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#### Title page

The effect of simulated astigmatic refractive error on reading performance in the young

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#### ABSTRACT

**Background:** Few studies have specifically investigated the functional effects of uncorrected astigmatism on measures of reading fluency. This information is important to provide evidence for the development of clinical guidelines for the correction of astigmatism.

**Methods:** Participants included 30 visually normal, young adults (mean age  $21.7 \pm 3.4$  years). Distance and near visual acuity and reading fluency were assessed with optimal spectacle correction (baseline) and for two levels of astigmatism, 1.00DC and 2.00DC, at two axes (90° and 180°) to induce both against-the-rule (ATR) and with-the-rule (WTR) astigmatism. Reading and eye movement fluency were assessed using standardized clinical measures including the test of Discrete Reading Rate (DRR), the Developmental Eye Movement (DEM) test and by recording eye movement patterns with the Visagraph (III) during reading for comprehension.

**Results:** Both distance and near acuity were significantly decreased compared to baseline for all of the astigmatic lens conditions (p < 0.001). Reading speed with the DRR for N16 print size was significantly reduced for the 2.00DC ATR condition (a reduction of 10%), while for smaller text sizes reading speed was reduced by up to 24% for the 1.00DC ATR and 2.00DC condition in both axis directions (p<0.05). For the DEM, sub-test completion speeds were significantly impaired, with the 2.00DC condition affecting both vertical and horizontal times and the 1.00DC ATR condition affecting only horizontal times (p<0.05). Visagraph reading eye movements were not significantly affected by the induced astigmatism.

**Conclusions:** Induced astigmatism impaired performance on selected tests of reading fluency, with ATR astigmatism having significantly greater effects on performance than did WTR, even for relatively small amounts of astigmatic blur of 1.00DC. These

findings have implications for the minimal prescribing criteria for astigmatic refractive errors.

Key Words: astigmatism, eye movements, reading speed, visual acuity

1	Astigmatism is a relatively common form of refractive error, affecting up to twenty
2	percent of primary school children, <sup>1, 2</sup> depending on the refractive power used to
3	define astigmatism. Higher levels of astigmatism are evident in some child
4	populations including those with Native American or Asian ethnicity. <sup>3-10</sup> With-the-rule
5	(WTR) astigmatism, where the steeper axis is vertical, is more common in school
6	aged children than against-the-rule (ATR) where the steeper axis is horizontal, with
7	oblique astigmatism being the least common. <sup>11</sup> Uncorrected astigmatic refractive
8	errors, defined as $\geq$ 1.00 DC, account for up to 46.5% of correctable vision
9	impairment in children. <sup>12</sup> What is not known is how uncorrected astigmatic refractive
10	errors impact on visual tasks performed by children, such as reading, in the classroom.
11	
12	An important question facing eye practitioners is the minimum level of astigmatic
13	refractive error that should be prescribed for. This is complicated by the fact that the
14	impact of uncorrected astigmatism on functional visual performance can vary both
15	with the magnitude and the axis of astigmatism. <sup>13</sup> The majority of published
16	guidelines for correcting astigmatism suggest that any astigmatism >1.00DC, or that
17	which decreases visual acuity to $<6/12$ , should be considered significant. <sup>14-16</sup>
18	Conversely, other guidelines recommend correcting astigmatism as low as 0.50DC
19	depending on the magnitude (diopters) and axis of astigmatism, along with the
20	presence of asthenopic symptoms. <sup>17, 18</sup> Uncorrected astigmatism, as low as 1.00DC,
21	has been reported to significantly decrease visual acuity and has been shown to impair
22	performance on functional tests such as reading speed, and reading text on mobile
23	phones and computer screens in studies of older adults. <sup>13</sup> Importantly, the effect of
24	astigmatism on standardized tests of reading performance and fluency that are
25	commonly used in the examination of children has not been previously assessed.

26 The purpose of our study was to assess the effect of induced astigmatic refractive 27 error on a selection of standardized clinical measures of reading performance. The 28 measures of reading performance were selected from those more commonly used in 29 clinical practice and included a test of Discrete Reading Rate (DRR), the 30 Developmental Eye Movement (DEM) test and the Visagraph. The DRR test provides a gross indication of fixation duration,<sup>19</sup> by recording the time taken to read aloud 31 words of a given print size on standard Bailey-Lovie reading cards.<sup>20</sup> The DRR test 32 33 was selected because the cards present unrelated words, rather than continuous text, 34 thus removing contextual cues from the reading task that are found in other 35 commonly used measures of reading performance, such as the MNRead and Radner 36 tests. A change in DRR indicates a change in fixation duration and provides 37 information regarding an individual's functional reading ability. The DEM test is used 38 clinically to assess both poor automaticity in number naming and ocular motor fluency for reading horizontally and vertically arranged single digit numbers.<sup>21</sup> The 39 40 time taken to read a series of single digit numbers arranged vertically is considered to 41 be a measure of rapid automatic naming (RAN), while the ratio of the horizontal to 42 vertical times is purported to provide a measure of saccadic eye movements that factors out RAN.<sup>22</sup> The Visagraph III Eye Movement system (Taylor Associates, NY) 43 44 is a commercially available clinical method for directly recording eye movements during reading for comprehension.<sup>23, 24</sup> Outcome measures include number of 45 46 fixations, regressions, return-sweep saccades, span of recognition, fixation duration and reading rate.<sup>25</sup> 47

48

49 Performance on these three standardized clinical measures of reading and eye
50 movement fluency was determined for two levels of astigmatism, 1.00DC and

51 2.00DC, induced at both 90 and 180 degrees. These astigmatic conditions were

52 selected based upon published surveys of eye care practitioners<sup>14, 26</sup> that indicate that

53 the majority of eye care practitioners will consider prescribing corrective lenses at or

54 between these levels of astigmatic refractive error, and that astigmatism is most

55 commonly oriented along either the horizontal and vertical axes.

56

# 57 **METHOD**

58 <u>Participants</u>

59 Visually normal young adult subjects (n=30; mean age  $21.7 \pm 3.4$  years; range 18 to 60 33 years) were recruited from students, staff and friends of the QUT School of 61 Optometry. All participants had English as their first language and had completed at 62 least six months tertiary education. Participants were emmetropic, or corrected to 63 emmetropia with soft contact lenses (spherical refractive error  $\ge$  -0.25 D to  $\le$  +0.50 D with  $\leq 0.50$  DC), with distance and near visual acuity (VA) of 0.00 logMAR or better 64 65 in each eye. The study was conducted in accordance with the Declaration of Helsinki 66 and was approved by the Queensland University of Technology Human Research 67 Ethics Committee. All participants were given a full explanation of the experimental 68 procedures, and written informed consent was obtained with the option to withdraw 69 from the study at any time.

70

#### 71 Induced astigmatic refractive error

Using a repeated measures design, each participant's visual acuity and reading performance were measured binocularly under five lens conditions. The cylinder powers were matched with a balancing sphere to maintain a plano spherical equivalent.<sup>18</sup> The five visual conditions included the optimum spectacle refraction, with the addition of the following lens conditions: 1) 0.00D (baseline control condition), 2) -0.50DS/+1.00DC x 180 (induced WTR), 3) -0.50DS/+1.00DC x 90
(induced ATR), 4) -1.00DS/+2.00DC x 180 (induced WTR), 5) -1.00DS/+2.00DC x
90 (induced ATR). The order of lenses was randomized for each participant prior to
the start of testing and a double-blind design was used such that neither the examiner
nor the participant was aware of which lens was being used at any one time.

82

#### 83 <u>Visual Acuity</u>

84 Each set of lens pairs for each of the five testing conditions were placed in a trial 85 frame. Binocular distance and near visual acuity were measured and recorded using 86 standard high contrast Bailey-Lovie distance and near charts at testing distances of 6m 87 and 40 cm under the recommended illumination conditions. Participants were 88 instructed to read the letters from left to right on the chart and were encouraged to 89 guess letters when unsure until a line of errors was made. The participant was stopped 90 once three or more letters were identified incorrectly on a single line. Visual acuity 91 was scored on a letter by letter basis, where each correctly identified letter represented 92 a score of 0.02 log units.

93

# 94 <u>Discrete Reading Rate (DRR)</u>

95 Reading speed was measured binocularly while participants read aloud words from 96 Bailey-Lovie word charts viewed at 40cm. The DRR test uses non-continuous text 97 charts containing six words per line for print sizes from N80 to N2 in 0.1 log unit 98 steps. In this study, the DRR was recorded for font sizes N20 to N10 (2.5 M to 1.2 M 99 respectively).<sup>27</sup> The DRR for smaller font sizes was also tested but most participants 100 were not able to consistently read words smaller than N10 for all levels of astigmatic 101 blur. Reading speeds were determined for each of the print sizes by using a stopwatch 102 to record the time for the subject to read each line as quickly as possible. The times 103 were subsequently converted to words per minute. Participants were required to 104 attempt each word on a line, and were allowed to progress down the chart until they 105 incorrectly reported three or more words on a line, at which point the test was 106 terminated. A series of Bailey Lovie word cards for each font size were available 107 allowing a different chart to be used for each lens condition to minimize familiarity 108 effects.

109

### 110 Developmental Eye Movement (DEM) Test

111 The DEM test consists of a pre-test of number knowledge followed by two subtests,

each with 40 numbers arranged in two vertical columns (Tests A and B), and a subtest

113 with 80 irregularly spaced numbers arranged in 16 horizontal rows (Test C).

114 Participants were asked to name aloud the single digit numbers as quickly and

accurately as possible for each of the five lens pairs under binocular viewing

116 conditions. The time taken to read aloud the 80 numbers in both the four vertical

117 columns (test cards A and B - vertical time) and the sixteen line horizontal array (test

118 card C - horizontal time) was recorded. The number of omission and addition errors

119 was recorded and test times were adjusted for errors made. Both the vertical and

120 horizontal times were adjusted to account for the number of digits actually named by

121 the participant and subsequently a ratio score of horizontal to vertical time was

122 calculated.<sup>28</sup> A total of six complete DEM charts were produced electronically from

123 the original DEM with identical font and spacing, each number series was randomly

124 generated. This allowed a different chart to be used for each lens condition.

125 <u>Visagraph III</u>

126 Eye movements were recorded binocularly with the Visagraph III recording system 127 that uses goggles containing infra-red sensors to capture eye position information 128 while the participants read a short paragraph of text. The test paragraphs employed 129 were Taylor level 10, suggested for the assessment of college students. Each test 130 paragraph was 10 lines long, typed double-spaced on white bond paper in 12-point 131 Times bold font at an illumination level of 122cd/m<sup>2</sup>. All paragraphs were read 132 silently with no time limit. After reading, the participant was required to answer 10 133 standard true/false comprehension questions, presented orally by the examiner, 134 regarding the content of the paragraph. The outcome measures calculated by the 135 Visagraph software included the number of fixations per 100 words, number of 136 regressions per 100 words, span of recognition, average duration of fixations and 137 reading rate. The average span of recognition refers to the amount of print perceived and processed with each fixation. It is specified in units of "words" and calculated by 138 139 dividing the number of words in the specified paragraph by the number of fixations. Fixation duration refers to the length of time that the eye pauses or remains fixated on 140 141 a word. Reading rate refers to the number of words read per unit time and is specified 142 in words per minute.

143

Lens pairs were fixed to the front surface of the Visagraph III goggles to avoid interference with the electronic sensing. The goggles were adjusted to the near interpupillary distance and were worn continuously for all near testing. The reading text was positioned in a text holder at a working distance of 40 cm and inclined back at an angle of 15 degrees from vertical. The text was positioned below the subject's horizontal line of sight, at the height preferred by the subject to simulate a normal reading posture. The order of Visagraph paragraphs was randomized for eachparticipant to minimize practice effects.

152

153 <u>Analysis</u>

Each lens condition was initially compared against the baseline condition, for each dependent measure, to establish which of the lens conditions led to significant changes in performance. The effects of cylinder power versus axis direction were analyzed using a two-way repeated measures ANOVA with power and axis as the within subject factors.

159

#### 160 **RESULTS**

161 Table 1 shows the group mean data and outcome of the statistical comparisons for

162 each of the outcome measures for the baseline condition and the four induced

163 astigmatic blur conditions. Both distance and near visual acuity were significantly

164 decreased compared to baseline for all of the lens conditions. Reading speed was

significantly impaired for N16 print only for the 2.00DC ATR condition while for

smaller text sizes, reading speed was significantly reduced for the 1.00DC ATR and

- 167 2.00DC condition for both axis directions. For the DEM, only timed values were
- significantly impaired, with the 2.00DC condition affecting both vertical and
- 169 horizontal times and the 1.00DC ATR condition affecting only horizontal times. None

170 of the other DEM outcome measures, including the number of errors or ratios, were

171 affected. Similarly, none of the Visagraph measures were affected by the lens

172 conditions.

173

A series of two-way ANOVAs were conducted for the outcomes, represented as the difference in each of the conditions from the baseline, in order that the effects of cylinder power and axis could be considered separately (Table 1). Analysis revealed significant main effects of cylinder power for distance visual acuity and a marginally significant effect of axis. Near visual acuity was significantly affected by both cylinder power and axis. There was no significant interaction between cylinder power and axis.

181

182 Reading speed was significantly affected by cylinder power and axis for N10 text 183 size, and by axis for N12and N10 text sizes. Horizontal and vertical times for the 184 DEM were significantly associated with axis direction only, where reading times were 185 significantly longer (worse performance) for induced ATR astigmatism than WTR. 186 There were no effects of either cylinder power or axis on the Visagraph outcomes 187 measures, however, there was a significant interaction between lens power and axis 188 for fixations and the Visagraph measure of reading rate. For each of these measures, 189 there was a significant effect of power for WTR astigmatism, where the larger power 190 had the greater detrimental impact on performance, but with no significant effect of 191 power for the ATR astigmatism.

192

To establish whether the observed changes in reading performance were a product of the changes in visual acuity, or might be attributable to other factors, a series of analyses of covariance (ANCOVAs) were conducted, examining each of the above significant differences between lens conditions on reading performance measures, controlling for visual acuity in each condition. None of these differences remained significant after controlling for changes in either distance or near visual acuity,

suggesting that the changes in visual acuity fully mediated the observed changes inreading performance.

201

#### 202 **DISCUSSION**

In this study we examined the impact of induced astigmatic refractive error on

standardized measures of reading performance that are commonly employed in the

205 optometric examination of children. Induced astigmatic refractive error was found to

206 impair performance on these tests of reading function, with astigmatism of 1.00DC

ATR or greater, or 2.00DC WTR found to reduce reading performance, particularly

for the smaller font sizes of the DRR. The observed impairment in performance on

these standardized functional reading tests can be attributed to the decrement in visual

acuity created by the astigmatic refractive error conditions.

211

212 Astigmatic blur increased difficulty in identifying single words, letters, and numbers, 213 and for smaller print sizes reduced reading rates. Against the rule astigmatism had 214 more impact on both visual acuity and reading performance measures than did WTR 215 astigmatism especially at smaller print sizes, which is in general agreement with previous studies.<sup>13</sup> Discrete Reading Rate (DRR) was significantly slower than 216 217 baseline for the larger degree of ATR astigmatic blur for the larger print size (N16) (a 218 reduction of 10%), while for smaller text sizes (N12 and N10) both the 1.00DC ATR 219 and 2.00DC at either ATR or WTR reduced DRR (by up to 24%); reading 220 performance for the N20 print size was not affected by any of the astigmatic 221 conditions tested. 222 223

This effect of astigmatic blur on reading speed is consistent with findings from Chung et al,<sup>29</sup> who induced spherical dioptric blur and found that reading speed, as measured by the MNread acuity chart, was significantly related to reading acuity.<sup>29</sup> Reading speed appears to remain relatively constant until a critical print size (CPS) is reached,<sup>19, 20</sup> after which reading speed declines with decreasing print size.<sup>19, 30</sup>

229

230 Astigmatic blur also resulted in slower horizontal and vertical adjusted times on the 231 DEM, but did not impact the DEM ratio. The astigmatic conditions resulted in 232 increased difficulty recognizing and naming numbers but did not alter the ratio 233 between horizontal and vertical times (which is purported to differentiate between 234 poor saccadic function and a primary rapid naming deficit (RAN)) and did not result 235 in additional errors. The slowing of horizontal and vertical times is in accord with our 236 findings of reduced DRR for N10 font size, given that the DEM involves reading high 237 contrast single digits (3 mm vertical extent), which are equivalent to N10, with 5 mm 238 spacing between rows and 10 to 25 mm between numbers.

239

240 Conversely, the pattern of eye movements recorded by the Visagraph was not affected 241 by the astigmatic conditions tested in this study. This is possibly because the text is 242 larger than the critical print size sensitive to the effects of blur. The results from the 243 DRR test showed that N12 was the first print size where a significant difference was 244 found from baseline for both 1.00DC and 2.00DC levels of induced astigmatism. 245 While the College reading task of the Visagraph uses N12 font, the paragraph is 246 double-spaced and hence likely to be easier to read than Bailey-Lovie N12 which has increased crowding effects.<sup>46</sup> Unlike the DRR that requires reading of non-related 247 248 words, the Visagraph reading task also has contextual cues that may have aided

249 subjects in word identification, diminishing the impact of the astigmatic blur. For real 250 world reading tasks such as reading newspapers, text size can be as small as N5, while 251 recent studies that have assessed the visual demands of primary school have indicated 252 that print sizes as small as N10 are involved in some classroom settings for Grades 3- $5.^{31}$  Thus assessing performance for print size of N12 is likely to underestimate the 253 254 impact of astigmatic blur in the classroom. It is likely that if smaller print size had 255 been available for this measure the impact of astigmatic blur would have been more 256 apparent.

257

258 In clinical practice eye care practitioners make recommendations regarding whether 259 an optical correction is required based upon the magnitude of refractive error, the 260 presence of symptoms and, in early childhood, the risk or presence of amblyopia. O'Leary and Evans<sup>14</sup> reported that their sample of surveyed optometrists (n=38) 261 262 would prescribe 1.50DC for 70% of the time in the absence of asthenopic symptoms, 263 and that for symptomatic patients optometrists would prescribe 0.75DC for 60% of the time. Miller and  $Harvey^{26}$  report that the majority of surveyed pediatric 264 265 ophthalmologists (n=338) would prescribe to correct 2.00DC of astigmatism in 266 children aged four to seven years. Our findings suggest that correction of WTR 267 astigmatism is appropriate for powers above 1.00DC, however, for ATR astigmatism 268 cylinder correction needs to be prescribed for values as low as 1.00DC, as both of the 269 astigmatic powers included in this study affected reading speed, and ATR 270 significantly decreased reading rates compared to WTR astigmatism. This finding provides support for the early prescribing guidelines of Gullstrand<sup>32</sup> which were based 271 272 on clinical experience and suggested that ATR astigmatism should be corrected at

values as low as 0.50DC, as it produced more asthenopic symptoms than twice theamount of WTR astigmatism.

275

Our findings suggest that the reductions in performance on reading tests found under the astigmatism conditions could be attributed to reduced resolution (decreased visual acuity). Reading rate decreased with increased astigmatic blur, particularly for font sizes below N16, while the pattern of eye movements recorded during reading for comprehension did not change under the astigmatism conditions, most likely because of the larger font size and the availability of contextual cues involved in the Visagraph test.

283

Our findings have implications for the correction of astigmatic refractive error in 284 primary (elementary) school children. Robaei et al.<sup>12</sup> reported that, in their sample of 285 six-year-old primary school children (n= 1738), astigmatism, defined as 1.00DC, was 286 287 the main refractive error causing visual impairment and was frequently uncorrected. 288 Younger children (kindergarten to grade 2) generally are required to read text of font 289 size greater than N12, therefore may be less disadvantaged by the blur associated with 290 uncorrected astigmatism, however as children progress through higher grades they are 291 generally presented with printed material of decreasing font size that would be smaller than the critical print size of  $\leq$  N12.<sup>31</sup> Children may not demonstrate the functional 292 293 difficulties associated with reduced near acuity until the text has progressively 294 decreased to the critical size during their schooling. In addition, poorer readers may 295 be less able to take advantage of contextual cues in the text, which can counteract the 296 degradation of legibility of print, increasing the need for correction of astigmatic 297 refractive error in these children to maximize their reading performance.

298

299 The results of this study should be considered in light of some potential limitations. 300 Simulating the effects of any type of visual impairment has inherent limitations in that 301 the effects observed may be greater than for individuals with true visual impairment 302 who have adapted to their condition. However, this approach does have the important 303 advantage of making it possible to partial out the effects of astigmatic blur alone, 304 without introducing inter-individual variations in performance. Further studies are 305 planned to evaluate the effects of true uncorrected astigmatism for a range of powers 306 and axes. A further limitation of this study is the potential for inflated experiment-307 wise error related to the number of statistical comparisons conducted. However, 308 because of the number of independent comparisons it wasn't possible to control for all 309 possible comparisons, as the resulting critical p-values would have been too small to 310 enable meaningful analysis. Importantly, the differences observed across conditions 311 were consistent between measures, which suggest that they were robust in this 312 sample.

313

314 In summary, these findings provide important insight into the impact of uncorrected 315 astigmatism on reading performance and demonstrate that ATR astigmatism has 316 significantly greater effects on performance than does WTR, even for relatively small amounts of astigmatic blur of 1.00DC. Future studies are planned to quantify the 317 318 impact of oblique astigmatic refractive error on reading rate, given that this is likely to have even greater effects on visual acuity and performance,<sup>13</sup> and to assess the impact 319 320 of imposed astigmatic blur on the visual and functional performance of school-aged 321 children.

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- 406

Table 1: Group mean data and outcomes of the statistical comparisons for the five testing conditions and the results of the two-way repeated ANOVAs with							
power and axis as the within subject factors							

Measure		Mean (SD) for each of the lens conditions				F <sub>(1,29)</sub> and p values for results of 2- way repeated measures ANOVAs		
	Baseline	-0.50DS/+1.00DC x 180 (WTR)	0.50DS/+1.00DC x 90 (ATR)	1.00DS/+2.00DC x 180 (WTR)	-1.00DS/+2.00DC x 90 (ATR)	Power	Axis	Power x Axis
VA (logMAR)								
Distance	-0.19 (0.06)	-0.05 (0.08)***	0.00 (0.11)***	0.09 (0.17)***	0.17 (0.16)***	56.41***	3.94	0.70
Near	-0.04 (0.06)	0.04 (0.09)***	0.09 (0.13)***	0.14 (0.12)***	0.24 (0.14)***	29.84***	10.95**	3.54
Reading Speed (wpm)								
N20	156.88 (34.99)	151.29 (27.06)	148.68 (23.58)	151.12 (35.22)	149.84 (30.99)	0.02	0.37	0.03
N16	152.62 (36.15)	142.51 (29.15)	142.79 (29.63)	144.94 (36.41)	137.57 (33.09)*	0.05	0.64	0.82
N12	153.3 (30.45)	153.9 (27.51)	138.27 (26.90)*	141.84 (35.20)*	134.09 (29.58)***	3.54	11.49 **	1.06
N10	147.6 (31.49)	142.84 (36.80)	124.34 (29.28)**	128.95 (35.75)**	111.65 (37.23)***	6.06*	10.33 **	0.02
DEM								
Adj Vert Time (s)	24.69 (3.51)	24.73 (3.50)	26.01 (3.93)	25.42 (4.14)*	26.31 (4.68)*	1.01	5.25*	0.16
Adj Hor Time (s)	25.53 (4.38)	25.69 (4.35)	27.39 (4.73)*	26.39 (4.52)*	27.84 (5.02)***	1.90	7.76**	0.06
Adj Ratio (s)	1.03 (0.08)	1.04 (0.09)	1.05 (0.09)	1.04 (0.11)	1.07 (0.14)	0.40	1.51	0.21
Vert errors	0.37 (0.61)	0.2 (0.41)	0.41 (0.96)	0.47 (0.82)	0.67 (1.67)	1.97	1.17	0.00
Hor errors	0.4 (0.86)	0.37 (0.61)	0.48 (0.66)	0.4 (0.97)	0.53 (0.86)	0.09	0.96	0.01
Visagraph III								
RE Fixations	113.57 (28.92)	106.4 (23.48)	114.88 (26.12)	113.67 (22.87)	112.23 (25.47)	0.65	0.98	5.09*
<b>RE</b> Regressions	16.97 (10.69)	15 (9.13)	17.12 (9.66)	15.67 (7.34)	15.13 (9.35)	0.32	0.57	2.71
Reading rate (wpm)	221.03 (52.54)	232.23 (51.55)	215.88 (43.87)	213.43 (43.43)	218.17 (47.97)	2.19	0.94	6.16*

p<0.05\*; p<0.01\*\*;p<0.001\*\*\*