

# A FUNCTIONAL ASSOCIATED WITH TWO BOUNDED LINEAR OPERATORS IN HILBERT SPACES AND RELATED INEQUALITIES

S.S. DRAGOMIR

ABSTRACT. In this paper several inequalities for the functional  $\mu(A, B) := \sup_{\|x\|=1} \{\|Ax\| \|Bx\|\}$  under various assumptions for the operators involved, including operators satisfying the uniform  $(\alpha, \beta)$ -property and operators for which the transform  $C_{\alpha, \beta}(\cdot, \cdot)$  is accretive, are given.

## 1. INTRODUCTION

Let  $(H; \langle \cdot, \cdot \rangle)$  be a complex Hilbert space. The *numerical range* of an operator  $T$  is the subset of the complex numbers  $\mathbb{C}$  given by [9, p. 1]:

$$W(T) = \{\langle Tx, x \rangle, x \in H, \|x\| = 1\}.$$

The *numerical radius*  $w(T)$  of an operator  $T$  on  $H$  is given by [9, p. 8]:

$$(1.1) \quad w(T) = \sup\{|\lambda|, \lambda \in W(T)\} = \sup\{|\langle Tx, x \rangle|, \|x\| = 1\}.$$

It is well known that  $w(\cdot)$  is a norm on the Banach algebra  $B(H)$  of all bounded linear operators  $T : H \rightarrow H$ . This norm is equivalent to the operator norm. In fact, the following more precise result holds [9, p. 9]:

$$(1.2) \quad w(T) \leq \|T\| \leq 2w(T),$$

for any  $T \in B(H)$

For other results on numerical radii, see [10], Chapter 11. For some recent and interesting results concerning inequalities for the numerical radius, see [11] and [12].

If  $A, B$  are two bounded linear operators on the Hilbert space  $(H, \langle \cdot, \cdot \rangle)$ , then

$$(1.3) \quad w(AB) \leq 4w(A)w(B).$$

In the case that  $AB = BA$ , then

$$(1.4) \quad w(AB) \leq 2w(A)w(B).$$

The following results are also well known [9, p. 38]:

If  $A$  is a unitary operator that commutes with another operator  $B$ , then

$$(1.5) \quad w(AB) \leq w(B).$$

If  $A$  is an isometry and  $AB = BA$ , then (1.5) also holds true.

We say that  $A$  and  $B$  *double commute* if  $AB = BA$  and  $AB^* = B^*A$ . If the operators  $A$  and  $B$  double commute, then [9, p. 38]

$$(1.6) \quad w(AB) \leq w(B) \|A\|.$$

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As a consequence of the above, we have [9, p. 39]:

Let  $A$  be a normal operator commuting with  $B$ , then

$$(1.7) \quad w(AB) \leq w(A)w(B).$$

For other results and historical comments on the above see [9, p. 39–41].

For two bounded linear operators  $A, B$  in the Hilbert space  $(H, \langle \cdot, \cdot \rangle)$  we define the functional

$$(1.8) \quad \mu(A, B) := \sup_{\|x\|=1} \{\|Ax\| \|Bx\|\} (\geq 0).$$

It is obvious that  $\mu$  is symmetric and sub-additive in each variable,  $\mu(A, A) = \|A\|^2$ ,  $\mu(A, I) = \|A\|$ , where  $I$  is the identity operator,  $\mu(\alpha A, \beta B) = |\alpha\beta| \mu(A, B)$  and  $\mu(A, B) \leq \|A\| \|B\|$ . We also have the following inequalities

$$(1.9) \quad \mu(A, B) \geq w(B^*A)$$

and

$$(1.10) \quad \mu(A, B) \|A\| \|B\| \geq \mu(AB, BA).$$

The inequality (1.9) follows by the Schwarz inequality  $\|Ax\| \|Bx\| \geq |\langle Ax, Bx \rangle|$ ,  $x \in H$ , while (1.10) can be obtained by multiplying the inequalities  $\|ABx\| \leq \|A\| \|Bx\|$  and  $\|BAx\| \leq \|B\| \|Ax\|$ .

From (1.9) we also get

$$(1.11) \quad \|A\|^2 \geq \mu(A, A^*) \geq w(A^2)$$

for any  $A$ .

Motivated by the above results we establish in this paper several inequalities for the functional  $\mu(\cdot, \cdot)$  under various assumptions for the operators involved, including operators satisfying the uniform  $(\alpha, \beta)$ -property and operators for which the transform  $C_{\alpha, \beta}(\cdot, \cdot)$  is accretive.

## 2. GENERAL INEQUALITIES

The following result concerning some general power operator inequalities may be stated:

**Theorem 1.** *For any  $A, B \in B(H)$  and  $r \geq 1$  we have the inequality*

$$(2.1) \quad \mu^r(A, B) \leq \frac{1}{2} \|(A^*A)^r + (B^*B)^r\|.$$

*The constant  $\frac{1}{2}$  is best possible.*

*Proof.* Utilising the arithmetic mean - geometric mean inequality and the convexity of the function  $f(t) = t^r$  for  $r \geq 1$  we have successively

$$(2.2) \quad \begin{aligned} \|Ax\| \|Bx\| &\leq \frac{1}{2} [\langle A^*Ax, x \rangle + \langle B^*Bx, x \rangle] \\ &\leq \left[ \frac{\langle A^*Ax, x \rangle^r + \langle B^*Bx, x \rangle^r}{2} \right]^{\frac{1}{r}}, \end{aligned}$$

for any  $x \in H$ .

It is well known that, if  $P$  is a positive operator, then for any  $r \geq 1$  and  $x \in H$  with  $\|x\| = 1$  we have the inequality (see for instance [13])

$$(2.3) \quad \langle Px, x \rangle^r \leq \langle P^r x, x \rangle.$$

Applying this inequality to the positive operators  $A^*A$  and  $B^*B$  we deduce that

$$(2.4) \quad \left[ \frac{\langle A^*Ax, x \rangle^r + \langle B^*Bx, x \rangle^r}{2} \right]^{\frac{1}{r}} \leq \left\langle \frac{[(A^*A)^r + (B^*B)^r]x}{2}, x \right\rangle^{\frac{1}{r}},$$

for any  $x \in H$  with  $\|x\| = 1$ .

Now, on making use of the inequalities (2.2) and (2.4) we get

$$(2.5) \quad \|Ax\| \|Bx\| \leq \left\langle \frac{[(A^*A)^r + (B^*B)^r]x}{2}, x \right\rangle^{\frac{1}{r}},$$

for any  $x \in H$  with  $\|x\| = 1$ . Taking the supremum over  $x \in H$  with  $\|x\| = 1$  we obtain the desired result (2.1).

For  $r = 1$  and  $B = A$  we get in both sides of (2.1) the same quantity  $\|A\|^2$  which shows that the constant  $\frac{1}{2}$  is best possible in general in the inequality (2.1). ■

**Corollary 1.** For any  $A \in B(H)$  and  $r \geq 1$  we have the inequality

$$(2.6) \quad \mu^r(A, A^*) \leq \frac{1}{2} \|(A^*A)^r + (AA^*)^r\|$$

and the inequality

$$(2.7) \quad \|A\|^r \leq \frac{1}{2} \|(A^*A)^r + I\|,$$

respectively.

The following similar result for powers of operators can be stated as well:

**Theorem 2.** For any  $A, B \in B(H)$ , any  $\alpha \in (0, 1)$  and  $r \geq 1$  we have the inequality

$$(2.8) \quad \mu^{2r}(A, B) \leq \left\| \alpha \cdot (A^*A)^{r/\alpha} + (1 - \alpha) \cdot (B^*B)^{r/(1-\alpha)} \right\|.$$

The inequality is sharp.

*Proof.* Observe that, for any  $\alpha \in (0, 1)$  we have

$$(2.9) \quad \|Ax\|^2 \|Bx\|^2 = \langle (A^*A)x, x \rangle \langle (B^*B)x, x \rangle \\ = \left\langle [(A^*A)^{1/\alpha}]^\alpha x, x \right\rangle \left\langle [(B^*B)^{1/(1-\alpha)}]^{1-\alpha} x, x \right\rangle,$$

where  $x \in H$ .

It is well known that (see for instance [13]), if  $P$  is a positive operator and  $q \in (0, 1)$ , then

$$(2.10) \quad \langle P^q x, x \rangle \leq \langle Px, x \rangle^q.$$

Applying this property to the positive operators  $(A^*A)^{1/\alpha}$  and  $(B^*B)^{1/(1-\alpha)}$ , where  $\alpha \in (0, 1)$ , we have

$$(2.11) \quad \left\langle [(A^*A)^{1/\alpha}]^\alpha x, x \right\rangle \left\langle [(B^*B)^{1/(1-\alpha)}]^{1-\alpha} x, x \right\rangle \\ \leq \left\langle (A^*A)^{1/\alpha} x, x \right\rangle^\alpha \left\langle (B^*B)^{1/(1-\alpha)} x, x \right\rangle^{1-\alpha},$$

for any  $x \in H$  with  $\|x\| = 1$ .

Now, on utilising the weighted arithmetic mean-geometric mean inequality, i.e.,

$$a^\alpha b^{1-\alpha} \leq \alpha a + (1-\alpha)b, \quad \text{where } \alpha \in (0, 1) \text{ and } a, b \geq 0;$$

we get

$$(2.12) \quad \begin{aligned} & \left\langle (A^*A)^{1/\alpha} x, x \right\rangle^\alpha \left\langle (B^*B)^{1/(1-\alpha)} x, x \right\rangle^{1-\alpha} \\ & \leq \alpha \cdot \left\langle (A^*A)^{1/\alpha} x, x \right\rangle + (1-\alpha) \cdot \left\langle (B^*B)^{1/(1-\alpha)} x, x \right\rangle, \end{aligned}$$

for any  $x \in H$  with  $\|x\| = 1$ .

Moreover, by the elementary inequality

$$\alpha a + (1-\alpha)b \leq (\alpha a^r + (1-\alpha)b^r)^{1/r}, \quad \text{where } \alpha \in (0, 1) \text{ and } a, b \geq 0;$$

we have successively

$$(2.13) \quad \begin{aligned} & \alpha \cdot \left\langle (A^*A)^{1/\alpha} x, x \right\rangle + (1-\alpha) \cdot \left\langle (B^*B)^{1/(1-\alpha)} x, x \right\rangle \\ & \leq \left[ \alpha \cdot \left\langle (A^*A)^{1/\alpha} x, x \right\rangle^r + (1-\alpha) \cdot \left\langle (B^*B)^{1/(1-\alpha)} x, x \right\rangle^r \right]^{\frac{1}{r}} \\ & \leq \left[ \alpha \cdot \left\langle (A^*A)^{r/\alpha} x, x \right\rangle + (1-\alpha) \cdot \left\langle (B^*B)^{r/(1-\alpha)} x, x \right\rangle \right]^{\frac{1}{r}}, \end{aligned}$$

for any  $x \in H$  with  $\|x\| = 1$ , where for the last inequality we have used the property (2.3) for the positive operators  $(A^*A)^{1/\alpha}$  and  $(B^*B)^{1/(1-\alpha)}$ .

Now, on making use of the identity (2.9) and the inequalities (2.11)-(2.13) we get

$$\|Ax\|^2 \|Bx\|^2 \leq \left[ \left\langle \left[ \alpha \cdot (A^*A)^{r/\alpha} + (1-\alpha) \cdot (B^*B)^{r/(1-\alpha)} \right] x, x \right\rangle \right]^{\frac{1}{r}},$$

for any  $x \in H$  with  $\|x\| = 1$ . Taking the supremum over  $x \in H$  with  $\|x\| = 1$  we deduce the desired result (2.8).

Notice that the inequality is sharp since for  $r = 1$  and  $B = A$  we get in both sides of (2.8) the same quantity  $\|A\|^4$ . ■

**Corollary 2.** For any  $A \in B(H)$ , any  $\alpha \in (0, 1)$  and  $r \geq 1$ , we have the inequalities

$$\begin{aligned} \mu^{2r}(A, A^*) & \leq \left\| \alpha \cdot (A^*A)^{r/\alpha} + (1-\alpha) \cdot (AA^*)^{r/(1-\alpha)} \right\|, \\ \|A\|^{2r} & \leq \left\| \alpha \cdot (A^*A)^{r/\alpha} + (1-\alpha) \cdot I \right\| \end{aligned}$$

and

$$\|A\|^{4r} \leq \left\| \alpha \cdot (A^*A)^{r/\alpha} + (1-\alpha) \cdot (A^*A)^{r/(1-\alpha)} \right\|,$$

respectively.

The following reverse of the inequality (1.9) maybe stated as well:

**Theorem 3.** For any  $A, B \in B(H)$  we have the inequality

$$(2.14) \quad (0 \leq) \mu(A, B) - w(B^*A) \leq \frac{1}{2} \|A - B\|^2$$

and the inequality

$$(2.15) \quad \mu\left(\frac{A+B}{2}, \frac{A-B}{2}\right) \leq \frac{1}{2} w(B^*A) + \frac{1}{2} \|A - B\|^2,$$

respectively.

*Proof.* We have

$$(2.16) \quad \begin{aligned} \|Ax - Bx\|^2 &= \|Ax\|^2 + \|Bx\|^2 - 2 \operatorname{Re} \langle B^* Ax, x \rangle \\ &\geq 2 \|Ax\| \|Bx\| - 2 |\langle B^* Ax, x \rangle|, \end{aligned}$$

for any  $x \in H, \|x\| = 1$ , which gives the inequality

$$\|Ax\| \|Bx\| \leq |\langle B^* Ax, x \rangle| + \frac{1}{2} \|Ax - Bx\|^2,$$

for any  $x \in H, \|x\| = 1$ .

Taking the supremum over  $\|x\| = 1$  we deduce the desired result (2.14).

By the parallelogram identity in the Hilbert space  $H$  we also have

$$\begin{aligned} \|Ax\|^2 + \|Bx\|^2 &= \frac{1}{2} (\|Ax + Bx\|^2 + \|Ax - Bx\|^2) \\ &\geq \|Ax + Bx\| \|Ax - Bx\|, \end{aligned}$$

for any  $x \in H$ .

Combining this inequality with the first part of (2.16) we get

$$\|Ax + Bx\| \|Ax - Bx\| \leq \|Ax - Bx\|^2 + 2 |\langle B^* Ax, x \rangle|,$$

for any  $x \in H$ . Taking the supremum in this inequality over  $\|x\| = 1$  we deduce the desired result (2.15). ■

**Corollary 3.** *Let  $A \in B(H)$ . If  $\operatorname{Re}(A) := \frac{A+A^*}{2}$  and  $\operatorname{Im}(A) := \frac{A-A^*}{2i}$  are the real and imaginary parts of  $A$ , then we have the inequality*

$$(0 \leq) \mu(A, A^*) - w(A^2) \leq 2 \cdot \|\operatorname{Im}(A)\|^2$$

and

$$\mu(\operatorname{Re}(A), \operatorname{Im}(A)) \leq \frac{1}{2} w(A^2) + 2 \cdot \|\operatorname{Im}(A)\|^2,$$

respectively.

Moreover, we have

$$(0 \leq) \mu(\operatorname{Re}(A), \operatorname{Im}(A)) - w(\operatorname{Re}(A) \operatorname{Im}(A)) \leq \frac{1}{2} \|A\|^2.$$

**Corollary 4.** *For any  $A \in B(H)$  and  $\lambda \in \mathbb{C}$  with  $\lambda \neq 0$  we have the inequality (see also [6])*

$$(2.17) \quad (0 \leq) \|A\| - w(A) \leq \frac{1}{2|\lambda|} \|A - \lambda I\|^2.$$

For a bounded linear operator  $T$  consider the quantity  $\ell(T) := \inf_{\|x\|=1} \|Tx\|$ . We can state the following result as well.

**Theorem 4.** *For any  $A, B \in B(H)$  with  $A \neq B$  and such that  $\ell(B) \geq \|A - B\|$  we have*

$$(2.18) \quad (0 \leq) \mu^2(A, B) - w^2(B^* A) \leq \|A\|^2 \|A - B\|^2.$$

*Proof.* Denote  $r := \|A - B\| > 0$ . Then for any  $x \in H$  with  $\|x\| = 1$  we have  $\|Bx\| \geq r$  and by the first part of (2.16) we can write that

$$(2.19) \quad \|Ax\|^2 + \left( \sqrt{\|Bx\|^2 - r^2} \right)^2 \leq 2 |\langle B^* Ax, x \rangle|,$$

for any  $x \in H$  with  $\|x\| = 1$ .

On the other hand we have

$$(2.20) \quad \|Ax\|^2 + \left( \sqrt{\|Bx\|^2 - r^2} \right)^2 \geq 2 \cdot \|Ax\| \sqrt{\|Bx\|^2 - r^2},$$

for any  $x \in H$  with  $\|x\| = 1$ .

Combining (2.19) with (2.20) we deduce

$$\|Ax\| \sqrt{\|Bx\|^2 - r^2} \leq |\langle B^* Ax, x \rangle|$$

which is clearly equivalent with

$$(2.21) \quad \|Ax\|^2 \|Bx\|^2 \leq |\langle B^* Ax, x \rangle|^2 + \|Ax\|^2 \|A - B\|^2,$$

for any  $x \in H$  with  $\|x\| = 1$ . Taking the supremum in (2.21) over  $x \in H$  with  $\|x\| = 1$ , we deduce the desired inequality (2.18). ■

**Corollary 5.** *For any  $A \in B(H)$  a non self adjoint operator and such that  $\ell(A^*) \geq 2 \cdot \|\text{Im}(A)\|$  we have*

$$(2.22) \quad (0 \leq) \mu^2(A, A^*) - w^2(A^2) \leq 4 \cdot \|A\|^2 \|\text{Im}(A)\|^2.$$

**Corollary 6.** *For any  $A \in B(H)$  and  $\lambda \in \mathbb{C}$  with  $\lambda \neq 0$  and  $|\lambda| \geq \|A - \lambda I\|$  we have the inequality (see also [6])*

$$(0 \leq) \|A\|^2 - w^2(A) \leq \frac{1}{|\lambda|^2} \cdot \|A\|^2 \|A - \lambda I\|^2$$

or, equivalently,

$$(0 \leq) \sqrt{1 - \frac{\|A - \lambda I\|^2}{|\lambda|^2}} \leq \frac{w(A)}{\|A\|} (\leq 1).$$

### 3. INEQUALITIES FOR OPERATORS SATISFYING THE UNIFORM $(\alpha, \beta)$ -PROPERTY

The following result that may be of interest in itself, holds:

**Lemma 1.** *Let  $T \in B(H)$  and  $\alpha, \beta \in \mathbb{C}$  with  $\alpha \neq \beta$ . The following statements are equivalent:*

(i) *We have*

$$(3.1) \quad \text{Re} \langle \beta y - Tx, Tx - \alpha y \rangle \geq 0,$$

*for any  $x, y \in H$  with  $\|x\| = \|y\| = 1$ ;*

(ii) *We have*

$$(3.2) \quad \left\| Tx - \frac{\alpha + \beta}{2} \cdot y \right\| \leq \frac{1}{2} |\alpha - \beta|,$$

*for any  $x, y \in H$  with  $\|x\| = \|y\| = 1$ .*

*Proof.* Follows by the following identity

$$\text{Re} \langle \beta y - Tx, Tx - \alpha y \rangle = \frac{1}{4} |\alpha - \beta|^2 - \left\| Tx - \frac{\alpha + \beta}{2} \cdot y \right\|^2,$$

that holds for any  $x, y \in H$  with  $\|x\| = \|y\| = 1$ . ■

**Remark 1.** For any operator  $T \in B(H)$  if we choose  $\alpha = a \|T\| (1 + 2i)$  and  $\beta = a \|T\| (1 - 2i)$  with  $a \geq 1$ , then

$$\frac{\alpha + \beta}{2} = a \|T\| \quad \text{and} \quad \frac{|\alpha - \beta|}{2} = 2a \|T\|$$

showing that

$$\begin{aligned} \left\| Tx - \frac{\alpha + \beta}{2} \cdot y \right\| &\leq \|Tx\| + \left| \frac{\alpha + \beta}{2} \right| \leq \|T\| + a \|T\| \\ &\leq 2a \|T\| = \frac{1}{2} \cdot |\alpha - \beta|, \end{aligned}$$

that holds for any  $x, y \in H$  with  $\|x\| = \|y\| = 1$ , i.e.,  $T$  satisfies the condition (3.1) with the scalars  $\alpha$  and  $\beta$  given above.

**Definition 1.** For given  $\alpha, \beta \in \mathbb{C}$  with  $\alpha \neq \beta$  and  $y \in H$  with  $\|y\| = 1$ , we say that the operator  $T \in B(H)$  has the  $(\alpha, \beta, y)$ -property if either (3.1) or, equivalently, (3.2) holds true for any  $x \in H$  with  $\|x\| = 1$ . Moreover, if  $T$  has the  $(\alpha, \beta, y)$ -property for any  $y \in H$  with  $\|y\| = 1$ , then we say that this operator has the uniform  $(\alpha, \beta)$ -property.

**Remark 2.** The above Remark 1 shows that any bounded linear operator has the uniform  $(\alpha, \beta)$ -property for infinitely many  $(\alpha, \beta)$  appropriately chosen. For a given operator satisfying an  $(\alpha, \beta)$ -property, it is an open problem to find the possibly nonzero lower bound for the quantity  $|\alpha - \beta|$ .

The following results may be stated:

**Theorem 5.** Let  $A, B \in B(H)$  and  $\alpha, \beta, \gamma, \delta \in \mathbb{K}$  with  $\alpha \neq \beta$  and  $\gamma \neq \delta$ . For  $y \in H$  with  $\|y\| = 1$  assume that  $A^*$  has the  $(\alpha, \beta, y)$ -property while  $B^*$  has the  $(\gamma, \delta, y)$ -property, then

$$(3.3) \quad \left| \|Ay\| \|By\| - \|BA^*\| \right| \leq \frac{1}{4} |\beta - \alpha| |\gamma - \delta|.$$

Moreover, if  $A^*$  has the uniform  $(\alpha, \beta)$ -property and  $B^*$  has the uniform  $(\gamma, \delta)$ -property, then

$$(3.4) \quad |\mu(A, B) - \|BA^*\|| \leq \frac{1}{4} |\beta - \alpha| |\gamma - \delta|.$$

*Proof.* Since  $A^*$  has the  $(\alpha, \beta, y)$ -property while  $B^*$  has the  $(\gamma, \delta, y)$ -property, then on making use of Lemma 1 we have that

$$\left\| A^*x - \frac{\alpha + \beta}{2} \cdot y \right\| \leq \frac{1}{2} |\beta - \alpha|$$

and

$$\left\| B^*z - \frac{\gamma + \delta}{2} \cdot y \right\| \leq \frac{1}{2} |\gamma - \delta|$$

for any  $x, z \in H$ , with  $\|x\| = \|z\| = 1$ .

Now, we make use of the following Grüss type inequality for vectors in inner product spaces obtained by the author in [1] (see also [2] or [7, p. 43]):

Let  $(H, \langle \cdot, \cdot \rangle)$  be an inner product space over the real or complex number field  $\mathbb{K}$ ,  $u, v, e \in H$ ,  $\|e\| = 1$ , and  $\alpha, \beta, \gamma, \delta \in \mathbb{K}$  such that

$$(3.5) \quad \operatorname{Re} \langle \beta e - u, u - \alpha e \rangle \geq 0, \quad \operatorname{Re} \langle \delta e - v, v - \gamma e \rangle \geq 0$$

or, equivalently,

$$(3.6) \quad \left\| u - \frac{\alpha + \beta}{2} e \right\| \leq \frac{1}{2} |\beta - \alpha|, \quad \left\| v - \frac{\gamma + \delta}{2} e \right\| \leq \frac{1}{2} |\delta - \gamma|,$$

then

$$(3.7) \quad |\langle u, v \rangle - \langle u, e \rangle \langle e, v \rangle| \leq \frac{1}{4} |\beta - \alpha| |\delta - \gamma|.$$

Applying (3.7) for  $u = A^*x$ ,  $v = B^*z$  and  $e = y$  we deduce

$$(3.8) \quad |\langle BA^*x, z \rangle - \langle x, Ay \rangle \langle z, By \rangle| \leq \frac{1}{4} |\beta - \alpha| |\delta - \gamma|,$$

for any  $x, z \in H$ ,  $\|x\| = \|z\| = 1$ , which is an inequality of interest in itself.

Observing that

$$\left| |\langle BA^*x, z \rangle| - |\langle x, Ay \rangle \langle z, By \rangle| \right| \leq |\langle BA^*x, z \rangle - \langle x, Ay \rangle \langle z, By \rangle|,$$

then by (3.7) we deduce the inequality

$$\left| |\langle BA^*x, z \rangle| - |\langle x, Ay \rangle \langle z, By \rangle| \right| \leq \frac{1}{4} |\beta - \alpha| |\delta - \gamma|,$$

for any  $x, z \in H$ ,  $\|x\| = \|z\| = 1$ . This is equivalent with the following two inequalities

$$(3.9) \quad |\langle BA^*x, z \rangle| \leq |\langle x, Ay \rangle \langle z, By \rangle| + \frac{1}{4} |\beta - \alpha| |\delta - \gamma|$$

and

$$(3.10) \quad |\langle x, Ay \rangle \langle z, By \rangle| \leq |\langle BA^*x, z \rangle| + \frac{1}{4} |\beta - \alpha| |\delta - \gamma|,$$

for any  $x, z \in H$ ,  $\|x\| = \|z\| = 1$ .

Taking the supremum over  $x, z \in H$ ,  $\|x\| = \|z\| = 1$  in (3.9) and (3.10) we get the inequalities

$$(3.11) \quad \|BA^*\| \leq \|Ay\| \|By\| + \frac{1}{4} |\beta - \alpha| |\delta - \gamma|$$

and

$$(3.12) \quad \|Ay\| \|By\| \leq \|BA^*\| + \frac{1}{4} |\beta - \alpha| |\delta - \gamma|,$$

which are clearly equivalent with (3.3).

Now, if  $A$  has the uniform  $(\alpha, \beta)$ -property and  $B$  has the uniform  $(\gamma, \delta)$ -property, then the inequalities (3.11) and (3.12) hold for any  $y \in H$  with  $\|y\| = 1$ . Taking the supremum over  $y \in H$  with  $\|y\| = 1$  in these inequalities we deduce

$$\|BA^*\| \leq \mu(A, B) + \frac{1}{4} |\beta - \alpha| |\delta - \gamma|$$

and

$$\mu(A, B) \leq \|BA^*\| + \frac{1}{4} |\beta - \alpha| |\delta - \gamma|$$

which are equivalent with (3.4). ■



**Corollary 7.** *Let  $A \in B(H)$  and  $\alpha, \beta, \gamma, \delta \in \mathbb{K}$  with  $\alpha \neq \beta$  and  $\gamma \neq \delta$ . For  $y \in H$  with  $\|y\| = 1$  assume that  $A$  has the  $(\alpha, \beta, y)$ -property while  $A^*$  has the  $(\gamma, \delta, y)$ -property, then*

$$\left| \|A^*y\| \|Ay\| - \|A^2\| \right| \leq \frac{1}{4} |\beta - \alpha| |\gamma - \delta|.$$

Moreover, if  $A$  has the uniform  $(\alpha, \beta)$ -property and  $A^*$  has the uniform  $(\gamma, \delta)$ -property, then

$$\left| \mu(A, A^*) - \|A^2\| \right| \leq \frac{1}{4} |\beta - \alpha| |\gamma - \delta|.$$

The following results may be stated as well:

**Theorem 6.** *Let  $A, B \in B(H)$  and  $\alpha, \beta, \gamma, \delta \in \mathbb{K}$  with  $\alpha + \beta \neq 0$  and  $\gamma + \delta \neq 0$ . For  $y \in H$  with  $\|y\| = 1$  assume that  $A^*$  has the  $(\alpha, \beta, y)$ -property while  $B^*$  has the  $(\gamma, \delta, y)$ -property, then*

$$(3.13) \quad \begin{aligned} & \left| \|Ay\| \|By\| - \|BA^*\| \right| \\ & \leq \frac{1}{4} \cdot \frac{|\beta - \alpha| |\delta - \gamma|}{\sqrt{|\beta + \alpha| |\delta + \gamma|}} \sqrt{(\|A\| + \|Ay\|)(\|B\| + \|By\|)}. \end{aligned}$$

Moreover, if  $A^*$  has the uniform  $(\alpha, \beta)$ -property and  $B^*$  has the uniform  $(\gamma, \delta)$ -property, then

$$(3.14) \quad \left| \mu(A, B) - \|BA^*\| \right| \leq \frac{1}{2} \cdot \frac{|\beta - \alpha| |\delta - \gamma|}{\sqrt{|\beta + \alpha| |\delta + \gamma|}} \sqrt{\|A\| \|B\|}.$$

*Proof.* We make use of the following inequality obtained by the author in [5] (see also [7, p. 65]):

Let  $(H, \langle \cdot, \cdot \rangle)$  be an inner product space over the real or complex number field  $\mathbb{K}$ ,  $u, v, e \in H$ ,  $\|e\| = 1$ , and  $\alpha, \beta, \gamma, \delta \in \mathbb{K}$  with  $\alpha + \beta \neq 0$  and  $\gamma + \delta \neq 0$  and such that

$$\operatorname{Re} \langle \beta e - u, u - \alpha e \rangle \geq 0, \quad \operatorname{Re} \langle \delta e - v, v - \gamma e \rangle \geq 0$$

or, equivalently,

$$\left\| u - \frac{\alpha + \beta}{2} e \right\| \leq \frac{1}{2} |\beta - \alpha|, \quad \left\| v - \frac{\gamma + \delta}{2} e \right\| \leq \frac{1}{2} |\delta - \gamma|,$$

then

$$(3.15) \quad \begin{aligned} & |\langle u, v \rangle - \langle u, e \rangle \langle e, v \rangle| \\ & \leq \frac{1}{4} \cdot \frac{|\beta - \alpha| |\delta - \gamma|}{\sqrt{|\beta + \alpha| |\delta + \gamma|}} \sqrt{(\|u\| + |\langle u, e \rangle|)(\|v\| + |\langle v, e \rangle|)}. \end{aligned}$$

Applying (3.15) for  $u = A^*x$ ,  $v = B^*z$  and  $e = y$  we deduce

$$\begin{aligned} & |\langle BA^*x, z \rangle - \langle x, Ay \rangle \langle z, By \rangle| \\ & \leq \frac{1}{4} \cdot \frac{|\beta - \alpha| |\delta - \gamma|}{\sqrt{|\beta + \alpha| |\delta + \gamma|}} \sqrt{(\|A^*x\| + |\langle x, Ay \rangle|)(\|B^*z\| + |\langle z, By \rangle|)}, \end{aligned}$$

for any  $x, y, z \in H$ ,  $\|x\| = \|y\| = \|z\| = 1$ .

Now, on making use of a similar argument to the one from the proof of Theorem 5, we deduce the desired results (3.13) and (3.14). The details are omitted. ■

**Corollary 8.** *Let  $A \in B(H)$  and  $\alpha, \beta, \gamma, \delta \in \mathbb{K}$  with  $\alpha \neq \beta$  and  $\gamma \neq \delta$ . For  $y \in H$  with  $\|y\| = 1$  assume that  $A$  has  $(\alpha, \beta, y)$ -property while  $A^*$  has the  $(\gamma, \delta, y)$ -property, then*

$$\| \|A^*y\| \|Ay\| - \|A^2\| \|y\|^2 \| \| \leq \frac{1}{4} \cdot \frac{|\beta - \alpha| |\delta - \gamma|}{\sqrt{|\beta + \alpha| |\delta + \gamma|}} \sqrt{(\|A\| + \|A^*y\|)(\|A\| + \|Ay\|)}.$$

Moreover, if  $A$  has the uniform  $(\alpha, \beta)$ -property and  $A^*$  has the uniform  $(\gamma, \delta)$ -property, then

$$|\mu(A, A^*) - \|A^2\| \| \| \leq \frac{1}{2} \cdot \frac{|\beta - \alpha| |\delta - \gamma|}{\sqrt{|\beta + \alpha| |\delta + \gamma|}} \|A\|.$$

#### 4. THE TRANSFORM $C_{\alpha, \beta}(\cdot, \cdot)$ AND OTHER INEQUALITIES

For two given operators  $T, U \in B(H)$  and two given scalars  $\alpha, \beta \in \mathbb{C}$  consider the transform

$$C_{\alpha, \beta}(T, U) = (T^* - \bar{\alpha}U^*)(\beta U - T).$$

This transform generalizes the transform  $C_{\alpha, \beta}(T) := (T^* - \bar{\alpha}I)(\beta I - T) = C_{\alpha, \beta}(T, I)$ , where  $I$  is the identity operator, which has been introduced in [8] in order to provide some generalizations of the well known Kantorovich inequality for operators in Hilbert spaces.

We recall that a bounded linear operator  $T$  on the complex Hilbert space  $(H, \langle \cdot, \cdot \rangle)$  is called *accretive* if  $\operatorname{Re} \langle Ty, y \rangle \geq 0$  for any  $y \in H$ .

Utilizing the following identity

$$(4.1) \quad \begin{aligned} \operatorname{Re} \langle C_{\alpha, \beta}(T, U)x, x \rangle &= \operatorname{Re} \langle C_{\beta, \alpha}(T, U)x, x \rangle \\ &= \frac{1}{4} |\beta - \alpha|^2 \|Ux\|^2 - \left\| Tx - \frac{\alpha + \beta}{2} \cdot Ux \right\|^2, \end{aligned}$$

that holds for any scalars  $\alpha, \beta$  and any vector  $x \in H$ , we can give a simple characterization result that is useful in the following:

**Lemma 2.** *For  $\alpha, \beta \in \mathbb{C}$  and  $T, U \in B(H)$  the following statements are equivalent:*

- (i) *The transform  $C_{\alpha, \beta}(T, U)$  (or, equivalently,  $C_{\beta, \alpha}(T, U)$ ) is accretive;*
- (ii) *We have the norm inequality*

$$(4.2) \quad \left\| Tx - \frac{\alpha + \beta}{2} \cdot Ux \right\| \leq \frac{1}{2} |\beta - \alpha| \|Ux\|,$$

for any  $x \in H$ .

As a consequence of the above lemma we can state

**Corollary 9.** *Let  $\alpha, \beta \in \mathbb{C}$  and  $T, U \in B(H)$ . If  $C_{\alpha, \beta}(T, U)$  is accretive, then*

$$(4.3) \quad \left\| T - \frac{\alpha + \beta}{2} \cdot U \right\| \leq \frac{1}{2} |\beta - \alpha| \|U\|.$$

**Remark 3.** *In order to give examples of operators  $T, U \in B(H)$  and numbers  $\alpha, \beta \in \mathbb{C}$  such that the transform  $C_{\alpha, \beta}(T, U)$  is accretive, it suffices to select two bounded linear operator  $S$  and  $V$  and the complex numbers  $z, w$  ( $w \neq 0$ ) with the property that  $\|Sx - zVx\| \leq |w| \|Vx\|$  for any  $x \in H$ , and, by choosing  $T = S$ ,  $U = V$ ,  $\alpha = \frac{1}{2}(z + w)$  and  $\beta = \frac{1}{2}(z - w)$  we observe that  $T$  and  $U$  satisfy (4.2), i.e.,  $C_{\alpha, \beta}(T, U)$  is accretive.*

We are able now to give the following result concerning other reverse inequalities for the case when the involved operators satisfy the accretivity property described above.

**Theorem 7.** *Let  $\alpha, \beta \in \mathbb{C}$  and  $A, B \in B(H)$ . If  $C_{\alpha, \beta}(A, B)$  is accretive, then*

$$(4.4) \quad (0 \leq) \mu^2(A, B) - w^2(B^*A) \leq \frac{1}{4} \cdot |\beta - \alpha|^2 \|B\|^4.$$

Moreover, if  $\alpha + \beta \neq 0$ , then

$$(4.5) \quad (0 \leq) \mu(A, B) - w(B^*A) \leq \frac{1}{4} \cdot \frac{|\beta - \alpha|^2}{|\beta + \alpha|} \|B\|^2.$$

In addition, if  $\operatorname{Re}(\alpha\bar{\beta}) > 0$ , then also

$$(4.6) \quad (1 \leq) \frac{\mu(A, B)}{w(B^*A)} \leq \frac{1}{2} \cdot \frac{|\beta + \alpha|}{\sqrt{\operatorname{Re}(\alpha\bar{\beta})}}$$

and

$$(4.7) \quad (0 \leq) \mu^2(A, B) - w^2(B^*A) \leq \left( |\beta + \alpha| - 2 \cdot \sqrt{\operatorname{Re}(\alpha\bar{\beta})} \right) w(B^*A) \|B\|^2,$$

respectively.

*Proof.* By Lemma 2, since  $C_{\alpha, \beta}(A, B)$  is accretive, then

$$(4.8) \quad \left\| Ax - \frac{\alpha + \beta}{2} \cdot Bx \right\| \leq \frac{1}{2} |\beta - \alpha| \|Bx\|,$$

for any  $x \in H$ .

We utilize the following reverse of the Schwarz inequality in inner product spaces obtained by the author in [3] (see also [7, p. 4]):

If  $\gamma, \Gamma \in \mathbb{K}$  ( $\mathbb{K} = \mathbb{C}, \mathbb{R}$ ) and  $u, v \in H$  are such that

$$(4.9) \quad \operatorname{Re} \langle \Gamma v - u, u - \gamma v \rangle \geq 0$$

or, equivalently,

$$(4.10) \quad \left\| u - \frac{\gamma + \Gamma}{2} \cdot v \right\| \leq \frac{1}{2} |\Gamma - \gamma| \|v\|,$$

then

$$(4.11) \quad 0 \leq \|u\|^2 \|v\|^2 - |\langle u, v \rangle|^2 \leq \frac{1}{4} |\Gamma - \gamma|^2 \|v\|^4.$$

Now, on making use of (4.11) for  $u = Ax$ ,  $v = Bx$ ,  $x \in H$ ,  $\|x\| = 1$  and  $\gamma = \alpha, \Gamma = \beta$  we can write the inequality

$$\|Ax\|^2 \|Bx\|^2 \leq |\langle B^*Ax, x \rangle|^2 + \frac{1}{4} |\beta - \alpha|^2 \|Bx\|^4,$$

for any  $x \in H$ ,  $\|x\| = 1$ . Taking the supremum over  $\|x\| = 1$  in this inequality produces the desired result (4.4).

Now, by utilizing the result from [5] (see also [7, p. 29]), namely:

If  $\gamma, \Gamma \in \mathbb{K}$  with  $\gamma + \Gamma \neq 0$  and  $u, v \in H$  are such that either (4.9) or, equivalently, (4.9) holds true, then

$$(4.12) \quad 0 \leq \|u\| \|v\| - |\langle u, v \rangle| \leq \frac{1}{4} \cdot \frac{|\Gamma - \gamma|^2}{|\Gamma + \gamma|} \|v\|^2.$$

Now, on making use of (4.12) for  $u = Ax$ ,  $v = Bx$ ,  $x \in H$ ,  $\|x\| = 1$  and  $\gamma = \alpha$ ,  $\Gamma = \beta$  and using the same procedure outlined above, we deduce the second inequality (4.5).

The inequality (4.6) follows from the result presented below obtained in [4] (see also [7, p. 21]):

If  $\gamma, \Gamma \in \mathbb{K}$  with  $\operatorname{Re}(\Gamma\bar{\gamma}) > 0$  and  $u, v \in H$  are such that either (4.9) or, equivalently, (4.9) holds true, then

$$(4.13) \quad \|u\| \|v\| \leq \frac{1}{2} \cdot \frac{|\Gamma + \gamma|}{\sqrt{\operatorname{Re}(\Gamma\bar{\gamma})}} |\langle u, v \rangle|,$$

by choosing  $u = Ax$ ,  $v = Bx$ ,  $x \in H$ ,  $\|x\| = 1$  and  $\gamma = \alpha$ ,  $\Gamma = \beta$  and taking the supremum over  $\|x\| = 1$ .

Finally, on making use of the inequality (see [6])

$$(4.14) \quad \|u\|^2 \|v\|^2 - |\langle u, v \rangle|^2 \leq \left( |\Gamma + \gamma| - 2\sqrt{\operatorname{Re}(\Gamma\bar{\gamma})} \right) |\langle u, v \rangle| \|v\|^2$$

that is valid provided  $\gamma, \Gamma \in \mathbb{K}$  with  $\operatorname{Re}(\Gamma\bar{\gamma}) > 0$  and  $u, v \in H$  are such that either (4.9) or, equivalently, (4.9) holds true, we obtain the last inequality (4.7). The details are omitted. ■

**Remark 4.** Let  $M > m > 0$  and  $A, B \in B(H)$ . If  $C_{m,M}(A, B)$  is accretive, then

$$(0 \leq) \mu^2(A, B) - w^2(B^*A) \leq \frac{1}{4} \cdot (M - m)^2 \|B\|^4,$$

$$(0 \leq) \mu(A, B) - w(B^*A) \leq \frac{1}{4} \cdot \frac{(M - m)^2}{m + M} \|B\|^2,$$

$$(1 \leq) \frac{\mu(A, B)}{w(B^*A)} \leq \frac{1}{2} \cdot \frac{m + M}{\sqrt{mM}}$$

and

$$(0 \leq) \mu^2(A, B) - w^2(B^*A) \leq \left( \sqrt{M} - \sqrt{m} \right)^2 w(B^*A) \|B\|^2,$$

respectively.

**Corollary 10.** Let  $\alpha, \beta \in \mathbb{C}$  and  $A \in B(H)$ . If  $C_{\alpha,\beta}(A, A^*)$  is accretive, then

$$(0 \leq) \mu^2(A, A^*) - w^2(A^2) \leq \frac{1}{4} \cdot |\beta - \alpha|^2 \|A\|^4.$$

Moreover, if  $\alpha + \beta \neq 0$ , then

$$(0 \leq) \mu(A, A^*) - w(A^2) \leq \frac{1}{4} \cdot \frac{|\beta - \alpha|^2}{|\beta + \alpha|} \|A\|^2.$$

In addition, if  $\operatorname{Re}(\alpha\bar{\beta}) > 0$ , then also

$$(1 \leq) \frac{\mu(A, A^*)}{w(A^2)} \leq \frac{1}{2} \cdot \frac{|\beta + \alpha|}{\sqrt{\operatorname{Re}(\alpha\bar{\beta})}}$$

and

$$(0 \leq) \mu^2(A, A^*) - w^2(A^2) \leq \left( |\beta + \alpha| - 2 \cdot \sqrt{\operatorname{Re}(\alpha\bar{\beta})} \right) w(A^2) \|A\|^2,$$

respectively.

**Remark 5.** In a similar manner, if  $N > n > 0$ ,  $A \in B(H)$  and  $C_{n,N}(A, A^*)$  is accretive, then

$$(0 \leq) \mu^2(A, A^*) - w^2(A^2) \leq \frac{1}{4} \cdot (N - n)^2 \|A\|^4,$$

$$(0 \leq) \mu(A, A^*) - w(A^2) \leq \frac{1}{4} \cdot \frac{(N - n)^2}{n + N} \|A\|^2,$$

$$(1 \leq) \frac{\mu(A, A^*)}{w(A^2)} \leq \frac{1}{2} \cdot \frac{n + N}{\sqrt{nN}}$$

and

$$(0 \leq) \mu^2(A, A^*) - w^2(A^2) \leq (\sqrt{N} - \sqrt{n})^2 w(A^2) \|A\|^2,$$

respectively.

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SCHOOL OF COMPUTER SCIENCE AND MATHEMATICS, VICTORIA UNIVERSITY, PO Box 14428, MELBOURNE CITY, VIC, AUSTRALIA. 8001

E-mail address: sever.dragomir@vu.edu.au

URL: <http://www.staff.vu.edu.au/rgmia/dragomir/>