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Sullivan, Karen A., Edmed, Shannon, & Kempe, Chloe (2014) The effect of injury diagnosis on expected Postconcussion Syndrome (PCS) symptom reporting and illness perception. *Journal of Head Trauma Rehabilitation*, 29(1), pp. 54-64.

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<http://dx.doi.org/10.1097/HTR.0b013e31828c708a>

1 The effect of injury diagnosis on illness perceptions and expected Postconcussion Syndrome  
2 (PCS) and Posttraumatic Stress Disorder (PTSD) symptoms.

3

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14 Acknowledgements

15 The Human Research Ethics Committee of Queensland University of Technology (QUT-  
16 HREC #1000000311) approved this research. This project was granted an occupational  
17 workplace health and safety clearance. Funding for this project was provided by the School  
18 of Psychology and Counselling, Queensland University of Technology. Parts of this project  
19 were presented at the Australian Psychological Society, College of Clinical Neuropsychology  
20 Conference, Launceston Tasmania, 22-25 November, 2012. The authors declare no conflict  
21 of interest.

22 Manuscript word count (4500 max): approx 4330 Abstract word count (200 max): 200

23 **Abstract**

24 Objective: To determine if systematic variation of diagnostic terminology (i.e. concussion,  
25 minor head injury [MHI], mild traumatic brain injury [mTBI]) following a standardized  
26 injury description produced different expected symptoms and illness perceptions. We  
27 hypothesized that worse outcomes would be expected of mTBI, compared to other diagnoses,  
28 and that MHI would be perceived as worse than concussion. Method: 108 volunteers were  
29 randomly allocated to conditions in which they read a vignette describing a motor vehicle  
30 accident-related mTBI followed by: a diagnosis of mTBI ( $n=27$ ), MHI ( $n=24$ ), concussion  
31 ( $n=31$ ); or, no diagnosis ( $n=26$ ). All groups rated: a) event 'undesirability'; b) illness  
32 perception, and; c) expected Postconcussion Syndrome (PCS) and Posttraumatic Stress  
33 Disorder (PTSD) symptoms six months post injury. Results: On average, more PCS  
34 symptomatology was expected following mTBI compared to other diagnoses, but this  
35 difference was not statistically significant. There was a statistically significant group effect  
36 on undesirability (mTBI > concussion & MHI), PTSD symptomatology (mTBI & no  
37 diagnosis > concussion), and negative illness perception (mTBI & no diagnosis > concussion).  
38 Conclusion: In general, diagnostic terminology did not affect anticipated PCS symptoms six  
39 months post injury, but other outcomes were affected. Given that these diagnostic terms are  
40 used interchangeably, this study suggests that changing terminology can influence known  
41 contributors to poor mTBI outcome.

42

43 Keywords: neuropsychology, head injury, acquired brain injury, neurocognitive disorder,  
44 posttraumatic stress disorder, postconcussion syndrome, mild traumatic brain injury.

45           The effect of injury diagnosis on illness perceptions and expected Postconcussion  
46           Syndrome (PCS) and Posttraumatic Stress Disorder (PTSD) symptoms.

47           Several factors have been identified as contributing to the different injury outcomes  
48           experienced by individuals after a mild traumatic brain injury (mTBI). Early models posited  
49           a role for two, albeit relatively poorly defined factors that were thought to contribute to poor  
50           outcome. These early models, which identified a role for psychological (or psychogenic) or  
51           biological (organic) processes, have since been critiqued.<sup>1</sup> Current models implicate a range  
52           of biopsychosocial factors as contributors to injury outcome, most of which have a substantial  
53           evidence base,<sup>2</sup> and the possibility that these factors differentially contribute to recovery over  
54           time has been raised.<sup>3,4</sup>

55           Patient expectation is one of the factors that is implicated in current models of  
56           negative outcome post mTBI.<sup>2</sup> The importance of this variable as a potential contributor to  
57           poor mTBI recovery was highlighted over 20 years ago with the articulation of the  
58           expectation-as-etiology hypothesis.<sup>5</sup> Expectation is thought to play a role in injury outcome  
59           via cognitive processes. That is, if an injury occurs in a person who has negative preexisting  
60           ideas about mTBI, these ideas may lead an individual to misattribute benign or every day  
61           symptoms as pathological, and due to the injury.<sup>5</sup> This problem is compounded by the  
62           nature of the symptoms that may persist following mTBI. Such symptoms are common and  
63           non-specific<sup>6-8</sup> providing ample opportunity for misattribution.

64           Indirect support for the idea that expectation could have such a role in poor mTBI  
65           recovery comes from studies that show that negative associations with mTBI are present in  
66           naïve<sup>9,10</sup> and mTBI patient populations one to three months post injury.<sup>11</sup> For example,  
67           Whittaker and colleagues showed that, compared to other psychological variables, the  
68           *perceived* long term consequences of mTBI assessed early post injury, were the best

69 predictors of *actual* symptoms and functional outcome three months post injury.<sup>11</sup> In a  
70 comment on Whittaker and colleagues' work, Ferrari<sup>12</sup> suggested that investigation of  
71 patient-held beliefs about their illness, which include recovery expectation, is one of the most  
72 relevant areas of mTBI research because of the potential for preventative interventions. As  
73 such, it is of interest whether illness perception can be manipulated. One possible avenue for  
74 manipulating injury perception may be by varying the terminology initially used to describe  
75 or diagnose the injury.

76         The possibility that injury expectation and even behavior may be influenced by mTBI  
77 diagnostic terminology is suggested by several studies. Using a community sample of 103  
78 adults McLellan and colleagues showed that the term *brain* injury was associated with more  
79 negative attributions than the same injury, labeled *head* injury.<sup>9</sup> In the same population, the  
80 term brain injury was more likely to be associated with the word 'negative' than the term,  
81 head injury.<sup>10</sup> In a study of 224 university athletes, Weber and Edwards<sup>13</sup> found that worse  
82 outcomes were expected of an injury described as a mTBI, as opposed to a concussion or  
83 minor head injury. Furthermore, the report by DeMatteo and colleagues<sup>14</sup> suggests an  
84 attempt to manipulate expectation in clinical contexts, where pediatricians prefer the term  
85 concussion to mTBI because it is "less alarming" (p. 327). The effect of varying terminology  
86 on behavior *per se* is demonstrated by the so-called 'diagnosis threat' studies. These studies  
87 show that, compared to neutral test instructions, instructions that draw attention to a head  
88 injury result in worse performance on selected neuropsychological<sup>15,16\*</sup> or cognitive  
89 complaint measures.<sup>17</sup> Further, at the other extreme, the term concussion is regarded as one  
90 that may promote expectations of a more positive prognosis.<sup>18</sup>

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\* For an exception see Ozen and Fernandes.<sup>17</sup>

91           The aim of this study was to extend this past research by determining if an effect of  
92 diagnostic terminology was present on several key outcomes, including expected PCS  
93 symptoms six months post injury. Given that the International Classification of Diseases  
94 (ICD-10) research criteria for a PCS diagnosis relies heavily on the presence of such  
95 symptoms<sup>19</sup> an effect of such terms on expected symptoms is important. To the best of our  
96 knowledge, only one other study has examined the impact of diagnostic terms on PCS  
97 symptomatology.<sup>13</sup> This study by Weber and Edwards showed that actual symptom reporting  
98 using the Rivermead Postconcussion Questionnaire was *not* impacted by terminology.<sup>13</sup>  
99 However, this study used university athletes to examine sports-related mTBI and it has been  
100 suggested that athletes have different injury expectations than other people.<sup>20</sup> To improve on  
101 the methodology of the Weber and Edward study,<sup>13</sup> we: a) used a vignette to standardize the  
102 injury context; b) changed the injury details to test the effect of terminology following a  
103 motor vehicle accident (MVA)-related mTBI; c) employed a measure of symptoms  
104 recommended by the TBI common outcomes workgroup;<sup>21</sup> d) included a no diagnosis control  
105 group; and, d) used a general university sample rather than a sample of university athletes.  
106 We included a no diagnosis condition as a control, but also because many people who sustain  
107 a mTBI do not seek treatment and may never be formally diagnosed. We also sought to  
108 determine the effect of varied terminology on other outcomes (expected PTSD symptoms and  
109 illness perception) using a broader range of terms than has been attempted previously. The  
110 decision to include expected PTSD symptoms as an outcome was partly based on the fact that  
111 trauma is referenced in one diagnostic term (mTBI) but not others, and this could skew  
112 perceptions of this injury.

113           The hypotheses for this study were that, compared to concussion or MHI, the term  
114 mTBI would lead to: a) greater expected PCS and PTSD symptomatology, and; b) worse  
115 injury outcome, defined in terms of higher expectation of serious consequences, longer

116 recovery, and greater undesirability. These directional hypotheses were proposed because  
117 the previous literature has shown that: a) in community samples, the term brain injury leads  
118 to worse attributions than head injury<sup>9,10</sup> b) that PTSD symptoms can be elicited by mTBI  
119 vignettes<sup>22</sup> and; c) in athletes, the term mTBI is more likely to lead to worse item-level  
120 outcome expectation than minor head injury or concussion.<sup>13†</sup> Different outcomes for MHI  
121 and concussion (MHI worse than concussion) were also expected, although the basis for this  
122 prediction was weaker as fewer studies have directly compared these terms. Weber and  
123 Edwards found only one such difference which indicated that more university athletes agreed  
124 with the statement that a sports person with MHI would be less likely to withstand the effects  
125 of subsequent injury than a concussed individual.<sup>13</sup> The no diagnosis condition was expected  
126 to produce fewer negative outcomes than experimental conditions, because this condition was  
127 not expected to activate the stereotypes that have been implicated in the diagnosis-threat  
128 literature.<sup>15,17</sup>

## 129 **Method**

### 130 **Participants**

131 Participants were university staff and students recruited over a two month period  
132 (April-June, 2012). Participants were offered bonus credit or the opportunity to go into a  
133 prize draw, in return for participation. Participants were randomly allocated to one of four  
134 conditions. A total of 204 participants met the inclusion criteria. After screening (see below)  
135 data from 108 participants ( $M_{age} = 22.13$ ,  $SD = 6.32$ ; 81.5% female) were retained for  
136 analysis. These participants had a negative medical history for potential confounds (i.e., no  
137 previous history of mTBI, no current psychiatric or neurological diagnosis, and no treatment

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† Weber and Edwards analysed outcome at the item-level only and did not use a summary score.<sup>13</sup>

138 from a mental health professional currently or in the past 12 months). Participants were  
139 randomly assigned to either the no diagnosis ( $n = 26$ ), concussion ( $n = 31$ ), MHI ( $n = 24$ ), or  
140 mTBI group ( $n = 27$ ). The demographic characteristics of our sample by group are shown in  
141 Table 1. There was no significant differences between the groups on key demographic  
142 variables (see Table 1).

143       **Exclusions.** Approximately 10% of participants who were eligible to enrol had  
144 incomplete data and were excluded ( $n = 19$ ). Five participants indicated their native language  
145 was a language other than English. These participants were retained in the analyses as  
146 sufficient English proficiency was assumed on the basis of current student/staff status at an  
147 Australian university. Furthermore, the use of the post experimental questionnaire described  
148 below assessed participant comprehension of the survey task. Data screening revealed 77  
149 cases met the exclusion criteria: 32 cases were excluded because their score on the Mild  
150 Brain Injury Atypical Symptoms Scale (mBIAS; see below) indicated over-reporting of  
151 symptoms; 26 cases were excluded for not passing the effort tests (see below); 12 cases were  
152 excluded for not passing the knowledge tests (see below); and seven cases were excluded  
153 because their qualitative responses indicated they had not understood the task instructions.

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Insert Table 1 about here

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## 154 **Measures**

155       **Preexperimental questionnaire.** The preexperimental questionnaire was used to  
156 assess sample demographics (see above), and verify that participants met the inclusion  
157 criteria.<sup>‡</sup>

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<sup>‡</sup> The inclusion criteria were designed to minimise potential confounds (i.e., no history of previous mTBI, no current neurological or psychiatric impairment, not currently (including during the past 12 months) seeking treatment from a mental health professional).



158           **mTBI Vignette.** The mTBI vignette developed by Sullivan, Edmed and  
159           Cunningham<sup>23</sup> was used in this study. This vignette depicts a relatively minor MVA that  
160           results in personal injury. The injury described in the vignette is consistent with a diagnosis  
161           of a mTBI defined according to World Health Organization standards. For this study, the  
162           experimental conditions had an additional sentence at the end of the vignette to convey the  
163           relevant diagnosis (e.g., “Based on your injury, you were given a diagnosis of a  
164           CONCUSSION”).

165           **Neurobehavioral Symptom Inventory (NSI).**<sup>24</sup> The NSI was used to assess PCS  
166           symptoms. This measure has good psychometric properties<sup>25</sup> and it is recommended as a  
167           supplemental outcome measure for brain injury research.<sup>21</sup> The NSI has 22-items that assess  
168           sensory, somatic/physical, cognitive, and affective/psychological symptoms. The extent of  
169           disturbance caused by symptoms is rated on a 4-point Likert scale ranging from 0 (*not at all*)  
170           to 4 (*very severe*). A modified instruction was used to elicit symptom expectation six months  
171           after injury. Total and cluster scores were obtained using the method described by Kennedy  
172           and colleagues.<sup>21</sup> Higher scores on the NSI represent greater symptomatology. A cut-score  
173           described by King<sup>26</sup> was used to provide an indication of the clinical significance of NSI total  
174           scores.

175           **PTSD Checklist-Civilian (PCL-C).**<sup>27</sup> The PCL-C is a 17-item self-report measure  
176           designed to assess symptoms of posttraumatic stress. The PCL-C captures the symptoms of  
177           PTSD, as defined by the Diagnostic and Statistical Manual of Mental Disorders (DSM),  
178           fourth edition.<sup>28</sup> The PCL-C is also recommended as a TBI supplemental outcome  
179           measure.<sup>29</sup> Participants rated the extent to which they expected symptoms to disturb them  
180           over the past two weeks six months after the injury using a 5-point Likert scale from 1 (*not at*  
181           *all*) to 5 (*extremely*). Total scores are obtained by summing item responses, with a possible

182 score ranging from 17-85. The suggested cut-score for identifying clinically relevant PTSD  
183 symptoms is 50.<sup>27</sup>

184 **Mild Brain Injury Atypical Symptoms Scale (mBIAS).**<sup>30</sup> The mBIAS was used to  
185 assess symptoms that are uncommonly reported by mTBI patients. The cut-score described  
186 by Cooper and colleagues<sup>30</sup> was used to evaluate total scores. This measure was used to  
187 exclude participants who were over reporting or indiscriminately reporting symptoms. Items  
188 were embedded with NSI as per Cooper et al.<sup>30</sup> and were presented with the same modified  
189 instruction and response format that the NSI and PCL-C employed.

190 **Illness Perception.** The timeline and consequences subscales from the Illness  
191 Perception Questionnaire-Revised<sup>31</sup> were used in this study. This measure has been used  
192 previously to assess illness perception in mTBI.<sup>11</sup> The timeline subscale has six items, three  
193 of which are reversed scored. This subscale assesses perceptions about the recovery timeline  
194 (e.g., My injury will last a long time [Reverse scored item: My injury will last a short time]).  
195 The consequences subscale has 6 items (one reverse scored). This subscale assesses  
196 perceptions about broader injury impacts (e.g., My injury will have major consequences).  
197 Items are presented on a Likert scale, ranging from 1 (*strongly disagree*) to 5 (*strongly*  
198 *agree*). For this study the word ‘illness’ was changed to ‘injury’. Subscale scores were  
199 obtained using the method described by Moss-Morris et al.<sup>31</sup> such that higher scores indicate  
200 more negative perceptions.

201 **Undesirability.** An item to assess perception of the undesirability of the injury was  
202 used given the suggestion that such views may account for differences in expected  
203 symptoms.<sup>6</sup> Participants provided a response to the question “How undesirable would you  
204 find such an experience?” using a 5-point Likert Scale (1 = *not at all undesirable* to 5 =  
205 *extremely undesirable*).

206           **Postexperimental questionnaire.** The postexperimental questionnaire was used to  
207 assess compliance with instructions and vignette comprehension. This check was undertaken  
208 because this study involved reporting expected symptoms that were based on a vignette.  
209 Such checks are recommended in malingering studies that use vignettes,<sup>32</sup> and are regarded as  
210 important for studies that use experimental designs, such as this one.<sup>33</sup> Three *compliance*  
211 questions were used to assess understanding of the instructions: 1) Did you understand the  
212 instructions provided in this study? (response: Yes/No); 2) Did you forget to put yourself in  
213 the position of the character described in the accident while answering any of the symptom  
214 items? (response: Yes/No), and 3) Please briefly explain what you were required to do in this  
215 experiment? (response: qualitative, with responses later independently scored by SE and CK).  
216 Vignette comprehension was assessed by asking three multiple choice *comprehension*  
217 questions: 1) In the story, how long did the character lose consciousness for? 2) In the story,  
218 how long did the character stay in hospital? and 3) In the story, what was the character's  
219 memory recall like after the accident? Participants were scored as failing the  
220 postexperimental questionnaire if they incorrectly answered one or more of the compliance or  
221 comprehension questions.

## 222 **Procedure**

223           All study materials were configured for online administration. Consenting participants  
224 first completed the preexperimental questionnaire. Participants were advised to carefully  
225 read experimental instructions and were informed that effort would be assessed. Next,  
226 participants were randomly allocated to view one of the four vignette conditions via the  
227 survey software randomization plugin. Participants in the no diagnosis condition were shown  
228 the vignette only, whereas participants in the concussion, MHI and mTBI conditions were  
229 shown the vignette plus the relevant additional diagnostic sentence. The vignette exposure

230 time was not controlled; however a pause was induced by requesting a response to the  
231 undesirability question, which was presented with the vignette.

232 Participants proceeded to a second instruction page to prepare them for symptom  
233 rating. This page instructed them to rate their expectation of the depicted injury using the  
234 IPQ-R timeline and consequences subscales. Participants were then asked to rate the  
235 NSI/PCL-C/mBIAS symptoms according to their expectation of what the person in the  
236 vignette would experience during a two week period, six months post injury. They were  
237 instructed to guess if unsure and were permitted to return to the vignette, as necessary. Three  
238 items, constructed as a further “instructional manipulation check,”<sup>33</sup> were also embedded in  
239 the NSI/PCL-C/mBIAS. These items varied the wording of one question (e.g., Please select  
240 *quite a bit* as your response to this question). Finally, the post experimental questionnaire  
241 was administered.

## 242 Results

### 243 Preliminary analyses

244 The data were analyzed using PASW Statistics 18. A missing values analysis was  
245 undertaken and revealed that there were no variables with more than 2.2% of missing data.  
246 Little’s MCAR test indicated that the data were missing at random,  $\chi^2 = 2276.26, p = .628$ .  
247 Therefore, the missing data were resolved using Expectation-Maximisation algorithms for  
248 maximum-likelihood estimation, as recommended by Tabachnick and Fidell.<sup>34</sup> A  
249 significance level of 0.05 was used for all analyses, unless otherwise stated. Where multiple  
250 statistical comparisons are reported and there is an increase in the probability of Type 1 error,  
251 a Bonferroni adjusted alpha to control for the family-wise error rate is presented for readers  
252 who wish to apply a more conservative interpretative standard.

253 **Effect of diagnostic terminology on symptoms expected six months post injury**

254 Descriptive statistics for symptom (PCS and PTSD) data by group are shown in Table

255 2. This Table presents data from the NSI (total and cluster scores) and IPQ-R subscales,  
 256 consequences and timeline. Applying the NSI total cut-score revealed that between 23  
 257 (concussion) and 46 percent of participants (no diagnosis) scored above this cut-off, and no  
 258 effect of terminology was evident using this criterion,  $\chi^2(3) = 4.48, p = .215$ .<sup>§</sup> Applying the  
 259 PCL-C cut-score revealed that between zero (concussion) and 26 percent of the sample  
 260 (mTBI) scored at or above the PCL-C criterion; this group comparison was significant,  
 261  $\chi^2(3) = 8.78, p = .032$ .<sup>\*\*</sup>

262 Further investigations of group differences on expected symptoms by condition were  
 263 undertaken using Kruskal-Wallis tests because the statistical assumptions for parametric tests  
 264 were breached.<sup>††</sup> Each of these analyses had one independent variable, group, with four  
 265 levels (no diagnosis, concussion, MHI, mTBI), and one NSI dependent variable (total score  
 266 or one of the four NSI subscale scores). These analyses revealed no significant effect of  
 267 terminology on NSI: total,  $H(3) = 6.68, p = .083$ , physical,  $H(3) = 2.90, p = .407$ , cognitive,  
 268  $H(3) = 7.77, p = .051$ , or sensory cluster scores,  $H(3) = 2.55, p = .477$ ; but a significant affective  
 269 cluster result,  $H(3) = 8.30, p = .040$ . The latter effect was followed up with Mann-Whitney  
 270 tests, which revealed that, on average, significantly more affective symptoms were reported  
 271 by participants in the no term condition compared to the concussion condition,  $U = 227.5, z =$

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<sup>§</sup> Number and percentage scoring above NSI total cut score (17.5) by group: concussion = 7/31 (23%); MHI = 6/24 (25%); mTBI = 10/27 (37%); no diagnosis = 12/26 (46%).

<sup>\*\*</sup> Number and percentage scoring equal to or above PCL-C total cut score (50) by group: concussion = 0/31 (0%); MHI = 3/24 (13%); mTBI = 7/27 (26%); no diagnosis = 4/26 (15%).

<sup>††</sup> The normality assumption was breached for the NSI total (control, MHI) and all cluster scores, with minor exceptions. The homogeneity of variance assumption was breached for NSI affective and somatic/physical cluster scores, but no other NSI scores. PCL-C data were normally distributed for all conditions except MHI; however, Levene's statistic indicated a breach of homogeneity of variance,  $F(3,104) = 5.78, p = .001$ .

272 -2.85,  $p = .004$  (2-tailed),  $r = -.27$ , but no other significant differences were found. Group  
273 comparisons revealed a significant effect of terminology on expected PTSD symptoms,  $H(3)$   
274 = 10.89,  $p = .012$ . Post-hoc Mann-Whitney tests revealed that the frequency of expected  
275 PTSD symptoms was significantly higher in the no diagnosis condition compared to the  
276 concussion condition,  $U = 202.00$ ,  $z = -3.22$ ,  $p = .001$  (2-tailed),  $r = -.31$ , and when mTBI  
277 was compared to concussion,  $U = 277.00$ ,  $z = -2.21$ ,  $p = .027$  (2-tailed),  $r = -.21$ , but no other  
278 significant differences were found. With a more conservative alpha ( $p < .008$ ), the follow up  
279 tests for affective PCS and PTSD symptoms (concussion < no diagnosis) remained  
280 significant.

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Insert Table 2 about here

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281 **Effect of diagnostic terminology on illness perception (consequences, recovery**  
282 **timeline, and undesirability)**

283 Descriptive statistics and group comparisons for illness perception are also shown in  
284 Table 2. Non-parametric statistics were used because of breached statistical assumptions.<sup>‡‡</sup>  
285 Kruskal-Wallis comparisons revealed differences between conditions for both IPQ-R  
286 subscales, timeline,  $H(3) = 11.41$ ,  $p = .010$ , and consequences,  $H(3) = 8.53$ ,  $p = .036$ . Follow  
287 up Mann-Whitney tests showed that, on average, participants expected a significantly longer  
288 recovery period in the no diagnosis condition compared to concussion,  $U = 227.5$ ,  $z = -2.84$ ,  
289  $p = .004$  (2-tailed),  $r = -.27$ ; and for mTBI compared to concussion,  $U = 249.00$ ,  $z = -2.69$ ,  $p =$   
290  $.006$  (2-tailed),  $r = -.26$ . Similarly, on average participants expected more severe  
291 consequences in the no diagnosis condition compared to concussion,  $U = 246.00$ ,  $z = -2.53$ ,

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‡‡ The IPQ-R and undesirability data breached the homogeneity of variance and normality assumptions for parametric tests (both subscales breached the variance assumption and the timeline subscale was not normally distributed in the MHI and mTBI conditions).

292  $p = .011$  (2-tailed),  $r = -.24$ ; and for mTBI compared to concussion,  $U = 282.50$ ,  $z = -2.14$ ,  $p =$   
293  $.033$  (2-tailed),  $r = -.21$ . In relation to term effects on perceived undesirability, the omnibus  
294 Kruskal-Wallis test was significant,  $H(3) = 8.28$ ,  $p = .040$ , with follow up Mann-Whitney tests  
295 revealing that, on average, mTBI was perceived as significantly more undesirable than  
296 concussion,  $U = 252.00$ ,  $z = -2.76$ ,  $p = .006$  (2-tailed),  $r = -.27$ , or MHI,  $U = 212.50$ ,  $z = -2.22$ ,  
297  $p = .027$  (2-tailed),  $r = -.21$ . No other significant IPQ-R or undesirability effects were found.  
298 With the more conservative alpha for follow up tests ( $p < .008$ ), significant effects remained  
299 for the IPQ-R timeline and undesirability comparisons, respectively (mTBI worse than  
300 concussion).

301 All analyses were also conducted after removing outliers to determine if the results  
302 would change. There were no extreme outliers (i.e., greater than 3 *SD*). When outliers greater  
303 than 2 standard deviations were removed, only one result changed from being non-significant  
304 to significant after applying the alpha adjustment for multiple comparisons. Post-hoc Mann  
305 Whitney tests comparing the frequency of expected PTSD symptoms revealed that  
306 participants expected significantly more PTSD symptoms in the no diagnosis condition than  
307 the MHI condition,  $U = 122.00$ ,  $z = -3.07$ ,  $p = .002$  (2-tailed).

## 308 Discussion

309 The purpose of this study was to determine if, compared to a vignette-exposure  
310 control condition, the vignette-exposure-plus-diagnosis conditions produced different  
311 expected PCS, PTSD symptoms, and other perceived injury outcomes. We hypothesized that  
312 our non-clinical sample would: a) associate the diagnostic term, mTBI, with worse outcomes  
313 than other terms (concussion or MHI); b) perceive MHI as worse than concussion, and; c)  
314 expect worse outcomes for all of these terms, compared to a control condition (no diagnosis).

315           The findings suggest that some but not all outcomes were susceptible to differences in  
316 terminology; therefore, only some of the hypotheses were supported. When differences were  
317 identified, however, a relatively consistent pattern emerged, such that the two pairs that were  
318 most likely to differ from each other were: the no diagnosis and concussion conditions (no  
319 diagnosis worse than concussion), and the mTBI and concussion conditions (mTBI worse  
320 than concussion). Both the no diagnosis and mTBI groups, which did not differ from each  
321 other, produced the worst expectations. These results may suggest that an unlabeled injury,  
322 or an injury that is labeled mTBI, is considered worse than concussion; or, that concussion is  
323 considered less negative than the relevant comparators (no diagnosis and mTBI). The idea  
324 that concussion may be viewed as having significantly less serious consequences than mTBI  
325 is consistent with previous research that has shown that this term is perceived as less  
326 “alarming” than terms that might be used interchangeably with it.<sup>14</sup> That concussion was  
327 viewed as less serious than an unlabelled/undiagnosed injury is also plausible if, as has been  
328 argued, concussion is associated with positive recovery expectations,<sup>18</sup> whereas the  
329 unlabelled injury may have been hard for participants to characterize. In any case, the  
330 statistically significant differences that we identified were: a) typically associated with small  
331 to medium effects for all outcome types (symptoms and illness perception), although effects  
332 were not uniform across all subscales/scores, and; b) with a few exceptions, would still be  
333 considered significant against a more conservative alpha.

334           It should be noted that group effects on the PCS measure were examined using NSI  
335 total and cluster scores. It has been suggested that NSI subscale effects should be explored so  
336 as not to obscure important trends<sup>35</sup> and that, because the research diagnostic criteria for PCS  
337 identify symptoms in clusters, such comparisons are informative. As noted above, however,  
338 most NSI symptoms scores (total and subscales) did not differ across groups, even though  
339 across these groups approximately one quarter to one half of the sample exceeded a PCS



340 symptom cut-off. The somewhat low level of expected PCS symptom endorsement in this  
341 study suggests that this sample responded appropriately to an injury of this severity almost  
342 six months later, where the clinical expectation is for there to be no permanent disability for  
343 the majority of patients. Taken together these findings suggest that PCS symptom  
344 expectation is robust to variation in terms, a finding that is consistent with Weber and  
345 Edwards;<sup>13</sup> with the caveat that affective symptoms are less likely to be expected following  
346 an injury labeled concussion than the same injury, unlabeled/undiagnosed. In terms of PTSD  
347 symptoms, none of the participants who received a diagnosis of concussion met the PCL-C  
348 criterion for PTSD symptoms, whereas this criterion was met by just over a quarter of the  
349 mTBI sample. In general, the proportion of the sample that met the PTSD clinical cut-off (a  
350 maximum of 25% of the mTBI group) was less than the proportion who met the PCS clinical  
351 cut-off (a minimum of approximately 25% of the concussion group). Where significant  
352 group differences on PTSD symptoms emerged, relative to concussion (the lowest scoring  
353 group) the highest scoring groups were: mTBI and no diagnosis. The finding that mTBI was  
354 the group with the highest proportion of 'cases' might be a product of terminology overlap  
355 (explicit trauma reference) or, the perception that the accident could give rise to both  
356 conditions.<sup>36,37</sup>

357         The finding that poorer outcomes (consequences and timeline, and to a lesser extent  
358 perceived undesirability) and greater PTSD symptoms were expected of an injury labeled  
359 mTBI compared to concussion is consistent with our hypothesis; however, we also expected  
360 differences between the mTBI and MHI conditions, which were not found. The diagnostic  
361 term that was least able to be differentiated from the others was MHI. Only one comparison  
362 with this term yielded a significant group difference (mTBI was rated as more undesirable  
363 than MHI, small to medium effect).

364 An unexpected finding, contrary to our hypotheses, was that in some circumstances  
365 the no diagnosis condition produced worse expected outcomes than a condition with a  
366 diagnosis (i.e., concussion or mTBI). We expected that, compared to no diagnosis, the  
367 addition of a diagnostic term would activate negative stereotypes, resulting in worse injury  
368 expectations. One interpretation of our findings is that adding the term, concussion, provided  
369 a context for responding that reduced the negative attributions that were otherwise elicited;  
370 perhaps, in the absence of this qualifier. This finding may indicate that in some cases, the  
371 diagnosis *reduced* negative expectations; a finding that may accord with suggestions that  
372 providing education to patients post mTBI can be beneficial.<sup>38</sup> Two of the diagnostic terms  
373 that we studied included injury severity specifiers (ie mild or minor respectively), and as  
374 stated previously concussion connotes a less alarming injury.<sup>14</sup> The absence of these  
375 qualifiers may have made it more difficult for participants to evaluate the injury severity,  
376 recognize the injury type, and apply relevant heuristics to guide responding. For example,  
377 although PTSD symptoms were relatively strongly endorsed in the unlabelled condition and,  
378 in clinical terms, only 15% of this group met the clinical cut-off, it is possible that  
379 participants' attention was focused on the fact that traffic accidents can be life-threatening  
380 and lead to strong emotional reactions. That is, even though we depicted a relatively minor  
381 accident, we speculate that, in the absence of a diagnosis to focus responding, a higher than  
382 expected level of pathology was endorsed by the no diagnosis participants; however we  
383 recommend cautious interpretation of our findings until further studies are able to replicate  
384 effects.

385 This study has several limitations. First, we cannot be sure that participants'  
386 expectations were only influenced by our vignette and associated diagnostic terms. Second, to  
387 investigate whether the manipulation of terminology influenced injury perception and  
388 expectation, we utilized a non-clinical sample to remove the potential confound of

389 preexisting expectations/perceptions. As such, the extent to which these results generalize to  
390 a clinical sample is unknown; this study does not tell us whether patients respond differently  
391 to diagnostic terms. This sample was also comprised mostly of female university students.  
392 Although the gender mix of each group was not different, the data are predominantly a  
393 reflection of women's view of the likely injury outcome. The inclusion of university students  
394 and staff who are typically more educated and come from higher socioeconomic backgrounds  
395 than the general population may also limit the generalizability of these findings.

396         In addition to these sampling limitations, this study is limited by a number of factors  
397 that relate to the diagnostic terminology used in this study and other methodological factors.  
398 For example, we assessed expectations of the longer term effects of mTBI (six months post  
399 injury), including PCS. This timeframe should be borne in mind when interpreting the  
400 results; it is possible that individuals would expect different, possibly more negative  
401 outcomes of a less chronic injury. This study did not explicitly measure positive expectations  
402 for recovery; although participants could choose *not* to endorse symptoms, and some IPQ-R  
403 items prompted expectations of a quick return to function, such questions could extend this  
404 research. This study did not examine all of the the terms that may be used interchangeably  
405 with mTBI; findings do not relate to terms that were not examined in this study (e.g., mild  
406 head injury or cerebral concussion).<sup>39</sup> In addition, this study examined the effect of these  
407 terms in relative isolation, using a simulation design. The extent to which individual's  
408 receive a formal diagnosis following mTBI is itself variable, not only because treatment  
409 seeking for mTBI is inconsistent,<sup>40</sup> but also because the diagnostic experience within settings  
410 may vary (i.e., patients may not be diagnosed or recall the diagnosis, mixed terminology may  
411 be used by different staff, and the diagnosis may come in the form of, or be accompanied by,  
412 other information including written discharge advice). Further, the use of a simulation design  
413 may have underestimated the potency of a diagnosis given in a genuine clinical situation; or,

414 because this context was not fully simulated (e.g., participants were not given the opportunity  
415 to ask questions) effects may have been overestimated. It is important to reiterate that the  
416 expectations of people who experience mTBI may be shaped by myriad factors that alter  
417 preinjury and peri-injury perceptions. These factors include, but are not limited to, prior  
418 experience, patient education, and the clinical context itself, and this study examined the  
419 effect of one factor only on such perceptions.

420 In conclusion, this study shows that terminology affects several important injury-  
421 related attributes, but that the expectation of PCS symptoms is relatively unchanged by  
422 diagnostic terminology. The major implication of this study is that it provides empirical  
423 evidence of the effect of using varied terms to describe mTBI on a factor that has been shown  
424 by others to affect patient outcome post mTBI.<sup>41</sup> By demonstrating that uninjured individuals  
425 perceive negative consequences of mTBI, and that these perceptions can be evoked by  
426 imagining an injury, this study provides indirect support for the models of poor mTBI  
427 outcome that identify cognitive factors as potential contributors.<sup>41</sup> At present multiple terms  
428 are used interchangeably by researchers and clinicians to describe mTBI, despite the concerns  
429 around the ambiguity that this creates for research<sup>42,43</sup> and recent calls to adopt uniform  
430 terminology.<sup>44</sup> We acknowledge that the choice of term is complex, and that clinicians are  
431 already attempting to manage the psychological injury response through their choice of  
432 term.<sup>14</sup> This study draws out some potential implications of this variability on clinically  
433 relevant factors. Given the imminent release of DSM-5<sup>45,46</sup> and the opportunity to review  
434 terminology that this might provide, this study takes an empirical approach to understanding  
435 the implications of using specific terms on factors that may contribute to mTBI outcome.

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561

562

563 Table 1

564 *Participant Demographic Characteristics in Each Experimental Condition and the Statistical*565 *Significance of Cross-Condition Comparisons.*

	Experimental condition			No Diagnosis ( <i>n</i> = 26)	<i>p</i>
	Concussion ( <i>n</i> = 31)	Minor Head Injury ( <i>n</i> = 24)	Mild Traumatic Brain Injury ( <i>n</i> = 27)		
Age (in years):					
<i>M</i>	21.16	24.46	20.33	23.00	.226
<i>SD</i>	5.03	8.41	2.77	7.53	
Gender:					
Male	12.9%	29.2%	25.9%	7.7%	.142
Female	87.1%	70.8%	74.1%	92.3%	
Ethnicity:					
Caucasian	90.3%	87.5%	88.9%	76.9%	.593
Other:	9.7%	12.5%	11.1%	23.1%	
Dominant Language:					
English	96.8%	95.8%	92.6%	92.3%	.550
Other	3.2%	4.2%	7.4%	7.7%	
Years of Education					
<i>M</i>	13.74	14.69	13.93	13.50	.190
<i>SD</i>	1.77	2.13	1.52	1.32	
Personal knowledge of mTBI <sup>1</sup> :					
No	32.3%	45.8%	55.6%	57.7%	.195
Yes	67.7%	54.2%	44.4%	42.3%	
Type of recovery:					
- With poor recovery	76.2%	53.8%	58.3%	63.6%	.414
- With good recovery	14.3%	30.8%	8.3%	9.1%	
- Uncertain	9.5%	15.4%	33.3%	27.3%	

566 *Notes:* *N* = 267. Cross-condition comparisons were performed using Kruskal-Wallis tests for  
567 continuous variables and Chi-square tests for categorical tests. Significance evaluated at *p*  
568 = .05 (2-tailed). 1 = Personal knowledge of mTBI assessed participants prior personal  
569 experience with mTBI (Prompt: Do you know someone personally that has sustained a  
570 mTBI?. If a participant answered Yes they were then asked about the person's type of  
571 recovery (prompt: "what sort of recovery did the person have 6 months after the  
572 mTBI?)mTBI = Mild traumatic brain injury.

573



Table 2

*Number of Expected Postconcussion Syndrome and PTSD Symptoms and Consequences Expected Six Months Post Injury as a Function of Diagnostic Terminology.*

	Terminology used to describe injury							
	Concussion ( <i>n</i> = 31)		Minor Head Injury ( <i>n</i> = 24)		Mild Traumatic Brain Injury ( <i>n</i> = 27)		No Diagnosis ( <i>n</i> = 26)	
	%	<i>M</i> ( <i>SD</i> )	%	<i>M</i> ( <i>SD</i> )	%	<i>M</i> ( <i>SD</i> )	%	<i>M</i> ( <i>SD</i> )
<b>PCS Symptoms</b>								
Poor concentration, can't pay attention, easily distracted	38.7		45.8		51.9		57.7	
Difficulty making decisions	32.3		50		55.6		53.8	
Forgetfulness, can't remember things	51.6		41.7		59.3		69.2	
Fatigue, loss of energy, getting tired easily	38.7		50		59.3		53.8	
Slowed thinking, difficulty getting organized, can't finish things	32.3		33.3		48.1		53.8	
<b>NSI-Cognitive</b>		2.32(2.64)		3.08 (3.48)		3.96 (3.30)		4.65(4.12)
Feeling dizzy	35.5		41.7		44.4		53.8	
Poor coordination, clumsy	35.5		29.2		37.0		38.5	

Vision problems, blurring, trouble seeing	25.8	25	33.3	34.6
Nausea	22.6	25	25.9	3.8
Loss of balance	25.8	25	33.3	38.5
Loss of appetite or increased appetite	22.6	33.3	37	38.5
<b>NSI-Physical</b>	1.97 (2.54)	2.17 (3.07)	2.59 (2.74)	3.50 (4.26)
Headaches	77.4	66.7	66.7	80.8
Sensitivity to light	35.5	41.7	29.6	38.5
Hearing difficulty	6.5	8.3	18.5	15.4
Sensitivity to noise	41.9	45.8	48.1	53.8
Numbness or tingling on parts of my body	25.8	29.2	33.2	34.6
Change in taste and/or smell	3.2	12.5	7.4	19.2
Difficulty falling or staying asleep	41.9	50	59.3	65.4
<b>NSI-Sensory</b>	3.16(2.68)	3.75(3.86)	4.11 (4.00)	5.00(4.30)
Feeling anxious or tense	71	70.8	74.1	96.2
Feeling depressed or sad	22.6	58.3	48.1	53.8
Irritability, easily annoyed	45.2	54.2	51.9	57.7
Poor frustration tolerance, feeling easily overwhelmed by things	48.4	50	70.4	65.5
<b>NSI-Affective</b>	2.42(2.19) <sup>a*</sup>	3.21(2.96)	4.48(4.07)	4.62(3.07) <sup>a</sup>
<b>NSI Total</b>	9.87 (9.04)	12.21	15.15 (12.64)	17.77 (14.16)

		(12.80)		
<hr/>				
Illness perception				
<hr/>				
IPQ-R timeline	11.32 (2.04) <sup>a,b</sup>	12.17 (3.42)	14.78 (5.22) <sup>b*</sup>	14.00 (4.50) <sup>a*</sup>
IPQ-R consequences	11.74 (2.93) <sup>a,b</sup>	12.21 (3.66)	14.11 (4.41) <sup>b</sup>	14.69 (4.82) <sup>a</sup>
<hr/>				
PTSD symptoms & undesirability				
<hr/>				
PCL-C Total	28.42 (7.88) <sup>ab</sup>	32.04 (10.53)	37.96 (15.73) <sup>b</sup>	37.81 (11.24) <sup>a*</sup>
Undesirability	3.61 (.88) <sup>a*</sup>	3.71 (.86) <sup>b</sup>	4.19 (1.00) <sup>ab</sup>	3.88 (.99)

*Note:* N = 108. NSI = Neurobehavioral Symptom Inventory; NSI-Cognitive = NSI cognitive subscale; NSI-Physical = NSI Physical subscale; NSI-affective = NSI Affective subscale; NSI subscale scores were calculated as per Kennedy.<sup>47</sup> NSI ratings were made on 5-point Likert scale ranging from 0 to 4 and scale items were summed to produce subscales and total scores. Higher NSI scores indicate greater symptomatology. IPQ-R = Illness Perception Questionnaire Revised. IPQ-R items were rated on a 5-point Likert scale, and items were summed to produce subscale scores. Higher IPQ-R scores indicate more negative perception. PCL-C = PTSD checklist-Civilian. Higher PCL-C scores indicate greater PTSD symptomatology. Undesirability was rated on a 5-point Likert scale; higher scores represent greater undesirability. <sup>ab</sup>Conditions that share the same superscripts were statistically different from each other in follow up Mann-Whitney tests ( $p < .05$ ). Asterisked follow up comparisons are those that remained statistically significant with a conservative Bonferroni corrected alpha ( $p < .008$ ); only one condition of the comparison pair is marked. % = Percentage of participants who endorsed the item at a level greater than zero (not at all).