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1 **Influence of medium range transport of particles from nucleation burst on**  
2 **particle number concentration within the urban airshed**

3 H.C. CHEUNG<sup>a,\*\*</sup>, L. MORAWSKA<sup>\*,a</sup>, Z.D. RISTOVSKI<sup>a</sup> and D.  
4 WAINWRIGHT<sup>b</sup>

5 <sup>a</sup> International Laboratory for Air Quality and Health, Queensland University of Technology,  
6 Brisbane, QLD 4001, Australia

7 <sup>b</sup> Queensland Department of Environmental Resource and Management

8 <sup>\*\*</sup> Now at: Research Center for Environmental Changes, Academia Sinica, Taipei 115,  
9 Taiwan

10  
11 \*Corresponding Author:

12 Telephone - 61 7 3138 2616

13 Fax - 61 7 3138 9079

14 E-mail - [l.morawska@qut.edu.au](mailto:l.morawska@qut.edu.au)

15  
16 **Abstract**

17 An elevated particle number concentration (PNC) observed during nucleation events could  
18 play a significant contribution to the total particle load and therefore to the air pollution in the  
19 urban environments. Therefore, a field measurement study of PNC was commenced to  
20 investigate the temporal and spatial variations of PNC within the urban airshed of Brisbane,  
21 Australia. PNC was monitored at urban (QUT), roadside (WOO) and semi-urban (ROC)  
22 areas around the Brisbane region during 2009. During the morning traffic peak period, the  
23 highest relative fraction of PNC reached about 5% at QUT and WOO on weekdays. PNC  
24 peaks were observed around noon, which correlated with the highest solar radiation levels at  
25 all three stations, thus suggesting that high PNC levels were likely to be associated with new  
26 particle formation caused by photochemical reactions. Wind rose plots showed relatively  
27 higher PNC for the NE direction, which was associated with industrial pollution, accounting  
28 for 12%, 9% and 14% of overall PNC at QUT, WOO and ROC, respectively. Although there  
29 was no significant correlation between PNC at each station, the variation of PNC was well  
30 correlated among three stations during regional nucleation events. In addition, PNC at ROC  
31 was significantly influenced by upwind urban pollution during the nucleation burst events,  
32 with the average enrichment factor of 15.4. This study provides an insight into the influence

1 of regional nucleation events on PNC in the Brisbane region and it the first study to quantify  
2 the effect of urban pollution on semi-urban PNC through the nucleation events.

### 3 **1. Introduction**

4 Atmospheric aerosols have been reported to be significantly associated with the alteration of  
5 climate forcing and the degradation of visibility, as well as the deterioration of human  
6 respiratory and cardiovascular systems (Charlson et al., 1992, Donaldson et al., 1998, Watson  
7 2002). Due to their small size ( $< 0.1 \mu\text{m}$ ), ultrafine particles (UFPs) only contribute a very  
8 small amount to the total mass of atmospheric particles, however they are most abundant by  
9 number ( $\sim 70\text{-}90\%$ ) and potentially have a greater impact on human health than the larger  
10 particles ( $< 2.5 \mu\text{m}$ ) (Morawska et al., 2008).

11 In urban environments, vehicle exhaust emissions are the most significant source of UFP and  
12 variations in particle number concentration (PNC) are strongly associated with local urban  
13 traffic activity (Morawska et al., 1998, 2008). Aircraft/ship emissions also contribute to  
14 elevated PNCs at a magnitude of  $10^5 - 10^6 \text{ cm}^{-3}$  (Sinha et al., 2003, Mazaheri et al., 2009). In  
15 addition to direct emission from above sources, new particles formed by nucleation processes  
16 is another source of UFPs in the urban environment, with PNC reaching magnitudes as high  
17 as  $10^4 - 10^5 \text{ cm}^{-3}$  during nucleation events (Qian et al., 2007, Pey et al., 2009, Cheung et al.,  
18 2011). In previous studies, particle mass concentration has been studied with regard to long  
19 range transport in an intercontinental scale (Jaffe et al., 2003), however the size distribution  
20 of and temporal-spatial variations in PNC have only been investigated on a local scale  
21 (Morawska et al., 1998; Hussein et al., 2004). For example, although regional nucleation has  
22 been observed in Helsinki, Finland (Hussein et al., 2008), Atlanta and Pittsburgh, United  
23 States of America (Stolzenburg et al., 2005, Stanier et al., 2004), spatial variations in PNC  
24 have also been studied in urban areas in Australia (Mejia et al., 2008) and in the United States  
25 (Hudda et al., 2010). These studies have not examined the impact of regional pollution on  
26 PNCs or the influence upwind urban pollution has on PNC downwind during the nucleation  
27 events.

28 This study aimed to examine the effect of regional pollution on PNC in different  
29 environments in the Brisbane region. After characterising the spatial variation of PNC in  
30 three different urban locations, we went on to investigate the influence of regional nucleation  
31 on PNC in the same region. Furthermore, the impact of urban pollution on PNC downwind  
32 from a semi-urban area during a nucleation burst event was also quantified. The results of this  
33 study are valuable for assessing the impact of nucleation on PNC in an urban environment.

34

### 35 **2. Methods and Techniques**

#### 36 2.1 Study design

1 Field measurements of particles and gaseous pollutants were conducted at three locations in  
2 Brisbane in 2009 to represent the urban (1 January to 31 December 2009), roadside (21 May  
3 to 31 December 2009) and semi-urban environments (5 February to 31 December 2009).

#### 4 2.2 The topography and meteorology of the Brisbane region

5 Brisbane is located at 27°30'S and 153°E, at Queensland of Australia. Brisbane city is  
6 surrounded by mountains from south to north, and faces the Pacific Ocean to the East. Traffic  
7 exhaust emissions are the major pollution source affecting the central business district (CBD).  
8 In addition, the aircraft, ship and industrial emissions are occasionally transported from the  
9 lower reaches of the Brisbane River, approximately 15-18 km NE of the CBD, by inland sea  
10 breezes. General wind patterns in the Brisbane region are governed by land and sea breezes,  
11 which are described in more detail by Morawska et al. (1998).

##### 12 2.2.1 Brisbane CBD (urban general)

13 The measurements were conducted at the International Laboratory of Air Quality and Health  
14 (ILAQH), Queensland University of Technology (QUT), which is within the Brisbane CBD  
15 (**Figure 1**). The monitoring site is on the sixth floor of a QUT campus building, located SE of  
16 the city centre, with a major highway (the Pacific Motorway) situated along the SW side of  
17 the campus. Therefore, the pollution associated with NE winds could be attributed to  
18 industrial emissions (from the airport, oil refinery and Port of Brisbane), while the pollution  
19 associated with S to NW winds could be attributed to local traffic exhaust emissions.

##### 20 2.2.2 Woolloongabba (roadside)

21 The Woolloongabba (WOO) monitoring station is located 3 km south to Brisbane CBD, and  
22 it is a part of the South-East Queensland air monitoring network of the Department of  
23 Environmental Resource and Management (DERM). The monitoring station is situated about  
24 5 meters from the kerb of Ipswich road, a road with a heavy traffic flow volume of over  
25 40,000, connecting the Southern Brisbane suburbs to the CBD. A relatively higher PNC level  
26 was expected at this site due to the significant impact of vehicle emission on PNC. In  
27 addition, a mutli-storey car park located 10 meters to the West of the station, and large scale  
28 road works surrounding the station, could also influence particle pollution levels.

##### 29 2.2.3 Rocklea (semi-urban)

30 The Rocklea (ROC) monitoring station is located around 10 km south of the Brisbane CBD  
31 and was also operated by the DERM. This station is surrounded by an open area, and the  
32 particle concentration was deemed to be free from the influence of local emissions. The  
33 major emission sources are from light industrial (Brisbane farmers markets) and residential  
34 (domestic cooking) sources in the Rocklea area.

#### 35 2.3 Measurement techniques

1 UFP size distribution in the range 4-110 nm was measured at the QUT monitoring site using  
2 a Scanning Mobility Particle Sizer (SMPS), which consisted of two parts, an Electrostatic  
3 Classifier (EC) (TSI 3080) equipped with a nano-Differential Mobility Analyser (nano-DMA)  
4 and a Condensation Particle Counter (CPC) (TSI 3781). Ambient air was drawn through a  
5 ~1m long conductive tubing connected to the EC. The ratio of the aerosol/sheath air flow for  
6 the EC was kept at 1/10 (0.6 to 6L min<sup>-1</sup>), and the scan time was five minutes. The size  
7 distribution data is then used to calculate PNC for the QUT site. At the WOO and ROC  
8 stations, PNC is continuously measured by a water-based CPC (TSI 3781) with a size-cut  
9 inlet of 1 nm, while particle mass concentrations of PM<sub>2.5</sub> and PM<sub>10</sub> are measured by a  
10 Tapered Element Oscillating Microbalance (TEOM) in 30-minute intervals at each site.

11 Gaseous pollutants, such as carbon monoxide (CO) and nitrogen oxide (NO<sub>x</sub>), were measured  
12 at WOO; and ozone (O<sub>3</sub>) and CO were measured at ROC using real-time gaseous analysers  
13 (Ecotech ML9830 for CO; Ecotech ML9841/ API 200A for NO<sub>x</sub>; Ecotech ML9812 for O<sub>3</sub>).  
14 Meteorological parameters, including wind direction/speed, temperature, relative humidity  
15 and solar radiation, have also been measured. The data were collected and validated by the  
16 DERM.

#### 17 2.4 Data processing and analysis

18 The raw particle size distribution measurements were transformed into 10 min averaged data  
19 for figure plotting. The total PNC for QUT was calculated by adding all of the particle counts  
20 in each size bin, which had a lower and upper limit of 1 cm<sup>-3</sup> and 5 x 10<sup>5</sup> cm<sup>-3</sup>, respectively  
21 (Mejía et al., 2007). Approximately 28% of the data removed from the database was based on  
22 the following criteria (the contribution of each quality control is shown in brackets): i) if the  
23 particle concentration has a zero value (~2%); ii) if the particle concentration is higher than 5  
24 x 10<sup>5</sup> cm<sup>-3</sup> (<1%); iii) and if data has been collected during instrument malfunction (~26%).  
25 Since the time resolutions of the particle mass concentration, gaseous and meteorological  
26 data provided by the DERM were in 30 min intervals, all measurements were transformed  
27 into 30 min averaged data for the correlation analysis (Section 3.2). Since the PNCs measured  
28 at three sites were using SMPS and CPC, to remove the discrepancy of these measurement  
29 methods, a relative PN contribution to total PNC has been used in temporal and correlation  
30 analysis. Inter-comparison between the PNCs measured by SMPS and CPCs has been shown  
31 in Figure-S1; moderate correlations have been obtained ( $r^2 = 0.47- 0.81$ ) with slopes of 0.55-  
32 0.65. This implies that the method of using PNCs measured by SMPS and CPCs for  
33 correlation analysis is justifiable and the ultrafine particles accounted for more than 50% of  
34 the PNC (by using CPC). Correlations between the parameters were tested using the Pearson  
35 correlation test, with a 95% confidence level ( $p < 0.05$ ). The linearity of the tested parameters  
36 was indicated by the product of Pearson's coefficient,  $r^2$ , with a perfect linear correlation  
37 between two parameters indicated by an  $r^2$  value close to 1. It should be noted that the PNC  
38 data for WOO is missing for the months from January to April due to instrument malfunction.

1 The back-trajectory of various air masses was calculated by using the HYSPLIT model  
2 (Hybrid Single Particle Lagrangian Integrated Trajectory, Version 4.9), in order to trace their  
3 origin. The meteorological data used for back-trajectory calculations was 1° x 1° in latitude  
4 and longitude. The calculated trajectory analysis provided an indication of which region the  
5 air mass came from. For the detail information about the principle and operation of HYSPLIT  
6 model was referred to these articles (Draxler and Hess 1997, 1998; Draxler 1999).

### 7 8 **3. Results and Discussion**

9 Firstly, the variation in PNC within each location was investigated by analysing the diurnal  
10 variation together with other measured parameters. Secondly, correlations between PNC for  
11 the different locations were examined, along with the influence of wind direction on PNC.  
12 Finally, two cases which represented typical regional nucleation events and the transport of  
13 urban pollution to the downwind semi-urban site were investigated.

#### 14 3.1 Diurnal variation

15 Diurnal variations of PNC measured at the three locations, which have been classified into (a)  
16 weekdays and (b) weekends by all measured data, are illustrated in **Figure 2**. It should be  
17 noted that the measurement periods at each site did not overlap. The general meteorological  
18 conditions for weekdays and weekends were similar, with SE-winds observed in the morning  
19 and NE-winds observed around noon, while solar radiation reached a maximum at noon on  
20 all days. In contrast, traffic volumes differed during the weekdays and weekends, such that: i)  
21 traffic volumes were higher during weekdays than weekends; ii) the daily traffic volume  
22 pattern consisted of two peaks during weekdays, one in the morning (~ 6-7 am) and one in  
23 the afternoon (around 3-6 pm); and iii) the daily pattern during weekends consisted of a wide  
24 broader peak.

25 In Figure 2a, it can be seen that morning PNC peaks were observed both at QUT and WOO.  
26 During that period, the measured relative fraction of PNC was found to be nearly 5% for both  
27 sites,, however they were not found at ROC. This result suggests that the observed peaks are  
28 related to morning traffic activity on nearby roads. Around noon, PNC peaks were observed  
29 at all three locations, as well as the maximum solar radiation. The highest relative fraction of  
30 total PNC at noon is 7.6%, 6.0% and 8.9% for QUT, WOO and ROC locations, respectively.  
31 These peaks are likely to be the result of new particle formation caused by photochemical  
32 reactions (Cheung et al., 2011). It should be noted that the relative fraction of the total PNC is  
33 affected by background PNC, traffic emissions and photochemical particle production during  
34 the morning and noon periods. The maximum PNC observed at QUT and WOO is at 12:00,  
35 and at 13:00 for ROC. The time lag at ROC could be the result of the time the pollution  
36 plume requires to be transported from the upwind area (CBD area), to the downwind area  
37 (ROC). This is discussed in more detail in Section 3.5.

1 One interesting observation was that the influence of traffic activity was weak during the  
2 afternoon period (~15:00-18:00) for both weekdays and weekends, with PNC found to  
3 decrease gradually between 14:00-15:00, even though traffic volume remained relatively  
4 unchanged. Similar observations were made in the urban area of Helsinki, Finland (Hussein  
5 et al., 2004).

### 6 3.2 Correlation among measured parameters

7 A summary of the correlation coefficients ( $r^2$ ) for the measured parameters from the entire  
8 period is provided in **Table 1**. The low  $r$  values,  $0.05 < r^2 < 0.19$ , showed that the PNC at the  
9 three sites were not correlated, however PM<sub>2.5</sub> and PM<sub>10</sub> at WOO and ROC were well  
10 correlated ( $0.60 < r^2 < 0.88$ ). These results implied that the PNC at each site were generally  
11 influenced by local sources, such as vehicle exhaust emissions (Morawska et al., 2008), while  
12 PM<sub>2.5</sub> and PM<sub>10</sub> were influenced by intra-city pollution.

13 Although there was no correlation between PNC at the three sites, it did appear to be  
14 influenced by regional pollution during the nucleation events (discussed in Section 3.4). PNC  
15 at QUT and ROC did not show a significant correlation with primary gaseous pollutants such  
16 as CO and NO<sub>x</sub>, but PNC did show a moderate correlation with CO ( $r = 0.35$ ) and NO<sub>x</sub> ( $r =$   
17  $0.47$ ) at WOO. The results observed for QUT are in contrast to those reported by Morawska  
18 et al. (1998), where PNC (5-1000 nm) at QUT was reasonably well correlated with CO ( $r =$   
19  $0.45$ ) and NO<sub>x</sub> ( $r = 0.40$ ) and was also influenced by vehicle exhaust emission. This  
20 discrepancy may be due to the different measurement periods, as the measurements were only  
21 conducted during the morning and afternoon peak traffic hours in Morawska et al. (1998).  
22 However, a continuous measurement approach was used in the present study, which included  
23 a more complex mixture of emissions and a significant contribution to PNC by nucleation  
24 process, which may have masked the influence of vehicle exhaust emissions on PNC.

25 Furthermore, scatter plots (**Figure 3**) for carbon monoxide and nitrogen oxide at WOO show  
26 the influence of two pollution plumes with different CO/NO<sub>x</sub> ratios as a function of wind  
27 direction. The CO/NO<sub>x</sub> ratios for these two groups were ~ 25 and 7.5, respectively. The first  
28 group (ratio 25) was associated with winds from the SW to NW, while the second group  
29 (ratio 7.5) was mainly associated with NE winds. Given that the vehicle exhaust emission  
30 ratio in SE Queensland is about 10 (Cheung et al., 2011), it is likely that the second group  
31 was affected by vehicle exhaust emissions. Since low speed driving induces higher CO/NO<sub>x</sub>  
32 ratios than faster driving modes (Holmén and Niemeier 1997), this could be a result of  
33 vehicle emissions from the hospital car park, located 10 meters W of WOO. It should be  
34 noted that, in the absence of in-situ measurements, this explanation is only speculative.

### 35 3.3 Dependence of the particle number concentration on wind direction

36 The wind rose plots for PNC showed a similar pattern for the three sites (see **Figure 4a**), with  
37 all three sites affected by both land and sea breezes, which blew from the SW and NE,

1 respectively (see **Figure 4b**). In general, relatively higher concentrations were observed in  
2 the NE quadrant, being 12%, 9% and 14% for QUT, WOO and ROC, respectively, compared  
3 to around 3-6% for the other three quadrants. This result implies that, in addition to the local  
4 sources at each site, there was a significant source located in a NE direction of the sites. This  
5 is most likely the result of the numerous industrial activities (i.e. Port of Brisbane, Oil  
6 Refinery, and Domestic and International Airports) taking place NE of all three sites, as well  
7 as from traffic emissions from the CBD, which is also upwind from WOO and ROC.

### 8 3.4 Influences of regional pollution on the particle number concentration

9 During 2009, a total of 40 nucleation growth events were observed based on particle size  
10 distribution data for QUT in Brisbane (Cheung et al. 2011) and more detailed information  
11 regarding the classification of nucleation events can be found in this paper. In order to further  
12 investigate the influence of nucleation growth events on the PNC of Brisbane region, we  
13 chose two nucleation events as case studies, one which occurred on 17 July 2009 and the  
14 other on 9 September 2009. The first case was a region wide event, while the second case  
15 was a local event. A Pearson's correlation coefficient was calculated for each event, using  
16 data obtained between 08:00-16:00, since the majority of nucleation events were initiated  
17 during this period. From **Table 2** it can be seen that PNCs at the three sites showed  
18 significant correlations during some nucleation events. For example, the  $r^2$  values for QUT-  
19 ROC, QUT-WOO and WOO-ROC were 0.95, 0.71 and 0.75 on 17 July 2009 respectively  
20 (with  $p < 0.05$ ). To better illustrate the correlation of PNCs from different locations (urban  
21 and downwind semi-urban areas) during nucleation and non-nucleation events, an example of  
22 the PNC scatterplot obtained during the event and non-event days at QUT and ROC is shown  
23 in **Figure S2**.

24 As shown in **Figure 5a**, similar temporal variations were observed on 17 July 2009, when a  
25 nucleation growth event was observed at 10:30. At this time the relative PNC at QUT, WOO  
26 and ROC increased from 1.5 % to 8 %, 2 % to 5 % and 1.5 % to 10 % at each station,  
27 respectively, before returning to around 1.5 % for each site at approximately 16:00.

28 In contrast, there were some instances where the  $r^2$  was larger than 0.5 for QUT-ROC ( $r^2 =$   
29 0.79), but lower for QUT-WOO ( $r^2 < 0.01$ ) (e.g. 9 September 2009). In addition, the temporal  
30 variation for  $PN_{QUT}$  and  $PN_{ROC}$  were closely correlated during the period between 10:30-  
31 15:30 (see **Figure 5b**), while  $PN_{WOO}$  did not follow the same trend. This indicates that there  
32 was a regional pollution plume that affected QUT and ROC, but not WOO, which was  
33 located between the two sites. This may be due to local atmospheric conditions at WOO  
34 which suppressed the nucleation, or it may be the result of two individual nucleation events  
35 that occurred at QUT and ROC simultaneously. Further analysis was required in order to  
36 explain this phenomenon, including data for gaseous pollutants, meteorological conditions,  
37  $PM_{2.5}$  and  $PM_{10}$  which will be discussed in following section.

#### 38 3.4.1 Comparison of the two case studies



1 The back-trajectories for the 17 July 2009 and 9 September 2009 events were calculated for  
2 the first two hours of the nucleation events (see **Figure 6a-b**). The results suggest that the air  
3 masses at each site originated from the same region on both occasions, which means that the  
4 absence of a nucleation event at WOO on 9 September 2009 is likely to be the result of other  
5 variable factors such as local emission sources and/or meteorological conditions.

6 In order to further investigate the similarities and differences between these two cases,  
7 average PNC and PM<sub>10</sub>, as well as gaseous pollutants such as CO and NO<sub>x</sub>, and  
8 meteorological conditions including temperature, relative humidity and wind speed, were  
9 compared for WOO and ROC (see **Table 3**). Overall, no significant differences in  
10 temperature or relative humidity were observed, although a relatively lower wind speed was  
11 observed at WOO (~ 1 ms<sup>-1</sup>) compared to that of ROC (~ 3-4 ms<sup>-1</sup>) during both events. The  
12 temperature differences during those events at WOO and ROC were small, implying that the  
13 impacts of height of mixing layer on both locations were similar. This observation (with high  
14 r<sup>2</sup> (QUT-ROC) and relatively low r<sup>2</sup> (QUT-WOO), r<sup>2</sup> (WOO-ROC)) was also found on 30  
15 May, 8 Jun, 8 Aug and 16 October 2009. For gaseous pollutants, the mixing ratios for NO<sub>x</sub> at  
16 WOO and ROC remained the same for both events, while a relatively higher mixing ratio of  
17 0.29 ppm for CO was observed at WOO on 9 September 2009, compared to 0.19 ppm on 17  
18 July 2009. A relatively higher CO/NO<sub>x</sub> ratio of ~5.8 was also observed at WOO on 9  
19 September 2009 compared to ~ 3.8 on 17 July 2009.

20 In terms of particle mass, the PM<sub>10</sub> at WOO was 13.6 mg cm<sup>-3</sup> on 17 July 2009, compared to  
21 17.8 mg cm<sup>-3</sup> on 9 September 2009 (an increase of 30.9%). On the other hand the PM<sub>10</sub> at  
22 ROC was 7.0 mg cm<sup>-3</sup> on 17 July 2009, compared to 6.8 mg cm<sup>-3</sup> on 9 September 2009 (an  
23 decrease of 2.9%). A higher number of pre-existing particles in the atmosphere can act a  
24 strong sink for condensation nuclei, therefore suppressing new particle formation (Kerminen  
25 et al., 2001). In our previous study (Jayaratne et al, 2011), it has been shown that an increase  
26 of PM<sub>10</sub> concentration in the environment leads to a sharp decrease in the number of ultrafine  
27 particles. Therefore, the relatively higher particle mass concentration of WOO on 9  
28 September 2009, which is indicative of more pre-existing particles. This explains the  
29 suppression of the nucleation process at WOO on this day. Beside the influence of  
30 condensation sink on the suppression of nucleation, the coagulation scavenging may be  
31 another factor which removed the freshly formed particles in this case.

### 32 3.5 Influence of upwind pollution on the particle number concentration in the downwind area

33 In addition to the influence of regional pollution on PNC at each site, upwind pollution from  
34 a NE direction was also found to affect PNC. In order to characterise the influence of  
35 nucleation burst events on air quality downwind from larger pollution sources, we analysed  
36 the data from Cheung et al. (2011), based on 22 nucleation burst events that occurred at QUT  
37 during 2009, all of which were associated with NE winds that originated from the same  
38 direction as the Brisbane Airport, Oil Refinery and Port of Brisbane. **Table 4** shows the  
39 Pearson's coefficient for PNC at QUT and ROC during the nucleation burst events. Since it

1 takes about 30 minutes for air masses to move from QUT to ROC (assuming an average wind  
2 speed of  $\sim 5 \text{ ms}^{-1}$  during the event period), the  $r^2$  values were also calculated based on data  
3 from 30 minutes later at ROC (e.g. 12:30 data from QUT was compared with 13:00 data for  
4 ROC).

5 From this table it can be seen that variations in PNC at QUT and ROC were correlated during  
6 most of the nucleation burst events. The “time shifted”  $r^2$  values were higher than the original  
7 values in 11 out of the 22 cases (only the cases with shifted  $r^2 \geq 0.4$  were counted), indicating  
8 that the variation in PNC in the downwind area was associated with the air masses from the  
9 NE. In order to investigate this phenomenon further, we constructed a time series plot of the  
10 PNC and wind vectors at QUT and ROC for 25 October 2009 (see **Figure 7**). On this day, the  
11 original  $r^2$  calculated was 0.33, and the “time shifted”  $r^2$  was significantly improved to 0.82.  
12 It can be seen that the highest PNC levels (12 % contribution to total PN,  $\sim 80 \times 10^3 \text{ cm}^{-3}$ )  
13 were associated with a pollution plume that blew in from the NE, which reached the CBD at  
14 around 11:00am. As indicated by a particle burst observed at ROC around 12:00, this plume  
15 reached ROC around 30-60 min later. The  $r^2$  value of 0.82 indicates that the increase in PNC  
16 at QUT and ROC were similar and back-trajectories calculated for QUT and ROC during the  
17 burst event confirmed that the air masses originated from same region (see **Figure 8**).

18 To further evaluate the impact of the nucleation burst events on the PNC in the semi-urban  
19 area of ROC, we determined the enrichment factor of PNC by calculating the ratio of  
20 maximum to minimum PNC during the period 08:00-16:00. On 25 Oct 2009, PNC increased  
21 to about 11.6 times higher than the minimum PNC, which indicates that the urban/industrial  
22 pollution plume had a significant effect on PNC in the semi-urban area. The enrichment  
23 factor for other nucleation burst event days are listed in **Table S1**, in the supplementary  
24 section. The average enrichment factor for PNC at ROC as a result of upwind urban pollution  
25 during the nucleation burst events was 15.4.

26

## 27 **4. Conclusion**

28 PNC variation was investigated in urban, roadside and semi-urban areas of Brisbane during  
29 2009. Overall, significant diurnal variation was observed at QUT and WOO during weekdays,  
30 and PNC peaks were observed at all three sites around noon, which corresponded with the  
31 highest solar radiation levels and suggested that the PNC was associated with new particle  
32 formation by photochemical reactions. Wind rose plots of PNC showed that highly polluted  
33 air plumes blew in from a NE direction, which is consistent with the direction of major urban  
34 and industrial areas, and although PNC did not show a significant correlation between the  
35 three sites, concentrations were found to be closely correlated during nucleation growth  
36 events. In addition, PNC at the semi-urban site of ROC was significantly influenced by the  
37 upwind urban pollution coming from the NE, with an average enrichment factor of 10.8. This  
38 study provides an insight into the influence of regional nucleation events on PNC in the

1 Brisbane region and is the first study to quantify the effect of urban pollution on semi-urban  
2 PNC. The findings of this study are useful for environmental management and assessment in  
3 regard to PNC.

4

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10

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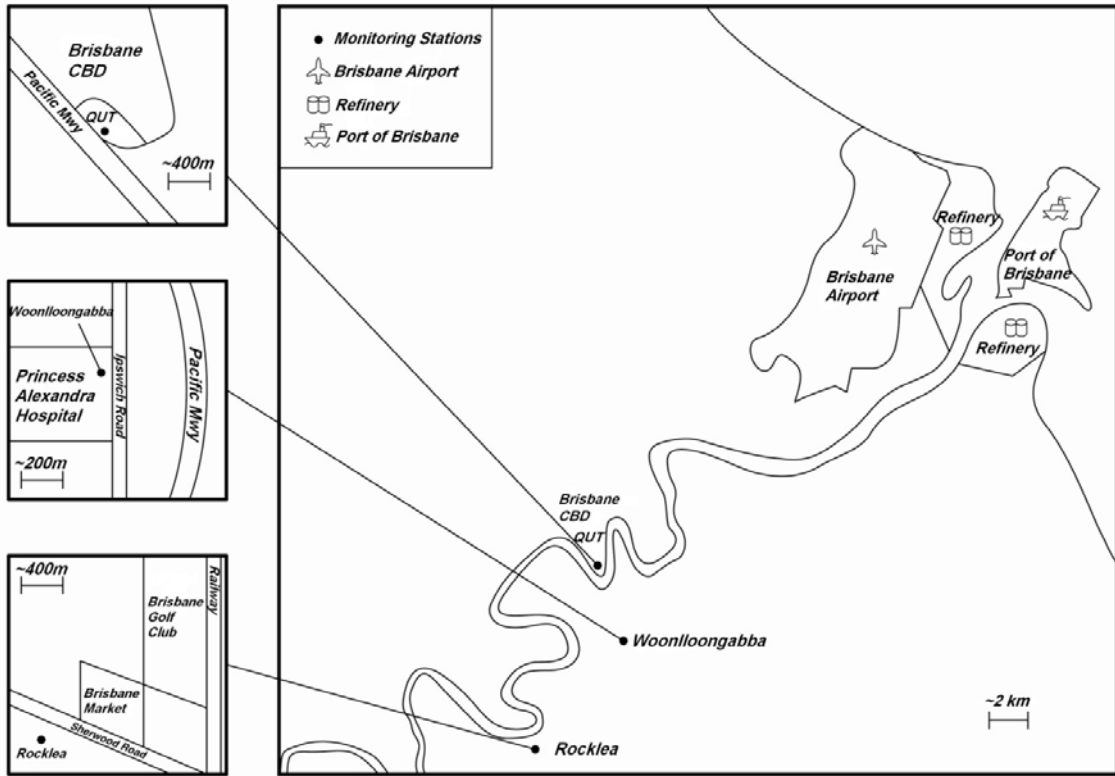
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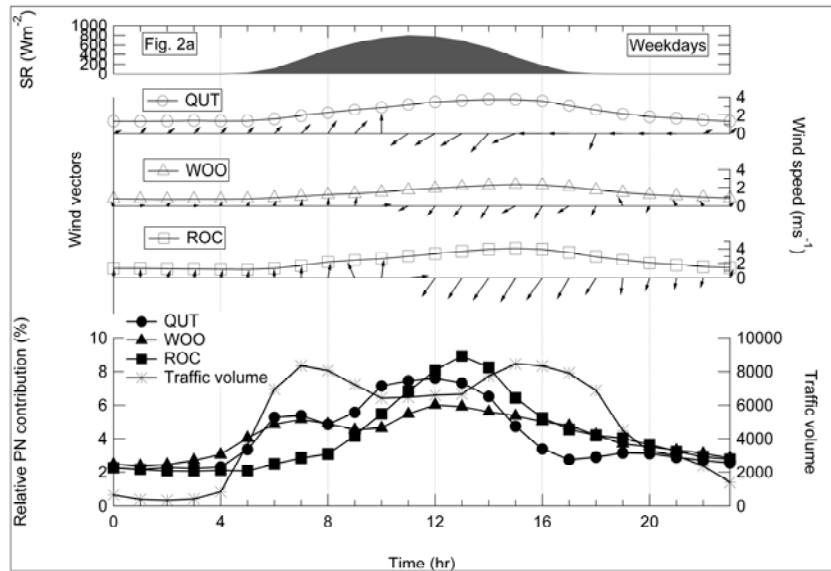
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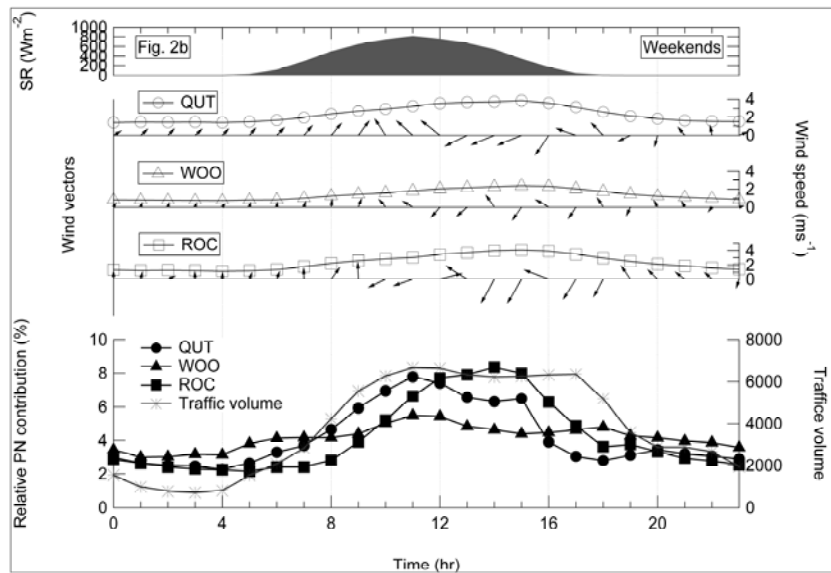


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**Figure 1.** Map of monitoring sites.

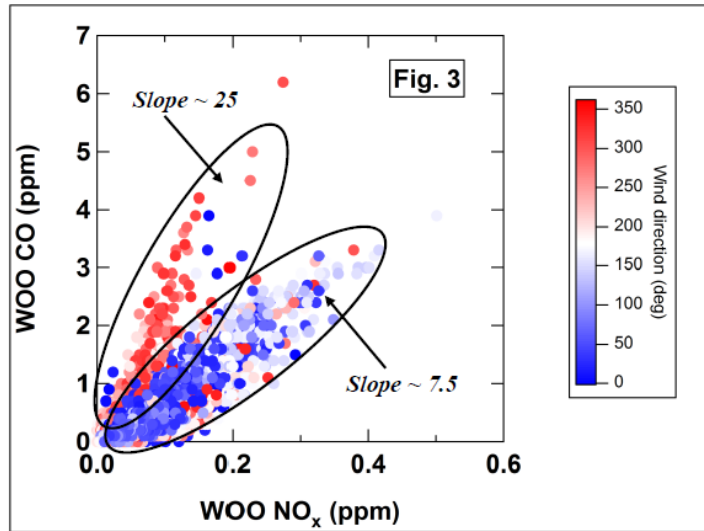


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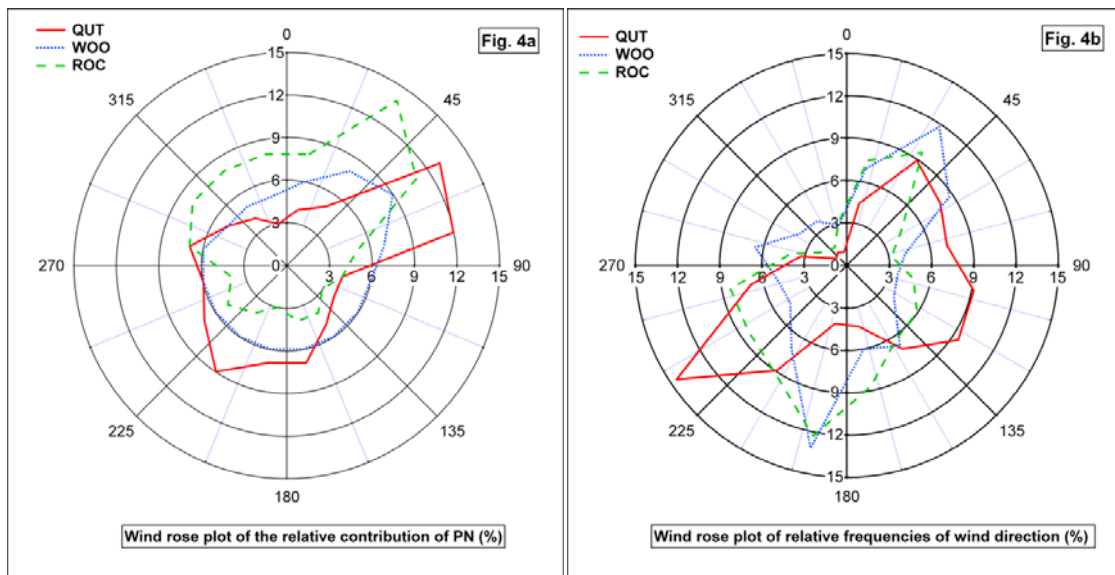
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3 **Figure 2.** Average diurnal variation of parameters measured for (a) weekdays and (b)  
 4 weekends. From bottom to top: i) relative PNC measured at three sites, together with traffic  
 5 volumes recorded at QUT; ii) wind vectors measured at the three sites; and iii) solar radiation  
 6 (SR) measured at ROC.



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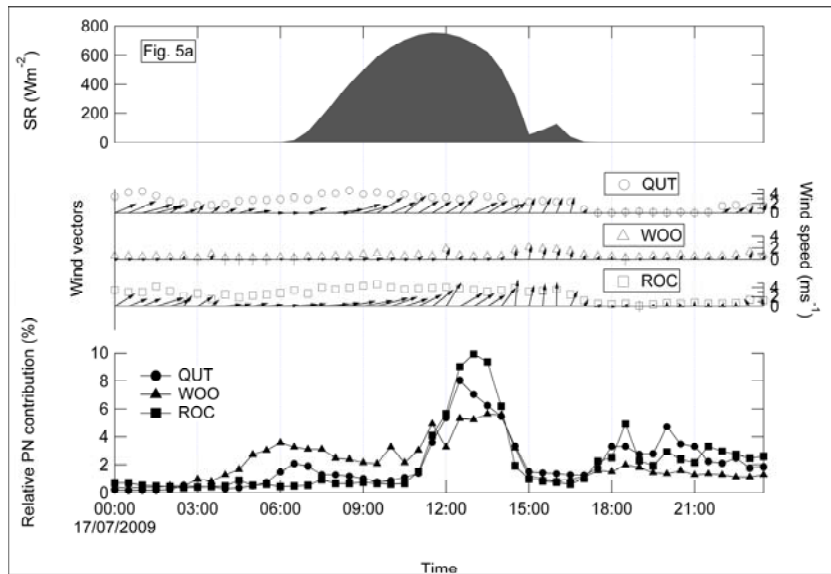
**Figure 3.** Scatter plots of CO<sub>WOO</sub> versus NO<sub>x,WOO</sub>



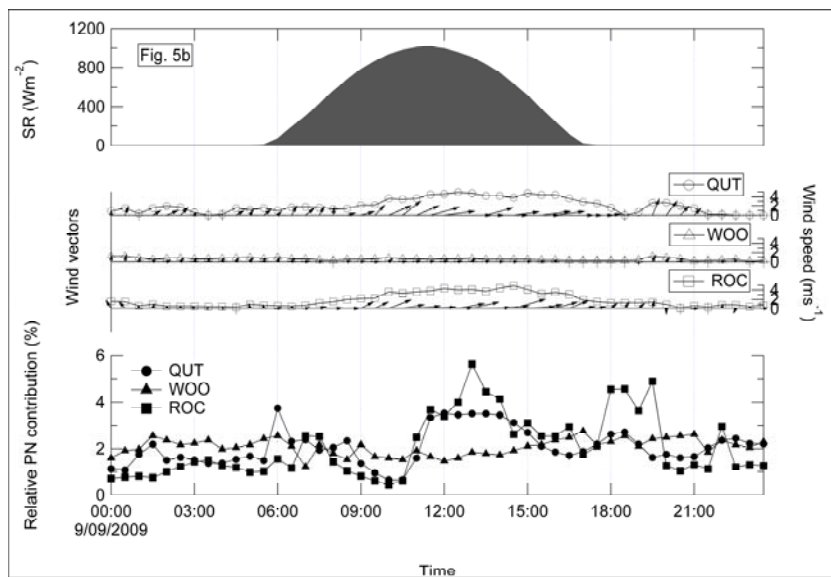
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**Figure 4.** Wind rose plots of (a) relative PNC contribution at QUT, WOO and ROC; (b) relative frequencies of wind direction.





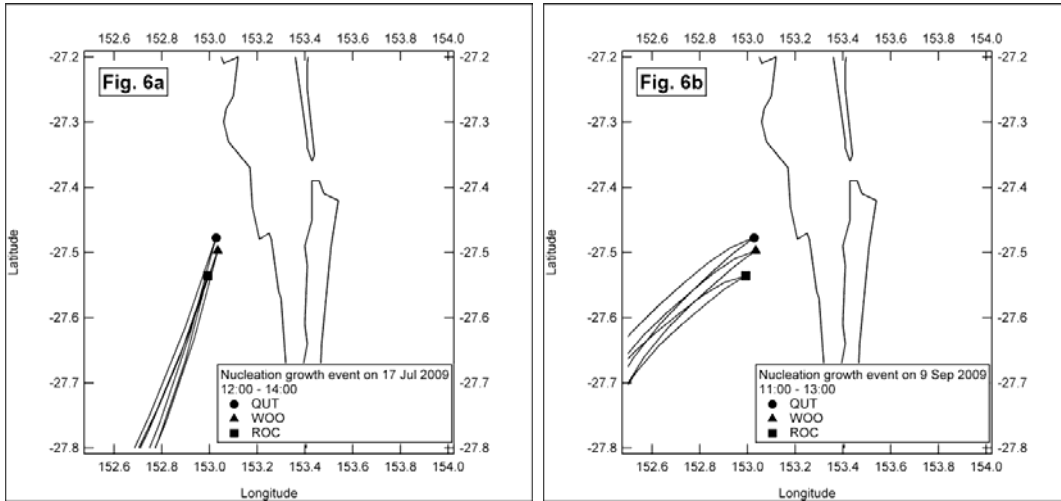
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3 **Figure 5.** Time series plot of parameters measured on (a) 17 July 2009 and (b) 9 September  
 4 2009. From bottom to top: i) relative PNC measured at QUT, WOO and ROC; ii) wind  
 5 vectors for QUT, WOO and ROC; and iii) solar radiation (SR) at ROC.

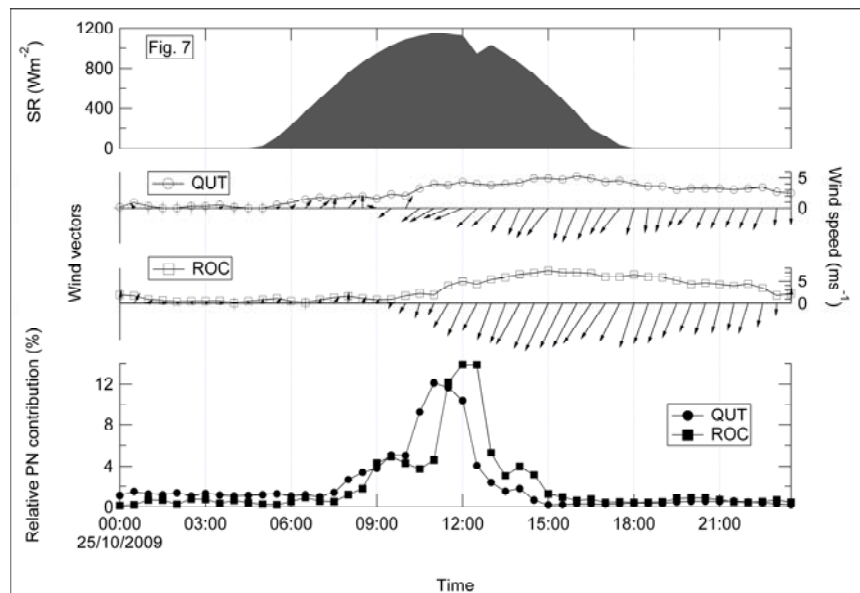
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2 **Figure 6.** Back-trajectories for the first two hours of each event: (a) 17 Jul 2009 and (b) 9  
 3 Sep 2009.

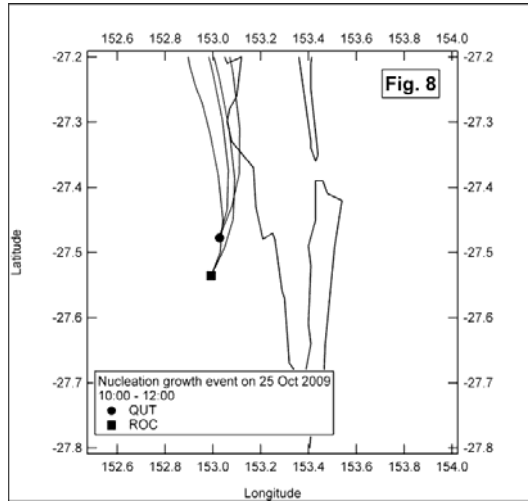
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6 **Figure 7.** Time series plot of parameters measured on 25 Oct 2009. From bottom to top: i)  
 7 number concentration of nucleation and Aitken modes particles; ii) relative particle number  
 8 concentration measured at QUT and ROC; iii) wind vectors at QUT and ROC; and iv) solar  
 9 radiation (SR) at Rocklea.

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**Figure 8.** Back-trajectories calculated for the burst events on 25 Oct 2009.

	$N_{QUT}$	$N_{WOO}$	$N_{ROC}$	$PM_{10}$ (QUT)	$NO_x$ (WOO)	$CO$ (WOO)	$PM_{2.5}$ (WOO)	$PM_{10}$ (WOO)	$NO_x$ (ROC)	$O_3$ (ROC)	$PM_{2.5}$ (ROC)	$PM_{10}$ (ROC)	$RAD$
$N_{QUT}$	1.00	0.05	0.19	0.00	0.02	0.00	0.00	0.00	0.00	0.02	0.00	0.00	0.08
$N_{WOO}$		1.00	0.12	0.00	0.22	0.12	0.01	0.00	0.03	0.01	0.00	0.00	0.07
$N_{ROC}$			1.00	0.00	0.04	0.03	0.00	0.00	0.01	0.08	0.00	0.00	0.12
$PM_{10}$ (QUT)				1.00	0.00	0.00	0.12	0.17	0.00	0.01	0.13	0.14	0.00
$NO_x$ (WOO)					1.00	<b>0.65</b>	0.05	0.00	<b>0.31</b>	0.02	0.01	0.00	0.00
$CO$ (WOO)						1.00	0.02	0.00	0.20	0.01	0.00	0.00	0.01
$PM_{2.5}$ (WOO)							1.00	<b>0.80</b>	0.03	0.00	<b>0.79</b>	<b>0.60</b>	0.01
$PM_{10}$ (WOO)								1.00	0.00	0.00	<b>0.88</b>	<b>0.80</b>	0.00
$NO_x$ (ROC)									1.00	0.27	0.01	0.00	0.06
$O_3$ (ROC)										1.00	0.01	0.00	<b>0.38</b>
$PM_{2.5}$ (ROC)											1.00	<b>0.83</b>	0.00
$PM_{10}$ (ROC)												1.00	0.00
$RAD$													1.00

**Table 1.**  $r^2$  calculated between the parameters.

Event types	Date	$r^2$ (QUT-ROC)	$r^2$ (QUT-WOO)	$r^2$ (WOO-ROC)
Class Ia	15-Feb-2009	<b>0.42</b>		
	16-Feb-2009	0.11		
	28-Apr-2009	<b>0.52</b>		
	29-Apr-2009	0.17		
Class Ib	30-Mar-2009	0.00		
	17-May-2009	<b>0.74</b>		
	6-Jun-2009	0.02	<b>0.42</b>	0.10
	18-Jul-2009	0.10	<b>0.58</b>	0.03
	28-Jul-2009	0.19	0.23	0.01
	1-Aug-2009	0.00	<b>0.46</b>	0.01
	2-Aug-2009	0.13	0.02	0.16
	8-Aug-2009	<b>0.53</b>	0.25	0.09
	9-Aug-2009	0.39	0.11	0.15
	18-Aug-2009	<b>0.82</b>	<b>0.66</b>	<b>0.41</b>
	9-Sep-2009	<b>0.79</b>	0.00	0.01
	8-Oct-2009	0.16	0.33	<b>0.59</b>
	21-Oct-2009	0.08	0.12	<b>0.68</b>
Class II	9-Feb-2009	0.09		
	26-Feb-2009	0.00		
	27-Feb-2009	0.19		
	28-Feb-2009	0.00		
	15-Mar-2009	<b>0.64</b>		
	16-Mar-2009	0.25		
	17-Mar-2009	<b>0.54</b>		
	22-Mar-2009	0.26		
	5-Apr-2009	0.00		
	12-May-2009	0.25		
	21-May-2009	0.04	0.01	0.38
	30-May-2009	<b>0.71</b>	0.02	0.04
	8-Jun-2009	<b>0.53</b>	0.08	0.05
	17-Jul-2009	<b>0.95</b>	<b>0.71</b>	<b>0.75</b>
	15-Aug-2009	0.28	<b>0.77</b>	0.20
	10-Sep-2009	<b>0.65</b>	<b>0.56</b>	<b>0.45</b>
	14-Sep-2009	0.16	0.17	0.20
	16-Oct-2009	<b>0.46</b>	0.05	0.10
	24-Oct-2009	0.15	<b>0.51</b>	<b>0.67</b>
3-Dec-2009	0.05	0.02	0.21	

1 **Table 2.** The  $r^2$  values for PNC at QUT, WOO and ROC during nucleation growth events ( $r^2$   
2 values > 0.4 are bolded). Nucleation events were classified into Class Ia/b where the particle  
3 growth rate can be determined and Class II where the banana shape still observable, but the  
4 data fluctuates to such an extent that formation rate calculation is impractical. More detailed  
5 explanation of class type can be found in Cheung et al. (2011).

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	PN ( $10^3$ $\text{cm}^{-3}$ )	PM <sub>10</sub> ( $\mu\text{g m}^{-3}$ )	CO (ppm)	NO <sub>x</sub> (ppm)	Temp (°C)	RH (%)	Wind Speed ( $\text{ms}^{-1}$ )
WOO	8.3 (3.9)	13.6 (3.5)	0.19 (0.15)	0.05 (0.02)	17.7 (1.8)	47.8 (5.8)	1.0 (0.5)
ROC	3.0 (3.2)	7.0 (0.9)	n/a	0.00 (0.00)	15.6 (2.8)	48.6 (10.1)	3.8 (0.4)

1 **Table 3a.** Average values of measured parameters of WOO and ROC from 08:00-16:00 on  
2 17 Jul 2009. A standard deviation showed in bracket.

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	PN ( $10^3$ $\text{cm}^{-3}$ )	PM <sub>10</sub> ( $\mu\text{g m}^{-3}$ )	CO (ppm)	NO <sub>x</sub> (ppm)	Temp (°C)	RH (%)	Wind Speed ( $\text{ms}^{-1}$ )
WOO	5.6 (0.7)	17.8 (7.2)	0.29 (0.36)	0.05 (0.02)	24.0 (1.5)	33.0 (6.8)	0.5 (0.1)
ROC	2.6 (1.6)	6.8 (3.3)	n/a	0.00 (0.00)	22.5 (2.1)	32.3 (9.9)	3.4 (1.0)

4 **Table 3b.** Average values of measured parameters of WOO and ROC from 08:00-16:00 on 9  
5 Sep 2009. A standard deviation showed in bracket.

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Date	$r^2$	$r^2$ (ROC data shifted 30 mins)
<b>8 Feb 2009</b>	<b>0.88</b>	<b>0.94</b>
24 Feb 2009	0.04	0.02
<b>15 Mar 2009</b>	<b>0.64</b>	<b>0.82</b>
<b>14 Apr 2009</b>	<b>0.52</b>	<b>0.87</b>
<b>3 Sep 2009</b>	<b>0.75</b>	<b>0.87</b>
<b>16 Sep 2009</b>	<b>0.79</b>	<b>0.93</b>
<b>17 Sep 2009</b>	<b>0.40</b>	<b>0.64</b>
20 Oct 2009	0.56	0.40
22 Oct 2009	0.66	0.65
<b>25 Oct 2009</b>	<b>0.33</b>	<b>0.82</b>
<b>28 Oct 2009</b>	<b>0.33</b>	<b>0.73</b>
<b>29 Oct 2009</b>	<b>0.21</b>	<b>0.66</b>
31 Oct 2009	0.30	0.09
<b>2 Nov 2009</b>	<b>0.51</b>	<b>0.81</b>
5 Nov 2009	0.56	0.28
7 Nov 2009	0.31	0.00
11 Nov 2009	0.58	0.54
12 Nov 2009	0.90	0.81
14 Nov 2009	0.00	0.02
24 Nov 2009	0.37	0.23
<b>26 Nov 2009</b>	<b>0.82</b>	<b>0.98</b>
24 Dec 2009	0.00	0.08
Average	0.48	0.55

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2 **Table 4.** The  $r^2$  values for PNC at QUT and ROC during nucleation burst events. Only data  
3 observed between 08:00-16:00 has been used (shifted  $r^2$  values larger than original  $r^2$ , and the  
4 values  $\geq 0.4$  are bolded).

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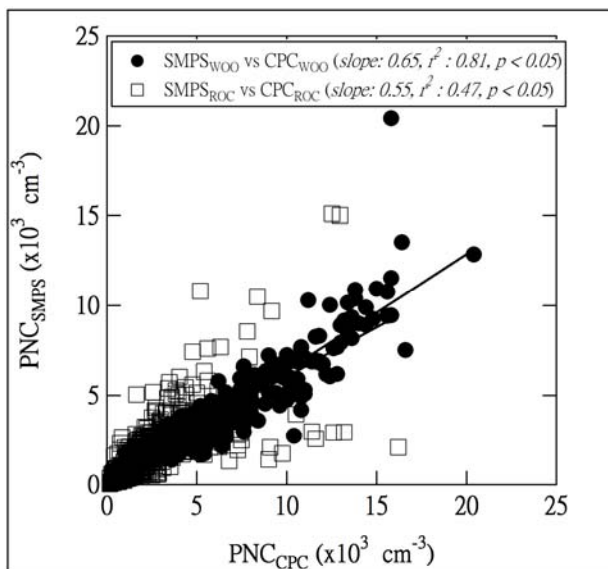
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1 **Supplementary materials**

Date	Daily maximum of relative PN contribution (%)	Daily minimum of relative PN contribution (%)	Enrichment factor
8 Feb 2009	12.1	0.3	40.3
15 Mar 2009	8.8	0.5	17.6
14 Apr 2009	8.5	0.4	21.3
3 Sep 2009	12.1	0.6	20.2
16 Sep 2009	7.5	0.7	10.7
17 Sep 2009	6.9	1.0	6.9
25 Oct 2009	13.9	1.2	11.6
28 Oct 2009	24.4	1.4	17.4
29 Oct 2009	9.0	1.8	5.0
2 Nov 2009	9.3	0.8	11.6
16 Nov 2009	11.7	1.6	7.3
Average			15.4

2 **Table S1.** Enrichment factor of the relative PN contribution for the semi-urban area, Rocklea  
 3 under the influence of upwind pollution during the nucleation burst events. Only data  
 4 between the period of 08:00-16:00 have been used in the calculation.

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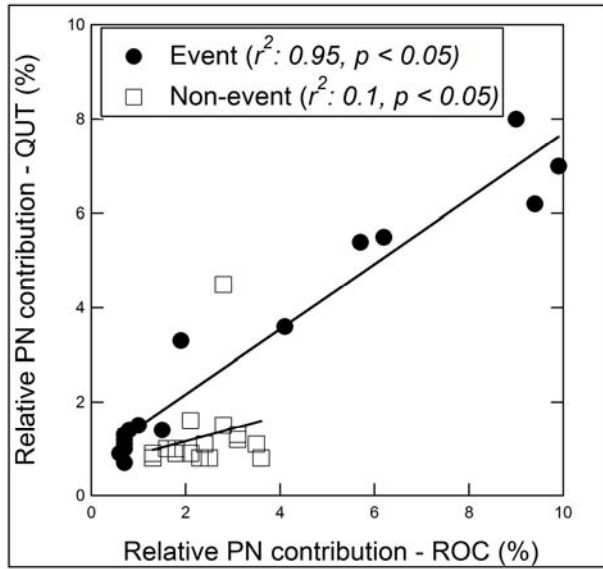


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7 **Figure S1.** Scatter plots of PNCs measured by SMPS ( $PNC_{SMPs}$ ) and CPC ( $PNC_{CPC}$ ) at ROC  
 8 and WOO.

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**Figure S2.** Scatter plots of relative PN contribution between QUT and ROC during nucleation event (9 Sep 2009) and non-event (8 Mar 2009).