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**Abstract** The levels of expression of the four receptors and eleven ligands composing the epidermal growth factor family were measured using immunohistochemical staining in one hundred cases of breast cancer. All of the family were expressed to some degree in some cases; however, individual cases showed a very wide range of expression of the family from essentially none to all the factors at high levels. The highest aggregate level of expression of a receptor was HER2 followed by HER1, then HER3, then HER4. The ligands (including two splice variants of the NRG1 and NRG2 genes) broadly fell into three groups, those with the highest aggregate expression were Epigen, Epiregulin, Neuregulin 1 $\alpha$ , Neuregulin 2 $\alpha$ , Neuregulin 2 $\beta$ , Neuregulin 4 and TGF $\alpha$ , moderate expression was seen with EGF, Neuregulin 1 $\beta$  and Neuregulin 3, and relatively low levels of expression were seen of HB-EGF, Betacellulin and Amphiregulin. Statistical analysis using Spearman's Rank Correlation showed a positive correlation of expression between each of the factors. Analysing the data using the Cox Proportional Hazards model showed that, in this dataset, the most powerful predictors of relapse free interval and overall survival were the combined measurement of only Epigen and Neuregulin 4.

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2 **The complete family of epidermal growth factor receptors**  
3 **and their ligands are co-ordinately expressed in breast cancer**

4 Emmet McIntyre · Edith Blackburn ·  
5 Philip J. Brown · Colin G. Johnson ·  
6 William J. Gullick

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9 **Abstract** The levels of expression of the four receptors  
10 and eleven ligands composing the epidermal growth factor  
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13 were expressed to some degree in some cases; however,  
14 individual cases showed a very wide range of expression of  
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16 levels. The highest aggregate level of expression of a  
17 receptor was HER2 followed by HER1, then HER3, then  
18 HER4. The ligands (including two splice variants of the  
19 NRG1 and NRG2 genes) broadly fell into three groups,  
20 those with the highest aggregate expression were Epigen,  
21 Epiregulin, Neuregulin 1 $\alpha$ , Neuregulin 2 $\alpha$ , Neuregulin 2 $\beta$ ,  
22 Neuregulin 4 and TGF $\alpha$ , moderate expression was seen  
23 with EGF, Neuregulin 1 $\beta$  and Neuregulin 3, and relatively  
24 low levels of expression were seen of HB-EGF, Betacell-  
25 ulin and Amphiregulin. Statistical analysis using Spear-  
26 man's Rank Correlation showed a positive correlation of  
27 expression between each of the factors. Analysing the data  
28 using the Cox Proportional Hazards model showed that, in

this dataset, the most powerful predictors of relapse free 29  
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ment of only Epigen and Neuregulin 4. 31

**Keywords** ErbB · Growth factor · 32  
Growth factor receptor · Prognosis · Breast cancer 33  
34

**Introduction** 35

The epidermal growth factor family of receptors and 36  
ligands consist of four genes encoding receptors and 37  
at least eleven genes encoding ligands [1]. Four of the 38  
ligands, collectively known as the Neuregulins, are 39  
expressed as multiple splice variants [2] and the latest 40  
receptor to be discovered, HER4, is made in at least four 41  
different forms also due to mRNA splicing [3]. The 42  
receptors are stabilised in an active state as homodimers or 43  
heterodimers following ligand binding [4]. Exactly, which 44  
forms are assembled in vivo is contingent on the repertoire 45  
of ligands available in the environment and their relative 46  
affinities for each receptor type individually and possibly 47  
for preferences for binding to particular dimer pairs. We 48  
have attempted previously to construct a computer simu- 49  
lation of this process [5] (<http://www.cs.kent.ac.uk/people/rpg/em84/CellApplet1.html>) in which a patch of cell 50  
membrane can be populated with different numbers of each 51  
receptor type and each of the eleven ligands can be intro- 52  
duced to initiate the assembly of the various receptor 53  
pairwise combinations. This when run to equilibrium 54  
should resemble the state of the system in a simple mem- 55  
brane bilayer. 56  
57

Overexpression of most, if not all, of the receptors and 58  
some of the ligands has been detected in breast cancer 59  
biopsies and cell lines. Antibodies or small molecule 60

A1 **Electronic supplementary material** The online version of this  
A2 article (doi:10.1007/s10549-009-0536-5) contains supplementary  
A3 material, which is available to authorized users.

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61 tyrosine kinase inhibitors have been evaluated targeted to  
62 members of the system and some of these have been  
63 introduced as clinical treatments for selected patients with  
64 some success [6]. It would be helpful, however, to under-  
65 stand and predict the activation state of the system in  
66 individual patients so that the choice of the available  
67 inhibitors can be most precisely made to ensure that  
68 appropriate drugs are given and that those that are used can  
69 be employed most cost-effectively.

70 Despite nearly 50 years of research and a long term  
71 appreciation of the potential importance of this family of  
72 molecules in breast and other cancer types as yet there has  
73 been no study published to our knowledge that described  
74 the expression patterns of the complete family of receptors  
75 and ligands in breast cancers at the protein level. Indeed  
76 some of the more recently described ligands such as Epigen  
77 [7] and Epiregulin [8] have not so far been studied in a  
78 series of clinical specimens. We report here using immu-  
79 nohistochemical staining a study describing the complete  
80 family in one hundred cases of unselected breast cancers.

## 81 Materials and methods

82 One hundred cases of breast cancer were obtained from  
83 Professor Adrian Harris and Dr Russell Leek, Cancer  
84 Research UK, Oxford, UK in the form of a tissue array.  
85 Ethical approval for use was obtained from Oxfordshire  
86 Clinical Research Ethics Committee. The patients were  
87 treated by standard protocols, which were updated regu-  
88 larly according to national guidelines. ER positive patients  
89 received tamoxifen for 5 years, node positive patients  
90 under 60 also received 6 cycles of intravenous CMF.  
91 Patients treated with wide local excision also received  
92 adjuvant radiation therapy. The composition of the patients  
93 is described in Supplementary Table 1 including age range,  
94 grade, tumour size, ER status, node status, menopausal  
95 status, whether treated by chemotherapy or hormonal  
96 therapy and follow up. The study was conducted and  
97 reported cohering to the guidelines published in McShane,  
98 LM, et al. Reporting recommendations for tumour marker  
99 prognostic studies. *J Clin Oncol.* 2005 Dec 20; 23(36):  
100 9067–9072.

101 The antibodies used were mostly produced in the labo-  
102 ratory of Professor Gullick (Table 1). The antibody to EGF  
103 was a kind gift of the late Dr Harry Gregory. The anti-  
104 bodies to Epigen (Catalogue number AF1127) and to  
105 Epiregulin (Catalogue number AF1195) were purchased  
106 from R&D Systems, Minneapolis, USA and the antibody to  
107 TGFalpha (Catalogue number GF10) from Calbiochem,  
108 San Diego, USA. Immunohistochemical staining was per-  
109 formed using the primary antibodies described earlier and  
110 the StreptABCcomplex HRP Duet Mouse/Rabbit detection

**Table 1** Antibodies used in this study

EGF receptor	F4	Mouse mAb	Gullick et al. [9]
HER2	21 N	Rabbit polyclonal	Gullick et al. [10]
HER3	RTJ2	Mouse mAb	Rajkumar et al. [11]
HER4	HFR1	Mouse mAb	Srinivasan et al. [12]
EGF		Rabbit polyclonal	From H Gregory
TGFalpha	GF10	Mouse mAb	CalBiochem
Amphiregulin	55AR	Rabbit polyclonal	Saeki et al. [13]
HB-EGF	111HB	Rabbit polyclonal	Chobotava et al. [14]
Epigen	AF1127	Goat polyclonal	R&D Systems
Epiregulin	AF1195	Goat Polyclonal	R&D Systems
Betacellulin	97BTC	Rabbit polyclonal	Srinivasan et al. [15]
NRG1 $\alpha$	76HRG	Rabbit polyclonal	Normanno et al. [16]
NRG1 $\beta$	102HRG	Rabbit polyclonal	Srinivasan et al. (15)
NRG2 $\alpha$	121NRG	Rabbit polyclonal	Dunn et al. [17]
NRG2 $\beta$	120NRG	Rabbit polyclonal	Dunn et al. [17]
NRG3	122NRG3	Rabbit polyclonal	Dunn et al. [17]
NRG4	123NRG4	Rabbit polyclonal	Dunn et al. [17]

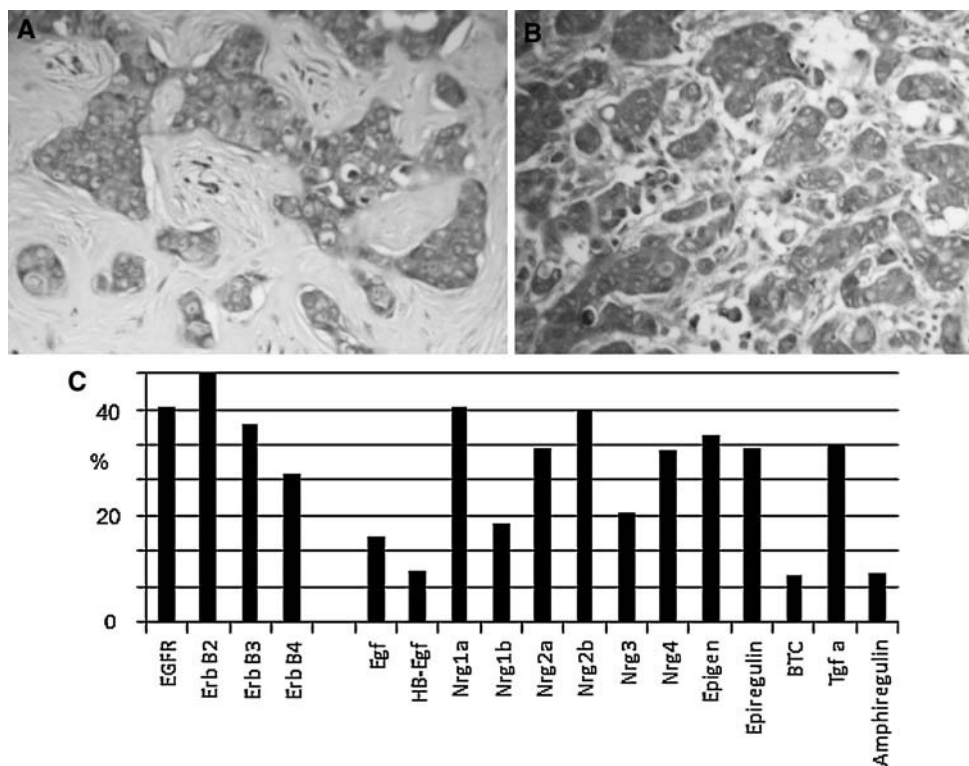
kit from Dako, Denmark. For detection of Epigen and  
Epiregulin rabbit anti-goat biotinylated IgG (Dako) was  
used with the kit. Optimisation of the concentration of each  
antibody was performed prior to its use on the tissue arrays.  
Tumours were scored for intensity of staining by inspection  
on an Olympus BX40 microscope with a “double head” by  
WJG and EM using a scale of 0 = negative, 1 = weak,  
2 = moderate and 3 = strong.

## Results

Each antibody detected specifically its cognate protein in a  
proportion of cases. Results with antibodies to Epigen and  
Epiregulin, which have not previously been measured in  
breast cancer, are shown in Fig. 1a and b. In order to assess  
the overall expression levels for each protein we summed  
the scores for the hundred cases. The highest aggregate  
score for the four receptors was for HER2. It should be  
noted that this does not reveal heterogeneity of expression  
between cases, for instance many previous studies have  
reported that about 20% of breast cancers score 3+ for  
HER2 but this would not be apparent in this analysis.  
However, it does demonstrate, in particular with the  
ligands, some of which have not previously been studied,  
that there are broad categories of expression present.  
Highest scoring ligands included Epigen, Epiregulin,  
Neuregulin 1 $\alpha$ , Neuregulin 2 $\alpha$ , Neuregulin 2 $\beta$ , Neuregulin  
4 and TGF $\alpha$ , moderate expression was seen with EGF,  
Neuregulin 1 $\beta$  and Neuregulin 3 and low levels of  
expression were seen of HB-EGF, Betacellulin and  
Amphiregulin.



**Fig. 1** Example of immunostaining of a case of breast cancer with the antibody to Epiregulin (a) and Epigen (b). c Aggregate scores of the ligands and receptors



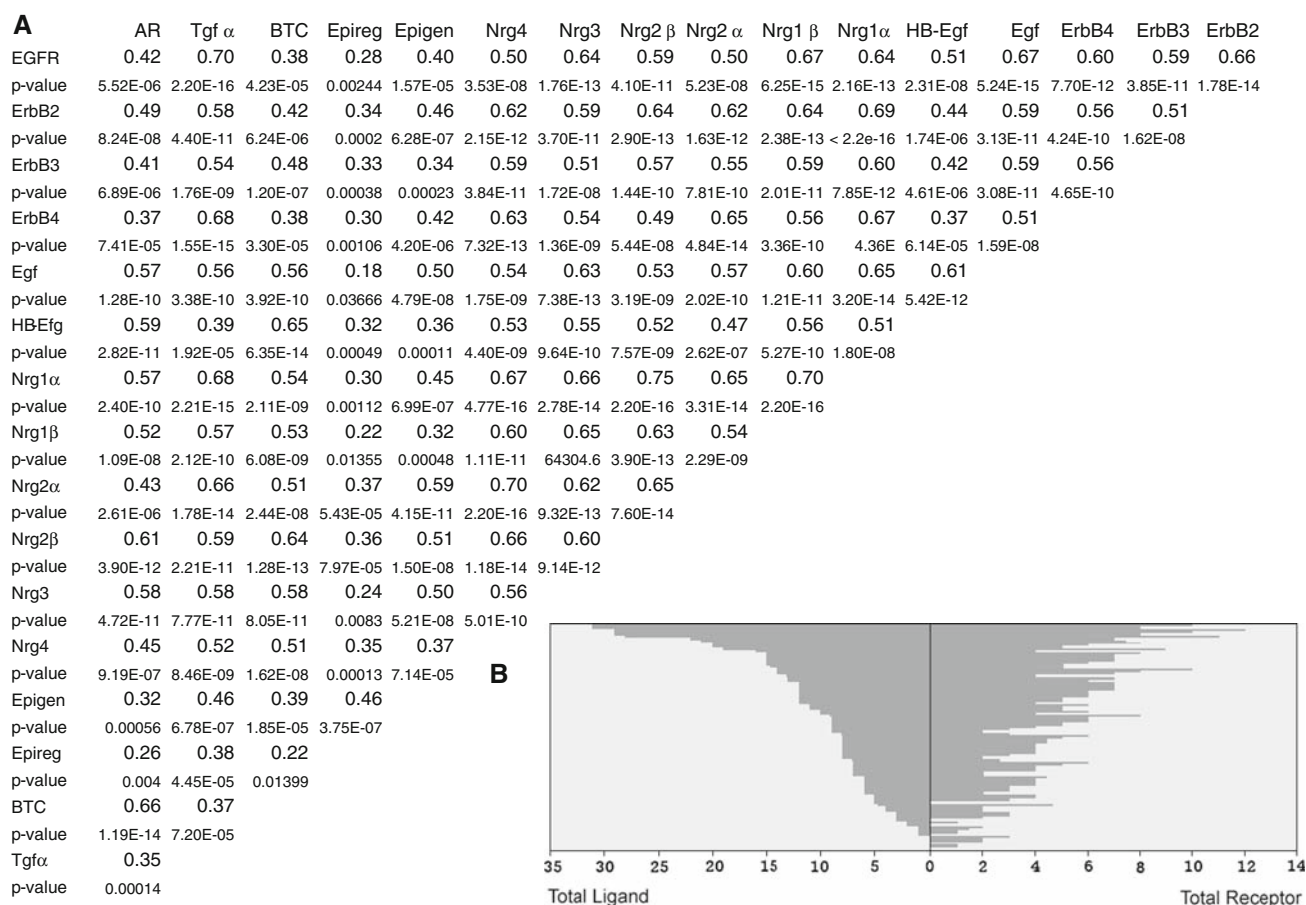
140 The data obtained was analysed for any associations  
 141 between expression of each ligand and receptor with each  
 142 of the others using Spearman's Rank Correlation. From the  
 143 data in Fig. 2a, it can be seen that all the ligands and  
 144 receptors were positively associated. To provide a visual  
 145 representation of this large dataset, we have shown the  
 146 cases ordered on the ordinate in ascending score for total  
 147 ligands (Fig. 2b, left axis, range 0–33) and shown the total  
 148 receptor score (range 0–12, right axis). The data reveal a  
 149 strong association between increasing total ligand score  
 150 and increasing total receptor score. It is also apparent that  
 151 there are some cases that essentially lack any receptor or  
 152 ligand expression at the cut of value scored while other  
 153 cases showed high levels of almost all the ligands and  
 154 receptors suggesting very great heterogeneity in the pres-  
 155 ence of this highly interactive family of signalling mole-  
 156 cules between individual cases.

157 In order to assess the relationship between the expres-  
 158 sion of the ligands and receptors and clinical and molecular  
 159 variables, the tumours were divided in three ways. First,  
 160 they were dichotomised by low and high ligand levels;  
 161 second, by low and high receptor levels and finally, by low  
 162 and high aggregate ligand and receptor levels. No signifi-  
 163 cant associations were found although the strongest rela-  
 164 tionship was between receptor levels and tumour size  
 165 ( $P = 0.06$ ) (Supplementary Table 2).

166 Kaplan–Meier curves for overall survival (OS) were  
 167 generated for all the receptors and ligands based on lack of

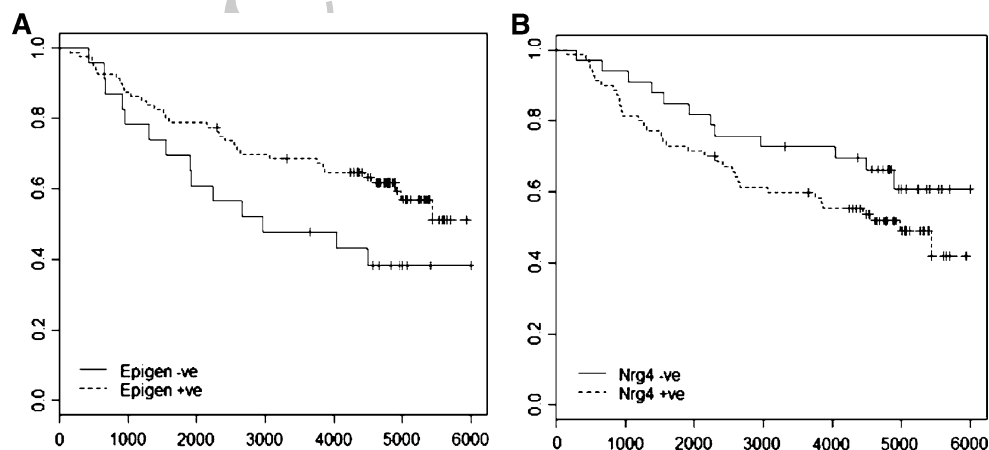
168 expression (0) or any level of expression (1–3) (Fig. 3).  
 169 Several of the factors have not previously been studied in  
 170 breast cancer and thus the dichotomisation of the data was  
 171 chosen to ensure as far as possible similar numbers of cases  
 172 in each category. HER2 expression would normally be  
 173 divided into low (0–2) versus high (3) as this has been  
 174 shown previously to give the best discrimination between  
 175 good and poor survival but it was considered more  
 176 appropriate in this study to maintain consistency within the  
 177 analysis. HER2 was separately analysed as a single factor  
 178 as low (0–2) versus high (3) and, as expected, high  
 179 expression was associated with reduced OS. Analysis of  
 180 the survival data using Cox's Proportional Hazards model  
 181 identified Epigen and Neuregulin 4 as the factors most  
 182 strongly associated with OS.

183 Interestingly, expression of Epigen was positively  
 184 associated with improved survival, and NRG expression  
 185 was associated with worse OS. Various laboratory studies  
 186 have shown that different activation states of the EGF  
 187 family may induce either growth or differentiation and thus  
 188 in the light of our still imperfect knowledge of the system it  
 189 is not unexpected that some factors may have opposite  
 190 effects. In further analysis using the model omitting  
 191 sequentially the weakest factor (backwards elimination  
 192 dropping the factor with the smallest positive or negative  
 193 coefficient), the combination of Epigen ( $P = 0.003$ ) and  
 194 NRG4 ( $P = 0.01$ ) retained the strongest association with  
 195 OS (Table 2). In order to assess the influence of these



**Fig. 2** **a** Spearman's rank correlation analysis of all ligands and receptors. **b** Cases were ordered on the ordinate in increasing ligand score (*left*) and associated receptor scores (*right*)

**Fig. 3** Kaplan Meier charts showing the survival (days) of the patients based on the level of expression (0 vs. 1–3) of Epigen (*left*) and NRG4 (*right*)



196 factors in a more molecularly homogeneous group of cases  
 197 and to see if there were any major effects of treatment, the  
 198 oestrogen receptor positive cases were analysed separately.  
 199 Again positive expression of Epigen was associated with  
 200 good OS ( $P = 0.0092$ ), but NRG2 $\alpha$  became the other  
 201 predictive factor ( $P = 0.0057$ ). The dataset was only  
 202 hundred cases (although 1,700 data points were acquired  
 203 for the 17 factors measured) and further studies on larger

datasets would be required to confirm or refute these  
 apparent relationships.

## Discussion

Each ligand and each receptor were expressed at a range of  
 levels in a proportion of cases of breast cancer in this study.

**Table 2** Cox proportional hazard results for overall survival

	Coeff.	P
EGFR	-0.6397	0.240
ErbB2	-0.0235	0.970
ErbB3	0.0812	0.870
ErbB4	0.2826	0.600
Egf	-0.1251	0.800
HBEgf	0.2006	0.720
Nrg1a	-0.7294	0.200
Nrg1b	0.2555	0.570
Nrg2a	0.2613	0.660
Nrg2b	0.8110	0.260
Nrg3	-0.5034	0.270
Nrg4	1.0819	0.062
Epigen	-1.1589	0.019
Epiregulin	0.7059	0.230
BTC	0.3027	0.600
Tgfa	-0.3076	0.570
Amphiregulin	-0.6340	0.230

209 Statistical analysis of the data revealed a strong associate  
210 between the expression of any member of the family and  
211 all other members. Although breast cancer is acknowl-  
212 edged, both clinically and by analysis of molecular factors,  
213 to be a heterogeneous disease it is still perhaps surprising  
214 how different the composition of the factors between cases  
215 were. In some individuals (at the precision of measurement  
216 available from simple immunostaining), there were essen-  
217 tially no ligands or receptors present. In other individuals,  
218 all the receptors and essentially all the ligands were present  
219 at the highest quartile of measurement. This suggests that  
220 the family may be, in some cases, relatively unimportant  
221 whereas in others it clearly has the potential to be an  
222 important influence on cell activity. This may also reflect a  
223 sensitivity or lack of sensitivity to drugs designed to inhibit  
224 this system.

225 Individual receptors and ligands were, in some cases,  
226 associated negatively or positively with shorter relapse free  
227 interval or survival. This was not unexpected as some  
228 ligands are known to provoke increased rates of cell growth  
229 while others appear to stimulate differentiation. Using the  
230 Cox's Proportional Hazards model, we show that a combi-  
231 nation of Epigen and Neuregulin 4 in this series of cases  
232 together gives the greatest separation of aggressive from  
233 indolent disease. This result could not be predicted as we  
234 are currently unaware of their individual activities in any  
235 detail nor their effect on the balance between growth on the  
236 one hand and differentiation on the other. It is likely,  
237 however, that measuring a subset of the family may allow  
238 prediction of the natural history of the disease in some  
239 cases. Here two factors emerged, but further test datasets

would be required to determine whether this was general- 240  
isable. The Neuregulins are produced as multiple splice 241  
variants for instance, five have so far been identified as 242  
products of the NRG4 gene [18] and these have very dif- 243  
ferent destinations within or without the cell and as such 244  
may also have different functions. The antibodies used here 245  
to the ligands (where known) are directed to the EGF-like 246  
sequence which is shared by all the so far reported splice 247  
variants and should thus detect the sum of the expressed 248  
gene products. The use of reagents which can discriminate 249  
between the splice variants may give a better ability to 250  
predict their involvement and influence in the disease. 251

The use of computer simulations of the EGF system has 252  
been an area of considerable study as we have a reasonable 253  
knowledge of its constituents and some understanding of 254  
how they function. It may be in the future that a "reading" 255  
of the family of receptors and ligands (or a subset of them) 256  
may be able to more accurately predict prognosis and, 257  
more importantly, select patients for treatment with par- 258  
ticular combinations of signal transduction inhibitor drugs. 259

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