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1 **TITLE:**

2 **Development of a biaxial compression device for biological**
3 **samples: Preliminary experimental results for a closed cell**
4 **foam**

5

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30 **ABSTRACT**

31 Biological tissues are subjected to complex loading states *in vivo* and in order
32 to define constitutive equations that effectively simulate their mechanical
33 behaviour under these loads, it is necessary to obtain data on the tissue's
34 response to multiaxial loading. Single axis and shear testing of biological
35 tissues is often carried out, but biaxial testing is less common. We sought to
36 design and commission a biaxial compression testing device, capable of
37 obtaining repeatable data for biological samples. The apparatus comprised a
38 sealed stainless steel pressure vessel specifically designed such that a state
39 of hydrostatic compression could be created on the test specimen while
40 simultaneously unloading the sample along one axis with an equilibrating
41 tensile pressure. Thus a state of equibiaxial compression was created
42 perpendicular to the long axis of a rectangular sample. For the purpose of
43 calibration and commissioning of the vessel, rectangular samples of closed
44 cell ethylene vinyl acetate (EVA) foam were tested. Each sample was
45 subjected to repeated loading, and nine separate biaxial experiments were
46 carried out to a maximum pressure of 204 kPa (30psi), with a relaxation time
47 of two hours between them. Calibration testing demonstrated the force applied
48 to the samples had a maximum error of 0.026N (0.423% of maximum applied
49 force). Under repeated loading, the foam sample demonstrated lower
50 stiffnesses during the first load cycle. Following this cycle, an increased
51 stiffness, repeatable response was observed with successive loading. While
52 the experimental protocol was developed for EVA foam, preliminary results on
53 this material suggest that this device may be capable of providing test data for
54 biological tissue samples. The load response of the foam was characteristic of
55 closed cell foams, with consolidation during the early loading cycles, then a
56 repeatable load-displacement response upon repeated loading. The
57 repeatability of the test results demonstrated the ability of the test device to
58 provide reproducible test data and the low experimental error in force
59 demonstrated the reliability of the test data.

60 **KEYWORDS**

61 Biaxial compression, pressure vessel, biological tissue testing

62

63 **NOMENCLATURE**

64 Q = Volume flow rate;

65 l = circumference of the piston;

66 a = clearance between piston and bore;

67 Δp = pressure variation;

68 μ = viscosity;

69 L = length of bore;

70 τ , = shear stress;

71 u = fluid velocity;

72 Δp = pressure variation along piston length;

73 mM = millimolar

74 INTRODUCTION

75 Biological tissues demonstrate complex mechanical behaviour under three
76 dimensional loading states. With the increasing prevalence of computational
77 and analytical models to simulate biological systems, there is an increasing
78 need to accurately represent this behaviour using more advanced constitutive
79 models. These models must be capable of capturing such tissue responses
80 as anisotropy, hyperelasticity, viscoelasticity and/or poroelasticity. In order for
81 these models to capture this behaviour, detailed experimental data on the
82 multiaxial response of the tissue is necessary (Sacks and Sun 2003).

83 Sacks and Sun (Sacks and Sun 2003) state that for incompressible materials,
84 biaxial mechanical data is ideal for determining the parameters governing
85 three dimensional tissue constitutive equations. These researchers propose
86 specific features which should be present in a biaxial testing device for it to
87 provide accurate data, with minimal testing artefact. These features include:

- 88 • Unhindered lateral expansion, in the off-load-axis direction;
- 89 • Generation of a uniform strain state centrally in the sample, for strain
90 measurement;
- 91 • Strain measurements made remote from specimen grips to avoid edge
92 artefacts; and
- 93 • Strain measurements made optically, to avoid any mechanical
94 interference from measuring devices.

95 Previous researchers have demonstrated the biaxial response of soft tissues,
96 such as skin, lung and arteries, using biaxial tension testing on cruciform-type
97 samples (Fronek *et al.* 1976; Lanir and Fung 1974; Zeng *et al.* 1987).

98 However, this testing method relies on acquiring test samples of a sufficient
99 size and aspect ratio to avoid edge effects and furthermore, biaxial-tensile test
100 results may be biased by bridging fibre response in highly collagenous
101 biological tissues. Of particular interest in the current study, is the acquisition
102 of biaxial experimental data for the intervertebral disc anulus ground matrix.
103 In determining the biaxial properties for this tissue, the biaxial-tension test

104 response would be dominated by the stretching of the embedded collagen
105 fibres, whereas under compressive loading this does not occur, allowing
106 determination of the constitutive response of the anular ground matrix.

107 We are aware of only one other group who have investigated the biaxial
108 response of the annulus fibrosus (Bass *et al.* 2004), however this was under
109 tensile loading. Arguably, the intervertebral disc and specifically, the annulus
110 ground matrix are exposed to both tensile and compressive load states during
111 physiological activities.

112 As a first step in deriving a comprehensive set of data defining the response
113 of the annulus ground matrix to three dimensional loading, this study aimed to
114 develop, commission and conduct preliminary experiments using a biaxial
115 compression testing device.

116

117 **METHODS**

118 A novel testing rig was designed and built to carry out biaxial compression.
119 The design objective for the rig was to apply a hydrostatic compressive
120 pressure to a specimen, while simultaneously unloading it along one axis to
121 obtain a state of biaxial compression. The rig was designed for testing of
122 biological tissues, but for the purpose of calibration and commissioning,
123 rectangular samples of closed cell ethylene vinyl acetate (EVA) foam were
124 employed and data for this will be presented. EVA foams are known to
125 demonstrate consolidation under a preconditioning load, followed by a
126 repeatable force-displacement response upon repeated loading (Nusholtz *et*
127 *al.* 1996).

128 ***Design and principle of operation***

129 The testing rig comprised a stainless steel rectangular vessel, which was filled
130 with Ringers' solution (116mM NaCl, 1.2mM KCl, 1.0mM CaCl₂, 2.7mM
131 NaHCO₃ in 1L H₂O) and pressurised (Figure 1). The principal of operation of
132 the biaxial testing device is outlined schematically in Figure 2. Two viewing

133 windows (19mm thick standard glass plugs) were inserted in two opposite
134 walls of the vessel and pressure sealed with an O-ring. The remaining walls
135 provided attachment sites for two pieces of durable nylon thread (Figure 1 B,
136 Figure 3 B,C), the ends of which were glued to the end surfaces of the foam
137 specimen (Figure 3 C). Loctite ® 401 (Henkel Australia Pty Ltd) cyanoacrylate
138 adhesive was used to bond these faces. Thus the specimen was suspended
139 in the centre of the vessel and could be viewed through the windows. When
140 the pressure to the vessel was increased, this pressurised a 10mm air gap at
141 the top of the sealed vessel and in turn pressurised the solution.

142 One of the pieces of nylon was attached to a press-fit insert in one wall. This
143 insert could be rotated from outside the vessel, to control specimen
144 orientation. The other piece was attached to the end of a glass ceramic piston
145 running in a well polished bore in the opposite wall of the vessel (Figure 3).
146 The cross-sectional area of the piston was the same as the surface area of
147 the specimen end (9mm^2) to which it was connected, thus equilibrating the
148 compressive force along the long axis of the specimen. As such, there was
149 no compressive force acting on the specimen in the axis of the piston and the
150 compressive force in the other two transverse directions was unaffected. A
151 rectangular foam sample with a square cross-section of $3.5 \times 3.5\text{mm}$ and
152 length of 10mm was used. (It was not possible to make a specimen of this
153 material of the required $3 \times 3\text{mm}$ cross-section but for the purpose of
154 assessing the function of the device this was adequate.)

155 With increasing compressive pressure on the specimen, the transverse
156 dimensions reduced and due to Poisson's effect, the long axis dimension
157 increased. Therefore, it was necessary that the piston move within the bore,
158 maintaining tension in the nylon thread. As such, a key design feature was
159 that at pressures exceeding gauge, the fluid was able to leak from the vessel
160 through a precisely machined clearance between the piston and bore. This
161 clearance was calculated using the theory of laminar flow of fluids between
162 two parallel plates (Eqn 1) and ensured that for the duration of a test, the flow
163 rate did not deplete the fluid volume in the vessel below the level of the

164 suspended specimen.

$$\frac{Q}{l} = \frac{a^3 \cdot \Delta p}{12 \cdot \mu \cdot L}$$

165

166 **Eqn 1 Theory of laminar flow between parallel plates. In this case, Q = Volume flow**
167 **rate, l = circumference of the piston, πD , a = clearance between piston and bore, Δp =**
168 **pressure variation, μ = viscosity of Ringers solution, L = length of bore.**

169 The piston was manufactured from Macor Machinable Glass® glass ceramic
170 and the low piston weight allowed it to be suspended on a layer of fluid when
171 the pressure in the vessel was increased. The polished finish on the bore and
172 piston surfaces and the use of Ringers' solution as lubricant ensured there
173 was very low frictional resistance between bore and piston. A pressure inlet in
174 the lid of the vessel was connected to an air compressor through a high
175 precision pressure regulator (Model:11-818, IMI Norgren Ltd, Staffordshire,
176 UK, Max Press: 408 kPa (60psi), Accuracy: 3 kPa (0.435psi)) which ensured
177 accurate control of the pressure in the vessel.

178 The vessel height was determined to ensure the weight of fluid above the
179 specimen did not generate a high prestress. The maximum head of fluid
180 above the specimen exerted a pressure of 0.4 kPa which was considered
181 negligible.

182 ***Commissioning and proof testing of the device***

183 The vessel was designed in accordance with Australian Standard AS1210-
184 1997. A design pressure of 1.03MPa (150psi) was used, which included a
185 safety factor of 2.5. The standard prescribed the design material strength, the
186 minimum wall thickness, the requirement for a pressure relief valve and the
187 need for proof testing. Proof testing was carried out at twice the design
188 pressure or 2.06 MPa (300psi) for 30 seconds and the vessel assessed for
189 any visible deformation or leakage.

190 **Data measurement**

191 Measurement of the biaxial pressure in the vessel during testing was achieved
192 using a Druck pressure calibrator (DPI 705, GE Druck Ltd, Leicester UK).
193 Deformation of the specimen under load was measured using a Sigmascope
194 300 Shadowgraph profile projector (Herbert Controls and Instruments Ltd,
195 Letchworth, UK) whereby a light source was directed through the viewing
196 windows, projecting the shadow of the deformed specimen onto a calibrated
197 viewing screen and allowing measurement of the specimen deformed width
198 (image magnification was accounted for during machine setup) with an
199 accuracy of 0.001mm.

200 **Pressure vessel calibration**

201 To ensure the force acting on the inner face of the piston was accurate, the
202 vessel was assembled with the outer face of the piston in contact with a 500N
203 Hounsfield load cell (Hounsfield Test Equipment, Red Hill, England). Fluid in
204 the vessel was incrementally pressurised and the force output from the load
205 cell recorded. Five sets of pressure measurements were obtained at
206 pressures between 0 and 659 kPa (97 psi). The calculated force (based on
207 fluid pressure and piston cross-sectional area) was compared with the
208 Hounsfield measured force minus the wall shear stress due to fluid flow
209 through the bore-piston clearance. The shear stress was calculated at specific
210 fluid pressures using Eqn 2.

$$\begin{aligned} \text{A} \quad \tau &= \mu \frac{\partial u}{\partial y} \\ \text{B} \quad \frac{\partial u}{\partial y} &= \frac{1}{2\mu} \cdot \left(\frac{\Delta p}{l} \right) \cdot (2y - a) \end{aligned}$$

211

212 **Eqn 2 A. Shear stress, τ , as a function of fluid velocity, u , and the relative distance, y ,**
213 **measured across the clearance between the piston and bore, a . B. Velocity profile for**
214 **fluid flow between infinite parallel plates (μ = viscosity, Δp = pressure variation along**
215 **piston length)**

216

217 ***Biaxial compression of EVA foam***

218 A rectangular sample of closed cell EVA foam was tested to determine the
219 repeatability of the testing technique. During eight separate biaxial
220 experiments the test piece was loaded to a maximum pressure of 204 kPa
221 (30psi) in increments of 34 kPa (5psi). The specimen was permitted to relax
222 for two hours between tests. The deformation at each pressure was assessed
223 by recording the minimum transverse width of the test piece. The deformation
224 was normalized with the original specimen width, measured at gauge
225 pressure.

226

227 **RESULTS**

228 ***Proof testing***

229 At 1.03 MPa (150psi) and 1.53 MPa (225psi), the condition of the vessel was
230 assessed – there was no visible leakage and all components were
231 undeformed and intact. At 2.06 MPa (300psi) there was very minimal leakage
232 from the fasteners in the lid, but this was eliminated with tightening of the
233 screws. Following this pressurisation test, the vessel was considered safe for
234 further use.

235 ***Calibration tests***

236 The average error between the calculated force and the corrected measured
237 force was 0.22% of the corrected value (Table 1). This error tended to
238 increase with increasing pressures, to a maximum of 0.026N at 659kPa
239 (97psi) which was 0.423% of the maximum corrected force.

240 ***Biaxial compression testing of EVA foam***

241 The foam exhibited lower stiffness (Figure 4a) and deformations (Figure 4b)
242 during the first load cycle compared to the remaining cycles. Stiffness was
243 calculated as the slope of the secant joining the first and last datapoints on

244 the pressure-strain response (Figure 4a). Data for cycle two was not used for
245 data analysis due to an operator error in aligning the sample parallel to the
246 plane of the viewing window. During cycles three to nine, the foam response
247 demonstrated a repeatable behaviour upon successive loading (Figure 4).

248

249 **DISCUSSION**

250 An experimental device for biaxial compression testing of rectangular samples
251 was developed and tested. This device comprised a pressure vessel,
252 designed and proof tested in keeping with Australian Standards and according
253 to AS4343-1999, carried a hazard level 'E' which was classified as 'negligible'
254 risk.

255 Since the principal of operation for this biaxial compression device relies on
256 equilibration of the hydrostatic force applied to the faces of a hexahedral
257 testing sample, if the biological tissue tested is an open-pore structure, fluid
258 flow through these pores could potentially serve to reduce the pressure
259 applied to the longitudinal sides of the sample. As such, this testing device
260 would not be appropriate for biaxial testing of open-pore biological tissues (eg.
261 Trabecular bone). This device was designed in order to test specimens from
262 the anulus fibrosus of intervertebral discs at strain rates comparable to
263 physiological loading. At such loading rates it has been shown that the low
264 porosity of cartilagenous tissues does not permit fluid movement to occur in
265 the timescale of the strain application, resulting in the tissue behaving as an
266 incompressible material (Higginson *et al.* 1976).

267 Currently, the test device requires samples with a cross-sectional area of
268 exactly 9mm² in order for the axial force along the specimen to be equilibrated
269 with the force acting on the piston. While the testing protocol was
270 commissioned using samples of closed cell EVA foam which could not be
271 manufactured to this specific dimension, it is intended for the testing of
272 biological soft tissue samples which can be harvested with regular cross-
273 sectional dimensions (eg samples with bony end attachments or cartilage). It

274 was considered that the use of a slightly oversized sample cross-section did
275 not detract from the demonstrated repeatability and reproducibility of the test
276 results obtained with the device. It is also possible to manufacture a series of
277 pistons and bores to accommodate other cross-sectional dimensions.

278 The EVA foam samples exhibited lower stiffness during the first cycle, then an
279 increased stiffness, repeatable response upon successive loading. This
280 behaviour is characteristic of the preconditioning behaviour of foams
281 (Nusholtz *et al.* 1996), and the repeatability of test data suggested that the
282 device and testing protocol were capable of providing accurate and
283 reproducible experimental data. Results of the calibration testing showed a
284 sufficiently low error in the applied force on the piston (<1%), to indicate
285 reliability in the experimental results.

286 In future studies, the biaxial compression vessel will be utilised to measure the
287 biaxial response of the anular soft tissues of the intervertebral disc. Measuring
288 the response of spinal soft tissues to multiaxial loading states is important for
289 understanding tissue behavior *in vivo*, and these preliminary results on EVA
290 foam suggest that this device is capable of providing test data suitable for
291 direct input to computational models of spinal motion segments (Little *et al.*
292 2007).

293

294

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- 318
- 319

320 **TABLES**

321 Table 1

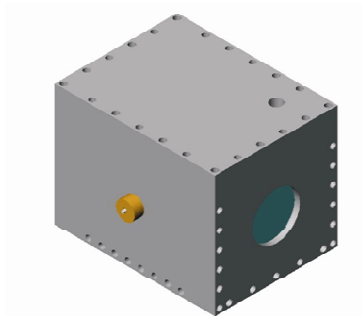
322 Calibration results comparing the compressive force on the piston due to the fluid pressure and the force measured on the outer
 323 surface of the piston with a Hounsfield load cell

Compressive force on the piston due to fluid pressure (N)	Measured compressive force on the outer surface of the piston (N)	Calculated shear force (N)	Shear corrected measured force (N)	Absolute error between the force on the inner and outer piston surfaces (N)	Error relative to the fluid pressure force on the piston (%)
0	0.022	0	0.022	0.0217	
0.631	0.643	0.0074	0.636	0.0052	0.831
1.262	1.278	0.0147	1.264	0.0012	0.091
1.894	1.915	0.0221	1.893	0.0013	0.067
2.526	2.548	0.0295	2.519	0.0074	0.292
3.158	3.192	0.0368	3.155	0.0028	0.088
3.789	3.835	0.0442	3.791	0.0015	0.038
4.421	4.468	0.0516	4.417	0.0043	0.097
5.053	5.099	0.0589	5.040	0.0126	0.249
5.685	5.748	0.0663	5.682	0.0025	0.044
6.117	6.215	0.0713	6.144	0.0264	0.432
					Average = 0.223

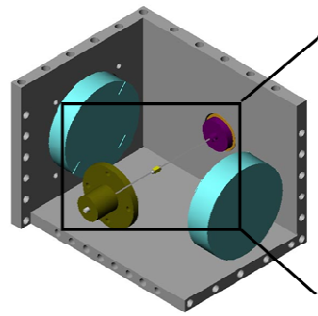
324 **FIGURES**

325 Figure 1

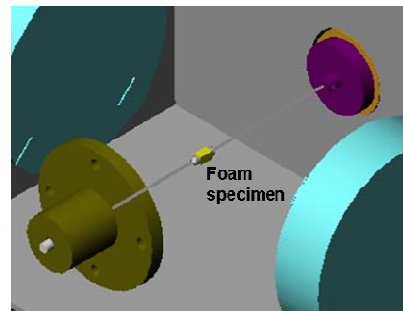
326 CAD image of the biaxial compression rig. A. Assembled, B. With the lid and
327 two sides removed, showing large discs representing the viewing windows in
328 opposite walls, the attachment sights for the specimen on the intermediate
329 walls and the specimen attached to nylon threads in the middle of the vessel,
330 C. Specimen magnified



A



B



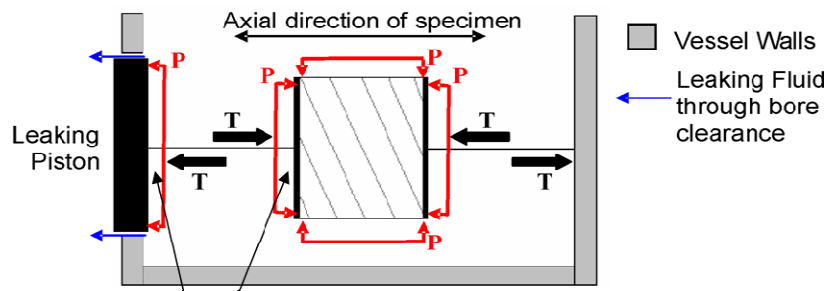
C

331

332 Figure 2

333 Principle of operation for the biaxial compression device. (Note: the specimen

334 and piston size are exaggerated for illustrative purposes)



Cross sectional area of the piston and specimen are equal

335

336 Figure 3

337 A. Ceramic piston (white) with titanium cap glued to the end. Using nylon
338 thread, the titanium cap is attached to a dental cement plug, the end of which
339 will be glued to the specimen; B. Schematic showing a cross section through
340 the vessel wall (Hatching = wall, Dots = Bore insert with highly polished bore,
341 Circles = ceramic piston); C. The ceramic piston located in the pressure
342 vessel wall.

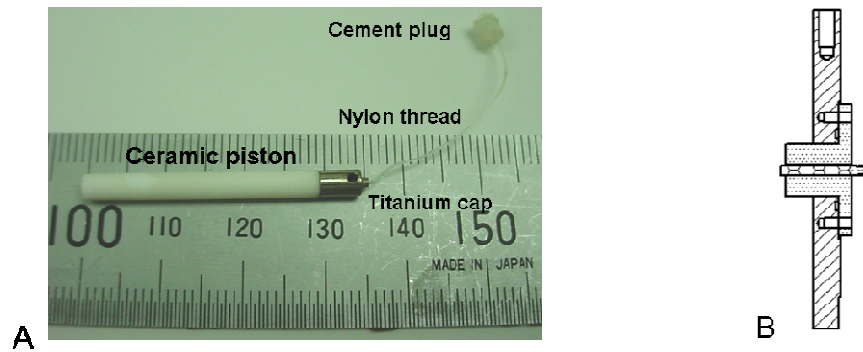


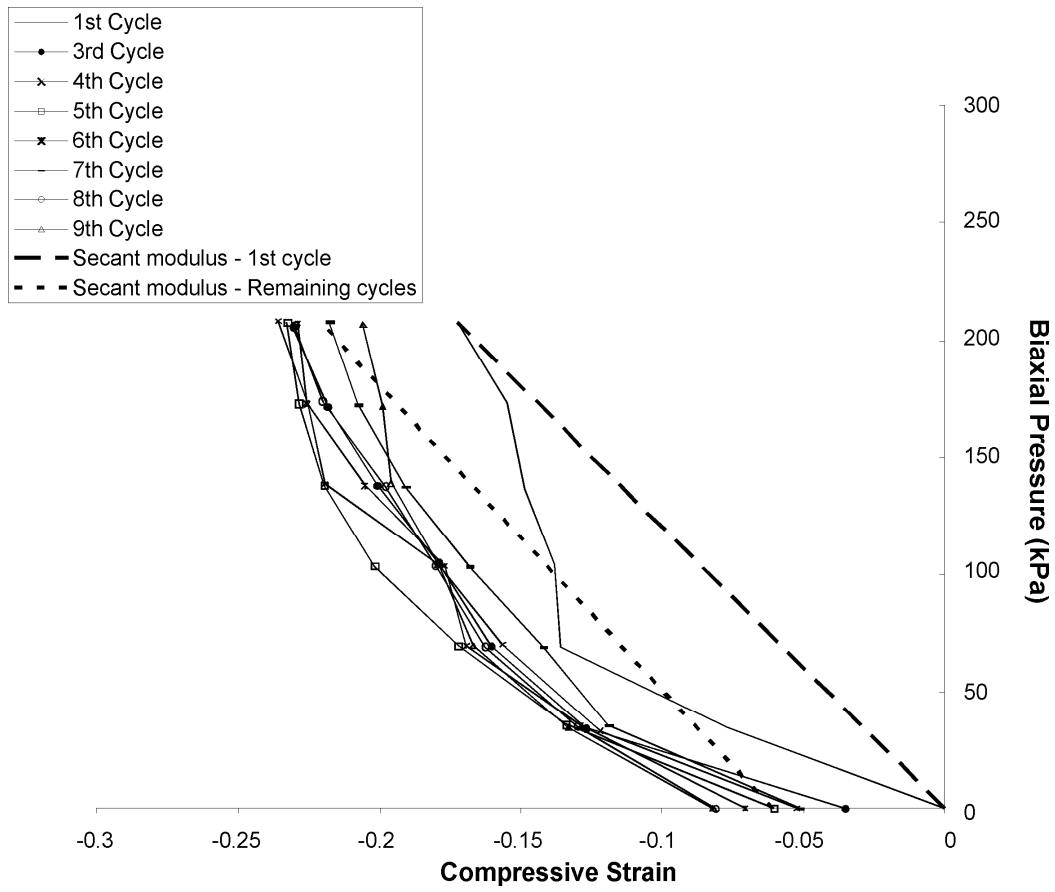
Figure 3

343



344 Figure 4

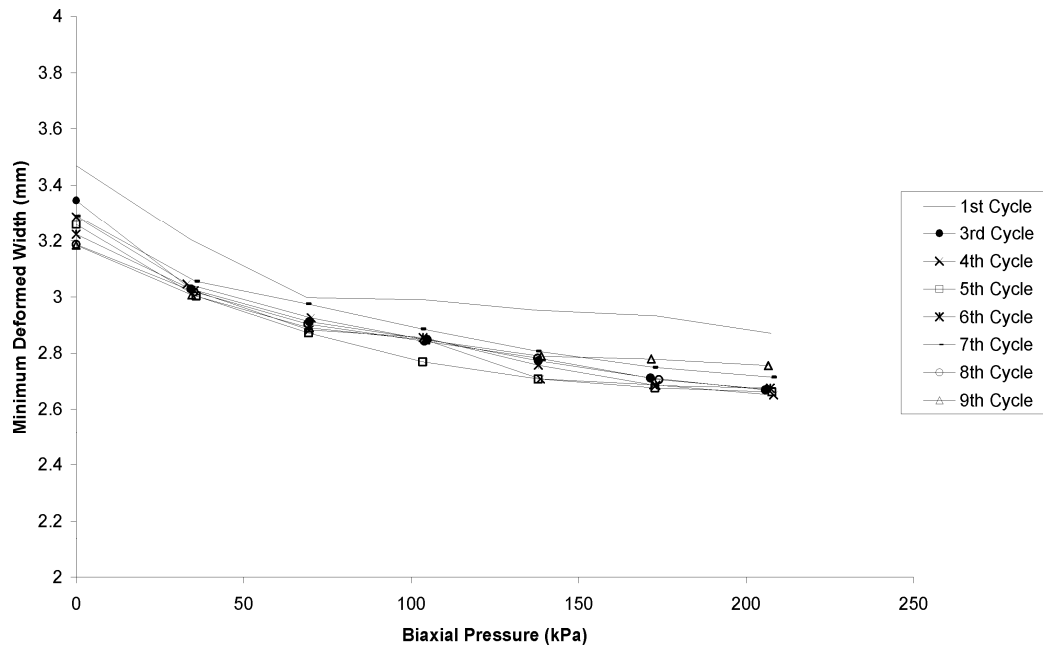
345 a. Biaxial pressure (kPa) vs. compressive strain and



346

347

348 b. Biaxial pressure (kPa) vs minimum measured deformed width (mm) of EVA
349 foam during biaxial compression under repeated loading.



350