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FEASIBILITY STUDY ON THE USE OF VISIBLE-NEAR INFRARED SPECTROSCOPY FOR THE SCREENING OF INDIVIDUAL AND TOTAL GLUCOSINOLATE CONTENTS IN BROCCOLI

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Feasibility Study on the Use of Visible-Near Infrared Spectroscopy for the Screening of Individual and Total Glucosinolate Contents in Broccoli

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1 ABSTRACT

2 The potential of visible-near infrared spectroscopy to determine selected individual and total glucosinolates in broccoli has been evaluated. Modified partial least squares 3 4 (MPLS) regression was used to develop quantitative models in order to predict 5 glucosinolate contents. Both the whole spectrum and different spectral regions were 6 separately evaluated to develop the quantitative models, in all cases the best results were 7 obtained using the NIR zone between 2000 and 2498 nm. These models have been 8 externally validated for the screening of glucoraphanin, glucobrassicin, 4-9 methoxyglucobrassicin, neoglucobrassicin and total glucosinolates contents. In addition, 10 discriminant partial least squares was used to distinguish between two possible broccoli 11 cultivars and showed a high degree of accuracy. In the case of the qualitative analysis, 12 best results were obtained using the whole spectrum (i.e. 400-2498 nm) with a correct 13 classification rate of 100% in external validation being obtained.

14

15 KEYWORDS: Glucosinolates; broccoli; visible spectroscopy; near infrared
16 spectroscopy; chemometrics

17

18 INTRODUCTION

19 Plant bioactive compounds, commonly referred to as phytochemicals, are components 20 that can affect cells in ways other than by provision of nutrients. Some of them may 21 have functional properties, meaning that they provide health benefits beyond basic 22 nutrition. It has been suggested that the health-promoting properties of plant foods are 23 due to their content of bioactive components with health-promoting effects ¹⁻³.

24 Broccoli (*Brassica oleracea* L. var *Italica*) has been produced and consumed in Europe 25 since early times and is a characteristic constituent of European diets. This vegetable 26 contains significant amounts of glucosinolates, which are small organic molecules not 27 essential in the primary metabolism of the plant but with specific functions within its 28 secondary metabolism. Although their role in plants is unclear, their potent odour and 29 pronounced taste suggests a role in herbivore and microbial defence. Structurally, 30 glucosinolates (β -thioglucoside-N-hydroxysulfates) (Figure 1A) are characterised by 31 the presence of nitrogen and sulphur groups and they are derived from glucose and an amino acid¹. Glucosinolates are not bioactive until they have been enzymatically 32 33 hydrolysed to the associated isothiocyanate by an endogenous myrosinase enzyme that 34 may be released by disruption of the plant cell through harvesting, processing or 35 mastication. Epidemiological studies have consistently reported a reduction in incidence 36 of chronic diseases such as cancer and myocardial infarction as a result of induction of 37 detoxifying enzymes and reduction of oxidative stress, although the anti-nutritive effects of both glucosinolates and hydrolysis products have also been studied ^{1, 4, 5}. It is 38 39 therefore important to characterise the content of bioactive compounds in new or re-40 introduced cultivars.

To this end, the use of rapid methods of analysis with minimal or no sample preparationcould be important. Such a capability would significantly enhance the ability of primary

43 processors to select samples for the market based on a high content of bioactive44 compounds in a rapid and inexpensive manner.

45 Near infrared spectroscopy (NIRS) provides fast and non-destructive analysis. It allows 46 qualitative and quantitative analysis to be performed in different matrices thereby 47 reducing costs when compared to wet chemical analysis and without generating waste. 48 In the recent past, the use of NIR spectroscopy in the determination of bioactive compounds in foods and plant natural products has increased considerably ^{6, 7}. With 49 particular regard to glucosinolates, it has been used to determine these compounds in 50 both seeds ⁸⁻¹³ and leaves ^{14, 15} from a wide range of *Brassica* species. The standard 51 52 errors of the glucosinolate's predictions reported in the aforementioned studies ranged from 2.65 to 15.65 µmol g⁻¹ DM for three cultivars of rapeseeds ¹³ and Indian mustard 53 seeds ¹¹ respectively. The results reported in all these works reveal that NIRS is able to 54 55 predict glucosinolates in a wide range of matrices. Therefore, it may be expected that 56 this technique coupled with chemometric tools could provide an alternative method to 57 undertake the analysis of glucosinolates in broccoli.

58 The aim of this study was to evaluate the potential of visible-NIR technology to 59 determine both selected individual (glucoraphanin, 4glucobrassicin, 60 methoxyglucobrassicin, neoglucobrassicin) and total glucosinolates in broccoli. In 61 addition to this, its potential for the discrimination between two broccoli cultivars was 62 evaluated. To our knowledge, this is the first time that vis-NIRS technology has been 63 applied to broccoli for these purposes. The work is part of a wider study aimed at 64 maximising the concentrations of phytochemicals in vegetables by adopting a farm to 65 fork approach. The projected final output of the wider study is the production of 66 vegetables with optimal levels of selected phytochemical groups.

67 MATERIAL AND METHODS

68 Samples

69 Samples were generated at Teagasc, Kinsealy Research Centre, located in the north of County Dublin, Ireland (53° 25' 10" N Lat 6° 10' 45" W). The soil is a grey brown 70 podzolic and presents a loam to clay loam topsoil. The experimental design was 2^3 71 72 factorial split plot design with four plot replicates. Briefly, eight treatments in 5.5m x 73 3.4 m plots were applied with four replicates combining conventional or organic soil 74 management with organic or conventional pest control. The four combinations were 75 sown with Belstar or Fiesta broccoli cultivars. **Table 1** shows the different agricultural 76 managements applied in this study. The aforementioned factorial trial was carried out 77 during the 2009 and 2010 years and provided 64 samples.

Broccoli samples were harvested, frozen and freeze-dried in a large scale freeze drier (Frozen in Time Ltd. York, UK). The specimen chamber was kept below 0 °C during the whole freeze-drying process. Once freeze-dried, samples were milled (Blixer 4, Robotcoupe, France), vacuum packed in polypropylene bags and stored at -20 °C prior to analysis. Two aliquots were taken from each sample, one for the micellar electrokinetic capillary chromatography (MEKC) analysis and the other for visible-near infrared analysis.

85 Chemical Analysis

Sulphatase Purification Procedure. Sulphatase (Type H-1 from Helix pomatia, Sigma, MO, USA) was purified by dissolving the sulphatase powder (70 mg) in deionised water (3 mL) and adding ethanol (3 mL). This solution was centrifuged (12000 rpm, 10 min, room temperature) and ethanol (9 mL) was added to the supernatant after which the solution was centrifuged again (12000 rpm, 10 min, room temperature). The resulting pellet was dissolved in deionised water (2 mL) and this sulphatase solution was subsequently passed through a 0.5 mL DEAE Sephadex A-25 and a 0.5 mL SP

93 Sephadex C-25 column. This solution was collected in a vial and kept at -20 °C until
94 use.

95 Sampling and Extraction. Freeze-dried broccoli powder was extracted using pressurised 96 liquid extraction with an ASE200 instrument (Dionex; Sunnyvale, CA,USA) with 97 attached solvent controller. Extraction of 1.00 g freeze-dried broccoli was carried out in 98 22mL steel cartridges. Extraction conditions were slightly modified from Mohn et al¹⁶. 99 In brief, preheat time: 1 min; static extraction per cycle: 5 min; flush: 60% of cell 100 volume; purge: 60 s with nitrogen; pressure: 120 bar. Glucotropaeolin (Phytolab GmbH, 101 Vestenbergsgreuth, Germany) was used as an internal standard - 100µL of a solution of 102 0.5 µmol of potassium salt of glucotropaeolin in Milli -Q water was spiked onto the 103 sample packed in the ASE cell. The collected extract (ca 30 mL) was dried under a 104 constant N₂ flow and re-dissolved in deionised water (7 mL). An aliquot (1 mL) of this 105 crude extract was applied to a DEAE Sephadex A-25 column (0.5 mL) and the unbound 106 material was removed by washing with deionised water (2 x 1 mL) and sodium acetate 107 buffer (2 x 0.5 mL; 20 mM, pH 5.0). After washing, the purified sulphatase (75 μ L) was 108 added and the columns were incubated overnight at room temperature. After overnight 109 incubation, the desulphoglucosinolates (dGLS) were eluted from the columns with 110 deionised water (3 x 1 mL). The collected eluate was dried under constant N_2 flow, re-111 dissolved in deionised water (200 μ L) and centrifuged prior to analysis. An aliquot of 112 50 µL was used for the MEKC analysis.

Micellar Electrokinetic Capillary Chromatography. Analyses were performed using a CE capillary electrophoresis system (Agilent, Waldbronn, Germany) equipped with diode array detector. All separations were performed on a fused silica capillary (Agilent, Stevens Creek, CA; 75 lm ID, 64.5 cm total length, 56 cm effective length). Samples were injected from the anodic end of the capillary (vacuum injection, 50 mbar, 1 s). The

118 separation buffer consisted of sodium chlorate (250 mM) and boric acid (200 mM) at 119 pH 8.5; the separation was carried out at 12 kV and 60 °C. The capillary was 120 conditioned between each run sequentially with 1.0 M NaOH (3 min), 0.1 M NaOH (1 121 min), water (1 min) and separation buffer (5 min). Detection was performed on column 122 at 230 and 280 nm. Data processing was carried out with 3D-CE Chemstation software 123 (Agilent Waldbronn, Germany). The quantity of the dGLS was estimated as the average 124 of quantities calculated from the internal standards, taking into account the relative response factors revised by Clarke *et al*¹⁷. 125

126 Near Infrared Spectroscopy Analysis

127 Each aliquot of freeze-dried broccoli powder was tempered at room temperature for 16 128 hours prior to spectroscopy analysis. A Foss NIRSystems 6500 spectrometer (NIR 129 Systems Inc., Silver Spring MD) was used; samples were placed in small ring cups (3) 130 cm diameter screen size) with a disposable backing disc and spectral measurements 131 were made in reflectance mode over the 400-2498 nm wavelength range. Spectra were 132 recorded in duplicate at intervals of 2 nm with 10 scans being performed for the 133 reference tile and 25 for samples; the sample cell was rotated through 180 degrees 134 between spectral collections. To minimise sampling error, all the samples were analysed 135 in duplicate with re-filling of the ring cup. Therefore, the four recorded spectra of each 136 sample were averaged to obtain each sample spectrum. Samples were scanned in 137 random order. The spectrum of sinigrin standard powder ((-)-sinigrin hydrate from 138 horseradish, Sigma-Aldrich Ireland Ltd, Arklow, Ireland) was also recorded in 139 reflectance mode over the wavelength range 1100-2498 nm at intervals of 2 nm. The software used was Win ISI® (v1.50) (Infrasoft International, LLC, Port. Matilda, PA, 140 141 USA). This software allowed not only the instrument control and spectral acquisition 142 but also the data pre-treatment and development of qualitative and quantitative models.

143 From the whole data set, 25% of the samples (16 samples) were randomly allocated into

144 the validation set and the remaining 75% (48 samples) into the calibration set.

145 **Chemometric Techniques**

146 A supervised pattern recognition technique-Discriminant Partial Least Squares (DPLS)-

was used for qualitative analysis. The calibration was conducted by performing a
regression on the spectral information and the associated dummy sample group values,
in this case defined as 1 (variety 1) or 2 (variety 2). The regression method applied to
this procedure was MPLS, which is a modification of a normal PLS 1 ^{18, 19}.

151 Prior to quantitative analysis, an unsupervised pattern recognition technique, principal 152 component analysis (PCA), was used in order to provide information about the latent 153 structure of spectral data. This method provides not only information related to spectral 154 outliers and the distribution of samples in the newly-created space but is also an 155 important source of knowledge with which to create cross-validation groups used in the 156 calibration process. PCA is also a useful tool to identify whether unknown samples 157 belong to the spectral space created by the samples from which the equations were 158 developed. Should this not be the case, the equations should not be used to make any prediction ^{20, 21}. Using the raw spectral data and testing different spectral pre-treatments 159 160 and allocating the corresponding MEKC-DAD glucosinolate values to each sample, 161 calibrations were performed by modified partial least squares regression (MPLS). In this 162 method, the set of calibration samples is divided into a series of subsets in order to 163 perform cross-validation to set the number of PLS factors, reduce the likelihood of overfitting ²⁰ and remove chemical outliers. Using the T \geq 2.5 criterion, samples that 164 165 presented a high residual value when they were predicted were eliminated from the set. 166 Finally, validation errors are combined into a single figure, the standard error of cross-167 validation (SECV).

Spectral pre-treatments are usually applied to NIR raw data; scattering effects were removed using multiplicative scatter correction (MSC), standard normal variate (SNV), and detrending ^{22, 23}. Moreover, the effect of derivatisation and variations in spectral ranges were tested in the development of the NIRS calibrations.

172 **RESULTS AND DISCUSSION**

173 Chemical Analysis

174 Glucosinolates were determined by MEKC-DAD. The common glucosinolate skeleton 175 structure is shown in **Figure 1A**, leading to the expectation that their spectral signatures 176 should also be very similar. Sinigrin's reflectance spectrum (Figure 1B) shows 177 considerable spectral detail in the wavelength range above about 2000 nm; it was the 178 only pure glucosinolate available for spectral analysis but, given that its structure is 179 similar to the others being analysed in these broccoli samples, it is expected that all 180 glucosinolates will have similar information above 2000 nm. Table 2 shows the range, 181 mean value and standard deviation of the selected individual glucosinolates and the total 182 glucosinolates in the broccoli samples analysed. These values were used in the 183 development of the quantitative models as reference values. It is noticeable that the 184 precision of the laboratory methods is quite poor with standard deviation between 185 replicate figures of between 15.9% (neoglucobrassicin) and 27.2% (glucoraphanin) of 186 mean contents.

187 Near Infrared Spectroscopy Analysis and Chemometric Techniques

Near Infrared Spectra. Figure 1 shows the average and standard deviation spectra of
broccoli powders over the 400-2498 nm range (Figure 1C) together with the sinigrin
powder spectrum between 1100-2498 nm (Figure 1B).

Standard deviation spectra have been multiplied by a factor of 10 for display reasons.
Spectral intensities were low and well within the linear response range of the instrument detector range; little noise was evident in these traces. A strong feature of the sample spectra was the absorbance pattern in the visible wavelength range i.e. 400-780 nm.
This arose from the pigment remaining in the freeze-dried samples, all of which displayed a green tinge.

197 Figure 1D shows the second derivative spectra of broccoli and sinigrin and presents 198 considerable spectral similarities over the 2000-2498 nm wavelength range. It may be 199 expected that this range would be useful for quantitative purposes of glucuosinolates.

200 Qualitative analysis. An SNV 2,4,4,1 spectral pre-treatment was applied to whole vis-201 NIR spectra of samples from the calibration set and then a principal component analysis 202 was carried out. Figure 2 shows the scores of the broccoli samples in the space defined 203 by the first and second principal components which described 52.3% (PC1) and 23.7% 204 (PC2) of the variability in the data. In this plot, slight differences between the 2009 and 205 2010 harvest years (Figure 2A) and also between the Belstar and Fiesta cultivars 206 (Figure 2B) are apparent. The main difference observed in these plots was between 207 harvest years although the separation between 2009 and 2010 samples was not 208 complete. This trend in harvest year locations was discernible on the basis of PC2. 209 Cultivars were completely overlapped in this hyperspace.

D-PLS modelling of the groups was carried out initially using the complete vis-NIR raw spectra and one dummy variable, the values of which were defined as 1 (variety 1=Belstar) or 2 (variety 2=Fiesta). The model was developed using 6 PLS factors and presented an RSQ of 0.752, SEC of 0.27 and SECV 0.34. The spectral regions between 600-700 nm and 2000-2498 nm showed important contributions to the model loadings (**Figure 3A**) and are mainly related to green pigments such as chlorophyll ^{14, 15, 24} and C-

H, O-H and N-H overtones present in a number of bonds ^{25, 26}. The DPLS developed 216 217 model predicted a dummy value for each sample and then the samples were allocated 218 according to their predicted values ± 0.5 in the corresponding cultivar with a dummy 219 variable breakpoint of 1.5. The predicted dummy variable plot for the qualitative model 220 is shown in **Figure 3B**. Samples of Belstar cultivar cluster around a dummy value of 221 1.0, while those belonging to Fiesta cultivar around 2.0. A prediction rate of 100%222 samples correctly classified was obtained in external validation. This demonstrates that 223 vis-NIR spectroscopy coupled to discriminant DPLS analysis permit a clear 224 differentiation between the two aforementioned broccoli cultivars. Regarding the 225 studied compound, the classification of samples according to their cultivar could be 226 useful since they present significant differences in the 4-methoxyglucobrassicin 227 (p<0.001) and neoglucobrassicin (p<0.001) contents.

228 Quantitative analysis. Although different spectral ranges and the whole spectrum have 229 been separately evaluated, in all cases the best results were obtained using the 230 wavelength range 2000-2498 nm. As part of the quantitative analysis, a SNV (2,4,4,1)231 spectral pre-treatment was applied to this spectral range of samples in the calibration set 232 and then principal component analysis was carried out in order to look for spectral 233 outliers and create cross-validation groups. Overall, the spectral variability explained 234 was 98% using 13 principal components and Mahalanobis distances for each sample 235 were calculated. Samples were ranked in order of their H (Mahalanobis) distance from 236 the mean spectrum of the entire sample set and the H > 3 criterion was applied. No H-237 outliers were found.

The average spectrum of the best of the different mathematically pre-treated spectra are shown in **Figure 4**. The statistical parameters of the final calibration equations are shown in **Table 3** where N is the number of samples used to obtain the calibration

equation after eliminating samples for chemical reasons (T criterion). The best of the different mathematical treatments, concentration range, and standard deviations are also shown. We checked the robustness of the method by applying NIRS technology to 16 samples that did not belong to the calibration group. **Table 3** also shows the results obtained in the external validation and the SEP values are presented. The percentage of error for total glucosinolates is similar to the errors previously reported for other matrices such as Indian mustard seeds¹¹.

The magnitude of these errors indicate the potential of the NIR spectroscopy for prediction of glucosinolates in freeze-dried broccoli and is satisfactory for the screening of glucoraphanin, glucobrassicin, 4-methoxyglucobrassicin, neoglucobrassicin and total glucosinolates. However, individual glucosinolates biosynthesis may follow essentially the same course so inter-correlations among them could be expected. It is not possible to ascertain if the results of NIRS models for predicting the composition of these glucosinolates were due to their real absorbance or the correlation between them.

255 Figure 5 shows the loading and the beta regression coefficients plots of the MPLS 256 model for total glucosinolates. The spectral regions around 2072 nm, 2220 nm, 2300 nm 257 and 2446 nm show important contributions to the model loadings. These could be 258 related to N-H bonds (2072 nm), to C-H bonds (2220 nm), to first overtones of O-H 259 alcohol functional group, to stretching-bending of CH-CH₂ bonds and C-O bonds (2300 260 nm) and to C-N bonds (2446 nm). These can be attributed to the chemical structure of the compounds analyzed ^{25, 26}. This confirms previous studies that showed important 261 262 contributions in the aforementioned spectral zones for determining glucosinolates in other matrices ^{11, 14, 15, 27}. 263

The potential of vis-NIRS for determination of glucosinolates in freeze-dried broccoli was examined. The procedure reported here presents a good potential for a fast and

266 reasonably inexpensive screening of almost all individual glucosinolates and total 267 glucosinolates present in broccoli and also to distinguish between broccoli cultivars. 268 Although different spectral ranges and the whole spectrum have been separately 269 evaluated to develop the quantitative models, in all cases the best results (i.e. the highest 270 RSQ and the lowest SECV and SEP values) were obtained using the NIR region 271 between 2000 and 2498 nm. In the case of the qualitative analysis, best results were 272 obtained used the whole spectrum (i.e. 400-2498 nm). Nonetheless, a comprehensive 273 study should be made in order to evaluate other factors such as different production 274 areas and varieties, in the complete development of these models.

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- 361

Figure captions

Figure 1. (A) Glucosinolete skeleton. (B) Sinigrin powder spectrum (1100-2498 nm). (C) Average and standard deviation spectra (400-2498 nm) of broccoli powders. (D) 2nd derivative spectra of the broccoli and sinigrin (1100-2000 nm).

Figure 2. Score plot of broccoli samples (calibration set) in the space defined by PC1 and PC2.

Figure 3. (A) Loading plot of the DPLS model. (B) Dummy variable plot of broccoli samples in the external validation of the DPLS method.

Figure 4. Average spectrum of different mathematical pre-treatments applied to broccoli powder spectra (2000-2498 nm).

Figure 5. (A) Loading and (B) beta regression coefficients plots of the MPLS model for total glucosinolate prediction.

 Table 1. Different Agricultural Managements Applied in this Study.

	Organic	Conventional
Soil treatment	 Four year rotation ley: red clover-broccolionion-carrot Additional organic fertilisation as indicated by soil test Winter cover crop 	 No rotation, plots, randomly allocated each year Mineral fertilisers as indicated by soil test No ley crop No winter crop
Pest control	 Certified organic seed Refuge area <i>Brassica</i> collars on broccoli Certified organic chemicals (eg. garlic spray) Weed control by mechanical methods 	 Chemically treated seed Chemical weed control–herbicides Chemical pest control–fungicides and insecticides

Table 2. Statistical Descriptors of Glucosinolate Contents Determined by MEKC-DAD $(\mu mol g^{-1} DM)$.

	\mathbf{GBS}^{a}	\mathbf{GRA}^{b}	4MGBS ^c	\mathbf{NGBS}^{d}	TGS^{e}
Min (n=64)	1.60	1.09	0.09	0.74	4.74
Max (n=64)	6.83	4.82	0.55	4.45	15.10
Mean (n=64)	3.92	2.83	0.28	1.97	9.53
SD (n=64)	1.09	0.87	0.10	0.86	1.87
SD replicates	0.88	1.01	0.08	0.59	1.80

^{*a*}GBS: glucobrassicin; ^{*b*}GRA: glucoraphanin; ^{*c*}4MGBS: 4-methoxyglucobrassicin; ^{*d*}NGBS: neoglucobrassicin; ^{*e*}TGS: total glucosinolates

Table 3. Calibration Statistical Descriptors for the	Models Developed in the NIR Zone Close to 2000-2498 nm.
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Spectral pre-treatments	Compounds	T outliers	PLS factors	\mathbf{N}^{a}	Mean	Est. Min	SD^b	Est. Max	\mathbf{SEC}^{c}	RSQ^d	SECV ^e	SEP ^f
					$(\mu mol g^{-1} DM)$					(µmol g	¹ DM)	
Detrend 1,4,4,1	\mathbf{GBS}^{g}	2	7	46	3.93	0.68	1.09	7.18	0.35	0.89	0.52	0.74
Detrend 1,4,4,1	\mathbf{GRA}^h	1	2	47	2.86	0.26	0.87	5.46	0.67	0.40	0.71	0.75
SNV 1,4,4,1	4MGBS ^{<i>i</i>}	0	5	48	0.28	0.00	0.11	0.62	0.06	0.69	0.07	0.05
MSC 2,8,6,1	NGBS ^j	0	5	48	1.93	0.00	0.86	4.51	0.48	0.68	0.66	0.74
None 2,10,10,1	\mathbf{TGS}^k	3	5	45	9.40	4.04	1.79	14.76	0.93	0.73	1.11	1.44

^{*a*}N: number of samples (calibration set); ^{*b*}SD: standard deviation; ^{*c*}SEC: standard error of calibration; ^{*d*}RSQ: coefficient of determination; ^{*e*}SECV: standard error of cross-validation; ^{*f*}SEP: standard error of prediction; ^{*g*}GBS: glucobrassicin; ^{*h*}GRA: glucoraphanin; ^{*i*}4MGBS: 4-methoxyglucobrassicin; ^{*i*}NGBS: neoglucobrassicin; ^{*k*}TGS: total glucosinolates





Figure 2.



Figure 3.



Figure 4.



ACS Paragon Plus Environment

Figure 5.



Graphic for table of contents

