. The W 4f peak shapes get sharper with increasing annealing temperature, which indicates that the surface becomes cleaner due to desorption of surface contaminants by annealing. The broadening of peaks is associated with change in stoichiometry of the sample surface, with the formation of different oxides such as WO₂ or WO [51]. The W 4f^{7/2} peak of metallic tungsten is located at 31.50 eV [52]. The W 4f^{7/2} peaks located at +4.5, +3 and +1.5 from the metallic tungsten W 4f^{7/2} peak are attributed to W⁶⁺, W⁵⁺ and W⁴⁺ electronic states, respectively [53]. No significant change in the W 4f^{7/2} binding energy is observed when the WO₃ film is annealed at 300°C. However, an annealing at 400°C shifts the W 4f^{7/2} peak by 0.3 eV down to 35.44, indicating the presence of mixed tungsten states [54]. This can be explained by considering that, if an oxygen vacancy exists in the film, the electronic density near its adjacent W atom increases, creating a larger screening, which lowers the 4f level binding energy [55]. Oxygen vacancies play an important role as adsorption sites for gaseous species and eventually a minor shift of the binding energy may imply greatly enhanced gas sensitivity [56].

The O 1s core level high resolution spectra of as-deposited and annealed WO₃ films (Figure 5b) shows E_b of 530.7 eV for as-deposited WO₃ film. The main maxima does not change upon annealing at 300°C, while annealing at 400°C lowers the binding energy E_b by 0.3 eV, as in the W 4f peak, pointing

to a shift of the Fermi level, which corresponds to a band bending due to the desorption of surface contaminants during annealing at 400°C [57]. A small shoulder centered at about 532.9 eV is observed in the as-deposited and 300°C annealed films. This shoulder transforms into a peak when the film is annealed at 400°C. Such feature is a characteristic of substoichiometric monoclinic tungsten oxides [58]. The formation and increasing intensity of this feature is in the sequence $\text{WO}_3 \rightarrow \text{WO}_2$. XPS analysis reveals that after annealing at 400°C, the film surface is free from contamination and has mixed W states. This indicates the presence of oxygen vacancies in the film, which is highly beneficial for gas sensing.

3.2 Gas sensor characterization

3.2.1 Response of WO_3 thin films towards hydrogen

Nanostructured WO_3 thin film based gas sensors were characterized for their sensing performance towards various concentrations of H_2 in the temperature range 100°C-280°C. The as-deposited WO_3 film did not show any response towards H_2 in the measured temperature range (100°C-280°C) and concentrations. This film is found unsuitable for gas sensing due to the highly amorphous nature of the film. Some little response of the as-deposited film to H_2 was observed at about 280°C (Figure 6a) when the WO_3 film is annealed at 300°C. It is noticeable that the baseline and the dynamic resistance of this film are not stable (Figure 6a). The 400°C annealed film shows significant response towards H_2 with a stable dynamic resistance curve (Figure 6b), which is attributed to an improved crystalline properties of the film.

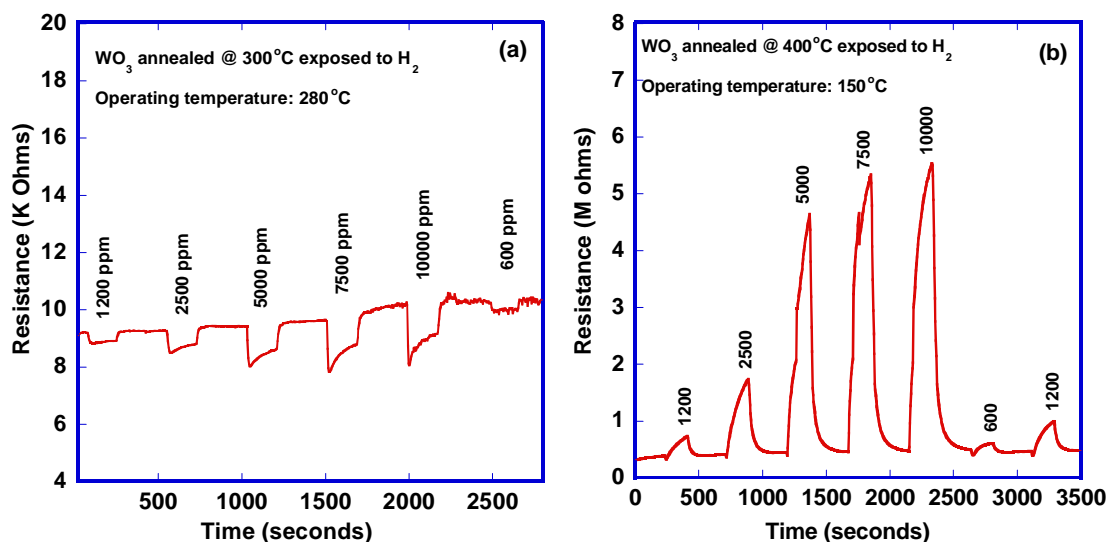


Figure 6: Dynamic resistance curve of nanostructured WO_3 thin films annealed at 300°C (a) and 400°C (b) upon exposure to H_2 .

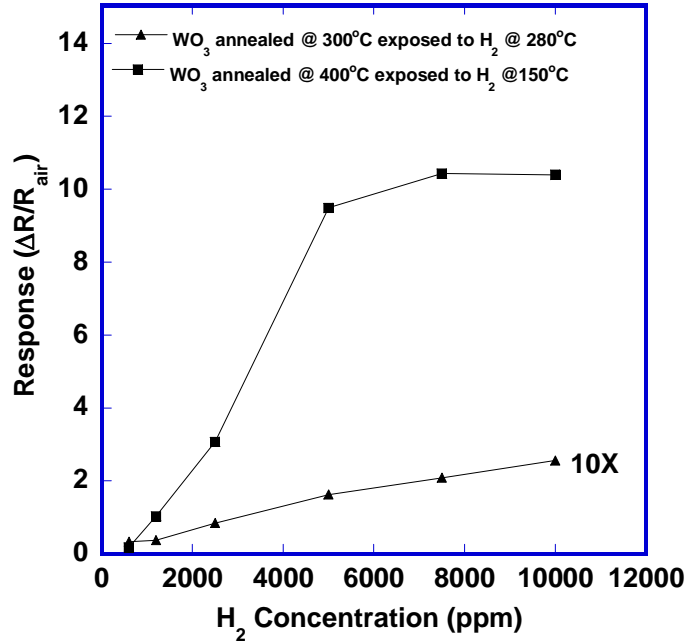
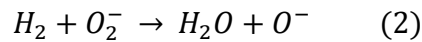


Figure 7: Response upon exposure to H₂ of nanostructured WO₃ thin films. Triangles: film annealed at 300°C, response (x10) measured at 280°C. Squares: film annealed at 400°C (b), response measured at 150 °C.

The 300°C annealed WO₃ film shows maximum response amplitude of 0.3 to 10,000 ppm H₂ at 280°C (Figure 7). Maximum response amplitude of 10 is obtained at a much lower operating temperature (150°C) from the 400°C annealed film (Figure 7). However, it must be noticed that in the latter case the response dynamics are very slow. For 10,000 ppm H₂ response and recovery times of 40 s and 44 s respectively, are observed for the 300°C annealed film, whereas response and recovery times of 140 s and 80 s, are observed for the 400°C annealed WO₃ film. This is not surprising as the gas dynamics slow down at lower operating temperature[59].

WO₃ is an n-type semiconductor material and commonly operates as gas sensor in the temperature range 200°C-500°C [38]. When it is exposed to a reducing gas such as H₂, the oxygen adsorbates on the film surface interact with the gas and release electrons back to the film, causing a drop in film resistance. This behavior is observed for the 300°C annealed WO₃ film when exposed to H₂ at 280°C. However the opposite behavior (i.e. an increase in resistance) is observed for the 400°C annealed WO₃ film upon exposure to H₂ at 150°C. Such behavior cannot be explained by merely considering the microstructural properties such as grain size and porosity of the film. In polycrystalline materials, surface barriers are formed at the intergranular surfaces which electrons have to overcome for taking part in the conduction mechanism. The height of surface barrier depends on concentration of charge carriers (oxygen adsorbates) at the surface, therefore, overall resistance changes can be correlated with

changes in surface band bending. The overall resistance and hence, surface band bending increase exponentially when polycrystalline WO₃ surface is exposed to increasing concentrations of oxygen [60]. The observed behavior in the 400°C annealed WO₃ film exposed to H₂ at operating temperature of 150°C might arise from various forms of oxygen adsorbates (O⁻, O₂⁻ and O²⁻) on WO₃ surface, which depend on temperature. At 150°C, the most dominant form of adsorbed oxygen is O₂⁻ [61]. Upon exposure to H₂, the O₂⁻ species dissociates into O⁻ with the formation of water, as per the following equation.



At 150°C, the rate of water desorption from the surface is higher than rate of water formation [62]. In situ Raman analysis of WO₃ films annealed at 300°C and 400°C show that rate of water desorption above 100°C was much faster than the rate of water formation on the film surface when WO₃ film was exposed to H₂ [62]. The high concentration range of H₂ (600 ppm – 10000 ppm) produces more O⁻ species on the surface, leading to increase in surface barrier height, consequently increasing the resistance. Hence, this can be a reason why an increase in resistance was observed at lower operating temperature. The high response observed for the 400°C annealed WO₃ film towards H₂ at 150°C is attributed to its highly crystalline nature, very small grain size (5 nm) and a porous structure (see Figure 1). The film is also composed of mixed W states, as observed in XPS analysis, resulting in increased number of oxygen vacancies on the surface, when compared to as-deposited or the 300°C annealed film.

No significant difference in porosity was observed of the annealed films as compared to the as-deposited film. Interaction of H₂ with Pt might be possible through the pores in the film as well as exposed portions of the Pt electrode. However, no response to H₂ was observed in the case of as-deposited WO₃ films, little response from the 300°C annealed film and good response from 400°C annealed film. This indicates that the response to these gases arises mainly from the improved properties (example: crystallinity and oxygen vacancies) of the film achieved by annealing at 400°C.

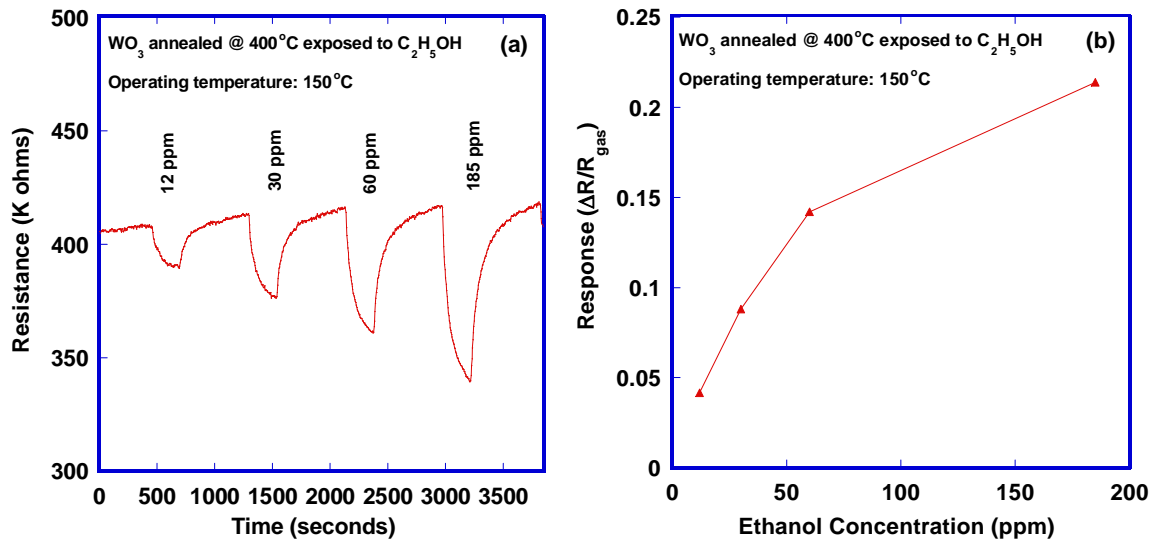
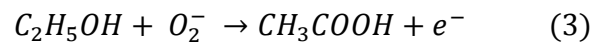


Figure 8: Dynamic resistance curve (a) and Response (b) of nanostructured WO₃ film annealed at 400°C for 2 hours in air, exposed to C₂H₅OH.

3.2.2 Response of WO₃ thin films towards ethanol

The as-deposited and 300°C annealed WO₃ films show no response towards ethanol in the measured temperature range and concentrations and found unsuitable for ethanol sensing. This may be attributed to the amorphous nature of the films. The dynamic resistance curve and response of 400°C annealed WO₃ film exposed to ethanol at 150°C are shown in Figure 8. The film exhibits a stable baseline resistance (Figure 8a), owing to its crystalline properties. The response amplitude increased with increasing ethanol concentration, reaching 0.2 for 185 ppm ethanol (Figure 8b). The response and recovery times for 185 ppm ethanol are found to be 180 s and 288 s, respectively.

The dominant oxygen species on the film surface at 150°C is O₂⁻, the conduction mechanism takes place by the following reaction:



The above reaction increases the concentration of electrons, which decreases the surface band bending, resulting in drop in resistance. Hence, a decrease in resistance is observed upon exposure to ethanol. With increasing concentration of ethanol, a further drop in resistance is expected, which is confirmed by the dynamic response (Figure 8a). The response of the 400°C annealed WO₃ film at 150°C is much higher to H₂ (S=10) than ethanol (S=0.2). Hydrogen molecule is small compared to ethanol and can easily diffuse through the porous film and interact with more surface area, hence, higher response may be achieved. The gas sensing performance depends on a number of factors which include grain size, porosity, oxygen vacancies and the type of adsorbates on the film surface. In the present study, the

400°C annealed films are found to be highly porous and crystalline with very small grain size of 5 nm. Moreover, these films contain high number of vacancies. All these factors have contributed significantly towards improved sensing performance of these films to hydrogen and ethanol at a lower operating temperature of 150°C.

4. Conclusions

Highly amorphous nanostructured WO₃ thin films with cluster of particles have been obtained at high deposition rate of 35 nm/second using thermal evaporation. Post deposition annealing of the as-deposited films at 300°C for 2 hours in air didn't show crystalline characteristics of the film. However, annealing at 400°C for 2 hours in air transformed these clusters into very fine crystalline grains of about 5 nm, and induced mixed tungsten states (W⁶⁺, W⁵⁺ and W⁴⁺) in the films. The WO₃ film annealed at 300°C showed little response to H₂ at an operating temperature of 280°C and no response towards C₂H₅OH in the temperature range 100°C-280°C. However, the film annealed at 400°C showed high response to H₂ and C₂H₅OH with maximum response amplitude of S=10 and S=0.2, respectively at an operating temperature of 150°C. The high film porosity with significant oxygen vacancies and very small crystalline grains achieved by annealing at 400°C greatly influenced the hydrogen and ethanol sensing performance of the thermally evaporated WO₃ sensor at lower operating temperature of 150°C.

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