



# Potential for a biogenic influence on cloud microphysics over the ocean: a correlation study with satellite-derived data

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Received: 5 January 2012 – Published in Atmos. Chem. Phys. Discuss.: 2 February 2012

Revised: 31 July 2012 – Accepted: 5 August 2012 – Published: 6 September 2012

**Abstract.** Aerosols have a large potential to influence climate through their effects on the microphysics and optical properties of clouds and, hence, on the Earth's radiation budget. Aerosol–cloud interactions have been intensively studied in polluted air, but the possibility that the marine biosphere plays an important role in regulating cloud brightness in the pristine oceanic atmosphere remains largely unexplored. We used 9 yr of global satellite data and ocean climatologies to derive parameterizations of the temporal variability of (a) production fluxes of sulfur aerosols formed by the oxidation of the biogenic gas dimethylsulfide emitted from the sea surface; (b) production fluxes of secondary organic aerosols from biogenic organic volatiles; (c) emission fluxes of biogenic primary organic aerosols ejected by wind action on sea surface; and (d) emission fluxes of sea salt also lifted by the wind upon bubble bursting. Series of global monthly estimates of these fluxes were correlated to series of potential cloud condensation nuclei (CCN) numbers derived from satellite (MODIS). More detailed comparisons among weekly series of estimated fluxes and satellite-derived cloud droplet effective radius ( $r_e$ ) data were conducted at locations spread among polluted and clean regions of the oceanic atmosphere. The outcome of the statistical analysis was that positive correlation to CCN numbers and negative correlation to  $r_e$  were common at mid and high latitude for sulfur and organic secondary aerosols, indicating both might be important in seeding cloud droplet activation. Conversely, primary aerosols (organic and sea salt) showed widespread positive correlations to CCN only at low latitudes. Correlations to  $r_e$  were more variable, non-significant or positive, suggesting that, despite contributing to large shares of the

marine aerosol mass, primary aerosols are not widespread major drivers of the variability of cloud microphysics. Validation against ground measurements pointed out that the parameterizations used captured fairly well the variability of aerosol production fluxes in most cases, yet some caution is warranted because there is room for further improvement, particularly for primary organic aerosol. Uncertainties and synergies are discussed, and recommendations of research needs are given.

## 1 Introduction

Aerosols have a great impact on the Earth's radiative budget by direct and indirect interactions with solar radiation. Direct effects occur through the absorption and the scattering of sunlight back into space, thus decreasing the solar energy that reaches the Earth's surface (Haywood and Boucher, 2000). Indirect effects occur through the big influence that aerosols have on the formation and optical properties of clouds. The concentration number, physical and chemical characteristics of aerosols modify cloud microphysics, namely the size and number of cloud droplets, and thereby influence cloud brightness (Twomey, 1977) and longevity (Albrecht, 1989), among other properties (Lohmann and Feichter, 2005). The most salient of these complex indirect effects is that, with the same amount of liquid water, clouds formed in the presence of larger amounts of small aerosols have larger albedo (Andreae and Rosenfeld, 2008). This influence is predicted to be more acute in air masses with fewer aerosols, such as those over

the oceans away from continental influence (Twomey, 1977; Andreae and Rosenfeld, 2008).

Among the natural climate-regulation processes hypothesized to act through aerosol–cloud interactions, the most notorious was postulated as the CLAW hypothesis (Charlson et al., 1987). CLAW suggested that oceanic emissions of dimethylsulfide (DMS) to the atmosphere could constitute a climate buffer through the regulation of the amount of solar radiation that reaches the Earth surface. DMS is formed in the surface ocean as a by-product of food-web processes and plankton ecophysiology (Simó, 2001; Stefels et al., 2007). Being a volatile compound, DMS is emitted from the ocean to the atmosphere where it is oxidized, mainly by OH radicals, to form  $\text{H}_2\text{SO}_4$ , non-sea-salt  $\text{SO}_4^{-2}$  and other hygroscopic products that may nucleate into particles and grow to act as cloud condensation nuclei (CCN) or seeds for cloud drop formation (Andreae and Rosenfeld, 2008). If planktonic production of DMS increases with increasing temperature or sunlight, and its emission eventually reduces solar radiation, DMS might be the core of a negative (self-regulating) feedback between the marine biosphere and climate (Charlson et al., 1987). The cross-discipline and cross-scale nature of the CLAW hypothesis has stimulated research in and across fields as apparently distant as plankton ecophysiology, air–sea gas exchange and aerosol–cloud interactions (Simó, 2001). Even though some key aspects of the hypothesis have met strong support, notably through regional evidences for coupling between phytoplankton blooms and cloud microphysics and optics (Meskhidze and Nenes, 2006; Krüger and Graßl, 2011), and global evidence for the sensitivity of DMS production to underwater light intensity (Vallina and Simó, 2007; Lana et al., 2012), the existence and significance of the proposed feedback loop as a climate buffer remains elusive (Levasseur, 2011) and has been strongly challenged (Quinn and Bates, 2011).

Despite DMS having drawn much of the attention because of the CLAW hypothesis, there might be other secondary organic aerosol (SOA) precursors (as yet largely unidentified) that are produced by similar mechanisms and might therefore play analogous roles (Liss and Lovelock, 2007). Marine SOA precursors are natural volatile organic compounds produced by plankton and photochemical reactions all over the oceans. Their emissions are, however, poorly constrained (Dachs et al., 2005; Simó, 2011; J. Dachs, unpublished data). Initially it was suggested that biogenic isoprene fluxes could account for a significant fraction of SOA (Palmer and Shaw, 2005; Meskhidze and Nenes, 2006), as occurs over densely vegetated land. Recently, a number of other SOA precursors have been identified, namely iodomethanes, amines, monoterpenes and non-methane hydrocarbons (Simó, 2011; and references therein). They cause increases in aerosol number and organic matter during periods of higher biological productivity (O'Dowd et al., 2004; Vaattovaara et al., 2006; Müller et al., 2009). With these emissions being poorly quantified, combinations of modeling and observations indicate

that known emission fluxes of marine volatiles cannot account for organic aerosol concentrations measured over the oceans, and important fluxes of primary organic aerosols (POA) are to be invoked (e.g., Arnold et al., 2009; Rinaldi et al., 2010). Actually, current estimates of POA and SOA precursor fluxes fall short at predicting organic aerosol levels through atmospheric models (Heald et al., 2005), thus calling for the existence of hitherto unaccounted marine sources of organic carbon. It should be noted that emissions of hydrophobic semi-volatile chemicals that accumulate in the sea surface microlayer and are released through volatilization or in association with sea-spray, such as alkanes and polycyclic aromatic hydrocarbons (Nizzetto et al., 2008; J. Dachs, unpublished data), have been overlooked as aerosol precursors.

Sea-spray is ejected into the atmosphere by the action of wind speed on the surface of the ocean. It is generated by bubble bursting and carries sea salt together with organic particles, both of which may act as CCN once in the atmosphere. These sea-spray POA are composed of virus, bacteria, biogenic polymeric and gel-forming organic material, mainly carbohydrates, and associated semi-volatiles (Brown et al., 1964; Bauer et al., 2003; Aller et al., 2005; Facchini et al., 2008b; Bowers et al., 2009; Hawkings and Russell, 2010; Russell et al., 2010; Orellana et al., 2011). Being all of biological origin, it is likely that POA precursors are somewhat proportional to plankton biomass and its most commonly used indicator, the chlorophyll *a* concentration. Indeed, the scarce existing measurements of POA in small marine aerosols (e.g., O'Dowd et al., 2004; Leck and Bigg, 2007) suggest that they are more abundant in air masses downwind of chlorophyll *a* rich waters, particularly if strong winds enhance the lift of sea spray. The biological POA source may be reinforced by the action of surfactants exuded by phytoplankton, which lower surface tension and may facilitate the ejection of small aerosols.

Sea salt (SS) is also ejected off the sea surface as sea-spray. It has an important presence in the marine atmosphere, contributing 44 % of the global aerosol optical depth (O'Dowd and de Leeuw, 2007). Sea salt was overlooked in the original CLAW hypothesis because it was thought to be composed of too few and too big particles to have a significant influence in cloud microphysics despite their high hygroscopicity (Le Queré and Saltzman, 2009). Today, however, it is known that a non-negligible proportion of sea salt particles belong to the small size fraction that makes them effective as CCN (Andreae and Rosenfeld, 2008; de Leeuw et al., 2011); moreover, sea salt aerosols play a role in the atmospheric chemistry of gaseous aerosol precursors (von Glasow, 2007).

When the CLAW hypothesis was published (Charlson et al., 1987), DMS was thought to be the main, if not the only, source of new CCN in the pristine ocean. This scenario has been complicated with the discovery of the aforementioned wide range of volatiles and particles with potential to influence cloud condensation (O'Dowd et al., 1997; Andreae and Rosenfeld, 2008). Further complication comes from the

widespread occurrence of continental aerosols in the marine atmosphere, co-existing with marine aerosols in internal and external mixtures (Andreae and Rosenfeld, 2008). Any attempt to evaluate the role of the marine biosphere in cloud formation and the radiative budget on a global scale must therefore be able to distinguish between biotic and abiotic, and between anthropogenic and continental sources of the marine aerosols, and describe their geographical, temporal, concentration and size distributions.

In the present paper, we make use of satellite data and ocean climatologies to parameterize the variability in the flux rates of aerosol formation from ocean-leaving DMS and SOA precursors. We also parameterize the emission fluxes of POA and sea salt from the surface ocean. These aerosol sources are compared with the satellite-derived amount of potential CCN and size of cloud droplets on both a monthly and weekly bases over a 9-yr period. Temporal correlations at both the global scale and representative locations are analyzed as a means to assess the potential role of each marine aerosol source in driving the variability of cloud microphysics.

## 2 Data and methodology

### 2.1 Biogenic sulfur aerosol flux

The global ocean DMS concentration data used in this study is the L10 DMS climatology (Lana et al., 2011), which consists of global monthly maps of concentrations distributed in  $1^\circ \times 1^\circ$  latitude-longitude pixels. This climatology, an update of that from 1999 (Kettle et al., 1999), was constructed using exclusively the surface DMS concentration measurements (approx. 47 000) available in the Global Surface Seawater DMS database (GSSDD), maintained at the NOAA-PMEL (<http://saga.pmel.noaa.gov/dms>) and fed with contributions of individual scientists from all over the world.

Ocean-to-atmosphere mass emission fluxes ( $F_{\text{DMS}}$ ) were computed with the climatological surface DMS concentrations and the corresponding gas transfer coefficients, which were parameterized taking into account both the water and the air side resistances, as described in McGillis et al. (2000). The parameterization used for the water side DMS gas transfer coefficient was that suggested by Nightingale et al. (2000), corrected to the Schmidt number of DMS according to Saltzman et al. (1993). The air side transfer coefficient calculation was based on the neutral stability water vapour bulk transfer coefficients from Kondo (1975). The computation of the emission flux also considers the sea surface temperature (SST) and the non-linear influence of wind speed on air-water mass transfer coefficients.

Monthly global,  $1^\circ \times 1^\circ$  climatologies of SST and wind speed were obtained from the NCEP/NCAR reanalysis project (<http://www.esrl.noaa.gov>) for the period 1978–2008, as most of the DMS data available in the database are from that period. Because the water side gas transfer coef-

ficient has a nonlinear dependence on wind speed, the use of monthly averaged wind speeds introduces a bias into the flux calculation. The flux was corrected for this effect assuming that instantaneous winds follow a Weibull distribution, using the approach of Simó and Dachs (2002). When a multi-year weekly series of  $F_{\text{DMS}}$  was required, it was calculated from deconvoluted, climatological monthly seawater DMS concentration and weekly wind speed and SST data.

To compute a proxy of DMS mass oxidation fluxes in the atmosphere, we followed the same approach as Vallina et al. (2006). The hydroxyl radical (OH) is the main atmospheric DMS oxidant (Savoie and Prospero, 1989; Chin et al., 2000; Barrie et al., 2001; Kloster et al., 2006). Daytime DMS oxidation initiated by OH produces, among other products, aerosol-forming methanesulfonic acid (MSA), sulfuric acid and its corresponding anion non-sea-salt sulfate ( $\text{nss-SO}_4^{2-}$ ). Therefore, the amount of DMS-derived aerosols that can act as CCN depends not only on the DMS flux but also on OH concentrations. We used a monthly global distribution of OH concentration data in the marine boundary layer (MBL) obtained from the GEOS-CHEM model run by the Atmospheric Chemistry Group at Harvard University for the year 2001 (Fiore et al., 2003).

The potential source function for CCN-forming DMS oxidation can be parameterized as

$$\gamma_{\text{DMSflux}} = \gamma \cdot F_{\text{DMS}}, \quad (1)$$

where  $F_{\text{DMS}}$  is the ocean-to-atmosphere emission flux and  $\gamma$  is a dimensionless parameter varying between 0 and 1 that gives the efficiency of DMS oxidation as function of the ratio between OH and  $F_{\text{DMS}}$ , following an equation of the form

$$\gamma = x / (k_S + x), \quad (2)$$

where

$$x = \text{OH} / F_{\text{DMS}} \quad (3)$$

and  $k_S$  is a constant that corresponds to the value of  $x$  that gives a  $\gamma$  of 0.5 (a DMS oxidation efficiency of 50 %).

In the absence of OH (or very low OH) concentrations relative to the  $F_{\text{DMS}}$ , most (or at least part) of the  $F_{\text{DMS}}$  cannot be converted to  $\text{CCN}_{\text{DMS}}$  (in these situations  $\gamma$  will be low). On the other hand, if OH concentrations are in excess, all the  $F_{\text{DMS}}$  can be oxidized to  $\text{CCN}_{\text{DMS}}$  (in these situations  $\gamma$  will be close to one). The form of the equation accounts for an asymptotic behavior; as the availability of OH for DMS oxidation (the variable  $x$ ) increases, a higher fraction of the  $F_{\text{DMS}}$  can be converted to  $\text{CCN}_{\text{DMS}}$ , approaching asymptotically the upper limit of gamma (for which all  $F_{\text{DMS}}$  is converted to  $\text{CCN}_{\text{DMS}}$ ). Therefore,  $\gamma_{\text{DMSflux}}$  (in  $\mu\text{g m}^{-2} \text{d}^{-1}$ ) gives the amount of biogenic sulfur potentially available for CCN production. Following Vallina et al. (2007), we took the value of  $k_S$  derived from the annual averages of OH,  $F_{\text{DMS}}$  and  $\gamma$  over the Southern Ocean. Note that Vallina et

al. (2007) validated the capability of this parameterization to reproduce the seasonality of DMS oxidation by comparing it against monthly series of MSA concentrations in aerosols at 15 aerosol sampling stations of the world oceans. MSA is the most appropriate for validation purposes because it is formed exclusively from DMS oxidation, whereas nss-SO<sub>4</sub> results from DMS, combustion sources and volcanic emissions altogether. For comparison purposes, we used the monthly aerosol MSA climatologies of Mace Head (Ireland), Hedo Okinawa (Japan), Palmer Station (Antarctica), Prince Edward Island (Southern Ocean) and Shemya Island (Aleutians) from the University of Miami network of aerosol sampling stations (Chin et al., 2000), and monthly rainwater MSA concentrations at Amsterdam Island (Sciare et al., 1998).

## 2.2 Marine SOA flux

We parameterized the variability of the SOA production rate with the same approach used for the DMS-derived aerosol, computing the emission flux and the oxidation rate of its precursor. Unlike biogenic sulfur aerosols, however, marine SOA has a number of potential precursors, namely a myriad of volatile and semi-volatile organic compounds (VOCs) not yet fully characterized, which includes isoprene (Bonsang et al., 1992), terpenes (Yasaa et al., 2008), amines (Facchini et al., 2008a), alkylnitrates (Chuck et al., 2002), alkanes (J. Dachs, unpublished data), among others (Bonsang et al., 2008). Since no global climatology of surface ocean VOCs exists, and because both the air-sea transfer coefficient and the atmospheric oxidation are dependent on the chemical composition of the precursor mix, which is unknown and probably very variable, an accurate parameterization is not possible. To overcome this limitation, we considered that SOA-forming VOCs are closely associated with and proportional to the concentration of chlorophyll *a* (Chl *a*; Baker et al., 2000). We took isoprene as a surrogate of SOA precursors, and parameterized the emissions of total volatile and semi-volatile precursors as if it was isoprene. Isoprene concentration in the surface ocean has been found roughly proportional to Chl *a*, at least much more so than that of DMS (Baker et al., 2000; Bonsang et al., 1992; Broadgate et al., 1997; Palmer and Shaw, 2005). Therefore, we computed the SOA-forming VOCs concentration in surface seawater from the Chl *a* concentration. The weekly and monthly Chl *a* data for the period 2001–2009 were obtained from the SeaWiFS Project (GSFC, NASA) and transformed into 1° × 1° latitude-longitude spatial resolution.

Then, we computed the VOC emission flux (μg m<sup>-2</sup> d<sup>-1</sup>) as

$$F_{\text{VOC}} = k_w(u, \text{SST}) \cdot \text{Chl } a \cdot b, \quad (4)$$

where  $k_w$  is the transfer coefficient of isoprene, calculated following Palmer and Shaw (2005), and  $b$  is a unit conversion constant (i.e., a proportionality constant between the

concentrations of Chl *a* and SOA-forming VOCs). SST and wind speed ( $u$ ) climatologies were derived from the same data sources as for the  $F_{\text{DMS}}$  for the 2001–2009 period.

VOC oxidation into SOA (SOAflux, in μg m<sup>-2</sup> d<sup>-1</sup>) was computed similarly to the  $\gamma$ DMSflux, assuming OH is the main oxidant, which is the case for isoprene and most organics at the low NO<sub>x</sub> levels of the marine atmosphere (Kroll et al., 2006; Carlton et al., 2009):

$$\text{SOAflux} = \gamma \cdot F_{\text{VOC}} \quad (5)$$

Where

$$\gamma = x / (k_S + x) \quad (6)$$

and

$$x = \text{OH} / F_{\text{VOC}}. \quad (7)$$

## 2.3 Marine primary aerosol fluxes: sea salt and POA

We parameterized the flux of accumulation mode sea spray particles ejected from the sea surface by bubble bursting. We focused on the accumulation mode particles (0.1–1 μm in diameter) because these are the ones abundant enough to act as CCN at the top of the MBL (Andreae and Rosenfeld, 2008). They are typically constituted of internal mixtures of sea salt and organics (Leck and Bigg, 2005). The emission flux of submicron-sized sea spray particles ( $F_{\text{N(SSA)}}$ , in 10<sup>6</sup> part m<sup>-2</sup> s<sup>-1</sup>) was parameterized as a function of the wind speed, following the revision of Geever et al. (2005) proposed by O'Dowd et al. (2008):

$$F_{\text{N(SSA)}} = (1.854 \times 10^{-3}) \cdot u_{22}^{2.706}. \quad (8)$$

This, in 10<sup>6</sup> part m<sup>-2</sup> d<sup>-1</sup>, becomes

$$F_{\text{N(SSA)}} = 160.19 \cdot u_{22}^{2.706},$$

where  $u_{22}$  is the wind speed at 22 m above the sea surface. It was calculated from the wind speed at 10 m, derived from the NCEP/NCAR reanalysis project for 2001–2009, using the equation formulated by Hsu et al. (1994).

To convert this particle flux into mass fluxes of submicron-sized sea salt and organics, we first converted it into a volume flux ( $F_{\text{V(SSA)}}$ , in 10<sup>6</sup> μm<sup>3</sup> m<sup>-2</sup> d<sup>-1</sup>) by considering that, at temperate temperatures, accumulation mode particle numbers are dominated by particles of 300 nm size (diameter), and their source function is about the mean of that of the accumulation mode particles (O'Dowd and de Leeuw 2007).

$$\begin{aligned} F_{\text{V(SSA)}} &= F_{\text{N(SSA)}} \cdot (\text{particle volume}) \\ &= F_{\text{N(SSA)}} \cdot 0.01414. \end{aligned} \quad (9)$$

The mass fraction of organics in the sea spray (OM<sub>SSA</sub>) was calculated following Eq. (3) of Gantt et al. (2011), who parameterized it as a function of the Chl *a* concentration, surface wind speed and the particle diameter, which we set at

300 nm. We used the Chl *a* and wind speed climatologies mentioned above. The mass fraction of sea salt in the sea spray ( $OM_{SSA}$ ) is the complementary to the fraction of organics, i.e.,  $(1 - OM_{SSA})$ .

Considering that the density of organics is  $1 \text{ g cm}^{-3}$  and that of sea salt is  $2.165 \text{ g cm}^{-3}$  (Gantt et al., 2011), the mass flux of sea spray in the accumulation mode ( $F_{SSA}$ , in  $\mu\text{g m}^{-2} \text{ d}^{-1}$ ) is

$$F_{SSA} = F_{V(SSA)} \cdot (2.165 - 1.165 OM_{SSA}). \quad (10)$$

Therefore, the mass fluxes of POA ( $F_{POA}$ , in  $\mu\text{g m}^{-2} \text{ d}^{-1}$ ) and sea salt ( $F_{SS}$ , in  $\mu\text{g m}^{-2} \text{ d}^{-1}$ ) are

$$F_{POA} = \text{POAflux} = F_{SSA} \cdot OM_{SSA} \quad (11)$$

$$F_{SS} = \text{SSflux} = F_{SSA} \cdot (1 - OM_{SSA}). \quad (12)$$

## 2.4 Cloud condensation nuclei (CCN) numbers and cloud properties

Column-integrated CCN number, liquid cloud droplet effective radius ( $r_e$ , ratio of third to second moment of the satellite-derived cloud drop size distribution), liquid cloud water path (LWP) and cloud top pressure (CTP) data were obtained from the Level 3 MODIS Terra Collection 5 at the NASA Goddard Space Flight Center Level 1 and Atmosphere Archive Distribution System (DAADS). The data resolution is  $1^\circ$ , with a quality assurance of 1 km. Both weekly and monthly data for the 2001–2009 period were used.

## 2.5 Data comparison and correlations

Temporal co-variations between paired variables (namely aerosol fluxes and CCN numbers or  $r_e$ ) were explored by the running-window correlation method (Vallina et al., 2006). For each month and each  $1^\circ \times 1^\circ$  position, we substituted the value of the targeted variable for the average of the 49 values of a  $7^\circ \times 7^\circ$  window located around the position. Then we constructed the monthly series of the variable over the 2001–2009 period (9 yr, 108 months) for each  $1^\circ \times 1^\circ$  position. With these 108 data for each variable for each  $1^\circ \times 1^\circ$  pixel we computed the Spearman's correlation between paired variables, obtaining a global map of correlation coefficients. Correlations were significant at 95 % confidence level approximately when  $|\rho| > 0.2$ .

For the case studies, we averaged the computed aerosol fluxes and the satellite-derived  $r_e$  values over the entire 40–60° S latitudinal band (Southern Ocean), or over a  $7^\circ \times 7^\circ$  window located right upwind of each aerosol-sampling station, according to the predominant wind direction. Weekly data series of paired variables were compared throughout the 9 yr (414 weeks) by calculating the Spearman's rank correlation coefficient  $\rho$ . Correlations were significant at 95 % confidence level approximately when  $|\rho| > 0.1$ . We repeated the computation after eliminating all pixels with a LWP beyond

a  $15 \text{ g m}^{-2}$  range in the lower quartile. We repeated it again after deleting the pixels with CTP higher than 680 hPa, and still a third time using only the pixels that fulfilled the following two conditions: LWP in the narrow low range and  $CTP > 680 \text{ hPa}$ . The time series were plotted in standardized form (i.e., subtracting the mean and dividing by the standard deviation).

When, for validation purposes, DMS oxidation flux data were to be compared with in situ aerosol MSA concentrations, they were collapsed into monthly means over one climatological year by simple averaging. The same procedure was employed for comparing SOAflux, POAflux or SSflux data with aerosol mass concentrations at sampling stations. In the case of the validation against the oceanic transect, SOAflux and POAflux were computed in running  $7^\circ \times 7^\circ$  windows along the transect for the same month and year of the ship-based data.

## 3 Results

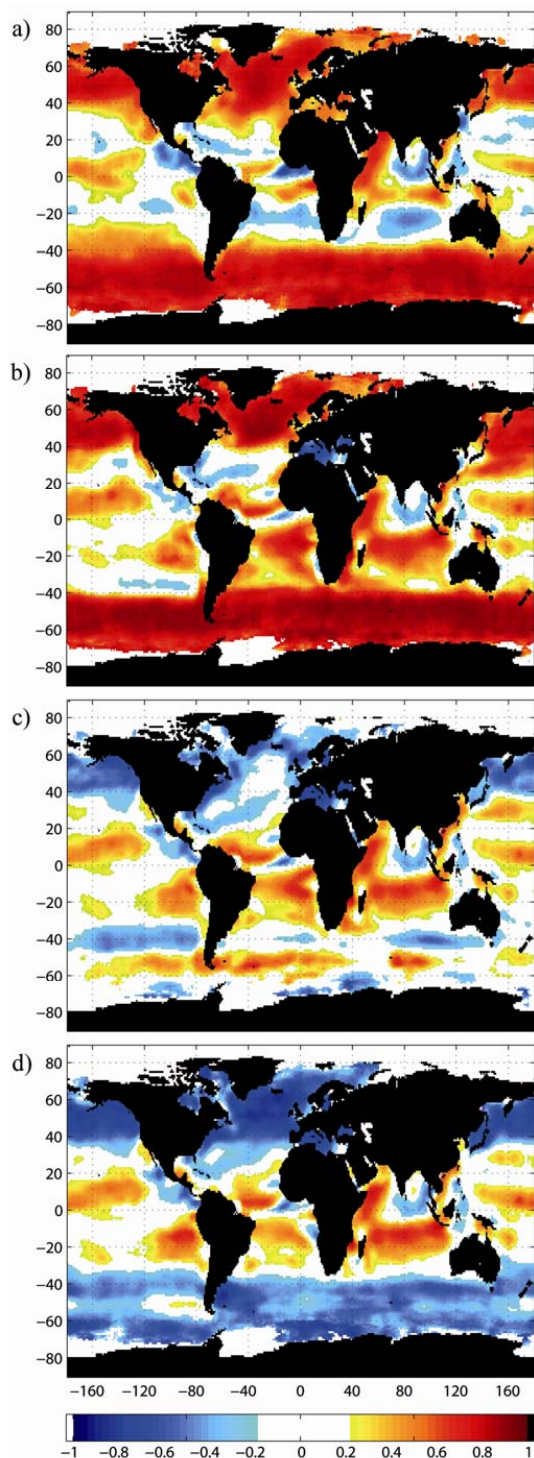
### 3.1 Global maps of seasonal correlations between aerosol fluxes and CCN numbers

To investigate if there is a widespread seasonal regional relationship between secondary aerosol precursors or primary aerosols ejected from the ocean surface and the number concentration of particles potentially acting as CCN, we constructed global maps of correlation coefficients of monthly data over the period 2001–2009 (Fig. 1). CCN number concentrations were actually those provided by MODIS, i.e., columnar numbers of particles in the accumulation mode size fraction (Remer et al., 2005), which can be regarded as “potential CCN”.

#### 3.1.1 DMS oxidation flux versus CCN

Figure 1a shows that there is a strong positive correlation between the DMS oxidation flux ( $\gamma\text{DMSflux}$ ) and satellite-derived CCN over temperate and high latitudes and in the near-equatorial South Atlantic, South Indian and North Pacific oceans. A uniform positive correlation is particularly remarkable in the southern oceans south of  $30^\circ \text{ S}$ . Positive correlation implies that the higher the DMS oxidation flux in the atmosphere, such that there is larger potential for biogenic sulfur aerosol formation, the larger the number of particles acting as CCN. Indeed, over most of the global oceans  $\gamma\text{DMSflux}$  increases from winter to summer as a result of a generalized increase of the seawater DMS concentration (Lana et al., 2011) and a concomitant increase in the OH concentration in the marine boundary layer (Vallina et al., 2006).

Correlation between  $\gamma\text{DMSflux}$  and CCN turns non-significant or slightly negative in the subtropical oceans. Some of these regions (off Southern and Eastern Asia, Western Africa, Central America) are downwind of major



**Fig. 1.** Global maps of Spearman's rank correlation coefficients between monthly series (2001–2009,  $n = 108$ ) of MODIS-derived CCN number concentrations and the parameterized oceanic fluxes of: (a) DMS emission and oxidation in the atmosphere ( $\gamma$ DMSflux), (b) SOA formation in the atmosphere (SOAflux), (c) POA emission (POAflux) and (d) sea salt emission (SSflux). White areas show non-significant correlations.

pollution sources heavily influenced by continental aerosols and particularly by small combustion-derived aerosols (Jurado et al., 2008), which are as good as natural marine aerosols as CCN. The seasonality of combustion aerosols may be different from that of marine biogenic aerosols, and varies from region to region. Besides, the subtropical oceans around  $20^\circ$  are, among the large biogeochemical provinces (Longhurst, 2007), those with the lowest DMS concentrations all year round (Lana et al., 2011) and a weak seasonality. Since our statistical analysis is mainly based on seasonal correlations, any uncertainty associated with the monthly variables can generate a noise with larger amplitude than the underlying seasonality, thus affecting the correlation coefficient. Overall, the correlation map of Fig. 1a is similar to that obtained by Vallina et al. (2007) using a previous version of the DMS concentration database.

### 3.1.2 SOA precursors versus CCN

The correlation map between the chlorophyll *a* associated SOAflux and CCN (Fig. 1b) is quite similar to that of the  $\gamma$ DMSflux (Fig. 1a), with more positive correlations in the subtropics. In general, positive correlation dominates, particularly at latitudes higher than  $40^\circ$ , and non-significant or negative correlation occur sparsely at lower latitudes. Important differences occur in the South Atlantic and Indian Oceans between  $20^\circ$  S and  $40^\circ$  S, where the SOAflux exhibits mostly positive correlation while the  $\gamma$ DMSflux gives non-significant or negative correlation. The correlation turns slightly negative in continental air-influenced regions such as off Southern Asia, Central America and eastern North America.

### 3.1.3 Sea spray aerosol versus CCN

Unlike those of  $\gamma$ DMSflux and SOAflux, the correlation of marine submicron primary organic aerosols (POAflux) to CCN does not show a dominant pattern (Fig. 1c). Correlation coefficients change from positive to negative in broad latitudinal bands. North of  $40^\circ$  N, correlations are mostly negative. Between  $30^\circ$  N and  $30^\circ$  S, correlations are mostly positive and similar to those of the SOAflux. There is a band of negative correlation around  $40^\circ$  S, next to a band of positive correlation at  $50$ – $60^\circ$  S. Overall, correlations at higher latitudes are different, in some cases even opposite, from those of the SOAflux.

The correlation map of the submicron sea salt emission flux (SSflux) to CCN (Fig. 1d) resembles those of the SOAflux and the POAflux at low latitudes ( $30^\circ$  N– $30^\circ$  S). This was expected because these are regions of general low productivity (Chl *a*), where the SOAflux and POAflux parameterizations depend mainly on the wind speed, and so does the SSflux. However, at high latitudes ( $> 30^\circ$ ), the SSflux correlates negatively to CCN, much more strongly than the POAflux and opposite to the SOAflux.

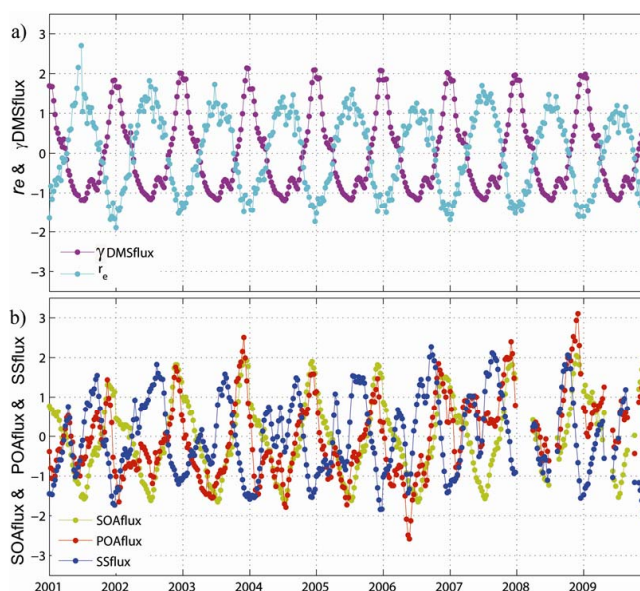
### 3.2 Weekly data correlation to cloud droplet size ( $r_e$ ): case studies

If we are to explore the potential influence of marine aerosol emissions on cloud microphysics through their regulation of CCN number concentrations, cloud droplet size is a good candidate variable to use because it is provided by MODIS as the liquid cloud droplet effective radius ( $r_e$ , ratio of third to second moment of the cloud drop size distribution, in  $\mu\text{m}$ ). According to the indirect aerosol effect, variations in CCN numbers should have an opposite effect on droplet size for a given amount of liquid water (Twomey, 1977; Andreae and Rosenfeld, 2008); therefore, the existence and strength of negative correlations between aerosol fluxes and  $r_e$  will tell us about the potential for a biogenic influence on clouds. Two important premises for the indirect effect to occur are: (a) that the examined clouds are low, i.e., potentially affected by ocean-leaving substances, and (b) that the liquid water content of clouds remains the same, otherwise  $r_e$  will vary with cloud water. This precludes a global correlation analysis like that that we did for CCN numbers, and prompts a closer examination of case studies.

We chose five regions of the ocean that represent different climate regimes and exhibit a range of quantitative influences of continental aerosol. The first region is the Southern Ocean as a whole (circumpolar). The other four case studies were defined as  $7^\circ \times 7^\circ$  (latitude  $\times$  longitude) windows upwind of Amsterdam Island (South Indian), Shemya Island (subarctic North Pacific), Mace Head-Ireland (temperate northeast Atlantic) and Cape Hedo-Okinawa (temperate northwest Pacific). In all regions we computed the Spearman's rank correlations between weekly series of MODIS  $r_e$  and parameterized  $\gamma\text{DMSflux}$ ,  $\text{SOAflux}$ ,  $\text{POAflux}$  and  $\text{SSflux}$  for the period 2001–2009.

#### 3.2.1 Southern Ocean

Several authors have suggested that if a causal relationship between marine emissions and cloud microphysics occurs today, it should be most visible in the Southern Ocean (Meskhidze and Nenes, 2006; Krüger and Graßl, 2011). The reason is that, due to the lack of continental land masses and large pollution sources, and due to the strong circumpolar winds, the Southern Ocean underlies one of the most pristine atmospheres on Earth, with the additional particularity of being rather uniform over a broad latitudinal band and all throughout longitudes. Further, the seasonalities of both marine productivity and aerosol and cloud variables are very marked and repeated over years. Indeed, strong positive correlations have been reported between CCN numbers and the DMS oxidation fluxes in the Southern Ocean (Vallina et al., 2007). Therefore, this region makes an interesting case study for a closer examination of correlations among marine aerosol emission and formation fluxes and cloud droplet size.



**Fig. 2.** Temporal evolution (2001–2009) of standardized values of (a) weekly satellite-derived  $r_e$  and  $\gamma\text{DMSflux}$ ; (b) weekly SOA flux, POA flux and SSflux averaged over the entire Southern Ocean ( $40\text{--}60^\circ\text{S}$ ). Correlation coefficients are presented in Table 1.

Nine-years of averaged weekly series for  $\gamma\text{DMSflux}$ ,  $\text{SOAflux}$ ,  $\text{POAflux}$  and  $\text{SSflux}$  data were correlated to average  $r_e$  data over the full  $40\text{--}60^\circ\text{S}$  band (Fig. 2, Table 1). These were computed four times: (1) with all data available; (2) weekly averaging only the  $1^\circ \times 1^\circ$  pixels that had a liquid water path (LWP) within a narrow low range:  $105\text{--}120\text{ g m}^{-2}$ ; (3) weekly averaging only the pixels that had clouds with top pressures  $> 680\text{ hPa}$ , i.e., the low clouds; and (4) weekly averaging only the pixels that fulfilled the latter two conditions. Correlation coefficients for the four cases are presented in Table 1. Weekly  $r_e$  ( $n \sim 400$ ) showed a clear annual pattern, repeated with great similarity year after year (Fig. 2a): larger cloud droplets in austral winter (May through August) and smaller in summer (December–February). The series of  $\gamma\text{DMSflux}$  and  $\text{SOAflux}$  showed the opposite seasonality, with Spearman's rank coefficients of correlation to  $r_e$  over  $-0.90$  ( $n = 409$ ). This agrees with the strong positive correlations found to CCN numbers in the region (Fig. 1a, b). When only low clouds and a narrow range of LWP were considered, correlations still rendered coefficients over  $-0.80$  (Table 1). These results indicate that the more DMS and organic volatiles are emitted into the atmosphere and oxidized, the smaller cloud droplets are, which is consistent with the potential role of marine biogenic trace gases in aerosol nucleation and growth.

Low negative correlation was found between the  $\text{POAflux}$  and  $r_e$  ( $\rho = -0.38$ ,  $n = 384$ ; Fig. 2b), which decreased to non-significant values when low clouds were selected for (Table 1). Even though  $\text{POAflux}$  exhibited some seasonality, this was less marked (less unimodal) than those of  $r_e$ ,

**Table 1.** Spearman's rank coefficients of correlation between computed weekly marine aerosol production fluxes and satellite-derived cloud droplet radius ( $r_e$ ) in case study regions. Values in parantheses are number of weeks with data.

Location	Variable correlated to $r_e$	All data (2001–2009)	Low-range LWP only <sup>a</sup>	Low clouds only <sup>b</sup>	Low-range LWP and low clouds only
Southern Ocean (40–60° S circumpolar band)	$\gamma$ DMSflux	−0.93 (409)	−0.90 (408)	−0.90 (409)	−0.87 (408)
	SOAflux	−0.92 (383)	−0.89 (380)	−0.89 (383)	−0.84 (380)
	POAflux	−0.38 (384)	−0.35 (380)	−0.07 (384)	−0.06 (380)
	SSflux	0.63 (384)	0.57 (380)	0.70 (384)	0.64 (380)
Amsterdam Island	$\gamma$ DMSflux	−0.80 (414)	−0.68 (324)	−0.63 (180)	−0.57 (78)
	SOAflux	−0.78 (381)	−0.63 (277)	−0.65 (160)	−0.64 (62)
	POAflux	−0.35 (386)	−0.18 (293)	0.05 (165)	0.11 (63)
	SSflux	0.69 (386)	0.63 (293)	0.59 (165)	0.77 (63)
Shemya Island	$\gamma$ DMSflux	−0.60 (413)	−0.50 (317)	−0.69 (219)	−0.66 (141)
	SOAflux	−0.63 (362)	−0.55 (258)	−0.70 (187)	−0.63 (116)
	POAflux	0.15 (368)	0.12 (280)	0.19 (211)	0.23 (135)
	SSflux	0.41 (368)	0.30 (280)	0.59 (211)	0.60 (135)
Mace Head	$\gamma$ DMSflux	−0.13 (414)	−0.16 (266)	−0.13 (338)	−0.12 (217)
	SOAflux	−0.25 (314)	−0.15 (242)	−0.20 (270)	−0.12 (197)
	POAflux	0.13 (316)	0.08 (246)	0.14 (271)	0.05 (201)
	SSflux	0.34 (316)	0.21 (246)	0.30 (271)	0.16 (201)
Cape Hedø	$\gamma$ DMSflux	0.36 (414)	0.37 (352)	0.21 (336)	0.20 (257)
	SOAflux	−0.54 (392)	−0.47 (334)	−0.53 (313)	−0.57 (238)
	POAflux	−0.24 (393)	−0.14 (335)	−0.31 (314)	−0.27 (239)
	SSflux	−0.06 (393)	0.02 (335)	−0.15 (314)	−0.12 (239)

<sup>a</sup> LWP within  $15 \text{ g m}^{-2}$ , at the lower quartile of the annual variability (see text); <sup>b</sup> cloud top pressure > 680 hPa.

$\gamma$ DMSflux and SOAflux, with minimal values in late summer, maximal values in late spring, and a lot of intra- and interannual variability. The SSflux, though also variable, essentially followed the seasonality of the wind speed, which was in positive phase with that of  $r_e$  ( $\rho = 0.63$ ; Fig. 2b, Table 1).

### 3.2.2 Amsterdam Island region

Amsterdam Island (37.8° S–77.5° E) is located in the remote Southern Indian Ocean. As in the Southern Ocean,  $r_e$  showed a unimodal seasonal pattern with smaller droplets in summer. The Spearman's rank correlations of  $\gamma$ DMSflux and SOAflux to  $r_e$  were strongly negative, with coefficients close to  $-0.80$  that decreased to ca.  $-0.60$  when low clouds and a narrow LWP range ( $100\text{--}115 \text{ g m}^{-2}$ ) were considered (Table 1). The POAflux showed low negative correlation with all data ( $\rho = -0.35$ ), but non-significant for the restricted cloud conditions. The SSflux was positively correlated to  $r_e$  in all conditions (Table 1).

### 3.2.3 Shemya Island region

Shemya (52.42° N–174.06° E) is one of the Aleutian Islands located in the high-latitude central North Pacific. Savoie et al. (1989) analyzed aerosol composition and concluded that the station is influenced by continental aerosol sources during the winter, when the input from biological sources is minimal. In our analysis,  $r_e$  showed a less unimodal seasonality than that in more pristine regions; in spite of this, weekly  $\gamma$ DMSflux and SOAflux were significantly inversely correlated to  $r_e$  (over  $-0.60$ ), even when only low clouds and low LWP ( $100\text{--}115 \text{ g m}^{-2}$ ) were considered (Table 1). In these latter conditions, the POAflux was not significantly correlated to  $r_e$ , and the SSflux showed a positive correlation (0.60, Table 1).

### 3.2.4 Mace Head region

Mace Head (53.3° N–9.9° W) is located on the southwestern coast of Ireland. According to O'Dowd et al. (2004), this station allows for a sound sampling of air representative of the open ocean if precaution is taken to avoid land-crossing air masses. According to satellite observations, a



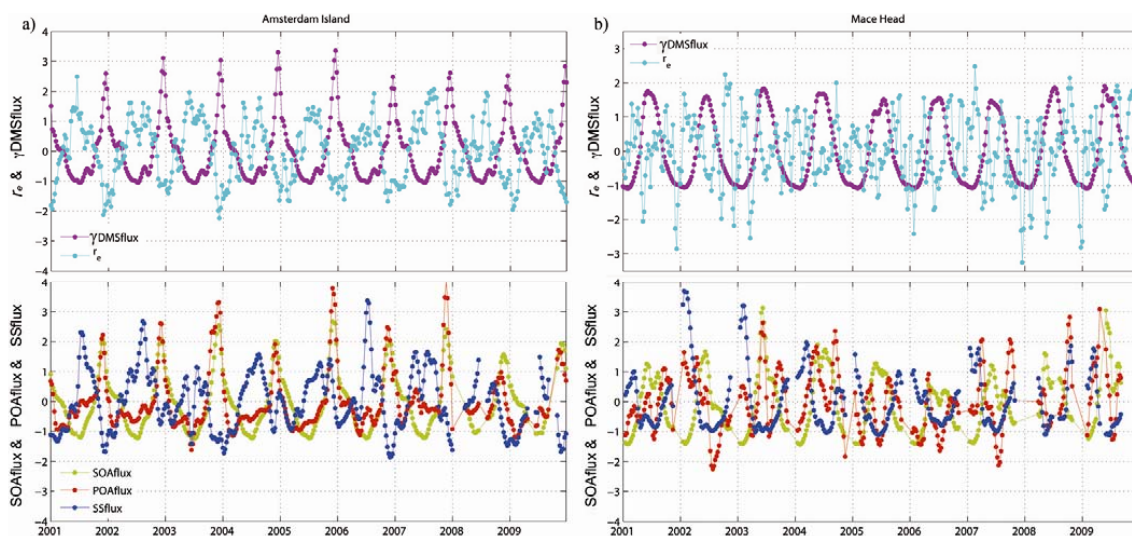


Fig. 3. Same as Fig. 2 but for the  $7^\circ \times 7^\circ$  regions upwind of (a) Amsterdam Island, and (b) Mace Head.

large part of the northeast Atlantic receives important loads of continental aerosols, including urban and industrial sources, especially during spring and summer (Jurado et al., 2008). The  $\gamma$ DMSflux, SOAflux, POAflux and SSflux showed low correlations to  $r_e$  (Fig. 3b), which all turned non-significant when only low clouds and low LWP ( $100\text{--}115\text{ g m}^{-2}$ ) were considered (Table 1). These results can be regarded as indicative of the large influence of continental aerosols on driving the variability of cloud microphysics in the region.

### 3.2.5 Cape Hedo region

Cape Hedo is the northern tip of Okinawa Island ( $26.9^\circ\text{ N--}128^\circ\text{ E}$ ), located between Japan main islands and Taiwan. The station is downwind of important urban and industrial aerosol sources, and it is also affected by biomass burning and Asian dust transport (Takami et al., 2006).  $\gamma$ DMSflux showed a weak positive correlation to  $r_e$  on a weekly basis, with their seasonalities lagged by a few weeks (Table 1). Among the other marine aerosol sources, only the SOAflux, but not POAflux and the SSflux, showed a significant negative correlation to  $r_e$ , also when only low clouds and low LWP ( $70\text{--}95\text{ g m}^{-2}$ ) were considered (Table 1).

### 3.3 Ground validations of aerosol flux seasonalities

Even though we used recently developed, state-of-the-art parameterizations of the aerosol source functions, they are based on local or experimental observations and, in all cases, they make a number of assumptions with associated uncertainties. Some kind of validation is, therefore, warranted. No data of particle formation or emission fluxes exist, let alone of type-segregated aerosols. Since we are not interested in validating the quantitative aspects of our computations (i.e., the absolute mass fluxes) but rather our capability to com-

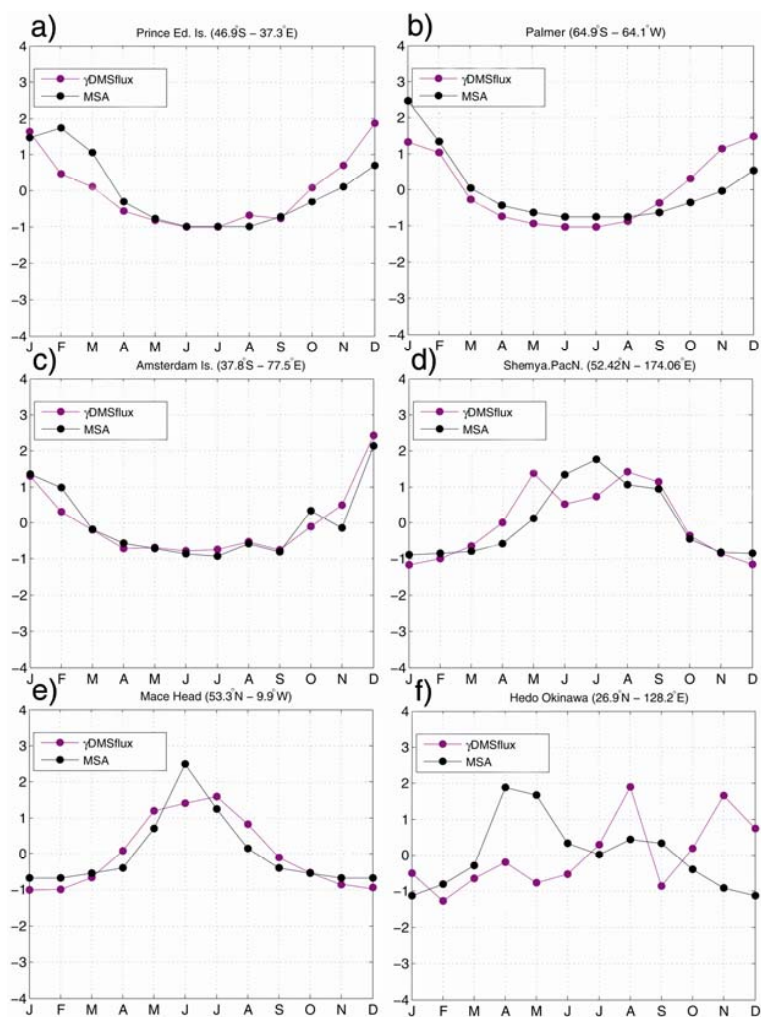
pute their time evolution (mainly their seasonality), validation can rely on aerosol component concentrations measured at ground sample stations in the ocean.

#### 3.3.1 The $\gamma$ DMSflux

For the contribution of DMS oxidation to aerosol mass, we are lucky that methane sulfonic acid (MSA) originates exclusively from DMS and has no major continental source, and therefore it is a good metrics against which to validate our representation of the  $\gamma$ DMSflux (Vallina et al., 2007). Among the island-based aerosol sampling stations that have records of atmospheric MSA concentrations for at least one year (Chin et al., 2000; Sciare et al., 1998), we chose Prince Edward Islands, Palmer Station, Amsterdam Island, Shemya Island, Mace Head and Cape Hedo as representative of contrasting situations. We defined a  $7^\circ \times 7^\circ$  window upwind of each station and computed the monthly window-average  $\gamma$ DMSflux over a climatological year, which we compared with the monthly series of MSA concentrations at the station (Fig. 4).

On Prince Edward Island (located in the Indian sector of the Southern Ocean,  $46.9^\circ\text{ S--}37.3^\circ\text{ E}$ ) and Palmer station (located on Anvers Island, midway down the western side of the Antarctic Peninsula,  $64.9^\circ\text{ S--}64.1^\circ\text{ W}$ ), the annual variability of the estimated  $\gamma$ DMSflux agrees well with that of monthly aerosol MSA concentrations in both timing and amplitude (Fig. 4a, b), thus providing a ground-based test for the validity of the seawater DMS climatology and the associated emission and oxidation fluxes in the Southern Ocean.

On Amsterdam Island (Fig. 4c), monthly  $\gamma$ DMSflux showed also a close match to monthly rainwater MSA concentrations from 1996, which was coincident with the seasonality of concurrent atmospheric DMS concentrations (Sciare et al., 1998). Also on Shemya Island (Fig. 4d) there is



**Fig. 4.** Validation of the biogenic sulfur aerosol parameterization. Seasonal evolution of standardized values of computed  $\gamma$ DMSflux and ground-based aerosol MSA concentrations at six aerosol sampling stations: (a) Prince Edward Island, (b) Palmer Station, (c) Amsterdam Island, (d) Shemya Island, (e) Mace Head and (f) Cape Hedo (Okinawa).

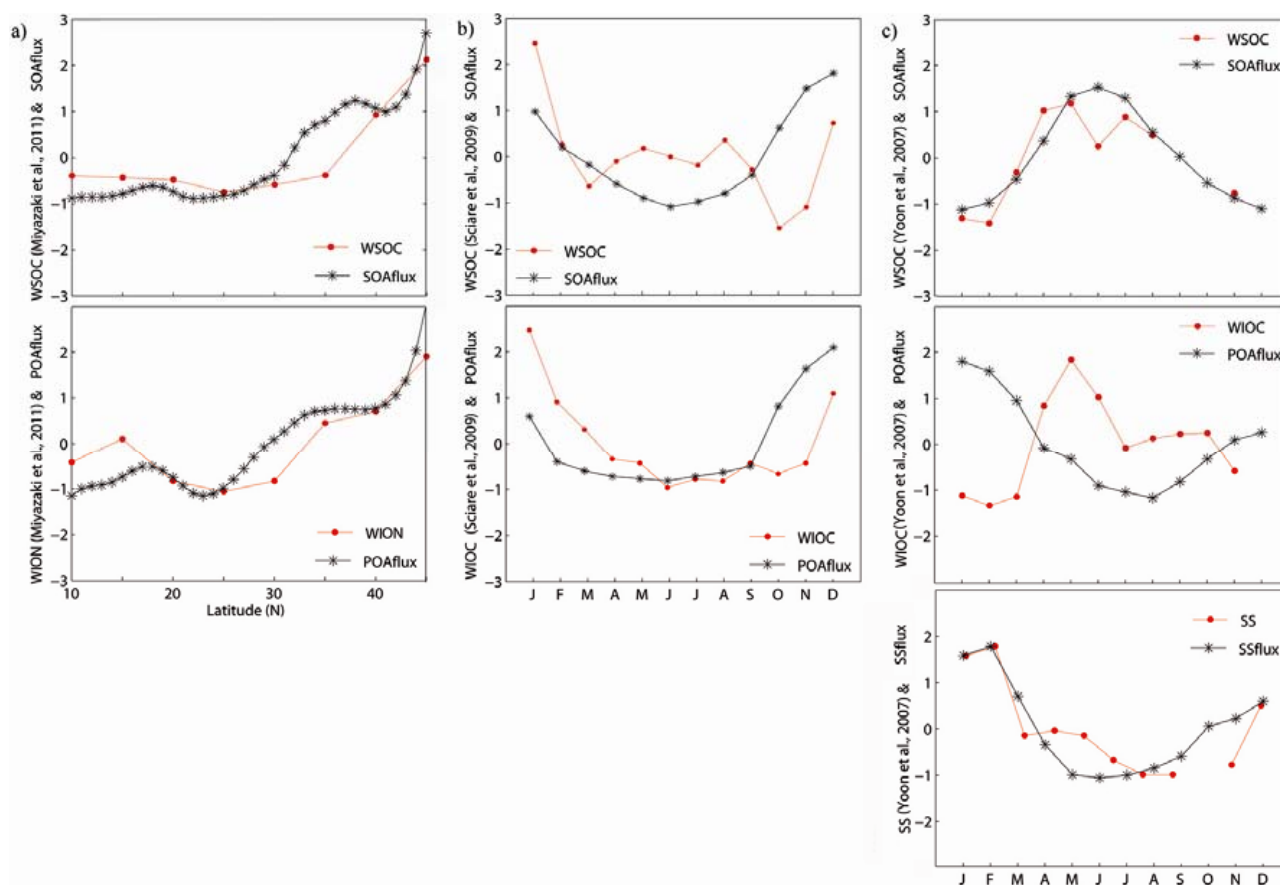
a general agreement between the seasonalities of  $\gamma$ DMSflux and aerosol MSA. The latter were measured by Saltzman et al. (1986) as part of a broader study over the Pacific Ocean. By computing the ratio  $\text{MSA}/\text{nss-SO}_4^{-2}$ , Savoie et al. (1989) concluded that, despite some seasonal influence by continental aerosols, marine biogenic sulfur accounts for ca. 80 % of the annually averaged aerosol sulfur in the region.

On Mace Head, the timing and amplitude of the monthly  $\gamma$ DMSflux and aerosol MSA series were also coincident (Fig. 4e). Cape Hedo was the only examined station where the two variables did not agree (Fig. 4f); this had already been observed by Vallina et al. (2007) using a former version of the seawater DMS climatology, and was attributed to the influence of polluted aerosols on particulate MSA through heterogeneous adsorption. In general, the validation exercise provided confidence in the parameterization of the variability

of DMS emission and oxidation fluxes throughout most of the global ocean.

### 3.3.2 Fluxes of organics and sea salt

Unlike for biogenic sulfur, there are no exclusive markers for secondary and primary organic aerosols to validate the parameterizations against. A common assumption is that water insoluble organic matter (WIOM) mass in aerosols is predominantly associated with POA, and water soluble organic matter (WSOM) is predominantly associated with SOA (Ceburnis et al., 2008; Facchini et al., 2008b). Although it has been claimed to be an oversimplification (see discussion below), this source attribution based on solubility offers a venue for validation of SOA and POA source functions. Nonetheless, only few measurements of the seasonality of aerosol WSOM and WIOM exist: those of Amsterdam Island (Sciare et al., 2009) and Mace Head (Yoon et al., 2007). We



**Fig. 5.** Validation of aerosol flux parameterizations. **(a)** Standardized latitudinal series of aerosol water soluble organic carbon (WSOC) and water insoluble inorganic nitrogen (WION) measurements reported by Miyazaki et al. (2011) along  $155^{\circ}$  E in the North Pacific, with the corresponding satellite-derived computations of SOAflux and POAflux for September 2008. **(b)** Standardized monthly series of aerosol WSOC and WIOC measurements on Amsterdam Island (Sciare et al., 2009), with the corresponding computations of SOAflux and POAflux for years 2005–2007. **(c)** Standardized monthly series of aerosol WSOC, WIOC and sea salt measurements on Mace Head (Yoon et al., 2007), with the corresponding computations of SOAflux, POAflux and SSflux for years 2002–2004.

added a third study with ship-based measurements of organic aerosols along a broad latitudinal transect ( $10$ – $50^{\circ}$  N) on longitude  $155^{\circ}$  E in the North Pacific (Miyazaki et al., 2011).

Figure 5a shows the comparison of our parameterized SOAflux and POAflux (in running  $7^{\circ} \times 7^{\circ}$  windows averaged for each  $1^{\circ}$  step) with  $5^{\circ}$  binned measurements of, respectively, water soluble organic carbon (WSOC) and water insoluble organic nitrogen (WION, considered more specific to biogenic emissions than its carbon homolog WIOC) along the latitudinal transect in the North Pacific (Miyazaki et al., 2011). Data and computations correspond to early September, when the marine biology activity is still high. For both SOAflux and POAflux, the parameterizations represented well the in situ latitudinal distributions, with increasing biogenic emissions northwards.

Figure 5b shows the comparison of parameterized fluxes upwind (southwest) of Amsterdam Island with aerosol WSOC and WIOC concentrations measured on the island (Sciare et al., 2009). Both the SOAflux and the POAflux did

a good job of capturing the seasonal amplitude and the rough seasonal timing of the WSOC and the WIOC, respectively, yet they anticipated by 1–2 months the summer maximum. On Mace Head (Fig. 5c), the parameterization of the seasonality of the upwind SOAflux matched well with that of measured WSOC concentrations on the island (Yoon et al., 2007). The POAflux, conversely, showed an opposite seasonality to WIOC. Here we had the opportunity to validate the parameterization of the sea salt flux as well. The bottom panel of Fig. 5c shows that the seasonality of sea salt concentration in aerosols was well captured by the SSflux.

## 4 Discussion

### 4.1 Potential for marine aerosols to influence cloud microphysics: what do correlations tell (and what do they not)?

Our global correlation study shows that, at latitudes higher than  $30^\circ$  in both hemispheres, production fluxes of marine secondary aerosols (DMS-derived and SOA) have the same seasonality as CCN numbers whereas primary aerosols (POA and SS) do not, except for POA in a narrow band of the Southern Ocean. Such a distinct behavior is to be found in the production mechanisms and how they are parameterized. At temperate to high latitudes, CCN numbers are higher in summer (Vallina et al., 2007), and this is the season when DMS occurs at its maximum and when high OH radical concentrations combine with high primary productivity rates to give rise to maximum SOA fluxes. Primary aerosol fluxes, on the other hand, are more dependent on wind speed because sea spray generation is a non-linear process that results from white cap formation and bubble bursting. As wind speeds at these latitudes are generally higher in winter, this decouples CCN numbers from primary aerosol fluxes in spite of the Chl *a*-dependence of the organic content of sea spray. At lower latitudes, conversely, DMS loses influence, and widespread low productivity favors a better coupling of CCN numbers to SOA and primary aerosols through the seasonality of wind speed.

It is worth reminding here that the initial hypothesis was that marine aerosol sources potentially influencing the variability of CCN concentrations should display positive correlations to satellite CCN. This is the case for secondary aerosols at mid and high latitudes, where the non-significant or negative correlations of primary (sea spray) aerosols prevent them from being main drivers of the seasonal variability of accumulation mode particles. At low latitudes, conversely, it is the DMS-derived aerosols that do not show the positive correlation necessary to suggest a strong influence on accumulation mode particle variability.

How does this translate into a potential influence on cloud microphysics? Negative correlations to cloud droplet size ( $r_e$ ) in case studies of low altitude and low water containing clouds in the clean atmosphere showed that secondary aerosols were the only ones having the seasonality that is expected according to the indirect effect (that is, smaller droplets in the presence of higher aerosol numbers). In other words, the results with  $r_e$  were similar to those with CCN. One could argue that the correlation between secondary aerosols and  $r_e$  does not reflect any causality but is simply the result of secondary aerosol production being geared to summer and therefore coinciding with lower LWP, a feature that often accompanies smaller  $r_e$ . But the fact that the correlation is much reduced or even turned non-significant in polluted case studies (such as Mace Head and Cape Hedo, Table 1) suggests that simple co-variation between secondary

aerosols and seasonal meteorology cannot account for the observed correlation.

None of the primary aerosol fluxes showed the expected negative correlation to  $r_e$  in any of the case studies. The POA flux only gave non-significant correlations for low clouds, and in the case of the SS flux, correlation was even positive (Table 1). Therefore, even though water insoluble organic compounds have been seen to account for an important fraction of the organic mass of marine aerosols (Facchini et al., 2008b; Russell et al., 2010), they do not seem to play their own big role in driving the variability of cloud droplet size. And this similarly occurs with sea salt. The SS lifted with sea spray has long been recognized as the largest global source of primary aerosols (Woodcock, 1948; de Leeuw et al., 2011). In terms of mass, it represents the largest contributor to marine aerosols. Even though the concentration of small sized sea salt particles in the marine boundary layer is enough to represent an important, but highly variable, source of CCN at the top of the MBL (O'Dowd and Smith, 1993; Lewis and Schwartz, 2004; Caffrey et al., 2006; Pierce and Adams, 2006), our correlation analysis shows that they do not seem to play an important direct role in driving the variability of cloud microphysics.

Altogether, our results agree with the findings of the Southern Ocean studies by Vallina et al. (2006), Meskhidze and Nenes (2006) and Krüger and Graßl (2011), who also used statistical metrics of co-variation to show that the Chl *a* concentration is better coupled to cloud microphysics than the SS flux is.

At this point it is important to note that the occurrence of significant correlation is not a proof of causality. Even if there is a mechanistic hypothesis behind it, correlation only quantifies co-variation, but the cause of co-variation can be either random or a third factor driving both toward the same directions. But, if properly done, correlation stands as a necessary condition for the feasibility of a causal (mechanistic) relationship, and therefore helps constrain among a field of potentialities. In our case, the correlation analysis had to take into account that the air moves faster than the surface ocean, and that secondary aerosols require some time to form, generally 0.5–2 days. Hence, daily correlations at the scale of  $1^\circ \times 1^\circ$  would not make any sense. We used region-averaged ( $7^\circ \times 7^\circ$ ) and weekly- to monthly-averaged data to roughly allow for kinetics and transport, and ran validations to check for the appropriateness of our approach. In this context, it is worth stressing that secondary aerosol production fluxes, which are time-transport dependent, gave better correlations to the accumulation aerosol numbers and cloud droplet size than the primary aerosols whose production is more straightforward. The mechanisms of aerosol production and how we capture them in our parameterizations warrant further discussion.

## 4.2 The unknowns of organic aerosols

The research field of marine organic aerosols is rapidly expanding and deepening (e.g., Rinaldi et al., 2010), but today still large and important unknowns remain. These unknowns lie in both production mechanisms and controlling factors as well as atmospheric transformations. Based on multiple evidence (see Sect. 2.2, and, e.g., O'Dowd et al., 2004), we parameterized the SOAflux upon the assumption that volatile and semi-volatile precursors occur in the surface ocean in proportionality to the Chl *a* concentration. Should SOA precursors have a seasonality less coupled to the Chl *a* concentration (phytoplankton biomass) and more driven by other factors (e.g., phytoplankton taxonomy, nutrient availability, oxidative stress and solar radiation), as is the case for DMS (Vallina and Simó, 2007; Lana et al., 2011), the SOAflux seasonality might have been wrongly computed. The few opportunities for validation against the aerosol WSOC concentrations indicate that the assumption is not too far from reality. Nonetheless, there is a strong need to better identify the most important players among marine SOA precursors, beyond isoprene, and to conduct time series and manipulation studies aimed at deciphering the biological and environmental drivers of their seasonal variability. Also, their volatilization and atmospheric chemical behavior (including SOA production yields in the marine atmosphere) need to be described if we are to assess their role in CCN formation and cloud microphysics over the ocean. Overall, the notion that SOA from marine biogenic precursors play a role in the size distribution and composition of remote marine aerosols is supported by aerosol studies (e.g., O'Dowd et al., 2004; Facchini et al., 2008b; Sorooshian et al., 2009). A significant direct influence of biogenic SOA on marine cloud microphysics, as suggested by our study, remains an open question that regional, satellite-based studies have not agreed upon (Meskhidze and Nenes, 2006; Miller and Yuter, 2008).

Also, the POAflux parameterization was based on an empirical relationship of the organic composition of sea spray to the Chl *a* concentration (Gantt et al., 2011). Indeed, most POA precursors are expected to occur in some degree of proportionality to phytoplankton biomass and production, particularly biological particles such as viruses, bacteria and the smallest microalgae. But this is harder to predict for the algal polymers, mainly carbohydrates (lipopolysaccharides) that dominate the submicron aerosols (Facchini et al., 2008b; Russell et al., 2010; Orellana et al., 2011). It is known that this type of algal exudates does not only depend on total phytoplankton biomass but also on their species composition, physiological status and productivity (Verdugo et al., 2004). Plus, they tend to accumulate in the surface microlayer of the ocean (Wurl et al., 2009) that develops under very calm conditions and is not directly linked to primary productivity. Still, surface active organic material suitable as POA precursor can be either freshly produced or relatively aged (Verdugo et al., 2004; Wurl et al., 2009), hence

potentially decoupled from Chl *a* concentrations. All these features may contribute to explain the irregular success we had in the validation of our POAflux computations, because the empirical parameterization of the organic fraction of sea spray does hardly cover all the aforementioned conditions. As in the case of SOA precursors, therefore, there is a strong need to better know the geographic and seasonal distribution of POA-forming material and their non-linear environmental drivers.

Further complication of the picture arises from the fact that aerosols evolve once in the atmosphere. Submicron aerosols generated in seawater bubbling chambers are generally richer in POA than ambient aerosols over the ocean (e.g., Keene et al., 2007; Rinaldi et al., 2010). One reason for that is the faster loss of primary aerosols by condensational growth, coalescence and deposition. Another reason has been unveiled by recent studies by showing that photochemical reactions in wetted and acidified primary aerosols may form a number of volatiles that can be released and eventually form SOA (Hallquist et al., 2009; Rinaldi et al., 2010). Hence, the distinction of SOA and POA in terms of origin and magnitude of the source functions is blurring.

## 4.3 Uncertainties and future research needs

We focused our efforts upon distinguishing among marine aerosol sources and types, and dealt with their distinct dynamics and seasonalities. At first sight, our approach seems based on one major conceptual simplification: aerosol sources each contribute a proportion of an external mixture of marine aerosols, and the ones identified here to be the best coupled to cloud microphysics variability (namely the secondary aerosols) are hence the most climatically active through the indirect effects. A deeper interpretation of our data, however, should take into account that most marine aerosols occur as internal mixtures from different sources and nature. Electron microscopy observations of marine aerosols depict a variety of heterogeneous particle constructions, with, e.g., organic polymers internally mixed with sulfuric acid or sea salt crystals (Leck and Bigg, 1999, 2005, 2008). The view that new aerosols and CCN are formed by homogeneous nucleation and further condensation of vapors is too simplistic; H<sub>2</sub>SO<sub>4</sub> nucleation is indeed enhanced by organics and ammonium, and growth to CCN activation occurs by organic condensation (e.g., Hegg et al., 1990; Covert et al., 1992; Kulmala et al., 2004; Zhang et al., 2004; Meskhidze and Nenes, 2006; Metzger et al., 2010). But also tiny primary aerosols get activated as CCN by condensational growth or by absorption of surface active and hygroscopic compounds (Cavalli et al., 2004; Leck and Bigg, 2005; O'Dowd and Leeuw, 2007). Observations of homogeneous nucleation in the MBL are scarce (Andreae and Rosenfeld, 2008 and references therein), yet its measurement is still challenging and there is the possibility that it has been overlooked. But there is increasing awareness of

a widespread occurrence of POA-forming material in marine aerosols (e.g., Russell et al., 2010), and observations in the Arctic suggest that the number of CCN is provided by particle-core forming POA (Orellana et al., 2011). We must be reminded here that it is CCN number, not mass, that eventually determines cloud microphysics.

Our results, therefore, should not be regarded as evidence suggestive of a unique (or even prevailing) role of organic and sulfur volatiles in CCN formation through particle nucleation, with dismissal of the primary aerosols, but rather that they point to a pivotal role of trace gas oxidation products in the condensational growth and hygroscopic activation of small primary particles, ultimately facilitating cloud droplet nucleation at the small supersaturations found in marine stratus (Andreae and Rosenfeld, 2008; Meskhidze et al., 2011).

We suggest that future research should address the following issues:

1. If we are to assess the impact of the marine biosphere on tropospheric aerosols and clouds, we should be able to better distinguish between sea and continental born aerosols (and aerosol precursors). This is extremely challenging because they often occur altogether in internal mixtures. At least, ship-, aircraft-, or satellite-based work aimed at the marine biogenic effects should avoid regions of traceable continental influence.
2. While improving our capacity to decipher aerosol composition, there is a strong need for a better knowledge of the processes that govern marine aerosol characteristics and temporal dynamics. This refers not only to aerosol and aerosol-precursor production at/over the surface ocean, including the ecophysiology of plankton and the biogeochemistry of organic matter and trace gases, but also to aerosol transformations in the MBL by growth, aging, photochemistry and internal mixing. This growing knowledge must keep being implemented in models if we are to use them as primary tools in biosphere-climate simulations and projections.
3. Separation of microphysical and macrophysical (meteorological) processes remains challenging but necessary to avoid an oversimplification of aerosol–cloud interactions.

We took a rather simple approach to constrain the potential effects of biogenic emissions on cloud microphysics over the global oceans throughout seasons. Our work, combined with the increasing load of knowledge on marine aerosol composition and behavior, suggests that the marine biosphere does influence cloud formation and characteristics in those large portions of the oceanic atmosphere that still remain relatively pristine from continental (including anthropogenic) outflow.

*Acknowledgements.* We thank the NCEP/NCAR Reanalysis Project for the production and free distribution of the SST and wind speed data used in the present work. We are also indebted to the SeaWiFS and MODIS-Atmosphere projects for the atmospheric variables and chlorophyll *a* concentrations values. We thank two anonymous reviewers for their critical and constructive comments. Financial support was provided by the former Spanish Ministry of Science and Innovation through the projects MIMOSA, PRISMA, Malaspina 2010, and a Ph.D. studentship to A.L.

Edited by: R. Krejci

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