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## Factors affecting sperm recovery rates and survival after centrifugation of equine semen

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1 Factors affecting sperm recovery rates and survival after centrifugation of equine semen

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22 Abstract

23

24 Conventional centrifugation protocols result in important sperm losses during removal of  
25 the supernatant. In this study, the effect of centrifugation force (400 or 900 x g), duration (5 or  
26 10 min) and column height (20 or 40 mL) (Exp. 1); sperm concentration (25, 50 and 100 x  
27  $10^6$ /mL; Exp. 2) and centrifugation medium (EZ-Mixin CST, INRA96 or VMDZ; Exp. 3) on  
28 sperm recovery and survival after centrifugation and cooling and storage was evaluated. Overall,  
29 sperm survival was not affected by the combination of centrifugation protocol and cooling. Total  
30 sperm yield (TY) was highest after centrifugation for 10 min at 400 x g in 20-mL columns ( $95.6$   
31  $\pm 5$  %) or 900 x g in 20- ( $99.2 \pm 0.8$  %) or 40-mL ( $91.4 \pm 4.5$  %) columns, and at 900 x g for 5  
32 min in 20-mL columns ( $93.8 \pm 8.9$  %) ( $P < 0.0001$ ). Total (TMY) and progressively motile  
33 (PMY) sperm yield followed a similar pattern ( $P < 0.0001$ ). Sperm yields were not significantly  
34 different among samples centrifuged at different sperm concentrations. However, centrifugation  
35 at  $100 \times 10^6$ /mL resulted in significantly lower TY ( $83.8 \pm 10.7$  %) and TMY ( $81.7 \pm 6.8$  %)  
36 compared with non-centrifuged semen. Centrifugation in VMDZ resulted in significantly lower  
37 TMY ( $69.3 \pm 22.6$  %), PMY ( $63.5 \pm 18.2$  %), viable yield ( $60.9 \pm 36.5$  %) and survival of  
38 progressively motile sperm after cooling ( $21 \pm 10.8$  %) compared with non-centrifuged semen.  
39 In conclusion, centrifuging volumes of  $\leq 20$  mL minimized sperm losses with conventional  
40 protocols. With 40-mL columns, it may be recommended to increase the centrifugal force to 900  
41 x g for 10 min and dilute the semen to a sperm concentration of 25 to  $50 \times 10^6$ /mL in a milk- or  
42 fractionated milk-based medium. The semen extender VMDZ did not seem well suited for  
43 centrifugation of equine semen.

44

45 Keywords: Centrifugation, stallion, semen, viability, recovery

46

47 1. Introduction

48

49 Equine semen is routinely centrifuged prior to cryopreservation to concentrate sperm and  
50 minimize the adverse effects of seminal plasma on post-thaw motility [1,2]. Depending on the  
51 semen extender used, centrifugation and partial removal of seminal plasma prior to cooling may  
52 also be beneficial for sperm motility, and acrosome and DNA integrity, especially for stallions  
53 whose sperm suffer a significant decrease in motility when processed in a conventional manner  
54 by simple dilution of seminal plasma with semen extender [3-6]. Ejaculates with low sperm  
55 concentration require centrifugation to allow adequate dilution of semen for cooling [7].

56 In conventional centrifugation protocols, equine semen is diluted 1:1 (v:v) or to a sperm  
57 concentration of  $50 \times 10^6$  /mL in a milk-based semen extender for centrifugation. A 40-mL  
58 volume of extended semen is typically loaded into 50-mL conical tubes, and centrifuged at 400  
59 to 600 x g for 10 to 15 min [7]. After centrifugation, 30 mL of the supernatant is removed,  
60 retaining 5 to 20 % of seminal plasma in the resuspended sample [7]. The final concentration of  
61 seminal plasma depends on the amount of semen extender added to the pellet. Around 20 to 25  
62 % of sperm are lost with the supernatant during conventional centrifugation protocols [7,8], with  
63 losses of up to 46 % of sperm reported [9]. This results in an important reduction in the number  
64 of insemination doses available per ejaculate. A centrifugation protocol that improves sperm  
65 recovery, without damaging the cells, would result in a higher number of viable sperm available  
66 for cryopreservation or insemination.

67 Cushioned centrifugation in optically clear media has been reported to improve recovery  
68 rates without detrimental effects on sperm viability compared to conventional centrifugation  
69 protocols [10,11]. However, the improved recovery rates are likely to result from increased  
70 centrifugation duration (20 min) and forces (1000 x g) used during cushioned centrifugation [11].  
71 In fact, better recovery rates were obtained after centrifugation in an opaque medium at 1000 x g  
72 for 20 min without an underlying cushion compared with the addition of a cushion [11]. Use of  
73 a cushion to protect equine sperm against damage associated with close packing was previously  
74 suggested to be unnecessary [12]. Use of cushioned centrifugation increases the time and  
75 expenses associated with centrifuging equine semen. A simpler centrifugation protocol that  
76 improves recovery rates without damaging sperm and increasing processing time and expenses  
77 would be of benefit for the equine industry.

78 Sedimentation rate, and therefore sperm recovery, is determined by the centrifugal force and  
79 duration of centrifugation. Centrifugation duration and force are reciprocal, and total yield  
80 increases linearly as the product of duration x force increases until it reaches full sedimentation  
81 at 100 % [13,14]. Once full sedimentation is reached, viable and motile yields decrease as a  
82 consequence of cell damage in the pellet and the lack of further arrival of undamaged cells  
83 [13,14]. A particle also experiences a greater centrifugal force the further away it is from the axis  
84 of rotation. A shorter column height in a partially filled tube increases the minimum radial  
85 distance of the particles from the axis of rotation. Therefore, particles start to sediment at a  
86 higher gravitational field, have a reduced path length to travel, and sedimentation is quicker [13-  
87 15]. Sedimentation rate also depends on the difference in specific gravity between the cells and  
88 the surrounding medium, and the viscosity of the medium. This results in an increase in  
89 sedimentation rate as the density and viscosity of the medium decrease [13-15]. Initial sperm

90 concentration differs among ejaculates. Therefore, if an ejaculate is diluted with an equal volume  
91 of semen extender for centrifugation [7], semen is centrifuged at different sperm concentrations.  
92 While sedimentation rate can be affected by the initial concentration of the cell suspension [15],  
93 the effect of sperm concentration on recovery rates after centrifugation has not been critically  
94 evaluated. The objectives of this study were to determine the effect of two different centrifugal  
95 forces, durations, and column heights (volume), and three different sperm concentrations and  
96 media (semen extender) on sperm recovery rate and survival after centrifugation. Since  
97 centrifugation is often performed prior to cooling, delayed effects of centrifugation on sperm  
98 motility and viability after 24 h of cold storage at 4 to 8 °C were also evaluated.

99

## 100 2. Materials and Methods

101

### 102 2.1. Stallions and semen collection

103

104 Semen was collected from seven (Exp. 1 and 2) or five (Exp. 3) light breed adult  
105 stallions. Stallions 1 to 7 were used in Exp. 1. Stallions 8 to 14 were used in Exp. 2, while only  
106 stallions 8 to 12 were included in Exp. 3. Stallions were housed in individual pens supplemented  
107 with a pelleted ration and grass hay at the School of Animal Sciences or the School of Veterinary  
108 Medicine, Louisiana State University, Baton Rouge, Louisiana (Exp. 1) or Kansas State  
109 University, Manhattan, Kansas (Exp. 2 and 3). The stallions were teased with a mare in estrus  
110 and the penis was washed with warm water prior to semen collection. One ejaculate was  
111 collected from each stallion for each experiment with a Colorado (Exp. 1) or Missouri (Exp. 2  
112 and 3) model artificial vagina over a phantom mare. Semen was obtained in February (Exp. 1),

113 August (Exp. 2) or September (Exp. 3) from sexually rested stallions. The internal temperature  
114 of the artificial vagina was adjusted at 45 to 48 °C, and sterile non-spermicidal lubricant (Priority  
115 Care, First Priority Inc., Elgin, IL, USA) was applied in the proximal one third of the artificial  
116 vagina immediately before collection. An in-line disposable nylon mesh gel filter (Animal  
117 Reproduction Systems, Chino, CA, USA) was used to exclude the gel fraction of the ejaculate.  
118 Immediately after collection, water was drained from the Colorado Model artificial vagina, the  
119 filter was removed, and the semen samples were transported to the laboratory for processing  
120 within 20 min of collection.

121

## 122 2.2. Evaluation of sperm concentration, motility and viability

123

124 Sperm concentration was evaluated using a Neubauer hemacytometer. While the method  
125 was not validated for repeatability in this study, the hemacytometer remains the gold standard for  
126 evaluation of sperm concentration [16,17]. Semen was diluted 1:100 in formalin buffered saline  
127 and spermatozoa were counted in the central grid of the hemacytometer. Both chambers of the  
128 hemacytometer were counted and averaged. If a difference greater than 10 % was found between  
129 chambers in the number of sperm counted, the hemacytometer was re-loaded and the sperm  
130 count was repeated. Sperm concentration was expressed in million per milliliter. During  
131 Experiment 1, sperm in the supernatant were counted using a 1:10 dilution and the sperm count  
132 was divided by 10.

133 Sperm motility was evaluated using a computer assisted sperm analyzer (Exp. 1: Sperm  
134 Vision, Minitube of America, Verona, WI, USA; Exp. 2 and 3: IVOS, Hamilton Thorn Research,  
135 Beverly, MA, USA). The settings of the instrument were: Frames acquired 45, frame rate 60 Hz,

136 minimum contrast 80, minimum cell size 3 pixels, straightness cut off 75 %, average path  
137 velocity cut off 50  $\mu$ /s, VAP cut off static cells 20  $\mu$ /s, cell intensity 106, static size gates 0.38 to  
138 2.99, static intensity gates 0.77 to 1.4, and static elongation gates 12 to 97. Semen was placed in  
139 a 20- $\mu$ L sperm analysis chamber (Hamilton Thorn Research) over the internal heated specimen  
140 stage at 37 °C. Mean percentages of total and progressive motility were assessed from 15 fields  
141 with a X 10 phase-contrast objective.

142 Membrane integrity or viability was evaluated with a fluorescent probe (SYBR14/PI,  
143 Live/Dead Kit, Molecular Probes, Eugene, OR, USA). First, 2  $\mu$ L of a working solution of  
144 SYBR14 were added to 400  $\mu$ L of semen. Semen was incubated for 10 min at 37 °C in the dark.  
145 Then, 2  $\mu$ L of propidium iodide was added and semen was incubated for 10 min at 37 °C in the  
146 dark. Semen was evaluated using an epifluorescence microscope at high power (X 40)  
147 (Olympus B-Max 60, Olympus America, Inc., Melville, NY, USA). One hundred spermatozoa  
148 were classified as live or membrane-intact (green fluorescent), or dead or membrane-damaged  
149 (red fluorescent). Moribund sperm (combination of green and red fluorescence) were classified  
150 as membrane-damaged.

151

### 152 2.3. Semen processing

153

154 Immediately after collection, a standard semen evaluation was performed. Each ejaculate  
155 was then divided into aliquots as described below for each experiment. After adding pre-warmed  
156 semen extender, and immediately before centrifugation, sperm concentration, motility and  
157 membrane integrity were evaluated. Then, the aliquots were centrifuged as described below for



158 each experiment. Centrifugation duration included the time for rotor acceleration. An immediate  
159 breaking feature was not used. The deceleration curve was the same for all treatments.

160 After centrifugation, 37 mL (40-mL suspensions) or 17 mL (20-mL suspensions) of the  
161 supernatant was removed by aspiration with a 2-mL plastic transfer pipette. Transfer pipettes are  
162 readily available and routinely used in andrology laboratories for aspiration of the supernatant.

163 Given the duration and forces used for centrifugation here, a tight pellet was obtained. The  
164 supernatant was also removed immediately after centrifugation with minimal time delay.

165 Therefore, sperm loss in the supernatant due to swim up of spermatozoa was unlikely to occur.

166 Sperm concentration was evaluated in the supernatant with a hemacytometer [8,18] and semen

167 extender was added to re-suspend the pellet to a sperm concentration of  $25 \times 10^6$  /mL. No

168 attempt was made to maintain the concentration of seminal plasma constant. Instead, semen was

169 processed using a routine protocol for cooling, where the final sperm concentration was taken

170 into account. Sperm motility and membrane integrity were assessed in the re-suspended semen

171 immediately. Re-suspended and non-centrifuged control samples were packaged in plastic bags

172 (Whirl-Pack, Nasco, Fort Atkinson, WI, USA), placed in a passive cooling device (Equitainer,

173 Hamilton Thorn Research, Danver, MA, USA) and stored at approximately 4 °C for 24 h. After

174 24 h of cold storage, semen was warmed at 37 °C for 10 min and sperm motility and membrane

175 integrity were reassessed.

176

177 2.4. Experiment 1: Effect of centrifugation force, duration and column height on sperm recovery

178 rate and survival

179

180 Each ejaculate (n = 7) was extended to a sperm concentration of  $25 \times 10^6$  /mL with a  
181 milk-based semen extender (EZ-Mixin CST<sup>®</sup>, Animal Reproduction Systems). The extended  
182 semen was divided into nine aliquots. Each aliquot was centrifuged in a swinging bucket rotor  
183 centrifuge (Eppendorf 5804, Hamburg, Germany) at room temperature in a 50-mL conical tube  
184 under one of two centrifugation forces (400 or 900 x g), duration ( 5 or 10 min) and volumes (20  
185 or 40 mL) (Table 1).

186

#### 187 2.5. Experiment 2: Effect of sperm concentration on recovery rate and survival

188

189 Each ejaculate (n = 7) was divided into four aliquots and extended with a milk-based  
190 semen extender (EZ-Mixin CST<sup>®</sup>, Animal Reproduction Systems) to one of the following sperm  
191 concentrations: 1)  $25 \times 10^6$ /mL, uncentrifuged control; 2)  $25 \times 10^6$ /mL; 3)  $50 \times 10^6$ /mL; 4)  $100 \times$   
192  $10^6$ /mL. Centrifugation of 40 mL of each aliquot was performed in a swinging bucket rotor  
193 centrifuge (Sorvall ST16, Fisher Scientific Co. LLC, Hanover Park, IL, USA) at room  
194 temperature in 50-mL conical tubes at 900 x g for 10 min. This centrifugal force and duration  
195 was chosen since it provided the best sperm yields in Exp. 1. After removing the supernatant,  
196 semen extender was added to dilute all aliquots to the same final sperm concentration of  $25 \times 10^6$   
197 /mL.

198

#### 199 2.6. Experiment 3: Effect of centrifugation medium on sperm recovery rate and survival

200

201 Each ejaculate (n = 5) was divided into three aliquots. Each aliquot was diluted to a  
202 sperm concentration of  $25 \times 10^6$ /mL with a milk-based (EZ-Mixin CST<sup>®</sup>, Animal Reproduction

203 Systems), fractionated milk-based (INRA96, IMV Technologies, Maple Grove, MN, USA) or  
204 egg yolk-based (VMDZ, Partnar Animal Health, Port Huron, MI, USA) semen extender. Forty  
205 milliliters from each aliquot served as a non-centrifuged control sample. Other 40 mL from each  
206 aliquot were centrifuged in a swinging bucket rotor centrifuge (Sorvall ST16, Fisher Scientific  
207 Co. LLC) at room temperature in 50-mL conical tubes at 900 x g for 10 min. Since the goal of  
208 this experiment was to test the effect of centrifugation medium on sedimentation rates, all other  
209 centrifugation conditions were kept constant to eliminate any confounding effects of changing  
210 centrifugation conditions. After removing the supernatant, the corresponding semen extender  
211 was added to re-suspend the pellet to a final sperm concentration of  $25 \times 10^6$  /mL.

212

## 213 2.7. Calculation of sperm yields and survival factors

214

215 Sperm yields after centrifugation were calculated as follows: Total sperm pre-  
216 centrifugation (TSP) ( $\times 10^6$ ) = initial sperm concentration x volume in the tube; Total sperm in  
217 the supernatant (TSS) ( $\times 10^6$ ) = sperm concentration in the supernatant x volume of the  
218 supernatant; Total sperm in the pellet (TSPe) ( $\times 10^6$ ) = TSP – TSS; Total yield (TY) = TSPe /  
219 TSP x 100; Total motile yield (TMY) = (TSPe x % total motility post-centrifugation) / (TSP x %  
220 total motility pre-centrifugation) x 100; Progressively motile yield (PMY) = (TSPe x %  
221 progressive motility post-centrifugation) / (TSP x % progressive motility pre-centrifugation) x  
222 100; Viable yield (VY) = (TSPe x % viability post-centrifugation) / (TSP x % viability pre-  
223 centrifugation) x 100 [8,18].

224 Sperm motility and viability after centrifugation were normalized to the initial values,  
225 and the normalized variables were called survival factors [13,14]. Survival factor is more likely

226 to reveal differences between treatments since this variable eliminates the effect of individual  
227 variation in initial semen quality on the outcome and assess only the changes in semen quality in  
228 response to treatment [13,14]. Survival factors were calculated as follows: Survival factor for  
229 total motility (SFT) = % total motility post-centrifugation / % total motility pre-centrifugation x  
230 100; Survival factor for progressive motility (SFP) = % progressive motility post-centrifugation /  
231 % progressive motility pre-centrifugation x 100; Survival factor for viability (SFV) = % viability  
232 post-centrifugation / % viability pre-centrifugation x 100.

233 A similar normalization to values post-centrifugation was done after cooling: Survival  
234 factor for total motility at 24 h (SFT24) = % total motility at 24 h / % total motility post-  
235 centrifugation x 100; Survival factor for progressive motility at 24 h (SFP24) = % progressive  
236 motility at 24 h / % progressive motility post-centrifugation x 100; Survival factor for viability at  
237 24 h (SFV24) = % viability at 24 h / % viability post-centrifugation x 100.

238

## 239 2.8. Statistical analysis

240

241 Sperm yields and survival factors after centrifugation and cooling were tested for normality  
242 using the Shapiro-Wilk test. Variables followed a normal distribution. The effect of  
243 centrifugation protocol on the response variables (TY, TMY, PMY, VY, SFP, SFT, SFV, SFT24,  
244 SFP24, SFV24) was evaluated with ANOVA for repeated measures within storage time  
245 (immediately after centrifugation or after cooling). The general linear model procedure of SAS  
246 package (SAS Institute, Cary, NC, USA) was used for analysis. The model included the random  
247 effect of ejaculate and the fixed effect of treatment. In Exp. 1, each treatment represented a  
248 different interaction of centrifugation force, duration and volume. In Exp. 2 and 3, each treatment

249 represented centrifugation with a different sperm concentration or semen extender, respectively.  
250 The control non-centrifuged treatments were also included in the models. If there was a  
251 significant treatment effect, pre-determined comparisons were made between treatments using  
252 least squares means with a Tukey adjustment of Type I error to 0.05. Differences were  
253 considered significant when  $P < 0.05$ . All values were expressed as mean  $\pm$  SD.

254

### 255 3. Results

256

#### 257 3.1. Experiment 1: Effect of centrifugation force, duration and column height on sperm recovery 258 rate and survival

259

260 Initial total sperm motility was  $78.1 \pm 20.4$  %, progressive sperm motility was  $70.7 \pm 22.4$  %  
261 and sperm viability was  $75.8 \pm 14.9$  %. There was a significant effect of ejaculate on all variables  
262 ( $P < 0.05$ ) except TY, TMY and SFV24. After centrifugation, one stallion had a decrease in  
263 survival factors, one stallion had an improvement in semen quality, and five stallions had no  
264 apparent change.

265 Total sperm yield was greater for non-centrifuged semen ( $100 \pm 0$  %), semen centrifuged at  
266  $400 \times g$  for 10 min in a 20-mL suspension ( $95.6 \pm 5$  %),  $900 \times g$  for 10 min in a 40-mL ( $91.4 \pm$   
267  $4.5$  %) or 20-mL suspension ( $99.2 \pm 0.8$  %) and  $900 \times g$  for 5 min in a 20-mL suspension ( $93.8 \pm$   
268  $8.9$  %) compared with semen centrifuged at  $400 \times g$  for 10 min in a 40-mL suspension ( $74.5 \pm$   
269  $7.6$  %),  $400 \times g$  for 5 min in a 20-mL suspension ( $74.3 \pm 8.6$  %) and  $900 \times g$  for 5 min in a 40-  
270 mL suspension ( $72.6 \pm 9.5$  %), whereas centrifugation at  $400 \times g$  for 5 min in a 40-mL  
271 suspension provided the lowest total sperm yield ( $47.2 \pm 7.3$  %) ( $P < 0.0001$ ). Total and

272 progressively motile sperm yields followed a similar pattern ( $P < 0.0001$ ) (Table 1). Viable  
273 sperm yield was also highest for non-centrifuged semen ( $100 \pm 0 \%$ ), semen centrifuged at 900 x  
274 g in 20-mL suspensions for 10 min ( $92 \pm 18.5 \%$ ) or 5 min ( $87.8 \pm 13.1 \%$ ), 400 x g for 10 min in  
275 a 20-mL suspension ( $86.9 \pm 14.2 \%$ ) and 900 x g for 10 min in a 40-mL suspension ( $84.4 \pm 19.3$   
276  $\%$ ), and lowest after centrifugation at 400 x g for 5 min in a 40-mL suspension ( $44.5 \pm 8 \%$ ) ( $P <$   
277  $0.0001$ ) (Table 1). Centrifugation protocol had no significant effect on any survival factor after  
278 centrifugation and cooling (Table 1).

279

### 280 3.2. Experiment 2: Effect of sperm concentration on recovery rate and survival

281

282 Initial total sperm motility was  $76.6 \pm 10.3 \%$ , progressive sperm motility was  $37.9 \pm 40.4 \%$   
283 and sperm viability was  $77.5 \pm 16.8 \%$ . None of the variables was affected by ejaculate, except  
284 SFT24 ( $P = 0.0005$ ). While response to centrifugation at 25 and 50 x  $10^6$ /mL was variable  
285 among stallions, all stallions had a decrease of  $\geq 20 \%$  in SFT24 h when semen was centrifuged  
286 at 100 x  $10^6$ /mL. Neither TY nor TMY differed among centrifuged samples, however  
287 centrifugation at a sperm concentration of 100 x  $10^6$ /mL resulted in significantly lower TY ( $P =$   
288  $0.0293$ ) and TMY ( $P = 0.0219$ ) compared with non-centrifuged semen (Table 2). Viable yield  
289 was not different among centrifuged samples, however centrifugation at all concentrations  
290 resulted in significantly lower VY compared with non-centrifuged semen ( $P = 0.0003$ ) (Table 2).  
291 Progressively motile yield was not different among treatments ( $P = 0.0744$ ) (Table 2). None of  
292 the survival factors after centrifugation and cooling differed significantly among semen samples  
293 centrifuged at different concentrations, or compared with non-centrifuged semen (Table 2).

294

### 295 3.3. Experiment 3: Effect of centrifugation medium on sperm recovery rate and survival

296

297 Initial total sperm motility was  $68.7 \pm 13.4$  %, progressive sperm motility was  $36.6 \pm 13.4$  %  
298 and sperm viability was  $69.3 \pm 24.9$  %. There was no significant effect of ejaculate on any of the  
299 variables, except SFT24 and SFP24 ( $P = 0.0005$ ). Total sperm yield was not significantly  
300 different among centrifuged samples, but centrifugation in INRA96 resulted in lower TY  
301 compared to non-centrifuged semen ( $P = 0.0022$ ) (Table 3). Total and progressively motile, and  
302 viable sperm yield were not significantly different among centrifuged samples. However,  
303 centrifugation in VMDZ resulted in lower TMY ( $P = 0.0041$ ), PMY ( $P = 0.0050$ ) and VY ( $P =$   
304  $0.0116$ ) compared to non-centrifuged semen (Table 3). None of the survival factors after  
305 centrifugation and cooling differed significantly among treatments, except SFP24. Semen  
306 centrifuged in VMDZ had lower progressive motility after cooling compared with its non-  
307 centrifuged control sample ( $P = 0.0344$ ) (Table 3).

308

## 309 4. Discussion

310

311 The objectives of this study were to identify factors that affected sedimentation rates and  
312 survival of equine spermatozoa after centrifugation. Possible delayed effects of centrifugation on  
313 sperm function were assessed after 24 h of cold storage. The motile or viable yield in the pellet  
314 and not the percent motility or viability is the parameter that best reflects the effectiveness of a  
315 centrifugation protocol [13]. Also, because of the large variability in initial sperm motility and  
316 viability among stallions, these parameters were normalized to eliminate this source of variation.  
317 The normalized variables were called survival factors [13].

318 Survival factors after centrifugation were not affected by treatment in any of the experiments.  
319 Furthermore, no delayed effect of centrifugation on sperm motility and viability was evident  
320 after cooling for 24 h with most treatments. Only centrifugation in VMDZ resulted in a decrease  
321 in progressive motility after cooling. It can therefore be assumed that, under most of the  
322 conditions tested in this study, loss of motile or viable sperm was a result of a decrease in  
323 sedimentation rate through the supernatant rather than cell death or damage within the pellet.

324 The rate of sedimentation ( $v$ ) of a particle is given by the following formula:

$$325 \quad v = \frac{2r_p^2 (\rho_p - \rho_m) w^2 r}{9\eta (f/f_o)}$$

327 Where,  $r_p$  is the radius of the particle,  $\rho_p$  is the density of the particle,  $\rho_m$  is the density of the  
328 medium,  $w$  is the angular velocity of the rotor,  $r$  is the radial distance of the particle from the axis  
329 of rotation,  $\eta$  is the viscosity coefficient of the medium,  $f$  is the frictional coefficient of the  
330 hydrated aspherical particle, and  $f_o$  is the theoretical frictional coefficient of an unhydrated  
331 sphere of the same molecular mass and density [13,15]. Therefore, the rotational speed of the  
332 rotor, radial distance of the particles from the axis of rotation (given by the column height), and  
333 the density and viscosity of the medium affect sedimentation rate. While the radius, density and  
334 shape of the particle also affect sedimentation rate, these effects remain constant when  
335 comparing centrifugation protocols for a given cell type, such as sperm in the case of this study.  
336 As the centrifugal force increases, sedimentation rate also increases. The centrifugal force ( $G$ ) is  
337 given by:

$$338 \quad G = w^2 r$$

339 Hence, a particle experiences a greater force the further away it is from the axis of rotation. A  
340 shorter column height in a partially filled tube increases the minimum radial distance (Fig. 1).



341 Therefore, particles start to sediment at a higher gravitational field, have a reduced path length to  
342 travel, and sedimentation is quicker [13-15].

343 In this study, 28 % of motile and viable sperm were lost with the supernatant after a  
344 conventional centrifugation protocol at 400 x g for 10 min and a volume of 40 mL, which is  
345 similar to other reports [7,8]. When the volume of the suspension was reduced to 20 mL,  
346 resulting in a shorter column, sperm losses were significantly reduced to < 5 % after  
347 centrifugation at a conventional force (400 x g) and duration (10 min). Total and viable sperm  
348 yields were affected by the height of the suspension.

349 When centrifuging a conventional volume (40 mL) of semen in a 50-mL tube for a  
350 conventional duration (10 min), increasing the centrifugal force to 900 x g also improved sperm  
351 yields. Similar increases in sperm recovery rates after increasing centrifugal force were reported  
352 previously [8,9,18]. Centrifugation duration and force are reciprocal, and total yield increases  
353 linearly as the product of duration x force increases, until it plateaus at 100 %. The deleterious  
354 effect of centrifugation on sperm function has been attributed to mechanical damage [14], tight  
355 packing [14], and production of reactive oxygen species in the pellet [19]. Assuming cells are  
356 damaged as a consequence of being packed within the pellet and not of sedimenting through the  
357 supernatant, the viable and motile yields depend on the rate at which cells in the pellet are  
358 damaged and the rate at which undamaged cells arrive in the pellet [13,14]. Once full  
359 sedimentation is reached, viable and motile yields decrease as a consequence of cell damage in  
360 the pellet and the lack of further arrival of undamaged cells [13,14]. Total yield almost reached  
361 the plateau at 99 % when semen was centrifuged at 900 x g for 10 min in 20-mL suspensions.  
362 Increasing the centrifugation duration or force beyond this seemed unnecessary when  
363 centrifuging low volumes. Decreasing the centrifugation duration to 5 min resulted in decreased

364 sperm yields, except when semen was centrifuged in 20-mL suspensions at 900 x g. It seemed  
365 then possible to decrease processing time using a higher force with small volumes of semen  
366 without compromising recovery rates.

367 At any given centrifugation duration and force, sedimentation rate depends on the difference  
368 in specific gravity between the cells and the surrounding medium, and the viscosity of the  
369 medium [13-15]. Sedimentation rate increases as the density and viscosity of the medium  
370 decrease [15]. Centrifugation medium affected recovery of sperm in this study. Density of the  
371 media seemed similar among EZ mixin (1.0125 gr/mL), INRA96 (1.0095 gr/mL) and VMDZ  
372 (1.011 gr/mL) semen extenders. However, it is possible that such a small difference in density  
373 accounted for differences in sperm recovery. Viscosity of the media was not known and may  
374 have been partly responsible for differences in sedimentation also. Centrifugation in INRA96  
375 resulted in a significant loss of about 18 % of the initial sperm suspension compared with non-  
376 centrifuged samples. However, survival factors for total and progressive motility were  $\geq 100$  %  
377 since removing the supernatant and re-suspending the pellet in INRA96 resulted in an  
378 improvement in sperm motility in four of the five stallions in this study. The ability of this semen  
379 extender to improve sperm motility compensated for the lower sedimentation rate, and resulted  
380 in no significant losses of motile sperm. These results cannot be extrapolated to conventional  
381 centrifugation protocols. Total sperm yield after centrifugation in INRA96 at 400 x g for 10 min  
382 was 54 % [9]. Using a higher centrifugation force may be recommended to minimize sperm  
383 losses when using this semen extender.

384 On the other hand, VMDZ seemed unable to protect sperm from immediate and delayed  
385 deleterious effects of centrifugation. A significant loss of total (31 %) and progressively motile  
386 (13 %) sperm occurred after centrifugation in VMDZ compared to non-centrifuged semen.

387 Centrifugation in VMDZ resulted in an immediate reduction in sperm motility in four of the five  
388 stallions in the study. This may have accounted for the decrease in motile sperm yields in spite of  
389 the lack of difference in sedimentation rates. Furthermore, there was a dramatic 79 % decrease in  
390 progressive sperm motility after cooling semen centrifuged in VMDZ. Centrifugation in VMDZ  
391 resulted in a hard pellet that required prolonged pipetting for re-suspension. A loss of sperm  
392 motility and membrane integrity was reported after pipetting non-centrifuged rat and mouse  
393 sperm [20]. However, there seems to be a species difference in sensitivity of sperm to  
394 mechanical damage induced by pipetting since this procedure had no deleterious effects on bull,  
395 ram and boar sperm [20]. The effect of pipetting on equine sperm has not been critically  
396 evaluated and may have accounted for the immediate or delayed deleterious effects of  
397 centrifugation in VMDZ on sperm motility in this study. Also, removal of seminal plasma by  
398 centrifugation resulted in lower post-thaw sperm motility and higher lipid peroxidation when  
399 buck semen was frozen in an egg yolk-based extender compared with non-centrifuged semen, or  
400 centrifuged semen frozen in a soybean lecithin-based extender [21]. Seminal plasma is known to  
401 be a main source of antioxidant protection. It is therefore possible that the egg yolk-based semen  
402 extender was unable to provide sufficient antioxidant protection to support sperm progressive  
403 motility after centrifugation and cooling in the absence of seminal plasma.

404 The initial concentration of cell suspensions also influences sedimentation rate [15]. Density  
405 and viscosity of the medium may be influenced not only by the semen extender used but also by  
406 the amount of seminal plasma in the ejaculate, the ratio of semen: extender used or the sperm  
407 concentration in the suspension being centrifuged. In this study, sperm yield was affected by the  
408 concentration at which semen was centrifuged. Centrifugation at a high sperm concentration  
409 ( $100 \times 10^6$  /mL) resulted in significant sperm losses compared to non-centrifuged semen. It can

410 be speculated that this finding resulted from differences in density or viscosity of the medium  
411 containing different concentrations of seminal plasma, or cell-to-cell interactions in the more  
412 concentrated suspension. The properties of the pellet depend on the number of cells, which  
413 determines the size of the pellet, centrifugal force and media composition [22]. An increase in  
414 the number of cells results in a larger pellet. The larger the pellets the looser they are [22]. The  
415 porosity and intermembrane distance between adjacent cells increase, likely due to repositioning  
416 and changing orientation of the cells within a larger multi-layer pellet [22]. The larger pellet with  
417 lower cell cohesion may have resulted in more cells aspirated with the supernatant rather than in  
418 a decrease in sedimentation rate.

419 In conclusion, sperm survival after centrifugation and cooling was not affected by the  
420 centrifugation protocol used. Only centrifugation in VMDZ resulted in a decrease in progressive  
421 motility after centrifugation and cooling. When equine semen was centrifuged at 400 to 900 x g  
422 for 5 to 10 min diluted to a sperm concentration of 25 to 100 x 10<sup>6</sup> /mL in milk- or fractionated  
423 milk-based semen extenders, loss of motile or viable sperm resulted from a decrease in  
424 sedimentation rate rather than cell death within the pellet. Therefore, centrifugation protocols  
425 that improve sedimentation rate are likely to improve recovery of motile and viable sperm. With  
426 conventional centrifugation protocols, centrifuging volumes of ≤ 20 mL in 50-mL tubes  
427 minimized sperm losses in the supernatant. Due to the large volumes of semen that are often  
428 processed, using a lower volume may not be practical in all circumstances. If 40-mL suspensions  
429 are used, it may be recommended to increase the centrifugation force to 900 x g for 10 min.  
430 When using this volume, force and duration, it may be recommended to centrifuge semen at a  
431 sperm concentration of 25 to 50 x 10<sup>6</sup> /mL since centrifugation at a higher sperm concentration  
432 resulted in significant sperm losses. Both milk- (EZ Mixin) and fractionated milk-based

433 (INRA96) semen extenders seemed equally suitable for centrifugation of equine semen under the  
434 conditions tested in this study. Use of an egg yolk-based semen extender (VMDZ) was not  
435 recommended for centrifugation due to a significant loss of motile spermatozoa and decrease in  
436 progressive sperm motility after cooling. Because there was an effect of stallion on some  
437 variables, the ideal centrifugation protocol may need to be adjusted for some individual stallions.

438

439 Disclosure statement

440

441 The authors declare that there is no conflict of interest that could be perceived as  
442 prejudicing the impartiality of the research reported.

443

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445

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450

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507

508 Table 1. Sperm yields and survival factors after centrifugation of equine semen at different  
509 forces (400 or 900 x g), duration (5 or 10 min) and volumes (20 or 40 mL), and after cooling for  
510 24 h. TY = total yield, TMY = total motile yield, PMY = progressively motile yield, VY = viable  
511 yield, SFT = survival factor for total motility, SFP = survival factor for progressive motility,  
512 SFV = survival factor for viability, SFT24 = survival factor for total motility at 24 h, SFP24 =  
513 survival factor for progressive motility at 24 h, SFV24 = survival factor for viability at 24 h.

514 <sup>a,b,c,d,e</sup>Within a row, values with different superscript differ significantly ( $P < 0.0001$ ) (Mean  $\pm$   
515 SD).

516

517 Table 2. Sperm yields and survival factors after centrifugation of equine semen at 900 x g for 10  
518 min in 50-mL tubes at different concentrations (25, 50 and 100 x 10<sup>6</sup>/mL), and after cooling for  
519 24 h. TY = total yield, TMY = total motile yield, PMY = progressively motile yield, VY = viable  
520 yield, SFT = survival factor for total motility, SFP = survival factor for progressive motility,  
521 SFV = survival factor for viability, SFT24 = survival factor for total motility at 24 h, SFP24 =



522 survival factor for progressive motility at 24 h, SFV24 = survival factor for viability at 24 h.

523 <sup>a,b</sup>Within a row, values with different superscript differ significantly ( $P < 0.05$ ) (Mean  $\pm$  SD).

524

525 Table 3. Sperm yields and survival factors after centrifugation at 900 x g for 10 min in 50-mL  
526 tubes in different semen extenders (EZ mixin, INRA96 and VMDZ), and after cooling for 24 h.

527 TY = total yield, TMY = total motile yield, PMY = progressively motile yield, VY = viable

528 yield, SFT = survival factor for total motility, SFP = survival factor for progressive motility,

529 SFV = survival factor for viability, SFT24 = survival factor for total motility at 24 h, SFP24 =

530 survival factor for progressive motility at 24 h, SFV24 = survival factor for viability at 24 h.

531 <sup>a,b</sup>Within a row, values with different superscript differ significantly ( $P < 0.05$ ) (Mean  $\pm$  SD).

532

533 Fig.1. Simplified diagram of a swinging bucket rotor with the position of the tubes containing 40

534 mL (left) and 20 mL (right) of suspension during centrifugation. The centrifugal field is directed

535 radially outwards from the axis of rotation (arrowhead), and is given by the angular velocity of

536 the rotor and the radial distance of the particle from the axis of rotation. Even though the

537 maximum radial distance (distance to the bottom of the tube,  $r_{\max}$ ) is the same, the minimum

538 (distance to the meniscus,  $r_{\min}$ ) radial distance at the beginning of centrifugation is greater when

539 the tube is partially filled with 20 mL of suspension than with 40 mL.

Centrifugation force, duration and volume									
Variable	0 x g	400 x g	400 x g	400 x g	400 x g	900 x g	900 x g	900 x g	900 x g
	0 min	10 min	10 min	5 min	5 min	10 min	10 min	5 min	5 min
	40 mL	40 mL	20 mL	40 mL	20 mL	40 mL	20 mL	40 mL	20 mL
TY (%)	100 ± 0 <sup>a</sup>	74.5 ± 7.6 <sup>b</sup>	95.6 ± 5 <sup>a</sup>	47.2 ± 7.3 <sup>c</sup>	74.3 ± 8.6 <sup>b</sup>	91.4 ± 4.5 <sup>a</sup>	99.2 ± 0.8 <sup>a</sup>	72.6 ± 9.5 <sup>b</sup>	93.8 ± 8.9 <sup>a</sup>
TMY (%)	100 ± 0 <sup>a</sup>	71.9 ± 13.3 <sup>b</sup>	97.2 ± 8.7 <sup>a</sup>	47.5 ± 10 <sup>c</sup>	71.2 ± 5.9 <sup>b</sup>	92.9 ± 9.1 <sup>a</sup>	96.2 ± 5.5 <sup>a</sup>	67.1 ± 10.6 <sup>b</sup>	94.9 ± 12 <sup>a</sup>
PMY (%)	100 ± 0 <sup>a</sup>	72.5 ± 15.2 <sup>b</sup>	100.4 ± 9.1 <sup>a</sup>	49.1 ± 11.2 <sup>c</sup>	74 ± 5.4 <sup>b</sup>	91.5 ± 11.3 <sup>a</sup>	99.5 ± 7.4 <sup>a</sup>	68.8 ± 12.9 <sup>b</sup>	95.7 ± 7.4 <sup>a</sup>
VY (%)	100 ± 0 <sup>a</sup>	71.8 ± 14 <sup>b,c,d</sup>	86.9 ± 14.2 <sup>a,b</sup>	44.5 ± 8 <sup>e</sup>	67.1 ± 7.6 <sup>d</sup>	84.4 ± 19.3 <sup>a,b,c</sup>	92 ± 18.5 <sup>a</sup>	69.2 ± 11 <sup>c,d</sup>	87.8 ± 13.1 <sup>a,b</sup>
SFT (%)	100 ± 0	96.6 ± 15.3	101.7 ± 8.5	100.6 ± 15.9	96.4 ± 8.4	101.6 ± 8.2	96.9 ± 5.3	93.1 ± 13.6	101.2 ± 7.8
SFP (%)	100 ± 0	97.5 ± 18.4	105.1 ± 8.8	103.6 ± 16.7	100.1 ± 6.6	100.1 ± 10.4	100.3 ± 7.3	95.3 ± 15.9	102.5 ± 7.9
SFV (%)	100 ± 0	97.1 ± 19.6	91 ± 14.7	95.2 ± 15.1	91 ± 12.3	92 ± 18.5	92.8 ± 18.9	95.7 ± 12.4	94.1 ± 13.9
SFT24 (%)	88.5 ± 15	92.3 ± 12.3	92.6 ± 9.4	90.3 ± 9.4	85.2 ± 8.4	88.7 ± 12.1	87.2 ± 30.4	89.2 ± 19.1	90.7 ± 15.2
SFP24 (%)	86.5 ± 16.5	89.6 ± 14.5	91.5 ± 15.6	82.3 ± 10.9	84.2 ± 11.1	89.1 ± 10.6	86.8 ± 35	80.9 ± 13.9	89.8 ± 16.7
SFV24 (%)	96.4 ± 5.4	94.7 ± 14.9	103.4 ± 17.2	92.5 ± 10.1	97.3 ± 11.3	87.1 ± 11.7	100.9 ± 15.9	96.3 ± 10	100 ± 11.1

540

541

Sperm concentration (x 10 <sup>6</sup> /mL)				
Variable	Control	25	50	100
TY (%)	100 ± 0 <sup>a</sup>	91.3 ± 6.4 <sup>a,b</sup>	85.4 ± 15.7 <sup>a,b</sup>	83.8 ± 10.7 <sup>b</sup>
TMY (%)	100 ± 0 <sup>a</sup>	81.6 ± 12.5 <sup>a,b</sup>	83.8 ± 20.7 <sup>a,b</sup>	81.7 ± 6.8 <sup>b</sup>
PMY (%)	100 ± 0	80.6 ± 27.8	73.9 ± 22	76.4 ± 23.5
VY (%)	100 ± 0 <sup>a</sup>	70.6 ± 3.9 <sup>b</sup>	65.5 ± 17.7 <sup>b</sup>	67.1 ± 14 <sup>b</sup>
SFT (%)	100 ± 0	90.3 ± 6.6	97.5 ± 7.7	93.1 ± 30.8
SFP (%)	100 ± 0	88.3 ± 19.9	89.3 ± 22.6	93.1 ± 30.8
SFV (%)	100 ± 0	83.9 ± 13.9	82.5 ± 18.9	82.7 ± 17.7
SFT24 (%)	79.2 ± 13.9	74.8 ± 21.1	69.1 ± 19.5	62.3 ± 20.7
SFP24 (%)	58.1 ± 33.2	53.1 ± 36.3	38.1 ± 18.4	46.7 ± 60.4
SFV24 (%)	84.9 ± 18.7	98.2 ± 26.4	91.5 ± 9.1	105.9 ± 30.2

542

543

Semen extender

Variable	INRA96 Control	INRA96 Centrifuged	VMDZ Control	VMDZ Centrifuged	EZ Mixin Control	EZ Mixin Centrifuged
TY (%)	100 ± 0 <sup>a</sup>	81.8 ± 11.3 <sup>b</sup>	100 ± 0 <sup>a</sup>	86.7 ± 17.4 <sup>a,b</sup>	100 ± 0 <sup>a</sup>	93.5 ± 2.7 <sup>a,b</sup>
TMY (%)	100 ± 0 <sup>a</sup>	81.5 ± 14.9 <sup>a,b</sup>	100 ± 0 <sup>a</sup>	69.3 ± 22.6 <sup>b</sup>	100 ± 0 <sup>a</sup>	83.7 ± 18.4 <sup>a,b</sup>
PMY (%)	100 ± 0 <sup>a</sup>	86.6 ± 27.2 <sup>a,b</sup>	100 ± 0 <sup>a</sup>	63.5 ± 18.2 <sup>b</sup>	100 ± 0 <sup>a</sup>	89.9 ± 10.1 <sup>a,b</sup>
VY (%)	100 ± 0 <sup>a</sup>	68.4 ± 30.6 <sup>a,b</sup>	100 ± 0 <sup>a</sup>	60.9 ± 36.5 <sup>b</sup>	100 ± 0 <sup>a</sup>	81.4 ± 15.3 <sup>a,b</sup>
SFT (%)	100 ± 0	99.6 ± 11.1	100 ± 0	85 ± 19.1	100 ± 0	90 ± 17
SFP (%)	100 ± 0	104.9 ± 23.1	100 ± 0	80.9 ± 20.9	100 ± 0	93.1 ± 13.5
SFV (%)	100 ± 0	82.4 ± 31.7	100 ± 0	76.1 ± 33.7	100 ± 0	88.6 ± 13.1
SFT24 (%)	70.7 ± 18.9	63 ± 11.2	71.1 ± 41.6	44.3 ± 6.6	69.3 ± 34.1	54.4 ± 25.9
SFP24 (%)	64.5 ± 31.7 <sup>a,b</sup>	36.9 ± 14.2 <sup>a,b</sup>	70.8 ± 56.1 <sup>a</sup>	21 ± 10.8 <sup>b</sup>	48 ± 46.7 <sup>a,b</sup>	36.9 ± 30.7 <sup>a,b</sup>
SFV24 (%)	86.4 ± 13	106.4 ± 41.8	114.3 ± 48.5	98.8 ± 37.5	62.8 ± 32.1	85.4 ± 33.4

544

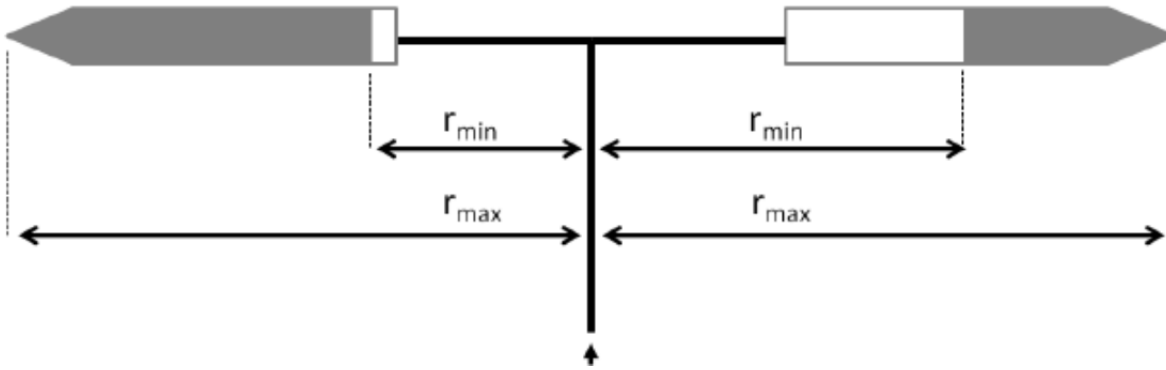
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549 Figure 1.



550