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1 Article Title:

2 Metabolic syndrome and serum carotenoids: findings of a cross-sectional study in Queensland,
3 Australia

4
5 Short running title

6 Metabolic syndrome and serum carotenoids

7
8 Authors:

9 Terry Coyne^{1*}, Torukiri I Ibiebele², Peter D Baade³, Christine S. McClintock⁴ and Jonathan E
10 Shaw⁵

11 Affiliations of each author:

12 ¹ School of Population Health, University of Queensland, Brisbane, Australia

13 ² Queensland Institute of Medical Research, Brisbane, Australia

14 ³ Viertel Center for Research in Cancer Control, Cancer Council Queensland, and School of
15 Public Health, Queensland University of Technology, Brisbane, Australia

16 ⁴ Center for Military & Veterans' Health, University of Queensland, Brisbane, Australia

17 ⁵ Baker IDI Heart and Diabetes Institute, Melbourne, Australia

18
19 * Corresponding author: Dr Terry Coyne, Senior Lecturer (retired) School of Population Health,
20 The University of Queensland. P.O. Box 112, Laughlintown PA 15655 USA. Telephone: +1
21 (724) 238 2288, Fax: +1 412 242 2840, email: terrycoyne@comcast.net

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24
25 Several components of the metabolic syndrome, particularly diabetes and cardiovascular disease,
26 are known to be oxidative stress-related conditions and there is research to suggest that
27 antioxidant nutrients may play a protective role in these conditions. Carotenoids are compounds
28 derived **primarily** from plants and several have been shown to be potent antioxidant nutrients.

29 The aim of this study was to examine the associations between metabolic syndrome status and
30 major serum carotenoids in adult Australians. Data on the presence of the metabolic syndrome,
31 based on International Diabetes Federation 2005 criteria, were collected from 1523 adults aged
32 25 years and over in six randomly selected urban centers in Queensland, Australia, using a cross-
33 sectional study design. Weight, height, BMI, waist circumference, blood pressure, fasting and 2-
34 hour blood glucose and lipids were determined, as well as five serum carotenoids. Mean serum

35 alpha-carotene, beta-carotene and **the sum of the five carotenoid** concentrations were
36 significantly lower ($p < 0.05$) in persons with the metabolic syndrome (after adjusting for age,
37 sex, **education, BMI status**, alcohol intake, smoking, physical activity status and vitamin/mineral
38 use) than persons without the syndrome. Alpha, beta and total carotenoids also decreased
39 significantly ($p < 0.05$) with increased number of components of the metabolic syndrome, after
40 adjusting for these confounders. These differences were significant among former smokers and
41 non-smokers, but not in current smokers. Low concentrations of serum alpha-carotene, beta-
42 carotene and the sum of five carotenoids appear to be associated with metabolic syndrome status.
43 Additional research, particularly longitudinal studies, may help to determine if these associations
44 are causally related to the metabolic syndrome, or are a result of the pathologies of the
45 syndrome.

46

47 Introduction

48 Although there is no universal definition of the metabolic syndrome, it is generally
49 described as a constellation of pathologies or anthropometric conditions, which include central
50 obesity, glucose intolerance, lipid abnormalities, and hypertension. It is, however, universally
51 accepted that the presence of the metabolic syndrome is associated with increased risk of type 2
52 diabetes and cardiovascular disease ^(1, 2).

53 The prevalence of the metabolic syndrome in developed countries varies widely
54 depending upon definitions used and age ranges included, but is estimated to be 24% among
55 adults 20 years and over in the US ⁽³⁾. Given the impending worldwide epidemic of obesity,
56 diabetes and cardiovascular disease, strategies aimed at greater understanding of the pathology
57 of the syndrome, as well as strategies aimed at preventing or treating persons with the syndrome
58 are urgently required.

59 Both diabetes and cardiovascular disease are known to be oxidative stress-related
60 conditions and some researchers ⁽⁴⁾ suggest that antioxidant nutrients may play a protective role
61 in these conditions. Several cross-sectional surveys ⁽⁵⁻⁷⁾ have found lower levels of serum
62 carotenoids among those with impaired glucose metabolism or type 2 diabetes. Carotenoids are
63 compounds derived primarily from plants and several have been shown to be potent antioxidants
64 ⁽⁸⁾. There is considerable epidemiological evidence that some **serum** carotenoids may play a
65 protective role against the development of chronic diseases such as atherosclerosis ^(9, 10), stroke
66 ⁽¹¹⁾, **hypertension** ⁽¹²⁾, certain cancers ^(10, 13), inflammatory diseases ⁽¹⁴⁾ and diabetic retinopathy
67 ⁽¹⁵⁾. **The primary carotenoids found in human serum are alpha (α)-carotene, beta (β)-carotene,**
68 **beta (β)-cryptoxanthin, lutein/zeaxanthin and lycopene** ⁽¹⁶⁾

69 Several studies have found an association between fruit and vegetable intake, or dietary
70 patterns rich in fruit and vegetables, with a lower risk of the metabolic syndrome.⁽¹⁷⁻²⁰⁾ Other
71 studies, however, have failed to find such associations⁽²¹⁾ Results of clinical trials testing
72 supplements of these nutrients with chronic diseases, however, have not been promising⁽²²⁾.

73 Few studies have investigated associations of antioxidant nutrients and the metabolic
74 syndrome. Ford and colleagues⁽²³⁾ reported lower levels of several carotenoids and vitamins C
75 and E among those with metabolic syndrome present compared with those without the syndrome
76 in the Third National Health and Nutrition Examination Survey. Sugiura et al⁽²⁴⁾ suggested that
77 several carotenoids may exert a protective effect against the development of the metabolic
78 syndrome, especially among current smokers. Confirming these findings in another population
79 may add strength to these associations.

80 In this study, we investigated the relationships between these five primary serum
81 carotenoids and the metabolic syndrome in a cross-sectional population-based study in
82 Queensland, Australia.

83

84 Subjects and Methods

85 Subjects

86 The study was conducted in Queensland, Australia between October and December 2000
87 as part of a national study, the Australian Diabetes, Obesity and Lifestyle Study (AusDiab), to
88 determine the prevalence of diabetes and associated cardiovascular risk factors among adults
89 aged 25 years and over. Details of the sampling framework, overall study design, methods and
90 central findings have been published elsewhere^(25,26). The study was approved by the
91 International Diabetes Institute and The University of Queensland ethics committees. Six urban
92 sites were randomly selected from census collector districts (CDs) in Queensland. The CDs were
93 selected without replacement and with probability proportional to size. Non-institutionalised
94 adults aged 25 years and over residing in private dwellings were included in the survey if they
95 had resided permanently at the address for a minimum of 6 months prior to the survey. Persons
96 with physical or intellectual disabilities that precluded participation in the study were not
97 included.

98 Trained interviewers conducted house-to-house interviews and eligible participants were
99 invited to attend a biomedical examination which included collection of blood samples, blood
100 pressure determinations, anthropometric measurements and the administration of standardised
101 questionnaires related to diet as well as socio-demographic, lifestyle and health related
102 characteristics. All respondents gave informed consent to participate in the survey upon arrival

103 at the testing site. A total of 1536 persons (890 females and 646 males) in Queensland
104 completed the physical examination. Although the overall response rate in the study was low
105 (70% of eligible adults took part in the initial household survey, and of those, 55% completed
106 the physical examination), the internal validity and quality control of the data collection were of
107 high quality ⁽²⁶⁾.

108

109 Methods

110 Study participants arrived for the examination having fasted for at least 12 hours. Blood
111 pressure measurements were taken using Dinamap sphygmomanometer. Blood was drawn for
112 fasting glucose. Participants not taking hypoglycaemic medication completed an oral glucose
113 tolerance test (OGTT) (two hours after consuming a 75-gram glucose drink). Fasting and two-
114 hour (2h) plasma glucose were measured enzymatically (glucose oxidase) on an Olympus
115 AU600 analyser. Participants were also classified as having diabetes if they were receiving
116 treatment for diabetes in the form of tablets or insulin at the time of the study.

117 Lipids; total cholesterol (chol), high-density lipoprotein cholesterol (HDL), and
118 triglyceride were measured enzymatically on an Olympus AU 600. Low density lipoprotein
119 (LDL) cholesterol was calculated from the equation of Friedwald et al ⁽²⁷⁾: $LDL = \text{total cholesterol} - [HDL + (\text{triglyceride}/5)]$

121 Blood was drawn for the carotenoid determinations at the time of the 2h OGTT, or 2
122 hours after the fasting sample for those who did not have the OGTT. Serum samples were
123 meticulously handled and protected from light at each stage of processing to prevent
124 deterioration and degradation. The serum was pipetted, frozen, packed in dry ice and shipped to
125 the laboratory in Brisbane and were analyzed within three weeks of collection. The five serum
126 carotenoids were assayed simultaneously according to the high performance liquid
127 chromatography (HPLC) procedure described by Talwar et al. ⁽²⁸⁾. The intrabatch coefficient of
128 variations by this method were 6.5%, 7.6%, 7.3% 6.9% and 9.0% for α -carotene, β -carotene, β -
129 cryptoxanthin, lutein and lycopene respectively and the interbatch (analyzed after stored at -70 C
130 over a period of 8 weeks) CVs were 13%, 9.6%, 8.7%, 8.5 and 11% respectively.

131 The diagnostic criteria for the metabolic syndrome are based on the 2005 International
132 Diabetes Federation (IDF) definition ⁽²⁹⁾. According to the IDF, to be identified as having the
133 metabolic syndrome a person must have *central obesity* (defined as waist circumference ≥ 94 cm
134 for European men and ≥ 80 cm for European women, with ethnicity specific values for other groups)
135 plus any two of the following four factors: *raised serum triglyceride level* (≥ 1.7 mmol/L),
136 *reduced serum HDL cholesterol* (< 1.03 mmol/L in males and < 1.29 mmol/L in females – or

137 specific treatment for these lipid abnormalities), *raised blood pressure* (systolic blood pressure
138 ≥ 130 mmHg or diastolic ≥ 85 mmHg - or treatment of previously diagnosed hypertension,
139 *impaired fasting glycaemia* (fasting plasma glucose ≥ 5.6 mmol/L or previous diagnosed type 2
140 diabetes).

141 Of those diagnosed with diabetes, 2.5% were classified as having type 1 diabetes and
142 were excluded from the analysis because they do not share common aetiological factors such as
143 obesity, increasing age, nutrition or physical activity with metabolic syndrome. Participants
144 were defined as having Type 1 diabetes if insulin treatment had been started within 2 years of
145 diagnosis and, if they were 40 years of age or older when diagnosed, their current BMI was < 27 .

146 Demographic and lifestyle variables were collected using standardised questionnaires.
147 Height and weight were measured by trained personnel and body mass index (BMI) calculated
148 as weight (in kilograms)/height (in meters squared). BMI was categorised as: *obese* (BMI ≥ 30),
149 *overweight* (BMI ≥ 25 to < 30) and *normal weight* (BMI < 25). As there were only 18 participants
150 classified as underweight (BMI < 18.5), they were grouped with the normal weight group.

151 Waist circumference was measured half-way between the lower border of the ribs and the
152 iliac crest on a horizontal plane. Using a steel measuring tape, two measurements were
153 recorded. If the measurements varied by more than 2 cm, a third measurement was taken. The
154 mean of the two closest measurements was calculated.

155 Blood pressure measurement was performed in a seated position after participants had
156 rested for at least 5 minutes, using a Dinamap semiautomatic oscillometric recorder. An
157 appropriate cuff size was used and the arm was supported by a table at heart level. Three
158 readings were taken at 1-minute intervals. The mean of the first two readings was recorded. If
159 the difference between the three readings was greater than 10mmHg, the mean of the two closest
160 measurements was used.

161 Physical activity beneficial to health was categorised as: *sufficiently active* (greater than
162 150 minutes “physical activity time” in the previous week), *insufficiently active but not*
163 *sedentary* (less than 150 minutes “physical activity time” in the previous week), and *sedentary*
164 (no participation in physical activity in the previous week). “Physical activity time” was
165 calculated as the sum of the time spent walking, or performing moderate activity plus double the
166 time spent in vigorous activity to reflect its greater intensity.

167 Vitamin supplement use during the previous 24 hours was categorised as *yes* for
168 respondents who indicated that they took any vitamin or mineral supplements on the previous
169 day and *no* for respondents who indicated they did not.

170 Criteria used to assess the number of metabolic syndrome components present in a
171 participant using the 2005 International Diabetes Federation ⁽²⁹⁾ definition are as follows:
172 **Components = 0 -none of the metabolic syndrome components (i.e. abdominal obesity, raised**
173 **triglyceride, reduced HDL-cholesterol, raised blood pressure, and impaired fasting plasma**
174 **glucose) are present; Components = any 1 one of the five metabolic syndrome components is**
175 **present ; Components = 2 - any two of the five components are present; Components = 3 any**
176 **three of the components are present; Components = 4 - any four of the components are present;**
177 **Components = 5 = all five metabolic syndrome components are present.**

178 Participants were asked, “How many serves of vegetables do you usually eat each day?
179 Including fresh, frozen or tinned vegetables (A serve = ½ cup cooked vegetables or 1 cup of
180 salad vegetables).” Usual consumption of fruit was assessed by the question, “How many serves
181 of fruit do you usually eat each day? Including fresh, frozen or tinned fruit (A serve = 1 medium
182 piece or 2 small pieces of fruit or 1 cup of diced pieces of fruit).” Participants were categorised
183 into three groups according to their responses to both questions; ≤ 1 serve, 2-3 serves, ≥ 4 serves.

184

185 Statistical analyses

186 Data were analysed using the survey (svy) commands in STATA statistical software
187 version 8 ⁽³⁰⁾. These commands take into account the complex cluster survey design in the
188 calculation of estimates, variance, standard errors and confidence intervals. Age-adjusted means
189 or percentages for selected baseline characteristics were weighted for age and sex to the
190 Queensland population aged over 25 for the survey year.

191 Pearson’s chi-square statistic was used to assess the relationship between presence or
192 absence of the metabolic syndrome and selected categorical variables. Student’s t-test was used
193 to compare differences in means between two groups; analysis of variance was used to assess
194 overall differences in means between serum carotenoids and variables with more than two
195 groups.

196 Distributions of serum carotenoids were skewed and therefore natural logarithmically
197 transformed to better approximate the normal distribution for regression analyses. Association
198 between log transformed serum carotenoids as dependent variables and metabolic syndrome
199 status were assessed using multiple linear regression analysis. Results are reported as back-
200 transformed geometric means. Analysis was performed for each serum carotenoid separately,
201 **and the sum of the five carotenoids**, adjusting for the following potential confounders: age, sex,
202 education, **BMI, smoking**, alcohol intake, **physical activity**, and vitamin use. **We further**

203 performed stratified analysis by smoking status to further investigate if the association between
204 serum carotenoids and metabolic syndrome is modified by smoking status.

205 The confounders were included simultaneously into the model. Due to missing values,
206 the sample size is not the same for all analyses.

207

208 Results

209 The prevalence of the metabolic syndrome by demographic and health-related
210 characteristics is provided in Table 1. The overall prevalence of the syndrome was 24% and was
211 significantly higher among males than females. As would be expected, significant differences in
212 prevalence of the syndrome were seen with body mass index, waist circumference, systolic and
213 diastolic blood pressure and blood lipids. Significant differences were also evident by age
214 group, smoking status, educational status and income. Income was marginally inversely
215 associated. The prevalence increased with age, and was lower in those with post graduate
216 education. No significant differences were seen by alcohol intake, physical activity levels,
217 vitamin usage, or fruit intake. There was actually an inverse relationship between vegetable
218 intake (not fruit) and serum carotenoids. Those who consumed 4 serves or more of vegetable
219 were less likely to have the metabolic syndrome compared to those who consumed 1 serve or
220 less of vegetables.

221 (Insert Table 1 about here)

222 Table 2 provides the adjusted geometric mean of each of the serum carotenoids by
223 metabolic syndrome status. The mean concentrations of serum α -carotene, β -carotene and the
224 sum of the five carotenoids were significantly lower for participants with the metabolic
225 syndrome present compared with those without the syndrome, after adjusting for potential
226 confounding variables including age, sex, educational status, BMI, smoking, alcohol intake,
227 physical activity and vitamin use.

228 (Insert Table 2 about here).

229 Table 3 shows that concentrations of α -carotene, β -carotene and the sum of the five
230 carotenoids decreased significantly as the number of components of the metabolic syndrome
231 increased after adjusting for potential confounding variables as above.. Table 3 also indicates
232 the percentage of participants with none, one, two, three, four or all five of the components.

233 (Insert Table 3 about here)

234 Similarly there was an inverse association between quartiles of individual and total serum
235 carotenoids and metabolic syndrome status and each of its components as shown in Table 4. The

236 strength of these associations was strongest for α -carotene, β -carotene and the sum of the five
237 carotenoids.

238 (Insert Table 4 about here)

239 Table 5 looks at the effect of smoking status on carotenoid concentrations and metabolic
240 syndrome. No significant differences in serum carotenoid concentrations by metabolic
241 syndrome status were found among 'current smokers'. However, among 'former' and 'never'
242 smokers serum α -carotene and β -carotene were significantly lower among those with metabolic
243 syndrome than those without. In addition, among 'former' smokers serum lycopene was
244 marginally lower in those with metabolic syndrome, while among 'never' smokers total serum
245 carotenoids was marginally lower in those with metabolic syndrome compared to those without.

246 We also found no significant interaction between smoking status and serum carotenoid
247 concentrations in relation to the metabolic syndrome status. The following are the p values for
248 interaction after adjustment for the confounding variables in relation to: α -carotene (p for
249 interaction=0.25), β -carotene (p for interaction=0.15), β -cryptoxanthin (p for interaction=0.40),
250 lutein/zeaxanthin (p for interaction=0.25), lycopene (p for interaction=0.41), and total serum
251 carotenoid (p for interaction=0.15).

252 (Insert Table 5 about here)

253 Discussion

254 This study was designed to investigate the association between several serum carotenoids
255 and the metabolic syndrome. The data from the present population study suggest that several
256 serum carotenoids are inversely related to the metabolic syndrome. Our study showed
257 significantly lower concentrations of α -carotene, β -carotene and the sum of the five carotenoids
258 among those with the metabolic syndrome present compared to those without. We also found
259 decreasing concentrations of all the carotenoids tested as the number of the metabolic syndrome
260 components increased. This was significant for α -carotene, β -carotene, β -cryptoxanthin and total
261 carotenoids. These findings are consistent with data reported by Ford et al ⁽²³⁾ from the third
262 National Health and Nutrition Examination Survey (NHANES III). In the NHANES III study,
263 significantly lower concentrations of all the carotenoids, except lycopene, were found among
264 persons with the metabolic syndrome compared with those without, after adjusting for
265 confounding factors similar to those in our study. The NHANES III study ⁽¹⁹⁾ also found
266 decreasing concentrations of all the carotenoids (except lycopene) and total carotenoids with
267 increasing number of metabolic syndrome components. Our study used the criteria for
268 metabolic syndrome as suggested by the International Diabetes Federation ⁽³¹⁾, which requires

269 central obesity as the major component. In the NHANESIII study, central obesity is a
270 component of the syndrome, but it is not a required component. Also we adjusted for BMI in all
271 of our analyses, whereas the NHANES study did not. This adjustment for BMI may have
272 attenuated the strength of the association of several carotenoids in our study as compared with
273 NHANES III.

274 We did find that all the serum carotenoids decreased as BMI status increased and this
275 was significant for all of the carotenoids. This is consistent with findings of a prospective study
276 by Anderson and colleagues⁽³²⁾ in which a high BMI status was strongly associated with lower
277 concentrations of total carotenoids.

278 Since serum carotenoids are considered reliable markers of vegetable and fruit intake,
279 low serum concentrations could conceivably be due to lower intakes. Our previous study⁽³³⁾ did
280 find statistically significant associations between vegetable and fruit intake and serum levels of
281 α -carotene, β -carotene, β -cryptoxanthin and lutein-zeaxanthin. We also found fewer individuals
282 with the highest vegetable intake with the metabolic syndrome compare with those with the
283 lowest intakes of vegetables. However, we found no association with intake of fruit and the
284 syndrome.

285 Several studies have investigated fruit and vegetable intake in relation to the metabolic
286 syndrome with mixed results. The NHANES study⁽¹⁹⁾ reported significantly lower intakes of
287 fruit and vegetables among those with the syndrome present compared to those without the
288 syndrome. To estimate the number of monthly servings of fruit and vegetables, they summed 21
289 items on the NHANES food frequency questionnaire. Esmailzadeh⁽¹⁸⁾ reported a significant
290 inverse association between fruit and vegetable intake and the metabolic syndrome among
291 Tehrani female teachers. Fruit and vegetable intake was estimated from a semiquantitative food
292 frequency questionnaire. Several studies^(17, 34) have suggested that a 'healthy' dietary pattern, or
293 one of lower nutritional risk is associated with lower risk of the metabolic syndrome. Another
294 study⁽²¹⁾ of dietary patterns, however, showed no association with a 'prudent' dietary pattern,
295 typified by a higher intake of vegetables, fruit, fish and poultry, and the metabolic syndrome, but
296 did show a positive association with a 'western' pattern characterised by high intakes of refined
297 grains, processed meat, fried foods and red meat.

298 Although the assessment of fruit and vegetable intake in this study was relatively crude
299 (i.e. how many serves of vegetables do you usually eat in a day?) and therefore may not
300 accurately reflect true fruit and vegetable intake, our findings of vegetable intake does appear to
301 be consistent with those of other studies suggesting a positive relationship between vegetable
302 intake and the risk of the metabolic syndrome. Also our study was conducted from October

303 through December in Queensland, Australia. These months are spring and early summer and as
304 most of Queensland is tropical and subtropical the availability of fruit and vegetables is abundant
305 year round. Thus the time of year in which the study was conducted would have had little effect
306 on results.

307 Smokers have been found to have significantly lower serum carotenoid concentrations
308 compared with non-smokers⁽³⁵⁾. Overall, we found significantly lower concentrations of total
309 carotenoids and the individual carotenoids, except lycopene, in smokers compared with non-
310 smokers (data not shown). However, in relation to metabolic syndrome status, we found no
311 significant difference in serum carotenoid levels by metabolic syndrome status among current
312 smokers. But among former and never smokers serum alpha-carotene and beta-carotene were
313 relatively lower among those with metabolic syndrome than those without. Serum lycopene was
314 marginally lower for those with metabolic syndrome compared to those without among former
315 smokers, while total serum carotenoids was marginally lower for those with metabolic syndrome
316 compared to those without among never smokers. We also found no significant interaction
317 between smoking status and serum carotenoids in relation to the metabolic syndrome.

318 Our findings were partly similar to that of Sugiura and co-workers⁽²⁴⁾ who found inverse
319 associations between serum β -carotene among non-smoking adults. Our findings were also
320 similar to those of Hozawa and co-investigators⁽³⁶⁾, how found an inverse association of total
321 carotenoids with incidence of diabetes and several markers of glucose tolerance only among
322 non- smokers, but no association among current smokers.

323 There were several limitations in our study. We did not have accurate data on the intake
324 of any of these carotenoids from supplements. Approximately a third of our participants
325 reported taking a vitamin, mineral or herbal supplement in the 24 hours prior to the examination.
326 Although we collected information about the supplements, there was no data base available that
327 could estimate the content of these carotenoids in the supplement. From investigation of a
328 variety of supplements that were on the market at the time, few of them contained any of these
329 carotenoids. Approximately 57% of women in the study were post menopausal, and as might be
330 expected, had higher percentage of women with the syndrome. We did not account for
331 menopause status in our analyses, however,

332 The cross-sectional design of our study does not permit us to draw inferences regarding
333 causality. It is not possible to conclude whether low serum concentrations of carotenoids found
334 in participants with the metabolic syndrome in our study are the result of increased utilisation of
335 these antioxidants due to the oxidative stress effects of the disease or whether the lower

336 concentrations are the result of lower intakes of dietary carotenoids and play a role in the
337 pathogenesis of the syndrome.

338 Oxidative stress is an imbalance, which produces free radicals that overwhelm the body's
339 antioxidant defences and high levels of oxidative stress are known to deplete the body's reserves
340 of antioxidants. Oxidative stress has been implicated in the pathogenesis of several components
341 of the metabolic syndrome including glucose or insulin abnormalities ⁽⁴⁾, hypertension ⁽³⁷⁾ and
342 obesity ⁽³⁸⁾. Recent studies ⁽³⁹⁾ have reported elevated markers of oxidative stress among subjects
343 with metabolic syndrome compared with those without the syndrome. Thus the oxidative stress
344 nature of the metabolic syndrome may cause greater utilisation of antioxidants resulting in lower
345 concentrations of antioxidants such as carotenoids.

346 Another hypothesis is that lower intakes of antioxidant-rich fruit and vegetables result in
347 lower serum concentrations and thus are involved in the pathogenesis of these conditions.
348 Epidemiologic studies have found lower antioxidant intakes with components of the metabolic
349 syndrome such as diabetes status ⁽⁴⁰⁾. Suzuki and co-workers, ⁽⁷⁾ found a significantly lower
350 odds ratio for high HbA1c among those with the highest intakes of carrots and pumpkin
351 compared with a low intake group. The large EPIC-Norfolk study found that persons with
352 higher intakes of vegetables and fruit have higher serum carotenoid concentrations and lower
353 risk of type 2 diabetes ⁽⁴¹⁾.

354 While numerous observational studies have shown an inverse relationship between
355 antioxidant intakes and serum concentrations and the risk of several of these components,
356 clinical trials providing single or combination antioxidants as supplements have not resulted in
357 any beneficial reduction in these conditions ⁽²²⁾. **Further research, particularly longitudinal
358 studies may shed light on whether low levels of carotenoids are causally involved in the
359 development of the syndrome, or if they are a result of these pathologies. Clinical trials based on
360 diets high in carotenoid-rich fruit and vegetables may also provide important insights not only in
361 relation to the prevention of components of the metabolic syndrome, but also in reducing the risk
362 of developing the syndrome itself.**

363 Obesity and the other components of the metabolic syndrome are increasing in most
364 countries of the world today and will continue to increase. As populations age, and as
365 overweight and obesity continue to escalate, especially among children, these conditions will
366 become an increasing burden on the health system. Lifestyle interventions have been able to
367 show dramatic reductions in risk of diabetes among those with IGT ^(42, 43). However, strategies
368 for both primary and secondary prevention will be necessary to reduce the burden of **obesity,**

369 diabetes and **the metabolic syndrome** in future years, in future generations in both developed and
370 developing countries.

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382 PDB provided technical assistance on the data analyses and on writing and interpretation. CMcC
383 and JS provided details regarding the study methods and interpretation of findings. None of the
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386

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518 Table 1. Age-adjusted means or percentages for selected baseline characteristics of AusDiab participants
 519 aged 25 years and over by metabolic syndrome status (N=1523¹)

Selected characteristics (% , mean)	Metabolic syndrome status				P value ²
	Present		Absent		
	N	Percent or Mean (SE) ³	n	Percent or Mean (SE) ³	
Gender (%) ⁴	N	Percent	n	Percent	0.05
Male	195	26.7	451	73.3	
Female	229	21.3	661	78.7	
All persons	424	24.0	1112	76.0	
Age group (yrs) (%) ⁴					<0.01
25-34	19	11.0	161	89.0	
35-44	48	14.6	275	85.4	
45-54	114	29.4	270	70.6	
55-64	120	38.4	199	61.7	
65-74	88	38.0	145	62.0	
75+	35	36.2	62	63.8	
Educational status (%)					0.04
Secondary school or less	191	27.5	415	72.5	
Trade certificate, bachelor's degree	214	23.0	598	77.0	
Post-graduate qualification	19	15.8	95	84.2	
Income (%)					0.06
\$800-1500+/week	136	19	465	81	
\$400-799/week	133	24	327	76	
\$0-399/week	147	30	299	70	
Body Mass Index (BMI) (%)					<0.01
Obese (BMI>30)	208	57.2	149	42.8	
Overweight (BMI≥25 to <30)	189	28.3	379	71.7	
Normal (BMI<25)	27	3.2	584	96.8	
Smoking status (%)					0.05
Current	59	25.1	147	74.9	
Former smokers	142	29.8	289	70.2	
Never smoked	218	20.8	660	79.2	
Alcohol intake (%)					0.12
None	105	28.0	233	72.0	
≤ 60 std drinks/month	265	22.1	761	77.9	
> 60 std drinks/month	54	27.8	118	72.2	
Physical activity beneficial to health (%)					0.07
Sufficiently active	179	22.0	542	78.0	
Insufficiently active	134	22.2	380	77.8	

Sedentary	111	33.1	185	66.9	
Vitamin use (%)					0.81
No	265	23.4	705	76.6	
Yes	116	22.8	340	77.2	
Fruit intake (serves/day) %					0.68
≤ 1 serve	72	25.6	177	74.4	
1-3 serves	219	23.4	586	76.6	
≥ 4 serves	123	24.5	329	75.5	
Vegetable intake (serves/day) %					0.02
≤ 1 serve	168	25.0	426	75.0	
1-3 serves	204	24.3	522	75.7	
≥ 4 serves	41	20.1	141	79.9	
Blood Pressure (mmHg)		Mean (SE)		Mean (SE)	
Systolic BP (mean, SE)	420	137.4 (1.9)	1104	121.6 (1.2)	<0.01
Diastolic BP (mean, SE)	421	73.4 (1.2)	1105	65.8 (1.1)	<0.01
Blood Lipids (mmol/L)					
HDL-cholesterol (mean, SE)	424	1.15 (0.03)	1112	1.46 (0.01)	<0.01
Triglyceride (mean, SE)	424	2.54 (0.14)	1112	1.13 (0.03)	<0.01
LDL-cholesterol (mean, SE)	377	3.70 (0.07)	1106	3.37 (0.04)	0.02
Fasting plasma glucose (mmol/L, mean, SE)	424	5.74 (0.08)	1112	5.10 (0.02)	<0.01
Waist circumference in (cm, mean, SE)					
Males	195	106.5 (0.91)	451	91.1 (1.09)	<0.01
Females	229	97.4 (1.42)	661	78.9 (1.33)	<0.01

520 ¹ N is not the same because of missing values

521 ² Chi square statistic test of association with adjustment for cluster design; T-test for differences in means for
522 variables with 2 groups

523 ³ Weighted for age and sex to the Queensland population aged over 25 for the survey year;

524 SE=Standard Error

525 ⁴ Unadjusted

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Table 2. Adjusted¹ geometric mean concentrations of serum carotenoids by metabolic syndrome for adults 25 years and over who participated in the Queensland AusDiab study, 2000 (N=1523)

Serum Carotenoid (mmol/L)	Metabolic Syndrome present (n=420)		Metabolic Syndrome absent (n=1103)		P value ²
	Mean ³	95% CI	Mean ³	95% CI	
α -carotene	0.10	0.07-0.15	0.13	0.10-0.18	0.02
β -carotene	0.45	0.33-0.62	0.59	0.47-0.73	0.01
β -cryptoxanthin	0.20	0.16-0.24	0.21	0.18-0.25	0.19
Lutein/zeaxanthin	0.41	0.36-0.46	0.41	0.34-0.50	0.89
Lycopene	0.40	0.34-0.47	0.43	0.39-0.49	0.31
Sum of serum carotenoids	1.82	1.62-2.04	2.02	1.76-2.33	0.04

531 ¹ Multivariable linear regression model adjusting for potential cofounders including: age (25-34, 35-44, 45-54, 55-
532 64, 65-74, 75+); sex (male, female); education (post-graduate qualification, trade-certificate and bachelor degree,
533 secondary school); BMI (obese, overweight, normal); alcohol intake (>60drinks per month, <60 drinks per month,
534 none); smoking (current, former, never); physical activity (sedentary, insufficiently active, sufficiently active); and
535 vitamin use during 24hrs (yes, no),

536 ² Serum carotenoids were log transformed for regression analyses. Back transformed geometric mean reported in
537 table.

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 541 Table 3. Mean (SE) age and sex adjusted¹ concentrations of serum carotenoids by metabolic syndrome components
 542 among adults 25 years and over who participated in the Queensland AusDiab study, 2000 (N=1523)

	Number of Metabolic Syndrome components ² present in participants					
	0 n=344	1 (n=367)	2 (n=344)	3 (n=250)	4 (n=155)	5 (n=63)
Proportion of participants with metabolic syndrome components (%)	22	24	23	17	10	4
Serum Carotenoid ⁴ (mmol/L)	Mean (SE) ⁵	Mean (SE)	Mean (SE)	Mean (SE)	Mean (SE)	Mean (SE)
α-carotene	0.21 (0.02)	0.18, (0.03)	0.17, (0.02)	0.15, (0.03)	0.12, (0.02)	0.10, (0.01)
β-carotene	0.83, (0.09)	0.73, (0.10)	0.68, (0.09)	0.60, (0.07)	0.48 (0.06)	0.48 (0.08)
β-cryptoxanthin	0.29, (0.03)	0.31, (0.04)	0.28, (0.02)	0.26, (0.03)	0.23, (0.02)	0.25, (0.06)
Lutein/zeaxanthin	0.46, (0.03)	0.44, (0.03)	0.46, (0.03)	0.43, (0.03)	0.46, (0.02)	0.38, (0.03)
Lycopene	0.62, (0.04)	0.60, (0.04)	0.56, (0.03)	0.49, (0.02)	0.48, (0.03)	0.38, (0.02)
Total serum carotenoids	2.42, 0.16	2.27, 0.21	2.14, 0.13	1.93, 0.15	1.78, 0.10	1.59, 0.16

543 ¹ Multivariable logistic regression model adjusted for age (25-34, 35-44, 45-54, 55-64, 65-74, 75+); sex (male,
 544 female); education (post-graduate qualification, trade-certificate and bachelor degree, secondary school); BMI
 545 (obese, overweight, normal); alcohol intake (>60drinks per month, <60 drinks per month, none); smoking (current,
 546 former, never); physical activity (sedentary, insufficiently active, sufficiently active); and vitamin use (yes, no).

547 ² Components = 0 - none of the components of metabolic syndrome are present;

548 ² Components =1 - any 1 of the metabolic syndrome components is present

549 ² Components=2 - any 2 of the 5 metabolic syndrome components are present

550 ² Components =3 - any 3 of the 5 metabolic syndrome components are present

551 ² Components =4 - any 4 of the 5 metabolic syndrome components are present

552 ² Components = 5 - all 5 metabolic syndrome components are present

553 ³ ANOVA used to test for differences in means for variables with more than 2 groups

554 ⁴ Serum carotenoids not log transformed.

555 ⁵ SE=Standard Error

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Q2	0.98	0.54, 1.78	1.00	0.73-1.38	1.01	0.47, 2.19	0.93	0.59, 1.44	0.76	0.47, 1.24	1.32	0.96, 1.80
Q3	0.72	0.44, 1.17	0.97	0.63, 1.48	0.76	0.48, 1.22	0.64	0.37, 1.09	0.88	0.54-1.42	1.09	0.80, 1.50
Q4	0.76	0.48, 1.21	0.83	0.53, 1.29	0.75	0.47, 1.19	0.64	0.37, 1.11	1.13	0.86, 1.48	0.98	0.62, 1.57
P for trend	=0.14		= 0.29		=0.07		= 0.06		= 0.20		= 0.54	
Total serum carotenoids												
Q1	1.00	Ref	1.00	Ref	1.00	Ref	1.00	Ref	1.00	Ref	1.00	Ref
Q2	0.63	0.47, 0.85	0.66	0.45, 0.98	1.03	0.66, 1.61	0.63	0.33, 1.18	0.61	0.26, 1.43	0.62	0.35, 1.12
Q3	0.87	0.51, 1.50	0.86	0.66, 1.12	0.88	0.68, 1.12	0.68	0.32, 1.41	0.98	0.73, 1.32	0.57	0.34, 0.94
Q4	0.43	0.23, 0.79	0.60	0.35, 1.02	0.57	0.34, 0.96	0.41	0.20, 1.85	0.56	0.26, 1.22	0.47	0.27, 0.81
P for trend	=0.04		=0.10		= 0.03		= 0.05		= 0.14		= 0.008	

OR = Odds Ratio

Logistic regression adjusted for Adjusted for age (25-34, 35-44, 45-54, 55-64, 65-74, 75+); sex (male, female); education (post-graduate qualification, trade-certificate and bachelor degree, secondary school); alcohol intake (>60drinks per month, <60 drinks per month, none); smoking (current, former, never); physical activity (sedentary, insufficiently active, sufficiently active); vitamin use (yes, no) and BMI (obese, overweight, normal).

Table 5. Relation between serum carotenoids and metabolic syndrome by smoking status

	Current smokers (n=183)		P value	Former smokers (n=391)		P value	Never smokers (n=817)		P value
	Metabolic syndrome absent	Metabolic syndrome present		Metabolic syndrome absent	Metabolic syndrome present		Metabolic syndrome absent	Metabolic syndrome present	
	Mean (95% CI)	Mean (95% CI)		Mean (95% CI)	Mean (95% CI)		Mean (95% CI)	Mean (95% CI)	
Alpha carotene	0.08 (0.07-0.09)	0.07 (0.03-0.14)	0.59	0.14 (0.10-0.21)	0.11 (0.07-0.17)	0.03	0.15 (0.11-0.20)	0.11 (0.08-0.16)	0.04
Beta-carotene	0.42 (0.36-0.50)	0.38 (0.19-0.76)	0.72	0.63 (0.47-0.84)	0.44 (0.29-0.68)	0.02	0.63 (0.51-0.78)	0.49 (0.37-0.64)	0.01
Beta-cryptoxanthin	0.12 (0.10-0.15)	0.11 (0.09-0.15)	0.27	0.22 (0.19-0.25)	0.20 (0.15-0.28)	0.57	0.24 (0.20-0.28)	0.22 (0.18-0.27)	0.40
Lutein/zeaxanthin	0.33 (0.28-0.40)	0.32 (0.29-0.36)	0.80	0.40 (0.34-0.48)	0.41 (0.34-0.48)	0.87	0.43 (0.35-0.53)	0.41 (0.35-0.48)	0.65
Lycopene	0.37 (0.27-0.50)	0.39 (0.28-0.53)	0.80	0.48 (0.40-0.58)	0.37 (0.29-0.48)	0.06	0.43 (0.39-0.48)	0.43 (0.39-0.49)	0.97
Total serum carotenoid	1.47 (1.29-1.67)	1.43 (1.02-2.00)	0.87	2.11 (1.79-2.50)	1.80 (1.45-2.24)	0.10	2.13 (1.85-2.47)	1.92 (1.77-2.10)	0.08

Multivariable linear regression adjusting for age (25-34, 35-44, 45-54, 55-64, 65-74, 75+); sex (male, female); education (post-graduate qualification, trade-certificate and bachelor degree, secondary school); alcohol intake (>60drinks per month, <60 drinks per month, none); physical activity (sedentary, insufficiently active, sufficiently active); vitamin use (yes, no) and BMI (obese, overweight, normal).